## Summary of UAMS Developmental Pediatrics / Dennis Developmental Center Clinical Activities, with a Brief Review of Autism Standards of Practice

#### Tyra M. Reid, MD

Clinical Assistant Professor, UAMS College of Medicine
Section of Developmental Pediatrics / Rehabilitative Medicine
Medical Director, PACE: Foster Care
Member, Arkansas Legislative Task Force on Autism

## **UAMS** Developmental/Behavioral Pediatrics

The sub-section of DBP is part of the larger section of Developmental Pediatrics / Rehabilitative Medicine within the Dept. of Peds.

The section is chaired by Eldon G.
Schulz, M.D., Professor and Rockefeller
Chair for Children with Special
Healthcare Needs

#### **Dennis Developmental Center**

The James L. Dennis Developmental Center (DDC) is Arkansas' primary referral center for diagnostic evaluations of developmental disorders.

Jill Fussell, MD is the Medical Director of DDC
Other MD / APRN Staff

Eldon Schulz, MD

Tyra Reid, MD

Maya Lopez, MD

Jaime Flor, MD

Paulette Wy, MD

Whitney Brooks, APRN

**Lindsay Van Parys APRN** 

**Current DBP Fellows** 

Rachel Goode, MD

Angela Scott, MD

Alberto Allegre, MD

# DDC Med Appointment Availability The Administrative Director, Adam Gaban, has been assiduously addressing "the waitlist problem."

As a result, the dreaded "waitlist at DDC" went away in June 2015. DDC can now assign a medical appointment within 6 months of request (and working toward shortening that time), without putting families on a wait list.

## DDC Med Appointment Availability DDC receives between 300-400 referrals per month.

Because of the number of referrals and need to facilitate earlier diagnosis efforts, new patient appointments for medical diagnostic slots are generally restricted to children 12 years of age and younger.

### **DDC Med Appointment Availability**

DDC now has a fully staffed care coordination office to answer referral questions and facilitate scheduling.

Call DDC 501-364-1830 and request transfer to that office for immediate assistance, or fax to the new intake number 501-978-6492

## DDC Med Appointment Availability Keep in mind that the initial appointment may not be a full team appointment, because laws of physics.

Not every family referred for "a full team" actually needs or would benefit from that.

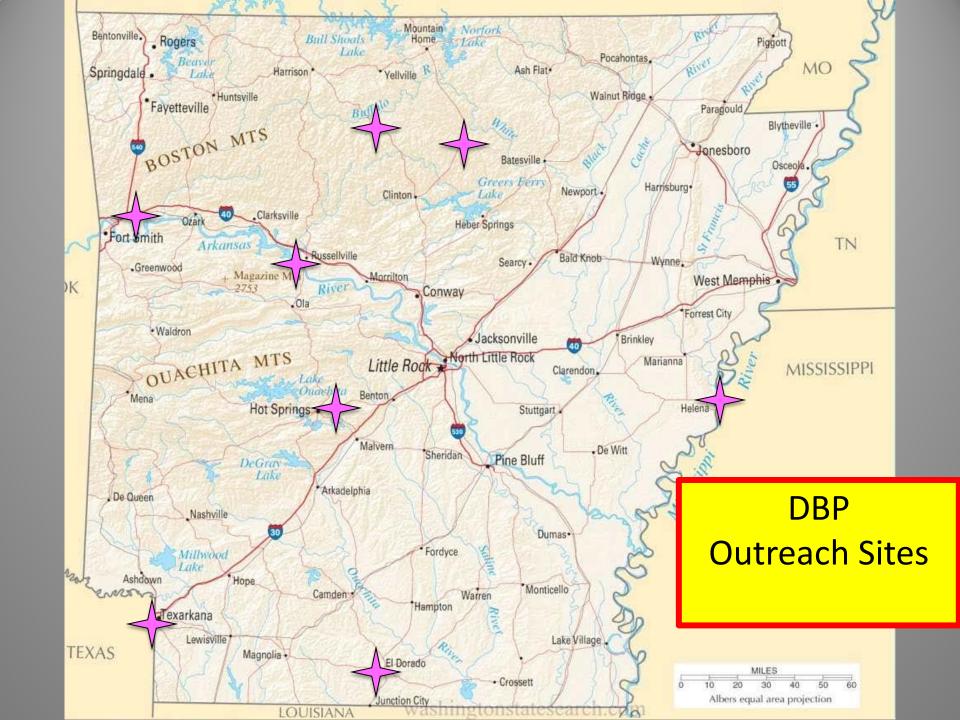
For those families that do need that service, a follow-up team evaluation is scheduled to occur in one or more visits less than six months from the initial visit, and the family is given recommendations for actions to take in the interim.

Things PCPs Could Do In The Interim If the PCP has significant concerns about delays in any of the key areas — social communication, social interaction, or behavior then referral should be made to the appropriate servicing agency (i.e., early intervention, early childhood, or the special education supervisor at the child's school district) while waiting for the specialty evaluation.

## ASD Diagnostic Providers / Outreach

UAMS DBP also has a network of
Outreach Clinics, which are conducted
quarterly in 8 sites across the state.

These clinics (typically occurring within 50 miles of the patient's primary medical home), function both as regional triage clinics to conduct initial diagnostic interviews, and as consultative access for local providers



### ASD Diagnostic Providers / Outreach

- Each clinic is staffed by UAMS DBP MD, RN, and SW
  - This clinic structure allows an increased number of children per clinic for initial investigation to direct next stage of assessment, if needed
  - There is also opportunity for teachers and therapists who work with these children to interface with the Outreach teams to provide additional diagnostic information, and problem solve together to target areas of concern
- Sometimes a medical diagnosis can be determined at these clinics, but if necessary the family is recommended to follow up at DDC or with other specialty providers in the state, depending on the provisional diagnostic impression

## Other ASD Diagnostic Providers

Other clinics within UAMS that provide some diagnostic evaluations to children and youth suspected to have ASD

- Schmieding Center in Northwest AR
- Child Psychiatry (the Child Diagnostic Unit, the Child Study Center, and Program for Young Adults)

### Other ASD Diagnostic Providers

Diagnostic evaluations are also performed at

**Arkansas Children's Hospital** 

- Growth and Development Clinic currently performs evaluations for young children aged birth to pre-K under the supervision of UAMS DBP clinicians
- ASD is in the differential diagnosis for several conditions that may be seen in Neurology Clinic,
   Genetics Clinic, and other neurodevelopmentally related clinics, where the medical diagnosis could be assigned

Community Based Autism Liaison and Treatment Project (CoBALT)

- CoBALT started in 2011 with the intent to improve referral efficiency to early intervention services, and to boost diagnostic capacity for early presenting developmental problems such as autism
- Since that time, a total of 10 mini-teams (Medical and SLP) have been trained to perform Tier 2 diagnostic assessments.
  - As of summer 2015, 5 of these teams are active.
  - Some initially trained teams have not been able to maintain diagnostic activities consistently for various reasons

#### **June 2011**

- El Dorado (Robin Ray MD)
- Jonesboro (Jane Sneed MD)
- Jonesboro (David Matthews MD)

#### October 2011

- Texarkana (Belinda Hutcheson MD)
- Clinton (Holly Hink, APN)

#### December 2011

- Lowell (Barry Allen MD)
- Fort Smith (Jon Hendrickson MD)

#### August 2013

- Forrest City (Curt Patton MD)
- Mena (Ron Beckel MD)
- Pine Bluff (Horace Green MD)

Team Physician or APN and location.

Each team also included a speech/language pathologist from the local area

**June 2015 Training Refresher Course** 

- Fort Smith (Jon Hendrickson MD)
- Jonesboro (Jane Sneed MD)
- El Dorado (Robin Ray MD)
- Forrest City (Curt Patton MD)
- Clinton (Holly Hink APN)

Team Physician or APN and location.

Each team also included a speech/language pathologist from the local area

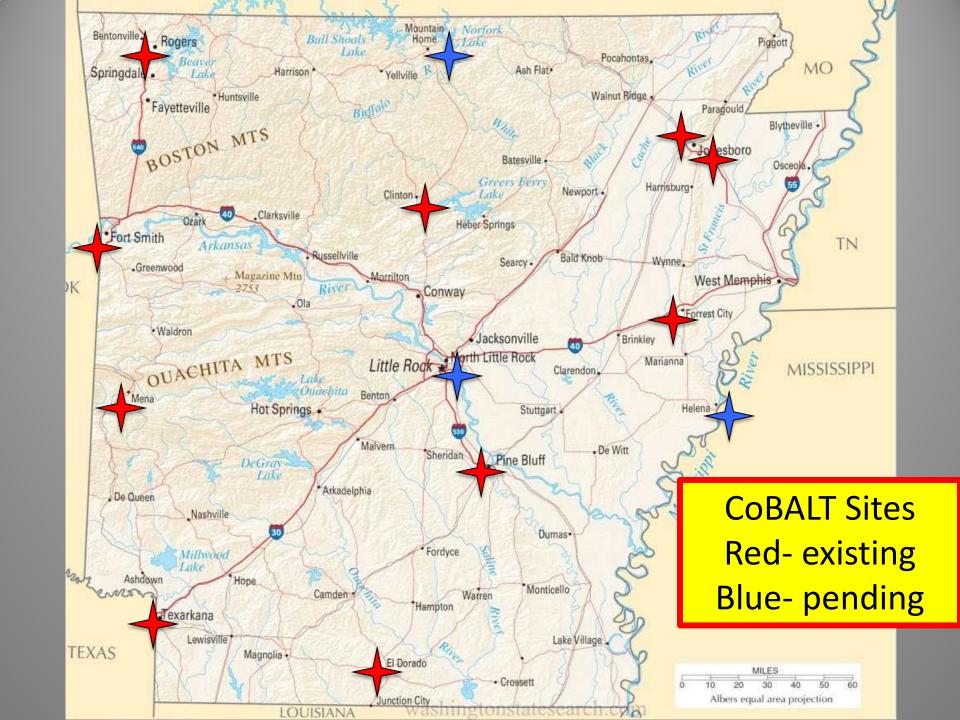
#### **Upcoming training April 2016**

- Mt. Home (Rebecca Ramick, MD)
- Helena-West Helena (Jill Pillow, MD)
- Little Rock/ACH (Karen Young, MD)

This session will also provide additional refresher training for new SLPs at sites that have been recently inactive due to lack of SLP

- Mena
- Jonesboro
- Lowell
- Texarkana

After the next round of training, we will be looking for additional funding to continue training activities



#### **CoBALT Tier 2 Assessment Instruments**

- Diagnostic Interview
- Autism Detection in Early Childhood (ADEC; Young, 2007)
  - Developed as a Level 2 clinician-administered ASD screening tool that can be used by general practitioners, maternal healthcare nurses, allied healthcare professionals, psychologists, therapists, special needs educators, takes 10-15 minutes (untimed)
  - Ability to screen children 12 months 3 years
  - Assists in identifying specific behaviors that require intervention
  - Able to accurately discriminate autistic behaviors from learning disabilities and other developmental disorders
- M-CHAT R/F (Revised, with Follow-up Questions)
- Ages & Stages Questionnaires (ASQ)

#### Other 2016 CoBALT activities

- Telemedicine activities will increase this year
- We will be increasing our use of teleconferences to occur on a regular schedule with all teams who have telemedicine capacity
- This will be in conjunction with other grant activities to solidify use of telemedicine capability

## ASD Diagnostic Providers / LEND

Leadership Education in Neurodevelopmental Disabilities (LEND)

Eldon Schulz, MD is Project Director.

LEND is a post-graduate training grant providing 300+ hours of additional work/training in the area of Autism and other Developmental Disabilities. UAMS is one of 39 grantees of the LEND program.

The project recruits, selects, and trains 26 long-term trainees and 8 intermediate trainees from 14 core disciplines each year.

### **ASD Diagnostic Providers / LEND**

One of the five main goals of the program is to "improve the diagnosis and differential diagnosis of neurodevelopmental disabilities (ND) and autism spectrum disorders (ASD) in client populations," by increasing the skills of LEND trainees necessary to diagnose ND and ASD, and by increasing the knowledge and skills of LEND trainees in identifying ASD as co-morbid with other developmental or neurological disabilities.

#### **Autism Treatment Network**

#### From the Web site:

"The Autism Treatment Network (ATN) is a collaboration of Autism Speaks® and some of the finest children's hospitals and academic institutions in North America, specializing in multi-disciplinary medical care for children with autism. Together, they work to develop evidence-based protocols and standards of care for many of the most challenging medical conditions surrounding autism today. ATN best practices are shared with physicians and medical facilities nationwide to improve outcomes for all children with autism."

#### **Autism Treatment Network**

Currently the Autism Treatment Network (ATN) is a collaboration of 14 treatment and research centers dedicated to improving medical care for children and adolescents with Autism Spectrum Disorders (ASD).

ATN sites are selected through a competitive grant application process and are typically funded for up to three years.



#### **Arkansas ATN**

- Arkansas has been an ATN site since 2007
- Maya Lopez, MD (DBP) is Principal Investigator and Site Director.
- Co-Principal Investigators are Jill Fussell, MD (DBP) and Jill James, PhD
- Goals of Arkansas ATN since 2007 have included improving patient access, standardization of the diagnostic process, promoting family centeredness, increasing community outreach activities and fueling new research efforts.

- Incorporated the AS-ATN Assessment Battery as standard of care at DDC
- Advocated for establishment of an ACH multispecialty clinic (Pediatric Gastroenterology and Nutrition, Pediatric Neurology, Genetics, and Sleep)
- Established a patient registry and a successful mechanism for recruitment in clinic to facilitate opportunities for autism research.
- lincreased community outreach and educational initiatives
- Developed Project SPAECS: Spotting Autism in Early Childcare
   Settings as part of Healthy Child Care Arkansas
- Ongoing partnership with AAROC

- Created a Family Advisory Board (FAB), whose members work on a variety of ATN projects (Steve Dannaway and Regina Moore)
  - Autismo Arkansas, launched as an autism awareness campaign using social media and media contacts available through the Mexican consulate, and now providing family support for Latino families at DDC, among other outreach activities (Suzanne Mancera)

- Created a Family Advisory Board (FAB), whose members work on a variety of ATN projects (Steve Dannaway and Regina Moore)
  - Project PAAK: Parent Advocates for Awesome Kids, working with parent advocates to conduct small group seminars for parents to demystify the developmental evaluation process and provide parent support (Angeletta Giles)

- Created a Family Advisory Board (FAB), whose members work on a variety of ATN projects (Steve Dannaway and Regina Moore)
- Autism Connections Support Group, providing a
  personal and ongoing resource for parents who have
  questions about autism and who need help navigating
  the many aspects of care, offering regular meetings for
  education and support. Maintains an information kiosk
  at DDC (Steve and Teresa Dannaway, Erin Rice, et. al)
  - Typically meets at 10 am the first Wednesday every month at Panera Bread on University

- Established the DDC Family Care Navigator
   Program (Teresa Dannaway and Angeletta Giles)
  - Mentors parents on the prevention and intervention of child development concerns.
  - Disseminates materials on community resources and supports for families
  - Collaborates and communicates with the clinical team working with a child
  - Provides one-on-one family support and follow up
- DDC clinicians refer to the Navigator Team via email to the team, or face to face on days when a Family Navigator is in clinic

- Project SPAECS: Spotting Autism in Early Child Care Settings
- Pls: Maya Lopez, MD and Jayne Bellando, PhD
- This project has grown from a successful workshop for childcare providers on ASDs, covering diagnostic criteria, core behaviors and medical comorbidities, debunking myths, how to talk to parents about their child's developmental concern, and classroom interventions to help children with communication, play, social and transition issues, to development of a web-based version of SPAECS (Web-SPAECS), accessible from the Healthy Child Care Arkansas (HCCAR) web site.

- The SPAECS curriculum now includes newest information about autism, more videos to show behavioral symptoms of ASD (with neurotypical children as comparisons) and videos to show how to implement interventions.
- With Web-SPAECS, this training can have a wider reach among childcare providers as well as other professionals and parents.

- SPAECS
- Healthy Child Care Arkansas

#### **Standards of Practice**

The following resources are provided for information and reference, and may be relevant as the Task Force develops recommendations for future areas of focus.

#### **Standards of Practice**

#### Sources of information (see web sites for details)

- American Academy of Pediatrics (AAP)
- American College of Medical Genetics and Genomics (ACMG)
- Autism Speaks®
- Centers for Disease Control and Prevention
- Cochrane Reviews©
- National Autism Center, National Standards Project, Phase 2
- UpToDate®

#### **Standards of Practice**

## Diagnosis and Workup

Information synthesized from listed sources where there was consensus of recommendations.

See sources for details of recommendations

- At a minimum, the diagnostic evaluation should include documentation of whether the child's symptoms meet DSM-5 and/or ICD-10 criteria for ASD
- The diagnostic evaluation also should include use of a diagnostic instrument with at least moderate sensitivity and high specificity for ASD
  - recommended by the American Academy of Child and Adolescent Psychiatry, the American Academy of Neurology, and the American Academy of Pediatrics:
    - Measures relying on parent report The Autism Behavior Checklist (ABC), Gilliam Autism Rating Scale, 2nd edition (GARS-2), and the Autism Diagnostic Interview-Revised (ADI-R)
    - Measures using direct observation The Childhood Autism Rating Scale (CARS) and the Autism Diagnostic Observation Schedule-Generic (ADOS-2)

- Should include a comprehensive assessment, preferably by a team that has expertise in the diagnosis and management of ASD
- Includes search for conditions that are known to play etiologic role in ASDs
- May also determine whether coexisting medical conditions are present

Best practice guidelines identify the following six components of a comprehensive diagnostic evaluation for autism:

- 1. Parent or caregiver interview
  - Standardized parent interviews regarding current concerns and behavioral history
  - A complete history (incl. 3-generation family pedigree, psychosocial)
- 2. Review of relevant medical, psychological, and/or school records
- 3. Cognitive/developmental assessment
- 4. Direct play observation
- 5. Measurement of adaptive functioning
- 6. Comprehensive medical examination
  - Physical examination (incl. growth and HC, birthmarks, etc., dysmorphology)
  - Neurologic examination (incl. vision, hearing)

If ASD is confirmed (i.e., meets DSM-5 and/or ICD-10 criteria), then

- Genetic diagnostic testing should be offered to all patients
  - May identify a reason for child's developmental disability
  - Help family understand recurrence risk
  - If a specific disorder is identified, can alert family to potential health conditions in affected child or other family members.

Identification of a genetic diagnosis may provide additional information about prognosis, expected outcome, and recurrence risk.

However, few studies have evaluated the effect of genetic testing on such outcomes and it is unclear whether or not genetic testing affects health outcomes.

- DNA testing for fragile X (to be performed routinely for male patients only)
- DNA testing for fragile X in females if indicators present (e.g., family history and phenotype).
- Chromosomal Microarray (CMA)

- If specific syndromic diagnosis is suspected, proceed with targeted testing
- If appropriate clinical indicators present, perform metabolic and/ or mitochondrial testing
- Alternatively, consider a referral to a metabolic specialist

#### **Other Genetic Testing**

- MECP2 gene mutation and sequence analysis for girls with microcephaly or deceleration of head growth and other features of Rett syndrome, or who present with stereotypical hand-wringing movements and developmental regression (AAP)
  - ACMG recommends MECP2 sequencing for all females with ASDs
- MECP2 gene mutations are extremely rare in males but consider in boys with clinical features of Rett syndrome or severe developmental regression, consult with genetic specialist.

- PTEN testing only if the head circumference is >2.5 SD above the mean
- Karyotype indicated for patients where a balanced translocation is suspected (microarray will not detect these lesions)
  - If there is a history of more than 2 miscarriages
  - If a specific chromosomal syndrome such as Down syndrome is suspected clinically
- Additional genetic testing (beyond CMA, fragile X, and possibly karyotype) is indicated only for individuals with dysmorphic features, microcephaly, macrocephaly, cognitive impairment, suspicious medical or family history, or in cases where prenatal genetic counseling is desired

## **Metabolic Testing**

- Disorders of amino acid, carbohydrate, purine, peptide, and mitochondrial metabolism account for less than 5 % of cases of ASD.
- Although metabolic testing is not necessary in routine evaluation of all children with ASD, it may be indicated if there are symptoms or signs of a metabolic disorder.

Clinical symptoms that prompt metabolic or mitochondrial testing

- Lethargy (esp. associated with mild illnesses)
- Hypotonia/dystonia
- Poor growth, microcephaly
- Seizures (especially early onset)
- Developmental regression (esp. associated with illness or fever)
- Dysmorphic or coarse features
- Ataxia or other movement disorder
- Cyclic vomiting
- Gastrointestinal dysfunction, gastroparesis
- Specific food intolerance (e.g., protein)
- Unusual odors
- Lactic acidosis
- Acid/base or electrolyte disturbances
- Anemia with an elevated mean corpuscular volume

## **Other Medical Workup**

- Serum lead and ferritin levels.
  - Children with pica should be monitored as long as pica persists
  - Serum ferritin may also be indicated to monitor iron stores
- There is no evidence that hair analysis, micronutrient levels, intestinal permeability studies, stool analyses, tests for yeast metabolites, urinary peptides, or mercury levels are helpful

### **Other Medical Workup**

- Electroencephalogram (EEG)
  - Screening EEGs on all children with ASDs are not currently recommended
  - EEG rec for children with hx of seizures, acute developmental regression, unexplained behavioral change, or suspicion of subclinical seizures
- Magnetic resonance imaging (MRI)
  - Isolated, stable macrocephaly is not, in itself, an indication
  - Consider in children with acute regression, microcephaly, midline facial defects, neurocutaneous lesions, or abnormalities on neurologic examination

## **Standards of Practice**

## Interventions

Information synthesized from listed sources where there was consensus of recommendations, except where noted.

See sources for details of recommendations

## **Goals of Treatment**

- Early diagnosis and early intensive treatment have the potential to affect outcome, particularly with respect to behavior, functional skills, and communication
- Treatment of ASD focuses on behavioral and educational interventions that target the core symptoms.
  - Treatment programs should be monitored to ensure appropriate response to therapy; the program should be modified as the child's needs change
  - Pharmacologic interventions may be used as an adjunct to address medical or psychiatric comorbidities.

## **Goals of Treatment**

- The goals of treatment are to maximize functioning, move the child toward independence, and improve the quality of life.
- Specific goals are to improve core symptoms and adaptive skills, decrease negative behaviors, and promote academic functioning and cognition.
- Practical and ethical factors have made it challenging to evaluate intervention programs in randomized controlled trials.
- Systematic reviews of behavioral and educational interventions for children with ASD suggest that earlier and more intensive therapies are associated with better outcomes.
- However, the evidence is insufficient to endorse any particular therapy.

# Attributes Of Successful Programs

- A high staff-to-student ratio of 1:1 or 1:2
- Individualized programming for each child
- Teachers with special expertise in working with children with autism
- A minimum of 25 hours per week of services
- Ongoing program evaluation and adjustment
- A curriculum emphasizing attention, imitation, communication, play, and social interaction
- A highly supportive teaching environment
- Predictability and structure
- Functional analysis of behavior problems
- Transition planning
- Family involvement
- Close monitoring and modification as a child's needs change

# The Strength of Evidence Classification System

- The National Standards Project, Phase 2 (NSP2) reviewed studies published between 2007 and February of 2012.
- The combined results of NSP1 and NSP2 include data from more than 1,000 studies. This is the largest review of its kind for individuals with ASD.
- NSP2 developed various classification systems to grade ASD interventions.

## The Strength of Evidence Classification System

#### The Strength of Evidence system includes three levels

- Established 
   Sufficient evidence is available to
   confidently determine that an intervention produces favorable
   outcomes for individuals on the autism spectrum. That is,
   these interventions are established as effective.
- Emerging → Although one or more studies suggest that an intervention produces favorable outcomes for individuals with ASD, additional high quality studies must consistently show this outcome before we can draw firm conclusions about intervention effectiveness.
- Unestablished. → There is little or no evidence to allow us to draw firm conclusions about intervention effectiveness with individuals with ASD. Additional research may show the intervention to be effective, ineffective, or harmful.

#### Interventions at the Established Level of Evidence

- Behavioral Interventions
- Cognitive Behavioral Intervention Package
- Comprehensive Behavioral Treatment for Young Children
  - Aka ABA, EIBI
- Language Training (Production)
- Modeling
- Natural Teaching Strategies
- Parent Training
- Peer Training Package
- Pivotal Response Training
- Schedules
- Scripting
- Self-management
- Social Skills Package
- Story-based Intervention
  - e.g., Social Stories

## Interventions at the Emerging Level of Evidence

- Augmentative and Alternative Communication Devices
- Developmental Relationship-based Treatment
- Exercise
- Exposure Package
- Functional Communication Training
- Imitation-based Intervention
- Initiation Training
- Language Training (Production & Understanding)
- Massage Therapy
- Multi-component Package
- Music Therapy
- Picture Exchange Communication System (PECS)
- Reductive Package
- Sign Instruction
- Social Communication Intervention
- Structured Teaching/TEACCH
- Technology-based Intervention
- Theory of Mind Training

#### Interventions at Unestablished Level of Evidence

- Animal-assisted Therapy
- Auditory Integration Training
- Concept Mapping
- DIR/Floor Time
- Facilitated Communication
- Gluten-free/Casein-free diet
- Movement-based Intervention
- SENSE Theatre Intervention
- Sensory Intervention Package
- Shock Therapy
- Social Behavioral Learning Strategy
- Social Cognition Intervention
- Social Thinking Intervention

## Interventions for Adults (22+ Years)

- The only intervention identified as Established is Behavioral Interventions.
  - The Behavioral Intervention category consists of applied behavior analytic interventions to increase adaptive behaviors and decrease challenging behaviors.
- The only intervention identified as Emerging is the Vocational Training Package
- The following interventions fall into the Unestablished level of evidence for Adults:
  - Cognitive Behavioral Intervention Package
  - Modeling
  - Music Therapy
  - Sensory Integration Package

## Technical Expert Panel, HRSA Autism Intervention Research – Behavioral (AIR-B) Network

Pediatrics, November 2012, VOLUME 130 / ISSUE Supplement 2
Nonmedical Interventions for Children With ASD: Recommended
Guidelines and Further Research Needs
Information edited from TABLE 2 Summary of Evidence

Findings	Strength of Evidence
Comprehensive programs targeting multiple	
core deficits	
Behavioral programs based on Lovaas/	
applied behavioral analysis (ABA)	
There was not enough evidence to suggest	Moderate
the superiority of 1 behavioral	
curriculum over others.	
Higher intensity (hours per week) and	Moderate
higher duration (in months or years) led	
to better outcomes. Twenty hours per week	
was the minimum intensity of most	
comprehensive programs.	
Developmental	
Scottish Autism Center comprehensive	Low
program. Improvements in socialization,	
daily living skills, and motor and	
adaptive behavior were reported.	

## Technical Expert Panel, HRSA Autism Intervention Research – Behavioral (AIR-B) Network Information edited from TABLE 2 Summary of Evidence

	DKAN
Findings	Strength of Evidence
Comprehensive programs targeting multiple	
core deficits	
Integrative: Behavioral + Developmental	
Early Start Denver Model has reported	Moderate
significant gains in cognitive ability	
and other core deficits in preschool-aged	
children.	
Other programs such as STAR, the Walden	Low
Toddler Program, and ABA combined with	
TEACCH showed improvements in core	
deficits in 1 uncontrolled observational	
study each.	
Environmental support	· ·
2 poor-quality nonrandomized controlled	Low
trials of TEACCH to nonspecific	
educational programs. Both small studies	
were conducted in Italy by the same	
researchers.	

## Technical Expert Panel, HRSA Autism Intervention Research – Behavioral (AIR-B) Network Information edited from TABLE 2 Summary of Evidence

Findings	Strength of Evidence
Social skills programs for higher-	
functioning children and adolescents	
There was moderate, consistent evidence that social skills programs as a whole are effective for both children and adolescents. Effect sizes tend to be significant and fairly large. However, analyses could not determine which approaches are best for which children. Effective interventions took place in both individual and group settings.	Moderate
Interventions for children with no or	
limited language	
Picture Exchange Communication System (PECS)	
Results in communication/social skills were consistently positive in the short term but inconsistent in the long term. The outcome effect sizes varied across studies.	Moderate

## Technical Expert Panel, HRSA Autism Intervention Research – Behavioral (AIR-B) Network Information edited from TABLE 2 Summary of Evidence

Findings	Strength of Evidence
Interventions for children with no or	
limited language	
Augmentative and alternative	
communication devices	
There are no controlled trials or	Insufficient
observational studies on the efficacy or	
effectiveness of Augmentative and	
Alternative Communication (AAC)	
interventions; only single-subject	
studies have been reported.	
Auditory integration training	
A previous systematic review reported no	Moderate: for
significant improvements in sound	ineffectiveness
sensitivity in 3 controlled trials. None	
of these trials reported significant	
improvement in core deficits. In a trial	
published after the review, there was no	
improvement in sound sensitivity, but did	
report improvements in language,	
intelligence, and social skills.	
improvement in core deficits. In a trial published after the review, there was no improvement in sound sensitivity, but did report improvements in language,	

#### **Behavioral And Educational Interventions**

- Interventional models for treatment may include
  - Intensive behavioral interventions (based upon the principles of Applied Behavior Analysis)
  - Structured Teaching (including the Treatment and Education of Autistic and related Communicationhandicapped CHildren [TEACCH] model)
  - Developmental/relationship-based models (such as Developmental Individual Difference [DIR or Floortime])
  - Models that integrate various approaches.
  - The evidence is insufficient to recommend one of these models over another.
  - However, there is moderate evidence that greater intensity (in hours per week) and greater duration (in months) of treatment lead to better outcomes

# Types of Applied Behavior Analysis (ABA)

ABA encourages positive behaviors and discourages negative behaviors in order to improve a variety of skills. The child's progress is tracked and measured.

There are different types of ABA. Examples include:

- Discrete Trial Training (DTT)
  - DTT is a style of teaching that uses a series of trials to teach each step of a desired behavior or response. Lessons are broken down into their simplest parts and positive reinforcement is used to reward correct answers and behaviors. Incorrect answers are ignored.
- Verbal Behavior Intervention (VBI)
  - VBI is a type of ABA that focuses on teaching verbal skills.

# Types of Applied Behavior Analysis (ABA)

- Pivotal Response Training (PRT)
  - PRT aims to increase a child's motivation to learn, monitor his own behavior, and initiate communication with others. Positive changes in these behaviors should have widespread effects on other behaviors.
- Early Intensive Behavioral Intervention (EIBI)
  - This is a type of ABA for very young children with an ASD, usually younger than five, and often younger than three.

# Early Intensive Behavioral Intervention (EIBI)

- Cochrane review 2012 of early intensive behavioral intervention (EIBI) for increasing functional behaviors and skills in young children with ASD reported there is some evidence that EIBI is an effective behavioral treatment for some children with ASD.
- However, current state of evidence is limited because of reliance on data from non-randomized studies (CCTs) due to the lack of RCTs.
- Additional studies using RCT research designs are needed to make stronger conclusions about effects of EIBI for children with ASD.

#### **Behavioral And Educational Interventions**

- Parent-mediated interventions may help families interact with their child, promote development, and increase parental satisfaction, empowerment, and mental health.
- However, which parental interventions maximize outcomes is unknown.
- In addition to providing direct interventions, general parental involvement in the treatment program is essential and should include parent training and collaboration.

#### **Behavioral And Educational Interventions**

- Children with ASD benefit most from language interventions that span settings and are incorporated into their daily routines.
- There is insufficient evidence to support a specific methodology (e.g., traditional speech and language interventions, behaviorally based strategies, augmentative communication strategies, visual support), and a variety of methods may be used.

# **Types of Interventions**

- Traditional occupational therapy is often used to address deficits in adaptive functioning and fine motor skills.
  - There is little research regarding traditional occupational therapy for children with ASD.
- Sensory integration therapy for children with ASD is controversial.
  - The evidence regarding its benefits is inconsistent.
  - It may be warranted for specific indications (e.g., to calm the child, reinforce desired behaviors, or help with transitions) within a comprehensive behavioral and educational program.
  - Continued use of sensory integration should be based upon the treatment response in the individual child.

## **Social Skills Interventions**

- Interventions that address social skills deficits that have evidence of benefit from well-controlled studies include interventions that address joint attention, modeling, peer training, and story-based interventions.
- There is less evidence to support social and pragmatic groups,
   although these are used in clinical practice with success.
- Cochrane review in 2012 noted that for social skills groups for people aged 6 to 21 years with ASD, there is some evidence that such groups can improve social competence for some children and adolescents with ASD.
- More research is needed to draw more robust conclusions, especially with respect to improvements in quality of life.

# Complementary And Alternative (CAM) Therapies

Evaluation of biologic-based complementary and alternative (CAM) interventions for autism spectrum disorder (ASD) is ongoing.

- Few CAM therapies have been proven effective / ineffective or safe in controlled trials.
- The scientific evidence for most interventions is insufficient to make recommendations for or against use.
  - In such cases, the clinician and family must weigh the potential benefits and risks, including competition with validated treatment for time, effort, and financial resources.

#### **Complementary And Alternative (CAM) Therapies**

- CAM therapies, as defined by the National Center for Complementary and Alternative Medicine (NCCAM), are "a group of diverse medical and healthcare systems, practices, and products that are not generally considered to be part of conventional medicine."
- Ask families of children with ASD specifically about the use of CAM therapies.
- It is important for clinicians who care for children with ASD to become knowledgeable about CAM therapies and to provide balanced information and advice about the potential benefits and risks.

# Examples of complementary and alternative medicine therapies used for autism spectrum disorders

Mind-body medicine
Acupuncture*
Auditory integration training*
Biofeedback and neurofeedback*
Electroencephalography <sup>¶</sup>
Hypnosis*
Interactive metronome*
Music therapy <sup>∆</sup>
Neuromodulation*
Yoga*

- \* There is little evidence of efficacy or safety; clinicians and families must weigh the unknown benefit against the potential risks (including competition with validated therapies for time, effort, and financial resources).
- ¶ There is little evidence that these treatments are effective but they have the potential to be harmful.
- Δ There is some evidence of efficacy and little evidence of harm.
- There little evidence that these treatments are effective, but they have the potential for serious adverse events.
- § There is good evidence that these therapies are not effective.
- ¥ Therapeutic horseback riding is associated with a risk of injury similar to that in other "limited contact" recreational activities (eg, baseball, skating); appropriate supervision is necessary.

### Examples of complementary and alternative medicine therapies used for autism spectrum disorders

#### Biologically based practices

Antibiotics, antifungals 1

Live cell and stem cell therapy\*

Chelation >

Diet (may include probiotics, yeast-free, gluten-free/casein-free, specific carbohydrate diet, vitamin, mineral, and other dietary supplements, etc) ¶

Digestive enzymes\*

Gamma-aminobutyric acid (GABA)\*

Homeopathy ¶

Hyperbaric oxygen therapy (HBOT) >

Intravenous immune globulin (IVIG)\*

Secretin§

Sulforaphane\*

Supplements: carnitine, coenzyme Q, dimethylglycine, folinic acid, lysine, melatonin, omega-3 fatty acids, trimethylglycine, tuarine, vitamin B6-magnesium, vitamin B12\*

<sup>\*</sup> There is little evidence of efficacy or safety; clinicians and families must weigh the unknown benefit against the potential risks (including competition with validated therapies for time, effort, and financial resources).

<sup>¶</sup> There is little evidence that these treatments are effective but they have the potential to be harmful.

 $<sup>\</sup>Delta$  There is some evidence of efficacy and little evidence of harm.

There little evidence that these treatments are effective, but they have the potential for serious adverse events.

<sup>§</sup> There is good evidence that these therapies are not effective.

<sup>¥</sup> Therapeutic horseback riding is associated with a risk of injury similar to that in other "limited contact" recreational activities (eg, baseball, skating); appropriate supervision is necessary.

# Examples of complementary and alternative medicine therapies used for autism spectrum disorders

# Manipulation Chiropractic¶

Craniosacral manipulation¶

Massage/touch therapy/craniosacral therapy\*

Qigong\*

#### Body-based practices, energy medicine

Prayer\*

Hippotherapy (therapeutic horseback riding)\*\*

Transcranial magnetic stimulation >

#### Miscellaneous

Facilitated communication §

- \* There is little evidence of efficacy or safety; clinicians and families must weigh the unknown benefit against the potential risks (including competition with validated therapies for time, effort, and financial resources).
- ¶ There is little evidence that these treatments are effective but they have the potential to be harmful.
- Δ There is some evidence of efficacy and little evidence of harm.
- There little evidence that these treatments are effective, but they have the potential for serious adverse events.
- § There is good evidence that these therapies are not effective.
- ¥ Therapeutic horseback riding is associated with a risk of injury similar to that in other "limited contact" recreational activities (eg, baseball, skating); appropriate supervision is necessary.

### **Music Therapy** ©

- Based upon hypothesis that musical improvisation processes (perhaps a kind of nonverbal or preverbal language) may help to develop communicative skills, social interaction capacity.
- Cochrane review in 2014 found evidence that music therapy may help children with ASD improve skills in primary outcome areas including social interaction, verbal communication, initiating behavior, and social-emotional reciprocity; may also enhance nonverbal communication skills within therapy context. In secondary outcome areas, may contribute to increasing social adaptation skills and to promoting quality of parent-child relationships.
- Findings should be interpreted with caution but suggest some benefit. Additional studies with larger sample sizes are needed to confirm this result.
- Application of music therapy requires specialized academic and clinical training.

#### Melatonin ©

- A hormone produced by the pineal gland that regulates sleep.
  - Potential benefits for sleep regulation have been suggested by a few scientific studies.
    - Results suggest melatonin may be effective in short-term in helping children with ASD to fall asleep and sleep longer when provided 30 minutes before bedtime.
    - There are no standard dosing guidelines for administration
    - No information on long-term use or side effects
    - Side effects may include difficulty waking, daytime sleepiness, and enuresis
  - [We] suggest melatonin for patients with ASD who have difficulty falling asleep and staying asleep despite appropriate sleep hygiene and behavioral or environmental interventions

# 'Theory of Mind' Interventions 😑

- A 2014 Cochrane review of evidence on the use of interventions for people with ASD, based on the psychological model 'Theory of Mind' found some evidence that ToM, or a precursor skill, can be taught to people with ASD, but little evidence of maintenance of that skill, generalization to other settings, or developmental effects on related skills.
- Evidence has been graded of 'very low' or 'low' quality
- Further longitudinal designs and larger samples are needed to help elucidate both the efficacy of ToMlinked interventions and the explanatory value of the ToM model itself.

# Hippotherapy (Horseback Riding)

- Based upon hypothesis that therapeutic horseback riding stimulates multiple domains of functioning (e.g., cognitive, social, gross motor).
- Although there is some evidence to support benefits, additional studies are necessary before it can be recommended.
- Therapeutic horseback riding is associated with a risk of injury similar to that in other "limited contact" recreational activities (e.g., baseball, skating). It is important to emphasize the need for appropriate supervision in families who choose to participate.

#### 

- EPA and DHA are essential fatty acids with documented cardiovascular benefits.
- Some studies suggest that plasma omega-3 concentrations in children with ASD are decreased, but no clinical correlation has been established.
  - It is not clear whether omega-3 supplementation is beneficial for children with ASD.
  - The American Heart Association suggests two servings of fish per week for the cardiovascular benefit.
  - A systematic review and meta-analysis concluded that there is insufficient evidence to endorse the use of omega-3 fatty acids for improvement of ASD symptoms

### **B6-Magnesium** $\oplus$

- Long history of use of vitamin B6 (pyridoxine) and magnesium for treatment of mental health disorders (magnesium added to reduce side effects of B6).
- However, there has been little research specifically evaluating this intervention for ASD.
  - A 2005 systematic review of three small and methodologically flawed randomized controlled studies (total of 33 patients) of vitamin B6 and magnesium therapy for children with ASD found the data inconclusive.
  - Megadoses of vitamin B6 (>100 mg/day) may result in neuropathy.

#### **Probiotics**

- The use of probiotics as a treatment for autism is based upon the hypothesis that there is an imbalance in intestinal microbes in individuals with ASD.
- Evidence from high-quality studies supporting the efficacy of probiotics in children with ASD is lacking.
- There are no current studies demonstrating harm.
- Probiotics are regulated by the US Food and Drug Administration (FDA) for impurities and quality.

### Dimethylglycine (DMG) ©

- Derivative of amino acid glycine, thought to reduce lactic acid build-up during stress, enhance oxygen use during times of hypoxia, and reduce seizure activity.
- Use is based upon hypothesis that metabolic abnormalities contribute to development of autism.
- There is insufficient information about efficacy and safety of DMG to make recommendations about its use in children with ASD.
  - Small randomized controlled trials in children with ASD have demonstrated no benefit.
  - Adverse effects have not been documented.

### Sulforaphane

- An antioxidant derived from broccoli sprout extracts.
- It increases activity of genes that protect aerobic cells against oxidative stress, inflammation, and DNA damage; hypothesized to reverse abnormalities associated with ASD including neuroinflammation; oxidative stress; and decreased glutathione synthesis, mitochondrial function, and oxidative phosphorylation.
- Preliminary study results are promising, but pending additional study, [we] do not suggest sulforaphane supplementation for children with ASD; this study was too small to adequately assess adverse effects.

### 

- Vitamin C and other oxidative therapies (e.g., methylcobalamin and folinic acid) have some evidence of potential benefit for children with ASD but not sufficiently strong to make a recommendation for or against use.
- Zinc and digestive enzymes have not been evaluated in controlled trials.

# **Antifungal Agents** $\oplus$

- The use of antifungal agents (e.g., nystatin, fluconazole) as a treatment for autism is based upon hypothesis that there is yeast overgrowth secondary to an imbalance in microbes in individuals with ASD.
- There is insufficient information about the efficacy and safety of the antifungal agents to make recommendations about their use in children with ASD.
  - No controlled trials have evaluated these therapies in children with ASD.
  - Adverse effects of antifungal agents may include hepatotoxicity, anemia, diarrhea, and exfoliative dermatitis.

# 

- Hypothesis is that increased permeability allows gluten and casein peptides to leak from gut, causing excessive opioid activity and resulting in behaviors observed in ASD.
  - Not confirmed that children with ASD have increased rates of celiac disease or excessive opioid-like compounds in their urine.
  - Insufficient information about efficacy and safety of GFCF diet to make recommendations about use
    - Pending further study, the UK 2013 NICE clinical guideline on ASD suggests that potential risks of GFCF diet outweigh benefits.
    - A 2014 systematic review of studies (1970 to 2013) evaluating the GFCF diet in children with ASD concluded that evidence is limited and weak.
  - Although GFCF diet does not appear to have beneficial effects at the population level, benefits for individuals in certain subgroups (e.g., those with significant GI disease) cannot be excluded.
  - Potential risks (e.g., lower intake of calcium, vitamin D, and amino acids; decreased bone density)

# Hyperbaric Oxygen

- Based upon hypothesis that increasing atmospheric pressure enhances oxygen delivery to brain to reduce swelling and promote brain recovery.
- [We] do not suggest the use of HBOT as a treatment for ASD.
- There is insufficient information about efficacy.
  - Available trials have reported clinical benefits,
     but studies are not well designed, and no
     information reported about whether meaningful
     improvement was sustained over time.

# 



- An energy-based therapy that is proposed to work through electromagnetic induction, which modifies neuroexcitability, proposed in ASD based upon hypothesis of disturbance of cortical modularity.
- [We] do not suggest TMS for children with ASD.
- Additional study is necessary before TMS can be recommended.
  - Controlled and uncontrolled studies have demonstrated potential benefit in patients with depression, bipolar illness, schizophrenia, epilepsy, and Tourette syndrome.
- Unrelated to the use of commercially obtained magnets, which are applied topically.

### 

- Nonbiologic-based intervention therapies that have some evidence of potential benefit for children with ASD, but not sufficiently strong to make a recommendation for or against use
  - Yoga
  - Qigong massage
  - Acupuncture
  - Neurofeedback

# **Auditory Integration Training (AIT) \***

- Based upon hypothesis that repeated exposure to altered sound via headphones functionally modifies central auditory processing, impacting language and behavior.
- The AAP considers AIT to be investigational therapy.
- The Technical Expert Panel (experts in psychology, dev. pediatrics, child psychiatry, and education, and parents of children with autism) recommends against it.
  - A systematic review of six RCTs of AIT in children with ASD found inconsistent results: 3 studies = no benefit, 3 = some improvements on a checklist with uncertain clinical relevance.
  - Another systematic review found that AIT was ineffective in 4 out of 5 trials.
- Cochrane review in 2011 found there is no evidence to support the use of auditory integration therapy at this time.

# Intravenous Immunoglobulin (IVIG) \*

- The use of intravenous immunoglobulin (IVIG) and other immune therapies for children with ASD is based upon hypothesis that fetal brain development is related to the prenatal immune response.
  - However, the data underlying this hypothesis are limited.
- [We] do not suggest IVIG for the treatment of ASD in the absence of other indications for IVIG (e.g., documented immune deficiency)
  - An open trial of IVIG in 10 children with autism suggested subjective improvement. However, subsequent case series failed to replicate this finding.
  - Potential adverse effects of IVIG and other immune therapies include transmission of bloodborne pathogens.

#### Facilitated Communication **(2)**

- Technique in which a facilitator physically guides the hand of a nonverbal child in using an output device (e.g., keyboard, mouse, etc.) for communication.
- [We] do not recommend facilitated communication as a means of communicating with children with ASD.
- Reviews of published studies found no evidence to support its use for individuals with communication impairment. Controlled studies revealed that the facilitator, rather than the child, was providing information.
- Facilitated communication must be distinguished from augmentative communication, a method of nonverbal communication in which manual sign, picture exchange, switches, or voice output devices are used without the aid of a facilitator.

#### Secretin

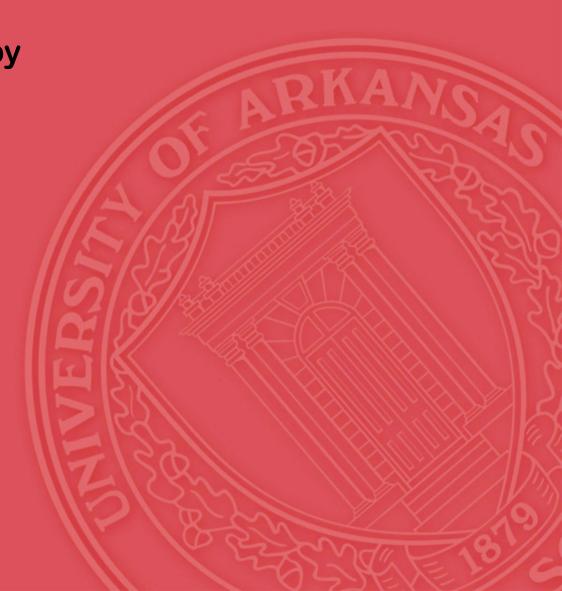
- A gastrointestinal hormone that inhibits intestinal motility and release of gastric acid and stimulates secretion of pancreatic fluid and bicarbonate.
- Use as a potential therapy for children with ASD is based upon hypothesis that autism is related to GI abnormalities.
- Cochrane review in 2012 stated there is no evidence that single or multiple dose intravenous secretin is effective and as such currently it should not be recommended or administered as a treatment for ASD.

### **Chelation Therapy** $\otimes$

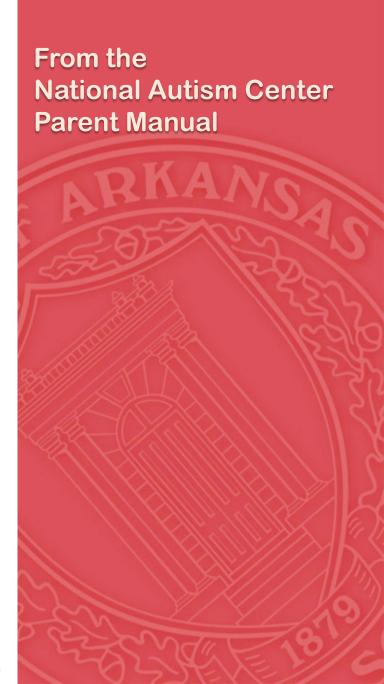
- Process of administering a substance (such as EDTA or DMSA or DMPS) to remove heavy metals from the body.
- Given prior reports of serious adverse events, such as hypocalcaemia, renal impairment and reported death, the risks of using chelation for ASD currently outweigh proven benefits.
- Cochrane review 2015 included data from only one study, which had methodological limitations. As such, no clinical trial evidence was found to suggest that pharmaceutical chelation is an effective intervention for ASD.
- Before further trials are conducted, evidence that supports a causal link between heavy metals and autism and methods that ensure the safety of participants are needed.

# Nonbiologic-based interventions that have not been evaluated in controlled trials

- Craniosacral therapy
- Chiropractic care
- Therapeutic touch
- Healing touch
- Reiki



Symptoms Addressed	Most Frequently Occurring Side Effects
Core symptoms (generally), maladaptive behavior, hyperac- tivity, irritability	Weight gain and sedation
It is the only medication approved by	the FDA for the treatment of children and young adults with ASD.
Note: Has marginal evidence of improving sleep disturbance	
Inattention and hyperactiv- ity (but response rate may be lower in children with ASD)	Significant agitation
Note: (1) Methylphenidate is known to be ineffective with restricted/repetitive behavior and irritability; (2) Methylphenidate has marginal evidence of improving behavioral symptoms and maladaptive behavior.	
Symptoms Possibly Addressed	Most Frequently Occurring Side Effects
Core symptoms, hyperactivity	Dry mouth, insomnia, nausea, headaches, stomach upset
Restricted, repetitive nonfunc- tional behavior	Nausea, diarrhea, headaches, agitation
Core symptoms	Drowsiness, dizziness, headache, loss of appetite, stomach upset, vision changes, irritability, dry mouth and dry nose
Core symptoms, behavioral symptoms and maladaptive behavior, hyperactivity	These side effects are medication specific.
Core symptoms, social interactions	None
Social interactions	None
Behavioral symptoms and maladaptive behavior	Anxiety, appetite loss, chills, constipation, delayed ejaculation, diarrhea, dizziness, drowsiness, feeling down, headache, increased energy, increased thirst, irritability, join and muscle pain, low energy, nausea, nervousness, sleeplessness, stomach pain/cramps, vomiting
Note: Naltrexone is known to be ineffective in the treatment of communication, social interaction, or restricted, repetitive, nonfunctional patterns of behavior.	
Behavioral symptoms and maladaptive behavior	Significant agitation
Hyperactivity	Difficulty breathing, shortness of breath, dizziness or light- headedness, rash or itching, slow or irregular heart rate or palpitations, stomach upset, headache, diarrhea, sweating
Note: Secretin is known to be ineffective in the treatment of core symptoms, stereotypic behavior, and GI disturbance.	
Hyperactivity	Dizziness, drowsiness, and mental slowing; other side effect like weight gain, metabolic acidosis, nephrolithiasis, angle closure glaucoma, skin rash, hepatotoxicity, colitis, move- ment and behavioral disorders
	Core symptoms (generally), maladaptive behavior, hyperactivity, irritability  It is the only medication approved by Note: Has marginal evidence of improving the lower in children with ASD)  Note: (1) Methylphenidate is known to Methylphenidate has marginal evidence.  Symptoms Possibly Addressed  Core symptoms, hyperactivity Restricted, repetitive nonfunctional behavior  Core symptoms, behavioral symptoms and maladaptive behavior, hyperactivity  Core symptoms, social interactions  Behavioral symptoms and maladaptive behavior  Note: Natrexone is known to be ineft restricted, repetitive, nonfunctional pehavioral symptoms and maladaptive behavior  Note: Natrexone is known to be ineft restricted, repetitive, nonfunctional pehavioral symptoms and maladaptive behavior



#### **American Academy of Pediatrics**

- Search "autism"
  - https://www.aap.org/en-us/about-the-aap/Committees-Councils-Sections/Council-on-Children-with-Disabilities/Pages/Autism.aspx
- Initial Medical Evaluation of a Child Diagnosed With an Autism Spectrum Disorder
  - https://www.aap.org/enus/Documents/cocd\_fact\_sheet\_initialmedicine.pdf
- PEDIATRICS, November 2012, VOLUME 130 / ISSUE Supplement 2
  - http://pediatrics.aappublications.org/content/130/Supplement\_2.toc
  - Nonmedical Interventions for Children With ASD: Recommended Guidelines and Further Research Needs
    - Margaret A. Maglione, Daphna Gans, Lopamudra Das, Justin Timbie, Connie Kasari, For the Technical Expert Panel, HRSA Autism Intervention Research – Behavioral (AIR-B) Network

#### **American College of Medical Genetics and Genomics**

- Clinical genetics evaluation in identifying the etiology of autism spectrum disorders: 2013 guideline revisions
  - https://www.acmg.net/docs/pp-g-ASD-schaffer-aopgim201332a.pdf

#### **Autism Speaks**

- https://www.autismspeaks.org
- https://www.autismspeaks.org//science/resourcesprograms/autism-treatment-network

"Autism Speaks has grown into the world's leading autism science and advocacy organization, dedicated to funding research into the causes, prevention, treatments and a cure for autism; increasing awareness of autism spectrum disorders; and advocating for the needs of individuals with autism and their families."

#### **Centers for Disease Control and Prevention (CDC)**

http://www.cdc.gov/ncbddd/autism/index.html

#### Cochrane

- http://www.cochrane.org/evidence
  - Search "Autism"

"Cochrane contributors ... work together to produce credible, accessible health information that is free from commercial sponsorship and other conflicts of interest. Many of our contributors are world leaders in their fields - medicine, health policy, research methodology, or consumer advocacy - and our groups are situated in some of the world's most respected academic and medical institutions. Contact us for more information. Our work is recognized as representing an international gold standard for high quality, trusted information."

#### **National Autism Center**

- http://www.nationalautismcenter.org/resources/
- (2015). Findings and conclusions: National standards project,
   phase 2. Randolph, MA: Author

#### **UpToDate**

- http://www-uptodate-com.
  - Search "Autism"

"UpToDate is an evidence-based clinical decision support resource that is authored and peer-reviewed exclusively by physicians who are recognized experts in their medical specialties."