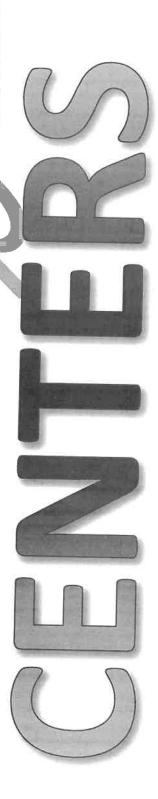
# MINIMUM LICENSING REQUIREMENTS FOR Child Care Centers



ARKANSAS DEPARTMENT OF HUMAN SERVICES
DIVISION OF CHILD CARE AND EARLY CHILDHOOD EDUCATION
CHILD CARE LICENSING UNIT
P. O. BOX 1437, SLOT S150
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PUB-002 (REV.-04/01/2019 01/01/2020)



# 101 Related Laws and Requirements

- 1. The "Child Care Facility Licensing Act" Ark. Code Ann. 20-78-201-220, as amended, is the statutory authority for licensing child care facilities. This act created the Division of Child Care and Early Childhood Education and authorized the Division to establish rules and regulations governing the granting, revocation, denial, and suspension of licenses for child care facilities and the operation of child care facilities in this state. The Minimum Licensing Requirements for Child Care Centers are the Division's rules and regulations for Child Care Centers.
- 2. The Child Care Facility Licensing Act designates the Arkansas Department of Human Services, Division of Child Care and Early Childhood Education as the administrative agency responsible for administering the Act in accordance with the Minimum Licensing Requirements for Child Care Centers. The Division is authorized to inspect and investigate any proposed or operating Child Care Centers and any personnel connected with the Center to determine if the facility will be or is being operated in accordance with the Child Care Facility Licensing Act and the Minimum Licensing Requirements for Child Care Centers.
- 3. The licensing requirements contained in this manual apply to group child care. (Refer to the Minimum Licensing Requirements for Child Care Family Homes for the requirements that apply to child care provided in a Child Care Family Home.)
- 4. The Child Care Licensing Unit will notify the applicable federal agency at any time they become aware of or are advised of violations of any of the following or similar laws. The owner should be aware of applicable federal laws which may affect the operation of the facility, such as, but not limited to:
  - a. Americans with Disabilities Act (ADA).
  - b. Environmental Protection Agency (EPA) regulations to ensure that any renovation or repair work on a home, child care facility, or school that was constructed prior to 1978 shall be completed by a contractor that is certified by the Environmental Protection Agency (EPA), when the repairs and/or renovations consist of any or all of the following:
  - the repair or renovation disturbs six (6) or more square feet of the interior, the repair or renovation disturbs twenty (20) feet or more square feet of the exterior, and/or the repair or renovation involves removing a window.
  - c. Federal civil rights laws state that a facility may not discriminate on the basis of race, color, sex, religion, national origin, physical or mental handicap, or veteran status.
- 5. Reporting Requirements Owners, operators, staff, therapists, and volunteers are mandated reporters of suspected child maltreatment and are required to call the Child Maltreatment Hotline at 1-800-482-5964, if they have reason to suspect child maltreatment. Additionally, these same individuals are required by Act 530 of 2019, to notify law enforcement if they have a good faith belief that there is a serious and imminent threat to the health or safety of a student, employee, or the public, based on a threat made by an individual regarding violence in, or targeted at, a school (child care center) that has been communicated to the person in the course of their professional duties.
- 6. The Licensee shall maintain Child Care Liability Insurance (Act 778 of 2009), and comply

with the following requirements, with the following exception: State institutions, political subdivisions, or other entities entitled to immunity from liability under 21-9-301, are not required to have general liability insurance coverage in order to be licensed. (Act 23 of 2015)

- a. Prior to the approval of an application, the applicant shall provide verification of the required coverage to the Licensing Specialist and provide subsequent verification when requested. (Facilities licensed prior to the effective date of this revision shall have ninety (90) days to comply with this requirement.)
- b. The Licensee shall maintain the minimum amount of coverage as follows:

Licensed Capacity of Center	Minimum Child Care Liability Insurance Coverage Required
1-74	\$500,000 per occurrence
75 and up	\$1,000,000 per occurrence

- 7. Laws relevant to the operation of child care facilities are available upon request.
- 8. The following standards are the minimum licensing requirements which shall be met by persons or organizations which operate a child care facility. In recommending a license be issued, the Division of Child Care and Early Childhood Education works in coordination with the local and state Health Departments, Fire Departments, City Planning or Zoning Departments, and the Boiler Division of the Department of Labor. Persons considering opening or expanding a child care facility shall immediately contact these individual departments for inspection and information on their separate regulations rules.

A prospective Licensee should request clarification regarding the codes or covenants enforced by these departments as some may prevent the operation of a child care facility at a particular location, may limit the number of children in care, or may impose additional safety requirements.

9. The Deensing Unit shall share information on the location and status of new applicants applying for a license and on facilities holding a license when a city or county requests the information.

### 109 Child Maltreatment Checks

 The following persons shall be required to have their background reviewed through an Arkansas Child Maltreatment Central Registry Check. A check or money order for \$10.00 made out to the Department of Human Services (DHS) must be attached to each form.

Prospective employees who have not lived in the State of Arkansas during the preceding five (5) years will be subject to current federal guidelines regarding conducting a child maltreatment background check in any states where they resided during the past five (5) years.

a. Each applicant to own or operate a child care facility

At application and every two (2) years thereafter

b. Staff members and applicants for employment in a child care facility

At application or within 10 days of hire/start date Prior to employment and every two (2) years thereafter

 All volunteers, therapists, and student observers, who have routine contact with children

At application and every two years thereafter Prior to providing services or participating in center activities and every two (2) years thereafter

 d. Administrative staff and/or members of the Board of Directors who have supervisory and/or disciplinary control over children or who have routine contact with children

At application and when changes occur with administrative staff or Board members, and every two (2) years thereafter

e. Student Observers

At beginning of observation or within 10 days of first observation and every two years thereafter if applicable

f. Therapists or other persons who have routine contact with children

Within 10 days of the time they begin to provide services or begin to participate in center activities and every two years thereafter

- 2. If a complaint of child maltreatment is filed against any owner, operator, staff, or other person in a child care center, the Child Care Licensing Specialist shall evaluate the risk to children and determine the suitability of the person(s) to supervise, be left alone with children, have disciplinary control over children, or remain in the center during hours of care until the allegations have been determined true or unsubstantiated. (Pending the evaluation of risk to children by the Child Care Licensing Unit, the person(s) alleged shall not be left alone with children.)
- 3. If corrective action is appropriate, the facility shall require all staff members who have had a founded report of child maltreatment to follow the corrective action plan specified by the Child Care Licensing Unit. Corrective action measures may vary from relevant training to reassignment or termination. Failure to comply with corrective action plans may constitute grounds for adverse action against the Licensee.
- 4. The statewide Child Maltreatment "Hot Line" and the Child Care Licensing Central Office number shall be posted in a conspicuous place in the child care facility. The "Hot Line" number is 1-800-482-5964 and the Licensing Central Office number is (501) 682-8590 or toll free 1-800-445-3316.

### 110 FBI Criminal Records Check

1. The following persons shall apply to the Identification Bureau of the Arkansas State Police for a nationwide criminal record check, to be conducted by the FBI, which shall include a fingerprint check: (The individual is responsible for the cost of a nationwide check. Each request must be accompanied by a check or money order made out to the Arkansas State Police.)

Fingerprints submitted will be used to check the criminal history records of the FBI. Individuals with results showing a prohibited offense shall be advised to contact the Licensing Unit for procedures to obtain the results and for procedures to update or make corrections to the record of their individual history.

Each applicant to own or operate a child At Initial application only and every five care facility

(5) years thereafter

b. Direct care staff or staff with routine contact with children

Within 10 days of hire/start date

Prior to employment and every five (5) years thereafter 💎

c. Administrative persons who have direct contact with children

Within 10 days of hire/start date

Prior to employment and every five (5) years thereafter

d. Therapists, volunteers, or other persons who have supervisory control, disciplinary control over children, or are left alone with children

1.24

Within 10 days of start date Prior to providing services or participating in center activities and every five (5) years thereafter

# 2. Arkansas State Police Criminal Background Check

The following persons shall be required to have their background reviewed through a fingerprint criminal records check (which includes the Arkansas Sexual Offender Registry) conducted by the Arkansas State Police.

A prospective staff member may not begin work until the Arkansas State Police criminal record check result has been returned as satisfactory. Following receipt of the satisfactory result, this individual must be supervised at all times, pending completion of all of the required background check components, by a staff member who has successfully completed all required background checks.

A National Sexual Offender Registry check will also be conducted on prospective employees.

Prospective employees who have not lived in the State of Arkansas during the preceding five (5) years will be subject to current federal guidelines regarding conducting a criminal background check and sexual offender registry check in any states where they resided during

### the past five (5) years.

a. Each applicant to own or operate a child care facility

At application and every five (5) years thereafter

b. Staff and applicants for employment in a child care facility

Within 10 days of hire/start date Prior to employment and every five (5) years thereafter

c. Administrative persons who have direct contact with children

Within 10 days of hire Prior to employment and every five (5) years thereafter

 d. Therapists or other persons who have supervisory or disciplinary control over children, or are left alone with children

Within 10 days of the time they begin Prior to provide providing services or begin to participate participating in center activities and every five (5) years thereafter

- 3. Criminal records will be returned to the division for review. Any charge <u>or</u> /convictions listed in this section (Section 110) that are returned will be considered regardless of whether the record is expunged, pardoned, or otherwise sealed.
- 4. No person shall be eligible to be a child care facility owner, operator, or employee if that person has pled guilty, or been found guilty, of any of the following offenses by any court in the State of Arkansas, any similar offense by a court in another state, or any similar offense by a federal court. The following offenses are permanently prohibited:

01. Abuse of an endangered or impaired person, if felony	§5-28-103
02. Arson	§5-38-301
03. Capital Murder	§5-10-101
04. Endangering the Welfare of an Incompetent person- 1st degree	§5-27-201
05. Kidnapping	§5-11-102
06. Murder in the First degree	§5-10-102

07. Murder in the Second degree	§5-10-103
08. Rape	§5-14-103
09. Sexual Assault in the First degree	§5-14-124
10. Sexual Assault in the Second degree	§5-14-125

5. No person shall be eligible to be a child care facility owner, operator, or employee if that person has pled guilty, or been found guilty, of any of the following offenses by any court in the State of Arkansas, any similar offense by a court in another state, or any similar offense by a federal court. The following offenses are prohibited:

01. Criminal Attempt to commit any offenses in MLR Section 110	§5-3-201
02. Criminal Complicity to commit any offenses in MLR Section110	§5-3-202
03. Criminal Conspiracy to commit any offenses in MLR Section 110	§5-3-401
04. Criminal Solicitation to commit any offenses in MLR Section 110	§5-3-301
05. Assault in the First, Second, or Third degree	§5-13-205 - §5-13-207
06. Assault, Aggravated	85-13-204
07. Assault, Aggravated on a Family or Household Member	§5-26-306
08. Battery in the First, Second, or Third Degree	§5-13-201 - §5-13-203
09. Breaking or Entering	§5-39-202 -
10. Burglary	§5-39-201
11. Coercion	§5-13-208
12. Computer Crimes Against Minors	§5-27-601 et. seq.
13. Contributing to the Delinquency of a Juvenile	§5-27-220
14. Contributing to the Delinquency of a Minor	§5-27-209
15. Criminal Impersonation	§5-3-208
16. Criminal Use of a Prohibited Weapon	§5-73-104
17. Death Threats Concerning a School Employee or Students	§5-17-101
18. Domestic Battery in the First, Second, or Third Degree	§5-26-303 - §5-26-305
19. Employing or Consenting to the Use of a Child in a Sexual Performance	§5-27-402
20. Endangering the Welfare of a Minor in the First or Second Degree	§5-27-205 and §5-27-206
21. Endangering the Welfare of an Incompetent Person in the First or Second Degree	§5-27-201 and §5-27-202
22. Engaging Children in Sexually Explicit Conduct for Use in Visual or Print Media	§5-27-303
23. False Imprisonment in the First or Second Degree	§5-11-103 and §5-11-104
24. Felony Abuse of an Endangered or Impaired Person	§5-28-103
25. Felony Interference with a Law Enforcement Officer	§5-54-104
26. Felony Violation of the Uniform Controlled Substance	§5-64-101 - §5-64-508

Act	et. seq.
27. Financial Identity Fraud	§5-37-227
28. Forgery	§5-37-201
29. Incest	§5-26-202
30. Interference with Court Ordered Custody	§5-26-502
31. Interference with Visitation	§5-26-501
32. Introduction of Controlled Substance into Body of Another Person	§5-13-210
33. Manslaughter	§5-10-104
34. Negligent Homicide	§5-10-105
35. Obscene Performance at a Live Public Show	§5-68-305
36. Offense of Cruelty to Animals	§5-62-103
37. Offense of Aggravated Cruelty to Dog, Cat, or Horse	§5-62-104
38. Pandering or Possessing Visual or Print Medium  Depicting Sexually Explicit Conduct Involving a Child	§5-27-304
39. Patronizing a Prostitute	§5-70-103
40. Permanent Detention or Restraint	§5-11-106
41. Permitting Abuse of a Minor	§5-27-221
42. Producing, Directing, or Promoting a Sexual Performance by a Child	§5-27-403
43. Promoting Obscene Materials	§5-68-303
44. Promoting Obscene Performance	§5-68-304
45. Promoting Prostitution in the First, Second, or Third Degree	§5-70-104 - §5-70-106
46. Prostitution	§5-70-102
47. Public Display of Obscenity	§5-68-205
48. Resisting Arrest	§5-54-103
19. Robbery	§5-12-102
0. Robbery (Aggravated Robbery)	§5-12-103
1. Sexual Offense (any)	§5-14-101 et. seq.
2. Simultaneous Possession of Drugs and Firearms	§5-74-106
3. Soliciting Money or Property from Incompetents	§5-27-229
4. Stalking	§5-71-229
55. Terroristic Act	§5-13-310
6. Terroristic Threatening	§5-13-301
7. Theft by Receiving	§5-36-106
8. Theft of Property	§5-36-103
9. Theft of Services	§5-36-104
0. Transportation of Minors for Prohibited Sexual Conduct	§5-27-305
1. Unlawful Discharge of a Firearm from a Vehicle	§5-74-107
2. Voyeurism	§5-16-102

<sup>6.</sup> Any person who has pled guilty, nolo contendere, or who has been found guilty of any one (1) of the offenses listed above (Section 110.5), may not work in child care unless:

- a. The date of the conviction, plea of guilty, or nolo contendere for a misdemeanor offense is at least five (5) years from the date of the request for the criminal history records check and there have been no criminal convictions or pleas of guilty or nolo contendere of any type or nature during the five (5) year period preceding the background check request.
- b. The date of the conviction, plea of guilty or nolo contendere for a felony offense is at least more than ten (10) years from the date of the request for the criminal history records check and there have been no criminal convictions or pleas of guilty or nolo contendere of any type or nature during the ten (10) year period preceding the background check request.
- 7. If the Licensee wishes to employ an individual with a conviction or plea of guilty or nolo contendere for the following nonviolent offenses, they shall submit a written request for a waiver prior to employment. § 20-38-103 (e) (3) (a) Act 990 of 2013
  - a. Theft by receiving § 5-36-106
  - b. Forgery § 5-37-201
  - c. Financial identity fraud § 5-37-227
  - d. Resisting arrest § 5-54-103
  - e. Criminal impersonation in the second degree § 5-37-208(b)
  - f. Interference with visitation § 5-26-501
  - g. Interference with court-ordered visitation § 5-26-502
  - h. Prostitution § 5-70-102
  - i. Patronizing a prostitute § 5-70-203

The waiver may be approved if all the following conditions are met:

- The individual has completed probation or parole supervision
- The individual has paid all court ordered fees, fines, and/or restitution
- The individual has fully complied with all court orders pertaining to the conviction or plea
- 8. The waiver will be revoked if, after employment, the individual pleads guilty or nolo contendere or is found guilty of any prohibited offense (including the list above a-i) or has a true or founded report of child maltreatment or adult maltreatment in a central registry.
- 9. The request for waiver and certification of approval shall be kept in the individual's file for the term of employment and three (3) years after termination of employment.
- 10. If approved, the waiver is not transferable to another licensed facility.
- 11. Anyone employed in a licensed center, COE center, Licensed Child Care Family Home, or a Registered Child Care Family Home prior to 9/1/2009 with a clear background check history may remain eligible for employment unless the employee had a conviction, plead guilty, or plead nolo contendere to an offense listed in the above section (Section 110.6) since 9/1/2009.

# **306 Professional Development**

- All directors, site supervisors, and staff who provide direct care to children shall be registered with the Division of Child Care and Early Childhood Education Professional Development Registry within <a href="https://doi.org/10.10">https://doi.org/10.10</a>
   All directors, site supervisors, and staff who provide direct care to childhood Education Professional Development Registry or Childhood Education Professional Development Registry or Department of Education or Department of Higher Education approved.
- All new staff shall have a probationary period of at least thirty (30) days, but not
  more than six (6) months, during which they are closely supervised, mentored, and
  evaluated. Evaluations shall be documented and maintained in the employee file.
- 3. All new staff members who provide direct care to children shall receive a basic orientation on facility management policies, The Minimum Licensing Requirements, center schedules, and emergency procedures prior to providing care. This shall be documented in the employee file.
- 4. All new staff, including volunteers who are counted in the ratios, shall receive the following orientation within three (3) months of employment (and every three (3) years thereafter) and shall not be left alone with children until this is completed. (unless the staff has prior documented training in the required areas).
  - 1. Introduction (8 clock hours) to be completed before being left alone with children:
    - a. Proper supervision of children
    - b. Behavioral guidance practices
    - c. Safe sleep practices for infants
    - d. Shaken baby syndrome; which includes prevention (Carter's Law, Act 1208)
    - e. Appropriately responding to a crying/fussy infant/child
    - f. \ Emergency procedures in the event of severe weather, or fire, including evacuation procedures and routes, and location and use of fire extinguishers. \
    - g. Mandated reporter training
    - h. Administering medication
    - i. Caring for children with special needs / care plans
    - j. Transportation and car seat safety
    - k. Policies regarding release of children to authorized individuals
    - I. Prevention and control of infectious diseases
    - m. <u>Building and physical premises safety, including the identification of, and protection from, hazards, bodies of water, and vehicular traffic</u>
    - n. Nutrition and physical activities
    - o. <u>Prevention and response to food sensitivities and allergic reactions</u>
    - p. Basic child development
    - q. The handling and storage of hazardous materials and the appropriate disposal of biocontaminants

See Division website for a list of courses, that The Division maintains contracts for, which meet the above requirements.

2. All staff shall have <u>fifteen</u> (15) hours of job specific training each year, <u>including child development training</u>, for the ages of children they work with. This shall be training focused on their job responsibilities, such as "Hands on Routine Care" for infants, or "Basics of Assessment" for preschool staff.

See Division website for a list of courses, that the Division maintains contracts for, which meet the above requirements.

- 5. The Director, Assistant Director/Site Supervisor, and 50% of the facility staff that are on site at any given time shall have a certificate of successful completion of first aid and CPR from an approved organization. <u>If the facility serves infants and toddlers, this training shall include infant/child CPR.</u> (Infant/child CPR may be included in the basic course or in a separate course.)
  - a. The curriculum shall conform to current American Heart Association or American Red Cross guidelines.
  - b. The curriculum shall require hands on, skill-based instruction, as well as practical testing. Training and certification that is provided solely "online" will not be accepted.
  - c. The instructor shall be qualified and authorized to teach the curriculum and shall be certified by a nationally recognized organization. (Including but not limited to: Health and Safety Institute; EMS Safety Services, Inc.)

### **1201 Safety Requirements**

- 1. Within thirty (30) days of licensure and within thirty (30) days of any change or modification of the floor plan, the facility shall file a copy of their floor plan with the local Office of Emergency Management including the following (§ 20-78-228 Act 1159 of 2013):
  - a. A schematic drawing of the facility and property used by the child care facility including the configuration of rooms, spaces, and other physical features of the building
  - b. The location or locations where children enrolled in child care spend time regularly
  - c. The escape routes approved by the local fire department for the child care facility
  - d. The licensed capacity and ages of children per room at the facility
  - e. The contact information for at least two (2) emergency contacts for the facility
  - f. An aerial view of the child care facility and property used by the child care facility shall be included with the floor plan if available
- 2. The facility shall have a written plan detailing the procedures to follow in the event of emergencies (fires, floods, tornadoes, utility disruptions, bomb threats, etc.) (Act 801 of 2009). The plan and procedures are required for emergencies that could cause structural damage to the facility, be identified as a threat by the Arkansas Department of Emergency Management or pose a health and/or safety hazard to the children and staff. This plan shall include provisions for "sheltering in place" or "lock down", in the

### event of situations that warrant these measures.

- 3. The written plan shall include the following information:
  - a. Designated relocation site and evacuation route
  - b. Procedures for notifying parents of relocation
  - c. Procedures for ensuring family reunification
  - d. Procedures to address the needs of individual children, including children with special needs, <u>disabilities</u>, and children with chronic medical conditions
  - e. Procedures and documentation for annual training of staff regarding the plan and possible reassignment of staff duties in an emergency
  - f. Plans to ensure that all staff and volunteers are familiar with the components of the plan
- 4. The facility shall coordinate with local emergency management officials to plan for emergencies.
- 5. The facility shall maintain, on site, a current copy of the Arkansas Comprehensive Emergency Management Plan issued by the Arkansas Division of Emergency Management. This plan shall be reviewed by the facility Director and signed and dated, indicating that they have reviewed the current plan and agree to comply with the provisions of the plan.
- 5.6. Written procedures and evacuation diagrams for emergency drills shall be posted in each classroom.
- 6.7. Fire and tornado drills shall be practiced as follows:
  - a. Monthly
  - b. Fire and tornado drills shall be practiced on separate days and at different times of the day.
  - c. Everyone in the facility, to include all program types (i.e. infant & toddler,
  - preschool, school age), at the time of the drill shall participate in the drill
  - d. Staff, including volunteers and substitutes, shall be trained in emergency drill procedures
  - e. During all hours when children are in care (evenings, nights, weekends, etc.)
  - f. If applicable, the facility shall provide a crib with evacuation casters or equivalent that will provide one (1) bed for every six (6) infants, twelve (12) months and younger, that may be used for the safe evacuation of the infants.
- 7.8. The facility shall maintain a record of emergency drills. This record shall include:
  - a. Date of drill
  - b. Type of drill
  - c. Time of day
  - d. Number of children participating in the drill
  - e. Length of time taken to reach safety
  - f. Notes regarding any items that need improvement

- 8.9. The facility shall maintain an evacuation pack that shall be taken on all drills and during actual emergency evacuations. The pack shall be easily accessible in an emergency and all staff shall know the location of the pack. The evacuation pack shall include, but is not limited to the following:
  - a. List of emergency numbers
  - b. List of all emergency and contact information for children
  - c. List of all emergency and contact information for staff
  - d. First aid kit (requirement 1101.6) with extra gloves
  - e. Kleenex
  - f. Battery powered flashlight and extra batteries
  - g. Battery powered radio and extra batteries
  - h. Hand sanitizer
  - i. Notepad and pens or pencils
  - j. Whistle
  - k. Disposable cups
  - Wet wipes
  - m. Emergency survival blanket
- 9.10. The facility shall immediately notify the Licensing Unit of any extended utility outages or significant damage to the building and/or grounds. If phone service is not available, notification shall be as soon as service is restored or available.
- 10.11. Child care centers shall maintain a log of all child product recalls and safety notices issued by CPSC or distributed by the Attorney General's Office and shall post or otherwise make these notices available for parents to review on site. The facility director shall certify, on an annual basis, that these notices have been maintained and reviewed and that any identified items have been removed from the facility. Forms for self-certification will be provided by the Licensing Specialist and shall be submitted annually. (Act 1313 of 2001).
- 11.12. There shall be no alcoholic beverages in any part of the facility during hours of care.
- 12.13. Illegal drugs or ≠paraphernalia shall not be in any part of the facility or on the premises, regardless if children are present or not.
- 13.14. All medications and poisonous substances shall be kept in separately locked areas.
- 14.15. Rescue medications such as inhalers or EpiPens shall be inaccessible to children (kept in a cabinet with a child proof type safety latch or carried by a staff member).
- 15.16. All detergents and cleaning supplies shall be kept out of the reach of children. (This does not include hand soap in children's or staff bathrooms.)
- 16.17. Supplies used for children's activities shall be carefully supervised.

17.18. All bags belonging to children shall be checked on arrival to eliminate possible hazards.

18.19. Purses and bags belonging to staff shall be stored out of reach of children.

19.20. Electrical outlets shall be guarded.

20.21. Balloon use shall be carefully supervised.

21.22. Staff shall be instructed in the use of fire extinguishers.

22.23. The facility shall maintain smoke detectors/fire extinguishers as required by the Fire Department. Smoke detectors shall be kept in working order at all times.

23.24. Chemicals and toxins shall not be stored in the food storage area.

# MINIMUM LICENSING REQUIREMENTS FOR Out-of-School Time Facilities



ARKANSAS DEPARTMENT OF HUMAN SERVICES
DIVISION OF CHILD CARE AND EARLY CHILDHOOD EDUCATION
CHILD CARE LICENSING UNIT
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LITTLE ROCK, ARKANSAS 72203-1437
(501) 682-8590



PUB-004 (REV.04/01/2019 01/01/2020)

### 101 Related Laws and Requirements

- 1. The "Child Care Facility Licensing Act" Ark. Code Ann. 20-78-201-220, as amended, is the statutory authority for licensing Out-of-School Time (OST) Programs. This act created the Division of Child Care and Early Childhood Education and authorized the Division to establish rules and regulations governing the granting, revocation, denial, and suspension of licenses for OST facilities and the operation of OST facilities in this state. The Minimum Licensing Requirements for Out-of-School Time Facilities are the Division's rules and regulations for OST Facilities.
- 2. The Child Care Facility Licensing Act designates the Arkansas Department of Human Services, Division of Child Care and Early Childhood Education as the administrative agency responsible for administering the Act in accordance with the Minimum Licensing Requirements for Out-of-School Time Facilities. The Division is authorized to inspect and investigate any proposed or operating OST Facilities and any personnel connected with the OST Facilities to determine if the facility will be or is being operated in accordance with the Child Care Facility Licensing Act and the Minimum Licensing Requirements for Out-of-School Time Facilities.
- 3. The Child Care Licensing Unit will notify the applicable federal agency at any time they become aware of or are advised of violations of any of the following or similar laws. The owner should be aware of applicable federal laws which may affect the operation of the facility, such as, but not limited to:
  - a. Americans with Disabilities Act (ADA).
  - b. Environmental Protection Agency (EPA) regulations to ensure that any renovation or repair work on a home, child care facility, or school that was constructed prior to 1978 shall be completed by a contractor that is certified by the Environmental Protection Agency (EPA), when the repairs or renovations consist of any or all of the following: the repair or renovation disturbs six (6) or more square feet of the interior, the repair or renovation disturbs twenty (20) feet or more square feet of the exterior, or the repair or renovation involves removing a window.
  - c. Federal civil rights laws state that a facility may not discriminate on the basis of race, color, sex, religion, national origin, physical or mental handicap, or veteran status.
- 4. The Licensee shall maintain Child Care Liability Insurance (Act 778 of 2009), and comply with the following requirements: State entities, political subdivisions or other entities entitled to immunity from liability under 21-9-301, are not required to have general liability insurance coverage in order to be licensed. (Act 23 of 2015)
  - a. Prior to the approval of an application, the applicant shall provide verification of the required coverage to the Licensing Specialist and provide subsequent verification when requested. (Facilities licensed prior to the effective date of this revision shall have ninety (90) days to comply with this requirement.)
  - b. The Licensee shall maintain the minimum amount of coverage as follows:

Licensed Capacity of OST	Minimum Child Care Liability Insurance	
Facility	Coverage Required	
1-74	\$500,000 per occurrence	

75 and up	\$1,000,000 per occurrence

- 5. Owners, operators, staff, therapists, and volunteers are mandated reporters of suspected child maltreatment and are required to call the Child Maltreatment Hotline at 1-800-482-5964, if they have reason to suspect child maltreatment. Additionally, these same individuals are required by Act 530 of 2019, to notify law enforcement if they have a good faith belief that there is a serious and imminent threat to the health or safety of a student, employee, or the public, based on a threat made by an individual regarding violence in, or targeted at, a school (facility) that has been communicated to the person in the course of their professional duties.
- 6. Laws relevant to the operation of OST Facilities are available upon request.
- 7. The following standards are the minimum licensing requirements which shall be met by persons or organizations which operate an OST Facility. In recommending a license be issued, the Division of Child Care and Early Childhood Education works in coordination with the local and state Health Departments, Fire Departments, City Planning or Zoning Departments, and the Boiler Division of the Department of Labor. Persons considering opening or expanding an OST Facility shall immediately contact these individual departments for inspection and information on their separate regulations rules.

A prospective Licensee should request clarification regarding the codes or covenants enforced by these departments as some may prevent the operation of an OST Facility at a particular location, may limit the number of participants in care, or may impose additional safety requirements.

8. The Licensing Unit shall share information on the location and status of new applicants applying for a license and on facilities holding a license when a city or county requests the information.

### 109 Child Maltreatment Checks

1. The following persons shall be required to have their background reviewed through an Arkansas Child Maltreatment Central Registry Check. A check or money order made out to Department of Human Services (DHS) must be attached to each form.

Prospective employees who have not lived in the State of Arkansas during the preceding five (5) years will be subject to current federal guidelines regarding conducting a child maltreatment background check in any states where they resided during the past five (5) years.

 Each applicant to own or operate an OST Facility At application and every two (2) years thereafter

- b. Staff members and applicants for employment in OST Facility
- c. All volunteers who have routine contact with participants
- d. Administrative staff and/or members of Board of Directors who have supervisory and/or disciplinary control over participants or who have routine contact with participants
- e. Student Observers
- e. Therapists or other persons who have routine contact with participants

At application or within 10 days of hire/start date Prior to employment and every two (2) At application, and every two years thereafter Prior to providing services or participating in center activities and every two (2) years thereafter

At application and when changes occur with administrative staff or Board members and every two (2) years thereafter

At beginning of observation or within 10 days of first observation and every two vears thereafter if applicable

Within 10 days of the time they begin Prior to provide-providing services or begin to participate participating in program activities and every two (2) years thereafter



- 2. If a complaint of child maltreatment is filed against any owner/operator, staff, or other person in OST Facility, the Child Care Licensing Specialist shall evaluate the risk to participants and determine the suitability of the person(s) to supervise, be left alone with participants, have disciplinary control over participants, or remain in the program during hours of care until the allegations have been determined true or unsubstantiated. (Pending the evaluation of risk to participants by the Child Care Licensing Unit, the person(s) alleged shall not be left alone with participants.)
- 3. If corrective action is appropriate, the facility shall require all staff members who have had a founded report of child maltreatment to follow the corrective action plan specified by the Child Care Licensing Unit. Corrective action measures may vary from relevant training to reassignment or termination. Failure to comply with corrective action plans may constitute grounds for adverse action against the license.
- 4. The statewide Child Maltreatment Hot Line and the Child Care Licensing Central Office number shall be posted in a conspicuous place in the OST Facility. The Hot Line number is 1-800-482-5964 and the Licensing Central Office number is (501) 682-8590 or toll free 1-800-445-3316.

### 110 FBI Criminal Records Check

The following persons shall apply to the Identification Bureau of the Arkansas State Police
for a nationwide criminal record check, to be conducted by the FBI, which shall include a
fingerprint check: (The individual is responsible for the cost of a nationwide check. Each
request must be accompanied by a check or money order made out to the Arkansas State
Police.)

Fingerprints submitted will be used to check the criminal history records of the FBI. Individuals with results showing a prohibited offense shall be advised to contact the Licensing Unit for procedures to obtain the results and for procedures to update or make corrections to the record of their individual history.

- a. Each applicant to own or operate <u>an</u> OST Facility
- b. Direct care staff <u>or staff with routine</u> <u>contact with participants</u>
- c. Administrative persons who have direct contact with participants
- d. Therapists, volunteers, or other persons who have supervisory control, disciplinary control over participants, or are left alone with participants

<u>At Initial initial application only and every five (5) years thereafter</u>

Within 10 days of hire/start date Prior to employment and every five (5) years thereafter

Within 10 days of hire Prior to employment and every five (5) years thereafter

Within 10 days of start date <u>Prior to</u> providing services or participating in <u>center program activities and every five</u> (5) years thereafter

# 2. Arkansas State Police Criminal Background Check

The following persons shall be required to have their background reviewed through  $\underline{a}$  fingerprint Criminal Records check (which includes the Arkansas Sexual Offender Registry) conducted by the Arkansas State Police.

A prospective staff member may not begin work until the Arkansas State Police criminal record check result has been returned as satisfactory. Following receipt of the satisfactory result, this individual must be supervised at all times, pending completion of all of the required background check components, by a staff member who has successfully completed all required background checks.

Prospective employees who have not lived in the State of Arkansas during the preceding five (5) years will be subject to current federal guidelines regarding conducting a criminal background check and sexual offender registry check in any states where they resided during the past five (5) years. (A National Sexual Offender Registry check will also be conducted on prospective employees.)

- Each applicant to own or operate an OST Facility
- b. Staff and applicants for employment in an OST Facility
- c. Administrative persons who have direct contact with participants
- d. Therapists or other persons who have supervisory or disciplinary control over participants, or are left alone with participants

At application and every five <u>(5)</u> years thereafter

Within 10 days of hire/start date
Prior to employment and every five
(5) years thereafter

Within 10 days of hire Prior to employment and every five (5) years thereafter

Within 10 days of the time they begin to Prior to provide providing services or begin to participate participating in program activities and every five (5) years thereafter

- 3. Criminal records will be returned to the division for review. Any charge/convictions listed in this section (Section 110) that are returned will be considered regardless of whether the record is expunged, pardoned, or otherwise sealed.
- 4. No person shall be eligible to be an OST Facility owner, operator, or employee if that person has pled guilty, or been found guilty, of any of the following offenses by any court in the State of Arkansas, any similar offense by a court in another state or any similar offense by a federal court. The following offenses are permanently prohibited:

01. Abuse of an endangered or impaired person, if felony 02. Arson	§5-28-103
03. Capital Murder	§5-38-301
04. Endangering the Welf-	§5-10-101
04. Endangering the Welfare of an Incompetent person- 1 <sup>st</sup> degree 05. Kidnapping	§5-27-201
os. Nanapping	§5-11-102
06. Murder in the First degree	§5-10-102
07. Murder in the Second degree 08. Rape	§5-10-103
	§5-14-103
09. Sexual Assault in the First degree	§5-14-124
10. Sexual Assault in the Second degree	§5-14-125
	THE STREET

5. No person shall be eligible to be an OST Facility owner, operator, or employee if that person has pled guilty, or been found guilty, of any of the following offenses by any court in the State of Arkansas, any similar offense by a court in another state or any similar offense by a federal court. The following offenses are prohibited:

01. Criminal Attempt to commit any offenses in MLR Section 100.110	§5-3-201
02. Criminal Complicity to commit any offenses in MLR Section 100.110	§5-3-202
03. Criminal Conspiracy to commit any offenses in MLR Section 100.110	§5-3-401
04. Criminal Solicitation to commit any offenses in MLR Section 100.110	§5-3-301
05. Assault in the First, Second, or Third degree	CE 12 205 CE 12 220
06. Assault, Aggravated	§5-13-205 - §5-13-207
07. Assault, Aggravated on a Family or Household Member	§5-13-204
08. Battery in the First, Second, or Third Degree	§5-26-306
09. Breaking or Entering	§5-13-201 - §5-13-203
10. Burglary	§5-39-202
11. Coercion	§5-39-201
2. Computer Crimes Against Minors	§5-13-208
3. Contributing to the Delinquency of a Juvenile	§5-27-601 et. seq.
4. Contributing to the Delinquency of a Minor	§5-27-220
5. Criminal Impersonation	§5-27-209
6. Criminal Use of a Prohibited Weapon	§5-3-208
7. Death Threats Concerning a School Employee or Students	§5-73-104
8. Domestic Battery in the First, Second, or Third Degree	§5-17-101
9. Employing or Concenting to the Use S. Church Degree	§5-26-303 - §5-26-305
9. Employing or Consenting to the Use of a Child in a Sexual Performance	§5-27-402
0. Endangering the Welfare of a Minor in the First or Second Degree	§5-27-205 and §5- 27- 206
1. Endangering the Welfare of an Incompetent Person in the First or Second Degree	§5-27-201 and §5- 27- 202
2. Engaging Children in Sexually Explicit Conduct for Use in Visual or Print Media	§5-27-303

23. False Imprisonment in the First or Second Degree	§5-11-103 and §5- 11- 104
24. Felony Abuse of an Endangered or Impaired Person	§5-28-103
25. Felony Interference with a Law Enforcement Officer	§5-54-104
26. Felony Violation of the Uniform Controlled Substance Act	§5-64-101 - §5-64-508
The state of the s	et. seq.
27. Financial Identity Fraud	§5-37-227
28. Forgery	§5-37-201
29. Incest	§5-26-202
30. Interference with Court Ordered Custody	§5-26-502
31. Interference with Visitation	§5-26-501
32. Introduction of Controlled Substance into Body of Another	§5-13-210
Person	30 15 210
33. Manslaughter	§5-10-104
34. Negligent Homicide	§5-10-105
35. Obscene Performance at a Live Public Show	§5-68-305
36. Offense of Cruelty to Animals	§5-62-103
37. Offense of Aggravated Cruelty to Dog, Cat, or Horse	§5-62-104
38. Pandering or Possessing Visual or Print Medium Depicting Sexually Explicit Conduct Involving a Child	§5-27-304
39. Patronizing a Prostitute	§5-70-103
40. Permanent Detention or Restraint	§5-11-106
41. Permitting Abuse of a Minor	§5-27-221
42. Producing, Directing, or Promoting a Sexual Performance by a Child	§5-27-403
43. Promoting Obscene Materials	§5-68-303
44. Promoting Obscene Performance	§5-68-304
45. Promoting Prostitution in the First, Second, or Third Degree	§5-70-104 - §5-70-106
46. Prostitution	§5-70-102
47. Public Display of Obscenity	§5-68-205
48. Resisting Arrest	§5-54-103
49. Robbery	§5-12-102
50. Robbery (Aggravated Robbery)	§5-12-103
51. Sexual Offense (any)	§5-14-101 et. seq.
52. Simultaneous Possession of Drugs and Firearms	§5-74-106
53. Soliciting Money or Property from Incompetents	§5-27-229
54. Stalking	§5-71-229
55. Terroristic Act	§5-13-310
56. Terroristic Threatening	§5-13-301
57. Theft by Receiving	§5-36-106
58. Theft of Property	§5-36-103
59. <b>Theft of Services</b>	§5-36-104
60. Transportation of Minors for Prohibited Sexual Conduct	§5-27-305
61. Unlawful Discharge of a Firearm from a Vehicle	§5-74-107
52. Voyeurism	§5-16-102

- 6. If the Licensee wishes to employ an individual with a conviction or plea of guilty or nolo contendere for the following nonviolent offenses, they shall submit a written request for a waiver prior to employment. § 20-38-103 (e) (3) (a) Act 990 of 2013
  - a. Theft by receiving § 5-36-106
  - b. Forgery § 5-37-201
  - c. Financial identity fraud § 5-37-227
  - d. Resisting arrest § 5-54-103
  - e. Criminal impersonation in the second degree § 5-37-208(b)
  - f. Interference with visitation § 5-26-501
  - g. Interference with court-ordered visitation § 5-26-502
  - h. Prostitution § 5-70-102
  - i. Patronizing a prostitute § 5-70-203

The waiver may be approved if all the following conditions are met:

- The individual has completed probation or parole supervision
- The individual has paid all court ordered fees, fines, and restitution
- The individual has fully complied with all court orders pertaining to the conviction or plea
- 7. The waiver will be revoked if after employment the individual pleads guilty or nolo contendere or is found guilty of any prohibited offense (including the list above a-i) or has a true or founded report of child maltreatment or adult maltreatment in a central registry.
- 8. The request for waiver and certification of approval shall be kept in the individual's file for the term of employment and three (3) years after termination of employment.
- 9. If approved, the waiver is not transferable to another licensed facility.
- 10. Any person who has pled guilty, nolo contendere, or who has been found guilty of any one (1) of the offenses listed as prohibited above (Section 110.5, 0-62) may not work in child care unless:
  - a. The date of the conviction, plea of guilty or nolo contendere for a misdemeanor offense is at least five (5) years from the date of the request for the criminal history records check and there have been no criminal convictions or pleas of guilty or nolo contendere of any type or nature during the five (5) year period preceding the background check request.
  - b. The date of the conviction, plea of guilty or nolo contendere for a felony offense is at least more than ten (10) years from the date of the request for the criminal history records check and there have been no criminal convictions or pleas of guilty or nolo contendere of any type or nature during the ten (10) year period preceding the background check request.
- 11. Anyone employed in a licensed center, COE center, licensed child care family home, or a registered child care family home prior to 9/1/2009 with a clear background check

history may remain eligible for employment unless the employee had a conviction, plead guilty, or plead nolo contendere to an offense listed in the above section (Section 110.6) since 9/1/2009.

# 307 Professional Development

- 1. All directors, site supervisors, and staff who provide direct care to participants shall be registered with the Division of Child Care and Early Childhood Education Professional Development Registry within thirty (30) days of hire and all training shall be registered with the Division of Child Care and Early Childhood Education Professional Development Registry or Department of Education or Department of Higher Education approved.
- 1-2. All new staff shall have a probationary period of at least thirty (30) days, but no more than six (6) months, during which they are closely supervised, mentored, and evaluated. Evaluations shall be documented and maintained in the employee file.
- 2.3. All new staff members who provide direct care to children shall receive a basic orientation to facility management policies, center schedules, The Minimum Licensing Standards, and emergency procedures prior to providing care. This shall be documented in the employee file.
- 3.4. All new staff, including volunteers who are counted in the ratios, shall receive the following orientation within three (3) months of employment (and every three (3) years thereafter) and shall not be left alone with children until this is completed. (unless the staff has prior documented training in the required areas).
  - a. Introduction (8 clock hours) to include the following, which shall be completed before being left alone with participants:
    - Proper supervision of participants
    - Behavioral guidance practices
    - Shaken baby syndrome; which includes prevention (Carter's Law, Act 1208)
    - Emergency procedures in the event of severe weather, or fire, including evacuation procedures and routes, and location and use of fire extinguishers.
    - Mandated reporter training
    - Administering medication
    - Caring for participants with special needs / care plans
    - Transportation and car seat safety
    - Policies regarding release of participants to authorized individuals
    - Prevention and control of infectious diseases
    - Building and physical premises safety, including the identification of, and protection from, hazards, bodies of water, and vehicular traffic
    - Nutrition and physical activities
    - Prevention and response to food sensitivities and allergic reactions
    - Basic child development
    - The handling and storage of hazardous materials and the appropriate disposal of biocontaminants

See Division Website for a list of courses that The Division maintains contracts for which meet the above requirements.

b. All staff shall have <u>fifteen (15)</u> hours of job specific training each calendar year, <u>including child development training</u>, for the ages of participants they work with. This shall be training focused on their job responsibilities.

See Division Website for a list of courses that The Division maintains contracts for which meet the above requirements.

- 5. The director, assistant director/site supervisor, and 50% of the facility staff that are on site at any given time shall have a certificate of successful completion of first aid and CPR from an approved organization.
  - a. The curriculum shall conform with current American Heart Association or American Red Cross guidelines.
  - b. The curriculum shall require hands on, skill-based instruction, as well as practical testing. Training and certification that is provided solely online will not be accepted.
  - c. The instructor shall be qualified and authorized to teach the curriculum and shall be certified by a nationally recognized organization. (Including but not limited to: Health and Safety Institute; EMS Safety Services, Inc.)

## **1201 Safety Requirements**

- 1. Within thirty (30) days of licensure and within thirty (30) days of any change or modification of the floor plan the facility shall file a copy of their floor plan with the local Office of Emergency Management including the following (§ 20-78-228 Act 1159 of 2013):
  - a. A schematic drawing of the facility and property used by the child care facility including the configuration of rooms, spaces, and other physical features of the building
  - b. The location or locations where children enrolled in child care spend time regularly
  - c. The escape routes approved by the local fire department for the child care facility
  - d. The licensed capacity and ages of children per room at the facility
  - e. The contact information for at least two (2) emergency contacts for the facility
  - f. An aerial view of the child care facility and property used by the child care facility shall be included with the floor plan if available
- 2. The OST Facility shall have a written plan detailing the procedures to follow in the event of emergencies (fires, floods, tornadoes, utility disruptions, bomb threats, etc.) (Act 801 of 2009). The plan and procedures are required for emergencies that could cause structural damage to the facility, be identified as a threat by the Arkansas Department of Emergency Management or pose a health or safety hazard to the participants and staff. This plan shall include provisions for "sheltering in place" or "lock down", in the event of situations that warrant these measures.

- 3. The written plan shall include the following information:
  - a. Designated relocation site and evacuation route
  - b. Procedures for notifying parents of relocation
  - c. Procedures for ensuring family reunification
  - d. Procedures to address the needs of individual participants, including participants with special needs <u>disabilities and children with chronic medical conditions</u>
  - e. Procedures and documentation for annual training of staff regarding the plan and possible reassignment of staff duties in an emergency
  - f. Plans to ensure that all staff and volunteers are familiar with the components of the plan
- 4. The facility shall coordinate with local emergency management officials to plan for emergencies.
- 5. The facility shall maintain, on site, a current copy of the Arkansas

  Comprehensive Emergency Management Plan issued by the Arkansas Division
  of Emergency Management. This plan shall be reviewed by the facility Director
  and signed and dated, indicating that they have reviewed the current plan and
  agree to comply with the provisions of the plan.
- 6. Written procedures and evacuation diagrams for emergency drills shall be posted in each program space.
- 7. Fire and tornado drills shall be practiced as follows:
  - a. Monthly
  - b. Fire and tornado drills shall be practiced on separate days and at different times of the day.
  - c. Everyone in the facility, at the time of the drill shall participate.
  - d. Staff, including volunteers and substitutes, shall be trained in emergency drill procedures.
  - e. Drills shall be conducted during all hours when participants are in care (evenings, nights, weekends, etc.)
- 8. The facility shall maintain a record of emergency drills. This record shall include:
  - a. Date of drill
  - b. Type of drill
  - c. Time of day
  - d. Number of participants participating in the drill
  - e. Length of time taken to reach safety
  - f. Notes regarding things that need improved upon
- 9. The OST Facility shall maintain an evacuation pack that shall be taken on all drills and during actual emergency evacuations. The pack shall be easily accessible in an emergency and all staff shall know the location of the pack. The evacuation pack shall include, but is not limited to the following:

- a. List of emergency numbers
- b. List of all emergency and contact information for participants
- c. List of all emergency and contact information for staff
- d. First aid kit (requirement 1101.6) with extra gloves
- e. Kleenex
- f. Battery powered flashlight and extra batteries
- g. Battery powered radio and extra batteries
- h. Hand sanitizer
- Notepad and pens/pencils
- j. Whistle
- k. Disposable cups
- Wet wipes
- m. Emergency survival blanket
- 10. The facility shall immediately notify the Licensing Unit of any extended utility outages or significant damage to the building or grounds. If phone service is not available, notification shall be as soon as service is restored or available.
- 11. OST Facilities shall maintain a log of all child product recalls and safety notices issued by CPSC or distributed by the Attorney General's Office and shall post or otherwise make these notices available for parents to review on site. The facility director shall certify, on an annual basis, that these notices have been maintained and reviewed and that any identified items have been removed from the facility. Forms for self-certification will be provided by the Licensing Specialist and shall be submitted annually. (Act 1313 of 2001).
- 12. There shall be no alcoholic beverages in any part of the facility during hours of care. Illegal drugs/paraphernalia shall not be in any part of the facility or on the premises, regardless if participants are present or not.
- 13. All medications and poisonous substances shall be kept in separately locked areas.

6

- 14. Rescue medications such as inhalers or EpiPens shall be inaccessible to children (kept in a cabinet with a child proof type safety latch or carried by a staff member.)
- 15. All detergents and cleaning supplies shall be kept out of the reach of participants. (This does not include hand soap in participants' or staff bathrooms.)
- 16. Supplies used for participants' activities shall be carefully supervised.

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- 17. All bags belonging to participants shall be checked on arrival to eliminate possible hazards. Purses and bags belonging to staff shall be stored out of reach of participants.
- 18. Balloon use shall be carefully supervised.
- 19. Staff shall be instructed in the use of fire extinguishers.
- 20. The facility shall maintain smoke detectors/fire extinguishers as required by the

Fire Department. Smoke detectors shall be kept in working order at all times.

21. Chemicals and toxins shall not be stored in the food storage area.



# MINIMUM LICENSING REQUIREMENTS FOR Licensed Child Care Family Homes



ARKANSAS DEPARTMENT OF HUMAN SERVICES
DIVISION OF CHILD CARE AND EARLY CHILDHOOD EDUCATION
CHILD CARE LICENSING UNIT
P. O. BOX 1437, SLOT S150
LITTLE ROCK, ARKANSAS 72203-1437
(501) 682-8590



PUB-001 (REV. 01/01/2020)

# **101** Related Laws and Requirements

- "The Child Care Facility Licensing Act", Act 20-78-210-220, as amended, is the legal authority under which the Division of Child Care and Early Childhood Education prescribes minimum standards for a variety of child care facilities under the Act.
- The Division of Child Care and Early Childhood Education (referred to hereafter as the
  Division) under the Department of Human Services is directly responsible for the inspection
  and evaluation of all Licensed Homes as defined in Section 102 of the Minimum Licensing
  Requirements for Child Care Family Homes.
- 3. The Division has the power to establish rules, regulations and standards for licensing and operation of child care facilities. This includes all powers with respect to granting, revocation, denial, and suspension of licenses. Decisions regarding special situations shall be made on an individual basis by the Division. Information regarding an appeal process is available upon request.
- 4. The Division works in coordination with local and state Health Departments, Fire Departments, City Planning or Zoning departments, and the Boiler Division of the Department of Labor. Persons considering opening or expanding a family home shall immediately contact these individual departments for inspections and information on their separate regulations rules.

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- It is recommended that a prospective Licensee request clarification regarding the codes or covenants enforced by these departments as some may prevent the operation of a Child Care Family Home at a particular location, may limit the number of children in care or may impose additional safety requirements.
- 5. Owners, operators, staff, therapists, and volunteers are mandated reporters of suspected child maltreatment and are required to call the Child Maltreatment Hotline at 1-800-482-5964, if they have reason to suspect child maltreatment. Additionally, these same individuals are required by Act 530 of 2019, to notify law enforcement if they have a good faith belief that there is a serious and imminent threat to the health or safety of a student, employee, or the public, based on a threat made by an individual regarding violence in, or targeted at, a school (or licensed home) that has been communicated to the person in the course of their professional duties.
- 6. It is recommended that the owner be aware of applicable city or county zoning ordinances or codes or neighborhood covenants which may limit the number of children in care or impose additional safety requirements. The Division will share information on the location and status of Licensed Homes or applications for a license with any city or county that requests this information.
- 7. Child Care Licensing Unit will notify the applicable federal agency at any time they become aware of or are advised of violations of any of the following or similar laws. It is recommended that the owner be aware of applicable federal laws which may affect the

operation of the facility, such as, but not limited to:

- a. Americans with Disabilities Act (ADA).
- b. Environmental Protection Agency (EPA) regulations to ensure that any renovation or repair work on a home, child care facility, or school\_that was constructed prior to 1978 shall be completed by a contractor that is certified by the Environmental Protection Agency (EPA), when the repairs and/or renovations consist of any or all of the following: the repair or renovation disturbs six (6) or more square feet of the interior, the repair or renovation disturbs twenty (20) or more square feet of the exterior, and/or the repair or renovation involves removing a window.
- C. Federal civil rights laws state that a facility may not discriminate on the basis of race, color, sex, religion, national origin, physical or mental handicap, or veteran status.
- 8. The Licensee shall maintain Child Care Liability insurance and comply with the following requirements:
  - a. Prior to approval of an application, the applicant shall provide verification of the required coverage to the Licensing Specialist and provide subsequent verification when requested. (Homes licensed prior to the effective date of this revision shall have ninety (90) days to comply with this requirement.)
  - b. Maintain the minimum amount of \$100,000 per occurrence

# Laws relevant to the operation of child care facilities are available upon request.

### 108 Child Maltreatment Record Checks

1. The following persons shall be required to have their background reviewed through an Arkansas Child Maltreatment Central Registry Check. : (A check or money order, payable to Department of Human Services, must be attached to each notarized form.)

Prospective owners, household members who are ten (10) years of age or older, and employees who have not lived in the State of Arkansas during the preceding five (5) years will be subject to current federal guidelines regarding conducting a child maltreatment background check in any states where they resided during the past five (5) years.

a. Each applicant to own or operate a Licensed Home at application and every two <u>(2)</u> years thereafter

b. All household members who are <u>ten (10)</u> years of age or older

at application; upon residency and every <a href="two">two</a> (2) years thereafter

c. Staff members and applicants for employment in a Licensed Home

at application or within 10 days of hire Prior to employment and every two (2) years thereafter

d. Volunteers, therapists, and student observers who have access to children in the home

At application Prior to providing services or being present in the home and every two (2) years thereafter

e. Student Observers

At beginning of observation or within 10 days of first observation and every 2 year after that if applicable

f. Therapists or Other persons who have supervisory or disciplinary control over children, or have routine contact with children at the time they begin to provide services or begin to participate in home activities and every 5 years thereafter Prior to providing services or participating in home activities and every two (2) years thereafter

- 2. The Division has the authority to review and consider each true (founded) report of child maltreatment received from the Central Registry. The Division shall retain the authority to:
  - a. Deny an application
  - b. Require corrective action
  - C. Take appropriate adverse action against the license
- 3. All caregiver(s) are mandated reporters under the Child Maltreatment Act. The caregiver(s) shall call the Child Maltreatment Hot Line number at 1-800-482-5964 when there is a reason to believe that a child has been abused or neglected. (AR Code Annotated 12-12-501 et seq.) These reports of child maltreatment shall include all allegations made to the Licensee by parents, staff members, or the general public. It is recommended that the Licensee call Child Care Licensing for guidance if there is any question about whether the Hot Line should be called regarding any situation where potential child maltreatment is involved.
- 4. If a complaint of child maltreatment is filed against any employee or persons in the home, the Child Care Licensing Unit shall evaluate the risk to children and determine the suitability of persons to supervise, be left alone with children, or remain in the home during hours of care until the allegations have been determined true or unsubstantiated.
- 5. The Child Care Family Home operator, any employees, or other persons in the homewho

have had a true report of child maltreatment shall follow the corrective action plan approved by the Child Care Licensing Unit. Corrective action measures may vary from relevant training to reassignment or termination. Failure to comply with corrective action plans can constitute grounds for adverse action against the license.

### 109 FBI Criminal Record Checks

1. The following persons shall apply to the Identification Bureau of the Arkansas State Police for a nationwide criminal records check, to be conducted by the FBI, which shall include a fingerprint check: (The individual is responsible for the cost of the nationwidecheck.)

Fingerprints submitted will be used to check the criminal history records of the FBI. Individuals with results showing a prohibited offense shall be advised to contact the Licensing Unit for procedures to obtain the results and for procedures to update or make corrections to the record of their individual history.

a. Each applicant to own or operatea Licensed Home

At initial application only and every five (5) years thereafter

b. Each staff member

within 10 days of hire or start date Prior to employment and every five(5) years thereafter

C. Volunteers and therapists who have

within 10 days of hire or start date Prior to

routine contact with children <u>or who have</u> <u>supervisory or disciplinary control over</u> children providing services or participating in home activities and every five (5) years thereafter

d. Therapists or Other persons who have supervisory or disciplinary control over children, or have routine contact with children

Within 10 days of start date Prior to providing services or participating in home activities and every five (5) years thereafter

# 2. Arkansas State Police Criminal Background Check

The following persons shall be required to have their background reviewed through a <u>fingerprint</u> criminal records check including the Arkansas Sexual Offender Registry, conducted by the Arkansas State Police.

A prospective staff member may not begin work until the Arkansas State Police criminal record check result has been returned as satisfactory. Following receipt of the satisfactory result, this individual must be supervised at all times, pending completion of all of the required background check components, by a staff member who has successfully completed all required background checks.

Prospective employees who have not lived in the State of Arkansas during the preceding five (5) years will be subject to current federal guidelines regarding conducting a criminal background check and sexual offender registry check in any states where they resided during the past five (5) years. (A National Sexual Offender Registry check will also be conducted on prospective employees.)

- a. Each applicant to own or operate a Licensed Home
- b. All household members who are <u>eighteen</u> (18) years of age or older
- Staff members and applicants for employment in a Licensed Home
- d. Volunteers and <u>Therapists</u> who have routine contact with children

e. Therapists or Other persons who have supervisory or disciplinary control over children, or have routine contact with children

at application and every <u>five (5)</u> years thereafter

at application; upon residency and every <u>five (5)</u> years thereafter

within 10 days of hire Prior to employment and every five (5) years thereafter

within 10 days Prior to providing services or participating in home activities and every five (5) years thereafter

within 10 days of the time they begin Prior to provide providing services or begin to participate participating in center home activities and every five [5] years thereafter

- 3. Criminal records will be returned to the division for review. Any charge/convictions listed in this section (Section 110) that are returned will be considered regardless of whether the record is expunged, pardoned, or otherwise sealed.
- 4. No person shall be eligible to be a child care facility owner, operator, employee, household member, or volunteer who is in the home on a routine/continual basis if that person has pled guilty, or been found guilty, of any of the following offenses by any court in the State of Arkansas, any similar offense by a court in another state, or any similar offense by a federal court. The following offenses are permanently prohibited:

01. Abuse of an endangered or impaired person, if felony	§5-28-103
02. Arson	§5-38-301
03. Capital Murder	§5-10-101
04. Endangering the Welfare of an Incompetent person- 1 <sup>ST</sup> degree	§5-27-201
05. Kidnapping	§5-11-102
06. Murder in the First degree	§5-10-102
07. Murder in the Second degree	§5-10-103
08. Rape	§5-14-103
09. Sexual Assault in the First degree	§5-14-124
10. Sexual Assault in the Second degree	§5-14-125

5. No person shall be eligible to be a child care facility owner, operator, employee, volunteer, or household member, if that person has pled guilty, or been found guilty, of any of the following offenses by any court in the State of Arkansas, any similar offense by a court in another state or any similar offense by a federal court. The following offenses are prohibited:

§5-3-201
§5-3-202
§5-3-401
§5-3-301
§5-13-205 - §5-13-207
§5-13-204
§5-26-306
§5-13-201 - §5-13-203
§5-39-202
§5-39-201
§5-13-208

12. Computer Crimes Against Minors	§5-27-601 et. seg.
13. Contributing to the Delinquency of a Juvenile	§5-27-220
14. Contributing to the Delinquency of a Minor	§5-27-209
15. Criminal Impersonation	§5-3-208
16. Criminal Use of a Prohibited Weapon	§5-73-104
17. Death Threats Concerning a School Employee or Students	§5-17-101
18. Domestic Battery in the First, Second, or Third Degree	§5-26-303 - §5-26-305
19. Employing or Consenting to the Use of a Child in a Sexual Performance	§5-27-402
20. Endangering the Welfare of a Minor in the First or Second  Degree	§5-27-205 and §5-27-206
21. Endangering the Welfare of an Incompetent Person in the First or Second Degree	§5-27-201 and §5-27-202
22. Engaging Children in Sexually Explicit Conduct for Use in Visual or Print Media	§5-27-303
23. False Imprisonment in the First or Second Degree	§5-11-103 and §5-11-104
24. Felony Abuse of an Endangered or Impaired Person	§5-28-103
25. Felony Interference with a Law Enforcement Officer	§5-54-104
26. Felony Violation of the Uniform Controlled Substance Act	§5-64-101 - §5-64-508 et. seq.
27. Financial Identity Fraud	§5-37-227

28. Forgery	§5-37-201
29. Incest	§5-26-202
30. Interference with Court Ordered Custody	§5-26-502
31. Interference with Visitation	§5-26-501
32. Introduction of Controlled Substance into Body of Another Person	§5-13-210
33. Manslaughter	§5-10-104
	-
34. Negligent Homicide	§5-10-105
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34. Negligent Homicide	§5-10-105
35. Obscene Performance at a Live Public Show	§5-68-305
36. Offense of Cruelty to Animals	§5-62-103
37. Offense of Aggravated Cruelty to Dog, Cat, or Horse	§5-62-104
38. Pandering or Possessing Visual or Print Medium Depicting Sexually Explicit Conduct Involving a Child	§5-27-304
39. Patronizing a Prostitute	§5-70-103
40. Permanent Detention or Restraint	§5-11-106
41. Permitting Abuse of a Minor	§5-27-221
42. Producing, Directing, or Promoting a Sexual Performance by a Child	§5-27-403
43. Promoting Obscene Materials	§5-68-303
44. Promoting Obscene Performance	§5-68-304
45. Promoting Prostitution in the First, Second, or Third Degree	§5-70-104 - §5-70-106
46. Prostitution	§5-70-102
47. Public Display of Obscenity	§5-68-205
48. Resisting Arrest	§5-54-103
49. Robbery	§5-12-102
50. Robbery (Aggravated Robbery)	§5-12-103

51. Sexual Offense (any)	§5-14-101 et. seq.
52. Simultaneous Possession of Drugs and Firearms	\$5-74-106
53. Soliciting Money or Property from Incompetents	§5-27-229
54. Stalking	§5-71-229
55. Terroristic Act	§5-13-310
56. Terroristic Threatening	§5-13-301
57. Theft by Receiving	§5-36-106
58. Theft of Property	§5-36-103
59. Theft of Services	§5-36-104
60. Transportation of Minors for Prohibited Sexual Conduct	§5-27-305
61. Unlawful Discharge of a Firearm from a Vehicle	§5-74-107
62. Voyeurism	§5-16-102

- a. Theft by receiving § 5-36-106
- b. Forgery § 5-37-201
- C. Financial identity fraud § 5-37-227
- d. Resisting arrest § 5-54-103
- e. Criminal impersonation in the second degree §5-37-208(b)
- f. Interference with visitation § 5-26-501
- g. Interference with court-ordered visitation § 5-26-502
- h. Prostitution § 5-70-102
- i. Patronizing a prostitute § 5-70-203

The waiver may be approved if all the following conditions are met:

- The individual has completed probation or parolesupervision
- The individual has paid all court ordered fees, fines, and forestitution
- The individual has fully complied with all court orders pertaining to the conviction or plea
- 6. The waiver will be revoked if after employment the individual pleads guilty or nolo contendere or is found guilty of any prohibited offense (including the list above a-i) or has a true or founded report of child maltreatment or adult maltreatment in a central registry.
- 7. The request for waiver and certification of approval shall be kept in the individual's file for the term of employment and three (3) years after termination of employment.
- 8. If approved, the waiver is not transferable to another licensedfacility.
- 9. Any person who has pled guilty, nolo contendere, or who has been found guilty of any one of the offenses listed above (Section 109.5), may not work in child careunless:
  - a. The date of the conviction, plea of guilty or nolo contendere for a misdemeanor offense is at least five (5) years from the date of the request for the criminal history records check and there have been no criminal convictions or pleas of guilty or nolo contendere of any type or nature during the five (5) year period preceding the background check request
  - b. The date of the conviction, plea of guilty, or nolo contendere for a felony offense is at least ten (10) years from the date of the request for the criminal history records check and there have been no criminal convictions or pleas of guilty or nolo contendere of any type or nature during the ten (10) year period preceding the background check request.
- 10. Anyone employed in a licensed center, COE center, Licensed Child Care Family Home, or a Registered Child Care Family Home prior to 9/1/2009 with a clear background check history may remain eligible for employment unless the employee had a conviction, plead guilty, or plead nolo contendere to an offense listed in the above section (Section 109.5) since 9/1/2009.

# 303 Caregiver Qualifications and Responsibilities

A. S.

- 1. The primary caregiver shall be twenty-one (21) years or older. A secondary caregiver shall be age eighteen (18) orolder.
- 2. Primary caregivers licensed after November 1, 2002, and all secondary caregivers shall have a high school diploma or GED. If a diploma or proof of a GED is not available, a reasonable attempt to obtain a copy shall be
- 3. The primary caregiver shall not be otherwise employed during the time he <u>or</u> /she is responsible for children in the home. Employment at other times shall not affect the

quality of care given to the children. When two (2) persons are listed as joint holders of the license and are both primary caregivers, at least one (1) shall be present in the home while children are in care. (Also refer to Regulation Rule 102.6).

- 4. A caregiver shall not use profanity or speak in an abusive manner when children are present. The caregiver shall also cooperate with licensing staff during licensing monitor visits.
- 5. The caregiver shall have a person who would be able to care for the children in the event of an emergency.
- 6. All caregivers who work directly with children shall obtain at least fifteen (15) hours of training, including child development training, registered with the Division of Child Care and Early Childhood Education Professional Development Registry, or Department of Education or Department of Higher Education approved training each year in continuing early childhood education.
- 7. All caregivers, including volunteers who are counted in the ratios, shall receive the following orientation within three (3) months of employment (and every three (3) years thereafter) and shall not be left alone with children until this iscompleted.

## 1. Introduction (8 clock hours):

- a. Proper supervision of children
- b. Behavioral guidancepractices
- C. Safe sleep practices for infants
- d. Shaken baby syndrome; which includes prevention (Carter's Law, Act1208)
- e. Appropriately responding to a crying/fussy infant/child
- f. Emergency procedures in the event of severe weather, or fire, including evacuation procedures and routes, and location, and use of fire extinguishers.
- g. Mandated reporter training
- h. Administering medication
- i. Caring for children with special needs / care plans
- j. Transportation and car seat safety
- k. Policies regarding release of children to authorized individuals
- I. Prevention and control of infectious diseases
- m. <u>Building and physical premises safety, including the identification of, and protection from, hazards, bodies of water, and vehicular traffic</u>
- n. Nutrition and physical activities
- O. Prevention and response to food sensitivities and allergic reactions
- p. Basic child development
- q. The handling and storage of hazardous materials and the appropriate disposal of biocontaminants
- 8. At least one (1) caregiver who has a current certificate of successful completion of first aid and CPR from an approved organization shall be on site at all times. If the home serves infants and toddlers, this training shall include infant/child CPR. (Infant/child CPR may be included in the basic course or in a separatecourse.)
  - a. The curriculum shall conform to current American Heart Association or American Red Cross

guidelines.

- b. The curriculum shall require hands on, skill-based instruction, as well as practical testing. Training and certification that is provided solely <u>"on-line"</u> <u>online</u> will not be accepted.
- C. The instructor shall be qualified and authorized to teach the curriculum and shall be certified by a nationally recognized organization. (Including but not limited to: Health and Safety Institute; EMS Safety Services, Inc.)
- 9. All caregivers shall be physically and emotionally able to care forchildren.
- 10. Child Care Licensing may require a physician's statement for any caregiver anytime behavioral or physical indicators warrant.
- 11. Staff shall not engage in behavior that could be viewed as sexual, dangerous, exploitative, or physically harmful to children. A caregiver shall not use profanity or speak in an abusive manner when children are present.
- 12. No caregiver shall consume or be under the influence of illegal drugs. (A drug test may be required if there is reasonable cause to suspect violation of this requirement and the issue cannot be otherwise resolved.) No caregiver shall consume or be under the influence of alcohol while delivering care. No caregiver shall consume or be under the influence of medications (prescription or non-prescription), which impair his or /her ability to provide care.
- 13. Newly licensed caregivers shall attend Family Child Care Provider Training and BAS (Business Administration Scale) training (or other approved tools that are considered equivalent in the state's QRIS) within the first six (6) months of being licensed.
- 14. The Licensee shall notify the Licensing Unit within five (5) calendar days of any change in the person(s) designated as secondary caregivers.

# 15. Volunteer Requirements

- Volunteers are those individuals who have routine contact with children and assist in the home.
   If they are left alone with children, considered in the staff/child ratios, or given
   supervisory/disciplinary control over children, they shall be considered staff and must meet
   caregiver qualifications and responsibilities. (Section 303)
- 2. All volunteers in a Registered Child Care Family Home shall be eighteen (18) years of age or older unless the volunteer is under the direct supervision of the licensee and has been approved on an individual basis by the Child Care Licensing Unit.
- 3. Volunteers who have routine contact with children, shall have on file a maltreatment Central Registry check. An exception shall be given to parents who volunteer on field trips but are not left alone with children. Child Maltreatment Central Registry checks for volunteers under eighteen (18) years of age must include a parent's signature.

4. Individuals who provide health services or program enrichment activities on a limited basis are not considered volunteers. The home shall retain a register of such persons listing name, organization address, telephone number, date, and time in the center. (Note: This section does not apply to therapists or others who have routine contact with children. Therapists who are not left alone with children are required to have child maltreatment background checks. Therapists who are left alone with children at any time are subject to all background checks required for personnel. The therapist is entitled to a copy of the initial background/maltreatment check results and may share a copy with other facilities in which the therapist may be working.)

### **16. Student Observers**

- Students visiting the home on a regular or periodic basis to observe classroom activities or for
  other similar purposes shall not be counted in the staff/child ratio, shall not have disciplinary
  control over children, and shall not be left alone with children. These individuals shall have a child
  maltreatment background check on file.
- 2. Students that are conducting practicum, student teaching, or working in the same capacity as an employee or volunteer must meet the criteria in the appropriate section. (Sections 304 and 305)

### 17. Adults in the Home

- 1. The caregiver(s) shall provide a clear statement regarding the presence of any other adults eighteen (18) years of age and above who remain in the home during any hours in which care is being given. Such persons shall not present a threat to the safety or welfare of children.
- 2. The home shall have additional staff when there are persons in the home who require constant or routine care.

# **1201 Safety Requirements**

- 1. Within thirty (30) days of licensure and within thirty (30) days of any change or modification of the floor plan the facility shall file a copy of their floor plan with the local Office of Emergency Management including the following (§ 20-78-228 Act 1159 of 2013):
- a. A schematic drawing of the facility and property used by the child care facility including the configuration of rooms, spaces, and other physical features of the building
- b. The location or locations where children enrolled in child care spend time regularly
- c. The escape routes approved by the local fire department for the child carefacility
- d. The licensed capacity and ages of children per room at thefacility
- e. The contact information for at least two (2) emergency contacts for the facility
- f. An aerial view of the child care facility and property used by the child care facility shall be included with the floor plan ifavailable

- Homes already licensed on the effective date of this  $\frac{\text{regulation}}{\text{regulation}}$  shall have  $\frac{\text{thirty}}{\text{days}}$  (30) days to comply.
- 2. The Child Care Family Home shall have a written plan detailing the procedures to follow in the event of emergencies (fires, floods, tornadoes, utility disruptions, bomb threats, etc.) (Act 801 of 2009). The plan and procedures are required for emergencies that could cause structural damage to the facility, be identified as a threat by the Arkansas Department of Emergency Management, or pose a health and/or safety hazard to the children and staff. This plan shall include provisions for "sheltering in place" or "lock down", in the event of situations that warrant such measures.
- 3. The written plan shall include the following information:
  - a. Designated relocation site and evacuation route
  - b. Procedures for notifying parents of relocation
  - C. Procedures for ensuring family reunification
  - d. Procedures to address the needs of individual children, including children with special needs, disabilities, and children with chronic medical conditions
  - e. Procedures and documentation for annual training of staff regarding the plan and possible reassignment of staff duties in anemergency
  - f. Plans to ensure that all caregivers and volunteers are familiar with the components of the plan
- 4. The Child Care Family Home shall coordinate with local emergency management officials to plan for emergencies.
- 5. The home shall maintain, on site, a current copy of the Arkansas Comprehensive Emergency Management Plan issued by the Arkansas Division of Emergency Management. This plan shall be reviewed by the licensee and signed and dated, indicating that they have reviewed the current plan and agree to comply with the provisions of the plan.
- 6. Written procedures and evacuation diagrams for emergency drills shall be posted in each room used for childcare.
- 7. Fire and tornado drills shall be practiced as follows:
  - a. Monthly
  - b. Fire and tornado drills shall be practiced on separate days and at different times of the day.
  - C. During all hours when children are in care (evenings, nights, weekends, etc.)
  - d. Everyone in the Home at the time of the drill shall participate in the drill
  - e. Caregivers, including volunteers, shall be trained in safety drill procedures
- 8. The home shall maintain a record of emergency drills. This record shall include:
  - a. Date of drill
  - b. Type of drill
  - C. Time of day

- d. Number of children participating in the drill
- e. Length of time taken to reachsafety
- f. Notes regarding things that need improved upon
- 9. The Child Care Family Home shall maintain an evacuation pack that shall be taken on all drills and during real emergency evacuations. The pack shall be easily accessible in an emergency and all caregivers shall know the location of the pack. The evacuation pack shall include, but is not limited to the following:
  - a. List of emergency numbers
  - b. List of all emergency and contact information for children
  - C. List of all emergency and contact information for staff
  - d. First aid kit (requirement 1101.9) with extra gloves
  - e. Kleenex
  - f. Battery powered flashlight and extra batteries
  - g. Battery powered radio and extra batteries
  - h. Hand sanitizer
  - i. Notepad and pens/pencils
  - j. Whistle
  - k. Disposable cups
  - Wet wipes
  - m. Emergency survival blanket
- 10. The home shall immediately notify the Licensing Unit of any damage to the building or grounds. If phone service is not available, notification shall be as soon as service is restored or available.
- 11. Licensed Homes shall maintain a log of all child product recall and safety notices issued by CPSC or distributed by the Attorney General's Office and shall post or otherwise make these notices available for parents to review on site. The Licensee shall certify, on an annual basis, that these notices have been maintained and reviewed and that any identified items have been removed from the facility. Forms for self-certification will be provided by the Licensing Specialist and shall be submitted annually. (Act 1313 of 2001)
- 12. Electrical outlets shall be guarded. Protective caps, if used, shall be large enough to prevent swallowing.
- 13. Indoor or outdoor cooling units shall have guards or barriers when necessary. All outdoor electrical boxes, gas lines, and exposed electrical cords shall be enclosed.
- 14. Stairways shall be well lighted and guarded as needed.
- 15. Dangerous equipment and/or objects shall be stored away from areas used by the children.
- 16. All detergents and cleaning supplies shall be kept out of the reach of children. (This does not include hand soap in children's or staff bathrooms.) Supplies used for children's activities shall be carefully supervised.

- 17. All poisonous substances shall be kept in a locked area.
- 18. Guns shall be unloaded. Guns, other weapons, and ammunition shall be stored in a locked area in the home.
- 19. Illegal drugs/paraphernalia shall not be in any part of the home, regardless if children are present or not.
- 20. Tanks, ponds, swimming pools, open wells, drainage ditches, and sewage drainpipes shall be fenced if located within the playarea.
- 21. Home swimming pools shall not be used by children in care unless permission is obtained from Child Care Licensing through an alternative compliance request. This request must include written approval from the Arkansas Department of Health for the use of the pool by children in care. (Home swimming pools used by children in care are considered semi- private pools by the Department of Health and approval for these pools require inspection during the construction phase. Obtaining approval for existing pools is usually not possible.)
- 22. Wading pools shall not be used.
- 23. Alcoholic beverages shall be kept out of reach of children.

# MINIMUM LICENSING REQUIREMENTS FOR Registered Child Care Family Homes



ARKANSAS DEPARTMENT HUMAN SERVICES
DIVISION OF CHILD CARE AND EARLY CHILDHOOD EDUCATION
CHILD CARE LICENSING UNIT
P. O. BOX 1437, SLOT S150
LITTLE ROCK, ARKANSAS 72203-1437
(501) 682-8590



PUB-003 (REV. 04/01/2019 01/01/2020)

# 101 Responsibilities and Requirements

- The Division of Child Care and Early Childhood Education (referred to hereafter as the Division) under the Department of Human Services is directly responsible for the inspection and evaluation of all Registered Homes.
- 2. The Division has the power to establish rules, regulations, and standards for licensing. registration, and operation of child care facilities. This includes all powers with respect to granting, revocation, denial, and suspension of licenses and registrations. Information regarding the appeal process is available upon request.
- 3. The Division works in coordination with local and state Health Departments, Fire Departments, City Planning, or Zoning departments and the Boiler Division of the Department of Labor.
- 4. It is recommended that the owner be aware of any applicable city or county zoning ordinances or codes or neighborhood covenants which may limit the number of children in care or impose additional safety requirements. The Division will share information on the location and status of Registered Homes with any city or county that requests this information.
- 5. Owners, operators, staff, therapists, and volunteers are mandated reporters of suspected child maltreatment and are required to call the Child Maltreatment Hotline at 1-800-482-5964, if they have reason to suspect child maltreatment. Additionally, these same individuals are required by Act 530 of 2019, to notify law enforcement if they have a good faith belief that there is a serious and imminent threat to the health or safety of a student, employee, or the public, based on a threat made by an individual regarding violence in, or targeted at, a school (or registered home) that has been communicated to the person in the course of their professional duties.
- 6. The Child Care Licensing Unit will notify the applicable federal agency at any time they become aware of or are advised of violations of any of the following or similar laws. It is recommended that the owner be aware of applicable federal laws which may affect the operation of the facility, such as, but not limited to:
  - a. Americans with Disabilities Act (ADA).
  - b. Environmental Protection Agency (EPA) regulations to ensure that any renovation or repair work on a home, child care facility, or school that was constructed prior to 1978 shall be completed by a contractor that is certified by the Environmental Protection Agency (EPA), when the repairs or renovations consist of any or all of the following: the repair or renovation disturbs six (6) or more square feet of the interior, the repair or renovation disturbs twenty (20) or more square feet of the exterior, or the repair or renovation involves removing a window.
  - c. Federal civil rights laws state that a facility may not discriminate on the basis of race, color, sex, religion, national origin, physical or mental handicap, or veteran status.
- 7. The Registrant shall maintain Child Care Liability Insurance and comply with the following requirements:

- a. Prior to approval of an application, the applicant shall provide verification of the required coverage to the Licensing Specialist and provide subsequent verification when requested. (Homes licensed prior to the effective date of this revision shall have ninety (90) days tocomply with this requirement.)
- b. Maintain the minimum amount of coverage as follows:

Registered Capacity of Home	Minimum Child Care Liability Coverage Required
1 5	\$ 100,000 per occurrence

### 108 Child Maltreatment Checks

 The following persons shall be required to have their background reviewed through an Arkansas Child Maltreatment Central Registry Check. : (A check or money order, payable to Department of Human Services, must be attached to each notarized form.)

Prospective owners, household members who are ten (10) years of age or older, and employees who have not lived in the State of Arkansas during the preceding five (5) years will be subject to current federal guidelines regarding conducting a child maltreatment background check in any states where they resided during the past five (5) years.

- a. Each applicant
- b. All household members who are at least ten (10) years of age
- c. Staff members and applicants for employment in a Registered Home
- d. All volunteers and therapists who have access to children in the home
- e. Therapists or Other persons who have routine contact with children

At application and every two (2) years thereafter

At application, upon residency, and every two (2) years thereafter

At application or within 10 days of hire Prior to employment and every two (2) years thereafter

At application Prior to providing services or being present in the home and every two (2) years thereafter

Within 10 days of the time they begin to Prior to providing provide services or begin to participate participating in home activities and every two (2) years thereafter

- 2. The Division has the authority to review and consider each true (founded) report of child maltreatment received from the Central Registry. The Division shall retain the authority to deny the applicant or revoke the registration.
- 3. All caregiver(s) are mandated reporters under the Child Maltreatment Act. The caregiver shall notify the Child Maltreatment Hot Line number at 1-800-482-5964 when there is reason to

believe that a child has been abused or neglected. (AR Code Annotated 12-12-501 et seq.) These reports of child maltreatment shall include all allegations made to the Registrant by parents, staff members, or the general public. It is recommended that the Registrant call the Child Care Licensing Specialist for guidance if there is any question about whether the Hot Line should be called regarding any situation where potential child maltreatment is involved.

If a complaint of child maltreatment is filed against any Registrant or persons in the home, the Child Care Licensing Unit shall evaluate the risk to children and determine the suitability of the persons to supervise, be left alone with children, or remain in the home during hours of care until the allegations have been determined true or unsubstantiated.

4. The Registered Child Care Family Home operator and any employees or other persons in the home who have had a true report of child maltreatment shall follow the corrective action plan approved by the Child Care Licensing Unit. Corrective action measures may vary from relevant training to reassignment or termination. Failure to comply with corrective action plans can constitute grounds for adverse action against the registration.

# 109 FBI Criminal Record Checks

1. The following persons shall apply to the Identification Bureau of the Arkansas State Police for a nationwide criminal records check, to be conducted by the FBI, which shall include a fingerprint check: (The individual is responsible for the cost of the nationwide check.)

Fingerprints submitted will be used to check the criminal history records of the FBI. Individuals with results showing a prohibited offense shall be advised to contact the Licensing Unit for procedures to obtain the results and for procedures to update or make corrections to the record of their individual history.

a. Each applicant to own or operate a Registered Home and all household members age eighteen (18) and up

At<u>initial</u> application <del>only</del> and every five (5) years thereafter

b. Each staff member

Within 10 days of hire/start date Prior to providing services or participating in home activities and every five (5) years thereafter

c. Therapists, volunteers Volunteers or other persons who have supervisory or disciplinary control over children, are left alone with children or have routine contact with children

Within 10 days of hire/start date Prior to providing services or participating in home activities and every five (5) years thereafter

# 2. Arkansas State Police Criminal Background Check

The following persons shall be required to have their background reviewed through  $\underline{a}$  fingerprint criminal records check including the Arkansas Sexual Offender Registry, conducted by the Arkansas State Police.

A prospective staff member may not begin work until the Arkansas State Police criminal record check result has been returned as satisfactory. Following receipt of the satisfactory result, this individual must be supervised at all times, pending completion of all of the required background check components, by a staff member who has successfully completed all required background checks.

Prospective employees who have not lived in the State of Arkansas during the preceding five (5) years will be subject to current federal guidelines regarding conducting a criminal background check and sexual offender registry check in any states where they resided during the past five (5) years. (A National Sexual Offender Registry check will also be conducted on prospective employees.)

a. Each applicant

At application and every <u>five (5)</u> years thereafter

b. All household members who are eighteen (18) years of age or older

At application and every <u>five</u> (5) years thereafter

c. Staff and applicants for employment in a Registered Home

Within 10 days of hire or start date and Prior to employment and every five (5) years thereafter

d. Volunteers and Therapists who have roughe contact with children

Within 10 days of hire and Prior to providing services and every five (5) years thereafter

e. Therapists or Other persons who have supervisory control, disciplinary control over children, or routine contact with children

Within 10 days of the time they begin Prior to provide providing services or begin to participate participating in home activities and every five (5) years thereafter

- Criminal records will be returned to the division for review. Any charge/convictions listed in this section (Section 110) that are returned will be considered regardless of whether the record is expunged, pardoned, or otherwise sealed.
- 4. No person shall be eligible to be a child care facility owner, operator, employee, household member, or volunteer who is in the home on a routine/continual basis if that person has pled guilty, or been found guilty, of any of the following offenses by any court in the State of Arkansas, any similar offense by a court in another state, or any similar offense by a federal court. The following offenses are permanently prohibited:

The second second
§5-28-103
§5-38- <b>30</b> 1
§5-10-101
§5-27-201
§5-11-102
§5-10-102
§5-10-103
§5-14-103
85-14-124
§5-14-125

5. No person shall be eligible to be a child care facility owner, operator, employee, household member, or volunteer, who is in the home on a routine/continual basis, if that person has pled guilty, or been found guilty, of any of the following offenses by any court in the State of Arkansas, any similar offense by a court in another state, or any similar offense by a federal court. The following offenses are prohibited:

01. Criminal Attempt to commit any offenses in MLR Section 110	§5-3-201
02. Criminal Complicity to commit any offenses in MLR Section 110	§5-3-202
03. Criminal Conspiracy to commit any offenses in MLR Section 110	§5-3-401
04. Criminal Solicitation to commit any offenses in MLR Section 110	§5-3-301
05. Assault in the First, Second, or Third degree	§5-13-205 - §5-13-207
06. Assault, Aggravated	§5-13-204
07. Assault, Aggravated on a Family or Household Member	§5-26-306
08. Battery in the First, Second, or Third Degree	§5-13-201 - §5-13-203
09. Breaking or Entering	§5-39-202
10. Burglary	§5-39-201
11. Coercion	§5-13-208
12. Computer Crimes Against Minors	§5-27-601 et. seq.

13. Contributing to the Delinquency of a Juvenile	§5-27-220
14. Contributing to the Delinquency of a Minor	§5-27-209
15. Criminal Impersonation	§5-3-208
16. Criminal Use of a Prohibited Weapon	§5-73-104
17. Death Threats Concerning a School Employee or Students	§5-17-101
18. Domestic Battery in the First, Second, or Third Degree	§5-26-303 - §5-26-305
19. Employing or Consenting to the Use of a Child in a Sexual Performance	§5-27-402
20. Endangering the Welfare of a Minor in the First or Second Degree	§5-27-205 and §5-27-206
21. Endangering the Welfare of an Incompetent Person in the First or Second Degree	§5-27-201 and §5-27-202
22. Engaging Children in Sexually Explicit Conduct for Use in Visual or Print Media	§5-27-303
23. False Imprisonment in the First or Second Degree	§5-11-103 and §5-11-104
24. Felony Abuse of an Endangered or Impaired Person	§5-28-103
25. Felony Interference with a Law Enforcement Officer	§5-54-104
26. Felony Violation of the Uniform Controlled Substance Act	§5-64-101 - §5-64-508 et. seq.
27. Financial Identity Fraud	§5-37-227
28. Forgery	§5-37-201
29. Incest	§5-26-202
30. Interference with Court Ordered Custody	§5-26-502
31. Interference with Visitation	§5-26-501
32. Introduction of Controlled Substance into Body of Another Person	§5-13-210
33. Manslaughter	§5-10-104
34. Negligent Homicide	§5-10-105
55. Obscene Performance at a Live Public Show	§5-68-305
6. Offense of Cruelty to Animals	§5-62-103
77. Offense of Aggravated Cruelty to Dog, Cat, or Horse	§5-62-104
8. Pandering or Possessing Visual or Print Medium Depicting Sexually Explicit Conduct Involving a Child	§5-27-304
9. Patronizing a Prostitute	§5-70-103
0. Permanent Detention or Restraint	§5-11-106
1. Permitting Abuse of a Minor	§5-27-221
2. Producing, Directing, or Promoting a Sexual Performance by a Child	§5-27-403
3. Promoting Obscene Materials	§5-68-303
4. Promoting Obscene Performance	§5-68-304
5. Promoting Prostitution in the First, Second, or Third Degree	§5-70-104 - §5-70-106
6. Prostitution	SE-70-102
7. Public Display of Obscenity	§5-70-102
at a single of opposition	§5-68-205

48. Resisting Arrest	§5-54-103
49. Robbery	
50. Robbery (Aggravated Robbery)	§5-12-102
51. Sexual Offense (any)	§5-12-103
52. Simultaneous Possession of Drugs and Firearms	§5-14-101 et. seq.
53 Soliciting Manager Brossession of Drugs and Firearms	§5-74-106
53. Soliciting Money or Property from Incompetents	§5-27-229
54. Stalking	§5-71-229
55. Terroristic Act	§5-13-310
56. Terroristic Threatening	§5-13-301
57. Theft by Receiving	§5-36-106
58. Theft of Property	
59. Theft of Services	§5-36-103
60. Transportation of Minors for Prohibited Sexual Conduct	§5-36-104
51. Unlawful Discharge of a Firearm from a Vehicle	§5-27-305
22. Voyeurism	§5-74-107
z. voyeurism	§5-16-102

- 6. If the Registrant wishes to employ an individual with a conviction or plea of guilty or nolo contendere for the following nonviolent offenses, they shall submit a written request for a waiver prior to employment (§ 20-38-103 (e) (3) (A) Act 990 of 2013)
  - a. Theft by receiving § 5-36-106
  - b. Forgery § 5-37-201
  - c. Financial identity fraud § 5-37-227
  - d. Resisting arrest § 5-54-103
  - e. Criminal impersonation in the second degree § 5-37-208(b)
  - f. Interference with visitation § 5-26-501
  - g. Interference with court-ordered visitation § 5-26-502
  - h. Prostitution § 5-70-102
  - Patronizing a prostitute § 5-70-203

The waiver may be approved if all the following conditions are met:

- The individual has completed probation or parole supervision.
- The individual has paid all court ordered fees, fines, and restitution.
- The individual has fully complied with all court orders pertaining to the conviction or plea.
- 7. The waiver will be revoked if after employment the individual pleads guilty or nolo contendere or is found guilty of any prohibited offense (including the list above a-i) or has a true or founded report of child maltreatment or adult maltreatment in a central registry.
- 8. The request for waiver and certification of approval shall be kept in the individual's file for the term of employment and three (3) years after termination of employment.
- 9. If approved, the waiver is not transferable to another licensed facility.
- 10. Any person who has pled guilty, nolo contendere, or who has been found guilty of any one

- (1) of the offenses listed above (Section 109.4), may not work in child care unless:
- a. The date of the conviction, plea of guilty or nolo contendere for a misdemeanor offense is at least five (5) years from the date of the request for the criminal history records check and there have been no criminal convictions or pleas of guilty or nolo contendere of any type or nature during the five (5) year period preceding the background check request.
- b. The date of the conviction, plea of guilty, or nolo contendere for a felony offense is at least ten (10) years from the date of the request for the criminal history records check and there have been no criminal convictions or pleas of guilty or nolo contendere of any type or nature during the ten (10) year period preceding the background check request.
- 11. Anyone employed in a licensed center, COE center, Licensed Child Care Family Home, or a Registered Child Care Family Home prior to 9/1/2009 with a clear background check history may remain eligible for employment unless the employee had a conviction, plead guilty, or plead nolo contendere to an offense listed in the above section (Section 109.4) since 9/1/2009.

# 301 Caregiver Qualifications and Responsibilities

- 1. The Registered Home primary caregiver shall be eighteen (18) years or older.
- 2. The primary caregiver and all secondary caregivers shall have a high school diploma or GED. Registered Homes approved prior to this revision are exempt from this requirement.
- 3. The caregiver shall not be otherwise employed during the time he <u>or</u> she is responsible for children in the home. Employment at other times shall not affect the quality of care given the children.
- 4. The caregiver shall not use profanity or speak in an abusive manner when children are present. The caregiver shall also cooperate with licensing staff during regular monitor visits.
- 5. The caregiver shall have a person who would be able to care for the children in the event of an emergency.
- 6. Newly Registered Home providers shall attend Family Child Care Provider Training within the first six (6) months of being registered.
- 7. The Registrant shall notify the Licensing Unit within five (5) calendar days of any change in the person(s) designated as secondary caregivers.
- 8. The caregiver shall obtain at least <u>fifteen</u> (15) hours of training, <u>including child</u> <u>development training</u> registered with the Division of Child Care and Early Childhood Education Professional Development Registry, Department of Education, or Department of Higher Education approved training each year in continuing early childhood education, which is approved by the Division.

Topics appropriate for continuing early education shall include, but are not limited to the following:

- a. Child growth and development
- b. Nutrition and food service
- c. Parent communication and involvement
- d. Curriculum and curriculum development
- e. Developmentally appropriate practice and learning environments
- f. Behavior management
- g. Emergency care and first aid
- h. Administration and management of early childhood program
- 9. All caregivers, including volunteers who are counted in the ratios, shall receive the following orientation within three (3) months of employment (and every three (3) years thereafter) and shall not be left alone with children until this is completed.

### 1. Introduction (8 clock hours):

- a. Proper supervision of children
- b. Behavioral guidance practices
- c. Safe sleep practices for infants
- d. Shaken baby syndrome; which includes prevention (Carter's Law, Act 1208)
- e. Appropriately responding to a crying/fussy infant/child
- f. Emergency procedures in the event of severe weather, or fire, including evacuation procedures and routes, and location and use of fire extinguishers.
- g Mandated reporter training
- h. Administering medication
- i. Caring for children with special needs / care plans
- j. Transportation and car seat safety
- k. Policies regarding release of children to authorized individuals
- Prevention and control of infectious diseases
- m. Building and physical premises safety, including the identification of, and protection from, hazards, bodies of water, and vehicular traffic
- n. Nutrition and physical activities
- o. Prevention and response to food sensitivities and allergic reactions
- p. Basic child development
- q. The handling and storage of hazardous materials and the appropriate disposal of biocontaminants
- 10. At least one (1) caregiver who has a current certificate of successful completion of first aid and CPR from an approved organization shall be on site at all times. <u>If the home serves infants and toddlers, this training shall include infant/child CPR.</u> (Infant/child CPR may be included in the basic course or in a separate course.)

- a. The curriculum shall conform to current American Heart Association or American Red Cross guidelines.
- b. The curriculum shall require hands on, skill-based instruction, as well as practical testing. Training and certification that is provided solely online will not be accepted.
- c. The instructor shall be qualified and authorized to teach the curriculum and shall be certified by a nationally recognized organization. (Including but not limited to: Health and Safety Institute; EMS Safety Services, Inc.)
- 11. The caregiver shall be physically and emotionally able to care for children.
- 12. Child Care Licensing may require a physician's statement for the caregiver anytime behavioral or physical indicators warrant.
- 13. The caregiver shall not engage in behavior that could be viewed as sexual or as dangerous, exploitative, or physically harmful to children.
- 14. The caregiver shall not consume or be under the influence of illegal drugs. The caregiver shall not consume or be under the influence of alcohol while delivering care. The caregiver shall not consume or be under the influence of medications (prescription or non-prescription), which may impair his or her ability to provide care.
- 15. Newly registered caregivers shall attend BAS (Business Administration Scale) training within the first six (6) months of being registered.
- 16. At no time shall children be left unsupervised.
- 17. Additional staff provisions shall be made for enrollment of children with disabilities who require individual attention.
- 18. It is recommended that all staff members who have direct contact with children receive annual Influenza (flu) immunizations.
- 19. It is recommended that all staff members who have direct contact with children receive a one-time Tdap (Diphtheria, Tetanus & Pertussis) immunization.
- 20. It is recommended that all staff members who have direct contact with children receive the recommended series of immunizations for chicken pox, mumps, measles, and rubella or evidence of immunity.

## **1201 Safety Requirements**

- Within thirty (30) days of registration and within thirty (30) days of any change or modification of the floor plan the facility shall file a copy of their floor plan with the local Office of Emergency Management including the following § 20-78-228 Act 1159 of 2013:
  - a. A schematic drawing of the facility and property used by the child care facility including the configuration of rooms, spaces, and other physical features of the

building

- b. The location or locations where children enrolled in child care spend time regularly
- c. The escape routes approved by the local fire department for the child care facility
- d. The licensed capacity and ages of children per room at the facility
- e. The contact information for at least two (2) emergency contacts for the facility
- f. An aerial view of the child care facility and property used by the child care facility shall be included with the floor plan if available

Homes already registered on the effective date of this regulation-rule shall have thirty (30) days to comply.

- 2. The Registered Child Care Family Home shall have a written plan detailing the procedures to follow in the event of emergencies (fires, floods, tornadoes, utility disruptions, bomb threats, etc.) (Act 801 of 2009). The plan and procedures are required for emergencies that could cause structural damage to the facility, be identified as a threat by the Arkansas Department of Emergency Management or pose a health and/or safety hazard to the children and staff. This plan shall include provisions for "sheltering in place" or "lock down", in the event of situations that warrant such measures.
- 3. The written plan shall include the following information:
  - a. Designated relocation site and evacuation route
  - b. Procedures for notifying parents of relocation

  - c. Procedures for ensuring family reunification
    d. Procedures to address the needs of individual children, including children with special needs, disabilities, and children with chronic medical conditions
  - e. Procedures and documentation for annual training of staff regarding the plan and possible reassignment of staff duties in an emergency
  - f. Plans to ensure that all caregivers and volunteers are familiar with the components of the plan
- 4. The home shall maintain, on site, a current copy of the Arkansas Comprehensive Emergency Management Plan issued by the Arkansas Division of Emergency Management. This plan shall be reviewed by the licensee and signed and dated, indicating that they have reviewed the current plan and agree to comply with the provisions of the plan.
- 5. The Registered Child Care Family Home shall coordinate with local emergency management officials to plan for emergencies.
- 6. Written procedures and evacuation diagrams for emergency drills shall be posted in each room used for childcare.
- 7. Fire and tornado drills shall be practiced as follows:
  - a. Monthly
  - b. Fire and tornado drills shall be practiced on separate days and at different times of the day.

- c. During all hours when children are in care (evenings, nights, weekends, etc.)
- d. Everyone in the home at the time of the drill shall participate in the drill.
- e. Caregivers, including volunteers, shall be trained in safety drill procedures.
- 8. The Registered Child Care Family Home shall maintain a record of emergency drills. This record shall include:
  - a. Date of drill
  - b. Type of drill
  - c. Time of day
  - d. Number of children participating in the drill
  - e. Length of time taken to reach safety
  - f. Notes regarding things that need improved upon
- 9. The Registered Child Care Family Home shall maintain an evacuation pack that shall be taken on all drills and during real emergency evacuations. The pack shall be easily accessible in an emergency and all caregivers shall know the location of the pack. The evacuation pack shall include, but is not limited to the following:
  - a. List of emergency numbers
  - b. List of all emergency and contact information for children
  - c. List of all emergency and contact information for staff
  - d. First aid kit (requirement 1101.8) with extra gloves
  - e. Kleenex
  - f. Battery powered flashlight and extra batteries
  - g. Battery powered radio and extra batteries
  - h. Hand sanitizer
  - i. Notepad and pens/pencils
  - j. Whistle
  - k. Disposable cups
  - I. Wet wipes
  - m. Emergency survival blanket
- 10. The Registrant chall immediately notify the Licensing Unit of any damage to the building and/or grounds. If phone service is not available, notification shall be as soon as service is restored or available.
- 11. Registered Homes shall maintain a log of all child product recall and safety notices issued by CPSC or distributed by the Attorney General's Office and shall post or otherwise make these notices available for parents to review. The holder of the registration shall certify on an annual basis that these notices have been maintained, reviewed, and that any identified items have been removed from the home. Forms for self-certification will be provided by the Licensing Specialist and shall be submitted annually. (Act 1313 of 2001)
- 12. Electrical outlets shall be guarded. Protective caps, if used, shall be large enough to prevent swallowing.

- Indoor or outdoor cooling or heating units shall have guards or barriers when necessary. All outdoor electrical boxes, gas lines, and exposed electrical cords shall be enclosed.
- 14. Stairways shall be well lighted and guarded as needed.
- 15. Dangerous equipment and/or objects shall be stored away from areas used by the children.
- 16. All detergent and cleaning supplies shall be kept out of the reach of children. (This does not include hand soap in children's or staff bathroom.) Supplies used for children's activities shall be carefully supervised.
- 17. All poisonous substances shall be kept in a locked area.
- 17. Guns shall be unloaded. Guns, other weapons, and ammunition shall be stored in a locked area in the home.
- 18. Illegal drugs/paraphernalia shall not be in any part of the facility or on the premises, regardless if children are present or not.
- 19. Tanks, ponds, swimming pools, open wells, drainage ditches, and sewage drainpipes shall be fenced if located within the play area.
- 20. Home swimming pools shall not be used by children in care unless permission is obtained from Child Care Licensing through an alternative compliance request. This request must include written approval from the Arkansas Department of Health for the use of the pool by children in care. (Home swimming pools used by children in care are considered semi-private pools by the Department of Health and approval for these pools requires inspection during the construction phase. Obtaining approval for existing pools is usually not possible.)
- 21. Wading pools shall not be used by children under two (2) years of age. Water sprinklers are acceptable.
- 22. Alcoholic beverages shall be kept out of reach of children.

# Stricken language would be deleted from and underlined language would be added to present law. Act 530 of the Regular Session

	1	State of Arkansas  As Engrossed: H2/25/19 S3/12/19  92nd General Assembly  As Engrossed: H2/25/19 S3/12/19
	3	Regular Session, 2010
	4	HOUSE BILL 1437
	5	By: Representative Dalby
	6	By: Senator Hickey
	7	
	8	For An Act To Be Entitled
	9	AN ACT CONCERNING SCHOOL SAFETY; AND FOR OTHER
1	0	PURPOSES.
1	1	
1	2	
1	3	Subtitle
1	4	CONCERNING SCHOOL SAFETY.
1.	5	
10	6	
17		BE IT ENACTED BY THE GENERAL ASSEMBLY OF THE STATE OF ARKANSAS:
18		
19		SECTION 1. Arkansas Code Title 6, Chapter 18, Subchapter 1, is amended
20		to add an additional section to read as follows:
21		6-18-110. Reports by mandated reporters - Failure to notify by
22		mandated reporter - Making a false report.
23 24		(a) Each of the following persons shall notify law enforcement if he
25		or she has a good faith belief that there is a serious and imminent threat to
26		the public based on a threat made by an individual regarding violence in or
27		targeted at a school that has been communicated to the person in the course of his or her professional duties:
28		
29		delicated worker of foster care worker;
30		
31		(3) A daycare center worker; (4) A dentist;
32		(5) A dental hygienist;
33		(6) A domestic abuse advocate;
34		(7) A domestic violence shelter employee;
35		(8) A domestic violence shelter volunteer;
36		(9) An employee of the Department of Human Services;
		indicate of manage services;

1	(10) An employee working under contract for the Division of
2	Youth Services of the Department of Human Services;
3	(11) A foster parent;
4	(12) A judge;
5	(13) A law enforcement official;
6	(14) A licensed nurse;
7	(15) Medical personnel who may be engaged in the admission,
8	examination, care, or treatment of a person;
9	(16) A mental health professional or paraprofessional;
10	(17) An osteopath;
11	(18) A peace officer;
12	(19) A physician;
13	(20) A prosecuting attorney;
14	(21) A resident intern;
15	(22) A public or private school counselor;
16	(23) A school official;
17	(24) A social worker;
18	(25) A surgeon;
19	(26) A teacher;
20	(27) A court-appointed special advocate program staff member or
21	volunteer;
22	(28) A juvenile intake or probation officer;
23	(29) A clergy member, including a minister, priest, rabbi,
24	accredited Christian Science practitioner, or other similar functionary of a
25	religious organization, or a person reasonably believed to be so by the
26	individual consulting him or her unless the clergy member acquires knowledge
27	of the serious and imminent threat of violence in or targeted at a school
28	through a communication that is required to be kept confidential pursuant to
29	the religious discipline of the relevant denomination or faith;
30	(30) An employee of a child advocacy center or a child safety
31	<pre>center;</pre>
32	(31) An attorney ad litem in the course of his or her duties as
33	an attorney ad litem;
34	(32)(A) A sexual abuse advocate or sexual abuse volunteer who
35	works with a victim of sexual abuse as an employee of a community-based
36	victim service or mental health agency such as the Safe Place program of the

1	National Safe Place Network, United Family Services, Inc., or the Centers for
2	Youth and Families, Inc.
3	(B) A sexual abuse advocate or sexual abuse volunteer
4	includes a paid or volunteer sexual abuse advocate who is based with a local
5	law enforcement agency;
6	(33) A rape crisis advocate or rape crisis volunteer;
7	(34)(A) A child abuse advocate or child abuse volunteer who
8	works with a child victim of abuse or maltreatment as an employee of a
9	community-based victim service or a mental health agency such as the Safe
10	Place program of the National Safe Place Network, United Family Services,
11	Inc., or the Centers for Youth and Families, Inc.
12	(B) A child abuse advocate or child abuse volunteer
13	includes a paid or volunteer sexual abuse advocate who is based with a local
14	<pre>law enforcement agency;</pre>
15	(35) A victim or witness coordinator;
16	(36) A victim assistance professional or victim assistance
17	volunteer;
18	(37) An employee of the Crimes Against Children Division of the
19	Department of Arkansas State Police;
20	(38) An employee of a reproductive healthcare facility;
21	(39) A volunteer at a reproductive healthcare facility; and
22	(40) An individual not otherwise identified in this subsection
23	who is engaged in performing his or her employment duties with a nonprofit
24	charitable organization other than a nonprofit hospital.
25	(b) A person listed as a mandated reporter under subsection (a) of
26	this section shall:
27	(1) Make every attempt to immediately notify law enforcement of
28	the serious and imminent threat to the public; and
29	(2) Notify law enforcement within twenty-four (24) hours of
30	learning of the serious and imminent threat to the public.
31	(c)(1) A person listed as a mandated reporter under subsection (a) of
32	this section commits the offense of failure to notify by a mandated reporter
33	in the first degree if he or she knowingly fails to notify law enforcement of
34	a serious and imminent threat of violence in or targeted at a school that has
35	been communicated to him or her in the course of his or her professional
36	duties.

1	(2) Failure to notify by a mandated reporter in the first degree
2	is a Class A misdemeanor.
3	(d)(1) A person listed as a mandated reporter under subsection (a) of
4	this section commits the offense of failure to notify by a mandated reporter
5	in the second degree if he or she recklessly fails to notify law enforcement
6	of a serious and imminent threat of violence in or targeted at a school that
7	has been communicated to him or her in course of his or her professional
8	duties.
9	(2) Failure to notify by a mandated reporter in the second
10	degree is a Class C misdemeanor.
11	(e)(1) A person commits the offense of making a false report under
12	this section if he or she purposely makes a report containing a false
13	allegation to law enforcement knowing the allegation to be false.
14	(2) The first offense of making a false report under subdivision
15	(e)(1) of this section is a Class A misdemeanor.
16	(3) A subsequent offense of making a false report under
17	subdivision $(e)(1)$ of this section is a Class D felony.
18	(f) Law enforcement may file a petition in the appropriate court
19	seeking imposition of penalties for a violation of this section.
20	(g) A person who notifies law enforcement, in good faith, of a serious
21	and imminent threat of violence in or targeted at a school that has been
22	communicated to him or her in the course of his or her professional duties is
23	immune from civil or criminal liability.
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26	/s/Dalby
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29	APPROVED: 3/20/19
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### Public Law 113–186 113th Congress

### An Act

To reauthorize and improve the Child Care and Development Block Grant Act of 1990, and for other purposes.

Be it enacted by the Senate and House of Representatives of the United States of America in Congress assembled,

### SECTION 1. SHORT TITLE.

This Act may be cited as the "Child Care and Development Block Grant Act of 2014".

### SEC. 2. SHORT TITLE AND PURPOSES.

Section 658A of the Child Care and Development Block Grant Act of 1990 (42 U.S.C. 9801 note) is amended to read as follows: "SEC. 658A. SHORT TITLE AND PURPOSES.

"(a) SHORT TITLE.—This subchapter may be cited as the 'Child Care and Development Block Grant Act of 1990'.

"(b) Purposes.—The purposes of this subchapter are—

"(1) to allow each State maximum flexibility in developing child care programs and policies that best suit the needs of children and parents within that State;

"(2) to promote parental choice to empower working parents to make their own decisions regarding the child care services

that best suit their family's needs;

"(3) to encourage States to provide consumer education information to help parents make informed choices about child care services and to promote involvement by parents and family members in the development of their children in child care settings;

"(4) to assist States in delivering high-quality, coordinated early childhood care and education services to maximize parents' options and support parents trying to achieve independ-

ence from public assistance;

"(5) to assist States in improving the overall quality of child care services and programs by implementing the health, safety, licensing, training, and oversight standards established in this subchapter and in State law (including State regulations);

"(6) to improve child care and development of participating children; and

"(7) to increase the number and percentage of low-income children in high-quality child care settings.".

### SEC. 3. AUTHORIZATION OF APPROPRIATIONS.

Section 658B of the Child Care and Development Block Grant Act of 1990 (42 U.S.C. 9858) is amended by striking "subchapter" Nov. 19, 2014 [S. 1086]

Child Care and Development Block Grant Act of 2014. 42 USC 9801 note. 42 USC 9858 note.

and all that follows through the period at the end, and inserting "subchapter \$2,360,000,000 for fiscal year 2015, \$2,478,000,000 for fiscal year 2016, \$2,539,950,000 for fiscal year 2017, \$2,603,448,750 for fiscal year 2018, \$2,668,534,969 for fiscal year 2019, and \$2,748,591,018 for fiscal year 2020."

### SEC. 4. LEAD AGENCY.

(a) DESIGNATION.—Section 658D(a) of the Child Care and Development Block Grant Act of 1990 (42 U.S.C. 9858b(a)) is amended-

(1) by striking "chief executive officer" and inserting "Governor"; and

(2) by striking "designate" and all that follows and inserting "designate an agency (which may be an appropriate collaborative agency), or establish a joint interagency office, that complies with the requirements of subsection (b) to serve as the

lead agency for the State under this subchapter." (b) COLLABORATION WITH TRIBES.—Section 658D(b)(1) of the Child Care and Development Block Grant Act of 1990 (42 U.S.C.

9858b(b)(1) is amended

(1) in subparagraph (C), by striking "and" at the end; (2) in subparagraph (D), by striking the period and inserting "; and"; and (3) by adding at the end the following:

"(E) at the option of an Indian tribe or tribal organization in the State, collaborate and coordinate with such Indian tribe or tribal organization in the development of the State plan in a timely manner.".

### SEC. 5. APPLICATION AND PLAN.

(a) PERIOD.—Section 658E(b) of the Child Care and Development Block Grant Act of 1990 (42 U.S.C. 9858c(b)) is amended by striking "2-year" and inserting "3-year".

(b) POLICIES AND PROCEDURES.—Section 658E(c) of the Child

Care and Development Block Grant Act of 1990 (42 U.S.C. 9858c(c))

is amended-

(1) in paragraph (1), by inserting "or established" after "designated";

(2) in paragraph (2)—

(A) in subparagraph (B), by inserting a comma after "care of such providers"

(B) by striking subparagraphs (D) through (H); and (C) by adding at the end the following:

"(D) MONITORING AND INSPECTION REPORTS.—The plan shall include a certification that the State, not later than 1 year after the State has in effect the policies and practices described in subparagraph (K)(i), will make public by electronic means, in a consumer-friendly and easily accessible format, organized by provider, the results of monitoring and inspection reports, including those due to major substantiated complaints about failure to comply with this subchapter and State child care policies, as well as the number of deaths, serious injuries, and instances of substantiated child abuse that occurred in child care settings each year, for eligible child care providers within the State. The results shall also include information on the date of such an inspection, and, where applicable, information on corrective action taken.

Certification. Deadline. information.

"(E) CONSUMER AND PROVIDER EDUCATION INFORMA-TION.—The plan shall include a certification that the State will collect and disseminate (which dissemination may be done, except as otherwise specified in this subparagraph, through resource and referral organizations or other means as determined by the State) to parents of eligible children, the general public, and, where applicable, providers-

"(i) information about the availability of the full diversity of child care services that will promote informed child care choices and that concerns-

"(I) the availability of child care services provided through programs authorized by this subchapter and, if feasible, other child care services and other programs provided in the State for which the family may be eligible, as well as the availability of financial assistance to obtain child care services in the State;

"(II) if available, information about the quality of providers, as determined by the State, that can be provided through a Quality Rating and Improvement System;

"(III) information, made available through a State Web site, describing the State process for licensing child care providers, the State processes for conducting background checks, and monitoring and inspections, of child care providers, and the offenses that prevent individuals and entities from serving as child care providers in the State;

"(IV) other programs for which families that receive child care services for which financial assistance is provided under this subchapter may be eligible, including the program of block grants to States for temporary assistance for needy families established under part A of title IV of the Social Security Act (42 U.S.C. 601 et seq.), Head Start and Early Head Start programs carried out under the Head Start Act (42 U.S.C. 9831 et seq.), the program carried out under the Low-Income Home Energy Assistance Act of 1981 (42 U.S.C. 8621 et seq.), the supplemental nutrition assistance program established under the Food and Nutrition Act of 2008 (7 U.S.C. 2011 et seq.), the special supplemental nutrition program for women, infants, and children established under section 17 of the Child Nutrition Act of 1966 (42 U.S.C. 1786), the child and adult care food program established under section 17 of the Richard B. Russell National School Lunch Act (42 U.S.C. 1766), and the Medicaid and State children's health insurance programs under titles XIX and XXI of the Social Security Act (42 U.S.C. 1396 et seq., 1397aa et seq.);

"(V) programs carried out under section 619 and part C of the Individuals with Disabilities Education Act (20 U.S.C. 1419, 1431 et seq.);

"(VI) research and best practices concerning children's development, including social and emo-

tional development, early childhood development,

Certification.

and meaningful parent and family engagement, and physical health and development (particularly healthy eating and physical activity); and

"(VII) the State policies regarding the social-emotional behavioral health of young children, which may include positive behavioral intervention and support models, and policies on expulsion of preschool-aged children, in early childhood programs receiving assistance under this subchapter;

"(ii) information on developmental screenings.

including—
"(I) information on existing (as of the date plan) resources and services the State can deploy, including the coordinated use of the Early and Periodic Screening, Diagnosis, and Treatment program under the Medicaid program carried out under title XIX of the Social Security Act (42 U.S.C. 1396 et seq.) and developmental screening services available under section 619 and part C of the Individuals with Disabilities Education Act (20 U.S.C. 1419, 1431 et seq.), in conducting developmental screenings and providing referrals to services, when appropriate, for children who receive assistance under this subchapter; and

"(II) a description of how a family or eligible child care provider may utilize the resources and services described in subclause (I) to obtain developmental screenings for children who receive assistance under this subchapter who may be at risk for cognitive or other developmental delays, which may include social, emotional, physical, or

linguistic delays.

"(F) COMPLIANCE WITH STATE LICENSING REQUIRE-MENTS.-

"(i) IN GENERAL.—The plan shall include a certification that the State involved has in effect licensing requirements applicable to child care services provided within the State, and provide a detailed description of such requirements and of how such requirements

are effectively enforced.

"(ii) LICENSE EXEMPTION.—If the State uses funds received under this subchapter to support a child care provider that is exempt from the corresponding licensing requirements described in clause (i), the plan shall include a description stating why such licensing exemption does not endanger the health, safety, or development of children who receive services from child care providers who are exempt from such requirements. "(G) TRAINING AND PROFESSIONAL DEVELOPMENT

REQUIREMENTS.-

"(i) IN GENERAL.—The plan shall describe the training and professional development requirements that are in effect within the State designed to enable child care providers to promote the social, emotional, physical, and cognitive development of children and

Certification.

to improve the knowledge and skills of the child care workforce. Such requirements shall be applicable to child care providers that provide services for which assistance is provided in accordance with this subchapter.

"(ii) REQUIREMENTS.—The plan shall provide an assurance that such training and professional development—

"(I) shall be conducted on an ongoing basis, provide for a progression of professional development (which may include encouraging the pursuit of postsecondary education), reflect current research and best practices relating to the skills necessary for the child care workforce to meet the developmental needs of participating children, and improve the quality of, and stability within, the child care workforce;

"(II) shall be developed in consultation with the State Advisory Council on Early Childhood Education and Care (designated or established pursuant to section 642B(b)(1)(A)(i) of the Head Start Act (42 U.S.C. 9837b(b)(1)(A)(i))), and may engage training providers in aligning training opportunities with the State's training framework;

"(III) incorporates knowledge and application of the State's early learning and developmental guidelines (where applicable), the State's health and safety standards, and incorporates social-emotional behavior intervention models, which may include positive behavior intervention and support models:

"(IV) shall be accessible to providers supported through Indian tribes or tribal organizations that receive assistance under this subchapter; and

"(V) to the extent practicable, are appropriate for a population of children that includes—

"(aa) different age groups; "(bb) English learners;

"(cc) children with disabilities; and

"(dd) Native Americans, including Indians, as the term is defined in section 4 of the Indian Self-Determination and Education Assistance Act (25 U.S.C. 450b) (including Alaska Natives within the meaning of that term), and Native Hawaiians (as defined in section 7207 of the Elementary and Secondary Education Act of 1965 (20 U.S.C. 7517)).

"(iii) INFORMATION.—The plan shall include the number of hours of training required for eligible providers and caregivers to engage in annually, as determined by the State.

"(iv) Construction.—The Secretary shall not require an individual or entity that provides child care services for which assistance is provided in accordance with this subchapter to acquire a credential to provide such services. Nothing in this section shall be construed to prohibit a State from requiring a credential.

Consultation.

"(H) CHILD-TO-PROVIDER RATIO STANDARDS.—

"(i) STANDARDS.—The plan shall describe child care standards for child care services for which assistance is made available in accordance with this subchapter, appropriate to the type of child care setting involved, to provide for the safety and developmental needs of the children served, that address—

"(I) group size limits for specific age popu-

lations, as determined by the State;

"(II) the appropriate ratio between the number of children and the number of providers, in terms of the age of the children in child care, as determined by the State; and

"(III) required qualifications for such pro-

viders, as determined by the State.

"(ii) CONSTRUCTION.—The Secretary may offer guidance to States on child-to-provider ratios described in clause (i) according to setting and age group, but shall not require that the State maintain specific group size limits for specific age populations or child-to-provider ratios for providers who receive assistance in accordance with subchapter.

"(I) HEALTH AND SAFETY REQUIREMENTS.—The plan shall include a certification that there are in effect within the State, under State or local law, requirements designed to protect the health and safety of children that are applicable to child care providers that provide services for which assistance is made available in accordance with

this subchapter. Such requirements—

"(i) shall relate to matters including health and

safety topics consisting of—

"(I) the prevention and control of infectious diseases (including immunization) and the establishment of a grace period that allows homeless children and children in foster care to receive services under this subchapter while their families (including foster families) are taking any necessary action to comply with immunization and other health and safety requirements;

"(II) prevention of sudden infant death syn-

drome and use of safe sleeping practices;

"(III) the administration of medication, consistent with standards for parental consent;

"(IV) the prevention of and response to emer-

gencies due to food and allergic reactions;

"(V) building and physical premises safety, including identification of and protection from hazards that can cause bodily injury such as electrical hazards, bodies of water, and vehicular traffic;

"(VI) prevention of shaken baby syndrome and

abusive head trauma;

"(VII) emergency preparedness and response planning for emergencies resulting from a natural disaster, or a man-caused event (such as violence at a child care facility), within the meaning of those terms under section 602(a)(1) of the Robert

Certification.

T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5195a(a)(1));

"(VIII) the handling and storage of hazardous materials and the appropriate disposal of bio-

contaminants;

"(IX) for providers that offer transportation, if applicable, appropriate precautions in transporting children;

"(X) first aid and cardiopulmonary resuscita-

tion; and

"(XI) minimum health and safety training, to be completed pre-service or during an orientation period in addition to ongoing training, appropriate to the provider setting involved that addresses each of the requirements relating to matters described in subclauses (I) through (X); and

"(ii) may include requirements relating to nutrition, access to physical activity, or any other subject area determined by the State to be necessary to promote child development or to protect children's health

and safety.

"(J) COMPLIANCE WITH STATE AND LOCAL HEALTH AND SAFETY REQUIREMENTS.—The plan shall include a certification that procedures are in effect to ensure that child care providers within the State, that provide services for which assistance is made available in accordance with this subchapter, comply with all applicable State and local health and safety requirements as described in subparagraph (I).

"(K) Enforcement of licensing and other regu-

LATORY REQUIREMENTS.—

"(i) CERTIFICATION.—The plan shall include a certification that the State, not later than 2 years after the date of enactment of the Child Care and Development Block Grant Act of 2014, shall have in effect policies and practices, applicable to licensing or regulating child care providers that provide services for which assistance is made available in accordance with this subchapter and the facilities of those providers, that—

"(I) ensure that individuals who are hired as licensing inspectors in the State are qualified to inspect those child care providers and facilities and have received training in related health and safety requirements, and are trained in all aspects of the State's licensure requirements;

"(II) require licensing inspectors (or qualified inspectors designated by the lead agency) of those child care providers and facilities to perform

inspections, with-

"(aa) not less than 1 prelicensure inspection, for compliance with health, safety, and fire standards, of each such child care provider and facility in the State; and

"(bb) not less than annually, an inspection (which shall be unannounced) of each such child care provider and facility in the State Deadline.

for compliance with all child care licensing standards, which shall include an inspection for compliance with health, safety, and fire standards (inspectors may inspect for compliance with all 3 standards at the same time); "(III) require the ratio of licensing inspectors to such child care providers and facilities in the State to be maintained at a level sufficient to enable the State to conduct inspections of such child care providers and facilities on a timely basis in accordance with Federal, State, and local law;

"(IV) require licensing inspectors (or qualified inspectors designated by the lead agency) of child care providers and facilities to perform an annual inspection of each license-exempt provider in the State receiving funds under this subchapter (unless the provider is an eligible child care provider as described in section 658P(6)(B)) for compliance with health, safety, and fire standards, at a time to be determined by the State.

"(ii) Construction.—The Secretary may offer

guidance to a State, if requested by the State, on a research-based minimum standard regarding ratios described in clause (i)(III) and provide technical assistance to the State on meeting the minimum standard within a reasonable time period, but shall not prescribe

and

a particular ratio.
"(L) COMPLIANCE WITH CHILD ABUSE REPORTING REQUIREMENTS.—The plan shall include a certification that child care providers within the State will comply with the child abuse reporting requirements of section 106(b)(2)(B)(i) of the Child Abuse Prevention and Treat-

ment Act (42 U.S.C. 5106a(b)(2)(B)(i)).

"(M) Meeting the needs of certain populations.— The plan shall describe how the State will develop and implement strategies (which may include alternative reimbursement rates to child care providers, the provision of direct contracts or grants to community-based organizations, offering child care certificates to parents, or other means determined by the State) to increase the supply and improve the quality of child care services for-

"(i) children in underserved areas;

"(ii) infants and toddlers:

"(iii) children with disabilities, as defined by the State; and

(iv) children who receive care during nontraditional hours.

"(N) Protection for working parents.—

"(i) MINIMUM PERIOD.-

"(I) 12-MONTH PERIOD.—The plan shall demonstrate that each child who receives assistance under this subchapter in the State will be considered to meet all eligibility requirements for such assistance and will receive such assistance, for not less than 12 months before the State or designated local entity redetermines the eligibility of

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the child under this subchapter, regardless of a temporary change in the ongoing status of the child's parent as working or attending a job training or educational program or a change in family income for the child's family, if that family income does not exceed 85 percent of the State median income for a family of the same size.

'(II) FLUCTUATIONS IN EARNINGS.—The plan shall demonstrate how the State's or designated local entity's processes for initial determination and redetermination of such eligibility take into account irregular fluctuations in earnings.

"(ii) REDETERMINATION PROCESS.—The plan shall Procedures. describe the procedures and policies that are in place to ensure that working parents (especially parents in families receiving assistance under the program of block grants to States for temporary assistance for needy families under part A of title IV of the Social Security Act (42 U.S.C. 601 et seq.)) are not required to unduly disrupt their employment in order to comply with the State's or designated local entity's requirements for redetermination of eligibility for assistance provided in accordance with this subchapter.

"(iii) PERIOD BEFORE TERMINATION.—At the option of the State, the plan shall demonstrate that the State will not terminate assistance provided to carry out this subchapter based on a factor consisting of a parent's loss of work or cessation of attendance at a job training or educational program for which the family was receiving the assistance, without continuing the assistance for a reasonable period of time, of not less than 3 months, after such loss or cessation in order for the parent to engage in a job search and resume work, or resume attendance at a job training or educational program, as soon as possible.

"(iv) GRADUATED PHASEOUT OF CARE.—The plan Procedures shall describe the policies and procedures that are in place to allow for provision of continued assistance to carry out this subchapter, at the beginning of a new eligibility period under clause (i)(I), for children of parents who are working or attending a job training or educational program and whose family income exceeds the State's income limit to initially qualify for such assistance, if the family income for the family involved does not exceed 85 percent of the State median income for a family of the same size.

"(O) COORDINATION WITH OTHER PROGRAMS.— '(i) IN GENERAL.—The plan shall describe how the State, in order to expand accessibility and continuity of care, and assist children enrolled in early childhood programs to receive full-day services, will efficiently, and to the extent practicable, coordinate the services supported to carry out this subchapter with programs operating at the Federal, State, and local levels for children in preschool programs, tribal early childhood programs, and other early childhood programs, including those serving infants and toddlers with

disabilities, homeless children, and children in foster care.

"(ii) OPTIONAL USE OF COMBINED FUNDS.—If the State elects to combine funding for the services supported to carry out this subchapter with funding for any program described in clause (i), the plan shall describe how the State will combine the multiple sets of funding and use the combined funding.

"(iii) RULE OF CONSTRUCTION.—Nothing in clause (i) shall be construed to affect the priority of children described in clause (i) to receive full-day prekindergarten or Hood Stort program covering

garten or Head Start program services.

"(P) PUBLIC-PRIVATE PARTNERSHIPS.—The plan shall demonstrate how the State encourages partnerships among State agencies, other public agencies, Indian tribes and tribal organizations, and private entities, including faith-based and community-based organizations, to leverage existing service delivery systems (as of the date of the submission of the application containing the plan) for child care and development services and to increase the supply and quality of child care services for children who are less than 13 years of age, such as by implementing vol-

untary shared services alliance models.

"(Q) PRIORITY FOR LOW-INCOME POPULATIONS.—The plan shall describe the process the State proposes to use, with respect to investments made to increase access to programs providing high-quality child care and development services, to give priority for those investments to children of families in areas that have significant concentrations of poverty and unemployment and that do not have such programs.

"(R) CONSULTATION.—The plan shall include a certification that the State has developed the plan in consultation with the State Advisory Council on Early Childhood Education and Care designated or established pursuant to section 642B(b)(1)(A)(i) of the Head Start Act (42 U.S.C. 9837b(b)(1)(A)(i)).

"(S) Payment practices.—The plan shall include—
"(i) a certification that the payment practices of child care providers in the State that serve children who receive assistance under this subchapter reflect generally accepted payment practices of child care providers in the State that serve children who do not receive assistance under this subchapter, so as to provide stability of funding and encourage more child care providers to serve children who receive assistance under this subchapter; and

"(ii) an assurance that the State will, to the extent practicable, implement enrollment and eligibility policies that support the fixed costs of providing child care services by delinking provider reimbursement rates from an eligible child's occasional absences due to holidays or unforseen circumstances such as illness. "(T) EARLY LEARNING AND DEVELOPMENTAL GUIDE-

"(i) IN GENERAL.—The plan shall include an assurance that the State will maintain or implement early

Certification.

Certification.

3.

learning and developmental guidelines (or develop such guidelines if the State does not have such guidelines as of the date of enactment of the Child Care and Development Block Grant Act of 2014) that are appropriate for children from birth to kindergarten entry, describing what such children should know and be able to do, and covering the essential domains of early childhood development for use statewide by child care providers. Such guidelines shall—

"(I) be research-based, developmentally appropriate, and aligned with entry to kindergarten;

"(II) be implemented in consultation with the state educational agency and the State Advisory Council on Early Childhood Education and Care (designated or established pursuant to section 642B(b)(I)(A)(i) of the Head Start Act (42 U.S.C. 9837b(b)(1)(A)(i)); and

"(III) be updated as determined by the State.

"(ii) PROHIBITION ON USE OF FUNDS.—The plan shall include an assurance that funds received by the State to carry out this subchapter will not be used to develop or implement an assessment for children that—

"(I) will be the sole basis for a child care provider being determined to be ineligible to participate in the program carried out under this subchapter;

"(IÎ) will be used as the primary or sole basis to provide a reward or sanction for an individual provider:

"(III) will be used as the primary or sole method for assessing program effectiveness; or

"(IV) will be used to deny children eligibility to participate in the program carried out under this subchapter.

"(iii) EXCEPTIONS.—Nothing in this subchapter shall preclude the State from using a single assessment as determined by the State for children for—

"(I) supporting learning or improving a classroom environment;

"(II) targeting professional development to a

provider;

"(III) determining the need for health, mental health, disability, developmental delay, or family support services;

"(IV) obtaining information for the quality improvement process at the State level; or

"(V) conducting a program evaluation for the purposes of providing program improvement and parent information.

\*(iv) NO FEDERAL CONTROL.—Nothing in this section shall be construed to authorize an officer or employee of the Federal Government to—

"(I) mandate, direct, control, or place conditions (outside of what is required by this subchapter) around adopting a State's early learning

and developmental guidelines developed in accord-

ance with this section;

"(II) establish any criterion that specifies, defines, prescribes, or places conditions (outside of what is required by this subchapter) on a State adopting standards or measures that a State uses to establish, implement, or improve such guidelines, related accountability systems, or alignment of such guidelines with education standards; or

"(III) require a State to submit such guidelines

for review.

"(U) DISASTER PREPAREDNESS.—

"(i) IN GENERAL.—The plan shall demonstrate the manner in which the State will address the needs of children in child care services provided through programs authorized under this subchapter, including the need for safe child care, for the period before, during, and after a state of emergency declared by the Governor or a major disaster or emergency (as such terms are defined in section 102 of the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42

U.S.C. 5122)).

(ii) Statewide child care disaster plan.—Such plan shall include a statewide child care disaster plan for coordination of activities and collaboration, in the event of an emergency or disaster described in clause (i), among the State agency with jurisdiction over human services, the agency with jurisdiction over State emergency planning, the State lead agency, the State agency with jurisdiction over licensing of child care providers, the local resource and referral organizations, the State resource and referral system, and the State Advisory Council on Early Childhood Education and Care as provided for under section 642B(b) of the Head Start Act (42 U.S.C. 9837b(b)).

"(iii) DISASTER PLAN COMPONENTS.—The components of the disaster plan, for such an emergency or

disaster, shall include-

"(I) evacuation, relocation, shelter-in-place, and lock-down procedures, and procedures for communication and reunification with families, continuity of operations, and accommodation of infants and toddlers, children with disabilities, and children with chronic medical conditions;

"(II) guidelines for the continuation of child care services in the period following the emergency or disaster, which may include the provision of emergency and temporary child care services, and temporary operating standards for child care pro-

viders during that period; and

"(III) procedures for staff and volunteer emergency preparedness training and practice drills. "(V) BUSINESS TECHNICAL ASSISTANCE.—The plan shall describe how the State will develop and implement strategies to strengthen the business practices of child care providers to expand the supply, and improve the quality of, child care services.";

(3) in paragraph (3)—

(A) in subparagraph (A), by striking "as required under" and inserting "in accordance with";

(B) in subparagraph (B)—

(i) by striking "The State" and inserting the following:

"(i) IN GENERAL.—The State";

(ii) by striking "and any other activity that the State deems appropriate to realize any of the goals specified in paragraphs (2) through (5) of section 658A(b)" and inserting "activities that improve access to child care services, including the use of procedures to permit enrollment (after an initial eligibility determination) of homeless children while required documentation is obtained, training and technical assistance on identifying and serving homeless children and their families, and specific outreach to homeless families, and any other activity that the State determines to be appropriate to meet the purposes of this subchapter (which may include an activity described in clause (ii))"; and

(iii) by adding at the end the following:

"(ii) Report by the assistant secretary for CHILDREN AND FAMILIES.-

"(I) IN GENERAL.—Not later than September 30 of the first full fiscal year after the date of enactment of the Child Care and Development Block Grant Act of 2014, and September 30 of each fiscal year thereafter, the Secretary (acting through the Assistant Secretary for Children and Families of the Department of Health and Human Services) shall prepare a report that contains a determination about whether each State uses amounts provided to such State for the fiscal year involved under this subchapter in accordance with the priority for services described in clause (i).

(II) PENALTY FOR NONCOMPLIANCE.—For any fiscal year that the report of the Secretary described in subclause (I) indicates that a State has failed to give priority for services in accordance with clause (i), the Secretary shall-

"(aa) inform the State that the State has Notification. until the date that is 6 months after the Secretary has issued such report to fully comply with clause (i);

'(bb) provide the State an opportunity to modify the State plan of such State, to make the plan consistent with the requirements of clause (i), and resubmit such State plan to the Secretary not later than the date described in item (aa); and

"(cc) if the State does not fully comply with clause (i) and item (bb), by the date described in item (aa), withhold 5 percent of the funds that would otherwise be allocated

Determination.

Determination.

to that State in accordance with this subchapter for the first full fiscal year after that date.

"(III)" WAIVER FOR EXTRAORDINARY CIR-CUMSTANCES.—Notwithstanding subclause (II) the Secretary may grant a waiver to a State for one year to the penalty applied in subclause (II) if the Secretary determines there are extraordinary circumstances, such as a natural disaster, that prevent the State from complying with clause (i). If the Secretary does grant a waiver to a State under this section, the Secretary shall, within 30 days of granting such waiver, submit a report to the appropriate congressional committees on the circumstances of the waiver including the stated reason from the State on the need for a waiver, the expected impact of the waiver on children served under this program, and any such other relevant information the Secretary deems necessary.

"(iii) CHILD CARE RESOURCE AND REFERRAL SYSTEM.—

"(I) IN GENERAL.—A State may use amounts described in clause (i) to establish or support a system of local or regional child care resource and referral organizations that is coordinated, to the extent determined appropriate by the State, by a statewide public or private nonprofit, community-based or regionally based, lead child care resource and referral organization.

"(II) LOCAL OR REGIONAL ORGANIZATIONS.—The local or regional child care resource and referral organizations supported as described in subclause (I) shall—

"(aa) provide parents in the State with consumer education information referred to in paragraph (2)(E) (except as otherwise provided in that paragraph), concerning the full range of child care options (including faith-based and community-based child care providers), analyzed by provider, including child care provided during nontraditional hours and through emergency child care centers, in their political subdivisions or regions;

"(bb) to the extent practicable, work directly with families who receive assistance under this subchapter to offer the families support and assistance, using information described in item (aa), to make an informed decision about which child care providers they will use, in an effort to ensure that the families are enrolling their children in the most appropriate child care setting to suit their needs and one that is of high quality (as determined by the State);

"(cc) collect data and provide information on the coordination of services and supports,

including services under section 619 and part C of the Individuals with Disabilities Education Act (20 U.S.C. 1431, et seq.), for children with disabilities (as defined in section

602 of such Act (20 U.S.C. 1401));

"(dd) collect data and provide information on the supply of and demand for child care services in political subdivisions or regions within the State and submit such information to the State;

(ee) work to establish partnerships with public agencies and private entities, including faith-based and community-based child care providers, to increase the supply and quality of child care services in the State: and

"(ff) as appropriate, coordinate their activities with the activities of the State lead agency and local agencies that administer funds made available in accordance with this

subchapter." (C) in subparagraph (D)-

(i) by striking "1997 through 2002)" and inserting "2015 through 2020"; and

(ii) by striking "other than families described in paragraph (2)(H)" and inserting "including or in addition to families with children described in clause (i), (ii), (iii), or (iv) of paragraph (2)(M)"; and

(D) by adding at the end the following:

(E) DIRECT SERVICES.—From amounts provided to a State for a fiscal year to carry out this subchapter, the State shall-

"(i) reserve the minimum amount required to be reserved under section 658G, and the funds for costs

described in subparagraph (C); and

"(ii) from the remainder, use not less than 70 percent to fund direct services (provided by the State) in accordance with paragraph (2)(A).";

(4) by striking paragraph (4) and inserting the following:

"(4) PAYMENT RATES.—

"(A) IN GENERAL.—The State plan shall certify that Certification. payment rates for the provision of child care services for which assistance is provided in accordance with this subchapter are sufficient to ensure equal access for eligible children to child care services that are comparable to child care services in the State or substate area involved that are provided to children whose parents are not eligible to receive assistance under this subchapter or to receive child care assistance under any other Federal or State program, and shall provide a summary of the facts relied on by the State to determine that such rates are sufficient to ensure such access.

"(B) SURVEY.—The State plan shall—

"(i) demonstrate that the State has, after consulting with the State Advisory Council on Early Childhood Education and Care designated or established in section 642B(b)(1)(A)(i) of the Head Start Act (42  $U.S.C.\ 9837b(b)(1)(A)(i)),\ local\ child\ care\ program$ 

Reports. Deadline. Web posting. administrators, local child care resource and referral agencies, and other appropriate entities, developed and conducted (not earlier than 2 years before the date of the submission of the application containing the State plan) a statistically valid and reliable survey of the market rates for child care services in the State (that reflects variations in the cost of child care services by geographic area, type of provider, and age of child) or an alternative methodology, such as a cost estimation model, that has been developed by the State lead agency;

"(ii) demonstrate that the State prepared a detailed report containing the results of the State market rates survey or alternative methodology conducted pursuant to clause (i), and made the results of the survey or alternative methodology widely available (not later than 30 days after the completion of such survey or alternative methodology) through periodic means,

including posting the results on the Internet;

"(iii) describe how the State will set payment rates for child care services, for which assistance is provided in accordance with this subchapter—

"(I) in accordance with the results of the market rates survey or alternative methodology

conducted pursuant to clause (i);

"(II) taking into consideration the cost of providing higher quality child care services than were provided under this subchapter before the date of enactment of the Child Care and Development Block Grant Act of 2014; and

"(III) without, to the extent practicable, reducing the number of families in the State receiving such assistance to carry out this subchapter, relative to the number of such families on the date of enactment of that Act; and

"(iv) describe how the State will provide for timely payment for child care services provided under this

subchapter.

"(C) CONSTRUCTION.—

"(i) NO PRIVATE RIGHT OF ACTION.—Nothing in this paragraph shall be construed to create a private right of action if the State acted in accordance with this

paragraph.

"(ii) NO PROHIBITION OF CERTAIN DIFFERENT RATES.—Nothing in this subchapter shall be construed to prevent a State from differentiating the payment rates described in subparagraph (B)(iii) on the basis of such factors as—

"(I) geographic location of child care providers

(such as location in an urban or rural area);

"(II) the age or particular needs of children (such as the needs of children with disabilities and children served by child protective services);

"(III) whether the providers provide child care services during weekend and other nontraditional hours; or "(IV) the State's determination that such differentiated payment rates may enable a parent to choose high-quality child care that best fits the parent's needs.": and

parent's needs."; and
(5) in paragraph (5), by inserting "(that is not a barrier to families receiving assistance under this subchapter)" after

"cost sharing".

(c) TECHNICAL AMENDMENT.—Section 658F(b)(2) of the Child Care and Development Block Grant Act of 1990 (42 U.S.C. 9858d(b)(2)) is amended by striking "section 658E(c)(2)(F)" and inserting "section 658E(c)(2)(I)".

# SEC. 6. ACTIVITIES TO IMPROVE THE QUALITY OF CHILD CARE.

Section 658G of the Child Care and Development Block Grant Act of 1990 (42 U.S.C. 9858e) is amended to read as follows:

## "SEC. 658G. ACTIVITIES TO IMPROVE THE QUALITY OF CHILD CARE.

"(a) Reservation.—

"(1) RESERVATION FOR ACTIVITIES RELATING TO THE QUALITY OF CHILD CARE SERVICES.—A State that receives funds to carry out this subchapter for a fiscal year referred to in paragraph (2) shall reserve and use a portion of such funds, in accordance with paragraph (2), for activities provided directly, or through grants or contracts with local child care resource and referral organizations or other appropriate entities, that are designed to improve the quality of child care services and increase parental options for, and access to, high-quality child care, and is in alignment with a Statewide assessment of the State's needs to carry out such services and care, provided in accordance with this subchapter.

"(2) Amount of reservations.—Such State shall reserve

and use-

"(A) to carry out the activities described in paragraph

(1), not less than—

"(i) 7 percent of the funds described in paragraph (1), for the first and second full fiscal years after the date of enactment of the Child Care and Development Block Grant Act of 2014;

"(ii) 8 percent of such funds for the third and fourth full fiscal years after the date of enactment;

and

"(iii) 9 percent of such funds for the fifth and each succeeding full fiscal year after the date of enact-

ment; and

"(B) in addition to the funds reserved under subparagraph (A), 3 percent of the funds described in paragraph (1) received not later than the second full fiscal year after the date of enactment and received for each succeeding full fiscal year, to carry out the activities described in paragraph (1) and subsection (b)(4), as such activities relate to the quality of care for infants and toddlers.

"(3) STATE RESERVATION AMOUNT.—Nothing in this subsection shall preclude the State from reserving a larger percentage of funds to carry out the activities described in paragraph

(1) and subsection (b).

"(b) ACTIVITIES.—Funds reserved under subsection (a) shall be used to carry out no fewer than one of the following activities

Deadline. Time period. that will improve the quality of child care services provided in the State:

"(1) Supporting the training and professional development of the child care workforce through activities such as those

included under section 658E(c)(2)(G), in addition to-

"(A) offering training and professional development opportunities for child care providers that relate to the use of scientifically-based, developmentally-appropriate and age-appropriate strategies to promote the social, emotional, physical, and cognitive development of children, including those related to nutrition and physical activity, and offering specialized training for child care providers caring for those populations prioritized in section 658E(c)(2)(Q), and children with disabilities;

"(B) incorporating the effective use of data to guide

program improvement;

"(C) including effective behavior management strategies and training, including positive behavior interventions and support models, that promote positive social and emotional development and reduce challenging behaviors, including reducing expulsions of preschool-aged children for such behaviors;

"(E) providing training and outreach on engaging parents and families in culturally and linguistically appropriate ways to expand their knowledge, skills, and capacity to become meaningful partners in supporting their chil-

dren's positive development;

"(F) providing training corresponding to the nutritional and physical activity needs of children to promote healthy development;

"(Ĝ) providing training or professional development for child care providers regarding the early neurological

development of children; and

"(H) connecting child care staff members of child care providers with available Federal and State financial aid, or other resources, that would assist child care staff mem-

bers in pursuing relevant postsecondary training.

"(2) Improving upon the development or implementation of the early learning and developmental guidelines described in section 658E(c)(2)(T) by providing technical assistance to eligible child care providers that enhances the cognitive, physical, social and emotional development, including early childhood development, of participating preschool and school-aged children and supports their overall well-being.

"(3) Developing, implementing, or enhancing a tiered quality rating system for child care providers and services,

which may-

"(A) support and assess the quality of child care providers in the State;

"(B) build on State licensing standards and other State regulatory standards for such providers; "(C) be designed to improve the quality of different

types of child care providers and services;

"(D) describe the safety of child care facilities;

"(E) build the capacity of State early childhood programs and communities to promote parents' and families'

understanding of the State's early childhood system and the ratings of the programs in which the child is enrolled;

"(F) provide, to the maximum extent practicable, financial incentives and other supports designed to expand the full diversity of child care options and help child care

providers improve the quality of services; and

"(G) accommodate a variety of distinctive approaches to early childhood education and care, including but not limited to, those practiced in faith-based settings, community-based settings, child-centered settings, or similar settings that offer a distinctive approach to early childhood development.

"(4) Improving the supply and quality of child care programs and services for infants and toddlers through activities.

which may include-

"(A) establishing or expanding high-quality community or neighborhood-based family and child development centers, which may serve as resources to child care providers in order to improve the quality of early childhood services provided to infants and toddlers from low-income families and to help eligible child care providers improve their capacity to offer high-quality, age-appropriate care to infants and toddlers from low-income families;

"(B) establishing or expanding the operation of community or neighborhood-based family child care networks;

"(C) promoting and expanding child care providers' ability to provide developmentally appropriate services for infants and toddlers through training and professional development; coaching and technical assistance on this age group's unique needs from statewide networks of qualified infant-toddler specialists; and improved coordination with early intervention specialists who provide services for infants and toddlers with disabilities under part C of the Individuals with Disabilities Education Act (20 U.S.C. 1431 et seq.):

"(D) if applicable, developing infant and toddler components within the State's quality rating system described in paragraph (3) for child care providers for infants and toddlers, or the development of infant and toddler components in a State's child care licensing regulations or early

learning and development guidelines;

"(E) improving the ability of parents to access transparent and easy to understand consumer information about

high-quality infant and toddler care; and

"(F) carrying out other activities determined by the State to improve the quality of infant and toddler care provided in the State, and for which there is evidence that the activities will lead to improved infant and toddler health and safety, infant and toddler cognitive and physical development, or infant and toddler well-being, including providing health and safety training (including training in safe sleep practices, first aid, and cardiopulmonary resuscitation) for providers and caregivers.

"(5) Establishing or expanding a statewide system of child

care resource and referral services.

Effective date. Deadline.

"(6) Facilitating compliance with State requirements for inspection, monitoring, training, and health and safety, and with State licensing standards.

(7) Evaluating and assessing the quality and effectiveness of child care programs and services offered in the State, including evaluating how such programs positively impact chil-

"(8) Supporting child care providers in the voluntary pursuit of accreditation by a national accrediting body with demonstrated, valid, and reliable program standards of high quality.

"(9) Supporting State or local efforts to develop or adopt high-quality program standards relating to health, mental health, nutrition, physical activity, and physical development.

"(10) Carrying out other activities determined by the State to improve the quality of child care services provided in the State, and for which measurement of outcomes relating to improved provider preparedness, child safety, child well-being, or entry to kindergarten is possible.

"(c) CERTIFICATION.—Beginning with fiscal year 2016, at the beginning of each fiscal year, the State shall annually submit to the Secretary a certification containing an assurance that the State was in compliance with subsection (a) during the preceding fiscal year and a description of how the State used funds received under this subchapter to comply with subsection (a) during that preceding fiscal year.

"(d) REPORTING REQUIREMENTS.—Each State receiving funds under this subchapter shall prepare and submit an annual report

to the Secretary, which shall include information about-

"(1) the amount of funds that are reserved under subsection (a);

"(2) the activities carried out under this section; and

"(3) the measures that the State will use to evaluate the State's progress in improving the quality of child care programs and services in the State.

(e) Technical Assistance.—The Secretary shall offer technical assistance, in accordance with section 658I(a)(3), which may include technical assistance through the use of grants or cooperative agreements, to States for the activities described in subsection (b) at the request of the State.

"(f) CONSTRUCTION.—Nothing in this section shall be construed as providing the Secretary the authority to regulate, direct, dictate, or place conditions (outside of what is required by this subchapter) on a State adopting specific State child care quality activities or progress in implementing those activities.".

#### SEC. 7. CRIMINAL BACKGROUND CHECKS.

The Child Care and Development Block Grant Act of 1990 (42 U.S.C. 9858 et seq.) is amended by inserting after section 658G the following:

42 USC 9858f.

#### "SEC. 658H. CRIMINAL BACKGROUND CHECKS.

"(a) IN GENERAL.—A State that receives funds to carry out this subchapter shall have in effect—

Procedures.

"(1) requirements, policies, and procedures to require and conduct criminal background checks for child care staff members (including prospective child care staff members) of child care providers described in subsection (c)(1); and

"(2) licensing, regulation, and registration requirements, as applicable, that prohibit the employment of child care staff members as described in subsection (c).

"(b) REQUIREMENTS.—A criminal background check for a child

care staff member under subsection (a) shall include—

"(1) a search of the State criminal and sex offender registry or repository in the State where the child care staff member resides, and each State where such staff member resided during

the preceding 5 years;

"(2) a search of State-based child abuse and neglect registries and databases in the State where the child care staff member resides, and each State where such staff member resided during the preceding 5 years;

"(3) a search of the National Crime Information Center; "(4) a Federal Bureau of Investigation fingerprint check using the Integrated Automated Fingerprint Identification

System; and

"(5) a search of the National Sex Offender Registry established under the Adam Walsh Child Protection and Safety Act of 2006 (42 U.S.C. 16901 et seq.).

"(c) Prohibitions.—

"(1) CHILD CARE STAFF MEMBERS.—A child care staff member shall be ineligible for employment by a child care provider that is receiving assistance under this subchapter if such individual—

"(A) refuses to consent to the criminal background

check described in subsection (b);

"(B) knowingly makes a materially false statement in

connection with such criminal background check;

"(C) is registered, or is required to be registered, on a State sex offender registry or repository or the National Sex Offender Registry established under the Adam Walsh Child Protection and Safety Act of 2006 (42 U.S.C. 16901 et seq.); or

"(D) has been convicted of a felony consisting of—
"(i) murder, as described in section 1111 of title

18, United States Code;

"(ii) child abuse or neglect;

"(iii) a crime against children, including child pornography;

"(iv) spousal abuse;

"(v) a crime involving rape or sexual assault;

"(vi) kidnapping;

"(vii) arson;

"(viii) physical assault or battery; or

"(ix) subject to subsection (e)(4), a drug-related offense committed during the preceding 5 years; or "(E) has been convicted of a violent misdemeanor committed as an adult against a child, including the following crimes: child abuse, child endangerment, sexual assault, or of a misdemeanor involving child pornography.

"(2) CHILD CARE PROVIDERS.—A child care provider described in subsection (i)(1) shall be ineligible for assistance provided in accordance with this subchapter if the provider employs a staff member who is ineligible for employment under

paragraph (1).

(d) Submission of Requests for Background Checks.—

"(1) IN GENERAL.—A child care provider covered by subsection (c) shall submit a request, to the appropriate State agency designated by a State, for a criminal background check described in subsection (b), for each child care staff member (including prospective child care staff members) of the provider.

"(2) STAFF MEMBERS.—Subject to paragraph (4), in the case of an individual who became a child care staff member before the date of enactment of the Child Care and Development Block Grant Act of 2014, the provider shall submit such a

"(A) prior to the last day described in subsection (j)(1);

"(B) not less often than once during each 5-year period following the first submission date under this paragraph for that staff member.

"(3) Prospective staff members.—Subject to paragraph (4), in the case of an individual who is a prospective child care staff member on or after that date of enactment, the provider shall submit such a request-

"(A) prior to the date the individual becomes a child

care staff member of the provider; and

"(B) not less than once during each 5-year period following the first submission date under this paragraph for that staff member.

"(4) BACKGROUND CHECK FOR ANOTHER CHILD CARE PRO-VIDER.—A child care provider shall not be required to submit a request under paragraph (2) or (3) for a child care staff member if-

"(A) the staff member received a background check described in subsection (b)-

"(i) within 5 years before the latest date on which

such a submission may be made; and
"(ii) while employed by or seeking employment by another child care provider within the State;

"(B) the State provided to the first provider a qualifying background check result, consistent with this subchapter, for the staff member; and

"(C) the staff member is employed by a child care provider within the State, or has been separated from employment from a child care provider within the State for a period of not more than 180 consecutive days.

"(e) Background Check Results and Appeals

Time period.

"(1) BACKGROUND CHECK RESULTS.—The State shall carry out the request of a child care provider for a criminal background check as expeditiously as possible, but not to exceed 45 days after the date on which such request was submitted, and shall provide the results of the criminal background check to such provider and to the current or prospective staff member. "(2) Privacy.—

"(A) IN GENERAL.—The State shall provide the results of the criminal background check to the provider in a statement that indicates whether a child care staff member (including a prospective child care staff member) is eligible or ineligible for employment described in subsection (c), without revealing any disqualifying crime or other related information regarding the individual.

Time period.

Time period.

Time period.

Time period.

"(B) INELIGIBLE STAFF MEMBER.—If the child care staff member is ineligible for such employment due to the background check, the State will, when providing the results of the background check, include information related to each disqualifying crime, in a report to the staff member or prospective staff member.

"(C) Public release of results.—No State shall publicly release or share the results of individual background checks, except States may release aggregated data by crime as listed under subsection (c)(1)(D) from background check results, as long as such data is not personally identifiable information.

"(3) Appeals.—

"(A) IN GENERAL.—The State shall provide for a process by which a child care staff member (including a prospective child care staff member) may appeal the results of a criminal background check conducted under this section to challenge the accuracy or completeness of the information contained in such member's criminal background report.

"(B) APPEALS PROCESS.—The State shall ensure that—
"(i) each child care staff member shall be given

notice of the opportunity to appeal;

"(ii) a child care staff member will receive instructions about how to complete the appeals process if the child care staff member wishes to challenge the accuracy or completeness of the information contained in such member's criminal background report; and

"(iii) the appeals process is completed in a timely

manner for each child care staff member.

"(4) REVIEW.—The State may allow for a review process through which the State may determine that a child care staff member (including a prospective child care staff member) disqualified for a crime specified in subsection (c)(1)(D)(ix) is eligible for employment described in subsection (c)(1), notwith-standing subsection (c). The review process shall be consistent with title VII of the Civil Rights Act of 1964 (42 U.S.C. 2000e et seq.).

"(5) NO PRIVATE RIGHT OF ACTION.—Nothing in this section shall be construed to create a private right of action if a

provider has acted in accordance with this section.

"(f) FEES FOR BACKGROUND CHECKS.—Fees that a State may charge for the costs of processing applications and administering a criminal background check as required by this section shall not exceed the actual costs to the State for the processing and administration.

"(g) Transparency.—The State must ensure that the policies and procedures under section 658H are published on the Web site (or otherwise publicly available venue in the absence of a Web site) of the State and the Web sites of local lead agencies. "(h) Construction.—

"(1) DISQUALIFICATION FOR OTHER CRIMES.—Nothing in this section shall be construed to prevent a State from disqualifying individuals as child care staff members based on their conviction for crimes not specifically listed in this section that bear upon the fitness of an individual to provide care for and have

responsibility for the safety and well-being of children.

Web posting. Public information.

"(2) RIGHTS AND REMEDIES.—Nothing in this section shall be construed to alter or otherwise affect the rights and remedies provided for child care staff members residing in a State that disqualifies individuals as child care staff members for crimes not specifically provided for under this section.

"(i) Definitions.—In this section—

"(1) the term 'child care provider' means a center-based child care provider, a family child care provider, or another provider of child care services for compensation and on a regular basis that-

"(A) is not an individual who is related to all children

for whom child care services are provided; and

"(B) is licensed, regulated, or registered under State law or receives assistance provided under this subchapter;

"(2) the term 'child care staff member' means an individual (other than an individual who is related to all children for whom child care services are provided)-

"(A) who is employed by a child care provider for

compensation; or

(B) whose activities involve the care or supervision of children for a child care provider or unsupervised access to children who are cared for or supervised by a child care provider.

"(j) Effective Date.—

"(1) IN GENERAL.—A State that receives funds under this subchapter shall meet the requirements of this section for the provision of criminal background checks for child care staff members described in subsection (d)(1) not later than the last day of the second full fiscal year after the date of enactment of the Child Care and Development Block Grant Act of 2014.

"(2) EXTENSION.—The Secretary may grant a State an extension of time, of not more than 1 fiscal year, to meet the requirements of this section if the State demonstrates a good faith effort to comply with the requirements of this section.

"(3) PENALTY FOR NONCOMPLIANCE.—Except as provided in paragraphs (1) and (2), for any fiscal year that a State fails to comply substantially with the requirements of this section, the Secretary shall withhold 5 percent of the funds that would otherwise be allocated to that State in accordance with this subchapter for the following fiscal year.".

## SEC. 8. REPORTS AND INFORMATION.

(a) Administration.—Section 658I(a) of the Child Care and Development Block Grant Act of 1990 (42 U.S.C. 9858g(a)) is amended-

(1) in paragraph (2)—

(A) by inserting a comma after "publish"; and(B) by striking "and" at the end;(2) by striking paragraph (3) and inserting the following: "(3) provide technical assistance, such as business technical assistance, as described in section 658E(c)(2)(V), to States (which may include providing assistance on a reimbursable basis) which shall be provided by qualified experts on practices grounded in scientifically valid research, where appropriate, to carry out this subchapter;"; and

(3) by adding at the end the following:

Deadline. Time period.

"(4) disseminate, for voluntary informational purposes, information on practices that scientifically valid research indicates are most successful in improving the quality of programs that receive assistance with this subchapter; and

"(5) after consultation with the heads of any other Federal agencies involved, issue guidance and disseminate information on best practices regarding the use of funding combined by States as described in section 658E(c)(2)(O)(ii), consistent with laws other than this subchapter.".

(b) REQUEST FOR RELIEF.—Section 658I of the Child Care and Development Block Grant Act of 1990 (42 U.S.C. 9858g), as amended by subsection (a), is further amended by adding at the end of the following:

"(c) REQUEST FOR RELIEF.—

"(1) IN GENERAL.—The Secretary may waive for a period of not more than three years any provision under this subchapter or sanctions imposed upon a State in accordance with subsection (b)(2) upon the State's request for such a waiver if the Secretary finds that-

"(A) the request describes one or more conflicting or duplicative requirements preventing the effective delivery of child care services to justify a waiver, extraordinary circumstances, such as natural disaster or financial crisis, or an extended period of time for a State legislature to enact legislation to implement the provisions of this sub-

"(B) such circumstances included in the request prevent the State from complying with any statutory or regulatory requirements of this subchapter;

"(C) the waiver will, by itself, contribute to or enhance the State's ability to carry out the purposes of this subchapter; and,

"(D) the waiver will not contribute to inconsistency with the objectives of this law.

"(2) CONTENTS.—Such request shall be provided to the Secretary in writing and will—
"(A) detail each sanction or provision within this sub-

chapter that the State seeks relief from;

"(B) describe how a waiver from that sanction or provision of this subchapter will, by itself, improve delivery of child care services for children in the State; and

"(C) certify that the health, safety, and well-being of Certification. children served through assistance received under this sub-

chapter will not be compromised as a result of the waiver. "(3) APPROVAL.—Within 90 days after the receipt of a State's request under this subsection, the Secretary shall inform the State of approval or disapproval of the request. If the plan is disapproved, the Secretary shall, at this time, inform the State, the Committee on Education and the Workforce of the House of Representatives, and the Committee on Health, Education, Labor, and Pensions of the Senate of the reasons for the disapproval and give the State the opportunity to amend the request. In the case of approval, the Secretary shall, within 30 days of granting such waiver, notify and submit a report to the Committee on Education and the Workforce of the House of Representatives and the Committee on Health, Education. Labor, and Pensions of the Senate on the circumstances of

Waiver authority. Time period.

Deadlines. Notifications.

Reports.

the waiver including each specific sanction or provision waived. the reason as given by the State of the need for a waiver, and the expected impact of the waiver on children served under this program.

(4) EXTERNAL CONDITIONS.—The Secretary shall not require or impose any new or additional requirements in exchange for receipt of a waiver if such requirements are not specified in this subchapter.

"(5) DURATION.—The Secretary may approve a request under this subsection for a period not to exceed three years, unless a renewal is granted under paragraph (7).

(6) TERMINATION.—The Secretary shall terminate approval of a request for a waiver authorized under this subsection if the Secretary determines, after notice and opportunity for a hearing, that the performance of a State granted relief under this subsection has been inadequate, or if such relief is no longer necessary to achieve its original purposes.

"(7) RENEWAL.—The Secretary may approve or disapprove a request from a State for renewal of an existing waiver under this subchapter for a period no longer than one year. A State seeking to renew their waiver approval must inform the Secretary of this intent no later than 30 days prior to the expiration date of the waiver. The State shall re-certify in its extension request the provisions in paragraph (2) of this subchapter, and shall also explain the need for additional time of relief from such sanction(s) or provisions approved under this law as provided in this subchapter.

"(8) RESTRICTIONS.—Nothing in this subchapter shall be construed as providing the Secretary the authority to permit States to alter the eligibility requirements for eligible children, including work requirements, job training, or educational program participation, that apply to the parents of eligible children under this subchapter. Nothing in this subsection shall be construed to allow the Secretary to waive anything related

to his or her authority under this subchapter.".

(c) REPORTS.—Section 658K(a) of the Child Care and Development Block Grant Act of 1990 (42 U.S.C. 9858i(a)) is amended— (1) in paragraph (1)(B)-

A) in clause (ix), by striking "and" at the end;

(B) in clause (x), by striking the semicolon at the end and inserting "; and"; and
(C) by adding at the end the following:

"(xi) whether the children receiving assistance under this subchapter are homeless children;"; and (2) in paragraph (2)-

(A) in the matter preceding subparagraph (A), by striking "December 31, 1997" and all that follows through "thereafter", and inserting "1 year after the date of the enactment of the Child Care and Development Block Grant Act of 2014, and annually thereafter,"

(B) in subparagraph (A), by striking "section 658P(5)" and inserting "section 658P(6)";

(C) in subparagraph (E) by striking the period at the end and inserting "; and"; and (D) by adding at the end the following:

"(F) the number of child fatalities occurring among children while in the care and facility of child care providers

Determination.

Time period.

Notification. Deadline.

Certification.

receiving assistance under this subchapter, listed by type of child care provider and indicating whether the providers (excluding child care providers described in section 658P(6)(B)) are licensed or license-exempt."

(d) REPORT BY SECRETARY.—Section 658L of the Child Care and Development Block Grant Act of 1990 (42 U.S.C. 9858j) is

amended-

(1) by striking the section heading and inserting the following:

# "SEC. 658L. REPORTS, HOTLINE, AND WEB SITE.";

(2) by striking "Not later" and inserting the following: "(a) REPORT BY SECRETARY.—Not later";

(3) by striking "1998" and inserting "2016";

(4) by striking "to the Committee" and all that follows through "of the Senate" and inserting "to the Committee on Education and the Workforce of the House of Representatives and the Committee on Health, Education, Labor, and Pensions of the Senate";

(5) by inserting after "States." the following:

"Such report shall contain a determination around whether each State that uses amounts provided under this subchapter has complied with the priority for services described in sections 658E(c)(2)(Q) and 658E(c)(3)(B)."; and

(6) by adding at the end the following:

"(b) National Toll-Free Hotline and Web Site.—

"(1) IN GENERAL.—The Secretary shall operate, directly or through the use of grants or contracts, a national toll-free

hotline and Web site, to-

"(A) develop and disseminate publicly available child care consumer education information for parents and help parents access safe and quality child care services in their community, with a range of price options, that best suits their family's needs; and

"(B) to allow persons to report (anonymously if desired) suspected child abuse or neglect, or violations of health and safety requirements, by an eligible child care provider that receives assistance under this subchapter or a member

of the provider's staff.

"(2) REQUIREMENTS.—The Secretary shall ensure that the

hotline and Web site meet the following requirements:

"(A) REFERRAL TO LOCAL CHILD CARE PROVIDERS.—The Web site shall be hosted by 'childcare.gov'. The Web site shall enable a child care consumer to enter a zip code and obtain a referral to local child care providers described in subparagraph (B) within a specified search radius.

"(B) INFORMATION.—The Web site shall provide to consumers, directly or through linkages to State databases,

"(i) a localized list of all eligible child care providers, differentiating between licensed and license-

exempt providers;

"(ii) any provider-specific information from a Quality Rating and Improvement System or information about other quality indicators, to the extent the information is publicly available and to the extent practicable:

Public information. "(iii) any other provider-specific information about compliance with licensing, and health and safety requirements to the extent the information is publicly available and to the extent practicable;

"(iv) referrals to local resource and referral organizations from which consumers can find more

information about child care providers; and

"(v) State information about child care subsidy programs and other financial supports available to families.

"(C) NATIONWIDE CAPACITY.—The Web site and hotline shall have the capacity to help families in every State and community in the Nation.

"(D) Information at all hours.—The Web site shall provide, to parents and families, access to information

about child care services 24 hours a day.

"(E) SERVICES IN DIFFERENT LANGUAGES.—The Web site and hotline shall ensure the widest possible access to services for families who speak languages other than English.

"(F) HIGH-QUALITY CONSUMER EDUCATION AND REFERRAL.—The Web site and hotline shall ensure that families have access to easy-to-understand child care con-

sumer education and referral services.

"(3) Prohibition.—Nothing in this subsection shall be construed to allow the Secretary to compel States to provide additional data and information that is currently (as of the date of enactment of the Child Care and Development Block Grant Act of 2014) not publicly available, or is not required by this subchapter, unless such additional data are related to the purposes and scope of this subchapter, and are subject to a notice and comment period of no less than 90 days.".

(e) PROTECTION OF INFORMATION.—Section 658K(a)(1) of the Child Care and Development Block Grant Act of 1990 (42 U.S.C.

9858i(a)(1)) is amended by adding at the end the following:

"(E) PROHIBITION.—Reports submitted to the Secretary under subparagraph (C) shall not contain personally identifiable information.".

#### SEC. 9. RESERVATION FOR TOLL-FREE HOTLINE AND WEB SITE; PAY-MENTS TO BENEFIT INDIAN CHILDREN; TECHNICAL ASSIST-ANCE AND EVALUATION.

Section 658O of the Child Care and Development Block Grant Act of 1990 (42 U.S.C. 9858m) is amended—

(1) in subsection (a)—

(A) in paragraph (2)—

(i) by striking "The Secretary" and inserting the following:

"(A) IN GENERAL.—The Secretary";

(ii) by striking "1 percent, and not more than 2 percent," and inserting "2 percent"; and (iii) by adding at the end the following:

"(B) LIMITATIONS.—Notwithstanding subparagraph (A), the Secretary shall only reserve an amount that is greater than 2 percent of the amount appropriated under section 658B, for payments described in subparagraph (A), for a fiscal year (referred to in this subparagraph as the 'res-

ervation year') if —

Time period.

"(i) the amount appropriated under section 658B for the reservation year is greater than the amount appropriated under section 658B for fiscal year 2014; and

"(ii) the Secretary ensures that the amount allotted to States under subsection (b) for the reservation year is not less than the amount allotted to States under subsection (b) for fiscal year 2014."; and

(B) by adding at the end the following:

"(3) NATIONAL TOLL-FREE HOTLINE AND WEB SITE.—The Secretary shall reserve up to \$1,500,000 of the amount appropriated under this subchapter for each fiscal year for the operation of a national toll-free hotline and Web site, under section 658L(b).

"(4) TECHNICAL ASSISTANCE.—The Secretary shall reserve up to ½ of 1 percent of the amount appropriated under this subchapter for each fiscal year to support technical assistance and dissemination activities under paragraphs (3) and (4) of section 658I(a).

"(5) RESEARCH, DEMONSTRATION, AND EVALUATION.—The Secretary may reserve ½ of 1 percent of the amount appropriated under this subchapter for each fiscal year to conduct research and demonstration activities, as well as periodic external, independent evaluations of the impact of the program described by this subchapter on increasing access to child care services and improving the safety and quality of child care services, using scientifically valid research methodologies, and to disseminate the key findings of those evaluations widely and on a timely basis.": and

(2) in subsection (c)—

(A) in paragraph (2), by adding at the end the following: "(D) LICENSING AND STANDARDS.—In lieu of any licensing and regulatory requirements applicable under State or local law, the Secretary, in consultation with Indian tribes and tribal organizations, shall develop minimum child care standards that shall be applicable to Indian tribes and tribal organizations receiving assistance under this subchapter. Such standards shall appropriately reflect Indian tribe and tribal organization needs and available resources, and shall include standards requiring a publicly available application, health and safety standards, and standards requiring a reservation of funds for activities to improve the quality of child care services provided to Indian children."; and

(B) in paragraph (6), by striking subparagraph (C) and inserting the following:

"(C) LIMITATION.—

"(i) IN GENERAL.—Except as provided in clause (ii), the Secretary may not permit an Indian tribe or tribal organization to use amounts provided under this subsection for construction or renovation if the use will result in a decrease in the level of child care services provided by the Indian tribe or tribal organization as compared to the level of child care services provided by the Indian tribe or tribal organization in the fiscal year preceding the year for which the determination under subparagraph (B) is being made.

Consultation.

Determination.

Plan.

"(ii) WAIVER.—The Secretary shall waive the limitation described in clause (i) if-

"(I) the Secretary determines that the decrease in the level of child care services provided by the Indian tribe or tribal organization is temporary:

"(II) the Indian tribe or tribal organization submits to the Secretary a plan that demonstrates that after the date on which the construction or renovation is completed-

"(aa) the level of child care services will

increase; or

"(bb) the quality of child care services will improve.".

#### SEC. 10. DEFINITIONS.

Section 658P of the Child Care and Development Block Grant Act of 1990 (42 U.S.C. 9858n) is amended-

(1) by striking paragraph (4) and inserting the following: "(3) CHILD WITH A DISABILITY.—The term 'child with a disability' means-

"(A) a child with a disability, as defined in section 602 of the Individuals with Disabilities Education Act (20 U.S.C. 1401);

"(B) a child who is eligible for early intervention services under part C of the Individuals with Disabilities Education Act (20 U.S.C. 1431 et seq.);

"(C) a child who is less than 13 years of age and who is eligible for services under section 504 of the Rehabilitation Act of 1973 (29 U.S.C. 794); and

"(D) a child with a disability, as defined by the State

involved.

"(4) ELIGIBLE CHILD.—The term 'eligible child' means an individual-

"(A) who is less than 13 years of age;

"(B) whose family income does not exceed 85 percent of the State median income for a family of the same size, and whose family assets do not exceed \$1,000,000 (as certified by a member of such family); and

"(C) who-

"(i) resides with a parent or parents who are working or attending a job training or educational program; or

"(ii) is receiving, or needs to receive, protective services and resides with a parent or parents not described in clause (i).";

(2) by redesignating paragraphs (5) through (9) as paragraphs (6) through (10), respectively;

(3) by inserting after paragraph (4), the following:

"(5) ENGLISH LEARNER.—The term 'English learner' means an individual who is limited English proficient, as defined in section 9101 of the Elementary and Secondary Education Act of 1965 (20 U.S.C. 7801) or section 637 of the Head Start Act (42 U.S.C. 9832).

(4) in paragraph (6)(A), as redesignated by paragraph (2)-(A) in clause (i), by striking "section 658E(c)(2)(E)" and inserting "section 658E(c)(2)(F)"; and

(B) in clause (ii), by striking "section 658E(c)(2)(F)" and inserting "section 658E(c)(2)(I)"

(5) in paragraph (9), as redesignated by paragraph (2), by striking "designated" and all that follows and inserting "designated or established under section 658D(a).";

(6) in paragraph (10), as redesignated by paragraph (2), by inserting ", foster parent," after "guardian";

(7) by redesignating paragraphs (11) through (14) as paragraphs (12) through (15), respectively; and

(8) by inserting after paragraph (10), as redesignated by

paragraph (2), the following:

"(11) Scientifically valid research.—The term 'scientifically valid research' includes applied research, basic research, and field-initiated research, for which the rationale, design, and interpretation are soundly developed in accordance with principles of scientific research.".

#### SEC. 11. PARENTAL RIGHTS AND RESPONSIBILITIES.

Section 658Q of the Child Care and Development Block Grant Act of 1990 (42 U.S.C. 98580) is amended-

(1) by inserting before "Nothing" the following:

"(a) IN GENERAL.—"; and

(2) by adding at the end the following:

"(b) PARENTAL RIGHTS TO USE CHILD CARE CERTIFICATES.— Nothing in this subchapter shall be construed in a manner—

"(1) to favor or promote the use of grants and contracts for the receipt of child care services under this subchapter

over the use of child care certificates; or

"(2) to disfavor or discourage the use of such certificates for the purchase of child care services, including those services provided by private or nonprofit entities, such as faith-based providers.".

### SEC. 12. STUDIES ON WAITING LISTS.

42 USC 9858r

- (a) STUDY.—The Comptroller General of the United States shall conduct studies to determine, for each State, the number of families that-
  - (1) are eligible to receive assistance under the Child Care and Development Block Grant Act of 1990 (42 U.S.C. 9858
  - (2) have applied for the assistance, identified by the type of assistance requested; and
- (3) have been placed on a waiting list for the assistance. (b) Report.—The Comptroller General shall prepare a report containing the results of each study and shall submit the report

to the Committee on Health, Education, Labor and Pensions of the Senate, and the Committee on Education and the Workforce of the House of Representatives-

(1) not later than 2 years after the date of enactment of this Act; and

(2) every 2 years thereafter.

(c) DEFINITION.—In this section, the term "State" has the meaning given the term in section 658P of the Child Care and Development Block Grant Act of 1990 (42 U.S.C. 9858n).

# SEC. 13. REVIEW OF FEDERAL EARLY LEARNING AND CARE PRO-

(a) IN GENERAL.—The Secretary of Health and Human Services, in conjunction with the Secretary of Education, shall conduct an interdepartmental review of all early learning and care programs for children less than 6 years of age in order to-

(1) develop a plan for the elimination of overlapping programs, as identified by the Government Accountability Office's 2012 annual report (GAO-12-342SP); and

(2) make recommendations to Congress for streamlining

all such programs.

(b) REPORT.—Not later than 1 year after the date of enactment of this Act, the Secretary of Health and Human Services, in consultation with the Secretary of Education and the heads of all Federal agencies that administer Federal early learning and care programs, shall submit to the Committee on Health, Education, Labor, and Pensions of the Senate and the Committee on Education and the Workforce of the House of Representatives, a detailed report that outlines the efficiencies that can be achieved by, as well as specific recommendations for, eliminating overlap and fragmentation among all Federal early learning and care programs.

Approved November 19, 2014.

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Nov. 19, Presidential remarks.

Plan.

Recommendations.

Consultation.