

Exhibit F.4(a)

The attached **Heritage Month Grant Rule** is to be repealed.

ARKANSAS REGISTER

Transmittal Sheet RECEIVED



OCT 25 2018

Sharon Priest
Secretary of State
State Capitol Rm 100
Little Rock, Arkansas 72201-1094

BUREAU OF
LEGISLATIVE RESEARCH

Office Only: Effective Date 11/22/97 Code Number 012.10.97--001

Name of Agency Director's Office

Department Department of Arkansas Heritage

Contact Person Jim Walsmith Phone 324-9611

Statutory Authority for Promulgating Rules Act 1001 of 1975

	Date
<input checked="" type="checkbox"/> Indefinite Effective Date	Legal Notice Published <u>9/28-10/4/97</u>
<input type="checkbox"/> Emergency	Final Date for Public Comment <u>10/31/97</u>
<input checked="" type="checkbox"/> 10 Days After Filing	Filed With Legislative Council <u>10/6/97</u>
<input type="checkbox"/> Other	Reviewed by Legislative Council <u>11/6/97</u>
	Adopted by State Agency <u>11/10/97</u>

CERTIFICATION OF AUTHORIZED OFFICER

I Hereby Certify That The Attached Rules Were Adopted
In Compliance with Act 434 of 1967 As Amended.

Cassie Matthews
Signature

(501) 324-9150
Phone Number

Director
Title

11/10/97
Date

FILED
97 NOV 12 PM 2:59
SECRETARY OF STATE
STATE OF ARKANSAS

Financial Impact Statement

Division: Director's Office
Division Director: Cathie Matthews
Contact Person: Jim Walsmith
Address: 1500 Tower Building, 323 Center Street, Little Rock, AR 72201
Phone No.: 324-9611 Fax No: 324-9154

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REGISTER DIV.
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STATE OF ARKANSAS
SECRETARY OF STATE
BY _____

To comply with Act 884 of 1995, please complete the following Financial Impact Statement and file with the questionnaire and proposed rules.

SHORT TITLE OF THIS RULE

- Does this proposed, amended, or repealed rule or regulation have a financial impact?
Yes X No _____
- If you believe that the development of a financial impact statement is so speculative as to be cost prohibited, please explain. *Yes. The positive impact on local communities is unknown because the grants will affect community development, education and tourism. Grants could generate significant community investment. Application for grants will be voluntary.*
- If the purpose of this rule or regulation is to implement a federal rule or regulation, please give the incremental cost for implementing the regulation.

1996-1997 Fiscal Year

General Revenue _____
Federal Funds _____
Cash Funds _____
Special Revenue _____
Other _____
Total _____

1997-1998 Fiscal Year

General Revenue _____
Federal Funds _____
Cash Funds _____
Special Revenue _____
Other _____
Total _____

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- What is the total estimated cost by fiscal year to any party subject to the proposed, amended, or repealed rule or regulation?
Participation in the grant category will be voluntary.

1996-1997 Fiscal Year

1997-1998 Fiscal Year

- What is the total estimated cost by fiscal year to the agency to implement this regulation?

1996-1997 Fiscal Year
\$0

1997-1998 Fiscal Year
\$50,000 provided through 1/8th cent conservation tax proceeds

July 28, 1995
APA/2

GRANT PROGRAM GOALS

Here are the goals the Department of Arkansas Heritage has set for Arkansas Heritage Month Grants.

- promote awareness and enjoyment of Arkansas's heritage
- increase community-based groups' abilities to create Heritage Month programs
- make Heritage Month programs possible where they would otherwise not occur
- foster cooperative efforts to increase the size or scope of events
- create ongoing components to Heritage Month celebrations: a curriculum or teaching tool, exhibits, displays, a lively event that can recur, a photographic essay, a roadside exhibit, etc.

MATCH

A 1:3 match is required from the applicant. This means that the applicant supplies at least one dollar for every three grant dollars. The applicant supplies one-fourth of the total project cost. The match may be supplied from cash sources or in-kind contributions such as volunteer hours, salaries, or other contributed sources, or a combination of cash and in-kind contributions. *Arkansas Heritage Month Grants may not be matched with funds from any other grant from the Department of Arkansas Heritage or one of its agencies, or the Mid-America Arts Alliance.*

APPLICATION BASICS

Your Arkansas Heritage Month Grant proposal should show familiarity with the grant guidelines, the goals of Arkansas Heritage Month, and the mission of the Department of Arkansas Heritage. It should show that you have set your own goals for your Arkansas Heritage Month project.

When you submit a request for support, it will be evaluated against the goals of the Arkansas Heritage Month Grant program as stated elsewhere on this page. Other evaluation criteria are listed on page 5.

Requests for funding must include the following items:

- *the grant cover sheet on page 7, signed by the person who will be responsible for making sure the grant is spent as reflected in the application*
- *the application form beginning on page 8, using the space provided and additional space only as indicated*
- *a total project budget using the form supplied on page 10.*

Your application may be brief, using only the space on the application form, or you may attach additional sheets as directed on the form. *Please do not use more additional space than indicated.*

If you have questions about preparing an Arkansas Heritage Month Grant application, call the Arkansas Heritage Month grant coordinator, Jim Walsmith, at the Department of Arkansas Heritage, (501) 324-9150 [TDD (501) 324-9811], or e-mail info@dah.state.ar.us.

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STATE PROJECT
OFFICE OF STATE
BY THE OFFICE OF ARKANSAS

EVALUATION CRITERIA

Arkansas Heritage Month Grants come from a limited funding source, so not every applicant can be funded. Since that is the case, these criteria have been established for making Arkansas Heritage Month Grants.

- your organization's relationship to your local community
- the degree to which the proposed project reflects the Heritage Month theme for the current grant year
- the local or statewide impact of the program
- whether the program results in an ongoing or lasting component
- the degree to which the program shows collaboration and cooperation among community organizations
- the degree to which the program will foster heritage tourism development
- your organization's mission and sources of income

ARKANSAS HERITAGE MONTH GRANTS WILL NOT

- exceed \$5,000
- fund academic research unless the research results in an ongoing component that can be shared by the community
- be made to for-profit organizations or events or directly to agencies of state government (though collaborative efforts involving state government agencies are acceptable)
- fund programs or events that disregard the need to preserve, protect or conserve historic sites, structures, artifacts and the environment, or are outside of accepted professional museum or environmental standards
- fund "bricks and mortar" construction or staff salaries (but contracted personnel may be paid with grant funds).

DECISION PROCESS

The Arkansas Heritage Month Grant application process is designed to assist communities in planning and implementing meaningful Arkansas Heritage Month programs and events at the local level.

Grant proposals will be reviewed by a panel of Department of Arkansas Heritage agency directors and three Department of Arkansas Heritage commissioners. This panel will make funding decisions. Successful applicants will be notified early in January 1998.

Anyone with questions about the application criteria or decision-making process should call the Arkansas Heritage Month Grant coordinator at the Department of Arkansas Heritage, (501) 324-9150 [TDD (501) 324-9811], or e-mail info@dah.state.ar.us.

APPLICATION DEADLINE

ALL ARKANSAS HERITAGE MONTH GRANT APPLICATIONS
MUST BE POSTMARKED NO LATER THAN XXXX XX, 199X, OR
IN THE DEPARTMENT OF ARKANSAS HERITAGE OFFICE
NO LATER THAN XXX XX, 199X.

Arkansas Heritage Month Grants will be disbursed and spent before June 15 of the grant year for which the grant is received, and a final program report and budget will be due by the end of the state fiscal year for which the grant is received.

Only one Arkansas Heritage Month Grant will be made to any one organization during any given grant year.

Grant recipients will be required to participate in an orientation program prior to receipt of award, at a place and time specified by the Department of Arkansas Heritage.

1998 ARKANSAS HERITAGE MONTH GRANT APPLICATION
COVER SHEET

Name of organization _____

Contact person _____

Address _____

City _____ zip code _____

Telephone _____ fax _____

PROPOSAL CHECKLIST

- this application section (pages 7, 8, 9, 10) may be copied, cut from this book, or reproduced on a computer
- application must be *postmarked* no later than Xxxx XX, 19XX, or, if delivered in person, *in the Department of Arkansas Heritage office* no later than Xxxx XX, 19XX.
- read through all of the application questions and plan your answers
- this cover sheet must be attached to the front of your proposal
- complete the application and budget (pages 8-10 of this booklet), typed, double-spaced
- send ten packets; each packet will consist of this cover sheet, the completed application, and the budget; collate and staple each packet.

Dollar amount requested from the Department of Arkansas Heritage: \$ _____

Total value of match from cash and in-kind sources: \$ _____

Total project budget: \$ _____

AGREEMENT AND AUTHORIZATION

If a grant is awarded for this project or program, all printed and promotional materials and any permanent signs associated with this project must credit the Department of Arkansas Heritage with the following line of text:

*This program was made possible in part by a grant from the
Department of Arkansas Heritage, funded by your 1/8 cent conservation tax, Amendment 75.*

The undersigned agrees to the above credit line and to provide the Department of Arkansas Heritage with one or more color or black-and-white photographs (prints) of the proposed project or program when it is finished or in progress, and permission to use the photo(s), the organization's name, and the proposal summary for newsletters, promotional materials, and news coverage.

agreement authorized by: _____
authorized signature

print or type name and title: _____
please print or type name and title

1998 ARKANSAS HERITAGE MONTH GRANT APPLICATION, PAGE 1

1. IN THIS SPACE, DESCRIBE YOUR ORGANIZATION, INCLUDING ITS MISSION AND HISTORY INFORMATION. ATTACH ONE ADDITIONAL PAGE IF NECESSARY. RESPONSES MUST BE TYPED, DOUBLE SPACED.

2. LIST THE PEOPLE, ORGANIZATIONS, OR COMMUNITIES THAT WILL BENEFIT FROM YOUR PROPOSED PROJECT. IDENTIFY PROJECT PARTNERS. USE ONLY THIS SPACE; RESPONSES MUST BE TYPED. THIS RESPONSE MAY BE SINGLE SPACED.

3. ON THIS PAGE, DESCRIBE THE PROPOSED ARKANSAS HERITAGE MONTH GRANT PROJECT. INDICATE YOUR GOALS FOR THE PROJECT. USE UP TO TWO ADDITIONAL PAGES IF YOU NEED THEM. RESPONSES MUST BE TYPED, DOUBLE SPACED.

1998 ARKANSAS HERITAGE MONTH GRANT BUDGET PAGE

COSTS

SUPPLIES: \$ _____

TRAVEL: \$ _____

CONTRACTED PERSONNEL/
ARTISTS FEES: \$ _____

ADVERTISING: \$ _____

TECHNICAL/PRODUCTION: \$ _____

RENT/UTILITIES: \$ _____

OTHER (PLEASE SPECIFY): \$ _____
 _____ \$ _____
 _____ \$ _____
 _____ \$ _____
 _____ \$ _____
 _____ \$ _____
 _____ \$ _____
 _____ \$ _____
 _____ \$ _____

TOTAL PROJECT COST: \$ _____

REVENUE SOURCES

CASH ON HAND: \$ _____

ARKANSAS HERITAGE MONTH
GRANT REQUEST: \$ _____

OTHER CASH GIFTS OR GRANTS: \$ _____

PLEASE IDENTIFY SOURCES OF CASH GIFTS/GRANTS:

VALUE OF IN-KIND DONATIONS
(PLEASE SPECIFY TYPE OF DONATION)

_____	\$ _____
_____	\$ _____
_____	\$ _____
_____	\$ _____
_____	\$ _____
_____	\$ _____
_____	\$ _____
_____	\$ _____

OTHER REVENUE: \$ _____

TOTAL REVENUE APPLIED
TO PROJECT: \$ _____

The attached **Heritage Grant** Rule is a new rule to be promulgated.

Rule Summary

Arkansas Heritage Grants

This rule establishes the guidelines and process for the administration of a grant program to promote education, awareness and enjoyment of Arkansas's heritage. The rule includes items such as who is eligible to apply for the grant, how applications are evaluated, documentation required by the applicant and the timeline for application and decision. The maximum award per applicant is \$5,000.

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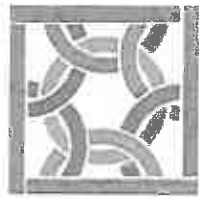
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Legal Notice Ad

The Department of Arkansas Heritage is instituting a grant program designed to promote education, awareness and enjoyment of Arkansas's heritage. This program will expand the scope of the Heritage Month Grants and may be offered more than one time per year depending on available funding.

The Department is accepting public comment on the grant application rules and procedures through November 30, 2018. Interested persons may review the rules online at www.arkansasheritage.com. Written comments may be returned to Arkansas Heritage Grants, Department of Arkansas Heritage, 1100 North Street, Little Rock, AR 72201. Phone: (501) 324-9150.

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THE DEPARTMENT OF ARKANSAS
HERITAGE

Arkansas Heritage Grants

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The Department of Arkansas Heritage

Since 1975, the Department of Arkansas Heritage (DAH) has been charged with discovering, strengthening, protecting and preserving Arkansas's natural and cultural heritage. To fulfill its mission, the department houses the following natural and cultural heritage resource divisions:

DAH Museums: Museums research, preserve, present and interpret historic structures, objects and natural and cultural assets. They serve as a partner in education with public and private schools throughout Arkansas. The department's four museums are the **Delta Cultural Center**, **Historic Arkansas Museum**, **Mosaic Templars Cultural Center** and **Old State House Museum**.

DAH Resource Divisions: The **Arkansas Natural Heritage Commission** identifies, protects and promotes areas that best exemplify Arkansas's rich heritage of biological diversity. These areas might be habitat for rare or disappearing species, or natural communities such as a tallgrass prairie or a river and its dependent aquatic system. The **Arkansas Arts Council** and the **Arkansas Historic Preservation Program** are conduits for federal and state grant money, and they provide technical assistance for developing and conserving Arkansas's cultural resources. They preserve and promote Arkansas's cultural resources including Arkansas's fine and traditional arts and historic structures, landscapes and archeological sites. The **Arkansas State Archives** collect and preserve the official records and historical materials for the state of Arkansas. These include state, county, and federal records, manuscript materials, newspapers, military records, family histories, an extensive Arkansas history and genealogy library, and a collection of Arkansas art and artifacts.

ARKANSAS HERITAGE GRANTS

GRANT PROGRAM GOALS

The Department of Arkansas Heritage (DAH) has set these goals for Arkansas Heritage Grants:

- promote awareness and enjoyment of Arkansas's heritage
- increase community-based and non-profit groups' abilities to create Arkansas heritage related programs
- make heritage related programs possible where they would otherwise not occur
- foster cooperative efforts among organizations, businesses and government to increase the size or scope of events
- create ongoing components to heritage related celebrations: a curriculum or teaching tool, exhibits, displays, a lively event or festival that can recur, a photographic essay, a roadside exhibit, etc.

APPLICATION BASICS

An Arkansas Heritage Grant proposal should show familiarity with the mission of the Department of Arkansas Heritage, which is to identify Arkansas Heritage and enhance the quality of life by the discovery, preservation, and presentation of the state's cultural, historic and natural resources; grant guidelines; and the goals of the Arkansas Heritage Grant Program.

The grants will be evaluated against the goals of the Arkansas Heritage Grant Program criteria.

Requests for funding will include completing the following sections of the online application:

1. *Project Overview*
2. *Project Description and Justification*
3. *Line Item Budget/Budget Explanation*
4. *Agreement and Authorization*

Answers may be brief. Please use the space provided as directed in the application process.

If you have questions about preparing an Arkansas Heritage Grant application, contact the DAH Grants Manager at the Department of Arkansas Heritage, (501) 324-9150 or via the DAH website.

EVALUATION CRITERIA

Arkansas Heritage Grants come from a limited funding source, so not every applicant can be funded. Since that is the case, certain criteria have been established for making Arkansas Heritage Grants to include:

- your organization's relationship to your local community
- the degree to which the proposed project meets the grant program goals as set forth in these guidelines and the Notice of Funding Opportunity
- the local or statewide impact of the project
- whether the program results in an ongoing or lasting component
- the degree to which the project shows collaboration and cooperation among community organizations
- the degree to which the project will foster heritage tourism development
- your organization's mission and sources of income

ARKANSAS HERITAGE GRANTS *WILL NOT*

- exceed \$5,000
- fund academic research unless the research results in an ongoing component that can be shared by the community
- be made to for-profit organizations or events, or directly to agencies of local, county, federal or state government (though collaborative efforts, i.e., friends groups, involving state government agencies are acceptable)
- fund infrastructure (bricks and mortar) construction, staff salaries (although contracted personnel may be paid with grant funds), travel by paid staff members (although travel for contracted personnel is allowed)
- fund ongoing operating costs of the organization.

DECISION PROCESS

The Arkansas Heritage Grant is designed to assist communities in planning and implementing meaningful Arkansas Heritage programs and events at the local level.

Grant proposals will be reviewed by a panel of at least five (5) DAH staff members designated by the Department of Arkansas Heritage Director. This panel will make funding recommendations. Successful applicants will be notified within 60 days of the application deadline.

Anyone with questions about the application criteria or decision-making process should call the DAH Grants Manager at the Department of Arkansas Heritage. See DAH website for contact information or call (501) 324-9150.

APPLICATION INSTRUCTIONS

A Notice of Funding Opportunity will be posted sixty (60) days prior to the application deadline. Grant opportunities are subject to available funding.

Only ONE Arkansas Heritage Grant will be made to any one organization during a given grant year. Grant recipients will be required to participate in an orientation program prior to receipt of award as specified by the Department of Arkansas Heritage.

Information about the online application will be located on the DAH website.

HERITAGE GRANT REVENUE/BUDGET SCHEDULE

REVENUE SOURCES (Project Costs Only)

**LINE ITEM BUDGET
BUDGET ALLOCATION**

REVENUE SOURCES (Project Costs Only)		LINE ITEM BUDGET BUDGET ALLOCATION				
		BUDGET ITEM	GRANT REQUEST	APPLICANT FUNDS	IN-KIND	TOTAL BUDGET
Grant Request:		Supplies				
Cash on Hand:		Travel				
Cash Gifts/Grants:		Contracted Personnel/Artist Fees				
Total:		Advertising				
Identify Sources and Amounts of Gifts/Grants (Cash):	Amount	Technical/Production				
		Rent/Utilities				
		Other (Be Specific)				
Value of In-Kind Donation(s): (Specify Type and Value)	Value					
Total Revenue Applied to the Project:		Total				