Arkansas G.09 State Claims Commission

Please print in ink or type

AUG 17 2023

BEFORE THE STATE CLAIMS COMMISSION Of the State of Arkansas

	Do Not Write in These Spaces
Mr. Mrs.	
\square Ms.	Claim No.
Miss Joshua Stockton (ADC	Date Filed
, Claimant	(Month) (Day) (Year)
vs.	Amount of Claim \$
State of Arkansas, Respondent	Fund
COMPLAINT	ſ
Joshua Stockton (ADC ' , the above named Claimant, of	(Street or R.F.D. & No.) (City)
(Name)	Par= (cup)
(State) (Zip Code) (Daytime Phone No.)	(Legal Counsel, if any, for Claim)
	, says:
of (Street and No.) (Division) (City) (State) (Zip Code)	And a second
State agency involved: Arkania Deparment of Corrections	Amount sought: # 8,500 . 00
Month, day, year and place of incident or service: 06/23/2023 Policy / De	ty visiation Azgoingt plantill due
Explanation STATE Employe Aundres Culchares Did not	do A proper investigation that was
used to the attiment reduces due to his configures of a	interested issue brought to her attrice.
Clevimont clearly notified her office of state Contractors	gang against their own party (
practices as Evidence (3-4) show in (3)-Policy And (4)	Cole 004. 03.1 - 835 ASwell 45
Arkensigs Cole \$12-27-103 cover the Duties and Policies	vested by the stage to A.D.C Abilials
which antest inmatric reducis attempts for Conditions rela	they to their confinement and to the
Care of Violation' of that care. Comment with our by	the states confractor Access every
30 donal to his own medilat breaks. Alleis was interforce	1 with philosophy the time person of
every to days due to contractors requiring a correct old	in (Widence # 4) which goes Azori
well party (No. Spol(Eustine 3) poling), (Euslance #2 - "If y	0129 is in direct conflict to No. 800.
and the first the research is a sector without any importer of	view of medical records is fire
Pending litigraphing (see physique 3), The medical record re seeding litigraphing (see physique 3), The medical record re seeding not to exceed I have in duration. An immate wi	with will be limited to a Singlifor
Self son not to exceed I have in duration. An immake wi	I be limited to one medical record
11 " Alata star word the day by	Whe empland tourca accurce to cont
and I include the breached that duty well To the Upper	ant, and crapmants vices of stars
i i i i bill i bill control and the an exact result	a her omning to owen fitte the strengt
As parts of this complaint, the claimant makes the statements, and answers the following questions, as indica	Willinger Central Still Appends
$\frac{\sqrt{CS}}{(\text{Yes or No)}}, \text{ when?} \frac{O(g)}{(\text{Month})} \frac{\partial S}{(\text{Day})} \frac{\partial CS}{(\text{Year})}; \text{ to whom?} \frac{\partial CG}{(\text{Year})}$	No mEniTS Found to Clapmonts Clapi
DegArthworth ADC and that the following action was taken thereon:	- MCRATS JORNA TO CHIMMETERIO CHIM
and that \$ was paid thereon: (2) Has any third person or corporation an inte	erest in this claim? <u>NO</u> ; if so, state name and address
	City) (State) (Zip Code)
and that the nature thereof is as follows:	, in the following manner:
: and was acquired on	, malexentering
THE UNDERSIGNED states on oath that he or she is familiar with the matters and thing	s set forth in the above compliant, and that he or she verily believes
that they are true.	
Jushung Stockton #	(Signature of Claimant/Representation
(Print Claimant/Represe	1 AN 7160
SWORN TO and subscribed before me at figra	(10
GEORGE DUNCAN JR	$\begin{array}{c} \text{(City)} & \text{(State)} \\ 14 \text{ (B + S + 2023)} \end{array}$
SEAL) JEFFERSON COUNTY on this day of	
My Commission Expires 06-19-2025 Commission # 12695904	(Month) (Year)
Selvye Dum	can p
	(Notary Public)
SF1- R7/99 My Commission Expires:	(Var
	(Month) (Day) (Year

GEORGE DUNCANUR NOTARY PUBLIC-STATE CE ARIANSAS JEFFERSON COUNTY My Commission Expires 06-15 2020 Commission # 12695704

I.) Duty: under state Code of Arkaning \$ 12-27-103 Establishment - Revers and dutie (B)(1), The Department of Corrections (Arbansas Division of Corrections) shall have exclusive duristriction are the care, charge, custudy, convol, management, administrations, And Supervisions of all perms and offenders comitted to, or in the Witzdy of the state paritetiany; (6) The Department of correction shall Employ such uttices, employees, and myents and shall secure such offices and Quarters as are deened accessing to discharge the functions of the department of corrections. (7) The Department of corrections shall recient all offenders Comitted to the department of conceptions for Conviction of falonies or other offeres the possiblement of which is consistences to the pariteriary under the haws of This state, and shall be responsible for the Cure, Custily, and Correction of such persons porsument to the Polizies established by the Board of Corrections. Authority - The Board of Correction and Community punishment is vested with the Authority to promulgate this administrative rule by ARK. Codi Ann, -\$\$ 12-27-105, 16-93-1203, and 16-93-1205 (Michie Supp. 1995).

I.) Applicability - This policy applies to Employees And OFFENDERS of the -Department of Correction (D.O.C) and the Department of Community Punishment (DCP) -STAFF, inmutes and residents of Community punishment centers.

II) Policy - It is the Policy of the Board of Corrections And Community -Ponishment that offenders are provided an oppertunity to submit grievances regarding policy, Conditions, incidents, or Actions related to incarcention/-Confinement which directly impact them.

2.)

Ī	V. Guidelines - A) Administrative Directives establishing procedures will be set
	forth by each Agency. B.) Procedures shall, at Minimum provide for the
	Following: Timely, Effective and impartial processing of grievances; AN-
	Appeals process; appropriate documentation of gricuma acitity; and speaky -
	disposition of Emergency situations, with security and safety the paramount
	Concerni.
	C.) Use of the Grievance procedure shall occure without restraint, Coercian,
	discrimination, interference or reprisal. Violation of this guideline shall result is
	prompt And decisive action.
9	CUMENT with Ammendments recieved through November 15, 2022. ARKo Code-
	004.03.1-835.
_	Negligence STANDARD Authority:
	In the Eastern District OF Arkansas, CASE: Gary V. Langley, 2:17-CV-00117-
	LPR (E.D. ARK. SEP. 13, 2021) it Says, To prevail on a claim of Negligence, in
	Arkansas," The plantiff must prove that the defendant owed a duty to the plantiff,
	That the defendant Breached that duty, and that the Breach was the proximate
	Cause of the plantiffs injurics". (1. Duty, 2. Breach of Duty, 3. CAUSATION).
V.) STANDArds: American Correctional Association - STAndard For Adult Community -
	Residential services, 3rd edition, standard 3-ACRS-30-07, and Standards -
	For Adult Correctional Institutions, 3d Edition, Standard 3-4271.
Duty	Claimsont was owned the Duty by Defendant Aundres Culclages vested by the
	STARE To the Bourd of Corrections Frund in Ark. Code \$ 12-27-103 (B)(1) The Charge
	of clamants care (which includes medical care) Rights afforded him, as well as his
	Grindene precedure rights verted in Ark. cole 004, 03.1-835 For Redress
3.)	if his conditions it confinement incidents to be mailable and invisigned.

the chrimmit due to the states vestations of nothing to it's employees for the Carre of its ward immedies . Comparsation is Monetarily sought for there regligent anissions buy STATE Employce Andrea Cullager's negligent Conduct by Fugling to intervene in a corrective manying a violative issue given worthen notice of obvirrely the state's employee failed to correct. Respectfully Submitted, h the # Jushing Stuckton H 8-9-2023

Evidence

DEPUTY/ASSISTANT DIRECTOR'S DECISION

INMATE NAME:

Stockton, Joshua

ADC #:

GRIEVANCE#:

23-00129

CHIEF DEPUTY/DEPUTY/ASSISTANT DIRECTOR'S DECISION:

On April 17, 2023, you grieved, "INMATES ONE COMPLIANT is Retaliation From Wellpath, LLC Employees IN Denying INMATE ACCESS TO HIS MEDICAL RECORDS DUE to HIS grievances that He did upon VARIOUS Wellpath, LLC Employees And due to those grievances wellpath employees have violated their own custom/ policy of ACCESS To My records every 30 days and Now insist on me getting A COURT Order to have ACCESS, which is Retaliation conduct on protected activity by Wellpath employees And has violated inmates. Rights under the First Amendment of U.S. Constitution And Also violates the Arkansas State Constitution As well As Codes in doing so. Which puts Liability upon Mrs. Holmes As Records Keeper for Wellpath LLC And respondent to all Wellpath Kiosk messages in "Priority," As Well As Mrs. Huff by her Enforcement of the need of Any Court order (even though Wellpaths own custom/policy is to allow access for 1 hr. every month to inmates who need it)."

The medical department responded, "No one ever denied you access every 30 days. You requested to review your records again 2 weeks after you saw the. You were told then you could only review them more often if you had proof of court orders. You have been allowed to review your records monthly. Therefore I am finding this grievance without merit."

Your appeal states, "There was "Retaliation" From-within the Medical- On 4/18 Mrs. Holmes told me that there was a miscommunication from inside their office And that "someone' told her that I had A court order already, so she had insisted on a copy Before Being allowed to come"

A review of your electronic medical records indicates you requested to review your medical records on April 17, 2023, March 31, 2023, March 1, 2023, February 19, 2023, and February 9, 2023, and January 31, 2023. Documentation indicates you reviewed your records on April 18, 2023, March 3, 2023, and February 1, 2023.

According to medical policy No. 800.00, "the Medical Record review will be limited to a singular session not to exceed 1 hour in duration.

Therefore, this appeal is without merit.

DIRECTOR

Aundrea culuager 6/23/2023

Please be advised that if you appeal this decision to the U.S. District Court, a copy of this Chief Deputy/Deputy/Assistant Director's Decision must be attached to any petition or complaint or the Court may dismiss your case without notice. You may also be subject to paying filing fees pursuant to the Prison Litigation Act of 1995.

Evidence 2 GRIEVANCE OFFICE
UNIT LEVEL GRIEVANCE FORM(Attachment I)
Unit/Center <u>Pine Bluff Complex</u> APR 19 2023 GRV. 37-0/29 Name Joshun Stockchar APR 19 2023 Date Received: 4-19-13
ADC# Brks # Job Assignment SVED
4/1/2023 (Date) STEP ONE: Informal Resolution
4/18/23 (Date) STEP TWO: Formal Grievance (All complaints/concerns should first be handled informally.)
If the issue was not resolved during Step One, state why: <u>Someone</u> from medical fold
MS. Hymre that I did already have a Cast and I did a it and interferent
, (Date) EMERGENCY GRIEVANCE (An emergency situation is one in which you may be subject to
a substantial risk of physical harm: emergency grievances are not for ordinary problems that are not of serious
nature). If you marked yes, give this completed form to the designated problem-solving staff, who will sign the attached emergency receipt. In an Emergency, state why:
attached emergency receipt. In an Emergency, state why:
Is this Grievance concerning Medical or Mental Health Services? 4c5 If yes, circle one: medical or mental
BRIEFLY state your one complaint/concern and be specific as to the complaint, <u>date</u> , place, name of personnel
involved and how you were affected. (Please Print): IN MATES ONE Compraint is
Retalighon From Wellpath, UK Employees IN Denving INMATE
Access to this medical Records Due to His grievances that
te did upon various wellpath, LLC Employees and due to those,
poliny of Access To My records every 30 closes and News
insist on me getting A court order to have access, which
is Reputitory conduct on protected activity by well parts
employees and has violated inimites Rights under The EUST
Amendment of U.S. Constitution and Alio Viblates the Arconsta
State Constitution Aswell As Codes in duing son which puts Lizbility
upon Mrs. Holmes as Accords Keeper for wellpath LLC And respondent
to all vellpath kiosk nessages in Privrity, Aswell as Mrs. toff by her
Enforcement of the need of my lourt order (even though wellouth's own Custom/policy is to allow access for the every mostly to immates who need it),
situal port to notice aller to the cost proma it contracts who were it is
A Mar 4/11/23
Inmate Signature Date
If you are harmed, threatened because of your use of the grievance process, report it immediately to the Warden or designee.
THIS SECTION TO BE FILLED OUT BY STARF ONLY — This form was received on <u>447-23</u> (date), and determined to be Step One and/or an Emergency Grievance
(Yes or No). This form was forwarded to medical or mental health? (Yes or No). If yes, name
of the person in that department receiver the first of the Hull Date 4.7
Bypens leden Byin Allen 4-1723
PRINTISTAFF NAME (PROBLEM SOLVER) ID Number Staff Signature Date Received Describe action taken to resolve complaint, including dates: Vov may Peview your
Jacket Thour once a month. If you have
court ordered privileges we have to have proof.
1 Huff Ru 7-18-23 6th ftm 41/8123
Staff Signature & Date Returned Inmate Signature & Date Received
This form was received on (date), pursuant to Step Two . Is it an Emergency? (Yes or No).
Staff Who Received Step Two Grievance: Date: Date:
Action Taken: (Forwarded to Grievance Officer/Warden/Other) Date:
If forwarded, provide name of person receiving this form: 2-5 2023 Date:
DISTRIBUTION: YELLOW & PINK - Inmate Receipts: BLUE - Grievance Officer; ORIGINAL - Given back
to Inmate after Completion of Step One and Stepatwo. Correctional Programs

SUBJECT: Medical/Dental Record Format and Contents No. 800.00 PAGE 16 of 22

- 2) To compile a chronology of clinical documentation, to include the identification of staff persons, for the purpose of litigation.
- b. Access to an inmate's Medical Record will be strictly controlled and subject to operational constraints, including when and where the inmate will review the Medical Record and the duration of the review session.
- c. An inmate who reviews their Medical Record will be expected to comply with any additional requirements. Failure to comply with Medical Record review requirements will result in suspension of Medical Record review and may possibly result in disciplinary action.
- d. The Medical Department will not provide paper or other supplies to an inmate to conduct a Medical Record review. Inmates will be responsible for providing those items.
- e. Except in cases where an inmate review of Medical Records is for pending <u>litigation (see paragraph 3 below)</u>, the Medical Record review will be limited to a singular session not to exceed 1 hour in duration. An inmate will be limited to one Medical Record review per month.
- f. An inmate will not be provided with a copy of any part of his or her Medical Record, except for those forms where a copy is designated for the inmate, such as duty restrictions or diet restrictions.
- 2. Process:

20

- a. Inmates will submit a request to review their Medical Record using the "Request for Interview" form.
- b. Inmate requests to review their Medical Record will be forwarded to the site Medical Records Clerk who will immediately:
 - 1) Date stamp the request
 - 2) Schedule a specific date and time for the Medical Record review to be conducted within 10 15 working days from the date of receipt of the request.
- c. The Medical Records Clerk is accountable to ensure the inmate is notified and a "lay-in" is submitted for the date and time scheduled for the review.
- d. The Health Services Administrator will appoint a health care services staff member to oversee the review, and who will remain with the inmate the Medical Record review. The inmate will not be left alone with his or her Medical Record.

FOR STAFF USE ONLY

Evidence 4

• •		
	Kibsk Resp	$P_{on}(es$
PATE :	04/17/2023	I will need to see your Court
Time :	08: 13:38 20	order on this, please".
2		oracy on this, pleats a
Dorid		"
DATE :	04/18/2023	"I am sorry I wanted to see if you
Time;	06:05:37 m	were able to view your Jacket at
		Anytime since you do have some
		kind of court order, lwill call for you
		when Scewing allows."
1		
.:*		
	and a low of the second se	

From:	ASCC New Claims	
То:	Thomas Burns (DOC)	
Cc:	Kathryn Irby; Mika Tucker; Bilenda Harris-Ritter	
Subject:	CLAIM: Joshua Stockton v. ADC, Claim No. 240266	
Date:	Tuesday, August 29, 2023 1:21:00 PM	
Attachments:	Joshua Stockton ADC agency Itr 240266.pdf Joshua Stockton Claim 240266.pdf	

Please see attached. Contact Kathryn Irby with any questions.

Thank you, Caitlin

Caitlin McDaniel

Administrative Specialist II Arkansas State Claims Commission 101 East Capitol Avenue, Suite 410 Little Rock, Arkansas 72201 (501) 682-1619

ARKANSAS STATE CLAIMS COMMISSION

(501)682-1619 FAX (501)682-2823



KATHRYN IRBY DIRECTOR

101 EAST CAPITOL AVENUE SUITE 410 LITTLE ROCK, AR 72201-3823

August 29, 2023

Mr. Thomas Burns Arkansas Division of Correction 6814 Princeton Pike Pine Bluff, Arkansas 71602 (via email)

RE: Joshua Stockton v. Arkansas Division of Correction Claim No. 240266

Dear Mr. Burns,

Enclosed please find a copy of the above-styled claim filed against the Arkansas Division of Correction. Pursuant to the Arkansas Rules of Civil Procedure, as well as Claims Commission Rule 2.2, you have **thirty days from the date of service** in which to file a responsive pleading.

Your responsive pleading should include your agency number, fund code, appropriation code, and activity/section/unit/element that this claim should be charged against, if liability is admitted, or if the Claims Commission approves this claim for payment. This information is necessary even if your agency denies liability.

Sincerely,

Mika Tucker

ES: cmcdaniel

cc: Joshua Stockton (ADC), *Claimant* (w/ encl.) Bilenda Harris-Ritter, *counsel for Ark. Dept. of Corrections* (w/encl.) (via email)

<u>Note to Claimant or Claimant's counsel</u>: The Claims Commission copied you on this correspondence to provide you with confirmation that your claim has been processed and served upon the respondent agency.

From:	<u>Thomas Burns (DOC)</u>
То:	ASCC Pleadings
Subject:	Joshua Stockton v ADC 240266
Date:	Friday, September 1, 2023 7:31:29 AM
Attachments:	0678_001.pdf image001.png

Answer

Thank you,

-TB

	?	

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BEFORE THE ARKANSAS STATE CLAIMS COMMISSION

JOSHUA STOCKTON (

v.

NO. 240266

ARKANSAS DEPARTMENT OF CORRECTIONS DIVISION OF CORRECTION

RESPONDENT

CLAIMANT

ANSWER TO COMPLAINT

COMES NOW the Respondent, Arkansas Department of Corrections, and for its Answer, states and alleges as follows:

1. Respondent denies liability in this claim and asserts it will hold the Claimant to strict proof on each allegation unless admitted by Respondent. Respondent reserves the right to plead further upon completion of the investigation by internal affairs and requests the matter be held in abeyance until the investigation is complete.

2. The applicable account information required by the Commission is:

- a. Agency number: 0480 b. Cost Center: HCA 0100
 - c. Internal Order: 340301 d. Fund Center: 509

WHEREFORE, for the reasons cited above, the Respondent prays that the claim be dismissed with prejudice and that Claimant take nothing or, in the alternative, that the matter be held in abeyance until completion of the investigation by Internal Affairs.

Respectfully submitted,

Thomas Burns (02006) Legal Services Unit Division of Correction 6814 Princeton Pike Pine Bluff, AR 71602-9411 (870) 267-6845 Office (870) 267-6373 Facsimile thomas.burns@arkansas.gov

CERTIFICATE OF SERVICE

I certify that a copy of this pleading has been served this 1st day of September 2023 on the Claimant by placing a copy of the same in the U. S. Mail, regular postage, to:

Joshua Stockton (

Thomas Burns

From:	Misty Scott on behalf of ASCC Pleadings
То:	Thomas Burns (DOC)
Cc:	ASCC Pleadings; Mika Tucker
Subject:	CORR: Joshua Stockton v. ADC, Claim No. 240266
Date:	Thursday, October 5, 2023 4:11:53 PM
Attachments:	Joshua Stockton v. ADC266.pdf

Thomas:

Please see attached. Contact Mika Tucker with any questions.

Thank you,

Místy

Misty Scott Arkansas State Claims Commission

ARKANSAS STATE CLAIMS COMMISSION

(501) 682-1619 FAX (501) 682-2823



KATHRYN IRBY DIRECTOR

101 EAST CAPITOL AVENUE SUITE 410 LITTLE ROCK, ARKANSAS 72201-3823

October 5, 2023

Mr. Joshua Stockton (ADC

RE: Joshua Stockton v. Arkansas Division of Correction Claim No. 240266

Dear Mr. Stockton,

Please be advised that the Arkansas Division of Correction (the "Respondent") in the above-styled claim filed an Answer disputing liability. When liability is contested by the Respondent, you have two options:

- 1) You may request a hearing before the Arkansas State Claims Commission (the "Claims Commission") in writing within fifteen (15) calendar days from the date of this correspondence.
- 2) You may do nothing. If this office does not receive any communication from you <u>within fifteen (15) calendar days from the date of this correspondence</u>, your claim <u>will be dismissed</u> by the Claims Commission for failure to respond.

Please note that even if you request a hearing on your claim, the filing of a dispositive motion (such as a Motion to Dismiss or a Motion for Summary Judgment) by the Respondent could result in dismissal of your claim before hearing. The failure of a party to file a <u>timely</u> response is sufficient basis for the granting of a motion by the Claims Commission.

It is your responsibility to know when responses are due to any motions or other pleadings filed in your claim. It is also your responsibility to notify both the Claims Commission and the Respondent if you have a change in mailing address.

Sincerely,

Mika Tucker

ES: msscott

cc: Thomas Burns, counsel for Respondent (via email)

From:Thomas Burns (DOC)To:ASCC PleadingsSubject:Joshua Stockton v ADC 240266Date:Monday, November 6, 2023 2:21:18 PMAttachments:1658 001.pdf
image001.png

Motion Dismiss

Thank you,

-TB



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BEFORE THE ARKANSAS STATE CLAIMS COMMISSION

JOSHUA STOCKTON (

CLAIMANT

V

NO. 240266

ARKANSAS DEPARTMENT OF CORRECTIONS DIVISION OF CORRECTION

RESPONDENT

MOTION TO DISMISS

Comes Now the Respondent, Arkansas Department of Corrections (ADC), for its Motion to Dismiss, states:

1. The inmate's claim should be dismissed pursuant to the Arkansas Rules of Civil Procedure (ARCP) 12(b)(6) as it fails to state facts upon which relief can be granted.

2. On a motion to dismiss pursuant to Rule 12(b)(6) of the Arkansas Rules of Civil Procedure the courts treat the facts alleged in complaints as true and view them in the light most favorable to the plaintiff. *Dockery v Morgan, 2011 Ark. 94.* "However, [Arkansas's] rules require fact pleading, and a complaint must state facts, not mere conclusions, in order to entitle the pleader to relief." *Id.* The Court should "treat only the facts alleged in the complaint as true but not the plaintiff's theories, speculation, or statutory interpretation." *Id.*

3. An "important mechanism for weeding out meritless claims [is a] motion to dismiss for failure to state a claim." *Fifth Third Bancorp v. Dudenhoeffer*, 573 U.S. 409, 425 (2014). Legal conclusions, unsupported conclusions, and unwarranted inferences must be ignored and fail to withstand a Rule 12(b)(6) motion. *See Farm Credit Svcs. v American State bank, 339 F.3d 764* (8th Cir. 2003). A pleading must contain a "short and plain statement of the claim showing that the pleader is entitled to relief. *Ashcraft v Iqbal 556 U.S. 662 (2009)*. Although detailed factual allegations are not required, more that "unadorned, the-defendant-unlawfully-harmed-meaccusations" are required. *Id.* To survive a motion to dismiss, a complaint must contain sufficient factual matter that, when accepted as true, state a claim to relief that is plausible on its face. *Id.* A claim is facially plausible "when the plaintiff pleads factual content that allows the court to draw the reasonable inference that the defendant is liable for the misconduct alleged." *Id.*

4. When a trial court is presented with extraneous materials outside of the pleadings and does not exclude those materials, a motion to dismiss for failure to state facts upon which relief can be granted shall be treated as one for summary judgment. *Norris v Davis, 2014 Ark. App. 632 (2014)*

5. The inmate seeks the sum of \$8500.00, for alleged Medical (Wellpath) and 1st Amendment violations. Although the inmate seeks an award of damages (\$8500.00), he fails to plead any basis for an award of damages, and he fails to give the Arkansas Claims Commission any rational basis beyond mere speculation of the damages. Damages are an essential element of a tort claim and there must an allegation of sufficient facts to satisfy the damages element or the case is subject to a motion to dismiss. *Wallis v. Ford Motor Company, 362 Ark. 317, 208 S.W. 3d 153 (2008).* The inmate's claim, even if true, does not support a claim for monetary relief.

6. Even if the inmate were to plead with more specificity, he would still not be able to prevail. The party claiming damages has the burden of proving those damages beyond speculation. *Minerva Enterprises v. Howlett, 308 Ark. 291, 824 S.W. 2d 377 (1992)*. Even taking the inmate's allegations true as pleading, and giving him the benefit of every possible inference, his mere inconvenience of alleged wrongdoing can never render a claim that is anything but speculation.

7. Pro Se parties are not given special treatment and are held to the same standard as a licensed attorney. *Pressler v. Ark. Publ. Serv. Comm'n, 2011 Ark. App. 512, at 9, 385 S.W.3d 349, 355 (citing Elder v. Mark Ford & Assocs., 103 Ark. App. 302, 288 S.W.3d 702 (2008)).* The

Claims Commission notes that under Arkansas law, a claimant choosing to represent himself is held to the same standard as an attorney. *Michael Pickens v ADC claim 190793 (ASCC 2019)*.

8. Whether a plaintiff is represented by counsel or is appearing <u>pro se</u>, his complaint must allege specific facts sufficient to state a claim. *See Martin v Sargent*, 780 F.2d 1334, 1337 (8th Cir. 1985).

9. A complaint must state facts, not mere conclusions, in order to satisfy the requirements of Rule 8 of the Arkansas Rules of Civil Procedure. *Doe v Weiss, 2010 Ark. 150.*

In reviewing whether a complaint is subject to dismissal, the Court must accept as 10. true all factual allegations in the complaint, but is "not bound to accept as true a legal conclusion couched as a factual allegation." Ashcroft v. Iqbal, 556 U.S. 662, 678 (2009) (quoting Bell Atlantic Corp. v. Twombly, 550 U.S. 544, 555 (2007)). "Threadbare recitals of the elements of a cause of action, supported by mere conclusory statements, do not suffice." Id. "Nor does a complaint suffice if it "tenders 'naked assertion[s]' devoid of 'further factual enhancement."" Id. (quoting Twombly, 550 U.S. at 557). Rather, a complaint must plead "enough facts to state a claim to relief that is plausible on its face." Twombly, 550 U.S. at 570. "A claim has facial plausibility when the plaintiff pleads factual content that allows the court to draw the reasonable inference that the defendant is liable for the misconduct alleged." Iqbal, 556 U.S. at 678. "The plausibility standard is not akin to a 'probability requirement,' but it asks for more than a sheer possibility that a defendant has acted unlawfully." Id. (quoting Twombly, 550 U.S. at 556). A well pleaded complaint may proceed even if it appears that actual proof of those facts is improbable and that recovery is very remote and unlikely. Twombly, 550 U.S. at 556. A complaint cannot, however, simply leave open the possibility that a plaintiff might later establish some set of undisclosed facts to support recovery. Id. at 561. Rather, the facts set forth in the complaint must be sufficient to nudge the claims across the line from conceivable to plausible.

Id. at 570. "[W]here the well-pleaded facts do not permit the court to infer more than the mere possibility of misconduct, the complaint has alleged –but it has not 'show[n]' – 'that the pleader is entitled to relief.'" *Iqbal, 556 U.S. at 679 (quoting Fed.R.Civ.P. 8(a)(2)).*

11. The Plausibility standard is not akin to a "probability requirement" but it asks for more than a sheer possibility that a defendant has acted unlawfully. Where a complaint pleads facts that are "merely consistent with" a defendant's liability, it" stops short of the line between possibility a plausibility of entitlement to relief" *Bell Atlantic Corp. v. Twombly, 550 U.S. 544, 555 (2007)*

12. The inmate is complaining that Wellpath refused to allow him to see his medical record more than once in a thirty (30) day period.

13. The ADC contracts all medical (including medical records which they keep) to Wellpath, the States medical provider, Wellpath is not subject to the jurisdiction of the State Claims Commission.

14. The inmate claims "INMATES ONE COMPLAINT is retaliation from Wellpath, LLC Employees". *See attached Ex A, pages 6 and 7.*

15. Page 6 grievance also states they have violated his First Amendment of the U.S. Constitution. *Id.*

16. The inmate is making claims of his conditions of confinement. This clearly falls under 42 USC 1983 *First page of Inmates complaint, Ex. A.*

17. The inmate is making claims against Wellpath and Constitutional claims and pursuant to the law the Commission is barred from hearing such claims. These claims may be made in a Court of general jurisdiction.

18. The Claims Commission does not have the jurisdiction to hear this claim.

19. Pursuant to the Prison Litigation Reform Act (PLRA), "no actions shall be brought with respect to prison conditions under Section 1983 of this title or any other Federal law, by a prisoner confined in any jail, or other correctional facility until such administrative remedies as ae available are exhausted." 42 U.S.C § 1997e. In 1997, the Arkansas legislature adopted the PLRA's exhaustion requirement by enacting Ark. Code Ann. §16-106-202. That statute follows the PLRA by adopting a grievance exhaustion requirement for state actions:

- (a) A civil action **or claim** initiated against...Department of Correction...by an inmate in a penal institution or incarcerated person appearing pro se may be:
 - (1) Dismissed without prejudice by the court on its own motion or on a motion of the defendant, if all administrative remedies available to the inmate have not been exhausted.

20. The inmate has not received anything against the ADC only Wellpath. Therefore, the inmate has failed to exhaust his administrative remedies. *See Inmates complaint attached* "A basic rule of administrative procedure requires that an agency be given the opportunity to address a question before a complainant resorts to the courts. Where a party has failed to exhaust his or her administrative remedies, the trial court lacks jurisdiction over the suit" *Ark. HHS v Smith*, *370 Ark. 490.* One must exhaust their administrative remedies before they may proceed in Court. *See Johnson v Johnson, 385 F.3d 503 (2004).*

21. The inmate has filed a complaint that he knows is in bad faith and not supported by the facts. The Commission should award the ADC fees and costs for having to respond to this baseless complaint.

22. This same claim, if presented to a court of general jurisdiction would, as a matter of law, be dismissed.

23. "The commission shall make no award for any claim which, as a matter of law, should be dismissed from a court of law or equity for reasons other than sovereign immunity." Ark. Code Ann. § 19-10-204(3)(A) (West Supp. 2015). "Specifically, if the facts of a given

claim would cause the claim to be dismissed as a matter of law from a court of general jurisdiction, then the commission shall make no award on the claim." Ark. Code Ann. § 19-10-204(3)(B) (West Supp. 2015). The claimant has not been damaged and only makes mere assertions that he has been damages. Without damages a Court would dismiss the claim in its entirety.

WHEREFORE, the Respondent prays that the motion be granted and the complaint dismissed; for their attorney's fees and costs; and all other just and proper relief to which they may be entitled.

Respectfully submitted,

Thomas Burns (02006) Legal Services Unit Division of Correction 6814 Princeton Pike Pine Bluff, AR 71602 (870) 267-6845 Office (870) 267-6373 Facsimile thomas.burns@arkansas.gov

CERTIFICATE OF SERVICE

I certify that a copy of the above pleading has been served this 6th day of November 2022, on the below Claimant by placing a copy of the same in the U. S. Mail, regular postage to:

Joshua Stockton (



Arkansas State Claims Commission

Please print in ink or type

AUG 17 2023

RECEIVED

BEFORE THE STATE CLAIMS COMMISSION

Of the State of Arkansas

~~ (Do Not Write in Th	ese Spaces
⊠Mr. □ Mrs.			
		Claim No.	
I Miss Joshua Stockton (ADC	Claimant	Date Filed	
	, Claimant	(Month) (Da	y) (Year)
vs.		Amount of Claim \$	
VS.		Fund	
State of Arkansas, Respondent			
	COMPLAIN	T	
Joshua Stockton (ADC			
, the above man	ned Claimant, of	(Street or R.F.D. & No.)	(City)
(Name)		epresented by Proje (self	c)
(State) (Zip Code) (Daytime Phone No.)	n	(Legal Counsel, if any,	for Claim)
(State) (Zip Code) (Daytime Phone No.)			. Says:
of (Street and No.) (City)	(State) (Zip Coo	le) (Phone No.)	(Fax No.)
(Pivison)	2	Amount sought: 18,500 - 4	(TT)
State agency involved: Arkania Deparment of Cor	irections		10 0000 1000
Month, day, year and place of incident or service: 06/23/20	023 Policy / 1	Lity VIGIGIOS AZADAST	
	layer Did met	do A proper incession	min that way
used to the classmonth reduces due to be	1 Conditions of	Confidenced issue bruy	ght to he alfolg
Claimont clearly notified her office of s		5 grang report them	
practices as Enide - (2-4) chow in (3	1-Policy Amal (4	1) hisk reply from we	larthe employee
mrs. Humes Ht		in our of the	35 ASwell HS_
	les And Policies	usted by the some to	A.D.C atticks
which posted inormates reduces attempts for	or conditions ne	lathy to their longing	for my to the
Care or violations of that care. Clammin	t was used b	y the imptes confrances	RELESS EVERY
To down to his own medilal becords. All	icis was interfer	ed with prelonging the	time period of
every To dons due to contractor & require	ing A Court of	des (Widence #4)	utith goes Azaria
willooms (No. Stool(Evidence 3) Pairis / (C		you have court ordered pr	isileges we have
		00129 is in direct Con,	Flit to No. 800.
are the Constant is call which	we an inmate	review of medical reco	ds 12 fisr
pending litigation (see phylograph 3), The un	edital record	review will be limited	to a Suzillar
Cullio ant to exceed I have in duration	, An inmate u	uill be limited to one	medical record
review pap month? claimme which we	ed the duty by	state employee Audren	include to dont
		mont, and depinants	relation of his
1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	on exact result	I her consisten to invest	gate the breach.
Asparts of this complaint, the claimant makes the statements, and answers th	e following questions, as ind	icated: (1) Has claim been presented to any st	ate department or officer thereof?
1 cs ; when? 66/23/2623 ;	to whom? Aundren	Culture Contract	utile Appends
(Month) (Day) (Year)		S (Department)	Clamono Chan
DCATEMENT ADC : and that the following a	action was taken thereon:	1- MORTO LOUTES TO	
. /		nterest in this claim? NU	; if so, state name and address
and that \$ was paid thereon: (2) Has any this	ra person or corporation and	The cor III and degitin	
(Name) (Street or	R.F.D. & No.)	(City) (State)	(Zip Code)
and that the nature thereof is as follows:			, in the following manner.
andw	as acquired on		, mine following maine
			CA1

I.) Duty: under state lade of Arkaning F12-27-103 Establishment - Prevers and dutie (B)(1), The Department of Corrections (Arkanses Division of Corrections) Shell have exclusive distitution are the care, charge, instudy, Conver, management, administrations, and supervision of all persons and offenders comitted to, or in the Wirdy of the state partitiony; (b) The Department of correction shall Employ such ultiles, employees, And Agants and Shall Secure such offices and Quarters as we deemed accessing to discharge the functions of the desorman of homeitions. (7) The Department of inrections shall recieve all officiality Comitted to the department of conceptions for Convictions of fatories or other itteres the purishment of which is connectment to the priteriary under the LAWS of This state, and shall be reracible for the lave, wishing, and correction of Such persons porsument to the Polizies established by the Borned & Corrections. Authority - The Board of Correction and Community punishment is vester with the Authority to promulgate this administrative rule by ARK. Code Ann -\$\$12-27-105, 16-93-1203, and 16-93-1205 (Michie Supp. 1995).

I.) Applicability - This policy applies to Employees And OFFENDERS of the -Department of Correction (D.O.C) and the Department of Community Punishment (DCP)-STAFF, inmutes and residents of Community punishment Centers.

II) Policy - It is the Policy of the Board of Corrections And Community -Ponishment that offenders are provided an oppertunity to Submit grievances regarding policy, Londitions, incidents, or Actions related to incarcention/-Confinement which directly impact them. IV. Guidelines - A) Administrative Directives establishing procedures will be set forth by each Agency. B) Procedures shall, at Minimum provide for the Following: Timely, Effective and impartial processing of gricumces; AN-Appeals process; appropriate documentation of gricumce acitity; and speekydisposition of Emergency situations, with security and safety the process Concerni.

C.) Use of the Gricvance procedure shall occure without restraint, Coercian, discrimination, interference or reprisal. Violation of this guideline shall result it prompt And decisive action.

· CURENT With Ammendment's recieved through November 15, 2022. ARK. Code-004.03.1-835.

Negligence STANDARD Authority:

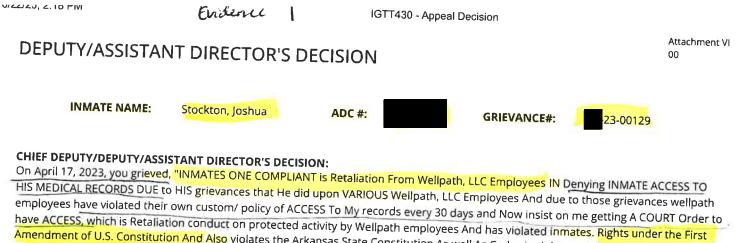
In the Eastern District OF Arkansas, CASE: Gary V. Langley, 2:17-CV-00117-LPR (E.D. ARK. SEP. 13, 2021) it Says, "To prevail on a claim of Negligence", " Arkansas," The plantiff must prove that the defendant owed a duty to the plantiff, That the defendant Breached that duty, and that the Breach was the proximate Cause of the Plantiffs injurice". (I. Duty, 2. Breach of Duty, 3. Crusertion).

V.) STANDArds: American Connectional Association - Standard for Adult Community -Residential services, 3rd edition, Standard 3-ACRS-3D-07, And Standards -For Adult Connectional Institutions, 3d Edition, Standard 3-4271.

Dity Claimant was owned the Duty by Defendant Andres Culdages voited by the STATE To the bound of Corrections Fund in Ark. Code \$ 12-27-103(B)(1) The Change of claimants care (which includes medical came) Rights afterded him, as well as his Grichne precedure rights verted in Ark. code 004, 03.1-835 For Redress 3.) if his conditions of Continanent incidents to be available and invisigned.

Christian - The violations if state Cale and policies would not have accured it the defendant Andrea heldinger had done a thorough melital records review that is used on Append to her iffile for a append for corrective spherics to be ef) done for Contractors violative Customs and practices it policies if And-c ourse

the commont due to the states vestations of authority to it's employees for the come of its ward immakes. Comparisation is menetarily sought for there regligent anothering buy State Enployee Andrea Cubileger's negliging Conduct by Rayling to intervene in a corrective manning a violative issue given written notice of, obwirth the state's employee failed to correct. Respectfully Submitted, 247 # Jushing Stuckton 8-9-2023 (5)



Amendment of U.S. Constitution And Also violates the Arkansas State Constitution As well As Codes in doing so. Which puts Liability upon Mrs. Holmes As Records Keeper for Wellpath LLC And respondent to all Wellpath Kiosk messages in "Priority," As Well As Mrs. Huff by her Enforcement of the need of Any Court order (even though Wellpaths own custom/policy is to allow access for 1 hr. every month to inmates who need it)."

The medical department responded, "No one ever denied you access every 30 days. You requested to review your records again 2 weeks after you saw the. You were told then you could only review them more often if you had proof of court orders. You have been allowed to review your records monthly. Therefore I am finding this grievance without merit."

Your appeal states, "There was "Retaliation" From-within the Medical- On 4/18 Mrs. Holmes told me that there was a miscommunication from inside their office And that "someone' told her that I had A court order already, so she had insisted on a copy Before Being allowed to come"

A review of your electronic medical records indicates you requested to review your medical records on April 17, 2023, March 31, 2023, March 1, 2023, February 19, 2023, and February 9, 2023, and January 31, 2023. Documentation indicates you reviewed your records on April 18, 2023, March 3, 2023, and February 1, 2023. According to medical policy No. 800.00, "the Medical Record review will be limited to a singular session not to exceed 1 hour in duration. An inmate will be limited to one Medical Record review per month."

Therefore, this appeal is without merit.

DIRECTOR

Aundrea culuager 6/23/2023

Please be advised that if you appeal this decision to the U.S. District Court, a copy of this Chief Deputy/Deputy/Assistant Director's Decision must be attached to any petition or complaint or the Court may dismiss your case without notice. You may also be subject to paying filing fees pursuant to the Prison Litigation Act of 1995.

Evidence 2 GRIEVANCE OFFICE
UNIT LEVEL CRIEVANCE EORM (Affectment I) Unit/Center APR 1 9 2023
Name Joshun Storlohm Date Received: 4-14-13
ADC# Brks # Job Assignment ELVED 6 ag GRV. Code #: GRV. CODE
4/1/2023 (Date) STEP ONE: Informal Resolution
<u>4/18/23</u> (Date) STEP TWO: Formal Grievance (All complaints/concerns should first be handled informally.) If the issue was not resolved during Step One, state why: <u>Someone from medical food</u> <u>ms. Humes that I did already have a Conformer and I did got and interfered</u> . (Date) EMERGENCY GRIEVANCE (An emergency situation is one in which you may be subject to a substantial risk of physical harm: emergency grievances are not for ordinary problems that are not of serious nature). If you marked yes, give this completed form to the designated problem-solving staff, who will sign the attached emergency receipt. In an Emergency, state why:
Is this Grievance concerning Medical or Mental Health Services? <u>ycs</u> If yes, circle one: medical or mental BRIEFLY state your one complaint/concern and be specific as to the complaint, <u>date</u> , place, name of personnel
involved and how you were affected. (Please Print): INMATES One using cant is
Retaliation From Wellpath, 14 Employees IN Denying INMATE
He did upon various wellopth LLC Employeers and due to those,
grevances wellpath employees have Viblated their own custory
policy of Access To My records every 30 days and way
insist on me getting A court ander to have access, which
is ketalitory conduct on poterted activity by well party
Americanent of U.S. Conspirition and Alio Viblates the Arconses
State Constitution Ascuell As Codes in during son which puts Liebility
to all welloath Kiosk nessance in Privity, Aswell as Mrs. Hoff by her
to all vellpath kiosk hessages in priority, as well as pirst by her Enforcement of the need of Anny Lourt order (even though wellpaths own
Custom/policy is to allow access for I hr, every mostly to inmates who need it),
A #12 4/17/72
Inmate Signature Date
If you are harmed, threatened because of your use of the grievance process, report it immediately to the Warden or designee.
THIS SECTION TO BE FILLED OUT BY STARF ONLY This form was received on <u>4-17-25</u> (date), and determined to be Step One and/or an Emergency Grievance
(Ves or No) This form was forwarded to medical or mental health? (Yes or No). If yes, name
of the person in that department receiving this form Muff Date
BYPELES LEVER) II Staff Signature Date Received
PRINTSTAFF NAME (PROBLEM SOLVER) IL Staff Signature Describe action taken to resolve complaint, including dates: Ver may Review your
Jacket Thour once a month. If you have
court ordered privileges we have to have proof.
R Hull Ru 7-18-23 At this 4,18:23
Staff Signature & Date Returned Inmate Signature & Date Received
This form was received on (date), pursuant to Step Two. Is it an Emergency? (Yes or No).
Staff Who Received Step Two Grievance: Date: Date: Action Taken: (Forwarded to Grievance Officer/Warden/Other) Date:
If forwarded, provide name of person receiving this form: 2-5-2023 Date: Date:
DISTRIBUTION: YELLOW & PINK - Inmate Requipts: BLUE - Grievance Officer; ORIGINAL - Given back to Inmate after Completion of Step One and Step Two. Correctional Programs

.3

SUBJECT: Medical/Dental Record Format and Contents No. 800.00 PAGE 16 of 22

- 2) To compile a chronology of clinical documentation, to include the identification of staff persons, for the purpose of litigation.
- b. Access to an inmate's Medical Record will be strictly controlled and subject to operational constraints, including when and where the inmate will review the Medical Record and the duration of the review session.
- c. An inmate who reviews their Medical Record will be expected to comply with any additional requirements. Failure to comply with Medical Record review requirements will result in suspension of Medical Record review and may possibly result in disciplinary action.
- d. The Medical Department will not provide paper or other supplies to an inmate to conduct a Medical Record review. Inmates will be responsible for providing those items.
- e. Except in cases where an inmate review of Medical Records is for pending litigation (see paragraph 3 below), the Medical Record review will be limited to a singular session not to exceed 1 hour in duration. An inmate will be limited to one Medical Record review per month.
- f. An inmate will not be provided with a copy of any part of his or her Medical Record, except for those forms where a copy is designated for the inmate, such as duty restrictions or diet restrictions.
- 2. Process:

11

- a. Inmates will submit a request to review their Medical Record using the "Request for Interview" form.
- b. Inmate requests to review their Medical Record will be forwarded to the site Medical Records Clerk who will immediately:
 - 1) Date stamp the request
 - 2) Schedule a specific date and time for the Medical Record review to be conducted within 10 15 working days from the date of receipt of the request.
- c. The Medical Records Clerk is accountable to ensure the inmate is notified and a "lay-in" is submitted for the date and time scheduled for the review.
- d. The Health Services Administrator will appoint a health care services staff member to oversee the review, and who will remain with the inmate the Medical Record review. The inmate will not be left alone with his or her Medical Record.

FOR STAFF USE ONLY

Evidence 4

5.8

	04/17/2023	"I will need to see your Court order on this, please",
DATÉ : Time:	04/18/2023 06:05:37 m	"I am sorry I wanted to see if you were able to view your Jacket at Anytime since you do have some kind of court order, I will Call for you
• :	•	when Scewrity allows."

From:	Misty Scott on behalf of ASCC Pleadings		
To:	Thomas Burns (DOC)		
Cc:	ASCC Pleadings; Mika Tucker		
Subject:	ORDER: Joshua Stockton v. ADC, Claim Nos. 240163, 240164, 240211, 240233, 240234, 240266, 240285, and 240378		
Date:	Wednesday, November 8, 2023 2:37:24 PM		
Attachments:	Joshua Stockton v. ADC2.pdf Stockton-order163.pdf Stockton-order211.pdf Stockton-order233.pdf Stockton-order234.pdf Stockton-order266.pdf Stockton-order285.pdf Stockton-order378.pdf		

Thomas:

Please see attached. Contact Mika Tucker with any questions.

Thank you,

Místy

Misty Scott Arkansas State Claims Commission

ARKANSAS STATE CLAIMS COMMISSION

(501) 682-1619 FAX (501) 682-2823



KATHRYN IRBY DIRECTOR

101 EAST CAPITOL AVENUE SUITE 410 LITTLE ROCK, ARKANSAS 72201-3823

November 8, 2023

Mr. Joshua Stockton (ADC

Mr. Thomas Burns Arkansas Division of Correction 6814 Princeton Pike Pine Bluff, Arkansas 71602-9411 (via email)

Re: Joshua Stockton v. Arkansas Division of Correction Claim Nos. 240163, 240164, 240211, 240233, 240234, 240266, 240285, and 240378

Dear Mr. Stockton and Mr. Burns:

Enclosed please find the Orders entered today by the Arkansas State Claims Commission. If you have any questions, please do not hesitate to contact my office.

Sincerely,

Mika Tucker

ES: msscott

BEFORE THE ARKANSAS STATE CLAIMS COMMISSION

JOSHUA STOCKTON (ADC

CLAIMANT

V.

CLAIM NO. 240266

ARKANSAS DIVISION OF CORRECTION

RESPONDENT

ORDER

Now before the Arkansas State Claims Commission (the "Commission") is the claim filed by Joshua Stockton (the "Claimant") against Arkansas Division of Correction (the "Respondent").

Respondent filed an answer denying liability.

The Commission sent correspondence to Claimant on October 5, 2023, advising that Respondent recommended that the claim be denied. In that correspondence, Claimant was given fifteen (15) calendar days to request a hearing and advised that if Claimant did not do so within the specified time frame, Claimant's claim would be dismissed for failure to respond. To date, Claimant has not responded to the Commission's October 5, 2023, correspondence.

As such, the Commission hereby unanimously DENIES and DISMISSES this claim for Claimant's failure to prosecute the claim. Any pending motions are denied as moot.

The Commission notes that, as of the date of this Order, eight (8) claims filed by Claimant within a two-year period have been dismissed: (1) Claim No. 240163, was filed on August 3, 2023, and dismissed on November 8, 2023; (2) Claim No. 240164 was filed on August 3, 2021, and dismissed on November 8, 2023; (3) Claim No. 240211 was filed on August 9, 2023, and dismissed on November 8, 2023; (4) Claim No. 240233 was file on August 12, 2023, and dismissed on November 8, 2023; (5) Claim No. 240234 was filed on August 12, 2023, and dismissed on November 8, 2023; (6) the instant claim, Claim No. 240266 was filed on August 17, 2023, and dismissed on November 8, 2023; (7) Claim No. 240285 was filed on August 23, 2023, and dismissed on November 8, 2023; and (8) Claim No. 240378 was filed on September 9, 2023, and dismissed on November 8, 2023.

Ark. Code Ann. § 19-10-221 provides,

An inmate in the Division of Correction or the Division of Community Correction who has filed more than three (3) unsuccessful claims or actions under this subchapter within a period of two (2) years may have his or her subsequent claims or motions dismissed by the Arkansas State Claims Commission upon receipt as abuse of process, for one (1) year from the date of dismissal of the inmate's third unsuccessful claim.

Accordingly, the Commission bars Claimant from filing any claims for one year from the date of this Order. Any claims submitted by Claimant within a year from the date of this Order will be dismissed upon receipt pursuant to Ark. Code Ann. § 19-10-221. The Commission notes that this statute does not authorize the Commission to dismiss any pending claims that Claimant may have filed before the date of this Order.

Dog Band

ARKANSAS STATE CLAIMS COMMISSION Courtney Baird

Servy C. Kinslow

ARKANSAS STATE CLAIMS COMMISSION Henry Kinslow

Paul Morrin

ARKANSAS STATE CLAIMS COMMISSION Paul Morris, Chair

DATE: November 8, 2023

Notice(s) which may apply to your claim

- (1) A party has forty (40) days from the date of this Order to file a Motion for Reconsideration or a Notice of Appeal with the Claims Commission. Ark. Code Ann. § 19-10-211(a)(1). If a Motion for Reconsideration is denied, that party then has twenty (20) days from the date of the denial of the Motion for Reconsideration to file a Notice of Appeal with the Claims Commission. Ark. Code Ann. § 19-10-211(a)(1)(B)(ii). A decision of the Claims Commission may only be appealed to the General Assembly. Ark. Code Ann. § 19-10-211(a)(3).
- (2) If a Claimant is awarded less than \$15,000.00 by the Claims Commission at hearing, that claim is held forty (40) days from the date of disposition before payment will be processed. *See* Ark. Code Ann. § 19-10-211(a). <u>Note</u>: This does not apply to agency admissions of liability and negotiated settlement agreements.
- (3) Awards or negotiated settlement agreements of \$15,000.00 or more are referred to the General Assembly for approval and authorization to pay. Ark. Code Ann. § 19-10-215(b).

Before the Arkans As STAJE Claims Commission

Joshup Stockton

A.D.C

Areansas Division & curections

offosition to motion to dismiss

Comes now claimmits, Jachust M. Stockton's , For his opposition to Respondents notion to dismiss.

1. dispoted. Claimment, Brought Forth Claim to the STAte claims Commission in their Juristiction, of State Empinyees Violating the State And ADC Policies Brought to State Emproyce Aundres Culilager's Attentions As Deputy Director over medical greaters to connates OFwhich, she Though her negligent omissions to the contractors violations of Policy and State Adhearance to A.D.C's own policies regressing access to his indicat records, did Negligerthy first to investigate the claimants details of his NOTICES to her office through the grievance procedures. The state is ultimately responsible for the Acts And constrains be it's contractor, as it's the states durties to the responsibility over his Medical Aswell

medical records, essperially when notified it meswarduct. anishing by negligence is An

State Claims Commission NOV 1 3 2023

RECEIVED

Arkansas

Respondent

Claimant

NO. 246 266

2. disputed, plantiff (Claimmer) plead Eacts of the case and did produce Evidence of Contractor Responses (Exhibit 2, EXhibit 1 (ADR Employee), Exhibit 3 And gave the Eastern districts defined Negligence Strandmard of Duty, Breach ofduty ower, and Causation of injury. (Page 3, 4) UE Complaint. The East planded were Breked with Evidence, thus not mere Condusions As respondent claims. 3. disputed. The chrimment Eulowed the negligence stondard and showed evidence 4. disputed. claimant presenter his Upon and has Evidence to his allegations Thus A disputer of material facts for trial are not for algudication at trial. 5. disputed. Claimmers claim brought Forth to the state claims Commons. The never assarted Constitutional allowing. Respondent Relies upon details outside of the state Claims Commissions Jurisorction, is a claims Commission Claim is under the Officials Swierzign Immunity, sec: ADC V: Hobbs, CASE NO. 5:14-CV-314 JLH/BD-(E.J. Ark. Ser. 17, 2015) starring on (P.12). See also: Crater V. Crater, 311 Ark. 627, 846-S.W. 2d 173, 176 (1993) (does not bar a subsequent action where a parey was reachly 6. dRouted - (see continued pages)

In Crooks V. Nix, 872 F. 2d 800 (8th cir. 1989) Is a Simular Case on point ns Plantiffs, which said," Even though defendants have contracted with CMS to Furnish Medical Services this does not provide absolute immunity against a prisoner's claim where prison Policies are alleged to contribute to the denial of proper medical and dental lare obviously,-If the alleged denial of medical care was based on an alleged wrongful diagnostic Judgement of a physician, the Warden or Prison director, Lecking Professional medical expertise, would not be liable on agency Principles for any Constitutional wrong. This is true even though The independent Contractors or physicians are acting under "Color of state Low" if West V. -ATKING -- U.S. --, 108 S.C.F. 2250, 161 L. Ed 2d Yo (1958). On the other hand, as plantiff Points out, although the doctrine of respondent superior does not apply to Sec. 1983 Cases, a sec. 1983 claiment may maintain a theory of direct Lighting against a Prison or other official if that official fails to properly train, supervise, direct or Control the actions of a subordinate who Causes the injury. Itahn V. Mc Ley, 237 -F.2d 771,773 (8th cir, 1984); Pearl V. Dobbs, 649 F.2d 608, 609 (8th cir. 1984). where a prisoner needs madical treatment prison officials are under a Constitutional duty to see that it is furnished. Esterle V. Cramble, 429 U.S. at 103, 97 S.Ct. at 290.

Although these particular defendants might not be responsible for money dumages for wrangful treatment by a state doctor, they are subject to an equitable decree that treatment be furnished where needed. Further more where the duty to furnish treatment is unfulfilled, the mere contracting of services with an independent contractor does not immunize the state From Liubility for damages in failing to provide a prisoner with the uppertunity for such treatment, west V. Atkins, 108 5. Ct. at 2259. Un the Contrary, " [] out prison medical cure does not relieve the state of its Constitutional duty to provole adequate medical treatment to those in it's Custudy, and it does not deprive the state's prisoner's of the means to Vindicate their Eighth Amendment rights "Id. "We think the plantiffs' pleadings sufficiently allege inadequate prism policies or medical Supervision which, if true, would result in these defendants being held Lieble Just As if they had refused to deliver those Services themselves. The duty to provide Such Services lies within the Statutory And Constitutional obligations of the named defendants. In this Sense the defendances have a nondelegable duty to provide medical care when needed. Construing the present prose claim mint complaint in a broad and remedial manner we find Plantiff has demonstrated the existence of a factual dispute as to defendants Liebility Sufficient to averlance defendants motion for Summary Judgement?

T.) disputed - , 8, Pisputed, -Plantiff believes the District Courts rulings over-simplifies plantiffs prose Complaint and fails as well to provide the broad, Liberal reading to a prisoner Complaint required under Haines V, Kerner, 404 U.S. 519, 92 S.Ct. 594, 30 L.Ed 2d 652 (1972). AS The surpreme Court unanimously held in Haines, a prose Complaint, "however inartfully Pleaded," must be held to "Less Stringent standards than format pleadings drafted by lawyers" and can only be dismissed for failure to State & Claim if it appears " beyond doubt that the plantiff can prove no set of facts in support of his claim which would entitle him to relief." Id. at 520-21, 92 s. ct. at 596 (queting Conley V. Gibson, 355 U.S. 41, 45-46, 78-S. ct. 99, 102, 24 Ed. 2d So (1957)). See also Estelle V. Gamble, 429 U.S. 97, 106, 97-Prose Verified Complaints -"(A) verified complaint is the equivalent of an efficiavit for rommany - Judgement Purpose", -Williams V. Adams, 935 Fizd 960, 961 (8th Cir. 1991).

9. disputed, Respondents (EX. A) speaks for itself As A proper classing in its Proper Form, presented in its proper duritational form as a classic of negligence of STAK Actors.

U. disputed. (see Attached Projes) See Also 19-34 (H)(I) on Page 14 OF 31 "The responsible Anthoning will review the Conditions, Policies or Prochies grieved And take appropriate Actions, Policies or Prochies

"B. The ADL'S Exhaustion procedure -

The ADC grievance policy in effect at the relevant time in this case was Administrative Directive 19-34 This Directive establishes a three-step procedure . (Id). First, the inmate must attempt informal resolution by submitting a Unit Level Grienance Form to a designated problem solver within fifteen days of the incident. The Form must include a brief Statement that is specific as to the Substance of the issue or complaint to include the date, place, "personnel involved or witnesses," and how the policy or incident affected the inmate submitting the form, (id. \$ IV(E)(2))(Endravis added) Inmates are Custioned a "gricume must specifically name each individual involved in order that a proper investigation and response may be completed " and an inmate who " fails to name all parties during the givene process " may have his or her lawsuit or claim dismissed by the court or Commission for failure to exhaust against all parties. (Id. SIV (C)(4),) And, the gravitace form itself reminds prisoners to include the "name of personnel involved" (1d at 20.) The Problem Solver must respond to the informal resolution within three working drys.

second, it informal resolution is Unsuccessful or the prodem Solver- does not Timely respond, the inmate must File A formal grievance with warden on the same Unit Level grievance Form within three working days. (Id. & W(E)(11) and (FL5)(7). The warden must provide a written response to the Formal grievance within twenty Third, an inmate who is dissatisfied with the response or does not timely relieve a response, must append within Five working days to the ADC Assignment Director. (Id.-SIV (F)(8) and (G).) The ADC Assistant Director must provide a written response within thirty working days, (Id. at IV (G) (6)) A decision or rejection of an appeal at this Level is the End of the gricumice process. (Id.) In Whitmore V. Ryals, 4:20-CV-001255 LPR-PSH (ED. Ark. Mar. 21, 2022) (P.S.) States, "Animate need only exhauste ewaitable administrative remedies. East V. Minnehaha Chy., 986 F.3d 816, 82 (8th cin2021).administrative remedics are not available if prison administrators thusart inmates from taking alwayse of a greivence process through machinetion, missepresentation, or intervidence "Ide (gooting-Ross V. Blake, 136 S. Ct. 1850, 1860 (2014)). Administrative remedies may also be unavailable if Prison officials prevent inmates from ubilizing the grievones procedures or faul to comply with such Procedures. See Gibson V. weber, 431 F.3d 339 (stheir 2005); Miller V. Norris -

The Eighth Circuit in Smith V. andrews, 21-3356 (8th cir. Jul. 26, 2023) on the issue of The PLRA'S Exhaustion requirement said, Page 5)," In Ross, the supreme Court ended a Judge-ma exception (the "special circumstances" exception) to the PLEAS Exhaustion requirement, Ross, 578 U.S. at 638-39. In doing so, the Court emphasized the PLRAS mondatory Language. Id at 638 -("As we have often observed, that language is mendatory : An inmate shall bring 'no action ! (or said more Conversationally, may not bring my action) absent enhausting of available administernitive remedies." (quoting Woodford v. Ngo, 548 U.S. FI S5 (2006)). However, the Court also experiment that the PLRA Contains "it's way textual exceptions" (A) inmute is required to exhauste those, but only those, gricumic procedures that ane (available i.e.,) 'Capable of use't obtain 'some relief for the action Complained of " I de at leve (quiting Booth V. Churner 532us. 731, 738 (2001)). But "to state that stand well, otcourse, is just to begin; Courts in this and other cases must apply it to the real-world workings of prison grievince systems " Id. The Suspreme Court then noted as relevant here three kinds of Circomstances in which an administrative remarky "many be unavailable. Id. out 643 (empires 3 sudded). Those Situations are U) when the proceedure "operates as a simple dead end; (2) when the administrative scheme is so opaque that it becomes, practically speaking, included of use;" and (3) when Prison administrators deliberately theoret Prisoner attempts to use the process. Id. 97 643-44-



DIVISION OF CORRECTION

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ADMINISTRATIVE DIRECTIVE

SUBJECT: Inmate Grievance ProcedureNUMBER: 19-34SUPERSEDES: 19-20APPLICABILITY: All employees and inmatesPAGE 1 of 31REFERENCE: AR 835 - Grievance Procedure for OffendersAPPROVED: Original signed by Dexter PayneEFFECTIVE DATE: 12/2/2019

I. <u>POLICY</u>:

It is the policy of the Arkansas Division of Correction to provide inmates in its custody an administrative process for the resolution of complaints, problems and other issues.

II. <u>EXPLANATION</u>:

The grievance procedure is an administrative process for the submission and resolution of inmate problems and complaints. The process is designed to solve the problem at the lowest level, as promptly as feasible, and in a manner that is fair, reasonable, and consistent with the Division of Correction's mission.

The administrative process for the resolution of complaints and identification of problem areas is intended to supplement but not replace daily and routine communication between staff and inmates.

III. <u>DEFINITIONS</u>:

A. <u>Informal Resolution</u> – the first step consisting of a written complaint (Unit Level Grievance Form, Attachment I) by an inmate that is intended to allow staff the

opportunity to resolve an issue on an informal basis, and to serve as a prerequisite to the second step, a formal grievance.

- B. <u>Grievance</u> the second (formal) step where a written complaint using the same form used for the Informal Resolution (Unit Level Grievance Form, Attachment I) is submitted by an inmate on the inmate's own behalf (an inmate cannot grieve on behalf of another inmate) regarding:
 - 1. A policy applicable within his or her unit/center of assignment that personally affects the inmate;
 - 2. A condition in the facility that personally affects the inmate;
 - 3. An action of another inmate, or inmates, that personally affects the inmate;
 - 4. An action of an employee(s), contractor(s), or volunteer(s) that personally affects the inmate; or
 - 5. An incident occurring within his or her facility that personally affects the inmate.
- C. <u>Warden</u> the Warden or Center Supervisor of the facility or designee.
- D. <u>Appeal</u> a written request directed to a Chief Deputy/Deputy/Assistant Director for further action to resolve the issue or complaint in the grievance based upon the inmate's assertion that the issue has not been resolved at the Unit level. (The appeal cannot raise new or additional issues or complaints.)
- E. <u>Working Days</u> Monday through Friday, excluding state observed holidays.
- F. <u>Emergency</u> a problem that, if not immediately addressed, subjects the inmate to a substantial risk of personal injury or other serious and irreparable harm such as, physical abuse. If a grievance, submitted as an emergency grievance by the inmate, is deemed an emergency by the problem solver, the grievance is immediately submitted to the Warden/highest ranking supervisor at the unit without the completion of Step One, the informal process; however, if the grievance is not an Emergency, it will be processed under Step One.
- G. <u>PREA Grievance</u> Grievance where inmate is alleging staff-on-inmate or inmateon-inmate sexual abuse or sexual harassment as those terms are defined in the PREA Administrative Directive. A Problem Solver should immediately submit a suspected PREA grievance to the highest ranking supervisor at the unit, who will then contact the duty warden, without the completion of Step One, the informal process; however, if the duty warden finds that the grievance is not a PREA grievance, it will be returned to the Problem Solver and processed under Step One.

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inmate must first seek a resolution of the complaint informally by taking Step One under this policy.

One form (Attachment I) will be used for both Step One (informal resolution) and Step Two (formal grievance). This same form will be used to submit all inmate grievance issues, including emergencies.

A. Proposed Changes to the Procedure

When the Division proposes to adopt changes to any policy which affects the inmate grievance process, the proposed changes shall be posted in prominent locations (to include employee and inmate bulletin boards and including electronic distribution) throughout the institution at least 30 days prior to the adoption of the changes. All comments shall be considered prior to adoption of the change and shall be kept as part of the appropriate policy file documentation. Inmates in Restrictive Housing will be provided a copy of the proposed change by the Grievance Officer at least 30 days prior to the adoption of the change.

- B. Communication of Procedure
 - 1. Written notification of the Inmate Grievance Procedure, and any changes there to, will be distributed to both inmates and employees. In addition, arriving inmates and new employees will have an opportunity to ask questions about the procedure and have them answered verbally.
 - 2. If an inmate has a disability affecting communication or is not fluent in the English language, interpretive or explanatory services will be made available.
 - 3. All employees at the facility level shall receive training by designated staff in the skills necessary to assist or participate in the inmate grievance procedure.
 - 4. A summary of the Inmate Grievance Procedure will be included in the Inmate Handbook. However, the Inmate Grievance procedure is governed by this Administrative Directive and not any summary in the Inmate Handbook. All inmates shall be provided access to this Administrative Directive.

C. Accessibility

Each inmate shall be entitled to utilize the Inmate Grievance Procedure regardless of his or her security status, custody level, job classification, disciplinary status, or any administrative/ judicial decisions affecting the inmate.

- 3. If the inmate is legally using a name other than the name under which he or she was committed to the Arkansas Division of Correction, both the legal and commitment names shall be used when completing the forms.
- All forms, except those submitted electronically where and when electronic submission is available, must be legible and in ink, if available. Tape and other adhesive substances should not be used on any grievance forms.
- 5. If any Grievance Form is received in an unsanitary condition, that form(s) may be photographed and logged and held for evidence for appropriate disciplinary action against the inmate. Unsanitary grievance forms will not be accepted. The Problem Solver will return the grievance form to the inmate and then complete an Incident Report (Form 005).
- E. Step One: Informal Resolution Procedure

Inmates are required to seek an informal resolution of a problem/complaint prior to filing a grievance.

- 1. The Unit Level Grievance Form (Attachment I) shall be completed and submitted within 15 days after the occurrence of the incident, with the date indicated beside "Step 1: Informal Resolution". PREA grievances are not subject to the 15 day time limit.
- 2. On the Unit Level Grievance Form (Attachment I), and only in the space provided, the inmate should write a brief statement that is specific as to the substance of the issue or complaint to include the date, place, personnel involved or witnesses, and how the policy or incident affected the inmate submitting the form. Illegible or unintelligible grievances will not be accepted, but rather will be returned to the inmate by the Problem Solver with an explanation stating why the grievance will not be accepted. The Problem Solver will then complete an Incident report (Form 005).

Additional sheets, including additional pages of the grievance written on Unit Level Grievance Forms (Attachment I) should not be attached and will be returned to the inmate upon submission or as soon as practical. ONLY THE STATEMENT IN THE SPACE PROVIDED ON THE ATTACHMENT I FORM WILL BE MAINTAINED AND CONSIDERED THE GRIEVANCE SUBMISSION. However, additional sheets attached to PREA grievances will be maintained with the grievance.

3. The Unit Level Grievance Form (Attachment I) should be presented to one of the individuals whose name is posted in the housing unit as a designated Problem Solver. If a Problem Solver is not available, any staff

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- 7. As soon as practical, the HSA, Mental Health Supervisor, or designee will return the Unit Level Grievance Form to the inmate and provide a copy to the Grievance Officer. NOTE: In no event should this period exceed three (3) working days from submission of the Unit Level Grievance Form for Step One by the inmate to the Problem Solver. The HSA, Mental Health Supervisor, or designee should not respond to a grievance that is alleging misconduct by that individual against the inmate; however, where the inmate still has another step in the grievance process to challenge the conduct or the inmate is alleging indirect misconduct (failure to act) as opposed to direct misconduct, such as physical abuse or retaliation, by the HSA or the Mental Health Supervisor, then the Regional Manager or Mental Health Administrator will respond after the medical or mental health department has appropriately logged the resolution.
- 8. The HSA or Mental Health Supervisor will retain a copy for his or her records and for quality improvement purposes.
- 9. If the problem (those not referred to medical or mental health departments) can be resolved at the informal level, the Problem Solver should document the action taken on the Unit Level Grievance Form (Attachment I) and then both the inmate and the Problem Solver must sign and date the form.
- If the problem cannot be resolved at Step One, the informal level, the Problem Solver must still document the resolution attempt on Attachment I, and then the inmate and the Problem Solver must sign and date the form. At this time, if the inmate chooses, he/she may now proceed to Step Two (the formal grievance) using this same form (Attachment I). See procedures for Step Two below.
- If the designated Problem Solver (or substituted person to resolve the issue 11. such as a medical or mental health staff member) has failed to contact the inmate and attempt resolution of the complaint or failed to return Step One (the grievance) within the designated three working days, the inmate may proceed to Step Two, the formal grievance, without the completion of Step One. In that instance, Step Two, the formal grievance, must be filed no later than six (6) working days from the original submission of the Unit Level Grievance Form pursuant to Step One: this allows three (3) working days to wait for a response to Step One, and three (3) working days to initiate Step Two. (These are not three (3) additional days, i.e., if the Problem Solver returns Step One on the day it was submitted, the inmate has only three (3) working days from receipt of that response to file Step Two.) The inmate will submit a copy of his/her Unit Level Grievance Form using the pink or yellow copy, whichever is most legible, that he/she retained following the instructions for Step Two.

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- a. All medical issues will be coded 600 by the Unit Grievance Officer. All mental health issues will be coded 630.
- b. The Medical and Mental Health Departments will assign more specific type codes as indicated on Attachment VII into eOMIS when completing the response to the grievance.
- 4. The Grievance Officer shall then transmit an Acknowledgement or Rejection of the Unit Level Grievance Form (see Attachment II) to the inmate within five (5) working days after receipt. No acknowledgment is required if a written response to the grievance, signed by the Warden, Health Services Administrator, or Mental Health Supervisor or designees, can be provided within five (5) working days.
- 5. The Grievance Officer will note whether the grievance is medical or mental health related. Such Step Two medical or mental health grievances will be forwarded as soon as possible, and in no event later than five (5) days, to the appropriate medical or mental health department for investigation and response to the inmate.
 - a. If the grievance is medical in nature, it is forwarded to the Health Services Administrator (HSA) at the Unit Medical Department for a response. The HSA, or designee, should not respond to a grievance that is alleging misconduct by that individual unless the inmate still has another step in the grievance process to challenge the conduct, or the inmate is alleging indirect misconduct (failure to act). Where the inmate is alleging direct misconduct (such as physical abuse or retaliation) by the HSA, then the appropriate Regional Manager will respond after the medical department has appropriately logged the resolution.
 - b. If the grievance relates to mental health services, the supervisor of mental health services for the facility, or designee, will answer the grievance. The Mental Health Supervisor, or designee, should not respond to a grievance that is alleging misconduct by that individual unless the inmate still has another step in the grievance process to challenge the conduct, or the inmate is alleging indirect misconduct (failure to act). Where the inmate is alleging direct misconduct (physical abuse or retaliation) by the Mental Health Supervisor, then the Mental Health Administrator at Central Office will respond after the mental health department has appropriately logged the resolution.
- 6. The Inmate Grievance Worksheet (see Attachment VIII) may be used by staff when investigating grievances.

G. Steps to Appeal the Unit Level Grievance Decision:

After receiving a response from the Warden, the Health Services Administrator (HSA), the Mental Health Supervisor, or applicable designee, if the inmate is not satisfied, he or she may appeal to the appropriate Chief Deputy/Deputy/Assistant Director who will attempt to resolve the matter or assign an appropriate staff member to do so. In this instance, the appeal must be filed within the five (5) working days from the date of the response.

- 1. The appeal must be written in the space provided above the signature line on the original Warden/Center Supervisor's Decision Form (Attachment III), the Health Services Response to Unit Level Grievance Form (Attachment IV) for medical or mental health grievances entitled Inmate's Appeal (see Attachment III and IV), or the Acknowledgement or Rejection of Unit Level Grievance (Attachment II). Only what is written in the space provided above the signature line for appeal will be considered part of the grievance appeal. Except for a PREA grievance, additional sheets should not be attached and will be returned to the inmate upon receipt of the appeal or as soon as practical. ONLY THE STATEMENT IN THE SPACE PROVIDED ABOVE THE SIGNATURE LINE WILL BE MAINTAINED AND CONSIDERED PART OF THE APPEAL SUBMISSION.
- 2. To appeal the inmate must include the original (no photocopies) Unit Level Grievance Form (Attachment I), which describes the matter originally grieved, and either the Warden/Center Supervisor Decision Form (Attachment III), the Health Services Response to Unit Level Grievance (Attachment IV), or the Acknowledgement or Rejection of Unit Level Grievance (Attachment II) if the inmate is asserting the grievance was improperly rejected or if the inmate did not receive a response or extension within the applicable timeframe. The inmate should deposit the appeal into the designated grievance box; or submit it to a Staff Member if the inmate's assignment prevents access to the grievance box. If these two (2) pages are not submitted with the inmate as rejected.

To complete the appeal, the inmate must state a reason for the appeal, and must date, sign, and write the inmate's ADC number on the attachment being appealed.

Do not list additional issues, requests, or names which were not a part of the original grievance, as those will not be addressed.

3. The Chief Deputy/Deputy/Assistant Director may process a grievance appeal not meeting the criteria set forth above when necessary for the

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extension can be granted only with the approval of the Chief Deputy/ Deputy/Assistant Director.

- The entire grievance procedure should be completed within seventy-six (76) working days unless a valid extension has been executed, or it can be documented that unforeseen circumstances have occurred.
- 10. Release of the inmate from custody will normally terminate his or her grievance, unless the parties are under court order to exhaust remedies or the grievance highlights a problem that needs to be addressed at the discretion of the Chief Deputy/Deputy/Assistant Director, or designee.

H. Remedies

A grievance with merit will be afforded a reasonable range of meaningful remedies.

- 1. The responsible authority will review the conditions, policies or practices grieved and take appropriate action.
- 2. When a higher authority than the responding authority must authorize appropriate action, the lower authority shall note its agreement or disagreement with the inmate and transmit the completed grievance form to the higher authority with notice to the inmate.
- 3. The Division is to encourage the resolution of grievances found to have merit involving property losses, confiscations or forfeitures through the return of the property or replacement.
- 4. Errors in record keeping may be corrected and action by the staff or Classification Committees may be modified as appropriate.
- 5. No grievance should be discussed between or among employees and inmates except as necessary to obtain statements or to resolve the issues.
- 6. No employee should respond to a grievance that is alleging misconduct by that employee against the inmate unless (a) the inmate still has another step in the grievance process to challenge the conduct, or (b) the inmate's allegation was of indirect misconduct (conduct by omission). Where the inmate is alleging direct misconduct (such as physical abuse) by the employee, the employee shall not respond to the grievance. No employee may respond to a grievance that is alleging sexual harassment or sexual abuse by that employee against the inmate.

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- If the formal grievance is regarding a health issue, but exceeds the c. inmate's limit for weekly submission, the grievance officer will note at the top of the grievance form "EXCEEDS WEEKLY LIMIT." The formal grievance will then be forwarded to the medical or mental health department to determine if an emergency exists. If the medical or mental health departments determine the grievance to be an emergency, the Health Services Administrator or Mental Health Supervisor will ensure that prompt action is taken to resolve the issue; however, a written response to the inmate is not required. If neither the medical nor mental health departments determine the grievance to be an emergency, it will be noted at the top of the grievance form, "not an emergency" beside the "EXCEEDS WEEKLY LIMIT" statement, dated and signed by the Health Services Administrator or Mental Health Supervisor and returned to the Grievance Officer for filing.
- d. If a formal grievance is a duplicate of one previously submitted by the inmate with regard to the staff member named, the date of the incident, and the subject of the grievance, the duplicate grievance will be logged into eOMIS, the inmate will be sent a Rejection of Grievance on Attachment II, and note at the top of the grievance form as "Duplicate of _____" and the earlier grievance number will be filled in the blank; the duplicate will be returned to the inmate with the Attachment II and counted as one of the inmate's weekly submissions.
- e. If the duplicate grievance is regarding a health issue, the grievance officer will forward the logged grievance and Rejection of Grievance Attachment II to medical or mental health to determine if a response is necessary or an emergency exists. If necessary, the Health Services Administrator or Mental Health Supervisor will ensure that prompt action is taken to resolve the issue, and if not, the medial or mental health staff will note at the top, "no response necessary on duplicate," date and sign it, and return both the grievance and Rejection of Grievance Attachment II to the inmate.
- 2. Frivolous and Vexatious (Provoking or Harassing) Use of the Procedure
 - A frivolous or vexatious submission at any step will be logged and returned to the inmate with a Rejection form (Attachment II or Attachment V) and counted as one of the inmate's weekly submissions.
 - b. A submission is frivolous when it is clearly insufficient on its face to allege an issue or concern and is readily recognizable as devoid of merit and insufficient for resolution or appeal.

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L. Records

- 1. Each designated administrator at each level of response shall collect and systematically maintain records regarding the filing and disposition of grievances. These records will be maintained pursuant to the Division's record retention policy in either hard copy or in a retrievable form, as well as in the inmate's electronic record, and shall be available for inspection as required by law.
- 2. At a minimum, such records shall include aggregate information regarding the numbers, types and disposition of grievances, as well as individual records of the dates and reasons for each disposition at the formal grievance (Step Two) and appeal stages of the procedure and shall be logged in the electronic offender records system. Such records shall be preserved in accordance with the policy regarding records retention.
- 3. Records regarding the participation of an individual in grievance proceedings shall not be available for review by any inmate other than the grievant.
- 4. Grievance records, including statements and testimony provided during the process, are confidential and are not available to inmates. Division personnel other than those directly involved in the grievance process may not have access to the information, unless the person's job requires access to such records.
- 5. Except as otherwise provided by Arkansas law, grievance records will not be available to non-departmental personnel other than those representing the Division of Correction or providing services such as imaging or destruction of records under an agreement with the Division of Correction.
- 6. No entries concerning grievances, or an inmate's participation in a grievance proceeding through testimony or submission of evidence, shall be recorded in the inmate's paper institutional file.
- Only those positions authorized by the appropriate Chief Deputy/Deputy/Assistant Director will have access to the Grievance Tracking Program.

M. Evaluation

- 1. Monthly, quarterly and annual reports may be generated from the tracking system.
- 2. Records of staff efforts at problem solving may be considered by supervisors evaluating the performance of staff.

UNIT LEVEL GRIEVANCE FORM

Attachment I

Unit/Center			FOR OFFICE USE ONLY
Name			GRV. #
ADC#	Brks #	Job Assignment	Date Received:
(Date) STEP ONE: Informal Resolution			GRV. Code #:

(Date) STEP TWO: Formal Grievance (All complaints/concerns should first be handled informally.) If the issue was not resolved during Step One, state

why:___

(Date) EMERGENCY GRIEVANCE (An emergency situation is one in which you may be subject to a substantial risk of physical harm; emergency grievances are not for ordinary problems that are not of a serious nature). If you marked yes, give this completed form to the designated problem-solving staff, who will sign the attached emergency receipt. If an Emergency, state why:

Is this Grievance concerning Medical or Mental Health Services? _____ *If yes, circle one: medical or mental* **BRIEFLY** state your one complaint/concern and be specific as to the complaint, <u>date</u>, and place, name of personnel involved and how <u>you</u> were affected. (Please Print):

Inmate Signature

Date

If you are harmed/threatened because of your use of the grievance process, report it immediately to the Warden or designee.

THIS SECTION TO BE FILLED OUT BY STAFF ONLY

This form was received on	(date), and determined to be	e Step One and/o	r an Emergen	cy
Grievance (Yes or No).	This form was forwarded to medical or	mental health?	(Yes or No).	If yes, name
of the person in that departm	nent receiving this form:		Date	

PRINT STAFF NAME (PROBLEM SOLVER)	ID Number	Staff Signature	Date Received
Describe action taken to resolve com	plaint, includin	ig dates:	

Print and Sign Staff Name & Dat	e Returned Inmate Signa	ature & Date Received
This form was received on		Is it an Emergency? (Yes or No).
Staff Who Received Step Two G	rievance:	Date:
Action Taken:	(Forwarded to Grievance Offi	cer/Warden/Other) Date:
If forwarded, provide name of pe	rson receiving this form:	Date:

DISTRIBUTION: YELLOW & PINK – Inmate Receipts; **BLUE**-Grievance Officer; **ORIGINAL**-Given back to Inmate after Completion of Step One and Step Two.

Attachment III

INMATE NAME

ADC# GRIEVANCE #_____

WARDEN/CENTER SUPERVISOR'S DECISION

Signature of Warden/Supervisor or Designee

Title

Date

INMATE'S APPEAL

If you are not satisfied with this response, you may appeal this decision within five working days as per policy by filling in the information requested below and mailing it to the appropriate Chief Deputy/Deputy/Assistant Director. Keep in mind that you are appealing the decision to the original complaint. Do not list additional issues, which are not a part of your original grievance, as they will not be addressed. Your appeal statement is limited to what you write in the space provided below above.

WHY DO YOU DISAGREE WITH THE ABOVE RESPONSE?

Inmate Signature

Date

If appealing, please submit both the Unit Level Grievance Form (Attachment I) and the Warden's Decision (Attachment III)

Attachment V

Acknowledgment of Grievance Appeal, or Rejection of Appeal

TO: Inmate	ADC #
FROM:	TITLE:
RE: Receipt of Grievance Appeal #	DATE:
Please be advised your Appeal dated was	s received in my office on
The Chief Deputy/Deputy/Assistant Director will answer	this appeal by,
OR, Your grievance appeal is being returned pursuant to the A due to one of the following:	Administrative Directive on Inmate Grievances
The time allowed for appeal has expired.	
The matter is non-grievable and does not	t involve retaliation.
Request disciplinary action against empl	oyee, contractor, or volunteer
Claim for monetary damage	
Parole and/or Release matter	
Transfer	
Job Assignment (Unrelated to Medical R	Restriction)
Disciplinary matter	
Matter beyond the Division's control and	l/or matter of State/Federal law
Involves an anticipated event	
Publication	
You did not send all the proper Attachm	ients:
Unit Level Grievance Form (Attachmen	t I)
Warden's/Center Supervisor's Decision Response (Attachment IV for Health Is	
Acknowledgement and/or Rejection for	rm (Attachment II)
Step Two was appropriately rejected	
Did not give reason for appeal in space	provided for appeal
Did not complete Attachment III or IV	by signing your name, ADC #, and/or the date

Attachment VI

INMATE NAME ______ ADC# _____ GRIEVANCE # _____

CHIEF DEPUTY/DEPUTY/ASSISTANT DIRECTOR'S DECISION

Arkansas State Claims Commission NOV 1 3 2023

RECEIVED

SIGNATURE

DATE

Please be advised that if you appeal this decision to the U.S. District Court, a copy of this Chief Deputy/Deputy/Assistant Director's Decision must be attached to any petition or complaint or the Court may dismiss your case without notice. You may also be subject to paying filing fees pursuant to the Prison Litigation Act of 1995.

GRIEVANCE TYPE CODES Attachment VII (Page 2)

609	Medical Classification	707	Retaliation/Harassment – Use of
610	Hearing	-	the Grievance Process
611	Housing conditions (medical reasons)	708	Retaliation/Harassment – Access to
612	Chronic Care	500	Courts Rights
613	Chronic Care not seen	709	Notary Services
614	Chronic Care rx's not prescribed	710	Access to Grievance Forms
615	delete	711	Storage of Legal Materials
615	Orthopedic		Legal Mail
616	Sick Call no security escort	713	No Response to Grievance
617	Sick Call not seen timely	714	Other Legal Matters
618	Sick Call referred not seen	715	No Further Action is Necessary(NFAN)
619	Other	716	Freedom of Information Act (FOIA)
620	Dental	717	Multiple Issues Grieved
621	Dental Prosthetics	718	Welfare
622	Medical Appointments (outside not	719	Copies Made
022	otherwise specified)	720	Retaliation – other
623	Surgery	721	Loss of Property
630	Mental Health	800	Complaints Against Staff
631	Mental Health Appointments	801	Physical Abuse
632	Mental Health – Medication side	802	Verbal Abuse
032	effects	803	Other Complaints Against Staff
633	Mental Health - Housing	900	Other
640	Medication not given	900	Good Time
641	Medication prescribed	901	Furlough
642	OPM medications	902	Other Complaints Against
643	Medication not ordered	903	Inmates/Cellmate
644	Medication error	904	
645	Medication pharmacy error	904	Time Computation
650	Co-pay	903	Hobby Craft Religion
651	Lab	908	Parole Matters
652	X-ray		
653	Treatment call	908	Discrimination (Race, Religion, Sex,
654	Informal resolution not answered	000	etc).
655	Consults	909	Name Change
		910	Urine Testing
-		911	Work Release
700	Legal	912	Maintenance
701	Access to courts	913	Grieving for Another Inmate
702	Indigent Inmate Supplies	914	Detainer Removed
703	Law Books/Pages	915	PREA
704	Law Library		
705	Legal Visits with Inmate		
706	Other Legal Visits		

810-5	GRIEVANCE WAIVER	Attachment IX
то:	DATE:	
FROM:	SUBJECT:	
I,	, ADC#	, do hereby agree
that grievance number	, dated	, has been resolved/and/or,

. _.._.

I no longer want to pursue this matter. This decision is voluntary and made without threats or coercion of any type.

Inmate Signature

Date

Witness Signature

Date

12. disputed un Respondants (Ex. 4) complant, claimant stated As his Claim " state Employee Andrea Culclager d'il not do a Proper investorgiation that was owed to the Claimants redress due to his Conditions of Continent issue brought to her office Claimant clearly notrived her affice of state Contractors going Against their own Policy / Practices As evidence (3-4) show in Police kiosk reply from wedpath Employee mis. Italnes At Request. Arkmin lide 004. 07.1-835 Arwall Ar Arbinshs lade & 12-27-103 lover the duties and policies vested by the state to A.D. c officials which Pateres innetes redress attempts for londitions velating to their Confinement and to the lare or Volutions it that Care " Claimant's only defendant haved in this Claim is 13. disputed. clasmant only asserted a Londerlity towards A.D.C Enployee A: Culchager in her reguigence in her duty to investigate claimants 14. Disputed. Respondant relying on Euspance outsope the charme Commissions Juristictured porview is irrelevant to the content claim asserted to the Commission under Soverietign Immunity proper Assertion by Using by A hegligence Chrim only.

15. disputed. Res Judienta under Smith v. Johnson 779 F. 3d 862 (8th cir, 2015) Clammant only Asserted Negligence in his Clamma.

16. disputed. Chainments conditions of Confinement envelope all appents of his enviornment in frism As Even in his Greivance Procedures State Actor Employees not Doing investigations of his gricoal issues see -Repundants Ex. A, Page 2 of Arkansas State Code \$ 12-27-103 (III Policy -" It is the policy of the Buerd of corrections and Community Punithment that oftenders are provided an opportunity to sabanit grievances regarding Polity Condibors (incodents, or Actions related to incorrections / Confinement which diractly impact them." It's the Stortes own Policy, vested by the Board of Corrections which vester A.D. (And it's Policy the responsibility of the Prisoners (clamments) condictions of his contrament Rights to the griconnee Proceedures opportunities, in 'terminology' to be used in this Unisouties of

17. disputed. As clasimant priorly Established in (12) proved this claim by Respondent Not A FAct in this Claim.

18, Disputed. see Following Resjudicate Mud Prose Papers.

In the EASTERA District of Arkansas, In ADC V. Hobbs, Careno. 5:14-CV-314 JLH/BD-(E.D. ARK Sep. 17, 2015) STAtes; (Starting on p.12); "Recently, however, The Eighth Circuit addressed the issue of whether a inmate's deliberate - Indifference Claim, is preclu by a proper action before the Claims Commission". Smith V. Johnson, 779 F.3d 867(84 Circ 2015). In SMITH, The trial Court dimissed an inmates Constitutional Claims bean he had previously brought an action involving the Same Underlying facts before the Arkansas Claims Commission. The Court of appeals reversed the trial Courts' dirmissal, holding that 'Claim preclusion' does not apply because the Claims Commission does not have Juristiction to address a Constitutional Claim again An ADC officer Sued in his individual Capacity. Because, The Arkansas Claim Commission has Juristiction only over thos. Claims which are barred by the doctrine of Sovereign Immunity From bein

Litigated in a Court of general Juristiction," The "Claims Commission was the only forum in which [The inmate Plantiff] Could bring his Claims egainst the State "- Id at 870.

Sovereign Immunity did not bar the inmate plantiffs claim against the officer in his individual capacity, So the Claim Commission lacked Juristiction over that Claim For the Constitutional issues.

The Eighth Circuit also explained that "to invoke preclusion a defendant must establish not only that a claim arises from the same facts but that the same issue uses decided in the prior proceeding". Id at P71. (Emphasis in Orginal). Because the innale plantiff in Mith assorted only a negligenes Claim before the Claims Commission, he was not precluded from bringing a 'deliberate - Indifference' claim' in a Section 1983 LAWSWIT. In Smith, the district Court thought the doctrine of 'issue preclusion' barred' SMITHS Actions Agnost Juliason. Issue preclusion bars the relitigation of an issue that was 'actually Litigated in a prior action and was determined by, and essential to, a valid and final Judgenest." Deer/Mt. Judea Sch. Dist. V.-Kimbrell, 2013 Arks. 393, 430 S.W. 3d 29, 38 (2013). Applying that doctribe the district Court ruled that Smith was precluded from bringing a Claim. "based on the same facts that were litigated and decided against him in the Arkansa. Claims Commission.

To invoke "issue preclusion", however, a defendant most establish not only that claim arises from the same facts, but that the same issue was decided in the Proor Proceeding. Estate of Goston V. Ford Motor Co. (In re Estate of Goston), 320-Irk. 699, 898 S.W. 2d. 471, 473 (1995). The Arkansas Supreme Court requires a party invoking issue preclusion to establish that "the precise issue" was decided in the first proceeding, Smith, 683 s.W. 2d at 936 and interprets "very narrowdy". whether an issue was previously Litogeted. Inre, Estate of Goston, 898 s.W. 2d at 473.

One Claim involves alleged <u>Criminal Recklessness</u> - where the defendant must both be aware of facts from which the inference could be drawn that a substantial risk of Serious harm exists, and ..., also draw the inference ", The other involves alleged intentional wrong doing (negligence of the official).

"The theories of Negligence and intentional tort are Contradictory and Mutually "xclusive". Hockensmith V. Brown, 929 S.W. 2d 840, 845 (Mo. Ct. APP. 1996). Accordingly, "There is generally no claim of negligence that Flows from Intentionally tortious Conduct" BP Chens. Ltd. V. Jiangsy Sopo Corp., 285 Fized. 677, 685 (8th Cir. 2002).

The doctrine of claim preclusion in Arkansas applies to decisions of administrative agencies like the Chaims Commission, <u>Craven V. Fulton Sanitation Serv.</u>, Mc., 361-Irk. 390, 206 S. W. 3d 842, 844 (2005), and it "bars not only the relitigation of Claims that were actually litigated in the first suit, but also these that could have been litigated." JAYEL Corp. V. Cochran, 366 Ark. 175, 234 S. W 3d 278, 281 (2006). (Thus, Joshua Stockton is Simutaniously prusuing Both Claims at the same time in Actions). But the doctrine does "not bar a Subsequent action where a party was actually prohibited from asserting a Claim in the eariler action." Cater V. Cuter, 361 Ark, 627, 846 S.W. 2d. 173, 176 (1993).

The restatement of Judgements, which has been followed by the Arkitists Courts h other respects, e.g., Ruth R. Remmel Rurocable Trust V. Roane, 284 Ark, 568-083 S.W. 2d. 935,936 (1985), Likewise provides that Claim preclusion is not applicat there "The plantiff was unable to rely on a Certain theory of the Case to seek a Certain remedy or form of relief in the first action because of the Limitations on the Subjet Matter Juristiction of the Courts and the plantist desires hthe Second action (or subsequent) at To rely on that theory or to seek that remedy or form of relief." Restatement (second) of Judgenents 524D(C) The hestatement reasons that " It is infair to Preclude (the plantiff) from a Second action in which he can present those phases of the Ching which 16 was disabled from presenting in the first " Id. Cut. C" (Quoted fromsmith V. Johnson 779 F. 3d 867 (8th cir, 2015) (which reversed the district court indings and recommended for further proceedings). As Quoted from the Eighth Circuits Citation from Smith, The States Sovereign Immunity, Barring Plantift's bringing state Negligence Claims, would be unfair to rellode from action the claim against the states negligence in the state claim

Commission. As Claimaint Simutaniously are bringing Seperate claims. The Respondent, nor claims Commission has Juristiction on A suits delberate -Indifference claims, Simular Case details or not, according to the Eighty Circuits precedent Found in Smith V. Johnson 779 F.3d 867 (8thas, 2015).

Respectfully Submitted, 6/h th Joshua Stockton Dated 11-09-2023 Verification - I hereby verify the Facts And shattments are true to the best knowledge available to me and I believe the Evidence to be true. Sworn Unker Okth the and

19. disputed. Gricumic 23- 60129 AT the claimant inmates Unit Level, Aswell As on Appeal to the Deputy Director Andrew Culchager, Frided to give chainment 'some relief for the Action Comploined of , thus made Unavailable his Administrative Remedices According to the Surpreme Court in Booth V. Churner, 532-4-5-731, 738 (2001) of which, the Eighth corruit in smith V. andrews, 21-3356 (Sta cir. -July 24, 2023) is on the Fullowing Page. See Also (claimants Exhibit 1)

Arkansas State Claims Commission NOV 1 3 2023

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The Eighth Circuit in Smith V. andrews, 21-3356 (8th cir. Jul. 26, 2023) on The issue of The PLRA'S Exhaustion requirement said (Page 5)," In Ross, the supreme Court ended a Judge-ma exception (the "special circumstances" exception) to the PLRA'S Ethacstion requirement. Ross, 578 4.5. at 638-39. In doing so, the court emphasized the PLRAS mundatory Language. Id at 638 -("As we have often observed, that language is mendatory : An inmate 'shall' bring 'no action' (or said more Conversationally, may not bring my action) absent enhousem of available administrative remedies." (quoting Woodford v. Ngo, 548 U.S. 81, 85 (2004)). However, the Court also expressional that the PLRA Contains "it's way texted exception " " (A) inmate is required to exhauste those, but only those, gricumus procedures that ane (available, i.e.,) 'capible of use's obtain 'some relief for the action Complained of " I de at lets (quiting Booth V. Churner 532. us. 731, 735 (2001)). But "to state that stand tred, of course, is Just to begin; Courts in this and other cases must apply it to the real-world workings of prison grievinne systems " Id. The Surpreme Court then noted as relevant here three hinds of Circumstances in which an administrative remarky " many be unavailable. Id. out 643 (empires 3 sudded). Those Situations are U) when the proceedure operates as a simple dead end; (2) when the administrative scheme is so opaque that it becomes, practically speaking, included of use;" and (3) when Prison administrators deliberately theoret Prisoner attempts to use the process. Id. 97 643-44-

20. disputed. In claimants Appendice level in Exhibit 1 (of claimants Exhibits upon claim Filing) Evidences (on Left manyon Arrow) that A.D.C Employee over medical grienmes states "A review of your electronic medizal records indicates You requested to review your medical records on April 12, 2023, march 31, 2023, -March (, 2023, Ecoury 19, 2023 and february 2, 2023 and Smalling 31, 2023. Documentation indicated you reviewal your medical Records on April 18, 2023, March, 3, 2023, March, 3, 2023, March, 3, 2023, March February 1, 2023, Allording to nedical policy no. 500,00 the medical kerned will be limited to A lingular scalion not to exceed I hour in duration. An in mate will be Limited to one medizor Record Review per month " Therefore, this After is without marit " (signed making Coldager, Dated 6/23/2023). which clining all the way to the Aprendete Level by A. Euldeger -A.D.C Central office, grave the A.d.C Administrative Lords the Appropriate chance to investigate and intervence prior to being subjected to a negligence china of state Employee Aviden Culcular fulfilling Johnson V. Johnson 355 F.3d 503 (2004). This Court has proper duristiction As smith V. Johnson 779 F.3d 867 (Str. C. 2015) Estrolishes Proper Juristicpion of the state classing commission under classing Assertions of Neyl-gence in and court, in defendants obticing compristy.

21. disputed. claimant supplied the paper Facts And evidence than the claims

22. disputed. The Resemboring and Defandant have Failed to price the same issue I veglignie is already been assurted or adjudicated in this case, and is 4 which is still in dispute, and should be tried. material tact 23. dispated, classmant asserted negligence classing ayonist state Actor Aundres Culclager in this state claims Commission and is A proper Junistication and Venue for Sovierign Immunity protocted (issue precluded), available to the classic in Ark code § 19-10-204 (3) (A) (war Supp. 2015) For monetary Relief of Claimant's claim due to state Employees Failure's to Adhen to their own foldies where fore classimon "Moses Respondents motion to Disenses, and PMys that Respondants motion to dismiss act is their Sommary Judgement more and Be denied and Remanded For trial on the issues for disputed material Facts of the Claimments opposition. Respectfully Submitted, CILL

PARA 4-08-2023

Arkansas State Claims Commission

CLAIMM Joshua Staleton ADC Claim No. 240163, 240164, 240211, 240233, 240234 V. Arkansas Department of Corrections 240266, 240285, 240378 RespondentArkansas Division of Corrections State Claims Commission NOV 1 7 2023 NOTICE OF APPEAL RECEIVED pursuant to Arh. Code ann. \$ 19-10-211 (Supp. 1997), Claimant Joshua -Stockton Appeals to the General Assembly For his appeal of this cited claim against Defendants in their official Capacities as state Employees. The Arkangas State Claims Commission's denied all at one time, after claimant did responses to hespondomits motions for summary dudgements and/or motion for dissmissals of which chammant Responded to, The claims commission sent Correspondence's TO Claimant That he had 15 days to respond to request A hearing After Notices were served to Respondants Coursel, of which clamant did in Letter formats (incorporating multiple class numbers) in a response for classimit's warring a trink, The Classiant hid Respond to Bach Claim Notice and did put Atta: miles Tucker in

his requests for trial Responses, all sent in prior to the 15 day response period of expiration. Iniside one or more of these claim humbers Have the response letters in their Records. All someone has to do is look For them perhaps The General assembly will do so in their investigation of repealate to their authority This appeal of claims nor. 240163, 240164, 240211, 240233, 240234, 240266, 240285 and 240378 Are disputed, As claimant met enterity 1) and 2) on Exhibit 1 Chamment appeals to the General Assembly According to Mrke. Code and F13-10-211-(2) (1). under Own Sussen, and Respectfully Submitted -And Ado Joshun Stockton Dated 11-14-2023

Exhibit

ARKANSAS STATE CLAIMS COMMISSION

(501) 682-1619 FAX (501) 682-2823



KATHRYN IRBY DIRECTOR

101 EAST CAPITOL AVENUE SUITE 410 LITTLE ROCK, ARKANSAS 72201-3823

September 6, 2023

Mr. Joshua Stockton (ADC

RE: Joshua Stockton v. Arkansas Division of Correction Claim No. 240164

Dear Mr. Stockton,

Please be advised that the Arkansas Division of Correction (the "Respondent") in the above-styled claim filed an Answer disputing liability. When liability is contested by the Respondent, you have two options:

 You may request a hearing before the Arkansas State Claims Commission (the "Claims Commission") in writing within fifteen (15) calendar days from the date of this correspondence.

2) You may do nothing. If this office does not receive any communication from you within fifteen (15) calendar days from the date of this correspondence, your claim will be dismissed by the Claims Commission for failure to respond.

Please note that even if you request a hearing on your claim, the filing of a dispositive motion (such as a Motion to Dismiss or a Motion for Summary Judgment) by the Respondent could result in dismissal of your claim before hearing. The failure of a party to file a <u>timely</u> response is sufficient basis for the granting of a motion by the Claims Commission.

It is your responsibility to know when responses are due to any motions or other pleadings filed in your claim. It is also your responsibility to notify both the Claims Commission and the Respondent if you have a change in mailing address.

Sincerely,

Mika Tucker

ES: msscott

cc: Thomas Burns, counsel for Respondent (via email)