DEPARTMENT OF HUMAN SERVICES, MEDICAL SERVICES

SUBJECT: Home Health 1-18

DESCRIPTION: Effective January 1, 2019, the Home Health provider manual has been updated to require all owners, principals, operators, employees, and applicants for home health providers to comply with criminal background checks as required by Ark. Code Ann. §§ 20-33-213 and 20-38-191 et seq. Also, an old reference to ElderChoices and Alternative for Adults with Physical Disabilities is being corrected to ARChoices in Homecare.

PUBLIC COMMENT: The Department of Human Services (DHS) did not hold a public hearing. The public comment period expired on November 6, 2018. DHS received no comments.

The proposed effective date is January 1, 2019.

Per the agency, CMS approval is not required for these rule changes.

FINANCIAL IMPACT: Because this is budget neutral, there is no financial impact.

LEGAL AUTHORIZATION: Pursuant to Arkansas Code Annotated § 20-76-201, DHS shall administer assigned forms of public assistance, supervise agencies and institutions caring for dependent or aged adults or adults with mental or physical disabilities, and administer other welfare activities or services that may be vested in it. See Ark. Code Ann. § 20-76-201(1). DHS shall also make rules and regulations and take actions as are necessary or desirable to carry out the provisions of Title 20, Chapter 76, Public Assistance Generally, of the Arkansas Code. See Ark. Code Ann. § 20-76-201(12). Arkansas Code Annotated § 20-77-107 specifically authorizes DHS to “establish and maintain an indigent medical care program.” The criminal-background-check portion of this rule change was required to comply with Ark. Code Ann. §§ 20-33-213 and 20-38-191 et seq.
QUESTIONNAIRE FOR FILING PROPOSED RULES AND REGULATIONS
WITH THE ARKANSAS LEGISLATIVE COUNCIL

DEPARTMENT/AGENCY
Division of Medical Services

DIVISION DIRECTOR
Tami Harlan

CONTACT PERSON
Isaac Linam

ADDRESS
PO Box 1437, Slot S295, Little Rock, AR 72203-1437

PHONE NO.  501-320-6570   FAX NO.  4349   E-MAIL  Isaac.Linam@dhs.arkansas.gov

NAME OF PRESENTER AT COMMITTEE MEETING  Tami Harlan

PRESENTER E-MAIL  Tami.Harlan@dhs.arkansas.gov

INSTRUCTIONS

A. Please make copies of this form for future use.
B. Please answer each question completely using layman terms. You may use additional sheets, if necessary.
C. If you have a method of indexing your rules, please give the proposed citation after “Short Title of this Rule” below.
D. Submit two (2) copies of this questionnaire and financial impact statement attached to the front of two (2) copies of the proposed rule and required documents. Mail or deliver to:

Donna K. Davis
Administrative Rules Review Section
Arkansas Legislative Council
Bureau of Legislative Research
One Capitol Mall, 5th Floor
Little Rock, AR 72201

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1. What is the short title of this rule?  Home Health-1-18

2. What is the subject of the proposed rule?  Home Health providers must comply with criminal background checks law. Also a name change is corrected.

3. Is this rule required to comply with a federal statute, rule, or regulation?  Yes ☐  No ☒

   If yes, please provide the federal rule, regulation, and/or statute citation.

4. Was this rule filed under the emergency provisions of the Administrative Procedure Act?  Yes ☐  No ☒

   If yes, what is the effective date of the emergency rule?

   When does the emergency rule expire?

Will this emergency rule be promulgated under the permanent provisions of the Administrative Procedure Act?  Yes ☐  No ☐

Revised January 2017
5. Is this a new rule?  Yes □  No □  
If yes, please provide a brief summary explaining the regulation. _____

Does this repeal an existing rule?  Yes □  No □  
If yes, a copy of the repealed rule is to be included with your completed questionnaire. If it is being replaced with a new rule, please provide a summary of the rule giving an explanation of what the rule does. _____

Is this an amendment to an existing rule?  Yes □  No □  
If yes, please attach a mark-up showing the changes in the existing rule and a summary of the substantive changes. Note: The summary should explain what the amendment does, and the mark-up copy should be clearly labeled “mark-up.”

6. Cite the state law that grants the authority for this proposed rule? If codified, please give the Arkansas Code citation. Arkansas Code §§ 20-76-201, 20-77-107, and 25-10-129

7. What is the purpose of this proposed rule? Why is it necessary? Home Health Providers must comply with the criminal background checks as required by A.C. A 20-33-213 and 20-38-101 et. seq.

8. Please provide the address where this rule is publicly accessible in electronic form via the Internet as required by Arkansas Code § 25-19-108(b). https://medicaid.mmis.arkansas.gov/general/comment/comment.aspx

9. Will a public hearing be held on this proposed rule?  Yes □  No □  
If yes, please complete the following:

   Date:  N/A
   Time:  N/A
   Place:  N/A

10. When does the public comment period expire for permanent promulgation? (Must provide a date.)  
    November 6, 2018

11. What is the proposed effective date of this proposed rule? (Must provide a date.)  
    01/01/2019

12. Please provide a copy of the notice required under Ark. Code Ann. § 25-15-204(a), and proof of the publication of said notice. See attached.

13. Please provide proof of filing the rule with the Secretary of State and the Arkansas State Library as required pursuant to Ark. Code Ann. § 25-15-204(e). See attached.

14. Please give the names of persons, groups, or organizations that you expect to comment on these rules? Please provide their position (for or against) if known. Home health providers. Their position for or against is unknown at this time.
FINANCIAL IMPACT STATEMENT

PLEASE ANSWER ALL QUESTIONS COMPLETELY

DEPARTMENT  Department of Human Services
DIVISION  Division of Medical Services
PERSON COMPLETING THIS STATEMENT  Brian Jones
TELEPHONE  501-537-2064  FAX  501-682-3889  EMAIL: Brian.jones@dhs.arkansas.gov

To comply with Ark. Code Ann. § 25-15-204(e), please complete the following Financial Impact Statement and file two copies with the questionnaire and proposed rules.

SHORT TITLE OF THIS RULE  Home Health-1-18

1. Does this proposed, amended, or repealed rule have a financial impact?  Yes □  No □

2. Is the rule based on the best reasonably obtainable scientific, technical, economic, or other evidence and information available concerning the need for, consequences of, and alternatives to the rule?  Yes □  No □

3. In consideration of the alternatives to this rule, was this rule determined by the agency to be the least costly rule considered?  Yes □  No □

   If an agency is proposing a more costly rule, please state the following:
   
   (a) How the additional benefits of the more costly rule justify its additional cost;

   (b) The reason for adoption of the more costly rule;

   (c) Whether the more costly rule is based on the interests of public health, safety, or welfare, and if so, please explain; and;

   (d) Whether the reason is within the scope of the agency’s statutory authority; and if so, please explain.

4. If the purpose of this rule is to implement a federal rule or regulation, please state the following:

   (a) What is the cost to implement the federal rule or regulation?

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<thead>
<tr>
<th>Current Fiscal Year</th>
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<tbody>
<tr>
<td>General Revenue</td>
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<td>Federal Funds</td>
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<td>Cash Funds</td>
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<td>Special Revenue</td>
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<td>Other (Identify)</td>
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<td>Total</td>
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Revised January 2017
(b) What is the additional cost of the state rule?

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<tr>
<td>General Revenue</td>
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<td>Federal Funds</td>
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<td>Cash Funds</td>
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<td>Special Revenue</td>
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<td>Other (Identify)</td>
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<tr>
<td><strong>Total</strong></td>
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5. What is the total estimated cost by fiscal year to any private individual, entity and business subject to the proposed, amended, or repealed rule? Identify the entity(ies) subject to the proposed rule and explain how they are affected.

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<tr>
<th>Current Fiscal Year</th>
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6. What is the total estimated cost by fiscal year to state, county, and municipal government to implement this rule? Is this the cost of the program or grant? Please explain how the government is affected.

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This clarification on background checks would be considered budget neutral and therefore has no financial impact.

7. With respect to the agency’s answers to Questions #5 and #6 above, is there a new or increased cost or obligation of at least one hundred thousand dollars ($100,000) per year to a private individual, private entity, private business, state government, county government, municipal government, or to two (2) or more of those entities combined?

Yes □ No ☒

If YES, the agency is required by Ark. Code Ann. § 25-15-204(e)(4) to file written findings at the time of filing the financial impact statement. The written findings shall be filed simultaneously with the financial impact statement and shall include, without limitation, the following:

1. a statement of the rule’s basis and purpose;

2. the problem the agency seeks to address with the proposed rule, including a statement of whether a rule is required by statute;

3. a description of the factual evidence that:
   (a) justifies the agency’s need for the proposed rule; and
   (b) describes how the benefits of the rule meet the relevant statutory objectives and justify the rule’s costs;

Revised January 2017
(4) a list of less costly alternatives to the proposed rule and the reasons why the alternatives do not adequately address the problem to be solved by the proposed rule;

(5) a list of alternatives to the proposed rule that were suggested as a result of public comment and the reasons why the alternatives do not adequately address the problem to be solved by the proposed rule;

(6) a statement of whether existing rules have created or contributed to the problem the agency seeks to address with the proposed rule and, if existing rules have created or contributed to the problem, an explanation of why amendment or repeal of the rule creating or contributing to the problem is not a sufficient response; and

(7) an agency plan for review of the rule no less than every ten (10) years to determine whether, based upon the evidence, there remains a need for the rule including, without limitation, whether:
   (a) the rule is achieving the statutory objectives;
   (b) the benefits of the rule continue to justify its costs; and
   (c) the rule can be amended or repealed to reduce costs while continuing to achieve the statutory objectives.
Effective 01/01/2019 the Home Health provider manual has been updated to require all owners, principals, operators, employees and applicants for home health providers to comply with criminal background checks as required by Arkansas Code Annotated 20-33-213 and 20-38-101 et seq. Also, an old reference to ElderChoices and Alternative for Adults with Physical Disabilities is being corrected to ARChoices in Homecare.