

## DEPARTMENT OF HUMAN SERVICES, DIVISION OF PROVIDER SERVICES & QUALITY ASSURANCE

**SUBJECT:** Behavioral Health Agency Certification Manual Update Pursuant to Act 760

## **DESCRIPTION:**

## Statement of Necessity

This rule implements the requirements of Act 760. Act 760 allows outpatient behavioral health agencies to co-locate with other facility types.

## Rule Summary

The Division of Provider Services and Quality Assurance updates the Behavioral Health Agency Certification Manual by amending the definition of "site" to include adjunct and collocated sites such as schools, a daycare facility, a long-term care facility, or the office or clinic of a physician or psychologist. DPSQA also updates website information with hyperlinks to the appropriate webpage.

<u>PUBLIC COMMENT</u>: No public hearing was held on this proposed rule. The public comment period expired on April 9, 2022. The agency indicated that it received no public comments.

The proposed effective date is June 1, 2022.

**FINANCIAL IMPACT:** The agency indicated that this rule has no financial impact.

**LEGAL AUTHORIZATION:** The Department of Human Services has the responsibility to administer assigned forms of public assistance and is specifically authorized to maintain an indigent medical care program (Arkansas Medicaid). *See* Ark. Code Ann. §§ 20-76-201(1), 20-77-107(a)(1). The Department has the authority to make rules that are necessary or desirable to carry out its public assistance duties. Ark. Code Ann. § 20-76-201(12). The Department and its divisions also have the authority to promulgate rules as necessary to conform their programs to federal law and receive federal funding. Ark. Code Ann. § 25-10-129(b).

This rule implements Act 760 of 2021. The Act, sponsored by Representative Lee Johnson, authorized colocation for outpatient behavioral health agencies.

# QUESTIONNAIRE FOR FILING PROPOSED RULES WITH THE ARKANSAS LEGISLATIVE COUNCIL

DE	PARTMENT/AGENCY Department of Human Services
DIA	ISION Division of Provider Services and Quality Assurance
DIA	VISION DIRECTOR Martina Smith, J.D.
	NTACT PERSON Mac Golden
DU	DRESS PO Box 1437, Slot S295, Little Rock, AR 72203-1437
NAI	ONE NO. (501) 320.6383 FAX NO. (501) 404.4619 E-MAIL Mac.E.Golden@dhs.arkansas.gov
PRI	ME OF PRESENTER AT COMMITTEE MEETING Martina Smith, J.D. ESENTER E-MAIL Martina.Smith@dhs.arkansas.gov
	Martina.Smith@dris.arkansas.gov
	INSTRUCTIONS
A. B.	Please make copies of this form for future use. Please answer each question <u>completely</u> using layman terms. You may use additional sheets, if necessary.
C.	If you have a method of indexing your rules, please give the proposed citation after "Short Title of this Rule" below.
D.	Submit two (2) copies of this questionnaire and financial impact statement attached to the front of two (2) copies of the proposed rule and required documents. Mail or deliver to:
	Rebecca Miller-Rice
	Administrative Rules Review Section
	Arkansas Legislative Council Bureau
	of Legislative Research One Capitol
	Mall, 5 <sup>th</sup> Floor
	Little Rock, AR 72201
****	************************
1.	Behavioral Health Agency Certification Manual Undate pursuant to
1.	What is the short title of this rule? Act 760
2.	What is the subject of the proposed rule? See attached.
3.	Is this rule required to comply with a federal statute, rule, or regulation? YesNoX
	If yes, please provide the federal rule, regulation, and/or statute citation.
1.	Was this rule filed under the emergency provisions of the Administrative Procedure Act?  Yes No X
	If yes, what is the effective date of the emergency rule? N/A
	When does the emergency rule expire? N/A
	Will this emergency rule be promulgated under the permanent provisions of the Administrative Procedure Act? YesNoX

5.	Is this a new rule? Yes NoX If yes, please provide a brief summary explaining the rule
	Does this repeal an existing rule? Yes No X If yes, a copy of the repealed rule is to be included with your completed questionnaire. If it is being replaced with a new rule, please provide a summary of the rule giving an explanation of what the rule does.
	Is this an amendment to an existing rule? YesNo_X If yes, please attach a mark-up showing the changes in the existing rule and a summary of the substantive changes. Note: The summary should explain what the amendment does, and the mark-up copy should be clearly labeled "mark-up."
	See attached
6.	Cite the state law that grants the authority for this proposed rule? If codified, please give the Arkansas Code citation.
	Arkansas Code §§ 20-10-203, 20-10-705, 20-76-201, 20-77-107, and 25-10-129; Act 760 of the 93rd General Assembly of 2021.
7.	What is the purpose of this proposed rule? Why is it necessary?
	See attached.
8.	Please provide the address where this rule is publicly accessible in electronic form via the Internet as required by Arkansas Code § 25-19-108(b).
	https://humanservices.arkansas.gov/do-business-with-dhs/proposed-rules/
9.	Will a public hearing be held on this proposed rule? Yes NoX
	Date: N/A
	Time:
	Place:
10.	When does the public comment period expire for permanent promulgation? (Must provide a date.) April 9, 2022
	a para en applicamenta de que la cualdimente de qualtir en el certado agual de la 100 de 100
11.	What is the proposed effective date of this proposed rule? (Must provide a date.)  June 1, 2022
12.	Please provide a copy of the notice required under Ark. Code Ann. § 25-15-204(a), and proof of the publication of said notice. <u>See attached.</u>
13.	Please provide proof of filing the rule with the Secretary of State as required pursuant to Ark. Code Ann. § 25-15-204(e). See attached.

lease give the nam	nes of persons, groups, or or	rganizations tha	t you expect to comm	nent on these
iles: Tlease provi	de their position (for or again	inst) ii known.	1000	
			774 PATU	
<u>Jnknown.</u>				
	To environ the property of the second of the		Life Code from \$ 25- tive replay with the	, athwidge A factories
	Tragni laisansi, s a red t			
				ASA .
saline or soline	se instance of public heats.			
	Inknown.	Inknown.	Inknown.	Equation on Human Services and Quarto state arrest most formated of a Provider Services and Quarto state arrest most in the provider Services and Quarto state arrest services of the Services of Services of the Services of Serv

## FINANCIAL IMPACT STATEMENT

## PLEASE ANSWER ALL QUESTIONS COMPLETELY

	SION Division of Provider Services and C					
PERS	SION <u>Division of Provider Services and C</u> SON COMPLETING THIS STATEMENT	Quality Assurance/Snared Services				
TEL	EPHONE NO. (501) 683-6411 FAX NO.	EMAIL: Rhonda.E.Williams@dhs.arka				
To co State	omply with Ark. Code Ann. § 25-15-204(e), pment and file two copies with the questionnal	please complete the following Financial Impact re and proposed rules.				
SHO	RT TITLE OF THIS RULE Behavioral He	ealth Agency Certification Manual Update				
1.	Does this proposed, amended, or repealed Yes NoX	rule have a financial impact?				
2.	Is the rule based on the best reasonably obtinformation available concerning the need YesXNo	rainable scientific, technical, economic, or other evidence and for, consequences of, and alternatives to the rule?				
3.	In consideration of the alternatives to this a	rule, was this rule determined by the agency to be the least				
	costly rule considered? YesX	No				
	If an agency is proposing a more costly rule	e, please state the following:				
	(a) How the additional benefits of the more	e costly rule justify its additional cost;				
	N/A					
	(b) The reason for adoption of the more cos	stly rule;				
	N/A					
	(c) Whether the more costly rule is based of please explain; and	on the interests of public health, safety, or welfare, and if so,				
	N/A					
	(d) Whether the reason is within the scope N/A	of the agency's statutory authority, and if so, please explain.				
4.	If the purpose of this rule is to implement a f	ederal rule or regulation, please state the following:				
	(a) What is the cost to implement the federal rule or regulation?					
	Current Fiscal Year	Next Fiscal Year				
	General Revenue         0           Federal Funds         0           Cash Funds         0           Special Revenue         0	General Revenue         0           Federal Funds         0           Cash Funds         0           Special Revenue         0				

		0	Other (Identify)	(
Total		0	Total	alt car gotta local
(b) What is the	additional cos	st of the state ri	ale?	
Current Fiscal	<u>Year</u>		Next Fiscal Year	
General Revenue		0	General Revenue	ost si siin s sol (
Federal Funds_		0	Federal Funds	(
Cash Funds		0	Cash Funds	(
Special Revenue	)	0	Special Revenue	1 to noincress)
Other (Identify)		0	Other (Identify)	h pull the little !
Total		0	Total	
Current Fiscal Y	<mark>(ear</mark>		Next Fiscal	Year
1				
		ly addrew me posterion	sisanes son (\$ more acquaite	0
What is the total	estimated cos		r to state, county, and munic	ipal government
What is the total	estimated cos		other existing rules beyong the propose to de suc, if e tion of why unendment our	ipal government
What is the total implement this ruits affected.	estimated cos		r to state, county, and munic	ipal government
What is the total	estimated cos		r to state, county, and munic	ipal government
What is the total implement this ruis affected.  Current Fiscal Y	estimated cosule? Is this th		r to state, county, and munic	sipal government lain how the gov
What is the total implement this ruis affected.  Current Fiscal Y	estimated cosule? Is this th		r to state, county, and munic	sipal government lain how the gov
What is the total implement this ruis affected.  Current Fiscal Y	estimated cosule? Is this the Vear 0	e cost of the pr	r to state, county, and munic rogram or grant? Please exp <u>Next Fiscal</u> \$	ipal government lain how the gov
What is the total implement this ruis affected.  Current Fiscal Y  With respect to the cost or obligation	estimated cosule? Is this the rear of at least on	nswers to Ques	r to state, county, and munice or gram or grant? Please exp  Next Fiscal  \$	ipal government lain how the government of the lain how the lai
What is the total implement this ruis affected.  Current Fiscal Y  With respect to the cost or obligation	estimated costale? Is this the dear of at least one entity, privative (2) or more entity.	nswers to Quese hundred thou te business, state ore of those en	r to state, county, and munice or ogram or grant? Please exp  Next Fiscal  \$	ipal government lain how the government of the lain how the lai

If YES, the agency is required by Ark. Code Ann. § 25-15-204(e)(4) to file written findings at the time of filing the financial impact statement. The written findings shall be filed simultaneously

with the financial impact statement and shall include, without limitation, the following:

(1) a statement of the rule's basis and purpose;

## N/A

(2) the problem the agency seeks to address with the proposed rule, including a statement of whether a rule is required by statute;

### N/A

- (3) a description of the factual evidence that:
  - (a) justifies the agency's need for the proposed rule; and
  - (b) describes how the benefits of the rule meet the relevant statutory objectives and justify the rule's costs;

## N/A

(4) a list of less costly alternatives to the proposed rule and the reasons why the alternatives do not adequately address the problem to be solved by the proposed rule;

## N/A

(5) a list of alternatives to the proposed rule that were suggested as a result of public comment and the reasons why the alternatives do not adequately address the problem to be solved by the proposed rule;

### N/A

(6) a statement of whether existing rules have created or contributed to the problem the agency seeks to address with the proposed rule and, if existing rules have created or contributed to the problem, an explanation of why amendment or repeal of the rule creating or contributing to the problem is not a sufficient response; and

## N/A

- (7) an agency plan for review of the rule no less than every ten (10) years to determine whether, based upon the evidence, there remains a need for the rule including, without limitation, whether:
  - (a) the rule is achieving the statutory objectives:
  - (b) the benefits of the rule continue to justify its costs: and
  - (c) the rule can be amended or repealed to reduce costs while continuing to achieve the statutory objectives.

## N/A

### NOTICE OF RULE MAKING

The Director of the Division of Provider Services and Quality Assurance of the Department of Human Services announces for a public comment period of thirty (30) calendar days a notice of rulemaking for the following proposed rules under one or more of the following chapters, subchapters, or sections of the Arkansas Code: §§ 20-10-203, 20-10-705, 20-76-201, 20-77-107, and 25-10-129.

## Effective June 1, 2022:

The Director of the Division of Provider Services and Quality Assurance amends the Behavioral Health Agency Certification Manual Rule to comply with Act 760 of the 93<sup>rd</sup> General Assembly. Act 760 allows outpatient behavioral health agencies to co-locate with other facility types, including adjunct and collocated sites such as schools, day care facilities, long-term care facilities, or the office or clinic of a physician or psychologist. DPSQA also updates website information with hyperlinks to the appropriate webpage.

The proposed rule is available for review at the Department of Human Services (DHS) Office of Rules Promulgation, 2nd floor Donaghey Plaza South Building, 7th and Main Streets, P. O. Box 1437, Slot S295, Little Rock, Arkansas 72203-1437. You may also access and download the proposed rule at <a href="https://humanservices.arkansas.gov/do-business-with-dhs/proposed-rules/">https://humanservices.arkansas.gov/do-business-with-dhs/proposed-rules/</a>. Public comments must be submitted in writing at the above address or at the following email address: <a href="mailto:ORP@dhs.arkansas.gov">ORP@dhs.arkansas.gov</a>. All public comments must be received by DHS no later than **April 9**, **2022**. Please note that public comments submitted in response to this notice are considered public documents. A public comment, including the commenter's name and any personal information contained within the public comment, will be made publicly available and may be seen by various people.

If you need this material in a different format, such as large print, contact the Office of Rules Promulgation at 501-534-4138.

The Arkansas Department of Human Services is in compliance with Titles VI and VII of the Civil Rights Act and is operated, managed, and delivers services without regard to religion, disability, political affiliation, veteran status, age, race, color, or national origin.

4502035775

Martina Smith, Director

Division of Provider Services and Quality Assurance

## Statement of Necessity and Rule Summary Behavioral Health Agency Certification Manual Update pursuant to Act 760

## Statement of Necessity:

This rule implements the requirements of Act 760. Act 760 allows outpatient behavioral health agencies to co-locate with other facility types.

## **Summary:**

The Division of Provider Services and Quality Assurance updates the Behavioral Health Agency Certification Manual by amending the definition of "Site" to include adjunct and collocated sites such as schools, a daycare facility, a long-term care facility, or the office or clinic of a physician or psychologist. DPSQA also updates website information with hyperlinks to the appropriate webpage.



# Arkansas Department of Human Services

# Behavioral Health Agency Certification Manual

**Revised:** 7/1/17

6/1/22

Provider

Services & Quality

Assurance - Arkansas

Department of Human

Services<del>www.arkansas.go</del>

/dhs/dhs



- Y. "Qualified Behavioral Health Provider" means a person who:
  - 1. Does not possess an Arkansas license to provide clinical behavioral health care;
  - 2. Works under the direct supervision of a mental health professional;
  - 3. Has successfully completed prescribed and documented courses of initial and annual training sufficient to perform all tasks assigned by a mental health professional;
  - 4. Acknowledges in writing that all qualified behavioral health provider services are controlled by client care plans and provided under the direct supervision of a mental health professional.
- Z. "Quality assurance (QA) meeting" means a meeting held at least quarterly for systematic monitoring and evaluation of clinic services and compliance. See also, Medicaid Outpatient Behavioral Health Services Manual, § 212.000.
- AA. "Reviewer" means a person employed or engaged by:
  - DHS or a division or office thereof;
  - 2. An entity that contracts with DHS or a division or office thereof.
- BB. "Site" means a distinct place of business dedicated to the delivery of Outpatient Behavioral Health Services within a fifty (50) mile radius. Each site must be a bona fide Behavioral Health Agency, meaning a behavioral health outpatient clinic providing all the services specified in this rule and the Medicaid Outpatient Behavioral Health Services Manual. Sites may not be This includes sites DHS may certify when adjuncts to aor collocated with non-behavioral healthcare services or facilities-different activity such as a school, a day care facility, a long-term care facility, or the office or clinic of a physician or psychologist.
- CC. "Site relocation" means closing an existing site and opening a new site no more than a fifty (50) mile radius from the original site.
- DD. "Site transfer" means moving existing staff, program, and clients from one physical location to a second location that is no more than a fifty (50) mile radius from the original site.
- EE. "Supervise" as used in this rule means to direct, inspect, observe, and evaluate performance.
- FF. "Supervision documentation" means written records of the time, date, subject(s), and duration of supervisory contact maintained in the provider's official records.

## IV. COMPLIANCE TIMELINE:

- A. Entities currently certified as Rehabilitative Services for Persons with Mental Illness (RSPMI) providers will be grandfathered in as certified Behavioral Health Agencies. Current RSPMI agency recertification procedures are based upon national accreditation timelines. Behavioral Health Agency recertification will also be based upon national accreditation timelines.
- B. All entities in operation as of the effective date of this rule must comply with this rule within forty-five (45) calendar days in order to maintain certification.
- C. DHS may authorize temporary compliance exceptions for new accreditation standards that require independent site surveys and specific service subset accreditations. Such compliance exceptions expire at the end of the provider's accreditation cycle and may not be renewed or reauthorized.

### V. APPLICATION FOR DHS BEHAVIORAL HEALTH AGENCY CERTIFICATION:

- A. New Behavioral Health Agency applicants must complete DHS BEHAVIORAL HEALTH AGENCY CERTIFICATION Form 100, DHS BEHAVIORAL HEALTH AGENCY FORM 200, and DHS BEHAVIORAL HEALTH AGENCY Form 210
- C. Applicants must submit the completed application forms and all required attachments for each proposed site to:

Department of Human Services
Division of Provider Services and Quality Assurance
ATTN: Licensure and Certification
P.O. Box 1437 S-530
Little Rock, AR 72203

- D. Each applicant must be an outpatient behavioral health care agency:
  - 1. Whose primary purpose is the delivery of a continuum of outpatient behavioral health services in a free standing independent clinic;
    - That is independent of any DHS certified Behavioral Health Agency.
- E. Behavioral Health Agency certification is not transferable or assignable.

2.

F. The privileges of a Behavioral Health Agency certification are limited to the certified site.

may obtain up to ten (10) additional days based on a showing of good cause.

- 6. DHS will furnish site-specific certificates via postal or electronic mail within ten (10) calendar days of issuing a site certification.
- B. Survey Components: An outline of site survey components is available on the DHS website: <a href="Provider Services & Quality Assurance Arkansas Department of Human Serviceswww.arkansas.gov/dhs/dhs">Provider Services & Quality Assurance Arkansas Department of Human Serviceswww.arkansas.gov/dhs/dhs</a> and is located in appendix # 7.

### C. Determinations:

- Application approved.
- 2. Application returned for additional information.
- 3. Application denied. DHS will state the reasons for denial in a written response to the applicant.

## VII. DHS Access to Applicants/Providers:

- A. DHS may contact applicants and providers at any time;
- B. DHS may make unannounced visits to applicants/providers.
- C. Applicants/providers shall provide DHS prompt direct access to applicant/provider documents and to applicant/provider staff and contractors, including, without limitation, clinicians, paraprofessionals, physicians, administrative, and support staff.
- D. DHS reserves the right to ask any questions or request any additional information related to certification, accreditation, or both.

## VIII. ADDITIONAL CERTIFICATION REQUIREMENTS:

- A. Care and Services must:
  - 1. Comply with all state and federal laws, rules, and regulations applicable to the furnishing of health care funded in whole or in part by federal funds; to all state laws and policies applicable to Arkansas Medicaid generally, and to Outpatient Behavioral Health Services specifically, and to all applicable Department of Human Services ("DHS") policies including, without limitation, DHS Participant Exclusion Policy § 1088.0.0. The Participant Exclusion Policy is available online at Provider Services & Quality Assurance Arkansas Department of Human Serviceshttps://dhsshare.arkansas.gov/DHS%20Policies/Forms/By%20Policy.aspx
  - 2. Conform to professionally recognized behavioral health rehabilitative treatment models.
  - 3. Be established by contemporaneous documentation that is accurate and demonstrates compliance. Documentation will be deemed to be contemporaneous if recorded by the end of the performing provider's first work period following the provision of the care or services to be documented, or as provided in the Outpatient Behavioral Health Services manual, § 252.110, whichever is longer.

expiration month if there is no interruption in the accreditation. (The six-month extension is to give the Behavioral Health Agency time to receive a final report from the accrediting organization, which the provider must immediately forward to DHS.)

- B. Providers must furnish DHS a copy of:
  - 1. Correspondence related to the provider's request for re-accreditation:
    - a. Providers shall send DHS copies of correspondence from the accrediting agency within five (5) business days of receipt;
    - b. Providers shall furnish DHS copies of correspondence to the accrediting organization concurrently with sending originals to the accrediting organization.
  - 2. An application for provider and site recertification:
    - a. DHS must receive provider and site recertification applications at least fifteen (15) business days before the DHS Behavioral Health Agency certification expiration date;
    - b. The Re-Certification form with required documentation is DHS BEHAVIORAL HEALTH AGENCY Form 230 and is available at <a href="Provider Services & Quality Assurance Arkansas Department of Human Services.www.arkansas.gov/dhs/dhs-">Provider Services & Quality Assurance Arkansas Department of Human Services.www.arkansas.gov/dhs/dhs-</a>
- C. If DHS has not recertified the provider and site(s) before the certification expiration date, certification is void beginning 12:00 a.m. the next day.

## XIV. MAINTAINING DHS BEHAVIORAL HEALTH AGENCY CERTIFICATION:

- A. Providers must:
  - 1. Maintain compliance;
  - 2. Assure that DHS certification information is current, and to that end must notify DHS within thirty (30) calendar days of any change affecting the accuracy of the provider's certification records;
  - 3. Furnish DHS all correspondence in any form (e.g., letter, facsimile, email) to and from the accrediting organization to DHS within thirty (30) calendar days of the date the correspondence was sent or received except:
    - a. As stated in § XII;
    - b. Correspondence related to any change of accreditation status, which providers must send to DHS within three (3) calendar days of the date the correspondence was sent or received.
    - c. Correspondence related to changes in service delivery, site location, or organizational structure, which providers must send to DHS within ten (10) calendar days of the date the correspondence was sent or received.
  - 4. Display the Behavioral Health Agency certificate for each site at a prominent public



# Arkansas Department of Human Services

# Behavioral Health Agency Certification Manual

**Revised:** 6/1/22

Provider Services & Quality Assurance - Arkansas Department of Human Services



- Y. "Qualified Behavioral Health Provider" means a person who:
  - 1. Does not possess an Arkansas license to provide clinical behavioral health care;
  - 2. Works under the direct supervision of a mental health professional;
  - Has successfully completed prescribed and documented courses of initial and annual training sufficient to perform all tasks assigned by a mental health professional;
  - 4. Acknowledges in writing that all qualified behavioral health provider services are controlled by client care plans and provided under the direct supervision of a mental health professional.
- Z. "Quality assurance (QA) meeting" means a meeting held at least quarterly for systematic monitoring and evaluation of clinic services and compliance. See also, Medicaid Outpatient Behavioral Health Services Manual, § 212.000.
- AA. "Reviewer" means a person employed or engaged by:
  - 1. DHS or a division or office thereof;
  - 2. An entity that contracts with DHS or a division or office thereof.
- BB. "Site" means a distinct place of business dedicated to the delivery of Outpatient Behavioral Health Services within a fifty (50) mile radius. Each site must be a bona fide Behavioral Health Agency, meaning a behavioral health outpatient clinic providing all the services specified in this rule and the Medicaid Outpatient Behavioral Health Services Manual. This includes sites DHS may certify when adjunct to or collocated with non-behavioral healthcare services or facilities such as a school, a day care facility, a long-term care facility, or the office or clinic of a physician or psychologist.
- CC. "Site relocation" means closing an existing site and opening a new site no more than a fifty (50) mile radius from the original site.
- DD. "Site transfer" means moving existing staff, program, and clients from one physical location to a second location that is no more than a fifty (50) mile radius from the original site.
- EE. "Supervise" as used in this rule means to direct, inspect, observe, and evaluate performance.
- FF. "Supervision documentation" means written records of the time, date, subject(s), and duration of supervisory contact maintained in the provider's official records.

### IV. COMPLIANCE TIMELINE:

- A. Entities currently certified as Rehabilitative Services for Persons with Mental Illness (RSPMI) providers will be grandfathered in as certified Behavioral Health Agencies. Current RSPMI agency recertification procedures are based upon national accreditation timelines. Behavioral Health Agency recertification will also be based upon national accreditation timelines.
- B. All entities in operation as of the effective date of this rule must comply with this rule within forty-five (45) calendar days in order to maintain certification.
- C. DHS may authorize temporary compliance exceptions for new accreditation standards that require independent site surveys and specific service subset accreditations. Such compliance exceptions expire at the end of the provider's accreditation cycle and may not be renewed or reauthorized.

## V. APPLICATION FOR DHS BEHAVIORAL HEALTH AGENCY CERTIFICATION:

- A. New Behavioral Health Agency applicants must complete DHS BEHAVIORAL HEALTH AGENCY CERTIFICATION Form 100, DHS BEHAVIORAL HEALTH AGENCY FORM 200, and DHS BEHAVIORAL HEALTH AGENCY Form 210
- B. DHS BEHAVIORAL HEALTH AGENCY CERTIFICATION Form 100, DHS
  BEHAVIORAL HEALTH AGENCY FORM 200, and DHS BEHAVIORAL HEALTH
  AGENCY Form 210 can be found at the following website: Provider Services & Quality
  Assurance Arkansas Department of Human Services
- C. Applicants must submit the completed application forms and all required attachments for each proposed site to:

Department of Human Services
Division of Provider Services and Quality Assurance
ATTN: Licensure and Certification
P.O. Box 1437 S-530
Little Rock, AR 72203

- D. Each applicant must be an outpatient behavioral health care agency:
  - 1. Whose primary purpose is the delivery of a continuum of outpatient behavioral health services in a free standing independent clinic;
    - That is independent of any DHS certified Behavioral Health Agency.
- E. Behavioral Health Agency certification is not transferable or assignable.

2.

F. The privileges of a Behavioral Health Agency certification are limited to the certified site.

- may obtain up to ten (10) additional days based on a showing of good cause.
- 6. DHS will furnish site-specific certificates via postal or electronic mail within ten (10) calendar days of issuing a site certification.
- B. Survey Components: An outline of site survey components is available on the DHS website: <a href="Provider Services">Provider Services</a> & Quality Assurance Arkansas Department of Human Services and is located in appendix # 7.

#### C. Determinations:

- 1. Application approved.
- 2. Application returned for additional information.
- 3. Application denied. DHS will state the reasons for denial in a written response to the applicant.

## VII. DHS Access to Applicants/Providers:

- A. DHS may contact applicants and providers at any time;
- B. DHS may make unannounced visits to applicants/providers.
- C. Applicants/providers shall provide DHS prompt direct access to applicant/provider documents and to applicant/provider staff and contractors, including, without limitation, clinicians, paraprofessionals, physicians, administrative, and support staff.
- D. DHS reserves the right to ask any questions or request any additional information related to certification, accreditation, or both.

## VIII. ADDITIONAL CERTIFICATION REQUIREMENTS:

- A. Care and Services must:
  - 1. Comply with all state and federal laws, rules, and regulations applicable to the furnishing of health care funded in whole or in part by federal funds; to all state laws and policies applicable to Arkansas Medicaid generally, and to Outpatient Behavioral Health Services specifically, and to all applicable Department of Human Services ("DHS") policies including, without limitation, DHS Participant Exclusion Policy § 1088.0.0. The Participant Exclusion Policy is available online at Provider Services & Quality Assurance Arkansas Department of Human Services
  - Conform to professionally recognized behavioral health rehabilitative treatment models.
  - 3. Be established by contemporaneous documentation that is accurate and demonstrates compliance. Documentation will be deemed to be contemporaneous if recorded by the end of the performing provider's first work period following the provision of the care or services to be documented, or as provided in the Outpatient Behavioral Health Services manual, § 252.110, whichever is longer.

expiration month if there is no interruption in the accreditation. (The six-month extension is to give the Behavioral Health Agency time to receive a final report from the accrediting organization, which the provider must immediately forward to DHS.)

- B. Providers must furnish DHS a copy of:
  - 1. Correspondence related to the provider's request for re-accreditation:
    - a. Providers shall send DHS copies of correspondence from the accrediting agency within five (5) business days of receipt;
    - b. Providers shall furnish DHS copies of correspondence to the accrediting organization concurrently with sending originals to the accrediting organization.
  - 2. An application for provider and site recertification:
    - a. DHS must receive provider and site recertification applications at least fifteen (15) business days before the DHS Behavioral Health Agency certification expiration date;
    - b. The Re-Certification form with required documentation is DHS BEHAVIORAL HEALTH AGENCY Form 230 and is available at <u>Provider Services & Quality Assurance Arkansas Department of Human Services</u>.
- C. If DHS has not recertified the provider and site(s) before the certification expiration date, certification is void beginning 12:00 a.m. the next day.

## XIV. MAINTAINING DHS BEHAVIORAL HEALTH AGENCY CERTIFICATION:

- A. Providers must:
  - 1. Maintain compliance;
  - Assure that DHS certification information is current, and to that end must notify DHS within thirty (30) calendar days of any change affecting the accuracy of the provider's certification records;
  - 3. Furnish DHS all correspondence in any form (e.g., letter, facsimile, email) to and from the accrediting organization to DHS within thirty (30) calendar days of the date the correspondence was sent or received except:
    - a. As stated in § XII;
    - b. Correspondence related to any change of accreditation status, which providers must send to DHS within three (3) calendar days of the date the correspondence was sent or received.
    - c. Correspondence related to changes in service delivery, site location, or organizational structure, which providers must send to DHS within ten (10) calendar days of the date the correspondence was sent or received.
  - 4. Display the Behavioral Health Agency certificate for each site at a prominent public

## Stricken language would be deleted from and underlined language would be added to present law. Act 760 of the Regular Session

1	State of Arkansas		
2	93rd General Assembly A Bill		
3	Regular Session, 2021 HOUSE BILL 1682		
4			
5	By: Representative L. Johnson		
6			
7	For An Act To Be Entitled		
8	AN ACT TO AUTHORIZE COLOCATION FOR OUTPATIENT		
9	BEHAVIORAL HEALTHCARE AGENCIES; AND FOR OTHER		
10	PURPOSES.		
11			
12			
13	Subtitle		
14	TO AUTHORIZE COLOCATION FOR OUTPATIENT		
15	BEHAVIORAL HEALTHCARE AGENCIES.		
16			
17			
18	BE IT ENACTED BY THE GENERAL ASSEMBLY OF THE STATE OF ARKANSAS:		
19			
20	SECTION 1. Arkansas Code Title 20, Chapter 46, Subchapter 1, is		
21	amended to add an additional section to read as follows:		
22	20-46-107. Colocation for outpatient behavioral healthcare agencies -		
23	Legislative findings.		
24	(a) The General Assembly finds that:		
25	(1) Sites for outpatient behavioral healthcare agencies have		
26	been prohibited from being adjunct to or colocated with non-behavioral		
27	healthcare services or facilities;		
28	(2) Integrated care is best practice and should be the standard		
29	set in this state; and		
30	(3) It would be advantageous for a client's health if outpatient		
31	behavioral health services were colocated with primary care services or		
32	<u>facilities</u> .		
33	(b) Outpatient behavioral healthcare agencies may be certified when		
34	adjunct to or colocated with non-behavioral healthcare services or facilities		
35	such as a school, a day care facility, a long-term care facility, or the		
36	office or clinic of a physician or psychologist. APPROVED: 4/19/21		

\*JMB264\*