



STATE OF ARKANSAS  
**Department of Finance  
and Administration**

**OFFICE OF THE SECRETARY**  
1509 West Seventh Street, Suite 401  
Post Office Box 3278  
Little Rock, Arkansas 72203-3278  
Phone: (501) 682-2242  
Fax: (501) 682-1029  
[www.arkansas.gov/dfa](http://www.arkansas.gov/dfa)

October 1, 2021

Senator Jonathan Dismang, Co-Chair  
Representative Michelle Gray, Co-Chair  
Performance Evaluation & Expenditure Review Committee  
Arkansas Legislative Council  
State Capitol Building  
Little Rock, AR 72201

RE: FY 22 Various Temporary Appropriation Request(s)

Dear Co-Chairs:

Pursuant to Act 997 of 2021, Section 33, I am forwarding for appropriate action as required by law, the attached Various Temporary Appropriation for State Agencies and Institutions Request(s) for Fiscal Year 2022 that have received my approval as Chief Fiscal Officer of the State.

Sincerely,

A handwritten signature in cursive script that reads 'Larry W. Walther'.

Larry W. Walther  
Cabinet Secretary

LWW

Attachment(s)



# Division of Elementary and Secondary Education

*Transforming Arkansas to lead the nation in student-focused education*

BA 0500  
FA EDUC  
FC 59X  
F JAA1022  
CI 590:00:46  
CH

**Johnny Key**  
Secretary

September 21, 2021

**Dr. Ivy Pfeffer**  
Deputy  
Commissioner

Secretary Larry W. Walther  
Department of Finance and Administration  
1509 West 7<sup>th</sup> Street, Suite 401

**Stacy Smith**  
Deputy  
Commissioner

Little Rock, AR 72203-3278

Various Temporary Appropriation for State Agencies

**State Board of  
Education**

Secretary Walther,

**Ouida Newton**  
*Poyen*  
Chair

The Arkansas Division of Elementary and Secondary Education (DESE) respectfully requests a transfer of \$35,000,000 in appropriation from Various Temporary Appropriation for State Agencies and Institutions pursuant to Section 33 of act 997 of 2021. This request will provide sufficient appropriation to transfer \$35,000,000 to the Employee Benefits Division to provide additional support to the Employee Benefits Division for health insurance contributions for the public school employees' health insurance program.

**Dr. Sarah Moore**  
*Stuttgart*  
Vice Chair

**Charisse Dean**  
*Little Rock*

This appropriation is requested in the following line items:

Fund Center: 59X  
Fund: JAA1022  
Commitment Item: 590:00:46

**Dr. Fitz Hill**  
*Little Rock*

**Kathy McPetridge**  
*Springdale*

Please contact Greg Rogers at 501-682-4256 if you require additional information.

**Steve Sutton**  
*Marion*

Sincerely,

**Adrienne Woods**  
*Rogers*

Johnny Key  
Commissioner of Education

**Dr. Beth Anne  
Rankin**  
*Magnolia*

**Randy Henderson**  
*Blytheville*

JK:kh



STATE OF ARKANSAS  
**Department of Finance  
and Administration**

**F.1(b)(i - xiv)**

**OFFICE OF BUDGET**  
1509 West Seventh Street, Suite 402  
Post Office Box 3278  
Little Rock, Arkansas 72203-3278  
Phone: (501) 682-1941  
Fax: (501) 682-1086  
[www.arkansas.gov/dfa](http://www.arkansas.gov/dfa)

October 11, 2021

Senator Jonathan Dismang, Co-Chair  
Representative Michelle Gray, Co-Chair  
Performance Evaluation & Expenditure Review Committee  
Arkansas Legislative Council  
State Capitol Building  
Little Rock, AR 72201

RE: FY 22 American Rescue Plan Act Request

Dear Co-Chairs:

Pursuant to Section 38 (01) of Act 997 of 2021, I am forwarding the attached American Rescue Plan Act request(s) that have received my approval as Chief Fiscal Officer of the State.

- ASU-Jonesboto – Student and Institution Support \$4,122,722
- ASU-Mountain Home – Direct Student Aid and Various Technology Updates \$975,330
- Arkansas Tech University – Specialized Training Support for Hybrid, Online and Hyflex Course Delivery \$1,171,527
- UA-Little Rock – Programming and Services \$151,605
- UACC-Hope-Texarkana – Reimburse Lost Revenue from Auxiliary Services and Tuition and Fees \$187,767
- UACC-Batesville – IT Infrastructure Improvements \$1,144,650
- ASU-Mid-South – Reimbursement of Contact Tracing Costs \$27,100
- Health Department – Epidemiology and Laboratory Capacity for Prevention and Control of Emerging Infections Diseases \$2,700,394
- ASU-Three Rivers – Student and Institution Support \$827,287
- DHS-Child Care and Early Childhood Education – Child Care Supplemental Aid \$4,509,626
- DHS-Aging, Adult and Behavioral Health Services – Home and Community Based Services \$11,235,950



STATE OF ARKANSAS  
**Department of Finance  
and Administration**

**OFFICE OF THE SECRETARY**  
1509 West Seventh Street, Suite 401  
Post Office Box 3278  
Little Rock, Arkansas 72203-3278  
Phone: (501) 682-2242  
Fax: (501) 682-1029  
[www.arkansas.gov/dfa](http://www.arkansas.gov/dfa)

- ASU-Jonesboro – Construction Reallocation, Lost Revenue Reimbursement \$99,760
- Henderson State University – Direct Student Aid, Lost Revenue Reimbursement \$6,366,000

Pursuant to Section 38 (02) of Act 997 of 2021, I am forwarding the attached American Rescue Plan Act request(s) that have received my approval as Chief Fiscal Officer of the State.

- DFA-Disbursing Officer – Arkansas Boys and Girls Clubs \$6,342,200

Sincerely,

Larry W. Walther  
Cabinet Secretary

LWW

Attachment(s)

**AMERICAN RESCUE PLAN ACT OF 2021 PROGRAM APPROPRIATION  
AND PERSONNEL AUTHORIZATION REQUEST  
SECTION 39 OF ACT 997 OF 2021**

Agency: Arkansas State University Jonesboro Business Area Code: 0125  
 Program Title: Education Stabilization Fund (American Rescue Plan)  
 Granting Organization: US Department of Education CFDA #: 84.425E  
 Effective Date of Authorization: Beginning: 4/25/2020 Ending: 1/16/2022  
 Purpose of Grant / Reason for addition or change (include attachments as necessary to provide thorough information):

The Higher Education Emergency Relief Fund III (HEERF III) is authorized by the American Rescue Plan (ARP), Public Law 117-2 to provide emergency support to students and to support institutions of higher education to serve students and ensure learning continues during the COVID-19 pandemic.

**American Rescue Plan Act Program Funding**

Func. Area: UNIV Fund Code: 205ARPA Direct Funding: X  
 Funds Center: DB1 Internal Order/WBS Element: \_\_\_\_\_ Steering Comm. Approved: \_\_\_\_\_

	Program Funding Amount
Regular Salaries	
Extra Help	
Personal Services Matching	
Operating Expenses	
Conference & Travel Expenses	
Professional Fees	
Capital Outlay	
Data Processing	
Grants and Aid (CI: 04)	4,122,722
Other:	
<b>Total</b>	<b>\$ 4,122,722</b>

Anticipated Duration of Federal Funds: 1/16/2022

--	--

**DFA IGS State Technology Planning** Date  
 Items requested for information technology must be in compliance with Technology Plans as submitted to DFA IGS State Technology Planning.

Positions to be established: (list each position separately)

Org Unit	Pers Area	Pers SubArea	Cost Center	Position Number	Comt Item	Position Title	Class Code	Grade	Line Item Maximum *
NA									

State funds will not be used to replace federal funds when such funds expire, unless appropriated by the General Assembly and authorized by the Governor.

Approved by: [Signature] <sup>93</sup> 9/2/21 [Signature] 9-30-21  
 Cabinet Secretary/Agency Director Date Office of Budget Date  
CH 9/3/21



OFFICE OF THE PRESIDENT  
501 Woodlane, Suite 600 | Little Rock, AR 72201 | o: 501-660-1000 | f: 501-660-1010

September 2, 2021

Larry W. Walther, Secretary  
Department of Finance and Administration  
Office of the Secretary  
1509 West 7th Street, Suite 401  
Little Rock, AR 72203-3278

RE: American Rescue Plan Act Funding –(Act 997 of 2021)

Dear Secretary Walther:

The Arkansas State University System respectfully requests review of appropriation from the American Rescue Plan Act authorized in Section 39 of Act 997 of 2021 for student relief grants on the ASU Jonesboro campus. The total funding to be disbursed or reimbursed from the Education Stabilization Fund (American Rescue Plan) for Arkansas State University (ASU) Jonesboro is \$ 4,122,722. Please find attached the forms and documentation.

Please let me know if you have questions.

Sincerely,

A handwritten signature in black ink, appearing to read 'Charles L. Welch', with a stylized flourish at the end.

Charles L. Welch  
President



## Arkansas State University System

### American Rescue Plan Act - Student Relief Portion Plans

Institution	Total Student Grants
ASU Jonesboro	\$13,742,404

*Purpose: To distribute relief grants to full-time and part-time students prioritizing students with exceptional need.*

#### ASU Jonesboro

Estimated Distribution Schedule	Amount Estimated to Distribute	Amount Remaining to Distribute
October 2021	\$4,122,722	\$4,122,721

Category	Award Amount*
Pell FT - UG	\$ 625.00
Pell PT - UG	\$ 501.00
Non Pell FT - UG	\$ 426.00
Non Pell PT - UG	\$ 401.00
DACA	\$ 426.00
International	\$ 200.00
On-line	\$ 400.00
Graduate On-Campus	\$ 400.00

**AMERICAN RESCUE PLAN ACT OF 2021 PROGRAM APPROPRIATION  
AND PERSONNEL AUTHORIZATION REQUEST  
SECTION 38 OF ACT 997 OF 2021**

Agency: Arkansas State University - Mountain Home Business Area Code: 0128

Program Title: Education Stabilization Fund (American Rescue Plan)

Granting Organization: US Department of Education CFDA #: 84.425E & 84.425F

Effective Date of Authorization: Beginning: 4/24/2020 Ending: 2/18/2022

Purpose of Grant / Reason for addition or change (include attachments as necessary to provide thorough information):  
Please see attached.

**American Rescue Plan Act Program Funding**

Func. Area: UNIV Fund Code: 282ARPA Direct Funding: X  
Funds Center: D53 Internal Order/WBS Element: \_\_\_\_\_ Steering Comm. Approved: \_\_\_\_\_

	Program Funding Amount
Regular Salaries	
Extra Help	
Personal Services Matching	
Operating Expenses	
Conference & Travel Expenses	
Professional Fees	
Capital Outlay	
Data Processing	
Grants and Aid (CI: 04)	960,330
Other: Reimbursement for technology purchases	15,000
Other:	
<b>Total</b>	<b>\$ 975,330</b>

Anticipated Duration of Federal Funds: 02/18/2022

**DFA IGS State Technology Planning Date**  
Items requested for information technology must be in compliance with Technology Plans as submitted to DFA IGS State Technology Planning.

**Positions to be established: (list each position separately)**

\* unclassified positions only

Org Unit	Pers Area	Pers SubArea	Cost Center	Position Number	Comnt Item	Position Title	Class Code	Grade	Line Item Maximum *
N/A									

**State funds will not be used to replace federal funds when such funds expire, unless appropriated by the General Assembly and authorized by the Governor.**

Approved by: *Alyssa Bock* 9/13/2021 *John* 9-30-21  
Cabinet Secretary/Agency Director Date Office of Budget Date Office of Personnel Mgmt Date

CH 9/14/21



**Office of the Chancellor**



September 9, 2021

Larry W. Walther, Secretary  
Department of Finance and Administration  
Office of the Secretary  
1509 West 7th Street, Suite 401  
Little Rock, AR 72203-3278

RE: American Rescue Plan Act Funding -(Act 997 of 2021)

Dear Secretary Walther:

The Arkansas State University Mountain Home respectfully requests review of appropriation from the American Rescue Plan Act authorized in Section 39 of Act 997 of 2021 to (1) provide direct grants to students for emergency relief under the provisions provided by the US Department of Education, and (2) for technology purchases and expenditures.

The total funding to be disbursed or reimbursed from the Education Stabilization Fund (American Rescue Plan) for ASUMH is \$975,330. Please find attached the forms and documentation.

Sincerely,

A handwritten signature in blue ink, appearing to read "Robert Myers", is written over a white background.

Dr. Robert Myers  
Chancellor



## Arkansas State University System

### American Rescue Plan Act - Student Relief Portion Plans

Institution	Total Student Grants
ASU Mountain Home	\$1,982,993

*Purpose: To distribute relief grants to full-time and part-time students prioritizing students with exceptional need.*

#### ASU Jonesboro

Estimated Distribution Schedule	Amount Estimated to Distribute	Amount Remaining to Distribute
Oct-21	\$ 960,330.00	\$779,628

Category	Number of Students	Award Amount	Total
UG with 12+ hours Pell with 0/EFC	290	\$ 1,650.00	\$ 478,500.00
UG with 12+ hours Pell with <3900 EFC	106	\$ 1,300.00	\$ 137,800.00
UG with 12+ hours No Pell	182	\$ 880.00	\$ 160,160.00
UG with 9-11 hours Pell with 0/EFC	45	\$ 990.00	\$ 44,550.00
UG with 9-11 hours Pell with <3800 EFC	13	\$ 750.00	\$ 9,750.00
UG with 9-11 hours No Pell	36	\$ 500.00	\$ 18,000.00
UG with 6-8 hours Pell with 0/EFC	47	\$ 810.00	\$ 38,070.00
UG with 6-8 hours Pell with <3800 EFC	15	\$ 610.00	\$ 9,150.00
UG with 6-8 hours No Pell	66	\$ 425.00	\$ 28,050.00
UG with 1-5 hours 0/EFC	29	\$ 600.00	\$ 17,400.00
UG with 1-5 hours <3800 EFC	7	\$ 450.00	\$ 3,150.00
UG with 1-5 hours No Pell	50	\$ 315.00	\$ 15,750.00



# Arkansas State University System

## American Rescue Plan Act - Institutional

Institution	Total Institutional Grants
ASU Mountain Home	\$647,293

Request Purpose	Total Requested
Reimbursement for technology purchases	\$15,000
<b>TOTAL</b>	<b>\$15,000</b>

<b>TOTAL REMAINING</b>	<b>\$632,293</b>
------------------------	------------------

**AMERICAN RESCUE PLAN ACT OF 2021 PROGRAM APPROPRIATION  
AND PERSONNEL AUTHORIZATION REQUEST  
SECTION 38 OF ACT 997 OF 2021**

Agency: Arkansas Tech University Business Area Code: 0130  
 Program Title: American Rescue Plan Act of 2021  
 Granting Organization: US Department of Education CFDA #: 84.425F  
 Effective Date of Authorization: Beginning: 6/30/2021 Ending: 6/30/2022

Purpose of Grant / Reason for addition or change (include attachments as necessary to provide thorough information):  
 Supplemental appropriation request to allow expenditure of a portion of American Rescue Plan Act of 2021 grant funds for specialized training and support for hybrid, online and Hyflex course delivery.

**American Rescue Plan Act Program Funding**

Func. Area: UNIV Fund Code: 210ARPA Direct Funding: X  
 Funds Center: DB0 Internal Order/WBS Element: \_\_\_\_\_ Steering Comm. Approved: \_\_\_\_\_

	Program Funding Amount
Regular Salaries	245,657
Extra Help	
Personal Services Matching	
Operating Expenses	245,000
Conference & Travel Expenses	
Professional Fees	
Capital Outlay	
Data Processing	
Grants and Aid (CI: 04)	
Other: Benefits	191,270
Other: Student Labor	46,600
Other: Stipends	443,000
<b>Total</b>	<b>\$ 1,171,527</b>

Anticipated Duration of Federal Funds: 6-30-2022

**DFA IGS State Technology Planning Date**  
 Items requested for information technology must be in compliance with Technology Plans as submitted to DFA IGS State Technology Planning.

**Positions to be established: (list each position separately)**

Org Unit	Pers Area	Pers SubArea	Cost Center	Position Number	Commt Item	Position Title	Class Code	Grade	Line Item Maximum *

State funds will not be used to replace federal funds when such funds expire, unless appropriated by the General Assembly and authorized by the Governor.

Approved by: \_\_\_\_\_ Date: \_\_\_\_\_  
 Cabinet Secretary/Agency Director  
 \_\_\_\_\_ Date: 9-30-21  
 Office of Budget  
 \_\_\_\_\_ Date: \_\_\_\_\_  
 Office of Personnel Mgmt

Arkansas Tech University has received funding from U.S. Department of Education as part of the American Rescue Plan Act of 2021. \$11,702,936 is the “student” portion, and \$10,900,582 is the “institutional” portion.

For consideration by the October 2021 meeting of the PEER Committee and the Legislative Council, Arkansas Tech University is requesting a supplemental appropriation in the amount of \$1,171,527 for an expenditure of “institutional” ARP funds that will be spent as follows:

### **A. Institutional Funds – HYBRID, ONLINE, HYFLEX**

\$1,171,527 in HEERF institutional funds will be used to provide specialized training and support for hybrid, online, and Hyflex course delivery. These professional development trainings are appropriate for faculty at all levels of technological experience and will provide support as they develop their abilities in the online instructional environment. These expenses will support a hybrid flexible, or Hyflex course delivery model. A HyFlex course allows students the flexibility to choose their preferred method of learning based on their preferences, needs, or immediate circumstances. In sum, it is an approach that combines face-to-face and online learning. The funds requested will cover salary and benefits, stipends, student labor, and classroom instructional technology upgrades for a hybrid online/Hyflex modality. These items would defray expenses associated with the coronavirus by providing online teaching modalities in the event of having to social distance or go to online learning environment. On May 11, 2021, the U.S. Department of Education published the *Higher Education Emergency Relief Fund III Frequently Asked Questions* that provide guidance on the use of American Rescue Plan Act of 2021 funds. Question number 21 specifically addresses practices to defray expenses associated with coronavirus as follows:

**21. Question:** What are the allowable uses of funds for institutional uses?

**Answer:** Under the ARP, similar to the CRRSAA, allowable uses under the HEERF III (a)(1) Institutional Portion awards include:

- Defraying expenses associated with coronavirus (including lost revenue, reimbursement for expenses already incurred, **technology costs associated with a transition to distance education, faculty and staff trainings, and payroll**); and
- Making additional emergency financial aid grants to students.<sup>4</sup>

As described in Question 42, grant expenses may be incurred back to March 13, 2020, the date of the declaration of the national emergency due to the coronavirus.

Additionally, as mentioned in Question 1, the ARP has added two new required uses of HEERF III institutional portion grant funds for public and private nonprofit institutions. Namely, a portion of their institutional funds must:

- (a) implement evidence-based practices to monitor and suppress coronavirus in accordance with public health guidelines; and
- (b) conduct direct outreach to financial aid applicants about the opportunity to receive a financial aid adjustment due to the recent unemployment of a family member or independent student, or other circumstances, described in section 479A of the HEA.

**AMERICAN RESCUE PLAN ACT OF 2021 PROGRAM APPROPRIATION  
AND PERSONNEL AUTHORIZATION REQUEST  
SECTION 38 OF ACT 997 OF 2021**

Agency: University of Arkansas at Little Rock Business Area Code: 0145

Program Title: American Rescue Plan Act of 2021

Granting Organization: Corporation for Public Broadcasting CFDA #: N/A (see attached FA Qs)

Effective Date of Authorization: Beginning: May 17, 2021 Ending: 6/30/22

Purpose of Grant / Reason for addition or change (include attachments as necessary to provide thorough information):

The American Rescue Plan Act of 2021 was signed into law by the President on March 11, 2021. It provided the Corporation for Public Broadcasting with \$175 million and authorized fiscal stabilization grants to public telecommunications entities to maintain their programming and services and to prevent, prepare for, and respond to the coronavirus.

**American Rescue Plan Act Program Funding**

Func. Area: UNIV Fund Code: 201ARPA Direct Funding: X  
Funds Center: D56 Internal Order/WBS Element: \_\_\_\_\_ Steering Comm. Approved: \_\_\_\_\_

	Program Funding Amount	
Regular Salaries		
Extra Help		
Personal Services Matching		
Operating Expenses	(Programming and Services)	151,605
Conference & Travel Expenses		
Professional Fees		
Capital Outlay		
Data Processing		
Grants and Aid (CI: 04)		
Other:		
Other:		
<b>Total</b>	<b>\$</b>	<b>151,605</b>

Anticipated Duration of Federal Funds: 10/31/2022

--	--

**DFA IGS State Technology Planning** Date  
Items requested for information technology must be in compliance with Technology Plans as submitted to DFA IGS State Technology Planning.

**Positions to be established: (list each position separately)**

Org Unit	Pers Area	Pers SubArea	Cost Center	Position Number	Comnt Item	Position Title	Class Code	Grade	Line Item Maximum *

**State funds will not be used to replace federal funds when such funds expire, unless appropriated by the General Assembly and authorized by the Governor.**

Approved by: [Signature] 9/10/2021 [Signature] 8-30-21  
Cabinet Secretary/Agency Director Date Office of Budget Date Office of Personnel Mgmt Date

CH 9/13/21

## Attachment A

UA Little Rock Public Radio (“the stations”) respectfully requests review of the appropriation from The American Rescue Plan Act of 2021 (ARPA) to maintain programming and services through payment for purchased programming on KLRE-FM and KUAR-FM, UA Little Rock Public Radio’s two non-commercial educational (NCE) radio stations.

The stations receive a Community Service Grant (CSG) from the Corporation for Public Broadcasting (CPB). As a CPB grantee, the stations were eligible to receive funding from ARPA which was designated for public telecommunications entities to maintain their programming and services and to prevent, prepare for, and respond to the coronavirus. The total funding disbursed from the Corporation for Public Broadcasting (CPB) to the stations is \$151,605.

Thank you for this opportunity to submit this spending proposal.

Nathan Vandiver , UA Little Rock Public Radio Director

UA Little Rock Public Radio seeks to use the ARPA funds to maintain programming services through ongoing payment for purchased programming.

<i>American Rescue Plan Act of 2021 UA Little Rock Public Radio Departmental Budget</i>	
<b>American Rescue Plan 2021 Funds Awarded to KLRE/KUAR</b>	\$ 151,605.00
<i>Expense</i>	
<b>Purchased Programming for KLRE-FM &amp; KUAR-FM</b>	\$ 151,605.00
<i>Remaining Funds</i>	\$ -



April 2021

## Frequently Asked Questions American Rescue Plan Act Stabilization Grant Funds Provided to Community Service Grant Recipients by the Corporation for Public Broadcasting

CPB distributed American Rescue Plan Act Stabilization Grants (hereinafter “American Rescue Act Stabilization Grants” or “Stabilization Grants”) to eligible Community Service Grant (CSG) recipients (Grantees) in April 2021. The grants were funded under Public Law 117-2, American Rescue Plan Act of 2021<sup>1</sup> as signed by the President on March 11, 2021 to prevent, prepare for, and respond to the coronavirus. It authorized CPB to distribute \$175 million in fiscal stabilization grants to public telecommunications entities<sup>2</sup> to maintain their programming and services.

**Q: What expenditures can be made with American Rescue Act Stabilization Grant funds?**

**A:** Congress directed that the funds be used to maintain programming and services and to preserve the ability of small and rural public media stations to respond to the pandemic’s impact by providing essential information, educational content, and services to the American people. These funds are provided to ensure that stations’ current services are sustained, despite the COVID-related decline in non-federal revenues. The grant funds are not intended to cover costs of new technology initiatives like a station’s transition to ATSC 3. However, if a station needs to make an urgent equipment replacement and does so with equipment that is ATSC 3-ready, it would be an appropriate use of these stabilization funds. Unauthorized grant expenditures shall be repaid by Grantee to CPB immediately upon CPB’s request.

**Q: Are these funds meant for station use only?**

**A:** Yes. The station’s licensee may not impound or otherwise withhold or restrict the station’s use of these funds. These funds may not be used to supplant funds or reduce budgets for other support already being provided to the station(s) by licensee. The funds cannot offset a licensee’s overhead or cover other expenses not directly related to the operation of the station, unless such overhead and expenses are enumerated in Section 4, Operational Requirements, in the 2021 television and radio CSG General Provisions and Eligibility Criteria.

**Q: Are there other restrictions on spending these funds?**

**A:** Grantee’s use of American Rescue Act Stabilization Grant funds is restricted regarding the entertainment of government officials and influencing legislation in the same manner that CSG funds are restricted under the 2021 CSG General Provisions and Eligibility Criteria (Section 11 television and Section 13 radio), and as required by the Communications Act. See Grantee’s American Rescue Plan Act Stabilization Grant award offer for more details.

---

<sup>1</sup> American Rescue Plan Legislative Text: Subtitle F — Corporation for Public Broadcasting SEC. 7601. SUPPORT FOR THE CORPORATION FOR PUBLIC BROADCASTING

<sup>2</sup> The term public telecommunications entities referred to in Section 397 of the Communications Act of 1934 (47 U.S.C. 397) “means any enterprise which—(A) is a public broadcast station or a noncommercial telecommunications entity; and (B) disseminates public telecommunications services to the public.” The term “noncommercial telecommunications entity” means any enterprise which—(A) is owned and operated by a State, a political or special purpose subdivision of a State, a public agency, or a nonprofit private foundation, corporation, or association; and (B) has been organized primarily for the purpose of disseminating audio or video noncommercial educational and cultural programs to the public by means other than a primary television or radio broadcast station, including, but not limited to, coaxial cable, optical fiber, broadcast translators, cassettes, discs, microwave, or laser transmission through the atmosphere.



- Q: Do these funds have a single audit requirement for federal funds?**  
A: No. There is no single audit requirement for these funds, and there is no CFDA number for them. Grantees should report them as funds received from CPB in their station's annual financial reports (see last question below) and in station's audited (or unaudited, as allowed) financial statements that are submitted to CPB. Grantees must follow GAAP in preparing those financial statements.
- Q: Is discrete accounting required for the American Rescue Act Stabilization Grant funds?**  
A: Yes. Use of these funds is subject to possible audit by CPB's Office of Inspector General and by the U.S. Government Accountability Office. Accordingly, Grantees must use discrete accounting to track revenues and expenses made from them. In specific, a grantee's accounting system must be able to generate a report showing the American Rescue Act Stabilization Grant revenues, and how those funds were expended, using unique accounting codes. Those accounts may not include other revenues or expenses. Joint licensees must be able to distinguish between radio and television American Rescue Act Stabilization Grant funds for proper reporting.
- Q: Are there record retention requirements associated with this grant?**  
A: Because use of the funds is subject to audit, Grantees must retain all records related to the use of these funds for no less than three years after expenditures are made. Grantees may need to retain some documentation for longer periods of time if necessary, to demonstrate its compliance with this agreement. CPB may disallow any expenditures Grantees cannot support with appropriate documentation.
- Q: What is the spending period for the American Rescue Act Stabilization Grant funds?**  
A: These funds have no expenditure period.
- Q: Are these Community Service Grant (CSG) funds?**  
A: No. The American Rescue Act Stabilization Grants are not CSG funds and no amendment to Grantees' CSG agreement is necessary. Nonetheless, a Grantee's CSG eligibility is important. When a Grantee is no longer an eligible participant in the CSG grant program, CPB may require it to return unused American Rescue Act Stabilization Grant funds.
- Q: Will Grantees receive a separate grant agreement to sign?**  
A: Yes. Each Grantee will receive an American Rescue Act Stabilization Grant award offer from CPB in DocuSign sent by email to its head of grantee, as listed in its Security Groups in CPB's Integrated Station Information System. Once a signatory, who is authorized to accept grants on behalf of the station, completes and returns the document, a single grant payment will be processed by CPB. Before signing the award offer, Grantees should ensure the EFT information in their Grantee Profile is updated.
- Q: When will my station receive a grant payment?**  
A: After a Grantee signs and returns its grant offer, it will receive notification from CPB when the EFT has been made. Grantees may add two email addresses in CPB's Integrated Station Information System to receive this notification. (See Grantee Profile/Organization Contact Details/E-mail address for Electronic Delivery of Remittance Advices.)
- Q: How should stations report these funds on their annual financial reports to CPB?**  
A: Annual Financial Report (AFR) filers must report the funds as revenue from CPB in the appropriate year's AFR on Schedule A – Source of Income, Line 2.B-CPB - *all other funds from CPB (e.g. DDF, RTL, Programming Grants)*. The funds must also be reported as expenses (if

spent) on Schedule E – Expenses & Investment in Capital, Lines 1-7 as “Other CPB funds” with the total automatically reported in Line 8.C.

Financial Summary Report (FSR) filers must report the funds as the revenue from CPB in the appropriate year’s FSR in Part 1 - Revenue and Support, Line 2- *Corporation for Public Broadcasting (CPB)*. They will also record the funds expended in Part 2 – Expenses, Lines 18-24 as “Other CPB Funds” with the total automatically reported in Line 25.C.

**Q: How was my grant award calculated?**

**A:** Of the \$175 million appropriated, \$100 million was calculated using the CARES Act formula that was developed in April 2020 to distribute stabilization grants that prioritized funding to small, rural and/or minority stations. The remaining \$75 million was calculated according to the respective television and radio CSG formulas, which also considers stations’ service to rural communities, as well as the number of transmitters needed to cover large geographic areas, and the amount raised in non-federal financial support (NFFS).

Of the \$100 million that was used to calculate the first part of the awards, \$50 million each was allocated to the television and radio pools. Of the remaining \$75 million, \$37.5 million each was allocated to the radio and television pools. The two calculations were then added together for a total grant award.

**Television Formula (CARES Act Formula + CSG Formula = Total Grant Award)**

CARES Act Formula

This portion was calculated using the formula CPB created to distribute Stabilization Grants funded with CARES Act funds in 2020. Under the American Rescue Plan Act, the available pool of funds (\$50 million) was 33 percent larger than the CARES Act television pool and, accordingly, each award increased by 33 percent.

- Each of 158 grantees received \$266,667, including grantees in CPB-designated multi-provider markets.
- Of these, 98 received an additional amount of \$80,272 for a total award of \$346,939. These grantees are small<sup>3</sup> and/or rural<sup>4</sup> and one is a Minority Audience Service Station.<sup>5</sup>

CSG Formula

Based on the formula CPB uses to calculate television CSG awards, the pool of \$37.5 million was distributed in proportion to the fiscal year (FY) 2021 television CSG awards that CPB made to each grantee. For example, if a station received an FY 2021 television CSG award that was 0.2 percent of the total television CSGs awarded, this portion was 0.2 percent of the available \$37.5 million.

**Radio Formula (CARES Act Formula + CSG Formula = Total Grant Award)**

CARES Act Formula

---

<sup>3</sup> Small television CSG grantees have a total direct revenue of ≤ \$5 million.

<sup>4</sup> Rural television CSG grantees have a coverage area population that is greater than 19 percent rural (U.S. Census national rural average is 19 percent.)

<sup>5</sup> A Minority Audience Service Station is licensed to a designated Historically Black College or University or to a Native American Tribe or related entity. See Part IV, definition V, 2021 [television](#) CSG General Provisions and Eligibility Criteria.

This portion was calculated using the formula CPB created to distribute Stabilization Grants funded with CARES Act funds in 2020. Under the American Rescue Plan Act, the available pool of funds (\$50 million) was 33 percent larger than the CARES Act radio pool and, accordingly, each award was increased by 33 percent.

- Each of 395 grantees received \$100,000.
- Of these, 207 small<sup>6</sup>, rural<sup>7</sup>, and/or minority<sup>8</sup> grantees received an additional amount of \$49,515 for a total award of \$149,515.

### CSG Formula

Based on the formula CPB uses to calculate radio CSG awards, the pool of \$37.5 million was distributed in proportion to the fiscal year (FY) 2021 radio CSG awards that CPB made to each grantee. For example, if a station received an FY 2021 radio CSG award that was 0.2 percent of the total radio CSGs awarded, this portion was 0.2 percent of the available \$37.5 million.

If you have other questions, please send them to [csg@cpb.org](mailto:csg@cpb.org).

---

<sup>6</sup> Small radio grantees have total direct revenue  $\leq$  \$500,000.

<sup>7</sup> Rural radio grantees have a coverage area population density of  $\leq$  40 people per square kilometer.

<sup>8</sup> Small, rural and minority grantees have total direct revenue  $\leq$  \$5 million. A Minority Audience Service Station provides significant service to a minority group through diverse programming and is licensed to a designated Historically Black College or University or to a Native American Tribe or related entity. See Part II, definition HH, 2021 [radio](#) CSG General Provisions and Eligibility Criteria.

**AMERICAN RESCUE PLAN ACT OF 2021 PROGRAM APPROPRIATION  
AND PERSONNEL AUTHORIZATION REQUEST  
SECTION 38 OF ACT 997 OF 2021**

Agency: University of Arkansas Community College at Hope-Texarkana Business Area Code: 0167

Program Title: Education Stabilization Fund (American Rescue Plan Act of 2021)

Granting Organization: U.S. Department of Education CFDA #: 84.425M

Effective Date of Authorization: Beginning: 7/1/2021 Ending: 6/30/2022

Purpose of Grant / Reason for addition or change (include attachments as necessary to provide thorough information):  
See attached cover letter with budget detail explanation.

**American Rescue Plan Act Program Funding**

Func. Area: CCOL Fund Code: 295ARPA Direct Funding: X  
Funds Center: D61 Internal Order/WBS Element: \_\_\_\_\_ Steering Comm. Approved: \_\_\_\_\_

	Program Funding Amount
Regular Salaries	
Extra Help	
Personal Services Matching	
Operating Expenses	
Conference & Travel Expenses	
Professional Fees	
Capital Outlay	
Data Processing	
Grants and Aid (CI: 04)	
Other: Lost Revenue Reimbursement	187,767
Other:	
<b>Total</b>	<b>\$ 187,767</b>

Anticipated Duration of Federal Funds: 8/5/2022

**DFA IGS State Technology Planning** **Date**  
Items requested for information technology must be in compliance with Technology Plans as submitted to DFA IGS State Technology Planning.

**Positions to be established: (list each position separately)**

Org Unit	Pers Area	Pers SubArea	Cost Center	Position Number	Comnt Item	Position Title	Class Code	Grade	Line Item Maximum *

State funds will not be used to replace federal funds when such funds expire, unless appropriated by the General Assembly and authorized by the Governor.

Approved by: [Signature] 9/7/2021 [Signature] 9-30-21  
Cabinet Secretary/Agency Director Date Office of Budget Date Office of Personnel Mgmt Date



September 7, 2021

Larry W. Walther, Secretary  
Department of Finance and Administration  
Office of the Secretary  
1509 West 7<sup>th</sup> Street, Suite 401  
Little Rock, AR 72203-3278

RE: American Rescue Plan Act Funding (Act 997 of 2021)

Dear Secretary Walther:

The University of Arkansas Community College at Hope-Texarkana (UACCHT) respectfully requests review of additional appropriation from the American Rescue Plan Act authorized in Section 38 of Act 997 of 2021 to reimburse for lost revenues in auxiliary services and tuition and fees.

UACCHT intends to use the funds for purposes outlined in the American Rescue Plan Act of 2021. This request is for the FY2022. The additional funding to be reimbursed from the Education Stabilization Fund Strengthening Institutions Programs (SIP) for UACCHT is **\$187,767**.

Thank you for your consideration of this request.

Sincerely,

Christine Holt, Chancellor

*your bridge to the future*

**AMERICAN RESCUE PLAN ACT OF 2021 PROGRAM APPROPRIATION  
AND PERSONNEL AUTHORIZATION REQUEST  
SECTION 38 OF ACT 997 OF 2021**

Agency: University of Arkansas Community College at Batesville Business Area Code: 0168

Program Title: American Rescue Plan Act of 2021

Granting Organization: US Department of Education CFDA #: 84.425E

Effective Date of Authorization: Beginning: 7/1/2021 Ending: 6/30/2022

Purpose of Grant / Reason for addition or change (include attachments as necessary to provide thorough information):

See attached cover letter with budget detail explanation.

**American Rescue Plan Act Program Funding**

Func. Area: UNIV Fund Code: 279ARPA Direct Funding: X  
Funds Center: D62 Internal Order/WBS Element: \_\_\_\_\_ Steering Comm. Approved: \_\_\_\_\_

	Program Funding Amount
Regular Salaries	
Extra Help	
Personal Services Matching	
Operating Expenses	
Conference & Travel Expenses	
Professional Fees	
Capital Outlay	302,000
Data Processing	
Grants and Aid (CI: 04)	
Other: Lost Revenue	246,090
Other: Payroll Reimbursement	596,560
<b>Total</b>	<b>\$ 1,144,650</b>

Anticipated Duration of Federal Funds: 05/14/2022

--	--

**DFA IGS State Technology Planning Date**  
Items requested for information technology must be in compliance with Technology Plans as submitted to DFA IGS State Technology Planning.

**Positions to be established: (list each position separately)**

\* unclassified positions only

Org Unit	Pers Area	Pers SubArea	Cost Center	Position Number	Cmnt Item	Position Title	Class Code	Grade	Line Item Maximum *

**State funds will not be used to replace federal funds when such funds expire, unless appropriated by the General Assembly and authorized by the Governor.**

Approved by:  9/2/2021 Date  
 9-30-21 Date  
 Office of Personnel Mgmt \_\_\_\_\_ Date

MPK 9/3/21



September 2, 2021

Larry W. Walther, Secretary  
Department of Finance and Administration  
Office of the Secretary  
1509 West 7<sup>th</sup> Street, Suite 401  
Little Rock, AR 72203-3278

RE: American Rescue Plan Act Funding – Act 997 of 2021

Dear Secretary Walther:

University of Arkansas Community College at Batesville (UACCB) has been provided a great opportunity to support our students during the COVID-19 period through the American Rescue Plan Act (ARPA), authorized in Section 38 of Act 997 of 2021. UACCB submits a supplemental appropriation request for the student and institutional portions of these funds for use in FY2022.

UACCB respectfully requests a review of appropriation from ARPA to (1) improve our IT infrastructure to provide more access throughout campus and (2) recover lost revenue within the provisions provided by the U.S. Department of Education.

UACCB has \$1,203,518.00 of funding available to be utilized through the Education Stabilization Fund (American Rescue Plan)

**Improvements to IT Infrastructure**

**\$302,000**

UACCB is fortunate to have a connection to Arkansas Research and Education Optical Network (ARE-ON), which provides high speed internet access to campus. Since installing the ARE-ON connection, great progress has been made in enhancing internet speeds. Currently, the fiber optic cable used on campus is 25 years old and only able to push minimal speeds. Servers and receivers in many of the buildings are also aging and need to be replaced. By upgrading this infrastructure, the pipeline will be enhanced, and the ARE-ON connection will be maximized.

By installing upgraded servers in a new server location, UACCB can ensure operational efficiency of our IT efforts. The current server room was not designed to house our modern technological needs and limits the extent broadband can be utilized on campus. With an aging IT infrastructure, frequent maintenance is needed to achieve a base level of connectivity. Upgrading the technology foundation of the campus will ensure students have the best broadband access available.

---

University of Arkansas Community College at Batesville

P.O. Box 3350 / Batesville, Arkansas 72503-3350 / 870-612-2000 / Fax 870-793-4988

UACCB is an equal opportunity/affirmative action institution.



**Lost Revenue**

**\$246,090**

The American Rescue Plan Act features provisions for institutions to recover lost revenue due to the pandemic from the institutional portion. UACCB is seeking to do this fiscal year 20XX. Being able to use these funds to supplement UACCB's operations will ensure our institution can weather this pandemic and maintain its continued high level of quality.

**Payroll Reimbursement**

**\$596,560**

During the COVID-19 situation, UACCB has been able to pivot and adapt to the various scenarios presented – all while keeping student success the priority. UACCB hopes to utilize ARPA funding to reimburse payroll for the period of time instruction and support services were fully online during Spring 2020.

Thank you for this opportunity to submit the UACCB supplemental appropriation request and spending plan. In addition, to the information provided in this letter and documents provided, I am available to answer any questions by phone, (870) 612-2001, or email, zach.perrine@uaccb.edu.

Sincerely,

A handwritten signature in blue ink, appearing to read 'Zach Perrine', with a long horizontal line extending to the right.

Zach Perrine  
Interim Chancellor

Enclosure



**AMERICAN RESCUE PLAN ACT OF 2021 PROGRAM APPROPRIATION  
AND PERSONNEL AUTHORIZATION REQUEST  
SECTION 38 OF ACT 997 OF 2021**

Agency: Arkansas State University Mid-South Business Area Code: 0177

Program Title: Education Stabilization Fund (American Rescue Plan Act)

Granting Organization: US Department of Education CFDA #: 84.425F

Effective Date of Authorization: Beginning: 4/25/2020 Ending: 1/15/2022

Purpose of Grant / Reason for addition or change (include attachments as necessary to provide thorough information):

The Higher Education Emergency Relief Fund III (HEERF III) is authorized by the American Rescue Plan (ARP), Public Law 117-2 to provide emergency support to students and to support institutions of higher education to serve students and ensure learning continues during the COVID-19 pandemic.

**American Rescue Plan Act Program Funding**

Func. Area: CCOL Fund Code: 281ARPA Direct Funding: X  
Funds Center: D65 Internal Order/WBS Element: \_\_\_\_\_ Steering Comm. Approved: \_\_\_\_\_

	Program Funding Amount
Regular Salaries	
Extra Help	
Personal Services Matching	
Operating Expenses	
Conference & Travel Expenses	
Professional Fees	
Capital Outlay	
Data Processing	
Grants and Aid (CI: 04)	
Other: Reimbursement of personal services related to contract tracing	27,100
<b>Total</b>	<b>\$ 27,100</b>

Anticipated Duration of Federal Funds: 01/15/22

<b>DFA IGS State Technology Planning</b>	<b>Date</b>
Items requested for information technology must be in compliance with Technology Plans as submitted to DFA IGS State Technology Planning.	

**Positions to be established: (list each position separately)**

\* unclassified positions only

Org Unit	Pers Area	Pers SubArea	Cost Center	Position Number	Comnt Item	Position Title	Class Code	Grade	Line Item Maximum *
NA									

**State funds will not be used to replace federal funds when such funds expire, unless appropriated by the General Assembly and authorized by the Governor.**

Approved by: Charles Webb <sup>9/8/21</sup> Date: \_\_\_\_\_  
Cabinet Secretary/Agency Director Office of Budget Date: 9-30-21



OFFICE OF THE PRESIDENT  
501 Woodlane, Suite 600 | Little Rock, AR 72201 | o: 501-660-1000 | f: 501-660-1010

September 7, 2021

Larry W. Walther, Secretary  
Department of Finance and Administration  
Office of the Secretary  
1509 West 7th Street, Suite 401  
Little Rock, AR 72203-3278

RE: American Rescue Plan Act Funding – (Act 997 of 2021)

Dear Secretary Walther:

The Arkansas State University System respectfully requests review of appropriation from the American Rescue Plan Act authorized in Section 39 of Act 997 of 2021 to provide reimbursement for contact tracing personal services-related expenses from the emergency relief under the provisions provided by the US Department of Education.

The total funding to be disbursed or reimbursed from the Education Stabilization Fund (American Rescue Plan) for Arkansas State University (ASU) Mid-South is \$27,100. Please find attached the forms and documentation.

Thank you for your expedited consideration of this request.

Sincerely,

A handwritten signature in cursive script that reads "Charles L. Welch". The signature is written in black ink and includes a small flourish at the end.

Charles L. Welch  
President

**AMERICAN RESCUE PLAN ACT OF 2021 PROGRAM APPROPRIATION  
AND PERSONNEL AUTHORIZATION REQUEST  
SECTION 38 OF ACT 997 OF 2021**

Agency: Department of Health Business Area Code: 0645

Program Title: Epidemiology and Laboratory Capacity for Prevention and Control of Emerging Infectious Diseases

Granting Organization: Centers for Disease Control CFDA #: 93.323

Effective Date of Authorization: Beginning: 7/1/2021 Ending: 6/30/2022

Purpose of Grant / Reason for addition or change (include attachments as necessary to provide thorough information):  
The ELC (Epidemiology & Laboratory Capacity) grant has issued supplemental funding for Advanced Molecular Detection (AMD) to build infrastructure by establishing sequencing and analytic capacity building in microbial genomics and bioinformatics as well as to further the development of AMD capacity in health departments. In order for pathogen genomic sequence data to most effectively impact public health, the data will need to be integrated with their corresponding epidemiologic or clinical data, analyzed together, and interpreted. While the AMD program has always supported activities that further this objective, with the funding described here, the program intends to accelerate progress by modernizing infectious disease laboratories, adding 2 additional staff, training, and expanding the applications of these new technologies to ensure the strongest protection against infectious disease threats.

**American Rescue Plan Act Program Funding**

Func. Area: HHS Fund Code: \_\_\_\_\_ Direct Funding: X  
Funds Center: \_\_\_\_\_ Internal Order/WBS Element: \_\_\_\_\_ Steering Comm. Approved: \_\_\_\_\_

	Program Funding Amount
Regular Salaries	
Extra Help	
Personal Services Matching	
Operating Expenses	
Conference & Travel Expenses	
Professional Fees	
Capital Outlay	
Data Processing	
Grants and Aid (CI: 04)	
Other:	
Other:	2,700,394
<b>Total</b>	<b>\$ 2,700,394</b>

Anticipated Duration of Federal Funds: 07/31/2024

**DFA IGS State Technology Planning Date**  
Items requested for information technology must be in compliance with Technology Plans as submitted to DFA IGS State Technology Planning.

**Positions to be established: (list each position separately)**

Org Unit	Pers Area	Pers SubArea	Cost Center	Position Number	Cmnt Item	Position Title	Class Code	Grade	Line Item Maximum *
	HL34		610485		501:00:00	ADH Laboratory Manager	B047C	GS09	
	HL34		610485		501:00:00	Senior Microbiologist	B127C	GS08	

State funds will not be used to replace federal funds when such funds expire, unless appropriated by the General Assembly and authorized by the Governor.

Approved by:  
John R. Rones 5/19/21 John P. ... 9-30-21 Kay ...  
 Capient Secretary/Agency Director Date Office of Budget Date Office of Personnel Mgmt Date  
 ca 9/2/2021

## Arkansas Department of Health

### American Rescue Plan Act Appropriation Request – Overview \$2,700,394.00 Award from the CDC-ELC AMD SEQUENCING & Analytics of SARS-CoV-2 “To establish AMD Sequencing in State Laboratories” Populations and Rural Communities

---

#### **Background:**

The rapid emergence and global spread of SARS-CoV-2, the pathogen that causes COVID-19, highlights the threat posed by novel pathogens. One of the most versatile tools in understanding and guiding the response to these emergences is genomic sequencing. While the ability to decode microbial genomes has existed since the 1970s, the advent of high throughput DNA sequencing, also called next-generation sequencing, has greatly expanded the use of these technologies in public health. The Centers for Disease Control and Prevention (CDC) awarded the Arkansas department of health, \$2,700,394.00 thru the ELC Enhancing Detection award using funds from the American Rescue Plan Act of 2021.

#### **Purpose:**

The Advanced Molecular Detection (AMD) program is currently being funded to build the infrastructure to protect America's health and building capacity in national, state and local public health laboratories. Modernizing infectious disease laboratories, training staff, and expanding the application of these new technologies will ensure that Americans have the strongest protection against infectious disease threats. The purpose of the current supplemental funding is to establish sequencing and analytic capacity building in microbial genomics and bioinformatics as well as to further the development of AMD capacity in health departments. In order for pathogen genomic sequence data to most effectively impact public health, the data will need to be integrated with their corresponding epidemiologic or clinical data, analyzed together, and interpreted. While the AMD program has always supported activities that further this objective, with the funding described here, the program intends to accelerate progress by enabling state and local health departments to make the long-term investments in workforce and/or infrastructure that are necessary to achieve it.

#### **Goals:**

The following activities will be accomplished within the ADH-Public Health Laboratory. Hiring two additional staff to establish a new Advanced Molecular Detection (AMD) Laboratory Section for sequencing to effectively increase sequencing capabilities for SARS-CoV-2 and other emerging infections and conditions of public health significance. Additionally, Next Generation Sequencing equipment will be purchased along with reagents to sequence SARS-CoV-2 and other emerging infection diseases.

Establishing a dedicated AMD Laboratory Section will enhance and expand laboratory informatics to improve visibility of laboratory Bioinformatics to enable faster data exchange and reporting thru electronic Laboratory reporting (ELR). This will allow for established Laboratory Information Management Systems (LIMS) to increase data flow thru the LIMS maintenance and configure new modules and enhancements.

#### **Sustainability:**

The end goal of establishing a dedicated AMD Laboratory Unit will build up an already close collaborative effort with the ADH-Department of Epidemiology. This in-house laboratory generated ADM sequencing data will integrate genomic and epidemiologic data so it can more quickly contribute to responses to the pandemic. The ELC and Laboratory Capacity network will continue to build the Sequencing and Informatics activities to identify and report on other emerging infectious disease threats to ensure the strongest protection for the future of Arkansas.

**AMERICAN RESCUE PLAN ACT OF 2021 PROGRAM APPROPRIATION  
AND PERSONNEL AUTHORIZATION REQUEST  
SECTION 38 OF ACT 997 OF 2021**

Agency: Arkansas State University Three Rivers Business Area Code: 0685  
 Program Title: Education Stabilization Fund (American Rescue Plan Act)  
 Granting Organization: US Department of Education CFDA #: 84.425E & 84.425F  
 Effective Date of Authorization: Beginning: 4/25/2020 Ending: 3/15/2022  
 Purpose of Grant / Reason for addition or change (include attachments as necessary to provide thorough information):

The Higher Education Emergency Relief Fund III (HEERF III) is authorized by the American Rescue Plan (ARP), Public Law 117-2 to provide emergency support to students and to support institutions of higher education to serve students and ensure learning continues during the COVID-19 pandemic.

**American Rescue Plan Act Program Funding**

Func. Area: TCOL Fund Code: 285ARPA, CC: 413650 Direct Funding: X  
 Funds Center: D75 Internal Order/WBS Element: \_\_\_\_\_ Steering Comm. Approved: \_\_\_\_\_

	Program Funding Amount
Regular Salaries	
Extra Help	
Personal Services Matching	
Operating Expenses	
Conference & Travel Expenses	
Professional Fees	
Capital Outlay	
Data Processing	
Grants and Aid (CI: 04)	497,287
Other: Reimbursement of equipment	70,000
Other: Reimbursement of PPE	10,000
Other: Reimbursement of software costs to enhance work from home functions	50,000
Other: Reimbursement to replace several A/C units	50,000
Other: Reimbursement of personal services costs to accommodate social distancing in class rooms	30,000
Other: Reimbursement of personal services and software costs to enhance student distance learning	120,000
<b>Total</b>	<b>\$ 827,287</b>



Anticipated Duration of Federal Funds: 3/15/2022

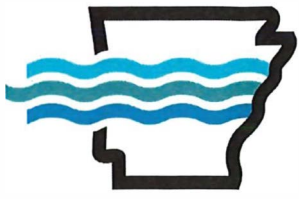
<b>DFA IGS State Technology Planning</b>	<b>Date</b>
Items requested for information technology must be in compliance with Technology Plans as submitted to DFA IGS State Technology Planning.	

**Positions to be established: (list each position separately)**

Org Unit	Pers Area	Pers SubArea	Cost Center	Position Number	Comnt Item	Position Title	Class Code	Grade	Line Item Maximum *
NA									

State funds will not be used to replace federal funds when such funds expire, unless appropriated by the General Assembly and authorized by the Governor.

Approved by:  9/3/21  9-30-21  
 Cabinet Secretary/Agency Director Date Office of Budget Date  
 CH 9/3/21



**ARKANSAS STATE  
UNIVERSITY  
THREE RIVERS**

One College Circle  
Malvern, Arkansas 72104  
501.337.5000 or 800.337.0266  
ASUTR.edu

September 1, 2021

Larry W. Walther, Secretary  
Department of Finance and Administration  
Office of the Secretary  
1509 West 7th Street, Suite 401  
Little Rock, AR 72203-3278

RE: American Rescue Plan Act Funding –(Act 997 of 2021)

Dear Secretary Walther:

The Arkansas State University Three Rivers respectfully requests review of appropriation from the American Rescue Plan Act authorized in Section 38 of Act 997 of 2021 to provide:

(1) direct grants to students for emergency relief under the provisions provided by the US Department of Education, (2) reimbursement of equipment, (3) reimbursement of PPE, (4) reimbursement of software costs to enhance work from home functions, (5) reimbursement to replace several A/C units, (6) reimbursement of personal services costs to accommodate social distancing in classrooms, and (7) reimbursement of personal services and software costs to enhance student distance learning.

This request is for FY2022. The total funding to be disbursed or reimbursed from the Education Stabilization Fund (American Rescue Plan) for Arkansas State University Three Rivers is \$827,287. Please find attached the forms and documentation.

Thank you for your expedited consideration of this request.

Sincerely,

Steve Rook, Ed.D.  
Chancellor



## Arkansas State University - Three Rivers

### American Rescue Plan Act - Student Relief Portion Plans

Institution	Total Student Grants
ASU Three Rivers	\$1,245,323

*Purpose: To distribute relief grants to full-time and part-time students prioritizing students with exceptional need.*

**ASU Three Rivers**

Estimated Distribution Schedule	Amount Estimated to Distribute	Amount Remaining to Distribute
Oct-21	\$497,287	\$413,374

**Priority Need\***

Hours	Check Amount	# of Students	Payment Totals
Full-time	\$ 950	297	\$ 282,467
Part-time	\$ 710	149	\$ 105,553
			446 \$ 388,020

**Standard Need**

Hours	Check Amount	# of Students	Payment Totals
Full-time	\$ 800	99	\$ 79,467
Part-time	\$ 600	50	\$ 29,800
			149 \$ 109,267

<b>Total</b>	<b>595 \$ 497,287</b>
--------------	-----------------------



## Arkansas State University Three Rivers

### American Rescue Plan Act - Institutional

Institution	Total Institutional Grants
ASU Three Rivers	\$1,197,342

Request Purpose	Total Requested
Reimbursement of equipment	\$70,000
Reimbursement of PPE	\$10,000
Reimbursement of software costs to enhance work from home functions	\$50,000
Reimbursement to replace several A/C units	\$50,000
Reimbursement of personal services costs to accomodate social distancing in class rooms	\$30,000
Reimbursement of personal services and software costs to enhance student distance learning	\$120,000
<b>TOTAL</b>	<b>\$330,000</b>

<b>TOTAL REMAINING</b>	<b>\$667,342</b>
------------------------	------------------



**AMERICAN RESCUE PLAN ACT OF 2021 PROGRAM APPROPRIATION  
AND PERSONNEL AUTHORIZATION REQUEST  
SECTION 38 OF ACT 997 OF 2021**

Agency: DHS Division of Child Care and Early Childhood Education Business Area Code: 0710

Program Title: Child Care Development Fund (CCDF)

Granting Organization: Administration for Children and Families CFDA #: 93.575

Effective Date of Authorization: Beginning: 7/1/2021 Ending: 6/30/2022

Purpose of Grant / Reason for addition or change (include attachments as necessary to provide thorough information):  
The purpose of the ARPA Child Care Supplemental funds is to provide child care for essential worker families without regard to income, expand access to high quality child care/early childhood education by providing opportunities for building a high quality workforce. The division previously requested \$174 million which was allocated to pay for child care for essential worker families. We are requesting the remaining funds to hire additional staff, data systems to support access to child care portal for families to search for child care in real time, and the administrative costs associated with implementing these funds.

**American Rescue Plan Act Program Funding**

Func. Area: HHS Fund Code: FRP7132 Direct Funding: X  
Funds Center: \_\_\_\_\_ Internal Order/WBS Element: \_\_\_\_\_ Steering Comm. Approved: \_\_\_\_\_

	Program Funding Amount
Regular Salaries	910,056
Extra Help	100,000
Personal Services Matching	384,150
Operating Expenses	3,115,420
Conference & Travel Expenses	
Professional Fees	
Capital Outlay	
Data Processing	
Grants and Aid (CI: 04)	
Other:	
Other:	
<b>Total</b>	<b>\$ 4,509,626</b>

Anticipated Duration of Federal Funds: 09/30/2024

**DFA IGS State Technology Planning** **Date**  
Items requested for information technology must be in compliance with Technology Plans as submitted to DFA IGS State Technology Planning.

**Positions to be established: (list each position separately)**

Org Unit	Pers Area	Pers SubArea	Cost Center	Position Number	Cmnt Item	Position Title	Class Code	Grade	Line Item Maximum *
0710	HS52	A0L1			501:00:00	DHS Program Administrator	G099C	GS09	72,821
0710	HS52	A0L1			501:00:00	DHS Program Manager	G152C	GS08	65,264
0710	HS52	A0L1			501:00:00	DHS Program Manager	G152C	GS08	65,264

State funds will not be used to replace federal funds when such funds expire, unless appropriated by the General Assembly and authorized by the Governor.

Approved by:  
[Signature] 9/2/21 9-2-21 [Signature] 9-30-21 9-30-21 [Signature] 9/14/21  
 Cabinet Secretary/Agency Director Date Office of Budget Date Office of Personnel Mgmt Date

# American Rescue Plan Proposal

## Division of Child Care and Early Childhood Education

### STABILIZATION - 286M\*

90% of funding must go to existing providers and up to 10% can be used for new programs.

#### **Subgrant Payments - \$258M\*\***

Provider must have operated a center on or before March 11, 2021 to qualify.

##### Quality Improvement

Funding to assist providers in obtaining a higher level of quality. Eligible funding amounts are determined based on a cost analysis of the amount necessary to move up one level in quality.

##### Operational

Funding to assist providers in paying operational expenses associated with remaining open or sustaining a business during the public health emergency. This funding is also available for stabilizing operation as providers continue to serve children.

##### Child Care Expansion for Existing Business

Funding to address gaps in access to high quality childcare across the State of Arkansas. Existing providers will be awarded funding based on the population they are seeking to serve and capacity they will create. Funding can be used to cover costs tied to operational start-up and expansion. Funding is also available to encourage providers to partner with local businesses to expand or open facilities that will provide additional access to the essential workforce in Arkansas.

#### **Supply Building - \$25M**

Funding contracted out through public/private partnerships to create new child care centers across Arkansas for the essential workforce including care for non-traditional hours.

### SUPPLEMENTAL DISCRETIONARY - 178M

#### **Essential Worker Child Care - \$130M**

Funding to continue assisting Arkansas's Essential Workforce in paying for child care services. Essential Worker Child Care will be extended through September 2024 or until funding has been exhausted.

#### **TEACH Scholarship Program - \$40M**

Funding to cover scholarships for early childhood teachers to obtain a degree or credential in the field. Funding will be disseminated out by the Arkansas Early Childhood Association using the national TEACH model.

### ADMINISTRATIVE - \$11M\*\*\*

\*\*\*Administrative budget is \$3M from Stabilization and \$8M from Supplemental Discretionary Funds

#### **Personnel - \$4M**

Funding to cover personnel to assist with the implementation of Stabilization and Supplemental Discretionary Funds.

#### **IT Upgrades and Other - \$7M**

Funding to cover IT upgrades for internally and externally facing systems.



**AMERICAN RESCUE PLAN ACT OF 2021 PROGRAM APPROPRIATION  
AND PERSONNEL AUTHORIZATION REQUEST  
SECTION 38 OF ACT 997 OF 2021**

Agency: Arkansas Department of Human Services Business Area Code: 0710

Program Title: Home and Community Based Services American Rescue Plan

Granting Organization: Center for Medicaid and CHIP Services CFDA #: \_\_\_\_\_

Effective Date of Authorization: Beginning: 7/1/2021 Ending: 6/30/2022

Purpose of Grant / Reason for addition or change (include attachments as necessary to provide thorough information):  
 ARPA Section 9817 provides one-time, increase federal medical assistance percentage (FMAP) for home and community-based services for clients receiving waiver or in-home services who are aging/elderly, physically disabled, developmentally disabled, or who have high behavioral health needs. FMAP funding will provide workforce stabilization, quality improvement, technology, education, enhancement of HCBS services, and administration to strengthen HCBS. Arkansas plans to utilize \$150,350,000 to provide these services over a 3 year period. The FMAP funding available for reimbursement of these expenditures will provide federal funds in the amount of \$125,113,425 and the state share of these expenditures is \$25,236,575; however, Arkansas is receiving conditional approval to utilize ARPA funding that will allow a temporary 10 percentage point increase in the federal medical assistance percentage (FMAP) rate for certain Medicaid expenditures for HCBS. The increase in the FMAP rate utilizing ARPA funding will be \$11,235,950. We are requesting federal appropriation to utilize the ARPA funding received from the increase in the FMAP rate.

**American Rescue Plan Act Program Funding**

Func. Area: HHS Fund Code: \_\_\_\_\_ Direct Funding: XXX  
 Funds Center: \_\_\_\_\_ Internal Order/WBS Element: \_\_\_\_\_ Steering Comm. Approved: \_\_\_\_\_

	Program Funding Amount
Regular Salaries	
Extra Help	
Personal Services Matching	
Operating Expenses	
Conference & Travel Expenses	
Professional Fees	
Capital Outlay	
Data Processing	
Grants and Aid (CI: 04)	11,235,950
Other:	
Other:	
<b>Total</b>	<b>\$ 11,235,950</b>

Anticipated Duration of Federal Funds: 03/31/2024

DFA IGS State Technology Planning Date  
 Items requested for information technology must be in compliance with Technology Plans as submitted to DFA IGS State Technology Planning.

**Positions to be established: (list each position separately)**

Org Unit	Pers Area	Pers SubArea	Cost Center	Position Number	Comit Item	Position Title	Class Code	Grade	Line Item Maximum *

State funds will not be used to replace federal funds when such funds expire, unless appropriated by the General Assembly and authorized by the Governor.

Approved by: [Signature] 10/1/21 [Signature] 10-6-21  
 Cabinet Secretary/Agency Director Date Office of Budget Date Office of Personnel Mgmt Date  
[Signature] 10/1/21 Camren Brenton 10/5/2021



# American Rescue Plan Act

ARPA Section 9817 provides one-time, increased federal medical assistance percentage (FMAP) for home and community-based services for clients receiving waiver or in-home services who are aging/elderly, physically disabled, developmentally disabled, or who have high behavioral health needs (approximately 108,000 clients in Arkansas).

## Overview of DHS plan for Section 9817 Funds

The DHS Divisions of Medical Services, Developmental Disabilities Services, Provider Services and Quality and Assurance; and Adult, Aging and Behavioral Health Services worked with home and community-based services (HCBS) providers who serve all the populations mentioned above to outline areas of greatest need and areas where an infusion of federal funds would have the greatest positive impact on clients. We also talked with clients across these different populations to identify their greatest needs and get their feedback about this plan. As a result, DHS will focus the additional funding in three areas with some additional administrative support to ensure the program runs smoothly. Providers will submit proposals areas outlining how they would like to spend the funds in these areas.

### AREAS OF FOCUS

HCBS Workforce Stabilization and Quality Improvement: *Approximately \$90 million*

Funding to assist providers with retention and recruitment efforts, staff training and education programs; funding for a comprehensive, statewide HCBS strategy to plan for workforce and quality needs for long-term improvements.

Planning for the Future: Technology and Education: *Approximately \$21 million*

Funding for technology-assisted support for providers and clients; funding for targeted educational campaigns to expand underutilized HCBS services.

Expanding and Enhancing Placement Options and Transition Planning: *Approximately \$36 million*

Funding focused on appropriate HCBS placements, especially those with complex needs; includes environmental modifications, household items, purchases, leases, construction, and renovation of structures; renovations classrooms, classroom start-up, or partnerships with businesses to support essential workers by expanding or opening an on-site campus care.

Administrative Support: *Approximately \$3 million*

Funding to effectively administer, distribute, and audit the funds and programs outlined above.

### **ARKANSAS ARPA Section 9817 Medicaid HCBS Expenditures and Financing Plan**

*Plan Timeframe: October 2021 - March 24*

Arkansas ARPA Section 9817 Medicaid HCBS Expenditures	\$150,350,000
Federal Medicaid Financing*	
Regular FMAP and Administrative Match	\$106,928,925
Enhanced FMAP: Public Health Emergency	\$6,959,500
Enhanced FMAP: ARPA Section 9817	\$11,225,000
Total Federal Medicaid Funds	\$125,113,425
State Share	\$25,236,575
Total Federal and State Funding	\$150,350,000

*\*Note: ARPA enhanced Medicaid FMAP is earned October 2021 - March 2022. Spending in subsequent periods earns regular Medicaid FMAP and administrative match.*

**ARKANSAS Section 9817 HCBS ARP Act Enhanced FMAP Plan**

Initiatives	Timeframe for Expenditure	Phase 1:	Phase 2:	Total	
		Arkansas State and Federal Share and Funds Attributable to the HCBS FMAP Increase	Arkansas Reinvestment in Additional Medicaid-Covered HCBS	Arkansas Section 9817 HCBS ARPA Plan	
		Q3 FFY21 - Q2 FFY22	Q3 FFY 2022-Q2 FFY 2024	Q3 FFY21 - Q2 FFY24	
1	HCBS Workforce Stabilization and Quality Improvement	87,000,000	3,000,000	90,000,000	
2	Planning for the Future: Technology and Education	16,300,000	4,700,000	21,000,000	
3	Expanding and Enhancing HCBS Services	8,950,000	27,400,000	36,350,000	
4	Administration to Strengthen HCBS (No enhanced match)	500,000	2,500,000	3,000,000	
<b>Total Arkansas HCBS ARPA Expenditures</b>		<b>112,750,000</b>	<b>37,600,000</b>	<b>150,350,000</b>	
<b>Financing*</b>					
Total Federal		98,749,375	26,364,050	125,113,425	
Total State		14,000,625	11,235,950	25,236,575	
<b>Total Arkansas HCBS ARPA Funding</b>		<b>112,750,000</b>	<b>37,600,000</b>	<b>150,350,000</b>	
<b>*COMPOSITION OF FINANCING Services (Earning FMAP)</b>					
Federal			<b>Phase 1</b>	<b>Phase 2</b>	<b>Total</b>
Regular FMAP	71.55%	80,314,875.00	25,114,050	105,428,925	
FFCRA Enhanced FMAP	6.20%	6,959,500.00	0	6,959,500	
HCBS ARPA FMAP	10%	11,225,000	0	11,225,000	
State					
HCBS ARPA Special	12.25%	13,750,625.00	0	13,750,625	
Regular	28.45%	0	9,985,950	9,985,950	
<i>ARPA enhanced match earned by March 2022 and re-investments of an equal or greater amount of state funds by March 2024.</i>					
<b>Administration (No enhanced match)</b>					
Federal	50.00%	250,000	1,250,000	1,500,000	
State	50.00%	250,000	1,250,000	1,500,000	
<b>Total All Sources</b>		<b>112,750,000</b>	<b>37,600,000</b>	<b>150,350,000</b>	

Notes in reference to CMS SMD# 21-003 Letter

- Phase 1 of the Arkansas plan earns enhanced ARAP HCBS match and illustrates data elements in sample "Table 1: State and Federal Share and the Funds Attributable to the HCBS FMAP Increase"
- Phase 2 of the Arkansas plan reinvests state funds and illustrates the requirements outlined in sample "Table 2: Arkansas Reinvestment in Additional Medicaid-Covered HCBS."
- Administrative expense is not claimed at an enhanced matching rate in the Arkansas plan. Per the memorandum, "a state may not claim the increased FMAP for any HCBS expenditures other than those listed in Appendix B." Administration is included in the plan to strengthen HCBS program quality and is included at regular administrative matching rates. Per the memorandum, for administrative expenses not eligible for enhanced FMAP, guidance states that "states could consider using the state funds equivalent to the amount of federal funds attributable to the increased FMAP to support these programs as part of their efforts to implement or supplement the implementation of one or more activities to enhance, expand, or strengthen HCBS under the Medicaid program." The Arkansas plan has selected this option.

**AMERICAN RESCUE PLAN ACT OF 2021 PROGRAM APPROPRIATION  
AND PERSONNEL AUTHORIZATION REQUEST  
SECTION 38 OF ACT 997 OF 2021**

Agency: Arkansas State University Jonesboro Business Area Code: 0125

Program Title: Education Stabilization Fund (American Rescue Plan)

Granting Organization: US Department of Education CFDA #: 84.425F

Effective Date of Authorization: Beginning: 4/25/2020 Ending: 1/16/2022

Purpose of Grant / Reason for addition or change (include attachments as necessary to provide thorough information):

The Higher Education Emergency Relief Fund III (HEERF III) is authorized by the American Rescue Plan (ARP), Public Law 117-2 to provide emergency support to students and to support institutions of higher education to serve students and ensure learning continues during the COVID-19 pandemic.

**American Rescue Plan Act Program Funding**

Func. Area: UNIV Fund Code: 205ARPA Direct Funding: X  
Funds Center: D81 Internal Order/WBS Element: \_\_\_\_\_ Steering Comm. Approved: \_\_\_\_\_

	Program Funding Amount
Regular Salaries	
Extra Help	
Personal Services Matching	
Operating Expenses	
Conference & Travel Expenses	
Professional Fees	
Capital Outlay	
Data Processing	
Grants and Aid (CI: 04)	
Capital Construction	(4,100,240)
Other: Reimburse for lost revenue	4,200,000
<b>Total</b>	<b>\$ 99,760</b>

Anticipated Duration of Federal Funds: 1/16/2022

--	--

**DFA IGS State Technology Planning Date**  
Items requested for information technology must be in compliance with Technology Plans as submitted to DFA IGS State Technology Planning.

\* unclassified positions only

**Positions to be established: (list each position separately)**

Org Unit	Pers Area	Pers SubArea	Cost Center	Position Number	Comnt Item	Position Title	Class Code	Grade	Line Item Maximum *

**State funds will not be used to replace federal funds when such funds expire, unless appropriated by the General Assembly and authorized by the Governor.**

Approved by: *Charles Neal* <sup>YB</sup>  
Cabinet Secretary/Agency Director Date 9/30/2021

*John* 10.6.21  
Office of Budget Date

MPK 10/5/21



OFFICE OF THE PRESIDENT  
501 Woodlane, Suite 600 | Little Rock, AR 72201 | o: 501-660-1000 | f: 501-660-1010

October 1, 2021

Larry W. Walther, Secretary  
Department of Finance and Administration  
Office of the Secretary  
1509 West 7th Street, Suite 401  
Little Rock, AR 72203-3278

RE: American Rescue Plan Act Funding –(Act 997 of 2021)

Dear Secretary Walther:

The Arkansas State University System respectfully requests review of appropriation from the American Rescue Plan Act authorized in Section 39 of Act 997 of 2021 for the ASU Jonesboro campus. Previously, the PEER Committee had approved \$4.1 million in HVAC improvements, but the campus would like to unallocate the appropriation for those purposes due to the backlog of supplies needed for the projects and the inability to complete the projects in a timely manner. Arkansas State is requesting appropriation for \$4.2 million to reimburse for lost revenues. The net additional appropriation requested is \$99,760. Please find attached the form.

Please let me know if you have questions.

Sincerely,

A handwritten signature in blue ink that reads "Charles Welch" with a stylized initial "CB" to the right.

Charles L. Welch  
President

**AMERICAN RESCUE PLAN ACT OF 2021 PROGRAM APPROPRIATION  
AND PERSONNEL AUTHORIZATION REQUEST  
SECTION 38 OF ACT 997 OF 2021**

Agency: Henderson State University Business Area Code: 0100

Program Title: Education Stabilization Fund (American Rescue Plan)

Granting Organization: US Department of Education CFDA #: 84.425E  
84.425F

Effective Date of Authorization: Beginning: 4/28/2020 Ending: 1/16/2022

Purpose of Grant / Reason for addition or change (include attachments as necessary to provide thorough information):

The Higher Education Emergency Relief Fund III (HEERF III) is authorized by the American Rescue Plan (ARP), Public Law 117-2 to provide emergency support to students and to support institutions of higher education to serve students and ensure learning continues during the COVID-19 pandemic.

**American Rescue Plan Act Program Funding**

Func. Area: UNIV Fund Code: 209ARPA Direct Funding: X  
Funds Center: D49 Internal Order/WBS Element: \_\_\_\_\_ Steering Comm. Approved: \_\_\_\_\_

	Program Funding Amount
Regular Salaries	
Extra Help	
Personal Services Matching	
Operating Expenses	
Conference & Travel Expenses	
Professional Fees	
Capital Outlay	
Data Processing	
Grants and Aid (CI: 04)	3,183,000
Other: Lost Revenue Reimbursement	3,183,000
Other:	
Other:	
<b>Total</b>	<b>\$ 6,366,000</b>

Anticipated Duration of Federal Funds: 1/16/2022

--	--

**DFA IGS State Technology Planning Date**  
Items requested for information technology must be in compliance with Technology Plans as submitted to DFA IGS State Technology Planning.

**Positions to be established: (list each position separately)**

\* unclassified positions only

Org Unit	Pers Area	Pers SubArea	Cost Center	Position Number	Comnt Item	Position Title	Class Code	Grade	Line Item Maximum *
NA									

State funds will not be used to replace federal funds when such funds expire, unless appropriated by the General Assembly and authorized by the Governor.

Approved by: [Signature] 10/6/21 Date  
Cabinet Secretary/Agency Director Office of Budget Date





OFFICE OF THE PRESIDENT  
501 Woodlane, Suite 600 | Little Rock, AR 72201 | o: 501-660-1000 | f: 501-660-1010

October 1, 2021

Larry W. Walther, Secretary  
Department of Finance and Administration  
Office of the Secretary  
1509 West 7th Street, Suite 401  
Little Rock, AR 72203-3278

RE: American Rescue Plan Act Funding –(Act 997 of 2021)

Dear Secretary Walther:

The Arkansas State University System respectfully requests review of appropriation from the American Rescue Plan Act authorized in Section 38 of Act 997 of 2021 to (1) provide direct grants to students for emergency relief under the provisions provided by the US Department of Education and (2) reimburse for lost revenues.

The total funding to be disbursed or reimbursed from the Education Stabilization Fund (American Rescue Plan) for Henderson State University is \$6,366,000. Please find attached the forms and documentation.

Thank you for your consideration of this request.

Sincerely,

A handwritten signature in blue ink that reads 'Charles L. Welch'. To the right of the signature is a blue handwritten mark that looks like '93'.

Charles L. Welch  
President



## Arkansas State University System

### American Rescue Plan Act - Student Relief Portion Plans

Institution	Total Student Grants
HSU	\$5,225,675

*Purpose: To distribute relief grants to full-time and part-time students prioritizing students with exceptional need.*

#### HSU

Estimated Distribution Schedule	Amount Estimated to Distribute	Amount Remaining to Distribute
August 2021	\$216,000	\$5,009,675
November 2021	\$3,183,000	\$1,826,675

Category	Award Amount (Up to)
Pell 12+ hours - Undergraduate	\$ 1,500.00
Pell 6 - 11 hours - Undergraduate	\$ 1,000.00
Pell 1 - 5 hours - Undergraduate	\$ 500.00
Non-Pell 12+ hours - Undergraduate	\$ 1,000.00
Non-Pell 6 - 11 hours - Undergraduate	\$ 500.00
Non-Pell 1 - 5 hours - Undergraduate	\$ 250.00
International 12+ hours - Undergraduate	\$ 500.00
International 6 - 11 hours - Undergraduate	\$ 250.00
International 1 - 5 hours - Undergraduate	\$ 125.00
Graduate 6+ hours	\$ 1,000.00
Graduate 1 - 5 hours	\$ 500.00
International Graduate 6+ hours	\$ 250.00
International Graduate 1 - 5 hours	\$ 125.00

**AMERICAN RESCUE PLAN ACT OF 2021 PROGRAM APPROPRIATION  
AND PERSONNEL AUTHORIZATION REQUEST  
SECTION 38 OF ACT 997 OF 2021**

Agency: DFA Disbursing Officer Business Area Code: 0620

Program Title: State Fiscal Recovery Funds - Boys and Girls Clubs of Arkansas

Granting Organization: US Treasury CFDA #: 21.019

Effective Date of Authorization: Beginning: 10/22/2021 Ending: 6/30/2022

Purpose of Grant / Reason for addition or change (include attachments as necessary to provide thorough information):  
This is part of ARPA - State Fiscal Recovery. The department received approval from the ARPA Steering Committee on September 22 for payments to be made to Arkansas Boys and Girls Clubs across the state to help them respond to the COVID-19 Pandemic. This response will include programs related to academic outcomes, social/emotional learning, career preparation, ventilation-facility health upgrades, COVID cleaning and screening, and food and nutrition programs.

**American Rescue Plan Act Program Funding**

Func. Area: ADMN Fund Code: FRP6204 Direct Funding: \_\_\_\_\_  
Funds Center: \_\_\_\_\_ Internal Order/WBS Element: F.0620.ARPBGCA22-E Steering Comm. Approved: X

	Program Funding Amount
Regular Salaries	
Extra Help	
Personal Services Matching	
Operating Expenses	
Conference & Travel Expenses	
Professional Fees	
Capital Outlay	
Data Processing	
Grants and Aid (CI: 04)	6,342,200
Other:	
Other:	
<b>Total</b>	<b>\$ 6,342,200</b>

Anticipated Duration of Federal Funds: 12/31/2026

<b>DFA IGS State Technology Planning</b>	<b>Date</b>
Items requested for information technology must be in compliance with Technology Plans as submitted to DFA IGS State Technology Planning.	

**Positions to be established: (list each position separately)**

Org Unit	Pers Area	Pers SubArea	Cost Center	Position Number	Comnt Item	Position Title	Class Code	Grade	Line Item Maximum *

State funds will not be used to replace federal funds when such funds expire, unless appropriated by the General Assembly and authorized by the Governor.

Approved by:  9/24/21  9-30-21 \_\_\_\_\_  
Cabinet Secretary/Agency Director Date Office of Budget Date Office of Personnel Mgmt Date  
K Bridges 09/24/21



STATE OF ARKANSAS  
**Department of Finance  
and Administration**

**OFFICE OF THE SECRETARY**  
1509 West Seventh Street, Suite 401  
Post Office Box 3278  
Little Rock, Arkansas 72203-3278  
Phone: (501) 682-2242  
Fax: (501) 682-1029  
[www.arkansas.gov/dfa](http://www.arkansas.gov/dfa)

September 23, 2021

Secretary Larry W. Walther  
Department of Finance and Administration  
Office of Budget  
1509 West 7<sup>th</sup> Street, Suite 401  
Little Rock, AR 72203-3278

Dear Secretary Walther:

On September 22, 2021, the ARPA Steering Committee approved the attached list for the distribution of funds to various Boys & Girls Clubs across the State (Attachment A).

Attachment B contains the program categories that will be disbursed to each Boys & Girls Club.

The Boys & Girls Clubs will expend money necessary for the execution of the program categories.

They will be required to submit quarterly reports on expenditures by program category to DFA – Disbursing Officer.

Any funds not expended by December 31, 2026 will be sent back to DFA to be returned to the federal government as unexpended ARPA funding.

I respectfully request your approval of the process outlined above and request the Office of Budget present the appropriation request for DFA Disbursing Officer to the Arkansas Legislative Council to allow for the distribution of the funds.

Respectfully,

A handwritten signature in black ink, appearing to read 'Alan McVey', is written over a faint circular stamp.

Alan McVey  
Chief of Staff/Chief Fiscal Officer

Proposed Club Allocations- Arkansas COVID Relief Funds	TR	Program Sites	# Regis Members 2019	Academic Enhancement	Social-Emotional Learning	Career Preparation	Ventilation-Facility Health Upgrades	COVID Cleaning, Screening, PPE	Food & Nutrition	TOTAL	Notes
Boys & Girls Club of Ashley County, Inc.	*	1	170	\$ 40,000	\$ 30,000	\$ 98,400	\$ 15,000	\$ 3,000	\$ 10,000	\$ 196,400	
Scott County Boys & Girls Club		1	645	\$ 40,000	\$ 30,000	\$ 40,000	\$ 15,000	\$ 3,000	\$ 10,000	\$ 138,000	
Boys & Girls Club of Drew County		1	321	\$ 40,000	\$ 30,000	\$ 40,000	\$ 15,000	\$ 3,000	\$ 10,000	\$ 138,000	
Boys & Girls Club of Jacksonville		1	347	\$ 40,000	\$ 30,000	\$ 40,000	\$ 15,000	\$ 3,000	\$ 10,000	\$ 138,000	
Boys & Girls Club of Magnolia		1	798	\$ 40,000	\$ 30,000	\$ 40,000	\$ 15,000	\$ 3,000	\$ 10,000	\$ 138,000	
Boys & Girls Club of Malvern & Hot Springs County	*	1	629	\$ 40,000	\$ 30,000	\$ 98,400	\$ 15,000	\$ 3,000	\$ 10,000	\$ 196,400	
Boys & Girls Club of McGehee	*	1	268	\$ 40,000	\$ 30,000	\$ 98,400	\$ 15,000	\$ 3,000	\$ 10,000	\$ 196,400	
Boys & Girls Club of Phillips County		1	684	\$ 40,000	\$ 30,000	\$ 40,000	\$ 15,000	\$ 3,000	\$ 10,000	\$ 138,000	
Boys & Girls Club of Jefferson County	*	1	326	\$ 40,000	\$ 30,000	\$ 98,400	\$ 15,000	\$ 3,000	\$ 10,000	\$ 196,400	2 sites, low RM
Boys & Girls Club of Faulkner County Arkansas	*	2	1,099	\$ 80,000	\$ 45,000	\$ 98,400	\$ 30,000	\$ 4,500	\$ 20,000	\$ 277,900	1 site, high RM
Boys & Girls Club of Bryant	*	2	1,425	\$ 80,000	\$ 45,000	\$ 98,400	\$ 15,000	\$ 4,500	\$ 20,000	\$ 262,900	
Boys & Girls Club of South Logan County	*	2	858	\$ 80,000	\$ 45,000	\$ 98,400	\$ 30,000	\$ 4,500	\$ 20,000	\$ 277,900	
Boys & Girls Club of Van Buren	*	2	1,576	\$ 80,000	\$ 45,000	\$ 98,400	\$ 30,000	\$ 4,500	\$ 20,000	\$ 277,900	
Boys & Girls Club of El Dorado	*	2	2,476	\$ 80,000	\$ 45,000	\$ 98,400	\$ 30,000	\$ 4,500	\$ 20,000	\$ 277,900	
Boys & Girls Club of Diamond Hills	*	3	1,494	\$ 120,000	\$ 60,000	\$ 98,400	\$ 45,000	\$ 6,000	\$ 30,000	\$ 359,400	
Boys & Girls Club of the Arkansas River Valley	*	3	1,591	\$ 120,000	\$ 60,000	\$ 98,400	\$ 30,000	\$ 6,000	\$ 30,000	\$ 344,400	
Boys & Girls Club of Western Benton County	*	3	1,991	\$ 120,000	\$ 60,000	\$ 98,400	\$ 15,000	\$ 6,000	\$ 30,000	\$ 329,400	4 sites, lower RM
Boys & Girls Club of Crittenden County	*	3	1,609	\$ 120,000	\$ 60,000	\$ 98,400	\$ 15,000	\$ 6,000	\$ 30,000	\$ 329,400	5 sites, lower RM
Boys & Girls Club of Saline County	*	4	3,048	\$ 160,000	\$ 75,000	\$ 98,400	\$ 30,000	\$ 7,500	\$ 40,000	\$ 410,900	
Fort Smith Boys & Girls Club	*	4	3,123	\$ 160,000	\$ 75,000	\$ 98,400	\$ 60,000	\$ 7,500	\$ 40,000	\$ 440,900	
Donald W. Reynolds Boys & Girls Club	*	4	4,339	\$ 160,000	\$ 75,000	\$ 98,400	\$ 15,000	\$ 7,500	\$ 40,000	\$ 395,900	5-6 sites
Boys & Girls Club of Benton County	*	4	2,954	\$ 160,000	\$ 75,000	\$ 98,400	\$ 60,000	\$ 7,500	\$ 40,000	\$ 440,900	6 sites
Boys & Girls Club of Central Arkansas	*	4	2,658	\$ 160,000	\$ 75,000	\$ 98,400	\$ 60,000	\$ 7,500	\$ 40,000	\$ 440,900	6 sites
<b>Total with Split Calculations</b>										<b>\$ 6,342,200</b>	

Split:  
\$50,000-PPE  
\$61,000-Clean/Screen  
(Hlthy Envir/Other)

Other orgs: BGC of South Sebastian not participating

Portions assigned consider # of sites and # of kids served as of 2019

TR\* designates Clubs able to support TRANSFR VR Career Prep program

**\*\*NOTE:** As a federal facility, Little Rock AFB Youth Center cannot qualify for federal relief funds, but can qualify for SGF funds.

## Boys & Girls Clubs of Arkansas

### COVID Relief Funds Request

#### Budget Narrative

Program Category	Cost	# of Clubs / Units	Grant Request
<b>Academic Outcomes</b>			
<b>King-Devick Reading Acceleration Program:</b> Clubs will host between 1 to 3 supported approaches for enhanced reading fluency for elementary students; Cost includes K-D devices, program software, training, coordinators, testing & analysis, supplies			
<b>KidzLit/KidsMath:</b> Clubs not participating with K-D program (above) may choose to deliver this or other evidence-informed or research-based academic programs (including Summer Brain Gain) for elementary and middle school-age youth to encourage additional academic success in reading and math; Cost includes curriculum, program supplies, staff salaries/benefits	\$ 40,000	51	\$ 2,040,000
<b>Subtotal Academic Outcomes</b>		<i>51 sites at 23 Clubs</i>	<b>\$ 2,040,000</b>
<b>Social Emotional Learning</b>			
<b>Mental Health First Aid /Positive Action Evidence-Based Program and/or Social-Emotional Specialist:</b> All 24 Clubs will engage in targeted efforts to help address social-emotional needs of their Club members by securing programs, approaches and specialized staff important for supporting kids on issues where they struggle; Clubs will receive amounts ranging from \$30K to \$75K depending on numbers of youth served; Cost shown is average across all Clubs	Varies per club	23	\$ 1,110,000
<b>Subtotal Social Emotional Learning</b>			<b>\$ 1,110,000</b>
<b>Career Preparation</b>			
<b>TRANSFR VR:</b> 18 Clubs will collaborate with cutting-edge 3-D virtual reality career exploration and training opportunities for youth at their Clubs and will seek to partner with local school districts to share technology-based career exploration experiences "beyond the Club walls"; Cost includes 18 traveling labs, personnel salaries/benefits, offices supplies/equipment and travel	\$ 98,400	18	\$ 1,771,200
<b>Roadmap to Careers and/or Earning a Retail Credential:</b> 6 Clubs will engage the BGCA digital product to help young people explore careers relevant to their interests and work with elementary & middle-school age youth to develop essential skills important for success in life; Clubs will complete a Workforce Diagnostic to gauge their program strengths and build on a continuum for advancement in this area; Costs include personnel to advance this important work	\$ 40,000	5	\$ 200,000
<b>Subtotal Career Prep</b>			<b>\$ 1,971,200</b>
<b>Ventilation-Facility Health Upgrades / COVID Cleaning &amp; Screening</b>			

Attachment B

1 of 2

<b>Upgrades to Club HVAC systems, filtration and ductwork:</b> All qualifying Club sites -- not in a school or federal facility -- will secure \$15,000 per site to perform work intended to improve ventilation and reduce air-borne viruses that threaten health and safety; Costs include supplies and labor for 40 units	\$ 15,000	40	\$ 600,000
<b>Cleaning &amp; Screening:</b> All clubs will support costs associated with continuing to maintain safe spaces for kids in their facilities; Costs include supplies, sanitation equipment, personal protective equipment, health professional screenings, cleaning staff, etc.; Clubs will receive amounts ranging from \$3,000 to \$7,500 depending on numbers of youth served; Cost shown is average across all Clubs	Various amounts	23	\$ 111,000
<b>Subtotal Ventilation and COVID Cleaning/Screening</b>			\$ 711,000
<b>Food &amp; Nutrition</b>			
<b>Food, nutrition programming and related support:</b> Clubs continue to identify needs for supporting kids and families experiencing food insecurity; interruption from food vendors and making more food available for more kids and families is critical; Cost shown is for providing \$10K per unit	\$ 10,000	51	\$ 510,000
<b>Subtotal Food &amp; Nutrition</b>			\$ 510,000
<b>TOTAL ALL PROGRAMS</b>			\$ 6,342,200



STATE OF ARKANSAS  
**Department of Finance  
and Administration**

**OFFICE OF THE SECRETARY**  
1509 West Seventh Street, Suite 401  
Post Office Box 3278  
Little Rock, Arkansas 72203-3278  
Phone: (501) 682-2242  
Fax: (501) 682-1029  
[www.arkansas.gov/dfa](http://www.arkansas.gov/dfa)

October 1, 2021

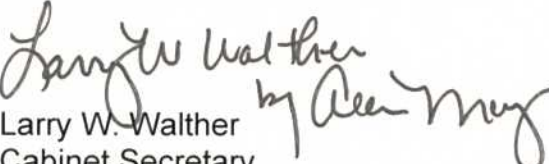
Senator Jonathan Dismang, Co-Chair  
Representative Michelle Gray, Co-Chair  
Performance Evaluation & Expenditure Review Committee  
Arkansas Legislative Council  
State Capitol Building  
Little Rock, AR 72201

RE: FY22 Miscellaneous Federal Grant Request(s)

Dear Co-Chairs:

I am forwarding for appropriate action as required by law, the attached Miscellaneous Federal Grant Request(s) that have received my approval as Chief Fiscal Officer of the State.

Sincerely,

  
Larry W. Walther  
Cabinet Secretary

LWW

Attachment(s)



FY22 REQUEST FOR LEGISLATIVE REVIEW  
 MISCELLANEOUS FEDERAL PROGRAMS ACT  
 (A.C.A. 19-7-101 ET. SEQ.)

NEW PROGRAM

<u>Agency</u>	<u>Purpose of Grant &amp; Funding Percentages</u>	<u>Federal Appropriation Amount Requested</u>	<u>No. of Positions Requested (if any)</u>	<u>DFA - Chief Fiscal Officer</u>		<u>DFA IGS State Technology Planning Agency Request in compliance with IT Plan Certification (if applicable)</u>
				<u>Approve</u>	<u>Disapprove</u>	
1. Department of Labor & Licensing - Appraiser Licensing & Certification Board	The Arkansas Appraiser Licensing & Certification Board wants to improve our service to the public, the Board and Staff's efficiency, and our rating from the Appraisal Subcommittee. The Board wants to streamline our processes by converting our records to a digital format, improve our technology, upgrade our office equipment, attend education offerings and conferences, hire contract investigators, advance the knowledge of staff to complete complaint investigations in compliance with Appraisal Subcommittee Policy Statements, and offer outstanding education at our annual Day with Appraisers event.	\$116,500	0	X		Yes
		Funding Percentages				
			Federal	State	Other	Total
		FY22	100	0	0	100
		FY23	0	0	0	0
		FY24	0	0	0	0
		FY25	0	0	0	0
		FY26	0	0	0	0

Anticipated Duration of Federal Funds: One Year

**UTILIZATION REPORT  
FOR  
MISCELLANEOUS FEDERAL GRANT PROGRAM APPROPRIATION  
AND PERSONNEL AUTHORIZATION REQUEST  
A.C.A. §19-7-501 ET SEQ.**

Date: 9/8/2021 Grant ID 30-3622-0-5-026 Legislative Review Date: Oct FY22

Agency: Appraiser Licensing & Cert Bd/Dept of Labor & Licensing Program Title: ASC Federal Grant

Granting Organization: Appraisal Subcommittee of the Federal Financial Institutions Examinations Council Grant #: SSG2021AR1

Effective Date of Authorization: Beginning: 4/1/2021 Ending: 3/31/2024

Purpose of Grant / Reason for addition or change: (include attachments as necessary to provide thorough information):  
The Arkansas Appraiser Licensing & Certification Board wants to improve our service to the public, the Board and Staff's efficiency, and our rating from the Appraisal Subcommittee. The Board wants to streamline our processes by converting our records to a digital format, improve our technology, upgrade our office equipment, attend education offerings and conferences, hire contract investigators, advance the knowledge of staff to complete complaint investigations in compliance with Appraisal Subcommittee Policy Statements, and offer outstanding education at our annual Day with Appraisers event.

**Project-Grant Funding**

Business Area Code: 0205  
Funds Center Code: NEW  
Fund Code: NEW  
Functional Area Code: PROF

Continuation of Existing Program: \_\_\_\_\_  
Change in Existing Program: \_\_\_\_\_  
New Program: New

	New Federal Funds	State Matching Funds	Other Matching Funds	Project Total
Regular Salaries				-
Extra Help				-
Personal Services Matching				-
Operating Expenses	80,500			80,500
Conference & Travel Expenses	21,500			21,500
Professional Fees	14,500			14,500
Capital Outlay				-
Data Processing				-
Grants and Aid (CI: 04)				-
Other:				-
Other:				-
<b>Total</b>	<b>\$ 116,500</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 116,500</b>

**Funding Percentages**

	Federal	State	Other	Total
FY22	100%			100%
FY23				0%
FY24				0%
FY25				0%
FY26				0%

**Type of Federal Grant**

WIA \_\_\_\_\_  
Non-WIA X

Anticipated Duration of Federal Funds: one year

M. Mayhew 9/15/2021

**DFA IGS State Technology Planning Date**  
Items requested for information technology must be in compliance with Technology Plans as submitted to DFA IGS State Technology Planning.

**Positions to be established: (list each position separately)**

Org Unit	Pers Area	Pers SubArea	Cost Center	Position Number	Crmt Item	Position Title	Class Code	Grade	Line Item Maximum *

State funds will not be used to replace federal funds when such funds expire, unless appropriated by the General Assembly and authorized by the Governor.

Approved by: [Signature]  
Agency Director Date

[Signature] 9-30-21  
Office of Budget Date  
Kristen Robbins 09/10/2021

Office of Personnel Mgmt Date

FY22 REQUEST FOR LEGISLATIVE REVIEW  
 MISCELLANEOUS FEDERAL PROGRAMS ACT  
 (A.C.A. 19-7-101 ET. SEQ.)

CHANGE IN EXISTING PROGRAM

<u>Agency</u>	<u>Purpose of Grant &amp; Funding Percentages</u>	<u>Federal Appropriation Amount Requested</u>	<u>No. of Positions Requested (if any)</u>	<u>DFA - Chief Fiscal Officer</u>		<u>DFA IGS State Technology Planning Agency Request in compliance with IT Plan Certification (if applicable)</u>
				<u>Approve</u>	<u>Disapprove</u>	
6. Department of Education Division of Higher Education - TANF-Career Pathways Initiative	This request is needed due to an increase in funding for the Career Pathways Initiative Program. Additional TANF funds from the Division of Workforce Services were allocated to the program to assist in a statewide education and enrollment initiative for the program. These funds will be used to update the program database as well as provide professional development to the CPI employees on campuses and provide statewide education on the opportunities afforded by enrollment in the program.	\$4,598,920	0	X		N/A

Funding Percentages

	Federal	State	Other	Total
FY22	100	0	0	100
FY23	100	0	0	100
FY24	0	0	0	0
FY25	0	0	0	0
FY26	0	0	0	0

Anticipated Duration of Federal Funds: June 30, 2022

**UTILIZATION REPORT  
FOR  
MISCELLANEOUS FEDERAL GRANT PROGRAM APPROPRIATION  
AND PERSONNEL AUTHORIZATION REQUEST  
A.C.A. §19-7-501 ET SEQ.**

Date: 9/8/2021 Grant ID: 93.558 Legislative Review Date: \_\_\_\_\_  
 Agency: Arkansas Division of Higher Education Program Title: TANF-Career Pathways Initiative  
 Granting Organization: Health and Human Services (Through DWS) Grant #: CPI SFY2022 TANF-01  
 Effective Date of Authorization: Beginning: 7/1/2021 Ending: 6/30/2022

Purpose of Grant / Reason for addition or change: (include attachments as necessary to provide thorough information):  
 This request is needed due to an increase in funding for the Career Pathways Initiative Program. Additional TANF funds from the Division of Workforce Services were allocated to the program to assist in a statewide education and enrollment initiative for the program. These funds will be used to update the program database as well as provide professional development to the CPI employees on campuses and provide statewide education on the opportunities afforded by enrollment in the program.

**Project-Grant Funding**

Business Area Code: 0700  
 Funds Center Code: 2XK  
 Fund Code: FCP1100  
 Functional Area Code: EDUC

Continuation of Existing Program: \_\_\_\_\_  
 Change in Existing Program: X  
 New Program: \_\_\_\_\_

	New Federal Funds	State Matching Funds	Other Matching Funds	Project Total
Regular Salaries	106,750			106,750
Extra Help				-
Personal Services Matching	39,034			39,034
Operating Expenses	5,951			5,951
Conference & Travel Expenses	20,000			20,000
Professional Fees	85,216			85,216
Capital Outlay				-
Data Processing				-
Grants and Aid (CI: 04)	1,341,969			1,341,969
Other: Enrollment and Education	3,000,000			3,000,000
Other:				-
<b>Total</b>	<b>\$ 4,598,920</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 4,598,920</b>

**Funding Percentages**

**Type of Federal Grant**

	Federal	State	Other	Total
FY22	100%			100%
FY23	100%			100%
FY24				0%
FY25				0%
FY26				0%

WIA \_\_\_\_\_  
 Non-WIA \_\_\_\_\_

Anticipated Duration of Federal Funds: 06/30/2022

--	--

**DFA IGS State Technology Planning** Date \_\_\_\_\_  
 Items requested for information technology must be in compliance with Technology Plans as submitted to DFA IGS State Technology Planning.

**Positions to be established: (list each position separately)**

\* unclassified positions only

Org Unit	Pers Area	Pers SubArea	Cost Center	Position Number	Cmnt Item	Position Title	Class Code	Grade	Line Item Maximum *

**State funds will not be used to replace federal funds when such funds expire, unless appropriated by the General Assembly and authorized by the Governor.**

Approved by: [Signature] 9/8/2021  
 Agency Director Date

[Signature] 9-30-21  
 Office of Budget Date

Office of Personnel Mgmt Date

FY22 REQUEST FOR LEGISLATIVE REVIEW  
 MISCELLANEOUS FEDERAL PROGRAMS ACT  
 (A.C.A. 19-7-101 ET. SEQ.)

NEW PROGRAM

DFA IGS  
 State Technology Planning  
 Agency Request in  
 compliance with IT Plan  
Certification (if applicable)

<u>Agency</u>	<u>Purpose of Grant &amp; Funding Percentages</u>	<u>Federal Appropriation Amount Requested</u>	<u>No. of Positions Requested (if any)</u>	<u>DFA - Chief Fiscal Officer</u>		<u>State Technology Planning Agency Request in compliance with IT Plan Certification (if applicable)</u>
				<u>Approve</u>	<u>Disapprove</u>	
7. Department of Human Services - Aging, Adult & Behavioral Health Services - Block Grant for Community Mental Health Services	Arkansas will be using COVID relief funds to decrease workforce shortages, improve access to mental health treatment services and to enhance our continuum of services for crisis care. Workforce shortage gaps will be addressed through providing state level support for recruitment and retention efforts, including offering scholarships for tuition of master-level education in a mental health profession. Additional funds will be infused to develop and implement Peer Support Services and ensure evidence-based training for clinicians working for the Early Serious Mental Illness population. Access to services will be addressed through decreasing workforce shortages, developing programs which can handle individuals deemed "not-restorable" in the forensic system, and providing funding to Children's Advocacy Centers to ensure services for child abuse victims and their families impacted by the pandemic. The crisis service continuum will be enhanced via the development of 3-4 pilot programs focusing on crisis intervention teams capable of responding to those in non-life threatening crisis situations anywhere and anytime. This will also include coordination of resources with the implementation of 9-8-8, and data collection to determine adequacy and appropriateness of the continuum of crisis services.	\$7,229,333	0	X		N/A
		Funding Percentages				
			Federal	State	Other	Total
		FY22	100	0	0	100
		FY23	100	0	0	100
		FY24	0	0	0	0
		FY25	0	0	0	0
		FY26	0	0	0	0

Anticipated Duration of Federal Funds: March 14, 2023

**UTILIZATION REPORT  
FOR  
MISCELLANEOUS FEDERAL GRANT PROGRAM APPROPRIATION  
AND PERSONNEL AUTHORIZATION REQUEST  
A.C.A. §19-7-501 ET SEQ.**

Date: 8.3.2021 Grant ID: 1809SM083944 Legislative Review Date: \_\_\_\_\_  
 DHS, Division of Aging, Adult & Behavioral Health Block Grant for Community Mental  
 Agency: Services Program Title: Health Services

Substance Abuse and Mental Health Serv Admin. Center for Mental  
 Granting Organization: Health Services (SAMHSA) Grant #: 1809SM083944  
 Effective Date of Authorization: Beginning: 7/1/2021 Ending: 6/30/2022

Purpose of Grant / Reason for addition or change: (include attachments as necessary to provide thorough information):  
 Arkansas will be using COVID relief funds to decrease workforce shortages, improve access to mental health treatment services and to enhance our continuum of services for crisis care. Workforce shortage gaps will be addressed through providing state level support for recruitment and retention efforts, including offering scholarships for tuition of master-level education in a mental health profession. Additional funds will be infused to develop and implement Peer Support Services and ensure evidence-based training for clinicians working for the Early Serious Mental Illness population. Access to services will be addressed through decreasing workforce shortages, developing programs which can handle individuals deemed "non-restorable" in the forensic system, and providing funding to Children's Advocacy Centers to ensure services for child abuse victims and their families impacted by the pandemic. The crisis service continuum will be enhanced via the development of 3-4 pilot programs focusing on crisis intervention teams capable of responding to those in non-life threatening crisis situations anywhere and anytime. This will also include coordination of resources with the implementation of 9-8-8, and data collection to determine adequacy and appropriateness of the continuum of crisis services.

**Project-Grant Funding**

Business Area Code: 0710 Continuation of Existing Program \_\_\_\_\_  
 Funds Center Code: 2MN 7896 Change in Existing Program: \_\_\_\_\_  
 Fund Code: PWE5300 / PWP3500 New Program: x  
 Functional Area Code: HHS

	New Federal Funds	State Matching Funds	Other Matching Funds	Project Total
Regular Salaries	72,310			72,310
Extra Help				-
Operating Expenses				-
Personal Services Matching	23,139			23,139
Conference & Travel Expenses				-
Professional Fees				-
Capital Outlay				-
Data Processing				-
Grants and Aid (CI: 04)	7,133,884.00			7,133,884
Other:				-
Other:				-
<b>Total</b>	\$ 7,229,333	\$ -	\$ -	\$ 7,229,333

**Funding Percentages**

**Type of Federal Grant**

	Federal	State	Other	Total
FY22	100%			100%
FY23	100%			100%
FY24				0%
FY25				0%
FY26				0%

WIA \_\_\_\_\_  
 Non-WIA x

Anticipated Duration of Federal Funds: 3/14/2023

**DFA IGS State Technology Planning** Date \_\_\_\_\_  
 Items requested for information technology must be in compliance with Technology Plans as submitted to DFA IGS State Technology Planning.

**Positions to be established: (list each position separately)**

Org Unit	Pers Area	Pers SubArea	Cost Center	Position Number	Comnt Item	Position Title	Class Code	Grade	Line Item Maximum *

**State funds will not be used to replace federal funds when such funds expire, unless appropriated by the General Assembly and authorized by the Governor.**

Approved by: [Signature] Date: 9-30-21  
 Agency Director Office of Budget Office of Personnel Mgmt  
[Signature] Date: 8/27/2021 Date: \_\_\_\_\_

FY22 REQUEST FOR LEGISLATIVE REVIEW  
 MISCELLANEOUS FEDERAL PROGRAMS ACT  
 (A.C.A. 19-7-101 ET. SEQ.)

CHANGE IN EXISTING PROGRAM

DFA IGS  
 State Technology Planning  
 Agency Request in  
 compliance with IT Plan  
 Certification (if applicable)

<u>Agency</u>	<u>Purpose of Grant &amp; Funding Percentages</u>	<u>Federal Appropriation Amount Requested</u>	<u>No. of Positions Requested (if any)</u>	<u>DFA - Chief Fiscal Officer</u> Approve    Disapprove		<u>Certification (if applicable)</u>
8. Department of Human Services - Aging, Adult & Behavioral Health Services - State Opioid Response II - SOR II	The Arkansas Department of Human Services, Division of Aging, Ault & Behavioral Health Services will continue to implement the statewide programs during year 2 under the same scope of the funding to reduce opioid overdose-related deaths and unmet treatment needs for opioid use disorder (OUD) by increasing access to naloxone, medication-assisted treatment, and evidence-based prevention, treatment, and recovery support services to address OUD and stimulant use disorders, including cocaine and methamphetamine. Arkansas is a rural state comprised predominantly of Health Professional Shortage and Medically Underserved Areas that lack access to primary care and mental health services. The state is disproportionately impacted by abuse of illicit opioids and prescription drugs and poor access to treatment providers/facilities. Arkansas is a recipient of Prescription Drug/Opioid Overdose, State Targeted Response to the Opioid Crisis, and State Opioid Response grants. Treatment/prevention initiatives implemented with these grants were associated with decreased opioid prescription rates and overdose-related deaths and increase in naloxone administration. Programs to be provided will address service gaps, are potentially available to all Arkansans age 3 and older and will be implemented in accordance with National Standards on Culturally and Linguistically Appropriate Services.	\$10,761,139	0	X		N/A
		Funding Percentages				
			Federal	State	Other	Total
		FY22	100	0	0	100
		FY23	0	0	0	0
		FY24	0	0	0	0
		FY25	0	0	0	0
		FY26	0	0	0	0

Anticipated Duration of Federal Funds: September 30, 2022

**UTILIZATION REPORT  
FOR  
MISCELLANEOUS FEDERAL GRANT PROGRAM APPROPRIATION  
AND PERSONNEL AUTHORIZATION REQUEST  
A.C.A. §19-7-501 ET SEQ.**

Date: 8/26/2021 Grant ID 93.788 Legislative Review Date: \_\_\_\_\_

Agency: Arkansas Department of Human Services, Division of Aging, Adult, and Behavioral Health Services Program Title: State Opioid Response II - SOR II

Granting Organization: State Opioid Response (SOR) Grants DHHS-Substance Abuse and Mental Health Services Administration Grant #: 5H79TI083287-02

Effective Date of Authorization: Beginning: 7/1/2021 Ending: 6/30/2022

Purpose of Grant / Reason for addition or change: (include attachments as necessary to provide thorough information):  
The Arkansas Department of Human Services, Division of Aging, Adult & Behavioral Health Services will continue to implement the statewide programs during year 2 under the same scope of the funding to reduce opioid overdose-related deaths and unmet treatment needs for opioid use disorder (OUD) by increasing access to naloxone, medication-assisted treatment, and evidence-based prevention, treatment, and recovery support services to address OUD and stimulant use disorders, including cocaine and methamphetamine. The Arkansas Department of Human Services, Division of Aging, Adult & Behavioral Health Services will implement statewide programs to reduce opioid overdose-related deaths and unmet treatment needs for opioid use disorder (OUD) by increasing access to naloxone medication-assisted treatment, and evidence-based prevention, treatment, and recovery support services to address OUD and stimulant use disorders, including cocaine and methamphetamine. Arkansas is a rural state comprised predominantly of Health Professional Shortage and Medically Underserved Areas that lack access to primary care and mental health services. The state is disproportionately impacted by abuse of illicit opioids and prescription drugs and poor access to treatment providers/facilities. Arkansas is a recipient of Prescription Drug/Opioid Overdose, State Targeted Response to the Opioid Crisis, and State Opioid Response grants. Treatment/prevention initiatives implemented with these grants were associated with decreased opioid prescription rates and overdose-related deaths and increase in naloxone administration. Programs to be provided will address service gaps, are potentially available to all Arkansans age 13 and older and will be implemented in accordance with National Standards on Culturally and Linguistically Appropriate Services.

**Project-Grant Funding**

Business Area Code: 0710  
Funds Center Code: 1ET / 896  
Fund Code: MDA0100 / PWP3500  
Functional Area Code: HHS

Continuation of Existing Program: \_\_\_\_\_  
Change in Existing Program: x  
New Program: \_\_\_\_\_

	New Federal Funds	State Matching Funds	Other Matching Funds	Project Total
Regular Salaries	252,893			252,893
Extra Help				-
Operating Expenses	169,916			169,916
Personal Services Matching	89,418			89,418
Conference & Travel Expenses				-
Professional Fees				-
Capital Outlay				-
Data Processing				-
Grants and Aid (CI: 04)	10,248,912			10,248,912
Other:				-
Other:				-
<b>Total</b>	\$ 10,761,139	\$ -	\$ -	\$ 10,761,139

	Funding Percentages				Type of Federal Grant
	Federal	State	Other	Total	
FY22	100%			100%	WIA _____
FY23	0%			0%	Non-WIA <u>x</u>
FY24				0%	
FY25				0%	
FY26				0%	

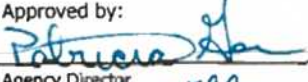




Anticipated Duration of Federal Funds: 9/29/2022

**DFA IGS State Technology Planning Date**  
Items requested for information technology must be in compliance with Technology Plans as submitted to DFA IGS State Technology Planning.

**Positions to be established: (list each position separately)**

Org Unit	Pers Area	Pers SubArea	Cost Center	Position Number	Comnt Item	Position Title	Class Code	Grade	Line Item Maximum *

State funds will not be used to replace federal funds when such funds expire, unless appropriated by the General Assembly and authorized by the Governor.

Approved by:  
 9/2/21       9-30-21  
 Agency Director      Date      Office of Budget      Date      Office of Personnel Mgmt      Date  
              
 J Moore 9/15/2021



FY22 REQUEST FOR LEGISLATIVE REVIEW  
 MISCELLANEOUS FEDERAL PROGRAMS ACT  
 (A.C.A. 19-7-101 ET. SEQ.)

CHANGE IN EXISTING PROGRAM

DFA IGS  
 State Technology Planning  
 Agency Request in  
 compliance with IT Plan  
 Certification (if applicable)

<u>Agency</u>	<u>Purpose of Grant &amp; Funding Percentages</u>	<u>Federal Appropriation Amount Requested</u>	<u>No. of Positions Requested (if any)</u>	<u>DFA - Chief Fiscal Officer</u> Approve Disapprove		<u>Certification (if applicable)</u>
9. Office of Prosecutor Coordinator - Project Guardian	To re-establish original budget following a previous budget adjustment due to an administrative error. Agency was required to return funds to Bureau of Justice Assistance due to lump sums being drawn when project activities started. Funds are to be drawn upon immediate disbursement requirements over time as project costs are incurred or anticipated.	\$263,244	0	X		N/A

Funding Percentages

	Federal	State	Other	Total
FY22	100	0	0	100
FY23	0	0	0	0
FY24	0	0	0	0
FY25	0	0	0	0
FY26	0	0	0	0

Anticipated Duration of Federal Funds: June 30, 2022

**UTILIZATION REPORT  
FOR  
MISCELLANEOUS FEDERAL GRANT PROGRAM APPROPRIATION  
AND PERSONNEL AUTHORIZATION REQUEST  
A.C.A. §19-7-501 ET SEQ.**

Date: 9/24/2021 Grant ID CFDA 19.751 Legislative Review Date: \_\_\_\_\_  
 Agency: Office of Prosecutor Coordinator Program Title: Project Guardian  
 Granting Organization: Bureau of Justice Assistance Grant #: 2020-DG-BX-K011  
 Effective Date of Authorization: \_\_\_\_\_ Beginning: 10/1/2020 Ending: 6/30/2022

Purpose of Grant / Reason for addition or change: (include attachments as necessary to provide thorough information):  
 To re-establish original budget following a previous budget adjustment due to an administrative error. Agency was required to return funds to Bureau of Justice Assistance due to lump sums being drawn when project activities started. Funds are to be drawn upon immediate disbursement requirements over time as project costs are incurred or anticipated.

**Project-Grant Funding**

Business Area Code: 0028  
 Funds Center Code: E72  
 Fund Code: FPC0500  
 Functional Area Code: CNST  
 Continuation of Existing Program: \_\_\_\_\_  
 Change in Existing Program: X  
 New Program: \_\_\_\_\_

	New Federal Funds	State Matching Funds	Other Matching Funds	Project Total
Regular Salaries	178,000			178,000
Extra Help				-
Personal Services Matching	64,862			64,862
Operating Expenses	20,382			20,382
Conference & Travel Expenses				-
Professional Fees				-
Capital Outlay				-
Data Processing				-
Grants and Aid (CI: 04)				-
Other:				-
Other:				-
<b>Total</b>	<b>\$ 263,244</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 263,244</b>

**Funding Percentages**

	Federal	State	Other	Total
FY22	100%			100%
FY23				0%
FY24				0%
FY25				0%
FY26				0%

**Type of Federal Grant**

WIA \_\_\_\_\_  
 Non-WIA X

Anticipated Duration of Federal Funds: 6/30/2022

--	--

**DFA IGS State Technology Planning Date**  
 Items requested for information technology must be in compliance with Technology Plans as submitted to DFA IGS State Technology Planning.

**Positions to be established: (list each position separately)**

\* unclassified positions only

Org Unit	Pers Area	Pers SubArea	Cost Center	Position Number	Crnt Item	Position Title	Class Code	Grade	Line Item Maximum *

**State funds will not be used to replace federal funds when such funds expire, unless appropriated by the General Assembly and authorized by the Governor.**

Approved by: *Bob...* 9/24/21  
 Agency Director Date

*...* 9.30.21  
 Office of Budget Date  
 DY 9/27/2021

Office of Personnel Mgmt Date



STATE OF ARKANSAS  
**Department of Finance  
and Administration**

**OFFICE OF THE SECRETARY**  
1509 West Seventh Street, Suite 401  
Post Office Box 3278  
Little Rock, Arkansas 72203-3278  
Phone: (501) 682-2242  
Fax: (501) 682-1029  
[www.arkansas.gov/dfa](http://www.arkansas.gov/dfa)

October 6, 2021

Senator Jonathan Dismang, Co-Chair  
Representative Michelle Gray, Co-Chair  
Performance Evaluation & Expenditure Review Committee  
Arkansas Legislative Council  
State Capitol Building  
Little Rock, AR 72201

RE: Health Department Items

Dear Co-Chairs:

I respectfully request inclusion in this month's PEER review of the attached items for the Department of Health.

Sincerely,

A handwritten signature in black ink that reads "Larry W. Walther".

Larry W. Walther  
Secretary



# Arkansas Department of Health

---

4815 West Markham Street • Little Rock, Arkansas 72205-3867 • Telephone (501) 661-2000  
Governor Asa Hutchinson  
José R. Romero, MD, Secretary of Health

September 29, 2021

Larry W. Walther, Secretary  
Department of Finance & Administration  
1509 West 7<sup>th</sup> Street, Suite 401  
Little Rock, AR 72201

RE: Arkansas Department of Health (ADH) Request to Change MFG Appropriations to ARPA (American Rescue Plan Act) Appropriations for Two Federal Awards

Dear Secretary Walther,

During the May 18, 2021, Performance Evaluation and Expenditure Review (PEER) Subcommittee meeting, ADH presented two federal grant awards from the Centers for Disease Control and Prevention for Immunization and Outbreak Control for Miscellaneous Federal Grant (MFG) appropriations. The amounts of the awards were \$29,127,271 and \$3,828,419. Both requests were reviewed and approved at the May PEER meeting.

However, recently while verifying the funding type for another federal award, we discovered that the two approved Immunizations awards in May should have been requests for ARPA appropriation rather than regular MFGs. Also, since these awards were funded during SFY 2021, expenses have been incurred using MFG appropriations.

In an effort to determine the best course of action to rectify the errors, ADH met with AR Department of Finance (DFA) Office of Budget and Office of Accounting staff and was instructed to have the two awards presented again before PEER for approval of ARPA appropriations. Additionally, we are coordinating with DFA to process prior year expense corrections.

This letter is a request for you to allow the aforementioned two grant awards to be reviewed by the Arkansas Legislature for approval of ARPA appropriations.

To avoid future errors and taking into consideration the repeated difficulties that we have experienced with determining the appropriate funding type for federal awards, we have implemented a process of reviewing the TAGGS (Tracking Accountably in Government Grants Systems) website that is managed in conjunction with the United States

Department of Health and Human Services to verify federal funding awards for the Department before processing future requests for appropriation. We have shared this website with DFA staff.

On behalf of ADH, I sincerely apologize for these errors and any associated inconvenience. If you would like additional information, I can be contacted by email at Jo.Thompson@arkansas.gov or by phone at 501-280-4157.

Your consideration of this request is greatly appreciated.

Thank you,



Jo Thompson  
Chief Financial Officer  
Arkansas Department of Health

CC: Don Adams, ADH Deputy Director for Administrative Operations

**AMERICAN RESCUE PLAN ACT OF 2021 PROGRAM APPROPRIATION  
AND PERSONNEL AUTHORIZATION REQUEST  
SECTION 38 OF ACT 997 OF 2021**

Agency: Arkansas Department of Health Business Area Code: 0645

Program Title: Immunization and Vaccines for Children

Granting Organization: DHHS: Centers for Disease Control and Prevention CFDA #: 93.268

Effective Date of Authorization: Beginning: 7/1/2021 Ending: 6/30/2022

Purpose of Grant / Reason for addition or change (include attachments as necessary to provide thorough information):  
Health equity is achieved when all individuals have the opportunity to attain their full health potential. Data show that long-standing systemic health and social inequities have put many racial and ethnic minority groups at increased risk of getting sick and dying from COVID-19. There is also evidence that some racial and ethnic minority groups, including non-Hispanic American Indian/Alaska Native persons, non-Hispanic Black persons, and Hispanic persons, are disproportionately affected by COVID-19. To address these disparities, the purpose of this supplemental guidance, is to fund strategies that ensure greater equity and access to COVID-19 vaccine by those disproportionately affected by COVID. The Department of Health and Human Services awarded the Arkansas Department of Health, \$29,127,271, of this amount, \$17,401,845 has been identified as funds from the American Rescue Plan Act of 2021. These funds are COVID-19 supplemental four funds and are separate from any additional COVID-19 supplemental funding that may be dispersed in the future. This amount was determined using a population-based formula.

**American Rescue Plan Act Program Funding**

Func. Area: HHS Fund Code: \_\_\_\_\_ Direct Funding:  \_\_\_\_\_  
Funds Center: \_\_\_\_\_ Internal Order/WBS Element: \_\_\_\_\_ Steering Comm. Approved: \_\_\_\_\_

	Program Funding Amount
Regular Salaries	
Extra Help	
Personal Services Matching	
Operating Expenses	17,401,845
Conference & Travel Expenses	
Professional Fees	
Capital Outlay	
Data Processing	
Grants and Aid (CI: 04)	
Other:	
Other:	
<b>Total</b>	\$ 17,401,845

Anticipated Duration of Federal Funds: 06/30/2024

DFA IGS State Technology Planning	Date
Items requested for information technology must be in compliance with Technology Plans as submitted to DFA IGS State Technology Planning.	

**Positions to be established: (list each position separately)**

Org Unit	Pers Area	Pers SubArea	Cost Center	Position Number	Cmnt Item	Position Title	Class Code	Grade	Line Item Maximum *

**State funds will not be used to replace federal funds when such funds expire, unless appropriated by the General Assembly and authorized by the Governor.**

Approved by: Josie R. Roman 9/29/21 Robert 9-30-21 \_\_\_\_\_  
Cabinet Secretary/Agency Director Date Office of Budget Date Office of Personnel Mgmt Date

## Arkansas Department of Health

### American Rescue Plan Act Appropriation Request – Overview

\$17,401,845 Award from the

### Department of Health and Human Services, Centers for Disease Control: Immunization and Vaccines for Children

---

---

#### Background

On December 27, 2020, the President signed into law the Coronavirus Response and Relief Supplemental Appropriations Act of 2021 (P.L. 116-260). On March 11, 2021, the President signed into law the American Rescue Plan Act of 2021 (P.L. 117-2). Both laws include supplemental funding for coronavirus vaccine activities to support broad-based distribution, access, and vaccine coverage. More specifically, this supplement will be used to ensure greater equity and access to COVID-19 vaccine by those disproportionately affected.

The Department of Health and Human Services awarded the Arkansas Department of Health, \$29,127,271, of this amount, \$17,401,845 has been identified as funds from the American Rescue Plan Act of 2021. These funds are COVID-19 supplemental four funds and are separate from any additional COVID-19 supplemental funding that may be dispersed in the future. This amount was determined using a population-based formula. The effective period of the award is July 1, 2020 – June 30, 2024.

#### Purpose

Health equity is achieved when all individuals have the opportunity to attain their full health potential. Data show that long-standing systemic health and social inequities have put many racial and ethnic minority groups at increased risk of getting sick and dying from COVID-19. There is also evidence that some racial and ethnic minority groups, including non-Hispanic American Indian/Alaska Native persons, non-Hispanic Black persons, and Hispanic persons, are disproportionately affected by COVID-19. To address these disparities, the purpose of this supplemental guidance, is to fund strategies that ensure greater equity and access to COVID-19 vaccine by those disproportionately affected by COVID-19.

#### Goals

ADH has an existing contract with American Foundation for Medical Care (AFMC) to conduct COVID-19 vaccine pop-up events in rural communities and underserved populations throughout the state. ADH will complete Memorandum of Agreements with all Emergency Medical Services across the state to provide COVID-19 vaccine to homebound individuals. ADH, Office of Health Communications will continue COVID-19 vaccine confidence media campaign in rural communities and underserved populations. ADH will purchase freezers to store COVID-19 vaccines and portable coolers for COVID-19 vaccine transportation to vaccine events.

#### Geographic Coverage

Statewide

---

---



**Recipient Information**

**1. Recipient Name**

Arkansas Department of Health  
4815 W Markham St  
Little Rock, AR 72205-3866  
[No Phone Record]

**2. Congressional District of Recipient**

02

**3. Payment System Identifier (ID)**

1716007358A1

**4. Employer Identification Number (EIN)**

710847443

**5. Data Universal Numbering System (DUNS)**

809873185

**6. Recipient's Unique Entity Identifier**

**7. Project Director or Principal Investigator**

Kellye J McCartney  
Branch Chief  
kellye.mccartney@arkansas.gov  
501-661-2466

**8. Authorized Official**

Ms. Jo Thompson  
Chief Financial Officer  
Jo.C.Thompson@arkansas.gov  
(501) 280-4157

**Federal Agency Information**

CDC Office of Financial Resources

**9. Awarding Agency Contact Information**

Sharlene Sanders  
GMS  
qx12@cdc.gov  
678-475-4650

**10. Program Official Contact Information**

Ms. Hanan Awwad  
Program Officer  
Immunization Services Division (ISD)  
wgn5@cdc.gov  
404.718.4623

**Federal Award Information**

**11. Award Number**

6 NH23IP922579-02-05

**12. Unique Federal Award Identification Number (FAIN)**

NH23IP922579

**13. Statutory Authority**

Sections 317, 317(k)(2) of the Public Health Service Act (42 U.S.C. Sections 247b, 247b(k)(2) and 247c), as amended

**14. Federal Award Project Title**

CDC-REA-IP19-1901 Immunization and Vaccines for Children

**15. Assistance Listing Number**

93 268

**16. Assistance Listing Program Title**

Immunization Cooperative Agreements

**17. Award Action Type**

Supplement

**18. Is the Award R&D?**

No

**Summary Federal Award Financial Information**

<b>19. Budget Period Start Date</b>	07/01/2020	<b>- End Date</b>	06/30/2021
<b>20. Total Amount of Federal Funds Obligated by this Action</b>	\$29,127,271.00		
20a. Direct Cost Amount	\$29,127,271.00		
20b. Indirect Cost Amount	\$0.00		
<b>21. Authorized Carryover</b>	\$0.00		
<b>22. Offset</b>	\$0.00		
<b>23. Total Amount of Federal Funds Obligated this budget period</b>	\$37,283,834.00		
<b>24. Total Approved Cost Sharing or Matching, where applicable</b>	\$0.00		
<b>25. Total Federal and Non-Federal Approved this Budget Period</b>	\$66,411,105.00		
<b>26. Project Period Start Date</b>	07/01/2019	<b>- End Date</b>	06/30/2024
<b>27. Total Amount of the Federal Award including Approved Cost Sharing or Matching this Project Period</b>	\$70,965,906.00		

**28. Authorized Treatment of Program Income**

ADDITIONAL COSTS

**29. Grants Management Officer - Signature**

Freda Johnson

**30. Remarks**

This funding is related to the activities under COVID-19 Vaccination Supplement 4 (April 2021)





DEPARTMENT OF HEALTH AND HUMAN SERVICES

Centers for Disease Control and Prevention

Notice of Award

Award# 6 NH23IP922579-02-05  
 FAIN# NH23IP922579  
 Federal Award Date: 03/31/2021

<p><b>Recipient Information</b></p> <p><b>Recipient Name</b>          Arkansas Department of Health          4815 W Markham St          Little Rock, AR 72205-3866          [No Phone Record]</p> <p><b>Congressional District of Recipient</b>          02</p> <p><b>Payment Account Number and Type</b>          1716007358A1</p> <p><b>Employer Identification Number (EIN) Data</b>          710847443</p> <p><b>Universal Numbering System (DUNS)</b>          809873185</p> <p><b>Recipient's Unique Entity Identifier</b>          Not Available</p>
<p><b>31. Assistance Type</b>          Cooperative Agreement</p> <p><b>32. Type of Award</b>          Demonstration</p>

<p><b>33. Approved Budget</b>          (Excludes Direct Assistance)</p>	
<p>I. Financial Assistance from the Federal Awarding Agency Only</p>	
<p>II. Total project costs including grant funds and all other financial participation</p>	
<p>a. Salaries and Wages</p>	\$1,121,381.00
<p>b. Fringe Benefits</p>	\$359,139.00
<p>c. Total Personnel Costs</p>	\$1,480,520.00
<p>d. Equipment</p>	\$0.00
<p>e. Supplies</p>	\$674,142.00
<p>f. Travel</p>	\$32,788.00
<p>g. Construction</p>	\$0.00
<p>h. Other</p>	\$61,519,456.00
<p>i. Contractual</p>	\$2,276,328.00
<p>j. TOTAL DIRECT COSTS</p>	\$65,983,234.00
<p>k. INDIRECT COSTS</p>	\$427,871.00
<p>l. TOTAL APPROVED BUDGET</p>	\$66,411,105.00
<p>m. Federal Share</p>	\$66,411,105.00
<p>n. Non-Federal Share</p>	\$0.00

<p><b>34. Accounting Classification Codes</b></p>						
FY-ACCOUNT NO.	DOCUMENT NO.	ADMINISTRATIVE CODE	OBJECT CLASS	AMT ACTION	FINANCIAL ASSISTANCE	APPROPRIATION
1-9390GKL	20NH23IP922579C5	IP	41.51		\$11,725,426.00	75-2124-0943
1-9390GWA	20NH23IP922579C6	IP	41.51		\$17,401,845.00	75-X-0943



**DEPARTMENT OF HEALTH AND HUMAN SERVICES** Notice of Award

Centers for Disease Control and Prevention

Award# 6 NH231P922579-02-05

FAIN# NH231P922579

Federal Award Date: 03/31/2021

**Direct Assistance**

BUDGET CATEGORIES	PREVIOUS AMOUNT (A)	AMOUNT THIS ACTION (B)	TOTAL (A + B)
Personnel	\$0.00	\$0.00	\$0.00
Fringe Benefits	\$0.00	\$0.00	\$0.00
Travel	\$0.00	\$0.00	\$0.00
Equipment	\$0.00	\$0.00	\$0.00
Supplies	\$0.00	\$0.00	\$0.00
Contractual	\$0.00	\$0.00	\$0.00
Construction	\$0.00	\$0.00	\$0.00
Other	\$0.00	\$0.00	\$0.00
Total	\$0.00	\$0.00	\$0.00

# AWARD ATTACHMENTS

Arkansas Department of Health

6 NH23IP922579-02-05

---

1. Terms and Conditions

## ADDITIONAL TERMS AND CONDITIONS OF AWARD

**Incorporation:** In addition to the federal laws, regulations, policies, and CDC General Terms and Conditions for Non-research awards at <https://www.cdc.gov/grants/federalregulationspolicies/index.html>, the Centers for Disease Control and Prevention (CDC) hereby incorporates Notice of Funding Opportunity (NOFO) number IP19- 1901, entitled, *Immunization and Vaccines for Children*, which are hereby made a part of this Non-research award, hereinafter referred to as the Notice of Award (NoA).

**Supplemental Component Funding:** Additional funding in the amount \$29,127,271 is approved for the Year 02 budget period, which is July 1, 2020 through June 30, 2021.

**Recipients have until June 30, 2024 to expend all COVID-19 funds herein and previously funded.**

**Overtime:** Because overtime costs are a very likely and reasonable expense during the response to COVID-19, CDC will allow recipients to include projected overtime in their budgets. Recipients should be careful to estimate costs based on current real-time needs and will still be required to follow federal rules and regulations in accounting for the employees' time and effort.

**Coronavirus Disease 2019 (COVID-19) Funds:** A recipient of a grant or cooperative agreement awarded by the Department of Health and Human Services (HHS) with funds made available under the Coronavirus Preparedness and Response Supplemental Appropriations Act, 2020 (P.L. 116-123); the Coronavirus Aid, Relief, and Economic Security Act, 2020 (the "CARES Act") (P.L. 116-136); the Paycheck Protection Program and Health Care Enhancement Act (P.L. 116-139); and/or the Consolidated Appropriations Act, 2021, Division M - Coronavirus Response and Relief Supplemental Appropriations Act, 2021 (P.L. 116-260), the American Rescue Plan Act of 2021 (P.L. 117-2) agrees, as applicable to the award, to: 1) comply with existing and/or future directives and guidance from the Secretary regarding control of the spread of COVID-19; 2) in consultation and coordination with HHS, provide, commensurate with the condition of the individual, COVID-19 patient care regardless of the individual's home jurisdiction and/or appropriate public health measures (e.g., social distancing, home isolation); and 3) assist the United States Government in the implementation and enforcement of federal orders related to quarantine and isolation.

In addition, to the extent applicable, Recipient will comply with Section 18115 of the CARES Act, with respect to the reporting to the HHS Secretary of results of tests intended to detect SARS- CoV-2 or to diagnose a possible case of COVID-19. Such reporting shall be in accordance with guidance and direction from HHS and/or CDC. HHS laboratory reporting guidance is posted at: <https://www.hhs.gov/sites/default/files/covid-19-laboratory-data-reporting-guidance.pdf>.

Further, consistent with the full scope of applicable grant regulations (45 C.F.R. 75.322), the purpose of this award, and the underlying funding, the recipient is expected to provide to CDC copies of and/or access to COVID-19 data collected with these funds, including but not limited to data related to COVID-19 testing. CDC will specify in further guidance and directives what is encompassed by this requirement.

**Unallowable Costs:**

- Research
- Clinical care
- Publicity and propaganda (lobbying):
  - o Other than for normal and recognized executive-legislative relationships, no funds may be used for:
    - publicity or propaganda purposes, for the preparation, distribution, or use of any material designed to support or defeat the enactment of legislation before any legislative body
    - the salary or expenses of any grant or contract recipient, or agent acting for such recipient, related to any activity designed to influence the enactment of legislation, appropriations, regulation, administrative action, or Executive order proposed or pending before any legislative body
  - o See Additional Requirement (AR) 12 for detailed guidance on this prohibition and additional guidance on lobbying for CDC recipients:  
[https://www.cdc.gov/grants/documents/Anti-Lobbying\\_Restrictions\\_for\\_CDC\\_Grantees\\_July\\_2012.pdf](https://www.cdc.gov/grants/documents/Anti-Lobbying_Restrictions_for_CDC_Grantees_July_2012.pdf)
- All unallowable costs cited in CDC-RFA-IP19-1901 remain in effect, unless specifically amended in this guidance, in accordance with 45 CFR Part 75 - Uniform Administrative Requirements, Cost Principles, And Audit Requirements for HHS Awards.

**COVID-19 Funding Budget Revision Requirement:** The recipient must submit a revised budget with a narrative justification within 60 days of receipt of the Notice of Award. If the date falls on a weekend or holiday, the submission will be due the following business day. Failure to submit the required information in a timely manner may adversely affect the future funding of this project. If the information cannot be provided by the due date, you are required to contact the GMS/GMO identified in the Awarding Agency Contact Information section on the first page before the due date.

**REPORTING REQUIREMENTS**

**Required Disclosures for Federal Awardee Performance and Integrity Information System (FAPIIS):** Consistent with 45 CFR 75.113, applicants and recipients must disclose in a timely manner, in writing to the CDC, with a copy to the HHS Office of Inspector General (OIG), all information related to violations of federal criminal law involving fraud, bribery, or gratuity violations potentially affecting the federal award. Subrecipients must disclose, in a timely manner in writing to the prime recipient (pass through entity) and the HHS OIG, all information related to violations of federal criminal law involving fraud, bribery, or gratuity violations potentially affecting the federal award. Disclosures must be sent in writing to the CDC and to the HHS OIG at the following addresses:

CDC, Office of Grants Services  
Wayne Woods, Grants Management Specialist Centers for Disease Control and Prevention  
Branch 1  
2939 Flowers Road, MS-TV-2 Atlanta, GA 30341  
Email: [kuv1@cdc.gov](mailto:kuv1@cdc.gov) (Include "Mandatory Grant Disclosures" in subject line)

**AND**

U.S. Department of Health and Human Services Office of the Inspector General  
ATTN: Mandatory Grant Disclosures, Intake Coordinator 3301 Independence Avenue, SW  
Cohen Building, Room 5527 Washington, DC 20201  
Fax: (202)-205-0604 (Include "Mandatory Grant Disclosures" in subject line) or Email:  
[MandatoryGranteeDisclosures@oig.hhs.gov](mailto:MandatoryGranteeDisclosures@oig.hhs.gov)

Recipients must include this mandatory disclosure requirement in all subawards and contracts under this award.

Failure to make required disclosures can result in any of the remedies described in 45 CFR 75.371. Remedies for noncompliance, including suspension or debarment (See 2 CFR parts 180 and 376, and 31 U.S.C. 3321).

CDC is required to report any termination of a federal award prior to the end of the period of performance due to material failure to comply with the terms and conditions of this award in the OMB-designated integrity and performance system accessible through SAM (currently FAPIIS). (45 CFR 75.372(b)) CDC must also notify the recipient if the federal award is terminated for failure to comply with the federal statutes, regulations, or terms and conditions of the federal award. (45 CFR 75.373(b))

#### **PAYMENT INFORMATION**

*The HHS Office of the Inspector General (OIG) maintains a toll-free number (1-800-HHS-TIPS [1- 800-447-8477]) for receiving information concerning fraud, waste, or abuse under grants and cooperative agreements. Information also may be submitted by e-mail to [hhstips@oig.hhs.gov](mailto:hhstips@oig.hhs.gov) or by mail to Office of the Inspector General, Department of Health and Human Services, Attn: HOTLINE, 330 Independence Ave., SW, Washington DC 20201. Such reports are treated as sensitive material and submitters may decline to give their names if they choose to remain anonymous.*

**Payment Management System Subaccount:** Funds awarded in support of approved activities have been obligated in a subaccount in the PMS, herein identified as the "P Account". Funds must be used in support of approved activities in the NOFO and the approved application.

The grant document number identified on the bottom of **Page 2** of the Notice of Award must be known to draw down funds.

**Stewardship:** The recipient must exercise proper stewardship over Federal funds by ensuring that all costs charged to your cooperative agreement are allowable, allocable, and reasonable and that they address the highest priority needs as they relate to this program.

All the other terms and conditions issued with the original award remain in effect throughout the budget period unless otherwise changed, in writing, by the Grants Management Officer.

**UTILIZATION REPORT  
FOR  
MISCELLANEOUS FEDERAL GRANT PROGRAM APPROPRIATION  
AND PERSONNEL AUTHORIZATION REQUEST  
A.C.A. §19-7-501 ET SEQ.**

Date: 9/27/2021 Grant ID 93.268 Legislative Review Date: \_\_\_\_\_  
 Agency: Arkansas Department of Health Program Title: Immunization Cooperative Agreement  
 Granting Organization: Department of Health and Human Services/CDC Grant #: 6NH23IP922579-02-05  
 Effective Date of Authorization: Beginning: 7/1/2021 Ending: 6/1/2022

Purpose of Grant / Reason for addition or change: (include attachments as necessary to provide thorough information):  
 With the threat of COVID-19, the Arkansas Immunization Program will work with various community-based organizations and internal and external partners to utilize available resources to plan, promote, and operationalize a vaccination response to COVID-19.

**Project-Grant Funding**

Business Area Code: 0645  
 Funds Center Code: 34PV  
 Fund Code: PHD0000  
 Functional Area Code: HHS  
 Continuation of Existing Program  **X**  
 Change in Existing Program: \_\_\_\_\_  
 New Program: \_\_\_\_\_

	New Federal Funds	State Matching Funds	Other Matching Funds	Project Total
Regular Salaries				-
Extra Help				-
Personal Services Matching				-
Operating Expenses				-
Conference & Travel Expenses				-
Professional Fees				-
Capital Outlay				-
Data Processing				-
Grants and Aid (CI: 04)				-
Other: 590051	(17,401,845)			(17,401,845)
Other:				-
<b>Total</b>	<b>\$ (17,401,845)</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ (17,401,845)</b>

**Funding Percentages**

**Type of Federal Grant**

	Federal	State	Other	Total
FY22	100%			100%
FY23	100%			100%
FY24	100%			100%
FY25				0%
FY26				0%

WIA \_\_\_\_\_  
 Non-WIA  **X**

Anticipated Duration of Federal Funds: 06/30/2024

--	--

**DFA IGS State Technology Planning** Date \_\_\_\_\_  
 Items requested for information technology must be in compliance with Technology Plans as submitted to DFA IGS State Technology Planning.

**Positions to be established: (list each position separately)**

Org Unit	Pers Area	Pers SubArea	Cost Center	Position Number	Comnt Item	Position Title	Class Code	Grade	Line Item Maximum *

State funds will not be used to replace federal funds when such funds expire, unless appropriated by the General Assembly and authorized by the Governor.

Approved by: Joseph R. Remondino 9/28/21 Date  
 Agency Director  
[Signature] 9-30-21 Date  
 Office of Budget  
 \_\_\_\_\_ Date  
 Office of Personnel Mgmt

**UTILIZATION REPORT  
FOR  
MISCELLANEOUS FEDERAL GRANT PROGRAM APPROPRIATION  
AND PERSONNEL AUTHORIZATION REQUEST  
A.C.A. §19-7-501 ET SEQ.**

Date: 5/13/2021 Grant ID 93.268 Legislative Review Date: \_\_\_\_\_  
 Agency: Arkansas Department of Health Program Title: Immunization Cooperative Agreement  
 Granting Organization: Department of Health and Human Services/CDC Grant #: 6NH23JP922579-02-05  
 Effective Date of Authorization: Beginning: 7/1/2021 Ending: 6/30/2022

Purpose of Grant / Reason for addition or change: (include attachments as necessary to provide thorough information):  
 With the threat of COVID-19, the Arkansas Immunization Program will work with various community-based organizations and internal and external partners to utilize available resources to plan, promote, and operationalize a vaccination response to COVID-19.

**Project-Grant Funding**

Business Area Code: 0645  
 Funds Center Code: 34PV  
 Fund Code: PHD0000  
 Functional Area Code: HHS  
 Continuation of Existing Program   
 Change in Existing Program: \_\_\_\_\_  
 New Program: \_\_\_\_\_

	New Federal Funds	State Matching Funds	Other Matching Funds	Project Total
Regular Salaries				-
Extra Help				-
Operating Expenses				-
Personal Services Matching				-
Conference & Travel Expenses				-
Professional Fees				-
Capital Outlay				-
Data Processing				-
Grants and Aid (CI: 04)				-
Other: C151	29,127,271			29,127,271
Other:				-
<b>Total</b>	<b>\$ 29,127,271</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 29,127,271</b>

**Funding Percentages**

**Type of Federal Grant**

	Federal	State	Other	Total
FY21	100%			100%
FY22	100%			100%
FY23	100%			100%
FY24	100%			100%
FY25				0%

WIA \_\_\_\_\_  
 Non-WIA

Anticipated Duration of Federal Funds: 06/30/2024

--	--

**DFA IGS State Technology Planning** Date \_\_\_\_\_  
 Items requested for information technology must be in compliance with Technology Plans as submitted to DFA IGS State Technology Planning.

**Positions to be established: (list each position separately)**

Org Unit	Pers Area	Pers SubArea	Cost Center	Position Number	Comnt Item	Position Title	Class Code	Grade	Line Item Maximum *

State funds will not be used to replace federal funds when such funds expire, unless appropriated by the General Assembly and authorized by the Governor.

Approved by: Josie R. Ross 5/13/21 [Signature] 6-3-21  
 Agency Director Date Office of Budget Date Office of Personnel Mgmt Date



**AMERICAN RESCUE PLAN ACT OF 2021 PROGRAM APPROPRIATION  
AND PERSONNEL AUTHORIZATION REQUEST  
SECTION 38 OF ACT 997 OF 2021**

Agency: Arkansas Department of Health Business Area Code: 0645

Program Title: Immunization and Vaccines for Children

Granting Organization: DHHS: Centers for Disease Control and Prevention CFDA #: 93.268

Effective Date of Authorization: Beginning: 7/1/2021 Ending: 6/30/2022

Purpose of Grant / Reason for addition or change (include attachments as necessary to provide thorough information):  
The Arkansas Department of Health was awarded \$3,828,419 to continue COVID-19 vaccine hesitancy. A requirement of 10% of the total budget must be allocated for high-risk and underserved populations, including racial and ethnic minority populations and rural communities. This amount was determined using a population-based formula.

ADH, Office of Health Communications will utilize existing contracts with media outlets in underserved populations and rural communities to increase public confidence in and uptick of COVID-19 vaccines with reinforcing basic prevention measures.

**American Rescue Plan Act Program Funding**

Func. Area: HHS Fund Code: \_\_\_\_\_ Direct Funding:  X  
Funds Center: \_\_\_\_\_ Internal Order/WBS Element: \_\_\_\_\_ Steering Comm. Approved: \_\_\_\_\_

	Program Funding Amount
Regular Salaries	
Extra Help	
Personal Services Matching	
Operating Expenses	3,828,419
Conference & Travel Expenses	
Professional Fees	
Capital Outlay	
Data Processing	
Grants and Aid (CI: 04)	
Other:	
Other:	
<b>Total</b>	\$ 3,828,419

Anticipated Duration of Federal Funds: 06/30/2024

<b>DFA IGS State Technology Planning</b>	<b>Date</b>
Items requested for information technology must be in compliance with Technology Plans as submitted to DFA IGS State Technology Planning.	

**Positions to be established: (list each position separately)**

Org Unit	Pers Area	Pers SubArea	Cost Center	Position Number	Cmnt Item	Position Title	Class Code	Grade	Line Item Maximum *

State funds will not be used to replace federal funds when such funds expire, unless appropriated by the General Assembly and authorized by the Governor.

Approved by:  
Jessie R. Pomeoy 9/28/21      [Signature] 9-30-21  
 Cabinet Secretary/Agency Director      Date      Office of Budget      Date      Office of Personnel Mgmt      Date

## Arkansas Department of Health

### American Rescue Plan Act Appropriation Request – Overview \$3,828,419 Award from the

### Department of Health and Human Services, Centers for Disease Control: Immunization and Vaccines for Children

---

---

#### **Background**

On December 27, 2020, the President signed into law the FY 2021 Coronavirus Response and Relief Supplemental Appropriations Act of 2021 (P.L. 116-260), which includes supplemental funding for coronavirus vaccine activities to support broad-based distribution, access, and vaccine coverage. On March 11, 2021, the President signed into law the American Rescue Plan Act of 2021 (P.L. 117-2).

The Department of Health and Human Services (DHHS) awarded supplemental funding. These funds are in addition to the COVID-19 supplemental three funds received in January 2021 and are separate from additional COVID-19 supplemental funding that will be dispersed in the future. DHHS added funding the COVID Supplemental Three awarded because of a statutorily required adjustment to the funds received in January 2021.

The Arkansas Department of Health was awarded \$3,828,419 to continue COVID-19 vaccine hesitancy. A requirement of 10% of the total budget must be allocated for high-risk and underserved populations, including racial and ethnic minority populations and rural communities. This amount was determined using a population-based formula. The effective period of the award is July 1, 2020 – June 30, 2024.

#### **Purpose**

This funding is to develop and implement the Department of Health and Human Services (DHHS) COVID-19 education campaign to increase public confidence in and update of COVID-19 vaccines while reinforcing basic prevention measures. The focus will be for vaccine hesitancy in underserved populations, that includes Latino and Marshallese and in rural communities across the state.

#### **Goals**

The ADH Office of Health Communications will utilize existing contracts with statewide and local media outlets to promote public confidence in and update of COVID-19 vaccines and customizing it to underserved populations and rural communities across the state.

#### **Sustainability:**

This is a one-time funding opportunity for a statewide COVID-19 vaccine media campaign.

#### **Geographic Coverage**

Statewide

---

---



**Recipient Information**

**1. Recipient Name**

Arkansas Department of Health  
4815 W Markham St  
Little Rock, AR 72205-3866  
[No Phone Record]

**2. Congressional District of Recipient**

02

**3. Payment System Identifier (ID)**

1716007358A1

**4. Employer Identification Number (EIN)**

710847443

**5. Data Universal Numbering System (DUNS)**

809873185

**6. Recipient's Unique Entity Identifier**

**7. Project Director or Principal Investigator**

Kellye J McCartney  
Branch Chief  
kellye.mccartney@arkansas.gov  
501-661-2466

**8. Authorized Official**

Ms. Jo Thompson  
Chief Financial Officer  
Jo.C.Thompson@arkansas.gov  
(501) 280-4157

**Federal Agency Information**

CDC Office of Financial Resources

**9. Awarding Agency Contact Information**

Sharlene Sanders  
GMS  
qxl2@cdc.gov  
678-475-4650

**10. Program Official Contact Information**

Ms Hanan Awwad  
Program Officer  
Immunization Services Division (ISD)  
wgn5@cdc.gov  
404 718 4623

**Federal Award Information**

**11. Award Number**

6 NH231P922579-02-04

**12. Unique Federal Award Identification Number (FAIN)**

NH231P922579

**13. Statutory Authority**

Sections 317, 317(k)(2) of the Public Health Service Act (42 U.S.C. Sections 247b, 247b(k)(2) and 247c), as amended

**14. Federal Award Project Title**

CDC-RFA-IP19-1901 Immunization and Vaccines for Children

**15. Assistance Listing Number**

93.268

**16. Assistance Listing Program Title**

Immunization Cooperative Agreements

**17. Award Action Type**

Supplement

**18. Is the Award R&D?**

No

**Summary Federal Award Financial Information**

<b>19. Budget Period Start Date</b>	07/01/2020	<b>- End Date</b>	06/30/2021
<b>20. Total Amount of Federal Funds Obligated by this Action</b>			\$3,828,419.00
20a. Direct Cost Amount			\$3,828,419.00
20b. Indirect Cost Amount			\$0.00
<b>21. Authorized Carryover</b>			\$0.00
<b>22. Offset</b>			\$0.00
<b>23. Total Amount of Federal Funds Obligated this budget period</b>			\$33,455,415.00
<b>24. Total Approved Cost Sharing or Matching, where applicable</b>			\$0.00
<b>25. Total Federal and Non-Federal Approved this Budget Period</b>			\$37,283,834.00
<b>26. Project Period Start Date</b>	07/01/2019	<b>- End Date</b>	06/30/2024
<b>27. Total Amount of the Federal Award including Approved Cost Sharing or Matching this Project Period</b>			\$41,838,635.00

**28. Authorized Treatment of Program Income**

ADDITIONAL COSTS

**29. Grants Management Officer - Signature**

Freda Johnson

**30. Remarks**



DEPARTMENT OF HEALTH AND HUMAN SERVICES

Centers for Disease Control and Prevention

Notice of Award

Award# 6 NH231P922579-02-04

FAIN# NH231P922579

Federal Award Date: 03/29/2021

<p><b>Recipient Information</b></p> <p><b>Recipient Name</b>          Arkansas Department of Health          4815 W Markham St          Little Rock, AR 72205-3866          [No Phone Record]</p> <p><b>Congressional District of Recipient</b>          02</p> <p><b>Payment Account Number and Type</b>          1716007358A1</p> <p><b>Employer Identification Number (EIN) Data</b>          710847443</p> <p><b>Universal Numbering System (DUNS)</b>          809873185</p> <p><b>Recipient's Unique Entity Identifier</b>          Not Available</p>
---

<p><b>31. Assistance Type</b>          Cooperative Agreement</p> <p><b>32. Type of Award</b>          Demonstration</p>
---

<p><b>33. Approved Budget</b>          (Excludes Direct Assistance)</p>	
<p>I. Financial Assistance from the Federal Awarding Agency Only</p>	
<p>II. Total project costs including grant funds and all other financial participation</p>	
a. Salaries and Wages	\$1,121,381 00
b. Fringe Benefits	\$359,139 00
c. Total Personnel Costs	\$1,480,520 00
d. Equipment	\$0 00
e. Supplies	\$674,142 00
f. Travel	\$32,788 00
g. Construction	\$0.00
h. Other	\$32,392,185 00
i. Contractual	\$2,276,328 00
j. TOTAL DIRECT COSTS	\$36,855,963.00
k. INDIRECT COSTS	\$427,871.00
l. TOTAL APPROVED BUDGET	\$37,283,834.00
m. Federal Share	\$37,283,834.00
n. Non-Federal Share	\$0.00

**34. Accounting Classification Codes**

FY-ACCOUNT NO.	DOCUMENT NO.	ADMINISTRATIVE CODE	OBJECT CLASS	AMT ACTION FINANCIAL ASSISTANCE	APPROPRIATION
I-9390GWA	20NH231P922579C6	IP	41.51	\$3,828,419.00	75-X-0943



**DEPARTMENT OF HEALTH AND HUMAN SERVICES** Notice of Award

Centers for Disease Control and Prevention

Award# 6 NH23IP922579-02-04

FAIN# NH23IP922579

Federal Award Date: 03/29/2021

**Direct Assistance**

BUDGET CATEGORIES	PREVIOUS AMOUNT (A)	AMOUNT THIS ACTION (B)	TOTAL (A + B)
Personnel	\$0.00	\$0.00	\$0.00
Fringe Benefits	\$0.00	\$0.00	\$0.00
Travel	\$0.00	\$0.00	\$0.00
Equipment	\$0.00	\$0.00	\$0.00
Supplies	\$0.00	\$0.00	\$0.00
Contractual	\$0.00	\$0.00	\$0.00
Construction	\$0.00	\$0.00	\$0.00
Other	\$0.00	\$0.00	\$0.00
Total	\$0.00	\$0.00	\$0.00

# AWARD ATTACHMENTS

Arkansas Department of Health

6 NH23IP922579-02-04

---

1. Terms and Conditions

#### **ADDITIONAL TERMS AND CONDITIONS OF AWARD**

**Incorporation:** In addition to the federal laws, regulations, policies, and CDC General Terms and Conditions for Non-research awards at

<https://www.cdc.gov/grants/federalregulationspolicies/index.html>, the Centers for Disease Control and Prevention (CDC) hereby incorporates Notice of Funding Opportunity (NOFO) number IP19- 1901, entitled, *Immunization and Vaccines for Children*, which are hereby made a part of this Non-research award, hereinafter referred to as the Notice of Award (NoA).

**Supplemental Component Funding:** Additional funding in the amount \$3,828,419 is approved for the Year 02 budget period, which is July 1, 2020 through June 30, 2021.

**Recipients have until June 30, 2024 to expend all COVID-19 funds herein and previously funded.**

**Overtime:** Because overtime costs are a very likely and reasonable expense during the response to COVID-19, CDC will allow recipients to include projected overtime in their budgets. Recipients should be careful to estimate costs based on current real-time needs and will still be required to follow federal rules and regulations in accounting for the employees' time and effort.

**Coronavirus Disease 2019 (COVID-19) Funds:** A recipient of a grant or cooperative agreement awarded by the Department of Health and Human Services (HHS) with funds made available under the Coronavirus Preparedness and Response Supplemental Appropriations Act, 2020 (P.L. 116-123); the Coronavirus Aid, Relief, and Economic Security Act, 2020 (the "CARES Act") (P.L. 116-136); the Paycheck Protection Program and Health Care Enhancement Act (P.L. 116-139); and/or the Consolidated Appropriations Act, 2021, Division M - Coronavirus Response and Relief Supplemental Appropriations Act, 2021 (P.L. 116-260), the American Rescue Plan Act of 2021 (P.L. 117-2) agrees, as applicable to the award, to: 1) comply with existing and/or future directives and guidance from the Secretary regarding control of the spread of COVID-19; 2) in consultation and coordination with HHS, provide, commensurate with the condition of the individual, COVID-19 patient care regardless of the individual's home jurisdiction and/or appropriate public health measures (e.g., social distancing, home isolation); and 3) assist the United States Government in the implementation and enforcement of federal orders related to quarantine and isolation.

In addition, to the extent applicable, Recipient will comply with Section 18115 of the CARES Act, with respect to the reporting to the HHS Secretary of results of tests intended to detect SARS- CoV-2 or to diagnose a possible case of COVID-19. Such reporting shall be in accordance with guidance and direction from HHS and/or CDC. HHS laboratory reporting guidance is posted at: <https://www.hhs.gov/sites/default/files/covid-19-laboratory-data-reporting-guidance.pdf>.

Further, consistent with the full scope of applicable grant regulations (45 C.F.R. 75.322), the purpose of this award, and the underlying funding, the recipient is expected to provide to CDC copies of and/or access to COVID-19 data collected with these funds, including but not limited to data related to COVID-19 testing. CDC will specify in further guidance and directives what is encompassed by this requirement.

**Unallowable Costs:**

- Research
- Clinical care
- Publicity and propaganda (lobbying):
  - o Other than for normal and recognized executive-legislative relationships, no funds may be used for:
    - publicity or propaganda purposes, for the preparation, distribution, or use of any material designed to support or defeat the enactment of legislation before any legislative body
    - the salary or expenses of any grant or contract recipient, or agent acting for such recipient, related to any activity designed to influence the enactment of legislation, appropriations, regulation, administrative action, or Executive order proposed or pending before any legislative body
  - o See Additional Requirement (AR) 12 for detailed guidance on this prohibition and additional guidance on lobbying for CDC recipients:  
[https://www.cdc.gov/grants/documents/Anti-Lobbying\\_Restrictions\\_for\\_CDC\\_Grantees\\_July\\_2012.pdf](https://www.cdc.gov/grants/documents/Anti-Lobbying_Restrictions_for_CDC_Grantees_July_2012.pdf)
- All unallowable costs cited in CDC-RFA-IP19-1901 remain in effect, unless specifically amended in this guidance, in accordance with 45 CFR Part 75 - Uniform Administrative Requirements, Cost Principles, And Audit Requirements for HHS Awards.

**COVID-19 Funding Budget Revision Requirement:** The recipient must submit a revised budget with a narrative justification within 60 days of receipt of the Notice of Award. If the date falls on a weekend or holiday, the submission will be due the following business day. Failure to submit the required information in a timely manner may adversely affect the future funding of this project. If the information cannot be provided by the due date, you are required to contact the GMS/GMO identified in the Awarding Agency Contact Information section on the first page before the due date.

<b>REPORTING REQUIREMENTS</b>
-------------------------------

**Required Disclosures for Federal Awardee Performance and Integrity Information System (FAPIIS):** Consistent with 45 CFR 75.113, applicants and recipients must disclose in a timely manner, in writing to the CDC, with a copy to the HHS Office of Inspector General (OIG), all information related to violations of federal criminal law involving fraud, bribery, or gratuity violations potentially affecting the federal award. Subrecipients must disclose, in a timely manner in writing to the prime recipient (pass through entity) and the HHS OIG, all information related to violations of federal criminal law involving fraud, bribery, or gratuity violations potentially affecting the federal award. Disclosures must be sent in writing to the CDC and to the HHS OIG at the following addresses:

CDC, Office of Grants Services  
Wayne Woods, Grants Management Specialist Centers for Disease Control and Prevention  
Branch 1  
2939 Flowers Road, MS-TV-2 Atlanta, GA 30341  
Email: [kuv1@cdc.gov](mailto:kuv1@cdc.gov) (Include "Mandatory Grant Disclosures" in subject line)

**AND**



U.S. Department of Health and Human Services Office of the Inspector General  
ATTN: Mandatory Grant Disclosures, Intake Coordinator 3301 Independence Avenue, SW  
Cohen Building, Room 5527 Washington, DC 20201  
Fax: (202)-205-0604 (Include "Mandatory Grant Disclosures" in subject line) or Email:  
[MandatoryGranteeDisclosures@oig.hhs.gov](mailto:MandatoryGranteeDisclosures@oig.hhs.gov)

Recipients must include this mandatory disclosure requirement in all subawards and contracts under this award.

Failure to make required disclosures can result in any of the remedies described in 45 CFR 75.371. Remedies for noncompliance, including suspension or debarment (See 2 CFR parts 180 and 376, and 31 U.S.C. 3321).

CDC is required to report any termination of a federal award prior to the end of the period of performance due to material failure to comply with the terms and conditions of this award in the OMB-designated integrity and performance system accessible through SAM (currently FAPIIS). (45 CFR 75.372(b)) CDC must also notify the recipient if the federal award is terminated for failure to comply with the federal statutes, regulations, or terms and conditions of the federal award. (45 CFR 75.373(b))

#### **PAYMENT INFORMATION**

*The HHS Office of the Inspector General (OIG) maintains a toll-free number (1-800-HHS-TIPS [1- 800-447-8477]) for receiving information concerning fraud, waste, or abuse under grants and cooperative agreements. Information also may be submitted by e-mail to [hhstips@oig.hhs.gov](mailto:hhstips@oig.hhs.gov) or by mail to Office of the Inspector General, Department of Health and Human Services, Attn: HOTLINE, 330 Independence Ave., SW, Washington DC 20201. Such reports are treated as sensitive material and submitters may decline to give their names if they choose to remain anonymous.*

**Payment Management System Subaccount:** Funds awarded in support of approved activities have been obligated in a subaccount in the PMS, herein identified as the "P Account". Funds must be used in support of approved activities in the NOFO and the approved application.

The grant document number identified on the bottom of **Page 2** of the Notice of Award must be known to draw down funds.

**Stewardship:** The recipient must exercise proper stewardship over Federal funds by ensuring that all costs charged to your cooperative agreement are allowable, allocable, and reasonable and that they address the highest priority needs as they relate to this program.

All the other terms and conditions issued with the original award remain in effect throughout the budget period unless otherwise changed, in writing, by the Grants Management Officer.

**UTILIZATION REPORT  
FOR  
MISCELLANEOUS FEDERAL GRANT PROGRAM APPROPRIATION  
AND PERSONNEL AUTHORIZATION REQUEST  
A.C.A. §19-7-501 ET SEQ.**

Date: 9/27/2021 Grant ID 93.268 Legislative Review Date: \_\_\_\_\_  
 Agency: Arkansas Department of Health Program Title: Immunization and Outbreak Control  
 Granting Organization: Department of Health and Human Services/CDC Grant #: NH23IP922579-02-04  
 Effective Date of Authorization: Beginning: 7/1/2021 Ending: 6/1/2022

Purpose of Grant / Reason for addition or change: (include attachments as necessary to provide thorough information):  
 With the threat of COVID-19, the Arkansas Immunization Program will work with various community-based organizations and internal and external partners to utilize available resources to plan, promote, and operationalize a vaccination response to COVID-19.

**Project-Grant Funding**

Business Area Code: 0645  
 Funds Center Code: 34PV  
 Fund Code: PHD0000  
 Functional Area Code: HHS  
 Continuation of Existing Program  X  
 Change in Existing Program: \_\_\_\_\_  
 New Program: \_\_\_\_\_

	New Federal Funds	State Matching Funds	Other Matching Funds	Project Total
Regular Salaries				
Extra Help				
Personal Services Matching				
Operating Expenses				
Conference & Travel Expenses				
Professional Fees				
Capital Outlay				
Data Processing				
Grants and Aid (CI: 04)				
Other: 590051	(3,828,419)			(3,828,419)
Other:				
<b>Total</b>	<b>\$ (3,828,419)</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ (3,828,419)</b>

**Funding Percentages**

**Type of Federal Grant**

	Federal	State	Other	Total
FY22	100%			100%
FY23				0%
FY24				0%
FY25				0%
FY26				0%

WIA \_\_\_\_\_  
 Non-WIA  X

Anticipated Duration of Federal Funds: 06/30/2024

**DFA IGS State Technology Planning** Date \_\_\_\_\_  
 Items requested for information technology must be in compliance with Technology Plans as submitted to DFA IGS State Technology Planning.

**Positions to be established: (list each position separately)**

Org Unit	Pers Area	Pers SubArea	Cost Center	Position Number	Comnt Item	Position Title	Class Code	Grade	Line Item Maximum *

**State funds will not be used to replace federal funds when such funds expire, unless appropriated by the General Assembly and authorized by the Governor.**

Approved by: Jessie R. Pennington 9/28/21 Date  
[Signature] 9-30-21 Date  
 Agency Director Office of Budget Office of Personnel Mgmt Date

**UTILIZATION REPORT  
FOR  
MISCELLANEOUS FEDERAL GRANT PROGRAM APPROPRIATION  
AND PERSONNEL AUTHORIZATION REQUEST  
A.C.A. §19-7-501 ET SEQ.**

Date: 5/13/2021 Grant ID 93.268 Legislative Review Date: \_\_\_\_\_

Agency: Arkansas Department of Health Program Title: Immunization Cooperative Agreement

Granting Organization: Department of Health and Human Services/CDC Grant #: 5NH23IP922579-02-04

Effective Date of Authorization: Beginning: 7/1/2021 Ending: 6/30/2022

Purpose of Grant / Reason for addition or change: (include attachments as necessary to provide thorough information):  
With the threat of COVID-19, the Arkansas Immunization Program will work with various community-based organizations and internal and external partners to utilize available resources to plan, promote, and operationalize a vaccination response to COVID-19.

**Project-Grant Funding**

Business Area Code: 0645  
Funds Center Code: 34PV  
Fund Code: PHD0000  
Functional Area Code: HHS

Continuation of Existing Program  X  
Change in Existing Program: \_\_\_\_\_  
New Program: \_\_\_\_\_

	New Federal Funds	State Matching Funds	Other Matching Funds	Project Total
Regular Salaries				-
Extra Help				-
Operating Expenses				-
Personal Services Matching				-
Conference & Travel Expenses				-
Professional Fees				-
Capital Outlay				-
Data Processing				-
Grants and Aid (CI: 04)				-
Other: CI51	3,828,419			3,828,419
Other:				-
<b>Total</b>	\$ 3,828,419	\$ -	\$ -	\$ 3,828,419

	Funding Percentages			Total
	Federal	State	Other	
FY21	100%			100%
FY22	100%			100%
FY23	100%			100%
FY24	100%			100%
FY25				0%

**Type of Federal Grant**

WIA \_\_\_\_\_  
Non-WIA  X

Anticipated Duration of Federal Funds: 06/30/2024

--	--

**DFA IGS State Technology Planning** Date \_\_\_\_\_  
Items requested for information technology must be in compliance with Technology Plans as submitted to DFA IGS State Technology Planning.

**Positions to be established: (list each position separately)**

Org Unit	Pers Area	Pers SubArea	Cost Center	Position Number	Comnt Item	Position Title	Class Code	Grade	Line Item Maximum *

State funds will not be used to replace federal funds when such funds expire, unless appropriated by the General Assembly and authorized by the Governor.

Approved by: Jean R. Romney Date: 5/13/21 Office of Budget Date: 6-3-21 Office of Personnel Mgmt Date: \_\_\_\_\_



STATE OF ARKANSAS  
**Department of Finance  
and Administration**

**F.1(d)(ii)**  
**OFFICE OF THE SECRETARY**  
1509 West Seventh Street, Suite 401  
Post Office Box 3278  
Little Rock, Arkansas 72203-3278  
Phone: (501) 682-2242  
Fax: (501) 682-1029  
www.arkansas.gov/dfa

October 6, 2021


Senator Jonathan Dismang, Co-Chair  
Representative Michelle Gray, Co-Chair  
Performance Evaluation & Expenditure Review Committee  
Arkansas Legislative Council  
State Capitol Building  
Little Rock, AR 72201

RE: Health Department Items

Dear Co-Chairs:

I respectfully request inclusion in this month's PEER review of the attached items for the Department of Health.

Sincerely,

  
Larry W. Walther  
Secretary



# Arkansas Department of Health

---

4815 West Markham Street • Little Rock, Arkansas 72205-3867 • Telephone (501) 661-2000  
Governor Asa Hutchinson  
José R. Romero, MD, Secretary of Health

September 28, 2021

Larry W. Walther, Secretary  
Department of Finance & Administration  
1509 West 7<sup>th</sup> Street, Suite 401  
Little Rock, AR 72201

RE: Arkansas Department of Health (ADH) Request to Return ARPA (American Rescue Plan Act) Appropriation and Request CAA (Consolidated Appropriations Act) for the \$40 million Health Disparities Award

Dear Secretary Walther,

During the August 23, 2021, Performance Evaluation and Expenditure Review (PEER) Subcommittee meeting, ADH presented the \$40 million federal award from the Centers for Disease Control and Prevention for Health Disparities as a request for ARPA appropriations. The request was approved. However, since then, while verifying the funding type for another federal award, we discovered that the appropriations for the \$40M award should have been from CAA. To date, no expenses have been incurred toward these funds.

In an effort to correct the appropriation classification error, we met with DFA Office of Accounting and Office of Budget staff and was advised to return the ARPA appropriation and to request CAA appropriation. This letter is a request to proceed with the guidance from DFA staff and have the \$40M award reviewed again by the Arkansas Legislature.

Taking into account the past difficulty experienced with determining the correct federal funding type and to avoid future errors, ADH has implemented the process of using the TAGGS (Tracking Accountably in Government Grants Systems) website to verify federal funding awards. This website is managed in conjunction with the United States Department of Health and Human Services and lists all federal funding awards per state and it identifies the federal legislation supporting the funds. Additionally, we have shared this website with DFA staff.

On behalf of ADH, I sincerely apologize for this error and any inconvenience associated with it. If you would like additional information, I can be contacted by email at [Jo.Thompson@arkansas.gov](mailto:Jo.Thompson@arkansas.gov) or by phone at 501-280-4157.

Your consideration of this request is greatly appreciated.

Thank you,



Jo Thompson  
Chief Financial Officer  
Arkansas Department of Health

CC: Don Adams, ADH Deputy Director of Administrative Operations

**UTILIZATION REPORT  
FOR  
MISCELLANEOUS FEDERAL PROGRAM APPROPRIATION  
AND PERSONNEL AUTHORIZATION REQUEST  
A.C.A. §19-7-501 ET SEQ.**

Date: 9/28/2021 Grant ID 93.391 Legislative Review Date: \_\_\_\_\_

Agency: Arkansas Department of Health Program Title: National Initiative to Address COVID-19 Health Disparities

Granting Organization: Centers for Disease Control and Prevention Grant #: \_\_\_\_\_

Effective Date of Authorization: Beginning: 6/1/2021 Ending: 6/30/2022

Purpose of Grant / Reason for addition or change: (include attachments as necessary to provide thorough information):  
 COVID-19 has disproportionately affected populations placed at high risk and who are medically underserved, including racial and ethnic minority groups, and people living in rural communities who are at higher risk of exposure, infection hospitalization, and mortality. This grant will provide funding to address COVID-19 and advance health equity (strategies, interventions, and services that consider systemic barriers and potentially discriminatory practices that have put certain groups at higher risk for diseases like COVID-19) that increase the severity of COVID-19 infection and might experience barriers to accessing testing, treatment, or vaccination against the severe acute respiratory syndrome coronavirus 2 which causes COVID-19.

**Project-Grant Funding**

Business Area Code: 0645  
 Funds Center Code: 34PV  
 Fund Code: PHD0000  
 Functional Area Code: HHS

Continuation of Existing Program: \_\_\_\_\_  
 Change in Existing Program: \_\_\_\_\_  
 New Program:  **X**

	New Federal Funds	State Matching Funds	Other Matching Funds	Project Total
Regular Salaries	663,410			663,410
Extra Help	2,213,530			2,213,530
Personal Services Matching	799,654			799,654
Operating Expenses	1,018,680			1,018,680
Conference & Travel Expenses				
Professional Fees				
Capital Outlay	470,000			470,000
Data Processing				
Grants and Aid (CI: 04)	34,503,764			34,503,764
Other: Indirect Costs	742,672			742,672
Other:				-
<b>Total</b>	<b>\$ 40,411,710</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 40,411,710</b>

**Funding Percentages**

	Federal	State	Other	Total
FY22	100%			100%
FY23	100%			100%
FY24				0%
FY25				0%
FY26				0%

**Type of Federal Grant**

WIA \_\_\_\_\_  
 Non-WIA  **X**

Anticipated Duration of Federal Funds: 06/01/2021-05/31/2023

**DFA IGS State Technology Planning** Date \_\_\_\_\_  
 Items requested for information technology must be in compliance with Technology Plans as submitted to DFA IGS State Technology Planning.

**Positions to be established: (list each position separately)**

Org Unit	Pers Area	Pers SubArea	Cost Center	Position Number	Cmnt Item	Position Title	Class Code	Grade	Line Item Maximum *
22173583			609068		501:00:00	ADH Branch Manager	L013C	GS11	
22173583			609068		501:00:00	Chief Epidemiologist	L019N	GS12	
22173583			609068		501:00:00	Public Information Manager	P004C	GS09	
22173583			609068		501:00:00	Health Program Specialist III	L043C	GS08	
22173583			609068		501:00:00	Nurse Practitioner	L018N	MP04	
22173583			609068		501:00:00	Health Program Specialist II	L048C	GS07	
22173583			609068		501:00:00	Research & Statistics Manager	AO72C	GS07	
22173583			609068		501:00:00	Public Information Manager	P004C	GS09	

**State funds will not be used to replace federal funds when such funds expire, unless appropriated by the General Assembly and authorized by the Governor.**

Approved by: Juan R. Roman 9/28/21 [Signature] 9-30-21  
 Agency Director Date Office of Budget Date Office of Personnel Mgmt Date



**Recipient Information**

**1. Recipient Name**

Arkansas Department of Health  
4815 W Markham St  
Little Rock, AR 72205-3866  
[No Phone Record]

**2. Congressional District of Recipient**

02

**3. Payment System Identifier (ID)**

1710847443A5

**4. Employer Identification Number (EIN)**

710847443

**5. Data Universal Numbering System (DUNS)**

809873185

**6. Recipient's Unique Entity Identifier**

**7. Project Director or Principal Investigator**

Ms. Benita Bosier-Ingram  
Grants manager  
Benita.Bosier-Ingram@arkansas.gov  
501-614-5277

**8. Authorized Official**

Ms. Jo Thompson  
Chief Financial Officer  
Jo.C.Thompson@arkansas.gov  
(501) 280-4157

**Federal Agency Information**

CDC Office of Financial Resources

**9. Awarding Agency Contact Information**

Mr. Dedrick Muhammad  
Grants Management Specialist  
qtm2@cdc.gov  
678-475-4523

**10. Program Official Contact Information**

Ms. Christine Graaf  
khx2@cdc.gov  
404-498-0442

**Federal Award Information**

**11. Award Number**

1 NH75OT000061-01-00

**12. Unique Federal Award Identification Number (FAIN)**

NH75OT000061

**13. Statutory Authority**

317(K)(2) OF PHSA 42USC 247B(K)(2)

**14. Federal Award Project Title**

National Initiative to Address COVID-19 Health Disparities Among Populations at High-Risk and Underserved, Including Racial and Ethnic Minority Populations and Rural Communities

**15. Assistance Listing Number**

93.391

**16. Assistance Listing Program Title**

Activities to Support State, Tribal, Local and Territorial (STLT) Health: Department Response to Public Health or Healthcare Crises

**17. Award Action Type**

New

**18. Is the Award R&D?**

No

**Summary Federal Award Financial Information**

<b>19. Budget Period Start Date</b>	06/01/2021	<b>- End Date</b>	05/31/2023
<b>20. Total Amount of Federal Funds Obligated by this Action</b>	\$40,411,710.00		
20a. Direct Cost Amount	\$39,669,038.00		
20b. Indirect Cost Amount	\$742,672.00		
<b>21. Authorized Carryover</b>	\$0.00		
<b>22. Offset</b>	\$0.00		
<b>23. Total Amount of Federal Funds Obligated this budget period</b>	\$0.00		
<b>24. Total Approved Cost Sharing or Matching, where applicable</b>	\$0.00		
<b>25. Total Federal and Non-Federal Approved this Budget Period</b>	\$40,411,710.00		
<b>26. Project Period Start Date</b>	06/01/2021	<b>- End Date</b>	05/31/2023
<b>27. Total Amount of the Federal Award including Approved Cost Sharing or Matching this Project Period</b>	Not Available		

**28. Authorized Treatment of Program Income**

ADDITIONAL COSTS

**29. Grants Management Officer - Signature**

Ms. Shirley K Byrd  
Grants Management Officer

**30. Remarks**





DEPARTMENT OF HEALTH AND HUMAN SERVICES

Centers for Disease Control and Prevention

Notice of Award

Award# 1 NH75OT000061-01-00

FAIN# NH75OT000061

Federal Award Date: 05/27/2021

**Recipient Information**

**Recipient Name**

Arkansas Department of Health  
 4815 W Markham St  
 Little Rock, AR 72205-3866  
 [No Phone Record]

**Congressional District of Recipient**

02

**Payment Account Number and Type**

1710847443A5

**Employer Identification Number (EIN) Data**

710847443

**Universal Numbering System (DUNS)**

809873185

**Recipient's Unique Entity Identifier**

Not Available

**31. Assistance Type**

Project Grant

**32. Type of Award**

Other

**33. Approved Budget**

(Excludes Direct Assistance)

I. Financial Assistance from the Federal Awarding Agency Only	
II. Total project costs including grant funds and all other financial participation	
a. Salaries and Wages	\$2,876,940.00
b. Fringe Benefits	\$799,654.00
c. Total Personnel Costs	\$3,676,594.00
d. Equipment	\$470,000.00
e. Supplies	\$386,000.00
f. Travel	\$172,080.00
g. Construction	\$0.00
h. Other	\$460,600.00
i. Contractual	\$34,503,764.00
j. TOTAL DIRECT COSTS	\$39,669,038.00
k. INDIRECT COSTS	\$742,672.00
l. TOTAL APPROVED BUDGET	\$40,411,710.00
m. Federal Share	\$40,411,710.00
n. Non-Federal Share	\$0.00

**34. Accounting Classification Codes**

FY-ACCOUNT NO.	DOCUMENT NO.	ADMINISTRATIVE CODE	OBJECT CLASS	AMT ACTION FINANCIAL ASSISTANCE	APPROPRIATION
1-9390H06	21NH75OT000061C5	01	4151	\$40,411,710.00	75-2122-0140



**DEPARTMENT OF HEALTH AND HUMAN SERVICES** Notice of Award

Centers for Disease Control and Prevention

Award# 1 NH75OT000061-01-00

FAIN# NH75OT000061

Federal Award Date: 05/27/2021

**Direct Assistance**

BUDGET CATEGORIES	PREVIOUS AMOUNT (A)	AMOUNT THIS ACTION (B)	TOTAL (A + B)
Personnel	\$0.00	\$0.00	\$0.00
Fringe Benefits	\$0.00	\$0.00	\$0.00
Travel	\$0.00	\$0.00	\$0.00
Equipment	\$0.00	\$0.00	\$0.00
Supplies	\$0.00	\$0.00	\$0.00
Contractual	\$0.00	\$0.00	\$0.00
Construction	\$0.00	\$0.00	\$0.00
Other	\$0.00	\$0.00	\$0.00
Total	\$0.00	\$0.00	\$0.00

# AWARD ATTACHMENTS

Arkansas Department of Health

1 NH75OT000061-01-00

---

1. Terms and Conditions

**AWARD INFORMATION**

**Incorporation:** In addition to the federal laws, regulations, policies, and CDC General Terms and Conditions for Non-research awards at <https://www.cdc.gov/grants/federalregulationspolicies/index.html>, the Centers for Disease Control and Prevention (CDC) hereby incorporates Notice of Funding Opportunity (NOFO) number CDC-RFA-OT21-2103, entitled National Initiative to Address COVID-19 Health Disparities Among Populations at High-Risk and Underserved, Including Racial and Ethnic Minority Populations and Rural Communities, and application dated May 3, 2021, as may be amended, which are hereby made a part of this Non-research award, hereinafter referred to as the Notice of Award (NoA).

**Approved Funding:** Funding in the amount of \$40,411,710 is approved for a two year performance and budget period, which is June 1, 2021 through May 31, 2023. All future funding will be based on satisfactory programmatic progress and the availability of funds.

The federal award amount is subject to adjustment based on total allowable costs incurred and/or the value of any third party in-kind contribution when applicable.

Note: Refer to the Payment Information section for Payment Management System (PMS) subaccount information.

**Component/Project Funding:** The NOFO provides for the funding of multiple components under this award. The approved component funding levels for this notice of award are:

NOFO Component	Amount
Base funding	\$27,877,376
State Rural Carveout	\$12,534,334

**Coronavirus Disease 2019 (COVID-19) Funds:** A recipient of a grant or cooperative agreement awarded by the Department of Health and Human Services (HHS) with funds made available under the Coronavirus Preparedness and Response Supplemental Appropriations Act, 2020 (P.L. 116-123); the Coronavirus Aid, Relief, and Economic Security Act, 2020 (the "CARES Act") (P.L. 116-136); the Paycheck Protection Program and Health Care Enhancement Act (P.L. 116-139); the Consolidated Appropriations Act and the Coronavirus Response and Relief Supplement Appropriations Act, 2021 (P.L. 116-260) and/or the American Rescue Plan of 2021 [P.L. 117-2] agrees, as applicable to the award, to: 1) comply with existing and/or future directives and guidance from the Secretary regarding control of the spread of COVID-19; 2) in consultation and coordination with HHS, provide, commensurate with the condition of the individual, COVID-19 patient care regardless of the individual's home jurisdiction and/or appropriate public health measures (e.g., social distancing, home isolation); and 3) assist the United States Government in the implementation and enforcement of federal orders related to quarantine and isolation.

In addition, to the extent applicable, Recipient will comply with Section 18115 of the CARES Act, with respect to the reporting to the HHS Secretary of results of tests intended to detect SARS-CoV-2 or to diagnose a possible case of COVID-19. Such reporting shall be in accordance with

guidance and direction from HHS and/or CDC. HHS laboratory reporting guidance is posted at: <https://www.hhs.gov/sites/default/files/covid-19-laboratory-data-reporting-guidance.pdf>.

Further, consistent with the full scope of applicable grant regulations (45 C.F.R. 75.322), the purpose of this award, and the underlying funding, the recipient is expected to provide to CDC copies of and/or access to COVID-19 data collected with these funds, including but not limited to data related to COVID-19 testing. CDC will specify in further guidance and directives what is encompassed by this requirement.

This award is contingent upon agreement by the recipient to comply with existing and future guidance from the HHS Secretary regarding control of the spread of COVID-19. In addition, recipient is expected to flow down these terms to any subaward, to the extent applicable to activities set out in such subaward.

**Financial Assistance Mechanism: Grant**

**Budget Revision Requirement:** By August 1, 2021, the recipient must submit a revised budget with a narrative justification identifying required contractual elements. Failure to submit the required information in a timely manner may adversely affect the future funding of this project. If the information cannot be provided by the due date, you are required to contact the GMS/GMO identified in the CDC Staff Contacts section of this notice before the due date.

**Pre-Award Costs:** Pre-award costs dating back to March 15, 2021 – and directly related to the COVID-19 outbreak response are allowable.

**FUNDING RESTRICTIONS AND LIMITATIONS**

**Indirect Costs:**

Although Arkansas Department of Health has a negotiated indirect cost rate of 28.9 percent, a lower rate of 20.2 percent is requested. The reduced indirect cost rate is approved with a base of direct salaries and fringe only.

**REPORTING REQUIREMENTS**

**Required Disclosures for Federal Awardee Performance and Integrity Information System (FAPIIS):** Consistent with 45 CFR 75.113, applicants and recipients must disclose in a timely manner, in writing to the CDC, with a copy to the HHS Office of Inspector General (OIG), all information related to violations of federal criminal law involving fraud, bribery, or gratuity violations potentially affecting the federal award. Subrecipients must disclose, in a timely manner in writing to the prime recipient (pass through entity) and the HHS OIG, all information related to violations of federal criminal law involving fraud, bribery, or gratuity violations potentially affecting the federal award. Disclosures must be sent in writing to the CDC and to the HHS OIG at the following addresses:

CDC, Office of Grants Services  
Dedrick Muhammad, Grants Management Specialist  
Centers for Disease Control and Prevention

Global Health Services Branch  
2939 Flowers Road  
Atlanta, GA 30341  
Email: [qtm2@cdc.gov](mailto:qtm2@cdc.gov) (Include "Mandatory Grant Disclosures" in subject line)

AND

U.S. Department of Health and Human Services  
Office of the Inspector General  
ATTN: Mandatory Grant Disclosures, Intake  
Coordinator 330 Independence Avenue, SW  
Cohen Building, Room  
5527 Washington, DC  
20201

Fax: (202)-205-0604 (Include "Mandatory Grant Disclosures" in subject line) or  
Email: [MandatoryGranteeDisclosures@oig.hhs.gov](mailto:MandatoryGranteeDisclosures@oig.hhs.gov)

Recipients must include this mandatory disclosure requirement in all subawards and contracts under this award.

Failure to make required disclosures can result in any of the remedies described in 45 CFR 75.371. Remedies for noncompliance, including suspension or debarment (See 2 CFR parts 180 and 376, and 31 U.S.C. 3321).

CDC is required to report any termination of a federal award prior to the end of the period of performance due to material failure to comply with the terms and conditions of this award in the OMB-designated integrity and performance system accessible through SAM (currently FAPIIS). (45 CFR 75.372(b)) CDC must also notify the recipient if the federal award is terminated for failure to comply with the federal statutes, regulations, or terms and conditions of the federal award. (45 CFR 75.373(b))

#### **PAYMENT INFORMATION**

*The HHS Office of the Inspector General (OIG) maintains a toll-free number (1-800-HHS-TIPS [1-800-447-8477]) for receiving information concerning fraud, waste, or abuse under grants and cooperative agreements. Information also may be submitted by e-mail to [hhstips@oig.hhs.gov](mailto:hhstips@oig.hhs.gov) or by mail to Office of the Inspector General, Department of Health and Human Services, Attn: HOTLINE, 330 Independence Ave., SW, Washington DC 20201. Such reports are treated as sensitive material and submitters may decline to give their names if they choose to remain anonymous.*

**Payment Management System Subaccount:** Funds awarded in support of approved activities have been obligated in a subaccount in the PMS, herein identified as the "P Account". Funds must be used in support of approved activities in the NOFO and the approved application.

The grant document number identified on the bottom of Page 1 of the Notice of Award must be known in order to draw down funds.

## PROGRAM OR FUNDING SPECIFIC CLOSEOUT REQUIREMENTS

The final programmatic report format required is the following.

**Final Performance Progress and Evaluation Report:** This report should include the information specified in the NOFO and is submitted 90 days following the end of the period of performance via [www.grantsolutions.gov](http://www.grantsolutions.gov). At a minimum, the report will include the following:

- Statement of progress made toward the achievement of originally stated aims.
- Description of results (positive or negative) considered significant.
- List of publications resulting from the project, with plans, if any, for further publication.

Additional guidance may be provided by the GMS and found at:  
<https://www.cdc.gov/grants/alreadyhavegrant/Reporting.html>

## CDC Staff Contacts

**Grants Management Specialist:** The GMS is the federal staff member responsible for the day-to-day management of grants and cooperative agreements. The GMS is the primary contact of recipients for business and administrative matters pertinent to grant awards.

**Program/Project Officer:** The PO is the federal official responsible for monitoring the programmatic, scientific, and/or technical aspects of grants and cooperative agreements, as well as contributing to the effort of the award under cooperative agreements.

**Grants Management Officer:** The GMO is the federal official responsible for the business and other non-programmatic aspects of grant awards. The GMO is the only official authorized to obligate federal funds and is responsible for signing the NoA, including revisions to the NoA that change the terms and conditions. The GMO serves as the counterpart to the business officer of the recipient organization.

**AMERICAN RESCUE PLAN ACT OF 2021 PROGRAM APPROPRIATION  
AND PERSONNEL AUTHORIZATION REQUEST  
SECTION 38 OF ACT 997 OF 2021**

Agency: Arkansas Department of Health Business Area Code: 0645  
 Program Title: National Initiative to Address COVID-19 Health Disparities Among Populations at High-Risk and Underserved, Including Racial and Ethnic Minority Populations and Rural Communities  
 Granting Organization: Centers for Disease Control and Prevention CFDA #: 93.391  
 Effective Date of Authorization: Beginning: 6/1/2021 Ending: 6/30/2022

Purpose of Grant / Reason for addition or change (include attachments as necessary to provide thorough information):  
 COVID-19 has disproportionately affected populations placed at high risk and who are medically underserved, including racial and ethnic minority groups, and people living in rural communities who are at higher risk of exposure, infection hospitalization, and mortality. This grant will provide funding to address COVID-19 and advance health equity (strategies, interventions, and services that consider systemic barriers and potentially discriminatory practices that have put certain groups at higher risk for diseases like COVID-19) that increase the severity of COVID-19 infection and might experience barriers to accessing testing, treatment, or vaccination against the severe acute respiratory syndrome coronavirus 2 which causes COVID-19.

**Direct Funding to  
the agency**

**American Rescue Plan Act Program Funding**

Functional Area Code: HHS Fund Code: \_\_\_\_\_  
 Funds Center Code: \_\_\_\_\_ Internal Order/WBS Element: \_\_\_\_\_

	Program Funding Amount
Regular Salaries	(663,410)
Extra Help	(2,213,530)
Personal Services Matching	(799,654)
Operating Expenses	(1,018,680)
Conference & Travel Expenses	
Professional Fees	
Capital Outlay	(470,000)
Data Processing	
Grants and Aid (CI: 04)	(34,503,764.00)
Other: Indirect Costs	(742,672)
Other:	
<b>Total:</b>	<b>\$ (40,411,710)</b>

Anticipated Duration of Federal Funds: 06/01/2021 - 05/31/2023

**DFA IGS State Technology Planning** Date \_\_\_\_\_  
 Items requested for information technology must be in compliance with Technology Plans as submitted to DFA IGS State Technology Planning.

**Positions to be established: (list each position separately)**

Org Unit	Pers Area	Pers SubArea	Cost Center	Position Number	Cmnt Item	Position Title	Class Code	Grade	Line Item Maximum *
22173583			609068		501:00:00	ADH Branch Manager	L013C	GS11	
22173583			609068		501:00:00	Chief Epidemiologist	L019N	GS12	
22173583			609068		501:00:00	Public Information Manager	P004C	GS09	
22173583			609068		501:00:00	Health Program Specialist III	L043C	GS08	
22173583			609068		501:00:00	Nurse Practitioner	L018N	MP04	
22173583			609068		501:00:00	Health Program Specialist II	L048C	GS07	
22173583			609068		501:00:00	Research & Statistics Manager	AO72C	GS07	
22173583			609068		501:00:00	Public Information Manager	P004C	GS09	

**State funds will not be used to replace federal funds when such funds expire, unless appropriated by the General Assembly and authorized by the Governor.**

Approved by: Josiah R. Rasmussen 9/28/21 [Signature] 9-30-21  
 Cabinet Secretary/Agency Director Date Office of Budget Date Office of Personnel Mgmt Date



**AMERICAN RESCUE PLAN ACT OF 2021 PROGRAM APPROPRIATION  
AND PERSONNEL AUTHORIZATION REQUEST  
SECTION 38 OF ACT 997 OF 2021**

Agency: Arkansas Department of Health Business Area Code: 0645

Program Title: National Initiative to Address COVID-19 Health Disparities Among Populations at High-Risk and Underserved, Including Racial and Ethnic Minority Populations and Rural Communities

Granting Organization: Centers for Disease Control and Prevention CFDA #: 93.391

Effective Date of Authorization: Beginning: 7/1/2021 Ending: 6/30/2022

Purpose of Grant / Reason for addition or change (include attachments as necessary to provide thorough information):  
 COVID-19 has disproportionately affected populations placed at high risk and who are medically underserved, including racial and ethnic minority groups, and people living in rural communities who are at higher risk of exposure, infection hospitalization, and mortality. This grant will provide funding to address COVID-19 and advance health equity (strategies, interventions, and services that consider systemic barriers and potentially discriminatory practices that have put certain groups at higher risk for diseases like COVID-19) that increase the severity of COVID-19 infection and might experience barriers to accessing testing, treatment, or vaccination against the severe acute respiratory syndrome coronavirus which causes COVID-19.

**Direct Funding to the agency**

**American Rescue Plan Act Program Funding**

Functional Area Code: HHS Fund Code: \_\_\_\_\_  
 Funds Center Code: \_\_\_\_\_ Internal Order/WBS Element: \_\_\_\_\_

	Program Funding Amount
Regular Salaries	663,410
Extra Help	2,213,530
Personal Services Matching	799,654
Operating Expenses	1,018,680
Conference & Travel Expenses	
Professional Fees	
Capital Outlay	470,000
Data Processing	
Grants and Aid (CI: 04)	34,503,764.00
Other: Indirect Costs	742,672
Other:	
<b>Total</b>	\$ 40,411,710

Anticipated Duration of Federal Funds: 06/01/2021 - 05/31/2023

DFA IGS State Technology Planning	Date
-----------------------------------	------

Items requested for information technology must be in compliance with Technology Plans as submitted to DFA IGS State Technology Planning.

**Positions to be established: (list each position separately)**

\* unclassified positions only

Org Unit	Pers Area	Pers SubArea	Cost Center	Position Number	Cmnt Item	Position Title	Class Code	Grade	Line Item Maximum *
22173583			609068		501:00:00	ADH Branch Manager	L013C	GS11	
22173583			609068		501:00:00	Chief Epidemiologist	L019N	GS12	
22173583			609068		501:00:00	Public Information Manager	P004C	GS09	
22173583			609068		501:00:00	Health Program Specialist III	L043C	GS08	
22173583			609068		501:00:00	Nurse Practitioner	L018N	MP04	
22173583			609068		501:00:00	Health Program Specialist II	L048C	GS07	
22173583			609068		501:00:00	Research & Statistics Manager	AO72C	GS07	
22173583			609068		501:00:00	Public Information Manager	P004C	GS09	

**State funds will not be used to replace federal funds when such funds expire, unless appropriated by the General Assembly and authorized by the Governor.**

Approved by:

Joe R. Romo 7/6/21  
 Cabinet Secretary/Agency Director Date

[Signature] 8-6-21  
 Office of Budget Date

[Signature] 7/7/21  
 Office of Personnel Mgmt Date

SD 7/6/21