



STATE OF ARKANSAS  
**Department of Finance  
and Administration**

**OFFICE OF THE DIRECTOR**  
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**G**

May 9, 2013

Senator Bruce Maloch, Co-Chair  
Representative Stephen Meeks, Co-Chair  
Performance Evaluation & Expenditure Review Committee  
Arkansas Legislative Council  
State Capitol Building  
Little Rock, AR 72201

RE: FY13 Miscellaneous Federal Grant Appropriation Request(s)

Dear Co-Chairs:

I am forwarding for appropriate action as required by law, the attached Miscellaneous Federal Grant Appropriation Request(s) that have received my approval as Chief Fiscal Officer of the State.

Sincerely,

A handwritten signature in black ink, appearing to read "Richard A. Weiss".

Richard A. Weiss  
Director

RAW:jds

Attachment(s)

**FY13 REQUEST FOR LEGISLATIVE REVIEW  
MISCELLANEOUS FEDERAL PROGRAMS ACT  
(A.C.A. 19-7-101 ET. SEQ.)**

**CHANGE IN EXISTING PROGRAM WITHOUT POSITIONS**

<u>Agency</u>	<u>Purpose of Grant &amp; Funding Percentages</u>	<u>Federal Appropriation Amount Requested</u>	<u>No. of Positions Requested (if any)</u>	<u>DFA - Chief Fiscal Officer Approve</u>	<u>DFA IGS State Technology Planning Agency Request in compliance with IT Plan Certification (if applicable)</u>
1. Arkansas Department of Agriculture - AAD Speciality Crop Block Grant Program	To enhance the competitiveness of Arkansas speciality crops. This grant award was larger than in prior years so additional appropriation is needed.	\$150,000		X	N/A

**Funding Percentages**

	Federal	State	Other	Total
FY12				0
FY13	100			100
FY14	100			100
FY15				0
FY16				0

Anticipated Duration of Federal Funds: September 29, 2015

**FY13 REQUEST FOR LEGISLATIVE REVIEW  
MISCELLANEOUS FEDERAL PROGRAMS ACT  
(A.C.A. 19-7-101 ET. SEQ.)**

**DFA IGS  
State Technology Planning  
Agency Request in  
compliance with IT Plan  
Certification. (if applicable)**

**NEW PROGRAM WITH POSITIONS**

<b>Agency</b>	<b>Federal Appropriation Amount Requested</b>	<b>No. of Positions Requested (if any)</b>	<b>DFA - Chief Fiscal Officer Approve</b>	<b>Disapprove</b>	<b>State Technology Planning Agency Request in compliance with IT Plan Certification. (if applicable)</b>
2. Arkansas Insurance Department - Level One "C" Coop Agreement	\$16,470,852	8	X		N/A

**Purpose of Grant &  
Funding Percentages**

Support establishment of the Arkansas-operated components of the Federally-facilitated Health Benefits Exchange.

**Funding Percentages**

	Federal	State	Other	Total
FY12				0
FY13	100			100
FY14	100			100
FY15				0
FY16				0

Anticipated Duration of Federal Funds: April 7, 2014

**FY13 REQUEST FOR LEGISLATIVE REVIEW  
MISCELLANEOUS FEDERAL PROGRAMS ACT  
(A.C.A. 19-7-101 ET. SEQ.)**

DFA IGS  
State Technology Planning  
Agency Request in  
compliance with IT Plan  
Certification. (if applicable)

**CHANGE IN PROGRAM WITHOUT POSITIONS**

<b>Agency</b>	<b>Purpose of Grant &amp; Funding Percentages</b>	<b>Federal Appropriation Amount Requested</b>	<b>No. of Positions Requested (if any)</b>	<b>DFA - Chief Fiscal Officer Approve</b>	<b>Disapprove</b>	<b>State Technology Planning Agency Request in compliance with IT Plan Certification. (if applicable)</b>
		\$3,175		X		N/A

3. Arkansas Insurance Department - (MIPPA) Medicare Improvement  
 Providing application assistance to people likely to be eligible for the Low-Income Subsidy Program (LIS). Medicare Savings Program (MSP) award from Medicare Improvement for Patient Providers Act (MIPPA) will add the Medicare Part D prescription drug program and outreach aimed at preventing disease and promoting wellness. Return unspent grant funds to the Payment Management System in Washington D.C.

**Funding Percentages**

	Federal	State	Other	Total
FY12				0
FY13	100			100
FY14				0
FY15				0
FY16				0

Anticipated Duration of Federal Funds: June 30, 2013

**FY13 REQUEST FOR LEGISLATIVE REVIEW  
MISCELLANEOUS FEDERAL PROGRAMS ACT  
(A.C.A. 19-7-101 ET. SEQ.)**

**DFA IGS  
State Technology Planning  
Agency Request in  
compliance with IT Plan  
Certification (if applicable)**

**CHANGE IN PROGRAM WITHOUT POSITIONS**

**Federal Appropriation  
Amount Requested**      **No. of Positions  
Requested (if any)**      **DFA - Chief  
Fiscal Officer**      **Approve**      **Disapprove**

\$14,000      X      N/A

**Purpose of Grant &  
Funding Percentages**

To assist with and enhance the department's approach to consumer information and insurance oversight as follows: Educate consumers on their rights and responsibilities with respect to group health plans and health insurance coverage; Assist consumer with enrollment in a group health plan or health insurance coverage by providing information, referral and assistance; Collect, track and quantify problems and inquiries encountered by consumer; Assist with filing of complaints and appeals, including filing appeals with the internal appeal or grievance process of the group health plan or health insurance issuer involved and providing information about external appeal process. RETURN UNSPENT GRANT FUNDS TO THE PAYMENT MANAGEMENT SYSTEM IN WASHINGTON D.C.

**Funding Percentages**

	Federal	State	Other	Total
FY12				0
FY13	100			100
FY14				0
FY15				0
FY16				0

**Agency**

4. Arkansas Insurance Department - Affordable Care Act-Consumer

Anticipated Duration of Federal Funds: June 30, 2013

**FY13 REQUEST FOR LEGISLATIVE REVIEW  
MISCELLANEOUS FEDERAL PROGRAMS ACT  
(A.C.A. 19-7-101 ET. SEQ.)**

**CHANGE IN PROGRAM WITHOUT POSITIONS**

DFA IGS  
State Technology Planning  
Agency Request in  
compliance with IT Plan  
Certification (if applicable)

DFA - Chief  
Fiscal Officer  
Approve Disapprove  
X

**Purpose of Grant &  
Funding Percentages**

**Federal Appropriation  
Amount Requested**

**No. of Positions  
Requested (if any)**

**Federal  
Amount Requested**

5. DAH - Arkansas Arts Council - AAC - Federal Program  
Addition is requested to allow processing of anticipated expenses (travel for council members and panelists, supplies, fuel for state vehicle, etc.) for the remainder of FY13.

\$10,000

X

N/A

**Funding Percentages**

	Federal	State	Other	Total
FY12				0
FY13	50	50		100
FY14				0
FY15				0
FY16				0

Anticipated Duration of Federal Funds: September 30, 2013

**UTILIZATION REPORT  
FOR  
MISCELLANEOUS FEDERAL GRANT PROGRAM APPROPRIATION  
AND PERSONNEL AUTHORIZATION REQUEST  
A.C.A. §19-7-501 ET SEQ.**

Date: 4/9/13 Grant ID 10.170 Legislative Review Date: \_\_\_\_\_  
 Agency: Arkansas Department of Agriculture Program Title: AAD Specialty Crop Block Grant Program  
 Granting Organization: USDA Agricultural Marketing Grant #: 12-25-B-1447  
 Effective Date of Authorization: Beginning: 9/30/12 Ending: 6/30/13

Purpose of Grant / Reason for addition or change: (include attachments as necessary to provide thorough information):  
 To enhance the competitiveness of Arkansas speciality crops. This grant award was larger than in prior years, so additional appropriation is needed.

**Project-Grant Funding**

Business Area Code: 0400  
 Funds Center Code: 37F  
 Fund Code: FFA9801  
 Functional Area Code: COMM

Continuation of Existing Program:   
 Change in Existing Program:   
 New Program:

	New Federal Funds	State Matching Funds	Other Matching Funds	Project Total
Regular Salaries				
Extra Help				
Operating Expenses				
Personal Services Matching				
Conference & Travel Expense				
Professional Fees				
Capital Outlay				
Data Processing				
American Recovery and Reinvestment Act of 2009				
Others: Grants/Aid	150,000			150,000
<b>Total</b>	\$ 150,000	\$	\$	\$ 150,000

	Funding Percentages				Type of Federal Grant	
	Federal	State	Other	Total		
FY 13	100 %	%	%	100 %	WIA	<input type="checkbox"/>
FY 14	%	%	%	%	Non-WIA	<input checked="" type="checkbox"/>
FY 15	%	%	%	%	ARRA	<input type="checkbox"/>
FY 16	%	%	%	%		
FY 17	%	%	%	%		

Anticipated Duration of Federal Funds 9/29/2015

DFA IGS State Technology Planning Data  
 Items requested for information technology must be in compliance with Technology Plans as submitted to DFA IGS State Technology Planning.

Positions to be established: (list each position separately)

Personnel Area	Position Number	Cost Center	Commitment Item	Position Title	Class Code	Grade	Line Item Maximum*

State funds will not be used to replace federal funds when such funds expire, unless appropriated by the General Assembly and authorized by the Governor.

Approved by: [Signature] 4-9-13 [Signature] 5/9/13  
 Agency Director Date Office of Budget Date Office of Personnel Mgmt Date

**UTILIZATION REPORT  
FOR  
MISCELLANEOUS FEDERAL GRANT PROGRAM APPROPRIATION  
AND PERSONNEL AUTHORIZATION REQUEST  
A.C.A. §19-7-501 ET SEQ.**

Date: 04/26/2013 Grant ID 1 HBEIE130160-01-00 Legislative Review Date: \_\_\_\_\_  
 Agency: Arkansas Insurance Department Program Title: Level One "C" Coop Agreement  
 Granting Organization: Department of Health & Human Services CMS CCIIO Grant #: 1 HBEIE130160-01  
 Effective Date of Authorization: Beginning: 05/01/2013 Ending: 06/30/2013

Purpose of Grant / Reason for addition or change: (include attachments as necessary to provide thorough information):

Support Establishment of the Arkansas-operated components of the Federally-facilitated Health Benefits Exchange.

**Project-Grant Funding**

Business Area Code: 0425  
 Funds Center Code: \_\_\_\_\_  
 Fund Code: FID1203  
 Functional Area Code: COMM  
 Continuation of Existing Program:   
 Change in Existing Program:   
 New Program:

	New Federal Funds	State Matching Funds	Other Matching Funds	Project Total
Regular Salaries	708,898			708,898
Extra Help				
Operating Expenses	356,676			356,676
Personal Services Matching	224,314			224,314
Conference & Travel Expense	96,201			96,201
Professional Fees	15,084,763			15,084,763
Capital Outlay				
Data Processing				
American Recovery and Reinvestment Act of 2009				
Others:				
<b>Total</b>	\$ 16,470,852	\$	\$	\$ 16,470,852

Add

Remove

**Funding Percentages**

**Type of Federal Grant**

	Federal	State	Other	Total
FY 13	100 %	%	%	100 %
FY 14	100 %	%	%	100 %
FY 15	%	%	%	%
FY 16	%	%	%	%
FY 17	%	%	%	%

WIA   
 Non-WIA   
 ARRA

Anticipated Duration of Federal Funds 04/07/2014

DFA IGS State Technology Planning Date  
 Items requested for information technology must be in compliance with Technology Plans as submitted to DFA IGS State Technology Planning.

Positions to be established: (list each position separately)

\* Gr 66 & 99 only

Personnel Area	Position Number	Cost Center	Commitment Item	Position Title	Class Code	Grade	Line Item Maximum*
1N01		327808	501:00:00	GRANTS COORDINATOR	G147C	C119	
1N01		327808	501:00:00	ATTORNEY SPECIALIST	G047C	C126	
1N01		327808	501:00:00	GRANTS COORDINATOR	G147C	C119	
1N01		327808	501:00:00	PROPERTY & CASUALTY MANAGER	X005C	C128	
1N01		327808	501:00:00	PROPERTY & CASUALTY MANAGER	X005C	C128	
1N01		327808	501:00:00	INSURANCE INVESTIGATOR	X123C	C116	
1N01		327808	501:00:00	SYSTEMS SPECIALIST	D022C	C124	
1N01		327808	501:00:00	INSURANCE DEPUTY COMMISSIONER	N023N	N910	

Budget Miscellaneous Federal Grant (R 07/26/12)



Add

Remove

State funds will not be used to replace federal funds when such funds expire, unless appropriated by the General Assembly and authorized by the Governor.

Approved by:

[Signature] 04/26/13  
Agency Director Date

[Signature] 5/9/13  
Office of Budget Date

[Signature]  
Office of Personnel Mgmt Date

**UTILIZATION REPORT  
FOR  
MISCELLANEOUS FEDERAL GRANT PROGRAM APPROPRIATION  
AND PERSONNEL AUTHORIZATION REQUEST  
A.C.A. §19-7-501 ET SEQ.**

Date: 05/01/2013 Grant ID 1X0CMS030452-1E Legislative Review Date: \_\_\_\_\_  
 Agency: ARKANSAS INSURANCE DEPARTMENT Program Title: (MIPPA) Medicare Improvement  
 Granting Organization: Department of Health and Human Services Grant #: 1X0CMS030452-1E  
 Effective Date of Authorization: Beginning: 05/01/2013 Ending: 06/30/2013

Purpose of Grant / Reason for addition or change: (Include attachments as necessary to provide thorough information):

Providing application assistance to people likely to be eligible for the Low-Income Subsidy Program (LIS). Medicare Savings Program (MSP) award from Medicare Improvement for Patient Providers Act (MIPPA) will add the Medicare Part D prescription drug program and outreach aimed at preventing disease and promoting wellness.  
 Return unspent grant funds to the Payment Management System in Washington D.C.

**Project-Grant Funding**

Business Area Code: 0425  
 Funds Center Code: 2TE  
 Fund Code: FID0200  
 Functional Area Code: COMM

Continuation of Existing Program:   
 Change in Existing Program:   
 New Program:

	New Federal Funds	State Matching Funds	Other Matching Funds	Project Total
Regular Salaries				
Extra Help				
Operating Expenses	3,175			3,175
Personal Services Matching				
Conference & Travel Expense				
Professional Fees				
Capital Outlay				
Data Processing				
American Recovery and Reinvestment Act of 2009				
Others:				
<b>Total</b>	\$ 3,175	\$	\$	\$ 3,175

**Funding Percentages**

	Federal	State	Other	Total
FY 13	100 %	%	%	100 %
FY 14	%	%	%	%
FY 15	%	%	%	%
FY 16	%	%	%	%
FY 17	%	%	%	%

**Type of Federal Grant**

WIA   
 Non-WIA   
 ARRA

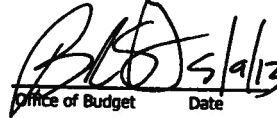
Anticipated Duration of Federal Funds 06/30/2013

DFA IGS State Technology Planning Date  
 Items requested for information technology must be in compliance with Technology Plans as submitted to DFA IGS State Technology Planning.

Positions to be established: (list each position separately)

Personnel Area	Position Number	Cost Center	Commitment Item	Position Title	Class Code	Grade	Line Item Maximum*

State funds will not be used to replace federal funds when such funds expire, unless appropriated by the General Assembly and authorized by the Governor.

Approved by:  
 05/01/13 Date  
 5/9/13 Date  
 \_\_\_\_\_ Date  
 Office of Personnel Mgmt

**UTILIZATION REPORT  
FOR  
MISCELLANEOUS FEDERAL GRANT PROGRAM APPROPRIATION  
AND PERSONNEL AUTHORIZATION REQUEST  
A.C.A. §19-7-501 ET SEQ.**

Date: 05/03/2013 Grant ID 6 CAPCA110008-01-01 Legislative Review Date: \_\_\_\_\_  
 Agency: ARKANSAS INSURANCE DEPARTMENT Program Title: Affordable Care Act-Consumer  
 Granting Organization: Department of Health and Human Services Grant #: 6 CAPCA110008-01  
 Effective Date of Authorization: Beginning: 05/01/2013 Ending: 06/30/2013

Purpose of Grant / Reason for addition or change: (Include attachments as necessary to provide thorough information):

To assist with and enhance the department's approach to consumer information and insurance oversight as follows: Educate consumers on their rights and responsibilities with respect to group health plans and health insurance coverage; Assist consumer with enrollment in a group health plan or health insurance coverage by providing information, referral and assistance; Collect, track and quantify problems and inquiries encountered by consumer; Assist with filing of complaints and appeals, including filing appeals with the Internal appeal or grievance process of the group health plan or health insurance issuer involved and providing information about external appeal process.

RETURN UNSPENT GRANT FUNDS TO THE PAYMENT MANAGEMENT SYSTEM IN WASHINGTON, D. C.

**Project-Grant Funding**

Business Area Code: 0425  
 Funds Center Code: 85Z  
 Fund Code: FID1300  
 Functional Area Code: COMM

Continuation of Existing Program:   
 Change in Existing Program:   
 New Program:

	New Federal Funds	State Matching Funds	Other Matching Funds	Project Total
Regular Salaries				
Extra Help				
Operating Expenses	14,000			14,000
Personal Services Matching				
Conference & Travel Expense				
Professional Fees				
Capital Outlay				
Data Processing				
American Recovery and Reinvestment Act of 2009				
Others:				
<b>Total</b>	\$ 14,000	\$	\$	\$ 14,000

Add

Remove

**Funding Percentages**

	Federal	State	Other	Total
FY 13	100 %	%	%	100 %
FY 14	%	%	%	%
FY 15	%	%	%	%
FY 16	%	%	%	%
FY 17	%	%	%	%

**Type of Federal Grant**

WIA   
 Non-WIA   
 ARRA

Anticipated Duration of Federal Funds 06/30/2013

DFA IGS State Technology Planning Date

Items requested for information technology must be in compliance with Technology Plans as submitted to DFA IGS State Technology Planning.

Positions to be established: (list each position separately)

Personnel Area	Position Number	Cost Center	Commitment Item	Position Title	Class Code	Grade	Line Item Maximum*

Add

Remove

State funds will not be used to replace federal funds when such funds expire, unless appropriated by the General Assembly and authorized by the Governor.

Approved by:

  
 Agency Director

05/03/13  
 Date

  
 Office of Budget

Date

Office of Personnel Mgmt

Date

FY13

**UTILIZATION REPORT  
FOR  
MISCELLANEOUS FEDERAL GRANT PROGRAM APPROPRIATION  
AND PERSONNEL AUTHORIZATION REQUEST  
A.C.A. §19-7-501 ET SEQ.**

Date: 04/26/2013 Grant ID AAC-001 Legislative Review Date: \_\_\_\_\_

Agency: DAH-Arkansas Arts Council Program Title: AAC-Federal Program

Granting Organization: National Endowment for the Arts Grant #: 12-6100-2062

Effective Date of Authorization: \_\_\_\_\_ Beginning: 10/01/2012 Ending: 6/30/2013

Purpose of Grant / Reason for addition or change: (Include attachments as necessary to provide thorough information):  
 Addition is requested to allow processing of anticipated expenses (travel for council members and panelists, supplies, fuel for state vehicle, etc.) for the remainder of FY13.

**Project-Grant Funding**

Business Area Code: 0870  
 Funds Center Code: 496  
 Fund Code: FHH0228  
 Functional Area Code: REC

Continuation of Existing Program:   
 Change in Existing Program:   
 New Program:

	New Federal Funds	State Matching Funds	Other Matching Funds	Project Total
Regular Salaries				
Extra Help				
Operating Expenses	10,000	10,000		20,000
Personal Services Matching				
Conference & Travel Expense				
Professional Fees				
Capital Outlay				
Data Processing				
American Recovery and Reinvestment Act of 2009				
Others:				
<b>Total</b>	\$ 10,000	\$ 10,000	\$	\$ 20,000

Add

Remove

	Funding Percentages					Total	Type of Federal Grant	
	Federal	State	Other					
FY 13	50	%	50	%	%	100	%	WIA <input type="checkbox"/>
FY 14								Non-WIA <input checked="" type="checkbox"/>
FY 15								ARRA <input type="checkbox"/>
FY 16								
FY 17								

Anticipated Duration of Federal Funds 09/30/2013

DFA IGS State Technology Planning Date  
 Items requested for information technology must be in compliance with Technology Plans as submitted to DFA IGS State Technology Planning.

Positions to be established: (list each position separately)

\* Gr 66 & 99 only

Personnel Area	Position Number	Cost Center	Commitment Item	Position Title	Class Code	Grade	Line Item Maximum*

Add

Remove

State funds will not be used to replace federal funds when such funds expire, unless appropriated by the General Assembly and authorized by the Governor.

Approved by: [Signature] 4-26-13  
 Agency Director Date

[Signature] 5/1/13  
 Office of Budget Date

Office of Personnel Mgmt Date

NA  
5/1/13