

DEPARTMENT OF HEALTH, CENTER FOR HEALTH PROTECTION

SUBJECT: Immunization Reporting

DESCRIPTION: Act 541 of 2015 removed the adult consent requirement before immunization providers report an adult immunization to the statewide immunization information system (IIS). This change brings the IIS rules into alignment with the State's Health Information Exchange's (SHARE) consent requirements and eliminates the administrative burden on immunization providers to gain and document consent prior to reporting.

PUBLIC COMMENT: A public hearing was held on September 3, 2015. The Public Comment period expired on September 3, 2015. The Department did not receive any public comments.

The proposed effective date is February 10, 2016.

CONTROVERSY: This is not expected to be controversial.

FINANCIAL IMPACT: There is no financial impact. Prior rules permit immunization providers to report immunizations administered to adults but only after gaining consent. This rule will eliminate the administrative burden on immunization providers to collect and document consent prior to reporting. This change also aligns these rules with those of the State's Health Information Exchange (SHARE). There is no additional cost to the state, county, or municipal government, as the electronic reporting system already exists and is functional for capturing adult immunizations.

LEGAL AUTHORIZATION:

THIS RULE ENACTS ACT 541 OF 2015. The Act removed the adult consent requirement before immunization reports are sent to the statewide immunization information system.

Ark. Code Ann. § 20-15-1202 requires the Department to establish an immunization registry and the authority to adopt the rules to implement the registry.



Arkansas Department of Health

4815 West Markham Street • Little Rock, Arkansas 72205-3867 • Telephone (501) 661-2000
Governor Asa Hutchinson
Nathaniel Smith, MD, MPH, Director and State Health Officer

Summary of Rules and Regulations Pertaining to Immunization Reporting

It is proposed to revise the Rules and Regulations Pertaining to Immunization Reporting pursuant to the procedures of the Administrative Procedures Act process, as amended, by the authority of Act 434 of 1967 as amended. These requirements apply to reporting information to the statewide immunization registry under the authority of Ark. Code. Ann §§20-15-1201 – 1203.

Act 541 of 2015 removed the adult consent requirement before immunization providers report an adult immunization to the statewide immunization information system (IIS). This change brings the IIS Rules and Regs into alignment with the State's Health Information Exchange's (SHARE) consent requirements and eliminates the administrative burden on immunization providers to gain and document consent prior to reporting.

QUESTIONNAIRE FOR FILING PROPOSED RULES AND REGULATIONS
WITH THE ARKANSAS LEGISLATIVE COUNCIL AND JOINT INTERIM COMMITTEE

DEPARTMENT/AGENCY Arkansas Department of Health
Center for Health Protection - Immunization and Communicable Disease
DIVISION Section
DIVISION DIRECTOR Renee Mallory
CONTACT PERSON Hilda Dupwe
ADDRESS 4815 West Markham, MS48, Little Rock, AR 72205
PHONE NO. 501-661-2493 FAX NO. 501-661-2300 E-MAIL Hilda.Dupwe@Arkansas.gov
NAME OF PRESENTER AT COMMITTEE MEETING Robert Brech
PRESENTER E-MAIL robert.brech@arkansas.gov

INSTRUCTIONS

- A. Please make copies of this form for future use.
- B. Please answer each question **completely** using layman terms. You may use additional sheets, if necessary.
- C. If you have a method of indexing your rules, please give the proposed citation after "Short Title of this Rule" below.
- D. Submit two (2) copies of this questionnaire and financial impact statement attached to the front of two (2) copies of the proposed rule and required documents. Mail or deliver to:

Donna K. Davis
Administrative Rules Review Section
Arkansas Legislative Council
Bureau of Legislative Research
One Capitol Mall, 5th Floor
Little Rock, AR 72201

1. What is the short title of this rule? Rules and Regulations Pertaining to Immunization Reporting

2. What is the subject of the proposed rule? Enhance the Utilization of Immunization Registry

3. Is this rule required to comply with a federal statute, rule, or regulation? Yes No
If yes, please provide the federal rule, regulation, and/or statute citation. _____

4. Was this rule filed under the emergency provisions of the Administrative Procedure Act? Yes No
If yes, what is the effective date of the emergency rule? _____

When does the emergency rule expire? _____

Will this emergency rule be promulgated under the permanent provisions of the Administrative Procedure Act?

Yes

No

5. Is this a new rule? Yes No

If yes, please provide a brief summary explaining the regulation. _____

Does this repeal an existing rule? Yes No

If yes, a copy of the repealed rule is to be included with your completed questionnaire. If it is being replaced with a new rule, please provide a summary of the rule giving an explanation of what the rule does. _____

Is this an amendment to an existing rule? Yes No

If yes, please attach a mark-up showing the changes in the existing rule and a summary of the substantive changes. **Note: The summary should explain what the amendment does, and the mark-up copy should be clearly labeled "mark-up."**

6. Cite the state law that grants the authority for this proposed rule? If codified, please give the Arkansas Code citation. Arkansas Code 20-15-1201 - 1203

7. What is the purpose of this proposed rule? Why is it necessary? To create an opt out consent when reporting adult immunizations to the registry consistent with Act 541 of 2015. To eliminate the administrative burden of gaining and documenting consent before reporting adult immunizations given to the registry. To align consent requirements with those set by the State's Health Information Exchange (SHARE).

8. Please provide the address where this rule is publicly accessible in electronic form via the Internet as required by Arkansas Code § 25-19-108(b). www.healthy.arkansas.gov

9. Will a public hearing be held on this proposed rule? Yes No

If yes, please complete the following:

Date: September 3, 2015

Time: 11:00 a.m.

Place: Arkansas Department of Health, Room 2508

10. When does the public comment period expire for permanent promulgation? (Must provide a date.)

8:00 a.m. on September 3, 2015

11. What is the proposed effective date of this proposed rule? (Must provide a date.)

February 10, 2015

12. Do you expect this rule to be controversial? Yes No

If yes, please explain. _____

FINANCIAL IMPACT STATEMENT

PLEASE ANSWER ALL QUESTIONS COMPLETELY

DEPARTMENT Arkansas Department of Health
DIVISION Immunization and Communicable Disease Section
PERSON COMPLETING THIS STATEMENT Hilda Dupwe
TELEPHONE NO. 501-661-2493 **FAX NO.** 501-661-2300 **EMAIL:** Hilda.Dupwe@Arkansas.gov

To comply with Ark. Code Ann. § 25-15-204(e), please complete the following Financial Impact Statement and file two copies with the questionnaire and proposed rules.

SHORT TITLE OF THIS RULE Rules and Regulations Pertaining to Immunization Reporting

1. Does this proposed, amended, or repealed rule have a financial impact? Yes No
2. Is the rule based on the best reasonably obtainable scientific, technical, economic, or other evidence and information available concerning the need for, consequences of, and alternatives to the rule? Yes No
3. In consideration of the alternatives to this rule, was this rule determined by the agency to be the least costly rule considered? Yes No

If an agency is proposing a more costly rule, please state the following:

(a) How the additional benefits of the more costly rule justify its additional cost;

(b) The reason for adoption of the more costly rule;

(c) Whether the more costly rule is based on the interests of public health, safety, or welfare, and if so, please explain; and;

(d) Whether the reason is within the scope of the agency's statutory authority; and if so, please explain.

4. If the purpose of this rule is to implement a federal rule or regulation, please state the following:

(a) What is the cost to implement the federal rule or regulation?

Current Fiscal Year

General Revenue _____
Federal Funds _____
Cash Funds _____
Special Revenue _____
Other (Identify) _____

Next Fiscal Year

General Revenue _____
Federal Funds _____
Cash Funds _____
Special Revenue _____
Other (Identify) _____

Total 0

Total 0

(b) What is the additional cost of the state rule?

Current Fiscal Year

Next Fiscal Year

General Revenue _____
Federal Funds _____
Cash Funds _____
Special Revenue _____
Other (Identify) _____

General Revenue _____
Federal Funds _____
Cash Funds _____
Special Revenue _____
Other (Identify) _____

Total 0

Total 0

5. What is the total estimated cost by fiscal year to any private individual, entity and business subject to the proposed, amended, or repealed rule? Identify the entity(ies) subject to the proposed rule and explain how they are affected.

Current Fiscal Year

Next Fiscal Year

\$ NA

\$ NA

Prior rules and regulations permit immunization providers to report immunizations administered to adults but only after gaining consent. This proposed rule will eliminate the administrative burden on immunization providers to collect and document consent prior to reporting. This change also aligns these rules and regulations with those of the State's Health Information Exchange (SHARE).

6. What is the total estimated cost by fiscal year to state, county, and municipal government to implement this rule? Is this the cost of the program or grant? Please explain how the government is affected.

Current Fiscal Year

Next Fiscal Year

\$ NA

\$ NA

No additional cost is anticipated as the electronic reporting system already exists and is functional for capturing adult immunizations.

7. With respect to the agency's answers to Questions #5 and #6 above, is there a new or increased cost or obligation of at least one hundred thousand dollars (\$100,000) per year to a private individual, private entity, private business, state government, county government, municipal government, or to two (2) or more of those entities combined?

Yes No

If YES, the agency is required by Ark. Code Ann. § 25-15-204(e)(4) to file written findings at the time of filing the financial impact statement. The written findings shall be filed simultaneously with the financial impact statement and shall include, without limitation, the following:

- (1) a statement of the rule's basis and purpose;
- (2) the problem the agency seeks to address with the proposed rule, including a statement of whether a rule is required by statute;

- (3) a description of the factual evidence that:
 - (a) justifies the agency's need for the proposed rule; and
 - (b) describes how the benefits of the rule meet the relevant statutory objectives and justify the rule's costs;
- (4) a list of less costly alternatives to the proposed rule and the reasons why the alternatives do not adequately address the problem to be solved by the proposed rule;
- (5) a list of alternatives to the proposed rule that were suggested as a result of public comment and the reasons why the alternatives do not adequately address the problem to be solved by the proposed rule;
- (6) a statement of whether existing rules have created or contributed to the problem the agency seeks to address with the proposed rule and, if existing rules have created or contributed to the problem, an explanation of why amendment or repeal of the rule creating or contributing to the problem is not a sufficient response; and
- (7) an agency plan for review of the rule no less than every ten (10) years to determine whether, based upon the evidence, there remains a need for the rule including, without limitation, whether:
 - (a) the rule is achieving the statutory objectives;
 - (b) the benefits of the rule continue to justify its costs; and
 - (c) the rule can be amended or repealed to reduce costs while continuing to achieve the statutory objectives.