



STATE OF ARKANSAS
**Department of Finance
and Administration**

C
OFFICE OF THE SECRETARY
1509 West Seventh Street, Suite 401
Post Office Box 3278
Little Rock, Arkansas 72203-3278
Phone: (501) 682-2242
Fax: (501) 682-1029
www.arkansas.gov/dfa

February 11, 2022

Senator Ricky Hill, Co-Chair
Representative Monte Hodges, Co-Chair
JBC PEER-Review Subcommittee
State Capitol Building
Little Rock, AR 72201

RE: FY 22 American Rescue Plan Act Request

Dear Co-Chairs:

Pursuant to Section 38 (01) of Act 997 of 2021, I am forwarding the attached American Rescue Plan Act request(s) that have received my approval as Chief Fiscal Officer of the State.

- Department of Human Services – Division of County Operations – To upgrade IT infrastructure in all county offices and relocate the Washington County Office \$3,626,229
- Department of Commerce – Division of Workforce Services – To support innovative strategies and solutions for unemployment fraud \$2,456,000
- South Arkansas Community College – Lost revenue recovery and update computer workstations \$998,273
- Arkansas State University – Newport – Reimbursement of tech purchases, lost revenue and indirect costs \$2,136,128
- University of Arkansas at Little Rock – To provide direct grants to students \$3,644,071
- University of Arkansas for Medical Sciences – Grants for eligible students and students with past due accounts \$1,478,347.55
- University of Arkansas for Medical Sciences – Community engaged partnership to reduce COVID-19 through self-testing in Hispanic and NHPI communities \$570,000
- University of Arkansas for Medical Sciences – Support of staff wellness efforts in Head Start \$866,266



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- Arkansas Tech University – Modification to original request that was approved in September, 2021 \$0
- University of Arkansas Community College at Hope-Texarkana – Modification to original request that was approved in July, 2021 \$0
- Department of Commerce – Development Finance Authority – To prevent mortgage delinquencies and defaults, foreclosures, loss of utilities or home energy services, and displacement of homeowners experiencing financial hardship after January, 20, 2020 \$56,997,281.70

Sincerely,

Larry W. Walther
Cabinet Secretary

LWW
Attachment(s)

**AMERICAN RESCUE PLAN ACT OF 2021 PROGRAM APPROPRIATION
AND PERSONNEL AUTHORIZATION REQUEST
SECTION 38 OF ACT 997 OF 2021**

C1

Agency: DHS - Division of County Operations Business Area Code: 0710

Program Title: Supplemental Nutrition Assistance Program (SNAP)

Granting Organization: USDA - Food and Nutrition Service CFDA #: 10.561

Effective Date of Authorization: Beginning: 7.1.2021 Ending: 6.30.2022

Purpose of Grant / Reason for addition or change (include attachments as necessary to provide thorough information):
The DHS Division of County Operations requests appropriation for any expenses associated with the American Rescue Plan Act of 2021 and will comply with the requirements of Act 997 of 2021 and all Terms and Conditions of the Federal Awarding Agency. Appropriation will be transferred between commitment line items as necessary once additional guidance is received from grantor agency. Section 1101(b) of the American Rescue Plan Act of 2021 provides additional appropriated funds for the Supplemental Nutrition Assistance Program (SNAP) administrative expenses to assist State agencies in carrying out legislative provisions and administering SNAP. Arkansas has been awarded \$3,626,229.39 for FFY2022.

American Rescue Plan Act Program Funding

Func. Area: HHS Fund Code: FRP7151 Direct Funding: X
Funds Center: AL2 Internal Order/WBS Element: RH2PAR21 Steering Comm. Approved: _____

	Program Funding Amount
Regular Salaries	
Extra Help	
Personal Services Matching	
Operating Expenses	2,704,136
Conference & Travel Expenses	
Professional Fees	
Capital Outlay	
Data Processing (590:00:44)	922,093
Grants and Aid (CI: 04)	
Other:	
Other:	
Total	\$ 3,626,229

Anticipated Duration of Federal Funds: 09.30.2022

M. Lysha Li 12/29/2021
DFA IGS State Technology Planning Date
Items requested for information technology must be in compliance with Technology Plans as submitted to DFA IGS State Technology Planning.

Positions to be established: (list each position separately)

Org Unit	Pers Area	Pers SubArea	Cost Center	Position Number	Comnt Item	Position Title	Class Code	Grade	Line Item Maximum *

State funds will not be used to replace federal funds when such funds expire, unless appropriated by the General Assembly and authorized by the Governor.

Approved by: _____

M. Lysha Li
12/28/21
Cabinet Secretary/Agency Director

Date 12/16/2021

John R. ...
Office of Budget Date 12/27/2021

Office of Personnel Mgmt Date

**Arkansas DHS Division of County Operations (DHS-DCO)
American Rescue Plan – USDA Food and Nutrition Services
SNAP State Administrative Expenditures Grant**

On March 11, 2021, President Joseph R. Biden signed into law the American Rescue Plan Act of 2021. This legislation provides support for American families, State governments, and communities to rebuild lives and respond to the COVID-19 public health emergency. Section 1101(b) of the American Rescue Plan Act of 2021 provides an additional \$1.15 billion of appropriated funds for SNAP administrative expenses to assist State agencies in carrying out legislative provisions and administering SNAP. These funds are available at a 100 percent reimbursement rate.

Arkansas will receive \$3,626,229.39. These funds must be obligated by September 30, 2022 and expended by December 31, 2022.

FNS' expectation is that State agencies will use these funds to achieve the President's objective with this legislation, which is to make a difference in people's everyday lives. This funding provides a unique opportunity for State agencies to make investments into their business processes, including technology, that will increase access while maintaining stewardship of Federal dollars.

DHS-DCO will use these funds in the following categories:

- Certification** – Arkansas will use approximately 70% of FY 2022 SAE allocation to defray one-time costs to replace our county office in Washington County. Arkansas DHS maintains a county office location in each county in the state to provide services to our clients. Washington County is one of our most heavily populated counties in our state. We are losing our leased county office space in Washington County and must be out of this space by the end of March 2022. We have been actively searching for a suitable new location. We have identified new space with the adequate size, parking, and proximity to public transportation that is needed. We will use approximately \$1,902,755 (52%) to cover the cost to remodel the existing space to suit the needs of an active county office, including private interview spaces to conduct in-person interviews as needed for SNAP clients. Private kiosk space will be available for online applicants to ensure privacy and ease of access. *Arkansas will save interest charges of approximately \$807,000 over the next ten years by paying this cost at the beginning of our lease, rather than financing it into our lease rate.* Approximately \$600,000 (16%) of Arkansas' allocation will be used to cover cubicles, furniture, set up, and physical moving expenses for the new office. The following table provides specific details on the planned use of funds:

Item	Approx. Total Cost
Building Remodel	\$ 1,902,755
Cubicles, furnishings	\$ 580,000
Moving Expenses	\$ 20,000

- **Certification** - Arkansas will use approximately 9% of these funds to replace desks and chairs in our offices throughout the state that are worn out. Approximate amount equals \$345,000.
- **ADP Operations:** Upgrades to support IT infrastructure in county offices – Approximately 21% will be spent to replace network switches, cables, laptops and scanners that support DHS-DLU operations in county offices. In addition to this, investments will be made in equipment and software to enhance and support the delivery of virtual training for staff. The following table provides specific details on the planned equipment and software order:

Item	Approx. Total Cost
Network switches and cables	\$ 200,000
Xerox Documate 6440 scanners	\$ 75,000
DHS Mobile Precision 3560 laptops	\$ 355,000
DHS Mobile Precision Workstation 3560 i7	\$ 41,685
4K Dual Display Docking Station with 60W Power	\$ 63,143
Laptop Carry Case	\$ 16,950
Dell 27" Monitor	\$ 12,600
One-time software purchases for enhanced virtual training content	\$ 6,475
Camcorder plus equipment for enhanced virtual training content	\$ 1,240
Headsets	\$ 4,590
Miscellaneous Training Equipment (microphones, green screens, ring lights)	\$ 1,791

Reviewed and Approved by SWRO FNS 11/9/2021

JEFFRY WINGATE Digitally signed by Jeffrey Wingate
DN: cn=Jeffrey Wingate, email=jeffrey.wingate@swro.com

**AMERICAN RESCUE PLAN ACT OF 2021 PROGRAM APPROPRIATION
AND PERSONNEL AUTHORIZATION REQUEST
SECTION 38 OF ACT 997 OF 2021**

Agency: Division of Workforce Service Business Area Code: 0810

Program Title: Unemployment Insurance

Granting Organization: U.S Department of Labor CFDA #: 17.225

Effective Date of Authorization: Beginning: 9/1/2021 Ending: 6/30/2022

Purpose of Grant / Reason for addition or change (include attachments as necessary to provide thorough information):
American Rescue Plan- Fraud Detection & Prevention -used to support innovative strategies and solutions to 1) strengthen identity verification of Unemployment Insurance (UI) claimants; 2) enhance fraud detection and prevention strategies; 3) improve data management and analytic capabilities; 4) increase cybersecurity; and 5) expand overpayment recovery efforts.

American Rescue Plan Act Program Funding

Func. Area: COMM 810 Fund Code: New Direct Funding: X
Funds Center: New Internal Order/WBS Element: New Steering Comm. Approved: _____

	Program Funding Amount
Regular Salaries	705,953
Extra Help	
Personal Services Matching	287,000
Operating Expenses	1,070,971
Conference & Travel Expenses	
Professional Fees	250,000
Capital Outlay	
Data Processing	
Grants and Aid (CI: 04)	
Other: Overtime	142,076
Other:	
Total	\$ 2,456,000

Anticipated Duration of Federal Funds: 08/31/2023

Minghua Li 1/12/2022
DFA IGS State Technology Planning Date
Items requested for information technology must be in compliance with Technology Plans as submitted to DFA IGS State Technology Planning.

Positions to be established: (list each position separately)

Org Unit	Pers Area	Pers SubArea	Cost Center	Position Number	Comit Item	Position Title	Class Code	Grade	Line Item Maximum *
22 8710	ES01	NEL1	426608			ACOM DWS Program Monitor	ABC	GS07	

State funds will not be used to replace federal funds when such funds expire, unless appropriated by the General Assembly and authorized by the Governor.

Approved by: Charles Preston 12/14/21 John R 2-8-22 Kay Baerhill
Cabinet Secretary/Agency Director Date Office of Budget Date Office of Personnel Mgmt Date
MTurner 1/11/22 1/19/22

Michael Preston 1/8/22 DOB
Michael Preston, Cabinet Secretary, Arkansas Department of Commerce Date

UI Fraud -ARPA-Detailed Plan

Purpose:

The funds will be used to support innovative strategies and solutions to 1) strengthen identity verification of Unemployment Insurance (UI) claimants; 2) enhance fraud detection and prevention strategies; 3) improve data management and analytic capabilities; 4) increase cybersecurity; and 5) expand overpayment recovery efforts.

Project Details:

The funding will be used for eligibility fraud which generally occurs when benefits or services are acquired because of false information with the intent to receive benefits for which an individual or individuals would not otherwise be qualified and identity fraud when one person acquires and uses the identifying information of another person in order to illegally receive benefits. The fraud can happen either at the time of UI application submission, or by changing key user data like bank account information after a claim has been established. The Division of Workforce Services Efforts are to implement National Institute of Standards and Technology (NIST)-Compliant identify proofing, specifically IAL2 and AAL2, before claimants start filing out the UI claims application and for re-accessing their account.

Funding will also be used to strengthen identity verification of UI claimants, enhance fraud detection and prevention strategies, improve data management and analytic capabilities, increase cybersecurity, and expand overpayment recovery efforts in all UC programs. Using the funds to Procuring, implementing, and paying reoccurring subscription costs and/or ongoing maintenance costs of identity verification and fraud detection solutions; implementing or enhancing data analytics capabilities to understand the nature and prevalence of fraud and the impact of fraud mitigation efforts; implementing cross-matching to detect suspicious activity, including synthetic or false identities, and identification of other patterns of fraudulent behavior; implementing or enhancing cybersecurity perimeter defense for the websites and web applications responsible for initial application steps and regular certification; Assessing and mitigating disproportionate impact of fraud measures on vulnerable communities such as communities of color, communities with disabilities, limited English proficient and immigrant communities, and certain geographic communities; assessing and mitigating security vulnerabilities; hiring staff or obtaining contract services to conduct fraud investigations, other eligibility fraud and identity fraud detection-related activities, and support overpayment recovery efforts; administrative expenses incurred by the state to gather business requirements, program computer systems, or otherwise implement tools, strategies, or solutions to strengthen fraud detection, prevention, and recovery efforts.

Justification:

Nationally, Unemployment Insurance (UI) programs have been inundated with fraudulent claims. In Arkansas, fraudulent claims have affected both the State UI Trust Fund and the Federal CARES Act funding. The Division of Workforce Services (DWS) has flagged over 73,000

Pandemic Unemployment Assistance (PUA) claims as potentially fraudulent in addition to the approximately 40,000 traditional UI claims and PUA claims that have been reported as fraudulent due to identity theft. If those claims had not been stopped, the potential for loss would have been immense. Additional fraud detection measures have been implemented as quickly as possible to sufficiently mitigate this threat to the programs.

We need to increase the capacity of our dedicated resources to investigate all of these claims on a case-by-case basis; however, the full investigation process takes a substantial amount of time to complete due to the complexities involved in the various fraud schemes We previously developed a plan with all of the departments within DWS and in conjunction with the NASWA Integrity Data Hub, Arkansas Department of Inspector General Office of Internal Audit, United States Secret Service, and the United States Department of Labor Office of Inspector General.

DWS is committed to continuing to combat the high volume of fraudulent unemployment insurance claims in order to maintain the integrity of the program. Unemployment Insurance Program Letter (UIPL) 22-21 provides an opportunity for states to combat fraud in the UI program, including supporting innovative strategies and solutions to assist with identity verification and combatting identity fraud and to strengthen fraud detection and prevention efforts.

The fraud strategies and solutions funded with the sources available above will enable us to analyze the results in a timelier manner. Due to the statute of limitations, fraud solutions must continue to be implemented as quickly as possible to recover the overpayments and ensure the proper resolution of the perpetrators of the fraud.

The Division or Workforce Services contractors to provide technical expertise and preventing and discovering fraud.

Total IT Budget	Total IA Fraud	Total BPC	Total Budget
\$742,943.56	\$1,087,394.18	\$625,662.26	\$2,456,000.00

**AMERICAN RESCUE PLAN ACT OF 2021 PROGRAM APPROPRIATION
AND PERSONNEL AUTHORIZATION REQUEST
SECTION 38 OF ACT 997 OF 2021**

Agency: South Arkansas Community College Business Area Code: 0193

Program Title: Education Stabilization Fund (American Rescue Plan)

Granting Organization: US Department of Education CFDA #: 84.425F

Effective Date of Authorization: Beginning: 7/1/2021 Ending: 6/30/2022

Purpose of Grant / Reason for addition or change (include attachments as necessary to provide thorough information):

See attachement

American Rescue Plan Act Program Funding

Func. Area: CCOL Fund Code: 221ARPA Direct Funding: X
Funds Center: D70 Internal Order/WBS Element: _____ Steering Comm. Approved: _____

	Program Funding Amount
Regular Salaries	
Extra Help	
Personal Services Matching	
Operating Expenses	
Conference & Travel Expenses	
Professional Fees	
Capital Outlay	
Data Processing	
Grants and Aid (CI: 04)	
Other: Lost Revenue	863,273
Other: Technology Related (CI: 46)	135,000
Total	\$ 998,273

Anticipated Duration of Federal Funds: 5/30/22

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DFA IGS State Technology Planning Date
Items requested for information technology must be in compliance with Technology Plans as submitted to DFA IGS State Technology Planning.

Positions to be established: (list each position separately)

* unclassified positions only

Org Unit	Pers Area	Pers SubArea	Cost Center	Position Number	Cmnt Item	Position Title	Class Code	Grade	Line Item Maximum *

State funds will not be used to replace federal funds when such funds expire, unless appropriated by the General Assembly and authorized by the Governor.

Approved by:

[Signature] 1/13/22 [Signature] 28-22
Cabinet Secretary/Agency Director Date Office of Budget Date

Office of Personnel Mgmt Date

TM 01/13/2022

South Arkansas Community College

P.O. Box 7010 El Dorado, AR 71731 1-800-955-2289

OFFICE OF THE PRESIDENT



January 13, 2022

Larry W. Walther, Secretary
Department of Finance and Administration
Office of the Secretary
1509 West 7th Street, Suite 401
Little Rock, AR 72203-3278

RE: American Rescue Plan Act Funding (Act 997 of 2021)

Dear Secretary Walther:

South Arkansas Community College (SouthArk) respectfully requests review of appropriation from the American Rescue Plan Act authorized in Section 38 of Act 997 of 2021 to (1) recover lost revenue from tuition, fees, and allowable auxiliary enterprises, and (2) purchase desktop and classroom computer stations with enhanced communication features to allow for synchronous deliver of course content in-person and online.

This request is for FY2022. The total funding to be dispersed from the American Rescue Plan portion of the Education Stabilization Fund for this request for SouthArk is \$998,273. Please find the attached support documentation for this request.

Thank you for your consideration of this request.

Sincerely,

A handwritten signature in blue ink, appearing to read "Bentley Wallace".

Bentley Wallace, President
South Arkansas Community College

January 13, 2022

South Arkansas Community College ARPA Appropriation Request Supporting Documentation

Item 1: Recovery of Lost Revenue

Per guidance from the U.S. Department of Education, sources of lost revenue associated with the COVID-19 pandemic may include but are not limited to, the following:

Academic sources: Tuition, fees, and institutional charges (including unpaid student accounts receivable or other student account debts); Room and board; Enrollment declines, including reduced tuition, fees, and institutional charges; Supported research; Summer terms and camps.

Auxiliary services sources: Cancelled ancillary events; Disruption of food service; Dormitory services; Childcare services; Use of facilities or venues, including external events such as weddings, receptions, or conferences (other than facilities associated with sectarian instruction or religious worship); Bookstore revenue; Parking revenue; Lease revenue; Royalties; Other operating revenue.

• Tuition and Fees (Summer 2021 + Fall 2021)	\$ 437,537
• Conference Center / Facilities Usage (Jan 2021 through Dec 2021)	\$ 159,627
• Bookstore Revenue (Apr 2021 through Dec 2021)	\$ <u>266,109</u>
Total Lost Revenue Request	\$ 863,273

Item 2: Upgrade / Replacement of Classroom Computers

Purchase desktop and classroom computer stations with enhanced communication features to allow for synchronous deliver of course content in-person and online:

- 115 Dell OptiPlex 5490 All-In-One Computers **\$ 135,000**
*See attached quote from CWDG LLC

**AMERICAN RESCUE PLAN ACT OF 2021 PROGRAM APPROPRIATION
AND PERSONNEL AUTHORIZATION REQUEST
SECTION 38 OF ACT 997 OF 2021**

Agency: Arkansas State University Newport Business Area Code: 0129
 Program Title: Education Stabilization Fund (American Rescue Plan)
 Granting Organization: US Department of Education CFDA #: 84.25E & 84.25F
 Effective Date of Authorization: Beginning: 4/24/2020 Ending: 6/30/2022

Purpose of Grant / Reason for addition or change (include attachments as necessary to provide thorough information):

The Higher Education Emergency Relief Fund III (HEERF III) is authorized by the American Rescue Plan (ARP), Public Law 117-2 to provide emergency support to students and to support institutions of higher education to serve students and ensure learning continues during the COVID-19 pandemic.

*Technology purchases include: laptops, improved network equipment and security equipment such as firewalls to improve remote learning.

American Rescue Plan Act Program Funding

Func. Area: UNIV Fund Code: 229ARPA Direct Funding: X
 Funds Center: D54 Internal Order/WBS Element: _____ Steering Comm. Approved: _____

	Program Funding Amount
Regular Salaries	
Extra Help	
Personal Services Matching	
Operating Expenses	
Conference & Travel Expenses	
Professional Fees	
Capital Outlay	
Data Processing	
Grants and Aid (CI: 04)	
Other: Reimbursement for technology purchase *	957,687
Reimbursement for indirect cost	798,844
Reimbursement for Lost Revenue	379,597
Total	\$ 2,136,128

Anticipated Duration of Federal Funds: 6/30/2022

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DFA IGS State Technology Planning Date
 Items requested for information technology must be in compliance with Technology Plans as submitted to DFA IGS State Technology Planning.

Positions to be established: (list each position separately)

* unclassified positions only

Org Unit	Pers Area	Pers SubArea	Cost Center	Position Number	Cmnt Item	Position Title	Class Code	Grade	Line Item Maximum *
NA									

State funds will not be used to replace federal funds when such funds expire, unless appropriated by the General Assembly and authorized by the Governor.

Approved by: [Signature] 01-13-2022 [Signature] 2-8-22
 Cabinet Secretary/Agency Director Date Office of Budget Date

TM 01/13/2022



OFFICE OF THE PRESIDENT
501 Woodlane, Suite 600 | Little Rock, AR 72201 | o: 501-660-1000 | f: 501-660-1010

January 13, 2021

Larry W. Walther, Secretary
Department of Finance and Administration
Office of the Secretary
1509 West 7th Street, Suite 401
Little Rock, AR 72203-3278

RE: American Rescue Plan Act Funding – (Act 997 of 2021)

Dear Secretary Walther:

The Arkansas State University System respectfully requests review of appropriation from the American Rescue Plan Act authorized in Section 39 of Act 997 of 2021 to reimburse for technology purchases, indirect costs and lost revenues.

The total funding to be disbursed or reimbursed from the Education Stabilization Fund (American Rescue Plan) for Arkansas State University (ASU) Newport is \$2,136,128. Please find attached the form.

Thank you for your expedited consideration of this request.

Sincerely,

A handwritten signature in black ink, appearing to read 'Charles L. Welch', written in a cursive style.

Charles L. Welch
President

**AMERICAN RESCUE PLAN ACT OF 2021 PROGRAM APPROPRIATION
AND PERSONNEL AUTHORIZATION REQUEST
SECTION 38 OF ACT 997 OF 2021**

Agency: University of Arkansas at Little Rock Business Area Code: 0145

Program Title: American Rescue Plan Act of 2021

Granting Organization: US Department of Education CFDA #: 84.425E & 84.425F

Effective Date of Authorization: Beginning: 7/1/2021 Ending: 6/30/2022

Purpose of Grant / Reason for addition or change (include attachments as necessary to provide thorough information):

The Higher Education Emergency Relief Fund III (HEERF III) is authorized by the American Rescue Plan (ARP), Public Law 117-2, signed into law on March 11, 2021. This grant provides support to institutions of higher education to serve students and ensure learning continues during the COVID-19 pandemic.

American Rescue Plan Act Program Funding

Functional Area Code: UNIV Fund Code: 201ARPA Direct Funding: X
Funds Center Code: D56 Internal Order/WBS Element: _____ Steering Comm. Approved: _____

	Program Funding Amount
Regular Salaries	
Extra Help	
Personal Services Matching	
Operating Expenses	
Conference & Travel Expenses	
Professional Fees	
Capital Outlay	
Data Processing	
Grants and Aid (CI: 04)	3,644,071
Other: Lost Revenue	
Other: Lost Revenue	
Total	\$ 3,644,071

Anticipated Duration of Federal Funds: 10/31/2022

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DFA IGS State Technology Planning Date
Items requested for information technology must be in compliance with Technology Plans as submitted to DFA IGS State Technology Planning.

Positions to be established: (list each position separately)

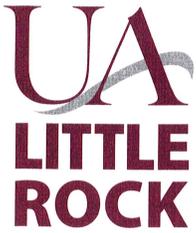
* unclassified positions only

Org Unit	Pers Area	Pers SubArea	Cost Center	Position Number	Comnt Item	Position Title	Class Code	Grade	Line Item Maximum *

State funds will not be used to replace federal funds when such funds expire, unless appropriated by the General Assembly and authorized by the Governor.

Approved by:

[Signature] 1/13/2022 [Signature] 2-8-22 _____
Cabinet Secretary/Agency Director Date Office of Budget Date Office of Personnel Mgmt Date



OFFICE OF THE CHANCELLOR
UNIVERSITY OF ARKANSAS AT LITTLE ROCK

2801 S. University Ave., Little Rock, AR 72204-1099 | (O) 501.569.3200 | (F) 501.569.8915 | ualr.edu

January 14, 2022

Larry W. Walther, Secretary
Department of Finance and Administration
Office of the Secretary
1509 West 7th Street, Suite 401
Little Rock, AR 72203-3278

RE: American Rescue Plan Act Funding - Act 997 of 2021

Dear Secretary Walther:

Pursuant to Section 38 of Act 997 of 2021, the University of Arkansas at Little Rock respectfully requests review of the attached appropriation request from the American Rescue Plan Act to provide direct grants to students for emergency relief under the provisions provided by the U.S. Department of Education.

The total funding to be disbursed from the Education Stabilization Fund (American Rescue Plan) for UA Little Rock is \$3,644,071. Please see the attached forms & documentation, which support our request and details the status of our grants.

Thank you for the opportunity to submit this appropriation request and spending proposal. If you have any questions or concerns, please feel free to reach out to me by email or phone.

Sincerely,

A handwritten signature in black ink, appearing to read 'Christina S. Drale'.

Christina S. Drale, Chancellor
University of Arkansas at Little Rock

**University of Arkansas at Little Rock
American Rescue Plan Act Budget
July 1, 2021 - June 30, 2022**

Award	Amount
ARP Student Portion	\$ 9,766,800
Expenditures:	
Emergency Grant/Aid to Students	9,766,800
Total Expenditures - Student	\$ 9,766,800
Remaining ARP Funds - Student	\$ -
Award	Amount
ARP Institutional Portion	\$ 9,801,868
Expenditures:	
Emergency Grant/Aid to Students	898,778
Lost Revenue- Tuition and Fees	6,526,447
Lost Revenue- Auxiliary Services	2,026,643
Reimbursement of Expenses Incurred for	350,000
Total Expenditures-Institutional	\$ 9,801,868
Remaining ARP Funds - Institutional	\$ -

Summary	
ARP Student Portion	\$ 9,766,800
ARP Institutional Portion	9,801,868
Total ARP Funds	\$ 19,568,668
Total Expenditures - Student	\$ 9,766,800
Total Expenditures-Institutional	9,801,868
Total Expenditures Requested	\$ 19,568,668
Remaining ARP Funds - Institutional	\$ -

**AMERICAN RESCUE PLAN ACT OF 2021 PROGRAM APPROPRIATION
AND PERSONNEL AUTHORIZATION REQUEST
SECTION 38 OF ACT 997 OF 2021**

Agency: University of Arkansas for Medical Sciences Business Area Code: 0150
 Program Title: Higher Education Emergency Relief Fund
 Granting Organization: U.S. Department of Education CFDA #: 84.425E & 84.425F
 Effective Date of Authorization: Beginning: 4/25/2020 Ending: 3/21/2022

Purpose of Grant / Reason for addition or change (include attachments as necessary to provide thorough information):
 UAMS intends to distribute emergency grants to 308 current PELL eligible and 2,706 non-PELL eligible students totaling \$1,220,945. UAMS also intends to distribute awards to 216 student to aid in paying past due student account balances from Spring 2020 through Fall 2021 totaling \$257,402.55 from the ARPA relief funds.

American Rescue Plan Act Program Funding

Func. Area: UNIV Fund Code: 204ARPA Direct Funding: X
 Funds Center: D57 Internal Order/WBS Element: _____ Steering Comm. Approved: _____

	Program Funding Amount
Regular Salaries	
Extra Help	
Personal Services Matching	
Operating Expenses	
Conference & Travel Expenses	
Professional Fees	
Capital Outlay	
Data Processing	
Grants and Aid (CI: 04)	1,478,347.55
Other:	
Other:	
Total	\$ 1,478,347.55

Anticipated Duration of Federal Funds: March 2022

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DFA IGS State Technology Planning Date
 Items requested for information technology must be in compliance with Technology Plans as submitted to DFA IGS State Technology Planning.

Positions to be established: (list each position separately) * unclassified positions only

Org Unit	Pers Area	Pers SubArea	Cost Center	Position Number	Cmnt Item	Position Title	Class Code	Grade	Line Item Maximum *

State funds will not be used to replace federal funds when such funds expire, unless appropriated by the General Assembly and authorized by the Governor.

Approved by: [Signature] 4/19/22 [Signature] 2-6-22
 Cabinet Secretary/Agency Director Date Office of Budget Date Office of Personnel Mgmt Date

University of Arkansas for Medical Sciences
4301 West Markham Street, Slot. 632
Little Rock, AR 72205-7199

ADGeorge@uams.edu



Amanda George, CPA, MHSA
Vice Chancellor for Finance &
Chief Financial Officer

January 18, 2022

Larry W. Walther, Secretary
Department of Finance and Administration
Office of the Secretary
1509 West 7th Street, Suite 401
Little Rock, AR 72203-3278

RE: American Rescue Plan Act Funding (Act 997 of 2021)

Dear Secretary Walther:

The University of Arkansas for Medical Sciences respectfully requests review of the supplemental appropriation request for expenditures related to both the student and institutional portion of the funding from the American Rescue Plan Act authorized in Section 38 of Act 997 of 2021. UAMS has provided detail plans for your review for consideration to (1) provide direct grants to students for emergency relief and (2) provide direct grants to pay past due student account balances for academic terms that have occurred during the pandemic.

Emergency Grants to Students

UAMS intends to distribute emergency grants to current Fall eligible students under the provisions provided by the US Department of Education.

Fall PELL eligible students 308 – award \$600 each = \$184,800

Fall Non-PELL eligible students 2,706 – award \$400 each = \$1,082,400

Total anticipated awards to students exceeds the unallocated ARPA student funding awarded to UAMS. UAMS will utilize HEERFII funding to pay the remaining balance of the awards. **Total amount requested to award to students: \$1,220,945**

Awards to Pay Past Due Student Balances

UAMS intends to distribute awards to students to pay past due student account balances for academic terms between Spring 2020 and Fall 2021. **We are requesting to use the remaining ARPA institutional fund balance of \$257,402.55 for these awards.**

Please find attached forms and other supporting documentation.

Thank you for the opportunity to submit this supplemental appropriation request and spending plan. For any questions, please contact Kristy Walters, Associate Vice Chancellor for Finance & Treasurer by email at walterskristy@uams.edu or by phone (501) 686-6836.

Respectfully,



Amanda George
Vice Chancellor for Finance and CFO

University of Arkansas for Medical Sciences
4301 West Markham Street, Slot. 632
Little Rock, AR 72205-7199

ADGeorge@uams.edu



Amanda George, CPA, MHSA
Vice Chancellor for Finance &
Chief Financial Officer

February 7, 2022

Larry W. Walther, Secretary
Department of Finance and Administration
Office of the Secretary
1509 West 7th Street, Suite 401
Little Rock, AR 72203-3278

RE: American Rescue Plan Act Funding (Act 997 of 2021)

Dear Secretary Walther:

The University of Arkansas for Medical Sciences respectfully requests review of the supplemental appropriation request for expenditures related funding from the American Rescue Plan Act authorized in Section 38 of Act 997 of 2021. UAMS has provided detail plans for your review for consideration to (1) reduce COVID-19 through self-testing in the Hispanic and NHPI communities and (2) support wellness, mental health and health education in the UAMS Head Start program.

Self-Testing

UAMS will use the funding to establish a community engaged partnership to reduce COVID-19 through self-testing in Hispanic and NHPI communities. **Approved funding from the National Institutes of Health totals \$570,000.**

Head Start

Head Start will use the funding received from the ARP Acts to support staff wellness efforts including a program-wide culture that promotes children's mental health, social and emotional well-being, and overall health, ensures all staff and consultants follow appropriate practices to keep children safe during all activities, foster a working environment of mutual respect, trust, and teamwork where staff feel empowered to make decisions and know that program leadership are there to support them, provide incentives to staff to support retention, make mental health and wellness information available to staff regarding health issues that may affect their job performance, and provide staff with regularly scheduled opportunities to learn about mental health, wellness, and health education, consider ways to improve work space and incorporate more physical activity, safety practices, and healthy options into daily work

routines, and ensuring staff are aware of their rights as employees. **Approved funding from Department of Health and Human Services totals \$866,266.**

Please find attached forms and other supporting documentation.

Thank you for the opportunity to submit this supplemental appropriation request and spending plan. For any questions, please contact Kristy Walters, Associate Vice Chancellor for Finance & Treasurer by email at walterskristy@uams.edu or by phone (501) 686-6836.

Respectfully,



Amanda George
Vice Chancellor for Finance and CFO

**AMERICAN RESCUE PLAN ACT OF 2021 PROGRAM APPROPRIATION
AND PERSONNEL AUTHORIZATION REQUEST
SECTION 38 OF ACT 997 OF 2021**

Agency: University of Arkansas for Medical Sciences Business Area Code: BA 0150

Program Title: American Rescue Plan Act of 2021, Head Start

Granting Organization: Department of Health and Human Services-Administration for Children and Families CFDA #: 93.600

Effective Date of Authorization: Beginning: 4/1/2021 Ending: 3/31/2023

Purpose of Grant / Reason for addition or change (include attachments as necessary to provide thorough information):
Head Start will use the funding received from the ARP Acts to support staff wellness efforts including a program-wide culture that promotes children's mental health, social and emotional well-being, and overall health, ensures all staff and consultants follow appropriate practices to keep children safe during all activities, foster a working environment of mutual respect, trust, and teamwork where staff feel empowered to make decisions and know that program leadership are there to support them, provide incentives to staff to support retention, make mental health and wellness information available to staff regarding health issues that may affect their job performance, and provide staff with regularly scheduled opportunities to learn about mental health, wellness, and health education, consider ways to improve work space and incorporate more physical activity, safety practices, and healthy options into daily work routines, and ensuring staff are aware of their rights as employees.

American Rescue Plan Act Program Funding

Func. Area: UNIV Fund Code: 204ARPA Direct Funding: x
Funds Center: D57 Internal Order/WBS Element: _____ Steering Comm. Approved: _____

	Program Funding Amount
Regular Salaries	381,581
Extra Help	
Personal Services Matching	113,292
Operating Expenses	192,640
Conference & Travel Expenses	
Professional Fees	
Capital Outlay	
Data Processing	
Grants and Aid (CI: 04)	
Other:	
Other: Indirects	178,753
Total	\$ 866,266

Anticipated Duration of Federal Funds: 3/31/2023

DFA IGS State Technology Planning **Date**
Items requested for information technology must be in compliance with Technology Plans as submitted to DFA IGS State Technology Planning.

Positions to be established: (list each position separately)

Org Unit	Pers Area	Pers SubArea	Cost Center	Position Number	Comnt Item	Position Title	Class Code	Grade	Line Item Maximum *

State funds will not be used to replace federal funds when such funds expire, unless appropriated by the General Assembly and authorized by the Governor.

Approved by: [Signature] 2/8/22
Cabinet Secretary/Agency Director Date

[Signature] 2-8-22
Office of Budget Date

Office of Personnel Mgmt Date

University of Arkansas for Medical Sciences
4301 West Markham Street, Slot. 632
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Please find attached forms and other supporting documentation.

Thank you for the opportunity to submit this supplemental appropriation request and spending plan. For any questions, please contact Kristy Walters, Associate Vice Chancellor for Finance & Treasurer by email at walterskristy@uams.edu or by phone (501) 686-6836.

Respectfully,



Amanda George
Vice Chancellor for Finance and CFO

**AMERICAN RESCUE PLAN ACT OF 2021 PROGRAM APPROPRIATION
AND PERSONNEL AUTHORIZATION REQUEST
SECTION 38 OF ACT 997 OF 2021**

Agency: Arkansas Tech University Business Area Code: 0130

Program Title: American Rescue Plan Act of 2021

Granting Organization: US Department of Education CFDA #: 84.425E & 84.25F

Effective Date of Authorization: Beginning: 6/30/2021 Ending: 6/30/2022

Purpose of Grant / Reason for addition or change (include attachments as necessary to provide thorough information):
Arkansas Tech University is requesting the attached modification to original request that was approved in September 2021.

American Rescue Plan Act Program Funding

Func. Area: UNIV Fund Code: 210ARPA Direct Funding: X
Funds Center: DB0 Internal Order/WBS Element: _____ Steering Comm. Approved: _____

	Program Funding Amount
Regular Salaries	
Extra Help	
Personal Services Matching	
Operating Expenses	
Conference & Travel Expenses	
Professional Fees	
Capital Outlay	
Data Processing	
Grants and Aid (CI: 04)	
Other: Discharge Student's unpaid debt	
Other: Benefits	
Other: Stipends	
Other: Student Labor	
Other: Health Record Management Software	
Total	\$0.00

Anticipated Duration of Federal Funds: 6-30-2022

DFA IGS State Technology Planning Date _____
Items requested for information technology must be in compliance with Technology Plans as submitted to DFA IGS State Technology Planning.

Positions to be established: (list each position separately)

Org Unit	Pers Area	Pers SubArea	Cost Center	Position Number	Cmnt Item	Position Title	Class Code	Grade	Line Item Maximum *

State funds will not be used to replace federal funds when such funds expire, unless appropriated by the General Assembly and authorized by the Governor.

Approved by: [Signature] 1/14/2022
Cabinet Secretary/Agency Director Date

[Signature] 2-8-22
Office of Budget Date

Office of Personnel Mgmt Date

For consideration by the February 2022 meeting of the Peer Committee and the Legislative Council, Arkansas Tech University is requesting to use remaining appropriation from prior approved HVAC projects on an expenditure of “institutional” ARP funds that will be spent as following:

F. Institutional Funds - HVAC

The University is requesting HEERF institutional funds to be used to replace/renovate HVAC systems for classrooms and staff buildings where a need has been identified. The University has previously requested these funds for HVAC projects on 3 buildings. The projects appear to come in under the requested amount and so we are requesting to re-allocate those funds to other HVAC projects we have identified on campus to help mitigate the spread of Covid-19. On May 11, 2021, the U.S. Department of Education published the *Higher Education Emergency Relief Fund III Frequently Asked Questions* that provide guidance on the use of American Rescue Plan Act of 2021 funds. Question number 24 from that guidance specifically addresses use of institutional funds for HVAC expenditures, as follows:

24. Question: What are some examples of permissible “minor remodeling” that HEERF grant funds may support under the definition in [34 CFR § 77.1](#)?

Answer: Minor remodeling means minor alterations in a previously completed building, for purposes associated with the coronavirus. The term also includes the extension of utility lines, such as water and electricity, from points beyond the confines of the space in which the minor remodeling is undertaken but within the confines of the previously completed building. The term does not include permanent building construction, structural alterations to buildings, building maintenance, or repairs.

Some examples of permissible minor remodeling may include, but are not limited to:

- The installation or renovation of an HVAC system, to help with air filtration to prevent the spread of COVID-19.
- The purchase or lease of temporary trailer classroom units to increase social distancing.
- The purchase or costs of the installation of “room dividers” within a previously completed building to increase social distancing.

**AMERICAN RESCUE PLAN ACT OF 2021 PROGRAM APPROPRIATION
AND PERSONNEL AUTHORIZATION REQUEST
SECTION 38 OF ACT 997 OF 2021**

Agency: University of Arkansas Community College at Hope-Texarkana Business Area Code: 0167

Program Title Education Stabilization Fund (American Rescue Plan Act of 2021)

Granting Organization: U.S. Department of Education CFDA #: 84.425E

Effective Date of Authorization: Beginning: 7/1/2021 Ending: 6/30/2022

Purpose of Grant / Reason for addition or change (include attachments as necessary to provide thorough information):

See attached cover letter with budget detail explanation.

American Rescue Plan Act Program Funding

Func. Area: CCOL Fund Code: 295ARPA Direct Funding: X
Funds Center: D61 Internal Order/WBS Element: _____ Steering Comm. Approved: _____

	Program Funding Amount
Regular Salaries	
Extra Help	
Personal Services Matching	
Operating Expenses	
Conference & Travel Expenses	
Professional Fees	
Capital Outlay	
Data Processing	
Grants and Aid (CI: 04)	
Other:	
Other:	
Total	\$0.00

Anticipated Duration of Federal Funds: 6/30/2022

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DFA IGS State Technology Planning Date
Items requested for information technology must be in compliance with Technology Plans as submitted to DFA IGS State Technology Planning.

Positions to be established: (list each position separately)

* unclassified positions only

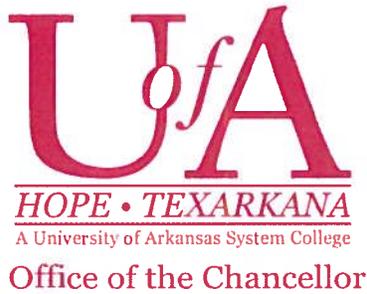
Org Unit	Pers Area	Pers SubArea	Cost Center	Position Number	Cmnt Item	Position Title	Class Code	Grade	Line Item Maximum *

State funds will not be used to replace federal funds when such funds expire, unless appropriated by the General Assembly and authorized by the Governor.

Approved by: [Signature] 01/26/2022
Cabinet Secretary/Agency Director Date

[Signature] 2-8-22
Office of Budget Date

Office of Personnel Mgmt Date



January 24, 2022

Larry W. Walther, Secretary
Department of Finance and Administration
Office of the Secretary
1509 West 7th Street, Suite 401
Little Rock, AR 72203-3278

RE: Amendment of UACCHT American Rescue Plan Act Funding Plan

Dear Secretary Walther:

The University of Arkansas Community College at Hope-Texarkana (UACCHT) respectfully requests review of a proposed change to its appropriation from the American Rescue Plan Act authorized in Section 38 of Act 997 of 2021 to provide direct grants to students of emergency relief under the provisions provided by the U.S. Department of Education.

This request is for the FY2022. The total funding to be disbursed from the Education Stabilization Fund (American Rescue Plan) for UACCHT is \$2,229,286 for the student portion which is unchanged from the original request.

UACCHT requests to increase the maximum student emergency grant awards for the spring semester to the amounts that follow:

Maximum grant awards for the spring semester are to be provided as follows:

- \$2,500 for full-time Pell eligible students
- \$1,500 for full-time non-Pell eligible students
- \$2,000 for part-time Pell eligible students
- \$1,000 for part-time non-Pell eligible students
- \$400 for concurrent students

Total funding for the emergency grants to students for FY2022 is unchanged **\$2,229,286**

The increase is necessary because the College experienced enrollment declines in both the fall and spring semester predominately due to continued struggles with the pandemic. Increased maximum grant awards are needed to ensure UACCHT can distribute all the funds designated as student emergency grants as required by the American Rescue Plan Act.

Sincerely,

Christine Holt
Chancellor

**AMERICAN RESCUE PLAN ACT OF 2021 PROGRAM APPROPRIATION
AND PERSONNEL AUTHORIZATION REQUEST
SECTION 38 OF ACT 997 OF 2021**

Agency: Dept of Commerce - ADFA Business Area Code: 0395
 Program Title: Arkansas Homeowner Assistance Fund (AHAF)
 Granting Organization: U.S. Dept of Treasury CFDA #: 21.026
 Effective Date of Authorization: Beginning: 11/16/2021 Ending: 6/30/2022

Purpose of Grant / Reason for addition or change (include attachments as necessary to provide thorough information):

The Arkansas Homeowner Assistance Fund (AHAF) program is to assist homeowners that have experienced financial hardship associated with the coronavirus pandemic after January 20,2020. Funds will be used to prevent delinquencies, mortgage defaults, foreclosures, loss of utilities or home energy services, and displacement of homeowners experiencing financial hardships. ADFA's plan has been approved by the U.S. Department of Treasury to allow for the Second Disbursement in the amount of \$56,997,282.00 to be released.

American Rescue Plan Act Program Funding

Func. Area: Comm Fund Code: FRP3950 Direct Funding: X
 Funds Center: E33 Internal Order/WBS Element: _____ Steering Comm. Approved: _____

	Program Funding Amount
Regular Salaries	
Extra Help	
Personal Services Matching	
Operating Expenses	
Conference & Travel Expenses	
Professional Fees	
Capital Outlay	
Data Processing	
Grants and Aid (CI: 04)	
Other: Grants & Aid (590:00:46)	56,997,281.70
Other:	
Total	\$ 56,997,281.70

Anticipated Duration of Federal Funds: 9/30/2026

DFA IGS State Technology Planning	Date
Items requested for information technology must be in compliance with Technology Plans as submitted to DFA IGS State Technology Planning.	

Positions to be established: (list each position separately)

Org Unit	Pers Area	Pers SubArea	Cost Center	Position Number	Cmnt Item	Position Title	Class Code	Grade	Line Item Maximum *

State funds will not be used to replace federal funds when such funds expire, unless appropriated by the General Assembly and authorized by the Governor.

Approved by: 1/21/22 2-8-22
 Cabinet Secretary/Agency Director Date Office of Budget Date Office of Personnel Mgmt Date
 MTurner 1/24/22

Appendix A

ARKANSAS MORTGAGE REINSTATEMENT PROGRAM

CRITERIA	PROGRAM TERMS
Brief description	<p>Provide funds to eliminate or reduce past due payments and other delinquent amounts, including payments under a forbearance plan, on forward mortgages, or loans secured by manufactured homes.</p> <p>HAF Funds may be used to bring account partially or fully current, and to repay amounts advanced by the lender or servicer on the borrower’s behalf for property charges, including property taxes, hazard insurance premiums, flood or wind insurance premiums, ground rents, condominium fees, cooperative maintenance fees, planned unit development fees, homeowners’ association fees or utilities that the servicer advanced to protect lien position. Payment may also include any reasonably required legal fees. If the maximum amount per program cap is less than the total amount needed to bring the homeowner current on all existing mortgages, the homeowner may cover the shortfall if acceptable by the servicer.</p> <p>Homeowners who indicate during the pre-qualification survey they are unable to resume mortgage payments – even if the loan was reinstated - will be referred to their servicers, housing counselors and/or legal services to explore alternate loss mitigation options. Housing counselors and legal services can help these homeowners work with their loan servicers to achieve a loss mitigation solution that best fits their hardship.</p>
Maximum amount of assistance per homeowner	<p>Each Homeowner will be eligible for up to \$40,000 through this program to be used only for the homeowner’s primary residence.</p>
Homeowner eligibility criteria and documentation requirements	<p>General Eligibility Requirements (based on Treasury guidance provided August 2, 2021):</p> <ul style="list-style-type: none"> ★ Homeowner has experienced a financial hardship after January 21, 2020 (including a hardship that began before January 21, 2020, but continued after that date), and provided an attestation describing the nature of the financial hardship ★ Homeowner has incomes equal to or less than 150% of the area median income or 100% of the median income for the United States, whichever is greater ★ Homeowner must be applying for assistance related to the dwelling that the homeowner owns and is the homeowner’s primary residence <p>Same as General Eligibility Requirements (noted above) plus:</p> <ul style="list-style-type: none"> ★ Property must be located within the state of Arkansas ★ Property must not be vacant, abandoned or condemned ★ Mortgage must be held by a lender/servicer with an NMLS number, which would include federally backed and privately funded mortgages. Private party mortgages are ineligible for assistance <p>Indicate documentation requirements listed here (Additional documentation could be required based on specific circumstances, but the program is committed to reduce documentation barriers as feasible):</p> <p>Documents required for all applicants:</p> <ul style="list-style-type: none"> ★ Identification for the Borrower & Co-borrower(s), (if applicable) ★ Income documents for the Borrower & Co-borrower(s), (if applicable) - (e.g., 2020 federal tax return, W-2s, other wage statements, unemployment statements, social security statements, etc., unless exempt based on fact-specific proxy) <ul style="list-style-type: none"> ◁ NOTE: Household income will be determined based on Borrower & Co-borrower(s) income only, as those represent the individuals financially responsible for the mortgage ★ Proof of homeownership, ONLY if cannot be determined by HAF based on publicly available ownership records ★ Documents from mortgage servicer demonstrating delinquency. Documents should indicate the account number, payment amount, payment due date, total past due amount, type of loan, and payment remittance address (e.g., most recent mortgage statement, letters from mortgage holders, etc.) ★ Original mortgage to determine if the unpaid principal balance of which was, at the time of origination, not more than the conforming loan limit <ul style="list-style-type: none"> ◁ This will ONLY be required from applicant if cannot be determined by the program based on publicly available records or coordination with the servicers

CRITERIA	PROGRAM TERMS
Homeowner eligibility criteria and documentation requirements	<p>Additional documents that could be needed based on individual situations:</p> <ul style="list-style-type: none"> ★ Power of attorney or probate documents, if applicable ★ Zero income attestation form, if applicable ★ Self-employment attestation form, if applicable <p>Documents to be completed within the system as part of application:</p> <ul style="list-style-type: none"> ★ Third-party authorization form ★ Financial hardship self-attestation form <ul style="list-style-type: none"> ◁ NOTE: For program documents, if the applicant needs certain accommodations, the forms can be provided in other formats (e.g., paper application, additional languages, etc.) ★ Acknowledgment of Program Terms and Conditions
Loan eligibility criteria specific to the program	Delinquent by at least two payments, including any payments during a forbearance period.
Form of assistance	Assistance will be structured as a non-recourse grant.
Payment requirements	Payments will be made directly to the lender or servicer, as appropriate.

ARKANSAS UTILITY/INTERNET/BROADBAND PAYMENT ASSISTANCE TERM SHEET

CRITERIA	PROGRAM TERMS
Brief description	<p>Provide funds to resolve delinquent payments for utility and/or internet access services.</p> <p>HAF funds may be used to pay delinquent amounts in full, including interest or reasonably required legal fees, under circumstances in which a delinquency threatens access to utility or internet services. The provided assistance must bring the homeowner’s account current. If internet access services are bundled with other services, the bundled services can be included as an eligible expense, as partial funding would threaten access to internet services.</p>
Maximum assistance per homeowner	Each Homeowner will be eligible for up to \$2,500 through this program with respect to the applicant’s primary residence.
Homeowner eligibility criteria and documentation requirements	<p>General Eligibility Requirements (based on Treasury guidance provided August 2, 2021):</p> <ul style="list-style-type: none"> ★ Homeowner has experienced a financial hardship after January 21, 2020 (including a hardship that began before January 21, 2020, but continued after that date), and provided an attestation describing the nature of the financial hardship ★ Homeowner has incomes equal to or less than 150% of the area median income or 100% of the median income for the United States, whichever is greater ★ Homeowner must be applying for assistance related to the dwelling that the homeowner owns and is the homeowner’s primary residence

CRITERIA	PROGRAM TERMS
<p>Homeowner eligibility criteria and documentation requirements</p>	<p>Same as General Eligibility Requirements (noted above) plus:</p> <ul style="list-style-type: none"> ★ Property must be located within the state of Arkansas ★ Property must not be vacant, abandoned or condemned ★ Homeowner is at least two installment payments in arrears on one or more of the following: <ul style="list-style-type: none"> • utilities, such as electric, gas, home energy, and water OR • internet service, including broadband internet access service < NOTE: If an eligible homeowner is on a payment plan for utility/internet expenses incurred prior to the application date, those expenses will be considered arrears and can be included as eligible expenses. ★ Assistance sufficient to resolve the delinquency is not available from Arkansas Low Income Home Energy Assistance Program (“LIHEAP”) and, without HAF assistance, the homeowner is likely to lose services <p>Indicate documentation requirements (Additional documentation could be required based on specific circumstances, but the program is committed to reduce documentation barriers as feasible):</p> <p>Documents required for all applicants:</p> <ul style="list-style-type: none"> ★ Identification for the Borrower & Co-borrower(s) (if applicable) ★ Income documents for the Borrower & Co-borrower(s) (if applicable) (e.g., 2020 federal tax return, W-2s, other wage statements, unemployment statements, social security statements, etc., unless exempt based on fact-specific proxy) <ul style="list-style-type: none"> < NOTE: Homeowner income will be determined based on Borrower & Co-borrower(s) income only, as those represent the individuals financially responsible for the mortgage ★ Proof of homeownership ONLY if cannot be determined by the program based on publicly available ownership records ★ Documents from utility companies or internet company demonstrating delinquency. Documents should indicate the account number, payment amount, payment due date, total past due amount, type of utility/internet charges, and payment remittance address (e.g., most recent statement) ★ Original mortgage to determine if the unpaid principal balance of which was, at the time of origination, not more than the conforming loan limit <ul style="list-style-type: none"> < This will ONLY be required from applicant if cannot be determined by the program based on publicly available ownership records or coordination with the servicers ★ If applying for assistance that is covered under the LIHEAP, document demonstrating the applicant has applied for LIHEAP and provide evidence that they were either denied or require more assistance than was able to be provided through LIHEAP ★ Self-attestation form certifying the applicant has attempted to obtain assistance from other programs and assistance sufficient to resolve the delinquency is not available from other utility or internet assistance programs, and without HAF assistance, the homeowner is likely to lose services <p>Additional documents that could be needed based on individual situations:</p> <ul style="list-style-type: none"> ★ Power of attorney or probate documents, if applicable ★ Zero income attestation form, if applicable ★ Self-employment attestation form, if applicable <p>Documents to be completed within the system as part of application:</p> <ul style="list-style-type: none"> ★ Third-party authorization form ★ Financial hardship self-attestation form <ul style="list-style-type: none"> < NOTE: For program documents, if the applicant needs certain accommodations, the forms can be provided in other formats (e.g., paper application, additional languages, etc.) ★ Acknowledgment of Program Terms and Conditions

CRITERIA	PROGRAM TERMS
Loan eligibility criteria specific to the program	N/A
Form of assistance	Assistance will be structured as a non-recourse grant.
Payment requirements	Funds will be paid directly to the utility provider, internet provider, or other applicable third-party authorized to collect eligible charges.