

MARK-UP

Agency No. 209.02

**ARKANSAS REGULATIONS ON PESTICIDE USE**

SECTION I. Title

The following regulations of the Arkansas State Plant Board, written pursuant to the Arkansas Pesticide Use and Application Act, Act 389 of 1975, as amended, and the Arkansas Pesticide Control Act, Act 410 of 1975, as amended, shall be known as "The Arkansas Regulations On Pesticide Use". Promulgation of these regulations repeals all provisions of the Plant Board's current regulations entitled "Arkansas Regulations On 2,4-D, 2,4-DB, MCPA, And Other State Restricted Use Herbicides" effective December 31, 2002.

SECTION II. Purpose

Pesticides are valuable to the State's agricultural production and to the protection of man and the environment from insects, rodents, weeds and other forms of life which may be pests; but it is essential to the public health and welfare that they be regulated to prevent adverse effects on human life and the environment. However, at times certain pesticides present problems that were unanticipated by the manufacturer, the grower or the applicator. The purpose of these regulations is to provide additional mechanisms, other than denying registration of a product in Arkansas, to minimize the adverse effects of certain pesticides to:

1. Plants, including forage plants, or adjacent or nearby lands;
2. Wildlife in the adjoining or nearby areas;
3. Fish and other aquatic life in waters in reasonable proximity to the area to be treated; and
4. Humans, animals, or beneficial insects

SECTION III. Definitions

- A. "Buffer Zone" means the distance an applicator must maintain between the field or area of application and a protected subject inside of which the subject pesticide may not be applied.
- B. "Desirable Vegetation" means any type of vegetation the pesticide label specifically identifies for protection, vegetation for which the product is not labeled, or vegetation for which the owner/manager desires protection from the deposition of pesticides.
- C. "Drift" means off target movement of a pesticide onto desirable vegetation, waterways, or where human health or the environment may be adversely impacted that occurs as a result of pesticide application.
- D. "Custom Applicator" means a commercial applicator that applies pesticides assigned the

Class E or F designation.

#### SECTION IV. General

The effective date of these regulations shall be January 1, 2003 and shall apply to all products registered for 2003. From that time forward, all pesticides registered for sale in the state of Arkansas shall be classified as Class A, B, C, D, E, F, G, H, or I. Such designation shall remain the same unless changed by the Arkansas State Plant Board by promulgation of a regulation so changing the designation. Whatever designation is assigned to a product by the Board, product dealers, users and applicators must comply with the restrictions for the assigned class. Such restrictions will apply to product uses allowed as a result of Section (18) or Section 24(c) actions under FIFRA except where the requirements on the label are clearly more restrictive than the Plant Board's requirements, in which case the more restrictive requirement must be followed.

The following designations apply to all pesticide products registered in the State of Arkansas. The use-restrictions itemized below are intended to be in addition to the product label. However, where the label is more restrictive than the applicable restrictions listed below, then the label shall be followed. Each successive Class designation below includes the restrictions defined in the designations that precede it.

#### SECTION V. PRODUCT CLASSIFICATION

The following represents the product classifications assigned to pesticides currently registered under Arkansas law.

Class A All registered pesticides not otherwise assigned below

Class B

Class C

Class D

Class E

Class F All 2,4-D and 2,4-D containing pesticides, MCPA

Class G Glyphosate containing products packaged in containers one (1) gallon or larger, labeled for agricultural use, and used in row crop and rice production and commercial right-of-way treatment.

Class H All pesticides containing dicamba

Class I Quinclorac: See Attachment 1

#### SECTION VI. Class A

All pesticides when registered in the state of Arkansas shall be classified as Class A unless research or experience has shown that certain potential problems may be inherent with the use of the product. Such knowledge may be as a result of but not limited to research findings, findings of other state and federal agencies or experience of the Arkansas State Plant Board. In such cases the Plant Board may, by regulation, place the product in another Class.

Products with this classification must be used in accordance with the label restrictions and other restrictions, if any, imposed by Plant Board regulations other than this document. Documentation of equipment set-up must be maintained by the commercial applicator on forms provided by the Plant Board and made available to the ASPB upon request. Insecticides that are intended to be applied in low volume, LV or Ultra Low Volume, ULV, and product label guidelines require droplet sizes designated as "fine" or smaller in accordance with the August 1999 issue of the ASAE S572 report entitled Spray Nozzle Classification by Droplet Spectra, shall not be required to comply with the Plant Board's regulations regarding spray droplet size. Said products must comply with the product label.

#### SECTION VII. Class B

Products with this designation shall be used in accordance with all other applicable federal or state laws and the regulations written pursuant thereto, the label registered with the State of Arkansas, regulations promulgated by the Board, the applicable restrictions identified for Class A and the following additional restrictions.

- A. If enforcement action is taken against a Commercial, Non-Commercial or Private Applicator regarding drift of a product with this designation or a buffer zone violation, a part of the enforcement action will require the applicator to attend a drift control training class administered by the Plant Board or other training that is acceptable to the Plant Board.

#### SECTION VIII. Class C

Products with this designation shall be used in accordance with all other applicable federal or state laws and the regulations written pursuant thereto, the label registered with the State of Arkansas, the applicable restrictions identified for Class A and B above and the following additional restrictions.

- A. All commercial equipment used to apply pesticides with this designation must be in compliance with the application equipment set up requirements specified for herbicide applications contained in the regulations written pursuant to the Pesticide Use and Application Act of 1975, as amended, (PUAA) prior to the initial application. Board to verify compliance with the set up that was originally authorized. If the application equipment is modified it must again be authorized to be in compliance with the requirements for herbicide application contained in the before referenced regulations. The Plant Board will inspect all application equipment each year that is used to apply products with the Class C designation. A fee of \$25 shall be charged for each Plant Board inspection.

Applications of products with this designation with equipment that is not acceptable to or has not been inspected by the Plant Board will be a violation of these regulations.

#### SECTION IX. Class D

Products with this designation shall be used in accordance with all other applicable federal or state laws and the regulations written pursuant thereto, the label registered with the State of Arkansas, the applicable restrictions identified for Class A, B, and C above and the following additional restrictions.

- A. Applications may be made only when the wind is not blowing in the direction of desirable vegetation, waterways, or where human health or the environment may be adversely impacted. Where desirable vegetation, waterways, or human health and the environment cannot be protected by ensuring they are not downwind from the application site then, unless a greater distance is required by the label or other applicable State or Federal regulations, a 300 foot minimum buffer zone must be maintained between the protected entity (desirable vegetation, waterway, etc.) and the sprayed area.

#### SECTION X. Class E

Products with this designation shall be used in accordance with all other applicable federal or state laws and the regulations written pursuant thereto, the label registered with the State of Arkansas, the applicable restrictions identified for Class A, B, C, and D above and the following additional restrictions.

##### A. Dealers Requirements

- 1. Before selling, offering for sale, or distributing pesticides with this designation in packages of more than one quart, a dealer must be a licensed Restricted Use Pesticides dealer. A dealer may sell, offer for sale, or distribute only those pesticides that are registered in the State.
- 2. Each branch of a license holding dealer which also sells or distributes these products must have a dealer's license. Firms or distributors who take orders for these products must secure a dealer's license, even though the order is placed with a dealer or manufacturer who holds a license, and even though no profit is made.
- 3. Dealers must keep a record of each sale or distribution of products with this designation to custom or private applicators or dealers in containers of more than one (1) quart on forms available from or approved by the Plant Board. Entries in the record shall be made at the time of sale or distribution and shall include the date of the purchase, the name, address and license or permit number of the purchaser and the name and address of the delivery location. The complete brand name and quantity of the product shall also be recorded. These records shall be kept by the dealer for two years from the date of sale and be made available for inspection by the Plant Board or its representative upon request.

4. The sale or distribution of products with this classification in containers of more than one (1) quart to any firm or person other than a dealer, custom or private applicator holding a current and valid license or permit is prohibited. Dealer must have a copy of the custom applicator's license on file.
5. Non-residents of Arkansas shall designate and maintain a resident agent in this state for service of process.

B. Requirements For Custom Application

The application of products with the Class E or F designation shall be known as Custom Application. To be eligible to apply products with the Class E or Class F designation, a Commercial Application Firm must obtain a Firm's Custom Applicator Permit from the Plant Board prior to making any applications. Said permit must designate an Operator-in-Charge whose responsibility is to supervise all custom applications made by the firm. Issuance of the permit shall be conditioned on the following:

1. Commercial Aerial Application Firms must have a Firm's Commercial Applicator License issued by the Plant Board to apply pesticides in Arkansas.
  - a. All pilots that apply pesticides for the Firm must have an Individual Commercial Applicator Pilot License (w/category) issued by the Plant Board.
    - i. The Firm must have a copy of all Individual Commercial Applicator Pilot Licenses held by pilots employed by the Firm.
  - b. Commercial Aerial Application Firms that wish to apply products with the Class E or F designation must obtain a Firm's Custom Applicator Permit from the Plant Board.
    - i. The Firm's Permit must designate at least one of its Licensed Individual Commercial Applicators that has passed the Custom Applicator test as an Operator-in-Charge.
    - ii. All Pilots making Custom Applications must have an Individual Commercial Applicator Pilot License with Authorization to apply Class E or F products. Said Authorization is obtained by passing a Custom Applicator written test administered by the Plant Board.
2. Commercial Ground Application Firms must have a Firm's Commercial Applicator License issued by the Plant Board.
  - a. At least one person working for the Firm must have an Individual Commercial Applicator License (w/category) issued by the Plant Board.
  - b. Commercial Ground Application Firms that wish to apply products with the

Class E or F designation must obtain a Firm's Custom Application Permit.

- i. The Firm's Permit must designate at least one of its Licensed Individual Commercial Applicators that has passed the Custom Applicator test as an Operator-in-Charge.
3. The application vehicle must be covered by a current certificate of inspection as required in Section VIII of these regulations.
4. Licensed Commercial Application Firms that do tree injection work only, do not need a Firm's Custom Applicator Permit to apply products with the Class E or F designation. For such firms, a Tree Injector's Permit is required. However, the Firm's Tree Injector Permit must designate at least one of the Firm's Licensed Individual Commercial Applicators that has passed the Tree Injector test administered by the Plant Board as an Operator-in-Charge.
5. A deposit of \$250.00 shall be made with the Plant Board by the Custom Application Firm, except that those persons doing tree injector work exclusively will deposit \$10.00 per tree injector, up to a maximum of \$250.00. Said deposit shall be returned at the expiration of the permit upon request unless the Custom Applicator is found in violation of the Plant Board's regulations or suffers cancellation of his/her Custom Applicator's permit. In which case the deposit will be retained by the Plant Board to supplement cost recovery of inspection and administration incidental to such finding.
6. A deposit of funds as described in Section (X)(B)(5) and proof of financial responsibility, as described below is required. Non-residents of Arkansas shall designate and maintain a resident agent in this state for service of process. Custom Application Permits, Custom Application Authorizations, and Tree Injector Permits shall expire December 31<sup>st</sup> of each year.

Financial responsibility in the minimum of \$100,000 shall be maintained by the Custom Application Firm or Tree Injection Firm during the term of his/her permit, with proof of such financial responsibility submitted to the Plant Board.

Proof of financial responsibility shall consist of one of the following:

- a. The deposit of a certificate of insurance or insurance policy not to exceed \$5,000 deductible from an insurer or surplus line broker authorized to do business in Arkansas insuring the Custom Application Firm and any of its agents against liability for injury resulting from the application of products with this designation.

If a claim is made on this type of policy, then the policy must not expire for at least six (6) months after the expiration of the permit;

- b. A letter of credit from a bank located in Arkansas guaranteeing financial

- responsibility;
  - c. A surety bond; or
  - d. An escrow account with a bank located in Arkansas.
7. Application for a permit must be made on forms furnished by the Plant Board accompanied by the following fees:
- a. Aerial Custom Application Firms must pay an annual application processing fee of \$150.00 plus \$50.00 for each Operator-in-Charge. Pilots making Custom Applications must pay an annual application processing fee of \$35.00 for Authorization to apply products in Classes E and F.
  - b. Ground Custom Application Firms must pay an annual application processing fee of \$150.00 plus \$50.00 for each Operator-in-Charge.
  - c. Applicants for a Tree Injector's Permit must pay an annual application processing fee of \$50.00 plus \$50.00 for each Operator-in-Charge.

Should, at any time, a Custom Application Firm be left without an Operator-in-Charge or a pilot with an Individual Commercial Applicator Pilot License with Authorization to apply products with the Class E or F designation, either because of invalidation of the permit or for any other reason, such shall automatically invalidate the custom applicator's firm permit. It shall be a violation of these regulations for an individual or firm to act as a Custom Applicator that is not licensed to do so by the Plant Board.

8. The Plant Board or its authorized representative(s) may refuse issuance, after a hearing, of a custom applicator's permit to any applicant when such applicant has been found in violation of these regulations four times in a three year period. Such applicant may appeal to the Board. All requests for an appeal must be made in accordance with the Plant Board's policy on appealing a decision.
9. All equipment used for custom application of the products with this designation must have a decal provided by the Plant Board affixed to the device in a location where it can be easily seen by a Plant Board representative and protected from removal or disfigurement by work activity. This decal may only be affixed to equipment that meets the requirements set out in these regulations and other applicable regulations promulgated by the Plant Board. Use of equipment for custom application that does not have a current decal will be a violation of these regulations. Decals are not transferable between equipment. Each decal shall be issued at a cost of \$50.00 each. Subsequent to issuance of a decal, the equipment on which the decal is to be attached will be subject to inspection by the Plant Board. Equipment found not meeting the requirements set out by these regulations or other applicable regulations promulgated by the Plant Board will be issued a Stop Use Order that will be released by the Plant Board once the Plant Board is satisfied that

the equipment meets the set up requirements of the applicable regulations. The applicator will also be considered in violation of the Plant Board's regulations on pesticide application and be subject to the required enforcement action. All decals and permits expire on December 31<sup>st</sup> of each year.

Equipment used to apply pesticides with this designation shall not be used for the application of other pesticides that do not carry this designation or the Class F designation unless the following has been done:

- a. The vehicle must be thoroughly decontaminated;
  - b. The tank must be thoroughly rinsed and the rinsate disposed of in accordance with the label. If the label does not address rinsate disposal, the rinsate should be collected and disposed of in accordance with applicable state and federal disposal laws; and
  - c. The entire spray or application system must be replaced or decontaminated using the best available technology such that a sample taken from the successive pesticide tank load would contain no detectable concentration of the previous product. Where research has established a concentration below which no adverse effects occur and that concentration level is not a violation of state or federal law or regulations written pursuant to such laws, then that established concentration will be acceptable. Compliance with this provision in no way exempts the product user from compliance with any other responsibility imposed by state or federal law or regulation written pursuant thereto. Pesticide application equipment must have a leak free valve that is painted hunter orange from which a sample can be taken. Aircraft must have a sample valve located at the low point in the spray system. Ground application equipment must have a sample valve located in the pressure by-pass line.
10. All firms desiring to do custom application work must have a Custom Application Permit to do so. Said permit must designate an Operator-In-Charge. Eligibility as Operator-in-Charge will be conditioned on the following:
- a. Achieving a score of 70% or better on an examination administered by the Plant Board;
  - b. Pilots must hold a valid FAA pilot's Commercial Certificate;
  - c. Applicant may not have more than four (4) enforcement actions indicated on the Plant Board's records in the three years prior to the date of testing; and
  - d. The fee for each test shall be \$35.

Pilots and Operator's-In-Charge shall be responsible for notifying the Plant Board



of the name and location of employment prior to starting work.

11. The Custom Application Firm must maintain records of each application of products with this and the Class F designation. Said records must be retained at the principal Arkansas office of the Custom Application Firm as indicated on the Firm license for a period of three (3) years and be available for inspection by a Plant Board representative. The records shall include at a minimum the following information:
  - a. Name and address of the person(s) in control of the crops, plant, etc;
  - b. Location of the crop, plants, etc. treated. Location description must include county, nearest town, physical address if available, and GPS or map coordinates of the primary entrance to the field;
  - c. Date, start and ending time of the application;
  - d. Wind speed and direction at the start and ending time of the application and the type of instrument used to measure wind speed and direction. The location of instrument at time of reading (preferably "field of application") must also be recorded;
  - e. Complete brand name and EPA registration number of the material used;
  - f. Number of acres and type of crop to which the material was applied;
  - g. Type of equipment used and the Firm's Custom Application Equipment number assigned to it by the Plant Board;
  - h. Distance from and direction to any susceptible crops within a one mile radius of the treated crop; and
  - i. Name of the application vehicle operator.

C. Requirements For Non-Custom Application Of Products In This Class

1. Whether designated as "Restricted" by the EPA or not, products in containers of more than one (1) quart with this class designation and the Class F designation may not be purchased by or sold to persons who do not have a current Commercial, Non-Commercial, or Private Applicator's license.
2. All applications of products with this designation by Private Applicators must be in accordance with the applicable application conditions required of the custom applicator.
3. The Private Applicator must maintain records of each application of products with this and the Class F designation. Said records must be retained for a period of

three (3) years and be available for inspection by a Plant Board representative. The records shall include at a minimum the following information:

- a. Name and address of the person(s) in control of the crops, plant, etc;
- b. Location of the crop, plants, etc. treated. Location description must include county, nearest town, physical address if available, and GPS reading or map coordinates of the primary entrance to the field;
- c. Date, start and ending time of the application;
- d. Wind speed and direction at the start and ending time of the application and type of instrument used to measure wind speed and direction. The location of instrument at time of reading (preferably "field of application") must also be recorded;
- e. Complete brand name and EPA registration number of the material used;
- f. Number of acres and type of crop to which the material was applied;
- g. Type of equipment used. If the product was applied by a custom applicator, record the Firm's Custom Application Equipment number assigned to the equipment used by the Plant Board;
- h. Distance from and direction to any susceptible crops within a one mile radius of the treated crop; and
- i. Name of the application vehicle operator.

#### D. Exemptions

1. The licensing requirements of these regulations do not apply to the U. S. Department of Agriculture, the Arkansas Experiment Stations and other State or Federal Agencies, to ornamental and turf weed control, or to company demonstrations with ground equipment, or to sales of fertilizer, soil conditioners or similar products containing registered products with this designation and packaged for home use. Provided that nothing in this section shall be construed as exempting custom applicators from the provisions of these regulations when making applications for the agencies listed herein, or exempting any such agency acting as a dealer from the dealer requirements.
2. Products with the Class E or F designation that are not designated as restricted use products by the Environmental Protection Agency may be purchased from an Arkansas pesticide dealer for use outside the state of Arkansas without the dealer having to have a dealer's license or the purchaser having an applicator's license.
3. Commercial Applicators and Private Applicators that can provide proof of current

certification and licensing from another State may purchase restricted use pesticides from a restricted use pesticide dealer licensed in Arkansas if the product is to be used outside of Arkansas.

#### Section XI. Class F

Products with this designation shall be used in accordance with all other applicable federal or state laws and the regulations written pursuant thereto, the label registered with the State of Arkansas, the applicable requirements identified for Class A, B, C, D, and E above, and the following additional restrictions.

- A. Dealers may not store or transport products with this designation in the same room or vehicle with seeds, other pesticides that do not have this designation, or fertilizers except in leak-proof containers not to be opened while in storage and must observe all other precautions necessary to prevent contamination of these products.
- B. The use of esters of the products with this designation, except low-volatile esters, is prohibited.
- C. No product with this designation may be applied within the 1/4 mile of susceptible crops at any time except as otherwise indicated by this regulation.
- D. From April 16<sup>th</sup> through September 15<sup>th</sup> of each year, the following conditions shall apply:
  1. Pesticides labeled for agricultural use that contain the active ingredient(s) assigned to this Class, may not be applied by ground or air in Clay, Greene, Craighead, Poinsett, Cross, Crittenden, St. Francis, Lee, Phillips, and Mississippi Counties.
  2. Where no viable alternative is believed to exist, an annual permit may be obtained from the Plant Board to allow an exemption to these restrictions. Said permit must be obtained prior to application and will require a permit application fee in the amount of \$100. The application for the permit must be on forms authorized by the Plant Board. This exemption is conditioned on the producer complying with the following requirements:
    - a. The permittee must have the permit in his/her possession prior to making the application and it must be made available to the Plant Board or its designee upon request.
    - b. For each application the following information must be recorded:
      - i. A physical description of the location of the field;
      - ii. Date of the application;
      - iii. Start and stop time for each load applied to the field;

- iv. Wind speed (may not be less than 2 mph), wind direction, ambient temperature, and precipitation condition at ten minute intervals during the application of each load. Said measurements must be made at the field of application; and
  - v. The producer must be present during the application and sign the document containing the information.
- c. The above information must be filed with the Plant Board's Pesticide Division along with a GPS map of the application to the field within 10 days of the date of application.
- d. Applications made within four (4) miles of susceptible crops (defined as cotton when applying 2,4-D) must be done when the wind is blowing at least two (2) mph away from the susceptible crop.
- e. Rice levee spraying shall not require a permit in Cross, Poinsett, Clay, Greene, Craighead, Crittenden, St. Francis, Lee, Phillips, and Mississippi counties west of the approximate north-south center line of Crowley's Ridge. However, paragraphs b(i) through b(v) and paragraph d above must be complied with. The records for each application must be maintained by the producer for a period of three years and be made available to the Plant Board upon request by a Plant Board representative. The application device must 1) generate a spray with a droplet spectrum such that no more than 10 % of the spray droplets are smaller than 300 microns, 2) the boom width may not exceed 10 feet, 3) during application the spray nozzle height may not exceed 30 inches above the top of the levee, and 4) the spray vehicle may not exceed 8 miles per hour. No 2,4-D Esters may be used.
3. In the remainder of the State the following conditions shall apply:
- a. A buffer zone between the field to be treated and susceptible crops (susceptible crops is cotton when applying 2,4-D containing products) of four (4) miles for aerial application and one (1) mile for ground application shall be maintained.
  - b. Applications made within four (4) miles of susceptible crops must be done when the wind is blowing at least two (2) miles per hour away from the susceptible crop.
  - c. Applications may be made within the applicable buffer zones if the owner or supervisor of the sprayed or treated field has obtained a waiver from the producers of all susceptible crops within the buffer zone. The waiver shall be developed by the Plant Board and provided to said producers by the owner or supervisor of the sprayed or treated field. A copy of the waiver must be provided to the applicator who sprays or treats the field. The applicator shall retain the record for a period of three (3) years. When

making an application within the applicable buffer zone, at the time of application, the wind must be blowing away from susceptible crops.

4. Failure to comply with the requirements for a Class F product when using a Class F product will result in enforcement action being taken against the producer and the applicator in accordance with the Plant Board's Penalty Matrix. Any penalty mandated by the Penalty Matrix may have additional civil penalty added to it to bring the amount of the assessment up to the maximum amount allowed by law.
- E. Any custom applicator who violates the buffer zones defined in Section (XI)(D) shall be subject to a civil penalty as prescribed by the penalty matrix for the violation plus \$1000. However, the total civil penalty for one violation may not exceed \$2000. Failure to comply with the decontamination requirements of Section (X)(B)(9) of these regulations before making an application of a product with a Class A, B, C or D designation inside a designated buffer zone for Class E and F products will be considered a buffer zone violation.
- F. Products with this designation shall be applied in accordance with the application equipment set up required for herbicide applications to field crops itemized in the regulations written pursuant the Pesticide Use and Application Act of 1975, as amended. Except that these conditions will apply, in addition to field crops, to pastures, rights-of-way, drainage ditches, brush and forest land.
- G. The wind velocity during the application shall not exceed eight (8) mph and the temperature may not exceed 90 degrees F.
- H. Applications of products with this classification shall not be made unless the following condition exists:
1. For applications made before noon, the air temperature at the field of application at the beginning of the application must be a minimum of three (3) degrees Fahrenheit above the morning low measured at the applicator's air strip or mixing/loading facility. If the applicator has knowledge that the temperature measurement at his/her air strip or mixing/loading facility would not be the same as a reading taken at the same time at the field of application, then all temperature readings must be taken at the field of application.
  2. For applications made after noon, the temperature at the field of application must not have decreased more than five (5) degrees Fahrenheit from the afternoon high measured at the applicator's air strip or mixing/loading facility. If the applicator has knowledge that the temperature measurement at his/her air strip or mixing/loading facility would not be the same as a reading taken at the same time at the field of application, then all temperature readings must be taken at the field of application.

All temperature measurements referenced above must be maintained by the grower as well as the applicator and be made available to the Plant Board upon request.

I. Enlist Exemption

- a. Dow Agro-Sciences' products identified as Enlist One and Enlist Duo - premix of glyphosate and 2,4-D Choline may be used on Enlist Weed Control System soybeans, cotton, and corn. All Plant Board restrictions on 2,4-D containing products will apply except the following:

Section XI(C), and

Section XI(D)(1), and

Section XI(D)(2), and

Section XI(D)(3), and

Section XI(G), and

The application window in Section XI(D) shall not apply.

- b. In addition to all product label requirements, the following conditions apply:
- i. At the time of application, the wind must be blowing away from adjacent sensitive areas and non-target susceptible crops as identified by the product label.
  - ii. The wind speed during the application may not exceed 10 mph.
  - iii. The volume median diameter (VMD) of the spray droplets must be greater than 300 microns.
  - iv. Tank mixes will not be permitted unless research data, from a source acceptable to the Plant Board, is provided. This data must prove that the mix, when applied according to the product label and state restrictions, does not increase the driftable fines (those less than 200 microns) by more than 10% over that of the product alone. However, there will be a limit of no more than 10% of the total mix's droplets to be smaller than 200 Microns. This tank mix requirement may be waived in part or in whole by the Plant Board if no entity can be identified as an acceptable source for development of the data.
  - v. Where the product label is more restrictive than the Plant Board's restrictions, then the label must be complied with.
- c. Ground applications of products with this classification shall not be made to Enlist seed technologies without Commercial, Non-Commercial, and

Private Applicators first completing New Technology Certification training. New Technology Certification training must be obtained through the Cooperative Extension Service. Upon request proof of training must be provided to the Plant Board.

## SECTION XII. CLASS G

Products with this designation shall be used in accordance with all other applicable federal and state laws and regulations written pursuant thereto, the label registered with the State of Arkansas, the applicable restrictions identified for Class A, B, C, and D above and the following additional restrictions. Products assigned to this class include only those products packaged in containers one (1) gallon or larger, labeled for agricultural use, and used in row crop and rice production and commercial right-of-way treatment.

- A. Class G products may not be applied in winds greater than 10 miles per hour, 15 miles per hour if using a commercially available hooded sprayer. However, if the product label indicates a lesser wind speed should be used, then that wind speed must be used.
- B. Civil penalties assessed for each violation of the product label, applicable State or Federal law or the regulations promulgated pursuant to these laws that involve a product with this classification shall be assessed at the level indicated by the Plant Board's Enforcement Response Regulations for a restricted-use product plus, where not otherwise forbidden by state or federal law, additional civil penalty may be added to bring the amount of the assessment up to the maximum amount allowed by law.
- C. For purposes of civil penalty assessment, products named to this classification shall be considered the same as Federally Restricted Use products if not already designated as such.
- D. Failure to comply with these requirements will be a violation of these regulations.

## SECTION XIII. CLASS H

Products with this designation shall be used in accordance with all other applicable federal and state laws and regulations written pursuant thereto, the label registered with the State of Arkansas, the applicable restrictions identified for Class A above and the following additional restrictions. Products assigned to this class include only those products packaged in containers of more than one quart, labeled for agricultural use.

- A. Dealer Requirements:
  - 1. Before selling, offering for sale, or distributing pesticides with this designation in packages of more than one quart, a dealer must be a licensed Restricted Use Pesticides dealer. A dealer may sell, offer for sale, or distribute only those pesticides that are registered in the State.
  - 2. Each branch of a license holding dealer which also sells or distributes these products must have a dealer's license. Firms or distributors who take orders for

these products must secure a dealer's license, even though the order is placed with a dealer or manufacturer who holds a license, and even though no profit is made.

3. Dealers must keep a record of each sale or distribution of products with this designation to commercial, non-commercial, private applicators or dealers in containers of more than one (1) quart on forms available from or approved by the Plant Board. Entries in the record shall be made at the time of sale or distribution and shall include the date of the purchase, the name, address and license or permit number of the purchaser and the name and address of the delivery location. The complete brand name and quantity of the product shall also be recorded. These records shall be kept by the dealer for two years from the date of sale and be made available for inspection by the Plant Board or its representative upon request.
4. The sale or distribution of products with this classification in containers of more than one (1) quart to any firm or person other than a dealer or applicator holding a current and valid license or permit is prohibited. Dealer must have a copy of the applicator's license on file.
5. Non-residents of Arkansas shall designate and maintain a resident agent in this state for service of process.

B. Requirements For Commercial, Non-Commercial, and Private Application of Dicamba Containing Pesticides:

1. From May 26<sup>st</sup> through October 31<sup>st</sup> of each year, applications of products labeled for agriculture use that contain dicamba are prohibited except applications made:
  - a. for turf, ornamental, direct injection for forestry activities and home use are allowed year round;
  - b. for pasture and rangeland are allowed year round. However, such applications must maintain buffers required in Section 2; or
  - c. pursuant to a special permit for Arkansas land east of the Mississippi river levee may be allowed if all of the following requirements are met. In no event will a special permit be issued for applications made more than 45 days (soybeans) or 60 days (cotton) after planting.
    - i. The permit must be obtained prior to application and will require a permit application fee in the amount of \$100. The application for the permit must be on forms authorized by the Plant Board. The permit will expire on December 31<sup>st</sup> of each year. Applications for the permit must be submitted to the Plant Board, no later than 10 business days prior to application and contain the following information.
      - aa. Name, farm entity, mailing address, county of each field of



application, and phone number of individual applying for permit;

- bb. Field name, GPS latitude and longitude coordinates at the primary entrance of each field to be sprayed provided in decimal degrees;
- cc. Planting date of each field;
- dd. Crop planted and acres of each field;
- ee. Distance from and direction to any susceptible non dicamba tolerant crops;
- ff. Number of prior in crop dicamba applications made to each field to date;
- gg. Information (other than cost) sufficient detail to allow Plant Board staff to determine that alternative pesticides will not be practical at this location.

~~d-ii.~~ During application a 1 mile buffer zone from non-dicamba tolerant crops must be maintained.

~~e-iii.~~ Within ten days of the date of pesticide application, records required by the federal label must be submitted to the Plant Board.

2. From April 16<sup>th</sup> through May 25<sup>th</sup> all applications for in crop agricultural use:
  - a. Must maintain a one (1) mile buffer, in all directions, from University and USDA research stations, certified organic crops, and commercially grown specialty crops (defined as a minimum of 1,000 plants or the average annual crop sales for the previous three-years exceeding \$25,000).
  - b. Are prohibited from using tank mixes of products containing the active ingredient Glyphosate mixed with pesticides containing the active ingredient dicamba labeled for in crop use.
  - c. During application a ½ mile buffer zone in all directions from non dicamba-tolerant crops must be maintained.
3. **From April 16<sup>th</sup> through October 31<sup>st</sup>, applications of pesticides labeled for agricultural use that contain the active ingredient dicamba are prohibited for pre-plant (burndown) applications except for:**
  - a. **From April 16<sup>th</sup> through May 25<sup>th</sup>, pesticides labeled for in crop agricultural use, by ground that contain the active ingredient dicamba**

**and allow for the pre-plant (burndown) applications may be applied. However, such applications must maintain the requirements of buffers required in Section B.2.**

**3-4.** Training Requirements:

- a. Applications of products with this classification to dicamba-tolerant crops shall not be made without Commercial, Non-Commercial, and Private Applicator, first completing dicamba specific training provided by a registrant of a dicamba product for use on dicamba tolerant crops. Upon request proof of training must be provided to the Plant Board.
- b. Applications of products with this classification to non-dicamba crops or pasture and rangeland shall not be made without Commercial, Non-Commercial, and Private Applicators first completing New Technology Certification training. New Technology Certification training must be obtained through the Cooperative Extension Service. Upon request proof of training must be provided to the Plant Board.
- c. Applicators must provide the proof of training required by paragraph 3.a. to Pesticide Dealers prior to purchase.

**4-5.** **Record Keeping Requirements:**

- a. Applicators must maintain records of each application of products with this designation. Said records must be retained for a period of three (3) years and be available for inspection by a Plant Board representative. The records shall include at a minimum the following information:
  - a. ~~Name and address of the person(s) in control of the crops, plant, etc;~~
  - b. ~~Location of the crop, plants, etc. treated. Location description must include county, nearest town, physical address if available, and GPS reading or map coordinates of the primary entrance to the field;~~
  - c. ~~Date, start and ending time of the application;~~
  - d. ~~Wind speed and direction at the start and ending time of the application and type of instrument used to measure wind speed and direction. The location of instrument at time of reading (preferably "field of application") must also be recorded;~~
  - e. ~~Complete brand name and EPA registration number of the material used;~~
  - f. ~~Number of acres and type of crop to which the material was applied;~~
  - g. ~~Type of equipment used. If the product was applied by a commercial~~

~~applicator, record the Firm's Application Equipment number assigned to the equipment used by the Plant Board; and~~

- ~~h. Name of the application vehicle operator.~~
  - i. Full Name, Farm Entity, Address, and Phone Number of licensed applicator;
  - ii. Licensed Applicator Number and Manufacturer Training Certificate Number;
  - iii. Product Name and EPA Registration Number;
  - iv. Location of Application, including Field Name, County, and GPS coordinates at the primary entry of field of application provided in decimal degrees;
  - v. Crop Planted and Crop Planted date;
  - vi. Date of Application, Acres Treated, Start and End time of application;
  - vii. Number of Prior application of dicamba to field;
  - viii. Distance from any direction to any susceptible crops.
- b. From April 16<sup>th</sup> through May 25<sup>th</sup> all applications for agricultural use of dicamba products labeled for in crop use:
  - i. During application a GPS map of the as applied spray swath must be completed and a printed version must be made available to the Arkansas Department of Agriculture or its designee upon request.
  - ii. Must register dicamba applications via an online registry with the Arkansas Department of Agriculture. Dicamba applications must be registered no later than 10 days after application and include all record keeping requirements required in Section B.5(a).

C. Exemptions:

- 1. The licensing requirements of these regulations do not apply to the U. S. Department of Agriculture, the Arkansas Experiment Stations and other State or Federal Agencies, to ornamental and turf weed control, or to company demonstrations with ground equipment, or to sales of fertilizer, soil conditioners or similar products containing registered products with this designation and packaged

for home use.

2. Products with the designation that are not designated as restricted use products by the Environmental Protection Agency may be purchased from an Arkansas pesticide dealer for use outside the state of Arkansas without the dealer having to have a dealer's license or the purchaser having an applicator's license.
3. Commercial, Non-Commercial, and Private Applicators that can provide proof of current certification and licensing from another State may purchase restricted use pesticides from a restricted use pesticide dealer licensed in Arkansas if the product is to be used outside of Arkansas.

#### SECTION XIV. CLASS I

Products with this designation are those for which none of the aforementioned classification or any combination thereof will resolve to an acceptable level the problems associated with the use of such product.

**ATTACHMENT 1**

**Quinclorac Use Restrictions**

1. The buffer zones in the table below shall apply to Quinclorac herbicide applications:

Herbicide Treatment Options	Application Equipment	Buffer Zones	
		When winds are blowing in the direction of incorporated towns or commercial plantings of the solanaceae family.	When winds are NOT blowing in the direction of incorporated towns or commercial plantings <sup>1</sup> of the solanaceae family.
a. Water diluted spray of Quinclorac herbicide tank-mixed with emulsifiable concentrate (EC) formulation herbicides such as: ·Stam M-4 EC      ·Arrosolo 3+3 EC ·Propanil EC      ·Ordram 8E ·Abolish 8E      ·Bolero EC	Aircraft Spray Wind Speed 3 to 8 mph	4 miles	1 mile
	Ground Spray Wind Speed 3 to 8 mph	1 mile	1/2 mile
b. Water diluted spray of Quinclorac herbicide applied in water alone or tank-mixed with emulsifiable concentrate formulation free herbicides such as: ·Stam 80EDF      ·Basagran ·Terra Propanil 80DF      ·Blazer ·Wham EZ, Super Wham      ·Storm ·Pentagon 60 WDG      ·Londax	Aircraft Spray Wind Speed 3 to 8 mph	1 mile	1 mile
	Ground Spray Wind Speed 3 to 8 mph	1/2 mile	1/2 mile

1. Exemption: In areas where cities have annexed blocks of agricultural land, water diluted sprays of Quinclorac may be used within or adjacent to the city limits, provided the application site is no closer than 1/2 mile to subdivisions when using ground equipment or 1 mile to subdivisions when using aircraft and no closer than 1/4 mile to established plants of the solanaceae family or established/emerged cotton.
2. No water diluted spray of Quinclorac herbicide shall be applied closer than 1/4 mile by any means to established/emerged cotton, noncommercial plantings of the solanaceae family, or closer than 1/2 mile by aircraft if the wind is blowing in the direction of such plants.
3. No water diluted spray of Quinclorac herbicide shall be applied closer than 1 mile by aircraft or 1/2 mile by ground equipment to established, certified commercial plantings of the solanaceae family (>1,000 plants each kind) statewide.
4. In addition to the above statewide requirements, the following additional restrictions shall apply to Poinsett County.
  - a. No water diluted spray of Quinclorac herbicide shall be applied in an area from one mile west of Highway #1 to one mile east of Highway #163 from the Craighead-Poinsett County line to the Cross-Poinsett County line.
  - b. Water diluted spray of Quinclorac herbicide shall be applied only by ground equipment in the area of Poinsett County from one mile west of Highway #1 to two miles west of Highway #1 and only by ground equipment in the area of Poinsett County from one mile east of Highway #163 to Ditch #10, from the Craighead-Poinsett County line to the Cross-Poinsett County line.
  - c. No water diluted spray of Quinclorac herbicide shall be applied within 1/2 mile with ground equipment or 1 mile by aircraft of

commercial plantings of the solanaceae family and towns. This buffer is extended to one mile for ground application and two miles for aerial application when Quinclorac herbicide is mixed with emulsifiable concentrate formulation herbicides.

5. The buffer zones defined in paragraph one (1) (b) shall apply to tank mixes of water diluted sprays of Quinclorac and EC products for which the EC manufacturer has provided the Plant Board with atomization study data from a research entity acceptable to the Plant Board that shows that the product does not produce more "fines" (percent of total spray volume in droplets  $<105\mu\text{m}$ ) than water.
6. All applications of Quinclorac shall be made in accordance with the applicable drift minimization recommendations of the Spray Drift Task Force.
7. Both air and ground application equipment shall be set up for application of Quinclorac in such a way that generation of spray droplets less than 105 microns in size is less than 5% of the total volume. The spray nozzle size classification must be designated as "coarse" by the British Crop Protection Council.
8. Quinclorac may not be sold to persons that do not possess a current Private, Commercial or Non-Commercial Applicator's License.

**ARKANSAS STATE PLANT BOARD**

**PESTICIDE ENFORCEMENT RESPONSE REGULATIONS**

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APPENDIX A - Penalty Matrix

I.

**STATEMENT OF PURPOSE**

Pesticides are valuable to Arkansas' agricultural production and the protection of man and his possessions from insects, rodents, weeds, plant diseases, and other pests. It is essential to the public health and welfare that pesticides be used properly to prevent adverse effects on man and the environment.

The purpose of the regulation is to provide a fair and consistent mechanism by which compliance with the Pesticide Use and Application Act, as amended, and the Pesticide Control Act, as amended, and the regulations written pursuant thereto can be achieved.

II.

**DEFINITIONS**

As used in this policy:

- A. Base Fine: The midpoint of a civil penalty range. [Example: The civil penalty range for refusal to keep proper records (1<sup>st</sup> level of enforcement) is \$100.00 to \$500.00. The base fine is \$300.00]
- B. Case Development Review Panel: An internal committee of staff including: 1. The appropriate Division Director, or the appropriate Section Manager, 2. The Agency Asst. Director, and 3. The Agency Director or the director's designee. The Case Development Review Panel will carefully review all case documentation to insure completeness and to insure that the recommended enforcement action is appropriate.
- C. Level of Enforcement: The category by which a violative incident is considered a first, second, third, or fourth offense.

For a violation to be considered as a second or subsequent offense, it must be a repeat of a violation for which a previous enforcement action has been taken by the Plant Board. The previous violation/violations must have occurred within the past 3 years.

- D. Minor Violation: A violative incident which does not involve human health, safety, or endanger the environment; or other incidents of non-compliance which do not create a competitive disadvantage for licensees in full compliance.
- E. Major Violation: A violative incident which affects human health, safety, or the environment; or other incidents of non-compliance which create a competitive advantage over licensees in full compliance; or a history of repetitive violative incidents.
  - In no case shall a single application or drift incident by a pesticide applicator be considered multiple violations based on the number of complaints.



F. Auxin Pesticides: Group 4 herbicides as categorized by the Weed Science Society of America (WSSA).

G. Egregious Violation: **For violations occurring after August 1, 2017 and prior to March 11, 2019, a violation that causes \$ significant off target crop damage occurring as a result of an application of:**

1. Dicamba; or
2. An Auxin containing herbicide; or
3. Any new herbicide technology released after August 01, 2017.

**For violations occurring on or after March 11, 2019, a violation where application of one (1) of the following herbicides is used intentionally in violation of the federal label requirements or a state law or rule regarding its application:**

- 1. Dicamba; or**
- 2. An auxin-containing herbicide; or**
- 3. A new herbicide technology released after August 1, 2017.**

H. Off-target: Any area outside the target area (or within or across any buffer zone if regulations require one) where an application, included as part of production practices, was made.

I. Off Target Crop Damage: Any symptomology from an off label application.

J. Damage: The presence of symptomology, commonly associated with exposure to a herbicide, on a plant. For purposes of determining a regulatory response, damage does not indicate any level of economic impact but rather exposure to a chemical that results in expression of a physical change in the exposed plant, including but not limited to necrotic spots, cupping of leaves, epinasty, chlorotic spots or necrotic plants.

K. Respondent: A dealer, manufacturer, firm, applicator, or individual charged with a violation of the Pesticide Use and Application Act as amended, the Arkansas Pesticide Control Act, as amended, and the regulations written pursuant thereto.

L. The firm shall be named as the responsible party for a violative incident. Except that for a violative application incident, the enforcement level for an aerial application firm shall be determined by the past record of violations of the pilot making the application. If license suspension is the indicated level of enforcement, the license of the pilot making the application is the license to be suspended. However, in no case shall the pilot making the application be responsible for violative actions for which the firm is responsible.

SECRET

### III.

#### LEGAL AUTHORITY

- A. "Arkansas Pesticide Use and Application Act" A.C.A. 20-20-201 et. Seq. And Regulations.
- B. "Arkansas Pesticide Control Act" A.C.A. 2-16-401 et. Seq. And Regulations.

### IV.

#### ENFORCEMENT ACTIONS

Under the preceding Arkansas Codes, The Plant Board has several options for enforcement action. These are:

- A. Warning Letter: For minor, 1<sup>st</sup> level of enforcement violations, the Board or Board Staff will issue a warning letter. The letter will cite the specific violation. The letter will also identify any corrective action that may be needed and notify the respondent that further violations will result in more severe enforcement action.
- B. Stop Use/Stop Sale: The use or sale of unregistered, deficient, or adulterated pesticides; the use of faulty equipment; or an invalid applicator's registration, etc., will remain in effect until violation is corrected.
- C. Informal Agreement: When a violation has been alleged, the respondent has the option of accepting the prepared settlement agreement prepared in accordance with the requirements of this regulation, an informal hearing or a board/committee hearing. The purpose of the informal hearing is to resolve a complaint or incident. A hearing officer will meet jointly with the respondent and Plant Board Staff. The group will seek consensus on an appropriate enforcement action for recommendation to the Board. Enforcement action based on the Penalty Matrix (Appendix A) will include Civil Penalty and/or license suspension, revocation, non-renewal, or registration cancellation. The Full Board acts on all recommendations resulting from the informal hearing.
- D. Board/Committee Hearing: If the respondent chooses to bypass the informal agreement process or if an agreement cannot be reached during the informal hearing, a hearing will be held by the Pesticide Committee of the Board. Enforcement action will include Civil Penalty and/or license suspension, revocation, non-renewal, or registration cancellation. Following the Committee hearing, a written Finding of Fact, Conclusion of Law, and recommendation will be submitted to the Board. The Full Board will act on all recommendations of the Committee.
- E. Immediate Suspension of License: (Arkansas Pesticide Use and Application Act Only) The Board may suspend, pending inquiry, for not more than 10 days, any license or permit issued by the Board for violation of A.C.A. 20-20-201 et.seq.

- F. Referral to Prosecuting Attorney: The Plant Board has the option of referring violations of the Pesticide Use and Application Act, and the Pesticide Control Act to the prosecuting attorney.
- G. Referral to EPA: The Plant Board will negotiate cases of referral with EPA to determine the appropriate action.

V.

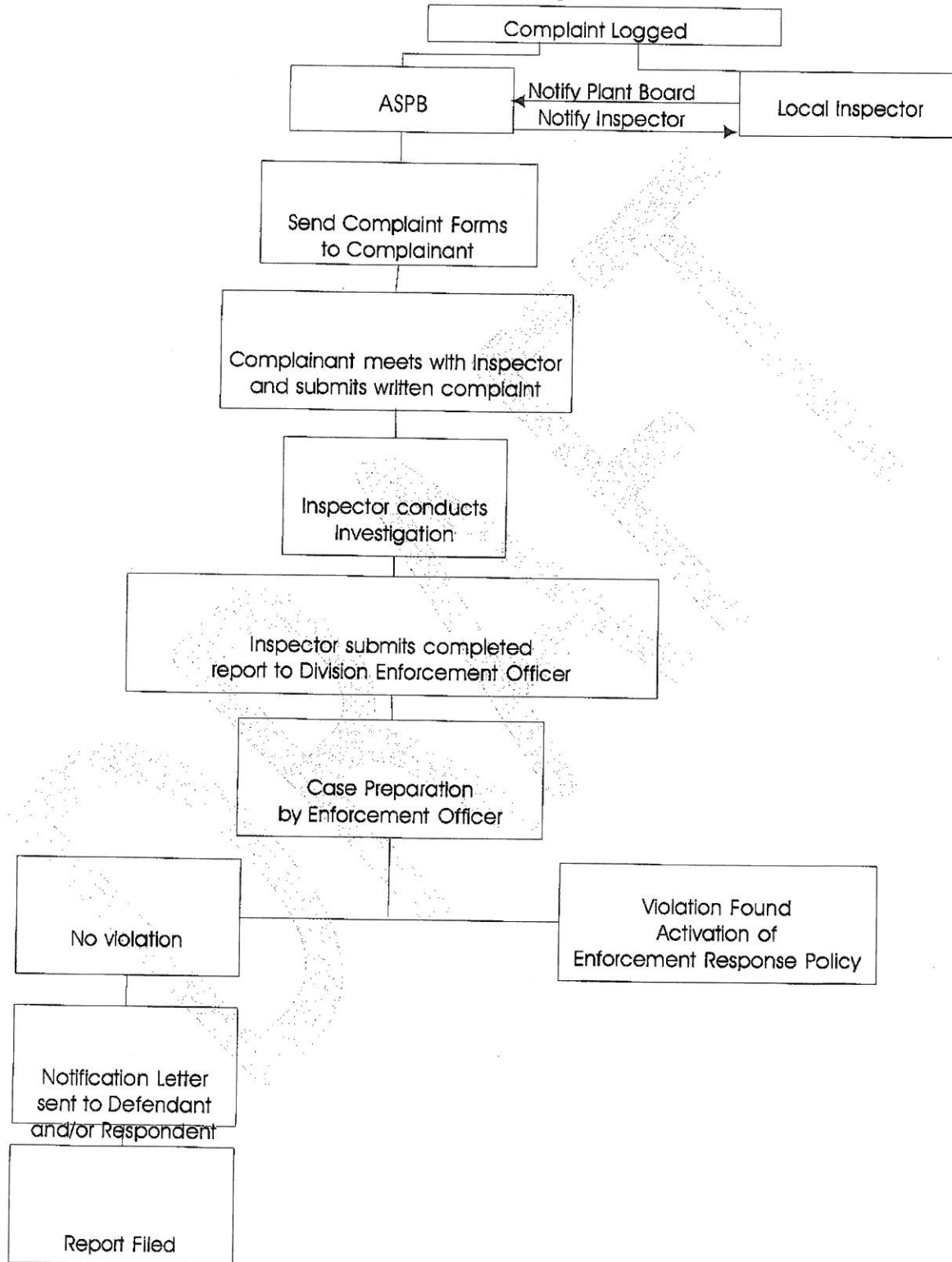
**INCIDENT INVESTIGATION**

An incident investigation will be initiated when:

- A. Routine compliance monitoring indicates a violation has occurred.
- B. A formal complaint that an alleged violative incident has occurred (Filing of written form by a complainant). In cases of apparent immediate endangerment to health or the environment, the written notification may be waived and the investigation of the alleged incident will begin immediately.

The processing sequence for an incident investigation is outlined in Figure I.

FIGURE I  
Processing Sequence

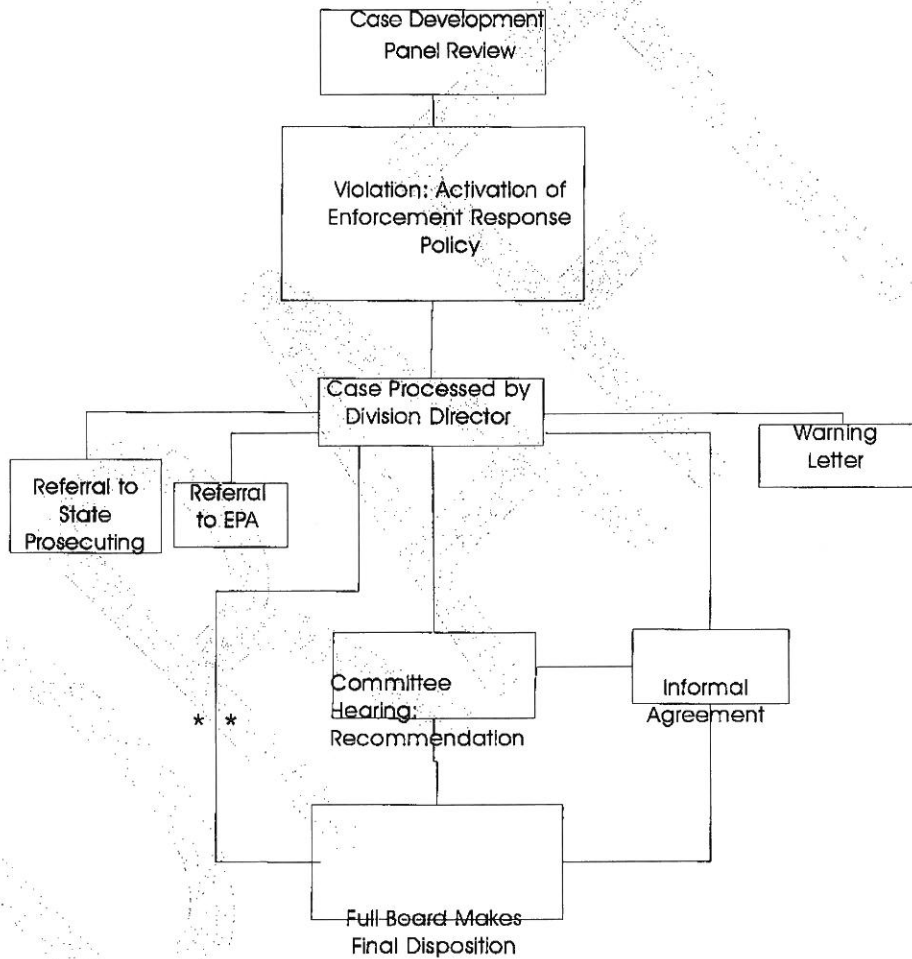


**VI. ACTIVATION OF ENFORCEMENT RESPONSE POLICY**

An apparent violation of law and/or regulation must be documented to initiate an enforcement action.

Documentation must conform to the requirements of the Pesticide Division. The sequence of events within the enforcement response policy is as follows:

**FIGURE II**



\*\* Immediate Suspension of License or Injunction:  
(See item E in Section IV)

## VII.

### INTERNAL REVIEW

The Case Development Panel will carefully review all documentation and records to determine: A.

That apparent violation/violations have occurred.

- B. Whether the apparent violations are Minor and/or Major violations.
- C. The correct level of enforcement based on the penalty matrix and the documented history of the applicator and/or company is proposed.

Concurrence with the Division's finding by the Panel must be unanimous before further action can be taken on the case.

## VIII.

### HEARINGS

The informal hearing officer and/or the appropriate Committee and/or the Full Board will carefully review the documentation and hear cases of alleged violations.

A violation will be determined by documentation of criteria as specified in Appendix A. The severity and level of enforcement of a violation will be determined by the three (3) factors in Section VII; as they are applied to the Penalty Matrix (Appendix A).

If a violation is determined in this sequence; the following factors will be considered:

- A. Cooperation of the respondent.
- B. Other extenuating/mitigating circumstances.

The Hearing Officer/Committee/Board may use these factors to accelerate or mitigate enforcement action. When a civil penalty is the preferred action, the base fine may be increased or decreased based on these factors. The civil penalty will not be more or less than the range for the specific violation listed in Appendix A.

The Full Board will take action to determine the final disposition of the case.

## IX.

### RIGHT OF APPEAL

Any person aggrieved by any action of the Plant Board may obtain a review thereof by filing in circuit court within 30 days of notice of the action, a written petition praying that the action of the Plant Board be set aside.

PENALTY MATRIX

Appendix A

VIOLATION	Violation Level	1 <sup>st</sup> Level of Enforcement		2 <sup>nd</sup> Level of Enforcement		3 <sup>rd</sup> Level of Enforcement		4 <sup>th</sup> Level of Enforcement	
		Enforcement Action	Civil Penalty Fine	Enforcement Action	Civil Penalty Fine	Enforcement Action	Civil Penalty Fine	Enforcement Action	Civil Penalty Fine
1 - Commercial Applicators. Failure to secure applicator license.	Minor								
	Major	C, D	200-1000	C, D	400-1000	C, D, E	600-1000	C, D, E	800-1000
2-Failure to Maintain responsibility.	Minor								
	Major	C, D	200-600	C, D	400-800	C, D, E	600-1000	C, D, E	800-1000
3-Failure to place decal on aircraft and/or ground equipment.	Minor	A			200-500				
	Major			C, D	400-800	C, D	600-1000	C, D, E	800-1000
4-Non-Commercial Applicators. Failure to secure applicator license.	Minor	A							
	Major	C, D	200-600	C, D	400-800	C, D, E	600-1000	C, D, E	800-1000
5-Records-Commercial/ Non-Commercial. Failure to keep and maintain required records.	Minor	A		C, D	200-500				
	Major	C, D	200-600	C, D	400-800	C, D	600-1000	C, D, E	800-1000
6-Private Applicators. Failure to secure applicator license.	Minor	A							
	Major	C, D	100-400	B, C, D	200-600	B, C, D	400-800	B, C, D	800-1000
7-Pilots. Failure to secure applicator license.	Minor								
	Major	B, C, D	200-1000	B, C, D	400-1000	B, C, D	600-1000	B, C, D	800-1000
8-Pesticide Dealers. Failure to secure restricted use pesticide dealers license.	Minor	A, B							
	Major	B, C, D	200-1000	B, C, D	400-1000	B, C, D	600-1000	B, C, D	800-1000

ENFORCEMENT ACTION OPTIONS

- A - Warning letter
- B - Stop Use/Stop Sale
- C - Informal Agreement
- D - Board/Committee Hearing

- E - Immediate Suspension of License
- F - Injunction
- G - Referral to Prosecuting Attorney
- H - Referral to EPA



**PENALTY MATRIX**

**Appendix A**

VIOLATION	Violation Level	1 <sup>st</sup> Level of Enforcement		2 <sup>nd</sup> Level of Enforcement		3 <sup>rd</sup> Level of Enforcement		4 <sup>th</sup> Level of Enforcement	
		Enforcement Action	Civil Penalty Fine	Enforcement Action	Civil Penalty Fine	Enforcement Action	Civil Penalty Fine	Enforcement Action	Civil Penalty Fine
9-Failure of dealer to keep and maintain records related to restricted use pesticide sales.	Minor	A							
	Major	B, C, D	200-600	C, D	200-800	C, D	600-1000	C, D	800-1000
10-Unlawful Acts. Make false or fraudulent claims through any media misrepresenting the effectiveness of pesticides or methods utilized.	Minor	A							
	Major	C, D	100-500	C, D	400-800	C, D	600-1000	C, D, E	800-1000
11-Recommendation(s) of use or use of a pesticide in a manner inconsistent with the registered label, or other mandated restrictions.	Minor	A							
	Major	C, D	200-600	C, D	400-800	C, D	600-1000	C, D, E	800-1000
12-Application of known ineffective or improper pesticides.	Minor								
	Major	C, D	200-600	C, D	400-800	C, D	600-1000	C, D, E	800-1000
13-Operation of faulty or unsafe equipment,	Minor	A, B							
	Major	B, C, D	200-600	B, C, D	400-800	B, C, D	600-1000	B, C, D, E	800-1000
14-Operation of equipment, in a careless or negligent manner,	Minor	A, B							
	Major	B, C, D	200-1000	B, C, D	400-1000	B, C, D	600-1000	B, C, D, E	800-1000
15-Failure to comply with provisions of Act 389, after notice, and rules thereof, or any lawful order from the Arkansas State Plant Board	Minor	A							
	Major	C, D	200-600	C, D	400-800	C, D	600-1000	C, D, E	800-1000
16-Failure to keep proper records and make timely filing of reports.	Minor	A							
	Major	C, D	100-500	C, D	400-800	C, D	600-1000	C, D, E	800-1000

ENFORCEMENT ACTION OPTIONS

- |                             |                                      |
|-----------------------------|--------------------------------------|
| A - Warning letter          | E - Immediate Suspension of License  |
| B - Stop Use/Stop Sale      | F - Injunction                       |
| C - Informal Agreement      | G - Referral to Prosecuting Attorney |
| D - Board/Committee Hearing | H - Referral to EPA                  |

**PENALTY MATRIX**

**Appendix A**

VIOLATION	Violation Level	1 <sup>st</sup> Level of Enforcement		2 <sup>nd</sup> Level of Enforcement		3 <sup>rd</sup> Level of Enforcement		4 <sup>th</sup> Level of Enforcement	
		Enforcement Action	Civil Penalty Fine	Enforcement Action	Civil Penalty Fine	Enforcement Action	Civil Penalty Fine	Enforcement Action	Civil Penalty Fine
17-Issuance of false or fraudulent records, invoices, or reports.	Minor	A							
	Major	C, D	100-500	C, D	400-800	C, D	600-1000	C, D, E	800-1000
18-Application of pesticides on others property without the benefit of a commercial applicator's license.	Minor								
	Major	C, D	200-1000	C, D	400-1000	C, D, E	600-1000	C, D, E	800-1000
19-Operation of un-licensed equipment	Minor	A, B							
	Major	B, C, D	200-1000	B, C, D	400-1000	B, C, D, E	600-1000	B, C, D, E	800-1000
20-Use of fraud or misrepresentation in making application for, or renewal of, a license, permit, or certification.	Minor								
	Major	B, C, D	200-600	B, C, D	400-800	B, C, D, E	600-1000	B, C, D, E	800-1000
21-Failure to comply with any limitations or restrictions of a duly issued license, permit, or certification.	Minor	A							
	Major	C, D	200-600	C, D	400-800	C, D, E	600-1000	C, D, E	800-1000
22-Aid, or abet, any person to evade the provisions of Act 389; conspire with any person to evade the provisions of Act 389, or allow use of a license, permit, or certification by another person.	Minor	A							
	Major	C, D	200-600	C, D	400-800	C, D	600-1000	C, D	800-1000
23-False or misleading statements made during or after an inspection concerning an infestation or infection of pests found on one's property.	Minor	A							
	Major	C, D	200-600	C, D	400-800	C, D	600-1000	C, D	800-1000
24-Impersonation of any federal, state, county	Minor								
	Major	C, D	200-600	C, D	400-800	C, D	600-1000	C, D	800-1000

ENFORCEMENT ACTION OPTIONS

- |                             |                                      |
|-----------------------------|--------------------------------------|
| A - Warning letter          | E - Immediate Suspension of License  |
| B - Stop Use/Stop Sale      | F - Injunction                       |
| C - Informal Agreement      | G - Referral to Prosecuting Attorney |
| D - Board/Committee Hearing | H - Referral to EPA                  |

VIOLATION	Violation Level	1 <sup>st</sup> Level of Enforcement		2 <sup>nd</sup> Level of Enforcement		3 <sup>rd</sup> Level of Enforcement		4 <sup>th</sup> Level of Enforcement	
		Enforcement Action	Civil Penalty Fine	Enforcement Action	Civil Penalty Fine	Enforcement Action	Civil Penalty Fine	Enforcement Action	Civil Penalty Fine
25-Distribution of pesticide labeled for restricted use to any person or his agency who does not have a valid license to use, supervise the use, or distribute restricted use pesticides.	Minor	A							
	Major	C, D	200-1000	C, D	400-1000	C, D	600-1000	C, D	800-1000
26-Application of any pesticide by aircraft without a pilot's license to apply pesticides.	Minor								
	Major	B, C, D	200-1000	B, C, D	400-1000	B, C, D, E	600-1000	B, C, D, E	800-1000
27-Employment of a pilot to apply pesticides by air that does not have a license to do so.	Minor	A							
	Major	C, D	200-1000	C, D	400-1000	C, D, E	600-1000	C, D, E	800-1000
28-Misbranded Selling misbranded pesticides.	Minor	A, B							
	Major	B, C, D	200-600	B, C, D	400-800	B, C, D, E	600-1000	B, C, D, E, H	80-1000
29-Registration Failure to register a pesticide with the Arkansas State Plant Board.	Minor	A, B							
	Major			B, C, D	400-800	B, C, D	600-1000	B, C, D	800-1000
30-Unlawful Acts Distribution of any pesticide not registered in Arkansas under the provisions of Act 410 or FIFRA	Minor	A, B							
	Major			B, C, D	400-800	B, C, D	600-1000	B, C, D	800-1000
31-Distribution of pesticide with different label claims, us directions, or composition not consistent with the registration process.	Minor	A, B							
	Major	B, C, D	200-1000	B, C, D	400-1000	B, C, D	600-1000	B, C, D, H	800-1000
32-Distribution of pesticides in containers other than manufacturer's unbroken immediate container.	Minor	A, B							
	Major	B, C, D	200-1000	B, C, D	400-1000	B, C, D	600-1000	B, C, D, H	800-1000

**ENFORCEMENT ACTION OPTIONS**

A - Warning Letter  
 B - Stop Use/Stop Sale  
 C - Informal Agreement  
 D - Board/Committee Hearing

E - Immediate Suspension of License  
 F - Injunction  
 G - Referral to Prosecuting Attorney  
 H - Referral to EPA

**PENALTY MATRIX**

**Appendix A**

VIOLATION	Violation Level	1 <sup>st</sup> Level of Enforcement		2 <sup>nd</sup> Level of Enforcement		3 <sup>rd</sup> Level of Enforcement		4 <sup>th</sup> Level of Enforcement	
		Enforcement Action	Civil Penalty Fine	Enforcement Action	Civil Penalty Fine	Enforcement Action	Civil Penalty Fine	Enforcement Action	Civil Penalty Fine
33-Distribution of pesticides that have not been colored/discholorated pursuant of Section 9(1) (d) of 410 or of Section 25 (e) (5) or FIFRA	Minor	A, B							
	Major	B, C,D	200-600	B, C, D	400-800	B, C, D	600-1000	B, C, D, H	800-1000
34-Distribution of adulterated and/or misbranded pesticides.	Minor	A, B							
	Major	B, C, D	200-600	B, C, D	400-800	B, C, D	600-1000	B, C, D, H	800-1000
35-Distribution of pesticides in containers which are unsafe due to damage.	Minor								
	Major	B, C, D	200-600	B, C, D	400-800	B, C, D	600-1000	B, C, D, H	800-1000
36-Detach, alter, deface, or destroy any label or labeling, or add any substance, or take away, that ay act to defeat the purpose of Act 410.	Minor	A, B							
	Major	B, C, D	200-600	B, C, D	400-800	B, C, D	600-1000	B, C, D, H	800-1000
37-Use for personal advantage of any information relative to formulas of products obtained by Section 6, or other trade secrets.	Minor								
	Major	C, D	200-600	C, D	400-800	C, D	700-1000	C, D	800-1000
38-Handle, display, store, transport, or make distribution of pesticides in such a manner to endanger man or the environment, or other products.	Minor								
	Major	C, D	200-600	C,D	400-800	C, D	600-1000	C, D, H	800-1000
39-Dispose discard, or store any pesticide or pestioide containers in a manner to cause injury to humans, vegetation, crops, livestock, wildlife beneficial insects, or to pollute water and soil	Minor								
	Major	C, D	200-600	C, D	400-800	C, D	600-1000	C, D, H	800-1000

ENFORCEMENT ACTION OPTIONS

- A - Warning letter
- B - Stop Use/Stop Sale
- C - Informal Agreement
- D - Board/Committee Hearing

- E - Immediate Suspension of License
- F - Injunction
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**PENALTY MATRIX**

**Appendix A**

VIOLATION	Violation Level	1 <sup>st</sup> Level of Enforcement		2 <sup>nd</sup> Level of Enforcement		3 <sup>rd</sup> Level of Enforcement		4 <sup>th</sup> Level of Enforcement	
		Enforcement Action	Civil Penalty Fine	Enforcement Action	Civil Penalty Fine	Enforcement Action	Civil Penalty Fine	Enforcement Action	Civil Penalty Fine
40-Failure to comply with the provisions of Act 410, its regulations or the lawful order from the Arkansas State Plant Board.	Minor	A							
	Major	C, D	200-600	C, D	400-800	C, D	600-1000	C, D	800-1000
41-Failure to comply with the Worker Protection Standards and/or requirements of 40 CFR Parts 156 and 170, state law or applicable regulations promulgated by the State Plant Board.	Minor	A							
	Major	C, D	200-600	C, D	400-800	C, D	600-1000	C, D	800-1000
42-Failure to comply with the applicable requirements of the Container and Containment Rule, 40 CFR Part 165, Subpart A through E, or applicable State law or regulation.	Minor	A							
	Major	C, D	200-600	C, D	400-800	C, D	600-1000	C, D	800-1000
43- Application of a dicamba or auxin-containing herbicide or any new herbicide technology released after the effective date of Act 778 (August 01, 2017) of the 2017 regular session of the General Assembly, that results in significant off-target crop damage that results in a violation.	Minor	A							
	Major	C, D	200-600	C, D	400-800	C, D	600-1000	C, D	800-1000
	Egregious	C, D	Up to 25,000	C, D	Up to 25,000	C, D	Up to 25,000	C, D	Up to 25,000

**ENFORCEMENT ACTION OPTIONS**

- |                             |                                      |
|-----------------------------|--------------------------------------|
| A - Warning letter          | E - Immediate Suspension of License  |
| B - Stop Use/Stop Sale      | F - Injunction                       |
| C - Informal Agreement      | G - Referral to Prosecuting Attorney |
| D - Board/Committee Hearing | H - Referral to EPA                  |

December 18, 2017

**PENALTY MATRIX**

**Appendix A**

*[Faint, large watermark text, possibly reading "DRAFT" or similar, oriented diagonally across the page.]*