



STATE OF ARKANSAS
**Department of Finance
and Administration**

OFFICE OF BUDGET
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June 3, 2016

Senator Bruce Maloch, Co-Chair
Representative David Meeks, Co-Chair
Performance Evaluation & Expenditure Review Committee
Arkansas Legislative Council
State Capitol Building
Little Rock, AR 72201

RE: FY16 Budget Classification Transfer Request(s)

Dear Co-Chairs:

I am forwarding for appropriate action as required by law, the attached Budget Classification Transfer Request(s) that have received my approval as Chief Fiscal Officer of the State.

Sincerely,


Larry W. Walther
Director

LWW:es

Attachment(s)

FY16 MAINTENANCE & OPERATION
BUDGET CLASSIFICATION TRANSFERS

DFA IGS

State Technology Planning

Agency Request in

compliance with IT Plan

Certification (if applicable)

Agency	Classification	FY16 Agency Request	FY16 Executive Recommendation	FY16 Original Appropriation	FY16 Actual Expenditures	FY16 Authorized	Adjustment Requested	FY16 Revised Authorization	DFA-Chief Fiscal Officer Approve	Disapprove	N/A
1. Arkansas State Claims Commission (0360) Operations	Operating Expenses	\$ 92,390	\$ 92,390	\$ 92,390	\$ 83,133	\$ 95,390	\$ (5,700)	\$ 89,690			X
	Conf. & Travel Exp.	\$ 3,000	\$ 3,000	\$ 3,000	\$ -	\$ -	\$ -	\$ -			
	Professional Fees	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -			
	Capital Outlay	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 5,700	\$ 5,700			
	Data Processing	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -			
	Total	\$95,390	\$95,390	\$95,390	\$83,133	\$95,390	\$0	\$95,390			

This Transfer is needed to cover the cost of a new server. The cost exceeds \$5,000, there for it should be paid out of capital outlay

ARKANSAS ADMINISTRATION STATEWIDE INFORMATION SYSTEM REQUEST FOR BUDGET CLASSIFICATION TRANSFERS (BCT) FY2016

Business Area: 0360 Business Area Title: Arkansas State Claims Commission
Funds Center: 227 Funds Center Title: Operations
Fund: HSC3600 Fund Title: State Central Services
Functional Area: ADMN

Line-Item	Classifications	Authorized Appropriation	Actual Expenditures **	CI	Fund	Transfer From	Amount	CI	Fund	Transfer To	Amount
502:00:02	Operating Expenses	\$92,390	\$83,169	502:00:02	HSC3600		\$5,700				
505:00:09	Conference & Travel Expenses	\$3,000	\$996								
506:00:10	Professional Fees	\$0	\$0								
512:00:11	Capital Outlay *	\$0	\$0								\$5,700
509:00:12	Data Processing *	\$0	\$0								

Reason for Transfer:

This transfer is needed to cover the cost of a new server. The cost exceeds \$5,000, therefore it should be paid out of Capital Outlay.

Agency Director

DFA IGS State Technology Planning

(approval only needed if applicable ***)

Budget Approval

- * Transfers may not be made from the capital outlay (512:00:11) sub classification unless specific authority for such transfers is provided by law. (ACA 19-4-522(C)(1))
- ** For transfers requested during the month of July 2015 use the agency's fiscal year 2015 expenditures as of April 30, 2015 for each of the Maintenance & Operations commitment items. For transfers requested during the months August 2015 - May 2016, use the agency's fiscal year 2015 expenditures. For transfers requested during the month of June 2016 use the agency's fiscal year 2016 as of April 30, 2016.
- *** Transfers requested for the purchase of information technology related items must be in compliance with the agency's Information Technology Plan submitted to the DFA-Technology Planning Unit.

FY16 MAINTENANCE & OPERATION
BUDGET CLASSIFICATION TRANSFERS

DFA IGS

State Technology Planning
Agency Request in
compliance with IT Plan

Certification (if applicable)

Agency	Classification	FY16 Agency Request	FY16 Executive Recommendation	FY16 Original Appropriation	FY16 Actual Expenditures	FY16 Authorized	Adjustment Requested	FY16 Revised Authorization	DFA-Chief Fiscal Officer Approve, Disapprove	N/A
2. Arkansas Tobacco Settlement Control (0360) Operations	Operating Expenses	\$ 268,268	\$ 268,268	\$ 268,268	\$ 146,328	\$ 268,268	\$ (37,599)	\$ 230,669		
	Conf. & Travel Exp.	\$ 10,000	\$ 10,000	\$ 10,000	\$ 4,895	\$ 10,000	\$ -	\$ 10,000		
	Professional Fees	\$ 10,000	\$ 10,000	\$ 10,000	\$ 300	\$ 10,000	\$ -	\$ 10,000		
	Capital Outlay	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 37,599	\$ 37,599		
	Data Processing	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		
	Total	\$288,268	\$288,268	\$288,268	\$151,523	\$288,268	\$0	\$288,268		

We are adjusting this during the FY17 AOP process to cover renovation costs which allow downsizing.

ARKANSAS ADMINISTRATION STATEWIDE INFORMATION SYSTEM REQUEST FOR BUDGET CLASSIFICATION TRANSFERS (BCT)

FY 2016

Business Area: 0261 Business Area Title: AR Tobacco Control
 Funds Center: 983 Funds Center Title: ATC-CID/ Admin Div
 Fund: HUA2400 Fund Title: ATC-CID/ Admin Div
 Functional Area: PROF

Line-Item Classifications	Authorized Appropriation	Actual Expenditures **	Transfer From			Transfer To		
			CI	Fund	Amount	CI	Fund	Amount
502:00:02 Operating Expenses	426,268	146328.00	502:00:02	HUA2400	37598.70			
505:00:09 Conference & Travel Expenses	10,000	48441.50						
506:00:10 Professional Fees	10,000	300.00						
512:00:11 Capital Outlay *						512:00:11	HUA2400	37598.70
509:00:12 Data Processing *								

Reason for Transfer:

We are adjusting this during the FY17 AOP process to cover renovation costs which allow downsizing.


 Agency Director


 Budget Approval

DFA IGS State Technology Planning
 (approval only needed if applicable ***)

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ARKANSAS ADMINISTRATION STATEWIDE INFORMATION SYSTEM REQUEST FOR BUDGET CLASSIFICATION TRANSFERS (BCT)

FY 2016

Business Area:	0035	Business Area Title:	Office of the Medicaid Inspector General
Funds Center:	M96	Funds Center Title:	OMIG - State Operations
Fund:	HUA5100	Fund Title:	HUA OMIG-State
		Functional Area:	HHS

Line-Item Classifications	Authorized Appropriation	Actual Expenditures **	Transfer From			Transfer To		
			CI	Fund	Amount	CI	Fund	Amount
502:00:02 Operating Expenses	113301.00	91949.14				502:00:02	HUA5100	3,000
505:00:09 Conference & Travel Expenses	2963.00	1435.50						
506:00:10 Professional Fees	2318.00	0		506:00:10	HUA5100			
512:00:11 Capital Outlay *	20,656	0						
509:00:12 Data Processing *								

Reason for Transfer:

Requesting transfer from of Professional Fees and Conference & Travel to Operating to allow the agency to process payments that will be incurred.

 _____ Agency Director	 _____ Budget Approval
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DFA IGS State Technology Planning
(approval only needed if applicable ***)

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FY16 MAINTENANCE & OPERATION
BUDGET CLASSIFICATION TRANSFERS

DFA IGS

State Technology Planning
Agency Request in
compliance with IT Plan
Certification (if applicable)

Agency	Classification	FY16	FY16	FY16	FY16	FY16	FY16	FY16	FY16	DFA-Chief Fiscal Officer	State Technology Planning Agency Request in compliance with IT Plan Certification (if applicable)
		Agency Request	Executive Recommendation	Original Appropriation	Actual Expenditures	Authorized	Adjustment Requested	Revised Authorization	Approve		
4. Office of the Medicaid Inspector General (0035) Federal Operations	Operating Expenses	\$ 113,301	\$ 113,301	\$ 113,301	\$ 91,949	\$ 113,301	\$ 3,000	\$ 116,301	X		N/A
	Conf. & Travel Exp.	\$ 2,963	\$ 2,963	\$ 2,963	\$ 1,435	\$ 2,963	\$ (1,000)	\$ 1,963			
	Professional Fees	\$ 2,318	\$ 2,318	\$ 2,318	\$ -	\$ 2,318	\$ (2,000)	\$ 318			
	Capital Outlay	\$ 29,344	\$ 29,344	\$ 29,344	\$ -	\$ 29,344	\$ -	\$ 29,344			
	Data Processing	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -			
	Total	\$147,926	\$147,926	\$147,926	\$93,384	\$147,926	\$0	\$147,926			

Requesting transfer from Professional Fees and Conference & Travel to Operating Expenses to allow the agency to process payments that will be incurred.

ARKANSAS ADMINISTRATION STATEWIDE INFORMATION SYSTEM **REQUEST FOR BUDGET CLASSIFICATION TRANSFERS (BCT)** **FY 2016**

Business Area: 0035 Business Area Title: Office of the Medicaid Inspector General
 Funds Center: M97 Funds Center Title: OMIG - FEDERAL Operations
 Fund: FMG0100 Fund Title: FMG OMIG-FEDERAL Functional Area: HHS

Line-Item Classifications	Authorized Appropriation	Actual Expenditures **	Transfer From			Transfer To		
			CI	Fund	Amount	CI	Fund	Amount
502:00:02 Operating Expenses	113301.00	93944.95				502:00:02	FMG0100	3,000
505:00:09 Conference & Travel Expenses	2963.00	1538.00						
506:00:10 Professional Fees	2318.00	0						
512:00:11 Capital Outlay *	29,344	0						
509:00:12 Data Processing *								

Reason for Transfer:

Requesting transfer from of Professional Fees and Conference & Travel to Operating to allow the agency to process payments that will be incurred.

Actual Expenditures as of 5/27/2016.

Capital Outlay - will incur expenditures for Capital Outlay in June 2016.


 Agency Director


 Budget Approval

DFA IGS State Technology Planning
 (approval only needed if applicable ***)

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 ** For transfers requested during the month of July 2015 use the agency's fiscal year 2015 expenditures as of April 30, 2015 for each of the Maintenance & Operations commitment items. For transfers requested during the months August 2015 - May 2016 use the agency's fiscal year 2015 expenditures. For transfers requested during the month of June 2016 use the agency's fiscal year 2016 expenditures as of April 30, 2016.
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FY16 MAINTENANCE & OPERATION
BUDGET CLASSIFICATION TRANSFERS

DFA IGS

State Technology Planning

Agency Request in

compliance with IT Plan

Certification (if applicable)

Agency	Classification	FY16 Agency Request	FY16 Executive Recommendation	FY16 Original Appropriation	FY16 Actual Expenditures	FY16 Authorized	Adjustment Requested	FY16 Revised Authorization	DFA-Chief Fiscal Officer Approve	Disapprove	N/A
5. Arkansas Department of Higher Education (0700) Technical Education Federal Programs	Operating Expenses	\$ 77,251	\$ 77,251	\$ 77,251	\$ 30,133	\$ 77,251	\$ 37,000	\$ 114,251			X
	Conf. & Travel Exp.	\$ 15,200	\$ 15,200	\$ 15,200	\$ -	\$ 15,200	\$ -	\$ 15,200			
	Professional Fees	\$ 110,500	\$ 110,500	\$ 110,500	\$ 69,329	\$ 110,500	\$ (37,000)	\$ 73,500			
	Capital Outlay	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -			
	Data Processing	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -			
	Total	\$202,951	\$202,951	\$202,951	\$99,462	\$202,951	\$0	\$202,951			

The \$37,000 transfer from Professional Fees to Operating Expenses is because some projects that we set for Professional Fees were done in house and we would like to move appropriation to go towards program operating costs for institutions and students.

ARKANSAS ADMINISTRATION STATEWIDE INFORMATION SYSTEM REQUEST FOR BUDGET CLASSIFICATION TRANSFERS (BCT) FY2016

Business Area: 0700 Business Area Title: Arkansas Department of Higher Education
Funds Center: 772 Funds Center Title: Technical Education - Federal Programs
Fund: FCP0500 Fund Title: ADHE Tech Ed Program Functional Area: EDUC

Line-Item Classifications	Authorized Appropriation	Actual Expenditures ** FY2015	Transfer From			Transfer To		
			CI	Fund	Amount	CI	Fund	Amount
502:00:02 Operating Expenses	\$77,251	\$30,133				502:00:02 FCP0500		\$37,000
505:00:09 Conference & Travel Expenses	\$15,200	\$6,411						
506:00:10 Professional Fees	\$110,500	\$69,329	506:00:10 FCP0500		\$37,000			
512:00:11 Capital Outlay *								
509:00:12 Data Processing *								

Reason for Transfer:
The \$37,000 transfer from Prof Fee & Services to Operating Expenses is because some projects that we set for Prof Fee & Services were done in house and we would like to move the appropriation to go towards program operating costs for institutions and students.

Burt A. Frazier
Agency Director

Donna D. Paul
Budget Approval

DFA IGS State Technology Planning
(approval only needed if applicable ***)

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FY16

FY16 MAINTENANCE & OPERATION
BUDGET CLASSIFICATION TRANSFERS

DFA IGS

State Technology Planning

Agency Request in

compliance with IT Plan

Certification (if applicable)

Agency	Classification	FY16	FY16	FY16	FY16	FY16	Adjustment	FY16	DFA-Chief Fiscal Officer	Agency Request in compliance with IT Plan Certification (if applicable)	
		Agency Request	Executive Recommendation	Original Appropriation	Actual Expenditures	Authorized	Requested	Revised Authorization			Approve
6. Judicial Discipline and Disability Commission (0241) Operations	Operating Expenses	\$ 88,720	\$ 88,720	\$ 88,720	\$ 70,273	\$ 88,720	\$ (750)	\$ 87,970		X	N/A
	Conf. & Travel Exp.	\$ 8,125	\$ 8,125	\$ 8,125	\$ 7,991	\$ 8,125	\$ 750	\$ 8,875			
	Professional Fees	\$ 84,665	\$ 84,665	\$ 84,665	\$ 25,876	\$ 84,665	\$ -	\$ 84,665			
	Capital Outlay	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -			
	Data Processing	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -			
	Total	\$181,510	\$181,510	\$181,510	\$104,140	\$181,510	\$0	\$181,510			

In its May 20, 2016 Commission meeting, the board members of Judicial Discipline and Disability Commission (JDDC) approved travel and conference expense for three state member and the Commission's Counsel. The four are to attend a conference hosted by the Association of Judicial Disciplinary Counsel in Washington, DC. Although the conference begins July 20, 2016, the deadlines to register is June 27, 2016. The Commission's Counsel and Executive Director will attend the meeting and will also serve as guest speakers in their official capacity. JDDC will be allowed to cover their expense in Operating Expenses, however the cost for the two additional staff member will need to be covered in Conference and Travel. The available appropriation in Conference and Travel is \$134. It will cost \$750 to cover the cost of the two staff member who are not scheduled to speak this year.


**ARKANSAS ADMINISTRATION STATEWIDE INFORMATION SYSTEM
REQUEST FOR BUDGET CLASSIFICATION TRANSFERS (BCT)
FY2016**

Business Area: 0241 Business Area Title: Judicial Discipline & Disability Commission
 Funds Center: 913 Funds Center Title: Judicial Discipline - Operations
 Fund: HUA2800 Fund Title: _____ Functional Area: PROF

Line-Item Classifications	Authorized Appropriation	Actual Expenditures ** FY2015	Transfer From			Transfer To		
			CI	Fund	Amount	CI	Fund	Amount
502:00:02 Operating Expenses	\$88,720	\$70,273	5020002	HUA2800	\$750			
505:00:09 Conference & Travel Expenses	\$8,125	\$7,991				5050009	HUA2800	\$750
506:00:10 Professional Fees	\$84,665	\$25,876						
512:00:11 Capital Outlay *								
509:00:12 Data Processing *								

Reason for Transfer:

In its May 20, 2016 Commission meeting, the board members of JDDC approved travel and conference expense for three staff members and the Commission's Counsel. The four are to attend a conference hosted by the Association of Judicial Disciplinary Counsel in Washington, DC. Although the conference begins July 20, 2016, the deadline to register is June 27, 2016. The Commission Counsel and the Executive Director will attend the meeting and will also serve as guest speakers in their official capacity. JDDC will be allowed to cover their expense in 502:00:02, however the cost for the two additional staff members will need to be covered in 505:00:09. The available funds in 505:00:09 is \$134,00. It will cost \$750.00 to cover the cost of the two staff members who are not scheduled to speak this year.


Agency Director


Edwina Bradley
Budget Approval

DFA IGS State Technology Planning
(approval only needed if applicable ***)

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