# MINIMUM LICENSING REQUIREMENTS FOR Licensed Child Care Family Homes



ARKANSAS DEPARTMENT OF HUMAN SERVICES
DIVISION OF CHILD CARE AND EARLY CHILDHOOD EDUCATION
CHILD CARE LICENSING UNIT
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PUB-001 (REV. 01/01/2020)

# 101 Related Laws and Requirements

- 1. "The Child Care Facility Licensing Act", Act 20-78-210-220, as amended, is the legal authority under which the Division of Child Care and Early Childhood Education prescribes minimum standards for a variety of child care facilities under the Act.
- 2. The Division of Child Care and Early Childhood Education (referred to hereafter as the Division) under the Department of Human Services is directly responsible for the inspection and evaluation of all Licensed Homes as defined in Section 102 of the Minimum Licensing Requirements for Child Care Family Homes.
- 3. The Division has the power to establish rules, regulations and standards for licensing and operation of child care facilities. This includes all powers with respect to granting, revocation, denial, and suspension of licenses. Decisions regarding special situations shall be made on an individual basis by the Division. Information regarding an appeal process is available upon request.
- 4. The Division works in coordination with local and state Health Departments, Fire Departments, City Planning or Zoning departments, and the Boiler Division of the Department of Labor. Persons considering opening or expanding a family home shall immediately contact these individual departments for inspections and information on their separate regulations rules.
  - It is recommended that a prospective Licensee request clarification regarding the codes or covenants enforced by these departments as some may prevent the operation of a Child Care Family Home at a particular location, may limit the number of children in care or may impose additional safety requirements.
- 5. Owners, operators, staff, therapists, and volunteers are mandated reporters of suspected child maltreatment and are required to call the Child Maltreatment Hotline at 1-800-482-5964, if they have reason to suspect child maltreatment. Additionally, these same individuals are required by Act 530 of 2019, to notify law enforcement if they have a good faith belief that there is a serious and imminent threat to the health or safety of a student, employee, or the public, based on a threat made by an individual regarding violence in, or targeted at, a school (or licensed home) that has been communicated to the person in the course of their professional duties.
- 6. It is recommended that the owner be aware of applicable city or county zoning ordinances or codes or neighborhood covenants which may limit the number of children in care or impose additional safety requirements. The Division will share information on the location and status of Licensed Homes or applications for a license with any city or county that requests this information.
- 7. Child Care Licensing Unit will notify the applicable federal agency at any time they become aware of or are advised of violations of any of the following or similar laws. It is recommended that the owner be aware of applicable federal laws which may affect the

operation of the facility, such as, but not limited to:

- a. Americans with Disabilities Act (ADA).
- b. Environmental Protection Agency (EPA) regulations to ensure that any renovation or repair work on a home, child care facility, or school that was constructed prior to 1978 shall be completed by a contractor that is certified by the Environmental Protection Agency (EPA), when the repairs and/or renovations consist of any or all of the following: the repair or renovation disturbs six (6) or more square feet of the interior, the repair or renovation disturbs twenty (20) or more square feet of the exterior, and/or the repair or renovation involves removing a window.
- c. Federal civil rights laws state that a facility may not discriminate on the basis of race, color, sex, religion, national origin, physical or mental handicap, or veteran status.
- 8. The Licensee shall maintain Child Care Liability insurance and comply with the following requirements:
  - a. Prior to approval of an application, the applicant shall provide verification of the required coverage to the Licensing Specialist and provide subsequent verification when requested. (Homes licensed prior to the effective date of this revision shall have ninety (90) days to comply with this requirement.)
  - b. Maintain the minimum amount of \$100,000 per occurrence

Laws relevant to the operation of child care facilities are available upon request.

## 108 Child Maltreatment Record Checks

1. The following persons shall be required to have their background reviewed through an Arkansas Child Maltreatment Central Registry Check. : (A check or money order, payable to Department of Human Services, must be attached to each notarized form.)

Prospective owners, household members who are ten (10) years of age or older, and employees who have not lived in the State of Arkansas during the preceding five (5) years will be subject to current federal guidelines regarding conducting a child maltreatment background check in any states where they resided during the past five (5) years.

a.	Each applicant to own or operate a Licensed Home	at application and every two (2) years thereafter
b.	All household members who are <u>ten</u> (10) years of age or older	at application; upon residency and every two (2) years thereafter

c. Staff members and applicants for employment in a Licensed Home

at application or within 10 days of hire Prior to employment and every two (2) years thereafter

d. Volunteers, therapists, and student observers who have access to children in the home

At application Prior to providing services or being present in the home and every two (2) years thereafter

e. Student Observers

At beginning of observation or within 10 days of first observation and every 2 year after that if applicable

f. Therapists or Other persons who have supervisory or disciplinary control over children, or have routine contact with children at the time they begin to provide services or begin to participate in home activities and every 5 years thereafter Prior to providing services or participating in home activities and every two (2) years thereafter

- 2. The Division has the authority to review and consider each true (founded) report of child maltreatment received from the Central Registry. The Division shall retain the authority to:
  - a. Deny an application
  - b. Require corrective action
  - c. Take appropriate adverse action against the license
- 3. All caregiver(s) are mandated reporters under the Child Maltreatment Act. The caregiver(s) shall call the Child Maltreatment Hot Line number at 1-800-482-5964 when there is a reason to believe that a child has been abused or neglected. (AR Code Annotated 12-12-501 et seq.) These reports of child maltreatment shall include all allegations made to the Licensee by parents, staff members, or the general public. It is recommended that the Licensee call Child Care Licensing for guidance if there is any question about whether the Hot Line should be called regarding any situation where potential child maltreatment is involved.
- 4. If a complaint of child maltreatment is filed against any employee or persons in the home, the Child Care Licensing Unit shall evaluate the risk to children and determine the suitability of persons to supervise, be left alone with children, or remain in the home during hours of care until the allegations have been determined true or unsubstantiated.
- 5. The Child Care Family Home operator, any employees, or other persons in the homewho

have had a true report of child maltreatment shall follow the corrective action plan approved by the Child Care Licensing Unit. Corrective action measures may vary from relevant training to reassignment or termination. Failure to comply with corrective action plans can constitute grounds for adverse action against the license.

# 109 FBI Criminal Record Checks

1. The following persons shall apply to the Identification Bureau of the Arkansas State Police for a nationwide criminal records check, to be conducted by the FBI, which shall include a fingerprint check: (The individual is responsible for the cost of the nationwidecheck.)

Fingerprints submitted will be used to check the criminal history records of the FBI. Individuals with results showing a prohibited offense shall be advised to contact the Licensing Unit for procedures to obtain the results and for procedures to update or make corrections to the record of their individual history.

a. Each applicant to own or operatea Licensed Home

At initial application only and every five (5) years thereafter

b. Each staff member

within 10 days of hire or start date <u>Prior</u> to employment and every five(5) years thereafter

c. Volunteers and therapists who have

within 10 days of hire or start date Prior to

routine contact with children <u>or who have</u> <u>supervisory or disciplinary control over</u> children providing services or participating in home activities and every five (5) years thereafter

d. Therapists or Other persons who have supervisory or disciplinary control over children, or have routine contact with children

Within 10 days of start date Prior to providing services or participating in home activities and every five (5) years thereafter

# 2. Arkansas State Police Criminal Background Check

The following persons shall be required to have their background reviewed through a <u>fingerprint</u> criminal records check including the Arkansas Sexual Offender Registry, conducted by the Arkansas State Police.

A prospective staff member may not begin work until the Arkansas State Police criminal record check result has been returned as satisfactory. Following receipt of the satisfactory result, this individual must be supervised at all times, pending completion of all of the required background check components, by a staff member who has successfully completed all required background checks.

Prospective employees who have not lived in the State of Arkansas during the preceding five (5) years will be subject to current federal guidelines regarding conducting a criminal background check and sexual offender registry check in any states where they resided during the past five (5) years. (A National Sexual Offender Registry check will also be conducted on prospective employees.)

- a. Each applicant to own or operate a Licensed Home
- b. All household members who are <u>eighteen</u> (18) years of age or older
- Staff members and applicants for employment in a Licensed Home
- d. Volunteers and <u>Therapists</u> who have routine contact with children
- e. Therapists or Other persons who have supervisory or disciplinary control over children, or have routine contact with children

at application and every <u>five (5)</u> years thereafter

at application; upon residency and every five (5) years thereafter

within 10 days of hire Prior to employment and every five (5) years thereafter

within 10 days Prior to providing services or participating in home activities and every five (5) years thereafter

within 10 days of the time they begin Prior to provide providing services or begin to participate participating in center home activities and every five (5) years thereafter

- 3. Criminal records will be returned to the division for review. Any charge/convictions listed in this section (Section 110) that are returned will be considered regardless of whether the record is expunged, pardoned, or otherwise sealed.
- 4. No person shall be eligible to be a child care facility owner, operator, employee, household member, or volunteer who is in the home on a routine/continual basis if that person has pled guilty, or been found guilty, of any of the following offenses by any court in the State of Arkansas, any similar offense by a court in another state, or any similar offense by a federal court. The following offenses are permanently prohibited:

01. Abuse of an endangered or impaired person, if felony	§5-28-103
02. Arson	§5-38-301
03. Capital Murder	§5-10-101
04. Endangering the Welfare of an Incompetent person- 1 <sup>St</sup> degree	§5-27-201
05. Kidnapping	§5-11-102
06. Murder in the First degree	§5-10-102
07. Murder in the Second degree	§5-10-103
08. Rape	§5-14-103
09. Sexual Assault in the First degree	§5-14-124
10. Sexual Assault in the Second degree	§5-14-125

5. No person shall be eligible to be a child care facility owner, operator, employee, volunteer, or household member, if that person has pled guilty, or been found guilty, of any of the following offenses by any court in the State of Arkansas, any similar offense by a court in another state or any similar offense by a federal court. The following offenses are prohibited:

01. Criminal Attempt to commit any offenses in MLR Section 110	§5-3-201
02.Criminal Complicity to commit any offenses in MLR Section 110	§5-3-202
03. Criminal Conspiracy to commit any offenses in MLR Section 110	§5-3-401
04. Criminal Solicitation to commit any offenses in MLR Section 110	§5-3-301
05. Assault in the First, Second, or Third degree	§5-13-205 - §5-13-207
06. Assault, Aggravated	§5-13-204
07. Assault, Aggravated on a Family or Household Member	§5-26-306
08. Battery in the First, Second, or Third Degree	§5-13-201 - §5-13-203
09. Breaking or Entering	§5-39-202
10. Burglary	§5-39-201
11. Coercion	§5-13-208

12. Computer Crimes Against Minors	§5-27-601 et. seq.
13. Contributing to the Delinquency of a Juvenile	§5-27-220
14. Contributing to the Delinquency of a Minor	§5-27-209
15. Criminal Impersonation	§5-3-208
16. Criminal Use of a Prohibited Weapon	§5-73-104
17. Death Threats Concerning a School Employee or Students	§5-17-101
18. Domestic Battery in the First, Second, or Third Degree	§5-26-303 - §5-26-305
19. Employing or Consenting to the Use of a Child in a Sexual Performance	§5-27-402
20. Endangering the Welfare of a Minor in the First or Second Degree	§5-27-205 and §5-27-206
21. Endangering the Welfare of an Incompetent Person in the First or Second Degree	§5-27-201 and §5-27-202
22. Engaging Children in Sexually Explicit Conduct for Use in Visual or Print Media	§5-27-303
23. False Imprisonment in the First or Second Degree	§5-11-103 and §5-11-104
24. Felony Abuse of an Endangered or Impaired Person	§5-28-103
25. Felony Interference with a Law Enforcement Officer	§5-54-104
26. Felony Violation of the Uniform Controlled Substance Act	§5-64-101 - §5-64-508 et. seq.
27. Financial Identity Fraud	§5-37-227

29. Incest         \$5-26-202           30. Interference with Court Ordered Custody         \$5-26-502           31. Interference with Visitation         \$5-26-501           32. Introduction of Controlled Substance into Body of Another Person         \$5-10-104           33. Manslaughter         \$5-10-104           34. Negligent Homicide         \$5-10-105           35. Obscene Performance at a Live Public Show         \$5-68-305           36. Offense of Cruelty to Animals         \$5-62-103           37. Offense of Aggravated Cruelty to Dog, Cat, or Horse         \$5-62-103           38. Pandering or Possessing Visual or Print Medium Depicting Sexually Explicit Conduct Involving a Child         \$5-70-103           39. Patronizing a Prostitute         \$5-70-103           40. Permanent Detention or Restraint         \$5-11-106           41. Permitting Abuse of a Minor         \$5-27-221           42. Producing, Directing, or Promoting a Sexual Performance by a Child         \$5-27-221           43. Promoting Obscene Materials         \$5-68-303           44. Promoting Obscene Materials         \$5-68-304           45. Promoting Prostitution in the First, Second, or Third Degree         \$5-70-104 - §5-70-106           46. Prostitution         \$5-70-102           47. Public Display of Obscenity         \$5-68-205           48. Resisting Arrest	28. Forgery	§5-37-201
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44. Promoting Obscene Performance  45. Promoting Prostitution in the First, Second, or Third Degree  46. Prostitution  47. Public Display of Obscenity  48. Resisting Arrest  49. Robbery  50. Robbery (Aggravated Robbery)  51. Sexual Offense (any)  52. Simultaneous Possession of Drugs and Firearms  53. Soliciting Money or Property from Incompetents  54. Stalking  55. Terroristic Act  56. Terroristic Threatening  57. Theft by Receiving  58. Theft of Property  55. Terroristic Act  58. Theft of Property  55. Terroristic Act  58. Theft of Property  58.	42. Producing, Directing, or Promoting a Sexual Performance by a Child	§5-27-403
45. Promoting Prostitution in the First, Second, or Third Degree \$5-70-104 - \$5-70-106 46. Prostitution \$5-70-102 47. Public Display of Obscenity \$5-68-205 48. Resisting Arrest \$5-54-103 49. Robbery \$5-12-102 50. Robbery (Aggravated Robbery) \$5-12-103  51. Sexual Offense (any) \$5-14-101 et. seq. 52. Simultaneous Possession of Drugs and Firearms \$5-74-106 53. Soliciting Money or Property from Incompetents \$5-27-229 54. Stalking \$5-71-229 55. Terroristic Act \$5-13-310 56. Terroristic Threatening \$5-13-301 57. Theft by Receiving \$5-36-106 58. Theft of Property	43. Promoting Obscene Materials	§5-68-303
46. Prostitution       \$5-70-102         47. Public Display of Obscenity       \$5-68-205         48. Resisting Arrest       \$5-54-103         49. Robbery       \$5-12-102         50. Robbery (Aggravated Robbery)       \$5-12-103         51. Sexual Offense (any)       \$5-14-101 et. seq.         52. Simultaneous Possession of Drugs and Firearms       \$5-74-106         53. Soliciting Money or Property from Incompetents       \$5-27-229         54. Stalking       \$5-71-229         55. Terroristic Act       \$5-13-310         56. Terroristic Threatening       \$5-13-301         57. Theft by Receiving       \$5-36-106         58. Theft of Property       \$5-36-103	44. Promoting Obscene Performance	§5-68-304
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58. Theft of Property §5-36-103	56. Terroristic Threatening	§5-13-301
, ,	57. Theft by Receiving	§5-36-106
FO Theft of Convices		§5-36-103
59. There of Services 95-36-104	59. Theft of Services	§5-36-104
60.Transportation of Minors for Prohibited Sexual Conduct §5-27-305	60.Transportation of Minors for Prohibited Sexual Conduct	§5-27-305
61. Unlawful Discharge of a Firearm from a Vehicle §5-74-107	61. Unlawful Discharge of a Firearm from a Vehicle	§5-74-107
62. Voyeurism §5-16-102	62. Voyeurism	§5-16-102

- a. Theft by receiving § 5-36-106
- b. Forgery § 5-37-201
- c. Financial identity fraud § 5-37-227
- d. Resisting arrest § 5-54-103
- e. Criminal impersonation in the second degree §5-37-208(b)
- f. Interference with visitation § 5-26-501
- g. Interference with court-ordered visitation § 5-26-502
- h. Prostitution § 5-70-102
- i. Patronizing a prostitute § 5-70-203

The waiver may be approved if all the following conditions are met:

- The individual has completed probation or parolesupervision
- The individual has paid all court ordered fees, fines, and /orrestitution
- The individual has fully complied with all court orders pertaining to the conviction or plea
- 6. The waiver will be revoked if after employment the individual pleads guilty or nolo contendere or is found guilty of any prohibited offense (including the list above a-i) or has a true or founded report of child maltreatment or adult maltreatment in a central registry.
- 7. The request for waiver and certification of approval shall be kept in the individual's file for the term of employment and three (3) years after termination of employment.
- 8. If approved, the waiver is not transferable to another licensed facility.
- 9. Any person who has pled guilty, nolo contendere, or who has been found guilty of any one of the offenses listed above (Section 109.5), may not work in child careunless:
  - a. The date of the conviction, plea of guilty or nolo contendere for a misdemeanor offense is at least five (5) years from the date of the request for the criminal history records check and there have been no criminal convictions or pleas of guilty or nolo contendere of any type or nature during the five (5) year period preceding the background check request
  - b. The date of the conviction, plea of guilty, or nolo contendere for a felony offense is at least ten (10) years from the date of the request for the criminal history records check and there have been no criminal convictions or pleas of guilty or nolo contendere of any type or nature during the ten (10) year period preceding the background check request.
- 10. Anyone employed in a licensed center, COE center, Licensed Child Care Family Home, or a Registered Child Care Family Home prior to 9/1/2009 with a clear background check history may remain eligible for employment unless the employee had a conviction, plead guilty, or plead nolo contendere to an offense listed in the above section (Section 109.5) since 9/1/2009.

## 303 Caregiver Qualifications and Responsibilities

- 1. The primary caregiver shall be twenty-one (21) years or older. A secondary caregiver shall be age eighteen (18) or older.
- 2. Primary caregivers licensed after November 1, 2002, and all secondary caregivers shall have a high school diploma or GED. If a diploma or proof of a GED is not available, a reasonable attempt to obtain a copy shall be documented.
- 3. The primary caregiver shall not be otherwise employed during the time he <u>or</u> /she is responsible for children in the home. Employment at other times shall not affect the

- quality of care given to the children. When two (2) persons are listed as joint holders of the license and are both primary caregivers, at least one (1) shall be present in the home while children are in care. (Also refer to Regulation Rule 102.6).
- 4. A caregiver shall not use profanity or speak in an abusive manner when children are present. The caregiver shall also cooperate with licensing staff during licensing monitor visits.
- 5. The caregiver shall have a person who would be able to care for the children in the event of an emergency.
- 6. All caregivers who work directly with children shall obtain at least fifteen (15) hours of training, including child development training, registered with the Division of Child Care and Early Childhood Education Professional Development Registry, or Department of Education or Department of Higher Education approved training each year in continuing early childhood education.
- 7. All caregivers, including volunteers who are counted in the ratios, shall receive the following orientation within three (3) months of employment (and every three (3) years thereafter) and shall not be left alone with children until this iscompleted.

# 1. Introduction (8 clock hours):

- a. Proper supervision of children
- b. Behavioral guidancepractices
- c. Safe sleep practices for infants
- d. Shaken baby syndrome; which includes prevention (Carter's Law, Act1208)
- e. Appropriately responding to a crying/fussy infant/child
- f. <u>Emergency procedures in the event of severe weather, or fire, including</u> evacuation procedures and routes, and location, and use of fire extinguishers.
- g. Mandated reporter training
- h. Administering medication
- i. Caring for children with special needs / care plans
- j. Transportation and car seat safety
- k. Policies regarding release of children to authorized individuals
- I. <u>Prevention and control of infectious diseases</u>
- m. <u>Building and physical premises safety, including the identification of, and protection from, hazards, bodies of water, and vehicular traffic</u>
- n. Nutrition and physical activities
- o. Prevention and response to food sensitivities and allergic reactions
- p. Basic child development
- q. The handling and storage of hazardous materials and the appropriate disposalof biocontaminants
- 8. At least one (1) caregiver who has a current certificate of successful completion of first aid and CPR from an approved organization shall be on site at all times. If the home serves infants and toddlers, this training shall include infant/child CPR. (Infant/child CPR may be included in the basic course or in a separatecourse.)
  - a. The curriculum shall conform to current American Heart Association or American Red Cross

- guidelines.
- b. The curriculum shall require hands on, skill-based instruction, as well as practical testing. Training and certification that is provided solely <u>"on-line"</u> <u>online</u> will not be accepted.
- c. The instructor shall be qualified and authorized to teach the curriculum and shall be certified by a nationally recognized organization. (Including but not limited to: Health and Safety Institute; EMS Safety Services, Inc.)
- 9. All caregivers shall be physically and emotionally able to care forchildren.
- 10. Child Care Licensing may require a physician's statement for any caregiver anytime behavioral or physical indicators warrant.
- 11. Staff shall not engage in behavior that could be viewed as sexual, dangerous, exploitative, or physically harmful to children. A caregiver shall not use profanity or speak in an abusive manner when children are present.
- 12. No caregiver shall consume or be under the influence of illegal drugs. (A drug test may be required if there is reasonable cause to suspect violation of this requirement andthe issue cannot be otherwise resolved.) No caregiver shall consume or be under the influence of alcohol while delivering care. No caregiver shall consume or be under the influence of medications (prescription or non-prescription), which impair his or /her ability to provide care.
- 13. Newly licensed caregivers shall attend Family Child Care Provider Training and BAS (Business Administration Scale) training (or other approved tools that are considered equivalent in the state's QRIS) within the first six (6) months of being licensed.
- 14. The Licensee shall notify the Licensing Unit within five (5) calendar days of any change in the person(s) designated as secondary caregivers.

## 15. Volunteer Requirements

- Volunteers are those individuals who have routine contact with children and assist in the home.
  If they are left alone with children, considered in the staff/child ratios, or given
  supervisory/disciplinary control over children, they shall be considered staff and must meet
  caregiver qualifications and responsibilities. (Section 303)
- 2. All volunteers in a Registered Child Care Family Home shall be eighteen (18) years of age or older unless the volunteer is under the direct supervision of the licensee and has been approved on an individual basis by the Child Care Licensing Unit.
- 3. Volunteers who have routine contact with children, shall have on file a maltreatment Central Registry check. An exception shall be given to parents who volunteer on field trips but are not left alone with children. Child Maltreatment Central Registry checks for volunteers under eighteen (18) years of age must include a parent's signature.

4. Individuals who provide health services or program enrichment activities on a limited basis are not considered volunteers. The home shall retain a register of such persons listing name, organization address, telephone number, date, and time in the center. (Note: This section does not apply to therapists or others who have routine contact with children. Therapists who are not left alone with children are required to have child maltreatment background checks. Therapists who are left alone with children at any time are subject to all background checks required for personnel. The therapist is entitled to a copy of the initial background/maltreatment check results and may share a copy with other facilities in which the therapist may be working.)

### 16. Student Observers

- 1. Students visiting the home on a regular or periodic basis to observe classroom activities or for other similar purposes shall not be counted in the staff/child ratio, shall not have disciplinary control over children, and shall not be left alone with children. These individuals shall have a child maltreatment background check onfile.
- 2. Students that are conducting practicum, student teaching, or working in the same capacity as an employee or volunteer must meet the criteria in the appropriate section. (Sections 304 and 305)

## 17. Adults in the Home

- 1. The caregiver(s) shall provide a clear statement regarding the presence of any other adults eighteen (18) years of age and above who remain in the home during any hours in which care is being given. Such persons shall not present a threat to the safety or welfare of children.
- 2. The home shall have additional staff when there are persons in the home who require constant or routine care.

## 1201 Safety Requirements

- 1. Within <u>thirty</u> (30) days of licensure and within <u>thirty</u> (30) days of any change or modification of the floor plan the facility shall file a copy of their floor plan with the local Office of Emergency Management including the following (§ 20-78-228 Act 1159 of 2013):
- a. A schematic drawing of the facility and property used by the child care facility including the configuration of rooms, spaces, and other physical features of the building
- b. The location or locations where children enrolled in child care spend time regularly
- c. The escape routes approved by the local fire department for the child carefacility
- d. The licensed capacity and ages of children per room at the facility
- e. The contact information for at least two (2) emergency contacts for the facility
- f. An aerial view of the child care facility and property used by the child care facility shall be included with the floor plan if available

- Homes already licensed on the effective date of this regulation rule shall have thirty (30) days to comply.
- 2. The Child Care Family Home shall have a written plan detailing the procedures to follow in the event of emergencies (fires, floods, tornadoes, utility disruptions, bomb threats, etc.) (Act 801 of 2009). The plan and procedures are required for emergencies that could cause structural damage to the facility, be identified as a threat by the Arkansas Department of Emergency Management, or pose a health and/or safety hazard to the children and staff. This plan shall include provisions for "sheltering in place" or "lock down", in the event of situations that warrant such measures.
- 3. The written plan shall include the following information:
  - a. Designated relocation site and evacuation route
  - b. Procedures for notifying parents of relocation
  - c. Procedures for ensuring family reunification
  - d. Procedures to address the needs of individual children, including children with special needs, disabilities, and children with chronic medical conditions
  - e. Procedures and documentation for annual training of staff regarding the plan and possible reassignment of staff duties in anemergency
  - f. Plans to ensure that all caregivers and volunteers are familiar with the components of the plan
- 4. The Child Care Family Home shall coordinate with local emergency management officials to plan for emergencies.
- 5. The home shall maintain, on site, a current copy of the Arkansas Comprehensive Emergency Management Plan issued by the Arkansas Division of Emergency Management. This plan shall be reviewed by the licensee and signed and dated, indicating that they have reviewed the current plan and agree to comply with the provisions of the plan.
- 6. Written procedures and evacuation diagrams for emergency drills shall be posted in each room used for childcare.
- 7. Fire and tornado drills shall be practiced as follows:
  - a. Monthly
  - b. Fire and tornado drills shall be practiced on separate days and at different times of the day.
  - c. During all hours when children are in care (evenings, nights, weekends, etc.)
  - d. Everyone in the Home at the time of the drill shall participate in the drill
  - e. Caregivers, including volunteers, shall be trained in safety drill procedures
- 8. The home shall maintain a record of emergency drills. This record shall include:
  - a. Date of drill
  - b. Type of drill
  - c. Time of day

- d. Number of children participating in the drill
- e. Length of time taken to reachsafety
- f. Notes regarding things that need improved upon
- 9. The Child Care Family Home shall maintain an evacuation pack that shall be taken on all drills and during real emergency evacuations. The pack shall be easily accessible in an emergency and all caregivers shall know the location of the pack. The evacuation pack shall include, but is not limited to the following:
  - a. List of emergency numbers
  - b. List of all emergency and contact information for children
  - c. List of all emergency and contact information for staff
  - d. First aid kit (requirement 1101.9) with extra gloves
  - e. Kleenex
  - f. Battery powered flashlight and extra batteries
  - g. Battery powered radio and extra batteries
  - h. Hand sanitizer
  - i. Notepad and pens/pencils
  - i. Whistle
  - k. Disposable cups
  - I. Wet wipes
  - m. Emergency survival blanket
- 10. The home shall immediately notify the Licensing Unit of any damage to the building or grounds. If phone service is not available, notification shall be as soon as service is restored or available.
- 11. Licensed Homes shall maintain a log of all child product recall and safety notices issued by CPSC or distributed by the Attorney General's Office and shall post or otherwise make these notices available for parents to review on site. The Licensee shall certify, on an annual basis, that these notices have been maintained and reviewed and that any identified items have been removed from the facility. Forms for self-certification will be provided by the Licensing Specialist and shall be submitted annually. (Act 1313 of 2001)
- 12. Electrical outlets shall be guarded. Protective caps, if used, shall be large enough to prevent swallowing.
- 13. Indoor or outdoor cooling units shall have guards or barriers when necessary. All outdoor electrical boxes, gas lines, and exposed electrical cords shall be enclosed.
- 14. Stairways shall be well lighted and guarded as needed.
- 15. Dangerous equipment and/or objects shall be stored away from areas used by the children.
- 16. All detergents and cleaning supplies shall be kept out of the reach of children. (This does not include hand soap in children's or staff bathrooms.) Supplies used for children's activities shall be carefully supervised.

- 17. All poisonous substances shall be kept in a locked area.
- 18. Guns shall be unloaded. Guns, other weapons, and ammunition shall be stored in a locked area in the home.
- 19. Illegal drugs/paraphernalia shall not be in any part of the home, regardless if children are present or not.
- 20. Tanks, ponds, swimming pools, open wells, drainage ditches, and sewage drainpipes shall be fenced if located within the playarea.
- 21. Home swimming pools shall not be used by children in care unless permission is obtained from Child Care Licensing through an alternative compliance request. This request must include written approval from the Arkansas Department of Health for the use of the pool by children in care. (Home swimming pools used by children in care are considered semi- private pools by the Department of Health and approval for these pools require inspection during the construction phase. Obtaining approval for existing pools is usually not possible.)
- 22. Wading pools shall not be used.
- 23. Alcoholic beverages shall be kept out of reach of children.

# MINIMUM LICENSING REQUIREMENTS FOR Licensed Child Care Family Homes



ARKANSAS DEPARTMENT OF HUMAN SERVICES
DIVISION OF CHILD CARE AND EARLY CHILDHOOD EDUCATION
CHILD CARE LICENSING UNIT
P. O. BOX 1437, SLOT S150
LITTLE ROCK, ARKANSAS 72203-1437
(501) 682-8590



PUB-001 (REV. 01/01/2020)

# 101 Related Laws and Requirements

- 1. "The Child Care Facility Licensing Act", Act 20-78-210-220, as amended, is the legal authority under which the Division of Child Care and Early Childhood Education prescribes minimum standards for a variety of child care facilities under the Act.
- 2. The Division of Child Care and Early Childhood Education (referred to hereafter as the Division) under the Department of Human Services is directly responsible for the inspection and evaluation of all Licensed Homes as defined in Section 102 of the Minimum Licensing Requirements for Child Care Family Homes.
- 3. The Division has the power to establish rules and standards for licensing and operation of child care facilities. This includes all powers with respect to granting, revocation, denial, and suspension of licenses. Decisions regarding special situations shall be made on an individual basis by the Division. Information regarding an appeal process is available upon request.
- 4. The Division works in coordination with local and state Health Departments, Fire Departments, City Planning or Zoning departments, and the Boiler Division of the Department of Labor. Persons considering opening or expanding a family home shall immediately contact these individual departments for inspections and information on their separate rules.
  - It is recommended that a prospective Licensee request clarification regarding the codes or covenants enforced by these departments as some may prevent the operation of a Child Care Family Home at a particular location, may limit the number of children in care or may impose additional safety requirements.
- 5. Owners, operators, staff, therapists, and volunteers are mandated reporters of suspected child maltreatment and are required to call the Child Maltreatment Hotline at 1-800-482-5964, if they have reason to suspect child maltreatment. Additionally, these same individuals are required by Act 530 of 2019, to notify law enforcement if they have a good faith belief that there is a serious and imminent threat to the health or safety of a student, employee, or the public, based on a threat made by an individual regarding violence in, or targeted at, a school (or licensed home) that has been communicated to the person in the course of their professional duties.
- 6. It is recommended that the owner be aware of applicable city or county zoning ordinances or codes or neighborhood covenants which may limit the number of children in care or impose additional safety requirements. The Division will share information on the location and status of Licensed Homes or applications for a license with any city or county that requests this information.
- 7. Child Care Licensing Unit will notify the applicable federal agency at any time they become aware of or are advised of violations of any of the following or similar laws. It is recommended that the owner be aware of applicable federal laws which may affect the

operation of the facility, such as, but not limited to:

- a. Americans with Disabilities Act (ADA).
- b. Environmental Protection Agency (EPA) regulations to ensure that any renovation or repair work on a home, child care facility, or school that was constructed prior to 1978 shall be completed by a contractor that is certified by the Environmental Protection Agency (EPA), when the repairs or renovations consist of any or all of the following: the repair or renovation disturbs six (6) or more square feet of the interior, the repair or renovation disturbs twenty (20) or more square feet of the exterior, or the repair or renovation involves removing a window.
- c. Federal civil rights laws state that a facility may not discriminate on the basis of race, color, sex, religion, national origin, physical or mental handicap, or veteran status.
- 8. The Licensee shall maintain Child Care Liability insurance and comply with the following requirements:
  - a. Prior to approval of an application, the applicant shall provide verification of the required coverage to the Licensing Specialist and provide subsequent verification when requested. (Homes licensed prior to the effective date of this revision shall have ninety (90) days to comply with this requirement.)
  - b. Maintain the minimum amount of \$100,000 per occurrence

Laws relevant to the operation of child care facilities are available upon request.

## 108 Child Maltreatment Record Checks

1. The following persons shall be required to have their background reviewed through an Arkansas Child Maltreatment Central Registry Check.

Prospective owners, household members who are ten (10) years of age or older, and employees who have not lived in the State of Arkansas during the preceding five (5) years will be subject to current federal guidelines regarding conducting a child maltreatment background check in any states where they resided during the past five (5) years.

a.	Each applicant to own or operate a Licensed Home	at application and every two (2) years thereafter
b.	All household members who are ten (10) years of age or older	at application; upon residency and every two (2) years thereafter
C.	Staff members and applicants for employment in a Licensed Home	Prior to employment and every two (2) years thereafter

- d. Volunteers, therapists, and student observers who have access to children in the home
- e. Other persons who have supervisory or disciplinary control over children, or have routine contact with children

Prior to providing services or being present in the home and every two (2) years thereafter

Prior to providing services or participating in home activities and every two (2) years thereafter

- 2. The Division has the authority to review and consider each true (founded) report of child maltreatment received from the Central Registry. The Division shall retain the authority to:
  - a. Deny an application
  - b. Require corrective action
  - c. Take appropriate adverse action against the license
- 3. All caregiver(s) are mandated reporters under the Child Maltreatment Act. The caregiver(s) shall call the Child Maltreatment Hot Line number at 1-800-482-5964 when there is a reason to believe that a child has been abused or neglected. (AR Code Annotated 12-12-501 et seq.) These reports of child maltreatment shall include all allegations made to the Licensee by parents, staff members, or the general public. It is recommended that the Licensee call Child Care Licensing for guidance if there is any question about whether the Hot Line should be called regarding any situation where potential child maltreatment is involved.
- 4. If a complaint of child maltreatment is filed against any employee or persons in the home, the Child Care Licensing Unit shall evaluate the risk to children and determine the suitability of persons to supervise, be left alone with children, or remain in the home during hours of care until the allegations have been determined true or unsubstantiated.
- 5. The Child Care Family Home operator, any employees, or other persons in the home who have had a true report of child maltreatment shall follow the corrective action plan approved by the Child Care Licensing Unit. Corrective action measures may vary from relevant training to reassignment or termination. Failure to comply with corrective action plans can constitute grounds for adverse action against the license.

## 109 FBI Criminal Record Checks

1. The following persons shall apply to the Identification Bureau of the Arkansas State Police for a nationwide criminal records check, to be conducted by the FBI, which shall include a fingerprint check: (The individual is responsible for the cost of the nationwide check.)

Fingerprints submitted will be used to check the criminal history records of the FBI.

Individuals with results showing a prohibited offense shall be advised to contact the Licensing Unit for procedures to obtain the results and for procedures to update or make corrections to the record of their individual history.

At initial application and every five (5) years a. Each applicant to own or operate a Licensed Home thereafter

 b. Each staff member Prior to employment and every five (5) years thereafter

c. Volunteers and therapists who have routine contact with children or who have supervisory or disciplinary control over children

d. Other persons who have supervisory or disciplinary control over children, or have routine contact with children

Prior to providing services or participating in home activities and every five (5) years thereafter

Prior to providing services or participating in home activities and every five (5) years thereafter

at application; upon residency and every

five (5) years thereafter

# 2. Arkansas State Police Criminal Background Check

The following persons shall be required to have their background reviewed through a fingerprint criminal records check including the Arkansas Sexual Offender Registry, conducted by the Arkansas State Police.

A prospective staff member may not begin work until the Arkansas State Police criminal record check result has been returned as satisfactory. Following receipt of the satisfactory result, this individual must be supervised at all times, pending completion of all of the required background check components, by a staff member who has successfully completed all required background checks.

Prospective employees who have not lived in the State of Arkansas during the preceding five (5) years will be subject to current federal guidelines regarding conducting a criminal background check and sexual offender registry check in any states where they resided during the past five (5) years. (A National Sexual Offender Registry check will also be conducted on prospective employees.)

a. Each applicant to own or operate a at application and every five (5) years Licensed Home thereafter

b. All household members who are eighteen (18) years of age or older

> Prior to employment and every five (5) years thereafter

c. Staff members and applicants for employment in a Licensed Home

d. Volunteers and Therapists who have routine contact with children

Prior to providing services or participating in home activities and every five (5) years thereafter

e. Other persons who have supervisory or disciplinary control over children, or have routine contact with children Prior to providing services or participating in home activities and every five (5) years\_thereafter

- 3. Criminal records will be returned to the division for review. Any charge/convictions listed in this section (Section 110) that are returned will be considered regardless of whether the record is expunged, pardoned, or otherwise sealed.
- 4. No person shall be eligible to be a child care facility owner, operator, employee, household member, or volunteer who is in the home on a routine/continual basis if that person has pled guilty, or been found guilty, of any of the following offenses by any court in the State of Arkansas, any similar offense by a court in another state, or any similar offense by a federal court. The following offenses are permanently prohibited:

01. Abuse of an endangered or impaired person, if felony	§5-28-103
02. Arson	§5-38-301
03. Capital Murder	§5-10-101
04. Endangering the Welfare of an Incompetent person- 1st degree	§5-27-201
05. Kidnapping	§5-11-102
06. Murder in the First degree	§5-10-102
07. Murder in the Second degree	§5-10-103
08. Rape	§5-14-103
09. Sexual Assault in the First degree	§5-14-124
10. Sexual Assault in the Second degree	§5-14-125

5. No person shall be eligible to be a child care facility owner, operator, employee, volunteer, or household member, if that person has pled guilty, or been found guilty, of any of the following offenses by any court in the State of Arkansas, any similar offense by a court in another state or any similar offense by a federal court. The following offenses are prohibited:

01. Criminal Attempt to commit any offenses in MLR Section 110	§5-3-201
02. Criminal Complicity to commit any offenses in MLR Section 110	§5-3-202
03. Criminal Conspiracy to commit any offenses in MLR Section 110	§5-3-401
04. Criminal Solicitation to commit any offenses in MLR Section 110	§5-3-301
05. Assault in the First, Second, or Third degree	§5-13-205 - §5-13-207
06. Assault, Aggravated	§5-13-204
07. Assault, Aggravated on a Family or Household Member	§5-26-306
08. Battery in the First, Second, or Third Degree	§5-13-201 - §5-13-203
09. Breaking or Entering	§5-39-202

10. Burglary	§5-39-201
11. Coercion	§5-13-208
12. Computer Crimes Against Minors	§5-27-601 et. seq.
13. Contributing to the Delinquency of a Juvenile	§5-27-220
14. Contributing to the Delinquency of a Minor	§5-27-209
15. Criminal Impersonation	§5-3-208
16. Criminal Use of a Prohibited Weapon	§5-73-104
17. Death Threats Concerning a School Employee or Students	§5-17-101
18. Domestic Battery in the First, Second, or Third Degree	§5-26-303 - §5-26-305
19. Employing or Consenting to the Use of a Child in a Sexual Performance	§5-27-402
20. Endangering the Welfare of a Minor in the First or Second Degree	§5-27-205 and §5-27-206
21. Endangering the Welfare of an Incompetent Person in the First or Second Degree	§5-27-201 and §5-27-202
22. Engaging Children in Sexually Explicit Conduct for Use in Visual or Print Media	§5-27-303
23. False Imprisonment in the First or Second Degree	§5-11-103 and §5-11-104
24. Felony Abuse of an Endangered or Impaired Person	§5-28-103
25. Felony Interference with a Law Enforcement Officer	§5-54-104
26. Felony Violation of the Uniform Controlled Substance Act	§5-64-101 - §5-64-508 et. seq.
27. Financial Identity Fraud	§5-37-227
28. Forgery	§5-37-201
29. Incest	§5-26-202
30. Interference with Court Ordered Custody	§5-26-502
31. Interference with Visitation	§5-26-501
32. Introduction of Controlled Substance into Body of Another Person	§5-13-210
33. Manslaughter	§5-10-104
34. Negligent Homicide	§5-10-105
35. Obscene Performance at a Live Public Show	§5-68-305
36. Offense of Cruelty to Animals	§5-62-103
37. Offense of Aggravated Cruelty to Dog, Cat, or Horse	§5-62-104
38. Pandering or Possessing Visual or Print Medium Depicting	§5-27-304
Sexually Explicit Conduct Involving a Child	§5-70-103
<ul><li>39. Patronizing a Prostitute</li><li>40. Permanent Detention or Restraint</li></ul>	§5-11-106
41. Permitting Abuse of a Minor	§5-27-221
42. Producing, Directing, or Promoting a Sexual Performance by a	§5-27-403
Child	
43. Promoting Obscene Materials	§5-68-303
44. Promoting Obscene Performance	§5-68-304
45. Promoting Prostitution in the First, Second, or Third Degree	§5-70-104 - §5-70-106
46. Prostitution	§5-70-102
47. Public Display of Obscenity	§5-68-205

48. Resisting Arrest	§5-54-103
49. Robbery	§5-12-102
50. Robbery (Aggravated Robbery)	§5-12-103
51. Sexual Offense (any)	§5-14-101 et. seq.
52. Simultaneous Possession of Drugs and Firearms	§5-74-106
53. Soliciting Money or Property from Incompetents	§5-27-229
54. Stalking	§5-71-229
55. Terroristic Act	§5-13-310
56. Terroristic Threatening	§5-13-301
57. Theft by Receiving	§5-36-106
58. Theft of Property	§5-36-103
59. Theft of Services	§5-36-104
60. Transportation of Minors for Prohibited Sexual Conduct	§5-27-305
61. Unlawful Discharge of a Firearm from a Vehicle	§5-74-107
62. Voyeurism	§5-16-102

- 6. If the Licensee wishes to employ an individual with a conviction or plea of guilty or nolo contendere for the following nonviolent offenses, they shall submit a written request for a waiver prior to employment. (§ 20- 38-103 (e) (3) (A) Act 990 of 2013)
  - a. Theft by receiving § 5-36-106
  - b. Forgery § 5-37-201
  - c. Financial identity fraud § 5-37-227
  - d. Resisting arrest § 5-54-103
  - e. Criminal impersonation in the second degree § 5-37-208(b)
  - f. Interference with visitation § 5-26-501
  - g. Interference with court-ordered visitation § 5-26-502
  - h. Prostitution § 5-70-102
  - i. Patronizing a prostitute § 5-70-203

The waiver may be approved if all the following conditions are met:

- The individual has completed probation or parole supervision
- The individual has paid all court ordered fees, fines, and restitution
- The individual has fully complied with all court orders pertaining to the conviction or plea
- 7. The waiver will be revoked if after employment the individual pleads guilty or nolo contendere or is found guilty of any prohibited offense (including the list above a-i) or has a true or founded report of child maltreatment or adult maltreatment in a central registry.
- 8. The request for waiver and certification of approval shall be kept in the individual's file for the term of employment and three (3) years after termination of employment.
- 9. If approved, the waiver is not transferable to another licensed facility.
- 10. Any person who has pled guilty, nolo contendere, or who has been found guilty of any

one of the offenses listed above (Section 109.5), may not work in child care unless:

- a. The date of the conviction, plea of guilty or nolo contendere for a misdemeanor offense is at least five
  - (5) years from the date of the request for the criminal history records check and there have been no criminal convictions or pleas of guilty or nolo contendere of any type or nature during the five (5) year period preceding the background check request
- b. The date of the conviction, plea of guilty, or nolo contendere for a felony offense is at least ten (10) years from the date of the request for the criminal history records check and there have been no criminal convictions or pleas of guilty or nolo contendere of any type or nature during the ten (10) year period preceding the background check request.
- 11. Anyone employed in a licensed center, COE center, Licensed Child Care Family Home, or a Registered Child Care Family Home prior to 9/1/2009 with a clear background check history may remain eligible for employment unless the employee had a conviction, plead guilty, or plead nolo contendere to an offense listed in the above section (Section 109.5) since 9/1/2009.

# 303 Caregiver Qualifications and Responsibilities

- 1. The primary caregiver shall be twenty-one (21) years or older. A secondary caregiver shall be age eighteen (18) or older.
- 2. Primary caregivers licensed after November 1, 2002, and all secondary caregivers shall have a high school diploma or GED. If a diploma or proof of a GED is not available, a reasonable attempt to obtain a copy shall be documented.
- 3. The primary caregiver shall not be otherwise employed during the time he or she is responsible for children in the home. Employment at other times shall not affect the quality of care given to the children. When two (2) persons are listed as joint holders of the license and are both primary caregivers, at least one (1) shall be present in the home while children are in care. (Also refer to Rule 102.6).
- 4. A caregiver shall not use profanity or speak in an abusive manner when children are present. The caregiver shall also cooperate with licensing staff during licensing monitor visits.
- 5. The caregiver shall have a person who would be able to care for the children in the event of an emergency.
- 6. All caregivers who work directly with children shall obtain at least fifteen (15) hours of training, including child development training, registered with the Division of Child Care and Early Childhood Education Professional Development Registry, or Department of Education or Department of Higher Education approved training each year in continuing early childhood education.

All caregivers, including volunteers who are counted in the ratios, shall receive the

7. following orientation within three (3) months of employment (and every three (3) years thereafter) and shall not be left alone with children until this is completed.

## 1. Introduction (8 clock hours):

- a. Proper supervision of children
- b. Behavioral guidance practices
- c. Safe sleep practices for infants
- d. Shaken baby syndrome; which includes prevention (Carter's Law, Act 1208)
- e. Appropriately responding to a crying/fussy infant/child
- f. Emergency procedures in the event of severe weather, or fire, including evacuation procedures and routes, and location, and use of fire extinguishers.
- g. Mandated reporter training
- h. Administering medication
- i. Caring for children with special needs / care plans
- j. Transportation and car seat safety
- k. Policies regarding release of children to authorized individuals
- I. Prevention and control of infectious diseases
- m. Building and physical premises safety, including the identification of, and protection from, hazards, bodies of water, and vehicular traffic
- n. Nutrition and physical activities
- o. Prevention and response to food sensitivities and allergic reactions
- p. Basic child development
- q. The handling and storage of hazardous materials and the appropriate disposal of biocontaminants
- 8. At least one (1) caregiver who has a current certificate of successful completion of first aid and CPR from an approved organization shall be on site at all times. If the home serves infants and toddlers, this training shall include infant/child CPR. (Infant/child CPR may be included in the basic course or in a separate course.)
  - a. The curriculum shall conform to current American Heart Association or American Red Cross guidelines.
  - b. The curriculum shall require hands on, skill-based instruction, as well as practical testing. Training and certification that is provided solely online will not be accepted.
  - c. The instructor shall be qualified and authorized to teach the curriculum and shall be certified by a nationally recognized organization. (Including but not limited to: Health and Safety Institute; EMS Safety Services, Inc.)
- 9. All caregivers shall be physically and emotionally able to care for children.
- 10. Child Care Licensing may require a physician's statement for any caregiver anytime behavioral or physical indicators warrant.
- 11. Staff shall not engage in behavior that could be viewed as sexual, dangerous, exploitative, or physically harmful to children. A caregiver shall not use profanity or

speak in an abusive manner when children are present.

- 12. No caregiver shall consume or be under the influence of illegal drugs. (A drug test may be required if there is reasonable cause to suspect violation of this requirement and the issue cannot be otherwise resolved.) No caregiver shall consume or be under the influence of alcohol while delivering care. No caregiver shall consume or be under the influence of medications (prescription or non-prescription), which impair his or her ability to provide care.
- 13. Newly licensed caregivers shall attend Family Child Care Provider Training and BAS (Business Administration Scale) training (or other approved tools that are considered equivalent in the state's QRIS) within the first six (6) months of being licensed.
- 14. The Licensee shall notify the Licensing Unit within five (5) calendar days of any change in the person(s) designated as secondary caregivers.

# 15. Volunteer Requirements

- 1. Volunteers are those individuals who have routine contact with children and assist in the home. If they are left alone with children, considered in the staff/child ratios, or given supervisory/disciplinary control over children, they shall be considered staff and must meet caregiver qualifications and responsibilities. (Section 303)
- 2. All volunteers in a Registered Child Care Family Home shall be eighteen (18) years of age or older unless the volunteer is under the direct supervision of the licensee and has been approved on an individual basis by the Child Care Licensing Unit.
- 3. Volunteers who have routine contact with children, shall have on file a maltreatment Central Registry check. An exception shall be given to parents who volunteer on field trips but are not left alone with children. Child Maltreatment Central Registry checks for volunteers under eighteen (18) years of age must include a parent's signature.
- 4. Individuals who provide health services or program enrichment activities on a limited basis are not considered volunteers. The home shall retain a register of such persons listing name, organization address, telephone number, date, and time in the center. (Note: This section does not apply to therapists or others who have routine contact with children. Therapists who are not left alone with children are required to have child maltreatment background checks. Therapists who are left alone with children at any time are subject to all background checks required for personnel. The therapist is entitled to a copy of the initial background/maltreatment check results and may share a copy with other facilities in which the therapist may be working.)

## 16. Student Observers

1. Students visiting the home on a regular or periodic basis to observe classroom activities or for other similar purposes shall not be counted in the staff/child ratio, shall not have disciplinary control over children, and shall not be left alone with children. These individuals shall have a child maltreatment background check on file.

2. Students that are conducting practicum, student teaching, or working in the same capacity as an employee or volunteer must meet the criteria in the appropriate section. (Sections 304 and 305)

## 17. Adults in the Home

- 1. The caregiver(s) shall provide a clear statement regarding the presence of any other adults eighteen (18) years of age and above who remain in the home during any hours in which care is being given. Such persons shall not present a threat to the safety or welfare of children.
- 2. The home shall have additional staff when there are persons in the home who require constant or routine care.

# 1201 Safety Requirements

- 1. Within thirty (30) days of licensure and within thirty (30) days of any change or modification of the floor plan the facility shall file a copy of their floor plan with the local Office of Emergency Management including the following (§ 20-78-228 Act 1159 of 2013):
- A schematic drawing of the facility and property used by the child care facility including the configuration of rooms, spaces, and other physical features of the building
- b. The location or locations where children enrolled in child care spend time regularly
- c. The escape routes approved by the local fire department for the child care facility
- d. The licensed capacity and ages of children per room at the facility
- e. The contact information for at least two (2) emergency contacts for the facility
- f. An aerial view of the child care facility and property used by the child care facility shall be included with the floor plan if available

Homes already licensed on the effective date of this rule shall have thirty (30) days to comply.

- 2. The Child Care Family Home shall have a written plan detailing the procedures to follow in the event of emergencies (fires, floods, tornadoes, utility disruptions, bomb threats, etc.) (Act 801 of 2009). The plan and procedures are required for emergencies that could cause structural damage to the facility, be identified as a threat by the Arkansas Department of Emergency Management, or pose a health or safety hazard to the children and staff. This plan shall include provisions for "sheltering in place" or "lock down", in the event of situations that warrant such measures.
- 3. The written plan shall include the following information:
  - a. Designated relocation site and evacuation route
  - b. Procedures for notifying parents of relocation
  - c. Procedures for ensuring family reunification

- d. Procedures to address the needs of individual children, including children with special needs, disabilities, and children with chronic medical conditions
- e. Procedures and documentation for annual training of staff regarding the plan and possible reassignment of staff duties in an emergency
- f. Plans to ensure that all caregivers and volunteers are familiar with the components of the plan
- 4. The Child Care Family Home shall coordinate with local emergency management officials to plan for emergencies.
- 5. The home shall maintain, on site, a current copy of the <u>Arkansas Comprehensive Emergency Management Plan issued by the Arkansas Division of Emergency Management</u>. This plan shall be reviewed by the licensee and signed and dated, indicating that they have reviewed the current plan and agree to comply with the provisions of the plan.
- 6. Written procedures and evacuation diagrams for emergency drills shall be posted in each room used for childcare.
- 7. Fire and tornado drills shall be practiced as follows:
  - a. Monthly
  - b. Fire and tornado drills shall be practiced on separate days and at different times of the day.
  - c. During all hours when children are in care (evenings, nights, weekends, etc.)
  - d. Everyone in the Home at the time of the drill shall participate in the drill
  - e. Caregivers, including volunteers, shall be trained in safety drill procedures
- 8. The home shall maintain a record of emergency drills. This record shall include:
  - a. Date of drill
  - b. Type of drill
  - c. Time of day
  - d. Number of children participating in the drill
  - e. Length of time taken to reach safety
  - f. Notes regarding things that need improved upon
- 9. The Child Care Family Home shall maintain an evacuation pack that shall be taken on all drills and during real emergency evacuations. The pack shall be easily accessible in an emergency and all caregivers shall know the location of the pack. The evacuation pack shall include, but is not limited to the following:
  - a. List of emergency numbers
  - b. List of all emergency and contact information for children
  - c. List of all emergency and contact information for staff
  - d. First aid kit (requirement 1101.9) with extra gloves
  - e. Kleenex
  - f. Battery powered flashlight and extra batteries

- g. Battery powered radio and extra batteries
- h. Hand sanitizer
- i. Notepad and pens/pencils
- j. Whistle
- k. Disposable cups
- I. Wet wipes
- m. Emergency survival blanket
- 10. The home shall immediately notify the Licensing Unit of any damage to the building or grounds. If phone service is not available, notification shall be as soon as service is restored or available.
- 11. Licensed Homes shall maintain a log of all child product recall and safety notices issued by CPSC or distributed by the Attorney General's Office and shall post or otherwise make these notices available for parents to review on site. The Licensee shall certify, on an annual basis, that these notices have been maintained and reviewed and that any identified items have been removed from the facility. Forms for self-certification will be provided by the Licensing Specialist and shall be submitted annually. (Act 1313 of 2001)
- 12. Electrical outlets shall be guarded. Protective caps, if used, shall be large enough to prevent swallowing.
- 13. Indoor or outdoor cooling units shall have guards or barriers when necessary. All outdoor electrical boxes, gas lines, and exposed electrical cords shall be enclosed.
- 14. Stairways shall be well lighted and guarded as needed.
- 15. Dangerous equipment or objects shall be stored away from areas used by the children.
- 16. All detergents and cleaning supplies shall be kept out of the reach of children. (This does not include hand soap in children's or staff bathrooms.) Supplies used for children's activities shall be carefully supervised.
- 17. All poisonous substances shall be kept in a locked area.
- 18. Guns shall be unloaded. Guns, other weapons, and ammunition shall be stored in a locked area in the home.
- 19. Illegal drugs/paraphernalia shall not be in any part of the home, regardless if children are present or not.
- 20. Tanks, ponds, swimming pools, open wells, drainage ditches, and sewage drainpipes shall be fenced if located within the play area.
- 21. Home swimming pools shall not be used by children in care unless permission is obtained from Child Care Licensing through an alternative compliance request. This request must include written approval from the Arkansas Department of Health for the use of the pool by children in care. (Home swimming pools used by children in care are considered semi-

private pools by the Department of Health and approval for these pools require inspection during the construction phase. Obtaining approval for existing pools is usually not possible.)

- 22. Wading pools shall not be used.
- 23. Alcoholic beverages shall be kept out of reach of children.

