

DEPARTMENT OF HUMAN SERVICES, DIVISION OF MEDICAL SERVICES

<u>SUBJECT</u>: Coordinated Triage, Treatment, and Transport to Alternative Destination (Act 480) & REPEALS: DDS Policy 3010 – Human Rights Committee; DDS Policy 3011 – Behavior Management

DESCRIPTION:

Statement of Necessity

Medicaid is seeking a state plan amendment to implement Arkansas Act 480 of 2023. Act 480 provides for Medicaid coverage and reimbursement for licensed and enrolled ground ambulances to triage, treat, and transport a beneficiary to an alternative destination when a medically necessary service is coordinated by telemedicine with a physician or a behavioral health specialist. Act 480 also allows for the licensed and enrolled Emergency Medical Personnel to treat the individual at the scene after consultation with a physician or behavioral health specialist. The service must be the result of the ambulance being dispatched to respond to a 9-1-1 call and assessment must not warrant an immediate need for transport to a hospital or emergency room. These updates require new language be added to the Medicaid Transportation Manual.

Rule Summary

To implement the required coverage, the Division of Medical Services (DMS) revises the relevant state plan pages and Transportation Manual to provide coverage and reimbursement for licensed and enrolled ground ambulances to triage, treat, and transport a beneficiary pursuant to the dictates and requirements of Act 480. DMS also made changes to ensure consistent terminology and updated effective dates in the state plan. New sections (214.100, 214.110, and 214.120) are added to the Transportation Manual.

Repeals pursuant to the Governor's Executive Order 23-02:

- 1. DDS Policy 3010 Human Rights Committee, and
- 2. DDS Policy 3011 Behavior Management.

<u>PUBLIC COMMENT</u>: A public hearing was held on this rule on November 8, 2023. The public comment period expired on November 12, 2023. The agency provided the following public comment summary:

Commenter's Name: Amanda Newton, ARAA President

COMMENT: Please accept this as the public comments from the Arkansas Ambulance Association concerning the new Treat, Triage and Transportation legislation (Act 480 or 2023). First and foremost, thank you, as it appears to us that you all have done a great job in mirroring the intent of the legislation and by defining the 911 call location as a destinations we agree this should make reimbursement for treatment in place possible, assuming the required telemedicine consultation takes place. As we are not totally

familiar with the Medical Board's requirements for "establishing the patient relationship", we have reached out to Dr. Johnson, asking him to work with the Medical Board to ensure that they do not have any concerns. While it is not contemplated in the document out for public comment, we would like to confirm that in the case of a treatment in place, we will be able to bill for mileage to the destination.

As the behavioral health piece of this begins to be used, we would also like for you to consider an additional "incremental time-on-task" billing code. It was brought to our attention by Rep. Wooldridge, that some behavioral health calls may be considerably longer than a standard medical call in the field, and he suggested to the department may need to look at this type of code that could be billed in 15 minute increments on longer behavioral health calls. Again, we are so appreciative of all of the work you and your department have put into this initiative that we all believe will provide more appropriate care in many circumstances and ultimately result in savings to the department. Please know the Arkansas Ambulance Association stands ready to have continued dialogue and discussions on this and any other issue that we may be able to provide information or feedback that will be helpful to you and the individuals we all serve.

RESPONSE: Thank you for your comments in support of this rule. Yes, mileage to the destination will be covered for treatment in place, as this is contemplated by the original statute and intent of the rule to pay standard Basic and Advanced Life Support rates. The language of the rule is being amended to clarify. We will continue to work with ambulance providers as we move forward with mobile crisis and behavioral health response system.

The proposed effective date is pending legislative review and approval.

FINANCIAL IMPACT: The agency indicated that this rule has a financial impact.

Per the agency, implementing this rule will result in a total savings of \$234,788 for the current fiscal year (\$65,741 in general revenue and \$169,047 in federal funds) and \$469,575 for the next fiscal year (\$131,481 in general revenue and \$338,094 in federal funds). The total estimated cost reduction to state, county, or municipal government from implementing this rule is \$65,741 for the current fiscal year and \$131,481 for the next fiscal year.

LEGAL AUTHORIZATION: The Department of Human Services has the responsibility to administer assigned forms of public assistance and is specifically authorized to maintain an indigent medical care program (Arkansas Medicaid). *See* Ark. Code Ann. §§ 20-76-201(1), 20-77-107(a)(1). The Department has the authority to make rules that are necessary or desirable to carry out its public assistance duties. Ark. Code Ann. § 20-76-201(12). The Department and its divisions also have the authority to promulgate rules as necessary to conform their programs to federal law and receive federal funding. Ark. Code Ann. § 25-10-129(b).

This rule implements Act 480 of 2023. The Act, sponsored by Representative Lee Johnson, enacted the Arkansas Triage, Treat, and Transport to an Alternative Destination Act and mandated insurance coverage for an ambulance service to triage, treat, and transport a patient to an alternative destination.



Division of Medical Services

P.O. Box 1437, Slot S401, Little Rock, AR 72203-1437 P: 501.682.8292 F: 501.682.1197

October 12, 2023

Mrs. Rebecca Miller-Rice Administrative Rules Review Section Arkansas Legislative Council Bureau of Legislative Research #1 Capitol, 5th Floor Little Rock, AR 72201

Dear Mrs. Rebecca Miller-Rice:

Re: Coordinated Triage, Treatment and Transport to Alternative Destination

Please arrange for this rule to be reviewed by the ALC-Administrative Rules Subcommittee. If you have any questions or need additional information, please contact Mac Golden, Office of Rules Promulgation at 501-320-6383 or by emailing Mac.E.Golden@dhs.arkansas.gov.

Sincerely,

S. Plyst ?

Director

EP:rj

Attachments

QUESTIONNAIRE FOR FILING PROPOSED RULES WITH THE ARKANSAS LEGISLATIVE COUNCIL

	PARTMENT	
	ARD/COMMISSION	
	ARD/COMMISSION DIRECTOR	
	NTACT PERSON	
	DRESS	
PHO	ONE NO EMAIL	
NAM	ME OF PRESENTER(S) AT SUBCOMMITTEE MEETING	
PRE	SENTER EMAIL(S)	
	INSTRUCTIONS	
Ques what	rder to file a proposed rule for legislative review and approval, please submit this Legislative stionnaire and Financial Impact Statement, and attach (1) a summary of the rule, describing t the rule does, the rule changes being proposed, and the reason for those changes; (2) both a kup and clean copy of the rule; and (3) all documents required by the Questionnaire.	
of R	If the rule is being filed for permanent promulgation, please email these items to the attention of Rebecca Miller-Rice, <u>miller-ricer@blr.arkansas.gov</u> , for submission to the Administrative Rules Subcommittee.	
Dire	e rule is being filed for emergency promulgation, please email these items to the attention of ctor Marty Garrity, garritym@blr.arkansas.gov , for submission to the Executive committee.	
Pleas	se answer each question completely using layman terms.	
****	*******************************	
1.	What is the official title of this rule?	
2.	What is the subject of the proposed rule?	
3.	Is this rule being filed under the emergency provisions of the Arkansas Administrative Procedure Act? Yes No	
	If yes, please attach the statement required by Ark. Code Ann. § $25-15-204(c)(1)$.	
	If yes, will this emergency rule be promulgated under the permanent provisions of the Arkansas Administrative Procedure Act? Yes No	

4.	Is this rule being filed for permanent promulgation? Yes No
	If yes, was this rule previously reviewed and approved under the emergency provisions of the Arkansas Administrative Procedure Act? Yes No
	If yes, what was the effective date of the emergency rule?
	On what date does the emergency rule expire?
5.	Is this rule required to comply with a <i>federal</i> statute, rule, or regulation? Yes No
	If yes, please provide the federal statute, rule, and/or regulation citation.
6	Is this rule required to comply with a <i>state</i> statute or rule? Yes No
6.	Is this rule required to comply with a <i>state</i> statute or rule? Yes No
	If yes, please provide the state statute and/or rule citation.
7.	Are two (2) rules being repealed in accord with Executive Order 23-02? Yes No
	If yes, please list the rules being repealed.
	If no, please explain.
8.	Is this a new rule? Yes No
	Does this repeal an existing rule? Yes No If yes, the proposed repeal should be designated by strikethrough. If it is being replaced with a new rule, please attach both the proposed rule to be repealed and the replacement rule.
	Is this an amendment to an existing rule? Yes No If yes, all changes should be indicated by strikethrough and underline. In addition, please be

sure to label the markup copy clearly as the markup.

9.	What is the state law that grants the agency its rulemaking authority for the proposed rule, outside of the Arkansas Administrative Procedure Act? Please provide the specific Arkansas Code citation(s), including subsection(s).
10.	Is the proposed rule the result of any recent legislation by the Arkansas General Assembly? Yes No
	If yes, please provide the year of the act(s) and act number(s).
11.	What is the reason for this proposed rule? Why is it necessary?

12.	provided in Ark. Code Ann. § 25-19-108(b)(1).
13.	Will a public hearing be held on this proposed rule? Yes No
	If yes, please complete the following:
	Date:
	Time:
	Place:
Plea	se be sure to advise Bureau Staff if this information changes for any reason.
14.	On what date does the public comment period expire for the permanent promulgation of the rule? Please provide the specific date.
15.	What is the proposed effective date for this rule?
16.	Please attach (1) a copy of the notice required under Ark. Code Ann. § 25-15-204(a)(1) and (2) proof of the publication of that notice.
17.	Please attach proof of filing the rule with the Secretary of State, as required by Ark. Code Ann. § 25-15-204(e)(1)(A).
18.	Please give the names of persons, groups, or organizations that you anticipate will comment on these rules. Please also provide their position (for or against), if known.
19.	Is the rule expected to be controversial? Yes No
	If yes, please explain.

NOTICE OF RULE MAKING

The Department of Human Services announces for a public comment period of thirty (30) calendar days a notice of rulemaking for the following proposed rule under one or more of the following chapters, subchapters, or sections of the Arkansas Code: §§20-76-201, 20-77-107, and 25-10-129.

The Director of the Division of Medical Services (DMS) amends the Medicaid State Plan and Transportation Manual to implement Arkansas Act 480 of 2023. Act 480 provides for Medicaid coverage and reimbursement for licensed and enrolled ground ambulances to triage, treat, and transport a beneficiary to an alternative destination when a medically necessary service is coordinated by telemedicine with a physician or a behavioral health specialist as appropriate. Act 480 also allows for the licensed and enrolled Emergency Medical Personnel to treat the individual at the scene after consultation with a physician or behavioral health specialist. The service must be the result of the ambulance being dispatched to respond to a 9-1-1 call and assessment must not warrant an immediate need for transport to a hospital or emergency room. DMS shall request implementation of the coverage from the Centers for Medicare and Medicaid Services effective January 1, 2024. New sections were added to the Transportation Manual. The proposed rule estimates a financial cost savings of \$(234,788.00) (\$(169,047) of which is federal funds) for state fiscal year 2024, and \$(469,575.00) (\$(338,094) of which is federal funds) for state fiscal year 2025.

Pursuant to the Governor's Executive Order 23-02, DHS repeals the following two rules as part of this promulgation: (1) DDS Policy 3010 – Human Rights Committee, and (2) DDS Policy 3011 – Behavior Management.

The proposed rule is available for review at the Department of Human Services (DHS) Office of Rules Promulgation, 2nd floor Donaghey Plaza South Building, 7th and Main Streets, P. O. Box 1437, Slot S295, Little Rock, Arkansas 72203-1437. You may also access and download the proposed rule at ar.gov/dhs-proposed-rules.
Public comments must be submitted in writing at the above address or at the following email address:

ORP@dhs.arkansas.gov. All public comments must be received by DHS no later than November 12, 2023. Please note that public comments submitted in response to this notice are considered public documents. A public comment, including the commenter's name and any personal information contained within the public comment, will be made publicly available and may be seen by various people.

A public hearing by remote access only through a Zoom webinar will be held on November 8th at 10:00am and public comments may be submitted at the hearing. Individuals can access this public hearing at https://us02web.zoom.us/j/84850865309. The webinar ID is 848 5086 5309. If you would like the electronic link, "one-tap" mobile information, listening only dial-in phone numbers, or international phone numbers, please contact ORP at ORP@dhs.arkansas.gov.

If you need this material in a different format, such as large print, contact the Office of Rules Promulgation at 501-320-6428.

The Arkansas Department of Human Services is in compliance with Titles VI and VII of the Civil Rights Act and is operated, managed and delivers services without regard to religion, disability, political affiliation, veteran status, age, race, color or national origin.

4502172997

Elizabeth Pitman, Director Division of Medical Services From: Legal Ads To: Renita Jones

Subject: Re: Full Run AD - Coordinated Triage, Treatment, and Transport to Alternative Destination (Rule# 249)

Thursday, October 12, 2023 11:21:41 AM Date:

Attachments: image001.png

image002.png

[EXTERNAL SENDER]

Will run Sat 10/14, Sun 10/15, and Mon 10/16.

Thank you.

Gregg Sterne, Legal Advertising Arkansas Democrat-Gazette legalads@arkansasonline.com

From: "Renita Jones" < Renita. Jones@dhs.arkansas.gov>

To: "legalads" < legalads@arkansasonline.com>

Cc: "Renita Jones" <Renita.Jones@dhs.arkansas.gov>, "Mac Golden"

<Mac.E.Golden@dhs.arkansas.gov>, "Jack Tiner" <jack.tiner@dhs.arkansas.gov>,

"Anita Castleberry" <Anita.Castleberry@dhs.arkansas.gov>, "Rebecca Murphy"

<Rebecca.A.Murphy@dhs.arkansas.gov>, "Elaine Stafford"

<elaine.stafford@dhs.arkansas.gov>

Sent: Thursday, October 12, 2023 8:20:13 AM

Subject: Full Run AD - Coordinated Triage, Treatment, and Transport to Alternative

Destination (Rule# 249)

Good morning,

Please run the attached public notice on **Saturday, October 14th**, **Sunday,** October 15th, and Monday, October 16th. I am aware that the print version will only be provided to all counties on Sundays. Please let me know if you have any questions or concerns. Please reply to this email using REPLY ALL.

Please invoice to: AR Dept. of Human Services

P.O. Box 1437

Slot S535

Little Rock, AR 72203

ATTN: Elaine Stafford (Elaine.Stafford@dhs.arkansas.gov)

Or email invoices to : dms.invoices@arkansas.gov

Thank you,



Office of Legislative & Intergovernmental Affairs Office of Rules Promulgation

Program Administrator

P: 501.320.3949 F: 501.404.4619 700 Main St. Little Rock, AR 72203 Renita.Jones@dhs.arkansas.gov

humanservices.arkansas.gov



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From: Renita Jones

To: register@sos.arkansas.gov

Cc: Renita Jones; Mac Golden; Jack Tiner; Rebecca Murphy; JAMIE EWING

DHS/DMS - Proposed Filing - Coordinated Triage, Treatment, and Transport to Alternative Destination (Rule Subject:

Thursday, October 12, 2023 12:16:00 PM Date:

Attachments: image001.png

image002.png

Initial Filing Sec of State Rule #249.pdf

Good afternoon,

Please see attached for initial filing. This rule will run in the Arkansas Democrat Gazette on Saturday, October 14th, Sunday, October 15th and Monday, October 16th. The public comment period ends on November 12, 2023. Please let me know if you have any questions.

Thank you, Renita Jones

Office of Legislative & Intergovernmental Affairs Office of Rules Promulgation

Program Administrator

P: 501.320.3949 F: 501.404.4619 700 Main St. Little Rock, AR 72203 Renita.Jones@dhs.arkansas.gov

humanservices.arkansas.gov



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FINANCIAL IMPACT STATEMENT

PLEASE ANSWER ALL QUESTIONS COMPLETELY.

DEI	PARTMENT_
	ARD/COMMISSION
PER	RSON COMPLETING THIS STATEMENT
TEL	LEPHONE NOEMAIL
emai	comply with Ark. Code Ann. § 25-15-204(e), please complete the Financial Impact Statement and il it with the questionnaire, summary, markup and clean copy of the rule, and other documents. se attach additional pages, if necessary.
TIT	LE OF THIS RULE
1.	Does this proposed, amended, or repealed rule have a financial impact? Yes No
2.	Is the rule based on the best reasonably obtainable scientific, technical, economic, or other evidence and information available concerning the need for, consequences of, and alternatives to the rule? Yes No
3.	In consideration of the alternatives to this rule, was this rule determined by the agency to be the least costly rule considered? Yes No
	If no, please explain:
	(a) how the additional benefits of the more costly rule justify its additional cost;
	(b) the reason for adoption of the more costly rule;
	(c) whether the reason for adoption of the more costly rule is based on the interests of public health, safety, or welfare, and if so, how; and
	(d) whether the reason for adoption of the more costly rule is within the scope of the agency's statutory authority, and if so, how.
4.	If the purpose of this rule is to implement a <i>federal</i> rule or regulation, please state the following:

(a) What is the cost to implement the federal rule or regulation?

General Revenue	General Revenue
Federal Funds	Federal Funds
Cash Funds	Cash Funds
Special Revenue	Special Revenue
Other (Identify)	Other (Identify)
Total	Total
(b) What is the additional cost of the sta	te rule?
Current Fiscal Year	Next Fiscal Year
General Revenue	General Revenue
Federal Funds	Federal Funds
Cash Funds	Cash Funds
Special Revenue	Special Revenue
Other (Identify)	Other (Identify)
Total	Total
	year to any private individual, private entity, or private ed, or repealed rule? Please identify those subject to the Next Fiscal Year \$
business subject to the proposed, amend rule, and explain how they are affected. Current Fiscal Year \$ What is the total estimated cost by fiscal	year to any private individual, private entity, or private ed, or repealed rule? Please identify those subject to the
business subject to the proposed, amend rule, and explain how they are affected. Current Fiscal Year \$	year to any private individual, private entity, or private ed, or repealed rule? Please identify those subject to the Next Fiscal Year \$

7. With respect to the agency's answers to Questions #5 and #6 above, is there a new or increased cost or obligation of at least one hundred thousand dollars (\$100,000) per year to a private individual, private entity, private business, state government, county government, municipal government, or to two (2) or more of those entities combined?

Yes No

If yes, the agency is required by Ark. Code Ann. § 25-15-204(e)(4) to file written findings at the time of filing the financial impact statement. The written findings shall be filed simultaneously with the financial impact statement and shall include, without limitation, the following:

- (1) a statement of the rule's basis and purpose;
- (2) the problem the agency seeks to address with the proposed rule, including a statement of whether a rule is required by statute;
- (3) a description of the factual evidence that:
 - (a) justifies the agency's need for the proposed rule; and
 - (b) describes how the benefits of the rule meet the relevant statutory objectives and justify the rule's costs:
- (4) a list of less costly alternatives to the proposed rule and the reasons why the alternatives do not adequately address the problem to be solved by the proposed rule;
- (5) a list of alternatives to the proposed rule that were suggested as a result of public comment and the reasons why the alternatives do not adequately address the problem to be solved by the proposed rule;
- (6) a statement of whether existing rules have created or contributed to the problem the agency seeks to address with the proposed rule and, if existing rules have created or contributed to the problem, an explanation of why amendment or repeal of the rule creating or contributing to the problem is not a sufficient response; and
- (7) an agency plan for review of the rule no less than every ten (10) years to determine whether, based upon the evidence, there remains a need for the rule including, without limitation, whether:
 - (a) the rule is achieving the statutory objectives;
 - (b) the benefits of the rule continue to justify its costs; and
 - (c) the rule can be amended or repealed to reduce costs while continuing to achieve the statutory objectives.

Statement of Necessity and Rule Summary Coordinated Triage, Treatment and Transport to Alternative Destination

Statement of Necessity

Medicaid is seeking a state plan amendment to implement Arkansas Act 480 of 2023. Act 480 provides for Medicaid coverage and reimbursement for licensed and enrolled ground ambulances to triage, treat, and transport a beneficiary to an alternative destination when a medically necessary service is coordinated by telemedicine with a physician or a behavioral health specialist. Act 480 also allows for the licensed and enrolled Emergency Medical Personnel to treat the individual at the scene after consultation with a physician or behavioral health specialist. The service must be the result of the ambulance being dispatched to respond to a 9-1-1 call and assessment must not warrant an immediate need for transport to a hospital or emergency room. These updates require new language be added to the Medicaid Transportation Manual.

Rule Summary

To implement the required coverage, the Division of Medical Services (DMS) revises the relevant state plan pages and Transportation Manual to provide coverage and reimbursement for licensed and enrolled ground ambulances to triage, treat, and transport a beneficiary pursuant to the dictates and requirements of Act 480. DMS also made changes to ensure consistent terminology and updated effective dates in the state plan. New sections (214.100, 214.110, and 214.120) are added to the transportation manual.

Repeals pursuant to the Governor's Executive Order 23-02:

- 1. DDS Policy 3010 Human Rights Committee, and
- 2. DDS Policy 3011 Behavior Management.

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT MEDICAL ASSISTANCE PROGRAM STATE ARKANSAS

ATTACHMENT 3.1-A Page 9a

AMOUNT, DURATION AND SCOPE OF SERVICES PROVIDED

Revised: <u>July 1, 2018 January 1, 2024</u>

CATEGORICALLY NEEDY

- 23. Any other medical care and any other type of remedial care recognized under State law, specified by the Secretary.
 - a. Transportation
 - (1) A. Ground Ambulance Services

Payment will be made for ambulance services, provided the conditions below are met and the services are provided in accordance with laws, regulations and guidelines governing ambulance services under Part B of Medicare. These services are equally available to all beneficiaries. The use of medical transportation must be for health-related purposes and reimbursement will not be made directly to Title XIX beneficiaries.

I. For transportation of recipient beneficiaries when medically necessary as certified by a physician to a hospital, to a nursing home from the hospital or patient's beneficiary's home, to the patient beneficiary's home from the hospital or nursing home, from a hospital (after receiving emergency outpatient treatment) to a nursing home if a patient beneficiary is bedridden, and from a nursing home to another nursing home if determined necessary by the Office of Long Term Care. Emergency service is covered only through licensed emergency ambulance companies. Services not allowed by Title XVIII but covered under Medicaid will be paid reimbursed for Medicare/Medicaid recipientsbeneficiaries.

These services will be equally available to all recipients.

II. For services provided at an alternative location or destination to which an ambulance is dispatched, and the ambulance service treatment is initiated from a 911 call that is documented in the records of the ambulance service.

Alternative destination means a lower-acuity facility that provides medical services.

Alternative location is the location to which an ambulance is dispatched, and the ambulance service treatment is initiated from a 911 call that is documented in the records of the ambulance service. Alternative destination means a lower-acuity facility that provides medical services, including:

- A federally qualified health center;
- An urgent care center;
- A physician's office or medical clinic, as chosen by the beneficiary;
- A behavioral or mental healthcare facility

Excluded alternative destinations are facilities that provide a higheracuity medical service or medical services for a routine chronic condition, such that they would be considered as destinations for which transportation under (1) above would occur:

- Emergency Room;
- Critical Access Hospital;
- Rural Emergency Hospital;
- Dialysis center;
- Hospital;
- Private residence;
- Skilled nursing facility

B. Air Ambulance Services

Air ambulance services are provided to Arkansas Medicaid beneficiaries only in emergencies.

Air ambulance providers must be licensed by the Arkansas Ambulance Boards and enrolled as a Title XVIII, Medicare Provider.

(2) Early Intervention Day Treatment (EIDT) and Adult Developmental Day Treatment (ADDT)
Transportation

EIDT and ADDT providers may provide transportation to and from their facility. The Medicaid transportation broker must provide transportation to and from the nearest qualified medical provider for the purpose of obtaining medical treatment.

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT MEDICAL ASSISTANCE PROGRAM STATE <u>ARKANSAS</u>

ATTACHMENT 3.1-B Page 8b

AMOUNT, DURATION AND SCOPE OF SERVICES PROVIDED

Revised: August 1, 2022 January 1, 2024

MEDICALLY NEEDY

- 23. Any other medical care and any other type of remedial care recognized under State law, specified by the Secretary.
 - a. Transportation
 - (1) A. Ground Ambulance Services

Payment will be made for ambulance services, provided the conditions below are met and the services are provided in accordance with laws, regulations and guidelines governing ambulance services under Part B of Medicare. These services are equally available to all beneficiaries. The use of medical transportation must be for health-related purposes and reimbursement will not be made directly to Title XIX beneficiaries.

- I. For transportation of recipients beneficiaries when medically necessary as certified by a physician to a hospital, to a nursing home from the hospital or patient's beneficiary's home, to the patient's beneficiary's home from the hospital or nursing home, from a hospital (after receiving emergency outpatient treatment) to a nursing home if a patient beneficiary is bedridden and from a nursing home to another nursing home if determined necessary by the Office of Long Term Care. Emergency service is covered only through licensed emergency ambulance companies. Services not allowed by Title XVIII but covered under Medicaid will be paid reimbursed for Medicare/Medicaid recipientsbeneficiaries.
- II. For services provided at an alternative location or destination to which an ambulance is dispatched, and the ambulance service treatment is initiated from a 911 call that is documented in the records of the ambulance service. Alternative destination means a lower-acuity facility that provides medical services.

TN: 22-0012 Supersedes: 18-09 Approval Date: Effective Date: 08/01/22

Alternative location is the location to which an ambulance is dispatched, and the ambulance service treatment is initiated from a 911 call that is documented in the records of the ambulance service. Alternative destination means a lower-acuity facility that provides medical services, including:

- A federally qualified health center;
- An urgent care center;
- A physician's office or medical clinic, as chosen by the beneficiary;
- A behavioral or mental healthcare facility

Excluded alternative destinations are facilities that provide a higheracuity medical service or medical services for a routine chronic condition, such that they would be considered as destinations for which transportation under (1) above would occur:

- Emergency Room;
- Critical Access Hospital;
- Rural Emergency Hospital;
- Dialysis center;
- Hospital;
- Private residence;
- Skilled nursing facility

These services will be equally available to all recipients.

B. Air Ambulance Services

Air ambulance services are provided to Arkansas Medicaid beneficiaries only in emergencies.

Air ambulance providers must be licensed by the Arkansas Ambulance Boards and enrolled as a Title XVIII, Medicare Provider.

(2) Early Intervention Day Treatment (EIDT) and Adult Developmental Day Treatment (ADDT) Transportation

EIDT and ADDT providers may provide transportation to and from their facility. The Medicaid transportation broker must provide transportation to and from the nearest qualified medical provider for the purpose of obtaining medical treatment.

TN: 22-0012 Supersedes: 18-09 Approval Date: Effective Date: 08/01/22

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT MEDICAL ASSISTANCE PROGRAM STATE ARKANSAS

ATTACHMENT 3.1-A Page 9a

AMOUNT, DURATION AND SCOPE OF SERVICES PROVIDED

Revised: January 1, 2024

CATEGORICALLY NEEDY

23. Any other medical care and any other type of remedial care recognized under State law, specified by the Secretary.

- a. Transportation
 - (1) A. Ground Ambulance Services

Payment will be made for ambulance services, provided the conditions below are met and the services are provided in accordance with laws, regulations and guidelines governing ambulance services under Part B of Medicare. These services are equally available to all beneficiaries. The use of medical transportation must be for health-related purposes and reimbursement will not be made directly to Title XIX beneficiaries.

- I. For transportation of beneficiaries when medically necessary as certified by a physician to a hospital, to a nursing home from the hospital or beneficiary's home, to the beneficiary's home from the hospital or nursing home, from a hospital (after receiving emergency outpatient treatment) to a nursing home if a beneficiary is bedridden, and from a nursing home to another nursing home if determined necessary by the Office of Long Term Care. Emergency service is covered only through licensed emergency ambulance companies. Services not allowed by Title XVIII but covered under Medicaid will be reimbursed for Medicare/Medicaid beneficiaries.
- II. For services provided at an alternative location or destination to which an ambulance is dispatched, and the ambulance service treatment is initiated from a 911 call that is documented in the records of the ambulance service. Alternative destination means a lower-acuity facility that provides medical services.

TN: 2023-0020 Approved: 11/21/2023 Effective: 01/01/2024

Supersedes: 18-09

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT MEDICAL ASSISTANCE PROGRAM STATE <u>ARKANSAS</u>

ATTACHMENT 3.1-A Page 9a(1)

AMOUNT, DURATION AND SCOPE OF SERVICES PROVIDED

Revised:

January 1, 2024

CATEGORICALLY NEEDY

Alternative location is the location to which an ambulance is dispatched, and the ambulance service treatment is initiated from a 911 call that is documented in the records of the ambulance service. Alternative destination means a lower-acuity facility that provides medical services, including:

- A federally qualified health center;
- An urgent care center;
- A physician's office or medical clinic, as chosen by the beneficiary;
- A behavioral or mental healthcare facility

Excluded alternative destinations are facilities that provide a higher-acuity medical service or medical services for a routine chronic condition, such that they would be considered as destinations for which transportation under (1) above would occur:

- Emergency Room;
- Critical Access Hospital;
- Rural Emergency Hospital;
- Dialysis center;
- Hospital;
- Private residence;
- Skilled nursing facility

B. Air Ambulance Services

Air ambulance services are provided to Arkansas Medicaid beneficiaries only in emergencies.

Air ambulance providers must be licensed by the Arkansas Ambulance Boards and enrolled as a Title XVIII, Medicare Provider.

(2) Early Intervention Day Treatment (EIDT) and Adult Developmental Day Treatment (ADDT) Transportation

EIDT and ADDT providers may provide transportation to and from their facility. The Medicaid transportation broker must provide transportation to and from the nearest qualified medical provider for the purpose of obtaining medical treatment.

TN: 2023-0020 Approved:11/21/2023 Effective date: 01/01/2024

Supersedes: New Page

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT MEDICAL ASSISTANCE PROGRAM STATE ARKANSAS

ATTACHMENT 3.1-B Page 8b

AMOUNT, DURATION AND SCOPE OF SERVICES PROVIDED

MEDICALLY NEEDY

Revised: January 1, 2024

- 23. Any other medical care and any other type of remedial care recognized under State law, specified by the Secretary.
 - a. Transportation
 - (1) A. Ground Ambulance Services

Payment will be made for ambulance services, provided the conditions below are met and the services are provided in accordance with laws, regulations and guidelines governing ambulance services under Part B of Medicare. These services are equally available to all beneficiaries. The use of medical transportation must be for health-related purposes and reimbursement will not be made directly to Title XIX beneficiaries.

- I. For transportation of beneficiaries when medically necessary as certified by a physician to a hospital, to a nursing home from the hospital or beneficiary's home, to the beneficiary's home from the hospital or nursing home, from a hospital (after receiving emergency outpatient treatment) to a nursing home if a beneficiary is bedridden and from a nursing home to another nursing home if determined necessary by the Office of Long Term Care. Emergency service is covered only through licensed emergency ambulance companies. Services not allowed by Title XVIII but covered under Medicaid will be reimbursed for Medicare/Medicaid beneficiaries.
- II. For services provided at an alternative location or destination to which an ambulance is dispatched, and the ambulance service treatment is initiated from a 911 call that is documented in the records of the ambulance service. Alternative destination means a lower-acuity facility that provides medical services.

TN: 2023-0020 Approval: 11/21/2023 Effective Date:01/01/2024

Supersedes: 22-0012

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT MEDICAL ASSISTANCE PROGRAM STATE ARKANSAS

ATTACHMENT 3.1-B Page 8b(1)

AMOUNT, DURATION AND SCOPE OF SERVICES PROVIDED

MEDICALLY NEEDY

Revised: January 1, 2024

Alternative location is the location to which an ambulance is dispatched, and the ambulance service treatment is initiated from a 911 call that is documented in the records of the ambulance service. Alternative destination means a lower-acuity facility that provides medical services, including:

- A federally qualified health center;
- An urgent care center;
- A physician's office or medical clinic, as chosen by the beneficiary;
- A behavioral or mental healthcare facility

Excluded alternative destinations are facilities that provide a higheracuity medical service or medical services for a routine chronic condition, such that they would be considered as destinations for which transportation under (1) above would occur:

- Emergency Room;
- Critical Access Hospital;
- Rural Emergency Hospital;
- Dialysis center;
- Hospital;
- Private residence:
- Skilled nursing facility

B. Air Ambulance Services

Air ambulance services are provided to Arkansas Medicaid beneficiaries only in emergencies.

Air ambulance providers must be licensed by the Arkansas Ambulance Boards and enrolled as a Title XVIII, Medicare Provider.

(2) Early Intervention Day Treatment (EIDT) and Adult Developmental Day Treatment (ADDT) Transportation

EIDT and ADDT providers may provide transportation to and from their facility. The Medicaid transportation broker must provide transportation to and from the nearest qualified medical provider for the purpose of obtaining medical treatment.

TN:2023-0020 Approval:11/21/2023 Effective:01/01/2024

TOC required

213.200 Exclusions

8-3-202-1-

Ambulance service to a doctor's office or clinic is not covered, except as described in Sections 204.000 and 214.100.

214.100 Covered Ground Ambulance Triage, Treat, and Transport to Alternative Location/Destination Services

2-1-24

Ground ambulance triage, treat, and transport to alternative location/destination services (T3AL) may be covered only when provided by an ambulance company that is licensed and is an enrolled provider in the Arkansas Medicaid Program. An ambulance service may triage and transport a beneficiary to an alternative destination or treat in place if the ambulance service is coordinating the care of the beneficiary through telemedicine with a physician for a medical-based complaint or with a behavioral health specialist for a behavioral-based complaint. Telemedicine rules are described in Section 105.190 and must be followed unless instructions are given within Section II of the prevailing Medicaid manual. The use of audio-only electronic technology is not allowed for T3AL services.

For the purposes of T3AL, a behavioral health specialist is a board-certified psychiatrist or an Independently Licensed Practitioner who can provide counseling services to Medicaid beneficiaries in the Outpatient Behavioral Health program.

<u>214.110 Scope</u>

An ambulance service may:

- A. Treat a beneficiary in alternative location if the ambulance service is coordinating the care of the beneficiary through telemedicine with a physician for a medical-based complaint or with a behavioral health specialist for a behavioral-based complaint; or
- B. Triage or triage and transport a beneficiary to an alternative destination if the ambulance service is coordinating the care of the beneficiary through telemedicine with a physician for a medical-based complaint or with a behavioral health specialist for a behavioral-based complaint.

An encounter between an ambulance service and a beneficiary that results in no transport of the enrollee is allowable if the beneficiary declines to be transported against medical advice and the ambulance service is coordinating the care of the beneficiary through telemedicine with a physician for a medical-based complaint.

An encounter between an ambulance service and a beneficiary is billable as follows:

A. The ambulance service may bill either a basic life support (BLS) or advanced life support (ALS) service according to the level of the service provided to the beneficiary, plus mileage. Mileage may be billed for treating in the alternative location (one-way mileage to the location of the beneficiary. Mileage rules set forth in Section 204.000, 205.000, 214.000, and 216.000 will otherwise be followed.

214.120 Alternative Location and Alternative Destination

2-1-24

Alternative location is the location to which an ambulance is dispatched, and ambulance service treatment is initiated as a result of a 911 call that is documented in the records of the ambulance service.

Alternative destination means a lower-acuity facility that provides medical services, including:

- A. A federally qualified health center;
- B. An urgent care center;
- C. A physician's office or medical clinic, as chosen by the patient;
- D. A behavioral or mental healthcare facility

<u>Excluded alternative destinations are facilities that provide a higher-acuity medical service or medical services for routine chronic conditions including:</u>

- A. Emergency Room
- B. Critical Access Hospital;
- C. Rural Emergency Hospital;
- D. Dialysis center;
- E. Hospital;
- F. Private residence;
- G. Skilled nursing facility

TOC required

213.200 Exclusions 2-1-24

Ambulance service to a doctor's office or clinic is not covered, except as described in Sections 204.000 and 214.100.

214.100 Covered Ground Ambulance Triage, Treat, and Transport to 2-1-24 Alternative Location/Destination Services

Ground ambulance triage, treat, and transport to alternative location/destination services (T3AL) may be covered only when provided by an ambulance company that is licensed and is an enrolled provider in the Arkansas Medicaid Program. An ambulance service may triage and transport a beneficiary to an alternative destination or treat in place if the ambulance service is coordinating the care of the beneficiary through telemedicine with a physician for a medical-based complaint or with a behavioral health specialist for a behavioral-based complaint. Telemedicine rules are described in Section 105.190 and must be followed unless instructions are given within Section II of the prevailing Medicaid manual. The use of audio-only electronic technology is not allowed for T3AL services.

For the purposes of T3AL, a behavioral health specialist is a board-certified psychiatrist or an Independently Licensed Practitioner who can provide counseling services to Medicaid beneficiaries in the Outpatient Behavioral Health program.

214.110 Scope 2-1-24

An ambulance service may:

- A. Treat a beneficiary in alternative location if the ambulance service is coordinating the care of the beneficiary through telemedicine with a physician for a medical-based complaint or with a behavioral health specialist for a behavioral-based complaint; or
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An encounter between an ambulance service and a beneficiary is billable as follows:

A. The ambulance service may bill either a basic life support (BLS) or advanced life support (ALS) service according to the level of the service provided to the beneficiary, plus mileage. Mileage may be billed for treating in the alternative location (one-way mileage to the location of the beneficiary. Mileage rules set forth in Section 204.000, 205.000, 214.000, and 216.000 will otherwise be followed.

214.120 Alternative Location and Alternative Destination

2-1-24

Alternative location is the location to which an ambulance is dispatched, and ambulance service treatment is initiated as a result of a 911 call that is documented in the records of the ambulance service.

Alternative destination means a lower-acuity facility that provides medical services, including:

- A. A federally qualified health center;
- B. An urgent care center;
- C. A physician's office or medical clinic, as chosen by the patient;
- D. A behavioral or mental healthcare facility

Excluded alternative destinations are facilities that provide a higher-acuity medical service or medical services for routine chronic conditions including:

- A. Emergency Room
- B. Critical Access Hospital;
- C. Rural Emergency Hospital;
- D. Dialysis center;
- E. Hospital;
- F. Private residence;
- G. Skilled nursing facility

Stricken language would be deleted from and underlined language would be added to present law. Act 480 of the Regular Session

1 2	State of Arkansas As Engrossed: $H3/8/23$ S3/27/23 $H3/8/23$ B111
3	Regular Session, 2023 HOUSE BILL 1261
4	Regular Session, 2025
5	By: Representatives L. Johnson, J. Mayberry, Watson
6	By: Senator J. Boyd
7	
8	For An Act To Be Entitled
9	AN ACT TO ALLOW AN AMBULANCE SERVICE TO TRIAGE,
10	TREAT, AND TRANSPORT A PATIENT TO AN ALTERNATIVE
11	DESTINATION; TO ENACT THE ARKANSAS TRIAGE, TREAT, AND
12	TRANSPORT TO ALTERNATIVE DESTINATION ACT; TO MANDATE
13	INSURANCE COVERAGE FOR AN AMBULANCE SERVICE TO
14	TRIAGE, TREAT, AND TRANSPORT A PATIENT TO AN
15	ALTERNATIVE DESTINATION; AND FOR OTHER PURPOSES.
16	
17	
18	Subtitle
19	TO ENACT THE ARKANSAS TRIAGE, TREAT, AND
20	TRANSPORT TO AN ALTERNATIVE DESTINATION
21	ACT; AND TO MANDATE INSURANCE COVERAGE
22	FOR AN AMBULANCE SERVICE TO TRIAGE,
23	TREAT, AND TRANSPORT A PATIENT TO AN
24	ALTERNATIVE DESTINATION.
25	
26	
27	BE IT ENACTED BY THE GENERAL ASSEMBLY OF THE STATE OF ARKANSAS:
28	
29	SECTION 1. Arkansas Code Title 20, Chapter 13, Subchapter 1, is
30	amended to add an additional section to read as follows:
31	20-13-107. Ambulance service - Triage, treat, and transport to
32	<u>alternative destination - Definitions.</u>
33	(a) As used in this section:
34	(1)(A) "Alternative destination" means a lower-acuity facility
35	that provides medical services, including without limitation:
36	(i) A federally qualified health center;



1	(ii) An urgent care center;
2	(iii) A physician office or medical clinic, as
3	selected by the patient; and
4	(iv) A behavioral or mental healthcare facility
5	including without limitation a crisis stabilization unit.
6	(B) "Alternative destination" does not include a:
7	(i) Critical access hospital;
8	(ii) Dialysis center;
9	(iii) Hospital;
10	(iv) Private residence; or
11	(v) Skilled nursing facility;
12	(2) "Ambulance service" means an entity that provides
13	transportation and emergency medical services to a patient and is:
14	(A) Licensed to service a designated area in this state
15	through a basic 911 system under the Arkansas Public Safety Communications
16	and Next Generation 911 Act of 2019, § 12-10-301 et seq.;
17	(B) Authorized and licensed by the Department of Health to
18	provide care and transportation of patients upon the streets and highways of
19	Arkansas; and
20	(C) Licensed under the Ambulance Licensing Act, § 14-266-
21	101 et seq.; and
22	(3)(A) "Telemedicine" means the use of audio-visual electronic
23	information and communication technology to deliver healthcare services,
24	including without limitation the assessment, diagnosis, consultation,
25	treatment, education, care management, and self-management of a patient.
26	(B) "Telemedicine" includes store-and-forward technology
27	and remote patient monitoring.
28	(C) "Telemedicine" does not include the use of audio-only
29	electronic technology.
30	(b) An ambulance service's operators may triage and transport a
31	patient to an alternative destination in this state or treat in place if the
32	ambulance service is coordinating the care of the patient through
33	telemedicine with a physician for a medical-based complaint or with a
34	behavioral health specialist for a behavioral-based complaint.
35	
36	SECTION 2. Arkansas Code Title 23, Chapter 79, is amended to add an

1	additional subchapter to read as follows:
2	Subchapter 24 - Arkansas Triage, Treat, and Transport to Alternative
3	Destination Act
4	
5	23-79-2401. Title.
6	This subchapter shall be known and may be cited as the "Arkansas
7	Triage, Treat, and Transport to Alternative Destination Act".
8	
9	23-79-2402. Definitions.
10	As used in this subchapter:
11	(1) "911 call" means a communication made on behalf of an
12	enrollee indicating that the enrollee may need emergency medical services;
13	(2)(A) "Alternative destination" means a lower-acuity facility
14	that provides medical services, including without limitation:
15	(i) A federally qualified health center;
16	(ii) An urgent care center;
17	(iii) A physician's office or medical clinic, as
18	chose by the patient; and
19	(iv) A behavioral or mental healthcare facility.
20	(B) "Alternative destination" does not include a:
21	(i) Critical access hospital;
22	(ii) Dialysis center;
23	(iii) Hospital;
24	(iv) Private residence; or
25	(v) Skilled nursing facility;
26	(3) "Ambulance service" means an entity that provides
27	transportation and emergency medical services to a patient and is:
28	(A) Licensed to service a designated area in this state
29	through a basic 911 system under the Arkansas Public Safety Communications
30	and Next Generation 911 Act of 2019, § 12-10-301 et seq.;
31	(B) Authorized and licensed by the Department of Health to
32	provide care and transportation of patients upon the streets and highways of
33	Arkansas; and
34	(C) Licensed under the Ambulance Licensing Act, § 14-266-
35	<u>101 et seq.</u> ;
36	(//) "Forollee" means an individual who has been enrolled in a

1	health benefit plan;
2	(5)(A) "Health benefit plan" means:
3	(i) An individual, blanket, or group plan, policy,
4	or contract for healthcare services issued or delivered by a healthcare
5	insurer in this state; and
6	(ii) Any health benefit program receiving state or
7	federal appropriations from the State of Arkansas, including the Arkansas
8	Medicaid Program and the Arkansas Health and Opportunity for Me Program, or
9	any successor program.
10	(B) "Health benefit plan" includes:
11	(i) Indemnity and managed care plans; and
12	(ii) Nonfederal governmental plans as defined in 29
13	U.S.C. § 1002(32), as it existed on January 1, 2024.
14	(C) "Health benefit plan" does not include:
15	(i) A disability income plan;
16	(ii) A credit insurance plan;
17	(iii) Insurance coverage issued as a supplement to
18	liability insurance;
19	(iv) A medical payment under automobile or
20	homeowners insurance plans;
21	(v) A health benefit plan provided under Arkansas
22	Constitution, Article 5, § 32, the Workers' Compensation Law, § 11-9-101 et
23	seq., or the Public Employee Workers' Compensation Act, § 21-5-601 et seq.;
24	(vi) A plan that provides only indemnity for
25	hospital confinement;
26	(vii) An accident-only plan;
27	(viii) A specified disease plan;
28	(ix) A long-term-care-only plan;
29	(x) A dental-only plan; or
30	(xi) A vision-only plan;
31	(6) "Healthcare insurer" means an entity subject to the
32	insurance laws of this state or the jurisdiction of the Insurance
33	Commissioner that contracts or offers to contract to provide health insurance
34	coverage, including without limitation an insurance company, a health
35	maintenance organization, a hospital medical service corporation, a self-
36	insured governmental or church plan in this state, or the Arkansas Medicaid

1	Program;
2	(7) "Local government" includes:
3	(A) A county;
4	(B) A city of the first class or a city of the second
5	class; or
6	(C) An incorporated town; and
7	(8)(A) "Telemedicine" means the use of audio-visual electronic
8	information and communication technology to deliver healthcare services,
9	including without limitation the assessment, diagnosis, consultation,
10	treatment, education, care management, and self-management of a patient.
11	(B) "Telemedicine" includes store-and-forward technology
12	and remote patient monitoring.
13	(C) "Telemedicine" does not include the use of audio-only
14	electronic technology.
15	
16	23-79-2403. Coverage for ambulance service to triage and transport
17	enrollee to alternative destination or treat in place.
18	(a) On and after January 1, 2024, a healthcare insurer that offers,
19	issues, or renews a health benefit plan in this state shall provide coverage
20	for:
21	(1) An ambulance service to:
22	(A) Treat an enrollee in place if the ambulance service is
23	coordinating the care of the enrollee through telemedicine with a physician
24	for a medical-based complaint or with a behavioral health specialist for a
25	<u>behavioral-based complaint; or</u>
26	(B) Triage or triage and transport an enrollee to an
27	alternative destination if the ambulance service is coordinating the care of
28	the enrollee through telemedicine with a physician for a medical-based
29	complaint or with a behavioral health specialist for a behavioral-based
30	<u>complaint; or</u>
31	(2) An encounter between an ambulance service and enrollee that
32	results in no transport of the enrollee if:
33	(A) The enrollee declines to be transported against
34	medical advice; and
35	(B) The ambulance service is coordinating the care of the
36	enrollee through telemedicine with a physician for a medical-based complaint

1	or with a behavioral health specialist for a behavioral-based complaint.
2	(b) The coverage under this section:
3	(1) Only includes ambulance service transportation to the
4	treatment location;
5	(2) Is subject to the initiation of ambulance service treatment
6	as a result of a 911 call that is documented in the records of the ambulance
7	service;
8	(3) Is subject to health benefit plan deductibles or copayment
9	requirements;
10	(4) Does not diminish or limit benefits otherwise allowable
11	under a health benefit plan, even if the billing claims for medical or
12	behavioral health services overlap in time that is billed by the ambulance
13	service also providing care; and
14	(5) Is subject to any health benefit plan provisions that apply
15	to other services covered by the health benefit plan.
16	(c) The reimbursement rate for an ambulance service whose operators
17	triage, treat, and transport an enrollee to an alternative destination, or
18	triage, treat, and do not transport an enrollee if the enrollee declines to
19	be transported against medical advice, if the ambulance service is
20	coordinating the care of the enrollee through telemedicine with a physician
21	for a medical-based complaint or with a behavioral health specialist for a
22	behavioral-based complaint under this section shall be at least at the rate:
23	(1) Contracted with a local government entity where the
24	alternative destination is located; or
25	(2) Established by the Workers' Compensation Commission under
26	its schedule for emergency Advance Life Support Level 1.
27	
28	/s/L. Johnson
29	
30	
31	APPROVED: 4/6/23
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36	

RULES SUBMITTED FOR REPEAL

Rule #1: DDS Policy 3010 – Human Rights Committee

Rule #2: DDS Policy 3011 – Behavior Management

ARKANSAS DEPARTMENT OF HUMAN SERVICES DIVISION OF DEVELOPMENTAL DISABILITIES SERVICES DDS DIRECTOR'S OFFICE POLICY MANUAL

Policy Type	Subject of Policy	Policy No.
Service	Behavior Management	3011-D

- 1. **Purpose**. This policy is intended to provide guidelines for managing challenging behaviors of individuals residing in DDS operated programs (Human Development Centers).
- 2. <u>Scope</u>. This policy applies to all DDS operated programs (Human Development Centers) and their employees/volunteers. All such programs will include a review of this policy as part of the employee orientation process.

3. **Definition of Terms**

<u>Behavior management</u>, as covered by this policy, serves as a guide to ensure that an individual's actions that are aggressive, disruptive and/or present a danger to the individual or to others are managed with the least restrictive method. Behavior management is not a substitute for other forms of active treatment and is incorporated into program plans, based on individual needs.

Qualified Mental Retardation Professional (QMRP) or QMRP designee is used in this policy as a person designated to monitor, supervise, and make decisions regarding specific behavioral situations. QMRP/designee will be assigned by the administrator of the DDS program in which he/she works. Stritchia for election will involve prior training, experience, and demonstrated ability in the area of behavioral hanagemen. The QMRI (design e must be in a position that is on a level involving supervisory or elecision-making responsibilities. The QMRP designee is authorized to perform any of the duties of the QMRP as outlined by regulations or policy.

<u>Interdisciplinary Team</u> (IDT) is defined as a group of persons (professionals, paraprofessionals and non-professionals) who develop an individual's program plan and whose participation is required in order to identify the needs of the individual and to devise ways to meet those needs. The IDT includes the individual and may also include those persons who have worked or will work most closely with the individual, those persons who provide needed assessments or services, and the individual's family, guardian, or advocate. In general, the IDT includes persons professionally qualified in such fields as health care, education, psychology, and social work.

<u>Licensed nurse</u> is defined as a registered nurse or licensed practical nurse. The agency may use a physician, in lieu of a licensed nurse, but this is not required.

Replacement:	1981, and DDS Director's Policy dated October 1, 1991; December 1, 1993; and January 3 1998.	,
Reviewed:	Arkansas Legislative Council Rules and Regulations Subcommittee, 2003	

Policy Type	Subject of Policy	Policy No.
Service	Behavior Management	3011-D

4. **Policy**

NOTE: It is the philosophy of the agency to utilize all positive approaches to behavior management prior to and in conjunction with more restrictive programmatic techniques. Positive approaches may include, but are not limited to: positive reinforcement, gentle teaching, redirection, graduated guidance, and modeling of appropriate behaviors. Measures to address positive behavior should be incorporated into formal program efforts to the extent feasible.

Efforts at positive programming prove to be successful with the majority of maladaptive behaviors. All Human Development Centers will maintain policies and procedures for positive programming. The use of behavioral programming other than positive is designed for the individuals who repetitively engage in dangerously aggressive behavior and for whom other interventions have not been effective. All behavior programs developed in accordance with this policy will be designed to reduce or eliminate the target behavior(s) within a specified time frame, will be supported by documentation that positive, less restrictive methods have been systematically attempted and failed, will be monitored regularly by the individual's QMRP and Interdisciplinary Team (IDT), and will be revised if proved to be ineffective. The potential harmful effects of each procedure will be weighted arefully against the harmful effects of the targeted because and the IDT will betermine in the harmful effects of the behavior outweigh the potentially harmful effects of the pocedure.

Category I:

Category I procedures are those which may be used without prior approval of the Human Rights Committee or psychology staff. To ensure consistency, they should be addressed by the IDT. Frequent use (as determined by IDT) of these procedures with an individual indicates the need for consideration of the development of a behavior plan by the individual's IDT.

The individual must be supervised during these procedures. With the exception of verbal intervention, any use of Category I procedures, whether part of a formal behavior program or not, requires documentation on a Behavior Incident Report, Data Sheet, or other locally used behavior documentation system.

- A. Verbal Intervention: Verbal intervention is utilized to stop an inappropriate behavior in progress and involves telling the individual "no" or "stop", identifying the behavior, and redirecting to an appropriate activity. The command is given in a firm but normal tone of voice. Threats, screaming, yelling or issuing repetitive or multiple sets of commands are not considered verbal correction and are inappropriate.
- B. Separation From Activity Up to 30 Minutes: The individual is prevented from engaging in a reinforcing activity in which he/she has displayed inappropriate behavior. Separation is maintained within the same room or outdoor area where the activity is conducted. This is considered a Category I procedure if compliance by the individual can be

Policy Type	Subject of Policy	Policy No.
Service	Behavior Management	3011-D

accomplished through verbal prompting/physical prompting without requiring personal restraint (holding).

C. Separation From Setting Up to 30 Minutes: The individual is removed from the area where the reinforcing activity is taking place. Separation must occur under observation either outdoors or in a living or training area (bathrooms, storage rooms and closets do not constitute living/training area). This is considered a Category I procedure if compliance by the individual can be accomplished through verbal prompting/physical prompting without requiring personal restraint (holding).

Note: Separation to Allow Calming (STAC) – Also available is the positive procedure of STAC whereby the person is encouraged to move to an area away from the person or situation that upset them. At the same time, they are assisted in directing their attention to talking about the problem, listening to music, talking about family, relaxing quietly, going for a walk, etc. The activity should be chosen by the person in need of calming. When sufficiently calm, staff will assist the person in resuming normal activities.

- D. As igni, en of Additional Chores: The individual is assigned additional chores as a consequence for ina properties behavior. A ditional chores may be assigned only if such chores are a ready a part of the Individual Frogram Plan (IPP) and are not designed to replace work assignments of housekeeping personnel.
- E. Restitution: The individual is cued to restore any property damaged, stolen or defaced. If appropriate to the individual's level of functioning, he/she may be cued to offer a verbal apology to the offended person. If appropriate to the individual's ability to comprehend, monetary reimbursement for the value of the damaged or stolen property may be required if this is a part of the IPP. In lieu of full value, a symbolic portion of the value may be required. Monetary reimbursement requires Human Rights Committee approval and Guardian consent.

Note: The individual is not degraded nor put on display while restoring damage. The individual is given the same equipment and protection to complete the restitution as would normally be given to a person not responsible for the damage.

F. Withholding Privileges: The individual loses a particular privilege following the occurrence of an inappropriate behavior. Privileges include such things as special planned community activities. However, trips off grounds are special and should only be curtailed if problem behavior is anticipated during that trip. Examples of situations where problem behaviors may be anticipated include a problem behavior having already occurred and the person is not fully calm, or, a problem behavior has not yet occurred, but there are indications that a problem behavior is likely. The curtailing of trips off grounds should be done after consultation with psychology staff, or with supervisory staff if psychology staff is not available. Privileges do not include basic living activities, such as meals, habilitation activities, or basic rights afforded all individuals.

Policy Type	Subject of Policy	Policy No.
Service	Behavior Management	3011-D

G. Personal Property Removal (less than one hour): Removal of an individual's personal item that is being used in an inappropriate or unsafe manner or location. For example, if an individual disturbs others in a common TV room by playing his radio too loudly and will not reduce the volume when instructed, the radio may be removed from that individual for a time not to exceed one hour. The item will be held in a secure area and will be returned to the individual's room or to the individual directly, as appropriate (See Section 6, *Page 8 of 9*).

Category II:

Category II procedures may <u>only</u> be used as part of a formal behavior program designed by the individual's IDT, written by a licensed psychological professional, and approved by a licensed psychologist, physician, Human Rights Committee, and the facility administrator. Behavior program approval will include receipt of a signed consent from the individual and/or guardian.

- A. Response Cost: The individual loses reinforcers previously earned in a behavior management system. (in Token Economy Program)
- B. Overcon ection includes the following procedure:
 1) Restitutional Overcorrection: Requires the individual to restore
 - 1) Restitutional Overcorrection: Requires the individual to restore the environment to a state that is better than it was before the occurrence of the inappropriate behavior.
 - 2) Positive Practice Overcorrection: Requires the individual to engage in an intensive practice period in which the alternative appropriate behavior is practiced.
- C. Contingent Personal Property Removal (up to 24 hours maximum): Immediate removal of an individual's personal belongings contingent on the occurrence of a targeted behavior. Confiscated property will be held in a secure area and will be returned to the individual's room or individual directly, as appropriate. This procedure is considered Category II if the individual voluntarily (without duress or coercion) surrenders the property upon request.

Category III:

Category III procedures may <u>only</u> be used as part of a formal behavior program designed by the individual's IDT, written by a licensed psychological professional, and approved by a licensed psychologist, physician, other professionals, as indicated by the nature of the program, Human Rights Committee, and the facility administrator. Behavior program approval will include receipt of a signed consent from the individual and/or guardian. The individual's record documents the IDT's conclusion that the potential harmful effects of each restrictive procedure (restraint, etc.) are clearly outweighed by the harmful effects of the targeted behavior.

Policy Type	Subject of Policy	Policy No.
Service	Behavior Management	3011-D

- A. Separation Time Out (Activity or Setting): Placement of an individual in a quiet area away from reinforcing activities or persons as a result of an inappropriate behavior occurring. The individual will be visually monitored during the time out. Further conditions include *either:*
 - 1) Separation lasting for over 30 minutes to one (1) hour maximum.
 - 2) Separation (any length of time) requiring physical intervention/personal restraint to ensure compliance.
- B. Contingent Personal Property Removal (up to 24 hours maximum): Immediate removal of an individual's personal belongings contingent on the occurrence of a targeted behavior. Confiscated property will be held in a secure area and will be returned to the individual's room or to the individual directly, as appropriate. This procedure is considered Category III if the individual does not voluntarily surrender the property upon request, and it must be physically removed.
- C. Sersory Decrivation: In of the time or any impeding of one of the individual's serses for a period of time, conting in the occurrence of the target behavior. Most often, this procedure includes only the use of a blindfold or cloth placed over the individual's eyes when it has been shown to facilitate calming. The individual must be visually supervised during the use of this procedure, which may be used in combination with other Category III procedures, as designated in the individual program. It could also include lessening auditory input by covering the individual's ears, or covering a body part (usually the hands) to limit tactile stimulation, hand in mouth, or biting.
- D. Restraint: When utilizing restraint as a programmatic option, a hierarchy of lesser restrictive procedures will be attempted prior to restraint, except in limited cases where this is clearly clinically contraindicated. IDT consideration will be given to parent/guardian notification of the use of restraint and address it in the behavior program.
 - Personal Restraint: In order to prevent personal injury or serious property damage, the individual or a portion of an individual's body is immobilized by another person or persons in order to prevent personal injury or serious property damage. Approved methods of intervention must be in the individual's behavior program. This is planned personal restraint, contingent upon occurrence of a target behavior. When available, a licensed nurse should monitor the personal restraint and/or check the individual's physical condition as soon as possible following restraint.

Excluded as <u>personal restraint</u> are: physical assistance, prompting of graduated physical guidance to assist individuals during such procedures as toothbrushing and feeding, or to control random head or arm movements during such procedures

Policy Type	Subject of Policy	Policy No.
Service	Behavior Management	3011-D

as haircutting, or the use of physical assistance in escorting, redirecting, or in manual guidance techniques are not considered personal restraint and do not require documentation as personal restraint. Also excluded as programmed personal restraint is the use of personal restraint in an emergency situation (Section 6, *Page* 8 of 9) or during a necessary medical or dental procedure.

In the event of personal restraint, the QMRP/designee of the area must be notified as soon as possible. Programmed personal restraint will occur until the individual is calm and will not exceed fifteen (15) minutes. The IDT will define what constitutes calm behavior for this individual when used in a behavior program.

Mechanical Restraint: In order to prevent personal injury or serious property damage, the individual or a portion of the individual's body is immobilized until calm by an approved device or devices which may also prevent normal functioning, as described in section C, above. Restraints must be checked for safety and integrity prior to use. The device's use will not exceed 15 minutes without an extension of time approved and documented by the QMRP/designee, referance, are face to face then in. Unless oth rule determined by the IDT,

M P/desi nee extensions yill be abtained every 15 menutes until the individual cam. The IDT should define that constitutes clim behavior for this individual when used in a behavior program. Unless determined clinically contraindicated by the QMRP/designee, should the time in restraint reach 55 minutes, the individual must be released for five minutes for motion, liquid intake, or toileting.

Should time in restraint reach 1 hour and 50 minutes, the individual must be released for at least 10 minutes—for motion, liquid intake, or toileting. If this 10-minute release is judged to be a danger to the individual and/others, additional staff should be called to ensure safety for all concerned. In addition, the individual will be checked, face to face, at least every 15 minutes for safety, well being, and integrity of the restraint. Constant observation is required.

Note: On-site monitoring by the QMRP/designee is recommended. When available, a licensed nurse should monitor the mechanical restraint. If unavailable to monitor, a licensed nurse shall check the individual's physical condition as soon as possible following restraint, but in no case later than thirty minutes after the initiation of the restraint.

Excluded as programmed mechanical restraint is the use of restraints in an emergency situation (Section 6, *Page 8 of 9*), during a necessary medical or dental procedure, or to promote healing following a medical procedure or injury. Devices such as a helmet which are not prescribed for the purpose of restricting an individual's movement and/or normal functioning, but rather for their safety and protection are not considered a mechanical restraint.

Policy Type	Subject of Policy	Policy No.
Service	Behavior Management	3011-D

Note: For medical management, please refer to local site Policy on drugs to manage behavior (Behavior Management/Psychiatric Interventions).

5. Conditions for Use of Behavior Programs.

- A. A formal behavior program will be developed by the IDT, written by a licensed psychological professional, and approved by a psychologist. It will include the following elements:
 - 1) Description of the behavior to be modified;
 - 2) Functional Analysis;
 - Thorough description of each step of the program to include duration and intensity of specific procedures, the methods of monitoring and analyzing the process, and special precautions that will be taken;
 - 4) Description of any alternatives to the specific procedures;
- B. The Individual Program Plan or Jehanfor program will include

 1) Les ription of all procedures all ead attempted:
 - 2) Nide effects and risks, if any, of the intervention, it cor parison with those of allowing the maladaptive behavior to continue; and
 - 3) Behavioral objectives.
- C. Behavior Management Procedures should be used in order of least restrictive, unless clinically contraindicated. Exceptions to this order must be fully documented and substantiated as to why more restrictive procedures are advisable before less restrictive ones.
- D. All persons administering Category II and III procedures must have been trained in the administration of those specific procedures and have personally experienced those particular procedures, unless medically contraindicated for that person.
- E. The Human Rights Committee will review all Category II and III programs at least every 6 months.
- F. IDT consideration will be given to seeking consultation from outside expertise when IDT staff resources have been exhausted, as evidenced by the use of Category III procedures for over one (1) year without significant progress. If an outside consultant has been used without success, the agency should continue to access this or other outside expertise until an effective strategy is realized.

An outside consultant is defined as a professional of any discipline and who is not a member of the IDT. For instance, the outside consultant may be a member of the HDC

Policy Type	Subject of Policy	Policy No.
Service	Behavior Management	3011-D

staff not on the identified IDT, the agency staff, or a professional not affiliated with the agency.

- 6. Emergency Procedures. Nothing in this policy prohibits the use of emergency restraint (mechanical or personal), confiscation of any item used in a threatening manner, or removal from the environment for the purpose of protecting the individual and others around him/her. This includes the use of restraint procedures in the course of an established program, when the individual becomes a danger to him/herself or others, prior to staff being able to implement a lesser restrictive hierarchy. However, it is emphasized that emergency procedures may not be used at frequent intervals, becoming a routine method of intervention. If emergency procedures are utilized three times in a six-month period, the IDT will meet to conduct a functional analysis and develop an appropriate plan of action. The emergency use of restraint must conform to ICF/MR regulations and must be reported immediately to the facility administrator or designee.
- 7. **Prohibitions**: These activities are expressly prohibited.
 - A. Co port put ishine to a few to the archication of rainful time it to the body as a <u>penalty</u> for certain behavior and includes, but it not limited to this ing, pinching, the use of electrical shock or other infliction of panals whether or not pupiled as part of a systematic behavior intervention program.
 - B. Individuals who receive services from the agency disciplining other individuals unless involved in an organized and approved self-governing program. Individuals will not participate in the actual administration of discipline.
 - C. Seclusion of an individual alone in a room or other area from which entry/exit is prevented. This does not include placement in a time-out area for brief, programmed time segments, as part of a behavior program that meets all applicable standards.
 - D. *Unless specifically requested by family/guardian*, any procedure that denies visitation or communication with family members.
 - E. Any procedure that denies sleep, shelter, bedding, food, drink, or use of bathroom facilities.
 - F. Inappropriate vocalizations, maltreatment, neglect, or forced exercise.
- 8. <u>Informed Consent</u>. The individual served and/or guardian, as appropriate, will be provided a copy of the behavior program which utilizes Category II and III procedures. Informed consent will be obtained PRIOR to the implementation of the program, with the following procedures:

Policy Type	Subject of Policy	Policy No.
Service	Behavior Management	3011-D

- A. Persons 18 years of age or older who have no legally appointed guardian may give informed consent for their behavior program. If there is a question about the individual's ability to give informed consent and no guardian can be located, appropriate legal action must be pursued to have someone appointed who can give consent.
- B. Consent by the legal guardian(s) is required if guardianship has been established.
- C. The legally adequate consent will:
 - 1) Specify, in terms that are easily understood, the restrictive or intrusive procedure(s) involved;
 - 2) Inform the person(s) giving consent of any potentially harmful effects of the procedure(s);
 - 3) Be time-limited, not to exceed one year; and
 - 4) State that consent may be withdrawn at any time.
- 9. <u>Uncategorized Procedures</u>. In the event a proposed procedure does not readily fit in one of the prescribed categories, it may be utilized on a teme gency basis, if need ssary, at the discretion of the administrates of design to up it an appropriate categories determined.

NOTE: Behavior Management Policy 3011-D does not preclude the use of other restrictive/intrusive procedures that would prevent serious bodily harm and/or destruction of property or death. These procedures can only be considered when lesser restrictive procedures have been proven ineffective or their implementation are determined to be clinically contraindicated. Approvals required are the same as those for Category III procedures. Additional approvals may be required as deemed necessary by the facility administrator.

Policy Subject of Policy Policy No.

Service Human Rights Committees

3010-I

- 1. <u>Purpose</u>. This policy is designed to provide guidelines for defining human rights issues for individuals receiving services from DDS and to provide mechanisms by which those issues can be addressed. This policy will help ensure protection of individuals.
- 2. <u>Scope</u>. This policy applies to all programs operated by DDS. HDCs will include a review of this policy as part of the employee orientation process.
- 3. <u>Definition of Terms.</u> Operational definitions of terms and phrases related to this policy are found in DDS Director's Office Policies on Behavior Management, 3011-D; Maltreatment Prevention, Reporting and Investigating, 3004-I; and Research Involving Individuals, 3003-I.
- 4. <u>Human Rights Committee (HRC) Structure</u>. Each program operated by DDS will have an HRC appointed by the on-site administrator. Each Human Rights Committee member is given protected and responsibilities.
 - A. Membership. When meeting on issues germane to human rights of program individuals, the HRC will consist of:
 - 1) Chairman or Vice Chairman (if both are present, only one may vote) selected on the basis of administrative abilities and knowledge of human rights issues;
 - 2) At least one member of the committee who has training or experience with issues and decisions regarding human rights;
 - 3) Direct Care Staff Member (with one year or more on-site experience);
 - 4) Medical or Nursing Staff Person;

Replacement Notation: This Policy replaces DDS Commissioner's Office Policy #3010-I,

dated January 28, 1981, and January 8, 1987.

Effective Date: March 15, 1993 Sheet 1 of 4

References: Accreditation Council

Policy	Subject of Policy	Policy No.
•		•
Service	Human Rights Committees	3010-I

- 5) Psychology Staff Person (advisory capacity, non-voting);
- At least one-third of the committee's members are not affiliated with the agency;
- 7) Individuals served by the facility and/or their representatives.
- 8) Additional participants may be invited at the discretion of the Chairman, in a non-voting and advisory capacity chosen on the basis of their expertise in relation to the issue under consideration.
- B. Membership Terms. Members shall be appointed to specific lengths of service as determined by the on-site administrator at the time of appointment.
- C. Quantum Approximation of at least three unting members.
- 5. HRC Function The boad purpose of the HFC is the ensure and protect the human rights of individuals receiving services, keep abreast of current knowledge and issues in the area of human rights, and provide a mechanism for information dissemination of such knowledge and issues to the program staff. Specific functions include but are not limited to the following:
 - A. As determined by policy, reviewing proposals involving the use of behavior management (DDS Director's Office Policy on Behavior Management, 3011-D).
 - B. Monitoring and evaluating all uses of behavior management programs requiring HRC approval.
 - C. Reviewing documented evidence of alleged cases referred to the committee of maltreatment/other situations as covered by DDS policy (as in Director's Office Policy 3004-I) to determine the appropriateness and adequacy of the investigation.
 - D. Reviewing and/or gathering documented evidence of alleged cases of denial of individual's rights referred to the HDC.

Effective Date: March 15, 1993 Sheet 2 of 4

PolicySubject of PolicyPolicy No.ServiceHuman Rights Committees3010-I

- E. Maintaining a complete and up-to-date record of its work.
- F. Reviewing all human rights issues in research proposals to be carried out on-site, and to make recommendations to the DDS Research Review Board through the on-site administrator.
- G. Setting up procedures to carry out the above and to file those with the Office of the DDS Director.
- H. Establishing procedures for committee operations which include the following components:
 - 1) Schedule of meetings;
 - 2) Rules of order:
 - 3) Pulce of record keeping.
 4) Den oval of complitude member.
- 6. Reporting of Complaints. Complaints or questions regarding aspects of individual's rights may be made directly to any Human Rights Committee member, as well as to the on-site administrator. The Human Rights Committee must immediately inform the on-site administrator of any question or complaint brought to it directly. The on-site administrator shall be given an opportunity to solve whatever problems exist. The on-site administrator must report back to the Human Rights Committee on the final outcome of any complaint or question regardless of its origin within five (5) working days.
- 7. <u>Conflict of Interest.</u> Personal or professional interest which influences or can influence the ability to make fair objective decisions. In cases where conflict of interest arises, the on-site Administrator retains the right to intervene.
- 8. Removal of Committee Members. Committee members may be dismissed from committee membership for unethical conduct such as, but not limited to violation of confidentiality, repeated failure to attend and/or participate, and flagrant disregard for individual's rights. Removal shall be accomplished by the on-site administrator and a replacement appointed.

Effective Date: March 15, 1993 Sheet 3 of 4

PolicySubject of PolicyPolicy No.ServiceHuman Rights Committees3010-I

9. <u>Advocate Office</u>. Nothing in this policy prohibits complaints of denial of rights from being made directly to:

Advocate Office
Department of Human Services
P.O. Box 1437
Little Rock, Arkansas 72203
Phone: 682-8650

REPEALED

Effective Date: March 15, 1993 Sheet 4 of 4

DEPARTMENT OF HEALTH & HUMAN SERVICES

Centers for Medicare & Medicaid Services 601 E. 12th St., Room 355 Kansas City, Missouri 64106



Medicaid and CHIP Operations Group

November 22, 2023

Janet Mann
Director of Health and Medicaid Director
Arkansas Department of Human Services
112 West 8th Street, Slot S401
Little Rock, AR 72201-4608

Re: Arkansas State Plan Amendment (SPA) AR-23-0020

Dear Director Mann:

The Centers for Medicare & Medicaid Services (CMS) reviewed your Medicaid State Plan Amendment (SPA) submitted under transmittal number (TN) AR-23-0020. This amendment proposes update the Medicaid State Plan coordination of Triage, Treatment and Transport to an Alternative Destination.

We conducted our review of your submittal according to statutory requirements in Title XIX of the Social Security Act and implementing regulations Title XIX of the Social Security Act 42 C.F.R. Section 440.390. This letter is to inform you that Arkansas Medicaid SPA AR-23-0020 was approved on November 21, 2023, with an effective date of January 1, 2024.

If you have any questions, please contact Lee Herko at 570-230-4048 or via email at Lee.Herko@cms.hhs.gov.

Sincerely,

James G. Scott, Director Division of Program Operations

cc: Elizabeth Pittman Anita Castleberry Lisa Teague Jack Tiner

TRANSMITTAL AND NOTICE OF ADDROVAL OF	, 1. TRANSMITTAL NUMBER 2. STATE	
TRANSMITTAL AND NOTICE OF APPROVAL OF	2 3 — 0 0 2 0 A R	
STATE PLAN MATERIAL FOR: CENTERS FOR MEDICARE & MEDICAID SERVICES	3. PROGRAM IDENTIFICATION: TITLE OF THE SOCIAL	
FOR. CENTERS FOR MEDICARE & MEDICAID SERVICES	SECURITY ACT XIX XXI	
TO: CENTER DIRECTOR	4. PROPOSED EFFECTIVE DATE	
CENTER SIRECTOR CENTERS FOR MEDICAID & CHIP SERVICES	4. PROPOSED EFFECTIVE DATE	
DEPARTMENT OF HEALTH AND HUMAN SERVICES	1/1/2024	
5. FEDERAL STATUTE/REGULATION CITATION	6. FEDERAL BUDGET IMPACT (Amounts in WHOLE dollars)	
42 C.F.R 440.390	a FFY 2024 \$ (253,571) b. FFY 2025 \$ (338,094)	
7. PAGE NUMBER OF THE PLAN SECTION OR ATTACHMENT	8. PAGE NUMBER OF THE SUPERSEDED PLAN SECTION	
3.1A, Page 9a	OR ATTACHMENT (If Applicable)	
J. IA, Fage 9a	3.1A, Page 9a, Approved 7-1-18, Supersedes TN 18-09	
3.1B, Page 8b	3.1A, Page 9a(1) New Page	
5.12, 1 dg5 55	3.1B, Page 8b, Approved 8-1-22, Supersedes TN	
	22-0012 3.1B, Page 8b(1) New Page	
O CURTECT OF AMENIDMENT	3.1B,1 age ob(1) New 1 age	
9. SUBJECT OF AMENDMENT		
Coordinated Triage, Treatment and Transport to Altern	ative Destination	
10. GOVERNOR'S REVIEW (Check One)		
GOVERNOR'S OFFICE REPORTED NO COMMENT	OTHER, AS SPECIFIED:	
COMMENTS OF GOVERNOR'S OFFICE ENCLOSED		
NO REPLY RECEIVED WITHIN 45 DAYS OF SUBMITTAL		
11. SIGNATURE OF STATE AGENCY OFFICIAL	15. RETURN TO	
2 50 hull 25		
	Office of Rules Promulgation	
Elizabeth Ditmon	PO Box 1437, Slot S295 Little Rock, AR 72203-1437	
13. TITLE	Little Rock, AR 72203-1437	
	Attn: Mac Golden	
14. DATE SUBMITTED 10 -12 -23		
FOR CMS USE ONLY		
16. DATE RECEIVED	17. DATE APPROVED	
October 12, 2023	November 21, 2023	
PLAN APPROVED - OF		
18. EFFECTIVE DATE OF APPROVED MATERIAL	19. SIGNATURE OF APPROVING OFFICIAL	
January 1, 2024		
20. TYPED NAME OF APPROVING OFFICIAL	21. TITLE OF APPROVING OFFICIAL	
James G. Scott	Director, Division of Program Operations	
22. REMARKS		

TRANSMITTAL 2023-0020

EXPLANATION OF FEDERAL BUDGET IMPACT (BLOCK 7)

Medicaid is seeking a state plan amendment and revision to its transportation rules to implement Arkansas Act 480 of 2023. Act 480 provides for Medicaid coverage and reimbursement for licensed and enrolled ground ambulances to triage, treat, and transport a beneficiary to an alternative destination when a medically necessary service is coordinated by telemedicine with a physician for medical complaints or a behavioral health specialist for behavioral health complaints. Act 480 also allows for the licensed and enrolled Emergency Medical Personnel to treat the individual at the scene after consultation with a physician or behavioral health specialist. The service must be the result of the ambulance being dispatched to respond to a 9-1-1 call and assessment must not warrant an immediate need for transport to a hospital or emergency room.

We have estimated an annual total computable budget impact of (\$469,575).

FFY 2024 (9 months)

Federal Share (72.00%) (\$253,571)

FFY 2025 (12 months)

Federal Share (72.00%)

(\$338,094)

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT MEDICAL ASSISTANCE PROGRAM STATE <u>ARKANSAS</u>

ATTACHMENT 3.1-A Page 9a

AMOUNT, DURATION AND SCOPE OF SERVICES PROVIDED

Revised:

January 1, 2024

CATEGORICALLY NEEDY

23. Any other medical care and any other type of remedial care recognized under State law, specified by the

- 23. Any other medical care and any other type of remedial care recognized under State law, specified by the Secretary.
 - a. Transportation
 - (1) A. Ground Ambulance Services

Payment will be made for ambulance services, provided the conditions below are met and the services are provided in accordance with laws, regulations and guidelines governing ambulance services. These services are equally available to all beneficiaries. The use of medical transportation must be for health-related purposes and reimbursement will not be made directly to Title XIX beneficiaries.

- I. For transportation of **beneficiaries** when medically necessary as certified by a physician to a hospital, to a nursing home from the hospital or **beneficiary's** home, to the **beneficiary's** home from the hospital or nursing home, from a hospital (after receiving emergency outpatient treatment) to a nursing home if a **beneficiary** is bedridden, and from a nursing home to another nursing home if determined necessary by the Office of Long Term Care. Emergency service is covered only through licensed emergency ambulance companies. Services not allowed by Title XVIII but covered under Medicaid will be **reimbursed** for Medicare/Medicaid **beneficiaries**.
 - II. For services provided at an alternative location or destination to which an ambulance is dispatched, and the ambulance service treatment is initiated from a 911 call that is documented in the records of the ambulance service. Alternative destination means a lower-acuity facility that provides medical services.
- B. Air Ambulance Services

Air ambulance services are provided to Arkansas Medicaid beneficiaries only in emergencies.

Air ambulance providers must be licensed by the Arkansas Ambulance Boards and enrolled as a Title XVIII, Medicare Provider.

(2) Early Intervention Day Treatment (EIDT) and Adult Developmental Day Treatment (ADDT) Transportation

EIDT and ADDT providers may provide transportation to and from their facility. The Medicaid transportation broker must provide transportation to and from the nearest qualified medical provider for the purpose of obtaining medical treatment.

TN: 23-0020 Approved: <u>11/21/2023</u> Effective date: <u>01/01/2024</u>

Supersedes: 18-09

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT MEDICAL ASSISTANCE PROGRAM STATE ARKANSAS

ATTACHMENT 3.1-A Page 9a(1)

AMOUNT, DURATION AND SCOPE OF SERVICES PROVIDED

Revised:

January 1, 2024

CATEGORICALLY NEEDY

Alternative location is the location to which an ambulance is dispatched, and the ambulance service treatment is initiated from a 911 call that is documented in the records of the ambulance service. Alternative destination means a lower-acuity facility that provides medical services, including:

- A federally qualified health center;
- An urgent care center;
- A physician's office or medical clinic, as chosen by the beneficiary;
- A behavioral or mental healthcare facility

Excluded alternative destinations are facilities that provide a higher-acuity medical service or medical services for a routine chronic condition, such that they would be considered as destinations for which transportation under (1) above would occur:

- Emergency Room;
- Critical Access Hospital;
- Rural Emergency Hospital;
- Dialysis center;
- Hospital;
- Private residence;
- Skilled nursing facility

B. Air Ambulance Services

Air ambulance services are provided to Arkansas Medicaid beneficiaries only in emergencies.

Air ambulance providers must be licensed by the Arkansas Ambulance Boards and enrolled as a Title XVIII, Medicare Provider.

(2) Early Intervention Day Treatment (EIDT) and Adult Developmental Day Treatment (ADDT) Transportation

EIDT and ADDT providers may provide transportation to and from their facility. The Medicaid transportation broker must provide transportation to and from the nearest qualified medical provider for the purpose of obtaining medical treatment.

TN: 23-0020 Approved: <u>11/21/2023</u> Effective date: <u>01/01/2024</u>

Supersedes: New Page

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT MEDICAL ASSISTANCE PROGRAM STATE ARKANSAS

ATTACHMENT 3.1-B Page 8b

AMOUNT, DURATION AND SCOPE OF SERVICES PROVIDED

MEDICALLY NEEDY

Revised: January 1, 2024

- 23. Any other medical care and any other type of remedial care recognized under State law, specified by the Secretary.
 - a. Transportation
 - (1) A. Ground Ambulance Services

Payment will be made for ambulance services, provided the conditions below are met and the services are provided in accordance with laws, regulations and guidelines governing ambulance services. These services are equally available to all beneficiaries. The use of medical transportation must be for health-related purposes and reimbursement will not be made directly to Title XIX beneficiaries.

- I. For transportation of **beneficiaries** when medically necessary as certified by a physician to a hospital, to a nursing home from the hospital or **beneficiary's** home, to the **beneficiary's** home from the hospital or nursing home, from a hospital (after receiving emergency outpatient treatment) to a nursing home if a **beneficiary** is bedridden and from a nursing home to another nursing home if determined necessary by the Office of Long Term Care. Emergency service is covered only through licensed emergency ambulance companies. Services not allowed by Title XVIII but covered under Medicaid will be **reimbursed** for Medicare/Medicaid **beneficiaries**.
- II. For services provided at an alternative location or destination to which an ambulance is dispatched, and the ambulance service treatment is initiated from a 911 call that is documented in the records of the ambulance service. Alternative destination means a lower-acuity facility that provides medical services.
- B. Air Ambulance Services

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TN: 23-0020 Approval Date: 11/21/2023 Effective Date: 01/01/2024

Supersedes: 22-0012

STATE PLAN UNDER TITLE XIX OF THE SOCIAL SECURITY ACT MEDICAL ASSISTANCE PROGRAM STATE ARKANSAS

ATTACHMENT 3.1-B Page 8b(1)

AMOUNT, DURATION AND SCOPE OF SERVICES PROVIDED

MEDICALLY NEEDY

Revised: January 1, 2024

Alternative location is the location to which an ambulance is dispatched, and the ambulance service treatment is initiated from a 911 call that is documented in the records of the ambulance service. Alternative destination means a lower-acuity facility that provides medical services, including:

- A federally qualified health center;
- An urgent care center;
- A physician's office or medical clinic, as chosen by the beneficiary;
- A behavioral or mental healthcare facility

Excluded alternative destinations are facilities that provide a higheracuity medical service or medical services for a routine chronic condition, such that they would be considered as destinations for which transportation under (1) above would occur:

- Emergency Room;
- Critical Access Hospital;
- Rural Emergency Hospital;
- Dialysis center;
- Hospital;
- Private residence;
- Skilled nursing facility

B. Air Ambulance Services

Air ambulance services are provided to Arkansas Medicaid beneficiaries only in emergencies.

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TN: 23-0020 Approval: 11/21/2023 Effective: 01/01/2024

Supersedes: New Page

DHS Responses to Public Comments Regarding Rule 249 – Coordinated Triage, Treatment, and Transport to Alternative Destination

Amanda Newton

ARAA President

Comment: Please accept this as the public comments from the Arkansas Ambulance Association concerning the new Treat, Triage and Transportation legislation (Act 480 or 2023). First and foremost, thank you, as it appears to us that you all have done a great job in mirroring the intent of the legislation and by defining the 911 call location as a destinations we agree this should make reimbursement for treatment in place possible, assuming the required telemedicine consultation takes place. As we are not totally familiar with the Medical Board's requirements for "establishing the patient relationship", we have reached out to Dr. Johnson, asking him to work with the Medical Board to ensure that they do not have any concerns. While it is not contemplated in the document out for public comment, we would like to confirm that in the case of a treatment in place, we will be able to bill for mileage to the destination. As the behavioral health piece of this begins to be used, we would also like for you to consider an additional "incremental time-on-task" billing code. It was brought to our attention by Rep. Wooldridge, that some behavioral health calls may be considerably longer than a standard medical call in the field, and he suggested to the department may need to look at this type of code that could be billed in 15 minute increments on longer behavioral health calls. Again, we are so appreciative of all of the work you and your department have put into this initiative that we all believe will provide more appropriate care in many circumstances and ultimately result in savings to the department. Please know the Arkansas Ambulance Association stands ready to have continued dialogue and discussions on this and any other issue that we may be able to provide information or feedback that will be helpful to you and the individuals we all serve.

Response:

Thank you for your comments in support of this rule. Yes, mileage to the destination will be covered for treatment in place, as this is contemplated by the original statute and intent of the rule to pay standard Basic and Advanced Life Support rates. The language of the rule is being amended to clarify. We will continue to work with ambulance providers as we move forward with mobile crisis and behavioral health response system.