

Arkansas Public Higher Education Operating & Capital Recommendations

2015-2017 Biennium



7-A

Volume 2
Two-Year
Colleges

Arkansas Department of Higher Education

423 Main Street, Suite 400, Little Rock, Arkansas 72201

October 2014

**ARKANSAS PUBLIC HIGHER EDUCATION
OPERATING AND CAPITAL RECOMMENDATIONS
2015-2017 BIENNIUM**

**VOLUME 2
TWO-YEAR COLLEGES**

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Institutional Abbreviations

Four-Year Institutions

| | |
|--|------|
| Arkansas State University (Jonesboro) | ASUJ |
| Arkansas Tech University (Russellville) | ATU |
| Henderson State University (Arkadelphia) | HSU |
| Southern Arkansas University (Magnolia) | SAUM |
| University of Arkansas (Fayetteville) | UAF |
| University of Arkansas at Fort Smith | UAFS |
| University of Arkansas at Little Rock | UALR |
| University of Arkansas at Monticello | UAM |
| University of Arkansas at Pine Bluff | UAPB |
| University of Central Arkansas | UCA |

Two-Year Institutions

| | |
|--|-------|
| Arkansas Northeastern College (Blytheville) | ANC |
| Arkansas State University - Beebe | ASUB |
| Arkansas State University - Mountain Home | ASUMH |
| Arkansas State University - Newport | ASUN |
| Black River Technical College (Pocahontas) | BRTC |
| Cossatot Community College of the UA (DeQueen) | CCCUA |
| College of the Ouachitas | CotO |
| East Arkansas Community College (Forrest City) | EACC |
| Mid-South Community College (West Memphis) | MSCC |
| North Arkansas College (Harrison) | NAC |
| National Park Community College (Hot Springs) | NPCC |
| Northwest Arkansas Community College (Bentonville) | NWACC |
| Ozarka College (Melbourne) | OZC |
| Phillips Community College of the UA (Helena) | PCCUA |
| Pulaski Technical College (North Little Rock) | PTC |
| Rich Mountain Community College (Mena) | RMCC |
| South Arkansas Community College (El Dorado) | SACC |
| Southern Arkansas University - Tech (Camden) | SAUT |
| Southeast Arkansas College (Pine Bluff) | SEAC |
| UA Community College at Batesville | UACCB |
| UA Community College at Hope | UACCH |
| UA Community College at Morrilton | UACCM |

Non-Formula Institutions / Entities

| | |
|---|------------------|
| Arkansas Delta Training and Education Consortium | ADTEC |
| Arkansas Research and Educational Optical Network | AREON |
| ASU - System | ASU-SYS |
| ASU - Heritage Sites | ASU-Heritage |
| HSU - Community Education Center | HSU-CEC |
| NWACC - Child Protection Training Center | NWACC-CPTC |
| SACC - Arboretum | SACC - Arboretum |
| SAUT - Environmental Training Academy | SAUT-ETA |
| SAUT - Fire Training Academy | SAUT-FTA |
| UA - System (Little Rock) | UA-SYS |
| UA - Archeological Survey (Fayetteville) | UA-AS |
| UA - Division of Agriculture (Fayetteville and Little Rock) | UA-DIV AGRI |
| UA - School of Mathematics, Sciences, and the Arts (Hot Springs) | UA-ASMSA |
| UA - Clinton School of Public Service (Little Rock) | UA-CS |
| UA - Criminal Justice Institute (Little Rock) | UA-CJI |
| UAF - Arkansas Research and Technology Park | UAF-ARTP |
| UAF - Arkansas Centers for Rural Education in Autism and Related Disabilities | UAF-Autism |
| UAF - Garvan Woodland Gardens (Hot Springs) | UAF-GWG |
| UAF - The David & Barbara Pryor Center for Arkansas Oral and Visual History | UAF-Pryor Center |
| UAF - World Trade Center Arkansas | UAF - WTC AR |
| UALR - Research and Public Service | UALR-RAPS |
| University of Arkansas at Pine Bluff (Non-Formula) | UAPB |
| University of Arkansas for Medical Sciences | UAMS |

Technical Centers

| | |
|--|--------------|
| ATU - Ozark Campus | ATU-OZARK |
| UAM - College of Technology - Crossett | UAM-CROSSETT |
| UAM - College of Technology - McGehee | UAM-MCGEHEE |

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ARKANSAS NORTHEASTERN COLLEGE

Dr. James Shemwell, President

ENABLING LAWS

Act 223 of 2013 and Act 214 of 2014

INSTITUTION HISTORY AND ORGANIZATION

The Role and Scope of Arkansas Northeastern College broadly establishes the College's higher education responsibility for diverse, lifelong learning opportunities delivered to its district citizens for whom, and by whom, the College was established. The College is governed by nine representatives of its enacting district and is authorized to grant the Associate in Arts, Associate in Applied Science, and Associate in Science degrees, as well as certificates, diplomas, and awards.

Programs of study, courses, and components of the above degrees, certificates, diplomas, and awards include a general education core and university-parallel education for completion of the first two (2) years of a baccalaureate program or for personal objectives; technical programs or studies intended for direct employment preparation or advancement; and adult education and compensatory education for the development or enhancement of basic and advanced learning skills. Also included are avocational courses and programs in sponsorship of continuing education and a diversity of community/public services requested of the College by its district. ANC currently operates under Act 223 of the 89th General Assembly for the personal services and operating expenses for Arkansas Northeastern College, as well as Act 214 for the reappropriation of the balances of the capital improvement appropriations for institutions of higher education.

THE MISSION

ANC is committed to providing accessible, quality educational programs, services, and lifelong learning opportunities.

The College's underlying philosophy is to provide opportunities for youth and adults to develop themselves for purposeful, gratifying, and useful lives in a democratic society. The College accepts the national goal of providing at least two (2) years of education beyond the high school level. Paramount in such education are programs of study designed to fit the needs of students of varying educational and vocational goals and those which provide co-curricular activities and community services consistent with the concept of the community college. Operating in the larger context of local, state, regional, and national higher educational patterns, the College seeks to respond to the needs of individuals and their levels of ability and development.

ARKANSAS NORTHEASTERN COLLEGE
Dr. James Shemwell, President

STRATEGIC GOALS

1. The College shall offer relevant curricula and quality educational programs that utilize flexible delivery methods while ensuring academic excellence and integrity.
2. The College shall facilitate the transition of students into higher education by strengthening partnerships and improving communication with secondary schools, governmental agencies, and business/industry.
3. The College shall provide systems and processes to encourage student enrollment, increase retention, and facilitate transition into careers or further learning opportunities.
4. The College shall enhance instruction, student learning, and the delivery of administrative and educational services to students, faculty, and staff by implementing and supporting technological systems and services.
5. The College shall participate in regional economic development as a partner and innovative leader in training, retraining, and educational services provided to business and industries in the region.
6. The College shall ensure the efficient and effective use of all available resources by maintaining a high level of stewardship and accountability.
7. The College shall cultivate a learning environment that promotes cultural enrichment, communication, diversity, and lifelong learning opportunities for its constituencies.
8. The College shall increase access to baccalaureate and master degree programs for area citizens

THE STRATEGIC PLAN

The development of Arkansas Northeastern College's Long-Range Plan employed the findings and recommendations from timely reviews and assessments of the College's accomplishments. These included the College's North Central Association Self-Study and the Final Report of a visit by an NCA team of consultants/evaluators, and Arkansas Department of Higher

ARKANSAS NORTHEASTERN COLLEGE

Dr. James Shemwell, President

Education Program Reviews of the previous five years. These and other studies and reports were used to develop a listing of goal statements concerning achievement of mission and purposes. Additional goal statements were solicited from faculty, staff, board, and community representatives and incorporated in an unduplicated listing. The College community was invited to identify those statements deemed most important and served to combine or eliminate and reduce the numbers of the most important statements for consideration by a group consensus process. Analysis of the results produced the goal statements presented to, and adopted by, the College's Board of Trustees on December 15, 2004.

Each year the faculty and staff of Arkansas Northeastern College engage in a strategic planning process establishing objectives relating to the Long-Range Goals. Each objective is measured for success, time to complete, and cost. This process provides for a consistent application of resources toward the stated goals of the institution.

OBJECTIVE STRATEGIES

The objective strategies for the College reflect and encompass the Long-Range Goals as follows:

1. *To evaluate the past accomplishment of the College's Mission and prepare for its future accomplishment.*

The annual strategic planning process, budgetary process, and assessment of institutional effectiveness jointly serve to measure performance and establish priorities for the future. The College also embarked on a comprehensive examination of institutional practices through the Foundations of Excellence process.

2. *To evaluate curricula, programs, services, and student outcomes.*

Curricula modifications are a part of the evolutionary academic process. Modifications and new program introductions are based on research and inputs from the College's constituency. Advisory committees for each degree program meet annually recording external input on effectiveness. Internal assessment studies are used annually to modify services and impact outcomes.

3. *To strengthen partnership with secondary schools, governmental agencies, and business/industry.*

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Dr. James Shemwell, President

The College continues to evolve its outreach to these various constituencies. The College operates a Secondary Center and a STEM Academy in partnership with area school districts. The College and Mississippi County have partnered to assist in economic development activities and extensive new objectives are in place to serve business/industry through the Arkansas Delta Training and Education Consortium (ADTEC). The College has also established with the assistance of area businesses and industries, The Great River Promise. This is a scholarship program offering tuition scholarships to ANC for every high school graduate each year in the county.

4. *To participate and lead in regional economic development through training and services.*

The Solutions Group, the College's industry service and training department, was nationally recognized in 2005 by receiving the Bellwether Award for Innovation by the Community College Futures Assembly. This award distinguished the Solutions Group for its outstanding service to industry. In addition to being a partner with the Arkansas Delta Training and Education Consortium (ADTEC), the Solutions Group works closely with the Arkansas Economic Development Commission and the Great River Economic Development Foundation to provide pre-employment and new hire training for new and expanding companies.

5. *To effectively and efficiently use resources while maintaining a high level of stewardship.*

The College operates a fully integrated system of assessment which couples with the strategic planning process to produce the analysis of the past year and to support the financial request for the upcoming year. The College has demonstrated stewardship by receiving audits with no significant findings. The College regularly reviews academic program viability, suspending programs with insufficient participation and adding programs in high demand by employers.

6. *To cultivate a learning environment that promotes cultural enrichment, communication, diversity, and lifelong learning.*

The comprehensive mission of a community college encompasses the community service and outreach functions. ANC, through its strategic plan, incorporates these critical components into its objectives.

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Dr. James Shemwell, President

7. *To increase access to baccalaureate and master degree programs.*

The College, through its University Center, has been hosting a variety of programs for over twenty (20) years. There are currently forty-six (46) baccalaureate programs and three (3) master programs delivered on the ANC main campus. The creation of the ADTEC University Center has increased the ability of students to access more programs with greater flexibility.

INSTITUTION HIGHLIGHTS

Arkansas Northeastern College strives to be the State's premier provider of customized workforce training. The Solutions Group, ANC's customized training division, provides a wide variety of applied technical training to every major industrial employer in Mississippi County, as well as many clients throughout the region. The range, depth, and quality of training resources at ANC have been instrumental in recruiting several new employers to Mississippi County, including Big River Steel. The overarching goal of The Solutions Group is to provide training needed by local and prospective employers that will enhance local economic development and the resultant quality of life of the region.

In 2013, Arkansas Northeastern College initiated the Workforce Orientation & Retraining Keys (WORK) program to provide individuals with the necessary skills and support network to access economic opportunity. Completers of the WORK program have the opportunity to obtain a certificate of WORK completion, an American Heart Association CPR card, a Career Readiness Certificate (CRC), and a job interview opportunities with local employers. With the WORK program, the College represents a comprehensive partnership effort among the Great River Economic Development Foundation, the Mississippi County Equal Opportunity Commission, area industries, community and faith-based leaders and the Winthrop Rockefeller Foundation.

Arkansas Northeastern College also seeks to be a leader in the delivery of allied health education. Since the merger of Mississippi County Community College and Cotton Boll Technical Institute, ANC has provided comprehensive offerings in the high demand field of healthcare professionals. ANC's registered nursing program consistently produces among the highest licensure success and placement rates in Arkansas. Graduates of ANC's practical nursing program also are in high demand among area employers. Accordingly, both nursing programs have student waiting lists with students completing general education requirements while awaiting program openings.

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Promoting student retention and completion rates, Arkansas Northeastern College Academic & Career Enrichment (ACE) Advising Center allows more intensive academic and career counseling to students. Designed to provide professional guidance throughout the entire process of enrollment, from placement testing to career and goal setting, the ACE Advising Center offers students a very hands-on approach in their decision-making on the front end. By the time the students finish with their advisors, they are positioned to achieve their educational and career goals.

The Career Coaches program, operated through a grant from the Arkansas Department of Career Education, allows ANC to place career coaches at 7 of our area high schools. Although in existence only four years, the innovative program already has influenced several key outcomes. College-going rates in the service area high schools (55.3%) is up from 51.7% when the program began. This rate has consistently exceeded the State average, each of the last four years, and has done as again as the state average for 2013 was 52.9%. The percentage of area high school graduates attending ANC has increased from roughly 30% over the ten years prior to the introduction of career coaches to 57% afterward, a 27% increase in four years. Additionally, the three school districts in Mississippi County have reduced their remediation rates thanks to improved ACT scores, a direct result of the 400 plus students who have attended ACT preparation classes offered through the Career Coaching program.

In partnership with the area high schools, Arkansas Northeastern College offer high school students two early college credit programs. One program is Blended AP Credit which allows students enrolled in Advanced Placement (AP) courses in high school to also enroll concurrently into the comparable college course on-line. The second program for early college credit is Concurrent Credit which allows students to enroll in general education courses via live instruction or on-line. Both program courses are scheduled during the regular school day and will count for credit toward high school graduation. In an effort to minimize the tuition and fees cost, ANC offers the high school students enrolled in the programs an Early College Scholarship.

Motivating the path to a brighter future through higher education, the Arkansas Career Pathways Initiative (CPI) program is a partnership between community colleges, workforce development agencies, employers and social service providers. The CPI program offers Arkansas Northeastern College students free services which includes paid tuition, books and fees, childcare assistance, transportation assistance, career counseling, resume assistance, and job placement.

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Dr. James Shemwell, President

The College's Appropriation Request supports continuing effort toward achievement of these institutional objectives.

The Board of Trustees has ensured that ANC has been, and will continue to be, a strong partner in the economic well-being of Mississippi County and the district. Through the provision of educational opportunities and service initiatives to citizens, businesses, and industries, the College is a participant in the development potential of its geographic district.

Arkansas Northeastern College intends to carry its services to the community. The College has pledged to extend educational opportunities to the citizens of Mississippi County and its service area through our main campus and through its extended campus operations. This Appropriation Request reflects the mission and goals of the College and the state goal to improve access to higher education opportunity by citizens through Arkansas.

The College's Appropriation Request provides for general operations accommodative of a growth in students and district services, faculty/staff additions, campus improvements, and infrastructure additions. Combined with tuition revenue and support by private citizens, the appropriation requested will support Arkansas Northeastern College as one of Arkansas' premier two-year colleges and its delivery of efficient, accountable, higher education opportunities and services.

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**INSTITUTION APPROPRIATION SUMMARY
2015-2017 BIENNIUM**

INSTITUTION ARKANSAS NORTHEASTERN COLLEGE

| | APPROPRIATION | HISTORICAL DATA | | | | | | INSTITUTION REQUEST & AHECB RECOMMENDATION | | | | | | | |
|----|-----------------------------------|-----------------|-------|--------------|------|--------------|-----|--|------|--------------|-----------|--------------|------|--------------|------|
| | | 2013-2014 | | 2014-2015 | | 2014-2015 | | 2015-2016 | | | 2016-2017 | | | | |
| | | ACTUAL | POS | BUDGETED | POS | AUTHORIZED | POS | INST REQUEST | POS | AHECB REC | POS | INST REQUEST | POS | AHECB REC | POS |
| 1 | STATE TREASURY | 10,038,185 | | 10,036,916 | | 10,343,554 | | 10,268,497 | | 10,268,497 | | 10,576,552 | | 10,576,552 | |
| 2 | CASH | 6,505,794 | | 28,500,000 | | 28,500,000 | | 28,500,000 | | 28,500,000 | | 28,500,000 | | 28,500,000 | |
| 3 | | | | | | | | | | | | | | | |
| 4 | | | | | | | | | | | | | | | |
| 5 | | | | | | | | | | | | | | | |
| 6 | | | | | | | | | | | | | | | |
| 7 | | | | | | | | | | | | | | | |
| 8 | | | | | | | | | | | | | | | |
| 9 | | | | | | | | | | | | | | | |
| 10 | | | | | | | | | | | | | | | |
| 11 | TOTAL | \$16,543,979 | 188 | \$38,536,916 | 244 | \$38,843,554 | 312 | \$38,768,497 | 312 | \$38,768,497 | 312 | \$39,076,552 | 312 | \$39,076,552 | 312 |
| | FUNDING SOURCES | | % | | % | | | | % | | % | | % | | % |
| 12 | PRIOR YEAR FUND BALANCE* | 5,425 | 0.03% | 0 | 0% | | | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| 13 | GENERAL REVENUE | 8,577,052 | 52% | 8,577,052 | 22% | | | 8,808,633 | 23% | 8,808,633 | 23% | 9,116,688 | 23% | 9,116,688 | 23% |
| 14 | EDUCATIONAL EXCELLENCE TRUST FUND | 718,892 | 4% | 736,111 | 2% | | | 736,111 | 2% | 736,111 | 2% | 736,111 | 2% | 736,111 | 2% |
| 15 | WORKFORCE 2000 | 730,954 | 4% | 723,753 | 2% | | | 723,753 | 2% | 723,753 | 2% | 723,753 | 2% | 723,753 | 2% |
| 16 | CASH FUNDS | 5,035,271 | 30% | 6,192,400 | 16% | | | 7,004,725 | 18% | 7,004,725 | 18% | 7,106,367 | 18% | 7,106,367 | 18% |
| 17 | SPECIAL REVENUES | | 0% | | 0% | | | | 0% | | 0% | | 0% | | 0% |
| 18 | FEDERAL FUNDS | 1,470,523 | 9% | 22,307,600 | 58% | | | 21,495,275 | 55% | 21,495,275 | 55% | 21,393,633 | 55% | 21,393,633 | 55% |
| 19 | TOBACCO SETTLEMENT FUNDS | 0 | 0% | 0 | 0% | | | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| 20 | OTHER FUNDS | 5,861 | 0% | 0 | 0% | | | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| 21 | TOTAL INCOME | \$16,543,979 | 100% | \$38,536,916 | 100% | | | \$38,768,497 | 100% | \$38,768,497 | 100% | \$39,076,552 | 100% | \$39,076,552 | 100% |
| 22 | EXCESS (FUNDING)/APPROPRIATION | \$0 | | \$0 | | | | \$0 | | \$0 | | \$0 | | \$0 | |

| | |
|--|-------------|
| UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2014: | \$4,154,252 |
| LESS RESERVES FOR: | |
| ACCOUNTS RECEIVABLE | \$388,588 |
| INVENTORIES | \$14,732 |
| YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES | |
| INSURANCE DEDUCTIBLES | \$31,500 |
| MAJOR CRITICAL SYSTEMS FAILURES | \$1,000,000 |
| 60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES) | \$2,000,000 |
| RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.) | |
| OTHER (FOOTNOTE BELOW) | |
| UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE | \$719,433 |

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2015-2017 BIENNIUM**

FUND CWM0000

INSTITUTION ARKANSAS NORTHEASTERN COLLEGE

APPROPRIATION 537

| | DESCRIPTION | ACTUAL 2013-2014 | BUDGETED 2014-2015 | AUTHORIZED APPROPRIATION 2014-2015 | INSTITUTIONAL REQUEST / AHECB RECOMMENDATION | | LEGISLATIVE RECOMMENDATION | |
|----|-----------------------------------|---------------------|-----------------------|--|---|--------------|----------------------------|-----------|
| | | | | | 2015-2016 | 2016-2017 | 2015-2016 | 2016-2017 |
| 1 | REGULAR SALARIES | 7,810,417 | 7,800,000 | 7,800,000 | 7,800,000 | 7,800,000 | | |
| 2 | EXTRA HELP WAGES | | | | | | | |
| 3 | OVERTIME | | | | | | | |
| 4 | PERSONAL SERVICES MATCHING | 1,715,897 | 1,800,000 | 1,800,000 | 1,800,000 | 1,800,000 | | |
| 5 | OPERATING EXPENSES | 436,001 | 361,916 | 668,554 | 593,497 | 901,552 | | |
| 6 | CONFERENCE FEES & TRAVEL | 25,000 | 25,000 | 25,000 | 25,000 | 25,000 | | |
| 7 | PROFESSIONAL FEES AND SERVICES | 25,000 | 25,000 | 25,000 | 25,000 | 25,000 | | |
| 8 | CAPITAL OUTLAY | 25,000 | 25,000 | 25,000 | 25,000 | 25,000 | | |
| 9 | FUNDED DEPRECIATION | | | | | | | |
| 10 | WORKERS COMP/SURETY PREMIUM | 870 | | | | | | |
| 11 | | | | | | | | |
| 12 | | | | | | | | |
| 13 | TOTAL APPROPRIATION | \$10,038,185 | \$10,036,916 | \$10,343,554 | \$10,268,497 | \$10,576,552 | \$0 | \$0 |
| 14 | PRIOR YEAR FUND BALANCE** | 5,425 | | | | | | |
| 15 | GENERAL REVENUE | 8,577,052 | 8,577,052 | | 8,808,633 | 9,116,688 | | |
| 16 | EDUCATIONAL EXCELLENCE TRUST FUND | 718,892 | 736,111 | | 736,111 | 736,111 | | |
| 17 | SPECIAL REVENUES * [WF2000] | 730,954 | 723,753 | | 723,753 | 723,753 | | |
| 18 | FEDERAL FUNDS IN STATE TREASURY | | | | | | | |
| 19 | TOBACCO SETTLEMENT FUNDS | | | | | | | |
| 20 | OTHER STATE TREASURY FUNDS | 5,861 | | | | | | |
| 21 | TOTAL INCOME | \$10,038,185 | \$10,036,916 | | \$10,268,497 | \$10,576,552 | \$0 | \$0 |
| 22 | EXCESS (FUNDING)/APPROPRIATION | \$0 | \$0 | | \$0 | \$0 | \$0 | \$0 |

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS
2015-2017 BIENNIUM**

FUND 2130000

INSTITUTION ARKANSAS NORTHEASTERN COLLEGE

APPROPRIATION B04

| | DESCRIPTION | ACTUAL 2013-2014 | BUDGETED 2014-2015 | AUTHORIZED APPROPRIATION 2014-2015 | INSTITUTIONAL REQUEST / AHECB RECOMMENDATION | | LEGISLATIVE RECOMMENDATION | |
|----|---|---------------------|-----------------------|--|---|--------------|----------------------------|-----------|
| | | | | | 2015-2016 | 2016-2017 | 2015-2016 | 2016-2017 |
| 1 | REGULAR SALARIES | 646,085 | 4,000,000 | 4,000,000 | 2,000,000 | 2,000,000 | | |
| 2 | EXTRA HELP WAGES | 567,946 | 2,000,000 | 2,000,000 | 1,000,000 | 1,000,000 | | |
| 3 | OVERTIME | 1,010 | 28,000 | 28,000 | 28,000 | 28,000 | | |
| 4 | PERSONAL SERVICES MATCHING | 520,361 | 3,000,000 | 3,000,000 | 1,300,000 | 1,300,000 | | |
| 5 | OPERATING EXPENSES | 3,345,534 | 6,822,000 | 6,822,000 | 6,122,000 | 6,122,000 | | |
| 6 | CONFERENCE FEES & TRAVEL | 80,099 | 800,000 | 800,000 | 500,000 | 500,000 | | |
| 7 | PROFESSIONAL FEES AND SERVICES | 438,532 | 500,000 | 500,000 | 1,500,000 | 1,500,000 | | |
| 8 | CAPITAL OUTLAY | 896,377 | 4,000,000 | 4,000,000 | 10,000,000 | 10,000,000 | | |
| 9 | CAPITAL IMPROVEMENTS | 0 | 6,000,000 | 6,000,000 | 4,000,000 | 4,000,000 | | |
| 10 | DEBT SERVICE | 0 | 800,000 | 800,000 | 1,500,000 | 1,500,000 | | |
| 11 | FUND TRANSFERS, REFUNDS AND INVESTMENTS | 0 | 400,000 | 400,000 | 400,000 | 400,000 | | |
| 12 | PROMOTIONAL ITEMS | 9,851 | 150,000 | 150,000 | 150,000 | 150,000 | | |
| 13 | | | | | | | | |
| 14 | | | | | | | | |
| 15 | | | | | | | | |
| 16 | TOTAL APPROPRIATION | \$6,505,794 | \$28,500,000 | \$28,500,000 | \$28,500,000 | \$28,500,000 | \$0 | \$0 |
| 17 | PRIOR YEAR FUND BALANCE*** | | | | | | | |
| 18 | TUITION AND MANDATORY FEES | 2,320,751 | 2,828,449 | | 3,054,725 | 3,146,367 | | |
| 19 | ALL OTHER FEES | 520,319 | 750,000 | | 790,000 | 800,000 | | |
| 20 | SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS | | | | | | | |
| 21 | INVESTMENT INCOME | 29,316 | 50,000 | | 60,000 | 60,000 | | |
| 22 | FEDERAL CASH FUNDS | 1,470,523 | 22,307,600 | | 21,495,275 | 21,393,633 | | |
| 23 | OTHER CASH FUNDS | 2,164,885 | 2,563,951 | | 3,100,000 | 3,100,000 | | |
| 24 | TOTAL INCOME | \$6,505,794 | \$28,500,000 | | \$28,500,000 | \$28,500,000 | \$0 | \$0 |
| 25 | EXCESS (FUNDING)/APPROPRIATION | \$0 | \$0 | | \$0 | \$0 | \$0 | \$0 |

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

| | ACTUAL 2013-2014 | BUDGETED 2014-2015 | AUTHORIZED 2014-2015 | INSTITUTIONAL REQUEST | AHECB RECOMMEND | LEGISLATIVE RECOMMENDATION |
|-------------------|---------------------|-----------------------|-------------------------|--------------------------|--------------------|----------------------------|
| | | | | 2015-2017 | 2015-2017 | 2015-2017 |
| REGULAR POSITIONS | 188 | 244 | 312 | 312 | 312 | |
| TOBACCO POSITIONS | | | | | | |
| EXTRA HELP ** | 128 | 134 | 521 | 521 | 521 | |

FORM 15-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

ARKANSAS NORTHEASTERN COLLEGE
(NAME OF INSTITUTION)

| | ACTIVITY | ACTUAL 2013-2014 | | | | BUDGETED 2014-2015 | | | |
|----|--|---------------------|-----------------------|-----------------|---------------|-----------------------|-----------------------|-----------------|---------------|
| | | INCOME | OPERATING EXPENSES | DEBT SERVICE | NET INCOME | INCOME | OPERATING EXPENSES | DEBT SERVICE | NET INCOME |
| 1 | INTERCOLLEGIATE ATHLETICS * | | | | 0 | | | | 0 |
| 2 | HOUSING | | | | 0 | | | | 0 |
| 3 | FOOD SERVICES | 46,188 | 70,249 | | (24,061) | 47,000 | 75,685 | | (28,685) |
| 4 | STUDENT UNION | | | | 0 | | | | 0 |
| 5 | BOOKSTORE | 27,907 | | | 27,907 | 30,000 | | | 30,000 |
| 6 | STUDENT ORGANIZATIONS AND PUBLICATIONS | 3,699 | 87,753 | | (84,054) | | 40,224 | | (40,224) |
| 7 | OTHER | | | | 0 | | | | 0 |
| 8 | SUBTOTAL | \$77,794 | \$158,002 | | (\$80,208) | \$77,000 | \$115,909 | | (\$38,909) |
| 9 | ATHLETIC TRANSFER ** | | | | 0 | | | | 0 |
| 10 | OTHER TRANSFERS *** | 17,500 | | | 17,500 | 75,000 | | | 75,000 |
| 11 | GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES | \$95,294 | \$158,002 | \$0 | (\$62,708) | \$152,000 | \$115,909 | \$0 | \$36,091 |

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 15-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 10 Other Transfers - Transfer from tuition & fees

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

ARKANSAS NORTHEASTERN COLLEGE
(NAME OF INSTITUTION)

| | | | | | | |
|---|-----|---------------------|----|------------------------|-----------|----------------------|
| TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2013-2014: | | | | | | 182 |
| (As of November 1, 2013) | | | | | | |
| Nonclassified Administrative Employees: | | | | | | |
| White Male: | 11 | Black Male: | 4 | Other Male: | 0 | Total Male: 15 |
| White Female: | 40 | Black Female: | 9 | Other Female: | 0 | Total Female: 49 |
| Nonclassified Health Care Employees: | | | | | | |
| White Male: | 0 | Black Male: | 0 | Other Male: | 0 | Total Male: 0 |
| White Female: | 0 | Black Female: | 0 | Other Female: | 0 | Total Female: 0 |
| Classified Employees: | | | | | | |
| White Male: | 17 | Black Male: | 0 | Other Male: | 0 | Total Male: 17 |
| White Female: | 26 | Black Female: | 11 | Other Female: | 2 | Total Female: 39 |
| Faculty: | | | | | | |
| White Male: | 25 | Black Male: | 0 | Other Male: | 2 | Total Male: 27 |
| White Female: | 34 | Black Female: | 1 | Other Female: | 0 | Total Female: 35 |
| Total White Male: | 53 | Total Black Male: | 4 | Total Other Male: | 2 | Total Male: 59 |
| Total White Female: | 100 | Total Black Female: | 21 | Total Other Female: | 2 | Total Female: 123 |
| Total White: | 153 | Total Black: | 25 | Total Other: | 4 | Total Employees: 182 |
| | | | | Total Minority: | 29 | |

FORM 15-6

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2014
 Required by A.C.A. 25-36-104

Institution

ARKANSAS NORTHEASTERN COLLEGE

| Minority Business | Total Contract Awarded | Minority Type per A.C.A. 15-4-303 (2) | | | | | |
|-------------------|------------------------|---------------------------------------|-------------------|-----------------|----------------|---------------------------|------------------|
| | | African American | Hispanic American | American Indian | Asian American | Pacific Islander American | Disabled Veteran |
| N/A | | | | | | | |
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TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$449,061
 (Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

**DIVISION OF LEGISLATIVE AUDIT
 AUDIT OF ARKANSAS NORTHEASTERN COLLEGE
 June 30, 2013**

| | |
|------------------------------|--|
| <p><i>Finding No. 1:</i></p> | <p>Financial Statements should be presented fairly in conformity with generally accepted accounting principles as prescribed by the Governmental Accounting Standards Board (GASB). The College's internal control system did not detect or prevent material misstatements in the financial statements. Key errors in the Comparative Statement of Net Position, the Comparative Statement of Revenues, Expenses, and Changes in Net Position, the Comparative Statement of Cash Flows, and the Notes to the Financial Statements included:</p> <p>Comparative Statement of Net Position</p> <ul style="list-style-type: none"> a) Prepaid Expenses of \$72,801 were misclassified as other receivables. b) Capital Assets, net of depreciation were understated by \$51,244. c) Due to clerical errors, the liability for postemployment benefits was understated by \$52,890. d) As a result of errors and misclassifications, the College's Net Position contained the following discrepancies: <ul style="list-style-type: none"> 1. Net investment in capital assets was understated by \$141,357. 2. Amounts restricted for other purposes were understated by \$328,202. 3. Amounts unrestricted were overstated by \$530,111. <p>Comparative Statement of Revenues, Expenses, and Changes in Net Position</p> <ul style="list-style-type: none"> a) Student tuition and fees revenue and scholarship and fellowship expenses were overstated \$312,394 as a result of the miscalculation of student discounts. b) Due to clerical errors, depreciation expense was understated by \$49,000. c) Due to various misclassifications, operating revenues and supplies and services were overstated by \$155,304 and \$126,189, respectively. <p>Comparative Statement of Cash Flows</p> <ul style="list-style-type: none"> a) The College did not use fiscal year 2012 audited amounts for the comparative statement. b) The College did not consider current and prior year accruals causing the following errors: <ul style="list-style-type: none"> 1. Auxiliary enterprise revenues were understated by \$79,705. 2. Other operating revenues were overstated by \$34,694. 3. Payments to employees were understated by \$37,370. |
|------------------------------|--|

**DIVISION OF LEGISLATIVE AUDIT
 AUDIT OF ARKANSAS NORTHEASTERN COLLEGE
 June 30, 2013**

| | |
|---|---|
| | <p>4. Payments for employee benefits were understated by a net of \$102,492. 5. Payments to suppliers were understated by \$57,094.</p> <p>c) State appropriations were overstated by \$157,081, as a result of duplicate posting of revenue. d) The College did not report direct lending loan receipts and payments of \$132,405. e) The net change in other agency funds of \$59,767 was not included. f) Purchases of capital assets included non-cash transactions of \$106,272 related to library holdings and donations of equipment. g) Payments to trustee for bond principal and payments for interest and fees of \$175,000 and \$142,120, respectively, were not included.</p> <p>Notes to the Financial Statements</p> <p>a) The College’s Public Fund Deposits and Investments note did not reflect uninsured and uncollateralized deposits of \$136,836. b) The summary of long-term debt did not include fiscal year 2012 payments in the maturities to June 30, 2013 column. c) Due to the inclusion of a construction contract executed after June 30, 2013, the commitments note was overstated by \$297,313. d) Several errors were noted in the other postemployment benefits (OPEB) note primarily due to the College using incorrect prior year amounts.</p> <p>When these errors were brought to the attention of College personnel, the financial statements and notes were corrected.</p> |
| <p><i>Institution’s Response</i></p> | <p>The following procedures will be implemented to detect and prevent material misstatements of financial reporting:</p> <p>Year-end detailed supporting schedules outlining sources of cash inflows and cash disbursements will be used to record revenues, expenses, capital assets, investments, and related accruals. The schedules defined accordingly by each financial statement will record each line item in comparison to general ledger account groupings.</p> <p>If available, prior year ending audited financial statement balances will be used as current year financial statements beginning balances.</p> |

**DIVISION OF LEGISLATIVE AUDIT
AUDIT OF ARKANSAS NORTHEASTERN COLLEGE
June 30, 2013**

| | |
|--|---|
| | <p>The finance team comprised of the Interim Vice President and Accountant will independently complete the financial statements, each conducting a review of the other's statements. Year-end financial reporting will not be complete until both the Interim Vice President and Accountant are in agreement with each other.</p> <p>Following these procedures will ensure financial statements are completed and presented accurately in conformity with the generally accepted accounting principles as prescribed by the Governmental Accounting Standards Board.</p> |
|--|---|

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ARKANSAS STATE UNIVERSITY - BEEBE

Dr. Eugene McKay, Chancellor

ENABLING LAWS

A.C.A. §6-65-209; A.C.A. §6-53-401 & 405; §6-56-102; Act 60 of 2014.

INSTITUTION HISTORY AND ORGANIZATION

Mission

ASU-Beebe's mission is "transforming lives through quality learning experiences." To achieve this mission, the University has developed the following strategic goals:

1. Provide learning experiences that support the diversity of our students' needs and aspirations
2. Increase enrollment and completion, persistence, and graduation rates
3. Build mechanisms to better adapt to legislative, accreditation, and other regulatory issues
4. Develop and maintain a culture of assessment to improve student success
5. Develop and manage our human, funding, and other resources

ASU-Beebe is part of the Arkansas State University System. As such, the University reports to the ASU System President and is governed by the Board of Trustees, which consists of five persons appointed by the Governor.

Introduction

Arkansas State University-Beebe began in 1927 as Junior Agricultural School of Central Arkansas, enacted by A.C.A. § 6-65-209. In 1955, the Arkansas General Assembly designated the school a campus of Arkansas State College; and, in 1967, it became Arkansas State University-Beebe. In addition to the original campus in Beebe, the institution has established campuses at the Little Rock Air Force Base in 1965, Heber Springs in 1999, and, through a merger with Foothills Technical Institute, at Searcy in 2003. In FY15, ASU-Beebe and its campuses are appropriated by Act 60 of 2014.

During FY 14, ASU-Beebe served over 6,300 students from 61 counties, 35 states, and 18 foreign countries. This student population also includes approximately 250 students living on-campus in two residence halls. During the 2013-2014 academic year, ASU-Beebe awarded 1,606 credentials.

A recent study showed ASU-Beebe has over a \$316 million dollar annual economic impact on its service area. To this

ARKANSAS STATE UNIVERSITY - BEEBE

Dr. Eugene McKay, Chancellor

end, it is one of the largest employers in the area. ASU-Beebe also has several grants and initiatives serving the community include, but are not limited to: Upward Bound, Students Support Services, Career Pathways, Area Career Centers, electrician apprenticeship program, plumber apprenticeship program, Workforce Training, Economic Development Center, White County Industrial Training Council, and the Little Red Industrial Training Council.

ASU-Beebe offers many programs in technical education including, but not limited to: pharmacy technology, veterinary technology, welding technology, LPN, medical laboratory technology, computerized machining technology, diesel technology, and power sport engine technology. Furthermore, the University served over 1,800 enrollments through the Economic Development Center and workforce training program.

ASU-Beebe also offers several academic and technical programs unique to the state: Agricultural Technology (John Deere), Veterinary Technology, Pharmacy Technology, and Associate of Fine Arts. It also is the only two-year school in the state with a working farm. Additionally, the University partners with ASU-Jonesboro to offer 12 bachelor's degrees and 4 master's degrees on the ASU-Beebe Degree Center.

ADDITIONAL APPROPRIATIONS/NEW POSITIONS

ASU-Beebe requests 3 new full-time positions and recommends an equal number (3) of deletions. The full-time positions would allow the university to improve supervision of our student center, improve technology services at our Heber Springs campus, and provide a position for administration of employee benefits and leave.

**INSTITUTION APPROPRIATION SUMMARY
2015-2017 BIENNIUM**

INSTITUTION ARKANSAS STATE UNIVERSITY-BEEBE

| | APPROPRIATION | HISTORICAL DATA | | | | | | INSTITUTION REQUEST & AHECB RECOMMENDATION | | | | | | | |
|----|-----------------------------------|-----------------|-------|--------------|------|--------------|-----|--|------|--------------|------|--------------|------|--------------|------|
| | | 2013-2014 | | 2014-2015 | | 2014-2015 | | 2015-2016 | | | | 2016-2017 | | | |
| | | ACTUAL | POS | BUDGETED | POS | AUTHORIZED | POS | INST REQUEST | POS | AHECB REC | POS | INST REQUEST | POS | AHECB REC | POS |
| 1 | STATE TREASURY | 14,071,729 | | 14,098,177 | | 14,752,004 | | 14,417,742 | | 14,417,742 | | 14,850,274 | | 14,850,274 | |
| 2 | CASH | 18,267,856 | | 82,695,000 | | 82,695,000 | | 82,695,000 | | 82,695,000 | | 82,695,000 | | 82,695,000 | |
| 3 | | | | | | | | | | | | | | | |
| 4 | | | | | | | | | | | | | | | |
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| 9 | | | | | | | | | | | | | | | |
| 10 | | | | | | | | | | | | | | | |
| 11 | TOTAL | \$32,339,585 | 510 | \$96,793,177 | 470 | \$97,447,004 | 579 | \$97,112,742 | 579 | \$97,112,742 | 579 | \$97,545,274 | 579 | \$97,545,274 | 579 |
| | FUNDING SOURCES | | % | | % | | | | % | | % | | % | | % |
| 12 | PRIOR YEAR FUND BALANCE* | 0 | 0.00% | 0 | 0% | | | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| 13 | GENERAL REVENUE | 11,835,727 | 37% | 11,835,727 | 12% | | | 12,155,292 | 13% | 12,155,292 | 13% | 12,587,824 | 13% | 12,587,824 | 13% |
| 14 | EDUCATIONAL EXCELLENCE TRUST FUND | 1,434,057 | 4% | 1,468,406 | 2% | | | 1,468,406 | 2% | 1,468,406 | 2% | 1,468,406 | 2% | 1,468,406 | 2% |
| 15 | WORKFORCE 2000 | 801,945 | 2% | 794,044 | 1% | | | 794,044 | 1% | 794,044 | 1% | 794,044 | 1% | 794,044 | 1% |
| 16 | CASH FUNDS | 16,062,024 | 50% | 82,695,000 | 85% | | | 82,695,000 | 85% | 82,695,000 | 85% | 82,695,000 | 85% | 82,695,000 | 85% |
| 17 | SPECIAL REVENUES | | 0% | | 0% | | | | 0% | 0 | 0% | | 0% | 0 | 0% |
| 18 | FEDERAL FUNDS | 2,205,832 | 7% | 0 | 0% | | | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| 19 | TOBACCO SETTLEMENT FUNDS | 0 | 0% | 0 | 0% | | | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| 20 | OTHER FUNDS | 0 | 0% | 0 | 0% | | | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| 21 | TOTAL INCOME | \$32,339,585 | 100% | \$96,793,177 | 100% | | | \$97,112,742 | 100% | \$97,112,742 | 100% | \$97,545,274 | 100% | \$97,545,274 | 100% |
| 22 | EXCESS (FUNDING)/APPROPRIATION | \$0 | | \$0 | | | | \$0 | | \$0 | | \$0 | | \$0 | |

| | |
|--|-------------|
| UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2014: | \$4,418,193 |
| LESS RESERVES FOR: | |
| ACCOUNTS RECEIVABLE | \$457,845 |
| INVENTORIES | \$98,310 |
| YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES | |
| INSURANCE DEDUCTIBLES | \$25,000 |
| MAJOR CRITICAL SYSTEMS FAILURES | |
| 60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES) | \$2,925,465 |
| RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.) | \$477,487 |
| OTHER (FOOTNOTE BELOW) | |
| UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE | \$434,086 |

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2015-2017 BIENNIUM**

FUND CMA0000

INSTITUTION ARKANSAS STATE UNIVERSITY-BEEBE

APPROPRIATION 300

| | DESCRIPTION | ACTUAL 2013-2014 | BUDGETED 2014-2015 | AUTHORIZED APPROPRIATION 2014-2015 | INSTITUTIONAL REQUEST / AHECB RECOMMENDATION | | LEGISLATIVE RECOMMENDATION | |
|----|-----------------------------------|---------------------|-----------------------|--|---|--------------|----------------------------|-----------|
| | | | | | 2015-2016 | 2016-2017 | 2015-2016 | 2016-2017 |
| 1 | REGULAR SALARIES | 11,489,638 | 11,544,044 | 11,823,484 | 11,655,455 | 11,855,455 | | |
| 2 | EXTRA HELP WAGES | | | | | | | |
| 3 | OVERTIME | | | | | | | |
| 4 | PERSONAL SERVICES MATCHING | 1,573,434 | 1,549,873 | 1,838,827 | 1,699,873 | 1,894,299 | | |
| 5 | OPERATING EXPENSES | | | | 10,000 | 10,000 | | |
| 6 | CONFERENCE FEES & TRAVEL | | | | | | | |
| 7 | PROFESSIONAL FEES AND SERVICES | | | | | | | |
| 8 | CAPITAL OUTLAY | | | | | | | |
| 9 | FUNDED DEPRECIATION | 170,000 | 170,000 | 190,000 | 190,000 | 190,000 | | |
| 10 | WORKERS COMP/SURETY PREMIUM | 4,397 | | | | | | |
| 11 | | | | | | | | |
| 12 | | | | | | | | |
| 13 | TOTAL APPROPRIATION | \$13,237,469 | \$13,263,917 | \$13,852,311 | \$13,555,328 | \$13,949,754 | \$0 | \$0 |
| 14 | PRIOR YEAR FUND BALANCE** | | | | | | | |
| 15 | GENERAL REVENUE | 11,001,467 | 11,001,467 | | 11,292,878 | 11,687,304 | | |
| 16 | EDUCATIONAL EXCELLENCE TRUST FUND | 1,434,057 | 1,468,406 | | 1,468,406 | 1,468,406 | | |
| 17 | SPECIAL REVENUES * [WF2000] | 801,945 | 794,044 | | 794,044 | 794,044 | | |
| 18 | FEDERAL FUNDS IN STATE TREASURY | | | | | | | |
| 19 | TOBACCO SETTLEMENT FUNDS | | | | | | | |
| 20 | OTHER STATE TREASURY FUNDS | | | | | | | |
| 21 | TOTAL INCOME | \$13,237,469 | \$13,263,917 | | \$13,555,328 | \$13,949,754 | \$0 | \$0 |
| 22 | EXCESS (FUNDING)/APPROPRIATION | \$0 | \$0 | | \$0 | \$0 | \$0 | \$0 |

* Report WF2000 funds on line 17 - "Special Revenues".

FORM 15-3

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2015-2017 BIENNIUM**

FUND CMA0000

INSTITUTION ASUB-ARKANSAS STATE UNIVERSITY-HEBER SPRINGS

APPROPRIATION 145

| | DESCRIPTION | ACTUAL 2013-2014 | BUDGETED 2014-2015 | AUTHORIZED APPROPRIATION 2014-2015 | INSTITUTIONAL REQUEST / AHECB RECOMMENDATION | | LEGISLATIVE RECOMMENDATION | |
|----|-----------------------------------|---------------------|-----------------------|--|---|-----------|----------------------------|-----------|
| | | | | | 2015-2016 | 2016-2017 | 2015-2016 | 2016-2017 |
| 1 | REGULAR SALARIES | 834,260 | 834,260 | 863,210 | 834,260 | 872,366 | | |
| 2 | EXTRA HELP WAGES | | | | | | | |
| 3 | OVERTIME | | | | | | | |
| 4 | PERSONAL SERVICES MATCHING | 0 | 0 | 36,483 | 18,154 | 18,154 | | |
| 5 | OPERATING EXPENSES | | | | 10,000 | 10,000 | | |
| 6 | CONFERENCE FEES & TRAVEL | | | | | | | |
| 7 | PROFESSIONAL FEES AND SERVICES | | | | | | | |
| 8 | CAPITAL OUTLAY | | | | | | | |
| 9 | FUNDED DEPRECIATION | | | | | | | |
| 10 | | | | | | | | |
| 11 | | | | | | | | |
| 12 | | | | | | | | |
| 13 | TOTAL APPROPRIATION | \$834,260 | \$834,260 | \$899,693 | \$862,414 | \$900,520 | \$0 | \$0 |
| 14 | PRIOR YEAR FUND BALANCE** | | | | | | | |
| 15 | GENERAL REVENUE | 834,260 | 834,260 | | 862,414 | 900,520 | | |
| 16 | EDUCATIONAL EXCELLENCE TRUST FUND | | | | | | | |
| 17 | SPECIAL REVENUES * [WF2000] | | | | | | | |
| 18 | FEDERAL FUNDS IN STATE TREASURY | | | | | | | |
| 19 | TOBACCO SETTLEMENT FUNDS | | | | | | | |
| 20 | OTHER STATE TREASURY FUNDS | | | | | | | |
| 21 | TOTAL INCOME | \$834,260 | \$834,260 | | \$862,414 | \$900,520 | \$0 | \$0 |
| 22 | EXCESS (FUNDING)/APPROPRIATION | \$0 | \$0 | | \$0 | \$0 | \$0 | \$0 |

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS
2015-2017 BIENNIUM**

FUND 2060000

INSTITUTION ARKANSAS STATE UNIVERSITY-BEEBE

APPROPRIATION A71

| | DESCRIPTION | ACTUAL 2013-2014 | BUDGETED 2014-2015 | AUTHORIZED APPROPRIATION 2014-2015 | INSTITUTIONAL REQUEST / AHECB RECOMMENDATION | | LEGISLATIVE RECOMMENDATION | |
|----|---|---------------------|-----------------------|--|---|--------------|----------------------------|-----------|
| | | | | | 2015-2016 | 2016-2017 | 2015-2016 | 2016-2017 |
| 1 | REGULAR SALARIES | 2,239,470 | 6,496,960 | 6,496,960 | 6,496,960 | 6,496,960 | | |
| 2 | EXTRA HELP WAGES | 661,382 | 900,000 | 900,000 | 900,000 | 900,000 | | |
| 3 | OVERTIME | 0 | 15,000 | 15,000 | 15,000 | 15,000 | | |
| 4 | PERSONAL SERVICES MATCHING | 2,993,995 | 3,920,600 | 3,920,600 | 3,920,600 | 3,920,600 | | |
| 5 | OPERATING EXPENSES | 5,939,990 | 10,000,000 | 10,000,000 | 10,000,000 | 10,000,000 | | |
| 6 | CONFERENCE FEES & TRAVEL | 125,550 | 425,000 | 425,000 | 425,000 | 425,000 | | |
| 7 | PROFESSIONAL FEES AND SERVICES | 663,327 | 900,000 | 900,000 | 900,000 | 900,000 | | |
| 8 | CAPITAL OUTLAY | 457,539 | 1,250,000 | 1,250,000 | 1,250,000 | 1,250,000 | | |
| 9 | CAPITAL IMPROVEMENTS | 0 | 26,676,840 | 26,676,840 | 26,676,840 | 26,676,840 | | |
| 10 | DEBT SERVICE | 1,590,904 | 2,500,000 | 2,500,000 | 2,500,000 | 2,500,000 | | |
| 11 | FUND TRANSFERS, REFUNDS AND INVESTMENTS | 279,540 | 7,450,000 | 7,450,000 | 7,450,000 | 7,450,000 | | |
| 12 | PROMOTIONAL ITEMS | 30,137 | 100,000 | 100,000 | 100,000 | 100,000 | | |
| 13 | | | | | | | | |
| 14 | | | | | | | | |
| 15 | | | | | | | | |
| 16 | TOTAL APPROPRIATION | \$14,981,834 | \$60,634,400 | \$60,634,400 | \$60,634,400 | \$60,634,400 | \$0 | \$0 |
| 17 | PRIOR YEAR FUND BALANCE*** | | | | | | | |
| 18 | TUITION AND MANDATORY FEES | 9,192,567 | 9,543,500 | | 9,734,370 | 9,929,057 | | |
| 19 | ALL OTHER FEES | 5,370 | 6,000 | | 6,000 | 6,000 | | |
| 20 | SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS | 118,840 | 76,000 | | 80,000 | 80,000 | | |
| 21 | INVESTMENT INCOME | 65,108 | 75,000 | | 80,000 | 85,000 | | |
| 22 | FEDERAL CASH FUNDS | 1,745,375 | | | | | | |
| 23 | OTHER CASH FUNDS | 3,854,574 | 50,933,900 | | 50,734,030 | 50,534,343 | | |
| 24 | TOTAL INCOME | \$14,981,834 | \$60,634,400 | | \$60,634,400 | \$60,634,400 | \$0 | \$0 |
| 25 | EXCESS (FUNDING)/APPROPRIATION | \$0 | \$0 | | \$0 | \$0 | \$0 | \$0 |

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

| | ACTUAL 2013-2014 | BUDGETED 2014-2015 | AUTHORIZED 2014-2015 | INSTITUTIONAL REQUEST | AHECB RECOMMEND | LEGISLATIVE RECOMMENDATION |
|-------------------|---------------------|-----------------------|-------------------------|--------------------------|--------------------|----------------------------|
| | | | | 2015-2017 | 2015-2017 | 2015-2017 |
| REGULAR POSITIONS | 430 | 392 | 487 | 487 | 487 | |
| TOBACCO POSITIONS | | | | | | |
| EXTRA HELP ** | 130 | 103 | 175 | 175 | 175 | |

FORM 15-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS
2015-2017 BIENNIUM**

FUND 2060000

INSTITUTION ASUB-ARKANSAS STATE UNIVERSITY-HEBER SPRINGS

APPROPRIATION A43

| | DESCRIPTION | ACTUAL 2013-2014 | BUDGETED 2014-2015 | AUTHORIZED APPROPRIATION 2014-2015 | INSTITUTIONAL REQUEST / AHECB RECOMMENDATION | | LEGISLATIVE RECOMMENDATION | |
|----|---|---------------------|-----------------------|--|---|--------------|----------------------------|-----------|
| | | | | | 2015-2016 | 2016-2017 | 2015-2016 | 2016-2017 |
| 1 | REGULAR SALARIES | 851,640 | 1,540,400 | 1,540,400 | 1,540,400 | 1,540,400 | | |
| 2 | EXTRA HELP WAGES | 139,343 | 250,000 | 250,000 | 250,000 | 300,000 | | |
| 3 | OVERTIME | 0 | 10,000 | 10,000 | 10,000 | 10,000 | | |
| 4 | PERSONAL SERVICES MATCHING | 490,693 | 700,000 | 700,000 | 700,000 | 700,000 | | |
| 5 | OPERATING EXPENSES | 828,221 | 1,400,000 | 1,400,000 | 1,400,000 | 1,400,000 | | |
| 6 | CONFERENCE FEES & TRAVEL | 3,721 | 60,000 | 60,000 | 60,000 | 60,000 | | |
| 7 | PROFESSIONAL FEES AND SERVICES | 9,214 | 100,000 | 100,000 | 100,000 | 100,000 | | |
| 8 | CAPITAL OUTLAY | 5,646 | 250,000 | 250,000 | 250,000 | 250,000 | | |
| 9 | CAPITAL IMPROVEMENTS | 0 | 14,815,000 | 14,815,000 | 14,815,000 | 14,765,000 | | |
| 10 | DEBT SERVICE | 951,632 | 1,500,000 | 1,500,000 | 1,500,000 | 1,500,000 | | |
| 11 | FUND TRANSFERS, REFUNDS AND INVESTMENTS | 2,203 | 1,405,200 | 1,405,200 | 1,405,200 | 1,405,200 | | |
| 12 | PROMOTIONAL ITEMS | 3,709 | 30,000 | 30,000 | 30,000 | 30,000 | | |
| 13 | | | | | | | | |
| 14 | | | | | | | | |
| 15 | | | | | | | | |
| 16 | TOTAL APPROPRIATION | \$3,286,022 | \$22,060,600 | \$22,060,600 | \$22,060,600 | \$22,060,600 | \$0 | \$0 |
| 17 | PRIOR YEAR FUND BALANCE*** | | | | | | | |
| 18 | TUITION AND MANDATORY FEES | 1,031,197 | 1,075,000 | | 1,096,500 | 1,118,430 | | |
| 19 | ALL OTHER FEES | | | | | | | |
| 20 | SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS | 6,365 | 4,500 | | 6,500 | 7,000 | | |
| 21 | INVESTMENT INCOME | 15,206 | 15,000 | | 20,000 | 20,000 | | |
| 22 | FEDERAL CASH FUNDS | 460,457 | | | | | | |
| 23 | OTHER CASH FUNDS | 1,772,797 | 20,966,100 | | 20,937,600 | 20,915,170 | | |
| 24 | TOTAL INCOME | \$3,286,022 | \$22,060,600 | | \$22,060,600 | \$22,060,600 | \$0 | \$0 |
| 25 | EXCESS (FUNDING)/APPROPRIATION | \$0 | \$0 | | \$0 | \$0 | \$0 | \$0 |

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

| | ACTUAL 2013-2014 | BUDGETED 2014-2015 | AUTHORIZED 2014-2015 | INSTITUTIONAL REQUEST | AHECB RECOMMEND | LEGISLATIVE RECOMMENDATION |
|-------------------|---------------------|-----------------------|-------------------------|--------------------------|--------------------|----------------------------|
| | | | | 2015-2017 | 2015-2017 | 2015-2017 |
| REGULAR POSITIONS | 80 | 78 | 92 | 92 | 92 | |
| TOBACCO POSITIONS | | | | | | |
| EXTRA HELP ** | 25 | 38 | 40 | 50 | 50 | |

FORM 15-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

ARKANSAS STATE UNIVERSITY-BEEBE
(NAME OF INSTITUTION)

| | ACTIVITY | ACTUAL 2013-2014 | | | | BUDGETED 2014-2015 | | | |
|----|--|---------------------|-----------------------|-----------------|---------------|-----------------------|-----------------------|-----------------|---------------|
| | | INCOME | OPERATING EXPENSES | DEBT SERVICE | NET INCOME | INCOME | OPERATING EXPENSES | DEBT SERVICE | NET INCOME |
| 1 | INTERCOLLEGIATE ATHLETICS * | | | | 0 | | | | 0 |
| 2 | HOUSING | 851,167 | 369,425 | 528,443 | (46,701) | 877,000 | 388,086 | 535,982 | (47,068) |
| 3 | FOOD SERVICES | 673,872 | 705,096 | | (31,224) | 629,000 | 770,229 | | (141,229) |
| 4 | STUDENT UNION | 193,795 | 77,077 | 106,735 | 9,983 | 191,300 | 90,804 | 102,906 | (2,410) |
| 5 | BOOKSTORE | 1,457,264 | 1,317,514 | | 139,750 | 1,488,000 | 1,349,465 | | 138,535 |
| 6 | STUDENT ORGANIZATIONS AND PUBLICATIONS | | | | 0 | | | | 0 |
| 7 | OTHER: VENDING | 37,983 | | | 37,983 | 40,000 | | | 40,000 |
| 8 | SUBTOTAL | \$3,214,081 | \$2,469,112 | \$635,178 | \$109,791 | \$3,225,300 | \$2,598,584 | \$638,888 | (\$12,172) |
| 9 | ATHLETIC TRANSFER ** | | | | 0 | | | | 0 |
| 10 | OTHER TRANSFERS *** | | | | 0 | 12,172 | | | 12,172 |
| 11 | GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES | \$3,214,081 | \$2,469,112 | \$635,178 | \$109,791 | \$3,237,472 | \$2,598,584 | \$638,888 | \$0 |

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 15-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 10 Other Transfers - Transfer from E&G to support Heber Springs auxiliary operations.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

ARKANSAS STATE UNIVERSITY-BEEBE
(NAME OF INSTITUTION)

| | | | | | | |
|---|-------------------|---------------------|-------------------|------------------------|-------------------|-----------------------------|
| TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2013-2014: | | | | | | <u>344</u> |
| (As of November 1, 2013) | | | | | | |
| Nonclassified Administrative Employees: | | | | | | |
| White Male: | <u>29</u> | Black Male: | <u>2</u> | Other Male: | <u>3</u> | Total Male: <u>34</u> |
| White Female: | <u>49</u> | Black Female: | <u>2</u> | Other Female: | <u>1</u> | Total Female: <u>52</u> |
| Nonclassified Health Care Employees: | | | | | | |
| White Male: | <u> </u> | Black Male: | <u> </u> | Other Male: | <u> </u> | Total Male: <u>0</u> |
| White Female: | <u> </u> | Black Female: | <u> </u> | Other Female: | <u> </u> | Total Female: <u>0</u> |
| Classified Employees: | | | | | | |
| White Male: | <u>34</u> | Black Male: | <u>2</u> | Other Male: | <u>3</u> | Total Male: <u>39</u> |
| White Female: | <u>84</u> | Black Female: | <u>5</u> | Other Female: | <u>7</u> | Total Female: <u>96</u> |
| Faculty: | | | | | | |
| White Male: | <u>57</u> | Black Male: | <u>1</u> | Other Male: | <u>3</u> | Total Male: <u>61</u> |
| White Female: | <u>57</u> | Black Female: | <u>4</u> | Other Female: | <u>1</u> | Total Female: <u>62</u> |
| Total White Male: | <u>120</u> | Total Black Male: | <u>5</u> | Total Other Male: | <u>9</u> | Total Male: <u>134</u> |
| Total White Female: | <u>190</u> | Total Black Female: | <u>11</u> | Total Other Female: | <u>9</u> | Total Female: <u>210</u> |
| Total White: | <u>310</u> | Total Black: | <u>16</u> | Total Other: | <u>18</u> | Total Employees: <u>344</u> |
| | | | | Total Minority: | <u>34</u> | |

FORM 15-6

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2014
 Required by A.C.A. 25-36-104

Institution ARKANSAS STATE UNIVERSITY-BEEBE

| Minority Business | Total Contract Awarded | Minority Type per A.C.A. 15-4-303 (2) | | | | | |
|----------------------|------------------------|---------------------------------------|-------------------|-----------------|----------------|---------------------------|------------------|
| | | African American | Hispanic American | American Indian | Asian American | Pacific Islander American | Disabled Veteran |
| A&A Service Electric | \$125,475 | | 125475 | | | | |
| | | | | | | | |
| | | | | | | | |
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| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 1

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$179,343
 (Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 40%

**DIVISION OF LEGISLATIVE AUDIT
AUDIT OF ARKANSAS STATE UNIVERSITY - BEEBE
June 30, 2013**

| | |
|-----------------|-------------------|
| <i>Finding:</i> | No Findings noted |
|-----------------|-------------------|

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ARKANSAS STATE UNIVERSITY – MOUNTAIN HOME

Dr. Robin Myers, Chancellor

ENABLING LAWS

Act 100 of 1909; Act 1244 of 1991; A.C.A. §6-65-201 & 202; A.C.A. §6-65-209; A.C.A. §6-53-401 & 405; §6-56-102; Act 193 of 2012; Act 157 of 2014.

INSTITUTION HISTORY AND ORGANIZATION

Introduction: Arkansas State University-Mountain Home (ASUMH) is a public, open access, two-year campus of Arkansas State University, primarily serving students in North Central Arkansas. ASUMH provides affordable, lifelong learning and excellent teaching, enabling students of all ages to explore ethical values, develop technological and critical thinking skills, and communicate logically and effectively in order to enhance their quality of life. Furthermore, ASUMH is committed to creating a progressive community of enlightened and productive global citizens. To help students achieve educational, personal, and career goals, ASUMH offers certificates, associate degrees, community, and workforce education.

Arkansas State University-Mountain Home's vision is to provide expertise and resources which create opportunities and change lives.

The mission of ASUMH is to **LEAD** through educational opportunities:

Lifelong Learning
Enhanced Quality of Life,
Academic Accessibility, and
Diverse Experiences

During the most recent academic year ASUMH awarded 196 associate degrees, 92 technical certificates, and 206 certificates of proficiency. The fall 2014 headcount is 1,393. ASUMH also provided 19,366 workforce training contact hours during the 2013 academic year.

History: Act 1244 of 1991 designated the Mountain Home Education Center as a technical college. Arkansas State University – Mountain Home (ASUMH) was established on July 1, 1995 as a branch campus of the Arkansas State

ARKANSAS STATE UNIVERSITY – MOUNTAIN HOME
Dr. Robin Myers, Chancellor

University System, by approval of the State Board of Higher Education. The Arkansas State University Board of Trustees has oversight responsibility for the university.

Request: Arkansas State University – Mountain Home is a formula driven entity, the increase in general revenue funding is a result of the recommendation made by the Arkansas Higher Education Coordinating Board.

ASUMH requested twelve additional positions, ten Part-Time Faculty, one Division Chair, and one Records Management Analyst. All three positions are related to growth in programs offered by the university. Additionally, ASUMH requested to add an Assistant Director of Financial Aid position and correspondingly delete a Financial Aid Analyst position

**INSTITUTION APPROPRIATION SUMMARY
2015-2017 BIENNIUM**

INSTITUTION ARKANSAS STATE UNIVERSITY - MOUNTAIN HOME

| | APPROPRIATION | HISTORICAL DATA | | | | | | INSTITUTION REQUEST & AHECB RECOMMENDATION | | | | | | | |
|----|-----------------------------------|-----------------|-------|--------------|------|--------------|-----|--|------|--------------|------|--------------|------|--------------|------|
| | | 2013-2014 | | 2014-2015 | | 2014-2015 | | 2015-2016 | | | | 2016-2017 | | | |
| | | ACTUAL | POS | BUDGETED | POS | AUTHORIZED | POS | INST REQUEST | POS | AHECB REC | POS | INST REQUEST | POS | AHECB REC | POS |
| 1 | STATE TREASURY | 4,472,049 | | 4,463,921 | | 5,204,118 | | 5,557,139 | | 5,557,139 | | 5,723,853 | | 5,723,853 | |
| 2 | CASH | 5,975,510 | | 32,870,000 | | 32,870,000 | | 32,870,000 | | 32,870,000 | | 32,870,000 | | 32,870,000 | |
| 3 | | | | | | | | | | | | | | | |
| 4 | | | | | | | | | | | | | | | |
| 5 | | | | | | | | | | | | | | | |
| 6 | | | | | | | | | | | | | | | |
| 7 | | | | | | | | | | | | | | | |
| 8 | | | | | | | | | | | | | | | |
| 9 | | | | | | | | | | | | | | | |
| 10 | | | | | | | | | | | | | | | |
| 11 | TOTAL | \$10,447,559 | 163 | \$37,333,921 | 163 | \$38,074,118 | 175 | \$38,427,139 | 187 | \$38,427,139 | 187 | \$38,593,853 | 187 | \$38,593,853 | 187 |
| | FUNDING SOURCES | | % | | % | | | | % | | % | | % | | % |
| 12 | PRIOR YEAR FUND BALANCE* | 0 | 0.00% | 0 | 0% | | | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| 13 | GENERAL REVENUE | 3,648,110 | 35% | 3,648,110 | 10% | | | 4,741,328 | 12% | 4,741,328 | 12% | 4,908,042 | 13% | 4,908,042 | 13% |
| 14 | EDUCATIONAL EXCELLENCE TRUST FUND | 0 | 0% | 0 | 0% | | | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| 15 | WORKFORCE 2000 | 823,929 | 8% | 815,811 | 2% | | | 815,811 | 2% | 815,811 | 2% | 815,811 | 2% | 815,811 | 2% |
| 16 | CASH FUNDS | 5,167,118 | 49% | 31,870,000 | 85% | | | 31,870,000 | 83% | 31,870,000 | 83% | 31,870,000 | 83% | 31,870,000 | 83% |
| 17 | SPECIAL REVENUES | | 0% | | 0% | | | | 0% | | 0% | | 0% | | 0% |
| 18 | FEDERAL FUNDS | 808,392 | 8% | 1,000,000 | 3% | | | 1,000,000 | 3% | 1,000,000 | 3% | 1,000,000 | 3% | 1,000,000 | 3% |
| 19 | TOBACCO SETTLEMENT FUNDS | 0 | 0% | 0 | 0% | | | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| 20 | OTHER FUNDS | 10 | 0% | 0 | 0% | | | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| 21 | TOTAL INCOME | \$10,447,559 | 100% | \$37,333,921 | 100% | | | \$38,427,139 | 100% | \$38,427,139 | 100% | \$38,593,853 | 100% | \$38,593,853 | 100% |
| 22 | EXCESS (FUNDING)/APPROPRIATION | \$0 | | \$0 | | | | \$0 | | \$0 | | \$0 | | \$0 | |

| | |
|--|-------------|
| UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2014: | \$2,439,244 |
| LESS RESERVES FOR: | |
| ACCOUNTS RECEIVABLE | \$710,729 |
| INVENTORIES | |
| YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES | |
| INSURANCE DEDUCTIBLES | \$175,000 |
| MAJOR CRITICAL SYSTEMS FAILURES | \$250,000 |
| 60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES) | \$1,000,000 |
| RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.) | |
| OTHER (FOOTNOTE BELOW) | |
| UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE | \$303,515 |

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2015-2017 BIENNIUM**

FUND CTH0000

INSTITUTION ARKANSAS STATE UNIVERSITY - MOUNTAIN HOME

APPROPRIATION 771

| | DESCRIPTION | ACTUAL 2013-2014 | BUDGETED 2014-2015 | AUTHORIZED APPROPRIATION 2014-2015 | INSTITUTIONAL REQUEST / AHECB RECOMMENDATION | | LEGISLATIVE RECOMMENDATION | |
|----|-----------------------------------|---------------------|-----------------------|--|---|-------------|----------------------------|-----------|
| | | | | | 2015-2016 | 2016-2017 | 2015-2016 | 2016-2017 |
| 1 | REGULAR SALARIES | 4,369,284 | 4,300,000 | 4,703,618 | 4,950,000 | 5,100,000 | | |
| 2 | EXTRA HELP WAGES | | | 150,000 | 200,000 | 200,000 | | |
| 3 | OVERTIME | | | | | | | |
| 4 | PERSONAL SERVICES MATCHING | 100,000 | 163,921 | 350,000 | 350,000 | 350,000 | | |
| 5 | OPERATING EXPENSES | 0 | 0 | 500 | 57,139 | 73,853 | | |
| 6 | CONFERENCE FEES & TRAVEL | 0 | 0 | 0 | 0 | 0 | | |
| 7 | PROFESSIONAL FEES AND SERVICES | 0 | | 0 | 0 | 0 | | |
| 8 | CAPITAL OUTLAY | 0 | 0 | 0 | 0 | 0 | | |
| 9 | FUNDED DEPRECIATION | | | | | | | |
| 10 | WORKERS COMP/SURETY PREMIUM | 2,765 | | | | | | |
| 11 | | | | | | | | |
| 12 | | | | | | | | |
| 13 | TOTAL APPROPRIATION | \$4,472,049 | \$4,463,921 | \$5,204,118 | \$5,557,139 | \$5,723,853 | \$0 | \$0 |
| 14 | PRIOR YEAR FUND BALANCE** | | | | | | | |
| 15 | GENERAL REVENUE | 3,648,110 | 3,648,110 | | 4,741,328 | 4,908,042 | | |
| 16 | EDUCATIONAL EXCELLENCE TRUST FUND | | | | | | | |
| 17 | SPECIAL REVENUES * [WF2000] | 823,929 | 815,811 | | 815,811 | 815,811 | | |
| 18 | FEDERAL FUNDS IN STATE TREASURY | | | | | | | |
| 19 | TOBACCO SETTLEMENT FUNDS | | | | | | | |
| 20 | OTHER STATE TREASURY FUNDS | 10 | | | | | | |
| 21 | TOTAL INCOME | \$4,472,049 | \$4,463,921 | | \$5,557,139 | \$5,723,853 | \$0 | \$0 |
| 22 | EXCESS (FUNDING)/APPROPRIATION | \$0 | \$0 | | \$0 | \$0 | \$0 | \$0 |

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS
2015-2017 BIENNIUM**

FUND 2820000

INSTITUTION ARKANSAS STATE UNIVERSITY - MOUNTAIN HOME

APPROPRIATION B80

| | DESCRIPTION | ACTUAL 2013-2014 | BUDGETED 2014-2015 | AUTHORIZED APPROPRIATION 2014-2015 | INSTITUTIONAL REQUEST / AHECB RECOMMENDATION | | LEGISLATIVE RECOMMENDATION | |
|----|---|---------------------|-----------------------|--|---|--------------|----------------------------|-----------|
| | | | | | 2015-2016 | 2016-2017 | 2015-2016 | 2016-2017 |
| 1 | REGULAR SALARIES | 928,202 | 4,800,000 | 4,800,000 | 4,800,000 | 4,800,000 | | |
| 2 | EXTRA HELP WAGES | 204,106 | 500,000 | 500,000 | 500,000 | 500,000 | | |
| 3 | OVERTIME | 0 | 10,000 | 10,000 | 10,000 | 10,000 | | |
| 4 | PERSONAL SERVICES MATCHING | 384,229 | 2,540,400 | 2,540,400 | 2,540,400 | 2,540,400 | | |
| 5 | OPERATING EXPENSES | 3,179,943 | 3,600,000 | 3,600,000 | 4,000,000 | 4,000,000 | | |
| 6 | CONFERENCE FEES & TRAVEL | 88,738 | 200,000 | 200,000 | 200,000 | 200,000 | | |
| 7 | PROFESSIONAL FEES AND SERVICES | 122,857 | 1,400,000 | 1,400,000 | 1,400,000 | 1,400,000 | | |
| 8 | CAPITAL OUTLAY | 10,984 | 900,000 | 900,000 | 900,000 | 900,000 | | |
| 9 | CAPITAL IMPROVEMENTS | 0 | 15,889,600 | 15,889,600 | 15,489,600 | 15,489,600 | | |
| 10 | DEBT SERVICE | 1,049,927 | 2,000,000 | 2,000,000 | 2,000,000 | 2,000,000 | | |
| 11 | FUND TRANSFERS, REFUNDS AND INVESTMENTS | 0 | 1,000,000 | 1,000,000 | 1,000,000 | 1,000,000 | | |
| 12 | PROMOTIONAL ITEMS | 6,524 | 30,000 | 30,000 | 30,000 | 30,000 | | |
| 13 | | | | | | | | |
| 14 | | | | | | | | |
| 15 | | | | | | | | |
| 16 | TOTAL APPROPRIATION | \$5,975,510 | \$32,870,000 | \$32,870,000 | \$32,870,000 | \$32,870,000 | \$0 | \$0 |
| 17 | PRIOR YEAR FUND BALANCE*** | | | | | | | |
| 18 | TUITION AND MANDATORY FEES | 3,803,568 | 4,040,386 | | 4,040,386 | 4,040,386 | | |
| 19 | ALL OTHER FEES | 568,671 | 553,982 | | 553,982 | 553,982 | | |
| 20 | SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS | | | | | | | |
| 21 | INVESTMENT INCOME | 3,515 | 6,500 | | 6,500 | 6,500 | | |
| 22 | FEDERAL CASH FUNDS | 808,392 | 1,000,000 | | 1,000,000 | 1,000,000 | | |
| 23 | OTHER CASH FUNDS | 791,364 | 27,269,132 | | 27,269,132 | 27,269,132 | | |
| 24 | TOTAL INCOME | \$5,975,510 | \$32,870,000 | | \$32,870,000 | \$32,870,000 | \$0 | \$0 |
| 25 | EXCESS (FUNDING)/APPROPRIATION | \$0 | \$0 | | \$0 | \$0 | \$0 | \$0 |

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

| | ACTUAL 2013-2014 | BUDGETED 2014-2015 | AUTHORIZED 2014-2015 | INSTITUTIONAL REQUEST | AHECB RECOMMEND | LEGISLATIVE RECOMMENDATION |
|-------------------|---------------------|-----------------------|-------------------------|--------------------------|--------------------|----------------------------|
| | | | | 2015-2017 | 2015-2017 | 2015-2017 |
| REGULAR POSITIONS | 163 | 163 | 175 | 187 | 187 | |
| TOBACCO POSITIONS | | | | | | |
| EXTRA HELP ** | 45 | 45 | 70 | 70 | 70 | |

FORM 15-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

ARKANSAS STATE UNIVERSITY - MOUNTAIN HOME
 (NAME OF INSTITUTION)

| | ACTIVITY | ACTUAL 2013-2014 | | | | BUDGETED 2014-2015 | | | |
|----|--|---------------------|-----------------------|-----------------|---------------|-----------------------|-----------------------|-----------------|---------------|
| | | INCOME | OPERATING EXPENSES | DEBT SERVICE | NET INCOME | INCOME | OPERATING EXPENSES | DEBT SERVICE | NET INCOME |
| 1 | INTERCOLLEGIATE ATHLETICS * | | | | 0 | | | | 0 |
| 2 | HOUSING | | | | 0 | | | | 0 |
| 3 | FOOD SERVICES | | | | 0 | | | | 0 |
| 4 | STUDENT UNION | | | | 0 | | | | 0 |
| 5 | BOOKSTORE | 16,894 | | | 16,894 | 105,000 | | | 105,000 |
| 6 | STUDENT ORGANIZATIONS AND PUBLICATIONS | | | | 0 | | | | 0 |
| 7 | OTHER | 10,930 | 45,645 | | (34,715) | 160,000 | 160,000 | | 0 |
| 8 | SUBTOTAL | \$27,824 | \$45,645 | \$0 | (\$17,821) | \$265,000 | \$160,000 | \$0 | \$105,000 |
| 9 | ATHLETIC TRANSFER ** | | | | 0 | | | | 0 |
| 10 | OTHER TRANSFERS *** | (34,000) | | | (34,000) | (26,000) | | | (26,000) |
| 11 | GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES | (\$6,176) | \$45,645 | \$0 | (\$51,821) | \$239,000 | \$160,000 | \$0 | \$79,000 |

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 15-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 7 Other - income and expenditures related to concerts and performances in the performing arts center

NOTE: Line 10 Other Transfers - transfer from Bookstore revenue to E&G for maintenance, utilities, and student activities

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

ARKANSAS STATE UNIVERSITY - MOUNTAIN HOME
(NAME OF INSTITUTION)

| | | | | | | |
|---|-----|---------------------|---|------------------------|---|----------------------|
| TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2013-2014: | | | | | | 157 |
| (As of November 1, 2013) | | | | | | |
| Nonclassified Administrative Employees: | | | | | | |
| White Male: | 12 | Black Male: | 0 | Other Male: | 0 | Total Male: 12 |
| White Female: | 13 | Black Female: | 0 | Other Female: | 0 | Total Female: 13 |
| Nonclassified Health Care Employees: | | | | | | |
| White Male: | 0 | Black Male: | 0 | Other Male: | 0 | Total Male: 0 |
| White Female: | 0 | Black Female: | 0 | Other Female: | 0 | Total Female: 0 |
| Classified Employees: | | | | | | |
| White Male: | 18 | Black Male: | 0 | Other Male: | 0 | Total Male: 18 |
| White Female: | 26 | Black Female: | 0 | Other Female: | 1 | Total Female: 27 |
| Faculty: | | | | | | |
| White Male: | 31 | Black Male: | 0 | Other Male: | 0 | Total Male: 31 |
| White Female: | 53 | Black Female: | 0 | Other Female: | 3 | Total Female: 56 |
| Total White Male: | 61 | Total Black Male: | 0 | Total Other Male: | 0 | Total Male: 61 |
| Total White Female: | 92 | Total Black Female: | 0 | Total Other Female: | 4 | Total Female: 96 |
| Total White: | 153 | Total Black: | 0 | Total Other: | 4 | Total Employees: 157 |
| | | | | Total Minority: | 4 | |

FORM 15-6

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2014
 Required by A.C.A. 25-36-104

Institution ARKANSAS STATE UNIVERSITY - MOUNTAIN HOME

| Minority Business | Total Contract Awarded | Minority Type per A.C.A. 15-4-303 (2) | | | | | |
|-------------------|------------------------|---------------------------------------|-------------------|-----------------|----------------|---------------------------|------------------|
| | | African American | Hispanic American | American Indian | Asian American | Pacific Islander American | Disabled Veteran |
| N/A | | | | | | | |
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TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$1,071,552
 (Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

**DIVISION OF LEGISLATIVE AUDIT
AUDIT OF ARKANSAS STATE UNIVERSITY – MOUNTAIN HOME
June 30, 2013**

| | |
|-----------------|-------------------|
| <i>Finding:</i> | No Findings noted |
|-----------------|-------------------|

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ARKANSAS STATE UNIVERSITY - NEWPORT

Dr. Sandra Massey, Chancellor

ENABLING LAWS

Act 89 of 2014

INSTITUTION HISTORY AND ORGANIZATION

Introduction: Arkansas State University-Newport (ASUN) provides life-long learning opportunities, business and industry training, and economic development support to the Northeast Arkansas region. With its three campuses located in Newport, Jonesboro, and Marked Tree, ASUN is proud to serve the counties of Jackson, White, Woodruff, Lonoke, Craighead, Poinsett and Faulkner. The college strives to advance industry and aid economic development in the region by responding to industry needs in programming and training. The faculty and staff of ASU-Newport are dedicated to providing the highest quality technical and transfer programs, and support service to students.

History: Arkansas State University-Newport was founded as White River Vocational Technical School in 1976. In 1992, the school merged with ASU-Beebe to become ASU-Beebe/Newport. After gaining approval from the Arkansas Department of Higher Education, the institution in 2002 gained accreditation from the Higher Learning Commission and became a stand-alone campus of the Arkansas State University System. In December 2007, ASUN assumed responsibility for the Arkansas State University technical centers located in Jonesboro and Marked Tree, creating the three campus organization that is collectively known as ASU-Newport.

Through its three campuses, ASU-Newport provides quality and diverse educational opportunities. The college has the only High Voltage Lineman Technology program in the state. The state of the art laboratory facilities that have been constructed to support the students in this unique program are the only ones of its type in this region of the country. Another example of a program meeting industry-specific needs is the Hospitality Services and Advanced Manufacturing Technology programs on the ASUN Jonesboro campus. These programs provide high-tech education and training to students in an expanding sector of industry in the region. Through its alignment with the Arkansas Delta Training and Education Consortium (ADTEC), additional opportunities have been provided to students in emerging career fields such as Renewable Energy Technology and Surgical Technology. The college also provides quality online learning opportunities to provide the flexibility of students who require non-traditional methods to obtain a degree.

ASU-Newport offers general and technical certificates, certificates of proficiency, and associate degrees. From 2006 to

ARKANSAS STATE UNIVERSITY - NEWPORT

Dr. Sandra Massey, Chancellor

2010 the Full-Time Equivalent (FTE) enrollment at the college grew by 67% from 906 FTE students to 1,513 students. Total student headcount grew by 118% for the same time period. ASUN is proud to have ranked in consecutive years as one of the top three most successful two year colleges in graduation rates for first-time entering degree or certificate seeking students, out of the twenty-two two year colleges in the state. In Fall 2014, ASUN set an all-time record enrollment with a headcount of 2,494 students which was a growth rate of 22.3% over the previous Fall. The college now ranks 5th overall in headcount enrollment, up from an 8th place ranking the previous Fall.

Governance: ASU-Newport is governed by the Arkansas State University System board of trustees, which consists of five persons appointed by the Governor of the state. The members are as follows:

| Name | Term Expires |
|----------------------------|---------------------|
| Dan Pierce, Chair | 2015 |
| Charles Luter, Vice Chair | 2016 |
| Howard Slinkard, Secretary | 2017 |
| Ron Rhodes | 2018 |
| Dr. Tim Langford | 2019 |

Charles L. Welch, ASU System President

| Campus Administration | Title |
|------------------------------|--|
| Dr. Sandra Massey | Chancellor |
| Dr. Martha Shull | Interim Vice-Chancellor for Academic Affairs |
| Mr. Adam Adair | Vice-Chancellor for Fiscal Affairs |
| Dr. Jacque Faulkner | Vice-Chancellor for Student Affairs |
| Mr. Charles Appleby | Vice-Chancellor for Economic & Workforce Development |
| Mr. Jeff Bookout | Vice-Chancellor for Strategic Initiatives |
| Mr. Steven Furst | Chief Information Officer |
| Mr. Ike Wheeler | Dean for Institutional Advancement |

Mission Statement: Our Mission is to provide integrity of programs and services, affordable lifelong learning, and enhanced quality of life in the diverse community we serve.

ARKANSAS STATE UNIVERSITY - NEWPORT
Dr. Sandra Massey, Chancellor

Strategic Priority 1 – Student Success

Goal Statement:

Arkansas State University-Newport will aggressively demonstrate a strong commitment to student success in all areas of the organization.

1. We will create an integrated, intensive, and ongoing student-centric experience which leads to increased enrollment, retention and completion, and transfer and employment rates.
2. We will ensure the highest level of excellence of faculty and staff, through recruitment and an emphasis on employee retention and professional development; leading to improving the student experience, including engagement and learning.
3. We will provide high quality general education and training pathways which lead to family-supporting jobs.

Strategic Priority 2 – Institutional Excellence

Goal Statement:

Arkansas State University-Newport will actively demonstrate its commitment to the achievement of excellence by creating a culture of accountability and promoting an entrepreneurial spirit.

1. We will effectively communicate with stakeholders both internally and externally, promoting a positive image and increasing brand recognition.
2. We will ensure continuous improvement in all institutional operations guided by rigorous assessment and strengthened by accountability.
3. We will promote a culture of trust and transparency through accountability; emphasizing efficiency, stewardship and integrity that will lead to the recruitment and retention of high-quality employees.

Strategic Priority 3 – Community Engagement

Goal Statement:

Arkansas State University-Newport will assume a leading role in creating economic and cultural advancement for the communities we serve.

ARKANSAS STATE UNIVERSITY - NEWPORT
Dr. Sandra Massey, Chancellor

1. We will be a catalyst for economic development through responsiveness to industry needs leading to a greater economic strength in the Northeast Arkansas region.
2. We will actively engage external parties to obtain support that will strengthen the college and provide additional resources to enhance the student learning experience.
3. We will be highly visible in our communities to enact positive change and bring enhanced cultural opportunities to increase global awareness in our region.

Due to the need to increase individual course offerings and retention services to students, both on-campus and online, the college requests four Project/Program Specialist positions. Due to growth on our campuses, ASUN foresees the need to add staff to oversee operations and provide student support. In Fall 2014, student headcount at ASUN grew by 22.3%, which was the highest of any two-year college in the state. With the outstanding level of growth and program expansions, there is currently a limited number of professional staff to oversee fiscal and student operations. The flexibility of these positions will allow the college the ability to effectively manage growth and continue to provide a high level of service and retention support to students and to provide oversight to ensure the integrity of operations. Staff will be conservatively added in critical areas to best support the needs of the students on our growing campuses.

**INSTITUTION APPROPRIATION SUMMARY
2015-2017 BIENNIUM**

INSTITUTION ARKANSAS STATE UNIVERSITY - NEWPORT

| | APPROPRIATION | HISTORICAL DATA | | | | | | INSTITUTION REQUEST & AHECB RECOMMENDATION | | | | | | | |
|----|-----------------------------------|-----------------|-------|--------------|------|--------------|-----|--|------|--------------|------|--------------|------|--------------|------|
| | | 2013-2014 | | 2014-2015 | | 2014-2015 | | 2015-2016 | | | | 2016-2017 | | | |
| | | ACTUAL | POS | BUDGETED | POS | AUTHORIZED | POS | INST REQUEST | POS | AHECB REC | POS | INST REQUEST | POS | AHECB REC | POS |
| 1 | STATE TREASURY | 7,409,921 | | 7,395,954 | | 7,532,365 | | 7,965,354 | | 7,965,354 | | 8,204,315 | | 8,204,315 | |
| 2 | CASH | 7,944,862 | | 29,095,000 | | 29,095,000 | | 30,195,000 | | 30,195,000 | | 31,870,000 | | 31,870,000 | |
| 3 | | | | | | | | | | | | | | | |
| 4 | | | | | | | | | | | | | | | |
| 5 | | | | | | | | | | | | | | | |
| 6 | | | | | | | | | | | | | | | |
| 7 | | | | | | | | | | | | | | | |
| 8 | | | | | | | | | | | | | | | |
| 9 | | | | | | | | | | | | | | | |
| 10 | | | | | | | | | | | | | | | |
| 11 | TOTAL | \$15,354,783 | 233 | \$36,490,954 | 266 | \$36,627,365 | 305 | \$38,160,354 | 304 | \$38,160,354 | 304 | \$40,074,315 | 304 | \$40,074,315 | 304 |
| | FUNDING SOURCES | | % | | % | | | | % | | % | | % | | % |
| 12 | PRIOR YEAR FUND BALANCE* | 0 | 0.00% | 0 | 0% | | | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| 13 | GENERAL REVENUE | 5,992,293 | 39% | 5,992,293 | 16% | | | 6,561,693 | 17% | 6,561,693 | 17% | 6,800,654 | 17% | 6,800,654 | 17% |
| 14 | EDUCATIONAL EXCELLENCE TRUST FUND | 0 | 0% | 0 | 0% | | | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| 15 | WORKFORCE 2000 | 1,417,628 | 9% | 1,403,661 | 4% | | | 1,403,661 | 4% | 1,403,661 | 4% | 1,403,661 | 4% | 1,403,661 | 4% |
| 16 | CASH FUNDS | 5,518,816 | 36% | 26,495,000 | 73% | | | 27,495,000 | 72% | 27,495,000 | 72% | 29,120,000 | 73% | 29,120,000 | 73% |
| 17 | SPECIAL REVENUES | | | | | | | | | | | | | | |
| 18 | FEDERAL FUNDS | 2,426,046 | 16% | 2,600,000 | 7% | | | 2,700,000 | 7% | 2,700,000 | 7% | 2,750,000 | 7% | 2,750,000 | 7% |
| 19 | TOBACCO SETTLEMENT FUNDS | 0 | 0% | 0 | 0% | | | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| 20 | OTHER FUNDS | 0 | 0% | 0 | 0% | | | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| 21 | TOTAL INCOME | \$15,354,783 | 100% | \$36,490,954 | 100% | | | \$38,160,354 | 100% | \$38,160,354 | 100% | \$40,074,315 | 100% | \$40,074,315 | 100% |
| 22 | EXCESS (FUNDING)/APPROPRIATION | \$0 | | \$0 | | | | \$0 | | \$0 | | \$0 | | \$0 | |

| | |
|--|-------------|
| UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2014: | \$2,713,128 |
| LESS RESERVES FOR: | |
| ACCOUNTS RECEIVABLE | \$902,410 |
| INVENTORIES | \$0 |
| YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES | \$0 |
| INSURANCE DEDUCTIBLES | \$150,000 |
| MAJOR CRITICAL SYSTEMS FAILURES | \$200,000 |
| 60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES) | \$1,800,000 |
| RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.) | \$0 |
| OTHER (FOOTNOTE BELOW) | |
| UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE | (\$339,282) |

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2015-2017 BIENNIUM**

FUND CMN0000

INSTITUTION ARKANSAS STATE UNIVERSITY - NEWPORT

APPROPRIATION 790

| | DESCRIPTION | ACTUAL 2013-2014 | BUDGETED 2014-2015 | AUTHORIZED APPROPRIATION 2014-2015 | INSTITUTIONAL REQUEST / AHECB RECOMMENDATION | | LEGISLATIVE RECOMMENDATION | |
|----|-----------------------------------|---------------------|-----------------------|--|---|-------------|----------------------------|-----------|
| | | | | | 2015-2016 | 2016-2017 | 2015-2016 | 2016-2017 |
| 1 | REGULAR SALARIES | 4,772,293 | 4,450,000 | 4,450,000 | 4,900,000 | 5,000,000 | | |
| 2 | EXTRA HELP WAGES | 162,628 | 45,000 | 45,000 | 150,000 | 160,000 | | |
| 3 | OVERTIME | | | | | | | |
| 4 | PERSONAL SERVICES MATCHING | 1,199,153 | 1,188,589 | 1,325,000 | 1,200,000 | 1,300,000 | | |
| 5 | OPERATING EXPENSES | 1,250,000 | 1,687,365 | 1,687,365 | 1,690,354 | 1,719,315 | | |
| 6 | CONFERENCE FEES & TRAVEL | 0 | 0 | 0 | 0 | 0 | | |
| 7 | PROFESSIONAL FEES AND SERVICES | 0 | 0 | 0 | 0 | 0 | | |
| 8 | CAPITAL OUTLAY | 0 | 0 | 0 | 0 | 0 | | |
| 9 | FUNDED DEPRECIATION | 25,000 | 25,000 | 25,000 | 25,000 | 25,000 | | |
| 10 | WORKERS COMP/SURETY PREMIUM | 847 | | | | | | |
| 11 | | | | | | | | |
| 12 | | | | | | | | |
| 13 | TOTAL APPROPRIATION | \$7,409,921 | \$7,395,954 | \$7,532,365 | \$7,965,354 | \$8,204,315 | \$0 | \$0 |
| 14 | PRIOR YEAR FUND BALANCE** | | | | | | | |
| 15 | GENERAL REVENUE | 5,992,293 | 5,992,293 | | 6,561,693 | 6,800,654 | | |
| 16 | EDUCATIONAL EXCELLENCE TRUST FUND | | | | | | | |
| 17 | SPECIAL REVENUES * [WF2000] | 1,417,628 | 1,403,661 | | 1,403,661 | 1,403,661 | | |
| 18 | FEDERAL FUNDS IN STATE TREASURY | | | | | | | |
| 19 | TOBACCO SETTLEMENT FUNDS | | | | | | | |
| 20 | OTHER STATE TREASURY FUNDS | | | | | | | |
| 21 | TOTAL INCOME | \$7,409,921 | \$7,395,954 | | \$7,965,354 | \$8,204,315 | \$0 | \$0 |
| 22 | EXCESS (FUNDING)/APPROPRIATION | \$0 | \$0 | | \$0 | \$0 | \$0 | \$0 |

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS
2015-2017 BIENNIUM**

FUND 2290000

INSTITUTION ARKANSAS STATE UNIVERSITY - NEWPORT

APPROPRIATION B77

| | DESCRIPTION | ACTUAL 2013-2014 | BUDGETED 2014-2015 | AUTHORIZED APPROPRIATION 2014-2015 | INSTITUTIONAL REQUEST / AHECB RECOMMENDATION | | LEGISLATIVE RECOMMENDATION | |
|----|---|---------------------|-----------------------|--|---|--------------|----------------------------|-----------|
| | | | | | 2015-2016 | 2016-2017 | 2015-2016 | 2016-2017 |
| 1 | REGULAR SALARIES | 2,625,136 | 7,650,000 | 7,650,000 | 7,775,000 | 8,125,000 | | |
| 2 | EXTRA HELP WAGES | 77,854 | 375,000 | 375,000 | 375,000 | 375,000 | | |
| 3 | OVERTIME | | | | | | | |
| 4 | PERSONAL SERVICES MATCHING | 121,884 | 2,400,000 | 2,400,000 | 2,475,000 | 2,530,000 | | |
| 5 | OPERATING EXPENSES | 3,550,712 | 4,950,000 | 4,950,000 | 5,100,000 | 5,200,000 | | |
| 6 | CONFERENCE FEES & TRAVEL | 129,274 | 285,000 | 285,000 | 285,000 | 290,000 | | |
| 7 | PROFESSIONAL FEES AND SERVICES | 31,926 | 1,350,000 | 1,350,000 | 1,350,000 | 1,350,000 | | |
| 8 | CAPITAL OUTLAY | 394,345 | 2,750,000 | 2,750,000 | 2,900,000 | 3,100,000 | | |
| 9 | CAPITAL IMPROVEMENTS | 380,696 | 6,500,000 | 6,500,000 | 7,500,000 | 8,500,000 | | |
| 10 | DEBT SERVICE | 560,885 | 800,000 | 800,000 | 875,000 | 925,000 | | |
| 11 | FUND TRANSFERS, REFUNDS AND INVESTMENTS | 47,198 | 2,000,000 | 2,000,000 | 1,500,000 | 1,400,000 | | |
| 12 | PROMOTIONAL ITEMS | 24,951 | 35,000 | 35,000 | 60,000 | 75,000 | | |
| 13 | | | | | | | | |
| 14 | | | | | | | | |
| 15 | | | | | | | | |
| 16 | TOTAL APPROPRIATION | \$7,944,862 | \$29,095,000 | \$29,095,000 | \$30,195,000 | \$31,870,000 | \$0 | \$0 |
| 17 | PRIOR YEAR FUND BALANCE*** | | | | | | | |
| 18 | TUITION AND MANDATORY FEES | 5,046,434 | 4,642,124 | | 4,700,000 | 4,800,000 | | |
| 19 | ALL OTHER FEES | 100,441 | 60,821 | | 75,000 | 90,000 | | |
| 20 | SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS | | | | | | | |
| 21 | INVESTMENT INCOME | 23,175 | 50,000 | | 40,000 | 40,000 | | |
| 22 | FEDERAL CASH FUNDS | 2,426,046 | 2,600,000 | | 2,700,000 | 2,750,000 | | |
| 23 | OTHER CASH FUNDS | 348,766 | 21,742,055 | | 22,680,000 | 24,190,000 | | |
| 24 | TOTAL INCOME | \$7,944,862 | \$29,095,000 | | \$30,195,000 | \$31,870,000 | \$0 | \$0 |
| 25 | EXCESS (FUNDING)/APPROPRIATION | \$0 | \$0 | | \$0 | \$0 | \$0 | \$0 |

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

| | ACTUAL 2013-2014 | BUDGETED 2014-2015 | AUTHORIZED 2014-2015 | INSTITUTIONAL REQUEST | AHECB RECOMMEND | LEGISLATIVE RECOMMENDATION |
|-------------------|---------------------|-----------------------|-------------------------|--------------------------|--------------------|----------------------------|
| | | | | 2015-2017 | 2015-2017 | 2015-2017 |
| REGULAR POSITIONS | 233 | 266 | 305 | 304 | 304 | |
| TOBACCO POSITIONS | | | | | | |
| EXTRA HELP ** | 30 | 60 | 60 | 60 | 60 | |

FORM 15-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

ARKANSAS STATE UNIVERSITY - NEWPORT
(NAME OF INSTITUTION)

| | ACTIVITY | ACTUAL 2013-2014 | | | | BUDGETED 2014-2015 | | | |
|----|--|---------------------|-----------------------|-----------------|---------------|-----------------------|-----------------------|-----------------|---------------|
| | | INCOME | OPERATING EXPENSES | DEBT SERVICE | NET INCOME | INCOME | OPERATING EXPENSES | DEBT SERVICE | NET INCOME |
| 1 | INTERCOLLEGIATE ATHLETICS * | | | | 0 | | | | 0 |
| 2 | HOUSING | | | | 0 | | | | 0 |
| 3 | FOOD SERVICES | | 31,193 | | (31,193) | 61,000 | 50,000 | | 11,000 |
| 4 | STUDENT UNION | | | | 0 | | | | 0 |
| 5 | BOOKSTORE | 51,130 | | | 51,130 | 50,000 | | | 50,000 |
| 6 | STUDENT ORGANIZATIONS AND PUBLICATIONS | | 34,836 | | (34,836) | | 45,000 | | (45,000) |
| 7 | OTHER | 12,127 | | | 12,127 | 9,000 | | | 9,000 |
| 8 | SUBTOTAL | \$63,257 | \$66,029 | \$0 | (\$2,772) | \$120,000 | \$95,000 | \$0 | \$25,000 |
| 9 | ATHLETIC TRANSFER ** | | | | 0 | | | | 0 |
| 10 | OTHER TRANSFERS *** | | 9,092 | | (9,092) | | | | 0 |
| 11 | GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES | \$63,257 | \$75,121 | \$0 | (\$11,864) | \$120,000 | \$95,000 | \$0 | \$25,000 |

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 15-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 7 Other - income is from vending commissions

NOTE: Line 10 Other Transfers - includes disposal of obsolete auxiliary inventory

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

ARKANSAS STATE UNIVERSITY - NEWPORT
(NAME OF INSTITUTION)

| | | | | | | |
|---|------------|---------------------|----------|------------------------|-----------|-----------------------------|
| TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2013-2014: | | | | | | <u>199</u> |
| (As of November 1, 2013) | | | | | | |
| Nonclassified Administrative Employees: | | | | | | |
| White Male: | <u>16</u> | Black Male: | <u>0</u> | Other Male: | <u>1</u> | Total Male: <u>17</u> |
| White Female: | <u>16</u> | Black Female: | <u>1</u> | Other Female: | <u>0</u> | Total Female: <u>17</u> |
| Nonclassified Health Care Employees: | | | | | | |
| White Male: | <u>0</u> | Black Male: | <u>0</u> | Other Male: | <u>0</u> | Total Male: <u>0</u> |
| White Female: | <u>0</u> | Black Female: | <u>0</u> | Other Female: | <u>0</u> | Total Female: <u>0</u> |
| Classified Employees: | | | | | | |
| White Male: | <u>14</u> | Black Male: | <u>2</u> | Other Male: | <u>0</u> | Total Male: <u>16</u> |
| White Female: | <u>32</u> | Black Female: | <u>2</u> | Other Female: | <u>0</u> | Total Female: <u>34</u> |
| Faculty: | | | | | | |
| White Male: | <u>49</u> | Black Male: | <u>0</u> | Other Male: | <u>1</u> | Total Male: <u>50</u> |
| White Female: | <u>60</u> | Black Female: | <u>4</u> | Other Female: | <u>1</u> | Total Female: <u>65</u> |
| Total White Male: | <u>79</u> | Total Black Male: | <u>2</u> | Total Other Male: | <u>2</u> | Total Male: <u>83</u> |
| Total White Female: | <u>108</u> | Total Black Female: | <u>7</u> | Total Other Female: | <u>1</u> | Total Female: <u>116</u> |
| Total White: | <u>187</u> | Total Black: | <u>9</u> | Total Other: | <u>3</u> | Total Employees: <u>199</u> |
| | | | | Total Minority: | <u>12</u> | |

FORM 15-6

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2014
 Required by A.C.A. 25-36-104

Institution ARKANSAS STATE UNIVERSITY - NEWPORT

| Minority Business | Total Contract Awarded | Minority Type per A.C.A. 15-4-303 (2) | | | | | |
|-------------------|------------------------|---------------------------------------|-------------------|-----------------|----------------|---------------------------|------------------|
| | | African American | Hispanic American | American Indian | Asian American | Pacific Islander American | Disabled Veteran |
| N/A | | | | | | | |
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TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$1,745,571
 (Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

**DIVISION OF LEGISLATIVE AUDIT
AUDIT OF ARKANSAS STATE UNIVERSITY - NEWPORT
June 30, 2013**

| | |
|-----------------|-------------------|
| <i>Finding:</i> | No Findings noted |
|-----------------|-------------------|

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BLACK RIVER TECHNICAL COLLEGE

Dr. Eric Turner, President

ENABLING LAWS

Act 328 of 1957; A.C.A. 6-53-301; Act 224 of 2014

Black River Vocational Technical School began as a component unit of the State of Arkansas on February 26, 1973, under the provisions of Act 328 of 1957 which created the State Board of Vocational Education for the purpose of establishing in various sections of Arkansas secondary level high schools specializing in vocational technical training.

Effective July 1, 1991, under the provisions of Ark. Code Ann 6-53-301, the school's name was changed to Black River Technical College and in 1993 became an independent institution of higher education under the jurisdiction of the Arkansas Higher Education Coordinating Board.

Act 224 of the State of Arkansas 89th General Assembly, Fiscal Session, 2014, provided appropriations for Black River Technical College for the fiscal year 2014-2015 to include a maximum of 307 faculty and staff positions, 70 temporary or part time employees, State appropriations of \$9,035,300, and appropriated Cash Funds of \$44,603,000.

INSTITUTION HISTORY AND ORGANIZATION

The idea to provide vocational and technical training to enhance and enrich the lives of students of Randolph County, Arkansas began in 1972 with land donated by the City of Pocahontas on which it was planned to build a school to serve this educational purpose. On February 26, 1973, the Black River Vocational Technical School became a reality under the provisions of Act 328 of 1957 with an initial enrollment of 38 students in six programs. In 1991, the school's name was changed to Black River Technical College (BRTC) and now serves more than 3,000 students in 32 certificate programs and 14 associate degree programs, along with a strong community education program. The original 46,775 square foot campus, situated on 44 acres, has grown to 308,578 square feet with a total of 24 buildings on 100 acres. On June 7, 2013, the Board of BRTC approved a resolution to pursue funding to construct a new Health Science Complex. On August 28, 2014, the project became a reality with the long anticipated groundbreaking for the 41,656 square foot facility

that will house the nursing and science programs. BRTC not only serves a growing population with the addition of a second campus located in Paragould, Arkansas, but also provides training for Arkansas' law enforcement community at the Law Enforcement Training Academy on the Pocahontas campus, and the Fire Science Training Academy at the

BLACK RIVER TECHNICAL COLLEGE

Dr. Eric Turner, President

Walnut Ridge facility.

The College is governed by a seven-member Board of Trustees appointed by the Governor of Arkansas. The College is accredited by the Higher Learning Commission of the North Central Association of Colleges and Schools.

MISSION STATEMENT

The mission statement for Black River Technical College, “**Blending tradition, technology and innovation to educate today’s diverse students for tomorrow’s changing world,**” resolves to encompass the vision of the founders to enhance and enrich the lives of those students whom the College serves. To augment the full meaning of the mission statement, BRTC has adopted the slogan **Bridging Resources, Technology, and Challenges...One Graduate at a Time.**

BRTC has implemented the following priorities to ensure that the mission statement is a fluid proclamation moving the College forward in its endeavors of enriching the lives of those it serves:

Priority 1: Create an environment to increase the likelihood that BRTC students will complete certification and degrees.

Priority 2: Emphasize quality in the academic rigor of all credit and non-credit courses and in all programs of study and workforce training.

Priority 3: Develop opportunities for all students to develop intellectual skills demanded by the knowledge economy.

Priority 4: Adopt policies and structures that lead to excellence in teaching and learning.

Priority 5: Create a culture that demonstrates that the college values diversity and globalization.

Priority 6: Serve as a catalyst for economic development in the college’s identified communities.

Priority 7: Establish and nurture community partnerships that lead to expanded human and physical resources for BRTC’s students and staff.

Priority 8: Provide, maintain and upgrade facilities and infrastructure and staff that enable the college to fulfill its goals.

Priority 9: Support expansion of technologically mediated learning opportunities that are academically sound.

Priority 10: Nurture relationships that increase access and lead to a seamless educational path across the diverse student spectrum.

BLACK RIVER TECHNICAL COLLEGE

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NEW OR EXPANDED PROGRAMS & DEPARTMENTAL SERVICES

BRTC-PARAGOULD SITE

BRTC first opened its 22,000 ft. academic complex at the BRTC Paragould site in the fall of 2009. Enrollment numbers have consistently remained strong. The increased enrollment trend at the BRTC Paragould campus points clearly to a need for expanded facilities and staffing in response to student enrollment which is growing at a faster pace than on the main campus. Current scheduling is at maximum capacity, creating one of the College's more pressing staffing needs. Classes are scheduled from 7:00 a.m. until 10:00 p.m. Monday through Thursday, 7:00 a.m. until 4:30 p.m. on Fridays, and 8:00 a.m. until 2:00 p.m. on Saturdays. In addition, Paragould's growth has resulted in the hiring of three full-time faculty members in math, science and English. After much research on current room utilization, a new Student Services department was carved out of a classroom and the old Student Services department now houses the CVN classroom designed for distance education classes. The campus acquired a 3000+ square foot facility immediately adjacent to the campus to use as a Math Learning Center. This new center addressed the additional instructional space which became necessary after BRTC, through the PACE Grant, implemented the process for reducing the time-to completion for students requiring remedial math.

Despite all of the progress made at the school, many needs must still be met. With the addition of the Math Learning Center, positions in the maintenance/housekeeping department were reassessed resulting in the hiring of one additional housekeeping staff. A library and food service department is still needed as well as a student center adequate for the meeting needs of the students and student clubs. In regard to community education, the Paragould Campus continues to offer custom-designed classes for local industry consumption while also offering continuing education classes for the community. All of this work is carefully monitored as BRTC continues to give students multiple opportunities to evaluate course rigor and content, faculty accessibility and professionalism, and institutional attention to meeting students' needs.

LEARNING CENTER

BRTC has added a Learning Center to assist students with tutoring needs, testing station, and study rooms to assist students and aid in retention efforts. The Learning Center was created by remodeling a seldom used classroom and is supervised by a coordinator that is also involved in our early alert program. We expect these endeavors to positively

BLACK RIVER TECHNICAL COLLEGE
Dr. Eric Turner, President

affect retention.

TECHNICAL CERTIFICATE IN WEB DESIGN

BRTC has added one technical certificate program: Technical Certificate in Web Design. It is anticipated that this new program will increase program offerings in the Business Technology department and also provide an additional STEM option for the College.

DISTANCE EDUCATION

In response to the growing demand for online courses, BRTC has moved proactively to increase the number and selection of classes available through Distance Education (DE), with a significant increase in classes and programs available totally online. Accordingly, an additional fulltime staff member has been added in support of online classes at our Paragould site. These individuals provide training for students enrolling in online classes and for faculty who are developing courses to be offered online and in the hybrid setting. BRTC currently offers many DE programs (a program of study in which at least 50% of the course content is delivered electronically): AA, AAT, Accounting Assistant Certificate, Administrative Services Certificate, Microcomputer Business Applications Certificate, Microcomputer Repair Technician Certificate, AAS in Criminal Justice, Health Professions Certificate, AAS in Business Technology and AAS in Nursing. Homeland Security is the first wholly online program offered at BRTC. Additionally, the AA can be completed totally online. Additional DE programs are in various stages of development as additional instructors are trained.

In the spring of 2014, BRTC completed a media room fully equipped to allow enhancement of online classes as we move to the next phase of Distance Education.

CHANGES IN PROGRAMS

BRTC's Associate of Arts in Teaching (AAT) has currently been suspended until ADHE completes the update of the program. BRTC plans to revitalize this program once updates are made and four year institutions have made relevant adjustments to degree plans.

HEALTH PROFESSIONS

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Dr. Eric Turner, President

The college has experienced several instances of programmatic growth/expansion in the health-related professions:

- The RN program, functioning as a member of a consortium of eight two-year colleges (ARNEC) and has been approved to increase the seat capacity to 48 students on an annual basis.
- The Arkansas State Board of Nursing has granted continued permission to BRTC's Practical Nursing program which admits a new Fall/Spring class each semester of up to 86 total practical nursing students enrolled in the three-semester program.
- The AAS in Health Professions continues to facilitate a degree option to students interested in nursing or respiratory while completing their pre-professional requirements.
- The College has added the position of Division Chair for Allied Health to facilitate coordination and expansion plans of Allied Health program offerings.
- A new Health Science complex is under construction which will provide for expanded classroom space and clinical lab space with more emphasis to be given to simulation training.

AREA OF EXCELLENCE

The College has emphasized nursing as a primary Area of Excellence. Both RN and PN graduates have shown the nursing program to be an in-demand, exemplary field of study at BRTC. Nursing staff selected the 40 new RN students for the 2013 class from 65 qualified applicants and the 40 for the 2014 class were selected from a pool of 85 qualified applicants. A total of 24 PN students were selected from a pool of 73 applicants for spring 2013; 24 PN students were selected from a pool of 81 for fall 2014.

PROGRAM AWARDS

Pass rates among the RN and PN graduates have been exceptional: RN pass rates (ARNEC consortium): 2012 were 85%; 2013 were 92.2%; and 2014 were 86.7%. PN pass rates from July 2012 to June 2013 were 94.7%; from July 2013

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to June 2014 were 100%.

REALLOCATION OR TARGETED FUNDING

With the ongoing and projected growing demand for nurses in Arkansas and throughout the country, BRTC has made a commitment to this Area of Excellence allowing program growth while the institution directs financial support to enhance and upgrade the physical and human resources required for continued excellence in the training of nurses.

Specifically, BRTC has directed the bulk of institutional grant funds from the Economic Incentive Grant and from the Perkins grant to this Area of Excellence. These grants have made possible the hiring of additional nursing staff, including a clinical lab facilitator to assist in student skills check-off training; the modification of the nursing facility, converting the lab to a well-equipped clinical simulations lab; and to purchase technologically sophisticated equipment, including Sim Man and Sim Baby. In addition, a Resource Assistant for Program Completion has also been added with grant funding to help increase retention and completion of technical students with emphasis given to the Health Professions track. BRTC chose to fund the position of Clinical Lab Simulator and Clinical Coordinator due to the success demonstrated by both positions during the initial three years of funding provided by the Carl Perkins grant funds.

BRTC continues to seek additional grant funding to increase the availability of state of the art equipment.

CULTURAL HERITAGE—PROJECT “REACH” (Researching Early Arkansas Cultural Heritage)

BRTC has completed the construction phases of restoration of two historic structures, the Rice Upshaw House (ca. 1828), the William Looney Tavern (ca. 1833), and related auxiliary buildings: a granary and smokehouse at the Rice Upshaw site. These territorial-era log structures located in northwestern Randolph County in the Eleven Point River Valley are among the states’ oldest. Restoration, along with related architectural, archeological, and historical research, was funded by approximately \$1.8 million in grant funds awarded over a multi-year period by Arkansas Natural and Cultural Resources Center.

The sites are open on specified weekends during the months of April through October. In addition to their value as a source of heritage tourism, they serve as educational labs for BRTC students, for public schools students from throughout the region, for educators at all levels, and for graduate students and scholars pursuing research opportunities.

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A team of BRTC staff members submitted and were selected as participants in an NEH/CCHA grant program, “Bridging Cultures,” based on the REACH project. Through this program, the team developed a module on REACH for integration into the college’s Arkansas and American History courses.

In addition, the sites are were utilized in fall 2012 for a series of AESP (Arkansas Energy Sector Partnership), funded Green Construction Workshops. The series of workshops included instruction in the use of traditional tools and training in such skills as application of chinking and daubing, battens, construction of paling fencing and stacked fencing, construction of primitive furniture, and using renewable resources.

BRTC was awarded two grants from Arkansas Natural and Cultural Resources Center for site development and interpretation. The first was awarded in May 2013 for fiscal year 2014 in the amount of \$250,000 for the construction of a pavilion and interpretive panel planning at the Looney Tavern. The second was awarded in May 2014 for fiscal year 2015. This grant, in the sum of \$140,000, will be used to enhance the sites and utilize archeology to locate the original homesteads.

REACH Project M & O needs are currently funded through a \$35,000 annual budget, through donations, and through the sale of REACH-related memorabilia. Future needs include lighting and security camera installations at the Looney Tavern site; the Rice House has lighting and security camera installed. In addition, the college hired 2 part-time workers to assist with REACH visitors, one hired in spring 2014 and one in fall 2014.

STUDENT AFFAIRS

In an effort to better serve the BRTC student population, several Student Affairs focus areas were revamped with the hiring of a new Vice President of Student Affairs in the spring of 2014, including Recruitment and Admissions and Student Advising and Support. Changes were also addressed in the student orientation process.

In the area of Recruitment and Admissions, a new sequence of recruitment and advising/registration events were introduced that support students in their transition to the College. These included extensive campus group and individual tours, an evening Campus Preview event, and a total of 12 advising and registration sessions for the Pocahontas and

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Paragould sites. These events allowed students to work closely with faculty and staff advisors. In addition, students learned to become comfortable with the Campus Connect software system.

Student Advising and Support were improved by getting the faculty more involved upfront in the collegiate experience of the students. Newly created academic resource binders were given to faculty at an in-service training prior to the start of the semester. The Early Alert program was expanded providing an important opportunity for faculty to pass along early information concerning students who have attendance issues or low grades and are in need of tutoring. A Behavioral Action Team (BAT) now meets regularly on both campuses to support faculty dealing with difficult student situations. The BAT develops an integrated plan of support for students who are dealing with financial and personal issues. This plan goes beyond the scope of referrals typically sent through an early alert process. In addition, the College uses BRTC Career Connections, software program that assists students with employment searches and resume development and allows employers to post positions and search on-line for qualified student applicants.

Both campuses held comprehensive, full-day orientation programs in fall of 2014. Welcome Week extended the orientation process through the first two weeks of the semester with social and educational events designed to bond students with the campuses.

WORKFORCE DEVELOPMENT

The College takes its workforce development role very seriously. Several initiatives, at various stages of development and implementation, are underway. These include partnerships with the emerging poultry industry and K12 schools in the service area.

Specifically, the BRTC Community and Corporate Education division is diligently working with PECO foods to develop and provide customized training for managerial staff, industrial maintenance personnel, and industrial electrician personnel. These programs are scheduled to be piloted with the PECO staff beginning in the second quarter of 2015. BRTC has also partnered with the K12 schools and local industry in Greene County to provide workforce readiness training for graduating high school seniors. This program is designed to teach soft skills to students who are opting to enter the workforce upon graduation. The curriculum is delivered on the BRTC Paragould campus during the first semester of the academic year; during the second semester, industry leaders visit with the students to provide additional information about manufacturing and healthcare related careers. These visits with industry leaders and human resource professionals are accompanied by field visits to the respective manufacturing and healthcare facilities.

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PROGRAM GOALS

Credit Instruction

- To offer Freshman and Sophomore college transfer courses that are equivalent to and aligned with those in the four-year institutions without loss of credit to transferring students;
- To provide student advisement for college transfer students so that students transfer without loss of or unnecessary accumulation of credit hours
- To continuously monitor and improve student learning through systematic assessment of student outcomes in Gen Ed and Technical Ed courses
- To continue to seek opportunities for growth with emphasis on the Health Professions
- To meet the growing demands for instruction delivered via Distance Education, while monitoring adequacy of technological infrastructure, faculty and student capabilities, and quality of each online course;

- To monitor and assess the persistence and success rates of students enrolled in developmental courses and to explore models of alternative delivery of developmental education;
- To seek additional opportunities for consortia partnerships with other institutions to enhance and expand programs of study in a cost-effective way;
- To develop additional programs of study linking secondary-postsecondary students in a seamless, non-duplicative process;
- To seek to increase the concurrent enrollment options for area high school students;
- To support the integration of authentic learning opportunities such as those in Project REACH into academic courses and programs.

Non-Credit Instruction

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- To support the development of entrepreneurial training through a wide array of programs and services;
- To assist in the multi-county Intermodal effort to recruit business and industry to this region;
- To raise awareness of training programs and grant-funded training options in our service area counties;
- To respond quickly and efficiently to the retraining of dislocated workers;
- To bridge the generational technological divide through technology classes in and for the community;
- To tap into the college's human resource pool to provide expanded lifelong learning opportunities through on-demand classes and activities;
- To expand the scope of the annual "Kids' College" to include specialty education programs for youth in such areas as Spanish, Computer Technology, Environmental Studies, Creative Writing, Art, and Economics;
- To enhance the community's global awareness through special presentations.

Student Support Services

- To provide financial aid information through print, online, and face-to-face sessions with current and/or prospective students and their parents;
- To work with the Office of Development in locating and developing privately-funded scholarship opportunities;
- To monitor and report student retention and completion rates;
- To provide student advisement so that students who transfer do so without loss of or accumulation of unnecessary credit hours;
- To assist in job placement;
- To administer CPI so that eligible student participants receive appropriate benefits, and to monitor the effectiveness of CPI with regards to student persistence and success;
- To continuously monitor the process of enrollment and registration to ensure student-friendly policies.

Development

- To seek and develop funding streams through

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- Annual Growing Strong campaign
- Annual Foundation Gala
- Annual Golf Tournament
- Private or publicly-funded grants
- Community partnerships
- Planned giving campaign
- Capital campaign (when appropriate)
- To strategically develop and grow the BRTC Foundation Board;
- To develop strong community ties through endorsing the engagement of human resources and appropriate use of physical and other resources by members of the community;
- To nurture and grow the Alumni Association;
- To create a strong PR presence and tell the college's story in the community through print, digital, broadcast, and other media;
- To plan and implement special events or functions in support of institutional advancement;
- To support all college departments through appropriate print, video, photographic and digital products;
- To unify the appearance of all BRTC-related signage, print and digital materials, and campus aesthetics;
- To actively recruit new students.

GENERAL REVENUE REQUEST:

Black River Technical College is a formula-driven entity and did not make a request for general revenue. The increase in general revenue funding is a result of the recommendation made by the Arkansas Higher Education Coordinating Board. Increases in the funding will be used for salaries and related fringe benefits as increased student enrollment necessitates additional faculty in all general education disciplines.

CASH APPROPRIATION REQUEST:

BLACK RIVER TECHNICAL COLLEGE
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Cash fund appropriation increases will cover expenses associated with growth including new faculty and staff and related personal services matching; salary increases and related personal services matching for current faculty and staff and required operational needs, travel and professional development; and capital outlay and improvements to maintain program and facility integrity.

PERSONAL SERVICES REQUEST:

The College is requesting the following personnel changes:

A total of five additional classified positions: one HEI Program Coordinator for the Resource Assistant for Program Completion to assist with increasing retention and completion of programs across campus (this position has been funded by Carl Perkins funds over the past three years and has helped improve retention and completion in the technical division); one Computer Support Technician for the computer services area which has remained moderately staffed yet the use of technology continues to increase for all departments and student programs; two Administrative Specialist positions due to the growth over the last two decades the need for this additional level of administrative staffing has become necessary to effectively carry out the administrative and clerical functions of the College; and one Skilled Tradesman to help maintain the College facilities and physical plant in the skilled area of electrical.

One deletion is requested: Institutional Services Supervisor. This is a classified position that was originally used for the night shift and is not currently needed.

**INSTITUTION APPROPRIATION SUMMARY
2015-2017 BIENNIUM**

INSTITUTION BLACK RIVER TECHNICAL COLLEGE

| | APPROPRIATION | HISTORICAL DATA | | | | | | INSTITUTION REQUEST & AHECB RECOMMENDATION | | | | | | | |
|----|-----------------------------------|-----------------|-------|--------------|------|--------------|-----|--|------|--------------|------|--------------|------|--------------|------|
| | | 2013-2014 | | 2014-2015 | | 2014-2015 | | 2015-2016 | | | | 2016-2017 | | | |
| | | ACTUAL | POS | BUDGETED | POS | AUTHORIZED | POS | INST REQUEST | POS | AHECB REC | POS | INST REQUEST | POS | AHECB REC | POS |
| 1 | STATE TREASURY | 8,385,305 | | 8,336,604 | | 9,035,300 | | 8,630,366 | | 8,630,366 | | 8,889,277 | | 8,889,277 | |
| 2 | CASH | 9,199,269 | | 44,603,000 | | 44,603,000 | | 50,544,200 | | 50,544,200 | | 64,985,400 | | 64,985,400 | |
| 3 | | | | | | | | | | | | | | | |
| 4 | | | | | | | | | | | | | | | |
| 5 | | | | | | | | | | | | | | | |
| 6 | | | | | | | | | | | | | | | |
| 7 | | | | | | | | | | | | | | | |
| 8 | | | | | | | | | | | | | | | |
| 9 | | | | | | | | | | | | | | | |
| 10 | | | | | | | | | | | | | | | |
| 11 | TOTAL | \$17,584,574 | 277 | \$52,939,604 | 273 | \$53,638,300 | 307 | \$59,174,566 | 311 | \$59,174,566 | 311 | \$73,874,677 | 311 | \$73,874,677 | 311 |
| | FUNDING SOURCES | | % | | % | | | | % | | % | | % | | % |
| 12 | PRIOR YEAR FUND BALANCE* | 0 | 0.00% | 0 | 0% | | | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| 13 | GENERAL REVENUE | 6,113,516 | 35% | 6,113,516 | 12% | | | 6,407,278 | 11% | 6,407,278 | 11% | 6,666,189 | 9% | 6,666,189 | 9% |
| 14 | EDUCATIONAL EXCELLENCE TRUST FUND | 0 | 0% | 0 | 0% | | | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| 15 | WORKFORCE 2000 | 2,245,209 | 13% | 2,223,088 | 4% | | | 2,223,088 | 4% | 2,223,088 | 4% | 2,223,088 | 3% | 2,223,088 | 3% |
| 16 | CASH FUNDS | 9,199,269 | 52% | 44,603,000 | 84% | | | 50,544,200 | 85% | 50,544,200 | 85% | 64,985,400 | 88% | 64,985,400 | 88% |
| 17 | SPECIAL REVENUES | | 0% | | 0% | | | | 0% | | 0% | | 0% | | 0% |
| 18 | FEDERAL FUNDS | 0 | 0% | 0 | 0% | | | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| 19 | TOBACCO SETTLEMENT FUNDS | 0 | 0% | 0 | 0% | | | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| 20 | OTHER FUNDS | 26,580 | 0% | 0 | 0% | | | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| 21 | TOTAL INCOME | \$17,584,574 | 100% | \$52,939,604 | 100% | | | \$59,174,566 | 100% | \$59,174,566 | 100% | \$73,874,677 | 100% | \$73,874,677 | 100% |
| 22 | EXCESS (FUNDING)/APPROPRIATION | \$0 | | \$0 | | | | \$0 | | \$0 | | \$0 | | \$0 | |

| | |
|--|---------------|
| UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2014: | \$3,860,803 |
| LESS RESERVES FOR: | |
| ACCOUNTS RECEIVABLE | \$503,441 |
| INVENTORIES | \$427,181 |
| YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES | |
| INSURANCE DEDUCTIBLES | \$100,000 |
| MAJOR CRITICAL SYSTEMS FAILURES | \$500,000 |
| 60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES) | \$1,832,739 |
| RESERVE FOR SPECIFIC ITEM(SOFTWARE, EQUIPMENT, ETC.) | \$750,000 |
| OTHER (FOOTNOTE BELOW) | \$818,764 |
| UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE | (\$1,071,322) |

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.
OTHER Footnote: Unfunded OPEB obligation.

**APPROPRIATION ACT FORM - STATE TREASURY
2015-2017 BIENNIUM**

FUND CTB0000

INSTITUTION BLACK RIVER TECHNICAL COLLEGE

APPROPRIATION 703

| | DESCRIPTION | ACTUAL 2013-2014 | BUDGETED 2014-2015 | AUTHORIZED APPROPRIATION 2014-2015 | INSTITUTIONAL REQUEST / AHECB RECOMMENDATION | | LEGISLATIVE RECOMMENDATION | |
|----|-----------------------------------|---------------------|-----------------------|--|---|-------------|----------------------------|-----------|
| | | | | | 2015-2016 | 2016-2017 | 2015-2016 | 2016-2017 |
| 1 | REGULAR SALARIES | 6,073,003 | 6,214,767 | 6,670,751 | 6,113,516 | 6,407,278 | | |
| 2 | EXTRA HELP WAGES | | | | | | | |
| 3 | OVERTIME | | | | | | | |
| 4 | PERSONAL SERVICES MATCHING | 2,112,116 | 2,120,000 | 2,160,694 | 2,223,088 | 2,223,088 | | |
| 5 | OPERATING EXPENSES | 199,271 | 1,837 | 203,855 | 293,762 | 258,911 | | |
| 6 | CONFERENCE FEES & TRAVEL | 0 | 0 | 0 | 0 | 0 | | |
| 7 | PROFESSIONAL FEES AND SERVICES | 0 | 0 | 0 | 0 | 0 | | |
| 8 | CAPITAL OUTLAY | 0 | 0 | 0 | 0 | 0 | | |
| 9 | FUNDED DEPRECIATION | | | | | | | |
| 10 | WORKERS COMP/SURETY PREMIUM | 915 | | | | | | |
| 11 | | | | | | | | |
| 12 | | | | | | | | |
| 13 | TOTAL APPROPRIATION | \$8,385,305 | \$8,336,604 | \$9,035,300 | \$8,630,366 | \$8,889,277 | \$0 | \$0 |
| 14 | PRIOR YEAR FUND BALANCE** | | | | | | | |
| 15 | GENERAL REVENUE | 6,113,516 | 6,113,516 | | 6,407,278 | 6,666,189 | | |
| 16 | EDUCATIONAL EXCELLENCE TRUST FUND | | | | | | | |
| 17 | SPECIAL REVENUES * [WF2000] | 2,245,209 | 2,223,088 | | 2,223,088 | 2,223,088 | | |
| 18 | FEDERAL FUNDS IN STATE TREASURY | | | | | | | |
| 19 | TOBACCO SETTLEMENT FUNDS | | | | | | | |
| 20 | OTHER STATE TREASURY FUNDS | 26,580 | | | | | | |
| 21 | TOTAL INCOME | \$8,385,305 | \$8,336,604 | | \$8,630,366 | \$8,889,277 | \$0 | \$0 |
| 22 | EXCESS (FUNDING)/APPROPRIATION | \$0 | \$0 | | \$0 | \$0 | \$0 | \$0 |

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS
2015-2017 BIENNIUM**

FUND 2750000

INSTITUTION BLACK RIVER TECHNICAL COLLEGE

APPROPRIATION B51

| | DESCRIPTION | ACTUAL 2013-2014 | BUDGETED 2014-2015 | AUTHORIZED APPROPRIATION 2014-2015 | INSTITUTIONAL REQUEST / AHECB RECOMMENDATION | | LEGISLATIVE RECOMMENDATION | |
|----|---|---------------------|-----------------------|--|---|--------------|----------------------------|-----------|
| | | | | | 2015-2016 | 2016-2017 | 2015-2016 | 2016-2017 |
| 1 | REGULAR SALARIES | 1,540,963 | 8,500,000 | 8,500,000 | 11,900,000 | 15,300,000 | | |
| 2 | EXTRA HELP WAGES | 167,941 | 945,000 | 945,000 | 1,323,000 | 1,701,000 | | |
| 3 | OVERTIME | 0 | 18,900 | 18,900 | 26,460 | 34,020 | | |
| 4 | PERSONAL SERVICES MATCHING | 586,311 | 3,305,000 | 3,305,000 | 4,627,000 | 5,949,000 | | |
| 5 | OPERATING EXPENSES | 4,468,583 | 12,276,000 | 12,276,000 | 17,186,400 | 22,096,800 | | |
| 6 | CONFERENCE FEES & TRAVEL | 118,347 | 377,700 | 377,700 | 528,780 | 679,860 | | |
| 7 | PROFESSIONAL FEES AND SERVICES | 689,512 | 945,000 | 945,000 | 1,323,000 | 1,701,000 | | |
| 8 | CAPITAL OUTLAY | 1,389,574 | 9,188,000 | 9,188,000 | 12,863,200 | 16,538,400 | | |
| 9 | CAPITAL IMPROVEMENTS | 0 | 8,500,000 | 8,500,000 | | | | |
| 10 | DEBT SERVICE | 200,366 | 396,600 | 396,600 | 555,240 | 713,880 | | |
| 11 | FUND TRANSFERS, REFUNDS AND INVESTMENTS | 0 | 94,400 | 94,400 | 132,160 | 169,920 | | |
| 12 | PROMOTIONAL ITEMS | 37,672 | 56,400 | 56,400 | 78,960 | 101,520 | | |
| 13 | | | | | | | | |
| 14 | | | | | | | | |
| 15 | | | | | | | | |
| 16 | TOTAL APPROPRIATION | \$9,199,269 | \$44,603,000 | \$44,603,000 | \$50,544,200 | \$64,985,400 | \$0 | \$0 |
| 17 | PRIOR YEAR FUND BALANCE*** | | | | | | | |
| 18 | TUITION AND MANDATORY FEES | 6,013,585 | 6,966,435 | | 6,966,435 | 6,966,435 | | |
| 19 | ALL OTHER FEES | 62,961 | 60,000 | | 60,000 | 60,000 | | |
| 20 | SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS | | | | | | | |
| 21 | INVESTMENT INCOME | 65,699 | 100,000 | | 100,000 | 100,000 | | |
| 22 | FEDERAL CASH FUNDS | | | | | | | |
| 23 | OTHER CASH FUNDS | 3,057,024 | 37,476,565 | | 43,417,765 | 57,858,965 | | |
| 24 | TOTAL INCOME | \$9,199,269 | \$44,603,000 | | \$50,544,200 | \$64,985,400 | \$0 | \$0 |
| 25 | EXCESS (FUNDING)/APPROPRIATION | \$0 | \$0 | | \$0 | \$0 | \$0 | \$0 |

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

| | ACTUAL 2013-2014 | BUDGETED 2014-2015 | AUTHORIZED 2014-2015 | INSTITUTIONAL REQUEST | AHECB RECOMMEND | LEGISLATIVE RECOMMENDATION |
|-------------------|---------------------|-----------------------|-------------------------|--------------------------|--------------------|----------------------------|
| | | | | 2015-2017 | 2015-2017 | 2015-2017 |
| REGULAR POSITIONS | 277 | 273 | 307 | 311 | 311 | |
| TOBACCO POSITIONS | | | | | | |
| EXTRA HELP ** | 70 | 70 | 70 | 75 | 75 | |

FORM 15-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

BLACK RIVER TECHNICAL COLLEGE
(NAME OF INSTITUTION)

| | ACTIVITY | ACTUAL 2013-2014 | | | | BUDGETED 2014-2015 | | | |
|----|--|---------------------|-----------------------|-----------------|---------------|-----------------------|-----------------------|-----------------|---------------|
| | | INCOME | OPERATING EXPENSES | DEBT SERVICE | NET INCOME | INCOME | OPERATING EXPENSES | DEBT SERVICE | NET INCOME |
| 1 | INTERCOLLEGIATE ATHLETICS * | | | | 0 | | | | 0 |
| 2 | HOUSING | | | | 0 | | | | 0 |
| 3 | FOOD SERVICES | 89,764 | 153,010 | | (63,246) | 160,000 | 160,000 | | 0 |
| 4 | STUDENT UNION | | | | 0 | | | | 0 |
| 5 | BOOKSTORE | 1,556,920 | 1,310,103 | | 246,817 | 1,600,000 | 1,300,000 | | 300,000 |
| 6 | STUDENT ORGANIZATIONS AND PUBLICATIONS | | | | 0 | | | | 0 |
| 7 | OTHER | 5,219 | 2,243 | | 2,976 | 6,000 | 5,000 | | 1,000 |
| 8 | SUBTOTAL | \$1,651,903 | \$1,465,356 | \$0 | \$186,547 | \$1,766,000 | \$1,465,000 | \$0 | \$301,000 |
| 9 | ATHLETIC TRANSFER ** | | | | 0 | | | | 0 |
| 10 | OTHER TRANSFERS *** | | | | (125,000) | | | | 0 |
| 11 | GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES | \$1,651,903 | \$1,465,356 | \$0 | \$61,547 | \$1,766,000 | \$1,465,000 | \$0 | \$301,000 |

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 15-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

Line 7: Vending machine activity

Line 10: Transfer out of Auxiliary is a transfer to Unexpended Plant Fund

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

BLACK RIVER TECHNICAL COLLEGE
(NAME OF INSTITUTION)

| | | | | | | |
|---|------------|---------------------|----------|------------------------|----------|-----------------------------|
| TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2013-2014: | | | | | | <u>242</u> |
| (As of November 1, 2013) | | | | | | |
| Nonclassified Administrative Employees: | | | | | | |
| White Male: | <u>7</u> | Black Male: | <u>0</u> | Other Male: | <u>0</u> | Total Male: <u>7</u> |
| White Female: | <u>24</u> | Black Female: | <u>0</u> | Other Female: | <u>0</u> | Total Female: <u>24</u> |
| Nonclassified Health Care Employees: | | | | | | |
| White Male: | <u>0</u> | Black Male: | <u>0</u> | Other Male: | <u>0</u> | Total Male: <u>0</u> |
| White Female: | <u>0</u> | Black Female: | <u>0</u> | Other Female: | <u>0</u> | Total Female: <u>0</u> |
| Classified Employees: | | | | | | |
| White Male: | <u>21</u> | Black Male: | <u>0</u> | Other Male: | <u>0</u> | Total Male: <u>21</u> |
| White Female: | <u>46</u> | Black Female: | <u>0</u> | Other Female: | <u>1</u> | Total Female: <u>47</u> |
| Faculty: | | | | | | |
| White Male: | <u>42</u> | Black Male: | <u>0</u> | Other Male: | <u>0</u> | Total Male: <u>42</u> |
| White Female: | <u>100</u> | Black Female: | <u>1</u> | Other Female: | <u>0</u> | Total Female: <u>101</u> |
| Total White Male: | <u>70</u> | Total Black Male: | <u>0</u> | Total Other Male: | <u>0</u> | Total Male: <u>70</u> |
| Total White Female: | <u>170</u> | Total Black Female: | <u>1</u> | Total Other Female: | <u>1</u> | Total Female: <u>172</u> |
| Total White: | <u>240</u> | Total Black: | <u>1</u> | Total Other: | <u>1</u> | Total Employees: <u>242</u> |
| | | | | Total Minority: | <u>2</u> | |

FORM 15-6

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES
Fiscal Year 2014
Required by A.C.A. 25-36-104

Institution

BLACK RIVER TECHNICAL COLLEGE

| Minority Business | Total Contract Awarded | Minority Type per A.C.A. 15-4-303 (2) | | | | | |
|-------------------------|------------------------|---------------------------------------|-------------------|-----------------|----------------|---------------------------|------------------|
| | | African American | Hispanic American | American Indian | Asian American | Pacific Islander American | Disabled Veteran |
| Curtis Construction Co. | \$71,937 | 1 | | | | | |
| | | | | | | | |
| | | | | | | | |
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| | | | | | | | |
| | | | | | | | |

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 1

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$480,585

(Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 15%

**DIVISION OF LEGISLATIVE AUDIT
AUDIT OF BLACK RIVER TECHNICAL COLLEGE
June 30, 2013**

| | |
|-----------------|-------------------|
| <i>Finding:</i> | No Findings noted |
|-----------------|-------------------|

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COLLEGE OF THE OUACHITAS

Dr. Stephen Schoonmaker, President

ENABLING LAWS

Act 617 of 1991, Act 208 of 2011, and Act 78 of 2014

INSTITUTION HISTORY AND ORGANIZATION

College of the Ouachitas is celebrating its 45th year as a post-secondary institution during the Academic Year 2014-2015. Founded in 1969, Ouachita Vocational Technical School (OVTS) opened under the authority of the State Board of Education in January 1972 with 292 students enrolled in 11 programs. In August 1972, OVTS became the Hot Spring County provider of General Equivalency Diploma (GED) courses. Enabling legislation includes Act 617 of 1991 introduced by then Senator George Hopkins following a meeting of local business leaders, legislators, and OVTS faculty and administrators to designate OVTS as Ouachita Technical College (OTC). Since Act 617 of 1991 was actually signed into law by then Governor Bill Clinton before the enabling Two-Year Postsecondary Education Reorganization Act 1244 of 1991, OTC became the first Arkansas two-year technical college. Under Act 208 of 2011, OTC officially changed its name to College of the Ouachitas July 1, 2011 to better communicate to stakeholders the comprehensive nature of the College's diverse courses, academic programs, and degrees conferred.

College of the Ouachitas serves a five (5) county service district in Central/Southwest Arkansas. The College's main campus is situated on 40 acres in Hot Spring County, and is the sole community college authorized to serve Clark County. The College's Adult Education program merged with the Clark County Adult Education program effective July 1, 2014. The College also serves Saline, Dallas, and Grant counties; in July, 2014 the Arkansas Higher Education Coordinating Board (AHECB) approved the College offering college-level coursework at a College Center in Sheridan to better serve the communities within Grant County. The College is under the oversight of a Governor appointed Board of Trustees. As a formula driven institution, the College concurs with AHECB recommendations.

Other noteworthy developments include the Aspen Institute's selection of College of the Ouachitas as a Top Ten Community College in the Nation for excellence in 2012/ 2013; and the College's purchase in May, 2014 of a 26,000 square foot facility in Malvern to serve as the new Center for Applied Sciences starting in January, 2015 which will house the College's Robohand 3D Print Farm – the largest 3D Print Farm in the world.

INSTITUTIONAL VISION, MISSION, and VALUES STATEMENTS

Vision

The College is a community of successful, lifelong learners and is acknowledged as responsive to the economic development needs of the region.

COLLEGE OF THE OUACHITAS

Dr. Stephen Schoonmaker, President

Mission & Purpose

The college is a public, two-year institution of higher education that continually identifies and addresses the changing learning needs of the community it serves through:

- Developmental courses and services that promote collegiate-level success;
- Associate-degree programs and courses that prepare learners to transfer and to succeed at universities;
- Associate degree, certificate, and continuing professional education programs and courses that prepare learners to succeed in the workforce;
- Services and resources that meet the needs of students in order to support successful learning;
- Specialized training courses and services that meet the needs of business and individuals;
- Partnerships with K-12 schools, other colleges and universities, businesses, industries, public agencies, and civic groups that support learning and promote the economic development of Arkansas;
- Non-credit, lifelong learning programs and opportunities that meet community needs;
- Continuous improvement through a system of inquiry, evidence, and accountability.

Values

As a student-centered institution, the College is committed to ethical dealings with its contingencies-faculty, staff, administration, businesses, industries, students and other educational institutions and agencies. We formally adopt the following set of values to guide the direction and operations of the College.

- ~**Integrity:** We act honestly, courteously, decently, and fairly in all our dealings with our constituencies. Respect must characterize all of our internal and external relationships.
- ~**Quality and Accountability:** Quality education is the guiding principle in all our actions; consequently, we hold ourselves and each other accountable for our results through a culture of inquiry and evidence.
- ~**Leadership:** We lead by innovation in meeting the changing needs of our constituencies.
- ~**Independence:** We recognize that academic freedom, used responsibly, fosters the innovation and initiative which make the College unique.
- ~**Environment:** We provide an accessible, safe, clean, and attractive collegiate environment for learning and working.
- ~**Community:** We are an integral contributor to our community and its economic development.

COLLEGE OF THE OUACHITAS

Dr. Stephen Schoonmaker, President

~**The Individual:** We know that the commitment and contributions of all employees and students will determine our success. Each employee and student has the opportunity to participate fully, to grow professionally, and to develop to his/her potential.

~**Diversity:** We value diversity and the learning opportunities that it creates.

E³: Engage, Educate, and Empower

The College has adopted a three-dimensional design for student success referred to on campus as “E-cubed” which brings to life our vision, mission, and values. College of the Ouachitas engages our students to meet the full complement of their needs and partners with each of them to develop and address their educational goals in the following areas of study: Associate of Arts, Associate of Applied Science, Technical Certificates, and Certificates of Proficiency. The College also offers secondary career, technical, and professional education; Adult Education; community education, continuing education, and customized workforce development training.

INSTITUTIONAL ACCREDITATIONS

College of the Ouachitas is accredited by the Higher Learning Commission of the North Central Association of Colleges and Schools (HLC). The College was granted a ten year continuation of accreditation in December, 2010 after a September 2010 comprehensive visit in which no follow-up visits or reports were required. In addition, the Practical Nursing and Registered Nursing programs are approved by the Arkansas State Board of Nursing. The Cosmetology Program is accredited by the Arkansas State Board of Cosmetology. The College has National Automotive Technician Education Foundation accreditation for our Automotive Service Technology Program, and National Alliance of Concurrent Enrollment Partnership for the College’s high school concurrent program. These accreditations and the College’s history with HLC indicate the College’s quality assurance diligence and commitment to continuous quality improvement.

COLLEGE OF THE OUACHITAS
Dr. Stephen Schoonmaker, President

NEW AND DELETED PROGRAMS; REALLOCATION OF FUNDS

New Approved Programs

| Award | CIP Code | Degree Code | Credit Hours | Approval Date | Program Name |
|--------------|-----------------|--------------------|---------------------|----------------------|--|
| CP | 15.0403 | 1150-1152 | 9-15 | 7/27/12 | Mechatronics Technology, Operation, and Practice |
| TC | 15.0403 | 2150 | 39 | 7/27/12 | Mechatronics Technology |
| AAS | 15.0403 | 3150 | 61-62 | 7/27/12 | Mechatronics |
| TC | 46.0302 | 3595 | 34 | 2/1/13 | Electrical Apprenticeship |
| CP | 46.0599 | 3594, 3596-3598 | 13 | 1/31/14 | Plumbing Apprenticeship, Levels I-IV |
| TC | 46.0599 | 4594 | 39 | 1/31/14 | Plumbing Apprenticeship |
| AAS | 46.0599 | 4592 | 62-67 | 1/31/14 | Apprenticeship Trades |
| CP | 15.0405 | 1881 | 13 | 7/25/14 | Industrial Robotics |
| CP | 48.0501 | 1483 | 14 | 7/25/14 | CNC Machining & Fabrication |

Deleted Programs – None

Reallocation of Funds – None

ALLOCATION OF NEW FUNDS

College of the Ouachitas did not request additional cash appropriation. College of the Ouachita is a formula driven entity and did not make an additional request for general revenue. Any new funds will be allocated for possible cost of living increases, hiring new faculty and building/plant deferred maintenance.

PERSONAL SERVICES REQUESTS

The Arkansas Department of Higher Education has recommended 32 new non-classified positions for the 2015-2017 biennium: 1) Six twelve-month educational and general administrative positions; 2) Six twelve-month educational and general academic positions, and 3) Twenty part-time faculty positions. College of the Ouachitas has also requested from the Office of Personnel Management a net decrease of 10 classified administrative support positions ranging from information technology personnel to institutional services assistants as these positions are no longer needed.

**INSTITUTION APPROPRIATION SUMMARY
2015-2017 BIENNIUM**

INSTITUTION COLLEGE OF THE OUACHITAS

| | APPROPRIATION | HISTORICAL DATA | | | | | | INSTITUTION REQUEST & AHECB RECOMMENDATION | | | | | | | |
|----|-----------------------------------|-----------------|-------|--------------|------|--------------|-----|--|------|--------------|------|--------------|------|--------------|------|
| | | 2013-2014 | | 2014-2015 | | 2014-2015 | | 2015-2016 | | | | 2016-2017 | | | |
| | | ACTUAL | POS | BUDGETED | POS | AUTHORIZED | POS | INST REQUEST | POS | AHECB REC | POS | INST REQUEST | POS | AHECB REC | POS |
| 1 | STATE TREASURY | 4,683,647 | | 4,672,254 | | 4,721,813 | | 4,798,533 | | 4,798,533 | | 4,942,489 | | 4,942,489 | |
| 2 | CASH | 5,314,045 | | 9,062,625 | | 9,062,625 | | 11,604,609 | | 11,604,609 | | 11,604,609 | | 11,604,609 | |
| 3 | | | | | | | | | | | | | | | |
| 4 | | | | | | | | | | | | | | | |
| 5 | | | | | | | | | | | | | | | |
| 6 | | | | | | | | | | | | | | | |
| 7 | | | | | | | | | | | | | | | |
| 8 | | | | | | | | | | | | | | | |
| 9 | | | | | | | | | | | | | | | |
| 10 | | | | | | | | | | | | | | | |
| 11 | TOTAL | \$9,997,692 | 170 | \$13,734,879 | 169 | \$13,784,438 | 202 | \$16,403,142 | 225 | \$16,403,142 | 224 | \$16,547,098 | 225 | \$16,547,098 | 224 |
| | FUNDING SOURCES | | % | | % | | | | % | | % | | % | | % |
| 12 | PRIOR YEAR FUND BALANCE* | 0 | 0.00% | 0 | 0% | | | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| 13 | GENERAL REVENUE | 3,527,261 | 35% | 3,527,261 | 26% | | | 3,653,540 | 22% | 3,653,540 | 22% | 3,797,496 | 23% | 3,797,496 | 23% |
| 14 | EDUCATIONAL EXCELLENCE TRUST FUND | 0 | 0% | 0 | 0% | | | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| 15 | WORKFORCE 2000 | 1,156,386 | 12% | 1,144,993 | 8% | | | 1,144,993 | 7% | 1,144,993 | 7% | 1,144,993 | 7% | 1,144,993 | 7% |
| 16 | CASH FUNDS | 4,232,229 | 42% | 7,868,070 | 57% | | | 9,410,054 | 57% | 9,410,054 | 57% | 9,410,054 | 57% | 9,410,054 | 57% |
| 17 | SPECIAL REVENUES | | | | | | | | | | | | | | |
| 18 | FEDERAL FUNDS | 1,081,816 | 11% | 1,194,555 | 9% | | | 2,194,555 | 13% | 2,194,555 | 13% | 2,194,555 | 13% | 2,194,555 | 13% |
| 19 | TOBACCO SETTLEMENT FUNDS | 0 | 0% | 0 | 0% | | | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| 20 | OTHER FUNDS | 0 | 0% | 0 | 0% | | | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| 21 | TOTAL INCOME | \$9,997,692 | 100% | \$13,734,879 | 100% | | | \$16,403,142 | 100% | \$16,403,142 | 100% | \$16,547,098 | 100% | \$16,547,098 | 100% |
| 22 | EXCESS (FUNDING)/APPROPRIATION | \$0 | | \$0 | | | | \$0 | | \$0 | | \$0 | | \$0 | |

| | |
|--|-------------|
| UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2014: | \$161,058 |
| LESS RESERVES FOR: | |
| ACCOUNTS RECEIVABLE | \$175,825 |
| INVENTORIES | \$161,248 |
| YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES | |
| INSURANCE DEDUCTIBLES | \$10,000 |
| MAJOR CRITICAL SYSTEMS FAILURES | |
| 60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES) | \$811,891 |
| RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.) | |
| OTHER (FOOTNOTE BELOW) | |
| UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE | (\$997,906) |

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2015-2017 BIENNIUM**

FUND CTW0000

INSTITUTION COLLEGE OF THE OUACHITAS

APPROPRIATION 1WZ

| | DESCRIPTION | ACTUAL 2013-2014 | BUDGETED 2014-2015 | AUTHORIZED APPROPRIATION 2014-2015 | INSTITUTIONAL REQUEST / AHECB RECOMMENDATION | | LEGISLATIVE RECOMMENDATION | |
|----|-----------------------------------|---------------------|-----------------------|--|---|-------------|----------------------------|-----------|
| | | | | | 2015-2016 | 2016-2017 | 2015-2016 | 2016-2017 |
| 1 | REGULAR SALARIES | 2,321,153 | 2,954,682 | 2,954,682 | 2,954,682 | 3,055,682 | | |
| 2 | EXTRA HELP WAGES | | | | | | | |
| 3 | OVERTIME | | | | | | | |
| 4 | PERSONAL SERVICES MATCHING | 432,702 | 733,143 | 782,702 | 782,702 | 825,658 | | |
| 5 | OPERATING EXPENSES | 1,926,759 | 984,429 | 984,429 | 1,061,149 | 1,061,149 | | |
| 6 | CONFERENCE FEES & TRAVEL | | | | | | | |
| 7 | PROFESSIONAL FEES AND SERVICES | | | | | | | |
| 8 | CAPITAL OUTLAY | | | | | | | |
| 9 | FUNDED DEPRECIATION | | | | | | | |
| 10 | WORKERS COMP/SURETY PREMIUM | 3,033 | | | | | | |
| 11 | | | | | | | | |
| 12 | | | | | | | | |
| 13 | TOTAL APPROPRIATION | \$4,683,647 | \$4,672,254 | \$4,721,813 | \$4,798,533 | \$4,942,489 | \$0 | \$0 |
| 14 | PRIOR YEAR FUND BALANCE** | | | | | | | |
| 15 | GENERAL REVENUE | 3,527,261 | 3,527,261 | | 3,653,540 | 3,797,496 | | |
| 16 | EDUCATIONAL EXCELLENCE TRUST FUND | | | | | | | |
| 17 | SPECIAL REVENUES * [WF2000] | 1,156,386 | 1,144,993 | | 1,144,993 | 1,144,993 | | |
| 18 | FEDERAL FUNDS IN STATE TREASURY | | | | | | | |
| 19 | TOBACCO SETTLEMENT FUNDS | | | | | | | |
| 20 | OTHER STATE TREASURY FUNDS | | | | | | | |
| 21 | TOTAL INCOME | \$4,683,647 | \$4,672,254 | | \$4,798,533 | \$4,942,489 | \$0 | \$0 |
| 22 | EXCESS (FUNDING)/APPROPRIATION | \$0 | \$0 | | \$0 | \$0 | \$0 | \$0 |

* Report WF2000 funds on line 17 - "Special Revenues".

FORM 15-3

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS
2015-2017 BIENNIUM**

FUND 2850000

INSTITUTION COLLEGE OF THE OUACHITAS

APPROPRIATION B62

| | DESCRIPTION | ACTUAL 2013-2014 | BUDGETED 2014-2015 | AUTHORIZED APPROPRIATION 2014-2015 | INSTITUTIONAL REQUEST / AHECB RECOMMENDATION | | LEGISLATIVE RECOMMENDATION | |
|----|---|---------------------|-----------------------|--|---|--------------|----------------------------|-----------|
| | | | | | 2015-2016 | 2016-2017 | 2015-2016 | 2016-2017 |
| 1 | REGULAR SALARIES | 1,828,970 | 2,510,145 | 2,510,145 | 3,000,000 | 3,000,000 | | |
| 2 | EXTRA HELP WAGES | 432,604 | 784,123 | 784,123 | 784,123 | 784,123 | | |
| 3 | OVERTIME | | | | | | | |
| 4 | PERSONAL SERVICES MATCHING | 1,331,228 | 1,400,000 | 1,400,000 | 2,300,000 | 2,300,000 | | |
| 5 | OPERATING EXPENSES | 389,548 | 2,184,209 | 2,184,209 | 1,200,000 | 1,200,000 | | |
| 6 | CONFERENCE FEES & TRAVEL | 184,540 | 188,450 | 188,450 | 250,000 | 250,000 | | |
| 7 | PROFESSIONAL FEES AND SERVICES | 67,312 | 270,486 | 270,486 | 270,486 | 270,486 | | |
| 8 | CAPITAL OUTLAY | 1,077,464 | 1,125,212 | 1,125,212 | 2,500,000 | 2,500,000 | | |
| 9 | CAPITAL IMPROVEMENTS | 0 | 400,000 | 400,000 | 1,100,000 | 1,100,000 | | |
| 10 | DEBT SERVICE | | | | | | | |
| 11 | FUND TRANSFERS, REFUNDS AND INVESTMENTS | 2,379 | 200,000 | 200,000 | 200,000 | 200,000 | | |
| 12 | | | | | | | | |
| 13 | | | | | | | | |
| 14 | | | | | | | | |
| 15 | | | | | | | | |
| 16 | TOTAL APPROPRIATION | \$5,314,045 | \$9,062,625 | \$9,062,625 | \$11,604,609 | \$11,604,609 | \$0 | \$0 |
| 17 | PRIOR YEAR FUND BALANCE*** | | | | | | | |
| 18 | TUITION AND MANDATORY FEES | 2,486,496 | 2,295,867 | | 2,800,000 | 2,800,000 | | |
| 19 | ALL OTHER FEES | 453,360 | 513,000 | | 513,000 | 513,000 | | |
| 20 | SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS | 16,404 | 15,000 | | 15,000 | 15,000 | | |
| 21 | INVESTMENT INCOME | 5,450 | 12,000 | | 12,000 | 12,000 | | |
| 22 | FEDERAL CASH FUNDS | 1,081,816 | 1,194,555 | | 2,194,555 | 2,194,555 | | |
| 23 | OTHER CASH FUNDS | 1,270,519 | 5,032,203 | | 6,070,054 | 6,070,054 | | |
| 24 | TOTAL INCOME | \$5,314,045 | \$9,062,625 | | \$11,604,609 | \$11,604,609 | \$0 | \$0 |
| 25 | EXCESS (FUNDING)/APPROPRIATION | \$0 | \$0 | | \$0 | \$0 | \$0 | \$0 |

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

| | ACTUAL 2013-2014 | BUDGETED 2014-2015 | AUTHORIZED 2014-2015 | INSTITUTIONAL REQUEST | AHECB RECOMMEND | LEGISLATIVE RECOMMENDATION |
|-------------------|---------------------|-----------------------|-------------------------|--------------------------|--------------------|----------------------------|
| | | | | 2015-2017 | 2015-2017 | 2015-2017 |
| REGULAR POSITIONS | 170 | 169 | 202 | 225 | 224 | |
| TOBACCO POSITIONS | | | | | | |
| EXTRA HELP ** | 52 | 60 | 60 | 60 | 60 | |

FORM 15-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

COLLEGE OF THE OUACHITAS
(NAME OF INSTITUTION)

| | ACTIVITY | ACTUAL 2013-2014 | | | | BUDGETED 2014-2015 | | | |
|----|--|---------------------|-----------------------|-----------------|---------------|-----------------------|-----------------------|-----------------|---------------|
| | | INCOME | OPERATING EXPENSES | DEBT SERVICE | NET INCOME | INCOME | OPERATING EXPENSES | DEBT SERVICE | NET INCOME |
| 1 | INTERCOLLEGIATE ATHLETICS * | | | | 0 | | | | 0 |
| 2 | HOUSING | | | | 0 | | | | 0 |
| 3 | FOOD SERVICES | 46,061 | 84,036 | | (37,975) | 52,000 | 103,890 | | (51,890) |
| 4 | STUDENT UNION | | | | 0 | | | | 0 |
| 5 | BOOKSTORE | 661,626 | 577,623 | | 84,003 | 625,000 | 511,500 | | 113,500 |
| 6 | STUDENT ORGANIZATIONS AND PUBLICATIONS | 56,817 | | | 56,817 | 45,172 | | | 45,172 |
| 7 | OTHER | | | | 0 | | | | 0 |
| 8 | SUBTOTAL | \$764,504 | \$661,659 | \$0 | \$102,845 | \$722,172 | \$615,390 | \$0 | \$106,782 |
| 9 | ATHLETIC TRANSFER ** | | | | 0 | | | | 0 |
| 10 | OTHER TRANSFERS *** | | | | 0 | | | | 0 |
| 11 | GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES | \$764,504 | \$661,659 | \$0 | \$102,845 | \$722,172 | \$615,390 | \$0 | \$106,782 |

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 15-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

COLLEGE OF THE OUACHITAS
(NAME OF INSTITUTION)

| | | | | | | |
|---|-----|---------------------|----|------------------------|----|----------------------|
| TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2013-2014: | | | | | | 170 |
| (As of November 1, 2013) | | | | | | |
| Nonclassified Administrative Employees: | | | | | | |
| White Male: | 7 | Black Male: | 1 | Other Male: | 0 | Total Male: 8 |
| White Female: | 7 | Black Female: | 2 | Other Female: | 0 | Total Female: 9 |
| Nonclassified Health Care Employees: | | | | | | |
| White Male: | 0 | Black Male: | 0 | Other Male: | 0 | Total Male: 0 |
| White Female: | 0 | Black Female: | 0 | Other Female: | 0 | Total Female: 0 |
| Classified Employees: | | | | | | |
| White Male: | 13 | Black Male: | 2 | Other Male: | 0 | Total Male: 15 |
| White Female: | 30 | Black Female: | 4 | Other Female: | 0 | Total Female: 34 |
| Faculty: | | | | | | |
| White Male: | 31 | Black Male: | 0 | Other Male: | 1 | Total Male: 32 |
| White Female: | 65 | Black Female: | 5 | Other Female: | 2 | Total Female: 72 |
| Total White Male: | 51 | Total Black Male: | 3 | Total Other Male: | 1 | Total Male: 55 |
| Total White Female: | 102 | Total Black Female: | 11 | Total Other Female: | 2 | Total Female: 115 |
| Total White: | 153 | Total Black: | 14 | Total Other: | 3 | Total Employees: 170 |
| | | | | Total Minority: | 17 | |

FORM 15-6

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2014
 Required by A.C.A. 25-36-104

Institution COLLEGE OF THE OUACHITAS

| Minority Business | Total Contract Awarded | Minority Type per A.C.A. 15-4-303 (2) | | | | | |
|-------------------------|------------------------|---------------------------------------|-------------------|-----------------|----------------|---------------------------|------------------|
| | | African American | Hispanic American | American Indian | Asian American | Pacific Islander American | Disabled Veteran |
| Crystal Groove Cleaning | \$168,346 | X | | | | | |
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TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 1

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$261,312
 (Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 57%

**DIVISION OF LEGISLATIVE AUDIT
AUDIT OF COLLEGE OF THE OUACHITAS
June 30, 2013**

| | |
|-----------------|-------------------|
| <i>Finding:</i> | No Findings noted |
|-----------------|-------------------|

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COSSATOT COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS

Steve Cole, Chancellor

ENABLING ACTS

Acts 225, 5, 47 and 214 of 2014

INSTITUTION HISTORY AND ORGANIZATION

Cossatot Community College of the University of Arkansas (UA Cossatot) is an NCA-accredited two-year institution affiliated with the University of Arkansas System. It has campuses in De Queen, Nashville and Ashdown, Arkansas. UA Cossatot offers degrees and certificates in more than two dozen fields. UA Cossatot also offers four Associate degrees completely online and has a roster of more than 80 sections of online courses.

CURRENT APPROPRIATION ACTS

Act 225 of 2014 makes an appropriation for personal services and operating expenses for Cossatot Community College of the University of Arkansas for the Fiscal Year ending June 30, 2015; and for other purposes. Act 5 of 2014 amends the Arkansas Revenue Stabilization Law that sets the funding for state agencies, including Cossatot Community College of the University of Arkansas. And Act 47 and 214 of 2014 makes a re-appropriation for the balances of capital improvement appropriations for the institutions of higher education; and for other purposes.

FOUNDING AND SERVICE AREA

The school was founded in 1975 at De Queen as Cossatot Vocational-Technical School. In 1991, the Arkansas Legislature passed Act 1244 that allowed Vo-tech schools to become community or technical colleges so they could offer Associate's degrees. This Act designated the change in name and mission to become effective July 1, 1991 as Cossatot Technical College.

In 2001, voters in Sevier County passed the first of three county quarter-cent sales taxes, which was the last remaining hurdle to join the University of Arkansas System as a community college. As of July 1, 2001, the college joined the U of A System to become Cossatot Community College of the University of Arkansas.

UA Cossatot has a designated service area that consists of Sevier and Little River Counties. It shares Howard and Pike Counties with Rich Mountain Community College at Mena and the University of Arkansas Community College at Hope. UA Cossatot is unique among Arkansas community colleges in that it benefits from a quarter-cent sales tax in three of the four counties it serves.

MISSION AND PURPOSES

UA Cossatot embraces diversity and is committed to improving the lives of those in our region by providing quality education, outstanding service, and relevant industry training.

STATEMENT OF CORE VALUES

COSSATOT COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS

Steve Cole, Chancellor

We believe in the humanity of each individual, and that no one has the right, in word or deed, to lower the existence of another human being.

We believe each student should have the opportunity to excel to his/her full potential to acquire skills for the workplace, and to enrich himself/herself through general education to become more understanding and tolerant of human differences.

We believe integrity, honesty, perseverance, patience, kindness, justice, and faith in one's personal efforts are hallmarks to which the College shall always strive toward and help our students to achieve these values.

We believe a rural college must be comprehensive in its curriculum, open to the varying academic differences and past experience of our constituents, and through superior service and mastery of teaching, we shall help students to help themselves become the individuals they strive to be.

VISION STATEMENT

UA Cossatot is an institution esteemed by the communities it serves for producing quality graduates, collaborating in economic development activities, and participating in the education of our citizens.

UA Cossatot, a well-developed system of three strategically located campuses, is taking the college to the communities it serves. It is convenient for the current workforce, the unemployed, and those seeking new skills.

UA Cossatot has a flexible, tenacious staff of risk takers who seek new and improved means to deliver comprehensive curricula and services to its constituents. Curricula are up-to-date and structured with diverse learning patterns to meet the needs of its students. Services are provided with commitment, compassion, and caring.

COSSATOT COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS

Steve Cole, Chancellor

UA Cossatot enjoys the diversity of its student population and welcomes all students from the region, state, nation, and the world.

DEGREE AND CERTIFICATE OFFERINGS

Associate level degrees offered at UA Cossatot involve two years of study (60-65 credit hours). They are intended to give graduates the ability to go straight to work in their chosen field or, in the case of an Associate of Arts degree, prepare students for junior- and senior-level courses at a 4-year institution. Technical Certificates and Certificates of Proficiency also prepare students for careers where Technical certificates generally take less than two years to complete and certificates of Proficiency take one or two semesters.

DISTANCE EDUCATION

UA Cossatot has long been a leader in Distance Education. UA Cossatot won the “Excellence in Distance Education Programming Award” made by the Arkansas Distance Learning Association (ARDLA) in 2001 and 2002. Many members of its faculty, both online and in-class, have been recognized at the national level for excellence in teaching, which is the primary focus of community colleges. Online courses at UA Cossatot have both the rigor of in-room classes and the flexibility of anytime/anywhere learning that is so appealing to many students today.

ACCREDITATION

Cossatot Technical College first became accredited through the Higher Learning Commission of the North Central Association of Colleges and Schools in 1998. UA Cossatot has maintained this accreditation throughout the years and has now joined the AQIP process for accreditation. AQIP (Academic Quality Improvement Program), is an alternative process to maintain accreditation status that infuses the principles and benefits of continuous improvement into the culture of the college in order to assure and advance the quality of higher education. UA Cossatot has completed several improvement projects and has written one Systems Portfolio and will write another in 2015. Through this process, the college has achieved accreditation through 2019.

MILESTONES/CHANGES

Over the past few years, UA Cossatot has instituted a new Occupational Therapy Assistant (OTA) Program and has now received program accreditation for it. The college has also expanded its Radio program to another campus to enhance our current Radio Broadcasting program. The college has also started a new Industrial Technology program through a DOL grant and has expanded the Welding program to include Pipe Welding. UA Cossatot also has made strides on each campus to improve the overall look and function of buildings and grounds. The college also attained a record Hispanic enrollment to start this fiscal year, increasing to 22% of the overall college population.

COSSATOT COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS

Steve Cole, Chancellor

UA Cossatot has also made great strides in completing our goals from our strategic plan called “Cossatot Project 2016: Our Strategic Plan.” This plan called for three Strategic Priorities: (1) Successful Hispanic Recruitment; (2) Strengthening the Cossatot Foundation; and (3) Developing Stronger Economic Development Ideas to Promote New Industry Recruitment. Three Facility Priorities are also included: (1) Constructing a Multi-Purpose Building in Nashville; (2) Constructing a Campus Radio Station for use by all Campuses; and (3) Refurbishing and Expanding the Automotive Repair and Collision Facilities in De Queen. And two Academic Priorities are: (1) Improving Degree and Certificate Attainment by Improving Retention and Graduation Rates and (2) Continuing to Develop Programs that Benefit the Recruitment of New Industry. UA Cossatot has reached several of these goals and will continue to work to secure funding for the goals we have not been able to reach.

GENERAL REVENUE REQUEST

UA Cossatot is a formula driven entity and does not make a request for general revenue. The general revenue recommended is from the Arkansas Department of Higher Education based on formula calculation and funding availability. For FY16, the recommendation for UA Cossatot is a \$575,586 increase and for FY17 an additional \$159,253. The total recommendation is approximately 75% of the formula need for UA Cossatot.

CASH APPROPRIATION REQUEST

UA Cossatot is requesting an increase in cash appropriations of \$731,232 for Fiscal Year 2016 and an additional \$471,000 in Fiscal Year 2017. UA Cossatot will continue to seek grants and contracts that will allow growth in certain programs and other services to our students and the community. It is necessary to keep good cash appropriations in order to spend any new grant funds sought after and awarded during these periods. Although, it has been harder to attract grants with the present state of the economy and the federal cuts, UA Cossatot will continue to seek out every opportunity that could benefit our students.

Commitment item increases for Fiscal Year 2016 are as follows: Regular Salaries - \$22,569 for hiring administrators of grants obtained, faculty and support staff as needed; Extra help - \$13,215 for hiring tutors and other extra help supported by grants, etc.; Personal Services Matching - \$7,459 for benefits for newly hired employees; Operating Expenses - \$377,812 for operating expenses for any new grants obtained or instructional programs started; Conference Fees & Travel - \$23,086 for professional development for faculty and grant personnel, as well as training for new grants obtained; Professional Fees and Services - \$27,091 for possible grant consulting fees and engineering and architect fees for new projects; and Promotional Items – No increase. At this time, UA Cossatot is also increasing Capital Outlay by \$250,000; Debt Service by \$10,000 and making no changes to Capital Improvements. UA Cossatot has plans to construct a new Multi-purpose Community and Classroom Building on the Nashville campus in the future.

Commitment item increases for Fiscal Year 2017 are as follows: Regular Salaries - \$25,000 for hiring additional faculty in thriving programs, new grant personnel, and support staff as needed; Extra help - \$10,000 for tutors and various grant support personnel; Personal Services Matching - \$10,000 for benefits for newly hired employees; Operating Expenses - \$120,000 for grant operating expenses and growing instructional programs; Conference Fees & Travel - \$16,000 for grant personnel professional development;

COSSATOT COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS
Steve Cole, Chancellor

and Professional Fees - \$20,000 for possible grant consulting fees and other services; and Promotional Items will also be increased by \$5,000. UA Cossatot will also ask to increase Capital Outlay by another \$250,000, Debt Service by \$15,000 and no change to Capital Improvements again.

PERSONAL SERVICES REQUEST

UA Cossatot has requested 5 new Full-time and 10 new Part-time Faculty positions in the Non-Classified personnel services request. This increase in faculty positions will help with the addition of new technical programs and growth in others. No new positions for the Classified personnel services request were made for the new biennium. UA Cossatot will only ask to continue with the positions it has and the positions acquired through the central growth pool earlier in the present biennium.

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**INSTITUTION APPROPRIATION SUMMARY
2015-2017 BIENNIUM**

INSTITUTION COSSATOT COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS

| | APPROPRIATION | HISTORICAL DATA | | | | | | INSTITUTION REQUEST & AHECB RECOMMENDATION | | | | | | | |
|----|-----------------------------------|-----------------|-------|--------------|------|--------------|-----|--|------|--------------|------|--------------|------|--------------|------|
| | | 2013-2014 | | 2014-2015 | | 2014-2015 | | 2015-2016 | | | | 2016-2017 | | | |
| | | ACTUAL | POS | BUDGETED | POS | AUTHORIZED | POS | INST REQUEST | POS | AHECB REC | POS | INST REQUEST | POS | AHECB REC | POS |
| 1 | STATE TREASURY | 4,747,375 | | 4,732,835 | | 5,196,017 | | 5,308,421 | | 5,308,421 | | 5,467,673 | | 5,467,673 | |
| 2 | CASH | 8,255,054 | | 24,195,768 | | 24,195,768 | | 24,927,000 | | 24,927,000 | | 25,398,000 | | 25,398,000 | |
| 3 | | | | | | | | | | | | | | | |
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| 9 | | | | | | | | | | | | | | | |
| 10 | | | | | | | | | | | | | | | |
| 11 | TOTAL | \$13,002,429 | 184 | \$28,928,603 | 217 | \$29,391,785 | 220 | \$30,235,421 | 235 | \$30,235,421 | 235 | \$30,865,673 | 235 | \$30,865,673 | 235 |
| | FUNDING SOURCES | | % | | % | | | | % | | % | | % | | % |
| 12 | PRIOR YEAR FUND BALANCE* | 300,802 | 2.31% | 171,624 | 1% | | | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| 13 | GENERAL REVENUE | 3,395,802 | 26% | 3,395,802 | 12% | | | 3,971,388 | 13% | 3,971,388 | 13% | 4,130,640 | 13% | 4,130,640 | 13% |
| 14 | EDUCATIONAL EXCELLENCE TRUST FUND | 0 | 0% | 0 | 0% | | | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| 15 | WORKFORCE 2000 | 1,350,337 | 10% | 1,337,033 | 5% | | | 1,337,033 | 4% | 1,337,033 | 4% | 1,337,033 | 4% | 1,337,033 | 4% |
| 16 | CASH FUNDS | 4,252,235 | 33% | 20,074,144 | 69% | | | 20,777,000 | 69% | 20,777,000 | 69% | 21,148,000 | 69% | 21,148,000 | 69% |
| 17 | SPECIAL REVENUES | | 0% | | 0% | | | | 0% | | 0% | | 0% | | 0% |
| 18 | FEDERAL FUNDS | 3,702,017 | 28% | 3,950,000 | 14% | | | 4,150,000 | 14% | 4,150,000 | 14% | 4,250,000 | 14% | 4,250,000 | 14% |
| 19 | TOBACCO SETTLEMENT FUNDS | 0 | 0% | 0 | 0% | | | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| 20 | OTHER FUNDS | 1,236 | 0% | 0 | 0% | | | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| 21 | TOTAL INCOME | \$13,002,429 | 100% | \$28,928,603 | 100% | | | \$30,235,421 | 100% | \$30,235,421 | 100% | \$30,865,673 | 100% | \$30,865,673 | 100% |
| 22 | EXCESS (FUNDING)/APPROPRIATION | \$0 | | \$0 | | | | \$0 | | \$0 | | \$0 | | \$0 | |

| | |
|--|-------------|
| UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2014: | \$1,873,552 |
| LESS RESERVES FOR: | |
| ACCOUNTS RECEIVABLE | \$717,781 |
| INVENTORIES | |
| YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES | \$178,550 |
| INSURANCE DEDUCTIBLES | \$50,000 |
| MAJOR CRITICAL SYSTEMS FAILURES | \$575,000 |
| 60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES) | \$1,207,222 |
| RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.) | |
| OTHER (FOOTNOTE BELOW) | |
| UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE | (\$855,001) |

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2015-2017 BIENNIUM**

FUND CTC0000

INSTITUTION COSSATOT COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS

APPROPRIATION 705

| | DESCRIPTION | ACTUAL 2013-2014 | BUDGETED 2014-2015 | AUTHORIZED APPROPRIATION 2014-2015 | INSTITUTIONAL REQUEST / AHECB RECOMMENDATION | | LEGISLATIVE RECOMMENDATION | |
|----|-----------------------------------|---------------------|-----------------------|--|---|-------------|----------------------------|-----------|
| | | | | | 2015-2016 | 2016-2017 | 2015-2016 | 2016-2017 |
| 1 | REGULAR SALARIES | 3,036,000 | 3,070,000 | 3,315,000 | 3,405,421 | 3,495,673 | | |
| 2 | EXTRA HELP WAGES | 32,538 | 37,000 | 55,000 | 55,000 | 60,000 | | |
| 3 | OVERTIME | | | | | | | |
| 4 | PERSONAL SERVICES MATCHING | 737,054 | 742,000 | 841,000 | 842,000 | 875,000 | | |
| 5 | OPERATING EXPENSES | 931,605 | 871,033 | 970,000 | 985,000 | 1,005,000 | | |
| 6 | CONFERENCE FEES & TRAVEL | 9,510 | 12,802 | 15,017 | 21,000 | 32,000 | | |
| 7 | PROFESSIONAL FEES AND SERVICES | | | | | | | |
| 8 | CAPITAL OUTLAY | | | | | | | |
| 9 | FUNDED DEPRECIATION | | | | | | | |
| 10 | WORKERS COMP/SURETY PREMIUM | 668 | | | | | | |
| 11 | | | | | | | | |
| 12 | | | | | | | | |
| 13 | TOTAL APPROPRIATION | \$4,747,375 | \$4,732,835 | \$5,196,017 | \$5,308,421 | \$5,467,673 | \$0 | \$0 |
| 14 | PRIOR YEAR FUND BALANCE** | | | | | | | |
| 15 | GENERAL REVENUE | 3,395,802 | 3,395,802 | | 3,971,388 | 4,130,640 | | |
| 16 | EDUCATIONAL EXCELLENCE TRUST FUND | | | | | | | |
| 17 | SPECIAL REVENUES * [WF2000] | 1,350,337 | 1,337,033 | | 1,337,033 | 1,337,033 | | |
| 18 | FEDERAL FUNDS IN STATE TREASURY | | | | | | | |
| 19 | TOBACCO SETTLEMENT FUNDS | | | | | | | |
| 20 | OTHER STATE TREASURY FUNDS | 1,236 | | | | | | |
| 21 | TOTAL INCOME | \$4,747,375 | \$4,732,835 | | \$5,308,421 | \$5,467,673 | \$0 | \$0 |
| 22 | EXCESS (FUNDING)/APPROPRIATION | \$0 | \$0 | | \$0 | \$0 | \$0 | \$0 |

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS
2015-2017 BIENNIUM**

FUND 2770000

INSTITUTION COSSATOT COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS

APPROPRIATION B52

| | DESCRIPTION | ACTUAL 2013-2014 | BUDGETED 2014-2015 | AUTHORIZED APPROPRIATION 2014-2015 | INSTITUTIONAL REQUEST / AHECB RECOMMENDATION | | LEGISLATIVE RECOMMENDATION | |
|----|---|---------------------|-----------------------|--|---|--------------|----------------------------|-----------|
| | | | | | 2015-2016 | 2016-2017 | 2015-2016 | 2016-2017 |
| 1 | REGULAR SALARIES | 2,334,290 | 4,202,431 | 4,202,431 | 4,225,000 | 4,250,000 | | |
| 2 | EXTRA HELP WAGES | 226,222 | 701,785 | 701,785 | 715,000 | 725,000 | | |
| 3 | OVERTIME | | | | | | | |
| 4 | PERSONAL SERVICES MATCHING | 1,169,472 | 1,997,541 | 1,997,541 | 2,005,000 | 2,015,000 | | |
| 5 | OPERATING EXPENSES | 3,862,729 | 7,827,188 | 7,827,188 | 8,205,000 | 8,325,000 | | |
| 6 | CONFERENCE FEES & TRAVEL | 115,875 | 878,914 | 878,914 | 902,000 | 918,000 | | |
| 7 | PROFESSIONAL FEES AND SERVICES | 126,961 | 587,909 | 587,909 | 615,000 | 635,000 | | |
| 8 | CAPITAL OUTLAY | 12,926 | 5,000,000 | 5,000,000 | 5,250,000 | 5,500,000 | | |
| 9 | CAPITAL IMPROVEMENTS | 0 | 2,000,000 | 2,000,000 | 2,000,000 | 2,000,000 | | |
| 10 | DEBT SERVICE | 404,344 | 975,000 | 975,000 | 985,000 | 1,000,000 | | |
| 11 | FUND TRANSFERS, REFUNDS AND INVESTMENTS | | | | | | | |
| 12 | PROMOTIONAL ITEMS | 2,235 | 25,000 | 25,000 | 25,000 | 30,000 | | |
| 13 | | | | | | | | |
| 14 | | | | | | | | |
| 15 | | | | | | | | |
| 16 | TOTAL APPROPRIATION | \$8,255,054 | \$24,195,768 | \$24,195,768 | \$24,927,000 | \$25,398,000 | \$0 | \$0 |
| 17 | PRIOR YEAR FUND BALANCE*** | 300,802 | 171,624 | | | | | |
| 18 | TUITION AND MANDATORY FEES | 2,797,013 | 2,930,000 | | 2,945,000 | 3,150,000 | | |
| 19 | ALL OTHER FEES | 468,158 | 552,415 | | 575,000 | 595,000 | | |
| 20 | SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS | 38,808 | 45,000 | | 55,000 | 65,000 | | |
| 21 | INVESTMENT INCOME | 14,370 | 15,100 | | 16,500 | 18,200 | | |
| 22 | FEDERAL CASH FUNDS | 3,702,017 | 3,950,000 | | 4,150,000 | 4,250,000 | | |
| 23 | OTHER CASH FUNDS | 933,886 | 16,531,629 | | 17,185,500 | 17,319,800 | | |
| 24 | TOTAL INCOME | \$8,255,054 | \$24,195,768 | | \$24,927,000 | \$25,398,000 | \$0 | \$0 |
| 25 | EXCESS (FUNDING)/APPROPRIATION | \$0 | \$0 | | \$0 | \$0 | \$0 | \$0 |

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

| | ACTUAL 2013-2014 | BUDGETED 2014-2015 | AUTHORIZED 2014-2015 | INSTITUTIONAL REQUEST | AHECB RECOMMEND | LEGISLATIVE RECOMMENDATION |
|-------------------|---------------------|-----------------------|-------------------------|--------------------------|--------------------|----------------------------|
| | | | | 2015-2017 | 2015-2017 | 2015-2017 |
| REGULAR POSITIONS | 184 | 217 | 220 | 235 | 235 | |
| TOBACCO POSITIONS | | | | | | |
| EXTRA HELP ** | 80 | 85 | 100 | 100 | 100 | |

FORM 15-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

COSSATOT COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS

(NAME OF INSTITUTION)

| | ACTIVITY | ACTUAL 2013-2014 | | | | BUDGETED 2014-2015 | | | |
|----|--|---------------------|-----------------------|-----------------|---------------|-----------------------|-----------------------|-----------------|---------------|
| | | INCOME | OPERATING EXPENSES | DEBT SERVICE | NET INCOME | INCOME | OPERATING EXPENSES | DEBT SERVICE | NET INCOME |
| 1 | INTERCOLLEGIATE ATHLETICS * | 22,422 | 75,497 | | (53,075) | 20,000 | 51,156 | | (31,156) |
| 2 | HOUSING | | | | 0 | | | | 0 |
| 3 | FOOD SERVICES | | | | 0 | 60,000 | 59,928 | | 72 |
| 4 | STUDENT UNION | | | | 0 | | | | 0 |
| 5 | BOOKSTORE | | | | 0 | | | | 0 |
| 6 | STUDENT ORGANIZATIONS AND PUBLICATIONS | | | | 0 | | | | 0 |
| 7 | OTHER | | | | 0 | | | | 0 |
| 8 | SUBTOTAL | \$22,422 | \$75,497 | \$0 | (\$53,075) | \$80,000 | \$111,084 | \$0 | (\$31,084) |
| 9 | ATHLETIC TRANSFER ** | 53,075 | | | 53,075 | 31,084 | | | 31,084 |
| 10 | OTHER TRANSFERS *** | | | | 0 | | | | 0 |
| 11 | GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES | \$75,497 | \$75,497 | \$0 | \$0 | \$111,084 | \$111,084 | \$0 | \$0 |

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 15-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

COSSATOT COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS
(NAME OF INSTITUTION)

| | | | | | | | |
|---|-------------------|---------------------|-------------------|------------------------|-------------------|------------------|------------|
| TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2013-2014: | | | | | | <u>184</u> | |
| (As of November 1, 2013) | | | | | | | |
| Nonclassified Administrative Employees: | | | | | | | |
| White Male: | <u>6</u> | Black Male: | <u> </u> | Other Male: | <u>1</u> | Total Male: | <u>7</u> |
| White Female: | <u>14</u> | Black Female: | <u> </u> | Other Female: | <u> </u> | Total Female: | <u>14</u> |
| Nonclassified Health Care Employees: | | | | | | | |
| White Male: | <u> </u> | Black Male: | <u> </u> | Other Male: | <u> </u> | Total Male: | <u>0</u> |
| White Female: | <u> </u> | Black Female: | <u> </u> | Other Female: | <u> </u> | Total Female: | <u>0</u> |
| Classified Employees: | | | | | | | |
| White Male: | <u>14</u> | Black Male: | <u>1</u> | Other Male: | <u> </u> | Total Male: | <u>15</u> |
| White Female: | <u>23</u> | Black Female: | <u>1</u> | Other Female: | <u>1</u> | Total Female: | <u>25</u> |
| Faculty: | | | | | | | |
| White Male: | <u>28</u> | Black Male: | <u>1</u> | Other Male: | <u>1</u> | Total Male: | <u>30</u> |
| White Female: | <u>88</u> | Black Female: | <u>3</u> | Other Female: | <u>2</u> | Total Female: | <u>93</u> |
| Total White Male: | <u>48</u> | Total Black Male: | <u>2</u> | Total Other Male: | <u>2</u> | Total Male: | <u>52</u> |
| Total White Female: | <u>125</u> | Total Black Female: | <u>4</u> | Total Other Female: | <u>3</u> | Total Female: | <u>132</u> |
| Total White: | <u>173</u> | Total Black: | <u>6</u> | Total Other: | <u>5</u> | Total Employees: | <u>184</u> |
| | | | | Total Minority: | <u>11</u> | | |

FORM 15-6

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2014
 Required by A.C.A. 25-36-104

Institution

COSSATOT COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS

| Minority Business | Total Contract Awarded | Minority Type per A.C.A. 15-4-303 (2) | | | | | |
|-------------------|------------------------|---------------------------------------|-------------------|-----------------|----------------|---------------------------|------------------|
| | | African American | Hispanic American | American Indian | Asian American | Pacific Islander American | Disabled Veteran |
| N/A | | | | | | | |
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TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$1,449,918
 (Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

**DIVISION OF LEGISLATIVE AUDIT
AUDIT OF ARKANSAS STATE UNIVERSITY – COSSATOT COMMUNITY COLLEGE
June 30, 2013**

| | |
|-----------------|-------------------|
| <i>Finding:</i> | No Findings noted |
|-----------------|-------------------|

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EAST ARKANSAS COMMUNITY COLLEGE

Dr. Coy Grace, President

ENABLING LAWS

Act 103 of 1973 and Act 151 of 2014

INSTITUTION HISTORY AND ORGANIZATION

The statutory justification for EACC's legal authorization was established in Legislative Act 103 as passed by the State General Assembly in 1973. EACC operates under the granted accreditation at the associate degree level by the North Central Association of Colleges and Schools.

By an authorized constitutional amendment, a State legislative act, and a vote of the people of St. Francis County, EACC was authorized to grant degrees through regulations of the State Board of Higher Education.

The Board of Trustees, comprised of nine members appointed by the Governor of the State of Arkansas, is the legal governing agency that establishes official policies for the institution. The Board, subject to the rules and regulations of the Arkansas Department of Higher Education, and to State and Federal laws and regulations, operates within the context of its policies and procedures as enumerated in the Board of Trustees policy Manual.

The nine members of the Governor-appointed Board of Trustees are completely autonomous from the administration. Their terms are staggered to ensure continuity on the Board.

The President is the Chief Executive Officer of the College. Since September of 1999, Dr. Coy Grace has served as the college's President.

Act 151 of the 89th General Legislature makes an appropriation for personal services and operating expenses for East Arkansas Community College. East Arkansas Community College is requesting no increase in positions for the next biennium.

MISSION STATEMENT

The mission of East Arkansas Community College is to enhance the quality of life for everyone within the EACC service community by providing opportunities for educational excellence, economic stimulation, and cultural enrichment.

VISION STATEMENT

As an open-door, two-year institution of higher education, East Arkansas Community College's primary focus is a commitment to learning by educating and preparing students to become responsible citizens and contributing members of society. In addition, the

EAST ARKANSAS COMMUNITY COLLEGE

Dr. Coy Grace, President

College realizes the importance of serving other clients, including area businesses, industries, and educational institutions. In order to actualize its mission, the College is committed to promoting the intellectual and cultural advancement of the community, fostering diversity, tolerance, and mutual respect among its constituents by offering the human and physical resources of the college, and continued improvements through professional development of its faculty and staff.

Through these efforts and through its cooperation with other educational institutions, the College is an active partner in the economic and social progress of eastern Arkansas. The specific objectives used to fulfill the vision of EACC are to offer:

1. Correlated programs of study that will transfer to four-year institutions.
2. Occupational degrees and certificate programs consistent with the needs of our students and service area.
3. Lifelong learning and industrial training programs to meet the needs of the community.
4. Qualified high school students the option to earn college credit through articulation agreements.
5. A broad range of educational opportunities by providing access to other institutions through cooperative agreements, joint programs, and distance learning.
6. Developmental education that assists individuals in improving learning skills and overcoming educational deficiencies.
7. Personalized guidance and counseling services which promote the proper placement of students in all courses and programs of study.
8. Opportunities for faculty and staff development.

**INSTITUTION APPROPRIATION SUMMARY
2015-2017 BIENNIUM**

INSTITUTION EAST ARKANSAS COMMUNITY COLLEGE

| | APPROPRIATION | HISTORICAL DATA | | | | | | INSTITUTION REQUEST & AHECB RECOMMENDATION | | | | | | | |
|----|-----------------------------------|-----------------|-------|--------------|------|--------------|-----|--|------|--------------|------|--------------|------|--------------|------|
| | | 2013-2014 | | 2014-2015 | | 2014-2015 | | 2015-2016 | | | | 2016-2017 | | | |
| | | ACTUAL | POS | BUDGETED | POS | AUTHORIZED | POS | INST REQUEST | POS | AHECB REC | POS | INST REQUEST | POS | AHECB REC | POS |
| 1 | STATE TREASURY | 6,446,167 | | 6,648,881 | | 6,805,900 | | 6,712,789 | | 6,712,789 | | 6,914,173 | | 6,914,173 | |
| 2 | CASH | 3,808,702 | | 27,400,000 | | 27,400,000 | | 27,400,000 | | 27,400,000 | | 27,400,000 | | 27,400,000 | |
| 3 | | | | | | | | | | | | | | | |
| 4 | | | | | | | | | | | | | | | |
| 5 | | | | | | | | | | | | | | | |
| 6 | | | | | | | | | | | | | | | |
| 7 | | | | | | | | | | | | | | | |
| 8 | | | | | | | | | | | | | | | |
| 9 | | | | | | | | | | | | | | | |
| 10 | | | | | | | | | | | | | | | |
| 11 | TOTAL | \$10,254,869 | 232 | \$34,048,881 | 213 | \$34,205,900 | 308 | \$34,112,789 | 308 | \$34,112,789 | 308 | \$34,314,173 | 308 | \$34,314,173 | 308 |
| | FUNDING SOURCES | | % | | % | | | | % | | % | | % | | % |
| 12 | PRIOR YEAR FUND BALANCE* | 0 | 0.00% | 92,369 | 0% | | | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| 13 | GENERAL REVENUE | 5,788,058 | 56% | 5,788,058 | 17% | | | 5,944,335 | 17% | 5,944,335 | 17% | 6,145,719 | 18% | 6,145,719 | 18% |
| 14 | EDUCATIONAL EXCELLENCE TRUST FUND | 750,478 | 7% | 768,454 | 2% | | | 768,454 | 2% | 768,454 | 2% | 768,454 | 2% | 768,454 | 2% |
| 15 | WORKFORCE 2000 | 0 | 0% | 0 | 0% | | | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| 16 | CASH FUNDS | 2,705,137 | 26% | 21,903,077 | 64% | | | 21,674,675 | 64% | 21,674,675 | 64% | 21,423,789 | 62% | 21,423,789 | 62% |
| 17 | SPECIAL REVENUES | | 0% | | 0% | | | | 0% | | 0% | | 0% | | 0% |
| 18 | FEDERAL FUNDS | 1,103,565 | 11% | 5,496,923 | 16% | | | 5,725,325 | 17% | 5,725,325 | 17% | 5,976,211 | 17% | 5,976,211 | 17% |
| 19 | TOBACCO SETTLEMENT FUNDS | 0 | 0% | 0 | 0% | | | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| 20 | OTHER FUNDS | 0 | 0% | 0 | 0% | | | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| 21 | TOTAL INCOME | \$10,347,238 | 100% | \$34,048,881 | 100% | | | \$34,112,789 | 100% | \$34,112,789 | 100% | \$34,314,173 | 100% | \$34,314,173 | 100% |
| 22 | EXCESS (FUNDING)/APPROPRIATION | (\$92,369) | | \$0 | | | | \$0 | | \$0 | | \$0 | | \$0 | |

| | |
|--|-------------|
| UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2014: | \$3,484,029 |
| LESS RESERVES FOR: | |
| ACCOUNTS RECEIVABLE | \$100,920 |
| INVENTORIES | \$18,280 |
| YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES | \$35,454 |
| INSURANCE DEDUCTIBLES | \$100,000 |
| MAJOR CRITICAL SYSTEMS FAILURES | \$1,000,000 |
| 60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES) | \$1,743,324 |
| RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.) | \$150,000 |
| OTHER (FOOTNOTE BELOW) | \$192,543 |
| UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE | \$143,508 |

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

NOTE: OTHER - Woodruff Electric Certificate of Equity

**APPROPRIATION ACT FORM - STATE TREASURY
2015-2017 BIENNIUM**

FUND CWE0000

INSTITUTION EAST ARKANSAS COMMUNITY COLLEGE

APPROPRIATION 538

| | DESCRIPTION | ACTUAL 2013-2014 | BUDGETED 2014-2015 | AUTHORIZED APPROPRIATION 2014-2015 | INSTITUTIONAL REQUEST / AHECB RECOMMENDATION | | LEGISLATIVE RECOMMENDATION | |
|----|-----------------------------------|---------------------|-----------------------|--|---|-------------|----------------------------|-----------|
| | | | | | 2015-2016 | 2016-2017 | 2015-2016 | 2016-2017 |
| 1 | REGULAR SALARIES | 4,517,524 | 4,553,217 | 4,817,460 | 4,623,814 | 4,750,198 | | |
| 2 | EXTRA HELP WAGES | 21,000 | 21,000 | 21,000 | 21,000 | 21,000 | | |
| 3 | OVERTIME | | | | | | | |
| 4 | PERSONAL SERVICES MATCHING | 1,150,000 | 1,146,879 | 1,150,000 | 1,150,000 | 1,150,000 | | |
| 5 | OPERATING EXPENSES | 756,703 | 927,785 | 817,440 | 917,975 | 992,975 | | |
| 6 | CONFERENCE FEES & TRAVEL | | | | | | | |
| 7 | PROFESSIONAL FEES AND SERVICES | | | | | | | |
| 8 | CAPITAL OUTLAY | | | | | | | |
| 9 | FUNDED DEPRECIATION | | | | | | | |
| 10 | WORKERS COMP/SURETY PREMIUM | 940 | | | | | | |
| 11 | | | | | | | | |
| 12 | | | | | | | | |
| 13 | TOTAL APPROPRIATION | \$6,446,167 | \$6,648,881 | \$6,805,900 | \$6,712,789 | \$6,914,173 | \$0 | \$0 |
| 14 | PRIOR YEAR FUND BALANCE** | | 92,369 | | | | | |
| 15 | GENERAL REVENUE | 5,788,058 | 5,788,058 | | 5,944,335 | 6,145,719 | | |
| 16 | EDUCATIONAL EXCELLENCE TRUST FUND | 750,478 | 768,454 | | 768,454 | 768,454 | | |
| 17 | SPECIAL REVENUES * [WF2000] | | | | | | | |
| 18 | FEDERAL FUNDS IN STATE TREASURY | | | | | | | |
| 19 | TOBACCO SETTLEMENT FUNDS | | | | | | | |
| 20 | OTHER STATE TREASURY FUNDS | | | | | | | |
| 21 | TOTAL INCOME | \$6,538,536 | \$6,648,881 | | \$6,712,789 | \$6,914,173 | \$0 | \$0 |
| 22 | EXCESS (FUNDING)/APPROPRIATION | (\$92,369) | \$0 | | \$0 | \$0 | \$0 | \$0 |

* Report WF2000 funds on line 17 - "Special Revenues".

FORM 15-3

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS
2015-2017 BIENNIUM**

FUND 2110000

INSTITUTION EAST ARKANSAS COMMUNITY COLLEGE

APPROPRIATION B05

| | DESCRIPTION | ACTUAL 2013-2014 | BUDGETED 2014-2015 | AUTHORIZED APPROPRIATION 2014-2015 | INSTITUTIONAL REQUEST / AHECB RECOMMENDATION | | LEGISLATIVE RECOMMENDATION | |
|----|---|---------------------|-----------------------|--|---|--------------|----------------------------|-----------|
| | | | | | 2015-2016 | 2016-2017 | 2015-2016 | 2016-2017 |
| 1 | REGULAR SALARIES | 1,113,049 | 2,500,000 | 2,500,000 | 2,500,000 | 2,500,000 | | |
| 2 | EXTRA HELP WAGES | 104,742 | 300,000 | 300,000 | 300,000 | 300,000 | | |
| 3 | OVERTIME | 1,381 | 10,000 | 10,000 | 10,000 | 10,000 | | |
| 4 | PERSONAL SERVICES MATCHING | 772,146 | 1,694,734 | 1,694,734 | 1,694,734 | 1,694,734 | | |
| 5 | OPERATING EXPENSES | 1,534,717 | 2,604,000 | 2,604,000 | 2,604,000 | 2,604,000 | | |
| 6 | CONFERENCE FEES & TRAVEL | 76,281 | 321,000 | 321,000 | 321,000 | 321,000 | | |
| 7 | PROFESSIONAL FEES AND SERVICES | 25,169 | 300,000 | 300,000 | 300,000 | 300,000 | | |
| 8 | CAPITAL OUTLAY | 156,401 | 1,250,000 | 1,250,000 | 1,250,000 | 1,250,000 | | |
| 9 | CAPITAL IMPROVEMENTS | 9,510 | 15,593,266 | 15,593,266 | 15,593,266 | 15,593,266 | | |
| 10 | DEBT SERVICE | 0 | 300,000 | 300,000 | 300,000 | 300,000 | | |
| 11 | FUND TRANSFERS, REFUNDS AND INVESTMENTS | 0 | 2,500,000 | 2,500,000 | 2,500,000 | 2,500,000 | | |
| 12 | PROMOTIONAL | 15,306 | 27,000 | 27,000 | 27,000 | 27,000 | | |
| 13 | | | | | | | | |
| 14 | | | | | | | | |
| 15 | | | | | | | | |
| 16 | TOTAL APPROPRIATION | \$3,808,702 | \$27,400,000 | \$27,400,000 | \$27,400,000 | \$27,400,000 | \$0 | \$0 |
| 17 | PRIOR YEAR FUND BALANCE*** | | | | | | | |
| 18 | TUITION AND MANDATORY FEES | 1,328,240 | 2,453,130 | | 2,585,549 | 2,663,116 | | |
| 19 | ALL OTHER FEES | 442,113 | 714,168 | | 722,900 | 731,450 | | |
| 20 | SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS | 645,845 | 644,266 | | 693,134 | 703,554 | | |
| 21 | INVESTMENT INCOME | 13,679 | 15,000 | | 15,000 | 17,000 | | |
| 22 | FEDERAL CASH FUNDS | 1,103,565 | 5,496,923 | | 5,725,325 | 5,976,211 | | |
| 23 | OTHER CASH FUNDS | 275,260 | 18,076,513 | | 17,658,092 | 17,308,669 | | |
| 24 | TOTAL INCOME | \$3,808,702 | \$27,400,000 | | \$27,400,000 | \$27,400,000 | \$0 | \$0 |
| 25 | EXCESS (FUNDING)/APPROPRIATION | \$0 | \$0 | | \$0 | \$0 | \$0 | \$0 |

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

| | ACTUAL 2013-2014 | BUDGETED 2014-2015 | AUTHORIZED 2014-2015 | INSTITUTIONAL REQUEST | AHECB RECOMMEND | LEGISLATIVE RECOMMENDATION |
|-------------------|---------------------|-----------------------|-------------------------|--------------------------|--------------------|----------------------------|
| | | | | 2015-2017 | 2015-2017 | 2015-2017 |
| REGULAR POSITIONS | 232 | 213 | 308 | 308 | 308 | |
| TOBACCO POSITIONS | | | | | | |
| EXTRA HELP ** | 107 | 249 | 249 | 249 | 249 | |

FORM 15-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

EAST ARKANSAS COMMUNITY COLLEGE
(NAME OF INSTITUTION)

| | ACTIVITY | ACTUAL 2013-2014 | | | | BUDGETED 2014-2015 | | | |
|----|--|---------------------|-----------------------|-----------------|---------------|-----------------------|-----------------------|-----------------|---------------|
| | | INCOME | OPERATING EXPENSES | DEBT SERVICE | NET INCOME | INCOME | OPERATING EXPENSES | DEBT SERVICE | NET INCOME |
| 1 | INTERCOLLEGIATE ATHLETICS * | | | | 0 | | | | 0 |
| 2 | HOUSING | | | | 0 | | | | 0 |
| 3 | FOOD SERVICES | 1,975 | | | 1,975 | 3,000 | | | 3,000 |
| 4 | STUDENT UNION | | | | 0 | | | | 0 |
| 5 | BOOKSTORE | 572,629 | 526,912 | | 45,717 | 666,000 | 626,500 | | 39,500 |
| 6 | STUDENT ORGANIZATIONS AND PUBLICATIONS | | | | 0 | | 26,134 | | (26,134) |
| 7 | OTHER | | 40,000 | | (40,000) | | 40,000 | | (40,000) |
| 8 | SUBTOTAL | \$574,604 | \$566,912 | \$0 | \$7,692 | \$669,000 | \$692,634 | \$0 | (\$23,634) |
| 9 | ATHLETIC TRANSFER ** | | | | 0 | | | | 0 |
| 10 | OTHER TRANSFERS *** | 23,634 | | | 23,634 | 23,634 | | | 23,634 |
| 11 | GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES | \$598,238 | \$566,912 | \$0 | \$31,326 | \$692,634 | \$692,634 | \$0 | \$0 |

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 15-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 10 Other Transfers - Transfer from Unrestricted E & G to Auxiliary

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

EAST ARKANSAS COMMUNITY COLLEGE
(NAME OF INSTITUTION)

| | | | | | |
|---|-----|---------------------|----|------------------------|-----------|
| TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2013-2014: | | | | | 175 |
| (As of November 1, 2013) | | | | | |
| Nonclassified Administrative Employees: | | | | | |
| White Male: | 9 | Black Male: | 4 | Other Male: | 0 |
| White Female: | 16 | Black Female: | 4 | Other Female: | 2 |
| | | | | Total Male: | 13 |
| | | | | Total Female: | 22 |
| Nonclassified Health Care Employees: | | | | | |
| White Male: | 0 | Black Male: | 0 | Other Male: | 0 |
| White Female: | 0 | Black Female: | 0 | Other Female: | 0 |
| | | | | Total Male: | 0 |
| | | | | Total Female: | 0 |
| Classified Employees: | | | | | |
| White Male: | 6 | Black Male: | 2 | Other Male: | 0 |
| White Female: | 20 | Black Female: | 16 | Other Female: | 1 |
| | | | | Total Male: | 8 |
| | | | | Total Female: | 37 |
| Faculty: | | | | | |
| White Male: | 34 | Black Male: | 5 | Other Male: | 2 |
| White Female: | 44 | Black Female: | 9 | Other Female: | 1 |
| | | | | Total Male: | 41 |
| | | | | Total Female: | 54 |
| Total White Male: | 49 | Total Black Male: | 11 | Total Other Male: | 2 |
| Total White Female: | 80 | Total Black Female: | 29 | Total Other Female: | 4 |
| Total White: | 129 | Total Black: | 40 | Total Other: | 6 |
| | | | | Total Minority: | 46 |
| | | | | Total Employees: | 175 |

FORM 15-6

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2014
 Required by A.C.A. 25-36-104

Institution EAST ARKANSAS COMMUNITY COLLEGE

| Minority Business | Total Contract Awarded | Minority Type per A.C.A. 15-4-303 (2) | | | | | |
|-------------------|------------------------|---------------------------------------|-------------------|-----------------|----------------|---------------------------|------------------|
| | | African American | Hispanic American | American Indian | Asian American | Pacific Islander American | Disabled Veteran |
| N/A | | | | | | | |
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TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$263,085
 (Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

**DIVISION OF LEGISLATIVE AUDIT
AUDIT OF EAST ARKANSAS COMMUNITY COLLEGE
June 30, 2013**

| | |
|-----------------|-------------------|
| <i>Finding:</i> | No Findings noted |
|-----------------|-------------------|

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MID-SOUTH COMMUNITY COLLEGE

Dr. Glen Fenter, President

ENABLING LAWS

Act 1244 of 1991; Act 199 of 2014

INSTITUTION HISTORY AND ORGANIZATION

Mid-South Community College was created by Arkansas Act 1244 of 1991, which converted 14 of Arkansas' vocational technical schools into technical colleges. In operation since 1979, it experienced little growth or financial support during its twelve years as one of the state's smallest vocational technical schools. The original campus offered only two instructional buildings and a maintenance shop. At the time of the conversion, Mid-South offered primarily adult education classes and occupational programs in practical nursing, over-the-road trucking, welding, data processing and clerical support.

Mid-South Community College is now a fully accredited, two-year public institution serving Crittenden and surrounding counties. Its founding marked the first local provision of higher education in a county which has lagged decades behind more prosperous areas in educational and economic development. To address these deficits effectively, College personnel are committed to student learning, customer service, and an entrepreneurial approach to education.

MSCC is committed to building a continuum of educational opportunities through alliances with both public schools and other institutions of higher education to increase college-preparedness and to provide local access to baccalaureate and graduate programs and professional training. To this end, MSCC offers 12 associate degree programs, 5 technical certificate programs, 26 certificate of proficiency programs, 10 licensure/certification programs, developmental education, and adult education. In 2013-14, the College employed 44 full-time instructors, approximately 89 adjuncts and 99 full-time staff. In addition, the College hosts upper-division and graduate courses offered on campus by Arkansas State University, Arkansas Tech University, Bethel University, the University of Central Arkansas, the University of Arkansas-Fort Smith, the University of Arkansas-Fayetteville, the University of Arkansas-Pine Bluff, Franklin University, and Montana State University-Northern.

Act 199 of the Fiscal Session 2014 is Mid-South Community College appropriation act for personnel services and operating expenses for the fiscal year ending June 30, 2015. Although appropriation totals \$6,448,717, RSA and WF2000 forecasted funding as of July 21, 2014 is only \$6,027,335 (.36% less than previous year) for a difference of \$421,382. The funding formula model for two-year colleges stated in A.C.A 6-61-229 calculates MSCC's total need at \$8,085,970 but total recommendation was only \$6,123,258 or 74.8% of need. This percentage represents a \$1,962,382 deficit in funding which is desperately needed to help meet the challenges facing the Arkansas Delta.

MID-SOUTH COMMUNITY COLLEGE

Dr. Glen Fenter, President

MISSION STATEMENT

Mid-South Community College is a public two-year institution of higher education with an open-door admission policy, serving Crittenden County, Arkansas, and the surrounding areas with a comprehensive educational program. The College is committed to economic development in the Arkansas Delta through the provision of high quality, affordable, and convenient learning opportunities and services consistent with identified student, community, and regional needs. To meet these needs, the College provides quality academic and support programs, personnel, technology, administrative services, and facilities necessary to respond in a timely and effective manner.

Members of the Mid-South Community College Board of Trustees, who are appointed by the Governor, serve without compensation. The Board of Trustees establishes and reviews basic policies that govern the institution.

Mid-South Community College continues our aggressive assessment of the employment needs of our region, nation, and world to provide education and training programs that will prepare our students for the jobs of today and tomorrow. Areas of emphasis for the 2014-2015 academic year include renewable energy, transportation, healthcare, welding and aviation. We are excited about what the future holds and what we can do to make it better.

The increased emphasis on the development and utilization of non-petroleum based fuels and products creates an opportunity for us to be a major player in the alternative energy market and the development of a Process Control Technology program. Our proximity to major interstate highways and regional distribution centers makes our college an ideal location for enhanced programs in Transportation, Distribution, and Logistics as well. We revamped our welding program and our students, including high school students, continue to excel in earning industry certifications. And the FFA has approved modifications in our Airframe and Powerplant program which allows us to efficiently train more students in the program.

While Mid-South Community College isn't requesting additional appropriation we do contend that not only is the model not fully funded but the funding model has deficiencies. Two-year colleges are generally designated as the primary mechanisms to provide workforce training, and state policy is a key factor in determining how effective they are in carrying out their workforce development mission. This is certainly true in Arkansas, yet the workforce training which is so critical to regional and state economic development is not included in the state's funding formula for two year colleges.

- The current funding formula is designated primarily to fund general education and transfer functions and is based

MID-SOUTH COMMUNITY COLLEGE
Dr. Glen Fenter, President

upon traditional university student profiles which assume most students are prepared for college with few barriers to completion.

- No additional funding consideration is given to capacity-building and delivery of technical programs which are critical in meeting industry-driven employer need for the development of a skilled workforce.
- The current funding formula does not differentiate between a general education class and a technical class (i.e., Philosophy vs. Robotics). This means that the formula calculation for funding for a Robotics class is the same as the formula calculation for funding for a Philosophy class, although the expense of offering a Robotics class is much greater.
- The costs of ensuring qualified faculty for technical programs, who can receive more attractive compensation in the private sector, are not factored into the two-year college funding formula.
- The costs of acquiring and maintaining technology and equipment that meet industry requirements are not factored into the two-year college funding formula.
- There is no funding mechanism for technical training that is not part of an academic award system; the current model does not fund industry certifications that lead to employment.
- No additional funding consideration is given to enable college to effectively meet the educational and social needs of economically and educationally challenged student.
- Local public school districts receive millions of additional federal dollars annually in NSLA funding to support poor students, particularly in eastern Arkansas, yet no state formula funding provides support for these same student when they enter college.

In addition to the numerous challenges previously identified, the state's historical trends in per student investment indicate not only a significant decline in state funding to Arkansas two-year colleges but also inequitable funding in comparison to the continued increases in K-12 funding.

Mid-South Community College, as does a majority of the other two-year colleges in Arkansas, houses a secondary technical center offering workforce training to high school students through a model designed not to duplicate technical program offerings on area high school campuses. This model supports college and career readiness through a career pathway concept, offering concurrent credit which enables students to attain a college certificate of proficiency while in high school and then progress along the pathway of stackable credentials to earn additional certificates/degrees as a college student. The secondary technical center programs are funded primarily by the Arkansas Department of Career Education and pass-through funding from the local school districts, but state appropriations for these programs have been flat for several years, with less than a 1% increase in 2014-2015 appropriations when compared to 2007-2008

MID-SOUTH COMMUNITY COLLEGE
Dr. Glen Fenter, President

appropriations. The lack of adequate state funding also means restrictions on new program start-ups and limited/delayed funding of one-time equipment grants to support programs. As a result, the ability to sustain relevant, industry-driven programs is impaired, and the two-year colleges must attempt to absorb the shortfall with already insufficient institutional resources.

There are obviously flaws in Arkansas' current funding model for two-year colleges. Institutions must stretch already tight and even declining budgets to absorb those expenses beyond what tuition and fees cover. MSCC continues to seek external grant resources to (1) add relevant technical programs to meet employer needs, (2) improve technology and equipment infrastructure, (3) hire qualified faculty with relevant industry credentials, and (4) provide supportive services essential for student success. Once the initial funding has ended, however, we struggle to sustain that capacity. We request the state re-establish adequate funding to support workforce programming on two-year college campuses in Arkansas.

ARKANSAS DELTA TRAINING & EDUCATION CONSORTIUM (ADTEC)

ENABLING LAWS

Act 199 of 2014

INSTITUTION HISTORY AND ORGANIZATION

Historically, the Arkansas Delta has lagged decades behind more prosperous areas of the state as it has struggled with a post-agrarian economy bereft of strong industry, saddled with an under-educated workforce, and possessing minimal resources with which to effect substantial change. As a result, much of eastern Arkansas has been home to a depressed economy and a substantial population of citizens who are tax *consumers* rather than tax *producers*.

To address these problems, four community colleges (Arkansas Northeastern College, East Arkansas Community College, Mid-South Community College, and Phillips Community College of the University of Arkansas) collaborated in 2005 to create the Arkansas Delta Training & Education Consortium (ADTEC). Arkansas State University-Newport became the fifth consortium college in July 2006. Espousing a unilateral commitment to supporting workforce and economic development in the Arkansas Delta, ADTEC first secured \$5,935,402 from the U.S. Department of Labor in March 2006 to underwrite its economic agenda.

From October 2005 through June 2014, ADTEC initiatives have secured federal grant awards totaling more than \$66 million. The list of accomplishments generated is substantial; the following is a list of some of the highlights:

Advanced Manufacturing

U.S. Department of Labor funding of \$5,935,402 in November 2005 created ADTEC college capacity, including curriculum development and the acquisition of faculty and equipment, to create a career pathway in advanced manufacturing and to provide training and education to existing and emerging manufacturing industries in the Arkansas Delta region. As a result, four regional skills centers exist, which support manufacturing training specialization.

- Steel Manufacturing Technology, Arkansas Northeastern College, Blytheville

ARKANSAS DELTA TRAINING & EDUCATION CONSORTIUM (ADTEC)

- Plastics Manufacturing Technology, East Arkansas Community College, Forrest City
- CNC Machining, Mid-South Community College, West Memphis
- Welding Technology, Phillips Community College of the University of Arkansas, Helena

An additional \$250,000 in funding was provided by the Delta Regional Authority in February 2006 to fund training equipment for the automotive manufacturing industry in the Arkansas Delta and \$20,000 by the Arkansas Department of Workforce Education in October 2005 to fund curriculum development for the high school component of the career pathway.

Through \$2,500,000 in U.S. Department of Labor TAACCCT funding in October 2012, industry certifications will be aligned with career pathway credential points in manufacturing and other designated technical programs.

Allied Health

This \$2,000,000 initiative funded by the U.S. Department of Labor created ADTEC college capacity, including curriculum development and the acquisition of faculty and equipment, to create various allied health career pathways and to provide training and education to support the health care industry in eastern Arkansas. Each ADTEC college developed a unique allied health program as part of a regional workforce development strategy to provide a variety of needed programs to meet industry employment needs.

- Patient Care Technician, Arkansas Northeastern College, Blytheville
- Surgical Technology, Arkansas State University-Newport
- Occupational Therapy Assistant, East Arkansas Community College, Forrest City
- Medical Assistant, Mid-South Community College, West Memphis

ARKANSAS DELTA TRAINING & EDUCATION CONSORTIUM (ADTEC)

- Medical Lab Technology, Phillips Community College of the University of Arkansas, Helena

Aviation Maintenance Technology

Mid-South Community College and Arkansas Northeastern College are ADTEC partners in this initiative, supported by \$3,391,053 in funding by the U.S. Department of Labor, which promotes workforce development and economic development by supporting the workforce training needs of the aviation industry in Arkansas and western Tennessee. The project creates a career pathway in aviation maintenance technology, which includes an FAA-certified Airframe and Powerplant technician training program, and creates capacity to deliver training, including curriculum development and the acquisition of faculty and equipment.

An additional \$1,500,000 from the Economic Development Administration bureau of the U.S. Department of Commerce helped to fund construction of an airport training facility for the program. The Delta Regional Authority provided \$250,000 to establish the Mid-South Institute for Non-Destructive Testing (NDT) Training which will support job training and/or employment-related training for the aviation, manufacturing, steel, renewable energy, and oil/gas industries in eastern Arkansas, western Tennessee, northwest Mississippi, southeast Missouri, and southern Illinois.

Renewable Energy Technology

ADTEC received \$1,986,765 in U.S. Department of Labor funding to create a career pathway in Renewable Energy Technology Education and capacity to deliver training, including curriculum development and the acquisition of faculty and equipment. This, coupled with \$772,000 in funding for a renewable energy crops demonstration project through the Arkansas Delta WIRED initiative, established the Center of Excellence in Renewable Energy Technology Education (CERETE), based in Arkansas County. The Arkansas Department of Career Education provided \$25,000 for development of the curriculum for the high school component of the career pathway. CERETE continues to support experimentation of renewable energy crops and agricultural processes related to renewable energy.

ADTEC's focus on renewable energy technology has continued to evolve, as evidenced by the creation of the Marion Berry Renewable Energy Center (MBREC) in Crittenden County. This facility includes laboratories to support biofuel production technologies, labs to analyze fuel and lubricant properties, and a small engine test cell that supports

ARKANSAS DELTA TRAINING & EDUCATION CONSORTIUM (ADTEC)

entrepreneurs and researchers as they seek to develop new alternative fuels and lubricants. The facility also features a research-grade large engine test cell to determine the effects of biofuels and biolubricants on engine performance and durability, supporting equipment testing for transportation and agriculture industries. A second-generation micro-biodiesel refinery, developed by the University of Memphis for Mid-South Community College, is housed in the Center and serves as a production, research, and workforce development too. Technology needed to create a fully-integrated biomass-based biofuel refinery is in place, and capacity to produce alternative fuels for automobiles and jets is under development.

The MBREC houses career pathways in Renewable Energy Technology and Diesel/Biodiesel Technology. Funding includes \$2,000,000, construction, from the Economic Development Administration, U.S. Department of Commerce; \$100,000, biodiesel chemical analysis lab, Delta Regional Authority; \$350,000, microrefinery, University of Memphis/U.S. Department of Energy.

Transportation Technology

The most recent ADTEC training initiative in support of Transportation Technology is the Arkansas Delta Transportation Education Project (ADTEP). Funding from the U.S. Department of Labor totaling \$4,326,254 provides regional capacity to deploy career pathways in diesel and automotive technology, with a focus on alternative fuel and hybrid vehicle technologies. This initiative also partners with Montana State University-Northern to bring the Bachelor of Science Degree in Diesel Technology to eastern Arkansas.

Previous funding from the National Science Foundation and the U.S. Department of Labor, combined at \$568,383, supported the development of career pathways in distribution and logistics and in diesel technology. This development helped to create the foundation for a focus on diesel/biodiesel and the concept of a regional Transportation Technology Center, which has subsequently evolved into the Marion Berry Renewable Energy Center on the MSCC campus. One of the first grant-funded ADTEC transportation projects included Mid-South Community College and Arkansas State University-Newport. The U.S. Department of Labor funded \$1,350,606 for the creation of a model rapid-response program to train heavy truck drivers, incorporating state-of-the-art simulation training, a truck driver apprenticeship program, and Standard Operating Procedures for disaster recovery scenarios.

ARKANSAS DELTA TRAINING & EDUCATION CONSORTIUM (ADTEC)

Awards and Recognition

ADTEC is a proven, award-winning workforce model that is receiving national recognition.

- Bellwether Legacy Top 10 Finalist, Community College Futures Assembly, 2014
- Mid-South Community College, lead ADTEC college, featured in *Southern Business & Development* as one of the 10 top workforce development community colleges in the South, 2013
- Recognized by the Education Commission of the States as one of 4 exemplary national workforce development models, 2nd National Summit on the Role of Education in Economic Development in Rural America, 2012
- Bellwether Award Winner, *Workforce Development Category*, Community College Futures Assembly, 2010
- Highlighted as a national workforce development model in *Employers, Low-Income Young Adults, and Postsecondary Credentials*, October 2009, a Workforce Strategy Center report underwritten by the Bill & Melinda Gates Foundation
- U. S. Department of Labor Recognition of Excellence Award in the category *Building a Regionally Focused Workforce Strategy*, 2008
- Southern Growth Policies Board Innovator of the Year Award, 2007

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**INSTITUTION APPROPRIATION SUMMARY
2015-2017 BIENNIUM**

INSTITUTION MID-SOUTH COMMUNITY COLLEGE

| | APPROPRIATION | HISTORICAL DATA | | | | | | INSTITUTION REQUEST & AHECB RECOMMENDATION | | | | | | | |
|----|-----------------------------------|-----------------|-------|--------------|------|--------------|-----|--|------|--------------|------|--------------|------|--------------|------|
| | | 2013-2014 | | 2014-2015 | | 2014-2015 | | 2015-2016 | | | | 2016-2017 | | | |
| | | ACTUAL | POS | BUDGETED | POS | AUTHORIZED | POS | INST REQUEST | POS | AHECB REC | POS | INST REQUEST | POS | AHECB REC | POS |
| 1 | STATE TREASURY | 6,319,205 | | 6,107,335 | | 6,488,717 | | 6,211,501 | | 6,211,501 | | 6,395,446 | | 6,395,446 | |
| 2 | CASH | 18,267,891 | | 75,135,000 | | 75,135,000 | | 77,985,000 | | 77,985,000 | | 81,035,000 | | 81,035,000 | |
| 3 | STATE TREASURY - ADTEC | 1,000,000 | | 1,500,000 | | 2,046,000 | | 2,045,000 | | 2,045,000 | | 2,091,350 | | 2,091,350 | |
| 4 | | | | | | | | | | | | | | | |
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| 9 | | | | | | | | | | | | | | | |
| 10 | | | | | | | | | | | | | | | |
| 11 | TOTAL | \$25,587,096 | 215 | \$82,742,335 | 211 | \$83,669,717 | 311 | \$86,241,501 | 316 | \$86,241,501 | 316 | \$89,521,796 | 316 | \$89,521,796 | 316 |
| | FUNDING SOURCES | | % | | % | | | | % | | % | | % | | % |
| 12 | PRIOR YEAR FUND BALANCE* | 182,631 | 0.71% | 0 | 0% | | | 750,000 | 1% | 750,000 | 1% | 750,000 | 1% | 750,000 | 1% |
| 13 | GENERAL REVENUE | 4,858,007 | 19% | 5,358,007 | 6% | | | 6,007,173 | 7% | 6,007,173 | 7% | 6,237,468 | 7% | 6,237,468 | 7% |
| 14 | EDUCATIONAL EXCELLENCE TRUST FUND | 0 | 0% | 0 | 0% | | | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| 15 | WORKFORCE 2000 | 2,190,914 | 9% | 2,169,328 | 3% | | | 2,169,328 | 3% | 2,169,328 | 3% | 2,169,328 | 2% | 2,169,328 | 2% |
| 16 | CASH FUNDS | 11,137,702 | 44% | 50,135,000 | 61% | | | 62,235,000 | 72% | 62,235,000 | 72% | 65,285,000 | 73% | 65,285,000 | 73% |
| 17 | SPECIAL REVENUES | | 0% | | 0% | | | | 0% | 0 | 0% | | 0% | 0 | 0% |
| 18 | FEDERAL FUNDS | 7,130,189 | 28% | 25,000,000 | 30% | | | 15,000,000 | 17% | 15,000,000 | 17% | 15,000,000 | 17% | 15,000,000 | 17% |
| 19 | TOBACCO SETTLEMENT FUNDS | 0 | 0% | 0 | 0% | | | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| 20 | OTHER FUNDS | 87,653 | 0% | 80,000 | 0% | | | 80,000 | 0% | 80,000 | 0% | 80,000 | 0% | 80,000 | 0% |
| 21 | TOTAL INCOME | \$25,587,096 | 100% | \$82,742,335 | 100% | | | \$86,241,501 | 100% | \$86,241,501 | 100% | \$89,521,796 | 100% | \$89,521,796 | 100% |
| 22 | EXCESS (FUNDING)/APPROPRIATION | \$0 | | \$0 | | | | \$0 | | \$0 | | \$0 | | \$0 | |

| | |
|--|-------------|
| UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2014: | \$4,263,415 |
| LESS RESERVES FOR: | |
| ACCOUNTS RECEIVABLE | \$2,019,761 |
| INVENTORIES | \$30,000 |
| YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES | |
| INSURANCE DEDUCTIBLES | \$10,000 |
| MAJOR CRITICAL SYSTEMS FAILURES | \$250,000 |
| 60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES) | \$2,100,000 |
| RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.) | |
| OTHER (FOOTNOTE BELOW) | |
| UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE | (\$146,346) |

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2015-2017 BIENNIUM (Non-Formula Entities)**

ADTEC/ADTEC UNIVERSITY CENTER

NAME OF INSTITUTION

| | EXPENDITURE CATEGORIES | 2013-2014 ACTUAL | 2014-2015 BUDGETED * | 2015-17 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS | | | |
|----|--------------------------|------------------|----------------------|--|----------------|-------------|----------------|
| | | | | 2015-2016 | | 2016-2017 | |
| | | | | REQUEST | RECOMMENDATION | REQUEST | RECOMMENDATION |
| 1 | INSTRUCTIONAL | 457,670 | 775,000 | 1,000,000 | 1,000,000 | 1,045,000 | 1,045,000 |
| 2 | PUBLIC SERVICE | 159,432 | 175,000 | 245,000 | 245,000 | 245,000 | 245,000 |
| 3 | ACADEMIC SUPPORT | 384,128 | 400,000 | 650,000 | 650,000 | 651,000 | 651,000 |
| 4 | INSTITUTIONAL SUPPORT | 84,909 | 150,000 | 150,000 | 150,000 | 150,350 | 150,350 |
| 5 | | | | | | | |
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| 10 | | | | | | | |
| 11 | | | | | | | |
| 12 | | | | | | | |
| 13 | MANDATORY TRANSFERS | | | | | | |
| 14 | AUXILIARY TRANSFERS | | | | | | |
| 15 | NON-MANDATORY TRANSFERS | | | | | | |
| 16 | TOTAL UNREST. E&G EXP. | \$1,086,139 | \$1,500,000 | \$2,045,000 | \$2,045,000 | \$2,091,350 | \$2,091,350 |
| 17 | NET LOCAL INCOME | | | | | | |
| 18 | PRIOR YEAR BALANCE*** | 86,138 | | | | | |
| | STATE FUNDS: | | | | | | |
| 19 | GENERAL REVENUE | 1,000,000 | 1,500,000 | 2,045,000 | 2,045,000 | 2,091,350 | 2,091,350 |
| 20 | EDUCATIONAL EXCELLENCE | | | | | | |
| 21 | WORKFORCE 2000 | | | | | | |
| 22 | TOBACCO SETTLEMENT FUNDS | | | | | | |
| 23 | OTHER STATE FUNDS ** | | | | | | |
| 24 | TOTAL SOURCES OF INCOME | \$1,086,138 | \$1,500,000 | \$2,045,000 | \$2,045,000 | \$2,091,350 | \$2,091,350 |

FORM 15-2 Nonformula

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in a footnote.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2015-2017 BIENNIUM**

FUND CTM0000

INSTITUTION MID-SOUTH COMMUNITY COLLEGE

APPROPRIATION 109

| | DESCRIPTION | ACTUAL 2013-2014 | BUDGETED 2014-2015 | AUTHORIZED APPROPRIATION 2014-2015 | INSTITUTIONAL REQUEST / AHECB RECOMMENDATION | | LEGISLATIVE RECOMMENDATION | |
|----|-----------------------------------|---------------------|-----------------------|--|---|-------------|----------------------------|-----------|
| | | | | | 2015-2016 | 2016-2017 | 2015-2016 | 2016-2017 |
| 1 | REGULAR SALARIES | 4,490,511 | 4,500,000 | 4,700,000 | 4,500,000 | 4,700,000 | | |
| 2 | EXTRA HELP WAGES | 350,000 | 300,000 | 350,000 | 300,000 | 350,000 | | |
| 3 | OVERTIME | | | | | | | |
| 4 | PERSONAL SERVICES MATCHING | 1,030,000 | 1,000,000 | 1,000,000 | 1,100,000 | 1,000,000 | | |
| 5 | OPERATING EXPENSES | 417,903 | 277,335 | 408,717 | 281,501 | 315,446 | | |
| 6 | CONFERENCE FEES & TRAVEL | 30,000 | 30,000 | 30,000 | 30,000 | 30,000 | | |
| 7 | PROFESSIONAL FEES AND SERVICES | | | | | | | |
| 8 | CAPITAL OUTLAY | | | | | | | |
| 9 | FUNDED DEPRECIATION | | | | | | | |
| 10 | WORKERS COMP/SURETY PREMIUM | 791 | | | | | | |
| 11 | | | | | | | | |
| 12 | | | | | | | | |
| 13 | TOTAL APPROPRIATION | \$6,319,205 | \$6,107,335 | \$6,488,717 | \$6,211,501 | \$6,395,446 | \$0 | \$0 |
| 14 | PRIOR YEAR FUND BALANCE** | 182,631 | | | | | | |
| 15 | GENERAL REVENUE | 3,858,007 | 3,858,007 | | 3,962,173 | 4,146,118 | | |
| 16 | EDUCATIONAL EXCELLENCE TRUST FUND | | | | | | | |
| 17 | SPECIAL REVENUES * [WF2000] | 2,190,914 | 2,169,328 | | 2,169,328 | 2,169,328 | | |
| 18 | FEDERAL FUNDS IN STATE TREASURY | | | | | | | |
| 19 | TOBACCO SETTLEMENT FUNDS | | | | | | | |
| 20 | OTHER STATE TREASURY FUNDS | 87,653 | 80,000 | | 80,000 | 80,000 | | |
| 21 | TOTAL INCOME | \$6,319,205 | \$6,107,335 | | \$6,211,501 | \$6,395,446 | \$0 | \$0 |
| 22 | EXCESS (FUNDING)/APPROPRIATION | \$0 | \$0 | | \$0 | \$0 | \$0 | \$0 |

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2015-2017 BIENNIUM**

FUND CTM0000

INSTITUTION ADTEC/ADTEC UNIVERSITY CENTER

APPROPRIATION 83F

| | DESCRIPTION | ACTUAL 2013-2014 | BUDGETED 2014-2015 | AUTHORIZED APPROPRIATION 2014-2015 | INSTITUTIONAL REQUEST / AHECB RECOMMENDATION | | LEGISLATIVE RECOMMENDATION | |
|----|-----------------------------------|---------------------|-----------------------|--|---|-------------|----------------------------|-----------|
| | | | | | 2015-2016 | 2016-2017 | 2015-2016 | 2016-2017 |
| 1 | REGULAR SALARIES | 175,000 | 250,000 | | | | | |
| 2 | EXTRA HELP WAGES | | | | | | | |
| 3 | OVERTIME | | | | | | | |
| 4 | PERSONAL SERVICES MATCHING | 48,000 | 90,000 | | | | | |
| 5 | OPERATING EXPENSES | 769,500 | 1,152,500 | | | | | |
| 6 | CONFERENCE FEES & TRAVEL | 7,500 | 7,500 | | | | | |
| 7 | PROFESSIONAL FEES AND SERVICES | | | | | | | |
| 8 | CAPITAL OUTLAY | | | | | | | |
| 9 | FUNDED DEPRECIATION | | | | | | | |
| 10 | OTHER | | | 2,046,000 | 2,045,000 | 2,091,350 | | |
| 11 | | | | | | | | |
| 12 | | | | | | | | |
| 13 | TOTAL APPROPRIATION | \$1,000,000 | \$1,500,000 | \$2,046,000 | \$2,045,000 | \$2,091,350 | \$0 | \$0 |
| 14 | PRIOR YEAR FUND BALANCE** | | | | | | | |
| 15 | GENERAL REVENUE | 1,000,000 | 1,500,000 | | 2,045,000 | 2,091,350 | | |
| 16 | EDUCATIONAL EXCELLENCE TRUST FUND | | | | | | | |
| 17 | SPECIAL REVENUES * [WF2000] | | | | | | | |
| 18 | FEDERAL FUNDS IN STATE TREASURY | | | | | | | |
| 19 | TOBACCO SETTLEMENT FUNDS | | | | | | | |
| 20 | OTHER STATE TREASURY FUNDS | | | | | | | |
| 21 | TOTAL INCOME | \$1,000,000 | \$1,500,000 | | \$2,045,000 | \$2,091,350 | \$0 | \$0 |
| 22 | EXCESS (FUNDING)/APPROPRIATION | \$0 | \$0 | | \$0 | \$0 | \$0 | \$0 |

* Report WF2000 funds on line 17 - "Special Revenues".

FORM 15-3

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

ADTEC Allocations for 2014-15

ADTEC Allocations for 2015-16

ADTEC Allocations for 2016-17

| | | | | | |
|---|-----------|------------------------|-----------|------------------------|-----------|
| (1) ARKANSAS NORTHEASTERN COLLEGE (ANC) | 157,081 | (1) ANC | 214,154 | (1) ANC | 219,008 |
| (2) ARKANSAS STATE UNIVERSITY NEWPORT (ASUN) | 157,081 | (2) ASUN | 214,154 | (2) ASUN | 219,008 |
| (3) EAST ARKANSAS COMMUNITY COLLEGE (EACC) | 205,575 | (3) EACC | 280,267 | (3) EACC | 286,620 |
| (4) MID-SOUTH COMMUNITY COLLEGE (MSCC) | 401,078 | (4) MSCC | 546,803 | (4) MSCC | 559,196 |
| (5) PHILLIPS COMMUNITY COLLEGE OF THE UA (PCCCUA) | 181,710 | (5) PCCCUA | 247,731 | (5) PCCCUA | 253,346 |
| (6) ARKANSAS STATE UNIVERSITY JONESBORO (ASUJ) | 307,836 | (6) ASUJ | 419,683 | (6) ASUJ | 429,195 |
| (7) UNIVERSITY OF ARKANSAS AT FORT SMITH (UAFS) | 89,639 | (7) UAFS | 122,208 | (7) UAFS | 124,978 |
| TOTAL AMOUNT ALLOCATED | 1,500,000 | TOTAL AMOUNT ALLOCATED | 2,045,000 | TOTAL AMOUNT ALLOCATED | 2,091,350 |

**APPROPRIATION ACT FORM - CASH FUNDS
2015-2017 BIENNIUM**

FUND 2810000

INSTITUTION MID-SOUTH COMMUNITY COLLEGE

APPROPRIATION D03

| | DESCRIPTION | ACTUAL 2013-2014 | BUDGETED 2014-2015 | AUTHORIZED APPROPRIATION 2014-2015 | INSTITUTIONAL REQUEST / AHECB RECOMMENDATION | | LEGISLATIVE RECOMMENDATION | |
|----|---|---------------------|-----------------------|--|---|--------------|----------------------------|-----------|
| | | | | | 2015-2016 | 2016-2017 | 2015-2016 | 2016-2017 |
| 1 | REGULAR SALARIES | 2,554,500 | 14,000,000 | 14,000,000 | 15,000,000 | 15,250,000 | | |
| 2 | EXTRA HELP WAGES | 368,192 | 1,600,000 | 1,600,000 | 1,600,000 | 1,600,000 | | |
| 3 | OVERTIME | | 30,000 | 30,000 | 30,000 | 30,000 | | |
| 4 | PERSONAL SERVICES MATCHING | 1,314,694 | 5,200,000 | 5,200,000 | 5,500,000 | 5,550,000 | | |
| 5 | OPERATING EXPENSES | 3,053,047 | 9,750,000 | 9,750,000 | 10,000,000 | 10,250,000 | | |
| 6 | CONFERENCE FEES & TRAVEL | 117,140 | 950,000 | 950,000 | 950,000 | 950,000 | | |
| 7 | PROFESSIONAL FEES AND SERVICES | 8,377,755 | 30,000,000 | 30,000,000 | 30,000,000 | 32,500,000 | | |
| 8 | CAPITAL OUTLAY | 262,155 | 4,750,000 | 4,750,000 | 4,750,000 | 4,750,000 | | |
| 9 | CAPITAL IMPROVEMENTS | 2,203,745 | 7,700,000 | 7,700,000 | 9,000,000 | 9,000,000 | | |
| 10 | DEBT SERVICE | | | | | | | |
| 11 | FUND TRANSFERS, REFUNDS AND INVESTMENTS | 0 | 950,000 | 950,000 | 950,000 | 950,000 | | |
| 12 | PROMOTIONAL ITEMS | 16,663 | 205,000 | 205,000 | 205,000 | 205,000 | | |
| 13 | | | | | | | | |
| 14 | | | | | | | | |
| 15 | | | | | | | | |
| 16 | TOTAL APPROPRIATION | \$18,267,891 | \$75,135,000 | \$75,135,000 | \$77,985,000 | \$81,035,000 | \$0 | \$0 |
| 17 | PRIOR YEAR FUND BALANCE*** | | | | 750,000 | 750,000 | | |
| 18 | TUITION AND MANDATORY FEES | 3,920,283 | 4,250,000 | | 4,900,000 | 5,200,000 | | |
| 19 | ALL OTHER FEES | 7,126,252 | 13,035,722 | | 9,000,000 | 9,250,000 | | |
| 20 | SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS | | | | | | | |
| 21 | INVESTMENT INCOME | 91,167 | 100,000 | | 100,000 | 100,000 | | |
| 22 | FEDERAL CASH FUNDS | 7,130,189 | 25,000,000 | | 15,000,000 | 15,000,000 | | |
| 23 | OTHER CASH FUNDS | | 32,749,278 | | 48,235,000 | 50,735,000 | | |
| 24 | TOTAL INCOME | \$18,267,891 | \$75,135,000 | | \$77,985,000 | \$81,035,000 | \$0 | \$0 |
| 25 | EXCESS (FUNDING)/APPROPRIATION | \$0 | \$0 | | \$0 | \$0 | \$0 | \$0 |

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

| | ACTUAL 2013-2014 | BUDGETED 2014-2015 | AUTHORIZED 2014-2015 | INSTITUTIONAL REQUEST | AHECB RECOMMEND | LEGISLATIVE RECOMMENDATION |
|-------------------|---------------------|-----------------------|-------------------------|--------------------------|--------------------|----------------------------|
| | | | | 2015-2017 | 2015-2017 | 2015-2017 |
| REGULAR POSITIONS | 215 | 211 | 311 | 316 | 316 | |
| TOBACCO POSITIONS | | | | | | |
| EXTRA HELP ** | 117 | 105 | 200 | 200 | 200 | |

FORM 15-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

MID-SOUTH COMMUNITY COLLEGE
(NAME OF INSTITUTION)

| | ACTIVITY | ACTUAL 2013-2014 | | | | BUDGETED 2014-2015 | | | |
|----|--|---------------------|-----------------------|-----------------|---------------|-----------------------|-----------------------|-----------------|---------------|
| | | INCOME | OPERATING EXPENSES | DEBT SERVICE | NET INCOME | INCOME | OPERATING EXPENSES | DEBT SERVICE | NET INCOME |
| 1 | INTERCOLLEGIATE ATHLETICS * | 25,000 | 155,773 | | (130,773) | 25,000 | | | 25,000 |
| 2 | HOUSING | | | | 0 | | | | 0 |
| 3 | FOOD SERVICES | 114,924 | 121,749 | | (6,825) | | | | 0 |
| 4 | STUDENT UNION | | | | 0 | | | | 0 |
| 5 | BOOKSTORE | 86,353 | | | 86,353 | | | | 0 |
| 6 | STUDENT ORGANIZATIONS AND PUBLICATIONS | | | | 0 | | | | 0 |
| 7 | OTHER | | | | 0 | | | | 0 |
| 8 | SUBTOTAL | \$226,277 | \$277,522 | \$0 | (\$51,245) | \$25,000 | \$0 | \$0 | \$25,000 |
| 9 | ATHLETIC TRANSFER ** | | | | 0 | | | | 0 |
| 10 | OTHER TRANSFERS *** | 130,773 | | | 130,773 | | | | 0 |
| 11 | GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES | \$357,050 | \$277,522 | \$0 | \$79,528 | \$25,000 | \$0 | \$0 | \$25,000 |

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 15-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 10 - This transfer is from excess millage to the athletic fund.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

MID-SOUTH COMMUNITY COLLEGE
(NAME OF INSTITUTION)

| | | | | | |
|---|-----|---------------------|----|------------------------|----------------|
| TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2013-2014: | | | | | 209 |
| (As of November 1, 2013) | | | | | |
| Nonclassified Administrative Employees: | | | | | |
| White Male: | 14 | Black Male: | 3 | Other Male: | 1 |
| White Female: | 16 | Black Female: | 6 | Other Female: | 0 |
| Total | | Total | | Total | 18 |
| | | | | Total | Female: 22 |
| Nonclassified Health Care Employees: | | | | | |
| White Male: | 0 | Black Male: | 0 | Other Male: | 0 |
| White Female: | 0 | Black Female: | 0 | Other Female: | 0 |
| Total | | Total | | Total | 0 |
| | | | | Total | Female: 0 |
| Classified Employees: | | | | | |
| White Male: | 5 | Black Male: | 2 | Other Male: | 0 |
| White Female: | 19 | Black Female: | 8 | Other Female: | 2 |
| Total | | Total | | Total | 7 |
| | | | | Total | Female: 29 |
| Faculty: | | | | | |
| White Male: | 41 | Black Male: | 18 | Other Male: | 2 |
| White Female: | 41 | Black Female: | 31 | Other Female: | 0 |
| Total | | Total | | Total | 61 |
| | | | | Total | Female: 72 |
| Total White Male: | 60 | Total Black Male: | 23 | Total Other Male: | 3 |
| Total White Female: | 76 | Total Black Female: | 45 | Total Other Female: | 2 |
| Total White: | 136 | Total Black: | 68 | Total Other: | 5 |
| | | | | Total Minority: | 73 |
| | | | | Total | Employees: 209 |

**DIVISION OF LEGISLATIVE AUDIT
 AUDIT OF MID-SOUTH COMMUNITY COLLEGE
 June 30, 2013**

| | |
|--------------------------------------|---|
| <p><i>Finding No. 1:</i></p> | <p>The College paid a total of \$9,120 in 2012 and 2013 to a massage therapist for a Wellness Program (Program) that was not approved by the Board of Trustees. During these two years, 84 employees periodically participated in the Program and received 15-minute chair massages. To participate in the Program, these employees donated \$5 per session, for a total of \$4,065 in 2012 and 2013, to the College Foundation (Foundation). The Foundation did not incur any expenses for the Program, which ceased to operate in March 2013.</p> <p>The practices of (a) using public funds for massage services and (b) requiring College employees to donate the massage session fees to the Foundation appear to conflict with the “public purpose” doctrine as discussed in Op. Att’y Gen. nos. 2012-094 and 2001-180, respectively.</p> |
| <p><i>Institution’s Response</i></p> | <p>MSCC works diligently to incorporate activities and services for our employees which will improve their health and well-being. For several years, MSCC’s wellness program has offered activities and programs to benefit our employees effectively but with minimal cost. We have promoted weight loss programs including a Weight Watcher’s program on campus, a walking program during the day and we annually promote and offer a free health fair with many health screenings. With MSCC receiving only 70% of recommended state funding, many employees have to wear multiple hats and sometimes do the work of more than one person. In an attempt to reduce tension and offer our employees some relaxation, in 2012 a request was approved by the President to offer a chair massage to employees. A 15 minute chair massage was provided once a week for 4 hours. Employees who signed up made a \$5 donation to the MSCC Foundation General Scholarship Fund which provided scholarships to our students. The Foundation transferred the money back in the form of scholarships totaling \$12,381 for 2012 and 2013 from the general scholarship fund which is three times the amount donated to the Foundation as listed above. With decreased enrollment for the second semester in a row, expenses were examined and the program was cancelled in March 2013.</p> |

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NATIONAL PARK COMMUNITY COLLEGE

Dr. John Hogan, President

ENABLING LAWS

Act 678 of 2003 and Act 148 of 2014

INSTITUTION HISTORY AND ORGANIZATION

National Park Community College is a comprehensive public two-year college and represents the merger of Garland County Community College and Quapaw Technical Institute. Both institutions began offering post-secondary coursework in 1973. NPCC was created by Act 678 of the 2003 Regular Session of the 84th General Assembly; official operations began on July 1, 2003. Enabling legislation includes appropriation Act 148 of the 2014 Fiscal Session of the 89th General Assembly. NPCC is under the oversight of an elected board of trustees. As a formula driven institution, NPCC concurs with recommendations of the Arkansas Higher Education Coordinating Board.

The NPCC campus is located on 128 acres in Garland County outside the city limits of Hot Springs. NPCC serves both the students in Garland County and the students in Montgomery and Pike Counties, but NPCC also draws students from Clark, Hot Spring, and Saline Counties. Many of these students come from disadvantaged rural areas and would not be pursuing higher education if National Park Community College was not available to them. The College exists to serve the needs of its students and of the greater community it serves.

MISSION STATEMENT

Learning is our focus; student success is our goal.

VALUES

Access – We assist students in achieving their individual educational goals by creating a learning community that is accessible, convenient, caring, affordable, and secure.

Excellence – We strive for exemplary performance in all we do. Assessment of student learning is a means of measuring our success.

Accountability - We are all accountable to ourselves and to one another in a learning community. We expect all members to act responsibly, behave ethically, and grow professionally.

NATIONAL PARK COMMUNITY COLLEGE

Dr. John Hogan, President

Collaboration – We facilitate partnerships that enhance learning, solve problems, promote economic development, and improve quality of life.

Mutual Respect and Support – We recognize the dignity and inherent worth of all individuals. We create opportunities to explore diversity of ideas, individuals, and cultures through open communication.

INSTITUTIONAL VISION

We aspire to be the premier comprehensive community college in the state by providing learning for life opportunities while offering exemplary service to our community.

As our mission states, learning is our focus; student success is our goal. NPCC seeks to respond to the needs of students of varying levels of abilities. NPCC offers the following degrees in various areas of study: Associate of Arts, Associate of Science, Associate of Liberal Studies, and Associate of Applied Science. In addition, the College also offers Technical Certificate and Certificate of Proficiency programs, secondary and post-secondary occupational education, Adult Education, and Community Service/Continuing Education/Workforce Development.

INSTITUTIONAL ACCREDITATIONS

NPCC is accredited by The Higher Learning Commission of the North Central Association of Colleges and Schools. Both of the Nursing Programs A.S. (RN) and the PN (LPN) Certificate are approved by the Arkansas State Board of Nursing. The Associate degree program is also accredited by the National League for Nursing Accrediting Commission (NLNAC). The HIT Associate of Applied Science program is accredited by the Commission on Accreditation for Health Informatics and Information Management Education (CAHIIM). The Medical Coding Program, a Technical Certificate, is approved by the American Health Information Management Association (AHIMA). The Medical Laboratory Technology Program is accredited by the National Accrediting Agency for Clinical Laboratory Sciences. The Radiography Program is accredited by the Joint Review Committee on Education in Radiologic Technology. National Park Community College is nationally accredited by the Council on Occupational Education (COE), for the offering of its business programs that culminate in the Associate of Arts, Associate of Science, and Associate of Applied Sciences Degrees. The EMS Program is accredited by the Arkansas Department of Health and the Committee on Accreditation of Education Programs for the EMS Professions (CoAEMSOP). The Technical and Professional programs are accredited by the Council on Occupational Education. The

NATIONAL PARK COMMUNITY COLLEGE

Dr. John Hogan, President

Automotive program is also accredited by the National Automotive Technicians Education Foundation, and the Residential Carpentry program is approved by the Associated General Contractors of America.

NEW AND DELETED PROGRAMS; REALLOCATION OF FUNDS

New Approved Programs

- Electronic Health Record Management
- Health informatics
- Industrial Technology
- Poultry Science

Reallocated Funds

Due to continued cut-backs in state funding, any possibilities of reallocation of funds were taken as cost savings. Thus reallocations could not occur.

ALLOCATION OF NEW FUNDS

National Park Community College made no request for additional positions.

National Park Community College did not request additional cash appropriation.

National Park Community College is a formula driven entity and did not make a request for general revenue. Any increase in general revenue funding is a result of the recommendation made by the Arkansas Higher Education Coordinating Board. Any new funds received would be allocated for hiring new faculty, possible cost of living raises, and anticipated utility increases.

NATIONAL PARK COMMUNITY COLLEGE
Dr. John Hogan, President

CONCLUSION

National Park Community College continues to provide postsecondary educational opportunities through quality learning experiences that contribute to the intellectual and cultural development of its students. The College continues to strengthen institutional quality through excellence in instruction. It provides quality lifelong learning through diverse and innovative comprehensive educational programs. All are encouraged to commit to the challenges that lay ahead as NPCC continues to maximize its resources, striving for excellence, with a commitment to learning.

**INSTITUTION APPROPRIATION SUMMARY
2015-2017 BIENNIUM**

INSTITUTION NATIONAL PARK COMMUNITY COLLEGE

| | APPROPRIATION | HISTORICAL DATA | | | | | | INSTITUTION REQUEST & AHECB RECOMMENDATION | | | | | | | |
|----|-----------------------------------|-----------------|-------|--------------|------|--------------|-----|--|------|--------------|------|--------------|------|--------------|------|
| | | 2013-2014 | | 2014-2015 | | 2014-2015 | | 2015-2016 | | | | 2016-2017 | | | |
| | | ACTUAL | POS | BUDGETED | POS | AUTHORIZED | POS | INST REQUEST | POS | AHECB REC | POS | INST REQUEST | POS | AHECB REC | POS |
| 1 | STATE TREASURY | 10,836,955 | | 10,857,258 | | 12,202,490 | | 11,101,514 | | 11,101,514 | | 11,434,559 | | 11,434,559 | |
| 2 | CASH | 10,336,912 | | 45,900,000 | | 45,900,000 | | 46,015,000 | | 46,015,000 | | 46,015,000 | | 46,015,000 | |
| 3 | | | | | | | | | | | | | | | |
| 4 | | | | | | | | | | | | | | | |
| 5 | | | | | | | | | | | | | | | |
| 6 | | | | | | | | | | | | | | | |
| 7 | | | | | | | | | | | | | | | |
| 8 | | | | | | | | | | | | | | | |
| 9 | | | | | | | | | | | | | | | |
| 10 | | | | | | | | | | | | | | | |
| 11 | TOTAL | \$21,173,867 | 359 | \$56,757,258 | 324 | \$58,102,490 | 390 | \$57,116,514 | 390 | \$57,116,514 | 390 | \$57,449,559 | 390 | \$57,449,559 | 390 |
| | FUNDING SOURCES | | % | | % | | | | % | | % | | % | | % |
| 12 | PRIOR YEAR FUND BALANCE* | 0 | 0.00% | 0 | 0% | | | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| 13 | GENERAL REVENUE | 9,046,489 | 43% | 9,046,489 | 16% | | | 9,290,745 | 16% | 9,290,745 | 16% | 9,623,790 | 17% | 9,623,790 | 17% |
| 14 | EDUCATIONAL EXCELLENCE TRUST FUND | 1,122,445 | 5% | 1,149,330 | 2% | | | 1,149,330 | 2% | 1,149,330 | 2% | 1,149,330 | 2% | 1,149,330 | 2% |
| 15 | WORKFORCE 2000 | 668,021 | 3% | 661,439 | 1% | | | 661,439 | 1% | 661,439 | 1% | 661,439 | 1% | 661,439 | 1% |
| 16 | CASH FUNDS | 9,160,478 | 43% | 27,172,800 | 48% | | | 27,172,800 | 48% | 27,172,800 | 48% | 27,172,800 | 47% | 27,172,800 | 47% |
| 17 | SPECIAL REVENUES | | | | | | | | | | | | | | |
| 18 | FEDERAL FUNDS | 1,176,434 | 6% | 18,727,200 | 33% | | | 18,727,200 | 33% | 18,727,200 | 33% | 18,727,200 | 33% | 18,727,200 | 33% |
| 19 | TOBACCO SETTLEMENT FUNDS | 0 | 0% | 0 | 0% | | | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| 20 | OTHER FUNDS | 0 | 0% | 0 | 0% | | | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| 21 | TOTAL INCOME | \$21,173,867 | 100% | \$56,757,258 | 100% | | | \$57,001,514 | 100% | \$57,001,514 | 100% | \$57,334,559 | 100% | \$57,334,559 | 100% |
| 22 | EXCESS (FUNDING)/APPROPRIATION | \$0 | | \$0 | | | | \$115,000 | | \$115,000 | | \$115,000 | | \$115,000 | |

| | |
|--|-------------|
| UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2014: | \$2,573,875 |
| LESS RESERVES FOR: | |
| ACCOUNTS RECEIVABLE | \$638,989 |
| INVENTORIES | \$51,677 |
| YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES | |
| INSURANCE DEDUCTIBLES | \$30,000 |
| MAJOR CRITICAL SYSTEMS FAILURES | \$250,000 |
| 60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES) | \$1,503,209 |
| RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.) | \$100,000 |
| OTHER (FOOTNOTE BELOW) | |
| UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE | \$0 |

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2015-2017 BIENNIUM**

FUND CWG0000

INSTITUTION NATIONAL PARK COMMUNITY COLLEGE

APPROPRIATION 302

| | DESCRIPTION | ACTUAL 2013-2014 | BUDGETED 2014-2015 | AUTHORIZED APPROPRIATION 2014-2015 | INSTITUTIONAL REQUEST / AHECB RECOMMENDATION | | LEGISLATIVE RECOMMENDATION | |
|----|-----------------------------------|---------------------|-----------------------|--|---|--------------|----------------------------|-----------|
| | | | | | 2015-2016 | 2016-2017 | 2015-2016 | 2016-2017 |
| 1 | REGULAR SALARIES | 8,715,606 | 8,716,624 | 9,151,315 | 8,050,339 | 8,383,384 | | |
| 2 | EXTRA HELP WAGES | | | | | | | |
| 3 | OVERTIME | | | | | | | |
| 4 | PERSONAL SERVICES MATCHING | 1,317,953 | 1,339,459 | 2,100,000 | 2,100,000 | 2,100,000 | | |
| 5 | OPERATING EXPENSES | 770,331 | 775,000 | 925,000 | 925,000 | 925,000 | | |
| 6 | CONFERENCE FEES & TRAVEL | | | | | | | |
| 7 | PROFESSIONAL FEES AND SERVICES | | | | | | | |
| 8 | CAPITAL OUTLAY | | | | | | | |
| 9 | FUNDED DEPRECIATION | 26,175 | 26,175 | 26,175 | 26,175 | 26,175 | | |
| 10 | WORKERS COMP/SURETY PREMIUM | 6,890 | | | | | | |
| 11 | | | | | | | | |
| 12 | | | | | | | | |
| 13 | TOTAL APPROPRIATION | \$10,836,955 | \$10,857,258 | \$12,202,490 | \$11,101,514 | \$11,434,559 | \$0 | \$0 |
| 14 | PRIOR YEAR FUND BALANCE** | | | | | | | |
| 15 | GENERAL REVENUE | 9,046,489 | 9,046,489 | | 9,290,745 | 9,623,790 | | |
| 16 | EDUCATIONAL EXCELLENCE TRUST FUND | 1,122,445 | 1,149,330 | | 1,149,330 | 1,149,330 | | |
| 17 | SPECIAL REVENUES * [WF2000] | 668,021 | 661,439 | | 661,439 | 661,439 | | |
| 18 | FEDERAL FUNDS IN STATE TREASURY | | | | | | | |
| 19 | TOBACCO SETTLEMENT FUNDS | | | | | | | |
| 20 | OTHER STATE TREASURY FUNDS | | | | | | | |
| 21 | TOTAL INCOME | \$10,836,955 | \$10,857,258 | | \$11,101,514 | \$11,434,559 | \$0 | \$0 |
| 22 | EXCESS (FUNDING)/APPROPRIATION | \$0 | \$0 | | \$0 | \$0 | \$0 | \$0 |

* Report WF2000 funds on line 17 - "Special Revenues".

FORM 15-3

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS
2015-2017 BIENNIUM**

FUND 2120000

INSTITUTION NATIONAL PARK COMMUNITY COLLEGE

APPROPRIATION A72

| | DESCRIPTION | ACTUAL 2013-2014 | BUDGETED 2014-2015 | AUTHORIZED APPROPRIATION 2014-2015 | INSTITUTIONAL REQUEST / AHECB RECOMMENDATION | | LEGISLATIVE RECOMMENDATION | |
|----|---|---------------------|-----------------------|--|---|--------------|----------------------------|-----------|
| | | | | | 2015-2016 | 2016-2017 | 2015-2016 | 2016-2017 |
| 1 | REGULAR SALARIES | 3,006,914 | 9,626,240 | 9,626,240 | 9,626,240 | 9,626,240 | | |
| 2 | EXTRA HELP WAGES | 833,626 | 1,150,000 | 1,150,000 | 1,265,000 | 1,265,000 | | |
| 3 | OVERTIME | | | | | | | |
| 4 | PERSONAL SERVICES MATCHING | 899,164 | 1,310,904 | 1,310,904 | 1,310,904 | 1,310,904 | | |
| 5 | OPERATING EXPENSES | 3,951,430 | 7,902,856 | 7,902,856 | 7,902,856 | 7,902,856 | | |
| 6 | CONFERENCE FEES & TRAVEL | 108,831 | 300,000 | 300,000 | 300,000 | 300,000 | | |
| 7 | PROFESSIONAL FEES AND SERVICES | 330,424 | 2,200,000 | 2,200,000 | 2,200,000 | 2,200,000 | | |
| 8 | CAPITAL OUTLAY | 305,214 | 10,500,000 | 10,500,000 | 10,500,000 | 10,500,000 | | |
| 9 | CAPITAL IMPROVEMENTS | 0 | 10,000,000 | 10,000,000 | 10,000,000 | 10,000,000 | | |
| 10 | DEBT SERVICE | 892,700 | 1,900,000 | 1,900,000 | 1,900,000 | 1,900,000 | | |
| 11 | FUND TRANSFERS, REFUNDS AND INVESTMENTS | 0 | 1,000,000 | 1,000,000 | 1,000,000 | 1,000,000 | | |
| 12 | PROMOTIONAL ITEMS | 8,609 | 10,000 | 10,000 | 10,000 | 10,000 | | |
| 13 | | | | | | | | |
| 14 | | | | | | | | |
| 15 | | | | | | | | |
| 16 | TOTAL APPROPRIATION | \$10,336,912 | \$45,900,000 | \$45,900,000 | \$46,015,000 | \$46,015,000 | \$0 | \$0 |
| 17 | PRIOR YEAR FUND BALANCE*** | | | | | | | |
| 18 | TUITION AND MANDATORY FEES | 7,311,154 | 7,981,804 | | 8,779,984 | 8,779,984 | | |
| 19 | ALL OTHER FEES | 20,165 | 15,000 | | 15,000 | 15,000 | | |
| 20 | SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS | 1,803,045 | 2,272,143 | | 2,499,357 | 2,499,357 | | |
| 21 | INVESTMENT INCOME | 26,114 | 10,000 | | 10,000 | 10,000 | | |
| 22 | FEDERAL CASH FUNDS | 1,176,434 | 18,727,200 | | 18,727,200 | 18,727,200 | | |
| 23 | OTHER CASH FUNDS | | 16,893,853 | | 15,868,459 | 15,868,459 | | |
| 24 | TOTAL INCOME | \$10,336,912 | \$45,900,000 | | \$45,900,000 | \$45,900,000 | \$0 | \$0 |
| 25 | EXCESS (FUNDING)/APPROPRIATION | \$0 | \$0 | | \$115,000 | \$115,000 | \$0 | \$0 |

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

| | ACTUAL 2013-2014 | BUDGETED 2014-2015 | AUTHORIZED 2014-2015 | INSTITUTIONAL REQUEST | AHECB RECOMMEND | LEGISLATIVE RECOMMENDATION |
|-------------------|---------------------|-----------------------|-------------------------|--------------------------|--------------------|----------------------------|
| | | | | 2015-2017 | 2015-2017 | 2015-2017 |
| REGULAR POSITIONS | 359 | 324 | 390 | 390 | 390 | |
| TOBACCO POSITIONS | | | | | | |
| EXTRA HELP ** | 287 | 287 | 402 | 402 | 402 | |

FORM 15-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

NATIONAL PARK COMMUNITY COLLEGE
 (NAME OF INSTITUTION)

| | ACTIVITY | ACTUAL 2013-2014 | | | | BUDGETED 2014-2015 | | | |
|----|--|---------------------|-----------------------|-----------------|---------------|-----------------------|-----------------------|-----------------|---------------|
| | | INCOME | OPERATING EXPENSES | DEBT SERVICE | NET INCOME | INCOME | OPERATING EXPENSES | DEBT SERVICE | NET INCOME |
| 1 | INTERCOLLEGIATE ATHLETICS * | | | | 0 | | | | 0 |
| 2 | HOUSING | | | | 0 | | | | 0 |
| 3 | FOOD SERVICES | | | | 0 | | | | 0 |
| 4 | STUDENT UNION | 7,296 | 12,298 | | (5,002) | 12,000 | 8,400 | | 3,600 |
| 5 | BOOKSTORE | 1,790,364 | 1,672,752 | | 117,612 | 2,218,144 | 2,218,144 | | 0 |
| 6 | STUDENT ORGANIZATIONS AND PUBLICATIONS | 5,385 | 87,012 | | (81,627) | 41,400 | 3,600 | | 37,800 |
| 7 | OTHER | | | | 0 | | | | 0 |
| 8 | SUBTOTAL | \$1,803,045 | \$1,772,062 | \$0 | \$30,983 | \$2,271,544 | \$2,230,144 | \$0 | \$41,400 |
| 9 | ATHLETIC TRANSFER ** | | | | 0 | | | | 0 |
| 10 | OTHER TRANSFERS *** | 41,400 | | | 41,400 | 41,400 | | | 41,400 |
| 11 | GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES | \$1,844,445 | \$1,772,062 | \$0 | \$72,383 | \$2,312,944 | \$2,230,144 | \$0 | \$82,800 |

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 15-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 10 - Other Transfers: transfer from Current E&G fund for Student Activities and other Auxiliary Enterprise groups.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

NATIONAL PARK COMMUNITY COLLEGE
(NAME OF INSTITUTION)

| | | | | | | |
|---|------------|---------------------|-----------|------------------------|-----------|-----------------------------|
| TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2013-2014: | | | | | | <u>328</u> |
| (As of November 1, 2013) | | | | | | |
| Nonclassified Administrative Employees: | | | | | | |
| White Male: | <u>12</u> | Black Male: | <u>1</u> | Other Male: | <u>1</u> | Total Male: <u>14</u> |
| White Female: | <u>24</u> | Black Female: | <u>0</u> | Other Female: | <u>0</u> | Total Female: <u>24</u> |
| Nonclassified Health Care Employees: | | | | | | |
| White Male: | <u>0</u> | Black Male: | <u>0</u> | Other Male: | <u>0</u> | Total Male: <u>0</u> |
| White Female: | <u>1</u> | Black Female: | <u>0</u> | Other Female: | <u>0</u> | Total Female: <u>1</u> |
| Classified Employees: | | | | | | |
| White Male: | <u>22</u> | Black Male: | <u>2</u> | Other Male: | <u>1</u> | Total Male: <u>25</u> |
| White Female: | <u>49</u> | Black Female: | <u>6</u> | Other Female: | <u>5</u> | Total Female: <u>60</u> |
| Faculty: | | | | | | |
| White Male: | <u>76</u> | Black Male: | <u>0</u> | Other Male: | <u>1</u> | Total Male: <u>77</u> |
| White Female: | <u>120</u> | Black Female: | <u>3</u> | Other Female: | <u>4</u> | Total Female: <u>127</u> |
| Total White Male: | <u>110</u> | Total Black Male: | <u>3</u> | Total Other Male: | <u>3</u> | Total Male: <u>116</u> |
| Total White Female: | <u>194</u> | Total Black Female: | <u>9</u> | Total Other Female: | <u>9</u> | Total Female: <u>212</u> |
| Total White: | <u>304</u> | Total Black: | <u>12</u> | Total Other: | <u>12</u> | Total Employees: <u>328</u> |
| | | | | Total Minority: | <u>24</u> | |

FORM 15-6

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2014
 Required by A.C.A. 25-36-104

Institution NATIONAL PARK COMMUNITY COLLEGE

| Minority Business | Total Contract Awarded | Minority Type per A.C.A. 15-4-303 (2) | | | | | |
|-------------------|------------------------|---------------------------------------|-------------------|-----------------|----------------|---------------------------|------------------|
| | | African American | Hispanic American | American Indian | Asian American | Pacific Islander American | Disabled Veteran |
| N/A | | | | | | | |
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TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$232,928
 (Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

**DIVISION OF LEGISLATIVE AUDIT
AUDIT OF NATIONAL PARK COMMUNITY COLLEGE
June 30, 2013**

| | |
|-----------------|-------------------|
| <i>Finding:</i> | No Findings noted |
|-----------------|-------------------|

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NORTH ARKANSAS COLLEGE

Dr. Jackie Elliott, President

ENABLING LAWS

A.C.A. 6-61-501, Act 150 of 2014

INSTITUTION HISTORY AND ORGANIZATION

North Arkansas College, located in Harrison, Arkansas, is a comprehensive, public two-year college that offers transfer and technical degree programs, one-year technical certificates, certificates of proficiency, customized business and industry training, adult basic education (GED) classes and non-credit community education courses. The college's main service area includes the counties of Boone, Carroll, Marion, Searcy, Newton, and Madison.

Authorized by Arkansas code 6-61-501, the college started in 1974 as North Arkansas College Community with Boone County as the community college tax district. In 1993, the college merged with Twin Lakes Technical College and became the state's first community college-technical college union and adopted the name North Arkansas Community/Technical College. In 1997, the college was renamed North Arkansas College.

The mission of North Arkansas College is to provide high quality, affordable, convenient opportunities for learning and community enrichment. The college's vision is to be a premier institution, achieving excellence through innovation, technology, continuous improvement and quality instruction.

North Arkansas College is accredited by The Higher Learner Commission and is a member of the North Central Association of Colleges and Schools. The college was the first institution in the state to be accredited as an AQIP institution, the Academic Quality Improvement Program which is an alternate means of accreditation now offered by the Higher Learning Commission. AQIP allows participating institutions to meet accreditation standards by developing and using processes which lead to continuation improvement.

In 2012 Northark became the first college in Arkansas to be accepted into the Continuous Quality Improvement Network (CQIN). The membership organization affords CEO's of colleges and universities as well as corporations associated with higher education significant networking and learning opportunities to foster continuous improvement and achievement of performance excellence. Also, as a measure of performance excellence utilizing the Malcolm Baldrige evaluation process, Northark received the prestigious Governor's Quality Award – Commitment Level in 2013 and just recently was awarded the 2014 Governor's Quality Award – Achievement Level.

NORTH ARKANSAS COLLEGE
Dr. Jackie Elliott, President

The college is an acknowledged leader in forming community partnerships and providing health education in northern Arkansas. In 1996, the college partnered with North Arkansas Regional Medical Center to create the North Arkansas Partnership for Health Education (NAPHE), an organization dedicated to professional and community health education. NAPHE has been recognized by the U.S. Department of Education and the U.S. Department of Agriculture and is a model for partnership programs across the nation.

North Arkansas College is not requesting any additional personnel positions. The requested funding amounts are from the Arkansas two-year college funding model.

**INSTITUTION APPROPRIATION SUMMARY
2015-2017 BIENNIUM**

INSTITUTION NORTH ARKANSAS COLLEGE

| | APPROPRIATION | HISTORICAL DATA | | | | | | INSTITUTION REQUEST & AHECB RECOMMENDATION | | | | | | | |
|----|-----------------------------------|-----------------|-------|--------------|------|--------------|-----|--|------|--------------|------|--------------|------|--------------|------|
| | | 2013-2014 | | 2014-2015 | | 2014-2015 | | 2015-2016 | | | | 2016-2017 | | | |
| | | ACTUAL | POS | BUDGETED | POS | AUTHORIZED | POS | INST REQUEST | POS | AHECB REC | POS | INST REQUEST | POS | AHECB REC | POS |
| 1 | STATE TREASURY | 8,985,364 | | 8,990,314 | | 9,297,628 | | 9,205,422 | | 9,205,422 | | 9,481,584 | | 9,481,584 | |
| 2 | CASH | 13,792,771 | | 49,385,000 | | 49,385,000 | | 49,385,000 | | 49,385,000 | | 49,385,000 | | 49,385,000 | |
| 3 | | | | | | | | | | | | | | | |
| 4 | | | | | | | | | | | | | | | |
| 5 | | | | | | | | | | | | | | | |
| 6 | | | | | | | | | | | | | | | |
| 7 | | | | | | | | | | | | | | | |
| 8 | | | | | | | | | | | | | | | |
| 9 | | | | | | | | | | | | | | | |
| 10 | | | | | | | | | | | | | | | |
| 11 | TOTAL | \$22,778,135 | 360 | \$58,375,314 | 360 | \$58,682,628 | 411 | \$58,590,422 | 408 | \$58,590,422 | 408 | \$58,866,584 | 408 | \$58,866,584 | 408 |
| | FUNDING SOURCES | | % | | % | | | | % | | % | | % | | % |
| 12 | PRIOR YEAR FUND BALANCE* | 0 | 0.00% | 0 | 0% | | | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| 13 | GENERAL REVENUE | 7,966,964 | 35% | 7,966,964 | 14% | | | 8,182,072 | 14% | 8,182,072 | 14% | 8,458,234 | 14% | 8,458,234 | 14% |
| 14 | EDUCATIONAL EXCELLENCE TRUST FUND | 443,223 | 2% | 453,840 | 1% | | | 453,840 | 1% | 453,840 | 1% | 453,840 | 1% | 453,840 | 1% |
| 15 | WORKFORCE 2000 | 575,177 | 3% | 569,510 | 1% | | | 569,510 | 1% | 569,510 | 1% | 569,510 | 1% | 569,510 | 1% |
| 16 | CASH FUNDS | 8,646,197 | 38% | 43,385,000 | 74% | | | 42,385,000 | 72% | 42,385,000 | 72% | 41,885,000 | 71% | 41,885,000 | 71% |
| 17 | SPECIAL REVENUES | | 0% | | 0% | | | | 0% | 0 | 0% | | 0% | 0 | 0% |
| 18 | FEDERAL FUNDS | 5,146,574 | 23% | 6,000,000 | 10% | | | 7,000,000 | 12% | 7,000,000 | 12% | 7,500,000 | 13% | 7,500,000 | 13% |
| 19 | TOBACCO SETTLEMENT FUNDS | 0 | 0% | 0 | 0% | | | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| 20 | OTHER FUNDS | 0 | 0% | 0 | 0% | | | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| 21 | TOTAL INCOME | \$22,778,135 | 100% | \$58,375,314 | 100% | | | \$58,590,422 | 100% | \$58,590,422 | 100% | \$58,866,584 | 100% | \$58,866,584 | 100% |
| 22 | EXCESS (FUNDING)/APPROPRIATION | \$0 | | \$0 | | | | \$0 | | \$0 | | \$0 | | \$0 | |

| | |
|--|-------------|
| UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2014: | \$2,009,873 |
| LESS RESERVES FOR: | |
| ACCOUNTS RECEIVABLE | \$536,760 |
| INVENTORIES | \$6,200 |
| YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES | |
| INSURANCE DEDUCTIBLES | \$15,000 |
| MAJOR CRITICAL SYSTEMS FAILURES | \$250,000 |
| 60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES) | \$1,850,000 |
| RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.) | |
| OTHER (FOOTNOTE BELOW) | |
| UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE | (\$648,087) |

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2015-2017 BIENNIUM**

FUND CWN0000

INSTITUTION NORTH ARKANSAS COLLEGE

APPROPRIATION 291

| | DESCRIPTION | ACTUAL 2013-2014 | BUDGETED 2014-2015 | AUTHORIZED APPROPRIATION 2014-2015 | INSTITUTIONAL REQUEST / AHECB RECOMMENDATION | | LEGISLATIVE RECOMMENDATION | |
|----|-----------------------------------|---------------------|-----------------------|--|---|-------------|----------------------------|-----------|
| | | | | | 2015-2016 | 2016-2017 | 2015-2016 | 2016-2017 |
| 1 | REGULAR SALARIES | 6,700,000 | 6,700,000 | 6,700,000 | 6,750,000 | 6,900,000 | | |
| 2 | EXTRA HELP WAGES | | | | | | | |
| 3 | OVERTIME | | | | | | | |
| 4 | PERSONAL SERVICES MATCHING | 1,148,926 | 1,150,000 | 1,300,000 | 1,255,422 | 1,325,000 | | |
| 5 | OPERATING EXPENSES | 1,135,364 | 1,140,314 | 1,297,328 | 1,200,000 | 1,256,584 | | |
| 6 | CONFERENCE FEES & TRAVEL | 0 | 0 | 100 | 0 | 0 | | |
| 7 | PROFESSIONAL FEES AND SERVICES | 0 | 0 | 100 | 0 | 0 | | |
| 8 | CAPITAL OUTLAY | 0 | 0 | 100 | 0 | 0 | | |
| 9 | FUNDED DEPRECIATION | | | | | | | |
| 10 | WORKERS COMP/SURETY PREMIUM | 1,074 | | | | | | |
| 11 | | | | | | | | |
| 12 | | | | | | | | |
| 13 | TOTAL APPROPRIATION | \$8,985,364 | \$8,990,314 | \$9,297,628 | \$9,205,422 | \$9,481,584 | \$0 | \$0 |
| 14 | PRIOR YEAR FUND BALANCE** | | | | | | | |
| 15 | GENERAL REVENUE | 7,966,964 | 7,966,964 | | 8,182,072 | 8,458,234 | | |
| 16 | EDUCATIONAL EXCELLENCE TRUST FUND | 443,223 | 453,840 | | 453,840 | 453,840 | | |
| 17 | SPECIAL REVENUES * [WF2000] | 575,177 | 569,510 | | 569,510 | 569,510 | | |
| 18 | FEDERAL FUNDS IN STATE TREASURY | | | | | | | |
| 19 | TOBACCO SETTLEMENT FUNDS | | | | | | | |
| 20 | OTHER STATE TREASURY FUNDS | | | | | | | |
| 21 | TOTAL INCOME | \$8,985,364 | \$8,990,314 | | \$9,205,422 | \$9,481,584 | \$0 | \$0 |
| 22 | EXCESS (FUNDING)/APPROPRIATION | \$0 | \$0 | | \$0 | \$0 | \$0 | \$0 |

* Report WF2000 funds on line 17 - "Special Revenues".

FORM 15-3

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS
2015-2017 BIENNIUM**

FUND 2140000

INSTITUTION NORTH ARKANSAS COLLEGE

APPROPRIATION A62

| | DESCRIPTION | ACTUAL 2013-2014 | BUDGETED 2014-2015 | AUTHORIZED APPROPRIATION 2014-2015 | INSTITUTIONAL REQUEST / AHECB RECOMMENDATION | | LEGISLATIVE RECOMMENDATION | |
|----|---|---------------------|-----------------------|--|---|--------------|----------------------------|-----------|
| | | | | | 2015-2016 | 2016-2017 | 2015-2016 | 2016-2017 |
| 1 | REGULAR SALARIES | 2,374,408 | 5,350,000 | 5,350,000 | 5,350,000 | 5,350,000 | | |
| 2 | EXTRA HELP WAGES | 525,785 | 750,000 | 750,000 | 750,000 | 750,000 | | |
| 3 | OVERTIME | | 10,000 | 10,000 | 10,000 | 10,000 | | |
| 4 | PERSONAL SERVICES MATCHING | 1,798,823 | 2,500,000 | 2,500,000 | 2,500,000 | 2,500,000 | | |
| 5 | OPERATING EXPENSES | 3,966,297 | 7,000,000 | 7,000,000 | 7,000,000 | 7,000,000 | | |
| 6 | CONFERENCE FEES & TRAVEL | 142,155 | 375,000 | 375,000 | 375,000 | 375,000 | | |
| 7 | PROFESSIONAL FEES AND SERVICES | 156,124 | 350,000 | 350,000 | 350,000 | 350,000 | | |
| 8 | CAPITAL OUTLAY | 164,877 | 2,000,000 | 2,000,000 | 2,000,000 | 2,000,000 | | |
| 9 | CAPITAL IMPROVEMENTS | 0 | 12,000,000 | 12,000,000 | 12,000,000 | 12,000,000 | | |
| 10 | DEBT SERVICE | 0 | 1,000,000 | 1,000,000 | 1,000,000 | 1,000,000 | | |
| 11 | FUND TRANSFERS, REFUNDS AND INVESTMENTS | 4,664,302 | 18,000,000 | 18,000,000 | 18,000,000 | 18,000,000 | | |
| 12 | PROMOTIONAL ITEMS | | 50,000 | 50,000 | 50,000 | 50,000 | | |
| 13 | | | | | | | | |
| 14 | | | | | | | | |
| 15 | | | | | | | | |
| 16 | TOTAL APPROPRIATION | \$13,792,771 | \$49,385,000 | \$49,385,000 | \$49,385,000 | \$49,385,000 | \$0 | \$0 |
| 17 | PRIOR YEAR FUND BALANCE*** | | | | | | | |
| 18 | TUITION AND MANDATORY FEES | 4,401,250 | 4,204,528 | | 4,300,000 | 4,400,000 | | |
| 19 | ALL OTHER FEES | 130,296 | 330,756 | | 350,000 | 350,000 | | |
| 20 | SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS | 1,885,117 | 1,978,200 | | 2,000,000 | 2,100,000 | | |
| 21 | INVESTMENT INCOME | 24,276 | 25,000 | | 30,000 | 35,000 | | |
| 22 | FEDERAL CASH FUNDS | 5,146,574 | 6,000,000 | | 7,000,000 | 7,500,000 | | |
| 23 | OTHER CASH FUNDS | 2,205,258 | 36,846,516 | | 35,705,000 | 35,000,000 | | |
| 24 | TOTAL INCOME | \$13,792,771 | \$49,385,000 | | \$49,385,000 | \$49,385,000 | \$0 | \$0 |
| 25 | EXCESS (FUNDING)/APPROPRIATION | \$0 | \$0 | | \$0 | \$0 | \$0 | \$0 |

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

| | ACTUAL 2013-2014 | BUDGETED 2014-2015 | AUTHORIZED 2014-2015 | INSTITUTIONAL REQUEST | AHECB RECOMMEND | LEGISLATIVE RECOMMENDATION |
|-------------------|---------------------|-----------------------|-------------------------|--------------------------|--------------------|----------------------------|
| | | | | 2015-2017 | 2015-2017 | 2015-2017 |
| REGULAR POSITIONS | 360 | 360 | 411 | 408 | 408 | |
| TOBACCO POSITIONS | | | | | | |
| EXTRA HELP ** | 46 | 46 | 500 | 500 | 500 | |

FORM 15-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

NORTH ARKANSAS COLLEGE
 (NAME OF INSTITUTION)

| | ACTIVITY | ACTUAL 2013-2014 | | | | BUDGETED 2014-2015 | | | |
|----|--|---------------------|-----------------------|-----------------|---------------|-----------------------|-----------------------|-----------------|---------------|
| | | INCOME | OPERATING EXPENSES | DEBT SERVICE | NET INCOME | INCOME | OPERATING EXPENSES | DEBT SERVICE | NET INCOME |
| 1 | INTERCOLLEGIATE ATHLETICS * | 11,531 | 260,633 | | (249,102) | 6,000 | 282,807 | | (276,807) |
| 2 | HOUSING | | | | 0 | | | | 0 |
| 3 | FOOD SERVICES | 5,705 | | | 5,705 | 10,000 | | | 10,000 |
| 4 | STUDENT UNION | | | | 0 | | | | 0 |
| 5 | BOOKSTORE | 1,830,047 | 1,634,601 | | 195,446 | 1,918,500 | 1,787,546 | | 130,954 |
| 6 | STUDENT ORGANIZATIONS AND PUBLICATIONS | | 10,999 | | (10,999) | | | | 0 |
| 7 | OTHER | 37,834 | 32,797 | | 5,037 | 43,700 | 43,143 | | 557 |
| 8 | SUBTOTAL | \$1,885,117 | \$1,939,030 | \$0 | (\$53,913) | \$1,978,200 | \$2,113,496 | \$0 | (\$135,296) |
| 9 | ATHLETIC TRANSFER ** | 111,700 | | | 111,700 | 108,417 | | | 108,417 |
| 10 | OTHER TRANSFERS *** | 28,980 | | | 28,980 | 27,687 | | | 27,687 |
| 11 | GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES | \$2,025,797 | \$1,939,030 | \$0 | \$86,767 | \$2,114,304 | \$2,113,496 | \$0 | \$808 |

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 15-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 7 Other - Vending Commission, Athletic Tournament, Summer Camps, Gym Use Fees, Intramurals, Donations, Student Activities

NOTE: Line 10 Other Transfers - The balance of Student Activity Fees (total Student Activity Fees less Athletic portion) transferred from the E & G Fund to the Auxiliary Fund to help support general Student Activities, Student

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

NORTH ARKANSAS COLLEGE
(NAME OF INSTITUTION)

| | | | | | | |
|---|------------|---------------------|----------|------------------------|----------|-----------------------------|
| TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2013-2014: | | | | | | <u>269</u> |
| (As of November 1, 2013) | | | | | | |
| Nonclassified Administrative Employees: | | | | | | |
| White Male: | <u>10</u> | Black Male: | <u>0</u> | Other Male: | <u>0</u> | Total Male: <u>10</u> |
| White Female: | <u>21</u> | Black Female: | <u>0</u> | Other Female: | <u>1</u> | Total Female: <u>22</u> |
| Nonclassified Health Care Employees: | | | | | | |
| White Male: | <u>0</u> | Black Male: | <u>0</u> | Other Male: | <u>0</u> | Total Male: <u>0</u> |
| White Female: | <u>0</u> | Black Female: | <u>0</u> | Other Female: | <u>0</u> | Total Female: <u>0</u> |
| Classified Employees: | | | | | | |
| White Male: | <u>30</u> | Black Male: | <u>0</u> | Other Male: | <u>1</u> | Total Male: <u>31</u> |
| White Female: | <u>66</u> | Black Female: | <u>0</u> | Other Female: | <u>0</u> | Total Female: <u>66</u> |
| Faculty: | | | | | | |
| White Male: | <u>61</u> | Black Male: | <u>0</u> | Other Male: | <u>1</u> | Total Male: <u>62</u> |
| White Female: | <u>77</u> | Black Female: | <u>0</u> | Other Female: | <u>1</u> | Total Female: <u>78</u> |
| Total White Male: | <u>101</u> | Total Black Male: | <u>0</u> | Total Other Male: | <u>2</u> | Total Male: <u>103</u> |
| Total White Female: | <u>164</u> | Total Black Female: | <u>0</u> | Total Other Female: | <u>2</u> | Total Female: <u>166</u> |
| Total White: | <u>265</u> | Total Black: | <u>0</u> | Total Other: | <u>4</u> | Total Employees: <u>269</u> |
| | | | | Total Minority: | <u>4</u> | |

FORM 15-6

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2014
 Required by A.C.A. 25-36-104

Institution NORTH ARKANSAS COLLEGE

| Minority Business | Total Contract Awarded | Minority Type per A.C.A. 15-4-303 (2) | | | | | |
|-------------------|------------------------|---------------------------------------|-------------------|-----------------|----------------|---------------------------|------------------|
| | | African American | Hispanic American | American Indian | Asian American | Pacific Islander American | Disabled Veteran |
| N/A | | | | | | | |
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TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$90,000
 (Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

**DIVISION OF LEGISLATIVE AUDIT
AUDIT OF NORTH ARKANSAS COLLEGE
June 30, 2013**

| | |
|-----------------|-------------------|
| <i>Finding:</i> | No Findings noted |
|-----------------|-------------------|

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NORTHWEST ARKANSAS COMMUNITY COLLEGE

Dr. Evelyn E. Jorgenson, Chief Executive Officer and President

ENABLING LAWS

Act 252 of 1989, A.C.A. § 6-61-529, Act 155 of 2014

INSTITUTION HISTORY AND ORGANIZATION

The NorthWest Arkansas Community College (NWACC) tax district was authorized pursuant to Act 252 of the First Special Session of 1989 on August 15, 1989. Voters in the Rogers and Bentonville public school districts passed a 3-mil property tax. Its first classes were attended by more than 1,200 students in the fall of 1990 at high schools, chambers of commerce, municipal and leased facilities throughout the district.

Day, evening, and weekend classes are offered at NWACC's main campus in Bentonville at other locations in Rogers, Springdale, Farmington, and online. The College opened its fifth major facility on campus in January 2013, a three-story, 81,043 square feet. Health Professions Building that will allow NWACC to expand its Associates Degree Nursing (A.D.N. RN) program, and other allied health programs. In addition, the Gunderson National Child Protection Center renovation was completed on December 16, 2013.

NWACC serves as the first of only four regional partners of the Gunderson National Child Protection Training Center, which is dedicated to eradicating child abuse in the United States within three generations. The center serves current and future child protection professionals in a 16-state region, teaching all mandated reporters, teachers, social workers, counselors, law enforcement officers, first responders, health professionals, attorneys, and members of judicial and childcare systems to "Recognize, Report, and Respond" to child abuse and maltreatment. NWACC has already implemented courses and curriculum for students on its home campus, throughout Arkansas and other states.

NWACC is completing the purchase of 20 acres of property in Springdale, AR. This Center will house the current programming (already being delivered in Washington County) includes general education and transfer curricula. New programming includes Workforce Technologies (credit and non-credit formats) with focus on Manufacturing Operations, Mechatronics, and Construction Technologies. Expanded programming (already being offered, but expanded by market demand) includes STEM-related curricula, General Business transfer, Information Management and Applications, and Agriculture Food and Life Sciences.

NWACC served 16,488 individual learners in Academic Year 2014. This included 11,586 unduplicated college credit students, 2,149 students in workforce development courses, 2,753 learners in Adult Education classes.

NORTHWEST ARKANSAS COMMUNITY COLLEGE

Dr. Evelyn E. Jorgenson, Chief Executive Officer and President

NWACC's ADHE assigned service area is Benton and Washington counties, which have a combined estimated population of nearly 500,000 residents as of summer 2014. In serving this dynamic region of Arkansas, NWACC recognizes the importance of providing varied and abundant opportunities for learning. NWACC is a comprehensive, learning-centered two-year college dedicated primarily to meeting the educational needs of that defined service area. NWACC offers a comprehensive education program including, but without limitation, technical occupational programs, freshman and sophomore programs for students to transfer to four-year colleges, specialty courses, professional continuing education courses, community enrichment classes, and business and industry training to meet the needs of the emerging and existing workforce.

In accomplishing its mission and goals, NWACC employees value academic, professional and personal integrity; they facilitate continuous learning for students and stakeholders; they value human resources over physical resources; they develop the potential of the individual to achieve excellence, anticipate and respond to needs by encouraging innovative ideas and technologies, respect differences and view them as a strength, and advance knowledge through individual and team challenges.

NWACC is accredited by The Higher Learning Commission of the North Central Association of Schools and Colleges (312-263-0456). NWACC participates in The Higher Learning Commission's Academic Quality Improvement Program, a process for re-accreditation based on the principles and benefits of continuous improvement into the culture of the college.

Change Stats here NWACC has been one of the fastest growing higher education institutions in Arkansas. Student semester credit hours grew 81% from 87,466 in academic year 2004 to 159,102 in AY 2014. Because of flat state support, the College has diversified its funding base. The base consists of property taxes, state and federal aid, student tuition and fees, and other institutionally generated revenues. Total operating revenues have increased 30% since FY2008 to \$37,339,220 projected in FY15. NWACC allocates 44% of revenues to instruction, 16% to instructional administration, 10% to student support, 17% to administration, and 13% to maintenance of physical plant. Additionally; NWACC budgets 7.6% of the E&G funds as the Board of Trustees reserve and contingency fund.

NWACC is governed by a 9 member Board of Trustees that effective November 2012 are now elected by voting zones within the two public school districts as required by A.C.A. § 6-61-529. The Board of Trustees has established the following five goals and objectives based on the College's constituent communities.

NORTHWEST ARKANSAS COMMUNITY COLLEGE

Dr. Evelyn E. Jorgenson, Chief Executive Officer and President

NorthWest Arkansas Community College will become a premier educational provider in northwest Arkansas by developing a responsive educational delivery system customized to the needs of learners and receiving organizations.

1. For the learner community we will:

Provide expanded access to educational opportunities for the population in general, but especially for under-served learners in all age groups who are disconnected from education by choice or circumstance and will actively support student retention and completion.

2. For the business community we will:

Enhance partnerships and collaborations with business and industry through innovative approaches to design and deliver training and education.

3. For the owner community (taxpayers) we will:

Enhance NWACC's capacity to achieve its initiatives by diversifying its resource base.

Provide educational and comprehensive programs, services and facilities to the owner community (taxpayers).

4. For the pre-kindergarten through grade 16 community we will:

Forge a systemic linkage with P-16 and University partners to achieve "seamlessness" in curriculum, technology and learner support and service delivery.

5. For the college community we will:

Align the organizational infrastructure of NWACC with College growth.

NWACC's FY15 personal services and operating expenses are covered in Act 155 of the 2014 fiscal session.

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**INSTITUTION APPROPRIATION SUMMARY
2015-2017 BIENNIUM**

INSTITUTION NORTHWEST ARKANSAS COMMUNITY COLLEGE

| | APPROPRIATION | HISTORICAL DATA | | | | | | INSTITUTION REQUEST & AHECB RECOMMENDATION | | | | | | | |
|----|-----------------------------------|-----------------|------|---------------|------|---------------|-------|--|-------|---------------|-------|---------------|-------|---------------|-------|
| | | 2013-2014 | | 2014-2015 | | 2014-2015 | | 2015-2016 | | | | 2016-2017 | | | |
| | | ACTUAL | POS | BUDGETED | POS | AUTHORIZED | POS | INST REQUEST | POS | AHECB REC | POS | INST REQUEST | POS | AHECB REC | POS |
| 1 | STATE TREASURY | 11,611,154 | | 11,634,914 | | 17,401,277 | | 16,749,041 | | 16,749,041 | | 17,251,512 | | 17,251,512 | |
| 2 | CASH | 32,501,923 | | 202,510,000 | | 202,510,000 | | 202,510,000 | | 202,510,000 | | 202,510,000 | | 202,510,000 | |
| 3 | STATE TREASURY - | | | | | | | 118,282 | | 118,282 | | 118,282 | | 118,282 | |
| 4 | CHILD PROTECTION TRAINING CENTER | | | | | | | | | | | | | | |
| 5 | | | | | | | | | | | | | | | |
| 6 | | | | | | | | | | | | | | | |
| 7 | | | | | | | | | | | | | | | |
| 8 | | | | | | | | | | | | | | | |
| 9 | | | | | | | | | | | | | | | |
| 10 | | | | | | | | | | | | | | | |
| 11 | TOTAL | \$44,113,077 | 775 | \$214,144,914 | 922 | \$219,911,277 | 1,064 | \$219,377,323 | 1,064 | \$219,377,323 | 1,064 | \$219,879,794 | 1,064 | \$219,879,794 | 1,064 |
| | FUNDING SOURCES | | % | | % | | | | % | | % | | % | | % |
| 12 | PRIOR YEAR FUND BALANCE* | 0 | 0% | 0 | 0% | | | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| 13 | GENERAL REVENUE | 10,619,202 | 24% | 10,619,202 | 5% | | | 15,851,611 | 7% | 15,851,611 | 7% | 16,354,082 | 7% | 16,354,082 | 7% |
| 14 | EDUCATIONAL EXCELLENCE TRUST FUND | 991,952 | 2% | 1,015,712 | 0% | | | 1,015,712 | 0% | 1,015,712 | 0% | 1,015,712 | 0% | 1,015,712 | 0% |
| 15 | WORKFORCE 2000 | 0 | 0% | 0 | 0% | | | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| 16 | CASH FUNDS | 26,156,901 | 59% | 100,510,000 | 47% | | | 127,010,000 | 58% | 127,010,000 | 58% | 127,010,000 | 58% | 127,010,000 | 58% |
| 17 | SPECIAL REVENUES | | 0% | | 0% | | | | 0% | 0 | 0% | | 0% | 0 | 0% |
| 18 | FEDERAL FUNDS | 6,345,022 | 14% | 102,000,000 | 48% | | | 75,500,000 | 34% | 75,500,000 | 34% | 75,500,000 | 34% | 75,500,000 | 34% |
| 19 | TOBACCO SETTLEMENT FUNDS | 0 | 0% | 0 | 0% | | | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| 20 | OTHER FUNDS | 0 | 0% | 0 | 0% | | | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| 21 | TOTAL INCOME | \$44,113,077 | 100% | \$214,144,914 | 100% | | | \$219,377,323 | 100% | \$219,377,323 | 100% | \$219,879,794 | 100% | \$219,879,794 | 100% |
| 22 | EXCESS (FUNDING)/APPROPRIATION | \$0 | | \$0 | | | | \$0 | | \$0 | | \$0 | | \$0 | |

| | |
|--|-------------|
| UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2014: | \$9,106,556 |
| LESS RESERVES FOR: | |
| ACCOUNTS RECEIVABLE | \$1,706,753 |
| INVENTORIES | |
| YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES | |
| INSURANCE DEDUCTIBLES | |
| MAJOR CRITICAL SYSTEMS FAILURES | |
| 60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES) | \$6,879,325 |
| RESERVE FOR SPECIFIC ITEM(SOFTWARE, EQUIPMENT, ETC.) | |
| OTHER (FOOTNOTE BELOW) | |
| UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE | \$520,478 |

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2015-2017 BIENNIUM (Non-Formula Entities)**

NWACC - CHILD PROTECTION TRAINING CENTER

NAME OF INSTITUTION

| EXPENDITURE CATEGORIES | 2013-2014 ACTUAL | 2014-2015 BUDGETED * | 2015-17 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS | | | |
|-----------------------------|------------------|----------------------|--|----------------|-----------|----------------|
| | | | 2015-2016 | | 2016-2017 | |
| | | | REQUEST | RECOMMENDATION | REQUEST | RECOMMENDATION |
| 1 OPERATING EXPENSES | 95,358 | 118,282 | 118,282 | 118,282 | 118,282 | 118,282 |
| 2 | | | | | | |
| 3 | | | | | | |
| 4 | | | | | | |
| 5 | | | | | | |
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| 9 | | | | | | |
| 10 | | | | | | |
| 11 | | | | | | |
| 12 | | | | | | |
| 13 MANDATORY TRANSFERS | | | | | | |
| 14 AUXILIARY TRANSFERS | | | | | | |
| 15 NON-MANDATORY TRANSFERS | | | | | | |
| 16 TOTAL UNREST. E&G EXP. | \$95,358 | \$118,282 | \$118,282 | \$118,282 | \$118,282 | \$118,282 |
| 17 NET LOCAL INCOME | 95,358 | 118,282 | | | | |
| 18 PRIOR YEAR BALANCE*** | | | | | | |
| STATE FUNDS: | | | | | | |
| 19 GENERAL REVENUE | | | 118,282 | 118,282 | 118,282 | 118,282 |
| 20 EDUCATIONAL EXCELLENCE | | | | | | |
| 21 WORKFORCE 2000 | | | | | | |
| 22 TOBACCO SETTLEMENT FUNDS | | | | | | |
| 23 OTHER STATE FUNDS ** | | | | | | |
| 24 TOTAL SOURCES OF INCOME | \$95,358 | \$118,282 | \$118,282 | \$118,282 | \$118,282 | \$118,282 |

FORM 15-2 Nonformula

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in a footnote.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2015-2017 BIENNIUM**

FUND CWA0000

INSTITUTION NORTHWEST ARKANSAS COMMUNITY COLLEGE

APPROPRIATION 313

| | DESCRIPTION | ACTUAL 2013-2014 | BUDGETED 2014-2015 | AUTHORIZED APPROPRIATION 2014-2015 | INSTITUTIONAL REQUEST / AHECB RECOMMENDATION | | LEGISLATIVE RECOMMENDATION | |
|----|-----------------------------------|---------------------|-----------------------|--|---|--------------|----------------------------|-----------|
| | | | | | 2015-2016 | 2016-2017 | 2015-2016 | 2016-2017 |
| 1 | REGULAR SALARIES | 11,605,303 | 11,634,914 | 17,401,277 | 16,749,041 | 17,251,512 | | |
| 2 | EXTRA HELP WAGES | | | | | | | |
| 3 | OVERTIME | | | | | | | |
| 4 | PERSONAL SERVICES MATCHING | | | | | | | |
| 5 | OPERATING EXPENSES | | | | | | | |
| 6 | CONFERENCE FEES & TRAVEL | | | | | | | |
| 7 | PROFESSIONAL FEES AND SERVICES | | | | | | | |
| 8 | CAPITAL OUTLAY | | | | | | | |
| 9 | FUNDED DEPRECIATION | | | | | | | |
| 10 | WORKERS COMP/SURETY PREMIUM | 5,851 | | | | | | |
| 11 | | | | | | | | |
| 12 | | | | | | | | |
| 13 | TOTAL APPROPRIATION | \$11,611,154 | \$11,634,914 | \$17,401,277 | \$16,749,041 | \$17,251,512 | \$0 | \$0 |
| 14 | PRIOR YEAR FUND BALANCE** | | | | | | | |
| 15 | GENERAL REVENUE | 10,619,202 | 10,619,202 | | 15,733,329 | 16,235,800 | | |
| 16 | EDUCATIONAL EXCELLENCE TRUST FUND | 991,952 | 1,015,712 | | 1,015,712 | 1,015,712 | | |
| 17 | SPECIAL REVENUES * [WF2000] | | | | | | | |
| 18 | FEDERAL FUNDS IN STATE TREASURY | | | | | | | |
| 19 | TOBACCO SETTLEMENT FUNDS | | | | | | | |
| 20 | OTHER STATE TREASURY FUNDS | | | | | | | |
| 21 | TOTAL INCOME | \$11,611,154 | \$11,634,914 | | \$16,749,041 | \$17,251,512 | \$0 | \$0 |
| 22 | EXCESS (FUNDING)/APPROPRIATION | \$0 | \$0 | | \$0 | \$0 | \$0 | \$0 |

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2015-2017 BIENNIUM**

FUND NEW

INSTITUTION NWACC -CHILD PROTECTION TRAINING CENTER

APPROPRIATION NEW

| | DESCRIPTION | ACTUAL 2013-2014 | BUDGETED 2014-2015 | AUTHORIZED APPROPRIATION 2014-2015 | INSTITUTIONAL REQUEST / AHECB RECOMMENDATION | | LEGISLATIVE RECOMMENDATION | |
|----|-----------------------------------|---------------------|-----------------------|--|---|-----------|----------------------------|-----------|
| | | | | | 2015-2016 | 2016-2017 | 2015-2016 | 2016-2017 |
| 1 | REGULAR SALARIES | | | | | | | |
| 2 | EXTRA HELP WAGES | | | | | | | |
| 3 | OVERTIME | | | | | | | |
| 4 | PERSONAL SERVICES MATCHING | | | | | | | |
| 5 | OPERATING EXPENSES | | | | 118,282 | 118,282 | | |
| 6 | CONFERENCE FEES & TRAVEL | | | | | | | |
| 7 | PROFESSIONAL FEES AND SERVICES | | | | | | | |
| 8 | CAPITAL OUTLAY | | | | | | | |
| 9 | FUNDED DEPRECIATION | | | | | | | |
| 10 | | | | | | | | |
| 11 | | | | | | | | |
| 12 | | | | | | | | |
| 13 | TOTAL APPROPRIATION | \$0 | \$0 | \$0 | \$118,282 | \$118,282 | \$0 | \$0 |
| 14 | PRIOR YEAR FUND BALANCE** | | | | | | | |
| 15 | GENERAL REVENUE | | | | 118,282 | 118,282 | | |
| 16 | EDUCATIONAL EXCELLENCE TRUST FUND | | | | | | | |
| 17 | SPECIAL REVENUES * [WF2000] | | | | | | | |
| 18 | FEDERAL FUNDS IN STATE TREASURY | | | | | | | |
| 19 | TOBACCO SETTLEMENT FUNDS | | | | | | | |
| 20 | OTHER STATE TREASURY FUNDS | | | | | | | |
| 21 | TOTAL INCOME | \$0 | \$0 | | \$118,282 | \$118,282 | \$0 | \$0 |
| 22 | EXCESS (FUNDING)/APPROPRIATION | \$0 | \$0 | | \$0 | \$0 | \$0 | \$0 |

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS
2015-2017 BIENNIUM**

FUND 2200000

INSTITUTION NORTHWEST ARKANSAS COMMUNITY COLLEGE

APPROPRIATION B17

| | DESCRIPTION | ACTUAL 2013-2014 | BUDGETED 2014-2015 | AUTHORIZED APPROPRIATION 2014-2015 | INSTITUTIONAL REQUEST / AHECB RECOMMENDATION | | LEGISLATIVE RECOMMENDATION | |
|----|---|---------------------|-----------------------|--|---|---------------|----------------------------|-----------|
| | | | | | 2015-2016 | 2016-2017 | 2015-2016 | 2016-2017 |
| 1 | REGULAR SALARIES | 12,082,256 | 47,500,000 | 47,500,000 | 47,500,000 | 47,500,000 | | |
| 2 | EXTRA HELP WAGES | 815,890 | 2,600,000 | 2,600,000 | 3,000,000 | 3,000,000 | | |
| 3 | OVERTIME | 0 | 475,000 | 475,000 | 470,000 | 470,000 | | |
| 4 | PERSONAL SERVICES MATCHING | 8,187,316 | 22,300,000 | 22,300,000 | 24,000,000 | 24,000,000 | | |
| 5 | OPERATING EXPENSES | 9,225,132 | 72,200,000 | 72,200,000 | 76,400,000 | 76,400,000 | | |
| 6 | CONFERENCE FEES & TRAVEL | 438,668 | 1,550,000 | 1,550,000 | 1,600,000 | 1,600,000 | | |
| 7 | PROFESSIONAL FEES AND SERVICES | 1,237,820 | 4,150,000 | 4,150,000 | 6,000,000 | 6,000,000 | | |
| 8 | CAPITAL OUTLAY | 497,340 | 4,200,000 | 4,200,000 | 19,500,000 | 19,500,000 | | |
| 9 | CAPITAL IMPROVEMENTS | 0 | 41,000,000 | 41,000,000 | 15,000,000 | 15,000,000 | | |
| 10 | DEBT SERVICE | 5,274 | 6,535,000 | 6,535,000 | 9,000,000 | 9,000,000 | | |
| 11 | FUND TRANSFERS, REFUNDS AND INVESTMENTS | | | | | | | |
| 12 | PROMOTIONAL ITEMS | 12,227 | | | 40,000 | 40,000 | | |
| 13 | | | | | | | | |
| 14 | | | | | | | | |
| 15 | | | | | | | | |
| 16 | TOTAL APPROPRIATION | \$32,501,923 | \$202,510,000 | \$202,510,000 | \$202,510,000 | \$202,510,000 | \$0 | \$0 |
| 17 | PRIOR YEAR FUND BALANCE*** | | | | | | | |
| 18 | TUITION AND MANDATORY FEES | 16,037,416 | 19,315,825 | | 51,400,000 | 51,400,000 | | |
| 19 | ALL OTHER FEES | 3,023,587 | 3,420,000 | | 12,050,000 | 12,050,000 | | |
| 20 | SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS | | | | | | | |
| 21 | INVESTMENT INCOME | 107 | 40,000 | | 20,000 | 20,000 | | |
| 22 | FEDERAL CASH FUNDS | 6,345,022 | 102,000,000 | | 75,500,000 | 75,500,000 | | |
| 23 | OTHER CASH FUNDS | 7,095,791 | 77,734,175 | | 63,540,000 | 63,540,000 | | |
| 24 | TOTAL INCOME | \$32,501,923 | \$202,510,000 | | \$202,510,000 | \$202,510,000 | \$0 | \$0 |
| 25 | EXCESS (FUNDING)/APPROPRIATION | \$0 | \$0 | | \$0 | \$0 | \$0 | \$0 |

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

| | ACTUAL 2013-2014 | BUDGETED 2014-2015 | AUTHORIZED 2014-2015 | INSTITUTIONAL REQUEST | AHECB RECOMMEND | LEGISLATIVE RECOMMENDATION |
|-------------------|---------------------|-----------------------|-------------------------|--------------------------|--------------------|----------------------------|
| | | | | 2015-2017 | 2015-2017 | 2015-2017 |
| REGULAR POSITIONS | 775 | 922 | 1,064 | 1,064 | 1,064 | |
| TOBACCO POSITIONS | | | | | | |
| EXTRA HELP ** | 47 | 55 | 360 | 360 | 360 | |

FORM 15-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

NORTHWEST ARKANSAS COMMUNITY COLLEGE
(NAME OF INSTITUTION)

| | ACTIVITY | ACTUAL 2013-2014 | | | | BUDGETED 2014-2015 | | | |
|----|--|---------------------|-----------------------|-----------------|---------------|-----------------------|-----------------------|-----------------|---------------|
| | | INCOME | OPERATING EXPENSES | DEBT SERVICE | NET INCOME | INCOME | OPERATING EXPENSES | DEBT SERVICE | NET INCOME |
| 1 | INTERCOLLEGIATE ATHLETICS * | | | | 0 | | | | 0 |
| 2 | HOUSING | | | | 0 | | | | 0 |
| 3 | FOOD SERVICES | 68,817 | 115,401 | 0 | (46,584) | 80,500 | 140,505 | 0 | (60,005) |
| 4 | STUDENT UNION | | | | 0 | | | | 0 |
| 5 | BOOKSTORE | 305,655 | 14,132 | | 291,523 | 263,000 | 263,000 | | 0 |
| 6 | STUDENT ORGANIZATIONS AND PUBLICATIONS | | | | 0 | | | | 0 |
| 7 | OTHER | 237,681 | 230,542 | 0 | 7,139 | 237,172 | 177,167 | 0 | 60,005 |
| 8 | SUBTOTAL | \$612,154 | \$360,075 | \$0 | \$252,079 | \$580,672 | \$580,672 | \$0 | \$0 |
| 9 | ATHLETIC TRANSFER ** | | | | 0 | | | | 0 |
| 10 | OTHER TRANSFERS *** | (245,130) | | | (245,130) | | | | 0 |
| 11 | GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES | \$367,024 | \$360,075 | \$0 | \$6,949 | \$580,672 | \$580,672 | \$0 | \$0 |

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 15-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 7 Other - Other auxiliary revenues are from vending machines, parking fees, and external public safety services

NOTE: Line 10 Other Transfers - Excess auxiliary funds are transferred to the unrestricted e&g fund group to support educational needs.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

NORTHWEST ARKANSAS COMMUNITY COLLEGE
(NAME OF INSTITUTION)

| | | | | | | |
|---|------------|---------------------|-----------|------------------------|-----------|-----------------------------|
| TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2013-2014: | | | | | | <u>697</u> |
| (As of November 1, 2013) | | | | | | |
| Nonclassified Administrative Employees: | | | | | | |
| White Male: | <u>23</u> | Black Male: | <u>1</u> | Other Male: | <u>1</u> | Total Male: <u>25</u> |
| White Female: | <u>49</u> | Black Female: | <u>2</u> | Other Female: | <u>6</u> | Total Female: <u>57</u> |
| Nonclassified Health Care Employees: | | | | | | |
| White Male: | <u>0</u> | Black Male: | <u>0</u> | Other Male: | <u>0</u> | Total Male: <u>0</u> |
| White Female: | <u>0</u> | Black Female: | <u>0</u> | Other Female: | <u>0</u> | Total Female: <u>0</u> |
| Classified Employees: | | | | | | |
| White Male: | <u>33</u> | Black Male: | <u>0</u> | Other Male: | <u>11</u> | Total Male: <u>44</u> |
| White Female: | <u>80</u> | Black Female: | <u>1</u> | Other Female: | <u>13</u> | Total Female: <u>94</u> |
| Faculty: | | | | | | |
| White Male: | <u>204</u> | Black Male: | <u>3</u> | Other Male: | <u>19</u> | Total Male: <u>226</u> |
| White Female: | <u>224</u> | Black Female: | <u>11</u> | Other Female: | <u>16</u> | Total Female: <u>251</u> |
| Total White Male: | <u>260</u> | Total Black Male: | <u>4</u> | Total Other Male: | <u>31</u> | Total Male: <u>295</u> |
| Total White Female: | <u>353</u> | Total Black Female: | <u>14</u> | Total Other Female: | <u>35</u> | Total Female: <u>402</u> |
| Total White: | <u>613</u> | Total Black: | <u>18</u> | Total Other: | <u>66</u> | Total Employees: <u>697</u> |
| | | | | Total Minority: | <u>84</u> | |

FORM 15-6

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES
Fiscal Year 2014
Required by A.C.A. 25-36-104

Institution

NORTHWEST ARKANSAS COMMUNITY COLLEGE

| Minority Business | Total Contract Awarded | Minority Type per A.C.A. 15-4-303 (2) | | | | | |
|-------------------|------------------------|---------------------------------------|-------------------|-----------------|----------------|---------------------------|------------------|
| | | African American | Hispanic American | American Indian | Asian American | Pacific Islander American | Disabled Veteran |
| N/A | | | | | | | |
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TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$6,000

(Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

**DIVISION OF LEGISLATIVE AUDIT
AUDIT OF NORTHWEST ARKANSAS COMMUNITY COLLEGE
June 30, 2013**

| | |
|-----------------|-------------------|
| <i>Finding:</i> | No Findings noted |
|-----------------|-------------------|

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OZARKA COLLEGE

Dr. Richard L. Dawe, President

ENABLING LAWS

Act 255 and 299 of 2014

INSTITUTION HISTORY AND ORGANIZATION

Ozarka College opened its doors as Ozarka Vocational-Technical School in 1975 to provide vocational training to residents of Fulton, Izard, Sharp and Stone Counties. The first class of 43 students graduated in July 1976 with degrees in Automotive Service, Food Service, Business Education, Building Trades, Industrial Equipment Technology, Licensed Practical Nursing and Major Appliance Service. Classes leading to GED certificates were also offered.

Ozarka now enrolls approximately 1,500 students per semester. This growth came from a major extension of the institution's mission. In 1991, the Arkansas State Legislature passed ACT 1244, transforming Ozarka and a number of other vocational technical schools into technical colleges. In addition to the courses already offered, Ozarka began to provide courses for college transfer credit through articulation agreements with other state colleges and universities.

Ozarka formed a partnership with Arkansas State University to facilitate the development of college transfer coursework. In August 1993, Ozarka Technical College became a candidate for accreditation. In 1996, the Higher Learning Commission of the North Central Association of Colleges and Schools determined that Ozarka had fulfilled all requirements for accreditation.

In keeping with its mission and continued evolution, the College made another name change to Ozarka College in 1999, dropping the "Technical" to emphasize the full range of available degree programs.

Ozarka College now has transfer agreements in place with four-year colleges and universities around the state, allowing students in the Associate of Arts program to transfer easily.

As the campus has widened the scope of educational programs, services have been expanded to other locations in the region. With the main campus located in Melbourne, Ozarka also operates off-campus sites in Ash Flat, Mammoth Spring, and Mountain View as well as some local high schools in the region. Distance education classes, including full programs, are also available via Internet which offers Ozarka students more flexibility in scheduling classes.

The Ozarka College Mission is: Ozarka College provides life-changing experiences through education. The Mission is

OZARKA COLLEGE
Dr. Richard L. Dawe, President

the basis for all of the College's primary activities that include approval to deliver thirteen certificates of proficiency, eight technical certificates, seven associate of applied science degrees, and five associate degrees as approved by the Arkansas Department of Higher Education. Ozarka College also houses adult basic education for the area, as well as providing concurrent education and continuing education.

Oversight to Ozarka College operations is provided by the Board of Trustees, a seven person, Governor appointed Board representing the four-county service area. Trustees serve a seven year term of office.

Currently, Ozarka College is fully accredited by the North Central Association of the Higher Learning Commission until the 2020-2021 academic year. The Licensed Practical Nursing program is approved by the Arkansas State Board of Nursing, the Automotive Service Technology Program is accredited by the National Automotive Technicians Education Foundation, and the College is approved by the Veterans Administration for individuals eligible for educational benefits under the GI Bill.

Ozarka College's appropriations are determined by the funding model. The latest Appropriation Acts for operation of the College include the Personal Services and Operating Expenses Act, Act 255 of 2014; the Revenue Stabilization Act, Act 299 of 2014; and the Re-appropriation of Capital Improvement Act, Act 255 of 2014.

As Ozarka College looks to the future for advancing service to its constituents, it is developing new and relevant programs to ensure graduates are prepared to quickly enter the workforce or advance in their careers. Future programs include a degree in Aviation Science – Professional Pilot for students seeking careers in aviation, an Agricultural Science degree to serve the agricultural needs of the region, and an Information Science degree to address technology career field needs. In addition, the College, based on its Facilities Master Plan completed in 2011, is completing a Student Services Center on the main campus at Melbourne.

Ozarka College is not requesting any additional personnel positions nor appropriation for the 2015 – 2017 Biennium.

**INSTITUTION APPROPRIATION SUMMARY
2015-2017 BIENNIUM**

INSTITUTION OZARKA COLLEGE

| | APPROPRIATION | HISTORICAL DATA | | | | | | INSTITUTION REQUEST & AHECB RECOMMENDATION | | | | | | | |
|----|-----------------------------------|-----------------|-------|--------------|------|--------------|-----|--|------|--------------|------|--------------|------|--------------|------|
| | | 2013-2014 | | 2014-2015 | | 2014-2015 | | 2015-2016 | | | | 2016-2017 | | | |
| | | ACTUAL | POS | BUDGETED | POS | AUTHORIZED | POS | INST REQUEST | POS | AHECB REC | POS | INST REQUEST | POS | AHECB REC | POS |
| 1 | STATE TREASURY | 4,404,576 | | 4,385,785 | | 6,272,539 | | 5,931,398 | | 5,931,398 | | 6,094,340 | | 6,094,340 | |
| 2 | CASH | 6,929,631 | | 14,351,000 | | 14,351,000 | | 14,351,000 | | 14,351,000 | | 14,351,000 | | 14,351,000 | |
| 3 | | | | | | | | | | | | | | | |
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| 9 | | | | | | | | | | | | | | | |
| 10 | | | | | | | | | | | | | | | |
| 11 | TOTAL | \$11,334,207 | 184 | \$18,736,785 | 196 | \$20,623,539 | 219 | \$20,282,398 | 219 | \$20,282,398 | 219 | \$20,445,340 | 219 | \$20,445,340 | 219 |
| | FUNDING SOURCES | | % | | % | | | | % | | % | | % | | % |
| 12 | PRIOR YEAR FUND BALANCE* | 382,464 | 3.37% | 0 | 0% | | | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| 13 | GENERAL REVENUE | 3,126,475 | 28% | 3,126,475 | 17% | | | 4,172,088 | 21% | 4,172,088 | 21% | 4,335,030 | 21% | 4,335,030 | 21% |
| 14 | EDUCATIONAL EXCELLENCE TRUST FUND | 0 | 0% | 0 | 0% | | | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| 15 | WORKFORCE 2000 | 1,271,841 | 11% | 1,259,310 | 7% | | | 1,259,310 | 6% | 1,259,310 | 6% | 1,259,310 | 6% | 1,259,310 | 6% |
| 16 | CASH FUNDS | 5,702,228 | 50% | 10,451,000 | 56% | | | 10,451,000 | 52% | 10,451,000 | 52% | 10,451,000 | 51% | 10,451,000 | 51% |
| 17 | SPECIAL REVENUES | | | | | | | | | | | | | | |
| 18 | FEDERAL FUNDS | 848,904 | 7% | 3,900,000 | 21% | | | 3,900,000 | 19% | 3,900,000 | 19% | 3,900,000 | 19% | 3,900,000 | 19% |
| 19 | TOBACCO SETTLEMENT FUNDS | 0 | 0% | 0 | 0% | | | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| 20 | OTHER FUNDS | 2,295 | 0% | 0 | 0% | | | 500,000 | 2% | 500,000 | 2% | 500,000 | 2% | 500,000 | 2% |
| 21 | TOTAL INCOME | \$11,334,207 | 100% | \$18,736,785 | 100% | | | \$20,282,398 | 100% | \$20,282,398 | 100% | \$20,445,340 | 100% | \$20,445,340 | 100% |
| 22 | EXCESS (FUNDING)/APPROPRIATION | \$0 | | \$0 | | | | \$0 | | \$0 | | \$0 | | \$0 | |

| | |
|--|-------------|
| UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2014: | \$3,598,570 |
| LESS RESERVES FOR: | |
| ACCOUNTS RECEIVABLE | \$645,372 |
| INVENTORIES | \$437,149 |
| YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES | |
| INSURANCE DEDUCTIBLES | \$10,000 |
| MAJOR CRITICAL SYSTEMS FAILURES | |
| 60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES) | \$1,162,290 |
| RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.) | |
| OTHER (FOOTNOTE BELOW) | \$1,062,116 |
| UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE | \$281,643 |

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

NOTE: OTHER - Board Designated Operating Revenue - \$508,700; Plant Fund Reserve/Student Services Building - \$350,000; New Program Start-Up Costs/Aviation - \$150,000; Building Maintenance Fund/Bond Issue - \$53,416

**APPROPRIATION ACT FORM - STATE TREASURY
2015-2017 BIENNIUM**

FUND CTO0000

INSTITUTION OZARKA COLLEGE

APPROPRIATION 1XC

| | DESCRIPTION | ACTUAL 2013-2014 | BUDGETED 2014-2015 | AUTHORIZED APPROPRIATION 2014-2015 | INSTITUTIONAL REQUEST / AHECB RECOMMENDATION | | LEGISLATIVE RECOMMENDATION | |
|----|-----------------------------------|---------------------|-----------------------|--|---|-------------|----------------------------|-----------|
| | | | | | 2015-2016 | 2016-2017 | 2015-2016 | 2016-2017 |
| 1 | REGULAR SALARIES | 2,772,016 | 3,059,485 | 3,850,000 | 3,850,000 | 3,850,000 | | |
| 2 | EXTRA HELP WAGES | 125,000 | 125,000 | 150,000 | 150,000 | 150,000 | | |
| 3 | OVERTIME | 0 | 100 | 100 | 100 | 100 | | |
| 4 | PERSONAL SERVICES MATCHING | 1,506,214 | 1,200,000 | 1,771,239 | 1,430,098 | 1,593,040 | | |
| 5 | OPERATING EXPENSES | 200 | 200 | 200 | 200 | 200 | | |
| 6 | CONFERENCE FEES & TRAVEL | 200 | 200 | 200 | 200 | 200 | | |
| 7 | PROFESSIONAL FEES AND SERVICES | 200 | 200 | 200 | 200 | 200 | | |
| 8 | CAPITAL OUTLAY | 200 | 200 | 200 | 200 | 200 | | |
| 9 | FUNDED DEPRECIATION | 0 | 200 | 200 | 200 | 200 | | |
| 10 | CAPITAL IMPROVEMENTS | 0 | 200 | 200 | 200 | 200 | | |
| 11 | WORKERS COMP | 546 | | | | | | |
| 12 | MTN VIEW CAMPUS H&FC | | | 500,000 | 500,000 | 500,000 | | |
| 13 | TOTAL APPROPRIATION | \$4,404,576 | \$4,385,785 | \$6,272,539 | \$5,931,398 | \$6,094,340 | \$0 | \$0 |
| 14 | PRIOR YEAR FUND BALANCE** | 3,965 | | | | | | |
| 15 | GENERAL REVENUE | 3,126,475 | 3,126,475 | | 4,172,088 | 4,335,030 | | |
| 16 | EDUCATIONAL EXCELLENCE TRUST FUND | | | | | | | |
| 17 | SPECIAL REVENUES * [WF2000] | 1,271,841 | 1,259,310 | | 1,259,310 | 1,259,310 | | |
| 18 | FEDERAL FUNDS IN STATE TREASURY | | | | | | | |
| 19 | TOBACCO SETTLEMENT FUNDS | | | | | | | |
| 20 | OTHER STATE TREASURY FUNDS | 2,295 | | | 500,000 | 500,000 | | |
| 21 | TOTAL INCOME | \$4,404,576 | \$4,385,785 | | \$5,931,398 | \$6,094,340 | \$0 | \$0 |
| 22 | EXCESS (FUNDING)/APPROPRIATION | \$0 | \$0 | | \$0 | \$0 | \$0 | \$0 |

* Report WF2000 funds on line 17 - "Special Revenues".

FORM 15-3

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS
2015-2017 BIENNIUM**

FUND 2870000 _____

INSTITUTION OZARKA COLLEGE

APPROPRIATION _____ B63

| | DESCRIPTION | ACTUAL 2013-2014 | BUDGETED 2014-2015 | AUTHORIZED APPROPRIATION 2014-2015 | INSTITUTIONAL REQUEST / AHECB RECOMMENDATION | | LEGISLATIVE RECOMMENDATION | |
|----|---|---------------------|-----------------------|--|---|--------------|----------------------------|-----------|
| | | | | | 2015-2016 | 2016-2017 | 2015-2016 | 2016-2017 |
| 1 | REGULAR SALARIES | 2,369,183 | 3,000,000 | 3,000,000 | 3,150,000 | 3,150,000 | | |
| 2 | EXTRA HELP WAGES | 145,738 | 250,000 | 250,000 | 275,000 | 275,000 | | |
| 3 | OVERTIME | 0 | 1,000 | 1,000 | 1,000 | 1,000 | | |
| 4 | PERSONAL SERVICES MATCHING | 1,250,493 | 1,650,000 | 1,650,000 | 1,850,000 | 1,850,000 | | |
| 5 | OPERATING EXPENSES | 2,624,783 | 4,275,000 | 4,275,000 | 4,400,000 | 4,400,000 | | |
| 6 | CONFERENCE FEES & TRAVEL | 11,102 | 75,000 | 75,000 | 100,000 | 100,000 | | |
| 7 | PROFESSIONAL FEES AND SERVICES | 12,569 | 250,000 | 250,000 | 250,000 | 250,000 | | |
| 8 | CAPITAL OUTLAY | 111,712 | 225,000 | 225,000 | 225,000 | 225,000 | | |
| 9 | CAPITAL IMPROVEMENTS | 0 | 4,000,000 | 4,000,000 | 3,500,000 | 3,500,000 | | |
| 10 | DEBT SERVICE | 404,051 | 600,000 | 600,000 | 575,000 | 575,000 | | |
| 11 | FUND TRANSFERS, REFUNDS AND INVESTMENTS | | | | | | | |
| 12 | PROMOTIONAL ITEMS | 0 | 25,000 | 25,000 | 25,000 | 25,000 | | |
| 13 | | | | | | | | |
| 14 | | | | | | | | |
| 15 | | | | | | | | |
| 16 | TOTAL APPROPRIATION | \$6,929,631 | \$14,351,000 | \$14,351,000 | \$14,351,000 | \$14,351,000 | \$0 | \$0 |
| 17 | PRIOR YEAR FUND BALANCE*** | 378,499 | | | | | | |
| 18 | TUITION AND MANDATORY FEES | 3,427,634 | 4,500,000 | | 4,500,000 | 4,500,000 | | |
| 19 | ALL OTHER FEES | 425,912 | 1,250,000 | | 1,250,000 | 1,250,000 | | |
| 20 | SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS | | | | | | | |
| 21 | INVESTMENT INCOME | 9,727 | 30,000 | | 30,000 | 30,000 | | |
| 22 | FEDERAL CASH FUNDS | 848,904 | 3,900,000 | | 3,900,000 | 3,900,000 | | |
| 23 | OTHER CASH FUNDS | 1,838,955 | 4,671,000 | | 4,671,000 | 4,671,000 | | |
| 24 | TOTAL INCOME | \$6,929,631 | \$14,351,000 | | \$14,351,000 | \$14,351,000 | \$0 | \$0 |
| 25 | EXCESS (FUNDING)/APPROPRIATION | \$0 | \$0 | | \$0 | \$0 | \$0 | \$0 |

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

| | ACTUAL 2013-2014 | BUDGETED 2014-2015 | AUTHORIZED 2014-2015 | INSTITUTIONAL REQUEST | AHECB RECOMMEND | LEGISLATIVE RECOMMENDATION |
|-------------------|---------------------|-----------------------|-------------------------|--------------------------|--------------------|----------------------------|
| | | | | 2015-2017 | 2015-2017 | 2015-2017 |
| REGULAR POSITIONS | 184 | 196 | 219 | 219 | 219 | |
| TOBACCO POSITIONS | | | | | | |
| EXTRA HELP ** | 100 | 100 | 100 | 100 | 100 | |

FORM 15-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

OZARKA COLLEGE
 (NAME OF INSTITUTION)

| | ACTIVITY | ACTUAL 2013-2014 | | | | BUDGETED 2014-2015 | | | |
|----|--|---------------------|-----------------------|-----------------|---------------|-----------------------|-----------------------|-----------------|---------------|
| | | INCOME | OPERATING EXPENSES | DEBT SERVICE | NET INCOME | INCOME | OPERATING EXPENSES | DEBT SERVICE | NET INCOME |
| 1 | INTERCOLLEGIATE ATHLETICS * | | | | 0 | | | | 0 |
| 2 | HOUSING | | | | 0 | | | | 0 |
| 3 | FOOD SERVICES | 76,546 | 159,573 | | (83,027) | 10,595 | 8,500 | | 2,095 |
| 4 | STUDENT UNION | | | | 0 | | | | 0 |
| 5 | BOOKSTORE | 825,122 | 708,407 | | 116,715 | 811,260 | 821,585 | | (10,325) |
| 6 | STUDENT ORGANIZATIONS AND PUBLICATIONS | | | | 0 | | | | 0 |
| 7 | OTHER: | 175,515 | 277,356 | | (101,841) | 266,215 | 313,275 | | (47,060) |
| 8 | SUBTOTAL | \$1,077,183 | \$1,145,336 | \$0 | (\$68,153) | \$1,088,070 | \$1,143,360 | \$0 | (\$55,290) |
| 9 | ATHLETIC TRANSFER ** | | | | 0 | | | | 0 |
| 10 | OTHER TRANSFERS *** | | | | 0 | | | | 0 |
| 11 | GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES | \$1,077,183 | \$1,145,336 | \$0 | (\$68,153) | \$1,088,070 | \$1,143,360 | \$0 | (\$55,290) |

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 15-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 7 Other - Preschool

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

OZARKA COLLEGE
(NAME OF INSTITUTION)

| | | | | | | |
|---|-----|---------------------|---|------------------------|---|--------------------------|
| TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2013-2014: | | | | | | 175 |
| | | | | | | (As of November 1, 2013) |
| Nonclassified Administrative Employees: | | | | | | |
| White Male: | 13 | Black Male: | 0 | Other Male: | 1 | Total Male: 14 |
| White Female: | 23 | Black Female: | 0 | Other Female: | 1 | Total Female: 24 |
| Nonclassified Health Care Employees: | | | | | | |
| White Male: | 0 | Black Male: | 0 | Other Male: | 0 | Total Male: 0 |
| White Female: | 10 | Black Female: | 0 | Other Female: | 0 | Total Female: 10 |
| Classified Employees: | | | | | | |
| White Male: | 11 | Black Male: | 0 | Other Male: | 0 | Total Male: 11 |
| White Female: | 28 | Black Female: | 0 | Other Female: | 1 | Total Female: 29 |
| Faculty: | | | | | | |
| White Male: | 32 | Black Male: | 0 | Other Male: | 0 | Total Male: 32 |
| White Female: | 53 | Black Female: | 0 | Other Female: | 2 | Total Female: 55 |
| Total White Male: | 56 | Total Black Male: | 0 | Total Other Male: | 1 | Total Male: 57 |
| Total White Female: | 114 | Total Black Female: | 0 | Total Other Female: | 4 | Total Female: 118 |
| Total White: | 170 | Total Black: | 0 | Total Other: | 5 | Total Employees: 175 |
| | | | | Total Minority: | 5 | |

FORM 15-6

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2014
 Required by A.C.A. 25-36-104

Institution OZARKA COLLEGE

| Minority Business | Total Contract Awarded | Minority Type per A.C.A. 15-4-303 (2) | | | | | |
|-------------------|------------------------|---------------------------------------|-------------------|-----------------|----------------|---------------------------|------------------|
| | | African American | Hispanic American | American Indian | Asian American | Pacific Islander American | Disabled Veteran |
| N/A | | | | | | | |
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TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$1,616,659
 (Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

**DIVISION OF LEGISLATIVE AUDIT
AUDIT OF OZARKA COLLEGE
June 30, 2013**

| | |
|-----------------|-------------------|
| <i>Finding:</i> | No Findings noted |
|-----------------|-------------------|

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PHILLIPS COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS

Dr. Steven Murray - Chancellor

ENABLING LAWS

Act 560 of 1965; Act 172 of 2012; Act 200 of 2014

INSTITUTION HISTORY AND ORGANIZATION

PCCUA is a multi-campus, two year college serving the communities in Eastern Arkansas. The College is committed to helping every student succeed. We provide high-quality, accessible educational opportunities and skills development to promote life-long learning, and we engage in the lives of our students and our communities.

In 1964, leaders from all parts of Arkansas became enthusiastic about the public community college concept and undertook the difficult task of amending the state's constitution to permit the development of a system of community colleges in Arkansas.

The official history of Phillips County Community College dates from two events in 1965: (1) Act 560 of the Arkansas State Legislature, which paved the way for establishing community colleges; and (2) an affirmative vote by the people of Phillips County on October 23, 1965, which provided the local financial support for the College.

The Board of Trustees was appointed by the Governor of the State and met for the first time on November 1, 1965, officially creating Phillips County Community College. An early order of business for the Board was the election of Dr. John Easley as the first President of the College. The Board of Trustees decided that since construction of a new plant would take approximately two years, classes would begin in the Naval Reserve Building in Helena. The building served as home for Phillips County Community College for two years. In May, 1968, the first class was graduated. Construction of the permanent College facilities was also completed in 1968.

In 1972, the Fine Arts Center and Lily Peter Auditorium were completed with the help of generous support from the community. In 1976, the Nursing Education Complex was completed, and in 1982, the Technical & Industrial Education Complex was opened. The administration/data processing building was completed in 1986, and a year later, the College's library was renovated and named the Lewis Library, thanks to the generosity of the A. B. Lewis family.

In 1988, Dr. Easley retired, and the administration building was renamed in his honor. On March 8, 1988, Dr. Steven W. Jones was elected by the Board of Trustees to be the College's second president.

PHILLIPS COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS

Dr. Steven Murray - Chancellor

Since that time, the College has experienced dramatic growth with an expansion of the curriculum and facilities. Enrollment increased steadily in the early 1990's, and the Asa W. Bonner Student Center was dedicated in 1991. The Mitchell Science Annex was added to the Nursing Education Complex in 1992. In 1993, the historic Pillow-Thompson House in Helena was donated to the College by Josephine Thompson and her son, George de Man. The house was renovated with the generous support of community and historic preservation grants and reopened in May of 1997.

In March 1996, the people of Arkansas County passed a referendum to annex that county into the PCCC taxing district. The Board of Trustees changed the name of the college to Phillips Community College to reflect the multi-county support and began plans to expand the off-campus programs in Stuttgart. In June 1996, the State transferred the former Rice Belt Technical Institute in DeWitt to Phillips Community College and on July 1, 1996, Phillips became a member of the University of Arkansas System.

The campuses in Arkansas County have grown to include day and evening classes in Stuttgart and general education programs in DeWitt. The Stuttgart facility is equipped with nine traditional classrooms, two science labs, six computer labs, a large technical training lab, two compressed video labs for distance learning, a library, large lecture room, bookstore, and offices. The DeWitt facility expansion and reconstruction included an addition of 14,000 square feet and renovations to 15,000 square feet. That addition includes five classrooms, two distance learning classrooms, library, and student center. In 2003, the Grand Prairie War Memorial Auditorium Board voted to offer a long-term lease of the auditorium to the College as a technology training center. In 2007, the National Guard Armory in DeWitt was leased to the College as a headquarters for the agriculture program.

Phillips Community College of the University of Arkansas has always been a leader and innovator in higher education in Arkansas. The College has grown from an original enrollment of fewer than 250 students in 14 program areas to just under 2,000 students in a wide range of academic, occupational/technical, and continuing education programs. New programs are continually being researched and planned so that PCCUA will remain responsive to the needs and interests of the people of Eastern Arkansas and on the Grand Prairie. In the Fall of 2003, Dr. Steven Murray was named Chancellor of Phillips Community College. Dr. Murray is the College's third Chancellor.

PHILLIPS COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS
Dr. Steven Murray - Chancellor

ACCREDITATION

Phillips Community College is accredited by the Higher Learning Commission of the North Central Association of Colleges and Schools; 30 North LaSalle Street, Suite 2400, Chicago, Illinois 60602, (800) 621-7440.

The Associate Degree Nursing program is accredited by the Accreditation Commission for Education in Nursing, Inc. (ACEN, formally known as NLNAC, 3343 Peachtree Road, NE, Suite 500, Atlanta, GA, 30326; (404) 975-5000; Fax (404) 975-5020.

The Medical Laboratory Technology program is accredited by the National Accrediting Agency for Clinical Laboratory Sciences (NAACLS), 5600 N. River Rd., Suite 720, Rosemont, IL 60018, (773) 714-8880. The Phlebotomy program is approved by NAACLS.

The business programs are accredited by The Accreditation Council for Business Schools and Programs (ACBSP); 7007 College Boulevard, Suite 420, Overland Park, KS 66211, (913) 339-9356. PCCUA is also approved by the Arkansas State Approving Agency for Veterans Training.

Phillips Community College is a member of the American Association of Community Colleges, the Arkansas Association of Two-Year Colleges, the National Association of College and University Business Officers, and the American Association of Collegiate Registrars and Admissions Officers.

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**INSTITUTION APPROPRIATION SUMMARY
2015-2017 BIENNIUM**

INSTITUTION PHILLIPS COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS

| | APPROPRIATION | HISTORICAL DATA | | | | | | INSTITUTION REQUEST & AHECB RECOMMENDATION | | | | | | | |
|----|-----------------------------------|-----------------|-------|--------------|-----|--------------|-----|--|------|--------------|------|--------------|------|--------------|------|
| | | 2013-2014 | | 2014-2015 | | 2014-2015 | | 2015-2016 | | | | 2016-2017 | | | |
| | | ACTUAL | POS | BUDGETED | POS | AUTHORIZED | POS | INST REQUEST | POS | AHECB REC | POS | INST REQUEST | POS | AHECB REC | POS |
| 1 | STATE TREASURY | 10,323,808 | | 10,336,094 | | 10,683,764 | | 10,580,797 | | 10,580,797 | | 10,898,221 | | 10,898,221 | |
| 2 | CASH | 7,230,352 | | 45,630,000 | | 45,630,000 | | 45,630,000 | | 45,630,000 | | 45,630,000 | | 45,630,000 | |
| 3 | | | | | | | | | | | | | | | |
| 4 | | | | | | | | | | | | | | | |
| 5 | | | | | | | | | | | | | | | |
| 6 | | | | | | | | | | | | | | | |
| 7 | | | | | | | | | | | | | | | |
| 8 | | | | | | | | | | | | | | | |
| 9 | | | | | | | | | | | | | | | |
| 10 | | | | | | | | | | | | | | | |
| 11 | TOTAL | \$17,554,160 | 278 | \$55,966,094 | 321 | \$56,313,764 | 325 | \$56,210,797 | 325 | \$56,210,797 | 325 | \$56,528,221 | 325 | \$56,528,221 | 325 |
| | FUNDING SOURCES | | % | | % | | | | % | | % | | % | | % |
| 12 | PRIOR YEAR FUND BALANCE* | 0 | 0.00% | 0 | 0% | | | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| 13 | GENERAL REVENUE | 9,063,088 | 52% | 9,063,088 | 16% | | | 9,307,791 | 17% | 9,307,791 | 17% | 9,625,215 | 17% | 9,625,215 | 17% |
| 14 | EDUCATIONAL EXCELLENCE TRUST FUND | 730,864 | 4% | 748,370 | 1% | | | 748,370 | 1% | 748,370 | 1% | 748,370 | 1% | 748,370 | 1% |
| 15 | WORKFORCE 2000 | 529,856 | 3% | 524,636 | 0% | | | 524,636 | 1% | 524,636 | 1% | 524,636 | 1% | 524,636 | 1% |
| 16 | CASH FUNDS | 4,400,343 | 25% | 41,630,000 | 0% | | | 41,630,000 | 74% | 41,630,000 | 74% | 41,630,000 | 74% | 41,630,000 | 74% |
| 17 | SPECIAL REVENUES | 0 | 0% | 0 | 0% | | | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| 18 | FEDERAL FUNDS | 2,830,009 | 16% | 4,000,000 | 7% | | | 4,000,000 | 7% | 4,000,000 | 7% | 4,000,000 | 7% | 4,000,000 | 7% |
| 19 | TOBACCO SETTLEMENT FUNDS | 0 | 0% | 0 | 0% | | | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| 20 | OTHER FUNDS | 0 | 0% | 0 | 0% | | | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| 21 | TOTAL INCOME | \$17,554,160 | 100% | \$55,966,094 | 25% | | | \$56,210,797 | 100% | \$56,210,797 | 100% | \$56,528,221 | 100% | \$56,528,221 | 100% |
| 22 | EXCESS (FUNDING)/APPROPRIATION | \$0 | | \$0 | | | | \$0 | | \$0 | | \$0 | | \$0 | |

| | |
|--|-------------|
| UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2014: | \$7,523,878 |
| LESS RESERVES FOR: | |
| ACCOUNTS RECEIVABLE | \$1,777,653 |
| INVENTORIES | \$58,488 |
| YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES | |
| INSURANCE DEDUCTIBLES | \$50,000 |
| MAJOR CRITICAL SYSTEMS FAILURES | \$250,000 |
| 60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES) | \$2,188,079 |
| RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.) | \$315,000 |
| OTHER (FOOTNOTE BELOW) | |
| UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE | \$2,884,658 |

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2015-2017 BIENNIUM**

FUND CWP0000

INSTITUTION PHILLIPS COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS
STUTT GART CAMPUS

APPROPRIATION 413

| | DESCRIPTION | ACTUAL 2013-2014 | BUDGETED 2014-2015 | AUTHORIZED APPROPRIATION 2014-2015 | INSTITUTIONAL REQUEST / AHECB RECOMMENDATION | | LEGISLATIVE RECOMMENDATION | |
|----|-----------------------------------|---------------------|-----------------------|--|---|-------------|----------------------------|-----------|
| | | | | | 2015-2016 | 2016-2017 | 2015-2016 | 2016-2017 |
| 1 | REGULAR SALARIES | 1,229,331 | 1,229,331 | 1,252,513 | 1,262,523 | 1,305,579 | | |
| 2 | EXTRA HELP WAGES | | | | | | | |
| 3 | OVERTIME | | | | | | | |
| 4 | PERSONAL SERVICES MATCHING | 249,225 | 249,225 | 253,925 | 255,954 | 264,683 | | |
| 5 | OPERATING EXPENSES | 304,738 | 304,738 | 310,484 | 312,966 | 323,639 | | |
| 6 | CONFERENCE FEES & TRAVEL | | | | | | | |
| 7 | PROFESSIONAL FEES AND SERVICES | | | | | | | |
| 8 | CAPITAL OUTLAY | | | | | | | |
| 9 | FUNDED DEPRECIATION | | | | | | | |
| 10 | | | | | | | | |
| 11 | | | | | | | | |
| 12 | | | | | | | | |
| 13 | TOTAL APPROPRIATION | \$1,783,294 | \$1,783,294 | \$1,816,922 | \$1,831,443 | \$1,893,901 | \$0 | \$0 |
| 14 | PRIOR YEAR FUND BALANCE** | | | | | | | |
| 15 | GENERAL REVENUE | 1,783,294 | 1,783,294 | | 1,831,443 | 1,893,901 | | |
| 16 | EDUCATIONAL EXCELLENCE TRUST FUND | | | | | | | |
| 17 | SPECIAL REVENUES * [WF2000] | | | | | | | |
| 18 | FEDERAL FUNDS IN STATE TREASURY | | | | | | | |
| 19 | TOBACCO SETTLEMENT FUNDS | | | | | | | |
| 20 | OTHER STATE TREASURY FUNDS | | | | | | | |
| 21 | TOTAL INCOME | \$1,783,294 | \$1,783,294 | | \$1,831,443 | \$1,893,901 | \$0 | \$0 |
| 22 | EXCESS (FUNDING)/APPROPRIATION | \$0 | \$0 | | \$0 | \$0 | \$0 | \$0 |

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS
2015-2017 BIENNium**

FUND 2150000

INSTITUTION PHILLIPS COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS
HELENA CAMPUS

APPROPRIATION A73

| | DESCRIPTION | ACTUAL 2013-2014 | BUDGETED 2014-2015 | AUTHORIZED APPROPRIATION 2014-2015 | INSTITUTIONAL REQUEST / AHECB RECOMMENDATION | | LEGISLATIVE RECOMMENDATION | |
|----|---|---------------------|-----------------------|--|---|--------------|----------------------------|-----------|
| | | | | | 2015-2016 | 2016-2017 | 2015-2016 | 2016-2017 |
| 1 | REGULAR SALARIES | 0 | 3,500,000 | 3,500,000 | 3,500,000 | 3,500,000 | | |
| 2 | EXTRA HELP WAGES | 549,998 | 650,000 | 650,000 | 750,000 | 750,000 | | |
| 3 | OVERTIME | 14,651 | 140,000 | 140,000 | 140,000 | 140,000 | | |
| 4 | PERSONAL SERVICES MATCHING | 847,253 | 1,500,000 | 1,500,000 | 1,500,000 | 1,500,000 | | |
| 5 | OPERATING EXPENSES | 2,101,639 | 4,675,000 | 4,675,000 | 4,675,000 | 4,675,000 | | |
| 6 | CONFERENCE FEES & TRAVEL | 187,476 | 200,000 | 200,000 | 300,000 | 300,000 | | |
| 7 | PROFESSIONAL FEES AND SERVICES | 367,964 | 1,000,000 | 1,000,000 | 1,000,000 | 1,000,000 | | |
| 8 | CAPITAL OUTLAY | 165,656 | 2,900,000 | 2,900,000 | 2,900,000 | 2,900,000 | | |
| 9 | CAPITAL IMPROVEMENTS | 0 | 10,000,000 | 10,000,000 | 10,000,000 | 10,000,000 | | |
| 10 | DEBT SERVICE | 832,247 | 3,000,000 | 3,000,000 | 2,800,000 | 2,800,000 | | |
| 11 | FUND TRANSFERS, REFUNDS AND INVESTMENTS | | | | | | | |
| 12 | PROMOTIONAL ITEMS | 24,849 | 25,000 | 25,000 | 25,000 | 25,000 | | |
| 13 | | | | | | | | |
| 14 | | | | | | | | |
| 15 | | | | | | | | |
| 16 | TOTAL APPROPRIATION | \$5,091,733 | \$27,590,000 | \$27,590,000 | \$27,590,000 | \$27,590,000 | \$0 | \$0 |
| 17 | PRIOR YEAR FUND BALANCE*** | 0 | 0 | | 0 | 0 | | |
| 18 | TUITION AND MANDATORY FEES | 2,225,893 | 2,224,080 | | 2,219,837 | 2,219,837 | | |
| 19 | ALL OTHER FEES | 0 | 0 | | 0 | 0 | | |
| 20 | SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS | 22,012 | 25,000 | | 25,000 | 25,000 | | |
| 21 | INVESTMENT INCOME | 13,819 | 12,000 | | 12,000 | 12,000 | | |
| 22 | FEDERAL CASH FUNDS | 2,830,009 | 4,000,000 | | 4,000,000 | 4,000,000 | | |
| 23 | OTHER CASH FUNDS | 0 | 21,328,920 | | 21,333,163 | 21,333,163 | | |
| 24 | TOTAL INCOME | \$5,091,733 | \$27,590,000 | | \$27,590,000 | \$27,590,000 | \$0 | \$0 |
| 25 | EXCESS (FUNDING)/APPROPRIATION | \$0 | \$0 | | \$0 | \$0 | \$0 | \$0 |

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

| | ACTUAL 2013-2014 | BUDGETED 2014-2015 | AUTHORIZED 2014-2015 | INSTITUTIONAL REQUEST | AHECB RECOMMEND | LEGISLATIVE RECOMMENDATION |
|-------------------|---------------------|-----------------------|-------------------------|--------------------------|--------------------|----------------------------|
| | | | | 2015-2017 | 2015-2017 | 2015-2017 |
| REGULAR POSITIONS | 170 | 184 | 188 | 188 | 188 | |
| TOBACCO POSITIONS | | | | | | |
| EXTRA HELP ** | 107 | 600 | 600 | 600 | 600 | |

FORM 15-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS
2015-2017 BIENNium**

FUND 2150000

INSTITUTION PHILLIPS COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS
DEWITT CAMPUS

APPROPRIATION B08

| | DESCRIPTION | ACTUAL 2013-2014 | BUDGETED 2014-2015 | AUTHORIZED APPROPRIATION 2014-2015 | INSTITUTIONAL REQUEST / AHECB RECOMMENDATION | | LEGISLATIVE RECOMMENDATION | |
|----|---|---------------------|-----------------------|--|---|-------------|----------------------------|-----------|
| | | | | | 2015-2016 | 2016-2017 | 2015-2016 | 2016-2017 |
| 1 | REGULAR SALARIES | 112,356 | 750,000 | 750,000 | 750,000 | 750,000 | | |
| 2 | EXTRA HELP WAGES | 50,428 | 200,000 | 200,000 | 200,000 | 200,000 | | |
| 3 | OVERTIME | 0 | 70,000 | 70,000 | 70,000 | 70,000 | | |
| 4 | PERSONAL SERVICES MATCHING | 12,511 | 250,000 | 250,000 | 250,000 | 250,000 | | |
| 5 | OPERATING EXPENSES | 134,130 | 1,150,000 | 1,150,000 | 1,150,000 | 1,150,000 | | |
| 6 | CONFERENCE FEES & TRAVEL | 6,320 | 50,000 | 50,000 | 50,000 | 50,000 | | |
| 7 | PROFESSIONAL FEES AND SERVICES | 4,238 | 50,000 | 50,000 | 50,000 | 50,000 | | |
| 8 | CAPITAL OUTLAY | 21,994 | 250,000 | 250,000 | 250,000 | 250,000 | | |
| 9 | CAPITAL IMPROVEMENTS | 0 | 5,000,000 | 5,000,000 | 5,000,000 | 5,000,000 | | |
| 10 | DEBT SERVICE | 0 | 1,000,000 | 1,000,000 | 1,000,000 | 1,000,000 | | |
| 11 | FUND TRANSFERS, REFUNDS AND INVESTMENTS | | | | | | | |
| 12 | | | | | | | | |
| 13 | | | | | | | | |
| 14 | | | | | | | | |
| 15 | | | | | | | | |
| 16 | TOTAL APPROPRIATION | \$341,977 | \$8,770,000 | \$8,770,000 | \$8,770,000 | \$8,770,000 | \$0 | \$0 |
| 17 | PRIOR YEAR FUND BALANCE*** | | | | | | | |
| 18 | TUITION AND MANDATORY FEES | 341,977 | 350,000 | | 350,000 | 350,000 | | |
| 19 | ALL OTHER FEES | | | | | | | |
| 20 | SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS | | | | | | | |
| 21 | INVESTMENT INCOME | | | | | | | |
| 22 | FEDERAL CASH FUNDS | | | | | | | |
| 23 | OTHER CASH FUNDS | | 8,420,000 | | 8,420,000 | 8,420,000 | | |
| 24 | TOTAL INCOME | \$341,977 | \$8,770,000 | | \$8,770,000 | \$8,770,000 | \$0 | \$0 |
| 25 | EXCESS (FUNDING)/APPROPRIATION | \$0 | \$0 | | \$0 | \$0 | \$0 | \$0 |

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

| | ACTUAL 2013-2014 | BUDGETED 2014-2015 | AUTHORIZED 2014-2015 | INSTITUTIONAL REQUEST | AHECB RECOMMEND | LEGISLATIVE RECOMMENDATION |
|-------------------|---------------------|-----------------------|-------------------------|--------------------------|--------------------|----------------------------|
| | | | | 2015-2017 | 2015-2017 | 2015-2017 |
| REGULAR POSITIONS | 47 | 66 | 66 | 66 | 66 | |
| TOBACCO POSITIONS | | | | | | |
| EXTRA HELP ** | 20 | 150 | 150 | 150 | 150 | |

FORM 15-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS
2015-2017 BIENNIUM**

FUND 2150000

INSTITUTION PHILLIPS COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS
STUTTGART CAMPUS

APPROPRIATION A81

| | DESCRIPTION | ACTUAL 2013-2014 | BUDGETED 2014-2015 | AUTHORIZED APPROPRIATION 2014-2015 | INSTITUTIONAL REQUEST / AHECB RECOMMENDATION | | LEGISLATIVE RECOMMENDATION | |
|----|---|---------------------|-----------------------|--|---|-------------|----------------------------|-----------|
| | | | | | 2015-2016 | 2016-2017 | 2015-2016 | 2016-2017 |
| 1 | REGULAR SALARIES | 673,325 | 1,100,000 | 1,100,000 | 1,100,000 | 1,100,000 | | |
| 2 | EXTRA HELP WAGES | 98,333 | 275,000 | 275,000 | 275,000 | 275,000 | | |
| 3 | OVERTIME | 344 | 70,000 | 70,000 | 70,000 | 70,000 | | |
| 4 | PERSONAL SERVICES MATCHING | 348,173 | 425,000 | 425,000 | 425,000 | 425,000 | | |
| 5 | OPERATING EXPENSES | 644,441 | 1,150,000 | 1,150,000 | 1,150,000 | 1,150,000 | | |
| 6 | CONFERENCE FEES & TRAVEL | 17,232 | 50,000 | 50,000 | 50,000 | 50,000 | | |
| 7 | PROFESSIONAL FEES AND SERVICES | 0 | 50,000 | 50,000 | 50,000 | 50,000 | | |
| 8 | CAPITAL OUTLAY | 14,794 | 250,000 | 250,000 | 250,000 | 250,000 | | |
| 9 | CAPITAL IMPROVEMENTS | 0 | 4,900,000 | 4,900,000 | 4,900,000 | 4,900,000 | | |
| 10 | DEBT SERVICE | 0 | 1,000,000 | 1,000,000 | 1,000,000 | 1,000,000 | | |
| 11 | FUND TRANSFERS, REFUNDS AND INVESTMENTS | | | | | | | |
| 12 | | | | | | | | |
| 13 | | | | | | | | |
| 14 | | | | | | | | |
| 15 | | | | | | | | |
| 16 | TOTAL APPROPRIATION | \$1,796,642 | \$9,270,000 | \$9,270,000 | \$9,270,000 | \$9,270,000 | \$0 | \$0 |
| 17 | PRIOR YEAR FUND BALANCE*** | | | | | | | |
| 18 | TUITION AND MANDATORY FEES | 641,967 | 635,757 | | 640,000 | 640,000 | | |
| 19 | ALL OTHER FEES | | | | | | | |
| 20 | SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS | | | | | | | |
| 21 | INVESTMENT INCOME | | | | | | | |
| 22 | FEDERAL CASH FUNDS | | | | | | | |
| 23 | OTHER CASH FUNDS | 1,154,675 | 8,634,243 | | 8,630,000 | 8,630,000 | | |
| 24 | TOTAL INCOME | \$1,796,642 | \$9,270,000 | | \$9,270,000 | \$9,270,000 | \$0 | \$0 |
| 25 | EXCESS (FUNDING)/APPROPRIATION | \$0 | \$0 | | \$0 | \$0 | \$0 | \$0 |

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

| | ACTUAL 2013-2014 | BUDGETED 2014-2015 | AUTHORIZED 2014-2015 | INSTITUTIONAL REQUEST | AHECB RECOMMEND | LEGISLATIVE RECOMMENDATION |
|-------------------|---------------------|-----------------------|-------------------------|--------------------------|--------------------|----------------------------|
| | | | | 2015-2017 | 2015-2017 | 2015-2017 |
| REGULAR POSITIONS | 61 | 71 | 71 | 71 | 71 | |
| TOBACCO POSITIONS | | | | | | |
| EXTRA HELP ** | 31 | 150 | 150 | 150 | 150 | |

FORM 15-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

PHILLIPS COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS
(NAME OF INSTITUTION)

| | ACTIVITY | ACTUAL 2013-2014 | | | | BUDGETED 2014-2015 | | | |
|----|---|---------------------|-----------------------|-----------------|---------------|-----------------------|-----------------------|-----------------|---------------|
| | | INCOME | OPERATING EXPENSES | DEBT SERVICE | NET INCOME | INCOME | OPERATING EXPENSES | DEBT SERVICE | NET INCOME |
| 1 | INTERCOLLEGIATE ATHLETICS * | | | | 0 | | | | 0 |
| 2 | HOUSING | | | | 0 | | | | 0 |
| 3 | FOOD SERVICES | 96,654 | 144,093 | | (47,439) | | | | 0 |
| 4 | STUDENT UNION | | | | 0 | | | | 0 |
| 5 | BOOKSTORE | 90,276 | | | 90,276 | 95,000 | | | 95,000 |
| 6 | STUDENT ORGANIZATIONS AND PUBLICATIONS | | 25,738 | | (25,738) | | 35,000 | | (35,000) |
| 7 | OTHER | 88,178 | 27,758 | | 60,420 | 84,189 | 84,189 | | 0 |
| 8 | SUBTOTAL | \$275,108 | \$197,589 | \$0 | \$77,519 | \$179,189 | \$119,189 | \$0 | \$60,000 |
| 9 | ATHLETIC TRANSFER ** | | | | 0 | | | | 0 |
| 10 | OTHER TRANSFERS *** | 87,174 | | | 87,174 | 35,000 | | | 35,000 |
| 11 | GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR | \$362,282 | \$197,589 | \$0 | \$164,693 | \$214,189 | \$119,189 | \$0 | \$95,000 |

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 15-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

FOOTNOTES:

Line 7 - Other: Facility Rental

Line 10 - Other Transfers: Transfers from E & G to cover expenses for Student Organizations/Activities and Food Services.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

PHILLIPS COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS
(NAME OF INSTITUTION)

| | | | | | | |
|---|------------|---------------------|-----------|------------------------|-----------|-----------------------------|
| TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2013-2014: | | | | | | <u>278</u> |
| (As of November 1, 2013) | | | | | | |
| Nonclassified Administrative Employees: | | | | | | |
| White Male: | <u>7</u> | Black Male: | <u>6</u> | Other Male: | <u>0</u> | Total Male: <u>13</u> |
| White Female: | <u>30</u> | Black Female: | <u>14</u> | Other Female: | <u>0</u> | Total Female: <u>44</u> |
| Nonclassified Health Care Employees: | | | | | | |
| White Male: | <u>0</u> | Black Male: | <u>0</u> | Other Male: | <u>0</u> | Total Male: <u>0</u> |
| White Female: | <u>0</u> | Black Female: | <u>0</u> | Other Female: | <u>0</u> | Total Female: <u>0</u> |
| Classified Employees: | | | | | | |
| White Male: | <u>16</u> | Black Male: | <u>15</u> | Other Male: | <u>0</u> | Total Male: <u>31</u> |
| White Female: | <u>39</u> | Black Female: | <u>18</u> | Other Female: | <u>0</u> | Total Female: <u>57</u> |
| Faculty: | | | | | | |
| White Male: | <u>27</u> | Black Male: | <u>8</u> | Other Male: | <u>3</u> | Total Male: <u>38</u> |
| White Female: | <u>81</u> | Black Female: | <u>13</u> | Other Female: | <u>1</u> | Total Female: <u>95</u> |
| Total White Male: | <u>50</u> | Total Black Male: | <u>29</u> | Total Other Male: | <u>3</u> | Total Male: <u>82</u> |
| Total White Female: | <u>150</u> | Total Black Female: | <u>45</u> | Total Other Female: | <u>1</u> | Total Female: <u>196</u> |
| Total White: | <u>200</u> | Total Black: | <u>74</u> | Total Other: | <u>4</u> | Total Employees: <u>278</u> |
| | | | | Total Minority: | <u>78</u> | |

FORM 15-6

**ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES
Fiscal Year 2014
Required by A.C.A. 25-36-104**

Institution

PHILLIPS COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS

| Minority Business | Total Contract Awarded | Minority Type per A.C.A. 15-4-303 (2) | | | | | |
|-------------------|------------------------|---------------------------------------|-------------------|-----------------|----------------|---------------------------|------------------|
| | | African American | Hispanic American | American Indian | Asian American | Pacific Islander American | Disabled Veteran |
| N/A | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$158,270
(Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

**DIVISION OF LEGISLATIVE AUDIT
AUDIT OF PHILLIPS COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS
June 30, 2013**

| | |
|-----------------|-------------------|
| <i>Finding:</i> | No Findings noted |
|-----------------|-------------------|

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PULASKI TECHNICAL COLLEGE
Margaret A. Ellibee, Ph.D., President

ENABLING LAWS

Act 1244 of 1991 and Act 152 of 2014

INSTITUTION HISTORY AND ORGANIZATION

When the Arkansas General Assembly created the Arkansas Technical and Community College System via Act 1244 of 1991, Pulaski Vocational-Technical School and 12 other vocational-technical schools became technical colleges under the coordination of the Arkansas Higher Education Coordinating Board. The school was renamed Pulaski Technical College. Currently, Act 152 of the 2014 fiscal session of the Arkansas General Assembly provides appropriations for personal services and operating expenses for fiscal year 2013-2014. The College is governed by a seven-member board of trustees appointed by the governor.

ACCREDITATION AFFILIATION

Pulaski Technical College (PTC) is a fully accredited two-year higher education institution. PTC is accredited by the Higher Learning Commission and operates under federal auspices of the United States Department of Education and state oversight of the Arkansas Department of Higher Education. It is locally directed by its seven-member Board of Trustees.

Each of PTC's technical programs has an advisory committee that helps direct the rigor and relevancy of curriculum and learning opportunities offered to students. Many of the college's technical programs are state and/or nationally certified as well.

VISION STATEMENT

Pulaski Technical College's vision is to be Arkansas' leading comprehensive two-year college, recognized regionally for excellence in workforce development and university-transfer education.

MISSION STATEMENT

Pulaski Technical College is a comprehensive two-year college that serves the educational needs of central Arkansas through technical programs, a university-transfer program, and specialized programs for business and industry. The College's mission is to provide access to high quality education that promotes student learning, to enable individuals to develop to their fullest potential, and to support the economic development of the state.

PULASKI TECHNICAL COLLEGE
Margaret A. Ellibee, Ph.D., President

PRIMARY ACTIVITIES AND SUPPORTING INSTITUTIONAL GOALS

- 1. Workforce development:** Pulaski Tech will continue to address the workforce development needs of central Arkansas by: a) delivering high quality technical programs that respond to the changing employment needs and opportunities in the region; and (b) providing high quality customized training for business and industry.
- 2. University studies:** Pulaski Tech will continue to provide programs of study that prepare students for successful transfer to four-year institutions and support the general education needs of Associate of Applied Science and Technical Certificate students.
- 3. Access to higher education:** Pulaski Tech will continue to provide central Arkansas with accessible, high quality, affordable technical education and university-transfer programs.
- 4. Student success:** Student success occurs when students accomplish their educational goals at Pulaski Tech and finish what they start. Student success is accomplished through the completion of any of the following areas: certificate, Associate of Applied Science, Associate of Arts, Associate of Science, Associate of Arts in Teaching, enhancement of career or personal skills and course work that promotes life-long learning. PTC will continue its commitment to student success by providing programs and services that will help students achieve their goals.
- 5. Quality learning environment;** Pulaski Tech will continue to create a quality learning environment by promoting excellence in teaching and learning and maintaining up-to-date facilities and technology.
- 6. Educational partnerships:** Pulaski Tech will continue developing and strengthening partnerships with area school districts, colleges, universities and hospitals to address the education/workforce development needs of central Arkansas.
- 7. Continuing education and community service:** Pulaski Tech will provide continuing education and community services that are responsive to the needs of citizens and organizations in central Arkansas.
- 8. Developing resources:** Pulaski Tech will continue seeking additional financial, physical and human resources to support the development and ongoing improvement of programs and services.

PULASKI TECHNICAL COLLEGE
Margaret A. Ellibee, Ph.D., President

9. Continuous quality improvement and planning: Pulaski Tech will continuously assess and respond to changing student and community needs, evaluate and improve the effectiveness of programs and services, and update planning goals and strategies.

MAJOR MILESTONES

PTC has been a “Leader College” in the “Achieving the Dream: Community Colleges Count” initiative; participated in the Arkansas PACE grant through AATYC; has been recently named a “Arkansas STEM Coalition” college by ADHE (one of three in the state); nationally recognized as a “Veteran Friendly” college for its comprehensive veteran services; partner with Literacy Action of Central Arkansas for free literacy program for potential students; implementing a mandatory student advising system; and the college has established a “university/learning center with UALR” on the PTC campus.

PLANNING AND PERFORMANCE MEASUREMENT

In order to promote continuous planning and improvement, PTC is undertaking the following:

Objective/Strategy 1: Annually update and continuously monitor the PTC Operating Plan, which assigns responsibility, sets target dates, and identifies resource needs for the accomplishment of College and departmental goals.

Objective/Strategy 2: Enhance continuous communication with business, industry, and community leaders about the education and training needs of central Arkansas.

Objective/Strategy 3: Continue to develop and implement measures of institutional effectiveness to include retention rates, graduation rates, default rates, and student/graduate/employer satisfaction as means of continuous evaluation and improvement of PTC programs and services.

Objective/Strategy 4: Maintain an active program for assessment of student achievement as a means for continuous evaluation and improvement of PTC instructional programs.

Objective/Strategy 5: Continuously monitor and evaluate the productivity and effectiveness of all instructional programs.

Objective/Strategy 6: Continue to enhance data support for institutional decision making, to include planning,

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budgeting, and institutional assessment.

Objective/Strategy 7: Maintain a participatory, coordinated process for planning, budgeting, and assessment to ensure that institutional decisions are aligned with institutional needs and priorities.

Objective/Strategy 8: Maintain a shared governance culture that encourages and recognizes collaboration, innovation, and continuous program improvement.

GENERAL REVENUE REQUEST

Pulaski Technical College is a formula-driven entity and did not make a request for general revenue. The increase in general revenue funding is the result of the recommendation made by the Arkansas Higher Education Coordinating Board. The Coordinating Board recommended general revenue of \$20,694,069 and Workforce 2000 funding of \$2,251,370 for 2015-2016 and general revenue of \$21,382,432 and Workforce 2000 funding of \$2,251,370 for 2016-2017. The College's current general revenue is \$15,137,437.

While we remain fiscally conservative, there is a current need to request sixteen (16) positions to our non-classified staff with fourteen (14) positions being deleted from our current appropriation for a net request of two (2) additional positions. With your assistance and support, we can continue our mission to provide high-quality workforce training and university-transfer programs to improve and enhance economic development in the state.

We understand the desire to limit the growth in the number of state/higher education positions. We would appreciate your consideration of the fact that PTC continues to be seriously understaffed, as you review our requests.

CASH APPROPRIATION REQUEST

Pulaski Tech requests a reduction in appropriation due to the change in accounting for student loans. We request a reduction of \$100,000,000 in maintenance and operation for the 2015-2017 biennium.

PERSONAL SERVICES REQUEST

Pulaski Technical College's primary justification for requesting additional/new positions is based on our significant growth in the last two decades, the speed of which has made it near impossible to design and build the infrastructure necessary to provide the needed student services and increase student success. Pulaski Technical College (PTC) is the largest two-

PULASKI TECHNICAL COLLEGE
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year college and fourth largest higher education institution in Arkansas. This represents an increase of more than a thousand percent (1000%) in just 20 years. We now operate in seven locations throughout our four-county service area. Risk Manager—Responsible for developing and writing policies, reviewing practices and developing procedures to promote safety on campus and limit the risk to the College.

In light of our growth and in support of our mission, we ask that you consider approval of the following sixteen (16) new non-classified positions along with the deletion of 14 current appropriated positions for a net request of two (2) additional positions for the 2015-2017 Biennium:

Project Program Director (14 positions)

Academic Department Chair (12 positions): Pulaski Technical College (PTC) is the largest two-year college and fourth largest higher education institution in Arkansas. Through our accelerated growth PTC has managed our need for Academic Department Chairs by utilizing full-time faculty members. Given our size, this process is no longer effective and cannot continue to assure high quality academic service delivery. The primary responsibility of the Department Chair shall be to provide general leadership for the department and to insure attainment of the educational objectives of the department and the College. The Department Chair will report to the Division Dean and shall serve as the primary faculty advocate and liaison between the departmental faculty and academic administration. Department Chairs shall provide academic and administrative support to departmental faculty and Division Dean. Academic Department Chairs are 10-month positions under the category; Ten Month Educational and General Academic Positions.

Director of Allied Health Affiliations (1 position): The Director of Allied Health Affiliations Serve as liaison between Pulaski Technical College and academic affiliate programs at Baptist Health Schools Little Rock, St. Vincent's Infirmary, and Arkansas Children's Hospital, including any additional clinical facilities that may be represented by the programs.

Education Program Director (1 position): The primary responsibility of the Education Program Director is to provide leadership for the program and ensure attainment of the education program objectives are aligned with the goals of PTC. The director supervises and monitors the Education faculty, adjunct faculty, staff, and the staff of the lab school: Little Learners Academy. The Education director supervises, evaluates and monitors faculty, education program advising, Early Childhood Education Program grant development and administration, course scheduling, textbook adoptions, maintains program accreditation, oversees assessments for accreditation and college data collection,

PULASKI TECHNICAL COLLEGE
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participates with state and local education committees.

Project/Program Manager (1 Position)

Software Support Analyst: The Software Support Analyst is responsible for developing and implementing applications programs/systems, writing maintenance and enhancement programs, and providing technical assistance to staff. This position will work closely with the administrative business units of the College to develop and maintain appropriate programs for ongoing staff efficiencies, staff development, and student success.

Associate Vice President for Learning - New (1 Position)

Pulaski Technical College is the largest two-year college in the state of Arkansas with more than 10,000 students. The College offers technical and occupational programs, university-transfer programs, and continuing and community education. Over the years the College has managed to meet expectations of our students, faculty and staff, and legislators with limited resources. Faculty and staff have worked to embrace technology and at the same time foster ways to enhance learning outcomes. The cycle of working untiringly with long hours can only last so long. The Associate Vice President provides leadership for the Little Rock West and Little Rock South locations of the college supervising operations at these sites. The Associate Vice President assists the Vice President for Learning in accomplishing the goals of the academic division of the college. The Associate Vice President for Learning is needed to help manage the normal day-to-day processes in addition to providing a reduction in succession risk.

**INSTITUTION APPROPRIATION SUMMARY
2015-2017 BIENNIUM**

INSTITUTION PULASKI TECHNICAL COLLEGE

| | APPROPRIATION | HISTORICAL DATA | | | | | | INSTITUTION REQUEST & AHECB RECOMMENDATION | | | | | | | |
|----|-----------------------------------|-----------------|-------|---------------|------|---------------|-----|--|------|---------------|------|---------------|------|---------------|------|
| | | 2013-2014 | | 2014-2015 | | 2014-2015 | | 2015-2016 | | | | 2016-2017 | | | |
| | | ACTUAL | POS | BUDGETED | POS | AUTHORIZED | POS | INST REQUEST | POS | AHECB REC | POS | INST REQUEST | POS | AHECB REC | POS |
| 1 | STATE TREASURY | 17,411,209 | | 17,388,807 | | 24,605,347 | | 22,945,439 | | 22,945,439 | | 23,633,802 | | 23,633,802 | |
| 2 | CASH | 96,663,326 | | 398,200,000 | | 398,200,000 | | 298,160,000 | | 298,160,000 | | 298,160,000 | | 298,160,000 | |
| 3 | | | | | | | | | | | | | | | |
| 4 | | | | | | | | | | | | | | | |
| 5 | | | | | | | | | | | | | | | |
| 6 | | | | | | | | | | | | | | | |
| 7 | | | | | | | | | | | | | | | |
| 8 | | | | | | | | | | | | | | | |
| 9 | | | | | | | | | | | | | | | |
| 10 | | | | | | | | | | | | | | | |
| 11 | TOTAL | \$114,074,535 | 759 | \$415,588,807 | 740 | \$422,805,347 | 998 | \$321,105,439 | 999 | \$321,105,439 | 998 | \$321,793,802 | 999 | \$321,793,802 | 998 |
| | FUNDING SOURCES | | % | | % | | | | % | | % | | % | | % |
| 12 | PRIOR YEAR FUND BALANCE* | 0 | 0.00% | 0 | 0% | | | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| 13 | GENERAL REVENUE | 15,137,437 | 13% | 15,137,437 | 4% | | | 20,694,069 | 6% | 20,694,069 | 6% | 21,382,432 | 7% | 21,382,432 | 7% |
| 14 | EDUCATIONAL EXCELLENCE TRUST FUND | 0 | 0% | 0 | 0% | | | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| 15 | WORKFORCE 2000 | 2,273,772 | 2% | 2,251,370 | 1% | | | 2,251,370 | 1% | 2,251,370 | 1% | 2,251,370 | 1% | 2,251,370 | 1% |
| 16 | CASH FUNDS | 34,532,250 | 30% | 68,048,880 | 16% | | | 69,048,880 | 22% | 69,048,880 | 22% | 70,048,880 | 22% | 70,048,880 | 22% |
| 17 | SPECIAL REVENUES | | | | | | | | | | | | | | |
| 18 | FEDERAL FUNDS | 62,131,076 | 54% | 330,151,120 | 79% | | | 229,111,120 | 71% | 229,111,120 | 71% | 228,111,120 | 71% | 228,111,120 | 71% |
| 19 | TOBACCO SETTLEMENT FUNDS | 0 | 0% | 0 | 0% | | | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| 20 | OTHER FUNDS | 0 | 0% | 0 | 0% | | | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| 21 | TOTAL INCOME | \$114,074,535 | 100% | \$415,588,807 | 100% | | | \$321,105,439 | 100% | \$321,105,439 | 100% | \$321,793,802 | 100% | \$321,793,802 | 100% |
| 22 | EXCESS (FUNDING)/APPROPRIATION | \$0 | | \$0 | | | | \$0 | | \$0 | | \$0 | | \$0 | |

| | |
|--|--------------|
| UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2014: | \$15,677,970 |
| LESS RESERVES FOR: | |
| ACCOUNTS RECEIVABLE | \$1,452,203 |
| INVENTORIES | \$28,666 |
| YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES | \$328,561 |
| INSURANCE DEDUCTIBLES | \$25,000 |
| MAJOR CRITICAL SYSTEMS FAILURES | \$200,000 |
| 60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES) | \$5,490,169 |
| RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.) Debt Service | \$3,070,346 |
| OTHER (FOOTNOTE BELOW) | \$200,000 |
| UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE | \$4,883,025 |

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

NOTE: OTHER - Operating expenses Bistro and Finish Line

**APPROPRIATION ACT FORM - STATE TREASURY
2015-2017 BIENNIUM**

FUND CTP0000

INSTITUTION PULASKI TECHNICAL COLLEGE

APPROPRIATION 734

| | DESCRIPTION | ACTUAL 2013-2014 | BUDGETED 2014-2015 | AUTHORIZED APPROPRIATION 2014-2015 | INSTITUTIONAL REQUEST / AHECB RECOMMENDATION | | LEGISLATIVE RECOMMENDATION | |
|----|-----------------------------------|---------------------|-----------------------|--|---|--------------|----------------------------|-----------|
| | | | | | 2015-2016 | 2016-2017 | 2015-2016 | 2016-2017 |
| 1 | REGULAR SALARIES | 17,405,642 | 17,388,807 | 24,605,347 | 22,945,439 | 23,633,802 | | |
| 2 | EXTRA HELP WAGES | | | | | | | |
| 3 | OVERTIME | | | | | | | |
| 4 | PERSONAL SERVICES MATCHING | | | | | | | |
| 5 | OPERATING EXPENSES | | | | | | | |
| 6 | CONFERENCE FEES & TRAVEL | | | | | | | |
| 7 | PROFESSIONAL FEES AND SERVICES | | | | | | | |
| 8 | CAPITAL OUTLAY | | | | | | | |
| 9 | FUNDED DEPRECIATION | | | | | | | |
| 10 | WORKERS COMP/SURETY PREMIUM | 4,248 | | | | | | |
| 11 | PUBLIC EMPLOYEES CLAIMS | 1,319 | | | | | | |
| 12 | | | | | | | | |
| 13 | TOTAL APPROPRIATION | \$17,411,209 | \$17,388,807 | \$24,605,347 | \$22,945,439 | \$23,633,802 | \$0 | \$0 |
| 14 | PRIOR YEAR FUND BALANCE** | 0 | 0 | | | | | |
| 15 | GENERAL REVENUE | 15,137,437 | 15,137,437 | | 20,694,069 | 21,382,432 | | |
| 16 | EDUCATIONAL EXCELLENCE TRUST FUND | | | | | | | |
| 17 | SPECIAL REVENUES * [WF2000] | 2,273,772 | 2,251,370 | | 2,251,370 | 2,251,370 | | |
| 18 | FEDERAL FUNDS IN STATE TREASURY | | | | | | | |
| 19 | TOBACCO SETTLEMENT FUNDS | | | | | | | |
| 20 | OTHER STATE TREASURY FUNDS | | | | | | | |
| 21 | TOTAL INCOME | \$17,411,209 | \$17,388,807 | | \$22,945,439 | \$23,633,802 | \$0 | \$0 |
| 22 | EXCESS (FUNDING)/APPROPRIATION | \$0 | \$0 | | \$0 | \$0 | \$0 | \$0 |

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS
2015-2017 BIENNIUM**

FUND 2930000

INSTITUTION PULASKI TECHNICAL COLLEGE

APPROPRIATION B66

| | DESCRIPTION | ACTUAL 2013-2014 | BUDGETED 2014-2015 | AUTHORIZED APPROPRIATION 2014-2015 | INSTITUTIONAL REQUEST / AHECB RECOMMENDATION | | LEGISLATIVE RECOMMENDATION | |
|----|---|---------------------|-----------------------|--|---|---------------|----------------------------|-----------|
| | | | | | 2015-2016 | 2016-2017 | 2015-2016 | 2016-2017 |
| 1 | REGULAR SALARIES | 6,819,969 | 18,800,000 | 18,800,000 | 18,800,000 | 18,800,000 | | |
| 2 | EXTRA HELP WAGES | 1,822,404 | 3,500,000 | 3,500,000 | 3,500,000 | 3,500,000 | | |
| 3 | OVERTIME | 14,440 | 100,000 | 100,000 | 100,000 | 100,000 | | |
| 4 | PERSONAL SERVICES MATCHING | 6,684,440 | 25,000,000 | 25,000,000 | 25,000,000 | 25,000,000 | | |
| 5 | OPERATING EXPENSES | 67,047,159 | 250,040,000 | 250,040,000 | 150,000,000 | 150,000,000 | | |
| 6 | CONFERENCE FEES & TRAVEL | 293,820 | 700,000 | 700,000 | 700,000 | 700,000 | | |
| 7 | PROFESSIONAL FEES AND SERVICES | 1,850,628 | 7,000,000 | 7,000,000 | 7,000,000 | 7,000,000 | | |
| 8 | CAPITAL OUTLAY | 5,927,091 | 8,000,000 | 8,000,000 | 8,000,000 | 8,000,000 | | |
| 9 | CAPITAL IMPROVEMENTS | 0 | 75,000,000 | 75,000,000 | 75,000,000 | 75,000,000 | | |
| 10 | DEBT SERVICE | 6,185,320 | 10,000,000 | 10,000,000 | 10,000,000 | 10,000,000 | | |
| 11 | FUND TRANSFERS, REFUNDS AND INVESTMENTS | | | | | | | |
| 12 | PROMOTIONAL ITEMS | 18,055 | 60,000 | 60,000 | 60,000 | 60,000 | | |
| 13 | | | | | | | | |
| 14 | | | | | | | | |
| 15 | | | | | | | | |
| 16 | TOTAL APPROPRIATION | \$96,663,326 | \$398,200,000 | \$398,200,000 | \$298,160,000 | \$298,160,000 | \$0 | \$0 |
| 17 | PRIOR YEAR FUND BALANCE*** | | | | | | | |
| 18 | TUITION AND MANDATORY FEES | 26,583,056 | 28,479,839 | | 29,479,839 | 30,479,839 | | |
| 19 | ALL OTHER FEES | 2,860,564 | 2,593,373 | | 2,593,373 | 2,593,373 | | |
| 20 | SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS | 1,084,225 | 1,197,218 | | 1,197,218 | 1,197,218 | | |
| 21 | INVESTMENT INCOME | 76,329 | 80,000 | | 80,000 | 80,000 | | |
| 22 | FEDERAL CASH FUNDS | 62,131,076 | 330,151,120 | | 229,111,120 | 228,111,120 | | |
| 23 | OTHER CASH FUNDS | 3,928,076 | 35,698,450 | | 35,698,450 | 35,698,450 | | |
| 24 | TOTAL INCOME | \$96,663,326 | \$398,200,000 | | \$298,160,000 | \$298,160,000 | \$0 | \$0 |
| 25 | EXCESS (FUNDING)/APPROPRIATION | \$0 | \$0 | | \$0 | \$0 | \$0 | \$0 |

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

| | ACTUAL 2013-2014 | BUDGETED 2014-2015 | AUTHORIZED 2014-2015 | INSTITUTIONAL REQUEST | AHECB RECOMMEND | LEGISLATIVE RECOMMENDATION |
|-------------------|---------------------|-----------------------|-------------------------|--------------------------|--------------------|----------------------------|
| | | | | 2015-2017 | 2015-2017 | 2015-2017 |
| REGULAR POSITIONS | 759 | 740 | 998 | 999 | 998 | |
| TOBACCO POSITIONS | | | | | | |
| EXTRA HELP ** | 350 | 350 | 400 | 400 | 400 | |

FORM 15-4

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

PULASKI TECHNICAL COLLEGE
 (NAME OF INSTITUTION)

| | ACTIVITY | ACTUAL 2013-2014 | | | | BUDGETED 2014-2015 | | | |
|----|--|---------------------|-----------------------|-----------------|---------------|-----------------------|-----------------------|-----------------|---------------|
| | | INCOME | OPERATING EXPENSES | DEBT SERVICE | NET INCOME | INCOME | OPERATING EXPENSES | DEBT SERVICE | NET INCOME |
| 1 | INTERCOLLEGIATE ATHLETICS * | | | | 0 | | | | 0 |
| 2 | HOUSING | | | | 0 | | | | 0 |
| 3 | FOOD SERVICES | | | | 0 | | | | 0 |
| 4 | STUDENT UNION | | | | 0 | | | | 0 |
| 5 | BOOKSTORE | 531,236 | | | 531,236 | 600,000 | | | 600,000 |
| 6 | STUDENT ORGANIZATIONS AND PUBLICATIONS | | | | 0 | | | | 0 |
| 7 | OTHER Snack & Beverage Vending | 47,696 | | | 47,696 | 60,000 | | | 60,000 |
| 8 | SUBTOTAL | \$578,932 | \$0 | \$0 | \$578,932 | \$660,000 | \$0 | \$0 | \$660,000 |
| 9 | ATHLETIC TRANSFER ** | | | | 0 | | | | 0 |
| 10 | OTHER TRANSFERS *** | (402,445) | | | (402,445) | | | | 0 |
| 11 | GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES | \$176,487 | \$0 | \$0 | \$176,487 | \$660,000 | \$0 | \$0 | \$660,000 |

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 15-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 7 Other - Snack & Beverage Vending

NOTE: Line 10 Other Transfers - Transfer from bookstore auxiliary to plant funds.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

PULASKI TECHNICAL COLLEGE
(NAME OF INSTITUTION)

| | | | | | | |
|---|-----------------|---------------------|-----------------|------------------------|-----------------|-----------------------------|
| TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2013-2014: | | | | | | <u>759</u> |
| | | | | | | (As of November 1, 2013) |
| Nonclassified Administrative Employees: | | | | | | |
| White Male: | <u>35</u> | Black Male: | <u>2</u> | Other Male: | <u>2</u> | Total Male: <u>39</u> |
| White Female: | <u>60</u> | Black Female: | <u>18</u> | Other Female: | <u>1</u> | Total Female: <u>79</u> |
| Nonclassified Health Care Employees: | | | | | | |
| White Male: | <u> </u> | Black Male: | <u> </u> | Other Male: | <u> </u> | Total Male: <u>0</u> |
| White Female: | <u> </u> | Black Female: | <u> </u> | Other Female: | <u> </u> | Total Female: <u>0</u> |
| Classified Employees: | | | | | | |
| White Male: | <u>32</u> | Black Male: | <u>10</u> | Other Male: | <u>2</u> | Total Male: <u>44</u> |
| White Female: | <u>36</u> | Black Female: | <u>19</u> | Other Female: | <u>3</u> | Total Female: <u>58</u> |
| Faculty: | | | | | | |
| White Male: | <u>206</u> | Black Male: | <u>30</u> | Other Male: | <u>4</u> | Total Male: <u>240</u> |
| White Female: | <u>243</u> | Black Female: | <u>50</u> | Other Female: | <u>6</u> | Total Female: <u>299</u> |
| Total White Male: | <u>273</u> | Total Black Male: | <u>42</u> | Total Other Male: | <u>8</u> | Total Male: <u>323</u> |
| Total White Female: | <u>339</u> | Total Black Female: | <u>87</u> | Total Other Female: | <u>10</u> | Total Female: <u>436</u> |
| Total White: | <u>612</u> | Total Black: | <u>129</u> | Total Other: | <u>18</u> | Total Employees: <u>759</u> |
| | | | | Total Minority: | <u>147</u> | |

FORM 15-6

**DIVISION OF LEGISLATIVE AUDIT
AUDIT OF PULASKI TECHNICAL COLLEGE
June 30, 2013**

U.S. DEPARTMENT OF EDUCATION

Finding Number: 13-693-01
State/Educational Agency(s): Pulaski Technical College
CFDA Number(s) and Program Title(s): 84.063 – Federal Pell Grant Program
84.268 – Federal Direct Student Loans
(Student Financial Assistance Cluster)
Federal Award Number(s): P063P123698 and P268K133698
Federal Award Year(s): 2013
Compliance Requirement(s) Affected: Special Tests and Provisions
Type of Finding: Noncompliance and Significant Deficiency

Criteria:

In accordance with 34 CFR § 668.22 of the Student Assistance General Provisions, when a recipient of Title IV grant or loan assistance withdraws from an institution during a payment or enrollment period in which the recipient began attendance, the institution must determine the amount of Title IV aid earned by the student as of the student's withdrawal date. If the amount disbursed to the student is greater than the amount the student earned, the unearned funds must be returned to the Title IV programs. If the amount disbursed to the student is less than the amount the student earned, and for which the student is otherwise eligible, he or she is eligible to receive a post-withdrawal disbursement (PWD) of the earned aid that was not received.

Condition and Context:

Initially, five returns were tested for compliance with the above criteria, and five exceptions were detected. Due to the number of exceptions, College personnel recalculated all returns for the year ended June 30, 2013, and provided a listing of the recalculations for testing. Our testing confirmed the cause of the exceptions and the resulting financial effects.

Questioned Costs:

None

Cause:

The College's calculations of returns to the Title IV programs were performed based on specific criteria input into the database. When inputting the total number of calendar days in a payment period or period of enrollment, the College failed to exclude breaks of at least five consecutive days from the total number of calendar days in a payment period or period of enrollment and the number of calendar days completed in that period, as required by 34 CFR § 668.22 (f)(ii)(C)(2)(i).

**DIVISION OF LEGISLATIVE AUDIT
AUDIT OF PULASKI TECHNICAL COLLEGE
June 30, 2013**

U.S. DEPARTMENT OF EDUCATION (Continued)

Finding Number: 13-693-01 (Continued)
State/Educational Agency(s): Pulaski Technical College
CFDA Number(s) and Program Title(s): 84.063 – Federal Pell Grant Program
84.268 – Federal Direct Student Loans
(Student Financial Assistance Cluster)
Federal Award Number(s): P063P123698 and P268K133698
Federal Award Year(s): 2013
Compliance Requirement(s) Affected: Special Tests and Provisions
Type of Finding: Noncompliance and Significant Deficiency

Effect:

The College returned institutional costs of \$4,357 to the Federal Pell Grant Program and \$20,244 and \$334 to Federal Direct Student Unsubsidized and Subsidized Loans, respectively, in excess of the amounts required. Additionally, the amount due to students for aid earned but not received (PWD) increased by a total of \$99 related to the Federal Pell Grant Program for the fall 2012 semester. The College did not return institutional costs of \$4,918 to the Federal Pell Grant Program and \$36,425 and \$5,829 to Federal Direct Student Unsubsidized and Subsidized Loans, respectively, as required for the spring 2013 semester.

Recommendation:

We recommend the College strengthen procedures to ensure that returns to the Title IV programs are calculated correctly and contact the U.S. Department of Education for resolution of this matter.

Views of Responsible Officials and Planned Corrective Action:

As a result of the incorrect calendar input for the Fall and Spring terms of the 2012-2013 award year, Pulaski Technical College's Financial Aid Office recalculated all Return to Title IV Fund calculations for these periods using corrected calendars in ED's R2T4 software. Adjustments were appropriately made to the student accounts and through the U.S. Department of Education's Common Origination and Disbursement system. Students will be notified of the adjustment and receive a new copy of their student account for the appropriate term.

**DIVISION OF LEGISLATIVE AUDIT
AUDIT OF PULASKI TECHNICAL COLLEGE
June 30, 2013**

U.S. DEPARTMENT OF EDUCATION (Continued)

| | |
|---|---|
| Finding Number: | 13-693-01 (Continued) |
| State/Educational Agency(s): | Pulaski Technical College |
| CFDA Number(s) and Program Title(s): | 84.063 – Federal Pell Grant Program 84.268 – Federal Direct Student Loans (Student Financial Assistance Cluster) |
| Federal Award Number(s): | P063P123698 and P268K133698 |
| Federal Award Year(s): | 2013 |
| Compliance Requirement(s) Affected: | Special Tests and Provisions |
| Type of Finding: | Noncompliance and Significant Deficiency |

The Financial Aid Office has developed the following process to ensure accuracy from this point forward:

1. The payment periods are established using the Academic Calendar approved and published by Pulaski Technical College.
2. Each payment period calendar is provided to the Associate Director of Financial Aid (Systems) for input into the Financial Aid Administrator Access Return to Title IV module.
3. Input of the calendar into FAA Access is reviewed by the Director of Financial Aid. Confirmation of accuracy is noted by processing a sample R2T4 calculation, which locks the academic calendar in the R2T4 calculation software. The calculation will be completed, initialed, dated by both the Director and Associate Director and retained in the appropriate New Year Set Up folder.
4. Once roster certification has been completed for each payment period, the expectation is for R2T4s to be identified on a weekly basis with calculation and notifications being processed within 7 – 10 business days. A date should be placed in the

R2T4 field in STUAID communication completion of an R2T4. At the end of the term, the No Earned Report should be generated upon completion of the Satisfactory Academic Progress process. Calculations should be processed within 7 – 10 business days.

5. Direct Loan adjustments are provided to the Associate Director of Financial Aid (Systems). The award amount is determined based on the net amount provided by the advisor. The advisor will make the adjustment through POISE and the Associate Director of Financial Aid (Systems) makes the adjustment through EdExpress.

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6. Adjustments to other Title IV funds are made by the advisor. They are communicated to ED during the automated disbursement process. The disbursement process occurs approximately every 7 – 10 business days to ensure accounts remain in balance.
7. When all adjustments are made, the Student Accounts Office is notified. New statements are generated reflecting any required return. The statements are reviewed for accuracy and sent to the student with the notice of the required R2T4 calculation.
8. The following items should be retained and filed as specified:
 - a. Weekly Report of Withdrawals and the No Earned Report should be retained and kept in date order. Completion should be communicated by initial/date on the report.
 - b. A copy of the notification letter to the student, R2T4 Calculation, and the withdrawal form (if obtained), should be grouped and placed in the binder in this order and in accurate alphabetical order.
 - c. When the academic year has ended, the documents should be placed in the imaging system. Paper copies should be retained through the end of the following academic year.

Anticipated Completion Date: Ongoing

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RICH MOUNTAIN COMMUNITY COLLEGE

Dr. Phillip Wilson, President

ENABLING LAWS

Act 149 of 2014

INSTITUTION HISTORY AND ORGANIZATION

Mission, Vision, Values, Philosophy and Scope

Mission

Rich Mountain Community College exists to provide all residents of the Ouachita Mountain Region with exemplary educational and enrichment opportunities to improve the quality of life and standard of living.

Vision

Rich Mountain Community College is committed to being an institution of distinction where an exceptional faculty and staff serve to awaken students to a love of learning. The college is dedicated to empowering each individual to pursue a higher quality of life by providing access to dynamic, lifelong learning opportunities.

The college's caring approach to teaching and learning allows individuals to enhance and expand their knowledge base and to enrich their lives through intellectual challenge and self-discovery with the support of skilled human resources and of emergent technologies. The college demonstrates respect for the worth and dignity of each person, and recognizes the uniqueness of each individual. In addition, the College encourages personal growth by offering affordable, high quality, accessible education in preparation for transfer and technical degrees, workforce development, career advancement, and personal enrichment.

Values

Rich Mountain Community College, as a leader in a growing community, and a vibrant contributor to the quality of life of the Ouachita Mountain Region, continues to develop an exemplary learning environment. Success in this endeavor hinges on turning the following values into action:

RICH MOUNTAIN COMMUNITY COLLEGE

Dr. Phillip Wilson, President

All who choose to use Rich Mountain Community College's resources are treated with dignity and are challenged to maximize their potential.

All students are given the opportunity and are encouraged to accept responsibility for learning and growing as individuals and citizens.

All College personnel are committed to providing an atmosphere of respect and cooperation where ideas, inquiry, and the continued pursuit for self-development are valued as means towards learning and growth.

All College personnel are dedicated to responsible stewardship and continued sustainability of human, fiscal, and natural resources with which we have been entrusted.

Philosophy and Scope

Rich Mountain Community College recognizes the worth and dignity of the individual, as exemplified by its commitment to serving the multi-dimensional educational needs of its constituency.

Toward that end, Rich Mountain Community College endeavors to provide academic, vocational, and personal enrichment opportunities and dedicates itself to quality programs in each of these areas. Guided by these principles the scope of the college is as follows:

To offer associate degrees, technical degrees and certificates of proficiency in occupational education for students who wish to gain competence in specific skill areas or to upgrade their skills.

To provide the first two years of university parallel course work of high academic quality for students who transfer to senior institutions.

To provide foundational based educational programs for students who need basic academic skills.

RICH MOUNTAIN COMMUNITY COLLEGE
Dr. Phillip Wilson, President

To provide services for students who desire academic, vocational, financial, and personal guidance.

To offer the facilities and resources of the College to promote educational, civic, and cultural endeavors within the community.

To provide programs and services that support and enhance the social, civic, and economic development of our community and state.

To offer a variety of programs and courses designed to meet academic, vocational, and personal enrichment needs.

Strategic Plan Major Goals

Goal #1 Access to Higher Education

Rich Mountain Community College continues to provide the Ouachita Mountain Region with accessible, quality, and affordable education.

RMCC will provide the service area's population with expanded access to educational opportunities for those who seek lifelong learning. In addition to serving traditional and nontraditional students, special programs are available to the disadvantaged, those requiring foundational based studies, or students wanting to enter the workforce. The College will continue to provide best value and seek out every viable opportunity to augment its ability to further serve the community.

Goal #2 Student Success

Rich Mountain Community College is committed to student success by providing programs and services that will help students achieve their educational and career goals.

RICH MOUNTAIN COMMUNITY COLLEGE

Dr. Phillip Wilson, President

RMCC promotes student success at every level of the academic process. Foundational based education programs are available for students who need to improve math, reading, and writing skills. Qualified, dedicated faculty are committed to student learning. The Director of Student Success Initiatives develops and maintains collaborative activities geared toward student success in and out of the classroom. Federal, state, and student services programs offer additional resources to students.

Student success is a priority at RMCC upon initial contact with the student through degree completion.

Following the recruitment and admissions process, RMCC has extensive placement testing and a developmental education program for students who lack sufficient math, reading and writing scores. Freshmen are required to take College Success Orientation (CSO) their first semester in college. Furthermore, besides boasting of qualified, dedicated faculty who are committed to student learning, RMCC also has a Director of Student Success Initiatives who develops and maintains collaborative initiatives geared toward student success in and out of the classroom. To further promote student success, RMCC has Career Pathways Initiatives and four TRiO programs on campus: Educational Opportunities Center, Student Support Services, Educational Talent Search, and Upward Bound. Additionally, RMCC staff contributes vast time and effort to ensure student success at every level of the academic process.

Goal #3 Quality Learning Environment

RMCC will create a quality learning environment by promoting excellence in learning and teaching while maintaining state of the art facilities and technology.

Rich Mountain Community College provides an effective teaching and learning environment. Quality educational programs and services are accessible and responsive to the needs and interests of the communities it serves. Faculty and staff foster a supportive challenging atmosphere dedicated to serving those who will become tomorrow's leaders and actively engaged members of society.

Goal #4 Educational Partnerships

Rich Mountain Community College is committed to student success by providing programs and services that will help students achieve their educational and career goals.

RICH MOUNTAIN COMMUNITY COLLEGE

Dr. Phillip Wilson, President

Working collaboratively with communities and schools, Rich Mountain Community College seeks to improve academic achievement and expand post-secondary educational opportunities for students. RMCC works with teachers, counselors, administrators, and families to foster systemic change and build a college-going culture. Through a comprehensive program of articulation and course transfer agreements including advanced degree partnerships with colleges and universities, RMCC contributes to economic growth through teaching, outreach, and cultural enhancement.

Goal #5 Development of Resources

Rich Mountain Community College strives to develop and improve our programs and services by seeking additional financial, physical, and human resource opportunities.

RMCC strives to develop additional sources of funding. The College actively pursues public and private sector support, as well as grants to enhance programs and services.

Goal #6 Continuous Planning Improvement of Outreach Centers

Rich Mountain Community College will develop all off-campus sites to meet the needs of the communities they serve.

RMCC actively supports and commits significant resources toward continued development of the service area through the operation of outreach centers in Polk, Montgomery, and Scott Counties. Further development of these sites is essential for individuals in these areas to receive superior educational opportunities. The College fosters growth in these areas through continued communication with all interested parties.

Rich Mountain Community College serves a low income three county area. Polk, Scott, and Montgomery counties are geographically isolated with only a small percentage of residents having attained a bachelor's degree or higher. The College actively supports continued development of the service area through the operation of off-campus sites in Mount Ida, Waldron, and Polk County. RMCC has committed significant funds to the continued development of these sites by purchasing facilities in Mount Ida and by securing a long term lease in Waldron. The College will continue to foster the growth in these areas through continued communication with community leaders, students, and faculty. Further development of these sites is essential for the continued growth of the College and is necessary for individuals in the areas to receive adequate educational opportunities.

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**INSTITUTION APPROPRIATION SUMMARY
2015-2017 BIENNIUM**

INSTITUTION RICH MOUNTAIN COMMUNITY COLLEGE

| | APPROPRIATION | HISTORICAL DATA | | | | | | INSTITUTION REQUEST & AHECB RECOMMENDATION | | | | | | | |
|----|-----------------------------------|-----------------|-------|--------------|------|--------------|-----|--|------|--------------|------|--------------|------|--------------|------|
| | | 2013-2014 | | 2014-2015 | | 2014-2015 | | 2015-2016 | | | | 2016-2017 | | | |
| | | ACTUAL | POS | BUDGETED | POS | AUTHORIZED | POS | INST REQUEST | POS | AHECB REC | POS | INST REQUEST | POS | AHECB REC | POS |
| 1 | STATE TREASURY | 3,404,968 | | 3,409,713 | | 3,607,410 | | 3,496,298 | | 3,496,298 | | 3,601,187 | | 3,601,187 | |
| 2 | CASH | 3,616,878 | | 10,110,742 | | 10,110,742 | | 10,120,000 | | 10,120,000 | | 10,195,000 | | 10,195,000 | |
| 3 | | | | | | | | | | | | | | | |
| 4 | | | | | | | | | | | | | | | |
| 5 | | | | | | | | | | | | | | | |
| 6 | | | | | | | | | | | | | | | |
| 7 | | | | | | | | | | | | | | | |
| 8 | | | | | | | | | | | | | | | |
| 9 | | | | | | | | | | | | | | | |
| 10 | | | | | | | | | | | | | | | |
| 11 | TOTAL | \$7,021,846 | 94 | \$13,520,455 | 99 | \$13,718,152 | 132 | \$13,616,298 | 133 | \$13,616,298 | 133 | \$13,796,187 | 133 | \$13,796,187 | 133 |
| | FUNDING SOURCES | | % | | % | | | | % | | % | | % | | % |
| 12 | PRIOR YEAR FUND BALANCE* | 0 | 0.00% | 0 | 0% | | | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| 13 | GENERAL REVENUE | 3,206,869 | 46% | 3,206,869 | 24% | | | 3,293,454 | 24% | 3,293,454 | 24% | 3,398,343 | 25% | 3,398,343 | 25% |
| 14 | EDUCATIONAL EXCELLENCE TRUST FUND | 198,099 | 3% | 202,844 | 2% | | | 202,844 | 1% | 202,844 | 1% | 202,844 | 1% | 202,844 | 1% |
| 15 | WORKFORCE 2000 | 0 | 0% | 0 | 0% | | | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| 16 | CASH FUNDS | 2,564,310 | 37% | 6,901,524 | 51% | | | 6,905,000 | 51% | 6,905,000 | 51% | 6,945,000 | 50% | 6,945,000 | 50% |
| 17 | SPECIAL REVENUES | | | | | | | | | | | | | | |
| 18 | FEDERAL FUNDS | 1,052,568 | 15% | 3,209,218 | 24% | | | 3,215,000 | 24% | 3,215,000 | 24% | 3,250,000 | 24% | 3,250,000 | 24% |
| 19 | TOBACCO SETTLEMENT FUNDS | 0 | 0% | 0 | 0% | | | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| 20 | OTHER FUNDS | 0 | 0% | 0 | 0% | | | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| 21 | TOTAL INCOME | \$7,021,846 | 100% | \$13,520,455 | 100% | | | \$13,616,298 | 100% | \$13,616,298 | 100% | \$13,796,187 | 100% | \$13,796,187 | 100% |
| 22 | EXCESS (FUNDING)/APPROPRIATION | \$0 | | \$0 | | | | \$0 | | \$0 | | \$0 | | \$0 | |

| | |
|--|-------------|
| UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2014: | \$2,406,189 |
| LESS RESERVES FOR: | |
| ACCOUNTS RECEIVABLE | \$220,000 |
| INVENTORIES | \$170,000 |
| YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES | |
| INSURANCE DEDUCTIBLES | \$20,000 |
| MAJOR CRITICAL SYSTEMS FAILURES | \$975,000 |
| 60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES) | \$900,000 |
| RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.) | \$100,000 |
| OTHER (FOOTNOTE BELOW) | |
| UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE | \$21,189 |

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2015-2017 BIENNIUM**

FUND CWR0000

INSTITUTION RICH MOUNTAIN COMMUNITY COLLEGE

APPROPRIATION 112

| | DESCRIPTION | ACTUAL 2013-2014 | BUDGETED 2014-2015 | AUTHORIZED APPROPRIATION 2014-2015 | INSTITUTIONAL REQUEST / AHECB RECOMMENDATION | | LEGISLATIVE RECOMMENDATION | |
|----|-----------------------------------|---------------------|-----------------------|--|---|-------------|----------------------------|-----------|
| | | | | | 2015-2016 | 2016-2017 | 2015-2016 | 2016-2017 |
| 1 | REGULAR SALARIES | 2,375,000 | 2,375,000 | 2,395,000 | 2,395,000 | 2,455,000 | | |
| 2 | EXTRA HELP WAGES | 30,000 | | 30,000 | 26,298 | 29,187 | | |
| 3 | OVERTIME | | | | | | | |
| 4 | PERSONAL SERVICES MATCHING | 525,000 | 510,566 | 545,000 | 545,000 | 565,000 | | |
| 5 | OPERATING EXPENSES | 474,580 | 524,147 | 525,000 | 530,000 | 552,000 | | |
| 6 | CONFERENCE FEES & TRAVEL | 0 | 0 | 37,410 | | | | |
| 7 | PROFESSIONAL FEES AND SERVICES | | | | | | | |
| 8 | CAPITAL OUTLAY | 0 | 0 | 75,000 | | | | |
| 9 | FUNDED DEPRECIATION | | | | | | | |
| 10 | WORKERS COMP/SURETY PREMIUM | 388 | | | | | | |
| 11 | | | | | | | | |
| 12 | | | | | | | | |
| 13 | TOTAL APPROPRIATION | \$3,404,968 | \$3,409,713 | \$3,607,410 | \$3,496,298 | \$3,601,187 | \$0 | \$0 |
| 14 | PRIOR YEAR FUND BALANCE** | | | | | | | |
| 15 | GENERAL REVENUE | 3,206,869 | 3,206,869 | | 3,293,454 | 3,398,343 | | |
| 16 | EDUCATIONAL EXCELLENCE TRUST FUND | 198,099 | 202,844 | | 202,844 | 202,844 | | |
| 17 | SPECIAL REVENUES * [WF2000] | | | | | | | |
| 18 | FEDERAL FUNDS IN STATE TREASURY | | | | | | | |
| 19 | TOBACCO SETTLEMENT FUNDS | | | | | | | |
| 20 | OTHER STATE TREASURY FUNDS | | | | | | | |
| 21 | TOTAL INCOME | \$3,404,968 | \$3,409,713 | | \$3,496,298 | \$3,601,187 | \$0 | \$0 |
| 22 | EXCESS (FUNDING)/APPROPRIATION | \$0 | \$0 | | \$0 | \$0 | \$0 | \$0 |

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS
2015-2017 BIENNIUM**

FUND 2190000

INSTITUTION RICH MOUNTAIN COMMUNITY COLLEGE

APPROPRIATION A26

| | DESCRIPTION | ACTUAL 2013-2014 | BUDGETED 2014-2015 | AUTHORIZED APPROPRIATION 2014-2015 | INSTITUTIONAL REQUEST / AHECB RECOMMENDATION | | LEGISLATIVE RECOMMENDATION | |
|----|---|---------------------|-----------------------|--|---|--------------|----------------------------|-----------|
| | | | | | 2015-2016 | 2016-2017 | 2015-2016 | 2016-2017 |
| 1 | REGULAR SALARIES | 1,081,484 | 2,767,142 | 2,767,142 | 3,200,000 | 3,250,000 | | |
| 2 | EXTRA HELP WAGES | 208,535 | 325,000 | 325,000 | 350,000 | 375,000 | | |
| 3 | OVERTIME | | | | | | | |
| 4 | PERSONAL SERVICES MATCHING | 448,294 | 1,400,000 | 1,400,000 | 1,500,000 | 1,500,000 | | |
| 5 | OPERATING EXPENSES | 1,794,349 | 1,600,000 | 1,600,000 | 1,750,000 | 1,750,000 | | |
| 6 | CONFERENCE FEES & TRAVEL | 49,306 | 353,600 | 353,600 | 375,000 | 375,000 | | |
| 7 | PROFESSIONAL FEES AND SERVICES | 2,359 | 15,000 | 15,000 | 15,000 | 15,000 | | |
| 8 | CAPITAL OUTLAY | 32,551 | 3,000,000 | 3,000,000 | 2,250,000 | 2,250,000 | | |
| 9 | CAPITAL IMPROVEMENTS | 0 | 300,000 | 300,000 | 300,000 | 300,000 | | |
| 10 | DEBT SERVICE | 0 | 350,000 | 350,000 | 380,000 | 380,000 | | |
| 11 | FUND TRANSFERS, REFUNDS AND INVESTMENTS | | | | | | | |
| 12 | | | | | | | | |
| 13 | | | | | | | | |
| 14 | | | | | | | | |
| 15 | | | | | | | | |
| 16 | TOTAL APPROPRIATION | \$3,616,878 | \$10,110,742 | \$10,110,742 | \$10,120,000 | \$10,195,000 | \$0 | \$0 |
| 17 | PRIOR YEAR FUND BALANCE*** | | | | | | | |
| 18 | TUITION AND MANDATORY FEES | 2,131,112 | 2,080,622 | | 2,080,622 | 2,080,622 | | |
| 19 | ALL OTHER FEES | | | | | | | |
| 20 | SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS | | | | | | | |
| 21 | INVESTMENT INCOME | 5,792 | 0 | | | | | |
| 22 | FEDERAL CASH FUNDS | 1,052,568 | 3,209,218 | | 3,215,000 | 3,250,000 | | |
| 23 | OTHER CASH FUNDS | 427,406 | 4,820,902 | | 4,824,378 | 4,864,378 | | |
| 24 | TOTAL INCOME | \$3,616,878 | \$10,110,742 | | \$10,120,000 | \$10,195,000 | \$0 | \$0 |
| 25 | EXCESS (FUNDING)/APPROPRIATION | \$0 | \$0 | | \$0 | \$0 | \$0 | \$0 |

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

| | ACTUAL 2013-2014 | BUDGETED 2014-2015 | AUTHORIZED 2014-2015 | INSTITUTIONAL REQUEST | AHECB RECOMMEND | LEGISLATIVE RECOMMENDATION |
|-------------------|---------------------|-----------------------|-------------------------|--------------------------|--------------------|----------------------------|
| | | | | 2015-2017 | 2015-2017 | 2015-2017 |
| REGULAR POSITIONS | 94 | 99 | 132 | 133 | 133 | |
| TOBACCO POSITIONS | | | | | | |
| EXTRA HELP ** | 40 | 50 | 80 | 80 | 80 | |

FORM 15-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

RICH MOUNTAIN COMMUNITY COLLEGE
(NAME OF INSTITUTION)

| | ACTIVITY | ACTUAL 2013-2014 | | | | BUDGETED 2014-2015 | | | |
|----|--|---------------------|-----------------------|-----------------|---------------|-----------------------|-----------------------|-----------------|---------------|
| | | INCOME | OPERATING EXPENSES | DEBT SERVICE | NET INCOME | INCOME | OPERATING EXPENSES | DEBT SERVICE | NET INCOME |
| 1 | INTERCOLLEGIATE ATHLETICS * | | | | 0 | | | | 0 |
| 2 | HOUSING | | | | 0 | | | | 0 |
| 3 | FOOD SERVICES | | | | 0 | | | | 0 |
| 4 | STUDENT UNION | | | | 0 | | | | 0 |
| 5 | BOOKSTORE | 361,186 | 428,149 | | (66,963) | 377,000 | 369,340 | | 7,660 |
| 6 | STUDENT ORGANIZATIONS AND PUBLICATIONS | | | | 0 | | | | 0 |
| 7 | OTHER | | | | 0 | | | | 0 |
| 8 | SUBTOTAL | \$361,186 | \$428,149 | \$0 | (\$66,963) | \$377,000 | \$369,340 | \$0 | \$7,660 |
| 9 | ATHLETIC TRANSFER ** | | | | 0 | | | | 0 |
| 10 | OTHER TRANSFERS *** | | | | 0 | | | | 0 |
| 11 | GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES | \$361,186 | \$428,149 | \$0 | (\$66,963) | \$377,000 | \$369,340 | \$0 | \$7,660 |

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 15-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

RICH MOUNTAIN COMMUNITY COLLEGE
(NAME OF INSTITUTION)

| | | | | | | |
|---|-----------|---------------------|----------|------------------------|----------|----------------------------|
| TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2013-2014: | | | | | | 96 |
| | | | | | | (As of November 1, 2013) |
| Nonclassified Administrative Employees: | | | | | | |
| White Male: | <u>6</u> | Black Male: | <u>0</u> | Other Male: | <u>0</u> | Total Male: <u>6</u> |
| White Female: | <u>12</u> | Black Female: | <u>0</u> | Other Female: | <u>0</u> | Total Female: <u>12</u> |
| Nonclassified Health Care Employees: | | | | | | |
| White Male: | <u>0</u> | Black Male: | <u>0</u> | Other Male: | <u>0</u> | Total Male: <u>0</u> |
| White Female: | <u>0</u> | Black Female: | <u>0</u> | Other Female: | <u>0</u> | Total Female: <u>0</u> |
| Classified Employees: | | | | | | |
| White Male: | <u>6</u> | Black Male: | <u>0</u> | Other Male: | <u>0</u> | Total Male: <u>6</u> |
| White Female: | <u>11</u> | Black Female: | <u>0</u> | Other Female: | <u>0</u> | Total Female: <u>11</u> |
| Faculty: | | | | | | |
| White Male: | <u>27</u> | Black Male: | <u>0</u> | Other Male: | <u>0</u> | Total Male: <u>27</u> |
| White Female: | <u>34</u> | Black Female: | <u>0</u> | Other Female: | <u>0</u> | Total Female: <u>34</u> |
| Total White Male: | <u>39</u> | Total Black Male: | <u>0</u> | Total Other Male: | <u>0</u> | Total Male: <u>39</u> |
| Total White Female: | <u>57</u> | Total Black Female: | <u>0</u> | Total Other Female: | <u>0</u> | Total Female: <u>57</u> |
| Total White: | <u>96</u> | Total Black: | <u>0</u> | Total Other: | <u>0</u> | Total Employees: <u>96</u> |
| | | | | Total Minority: | <u>0</u> | |

FORM 15-6

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2014
 Required by A.C.A. 25-36-104

Institution RICH MOUNTAIN COMMUNITY COLLEGE

| Minority Business | Total Contract Awarded | Minority Type per A.C.A. 15-4-303 (2) | | | | | |
|-------------------|------------------------|---------------------------------------|-------------------|-----------------|----------------|---------------------------|------------------|
| | | African American | Hispanic American | American Indian | Asian American | Pacific Islander American | Disabled Veteran |
| N/A | | | | | | | |
| | | | | | | | |
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TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$0
 (Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

**DIVISION OF LEGISLATIVE AUDIT
AUDIT OF RICH MOUNTAIN COMMUNITY COLLEGE
June 30, 2013**

| | |
|-----------------|-------------------|
| <i>Finding:</i> | No Findings noted |
|-----------------|-------------------|

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SOUTH ARKANSAS COMMUNITY COLLEGE

Dr. Barbara R. Jones, President

ENABLING LAWS

Act 171 of 1975, Act 1244 of 1991 and Act 205 of 2014

INSTITUTION HISTORY AND ORGANIZATION

Amendment Number 52 to the Arkansas Constitution provides the constitutional authority for the establishment of state-supported community colleges in Arkansas. Arkansas Code Title 6 Education, Chapter 61 Post Secondary Institutions Generally, Subchapters 5 and 6 provide the statutory authority for the establishment and operation of the college. The original Oil Belt Vocation –Technical School located on East Main opened its doors in 1967. Act 1244 of 1991 authorized the name change to Oil Belt Technical College in 1991 and authorized an expanded mission.

Act 171 of the regular session of 1975 authorized Southern State College to establish a branch campus in El Dorado beginning July 1, 1975, to be known as the Southern State College, El Dorado Branch. In 1976 the name was changed to Southern Arkansas University, El Dorado Branch. The Branch was governed by the Board of Trustees of the Southern Arkansas University.

Under the Arkansas Code provisions noted above, a Steering Committee was formed to gather signatures calling for a special election to merge Oil Belt Technical College, a state-supported technical college governed by the State Board of Higher Education and Southern Arkansas University - El Dorado Branch, a two-year state-supported branch governed by the Southern Arkansas University Board of Trustees. Both boards endorsed holding the election and on March 31, 1992, the majority of the electors voting in the special election in Union County established the Union County Community College District authorizing a one-half mill levy on the real and personal property of Union County to support the College. The Governor appointed the first Board of Trustees and named the institution the South Arkansas Community College. The Board was officially sworn in on April 14, 1992. The College had a transition period until July 1, 1992, when the predecessor colleges were officially abolished. The new College began operation under its own budgetary authority and Board of Trustees. The College operates its East Campus (formerly Oil Belt Technical College) and its West Campus (formerly Southern Arkansas University-El Dorado Branch) in El Dorado. The College has offered classes in Warren since 2003. Located at 300 South West Avenue, the campus still includes the Junior College Building built in 1905 with the site continually used for public education since 1858. Currently, Act 205 of the Fiscal Session of 2014 authorizes an appropriation for Personal Services and Operating Expenses.

SOUTH ARKANSAS COMMUNITY COLLEGE

Dr. Barbara R. Jones, President

Board of Trustees

South Arkansas Community College is governed by a nine-member Board of Trustees. Under the option selected by the Board of Trustees as provided by Act 1349 of 1995, the positions on the Board shall become vacant as current terms expire and persons who are residents and qualified electors of the community college district shall be appointed by the Governor for a term of six (6) years.

Mission Statement

South Arkansas Community College promotes excellence in learning, teaching, and service; provides lifelong educational opportunities; and serves as a cultural, intellectual, and economic resource for the community.

Purposes

The primary activities of South Arkansas Community College are outlined in the College's purposes as follows: to provide an environment that fosters excellence in learning and teaching; to provide a university transfer program of high academic quality for students who plan to continue their education; to offer degree and certificate programs to develop or enhance occupational skills that prepare learners for a changing global economy; to provide developmental courses to prepare students to do college level work; to provide adult education and workforce development; to provide comprehensive student services to enhance students' success; to promote the civic and cultural life and the economic development of the community; and to make education accessible through innovative instructional methods. A major milestone for South Arkansas Community College is being the lead institution in the South West Arkansas Community College Consortium (SWACCC) comprised of seven two-year colleges committed to the economic development of the 28 counties in the southwest region of Arkansas. SWACCC is the recipient of a grant for \$8,419,390 awarded in 2013 from the United States Department of Labor, Employment and Trade Adjustment Assistance Community College and Career Training (TAACCCT) program. With donations from the SouthArk Foundation, the College also recently completed a green space which provides a natural and cultural resource for our campus and community.

Non-Formula Request: The South Arkansas Arboretum is approximately twelve acres of property owned by the El Dorado School District and leased to the State of Arkansas Department of Parks and Tourism. Act 1039 of 1990 added the classification of arboretum to the Arkansas State Park System as the fiftieth state park. Located in El Dorado (Union County), it is Arkansas's only state park located within a city. An operating agreement between the State of Arkansas Department of Parks and Tourism and South Arkansas Community College (SouthArk) dated May 20, 1994, states that the College will manage and operate the park.

SOUTH ARKANSAS COMMUNITY COLLEGE

Dr. Barbara R. Jones, President

Features: The Arboretum offers educational and recreational activities for visitors and features plants indigenous to Arkansas' West Gulf Coastal Plain region, plus flowering azaleas and camellias. Signs identify many of the trees, including shortleaf and loblolly pines, southern and sweet bay magnolias, black gum white ash, American sycamore, Carolina beech, American holly, Black cherry, sugar maple, and oak species such as water, post, southern red, white and over cup oaks. The site's facilities include more than two miles of walking trails. Limited numbers of wildflowers bloom spring through fall. Birds seen year-round include northern cardinals, blue jays, Carolina wrens and chickadees, tufted titmice, northern mockingbirds, and American robins. Summer tanagers and Acadian and great-crested flycatchers have also been reported. Building improvements include restrooms, a pavilion and a gazebo. Initial projects included perimeter fencing, a service road into the property, trails, and the construction of wooden bridges and two small dams to create ponds. Though the rolling terrain of the property was intended for preserving native vegetation, flowering azaleas and camellias were imported to a portion of the acreage to make the arboretum attractive to visitors. By the early 1990s, the Arboretum had fallen on hard times. Community leaders including Jodie Mahony, former State legislator, sought to preserve the park and obtained some general improvement funds and other monies for the facility. It was at this point that the lease/operating agreements were enacted to help preserve the park.

Current Funding: Currently, SouthArk provides funding for the Arboretum through the College's operational budget. While designated a state park, the State of Arkansas Department of Parks and Tourism does not provide any funding for the park. The Arkansas College and university funding formula provides no funds for the operation of the arboretum. The College ensures the park is open seven (7) days a week during daylight hours. The College provides a full time grounds keeper and custodian for the restrooms. Extra help and maintenance services are provided as needed for routine maintenance of the grounds and facilities. Private contributions from local fund raising functions and volunteer labor have provided a portion of the garden and plant activity maintenance. The South Arkansas Community College Foundation has a fund specifically for donations to the Arboretum. The South Arkansas Arboretum Committee (appointed by the SouthArk Board of Trustees) and the Friends of the South Arkansas Arboretum Organization solicit donations to help fund seasonal plantings and projects in the park.

Goals of the South Arkansas Arboretum:

1. To provide an educational site by serving as a living laboratory for elementary, secondary, and college age groups, as well as the general public.

SOUTH ARKANSAS COMMUNITY COLLEGE
Dr. Barbara R. Jones, President

2. To provide a recreational site for walkers and joggers where nature's beauty may be enjoyed.
3. To provide a preservation site for the unique West Gulf Coastal Plain flora and fauna.

Usage:

Each year thousands visit the park. The park is used by various school groups for Easter egg hunts, birthday parties, fall festival, weddings, club meetings, and photographers for beautiful natural backgrounds, walkers, seasonal events, gardeners, and many others from South Arkansas.

**INSTITUTION APPROPRIATION SUMMARY
2015-2017 BIENNIUM**

INSTITUTION SOUTH ARKANSAS COMMUNITY COLLEGE

| | APPROPRIATION | HISTORICAL DATA | | | | | | INSTITUTION REQUEST & AHECB RECOMMENDATION | | | | | | | |
|----|-----------------------------------|-----------------|-------|--------------|------|--------------|-----|--|------|--------------|------|--------------|------|--------------|------|
| | | 2013-2014 | | 2014-2015 | | 2014-2015 | | 2015-2016 | | | | 2016-2017 | | | |
| | | ACTUAL | POS | BUDGETED | POS | AUTHORIZED | POS | INST REQUEST | POS | AHECB REC | POS | INST REQUEST | POS | AHECB REC | POS |
| 1 | STATE TREASURY | 7,013,973 | | 7,016,717 | | 7,683,960 | | 7,254,643 | | 7,254,643 | | 7,470,033 | | 7,470,033 | |
| 2 | CASH | 12,388,220 | | 35,303,015 | | 35,303,015 | | 35,303,015 | | 35,303,015 | | 35,303,015 | | 35,303,015 | |
| 3 | | | | | | | | | | | | | | | |
| 4 | | | | | | | | | | | | | | | |
| 5 | | | | | | | | | | | | | | | |
| 6 | | | | | | | | | | | | | | | |
| 7 | | | | | | | | | | | | | | | |
| 8 | | | | | | | | | | | | | | | |
| 9 | | | | | | | | | | | | | | | |
| 10 | | | | | | | | | | | | | | | |
| 11 | TOTAL | \$19,402,193 | 221 | \$42,319,732 | 226 | \$42,986,975 | 330 | \$42,557,658 | 330 | \$42,557,658 | 330 | \$42,773,048 | 330 | \$42,773,048 | 330 |
| | FUNDING SOURCES | | % | | % | | | | % | | % | | % | | % |
| 12 | PRIOR YEAR FUND BALANCE* | 1,109 | 0.01% | 0 | 0% | | | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| 13 | GENERAL REVENUE | 6,034,307 | 31% | 6,034,307 | 14% | | | 6,272,233 | 15% | 6,272,233 | 15% | 6,487,623 | 15% | 6,487,623 | 15% |
| 14 | EDUCATIONAL EXCELLENCE TRUST FUND | 513,273 | 3% | 525,567 | 1% | | | 525,567 | 1% | 525,567 | 1% | 525,567 | 1% | 525,567 | 1% |
| 15 | WORKFORCE 2000 | 461,389 | 2% | 456,843 | 1% | | | 456,843 | 1% | 456,843 | 1% | 456,843 | 1% | 456,843 | 1% |
| 16 | CASH FUNDS | 7,352,754 | 38% | 26,749,317 | 63% | | | 25,803,015 | 61% | 25,803,015 | 61% | 25,803,015 | 60% | 25,803,015 | 60% |
| 17 | SPECIAL REVENUES | | | | | | | | | | | | | | |
| 18 | FEDERAL FUNDS | 5,035,466 | 26% | 8,553,698 | 20% | | | 9,500,000 | 22% | 9,500,000 | 22% | 9,500,000 | 22% | 9,500,000 | 22% |
| 19 | TOBACCO SETTLEMENT FUNDS | 0 | 0% | 0 | 0% | | | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| 20 | OTHER FUNDS | 3,895 | 0% | 0 | 0% | | | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| 21 | TOTAL INCOME | \$19,402,193 | 100% | \$42,319,732 | 100% | | | \$42,557,658 | 100% | \$42,557,658 | 100% | \$42,773,048 | 100% | \$42,773,048 | 100% |
| 22 | EXCESS (FUNDING)/APPROPRIATION | \$0 | | \$0 | | | | \$0 | | \$0 | | \$0 | | \$0 | |

| | |
|--|-------------|
| UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2014: | \$2,413,160 |
| LESS RESERVES FOR: | |
| ACCOUNTS RECEIVABLE | \$350,000 |
| INVENTORIES | \$35,000 |
| YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES | |
| INSURANCE DEDUCTIBLES | \$11,000 |
| MAJOR CRITICAL SYSTEMS FAILURES | \$350,000 |
| 60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES) | \$1,550,000 |
| RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.) | |
| OTHER (FOOTNOTE BELOW) | |
| UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE | \$117,160 |

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2015-2017 BIENNIUM (Non-Formula Entities)**

SOUTH ARKANSAS COMMUNITY COLLEGE - ARBORETUM

NAME OF INSTITUTION

| | EXPENDITURE CATEGORIES | 2013-2014 ACTUAL | 2014-2015 BUDGETED * | 2015-17 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS | | | |
|----|---------------------------|------------------|----------------------|--|----------------|-----------|----------------|
| | | | | 2015-2016 | | 2016-2017 | |
| | | | | REQUEST | RECOMMENDATION | REQUEST | RECOMMENDATION |
| 1 | Regular Salaries | 22,060 | 25,000 | 25,000 | 25,000 | 25,000 | 25,000 |
| 2 | Extra Help | 0 | 15,000 | 15,000 | 15,000 | 15,000 | 15,000 |
| 3 | Personal Service Matching | 10,679 | 10,000 | 10,000 | 10,000 | 10,000 | 10,000 |
| 4 | Operating Expenses | 7,218 | 25,000 | 25,000 | 25,000 | 25,000 | 25,000 |
| 5 | | | | | | | |
| 6 | | | | | | | |
| 7 | | | | | | | |
| 8 | | | | | | | |
| 9 | | | | | | | |
| 10 | | | | | | | |
| 11 | | | | | | | |
| 12 | | | | | | | |
| 13 | MANDATORY TRANSFERS | | | | | | |
| 14 | AUXILIARY TRANSFERS | | | | | | |
| 15 | NON-MANDATORY TRANSFERS | | | | | | |
| 16 | TOTAL UNREST. E&G EXP. | \$39,957 | \$75,000 | \$75,000 | \$75,000 | \$75,000 | \$75,000 |
| 17 | NET LOCAL INCOME | 0 | | | | | |
| 18 | PRIOR YEAR BALANCE*** | | | | | | |
| | STATE FUNDS: | | | | | | |
| 19 | GENERAL REVENUE | 39,957 | 75,000 | 75,000 | 75,000 | 75,000 | 75,000 |
| 20 | EDUCATIONAL EXCELLENCE | | | | | | |
| 21 | WORKFORCE 2000 | | | | | | |
| 22 | TOBACCO SETTLEMENT FUNDS | | | | | | |
| 23 | OTHER STATE FUNDS ** | 0 | | | | | |
| 24 | TOTAL SOURCES OF INCOME | \$39,957 | \$75,000 | \$75,000 | \$75,000 | \$75,000 | \$75,000 |

FORM 15-2 Nonformula

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in a footnote.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2015-2017 BIENNIUM**

FUND CWS0000

INSTITUTION SOUTH ARKANSAS COMMUNITY COLLEGE

APPROPRIATION 793

| | DESCRIPTION | ACTUAL 2013-2014 | BUDGETED 2014-2015 | AUTHORIZED APPROPRIATION 2014-2015 | INSTITUTIONAL REQUEST / AHECB RECOMMENDATION | | LEGISLATIVE RECOMMENDATION | |
|----|-----------------------------------|---------------------|-----------------------|--|---|-------------|----------------------------|-----------|
| | | | | | 2015-2016 | 2016-2017 | 2015-2016 | 2016-2017 |
| 1 | REGULAR SALARIES | 4,228,948 | 4,300,000 | 4,705,000 | 4,705,000 | 4,725,000 | | |
| 2 | EXTRA HELP WAGES | 100,000 | 115,000 | 115,000 | 115,000 | 130,000 | | |
| 3 | OVERTIME | | | | | | | |
| 4 | PERSONAL SERVICES MATCHING | 1,400,000 | 1,400,000 | 1,410,000 | 1,410,000 | 1,500,000 | | |
| 5 | OPERATING EXPENSES | 1,262,326 | 1,181,717 | 1,433,960 | 1,004,643 | 1,095,033 | | |
| 6 | CONFERENCE FEES & TRAVEL | 10,000 | 10,000 | 10,000 | 10,000 | 10,000 | | |
| 7 | PROFESSIONAL FEES AND SERVICES | | | | | | | |
| 8 | CAPITAL OUTLAY | 10,000 | 10,000 | 10,000 | 10,000 | 10,000 | | |
| 9 | FUNDED DEPRECIATION | | | | | | | |
| 10 | WORKERS COMP/SURETY PREMIUM | 2,699 | | | | | | |
| 11 | | | | | | | | |
| 12 | | | | | | | | |
| 13 | TOTAL APPROPRIATION | \$7,013,973 | \$7,016,717 | \$7,683,960 | \$7,254,643 | \$7,470,033 | \$0 | \$0 |
| 14 | PRIOR YEAR FUND BALANCE** | 1,109 | | | | | | |
| 15 | GENERAL REVENUE | 6,034,307 | 6,034,307 | | 6,272,233 | 6,487,623 | | |
| 16 | EDUCATIONAL EXCELLENCE TRUST FUND | 513,273 | 525,567 | | 525,567 | 525,567 | | |
| 17 | SPECIAL REVENUES * [WF2000] | 461,389 | 456,843 | | 456,843 | 456,843 | | |
| 18 | FEDERAL FUNDS IN STATE TREASURY | | | | | | | |
| 19 | TOBACCO SETTLEMENT FUNDS | | | | | | | |
| 20 | OTHER STATE TREASURY FUNDS | 3,895 | | | | | | |
| 21 | TOTAL INCOME | \$7,013,973 | \$7,016,717 | | \$7,254,643 | \$7,470,033 | \$0 | \$0 |
| 22 | EXCESS (FUNDING)/APPROPRIATION | \$0 | \$0 | | \$0 | \$0 | \$0 | \$0 |

* Report WF2000 funds on line 17 - "Special Revenues".

FORM 15-3

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS
2015-2017 BIENNIUM**

FUND 2210000

INSTITUTION SOUTH ARKANSAS COMMUNITY COLLEGE

APPROPRIATION B78

| | DESCRIPTION | ACTUAL 2013-2014 | BUDGETED 2014-2015 | AUTHORIZED APPROPRIATION 2014-2015 | INSTITUTIONAL REQUEST / AHECB RECOMMENDATION | | LEGISLATIVE RECOMMENDATION | |
|----|---|---------------------|-----------------------|--|---|--------------|----------------------------|-----------|
| | | | | | 2015-2016 | 2016-2017 | 2015-2016 | 2016-2017 |
| 1 | REGULAR SALARIES | 3,248,597 | 8,895,663 | 8,895,663 | 8,895,663 | 8,895,663 | | |
| 2 | EXTRA HELP WAGES | 305,772 | 1,044,591 | 1,044,591 | 1,044,591 | 1,044,591 | | |
| 3 | OVERTIME | 2,010 | 81,225 | 81,225 | 81,225 | 81,225 | | |
| 4 | PERSONAL SERVICES MATCHING | 1,179,528 | 3,744,429 | 3,744,429 | 3,744,429 | 3,744,429 | | |
| 5 | OPERATING EXPENSES | 4,765,222 | 8,531,408 | 8,531,408 | 8,531,408 | 8,531,408 | | |
| 6 | CONFERENCE FEES & TRAVEL | 168,988 | 781,498 | 781,498 | 781,498 | 781,498 | | |
| 7 | PROFESSIONAL FEES AND SERVICES | 179,392 | 712,590 | 712,590 | 712,590 | 712,590 | | |
| 8 | CAPITAL OUTLAY | 159,825 | 653,535 | 653,535 | 653,535 | 653,535 | | |
| 9 | CAPITAL IMPROVEMENTS | 921,099 | 7,000,000 | 7,000,000 | 7,000,000 | 7,000,000 | | |
| 10 | DEBT SERVICE | 278,901 | 833,076 | 833,076 | 833,076 | 833,076 | | |
| 11 | FUND TRANSFERS, REFUNDS AND INVESTMENTS | 1,167,892 | 3,000,000 | 3,000,000 | 3,000,000 | 3,000,000 | | |
| 12 | PROMOTION ITEMS | 10,994 | 25,000 | 25,000 | 25,000 | 25,000 | | |
| 13 | | | | | | | | |
| 14 | | | | | | | | |
| 15 | | | | | | | | |
| 16 | TOTAL APPROPRIATION | \$12,388,220 | \$35,303,015 | \$35,303,015 | \$35,303,015 | \$35,303,015 | \$0 | \$0 |
| 17 | PRIOR YEAR FUND BALANCE*** | | | | | | | |
| 18 | TUITION AND MANDATORY FEES | 4,384,110 | 4,644,141 | | 4,783,465 | 4,926,969 | | |
| 19 | ALL OTHER FEES | 336,631 | 602,311 | | 620,380 | 638,992 | | |
| 20 | SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS | 1,515,452 | 2,056,163 | | 2,117,848 | 2,181,383 | | |
| 21 | INVESTMENT INCOME | 1,430 | 50,000 | | 5,000 | 7,500 | | |
| 22 | FEDERAL CASH FUNDS | 5,035,466 | 8,553,698 | | 9,500,000 | 9,500,000 | | |
| 23 | OTHER CASH FUNDS | 1,115,131 | 19,396,702 | | 18,276,322 | 18,048,171 | | |
| 24 | TOTAL INCOME | \$12,388,220 | \$35,303,015 | | \$35,303,015 | \$35,303,015 | \$0 | \$0 |
| 25 | EXCESS (FUNDING)/APPROPRIATION | \$0 | \$0 | | (\$0) | (\$0) | \$0 | \$0 |

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

| | ACTUAL 2013-2014 | BUDGETED 2014-2015 | AUTHORIZED 2014-2015 | INSTITUTIONAL REQUEST | AHECB RECOMMEND | LEGISLATIVE RECOMMENDATION |
|-------------------|---------------------|-----------------------|-------------------------|--------------------------|--------------------|----------------------------|
| | | | | 2015-2017 | 2015-2017 | 2015-2017 |
| REGULAR POSITIONS | 221 | 226 | 330 | 330 | 330 | |
| TOBACCO POSITIONS | | | | | | |
| EXTRA HELP ** | 75 | 75 | 175 | 175 | 175 | |

FORM 15-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

SOUTH ARKANSAS COMMUNITY COLLEGE
 (NAME OF INSTITUTION)

| | ACTIVITY | ACTUAL 2013-2014 | | | | BUDGETED 2014-2015 | | | |
|----|--|---------------------|-----------------------|-----------------|---------------|-----------------------|-----------------------|-----------------|---------------|
| | | INCOME | OPERATING EXPENSES | DEBT SERVICE | NET INCOME | INCOME | OPERATING EXPENSES | DEBT SERVICE | NET INCOME |
| 1 | INTERCOLLEGIATE ATHLETICS * | | | | 0 | | | | 0 |
| 2 | HOUSING | | | | 0 | | | | 0 |
| 3 | FOOD SERVICES | 104,561 | 114,464 | | (9,903) | 98,500 | 105,000 | | (6,500) |
| 4 | STUDENT UNION | | | | 0 | | | | 0 |
| 5 | BOOKSTORE | 1,432,737 | 1,254,617 | | 178,120 | 1,746,199 | 1,696,199 | | 50,000 |
| 6 | STUDENT ORGANIZATIONS AND PUBLICATIONS | | | | 0 | | | | 0 |
| 7 | OTHER | 408,638 | 666,333 | | (257,695) | 382,825 | 690,119 | | (307,294) |
| 8 | SUBTOTAL | \$1,945,936 | \$2,035,414 | \$0 | (\$89,478) | \$2,227,524 | \$2,491,318 | \$0 | (\$263,794) |
| 9 | ATHLETIC TRANSFER ** | | | | 0 | | | | 0 |
| 10 | OTHER TRANSFERS *** | 266,713 | | | 266,713 | 263,794 | | | 263,794 |
| 11 | GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES | \$2,212,649 | \$2,035,414 | \$0 | \$177,235 | \$2,491,318 | \$2,491,318 | \$0 | \$0 |

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 15-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 7 Other - Edie's Village, Student Activities, Interest Income, Transfer Out

NOTE: Line 10 Other Transfer - Conference Center, Student Activities

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

SOUTH ARKANSAS COMMUNITY COLLEGE
(NAME OF INSTITUTION)

| | | | | | | |
|---|------------|---------------------|-----------|------------------------|-----------------|-----------------------------|
| TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2013-2014: | | | | | | <u>206</u> |
| (As of November 1, 2013) | | | | | | |
| Nonclassified Administrative Employees: | | | | | | |
| White Male: | <u>16</u> | Black Male: | <u>4</u> | Other Male: | <u>1</u> | Total Male: <u>21</u> |
| White Female: | <u>24</u> | Black Female: | <u>2</u> | Other Female: | <u>2</u> | Total Female: <u>28</u> |
| Nonclassified Health Care Employees: | | | | | | |
| White Male: | <u>0</u> | Black Male: | <u>0</u> | Other Male: | <u>0</u> | Total Male: <u>0</u> |
| White Female: | <u>0</u> | Black Female: | <u>0</u> | Other Female: | <u>0</u> | Total Female: <u>0</u> |
| Classified Employees: | | | | | | |
| White Male: | <u>12</u> | Black Male: | <u>7</u> | Other Male: | <u>0</u> | Total Male: <u>19</u> |
| White Female: | <u>26</u> | Black Female: | <u>6</u> | Other Female: | <u>0</u> | Total Female: <u>32</u> |
| Faculty: | | | | | | |
| White Male: | <u>32</u> | Black Male: | <u>2</u> | Other Male: | <u>1</u> | Total Male: <u>35</u> |
| White Female: | <u>61</u> | Black Female: | <u>10</u> | Other Female: | <u> </u> | Total Female: <u>71</u> |
| Total White Male: | <u>60</u> | Total Black Male: | <u>13</u> | Total Other Male: | <u>2</u> | Total Male: <u>75</u> |
| Total White Female: | <u>111</u> | Total Black Female: | <u>18</u> | Total Other Female: | <u>2</u> | Total Female: <u>131</u> |
| Total White: | <u>171</u> | Total Black: | <u>31</u> | Total Other: | <u>4</u> | Total Employees: <u>206</u> |
| | | | | Total Minority: | <u>35</u> | |

FORM 15-6

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2014
 Required by A.C.A. 25-36-104

Institution

SOUTH ARKANSAS COMMUNITY COLLEGE

| Minority Business | Total Contract Awarded | Minority Type per A.C.A. 15-4-303 (2) | | | | | |
|-------------------|------------------------|---------------------------------------|-------------------|-----------------|----------------|---------------------------|------------------|
| | | African American | Hispanic American | American Indian | Asian American | Pacific Islander American | Disabled Veteran |
| N/A | | | | | | | |
| | | | | | | | |
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| | | | | | | | |

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$0

(Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

**DIVISION OF LEGISLATIVE AUDIT
AUDIT OF SOUTH ARKANSAS COMMUNITY COLLEGE
June 30, 2013**

| | |
|-----------------|-------------------|
| <i>Finding:</i> | No Findings noted |
|-----------------|-------------------|

SOUTHEAST ARKANSAS COLLEGE

Dr. Stephen Hilterbran, President

ENABLING LAWS

Act 1244 of 1991, Act 88 of 2014

INSTITUTION HISTORY AND ORGANIZATION

MISSION STATEMENT

Southeast Arkansas College provides quality education and workforce development to meet the needs of our service area.

INSTITUTION HISTORY AND ORGANIZATION

Southeast Arkansas College was created by Act 1244 of the 78th General Assembly of the State of Arkansas, which was signed into law by the Governor on April 17, 1991.

As contained in Act 1244, "The purpose of this Act is to serve as legislative charter . . . for the establishment, organization and administration of a system of educational institutions throughout the state offering courses of instruction in technical, vocational and adult education programs, industry training and two-year college transfer programs. The system established under this Act shall provide educational programs which are easily accessible by all segments of the population to benefit from training, retraining or upgrade training for employment and which is highly responsive to individuals needing to achieve basic, general and specialized education to meet the needs of the workplace."

The Act further states that "technical college means an institution of higher education established under this Act dedicated primarily to the educational needs of the service area offering a comprehensive program including, but without limitation, vocational, trade and technical specialty courses and programs, courses in general adult education and courses comparable in content and quality to freshman and sophomore courses which may carry transfer credit to a four-year institution in a chosen course of study."

The above act re-designated and redefined the mission of eleven (11) existing postsecondary vocational-technical schools located throughout the State to technical colleges. Similarly, state authority for these institutions was transferred from the Arkansas Board of Vocational-Technical Education to the Arkansas Board of Higher Education. The latter serves as the state coordinating agency for all public universities, community colleges and technical colleges in the State of Arkansas.

SOUTHEAST ARKANSAS COLLEGE

Dr. Stephen Hilterbran, President

The predecessor of Southeast Arkansas College was Pines Vocational-Technical School, which began offering postsecondary vocational-technical programs as Arkansas Vocational-Technical School on September 21, 1959. With the enactment of Act 1244, on July 1, 1991, all land, buildings, equipment, and personnel associated with Pines Vocational-Technical School was transferred to Pines Technical College. In October of 1991, the Governor appointed the Charter members of the Pines Technical College Board of Trustees. The College's first president was appointed by the Board of Trustees effective December 1, 1992. In order to better reflect the College's service area, the College changed its name from Pines Technical College to Southeast Arkansas Technical College on July 1, 1996. The word "Technical" was removed from the College's name on July 8, 1998.

General Revenue Request

Southeast Arkansas College is a formula driven entity and did not make a request for general revenue. The increase in general revenue funding is a result of the recommendation made by the Arkansas Higher Education Coordinating Board.

Cash Appropriation Request

Southeast Arkansas College is not requesting an increase in Cash Appropriation.

Personal Service Request

Southeast Arkansas College is not requesting additional positions for the 2015-17 Biennium.

**INSTITUTION APPROPRIATION SUMMARY
2015-2017 BIENNIUM**

INSTITUTION SOUTHEAST ARKANSAS COLLEGE

| | APPROPRIATION | HISTORICAL DATA | | | | | | INSTITUTION REQUEST & AHECB RECOMMENDATION | | | | | | | |
|----|-----------------------------------|-----------------|-------|--------------|------|--------------|-----|--|------|--------------|------|--------------|------|--------------|------|
| | | 2013-2014 | | 2014-2015 | | 2014-2015 | | 2015-2016 | | | | 2016-2017 | | | |
| | | ACTUAL | POS | BUDGETED | POS | AUTHORIZED | POS | INST REQUEST | POS | AHECB REC | POS | INST REQUEST | POS | AHECB REC | POS |
| 1 | STATE TREASURY | 7,613,004 | | 7,592,536 | | 7,659,588 | | 7,744,730 | | 7,744,730 | | 7,977,071 | | 7,977,071 | |
| 2 | CASH | 6,342,306 | | 32,450,000 | | 32,450,000 | | 32,450,000 | | 32,450,000 | | 32,450,000 | | 32,450,000 | |
| 3 | | | | | | | | | | | | | | | |
| 4 | | | | | | | | | | | | | | | |
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| 9 | | | | | | | | | | | | | | | |
| 10 | | | | | | | | | | | | | | | |
| 11 | TOTAL | \$13,955,310 | 236 | \$40,042,536 | 358 | \$40,109,588 | 358 | \$40,194,730 | 358 | \$40,194,730 | 358 | \$40,427,071 | 358 | \$40,427,071 | 358 |
| | FUNDING SOURCES | | % | | % | | | | % | | % | | % | | % |
| 12 | PRIOR YEAR FUND BALANCE* | 0 | 0.00% | 0 | 0% | | | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| 13 | GENERAL REVENUE | 5,636,798 | 40% | 5,636,798 | 14% | | | 5,788,992 | 14% | 5,788,992 | 14% | 6,021,333 | 15% | 6,021,333 | 15% |
| 14 | EDUCATIONAL EXCELLENCE TRUST FUND | 0 | 0% | 0 | 0% | | | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| 15 | WORKFORCE 2000 | 1,975,199 | 14% | 1,955,738 | 5% | | | 1,955,738 | 5% | 1,955,738 | 5% | 1,955,738 | 5% | 1,955,738 | 5% |
| 16 | CASH FUNDS | 5,336,267 | 38% | 25,450,000 | 64% | | | 25,450,000 | 63% | 25,450,000 | 63% | 25,450,000 | 63% | 25,450,000 | 63% |
| 17 | SPECIAL REVENUES | | | | | | | | | | | | | | |
| 18 | FEDERAL FUNDS | 1,006,039 | 7% | 7,000,000 | 17% | | | 7,000,000 | 17% | 7,000,000 | 17% | 7,000,000 | 17% | 7,000,000 | 17% |
| 19 | TOBACCO SETTLEMENT FUNDS | 0 | 0% | 0 | 0% | | | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| 20 | OTHER FUNDS | 1,007 | 0% | 0 | 0% | | | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| 21 | TOTAL INCOME | \$13,955,310 | 100% | \$40,042,536 | 100% | | | \$40,194,730 | 100% | \$40,194,730 | 100% | \$40,427,071 | 100% | \$40,427,071 | 100% |
| 22 | EXCESS (FUNDING)/APPROPRIATION | \$0 | | \$0 | | | | \$0 | | \$0 | | \$0 | | \$0 | |

| | |
|--|-------------|
| UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2014: | \$357,264 |
| LESS RESERVES FOR: | |
| ACCOUNTS RECEIVABLE | \$184,822 |
| INVENTORIES | |
| YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES | |
| INSURANCE DEDUCTIBLES | \$10,000 |
| MAJOR CRITICAL SYSTEMS FAILURES | |
| 60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES) | \$1,094,044 |
| RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.) | |
| OTHER (FOOTNOTE BELOW) | |
| UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE | (\$931,602) |

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2015-2017 BIENNIUM**

FUND CTT0000

INSTITUTION SOUTHEAST ARKANSAS COLLEGE

APPROPRIATION 1XD

| | DESCRIPTION | ACTUAL 2013-2014 | BUDGETED 2014-2015 | AUTHORIZED APPROPRIATION 2014-2015 | INSTITUTIONAL REQUEST / AHECB RECOMMENDATION | | LEGISLATIVE RECOMMENDATION | |
|----|-----------------------------------|---------------------|-----------------------|--|---|-------------|----------------------------|-----------|
| | | | | | 2015-2016 | 2016-2017 | 2015-2016 | 2016-2017 |
| 1 | REGULAR SALARIES | 5,400,000 | 5,500,000 | 5,500,000 | 5,600,000 | 5,600,000 | | |
| 2 | EXTRA HELP WAGES | 598,956 | 750,000 | 750,000 | 750,000 | 750,000 | | |
| 3 | OVERTIME | | | | | | | |
| 4 | PERSONAL SERVICES MATCHING | 1,447,620 | 950,000 | 950,000 | 950,000 | 1,100,000 | | |
| 5 | OPERATING EXPENSES | 161,997 | 392,536 | 459,588 | 444,730 | 527,071 | | |
| 6 | CONFERENCE FEES & TRAVEL | 0 | 0 | 0 | 0 | 0 | | |
| 7 | PROFESSIONAL FEES AND SERVICES | 0 | 0 | 0 | 0 | 0 | | |
| 8 | CAPITAL OUTLAY | 0 | 0 | 0 | 0 | 0 | | |
| 9 | FUNDED DEPRECIATION | | | | | | | |
| 10 | WORKERS COMP/SURETY PREMIUM | 4,431 | | | | | | |
| 11 | | | | | | | | |
| 12 | | | | | | | | |
| 13 | TOTAL APPROPRIATION | \$7,613,004 | \$7,592,536 | \$7,659,588 | \$7,744,730 | \$7,977,071 | \$0 | \$0 |
| 14 | PRIOR YEAR FUND BALANCE** | | | | | | | |
| 15 | GENERAL REVENUE | 5,636,798 | 5,636,798 | | 5,788,992 | 6,021,333 | | |
| 16 | EDUCATIONAL EXCELLENCE TRUST FUND | | | | | | | |
| 17 | SPECIAL REVENUES * [WF2000] | 1,975,199 | 1,955,738 | | 1,955,738 | 1,955,738 | | |
| 18 | FEDERAL FUNDS IN STATE TREASURY | | | | | | | |
| 19 | TOBACCO SETTLEMENT FUNDS | | | | | | | |
| 20 | OTHER STATE TREASURY FUNDS | 1,007 | | | | | | |
| 21 | TOTAL INCOME | \$7,613,004 | \$7,592,536 | | \$7,744,730 | \$7,977,071 | \$0 | \$0 |
| 22 | EXCESS (FUNDING)/APPROPRIATION | \$0 | \$0 | | \$0 | \$0 | \$0 | \$0 |

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS
2015-2017 BIENNIUM**

FUND 2910000

INSTITUTION SOUTHEAST ARKANSAS COLLEGE

APPROPRIATION B65

| | DESCRIPTION | ACTUAL 2013-2014 | BUDGETED 2014-2015 | AUTHORIZED APPROPRIATION 2014-2015 | INSTITUTIONAL REQUEST / AHECB RECOMMENDATION | | LEGISLATIVE RECOMMENDATION | |
|----|---|---------------------|-----------------------|--|---|--------------|----------------------------|-----------|
| | | | | | 2015-2016 | 2016-2017 | 2015-2016 | 2016-2017 |
| 1 | REGULAR SALARIES | 170,190 | 2,000,000 | 2,000,000 | 2,000,000 | 2,000,000 | | |
| 2 | EXTRA HELP WAGES | 126,018 | 1,000,000 | 1,000,000 | 1,000,000 | 1,000,000 | | |
| 3 | OVERTIME | 0 | 200,000 | 200,000 | 200,000 | 200,000 | | |
| 4 | PERSONAL SERVICES MATCHING | 707,941 | 1,500,000 | 1,500,000 | 1,500,000 | 1,500,000 | | |
| 5 | OPERATING EXPENSES | 2,817,395 | 11,000,000 | 11,000,000 | 11,000,000 | 11,000,000 | | |
| 6 | CONFERENCE FEES & TRAVEL | 82,608 | 400,000 | 400,000 | 400,000 | 400,000 | | |
| 7 | PROFESSIONAL FEES AND SERVICES | 310,192 | 1,000,000 | 1,000,000 | 1,000,000 | 1,000,000 | | |
| 8 | CAPITAL OUTLAY | 2,127,962 | 6,000,000 | 6,000,000 | 6,000,000 | 6,000,000 | | |
| 9 | CAPITAL IMPROVEMENTS | 0 | 8,150,000 | 8,150,000 | 8,150,000 | 8,150,000 | | |
| 10 | DEBT SERVICE | | | | | | | |
| 11 | FUND TRANSFERS, REFUNDS AND INVESTMENTS | 0 | 1,200,000 | 1,200,000 | 1,200,000 | 1,200,000 | | |
| 12 | | | | | | | | |
| 13 | | | | | | | | |
| 14 | | | | | | | | |
| 15 | | | | | | | | |
| 16 | TOTAL APPROPRIATION | \$6,342,306 | \$32,450,000 | \$32,450,000 | \$32,450,000 | \$32,450,000 | \$0 | \$0 |
| 17 | PRIOR YEAR FUND BALANCE*** | | | | | | | |
| 18 | TUITION AND MANDATORY FEES | 4,577,512 | 3,740,900 | | 3,740,900 | 3,740,900 | | |
| 19 | ALL OTHER FEES | | | | | | | |
| 20 | SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS | | | | | | | |
| 21 | INVESTMENT INCOME | 8,730 | 8,800 | | 8,800 | 8,800 | | |
| 22 | FEDERAL CASH FUNDS | 1,006,039 | 7,000,000 | | 7,000,000 | 7,000,000 | | |
| 23 | OTHER CASH FUNDS | 750,025 | 21,700,300 | | 21,700,300 | 21,700,300 | | |
| 24 | TOTAL INCOME | \$6,342,306 | \$32,450,000 | | \$32,450,000 | \$32,450,000 | \$0 | \$0 |
| 25 | EXCESS (FUNDING)/APPROPRIATION | \$0 | \$0 | | \$0 | \$0 | \$0 | \$0 |

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

| | ACTUAL 2013-2014 | BUDGETED 2014-2015 | AUTHORIZED 2014-2015 | INSTITUTIONAL REQUEST | AHECB RECOMMEND | LEGISLATIVE RECOMMENDATION |
|-------------------|---------------------|-----------------------|-------------------------|--------------------------|--------------------|----------------------------|
| | | | | 2015-2017 | 2015-2017 | 2015-2017 |
| REGULAR POSITIONS | 236 | 358 | 358 | 358 | 358 | |
| TOBACCO POSITIONS | | | | | | |
| EXTRA HELP ** | 200 | 200 | 200 | 200 | 200 | |

FORM 15-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

SOUTHEAST ARKANSAS COLLEGE
(NAME OF INSTITUTION)

| | ACTIVITY | ACTUAL 2013-2014 | | | | BUDGETED 2014-2015 | | | |
|----|--|---------------------|-----------------------|-----------------|---------------|-----------------------|-----------------------|-----------------|---------------|
| | | INCOME | OPERATING EXPENSES | DEBT SERVICE | NET INCOME | INCOME | OPERATING EXPENSES | DEBT SERVICE | NET INCOME |
| 1 | INTERCOLLEGIATE ATHLETICS * | | | | 0 | | | | 0 |
| 2 | HOUSING | | | | 0 | | | | 0 |
| 3 | FOOD SERVICES | 73,598 | 130,779 | | (57,182) | 66,000 | 123,346 | | (57,346) |
| 4 | STUDENT UNION | | | | 0 | | | | 0 |
| 5 | BOOKSTORE | | | | 0 | | | | 0 |
| 6 | STUDENT ORGANIZATIONS AND PUBLICATIONS | | | | 0 | | | | 0 |
| 7 | OTHER | | | | 0 | | | | 0 |
| 8 | SUBTOTAL | \$73,598 | \$130,779 | \$0 | (\$57,182) | \$66,000 | \$123,346 | \$0 | (\$57,346) |
| 9 | ATHLETIC TRANSFER ** | | | | 0 | | | | 0 |
| 10 | OTHER TRANSFERS *** | | | | 0 | | | | 0 |
| 11 | GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES | \$73,598 | \$130,779 | \$0 | (\$57,182) | \$66,000 | \$123,346 | \$0 | (\$57,346) |

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 15-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

SOUTHEAST ARKANSAS COLLEGE
(NAME OF INSTITUTION)

| | | | | | | |
|---|------------|---------------------|-----------|------------------------|-----------|-----------------------------|
| TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2013-2014: | | | | | | <u>209</u> |
| (As of November 1, 2013) | | | | | | |
| Nonclassified Administrative Employees: | | | | | | |
| White Male: | <u>5</u> | Black Male: | <u>1</u> | Other Male: | <u>0</u> | Total Male: <u>6</u> |
| White Female: | <u>13</u> | Black Female: | <u>12</u> | Other Female: | <u>1</u> | Total Female: <u>26</u> |
| Nonclassified Health Care Employees: | | | | | | |
| White Male: | <u>0</u> | Black Male: | <u>0</u> | Other Male: | <u>0</u> | Total Male: <u>0</u> |
| White Female: | <u>0</u> | Black Female: | <u>0</u> | Other Female: | <u>0</u> | Total Female: <u>0</u> |
| Classified Employees: | | | | | | |
| White Male: | <u>10</u> | Black Male: | <u>8</u> | Other Male: | <u>0</u> | Total Male: <u>18</u> |
| White Female: | <u>43</u> | Black Female: | <u>18</u> | Other Female: | <u>0</u> | Total Female: <u>61</u> |
| Faculty: | | | | | | |
| White Male: | <u>37</u> | Black Male: | <u>4</u> | Other Male: | <u>1</u> | Total Male: <u>42</u> |
| White Female: | <u>27</u> | Black Female: | <u>27</u> | Other Female: | <u>2</u> | Total Female: <u>56</u> |
| Total White Male: | <u>52</u> | Total Black Male: | <u>13</u> | Total Other Male: | <u>1</u> | Total Male: <u>66</u> |
| Total White Female: | <u>83</u> | Total Black Female: | <u>57</u> | Total Other Female: | <u>3</u> | Total Female: <u>143</u> |
| Total White: | <u>135</u> | Total Black: | <u>70</u> | Total Other: | <u>4</u> | Total Employees: <u>209</u> |
| | | | | Total Minority: | <u>74</u> | |

FORM 15-6

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2014
 Required by A.C.A. 25-36-104

Institution

SOUTHEAST ARKANSAS COLLEGE

| Minority Business | Total Contract Awarded | Minority Type per A.C.A. 15-4-303 (2) | | | | | |
|-------------------|------------------------|---------------------------------------|-------------------|-----------------|----------------|---------------------------|------------------|
| | | African American | Hispanic American | American Indian | Asian American | Pacific Islander American | Disabled Veteran |
| N/A | | | | | | | |
| | | | | | | | |
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TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$0

(Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

**DIVISION OF LEGISLATIVE AUDIT
AUDIT OF SOUTHEAST ARKANSAS COLLEGE
June 30, 2013**

| | |
|-----------------|-------------------|
| <i>Finding:</i> | No Findings noted |
|-----------------|-------------------|

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SOUTHERN ARKANSAS UNIVERSITY TECH

Corbet J. Lamkin, Chancellor

ENABLING LAWS

Act 115 of 2014

INSTITUTION HISTORY AND ORGANIZATION

Southern Arkansas University Tech (ACT 115 of 2014) is a public, not-for-profit, two-year college that specializes in technical training and offers the first two years of a university transfer program. The college is located approximately 100 miles south of Little Rock in the south central section of the State. Primarily, SAU Tech serves the counties of Ouachita, Columbia, Dallas, and Calhoun. According to the U.S. Census Bureau, Census 2010, population diversity in this service area is composed of 59.3% White, 37.6% Black or African American, 3.1% Other ethnic origins

The General Assembly of Arkansas created Southwest Technical Institute (SWTI) in 1967, with the purpose of providing a technically trained workforce for the growing Highland Industrial Park in which the institution was located. The Brown Foundation of Houston, Texas, which purchased the Shumaker Naval Ammunition Depot for use as an Industrial Park, donated 70 acres of land and 6 buildings, and this formed the initial physical facilities of the school. The State Board of Education operated SWTI until 1975 when, by an Act of the Arkansas legislature, Southwest Technical Institute became Southern Arkansas University Tech (SAU Tech), under the governance of the Board of Trustees of Southern Arkansas University. With this change, the college came under the jurisdiction of the Arkansas Department of Higher Education with the authority to grant up to and including the associate of arts, associate of science, and associate of applied science degrees.

In addition to offering traditional classroom courses, SAU Tech was one of the first colleges in Arkansas to receive approval through The Higher Learning Commission to offer its degrees online, and the status of its online offerings continues to thrive. SAU Tech is a diverse institution with several non-traditional programs under its umbrella. The college enjoys a sizeable enrollment in its high school concurrent credit program, which services eight counties in south Arkansas. The college also operates the Career Academy, which provides secondary vocational education programs for ten high schools in five surrounding counties. In addition, the college's Adult Education of Calhoun, Cleveland, Columbia, Dallas and Ouachita Counties program provides basic skills training to adults with less than a high school education. Additionally, SAU Tech operates the Environmental Training Academy with a mission of providing statewide training to managers and operating personnel in the operation of city and industrial water, wastewater, and solid waste facilities as well as backflow prevention certification. Also under SAU Tech's umbrella is the Arkansas Fire Training Academy.

SOUTHERN ARKANSAS UNIVERSITY TECH

Corbet J. Lamkin, Chancellor

Through operations at the main site in Camden and through satellite operations in northeast, northwest, and central Arkansas, the Arkansas Fire Training Academy provides quality training and certification on a statewide basis to Arkansas fire services for fire and related emergency service programs. It also provides limited firefighter training on an international basis.

SAU Tech is unique in that it is the only college or university in the state that is located in an industrial park. Its location provides broad exposure to the industries located in the park and makes it well situated to address the educational needs of its industrial neighbors. SAU Tech understands its role in economic development and workforce training in south Arkansas through training opportunities for area business and industry as well as collaboration with other public and private entities in the area to develop strategies for economic growth.

The college's core values define the qualities and principles the institution regards as priorities and by which it will conduct its operations. Through The Higher Learning Commission's AQIP process, SAU Tech transformed its strategic plan, which included the development of a challenging vision statement that positions the institution for "*Leading Arkansas in Economic and Educational Transformations.*" SAU Tech recognizes its function as a two-year college in south Arkansas is multifaceted. The college's mission provides for quality educational programs delivered through various technologies and methodologies to meet the needs of its constituencies. SAU Tech believes it meets this mission through diverse programs, which afford educational opportunities to high school students, traditional as well as non-traditional students, adults requiring a high school equivalent, employees in the surrounding workforce, and statewide certification/licensure training. The college's strategic goals support its mission and focus on student success, access to higher education, quality programs, accountability and institutional effectiveness, resource development, and partnerships, collaborative efforts, and workforce and economic development.

**INSTITUTION APPROPRIATION SUMMARY
2015-2017 BIENNIUM**

INSTITUTION SOUTHERN ARKANSAS UNIVERSITY TECH

| | APPROPRIATION | HISTORICAL DATA | | | | | | INSTITUTION REQUEST & AHECB RECOMMENDATION | | | | | | | |
|----|-----------------------------------|-----------------|-------|--------------|------|--------------|-----|--|------|--------------|------|--------------|------|--------------|------|
| | | 2013-2014 | | 2014-2015 | | 2014-2015 | | 2015-2016 | | | | 2016-2017 | | | |
| | | ACTUAL | POS | BUDGETED | POS | AUTHORIZED | POS | INST REQUEST | POS | AHECB REC | POS | INST REQUEST | POS | AHECB REC | POS |
| 1 | STATE TREASURY | 5,908,933 | | 5,912,697 | | 6,825,885 | | 7,160,713 | | 7,160,713 | | 7,375,534 | | 7,375,534 | |
| 2 | CASH | 9,170,539 | | 19,625,000 | | 19,625,000 | | 19,625,000 | | 19,625,000 | | 19,625,000 | | 19,625,000 | |
| 3 | | | | | | | | | | | | | | | |
| 4 | | | | | | | | | | | | | | | |
| 5 | | | | | | | | | | | | | | | |
| 6 | | | | | | | | | | | | | | | |
| 7 | | | | | | | | | | | | | | | |
| 8 | | | | | | | | | | | | | | | |
| 9 | | | | | | | | | | | | | | | |
| 10 | | | | | | | | | | | | | | | |
| 11 | TOTAL | \$15,079,472 | 207 | \$25,537,697 | 205 | \$26,450,885 | 235 | \$26,785,713 | 236 | \$26,785,713 | 236 | \$27,000,534 | 236 | \$27,000,534 | 236 |
| | FUNDING SOURCES | | % | | % | | | | % | | % | | % | | % |
| 12 | PRIOR YEAR FUND BALANCE* | 967 | 0.01% | 0 | 0% | | | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| 13 | GENERAL REVENUE | 5,705,511 | 38% | 5,705,511 | 22% | | | 6,953,527 | 26% | 6,953,527 | 26% | 7,168,348 | 27% | 7,168,348 | 27% |
| 14 | EDUCATIONAL EXCELLENCE TRUST FUND | 202,340 | 1% | 207,186 | 1% | | | 207,186 | 1% | 207,186 | 1% | 207,186 | 1% | 207,186 | 1% |
| 15 | WORKFORCE 2000 | 0 | 0% | 0 | 0% | | | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| 16 | CASH FUNDS | 8,586,111 | 57% | 13,125,000 | 51% | | | 12,625,000 | 47% | 12,625,000 | 47% | 12,625,000 | 47% | 12,625,000 | 47% |
| 17 | SPECIAL REVENUES | | | | | | | | | | | | | | |
| 18 | FEDERAL FUNDS | 584,428 | 4% | 6,500,000 | 25% | | | 7,000,000 | 26% | 7,000,000 | 26% | 7,000,000 | 26% | 7,000,000 | 26% |
| 19 | TOBACCO SETTLEMENT FUNDS | 0 | 0% | 0 | 0% | | | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| 20 | OTHER FUNDS | 115 | 0% | 0 | 0% | | | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| 21 | TOTAL INCOME | \$15,079,472 | 100% | \$25,537,697 | 100% | | | \$26,785,713 | 100% | \$26,785,713 | 100% | \$27,000,534 | 100% | \$27,000,534 | 100% |
| 22 | EXCESS (FUNDING)/APPROPRIATION | \$0 | | \$0 | | | | \$0 | | \$0 | | \$0 | | \$0 | |

| | |
|--|-------------|
| UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2014: | \$2,076,193 |
| LESS RESERVES FOR: | |
| ACCOUNTS RECEIVABLE | \$408,084 |
| INVENTORIES | \$7,902 |
| YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES | \$50,000 |
| INSURANCE DEDUCTIBLES | \$40,000 |
| MAJOR CRITICAL SYSTEMS FAILURES | \$150,000 |
| 60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES) | \$1,200,000 |
| RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.) | \$200,000 |
| OTHER (FOOTNOTE BELOW) | |
| UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE | \$20,207 |

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2015-2017 BIENNIUM**

FUND CSS0000

INSTITUTION SOUTHERN ARKANSAS UNIVERSITY TECH

APPROPRIATION 294

| | DESCRIPTION | ACTUAL 2013-2014 | BUDGETED 2014-2015 | AUTHORIZED APPROPRIATION 2014-2015 | INSTITUTIONAL REQUEST / AHECB RECOMMENDATION | | LEGISLATIVE RECOMMENDATION | |
|----|-----------------------------------|---------------------|-----------------------|--|---|-------------|----------------------------|-----------|
| | | | | | 2015-2016 | 2016-2017 | 2015-2016 | 2016-2017 |
| 1 | REGULAR SALARIES | 3,942,259 | 3,800,000 | 4,259,167 | 4,468,091 | 4,602,134 | | |
| 2 | EXTRA HELP WAGES | | | | | | | |
| 3 | OVERTIME | | | | | | | |
| 4 | PERSONAL SERVICES MATCHING | 925,922 | 950,818 | 1,177,393 | 1,235,147 | 1,272,201 | | |
| 5 | OPERATING EXPENSES | 908,115 | 949,947 | 1,177,393 | 1,235,147 | 1,272,201 | | |
| 6 | CONFERENCE FEES & TRAVEL | 63,301 | 64,757 | 64,757 | 67,934 | 69,972 | | |
| 7 | PROFESSIONAL FEES AND SERVICES | | | | | | | |
| 8 | CAPITAL OUTLAY | 68,866 | 147,175 | 147,175 | 154,394 | 159,026 | | |
| 9 | FUNDED DEPRECIATION | | | | | | | |
| 10 | WORKERS COMP/SURETY PREMIUM | 470 | | | | | | |
| 11 | | | | | | | | |
| 12 | | | | | | | | |
| 13 | TOTAL APPROPRIATION | \$5,908,933 | \$5,912,697 | \$6,825,885 | \$7,160,713 | \$7,375,534 | \$0 | \$0 |
| 14 | PRIOR YEAR FUND BALANCE** | 967 | | | | | | |
| 15 | GENERAL REVENUE | 5,705,511 | 5,705,511 | | 6,953,527 | 7,168,348 | | |
| 16 | EDUCATIONAL EXCELLENCE TRUST FUND | 202,340 | 207,186 | | 207,186 | 207,186 | | |
| 17 | SPECIAL REVENUES * [WF2000] | | | | | | | |
| 18 | FEDERAL FUNDS IN STATE TREASURY | | | | | | | |
| 19 | TOBACCO SETTLEMENT FUNDS | | | | | | | |
| 20 | OTHER STATE TREASURY FUNDS | 115 | | | | | | |
| 21 | TOTAL INCOME | \$5,908,933 | \$5,912,697 | | \$7,160,713 | \$7,375,534 | \$0 | \$0 |
| 22 | EXCESS (FUNDING)/APPROPRIATION | \$0 | \$0 | | \$0 | \$0 | \$0 | \$0 |

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS
2015-2017 BIENNIUM**

FUND 2170000

INSTITUTION SOUTHERN ARKANSAS UNIVERSITY TECH

APPROPRIATION A65

| | DESCRIPTION | ACTUAL 2013-2014 | BUDGETED 2014-2015 | AUTHORIZED APPROPRIATION 2014-2015 | INSTITUTIONAL REQUEST / AHECB RECOMMENDATION | | LEGISLATIVE RECOMMENDATION | |
|----|---|---------------------|-----------------------|--|---|--------------|----------------------------|-----------|
| | | | | | 2015-2016 | 2016-2017 | 2015-2016 | 2016-2017 |
| 1 | REGULAR SALARIES | 2,864,967 | 3,600,000 | 3,600,000 | 3,800,000 | 3,800,000 | | |
| 2 | EXTRA HELP WAGES | 89,478 | 200,000 | 200,000 | 200,000 | 200,000 | | |
| 3 | OVERTIME | | | | | | | |
| 4 | PERSONAL SERVICES MATCHING | 1,080,995 | 1,300,000 | 1,300,000 | 1,300,000 | 1,300,000 | | |
| 5 | OPERATING EXPENSES | 2,800,197 | 3,700,000 | 3,700,000 | 4,200,000 | 4,200,000 | | |
| 6 | CONFERENCE FEES & TRAVEL | 97,428 | 125,000 | 125,000 | 150,000 | 150,000 | | |
| 7 | PROFESSIONAL FEES AND SERVICES | 41,283 | 400,000 | 400,000 | 400,000 | 400,000 | | |
| 8 | CAPITAL OUTLAY | 53,418 | 250,000 | 250,000 | 500,000 | 500,000 | | |
| 9 | CAPITAL IMPROVEMENTS | 1,110,333 | 6,000,000 | 6,000,000 | 6,000,000 | 6,000,000 | | |
| 10 | DEBT SERVICE | 477,425 | 550,000 | 550,000 | 1,075,000 | 1,075,000 | | |
| 11 | FUND TRANSFERS, REFUNDS AND INVESTMENTS | 555,015 | 3,500,000 | 3,500,000 | 2,000,000 | 2,000,000 | | |
| 12 | | | | | | | | |
| 13 | | | | | | | | |
| 14 | | | | | | | | |
| 15 | | | | | | | | |
| 16 | TOTAL APPROPRIATION | \$9,170,539 | \$19,625,000 | \$19,625,000 | \$19,625,000 | \$19,625,000 | \$0 | \$0 |
| 17 | PRIOR YEAR FUND BALANCE*** | | | | | | | |
| 18 | TUITION AND MANDATORY FEES | 4,897,054 | 5,431,475 | | 5,500,000 | 6,000,000 | | |
| 19 | ALL OTHER FEES | 187,748 | 209,462 | | 250,000 | 300,000 | | |
| 20 | SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS | 791,534 | 842,563 | | 1,000,000 | 1,000,000 | | |
| 21 | INVESTMENT INCOME | 4,390 | 5,000 | | 10,000 | 10,000 | | |
| 22 | FEDERAL CASH FUNDS | 584,428 | 6,500,000 | | 7,000,000 | 7,000,000 | | |
| 23 | OTHER CASH FUNDS | 2,705,385 | 6,636,500 | | 5,865,000 | 5,315,000 | | |
| 24 | TOTAL INCOME | \$9,170,539 | \$19,625,000 | | \$19,625,000 | \$19,625,000 | \$0 | \$0 |
| 25 | EXCESS (FUNDING)/APPROPRIATION | \$0 | \$0 | | \$0 | \$0 | \$0 | \$0 |

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

| | ACTUAL 2013-2014 | BUDGETED 2014-2015 | AUTHORIZED 2014-2015 | INSTITUTIONAL REQUEST | AHECB RECOMMEND | LEGISLATIVE RECOMMENDATION |
|-------------------|---------------------|-----------------------|-------------------------|--------------------------|--------------------|----------------------------|
| | | | | 2015-2017 | 2015-2017 | 2015-2017 |
| REGULAR POSITIONS | 207 | 205 | 235 | 236 | 236 | |
| TOBACCO POSITIONS | | | | | | |
| EXTRA HELP ** | 175 | 175 | 175 | 175 | 175 | |

FORM 15-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

SOUTHERN ARKANSAS UNIVERSITY TECH
(NAME OF INSTITUTION)

| | ACTIVITY | ACTUAL 2013-2014 | | | | BUDGETED 2014-2015 | | | |
|----|--|---------------------|-----------------------|-----------------|---------------|-----------------------|-----------------------|-----------------|---------------|
| | | INCOME | OPERATING EXPENSES | DEBT SERVICE | NET INCOME | INCOME | OPERATING EXPENSES | DEBT SERVICE | NET INCOME |
| 1 | INTERCOLLEGIATE ATHLETICS * | | | | 0 | | | | 0 |
| 2 | HOUSING | 188,348 | 126,763 | | 61,585 | 209,462 | 209,462 | | 0 |
| 3 | FOOD SERVICES | | | | 0 | | | | 0 |
| 4 | STUDENT UNION | | | | 0 | | | | 0 |
| 5 | BOOKSTORE | 98,074 | 151,956 | | (53,882) | | | | 0 |
| 6 | STUDENT ORGANIZATIONS AND PUBLICATIONS | | | | 0 | | | | 0 |
| 7 | OTHER | | | | 0 | | | | 0 |
| 8 | SUBTOTAL | \$286,422 | \$278,719 | \$0 | \$7,703 | \$209,462 | \$209,462 | \$0 | \$0 |
| 9 | ATHLETIC TRANSFER ** | | | | 0 | | | | 0 |
| 10 | OTHER TRANSFERS *** | (187,301) | | | (187,301) | | | | 0 |
| 11 | GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES | \$99,121 | \$278,719 | \$0 | (\$179,598) | \$209,462 | \$209,462 | \$0 | \$0 |

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 15-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: LINE 10 Other Transfers- SAUT sold its campus bookstore to BBA, Inc. effective July 1, 2013. The income and expense figures for 2013-2014 represent the buyout of inventory.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

SOUTHERN ARKANSAS UNIVERSITY TECH
(NAME OF INSTITUTION)

| | | | | | | |
|---|-----|---------------------|----|------------------------|----|---------------------------|
| TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2013-2014: | | | | | | 161 |
| | | | | | | (As of November 1, 2013) |
| Nonclassified Administrative Employees: | | | | | | |
| White Male: | 8 | Black Male: | 5 | Other Male: | 0 | Total Male: 13 |
| White Female: | 12 | Black Female: | 6 | Other Female: | 0 | Total Female: 18 |
| Nonclassified Health Care Employees: | | | | | | |
| White Male: | 0 | Black Male: | 0 | Other Male: | 0 | Total Male: 0 |
| White Female: | 0 | Black Female: | 0 | Other Female: | 0 | Total Female: 0 |
| Classified Employees: | | | | | | |
| White Male: | 18 | Black Male: | 7 | Other Male: | 0 | Total Male: 25 |
| White Female: | 21 | Black Female: | 15 | Other Female: | 0 | Total Female: 36 |
| Faculty: | | | | | | |
| White Male: | 30 | Black Male: | 1 | Other Male: | 0 | Total Male: 31 |
| White Female: | 35 | Black Female: | 3 | Other Female: | 0 | Total Female: 38 |
| Total White Male: | 56 | Total Black Male: | 13 | Total Other Male: | 0 | Total Male: 69 |
| Total White Female: | 68 | Total Black Female: | 24 | Total Other Female: | 0 | Total Female: 92 |
| Total White: | 124 | Total Black: | 37 | Total Other: | 0 | Total Employees: 161 |
| | | | | Total Minority: | 37 | |

FORM 15-6

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2014
 Required by A.C.A. 25-36-104

Institution SOUTHERN ARKANSAS UNIVERSITY TECH

| Minority Business | Total Contract Awarded | Minority Type per A.C.A. 15-4-303 (2) | | | | | |
|-------------------|------------------------|---------------------------------------|-------------------|-----------------|----------------|---------------------------|------------------|
| | | African American | Hispanic American | American Indian | Asian American | Pacific Islander American | Disabled Veteran |
| N/A | | | | | | | |
| | | | | | | | |
| | | | | | | | |
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| | | | | | | | |
| | | | | | | | |

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$0
 (Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

**DIVISION OF LEGISLATIVE AUDIT
AUDIT OF SOUTHERN ARKANSAS UNIVERSITY TECH
June 30, 2013**

| | |
|-----------------|-------------------|
| <i>Finding:</i> | No Findings noted |
|-----------------|-------------------|

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ARKANSAS ENVIRONMENTAL TRAINING ACADEMY

Corbet J. Lamkin, Chancellor

ENABLING LAWS

A.C.A. §6-65-411; A.C.A. §19-5-303; Act 249 of 2012; Act 115 of 2014

INSTITUTION HISTORY AND ORGANIZATION

The Arkansas Environmental Training Academy is a division of Southern Arkansas University Tech in Camden, Arkansas. The Academy is the designated Environmental Training Center for the State of Arkansas. Created in the early 1970's, the Academy is mandated by the State Legislature to provide environmental education and training programs for municipal and industrial operator licensing Programs administered by the Arkansas Department of Health and the Arkansas Department of Environmental Quality. The Academy staff is comprised of (1) Director, (1) Assistant Director, (5) Program Coordinator/Instructors, (20) Part-time (Adjunct) Instructors and (2) Administrative Staff.

The Academy is made up of Five Divisions:

- Water - Water training is tied directly to the Arkansas Department of Health Division of Engineering Water Operator Licensing Program.
- Wastewater - Wastewater training is tied directly to the Arkansas Department of Environmental Quality Wastewater Operator Licensing Program.
- Solid Waste - Solid Waste training is tied directly to the Arkansas Department of Environmental Quality Solid Waste Operator Licensing Program.
- Backflow - Backflow training is tied to the Arkansas Department of Health Protective Health Codes Division Backflow Licensing Program and Arkansas Department of Health Engineering Division Water Licensing Program.
- Environmental Health & Safety – Environmental Health and Safety training provides municipalities and industries statewide with a cost effective source of employee health and safety training.

Funding for the Academy's statewide training programs is provided by the Arkansas State Legislature under the umbrella of the Arkansas Department of Higher Education (A.C.A. §6-65-411, A.C.A. §19-5-303 and Act 249 of 2012), industrial contracts and student registration fees for non-municipal training. The Academy provides training on campus, at training sites statewide and by Internet delivery.

The Academy has an Advisory Board appointed by the Chancellor of Southern Arkansas University Tech. The purpose of the Board is to identify training needs, provide curriculum oversight and provide input on strategies and solutions that lead

ARKANSAS ENVIRONMENTAL TRAINING ACADEMY

Corbet J. Lamkin, Chancellor

to efficient and effective delivery of training to environmental students statewide. The Board is comprised of five (5) voting members and eleven (11) ex-officio (non-voting) members. The Board meets on a quarterly basis.

Milestones:

- Student Growth – in FY 14 the Academy conducted 322 classes statewide totaling 5,865.5 training hours with 3,420 students.
- In August the Academy opened a 6,000 ft² environmental training lab that has allowed us to begin offering more hands-on training to students in a controlled atmosphere. The lab consists of two classrooms and an open lab.
- The Academy will continue to work with key industry groups for the purpose of developing partnerships and identify training needs to better serve the State of Arkansas.
- The Academy continues to work closely with the Arkansas Department of Health and Arkansas Department of Environmental Quality to develop and/or revise curriculums for state licensure programs.
- As training needs are identified, the Academy will provide training opportunities for both traditional and non-traditional students through on-campus, off-campus and Internet delivery to enable municipal, private, and industrial personnel to achieve and/or maintain compliance with state licensure and/or certification requirements.
- The Academy will continue to work with Southern Arkansas University Tech convert non-credit environmental courses into college credit leading to an A.P.S. Degree with an emphasis in Environmental Management.

Request of Appropriation Increase

The Academy has a five-year growth rate of 33.5% and a ten-year growth rate of 37.2%. This growth has exhausted our budget. In FY-15, the Academy is budgeting from reserve to continue training students statewide. Due to the success of our programs and the increasing demand for training state wide, the Academy is requesting an increase in state appropriation of \$200,000 to continue training statewide and to fill our vacant Administrative Specialist I position to continue to serve students statewide.

**INSTITUTION APPROPRIATION SUMMARY
2015-2017 BIENNIUM**

INSTITUTION SAUT - ARKANSAS ENVIRONMENTAL TRAINING ACADEMY

| | APPROPRIATION | HISTORICAL DATA | | | | | | INSTITUTION REQUEST & AHECB RECOMMENDATION | | | | | | | |
|----|-----------------------------------|-----------------|-------|-------------|------|-------------|-----|--|------|-------------|------|--------------|------|-------------|------|
| | | 2013-2014 | | 2014-2015 | | 2014-2015 | | 2015-2016 | | | | 2016-2017 | | | |
| | | ACTUAL | POS | BUDGETED | POS | AUTHORIZED | POS | INST REQUEST | POS | AHECB REC | POS | INST REQUEST | POS | AHECB REC | POS |
| 1 | STATE TREASURY | 402,577 | | 403,026 | | 643,982 | | 614,224 | | 614,224 | | 625,608 | | 625,608 | |
| 2 | CASH | 383,997 | | 1,573,000 | | 1,573,000 | | 1,573,000 | | 1,573,000 | | 1,573,000 | | 1,573,000 | |
| 3 | | | | | | | | | | | | | | | |
| 4 | | | | | | | | | | | | | | | |
| 5 | | | | | | | | | | | | | | | |
| 6 | | | | | | | | | | | | | | | |
| 7 | | | | | | | | | | | | | | | |
| 8 | | | | | | | | | | | | | | | |
| 9 | | | | | | | | | | | | | | | |
| 10 | | | | | | | | | | | | | | | |
| 11 | TOTAL | \$786,574 | 20 | \$1,976,026 | 20 | \$2,216,982 | 26 | \$2,187,224 | 26 | \$2,187,224 | 26 | \$2,198,608 | 26 | \$2,198,608 | 26 |
| | FUNDING SOURCES | | % | | % | | | | % | | % | | % | | % |
| 12 | PRIOR YEAR FUND BALANCE* | 360 | 0.05% | 0 | 0% | | | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| 13 | GENERAL REVENUE | 368,404 | 47% | 368,404 | 19% | | | 579,602 | 26% | 579,602 | 26% | 590,986 | 27% | 590,986 | 27% |
| 14 | EDUCATIONAL EXCELLENCE TRUST FUND | 33,813 | 4% | 34,622 | 2% | | | 34,622 | 2% | 34,622 | 2% | 34,622 | 2% | 34,622 | 2% |
| 15 | WORKFORCE 2000 | 0 | 0% | 0 | 0% | | | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| 16 | CASH FUNDS | 383,997 | 49% | 1,073,000 | 54% | | | 1,073,000 | 49% | 1,073,000 | 49% | 1,073,000 | 49% | 1,073,000 | 49% |
| 17 | SPECIAL REVENUES | | 0% | | 0% | | | | 0% | 0 | 0% | | 0% | 0 | 0% |
| 18 | FEDERAL FUNDS | 0 | 0% | 500,000 | 25% | | | 500,000 | 23% | 500,000 | 23% | 500,000 | 23% | 500,000 | 23% |
| 19 | TOBACCO SETTLEMENT FUNDS | 0 | 0% | 0 | 0% | | | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| 20 | OTHER FUNDS | 0 | 0% | 0 | 0% | | | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| 21 | TOTAL INCOME | \$786,574 | 100% | \$1,976,026 | 100% | | | \$2,187,224 | 100% | \$2,187,224 | 100% | \$2,198,608 | 100% | \$2,198,608 | 100% |
| 22 | EXCESS (FUNDING)/APPROPRIATION | \$0 | | \$0 | | | | \$0 | | \$0 | | \$0 | | \$0 | |

| | |
|--|-----------|
| UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2014: | \$426,000 |
| LESS RESERVES FOR: | |
| ACCOUNTS RECEIVABLE | \$17,633 |
| INVENTORIES | |
| YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES | |
| INSURANCE DEDUCTIBLES | \$25,000 |
| MAJOR CRITICAL SYSTEMS FAILURES | \$25,000 |
| 60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES) | \$100,000 |
| RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.) | \$50,000 |
| OTHER (FOOTNOTE BELOW) | |
| UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE | \$208,367 |

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2015-2017 BIENNIUM (Non-Formula Entities)**

SAUT - ARKANSAS ENVIRONMENTAL TRAINING ACADEMY

NAME OF INSTITUTION

| | EXPENDITURE CATEGORIES | 2013-2014 ACTUAL | 2014-2015 BUDGETED * | 2015-17 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS | | | |
|----|--------------------------|------------------|----------------------|--|----------------|-----------|----------------|
| | | | | 2015-2016 | | 2016-2017 | |
| | | | | REQUEST | RECOMMENDATION | REQUEST | RECOMMENDATION |
| 1 | Instructional | 437,177 | 422,784 | 540,762 | 540,762 | 547,121 | 547,121 |
| 2 | Institutional Support | 283,612 | 327,061 | 418,328 | 418,328 | 423,247 | 423,247 |
| 3 | Physical Plant | 6,361 | 7,000 | 8,953 | 8,953 | 9,059 | 9,059 |
| 4 | | | | | | | |
| 5 | | | | | | | |
| 6 | | | | | | | |
| 7 | | | | | | | |
| 8 | | | | | | | |
| 9 | | | | | | | |
| 10 | | | | | | | |
| 11 | | | | | | | |
| 12 | | | | | | | |
| 13 | MANDATORY TRANSFERS | | | | | | |
| 14 | AUXILIARY TRANSFERS | | | | | | |
| 15 | NON-MANDATORY TRANSFERS | | | | | | |
| 16 | TOTAL UNREST. E&G EXP. | \$727,150 | \$756,845 | \$968,043 | \$968,043 | \$979,427 | \$979,427 |
| 17 | NET LOCAL INCOME | 324,713 | 353,819 | 353,819 | 353,819 | 353,819 | 353,819 |
| 18 | PRIOR YEAR BALANCE*** | 220 | | | | | |
| | STATE FUNDS: | | | | | | |
| 19 | GENERAL REVENUE | 368,404 | 368,404 | 579,602 | 579,602 | 590,986 | 590,986 |
| 20 | EDUCATIONAL EXCELLENCE | 33,813 | 34,622 | 34,622 | 34,622 | 34,622 | 34,622 |
| 21 | WORKFORCE 2000 | | | | | | |
| 22 | TOBACCO SETTLEMENT FUNDS | | | | | | |
| 23 | OTHER STATE FUNDS ** | | | | | | |
| 24 | TOTAL SOURCES OF INCOME | \$727,150 | \$756,845 | \$968,043 | \$968,043 | \$979,427 | \$979,427 |

FORM 15-2 Nonformula

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in a footnote.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2015-2017 BIENNIUM**

FUND CSS0000

INSTITUTION SAUT - ARKANSAS ENVIRONMENTAL TRAINING ACADEMY

APPROPRIATION 296

| | DESCRIPTION | ACTUAL 2013-2014 | BUDGETED 2014-2015 | AUTHORIZED APPROPRIATION 2014-2015 | INSTITUTIONAL REQUEST / AHECB RECOMMENDATION | | LEGISLATIVE RECOMMENDATION | |
|----|-----------------------------------|---------------------|-----------------------|--|---|-----------|----------------------------|-----------|
| | | | | | 2015-2016 | 2016-2017 | 2015-2016 | 2016-2017 |
| 1 | REGULAR SALARIES | 259,844 | 224,839 | 383,303 | 365,591 | 372,367 | | |
| 2 | EXTRA HELP WAGES | | | | | | | |
| 3 | OVERTIME | | | | | | | |
| 4 | PERSONAL SERVICES MATCHING | 55,144 | 65,076 | 81,344 | 77,585 | 79,023 | | |
| 5 | OPERATING EXPENSES | 78,602 | 99,854 | 166,078 | 158,404 | 161,340 | | |
| 6 | CONFERENCE FEES & TRAVEL | 8,987 | 13,257 | 13,257 | 12,644 | 12,878 | | |
| 7 | PROFESSIONAL FEES AND SERVICES | | | | | | | |
| 8 | CAPITAL OUTLAY | | | | | | | |
| 9 | FUNDED DEPRECIATION | | | | | | | |
| 10 | | | | | | | | |
| 11 | | | | | | | | |
| 12 | | | | | | | | |
| 13 | TOTAL APPROPRIATION | \$402,577 | \$403,026 | \$643,982 | \$614,224 | \$625,608 | \$0 | \$0 |
| 14 | PRIOR YEAR FUND BALANCE** | 360 | | | | | | |
| 15 | GENERAL REVENUE | 368,404 | 368,404 | | 579,602 | 590,986 | | |
| 16 | EDUCATIONAL EXCELLENCE TRUST FUND | 33,813 | 34,622 | | 34,622 | 34,622 | | |
| 17 | SPECIAL REVENUES * [WF2000] | | | | | | | |
| 18 | FEDERAL FUNDS IN STATE TREASURY | | | | | | | |
| 19 | TOBACCO SETTLEMENT FUNDS | | | | | | | |
| 20 | OTHER STATE TREASURY FUNDS | | | | | | | |
| 21 | TOTAL INCOME | \$402,577 | \$403,026 | | \$614,224 | \$625,608 | \$0 | \$0 |
| 22 | EXCESS (FUNDING)/APPROPRIATION | \$0 | \$0 | | \$0 | \$0 | \$0 | \$0 |

* Report WF2000 funds on line 17 - "Special Revenues".

FORM 15-3

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS
2015-2017 BIENNIUM**

FUND 2170000 _____

INSTITUTION SAUT - ARKANSAS ENVIRONMENTAL TRAINING ACADEMY _____

APPROPRIATION _____ A67

| | DESCRIPTION | ACTUAL 2013-2014 | BUDGETED 2014-2015 | AUTHORIZED APPROPRIATION 2014-2015 | INSTITUTIONAL REQUEST / AHECB RECOMMENDATION | | LEGISLATIVE RECOMMENDATION | |
|----|---|---------------------|-----------------------|--|---|-------------|----------------------------|-----------|
| | | | | | 2015-2016 | 2016-2017 | 2015-2016 | 2016-2017 |
| 1 | REGULAR SALARIES | 183,676 | 532,000 | 532,000 | 532,000 | 532,000 | | |
| 2 | EXTRA HELP WAGES | 2,186 | 50,000 | 50,000 | 50,000 | 50,000 | | |
| 3 | OVERTIME | | | | | | | |
| 4 | PERSONAL SERVICES MATCHING | 75,561 | 150,000 | 150,000 | 150,000 | 150,000 | | |
| 5 | OPERATING EXPENSES | 51,323 | 152,689 | 152,689 | 152,689 | 152,689 | | |
| 6 | CONFERENCE FEES & TRAVEL | 23,172 | 63,311 | 63,311 | 63,311 | 63,311 | | |
| 7 | PROFESSIONAL FEES AND SERVICES | 135 | 50,000 | 50,000 | 50,000 | 50,000 | | |
| 8 | CAPITAL OUTLAY | | 175,000 | 175,000 | 175,000 | 175,000 | | |
| 9 | CAPITAL IMPROVEMENTS | 47,944 | 400,000 | 400,000 | 400,000 | 400,000 | | |
| 10 | DEBT SERVICE | | | | | | | |
| 11 | FUND TRANSFERS, REFUNDS AND INVESTMENTS | | | | | | | |
| 12 | | | | | | | | |
| 13 | | | | | | | | |
| 14 | | | | | | | | |
| 15 | | | | | | | | |
| 16 | TOTAL APPROPRIATION | \$383,997 | \$1,573,000 | \$1,573,000 | \$1,573,000 | \$1,573,000 | \$0 | \$0 |
| 17 | PRIOR YEAR FUND BALANCE*** | | | | | | | |
| 18 | TUITION AND MANDATORY FEES | 383,997 | 259,600 | | 259,600 | 259,600 | | |
| 19 | ALL OTHER FEES | | | | | | | |
| 20 | SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS | | | | | | | |
| 21 | INVESTMENT INCOME | | | | | | | |
| 22 | FEDERAL CASH FUNDS | | 500,000 | | 500,000 | 500,000 | | |
| 23 | OTHER CASH FUNDS | | 813,400 | | 813,400 | 813,400 | | |
| 24 | TOTAL INCOME | \$383,997 | \$1,573,000 | | \$1,573,000 | \$1,573,000 | \$0 | \$0 |
| 25 | EXCESS (FUNDING)/APPROPRIATION | \$0 | \$0 | | \$0 | \$0 | \$0 | \$0 |

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

| | ACTUAL 2013-2014 | BUDGETED 2014-2015 | AUTHORIZED 2014-2015 | INSTITUTIONAL REQUEST | AHECB RECOMMEND | LEGISLATIVE RECOMMENDATION |
|-------------------|---------------------|-----------------------|-------------------------|--------------------------|--------------------|----------------------------|
| | | | | 2015-2017 | 2015-2017 | 2015-2017 |
| REGULAR POSITIONS | 20 | 20 | 26 | 26 | 26 | |
| TOBACCO POSITIONS | | | | | | |
| EXTRA HELP ** | 50 | 50 | 50 | 50 | 50 | |

FORM 15-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

SAUT - ARKANSAS ENVIRONMENTAL TRAINING ACADEMY
(NAME OF INSTITUTION)

| | ACTIVITY | ACTUAL 2013-2014 | | | | BUDGETED 2014-2015 | | | |
|----|--|---------------------|-----------------------|-----------------|---------------|-----------------------|-----------------------|-----------------|---------------|
| | | INCOME | OPERATING EXPENSES | DEBT SERVICE | NET INCOME | INCOME | OPERATING EXPENSES | DEBT SERVICE | NET INCOME |
| 1 | INTERCOLLEGIATE ATHLETICS * | | | | 0 | | | | 0 |
| 2 | HOUSING | | | | 0 | | | | 0 |
| 3 | FOOD SERVICES | | | | 0 | | | | 0 |
| 4 | STUDENT UNION | | | | 0 | | | | 0 |
| 5 | BOOKSTORE | | | | 0 | | | | 0 |
| 6 | STUDENT ORGANIZATIONS AND PUBLICATIONS | | | | 0 | | | | 0 |
| 7 | OTHER | | | | 0 | | | | 0 |
| 8 | SUBTOTAL | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 9 | ATHLETIC TRANSFER ** | | | | 0 | | | | 0 |
| 10 | OTHER TRANSFERS *** | | | | 0 | | | | 0 |
| 11 | GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 15-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

SAUT - ARKANSAS ENVIRONMENTAL TRAINING ACADEMY
(NAME OF INSTITUTION)

| | | | | | | |
|---|----|---------------------|---|------------------------|---|---------------------|
| TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2013-2014: | | | | | | 11 |
| (As of November 1, 2013) | | | | | | |
| Nonclassified Administrative Employees: | | | | | | |
| White Male: | 2 | Black Male: | 0 | Other Male: | 0 | Total Male: 2 |
| White Female: | 0 | Black Female: | 0 | Other Female: | 0 | Total Female: 0 |
| Nonclassified Health Care Employees: | | | | | | |
| White Male: | 0 | Black Male: | 0 | Other Male: | 0 | Total Male: 0 |
| White Female: | 0 | Black Female: | 0 | Other Female: | 0 | Total Female: 0 |
| Classified Employees: | | | | | | |
| White Male: | 0 | Black Male: | 0 | Other Male: | 0 | Total Male: 0 |
| White Female: | 1 | Black Female: | 1 | Other Female: | 0 | Total Female: 2 |
| Faculty: | | | | | | |
| White Male: | 6 | Black Male: | 0 | Other Male: | 0 | Total Male: 6 |
| White Female: | 1 | Black Female: | 0 | Other Female: | 0 | Total Female: 1 |
| Total White Male: | 8 | Total Black Male: | 0 | Total Other Male: | 0 | Total Male: 8 |
| Total White Female: | 2 | Total Black Female: | 1 | Total Other Female: | 0 | Total Female: 3 |
| Total White: | 10 | Total Black: | 1 | Total Other: | 0 | Total Employees: 11 |
| | | | | Total Minority: | 1 | |

FORM 15-6

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2014
 Required by A.C.A. 25-36-104

Institution SAUT - ARKANSAS ENVIRONMENTAL TRAINING ACADEMY

| Minority Business | Total Contract Awarded | Minority Type per A.C.A. 15-4-303 (2) | | | | | |
|-------------------|------------------------|---------------------------------------|-------------------|-----------------|----------------|---------------------------|------------------|
| | | African American | Hispanic American | American Indian | Asian American | Pacific Islander American | Disabled Veteran |
| N/A | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$0

(Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

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ARKANSAS FIRE TRAINING ACADEMY

David Rankin, President

ENABLING LAWS

A.C.A. §12-13-201; A.C.A. §20-22-806; A.C.A. §20-22-811; Act 115 of 2014

INSTITUTION HISTORY AND ORGANIZATION

The mission of the Arkansas Fire Training Academy is to provide quality training and certification fire and related emergency service programs to the Arkansas Fire Service in an effective and efficient manner. The academy is committed to providing quality-training programs to fire service personnel throughout the state through various technologies and methodologies to meet the needs of the fire service. The academy is also committed to certifying fire service personnel throughout the state who meet or exceed the certification requirements of the applicable National Fire Protection Association (NFPA) Professional Qualification for Fire Service Personnel. In addition, the academy shall support fire departments by maintaining the states National Fire Incident Reporting System thereby making participating departments eligible to receive specific federal grants. Within its resources, the academy will accomplish its mission by offering on-campus training and certification services, off-campus training and certification services, by direct deliveries, regional deliveries, by alternative delivery methodologies, and through support services.

There are three statutes in the Arkansas Code that reference the Arkansas Fire Training Academy and the responsibility expected.

As set forth in the Arkansas Code **12-13-201**, the Arkansas Fire Training Academy shall be under the control of SAU-Tech for Southern Arkansas University and the university shall maintain and operate a fire service training program. **20-22-806(c)(2)** states: The Arkansas Fire Training Academy, which shall provide fire services and adjunct training and education services as are required to ensure the maximum possible professionalism and competence for the firefighters in Arkansas.

A newly hired fulltime firefighter must satisfactorily complete a training program administered by the Arkansas Fire Training Academy. The academy shall utilize the National Fire Protection Association (NFPA) 1001 standards. The person seeking employment that has certification from another state shall submit the certification to the academy for approval. This statute can be found in the Arkansas Code, **20-22-811**.

The Arkansas Fire Training Academy is committed to meet and/or exceed the responsibility set forth in the Arkansas Code. As stated in the mission statement above, the academy extends training opportunities to all facets of the fire

ARKANSAS FIRE TRAINING ACADEMY

David Rankin, President

service to include volunteer and paid firefighters. The training also includes not only basic firefighting programs, but emergency medical technology, officer level programs, rescue technician programs and as well as driver/operator to name but a few.

The Arkansas Fire Training Academy is also responsible for The National Fire Incident Reporting System. The academy is recognized by FEMA as the guardian of the reporting system for the State of Arkansas. Every fire department in Arkansas is required, by the 15th of each month, to report the occurrence of fire in said district, to the Arkansas Fire Training Academy. This requirement is found in the **Arkansas Fire Code 104.10.3**.

The Arkansas Fire Training Academy was initially advised by the Arkansas Fire Training Academy Advisory Board. This board was transferred to the Arkansas Fire Protection Services Board. The Arkansas Fire Protection Services Board; advises the Director of the Arkansas Fire Training Academy in matters related to the training and certification of fire services personnel in Arkansas and curriculum and instructional content of the curriculum offered by the Arkansas Fire Training Academy. The board also advises the President of SAU in matters regarding the appointment and retention of the director of the academy. This can be found in the Municipal Code 20-22-804(a)(7)(8).

The Arkansas Fire Training Academy has a large scope of responsibility. There are approximately 1,000 fire departments in the Arkansas and approximately 15,000 firefighters. The academy is committed to offer quality training to these firefighters. Currently we are accomplishing this task with a staff of 25. That number includes 9 instructors, 4 administrative staff and 12 classified staff. The academy relies heavily on adjunct instructors as well as fire department instructors. As the fire service has grown, the academy has not grown in staffing. To meet the needs of the Arkansas Fire Service, the Arkansas Fire Training Academy must increase in personnel to meet those needs.

**INSTITUTION APPROPRIATION SUMMARY
2015-2017 BIENNIUM**

INSTITUTION SAUT - ARKANSAS FIRE TRAINING ACADEMY

| | APPROPRIATION | HISTORICAL DATA | | | | | | INSTITUTION REQUEST & AHECB RECOMMENDATION | | | | | | | |
|----|-----------------------------------|-----------------|-------|-------------|------|-------------|-----|--|------|-------------|------|--------------|------|-------------|------|
| | | 2013-2014 | | 2014-2015 | | 2014-2015 | | 2015-2016 | | | | 2016-2017 | | | |
| | | ACTUAL | POS | BUDGETED | POS | AUTHORIZED | POS | INST REQUEST | POS | AHECB REC | POS | INST REQUEST | POS | AHECB REC | POS |
| 1 | STATE TREASURY | 1,737,281 | | 1,738,850 | | 2,402,218 | | 2,346,119 | | 2,346,119 | | 2,397,142 | | 2,397,142 | |
| 2 | CASH | 326,470 | | 2,541,000 | | 2,541,000 | | 2,541,000 | | 2,541,000 | | 2,541,000 | | 2,541,000 | |
| 3 | | | | | | | | | | | | | | | |
| 4 | | | | | | | | | | | | | | | |
| 5 | | | | | | | | | | | | | | | |
| 6 | | | | | | | | | | | | | | | |
| 7 | | | | | | | | | | | | | | | |
| 8 | | | | | | | | | | | | | | | |
| 9 | | | | | | | | | | | | | | | |
| 10 | | | | | | | | | | | | | | | |
| 11 | TOTAL | \$2,063,751 | 49 | \$4,279,850 | 47 | \$4,943,218 | 67 | \$4,887,119 | 67 | \$4,887,119 | 67 | \$4,938,142 | 67 | \$4,938,142 | 67 |
| | FUNDING SOURCES | | % | | % | | | | % | | % | | % | | % |
| 12 | PRIOR YEAR FUND BAL ^A | 481 | 0.02% | 0 | 0% | | | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| 13 | GENERAL REVENUE | 1,651,221 | 80% | 1,651,221 | 39% | | | 2,258,490 | 46% | 2,258,490 | 46% | 2,309,513 | 47% | 2,309,513 | 47% |
| 14 | EDUCATIONAL EXCELLENCE TRUST FUND | 85,579 | 4% | 87,629 | 2% | | | 87,629 | 2% | 87,629 | 2% | 87,629 | 2% | 87,629 | 2% |
| 15 | WORKFORCE 2000 | 0 | 0% | 0 | 0% | | | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| 16 | CASH FUNDS | 326,470 | 16% | 1,541,000 | 36% | | | 1,541,000 | 32% | 1,541,000 | 32% | 1,541,000 | 31% | 1,541,000 | 31% |
| 17 | SPECIAL REVENUES | | | | | | | | | | | | | | |
| 18 | FEDERAL FUNDS | 0 | 0% | 1,000,000 | 23% | | | 1,000,000 | 20% | 1,000,000 | 20% | 1,000,000 | 20% | 1,000,000 | 20% |
| 19 | TOBACCO SETTLEMENT FUNDS | 0 | 0% | 0 | 0% | | | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| 20 | OTHER FUNDS | 0 | 0% | 0 | 0% | | | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| 21 | TOTAL INCOME | \$2,063,751 | 100% | \$4,279,850 | 100% | | | \$4,887,119 | 100% | \$4,887,119 | 100% | \$4,938,142 | 100% | \$4,938,142 | 100% |
| 22 | EXCESS (FUNDING)/APPROPRIATION | \$0 | | \$0 | | | | \$0 | | \$0 | | \$0 | | \$0 | |

| | |
|--|-----------|
| UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2014: | \$271,119 |
| LESS RESERVES FOR: | |
| ACCOUNTS RECEIVABLE | \$21,602 |
| INVENTORIES | |
| YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES | |
| INSURANCE DEDUCTIBLES | \$25,000 |
| MAJOR CRITICAL SYSTEMS FAILURES | \$20,000 |
| 60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES) | \$200,000 |
| RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.) | |
| OTHER (FOOTNOTE BELOW) | |
| UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE | \$4,517 |

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2015-2017 BIENNIUM (Non-Formula Entities)**

SAUT - ARKANSAS FIRE TRAINING ACADEMY

NAME OF INSTITUTION

| | EXPENDITURE CATEGORIES | 2013-2014 ACTUAL | 2014-2015 BUDGETED * | 2015-17 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS | | | |
|----|--------------------------|------------------|----------------------|--|----------------|-------------|----------------|
| | | | | 2015-2016 | | 2016-2017 | |
| | | | | REQUEST | RECOMMENDATION | REQUEST | RECOMMENDATION |
| 1 | Instruction | 1,117,488 | 1,052,740 | 1,373,001 | 1,373,001 | 1,389,814 | 1,399,892 |
| 2 | Institutional Support | 613,292 | 609,012 | 794,284 | 794,284 | 804,011 | 809,841 |
| 3 | Student Services | 178,727 | 140,400 | 183,112 | 183,112 | 185,354 | 186,698 |
| 4 | Physical Plant | 203,102 | 194,118 | 253,172 | 253,172 | 256,272 | 258,131 |
| 5 | | | | | | | |
| 6 | | | | | | | |
| 7 | | | | | | | |
| 8 | | | | | | | |
| 9 | | | | | | | |
| 10 | | | | | | | |
| 11 | | | | | | | |
| 12 | | | | | | | |
| 13 | MANDATORY TRANSFERS | | | | | | |
| 14 | AUXILIARY TRANSFERS | | | | | | |
| 15 | NON-MANDATORY TRANSFERS | | | | | | |
| 16 | TOTAL UNREST. E&G EXP. | \$2,112,609 | \$1,996,270 | \$2,603,569 | \$2,603,569 | \$2,635,451 | \$2,654,562 |
| 17 | NET LOCAL INCOME | 254,975 | 257,420 | 257,420 | 257,420 | 257,420 | 257,420 |
| 18 | PRIOR YEAR BALANCE*** | 120,834 | | | | | |
| | STATE FUNDS: | | | | | | |
| 19 | GENERAL REVENUE | 1,651,221 | 1,651,221 | 2,258,490 | 2,258,490 | 2,290,402 | 2,309,513 |
| 20 | EDUCATIONAL EXCELLENCE | 85,579 | 87,629 | 87,629 | 87,629 | 87,629 | 87,629 |
| 21 | WORKFORCE 2000 | | | | | | |
| 22 | TOBACCO SETTLEMENT FUNDS | | | | | | |
| 23 | OTHER STATE FUNDS ** | | | | | | |
| 24 | TOTAL SOURCES OF INCOME | \$2,112,609 | \$1,996,270 | \$2,603,539 | \$2,603,539 | \$2,635,451 | \$2,654,562 |

FORM 15-2 Nonformula

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in a footnote.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2015-2017 BIENNIUM**

FUND CSS0000

INSTITUTION SAUT - ARKANSAS FIRE TRAINING ACADEMY

APPROPRIATION 295

| | DESCRIPTION | ACTUAL 2013-2014 | BUDGETED 2014-2015 | AUTHORIZED APPROPRIATION 2014-2015 | INSTITUTIONAL REQUEST / AHECB RECOMMENDATION | | LEGISLATIVE RECOMMENDATION | |
|----|-----------------------------------|---------------------|-----------------------|--|---|-------------|----------------------------|-----------|
| | | | | | 2015-2016 | 2016-2017 | 2015-2016 | 2016-2017 |
| 1 | REGULAR SALARIES | 837,617 | 800,000 | 1,111,560 | 1,085,602 | 1,109,211 | | |
| 2 | EXTRA HELP WAGES | | | | | | | |
| 3 | OVERTIME | | | | | | | |
| 4 | PERSONAL SERVICES MATCHING | 225,855 | 212,459 | 312,459 | 305,162 | 311,799 | | |
| 5 | OPERATING EXPENSES | 622,483 | 622,723 | 824,531 | 805,276 | 822,789 | | |
| 6 | CONFERENCE FEES & TRAVEL | 22,072 | 49,514 | 49,514 | 48,358 | 49,410 | | |
| 7 | PROFESSIONAL FEES AND SERVICES | | | | | | | |
| 8 | CAPITAL OUTLAY | 25,000 | 54,154 | 104,154 | 101,721 | 103,933 | | |
| 9 | FUNDED DEPRECIATION | | | | | | | |
| 10 | WORKERS COMP/SURETY PREMIUM | 4,254 | | | | | | |
| 11 | | | | | | | | |
| 12 | | | | | | | | |
| 13 | TOTAL APPROPRIATION | \$1,737,281 | \$1,738,850 | \$2,402,218 | \$2,346,119 | \$2,397,142 | \$0 | \$0 |
| 14 | PRIOR YEAR FUND BALANCE** | 481 | | | | | | |
| 15 | GENERAL REVENUE | 1,651,221 | 1,651,221 | | 2,258,490 | 2,309,513 | | |
| 16 | EDUCATIONAL EXCELLENCE TRUST FUND | 85,579 | 87,629 | | 87,629 | 87,629 | | |
| 17 | SPECIAL REVENUES * [WF2000] | | | | | | | |
| 18 | FEDERAL FUNDS IN STATE TREASURY | | | | | | | |
| 19 | TOBACCO SETTLEMENT FUNDS | | | | | | | |
| 20 | OTHER STATE TREASURY FUNDS | | | | | | | |
| 21 | TOTAL INCOME | \$1,737,281 | \$1,738,850 | | \$2,346,119 | \$2,397,142 | \$0 | \$0 |
| 22 | EXCESS (FUNDING)/APPROPRIATION | \$0 | \$0 | | \$0 | \$0 | \$0 | \$0 |

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS
2015-2017 BIENNIUM**

FUND 2170000

INSTITUTION SAUT - ARKANSAS FIRE TRAINING ACADEMY

APPROPRIATION

A66

| | DESCRIPTION | ACTUAL 2013-2014 | BUDGETED 2014-2015 | AUTHORIZED APPROPRIATION 2014-2015 | INSTITUTIONAL REQUEST / AHECB RECOMMENDATION | | LEGISLATIVE RECOMMENDATION | |
|----|---|---------------------|-----------------------|--|---|-------------|----------------------------|-----------|
| | | | | | 2015-2016 | 2016-2017 | 2015-2016 | 2016-2017 |
| 1 | REGULAR SALARIES | 156,754 | 558,525 | 558,525 | 558,525 | 558,525 | | |
| 2 | EXTRA HELP WAGES | | 76,775 | 76,775 | 76,775 | 76,775 | | |
| 3 | OVERTIME | | | | | | | |
| 4 | PERSONAL SERVICES MATCHING | 55,904 | 165,883 | 165,883 | 165,883 | 165,883 | | |
| 5 | OPERATING EXPENSES | 99,951 | 713,133 | 713,133 | 713,133 | 713,133 | | |
| 6 | CONFERENCE FEES & TRAVEL | 1,765 | 166,559 | 166,559 | 166,559 | 166,559 | | |
| 7 | PROFESSIONAL FEES AND SERVICES | 0 | 277,955 | 277,955 | 277,955 | 277,955 | | |
| 8 | CAPITAL OUTLAY | 12,096 | 382,170 | 382,170 | 382,170 | 382,170 | | |
| 9 | CAPITAL IMPROVEMENTS | 0 | 200,000 | 200,000 | 200,000 | 200,000 | | |
| 10 | DEBT SERVICE | | | | | | | |
| 11 | FUND TRANSFERS, REFUNDS AND INVESTMENTS | | | | | | | |
| 12 | | | | | | | | |
| 13 | | | | | | | | |
| 14 | | | | | | | | |
| 15 | | | | | | | | |
| 16 | TOTAL APPROPRIATION | \$326,470 | \$2,541,000 | \$2,541,000 | \$2,541,000 | \$2,541,000 | \$0 | \$0 |
| 17 | PRIOR YEAR FUND BALANCE*** | | | | | | | |
| 18 | TUITION AND MANDATORY FEES | 326,470 | 500,000 | | 500,000 | 500,000 | | |
| 19 | ALL OTHER FEES | | | | | | | |
| 20 | SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS | | 141,000 | | 141,000 | 141,000 | | |
| 21 | INVESTMENT INCOME | | | | | | | |
| 22 | FEDERAL CASH FUNDS | | 1,000,000 | | 1,000,000 | 1,000,000 | | |
| 23 | OTHER CASH FUNDS | | 900,000 | | 900,000 | 900,000 | | |
| 24 | TOTAL INCOME | \$326,470 | \$2,541,000 | | \$2,541,000 | \$2,541,000 | \$0 | \$0 |
| 25 | EXCESS (FUNDING)/APPROPRIATION | \$0 | \$0 | | \$0 | \$0 | \$0 | \$0 |

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

| | ACTUAL 2013-2014 | BUDGETED 2014-2015 | AUTHORIZED 2014-2015 | INSTITUTIONAL REQUEST | AHECB RECOMMEND | LEGISLATIVE RECOMMENDATION |
|-------------------|---------------------|-----------------------|-------------------------|--------------------------|--------------------|----------------------------|
| | | | | 2015-2017 | 2015-2017 | 2015-2017 |
| REGULAR POSITIONS | 49 | 47 | 67 | 67 | 67 | |
| TOBACCO POSITIONS | | | | | | |
| EXTRA HELP ** | 55 | 55 | 55 | 55 | 55 | |

FORM 15-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

SAUT - ARKANSAS FIRE TRAINING ACADEMY
 (NAME OF INSTITUTION)

| | ACTIVITY | ACTUAL 2013-2014 | | | | BUDGETED 2014-2015 | | | |
|----|--|---------------------|-----------------------|-----------------|---------------|-----------------------|-----------------------|-----------------|---------------|
| | | INCOME | OPERATING EXPENSES | DEBT SERVICE | NET INCOME | INCOME | OPERATING EXPENSES | DEBT SERVICE | NET INCOME |
| 1 | INTERCOLLEGIATE ATHLETICS * | | | | 0 | | | | 0 |
| 2 | HOUSING | | | | 0 | | | | 0 |
| 3 | FOOD SERVICES | | | | 0 | | | | 0 |
| 4 | STUDENT UNION | | | | 0 | | | | 0 |
| 5 | BOOKSTORE | | | | 0 | | | | 0 |
| 6 | STUDENT ORGANIZATIONS AND PUBLICATIONS | | | | 0 | | | | 0 |
| 7 | OTHER | | | | 0 | | | | 0 |
| 8 | SUBTOTAL | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 9 | ATHLETIC TRANSFER ** | | | | 0 | | | | 0 |
| 10 | OTHER TRANSFERS *** | | | | 0 | | | | 0 |
| 11 | GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 15-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

SAUT - ARKANSAS FIRE TRAINING ACADEMY
(NAME OF INSTITUTION)

| | | | | | | |
|---|----|---------------------|---|------------------------|---|---------------------|
| TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2013-2014: | | | | | | 39 |
| (As of November 1, 2013) | | | | | | |
| Nonclassified Administrative Employees: | | | | | | |
| White Male: | 1 | Black Male: | 1 | Other Male: | 0 | Total Male: 2 |
| White Female: | 1 | Black Female: | 0 | Other Female: | 0 | Total Female: 1 |
| Nonclassified Health Care Employees: | | | | | | |
| White Male: | 0 | Black Male: | 0 | Other Male: | 0 | Total Male: 0 |
| White Female: | 0 | Black Female: | 0 | Other Female: | 0 | Total Female: 0 |
| Classified Employees: | | | | | | |
| White Male: | 1 | Black Male: | 1 | Other Male: | 0 | Total Male: 2 |
| White Female: | 8 | Black Female: | 2 | Other Female: | 0 | Total Female: 10 |
| Faculty: | | | | | | |
| White Male: | 23 | Black Male: | 0 | Other Male: | 0 | Total Male: 23 |
| White Female: | 1 | Black Female: | 0 | Other Female: | 0 | Total Female: 1 |
| Total White Male: | 25 | Total Black Male: | 2 | Total Other Male: | 0 | Total Male: 27 |
| Total White Female: | 10 | Total Black Female: | 2 | Total Other Female: | 0 | Total Female: 12 |
| Total White: | 35 | Total Black: | 4 | Total Other: | 0 | Total Employees: 39 |
| | | | | Total Minority: | 4 | |

FORM 15-6

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2014
 Required by A.C.A. 25-36-104

Institution

SAUT - ARKANSAS FIRE TRAINING ACADEMY

| Minority Business | Total Contract Awarded | Minority Type per A.C.A. 15-4-303 (2) | | | | | |
|-------------------|------------------------|---------------------------------------|-------------------|-----------------|----------------|---------------------------|------------------|
| | | African American | Hispanic American | American Indian | Asian American | Pacific Islander American | Disabled Veteran |
| N/A | | | | | | | |
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| | | | | | | | |

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$0

(Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

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UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT BATESVILLE

Deborah J. Frazier, Chancellor

ENABLING LAWS

Act 1244 of 1991; Act 87 of 2014

INSTITUTION HISTORY AND ORGANIZATION

Gateway Vocational Technical School came into existence in 1975 to serve the needs of a four-county region of Northeast Arkansas. The mission of the school at that time was to provide postsecondary skills training for immediate employment in areas such as auto mechanics, welding, building trades, office occupations, and practical nursing.

Act 1244 of 1991, “Two-year Postsecondary Education Reorganization Act of 1991”, converted fourteen of the state’s vocational technical schools into technical colleges or branches of other institutions. Act 1244 also mandated that the new colleges achieve NCA accreditation within an established time frame. In September 1995 NCA granted initial candidacy to Gateway Technical College. In the fall of 1996 NCA extended initial candidacy to the College’s new Associate of Arts program. In October 1997 Gateway Technical College was merged with the University of Arkansas System and with the passage of a county sales tax in March 1998 became the University of Arkansas Community College at Batesville. This merger provided the campus with support from a state wide system that includes a total of 11 campuses and the UA Division of Agriculture, UA Clinton School of Public Service, UA Criminal Justice Institute, Arkansas Archeological Survey and the Arkansas School for Mathematics, Sciences & the Arts. The current appropriation authority is pursuant to Act 87 of 2014.

Oversight for the college is through the University of Arkansas System and a 10 member Board of Trustees which is appointed by the governor. A local Board of Visitors, composed of 12 members, provides guidance for the operation of the college. UACCB is accredited by the Higher Learning Commission and is a member of the North Central Association. UACCB’s service area was defined by the Arkansas Department of Higher Education to include Independence and Cleburne counties with Stone and Sharp counties shared with other higher education institutions.

MISSION STATEMENT

The University of Arkansas Community College at Batesville provides quality educational opportunities within a supportive learning environment. We promote success through community partnerships, responsive programs, and an enduring commitment to improvement.

UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT BATESVILLE
Deborah J. Frazier, Chancellor

Values

At UACCB we value...

Unity through collaboration

Achievement in educational goals

Commitment to excellence

Contribution to community

Balance in learning and life

Vision

UACCB will be recognized for excellence in education, leadership, service, and innovation in response to education, economic and social needs.

In order to accomplish its mission and fulfill its vision, UACCB has established a set of strategic goals. Each organizational area is expected to work toward its respective goals and correspondingly contribute to the greater strategic institutional efforts.

GENERAL REVENUE REQUEST

The Arkansas Higher Education Coordinating Board has recommended an increase in general revenue funds of \$111,539 in FY16 and \$153,025 in FY17. The funds will be used for improvement of facilities, expansion of programs, technology infrastructure and to bring faculty salary levels in line with SREB recommendations.

PERSONNEL REQUEST

No additional positions over authorized have been requested.

**INSTITUTION APPROPRIATION SUMMARY
2015-2017 BIENNIUM**

INSTITUTION UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT BATESVILLE

| | APPROPRIATION | HISTORICAL DATA | | | | | | INSTITUTION REQUEST & AHECB RECOMMENDATION | | | | | | | |
|----|-----------------------------------|-----------------|-------|--------------|------|--------------|-----|--|------|--------------|------|--------------|------|--------------|------|
| | | 2013-2014 | | 2014-2015 | | 2014-2015 | | 2015-2016 | | | | 2016-2017 | | | |
| | | ACTUAL | POS | BUDGETED | POS | AUTHORIZED | POS | INST REQUEST | POS | AHECB REC | POS | INST REQUEST | POS | AHECB REC | POS |
| 1 | STATE TREASURY | 4,997,821 | | 4,989,281 | | 5,891,962 | | 5,100,820 | | 5,100,820 | | 5,253,844 | | 5,253,844 | |
| 2 | CASH | 5,366,380 | | 45,285,000 | | 45,285,000 | | 45,285,000 | | 45,285,000 | | 45,285,000 | | 45,285,000 | |
| 3 | | | | | | | | | | | | | | | |
| 4 | | | | | | | | | | | | | | | |
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| 8 | | | | | | | | | | | | | | | |
| 9 | | | | | | | | | | | | | | | |
| 10 | | | | | | | | | | | | | | | |
| 11 | TOTAL | \$10,364,201 | 263 | \$50,274,281 | 237 | \$51,176,962 | 272 | \$50,385,820 | 272 | \$50,385,820 | 272 | \$50,538,844 | 272 | \$50,538,844 | 272 |
| | FUNDING SOURCES | | % | | % | | | | % | | % | | % | | % |
| 12 | PRIOR YEAR FUND BALANCE* | 0 | 0.00% | 0 | 0% | | | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| 13 | GENERAL REVENUE | 4,131,061 | 40% | 4,131,061 | 8% | | | 4,242,600 | 8% | 4,242,600 | 8% | 4,395,624 | 9% | 4,395,624 | 9% |
| 14 | EDUCATIONAL EXCELLENCE TRUST FUND | 0 | 0% | 0 | 0% | | | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| 15 | WORKFORCE 2000 | 866,760 | 8% | 858,220 | 2% | | | 858,220 | 2% | 858,220 | 2% | 858,220 | 2% | 858,220 | 2% |
| 16 | CASH FUNDS | 5,366,380 | 52% | 45,285,000 | 90% | | | 45,285,000 | 90% | 45,285,000 | 90% | 45,285,000 | 90% | 45,285,000 | 90% |
| 17 | SPECIAL REVENUES | | 0% | | 0% | | | | 0% | 0 | 0% | | 0% | 0 | 0% |
| 18 | FEDERAL FUNDS | 0 | 0% | 0 | 0% | | | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| 19 | TOBACCO SETTLEMENT FUNDS | 0 | 0% | 0 | 0% | | | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| 20 | OTHER FUNDS | 0 | 0% | 0 | 0% | | | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| 21 | TOTAL INCOME | \$10,364,201 | 100% | \$50,274,281 | 100% | | | \$50,385,820 | 100% | \$50,385,820 | 100% | \$50,538,844 | 100% | \$50,538,844 | 100% |
| 22 | EXCESS (FUNDING)/APPROPRIATION | \$0 | | \$0 | | | | \$0 | | \$0 | | \$0 | | \$0 | |

| | |
|--|-------------|
| UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2014: | \$2,784,077 |
| LESS RESERVES FOR: | |
| ACCOUNTS RECEIVABLE | \$559,667 |
| INVENTORIES | \$316,950 |
| YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES | |
| INSURANCE DEDUCTIBLES | \$100,000 |
| MAJOR CRITICAL SYSTEMS FAILURES | \$225,000 |
| 60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES) | \$1,350,000 |
| RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.) | \$175,000 |
| OTHER (FOOTNOTE BELOW) | |
| UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE | \$57,460 |

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2015-2017 BIENNIUM**

FUND CTG0000

INSTITUTION UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT BATESVILLE

APPROPRIATION 1RT

| | DESCRIPTION | ACTUAL 2013-2014 | BUDGETED 2014-2015 | AUTHORIZED APPROPRIATION 2014-2015 | INSTITUTIONAL REQUEST / AHECB RECOMMENDATION | | LEGISLATIVE RECOMMENDATION | |
|----|-----------------------------------|---------------------|-----------------------|--|---|-------------|----------------------------|-----------|
| | | | | | 2015-2016 | 2016-2017 | 2015-2016 | 2016-2017 |
| 1 | REGULAR SALARIES | 4,082,328 | 4,139,281 | 5,041,962 | 4,250,820 | 4,403,844 | | |
| 2 | EXTRA HELP WAGES | 158,000 | 100,000 | 100,000 | 100,000 | 100,000 | | |
| 3 | OVERTIME | | | | | | | |
| 4 | PERSONAL SERVICES MATCHING | 756,760 | 750,000 | 750,000 | 750,000 | 750,000 | | |
| 5 | OPERATING EXPENSES | | | | | | | |
| 6 | CONFERENCE FEES & TRAVEL | | | | | | | |
| 7 | PROFESSIONAL FEES AND SERVICES | | | | | | | |
| 8 | CAPITAL OUTLAY | | | | | | | |
| 9 | FUNDED DEPRECIATION | | | | | | | |
| 10 | WORKERS COMP/SURETY PREMIUM | 733 | | | | | | |
| 11 | | | | | | | | |
| 12 | | | | | | | | |
| 13 | TOTAL APPROPRIATION | \$4,997,821 | \$4,989,281 | \$5,891,962 | \$5,100,820 | \$5,253,844 | \$0 | \$0 |
| 14 | PRIOR YEAR FUND BALANCE** | | | | | | | |
| 15 | GENERAL REVENUE | 4,131,061 | 4,131,061 | | 4,242,600 | 4,395,624 | | |
| 16 | EDUCATIONAL EXCELLENCE TRUST FUND | | | | | | | |
| 17 | SPECIAL REVENUES * [WF2000] | 866,760 | 858,220 | | 858,220 | 858,220 | | |
| 18 | FEDERAL FUNDS IN STATE TREASURY | | | | | | | |
| 19 | TOBACCO SETTLEMENT FUNDS | | | | | | | |
| 20 | OTHER STATE TREASURY FUNDS | | | | | | | |
| 21 | TOTAL INCOME | \$4,997,821 | \$4,989,281 | | \$5,100,820 | \$5,253,844 | \$0 | \$0 |
| 22 | EXCESS (FUNDING)/APPROPRIATION | \$0 | \$0 | | \$0 | \$0 | \$0 | \$0 |

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS
2015-2017 BIENNIUM**

FUND 2790000

INSTITUTION UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT BATESVILLE

APPROPRIATION B39

| | DESCRIPTION | ACTUAL 2013-2014 | BUDGETED 2014-2015 | AUTHORIZED APPROPRIATION 2014-2015 | INSTITUTIONAL REQUEST / AHECB RECOMMENDATION | | LEGISLATIVE RECOMMENDATION | |
|----|---|---------------------|-----------------------|--|---|--------------|----------------------------|-----------|
| | | | | | 2015-2016 | 2016-2017 | 2015-2016 | 2016-2017 |
| 1 | REGULAR SALARIES | 870,329 | 7,300,000 | 7,300,000 | 7,300,000 | 7,300,000 | | |
| 2 | EXTRA HELP WAGES | 89,590 | 500,000 | 500,000 | 500,000 | 500,000 | | |
| 3 | OVERTIME | 0 | 5,000 | 5,000 | 5,000 | 5,000 | | |
| 4 | PERSONAL SERVICES MATCHING | 960,339 | 3,900,000 | 3,900,000 | 3,900,000 | 3,900,000 | | |
| 5 | OPERATING EXPENSES | 2,699,733 | 9,200,000 | 9,200,000 | 9,200,000 | 9,200,000 | | |
| 6 | CONFERENCE FEES & TRAVEL | 120,757 | 350,000 | 350,000 | 350,000 | 350,000 | | |
| 7 | PROFESSIONAL FEES AND SERVICES | 156,881 | 300,000 | 300,000 | 300,000 | 300,000 | | |
| 8 | CAPITAL OUTLAY | 169,554 | 10,200,000 | 10,200,000 | 10,200,000 | 10,200,000 | | |
| 9 | CAPITAL IMPROVEMENTS | 0 | 8,300,000 | 8,300,000 | 8,300,000 | 8,300,000 | | |
| 10 | DEBT SERVICE | 288,718 | 1,200,000 | 1,200,000 | 1,200,000 | 1,200,000 | | |
| 11 | FUND TRANSFERS, REFUNDS AND INVESTMENTS | 0 | 4,000,000 | 4,000,000 | 4,000,000 | 4,000,000 | | |
| 12 | PROMOTIONAL ITEMS | 10,479 | 30,000 | 30,000 | 30,000 | 30,000 | | |
| 13 | | | | | | | | |
| 14 | | | | | | | | |
| 15 | | | | | | | | |
| 16 | TOTAL APPROPRIATION | \$5,366,380 | \$45,285,000 | \$45,285,000 | \$45,285,000 | \$45,285,000 | \$0 | \$0 |
| 17 | PRIOR YEAR FUND BALANCE*** | | | | | | | |
| 18 | TUITION AND MANDATORY FEES | 2,771,230 | 2,702,277 | | 2,972,250 | 3,100,000 | | |
| 19 | ALL OTHER FEES | 397,126 | 296,275 | | 310,000 | 315,000 | | |
| 20 | SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS | | | | | | | |
| 21 | INVESTMENT INCOME | 27,852 | 18,000 | | 20,000 | 20,000 | | |
| 22 | FEDERAL CASH FUNDS | | | | | | | |
| 23 | OTHER CASH FUNDS | 2,170,172 | 42,268,448 | | 41,982,750 | 41,850,000 | | |
| 24 | TOTAL INCOME | \$5,366,380 | \$45,285,000 | | \$45,285,000 | \$45,285,000 | \$0 | \$0 |
| 25 | EXCESS (FUNDING)/APPROPRIATION | \$0 | \$0 | | \$0 | \$0 | \$0 | \$0 |

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

| | ACTUAL 2013-2014 | BUDGETED 2014-2015 | AUTHORIZED 2014-2015 | INSTITUTIONAL REQUEST | AHECB RECOMMEND | LEGISLATIVE RECOMMENDATION |
|-------------------|---------------------|-----------------------|-------------------------|--------------------------|--------------------|----------------------------|
| | | | | 2015-2017 | 2015-2017 | 2015-2017 |
| REGULAR POSITIONS | 263 | 237 | 272 | 272 | 272 | |
| TOBACCO POSITIONS | | | | | | |
| EXTRA HELP ** | 95 | 100 | 100 | 100 | 100 | |

FORM 15-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT BATESVILLE

(NAME OF INSTITUTION)

| | ACTIVITY | ACTUAL 2013-2014 | | | | BUDGETED 2014-2015 | | | |
|----|--|---------------------|-----------------------|-----------------|---------------|-----------------------|-----------------------|-----------------|---------------|
| | | INCOME | OPERATING EXPENSES | DEBT SERVICE | NET INCOME | INCOME | OPERATING EXPENSES | DEBT SERVICE | NET INCOME |
| 1 | INTERCOLLEGIATE ATHLETICS * | | | | 0 | | | | 0 |
| 2 | HOUSING | | | | 0 | | | | 0 |
| 3 | FOOD SERVICES | 78,858 | 111,694 | | (32,836) | 85,000 | 134,530 | | (49,530) |
| 4 | STUDENT UNION | | | | 0 | | | | 0 |
| 5 | BOOKSTORE | 712,608 | 632,737 | | 79,871 | 895,000 | 828,766 | | 66,234 |
| 6 | STUDENT ORGANIZATIONS AND PUBLICATIONS | | 41,578 | | (41,578) | 36,704 | 36,704 | | 0 |
| 7 | OTHER | 6,610 | | | 6,610 | 20,000 | | | 20,000 |
| 8 | SUBTOTAL | \$798,076 | \$786,009 | \$0 | \$12,067 | \$1,036,704 | \$1,000,000 | \$0 | \$36,704 |
| 9 | ATHLETIC TRANSFER ** | | | | 0 | | | | 0 |
| 10 | OTHER TRANSFERS *** | | | | 0 | | | | 0 |
| 11 | GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES | \$798,076 | \$786,009 | \$0 | \$12,067 | \$1,036,704 | \$1,000,000 | \$0 | \$36,704 |

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 15-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT BATESVILLE
(NAME OF INSTITUTION)

| | | | | | | |
|---|------------|---------------------|----------|------------------------|----------|-----------------------------|
| TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2013-2014: | | | | | | <u>167</u> |
| (As of November 1, 2013) | | | | | | |
| Nonclassified Administrative Employees: | | | | | | |
| White Male: | <u>6</u> | Black Male: | <u>0</u> | Other Male: | <u>1</u> | Total Male: <u>7</u> |
| White Female: | <u>16</u> | Black Female: | <u>1</u> | Other Female: | <u>1</u> | Total Female: <u>18</u> |
| Nonclassified Health Care Employees: | | | | | | |
| White Male: | <u>0</u> | Black Male: | <u>0</u> | Other Male: | <u>0</u> | Total Male: <u>0</u> |
| White Female: | <u>0</u> | Black Female: | <u>0</u> | Other Female: | <u>0</u> | Total Female: <u>0</u> |
| Classified Employees: | | | | | | |
| White Male: | <u>8</u> | Black Male: | <u>0</u> | Other Male: | <u>0</u> | Total Male: <u>8</u> |
| White Female: | <u>29</u> | Black Female: | <u>0</u> | Other Female: | <u>1</u> | Total Female: <u>30</u> |
| Faculty: | | | | | | |
| White Male: | <u>33</u> | Black Male: | <u>0</u> | Other Male: | <u>0</u> | Total Male: <u>33</u> |
| White Female: | <u>70</u> | Black Female: | <u>0</u> | Other Female: | <u>1</u> | Total Female: <u>71</u> |
| Total White Male: | <u>47</u> | Total Black Male: | <u>0</u> | Total Other Male: | <u>1</u> | Total Male: <u>48</u> |
| Total White Female: | <u>115</u> | Total Black Female: | <u>1</u> | Total Other Female: | <u>3</u> | Total Female: <u>119</u> |
| Total White: | <u>162</u> | Total Black: | <u>1</u> | Total Other: | <u>4</u> | Total Employees: <u>167</u> |
| | | | | Total Minority: | <u>5</u> | |

FORM 15-6

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2014
 Required by A.C.A. 25-36-104

Institution

UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT BATESVILLE

| Minority Business | Total Contract Awarded | Minority Type per A.C.A. 15-4-303 (2) | | | | | |
|-------------------|------------------------|---------------------------------------|-------------------|-----------------|----------------|---------------------------|------------------|
| | | African American | Hispanic American | American Indian | Asian American | Pacific Islander American | Disabled Veteran |
| N/A | | | | | | | |
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TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$0

(Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

**DIVISION OF LEGISLATIVE AUDIT
AUDIT OF UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT BATESVILLE
June 30, 2013**

| | |
|-----------------|-------------------|
| <i>Finding:</i> | No Findings noted |
|-----------------|-------------------|

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UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT HOPE

Chris Thomason, Chancellor

ENABLING LAWS

Act 388 of 1995; Act 201 of 2014

INSTITUTION HISTORY AND ORGANIZATION

The University of Arkansas Community College at Hope is located on Highway 29 South in the city limits of Hope, Arkansas. The College is located on a 98.6 acre site originally obtained by the citizens of the area for Red River Vocational -Technical School in 1965. In 1991 the Legislature passed Act 1244 which allowed area vocational schools to become Technical Colleges. On July 1, 1992, Red River Vocational-Technical School officially became Red River Technical College and operated under the guidelines of the Arkansas Department of Higher Education.

In 1995, the Arkansas Legislature passed Act 388 that provided for the merger of state two-year colleges and universities. On March 5, 1996, the citizens of Hempstead County approved a ¼-cent sales tax to support the expansion of the College. On July 1, 1996, Red River Technical College became a division of the University of Arkansas System and was renamed the University of Arkansas Community College at Hope.

MISSION

The University of Arkansas Community College at Hope is an accredited, open-access, two-year institution of higher education that connects students and community partners to quality education and supports a culture of academic, occupational, personal growth and enrichment programs throughout Southwest Arkansas.

VISION

The University of Arkansas Community College at Hope envisions itself as a twenty-first century model community college. To make our vision a reality UACCH will reach beyond traditional boundaries so that students can overcome obstacles, achieve dreams and reach their full potential. We will empower a network of diverse and committed individuals to work creatively and collaboratively toward shared principles and the common goal of student success. Our learning environments will be nurturing, accepting, personalized and student-focused. We vow to the community that we serve to always be attentive to our mission, cohesive in our approach and responsive to changing needs.

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**INSTITUTION APPROPRIATION SUMMARY
2015-2017 BIENNIUM**

INSTITUTION UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT HOPE

| | APPROPRIATION | HISTORICAL DATA | | | | | | INSTITUTION REQUEST & AHECB RECOMMENDATION | | | | | | | |
|----|-----------------------------------|-----------------|-------|--------------|------|--------------|-----|--|------|--------------|------|--------------|------|--------------|------|
| | | 2013-2014 | | 2014-2015 | | 2014-2015 | | 2015-2016 | | | | 2016-2017 | | | |
| | | ACTUAL | POS | BUDGETED | POS | AUTHORIZED | POS | INST REQUEST | POS | AHECB REC | POS | INST REQUEST | POS | AHECB REC | POS |
| 1 | STATE TREASURY | 6,450,944 | | 6,431,644 | | 6,448,328 | | 6,552,928 | | 6,552,928 | | 6,749,516 | | 6,749,516 | |
| 2 | CASH | 5,614,649 | | 12,049,640 | | 12,049,640 | | 12,049,640 | | 12,049,640 | | 12,049,640 | | 12,049,640 | |
| 3 | | | | | | | | | | | | | | | |
| 4 | | | | | | | | | | | | | | | |
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| 6 | | | | | | | | | | | | | | | |
| 7 | | | | | | | | | | | | | | | |
| 8 | | | | | | | | | | | | | | | |
| 9 | | | | | | | | | | | | | | | |
| 10 | | | | | | | | | | | | | | | |
| 11 | TOTAL | \$12,065,593 | 167 | \$18,481,284 | 179 | \$18,497,968 | 211 | \$18,602,568 | 212 | \$18,602,568 | 212 | \$18,799,156 | 212 | \$18,799,156 | 212 |
| | FUNDING SOURCES | | % | | % | | | | % | | % | | % | | % |
| 12 | PRIOR YEAR FUND BALANCE* | 0 | 0.00% | 0 | 0% | | | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| 13 | GENERAL REVENUE | 4,491,997 | 37% | 4,491,997 | 24% | | | 4,613,281 | 25% | 4,613,281 | 25% | 4,809,869 | 26% | 4,809,869 | 26% |
| 14 | EDUCATIONAL EXCELLENCE TRUST FUND | 0 | 0% | 0 | 0% | | | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| 15 | WORKFORCE 2000 | 1,958,947 | 16% | 1,939,647 | 10% | | | 1,939,647 | 10% | 1,939,647 | 10% | 1,939,647 | 10% | 1,939,647 | 10% |
| 16 | CASH FUNDS | 3,964,750 | 33% | 6,149,640 | 33% | | | 6,149,640 | 33% | 6,149,640 | 33% | 6,149,640 | 33% | 6,149,640 | 33% |
| 17 | SPECIAL REVENUES | | | | | | | | | | | | | | |
| 18 | FEDERAL FUNDS | 1,649,899 | 14% | 5,900,000 | 32% | | | 5,900,000 | 32% | 5,900,000 | 32% | 5,900,000 | 31% | 5,900,000 | 31% |
| 19 | TOBACCO SETTLEMENT FUNDS | 0 | 0% | 0 | 0% | | | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| 20 | OTHER FUNDS | 0 | 0% | 0 | 0% | | | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| 21 | TOTAL INCOME | \$12,065,593 | 100% | \$18,481,284 | 100% | | | \$18,602,568 | 100% | \$18,602,568 | 100% | \$18,799,156 | 100% | \$18,799,156 | 100% |
| 22 | EXCESS (FUNDING)/APPROPRIATION | \$0 | | \$0 | | | | \$0 | | \$0 | | \$0 | | \$0 | |

| | |
|--|-------------|
| UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2014: | \$1,381,087 |
| LESS RESERVES FOR: | |
| ACCOUNTS RECEIVABLE | \$296,041 |
| INVENTORIES | \$14,188 |
| YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES | \$87,940 |
| INSURANCE DEDUCTIBLES | \$100,000 |
| MAJOR CRITICAL SYSTEMS FAILURES | \$100,000 |
| 60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES) | \$1,326,240 |
| RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.) | \$250,000 |
| OTHER (FOOTNOTE BELOW) | |
| UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE | (\$793,322) |

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2015-2017 BIENNIUM**

FUND CTR0000

INSTITUTION UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT HOPE

APPROPRIATION 1BU

| | DESCRIPTION | ACTUAL 2013-2014 | BUDGETED 2014-2015 | AUTHORIZED APPROPRIATION 2014-2015 | INSTITUTIONAL REQUEST / AHECB RECOMMENDATION | | LEGISLATIVE RECOMMENDATION | |
|----|-----------------------------------|---------------------|-----------------------|--|---|-------------|----------------------------|-----------|
| | | | | | 2015-2016 | 2016-2017 | 2015-2016 | 2016-2017 |
| 1 | REGULAR SALARIES | 4,653,766 | 4,972,398 | 4,972,398 | 5,066,164 | 5,218,149 | | |
| 2 | EXTRA HELP WAGES | | | | | | | |
| 3 | OVERTIME | | | | | | | |
| 4 | PERSONAL SERVICES MATCHING | 1,796,575 | 1,459,246 | 1,475,930 | 1,486,764 | 1,531,367 | | |
| 5 | OPERATING EXPENSES | | | | | | | |
| 6 | CONFERENCE FEES & TRAVEL | | | | | | | |
| 7 | PROFESSIONAL FEES AND SERVICES | | | | | | | |
| 8 | CAPITAL OUTLAY | | | | | | | |
| 9 | FUNDED DEPRECIATION | | | | | | | |
| 10 | WORKERS COMP/SURETY PREMIUM | 603 | | | | | | |
| 11 | | | | | | | | |
| 12 | | | | | | | | |
| 13 | TOTAL APPROPRIATION | \$6,450,944 | \$6,431,644 | \$6,448,328 | \$6,552,928 | \$6,749,516 | \$0 | \$0 |
| 14 | PRIOR YEAR FUND BALANCE** | | | | | | | |
| 15 | GENERAL REVENUE | 4,491,997 | 4,491,997 | | 4,613,281 | 4,809,869 | | |
| 16 | EDUCATIONAL EXCELLENCE TRUST FUND | | | | | | | |
| 17 | SPECIAL REVENUES * [WF2000] | 1,958,947 | 1,939,647 | | 1,939,647 | 1,939,647 | | |
| 18 | FEDERAL FUNDS IN STATE TREASURY | | | | | | | |
| 19 | TOBACCO SETTLEMENT FUNDS | | | | | | | |
| 20 | OTHER STATE TREASURY FUNDS | | | | | | | |
| 21 | TOTAL INCOME | \$6,450,944 | \$6,431,644 | | \$6,552,928 | \$6,749,516 | \$0 | \$0 |
| 22 | EXCESS (FUNDING)/APPROPRIATION | \$0 | \$0 | | \$0 | \$0 | \$0 | \$0 |

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS
2015-2017 BIENNIUM**

FUND 2950000

INSTITUTION UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT HOPE

APPROPRIATION A98

| | DESCRIPTION | ACTUAL 2013-2014 | BUDGETED 2014-2015 | AUTHORIZED APPROPRIATION 2014-2015 | INSTITUTIONAL REQUEST / AHECB RECOMMENDATION | | LEGISLATIVE RECOMMENDATION | |
|----|---|---------------------|-----------------------|--|---|--------------|----------------------------|-----------|
| | | | | | 2015-2016 | 2016-2017 | 2015-2016 | 2016-2017 |
| 1 | REGULAR SALARIES | 1,145,868 | 1,178,280 | 1,178,280 | 1,474,640 | 1,474,640 | | |
| 2 | EXTRA HELP WAGES | 109,574 | 150,000 | 150,000 | 150,000 | 150,000 | | |
| 3 | OVERTIME | | | | | | | |
| 4 | PERSONAL SERVICES MATCHING | 361,236 | 900,000 | 900,000 | 1,000,000 | 1,000,000 | | |
| 5 | OPERATING EXPENSES | 3,058,726 | 3,521,360 | 3,521,360 | 4,250,000 | 4,250,000 | | |
| 6 | CONFERENCE FEES & TRAVEL | 89,548 | 175,000 | 175,000 | 150,000 | 150,000 | | |
| 7 | PROFESSIONAL FEES AND SERVICES | 81,258 | 175,000 | 175,000 | 125,000 | 125,000 | | |
| 8 | CAPITAL OUTLAY | 0 | 500,000 | 500,000 | 300,000 | 300,000 | | |
| 9 | CAPITAL IMPROVEMENTS | 0 | 3,650,000 | 3,650,000 | 2,800,000 | 2,800,000 | | |
| 10 | DEBT SERVICE | 768,439 | 900,000 | 900,000 | 900,000 | 900,000 | | |
| 11 | FUND TRANSFERS, REFUNDS AND INVESTMENTS | 0 | 900,000 | 900,000 | 900,000 | 900,000 | | |
| 12 | | | | | | | | |
| 13 | | | | | | | | |
| 14 | | | | | | | | |
| 15 | | | | | | | | |
| 16 | TOTAL APPROPRIATION | \$5,614,649 | \$12,049,640 | \$12,049,640 | \$12,049,640 | \$12,049,640 | \$0 | \$0 |
| 17 | PRIOR YEAR FUND BALANCE*** | | | | | | | |
| 18 | TUITION AND MANDATORY FEES | 2,402,801 | 2,521,450 | | 2,400,568 | 2,425,702 | | |
| 19 | ALL OTHER FEES | 0 | 0 | | 0 | 0 | | |
| 20 | SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS | 10,293 | 34,500 | | 30,000 | 30,000 | | |
| 21 | INVESTMENT INCOME | 8,635 | 9,000 | | 9,000 | 9,000 | | |
| 22 | FEDERAL CASH FUNDS | 1,649,899 | 5,900,000 | | 5,900,000 | 5,900,000 | | |
| 23 | OTHER CASH FUNDS | 1,543,021 | 3,584,690 | | 3,710,072 | 3,684,938 | | |
| 24 | TOTAL INCOME | \$5,614,649 | \$12,049,640 | | \$12,049,640 | \$12,049,640 | \$0 | \$0 |
| 25 | EXCESS (FUNDING)/APPROPRIATION | \$0 | \$0 | | \$0 | \$0 | \$0 | \$0 |

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

| | ACTUAL 2013-2014 | BUDGETED 2014-2015 | AUTHORIZED 2014-2015 | INSTITUTIONAL REQUEST | AHECB RECOMMEND | LEGISLATIVE RECOMMENDATION |
|-------------------|---------------------|-----------------------|-------------------------|--------------------------|--------------------|----------------------------|
| | | | | 2015-2017 | 2015-2017 | 2015-2017 |
| REGULAR POSITIONS | 167 | 179 | 211 | 212 | 212 | |
| TOBACCO POSITIONS | | | | | | |
| EXTRA HELP ** | 79 | 75 | 200 | 200 | 200 | |

FORM 15-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT HOPE
(NAME OF INSTITUTION)

| | ACTIVITY | ACTUAL 2013-2014 | | | | BUDGETED 2014-2015 | | | |
|----|--|---------------------|-----------------------|-----------------|---------------|-----------------------|-----------------------|-----------------|---------------|
| | | INCOME | OPERATING EXPENSES | DEBT SERVICE | NET INCOME | INCOME | OPERATING EXPENSES | DEBT SERVICE | NET INCOME |
| 1 | INTERCOLLEGIATE ATHLETICS * | | | | 0 | | | | 0 |
| 2 | HOUSING | | | | 0 | | | | 0 |
| 3 | FOOD SERVICES | | | | 0 | | | | 0 |
| 4 | STUDENT UNION | | | | 0 | | | | 0 |
| 5 | BOOKSTORE | 45,372 | 12 | 0 | 45,360 | 49,000 | 0 | 0 | 49,000 |
| 6 | STUDENT ORGANIZATIONS AND PUBLICATIONS | | | | 0 | | | | 0 |
| 7 | OTHER | | | | 0 | | | | 0 |
| 8 | SUBTOTAL | \$45,372 | \$12 | \$0 | \$45,360 | \$49,000 | \$0 | \$0 | \$49,000 |
| 9 | ATHLETIC TRANSFER ** | | | | 0 | | | | 0 |
| 10 | OTHER TRANSFERS *** | | | | 0 | | | | 0 |
| 11 | GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES | \$45,372 | \$12 | \$0 | \$45,360 | \$49,000 | \$0 | \$0 | \$49,000 |

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 15-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT HOPE
(NAME OF INSTITUTION)

| | | | | | | |
|---|-------|---------------------|-------|------------------------|-------|----------------------|
| TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2013-2014: | | | | | | 123 |
| (As of November 1, 2013) | | | | | | |
| Nonclassified Administrative Employees: | | | | | | |
| White Male: | 8 | Black Male: | 2 | Other Male: | _____ | Total Male: 10 |
| White Female: | 15 | Black Female: | 4 | Other Female: | _____ | Total Female: 19 |
| Nonclassified Health Care Employees: | | | | | | |
| White Male: | _____ | Black Male: | _____ | Other Male: | _____ | Total Male: 0 |
| White Female: | _____ | Black Female: | _____ | Other Female: | _____ | Total Female: 0 |
| Classified Employees: | | | | | | |
| White Male: | 13 | Black Male: | 3 | Other Male: | _____ | Total Male: 16 |
| White Female: | 26 | Black Female: | 11 | Other Female: | _____ | Total Female: 37 |
| Faculty: | | | | | | |
| White Male: | 13 | Black Male: | 3 | Other Male: | _____ | Total Male: 16 |
| White Female: | 24 | Black Female: | _____ | Other Female: | 1 | Total Female: 25 |
| Total White Male: | 34 | Total Black Male: | 8 | Total Other Male: | 0 | Total Male: 42 |
| Total White Female: | 65 | Total Black Female: | 15 | Total Other Female: | 1 | Total Female: 81 |
| Total White: | 99 | Total Black: | 23 | Total Other: | 1 | Total Employees: 123 |
| | | | | Total Minority: | 24 | |

FORM 15-6

**DIVISION OF LEGISLATIVE AUDIT
AUDIT OF UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT HOPE
June 30, 2013**

| | |
|-----------------|-------------------|
| <i>Finding:</i> | No Findings noted |
|-----------------|-------------------|

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UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT MORRILTON

Dr. Larry Davis, Chancellor

ENABLING LAWS

Act 207 of 2014

INSTITUTION HISTORY AND ORGANIZATION

The University of Arkansas Community College at Morrilton is a two-year, community college serving west-central Arkansas. UACCM celebrated 50 years as an institution, 20 years as a college and 10 years as part of the University of Arkansas System in 2011 and this past spring 2014 celebrated the 50th anniversary of its first graduates. This 50/20/10 celebration time allowed the college to reflect on its proud history and its bright future. The college is currently funded through ACT 207 of the 2014 Fiscal Session.

UACCM is committed to excellence in learning and personal enrichment. It is a simple mission that focuses on the student!

During the past ten years as a member of the UA System, UACCM has grown into the largest two-year college in the system and one of the top six in the state in annualized FTE. UACCM offers a variety of degree options including transfer programs and occupational/technical programs. A core component is technical education. The campus offers technical programs such as nursing, drafting, surveying, auto mechanics, welding, auto collision repair, heating and air conditioning, industrial technology, and petroleum technology. Demand for these programs is high because they offer excellent opportunities for graduates. The programs have strong support from business and industry. A prime example is the petroleum technology program, which offers the only associate degree option in the State and one of the few in the nation. The program is supported by businesses within the Fayetteville Shale area. Scholarships, internship opportunities, technical expertise and funds have all been committed by these businesses to support the program. Their support is vital to the success of the program and its graduates. It is an excellent example of business and education working together to provide opportunities for Arkansans.

The success of technical programs is directly related to the quality of the training space and the access to technical equipment. The current technical facilities are over 50 years old. Although well maintained, the facilities need to be replaced. UACCM must plan for the future and invest in its technical programs. Planning has begun for a new Workforce Training Center (WTC) which will house many of the college's technical programs and allow for their expansion. In addition, leaders have been in discussion concerning the inclusion of Arkansas State Workforce offices. This concept would allow unemployed and under-employed people to see first-hand technical training opportunities. While visiting the

UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT MORRILTON
Dr. Larry Davis, Chancellor

state workforce offices, new opportunities would be in clear view. It is hoped that funding for the WTC will come from a variety of partners, including the State. An open area within the facility will allow new or existing industries to obtain needed training for their employees as workforce partners with UACCM. The WTC is included in UACCM's capital request.

With limited resources and increasing demands, it is important for the campus to look toward conservation and efficiency to address financial needs. This has prompted campus officials to work on energy conservation projects. Examples of the projects include installing low-flow valves on all faucets, working with consultants on a Super Tune Up program to evaluate all package AC units on campus and get them in top working order. Other examples include a lighting retrofit program that will place high efficiency lighting in older areas of campus. Occupancy sensors are also being added to help reduce energy usage. Some of these programs are offered free of charge through energy consultants and other programs offered financial incentives that will help UACCM attain a quicker payback on the projects. Saving money on utilities allows the reallocation of financial resources to other areas.

Being good stewards of all resources is a top priority at UACCM. That charge is taken seriously by UACCM and remains a guiding force behind all decisions. We look forward to being a key partner in the workforce training designated by the state as a key component in the future of the state economy.

**INSTITUTION APPROPRIATION SUMMARY
2015-2017 BIENNIUM**

INSTITUTION UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT MORRILTON

| | APPROPRIATION | HISTORICAL DATA | | | | | | INSTITUTION REQUEST & AHECB RECOMMENDATION | | | | | | | |
|----|-----------------------------------|-----------------|-------|--------------|------|--------------|-----|--|------|--------------|------|--------------|------|--------------|------|
| | | 2013-2014 | | 2014-2015 | | 2014-2015 | | 2015-2016 | | | | 2016-2017 | | | |
| | | ACTUAL | POS | BUDGETED | POS | AUTHORIZED | POS | INST REQUEST | POS | AHECB REC | POS | INST REQUEST | POS | AHECB REC | POS |
| 1 | STATE TREASURY | 6,314,760 | | 6,300,620 | | 8,737,064 | | 7,314,494 | | 7,314,494 | | 7,533,929 | | 7,533,929 | |
| 2 | CASH | 9,228,552 | | 43,625,000 | | 43,625,000 | | 43,625,000 | | 43,625,000 | | 43,625,000 | | 43,625,000 | |
| 3 | | | | | | | | | | | | | | | |
| 4 | | | | | | | | | | | | | | | |
| 5 | | | | | | | | | | | | | | | |
| 6 | | | | | | | | | | | | | | | |
| 7 | | | | | | | | | | | | | | | |
| 8 | | | | | | | | | | | | | | | |
| 9 | | | | | | | | | | | | | | | |
| 10 | | | | | | | | | | | | | | | |
| 11 | TOTAL | \$15,543,312 | 203 | \$49,925,620 | 274 | \$52,362,064 | 299 | \$50,939,494 | 299 | \$50,939,494 | 0 | \$51,158,929 | 299 | \$51,158,929 | 0 |
| | FUNDING SOURCES | | % | | % | | | | % | | % | | % | | % |
| 12 | PRIOR YEAR FUND BALANCE* | 0 | 0.00% | 0 | 0% | | | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| 13 | GENERAL REVENUE | 5,022,155 | 32% | 5,022,155 | 10% | | | 6,036,029 | 12% | 6,036,029 | 12% | 6,255,464 | 12% | 6,255,464 | 12% |
| 14 | EDUCATIONAL EXCELLENCE TRUST FUND | 0 | 0% | 0 | 0% | | | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| 15 | WORKFORCE 2000 | 1,291,186 | 8% | 1,278,465 | 3% | | | 1,278,465 | 3% | 1,278,465 | 3% | 1,278,465 | 2% | 1,278,465 | 2% |
| 16 | CASH FUNDS | 8,629,207 | 56% | 42,625,000 | 85% | | | 42,625,000 | 84% | 42,625,000 | 84% | 42,625,000 | 83% | 42,625,000 | 83% |
| 17 | SPECIAL REVENUES | | | | | | | | | | | | | | |
| 18 | FEDERAL FUNDS | 599,345 | 4% | 1,000,000 | 2% | | | 1,000,000 | 2% | 1,000,000 | 2% | 1,000,000 | 2% | 1,000,000 | 2% |
| 19 | TOBACCO SETTLEMENT FUNDS | 0 | 0% | 0 | 0% | | | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| 20 | OTHER FUNDS | 1,419 | 0% | 0 | 0% | | | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| 21 | TOTAL INCOME | \$15,543,312 | 100% | \$49,925,620 | 100% | | | \$50,939,494 | 100% | \$50,939,494 | 100% | \$51,158,929 | 100% | \$51,158,929 | 100% |
| 22 | EXCESS (FUNDING)/APPROPRIATION | \$0 | | \$0 | | | | \$0 | | \$0 | | \$0 | | \$0 | |

| | |
|--|-------------|
| UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2014: | \$2,902,660 |
| LESS RESERVES FOR: | |
| ACCOUNTS RECEIVABLE | \$1,150,000 |
| INVENTORIES | |
| YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES | |
| INSURANCE DEDUCTIBLES | \$50,000 |
| MAJOR CRITICAL SYSTEMS FAILURES | \$100,000 |
| 60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES) | \$1,750,000 |
| RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.) | |
| OTHER (FOOTNOTE BELOW) | |
| UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE | (\$147,340) |

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2015-2017 BIENNIUM**

FUND CTJ0000

INSTITUTION UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT MORRILTON

APPROPRIATION 729

| | DESCRIPTION | ACTUAL 2013-2014 | BUDGETED 2014-2015 | AUTHORIZED APPROPRIATION 2014-2015 | INSTITUTIONAL REQUEST / AHECB RECOMMENDATION | | LEGISLATIVE RECOMMENDATION | |
|----|-----------------------------------|---------------------|-----------------------|--|---|-------------|----------------------------|-----------|
| | | | | | 2015-2016 | 2016-2017 | 2015-2016 | 2016-2017 |
| 1 | REGULAR SALARIES | 4,669,421 | 4,577,620 | 6,000,000 | 6,000,000 | 6,000,000 | | |
| 2 | EXTRA HELP WAGES | 68,000 | 70,000 | 165,000 | 165,000 | 165,000 | | |
| 3 | OVERTIME | 1,093 | 3,000 | 25,000 | 25,000 | 25,000 | | |
| 4 | PERSONAL SERVICES MATCHING | 1,575,000 | 1,650,000 | 1,650,000 | 1,124,494 | 1,343,929 | | |
| 5 | OPERATING EXPENSES | | | 897,064 | | | | |
| 6 | CONFERENCE FEES & TRAVEL | | | | | | | |
| 7 | PROFESSIONAL FEES AND SERVICES | | | | | | | |
| 8 | CAPITAL OUTLAY | | | | | | | |
| 9 | FUNDED DEPRECIATION | | | | | | | |
| 10 | WORKERS COMP/SURETY PREMIUM | 1,246 | | | | | | |
| 11 | | | | | | | | |
| 12 | | | | | | | | |
| 13 | TOTAL APPROPRIATION | \$6,314,760 | \$6,300,620 | \$8,737,064 | \$7,314,494 | \$7,533,929 | \$0 | \$0 |
| 14 | PRIOR YEAR FUND BALANCE** | | | | | | | |
| 15 | GENERAL REVENUE | 5,022,155 | 5,022,155 | | 6,036,029 | 6,255,464 | | |
| 16 | EDUCATIONAL EXCELLENCE TRUST FUND | | | | | | | |
| 17 | SPECIAL REVENUES * [WF2000] | 1,291,186 | 1,278,465 | | 1,278,465 | 1,278,465 | | |
| 18 | FEDERAL FUNDS IN STATE TREASURY | | | | | | | |
| 19 | TOBACCO SETTLEMENT FUNDS | | | | | | | |
| 20 | OTHER STATE TREASURY FUNDS | 1,419 | | | | | | |
| 21 | TOTAL INCOME | \$6,314,760 | \$6,300,620 | | \$7,314,494 | \$7,533,929 | \$0 | \$0 |
| 22 | EXCESS (FUNDING)/APPROPRIATION | \$0 | \$0 | | \$0 | \$0 | \$0 | \$0 |

* Report WF2000 funds on line 17 - "Special Revenues".

FORM 15-3

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS
2015-2017 BIENNIUM**

FUND 2890000

INSTITUTION UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE MORRILTON

APPROPRIATION B64

| | DESCRIPTION | ACTUAL 2013-2014 | BUDGETED 2014-2015 | AUTHORIZED APPROPRIATION 2014-2015 | INSTITUTIONAL REQUEST / AHECB RECOMMENDATION | | LEGISLATIVE RECOMMENDATION | |
|----|---|---------------------|-----------------------|--|---|--------------|----------------------------|-----------|
| | | | | | 2015-2016 | 2016-2017 | 2015-2016 | 2016-2017 |
| 1 | REGULAR SALARIES | 2,861,446 | 9,000,000 | 9,000,000 | 9,000,000 | 9,000,000 | | |
| 2 | EXTRA HELP WAGES | 140,896 | 600,000 | 600,000 | 600,000 | 600,000 | | |
| 3 | OVERTIME | 3,471 | 25,000 | 25,000 | 25,000 | 25,000 | | |
| 4 | PERSONAL SERVICES MATCHING | 720,274 | 4,000,000 | 4,000,000 | 4,000,000 | 4,000,000 | | |
| 5 | OPERATING EXPENSES | 3,914,928 | 9,000,000 | 9,000,000 | 9,000,000 | 9,000,000 | | |
| 6 | CONFERENCE FEES & TRAVEL | 58,081 | 250,000 | 250,000 | 250,000 | 250,000 | | |
| 7 | PROFESSIONAL FEES AND SERVICES | 420,601 | 750,000 | 750,000 | 750,000 | 750,000 | | |
| 8 | CAPITAL OUTLAY | 624,619 | 3,000,000 | 3,000,000 | 3,000,000 | 3,000,000 | | |
| 9 | CAPITAL IMPROVEMENTS | 0 | 15,000,000 | 15,000,000 | 15,000,000 | 15,000,000 | | |
| 10 | DEBT SERVICE | 477,346 | 1,200,000 | 1,200,000 | 1,200,000 | 1,200,000 | | |
| 11 | FUND TRANSFERS, REFUNDS AND INVESTMENTS | 0 | 750,000 | 750,000 | 750,000 | 750,000 | | |
| 12 | PROMOTIONAL ITEMS | 6,890 | 50,000 | 50,000 | 50,000 | 50,000 | | |
| 13 | | | | | | | | |
| 14 | | | | | | | | |
| 15 | | | | | | | | |
| 16 | TOTAL APPROPRIATION | \$9,228,552 | \$43,625,000 | \$43,625,000 | \$43,625,000 | \$43,625,000 | \$0 | \$0 |
| 17 | PRIOR YEAR FUND BALANCE*** | | | | | | | |
| 18 | TUITION AND MANDATORY FEES | 6,204,035 | 7,500,000 | | 7,500,000 | 7,500,000 | | |
| 19 | ALL OTHER FEES | | | | | | | |
| 20 | SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS | 120,971 | 75,000 | | 150,000 | 150,000 | | |
| 21 | INVESTMENT INCOME | 173,791 | 50,000 | | 250,000 | 250,000 | | |
| 22 | FEDERAL CASH FUNDS | 599,345 | 1,000,000 | | 1,000,000 | 1,000,000 | | |
| 23 | OTHER CASH FUNDS | 2,130,410 | 35,000,000 | | 34,725,000 | 34,725,000 | | |
| 24 | TOTAL INCOME | \$9,228,552 | \$43,625,000 | | \$43,625,000 | \$43,625,000 | \$0 | \$0 |
| 25 | EXCESS (FUNDING)/APPROPRIATION | \$0 | \$0 | | \$0 | \$0 | \$0 | \$0 |

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

| | ACTUAL 2013-2014 | BUDGETED 2014-2015 | AUTHORIZED 2014-2015 | INSTITUTIONAL REQUEST | AHECB RECOMMEND | LEGISLATIVE RECOMMENDATION |
|-------------------|---------------------|-----------------------|-------------------------|--------------------------|--------------------|----------------------------|
| | | | | 2015-2017 | 2015-2017 | 2015-2017 |
| REGULAR POSITIONS | 203 | 274 | 299 | 299 | 299 | |
| TOBACCO POSITIONS | | | | | | |
| EXTRA HELP ** | 34 | 110 | 110 | 110 | 110 | |

FORM 15-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE MORRILTON

(NAME OF INSTITUTION)

| | ACTIVITY | ACTUAL 2013-2014 | | | | BUDGETED 2014-2015 | | | |
|----|--|---------------------|-----------------------|-----------------|---------------|-----------------------|-----------------------|-----------------|---------------|
| | | INCOME | OPERATING EXPENSES | DEBT SERVICE | NET INCOME | INCOME | OPERATING EXPENSES | DEBT SERVICE | NET INCOME |
| 1 | INTERCOLLEGIATE ATHLETICS * | | | | 0 | | | | 0 |
| 2 | HOUSING | | | | 0 | 223,000 | 280,369 | | (57,369) |
| 3 | FOOD SERVICES | 192,406 | 279,648 | | (87,242) | | | | 0 |
| 4 | STUDENT UNION | | | | 0 | 1,612,903 | 1,545,534 | | 67,369 |
| 5 | BOOKSTORE | 1,347,593 | 1,300,297 | | 47,296 | | | | 0 |
| 6 | STUDENT ORGANIZATIONS AND PUBLICATIONS | | | | 0 | | | | 0 |
| 7 | OTHER | 36,104 | 46,839 | | (10,735) | 40,000 | 50,000 | | (10,000) |
| 8 | SUBTOTAL | \$1,576,103 | \$1,626,784 | \$0 | (\$50,681) | \$1,875,903 | \$1,875,903 | \$0 | \$0 |
| 9 | ATHLETIC TRANSFER ** | | | | 0 | | | | 0 |
| 10 | OTHER TRANSFERS *** | | | | 0 | | | | 0 |
| 11 | GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES | \$1,576,103 | \$1,626,784 | \$0 | (\$50,681) | \$1,875,903 | \$1,875,903 | \$0 | \$0 |

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 15-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 7 OTHER - Convenience Store Operations

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT MORRILTON
(NAME OF INSTITUTION)

| | | | | | | |
|---|------------|---------------------|----------|------------------------|----------|-----------------------------|
| TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2013-2014: | | | | | | <u>203</u> |
| | | | | | | (As of November 1, 2013) |
| Nonclassified Administrative Employees: | | | | | | |
| White Male: | <u>9</u> | Black Male: | <u>0</u> | Other Male: | <u>1</u> | Total Male: <u>10</u> |
| White Female: | <u>30</u> | Black Female: | <u>1</u> | Other Female: | <u>0</u> | Total Female: <u>31</u> |
| Nonclassified Health Care Employees: | | | | | | |
| White Male: | <u>0</u> | Black Male: | <u>0</u> | Other Male: | <u>0</u> | Total Male: <u>0</u> |
| White Female: | <u>0</u> | Black Female: | <u>0</u> | Other Female: | <u>0</u> | Total Female: <u>0</u> |
| Classified Employees: | | | | | | |
| White Male: | <u>16</u> | Black Male: | <u>2</u> | Other Male: | <u>1</u> | Total Male: <u>19</u> |
| White Female: | <u>43</u> | Black Female: | <u>1</u> | Other Female: | <u>0</u> | Total Female: <u>44</u> |
| Faculty: | | | | | | |
| White Male: | <u>39</u> | Black Male: | <u>0</u> | Other Male: | <u>0</u> | Total Male: <u>39</u> |
| White Female: | <u>57</u> | Black Female: | <u>0</u> | Other Female: | <u>1</u> | Total Female: <u>58</u> |
| Total White Male: | <u>64</u> | Total Black Male: | <u>3</u> | Total Other Male: | <u>2</u> | Total Male: <u>69</u> |
| Total White Female: | <u>130</u> | Total Black Female: | <u>3</u> | Total Other Female: | <u>1</u> | Total Female: <u>134</u> |
| Total White: | <u>194</u> | Total Black: | <u>6</u> | Total Other: | <u>3</u> | Total Employees: <u>203</u> |
| | | | | Total Minority: | <u>9</u> | |

FORM 15-6

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2014
 Required by A.C.A. 25-36-104

University of Arkansas Community College Morrilton

UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT MORRILTON

| Minority Business | Total Contract Awarded | Minority Type per A.C.A. 15-4-303 (2) | | | | | |
|-------------------|------------------------|---------------------------------------|-------------------|-----------------|----------------|---------------------------|------------------|
| | | African American | Hispanic American | American Indian | Asian American | Pacific Islander American | Disabled Veteran |
| N/A | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
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| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$0

(Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

**DIVISION OF LEGISLATIVE AUDIT
AUDIT OF UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT MORRILTON
June 30, 2013**

| | |
|-----------------|-------------------|
| <i>Finding:</i> | No Findings noted |
|-----------------|-------------------|

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