## EXHIBIT C.01d

State of Arkansas
Bureau of Legislative Research

Marty Garrity, Director
Kevin Anderson, Assistant Director for Fiscal Services

7m Carlock, Assistant Director for Information Technology
Matthew Miller, Assistant Director for Legal Services
Richard Wilson, Assistant Director for Research Services

## State Agency Litigation Notification Form

## Dear Agency Director:

Arkansas Code § 10-3-312 requires that any agency or institution that is not represented by the Attorney General shall notify the Director of the Bureau of Legislative Research of pending Ifitgation so that the appropriate legislative committee may "determine the action that may be deemed necessary to protect the interests of the General Assembly and the State of Arkansas in that matter."

In order to submit a report regarding pending lifigation pursuant to Arkansas Code § 10-3-312, please complete the following form for each pending lawsuit, along with a cover letter to the Director of the Bureau of Legislative Research, and submit to williamsa@blr.arkansas.gov.

| DATE REPORTING: |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: |
| Agency: | ARKANSAS DEPARTMENT OF LABOR AND LICENSING |  | Phone: | 501-682-4507 |
| E-mail: | marcus.devine@arkansas.gov |  | Contact: | Marcus C. Devine |
| 1. STYLE OF THE CASE BEING LITIGATED |  |  |  |  |
| RALPH T. HUDSON, DIRECTOR, DIVISION OF LABOR, STATE OF ARKNASAS VS. JOHN HARMON, ET AL. |  |  |  |  |
| 2. IDENTITY OF THE TRIBUNAL BEFORE WHICH THE MATTER HA:3 BEEN FILED (COURT) |  |  |  |  |
| PULASKI COUNTY CIRCUIT COURT |  |  |  |  |
| 3. BRIEF DESCRIPTION OF THE ISSUES INVOLVED |  |  |  |  |
| STATUTORY - MINUMUM WAGE AND OVERTIME VIOLATION: |  |  |  |  |
| 3A. OTHER DESCRIPTION INFORMATION |  |  |  |  |
| Docket Number |  | 60 CV -21-1859 |  |  |
| Date Filed |  | 03/16/2021 |  |  |
| Defendant |  | JOHN HARMON AND DR. JAMES SPA NN INDIVIDUALLY AND D/B/A FIAMMA LLC, et al. |  |  |
| Defendant Attorney |  | UNKNOWN AT THIS TIME |  |  |
| Plaintiff |  | RALPH T. HUDSON, DIRECTOR, DIVI: 3 ION OF LABOR, STATE OF ARKANSAS |  |  |
| Plaintiff Attorney |  | MARCUS C. DEVINE |  |  |
| 4. ANY OTHÉR RELEVANT INFORMATLON |  |  |  |  |
| 4A. OTHER RELEVANT INFORMATION |  |  |  |  |
| Case History |  | SUMMONS AND COMPLAINT FILED |  |  |
| Relief Sought |  | MONEY DAMAGES |  |  |
| Current Status |  | AWAINTING SERVICE RETURN |  |  |

