

**0410 – DEPARTMENT OF COMMERCE - STATE SECURITIES DEPARTMENT
FISCAL YEAR 2021
AS ENACTED BY ACT 68 OF 2020**

The Department of Commerce - State Securities Department enforces statutes against fraudulent and misleading sales of securities and monetary transactions by entities within its jurisdiction, including credit unions, mortgage companies, and check cashing companies. The department registers companies and individuals, performs examinations and audits, conducts investigations, and disseminates information to provide assistance and protection to the general public.

TOTAL APPROPRIATION

Appropriation		2019-2020	2020-2021
		Authorized	Legislative
027	State Operations	\$3,547,475	\$3,548,953
1MV	Investor Education	\$168,500	\$168,500
C67	Refunds and Reimbursements	\$50,000	\$50,000
Total		\$3,765,975	\$3,767,453

FUNDING SOURCES

Funding Sources		%
Fund Balance	4000005	62.1
Special Revenue	4000030	31.9
Cash Fund	4000045	6.0
		100.0

CHANGE LEVEL REQUESTS

State Operations (Appropriation 027)

Commitment Item		2019-2020	2020-2021	
		Authorized	Legislative	Change Level
5010000	Regular Salaries	\$2,228,277	\$2,229,477	\$1,200
5010003	Personal Services Matching	\$701,554	\$701,832	\$278
Total		\$3,547,475	\$3,548,953	\$1,478

- Increase in Regular Salaries and Personal Services Matching due to Career Service, Merit, etc. adjustments.

POSITIONS

Total Authorized Positions FY2021: 37

Total Authorized Positions FY2020: 37

Increase / (Decrease): 0

Extra Help Positions FY2021: 2

SPECIAL LANGUAGE REVISIONS

None.