INDEX OF EXHIBITS	
Α	Information on NASAA and List of Former Presidents
В	A Century of Investor Protection 1911-2011 (NASAA)
С	NASAA Board of Directors
D	Information on NASAA and Its Role
E	NASAA Annual Report
F	NASAA Insight Fall 2013
G	NASAA Insight Winter/Spring 2013
Н	NASAA Insight Summer 2013
1	NASAA Insight Fall 2012
J	NASAA Insight Spring/Summer 2012
K	NASAA Insight Winter 2012  NASAA Insight Winter 2012
L	Email from NASAA listing settlement income from states sent to NASAA since 2009 and the
_	Training and Education Costs (not including Fall and Spring Conferences)
М	Relevant language from Crews& Associates Order showing recognition of repurchase and
141	donation with express limitation on use.
N	Article from Arkansas Democrat Gazette stating that Commissioner's actions were part of the
.,	normal settlement process.
0	Memorandum from NASAA Sales Assistant Registration Working Group describing global
	settlement
Р	Memorandum from NASAA Sales Assistant Registration Working Group describing global
-	settlement
Q	Schedule of monies going to IPT and NASAA along with documents showing use of IPT funds
R	Use limitations applicable to funds deposited with the IPT
S	Proequities, Inc., consent order with Proequities paying NASAA \$8,207.55 "as consideration for
	the costs associated with NASAA's coordination of the collaborative investigatory efforts of the
	multi-state task force and to further advance the investor protection efforts of NASAA "
T	UVEST Financial Services Group, Inc., consent order with Uvest paying NASAA \$14,150.94 "as
	consideration for the costs associated with NASAA's coordination of the collaborative
	investigatory efforts of the multi-state task force and to further advance the investor protection
	efforts of NASAA "
U	Information regarding Economics Arkansas
V	Summary of the 2011 Enforcement Orders under the Securities Act
W	Summary of the 2012 Enforcement Orders under the Securities Act
Χ	Summary of the 2013 Enforcement Orders under the Securities Act
Υ	Department Revenue Information for 7/1/2007 through 6/60/2013
Z	NASAA Articles of Incorporation, Bylaws, & Policies and Procedures Manual
AA	NASAA Strategic Plan
BB	Department Staff emails regarding the settlement with Stephens, Inc. in Case No. S-12-0067
CC	Arkansas Program Expenses from the Investor Education Fund, January 2008 – November 2013
DD	Schedule of Arkansas Securities Department Fines as a Percentage of Revenues
EE	Bankers Life & Casualty Company consent order related to the UVEST & Proequities global
	settlements
FF	Relevant FINRA orders regarding sales of leveraged and inverse exchange-traded funds (ETFs)
GG	Excerpts from the Arkansas Securities Act and the Rules of the Arkansas Securities
	Commissioner detailing the ability of the Commissioner to appoint a hearing officer