

Arkansas Public Higher Education Operating Recommendations

FISCAL YEAR 2012-13



Universities
and
Colleges

Arkansas Department of Higher Education

114 East Capitol Avenue, Little Rock, Arkansas 72201

January 2012

ARKANSAS PUBLIC HIGHER EDUCATION OPERATING RECOMMENDATIONS 2012-13 FISCAL YEAR

OVERVIEW, UNIVERSITIES AND COLLEGES

TABLE OF CONTENTS

Institutional Abbreviations	1
Recommendations for Educational and General Operations	
Background	3
Table 1A: Summary of AHECB Recommendations	7
Table 1B: Universities	8
Table 1C: Colleges	9
Table 1D: Non-Formula Entities	10
Table 1E: Technical Centers	11
Table 2: Definitions of Expenditure Categories	13
Table 3: Full-time Equivalent Enrollment for 2010-11	17
Table 4: 2010-11 Full-Time Annualized Fall Tuition and Mandatory Fees	19
 UNIVERSITIES:	
Arkansas State University - Institution Appropriation Summary	27
Arkansas Tech University - Institution Appropriation Summary	35
Henderson State University - Institution Appropriation Summary	45
Southern Arkansas University-Magnolia - Institution Appropriation Summary	51
University of Arkansas - Institution Appropriation Summary	59
University of Arkansas at Fort Smith - Institution Appropriation Summary	75
University of Arkansas at Little Rock - Institution Appropriation Summary	81
University of Arkansas at Monticello - Institution Appropriation Summary	89
University of Arkansas for Medical Sciences - Institution Appropriation Summary	99
University of Arkansas at Pine Bluff - Institution Appropriation Summary	129
University of Central Arkansas - Institution Appropriation Summary	137

COLLEGES:

Arkansas Northeastern College - Institution Appropriation Summary.....	149
Arkansas State University-Beebe - Institution Appropriation Summary.....	155
Arkansas State University-Mountain Home - Institution Appropriation Summary.....	163
Arkansas State University-Newport - Institution Appropriation Summary.....	169
Black River Technical College - Institution Appropriation Summary.....	175
Cossatot Community College of the University of Arkansas - Institution Appropriation Summary.....	181
College of the Ouachitas - Institution Appropriation Summary.....	187
East Arkansas Community College - Institution Appropriation Summary.....	193
Mid-South Community College - Institution Appropriation Summary.....	199
North Arkansas College - Institution Appropriation Summary.....	205
National Park Community College - Institution Appropriation Summary.....	211
Northwest Arkansas Community College - Institution Appropriation Summary.....	217
Ozarka College - Institution Appropriation Summary.....	223
Phillips Community College of the University of Arkansas - Institution Appropriation Summary.....	229
Pulaski Technical College - Institution Appropriation Summary.....	239
Rich Mountain Community College - Institution Appropriation Summary.....	249
South Arkansas Community College - Institution Appropriation Summary.....	255
Southern Arkansas University-Tech - Institution Appropriation Summary.....	261
Environmental Academy - Institution Appropriation Summary.....	267
Fire Academy - Institution Appropriation Summary.....	273
Southeast Arkansas College - Institution Appropriation Summary.....	279
University of Arkansas Community College at Batesville - Institution Appropriation Summary.....	289
University of Arkansas Community College at Hope - Institution Appropriation Summary.....	295
University of Arkansas Community College at Morrilton - Institution Appropriation Summary.....	301

Institutional Abbreviations

Four-Year Institutions

Arkansas State University (Jonesboro)	ASUJ
Arkansas Tech University (Russellville)	ATU
Henderson State University (Arkadelphia)	HSU
Southern Arkansas University (Magnolia)	SAUM
University of Arkansas (Fayetteville)	UAF
University of Arkansas at Fort Smith	UAFS
University of Arkansas at Little Rock	UALR
University of Arkansas at Monticello	UAM
University of Arkansas at Pine Bluff	UAPB
University of Central Arkansas	UCA

Two-Year Institutions

Arkansas Northeastern College (Blytheville)	ANC
Arkansas State University - Beebe	ASUB
Arkansas State University - Mountain Home	ASUMH
Arkansas State University - Newport	ASUN
Black River Technical College (Pocahontas)	BRTC
College of the Ouachitas (Malvern)	COTO
Cossatot Community College of the UA (DeQueen)	CCCUA
East Arkansas Community College (Forrest City)	EACC
Mid-South Community College (West Memphis)	MSCC
National Park Community College (Hot Springs)	NPCC
North Arkansas College (Harrison)	NAC
Northwest Arkansas Community College (Bentonville)	NWACC
Ozarka College (Melbourne)	OZC
Phillips Community College of the UA (Helena)	PCCUA
Pulaski Technical College (North Little Rock)	PTC
Rich Mountain Community College (Mena)	RMCC
South Arkansas Community College (El Dorado)	SACC
Southeast Arkansas College (Pine Bluff)	SEAC
Southern Arkansas University - Tech (Camden)	SAUT
UA Community College at Batesville	UACCB
UA Community College at Hope	UACCH
UA Community College at Morrilton	UACCM

Non-Formula Institutions / Entities

Arkansas Delta Training and Education Consortium	ADTEC
Arkansas Research and Educational Optical Network	ARE-ON
ASU - System	ASU-SYS
HSU - Southwest Arkansas Technology Learning Center	HSU-SWATLC
SAUT - Environmental Academy	SAUT-ECA
SAUT - Fire Academy	SAUT-FTA
UA - System (Little Rock)	UA-SYS
UA - Archeological Survey (Fayetteville)	UA-AS
UA - Clinton School of Public Service (Little Rock)	UA-CS
UA - Criminal Justice Institute (Little Rock)	UA-CJI
UA - Division of Agriculture (Fayetteville and Little Rock)	UA-DIV AGRI
UA - School of Mathematics, Sciences, and the Arts (Hot Springs)	UA-ASMSA
UALR - Research and Public Service	UALR-RAPS
UAF - Garvan Woodland Gardens (Hot Springs)	UAF-GWG
UA-Winthrop Rockefeller Institute Knowledge Based Economic Development Program	UA-WRI KBED
University of Arkansas for Medical Sciences	UAMS
University of Arkansas at Pine Bluff (Non-Formula)	UAPB

Technical Centers

ATU - Ozark Campus	ATU-OZARK
UAM - College of Technology - Crossett	UAM-CROSSETT
UAM - College of Technology - McGehee	UAM-MCGEHEE

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**RECOMMENDATIONS FOR EDUCATIONAL AND GENERAL OPERATIONS
STATE-SUPPORTED INSTITUTIONS OF HIGHER EDUCATION
FISCAL 2013**

Background

A.C.A. §6-61-224 establishes the process and key components for formula development for funding public institutions of higher education. That language reads as follows:

“(a) The Department of Higher Education, in collaboration with the state college and university presidents and chancellors, shall develop funding formulas consisting of a needs-based component and an outcome-centered component which will, in principle, seek to provide fair and equitable state support to all postsecondary students across the state, regardless of the state institution attended, while at the same time recognizing: (1) The different needs for lower level, upper level, and graduate level instruction at the various institutions; (2) The requirements for specialized equipment, labs and smaller class sizes in some disciplines; and (3) Unique missions, such as agricultural extension services, research, medical sciences, workforce development, and public service; and (4) Growth, economies of scale, and other appropriate factors.”

At its April 25, 2008 meeting, the Arkansas Higher Education Coordinating Board approved the funding models for the two-year colleges, universities, and the technical centers (former technical institutes merged with universities). These models had been developed in conjunction with presidents and chancellors after meetings and revisions. The funding models were used to develop the comparative needs of Arkansas institutions of higher education in terms of the average funding levels of schools in the SREB region.

The legislative session in 2012 will be the second fiscal session of the legislature in which appropriations will be the consideration. As a result of annual legislative sessions, there have been changes in the recommendation process that the ADHE staff must follow. Operating recommendations will be presented for AHECB every year. Staff did not request a change in the tuition policy because the Coordinating Board approved this at its April 30, 2010, meeting. No personal services requests were considered this fiscal session. There will be no capital recommendations by AHECB for fiscal sessions. As in the past, staff will present capital and personnel recommendations for AHECB approval in July of even years in preparation for the legislative session that will occur in odd-numbered years. Recommendations for fiscal

sessions will not be as inclusive as they have been in the past. For example, institutions are not required to include goals and objectives in their operating requests for fiscal sessions.

The staff reviewed the justification requests submitted by the non-formula group and prepared preliminary funding recommendations based upon those requests.

Because of time constraints related to the annual legislative sessions, the staff was unable to conduct budget hearings this year.

The difference between the funding model determined needs of the entities compared to the Fiscal 2013 appropriations was \$284 million, a 35% percent disparity. The funding gap (the difference between the formula determined need and the current fiscal year appropriation) is part of a phenomenon that occurs when there is an extended economic downturn which is invariably accompanied by enrollment increases, declining state support and tuition increases (to replace a part of the lost state support). It should be emphasized that the funding needs of the institutions are the funds needed to bring Arkansas higher education to the **average** funding level of the SREB region.

Operating Funding Recommendations for Fiscal 2013

The operating needs for formula driven institutions are based upon the tuition policies established by the Arkansas Higher Education Coordinating Board's approval of Agenda Items 8 and 9 on April 30, 2010 and the funding formulas approved by the AHECB in April 2008. Each funding formula was developed to provide an equitable basis for the distribution of **any funding available**.

The funding models have been updated with academic year 2011 SSCH for both census-date and end-of-term using eighty (80) percent of the census-date SSCH and twenty (20) percent of the end-of-term SSCH. ***(As you may recall in a meeting two years ago the presidents and chancellors agreed with the Governor's desire to phase in the end-of-term enrollment. For the 2009-11 biennium, that ratio was to be 90% census-date and 10% end-of-term enrollment data. The next step of that phase in for the 2011-13 biennium is to use 80% of the census-date and 20% of the end-of-term enrollment data.)*** The input data for the funding models were the SSCH by level and discipline as reported in the Student Information System for academic year 2011 and the new educational and general square footage as reported in the Facilities Audit Program (FAP) 2010. The updated formula driven models represent a total need for funding of higher education institutions of \$847,828,445 and non-formula entities of \$248,654,063 for Fiscal 2013.

The operating needs for nonformula entities are determined by staff's review of the justification requests submitted by the entities and staff's recommendations based upon those requests. Due to time constraints, budget hearings are not conducted during fiscal sessions.

In order to bring all institutions to 75% of need as calculated, requested and recommended, AHECB recommends \$63,544,872 of new money for Fiscal 2013. This will bring appropriations in line with need.

ADHE's recommendations for FY 2013 appropriations will address some of the past inequities created by enrollment growth and declining state support and bring all institutions closer to the total amount of formula determined need and Arkansas higher education closer to the average funding level of the SREB region. It should be noted that it is unlikely that these recommendations will be funded given the current economic condition and the tenuousness of the State's overall budget.

The individual institutional recommendations for all four types of institutions/entities (Colleges, Universities, Non-Formula Entities, and Technical Institutes) were determined in the following manner: The general revenue funds were distributed based upon the need for new funds as determined by the three funding formulas and the ADHE staff determined need of the non-formula entities. The non-formula needs were based upon the justifications submitted by the institutions.

The principles for determining operating needs address continued levels of base funding for institutions, equity, small college adjustment, and economies of scale.

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Table A. Summary of Operating Needs & Recommendations for the 2012-13 Fiscal Year



Institution Type	Fiscal Year 2011-12 Base				2012-13			
					Funding Model Determined Need	AHECB Recommendations		
	RSA	EETF	WF2000	Total Fiscal Year Base		Total Appropriation	New Funds	Percent Increase
Colleges	\$139,268,755	\$6,849,155	\$21,131,608	\$167,249,519	\$235,383,614	\$188,127,038	\$20,877,520	12.5%
Universities	\$391,716,489	\$35,003,482	\$0	\$426,719,971	\$599,991,324	\$462,093,596	\$35,373,626	8.3%
Non-Formula Entities	\$189,688,231	\$21,557,490	\$0	\$211,245,721	\$248,654,063	\$216,233,811	\$4,988,090	2.4%
Technical Centers	\$5,272,810	\$0	\$2,018,368	\$7,291,178	\$12,453,507	\$9,596,814	\$2,305,636	31.6%
Total	\$725,946,284	\$63,410,128	\$23,149,976	\$812,506,388	\$1,096,482,508	\$876,051,260	\$63,544,872	7.8%

**Table B. Four Year Universities with Recommendation for at Least 75% Funding of Need
80-20 Enrollment**



Inst	2011-12				2012-13					TOTAL
	RSA	EETF	2011-12 Base (RSA & EETF)	Academic Year 2011 FTE	100% Need		Adjustment to 75%			
					Formula Determined Need	% of Need	Equity Funds@ 75%	New Total	% of Need	
ASUJ	\$53,496,258	\$5,793,815	\$59,290,072	11,236	\$92,860,523	63.8%	\$10,355,320	\$69,645,392	75.0%	\$10,355,320
ATU	\$28,966,548	\$1,942,126	\$30,908,674	7,391	\$43,520,852	71.0%	\$1,731,965	\$32,640,639	75.0%	\$1,731,965
HSU	\$18,503,262	\$2,009,038	\$20,512,300	3,489	\$21,956,023	93.4%	\$0	\$20,512,300	93.4%	\$0
SAUM	\$15,449,575	\$1,187,787	\$16,637,362	3,023	\$20,976,023	79.3%	\$0	\$16,637,362	79.3%	\$0
UAF	\$112,506,550	\$8,798,773	\$121,305,323	18,627	\$186,733,755	65.0%	\$18,744,993	\$140,050,316	75.0%	\$18,744,993
UAFS	\$20,115,961	\$2,943,804	\$23,059,766	6,309	\$32,301,285	71.4%	\$1,166,198	\$24,225,964	75.0%	\$1,166,198
UALR	\$56,169,522	\$5,101,964	\$61,271,486	9,467	\$83,475,851	73.4%	\$1,335,402	\$62,606,888	75.0%	\$1,335,402
UAM	\$12,954,291	\$1,025,098	\$13,979,389	2,354	\$15,197,588	92.0%	\$0	\$13,979,389	92.0%	\$0
UAPB	\$21,582,145	\$1,779,548	\$23,361,693	3,045	\$25,057,888	93.2%	\$0	\$23,361,693	93.2%	\$0
UCA	\$51,972,375	\$4,421,530	\$56,393,905	9,962	\$77,911,537	72.4%	\$2,039,748	\$58,433,653	75.0%	\$2,039,748
Total	\$391,716,489	\$35,003,482	\$426,719,971	74,903	\$599,991,325	71.1%	\$35,373,626	\$462,093,596	77.0%	\$35,373,626

**Table C. Two Year Colleges with Recommendation for at Least 75% Funding of Need
80-20 Enrollment**



Inst	RSA	EETF	WF2000	2011-12		2012-13					TOTAL	
				BASE		100% Need		To bring all to at least 75%				AHECB Total New RSA Recommended
				General Revenue Base (RSA, EETF & WF2000)	Academic Year 2011 FTE	Model Need	% of Need Met	Equity Funds Needed @ 75%	New Total	% of Need		
ANC	\$8,577,052	\$692,945	\$683,781	\$9,953,778	1,434	9,953,778	100.0%	\$0	\$9,953,778	100.0%	\$0	
ASUB	\$12,044,916	\$1,382,297	\$750,191	\$14,177,404	3,558	16,106,955	88.0%	\$0	\$14,177,404	88.0%	\$0	
ASUMH	\$3,555,592	\$0	\$770,755	\$4,326,347	1,234	6,905,956	62.6%	\$853,120	\$5,179,467	75.0%	\$853,120	
ASUN	\$5,992,293	\$0	\$1,326,139	\$7,318,432	1,517	9,024,899	81.1%	\$0	\$7,318,432	81.1%	\$0	
BRTC	\$6,011,126	\$0	\$2,100,311	\$8,111,437	2,196	11,819,255	68.6%	\$753,004	\$8,864,441	75.0%	\$753,004	
CCCUA	\$3,327,570	\$0	\$1,263,191	\$4,590,761	1,083	6,920,982	66.3%	\$599,975	\$5,190,737	75.0%	\$599,975	
EACC	\$5,788,058	\$723,390	\$0	\$6,511,448	1,030	6,774,828	96.1%	\$0	\$6,511,448	96.1%	\$0	
MSCC	\$3,791,767	\$0	\$2,049,520	\$5,841,287	1,354	8,393,761	69.6%	\$454,034	\$6,295,321	75.0%	\$454,034	
NAC	\$7,966,091	\$427,226	\$538,057	\$8,931,374	1,924	11,271,257	79.2%	\$0	\$8,931,374	79.2%	\$0	
NPCC	\$8,900,297	\$1,081,932	\$624,909	\$10,607,138	2,767	14,821,246	71.6%	\$508,796	\$11,115,935	75.0%	\$508,796	
NWACC	\$9,784,051	\$956,149	\$0	\$10,740,200	5,755	22,081,425	48.6%	\$5,820,869	\$16,561,069	75.0%	\$5,820,869	
CotO	\$3,506,109	\$0	\$1,081,757	\$4,587,866	994	6,636,827	69.1%	\$389,754	\$4,977,620	75.0%	\$389,754	
OZC	\$2,959,592	\$0	\$1,189,761	\$4,149,353	1,252	6,968,347	59.5%	\$1,076,907	\$5,226,260	75.0%	\$1,076,907	
PCCUA	\$9,063,088	\$704,484	\$495,661	\$10,263,233	1,340	10,263,233	100.0%	\$0	\$10,263,233	100.0%	\$0	
PTC	\$14,308,659	\$0	\$2,127,031	\$16,435,690	8,437	30,813,374	53.3%	\$6,674,340	\$23,110,031	75.0%	\$6,674,340	
RMCC	\$3,201,251	\$190,949	\$0	\$3,392,200	688	4,506,920	75.3%	\$0	\$3,392,200	75.3%	\$0	
SACC	\$5,994,317	\$494,747	\$431,613	\$6,920,677	1,375	9,068,931	76.3%	\$0	\$6,920,677	76.3%	\$0	
SAUT	\$5,611,615	\$195,037	\$0	\$5,806,652	1,358	8,475,615	68.5%	\$550,059	\$6,356,711	75.0%	\$550,059	
SEAC	\$5,636,799	\$0	\$1,847,727	\$7,484,526	1,571	9,010,629	83.1%	\$0	\$7,484,526	83.1%	\$0	
UACCB	\$4,020,646	\$0	\$810,822	\$4,831,468	1,341	7,731,660	62.5%	\$967,277	\$5,798,745	75.0%	\$967,277	
UACCH	\$4,491,997	\$0	\$1,832,524	\$6,324,521	1,127	6,936,255	91.2%	\$0	\$6,324,521	91.2%	\$0	
UACCM	\$4,735,870	\$0	\$1,207,858	\$5,943,728	1,978	10,897,481	54.5%	\$2,229,383	\$8,173,111	75.0%	\$2,229,383	
Total	\$139,268,755	\$6,849,155	\$21,131,608	\$167,249,519	45,314	\$235,383,614	71.1%	\$20,877,520	\$188,127,038	79.9%	\$20,877,520	

Table D. NonFormula Entities with Recommendation for at Least 75% Funding of Need



Institution/Entity	2011-12				2012-13					AHECB Total New RSA Recommended
	Base				100% Need	To bring all to at least 75%			TOTAL	
	RSA	EETF	Workforce 2000	Total Base	Need	% of Need Met	Equity Funds Needed @ 75%	New Total	% of Need	
ADTEC/ADWIRED				\$0	\$3,300,533	0.0%	\$2,475,400	\$2,475,400	75.0%	\$2,475,400
AREON				\$0	\$602,062	0.0%	\$451,547	\$451,547	75.0%	\$451,547
ASU-System	\$2,362,680	\$0		\$2,362,680	\$2,613,560	90.4%	\$0	\$2,362,680	90.4%	\$0
HSU-SWATLC	\$210,585	\$0		\$210,585	\$216,903	97.1%	\$0	\$210,585	97.1%	\$0
SAUT-ECC	\$368,404	\$32,592		\$400,996	\$412,048	97.3%	\$0	\$400,996	97.3%	\$0
SAUT-FTA	\$1,651,221	\$82,490		\$1,733,711	\$1,985,328	87.3%	\$0	\$1,733,711	87.3%	\$0
UA-SYS	\$3,417,950	\$253,034		\$3,670,984	\$3,897,523	94.2%	\$0	\$3,670,984	94.2%	\$0
UA-AS	\$2,327,380	\$125,080		\$2,452,460	\$2,620,245	93.6%	\$0	\$2,452,460	93.6%	\$0
UA-DivAgri	\$62,800,138	\$5,200,192		\$68,000,330	\$72,144,334	94.3%	\$0	\$68,000,330	94.3%	\$0
UA-ASMSA	\$1,113,015	\$6,860,926		\$7,973,941	\$8,407,331	94.8%	\$0	\$7,973,941	94.8%	\$0
UA-CS	\$2,295,575	\$0		\$2,295,575	\$2,364,442	97.1%	\$0	\$2,295,575	97.1%	\$0
UA-CJI	\$1,825,769	\$0		\$1,825,769	\$1,993,742	91.6%	\$0	\$1,825,769	91.6%	\$0
UALR-RAPS*	\$3,588,916	\$0		\$3,588,916	\$5,256,583	68.3%	\$353,521	\$3,942,437	75.0%	\$353,521
UAMS	\$97,716,239	\$8,784,582		\$106,500,821	\$129,092,334	82.5%	\$0	\$106,500,821	82.5%	\$0
UAMS-Child Safety	\$720,586	\$0		\$720,586	\$742,204	97.1%	\$0	\$720,586	97.1%	\$0
UAMS-IC	\$5,342,181	\$218,594		\$5,560,775	\$5,721,040	97.2%	\$0	\$5,560,775	97.2%	\$0
UAPB-Nonformula	\$3,647,592	\$0		\$3,647,592	\$4,607,020	79.2%	\$0	\$3,647,592	79.2%	\$0
ASU-Heritage	\$300,000	\$0		\$300,000	\$2,176,831	13.8%	\$1,332,623	\$1,632,623	75.0%	\$1,332,623
UAF-GWG	\$0	\$0		\$0	\$500,000	0.0%	\$375,000	\$375,000	75.0%	\$375,000
Total	\$189,688,231	\$21,557,490	\$0	\$211,245,721	\$248,654,063	85.0%	\$4,988,090	\$216,233,811	87.0%	\$4,988,090

Table E. Technical Centers with Recommendation for at Least 75% Funding of Need



Institution/Entity	2011-12				2012-13					
	RSA	EETF	Workforce 2000	Total Base	100% Need		To bring all to at least 75%			TOTAL
					Formula Determined Need	% of Need Met	Equity Funds Needed @ 75%	New Total	% of Need	AHECB Total New RSA Recommended
ATU-Ozark	\$2,394,591		\$743,216	\$3,137,807	\$7,199,373	43.6%	\$2,261,723	\$5,399,530	75.0%	\$2,261,723
UAM-Crossett	\$1,154,300		\$614,354	\$1,768,655	\$2,416,758	73.2%	\$43,914	\$1,812,569	75.0%	\$43,914
UAM-McGehee	\$1,723,918		\$660,798	\$2,384,716	\$2,837,376	84.0%	\$0	\$2,384,716	84.0%	\$0
Total	\$5,272,810	\$0	\$2,018,368	\$7,291,178	\$12,453,507	58.5%	\$2,305,636	\$9,596,814	77.1%	\$2,305,636

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Table 2: DEFINITIONS OF EXPENDITURE CATEGORIES

Academic Support. This category includes funds expended primarily to provide support for an institution's primary mission--teaching, research, and public service. Academic support is comprised of the following sub-categories:

Libraries. Includes salaries and operating expenses of separately organized libraries and media centers that are the responsibility of the librarian.

Museums and Galleries. Includes expenditures for activities that provide for the collection, preservation, and exhibition of historical materials, art objects, scientific displays, etc.

Organized Activities Related to Educational Departments. Includes expenditures for activities that provide support services to the three primary programs: teaching, research, and public service. These activities usually provide an opportunity for students to gain practical experience. Examples of organized activities are campus radio stations or farms.

Other Academic Support. Includes educational media services, academic computing, academic administration (deans and their support costs), and faculty development.

Equipment Replacement. Funds are provided for the replacement of worn or obsolete equipment. This is not an expenditure category in accounting terms since the expenditures will occur in other categories where equipment is used.

Institutional Support. This category includes administrative costs for institutional management such as the president's office, fiscal and personnel management, chief academic and student service officers, administrative computing, and other central institutional services that cannot be allocated to a specific department or activity.

Instruction. This category includes expenditures for all activities that are part of an institution's instructional program. Expenditures for credit and non-credit courses, for academic, vocational, and technical instruction, for remedial and tutorial instruction, and for any regular, special, and extension sessions are included. This category includes the following sub-categories:

Teaching Salaries. Includes only that portion of faculty salaries devoted to the teaching of credit-equivalency courses.

Departmental Operating Expenses. Includes salaries, wages, equipment, and operating expenses of instructional departments exclusive of teaching salaries.

Off-Campus Credit. Includes all credit courses offered anywhere other than in the facilities or on the grounds of a four-year institution, branch campus, or technical college. Included are expenditures for community college courses offered anywhere outside the district in which the community college is located.

Non-Credit Instruction. Encompasses courses which are not part of a degree or certificate program, such as community service courses.

Plant Maintenance and Operation. This category includes all costs associated with operating the campus buildings including utilities, and routine repair and maintenance of buildings.

Public Service. This category includes expenditures for non-instructional services beneficial to individuals and groups external to the institution. Examples are workshops, administration of non-credit courses, concerts and other cultural events intended primarily for the public, and cooperative extension services.

Research. This category includes all expenditures for activities specifically and separately organized to produce research outcomes. Included are expenditures for institutes, research centers, and program and project research.

Scholarships and Fellowships. This category includes expenditures in the form of outright grants to students selected by the institution and financed from unrestricted funds in the form of tuition remission. Performance or athletic scholarships are not included.

Student Services. This category includes expenditures for the offices of admissions, registrar, deans of men and women, financial aid, and counseling. Student service activities are those that contribute to the student's emotional and physical well-being and to their intellectual, cultural, and social development outside the context of formal academic instruction.

Transfers. Included are funds that are transferred from the unrestricted current funds group to any of the restricted fund groups. Transfers are classified in the following three categories:

Auxiliary Transfers. This item applies to the four-year institutions and their two-year branches. An auxiliary enterprise is an entity that exists to furnish goods or services to students, faculty, or staff, and charges a fee directly related to, although not necessarily equal to, the cost of the goods or services. Most auxiliaries are expected to be self-supporting. These include dormitories, bookstores, food services, and health services. Student organizations, publications, and college unions may require a subsidy from the unrestricted educational and general budget.

Mandatory Transfers. This category includes transfers to restricted fund groups, excluding auxiliaries, arising out of legal agreements related to the financing of educational facilities, and grant agreements with the federal government or other external sources.

Non-Mandatory Transfers. This category includes transfers from the unrestricted current funds group to any restricted fund group to serve a variety of objectives unique to an institution. The most common non-mandatory transfers are to auxiliaries, to the plant reserve fund, and other transfers to loan funds, quasi-endowment funds, or pre-payments of debt principal.

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Table 3. Annual Full-Time Equivalent Enrollment (FTE) for FY2010-11

Four-Year Universities		Two-Year Colleges			
	2010-11 FTE		2010-11 FTE		2010-11 FTE
ASUJ	11,236	ANC	1,434	NWACC	5,755
ATU	7,391	ASUB	3,558	OZC	1,252
HSU	3,489	ASUMH	1,234	PCCUA	1,340
SAUM	3,023	ASUN	1,517	PTC	8,437
UAF	18,627	BRTC	2,196	RMCC	688
UAFS	6,309	COTO	994	SACC	1,375
UALR	9,467	CCCUA	1,083	SAUT	1,358
UAM	2,354	EACC	1,030	SEAC	1,571
UAPB	3,045	MSCC	1,354	UACCB	1,341
UCA	9,962	NAC	1,924	UACCH	1,127
		NPCC	2,767	UACCM	1,978
Subtotal	74,903				45,312
Grand Total					120,215

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TABLE 4
2010-11 Full-time Annualized Fall Tuition and Mandatory Fees

TWO-YEAR INSTITUTION RESIDENT

INSTITUTION	ADHE ESTIMATE OF 2010-11 SREB AVERAGE TUITION & FEES	2010-11 ANNUAL TUITION	2010-11 ANNUAL FEES	2010-11 TUITION & FEES
LOCAL TAXES (IN-DISTRICT)				
ANC	2,273	1,620	220	1,840
CCCUA	2,273	1,500	280	1,780
EACC	2,273	1,950	240	2,190
MSCC	2,273	1,950	320	2,270
NAC	2,273	1,770	150	1,920
NPCC	2,273	2,040	330	2,370
NWACC	2,273	2,100	513	2,613
PCCUA	2,273	1,710	440	2,150
RMCC	2,273	1,710	330	2,040
SACC	2,273	2,130	220	2,350
UACCB	2,273	1,710	590	2,300
UACCH	2,273	1,695	276	1,971
UACCM	2,273	2,190	630	2,820
AVERAGE ANNUAL	2,273	1,852	349	2,201
LOCAL TAXES (OUT-OF-DISTRICT/IN-STATE)				
ANC	2,643	1,920	220	2,140
ASUMH	2,643	2,400	510	2,910
CCCUA	2,643	1,800	280	2,080
EACC	2,643	2,190	240	2,430
MSCC	2,643	2,400	320	2,720
NAC	2,643	2,430	150	2,580
NPCC	2,643	2,340	330	2,670
NWACC	2,643	3,300	513	3,813
PCCUA	2,643	2,010	440	2,450
RMCC	2,643	2,100	330	2,430
SACC	2,643	2,400	220	2,620
UACCB	2,643	2,070	590	2,660
UACCH	2,643	1,845	276	2,121
UACCM	2,643	2,400	630	3,030
AVERAGE ANNUAL	2,643	2,258	361	2,618
NO LOCAL TAXES (IN-STATE)				
ASUB	2,643	2,430	360	2,790
ASUN	2,643	2,340	210	2,550
BRTC	2,643	2,100	360	2,460
OTC	2,643	1,800	512	2,312
OZC	2,643	2,250	470	2,720
PTC	2,643	2,460	400	2,860
SAUT	2,643	2,640	630	3,270
SEAC	2,643	2,340	430	2,770
AVERAGE ANNUAL	2,643	2,295	422	2,717

Full-time undergraduate is defined as 30 credit hours per year per SREB guidelines.

TABLE 4
2010-11 Full-time Annualized Fall Tuition and Mandatory Fees

TWO-YEAR INSTITUTION NON-RESIDENT

INSTITUTION	ADHE ESTIMATE OF 2010-11 SREB AVERAGE TUITION & FEES	2010-11 ANNUAL TUITION	2010-11 ANNUAL FEES	2010-11 TUITION & FEES
LOCAL TAXES				
ASUMH	8,196	4,050	510	4,560
ANC	8,196	3,420	220	3,640
CCCUA	8,196	4,500	280	4,780
EACC	8,196	2,610	240	2,850
MSCC	8,196	4,200	320	4,520
NAC	8,196	4,560	150	4,710
NPCC	8,196	4,020	330	4,350
NWACC	8,196	4,650	513	5,163
PCCUA	8,196	3,210	440	3,650
RMCC	8,196	5,100	330	5,430
SACC	8,196	4,380	220	4,600
UACCB	8,196	4,200	590	4,790
UACCH	8,196	3,615	276	3,891
UACCM	8,196	3,510	630	4,140
AVERAGE ANNUAL	8,196	4,002	361	4,362
NO LOCAL TAXES				
ASUB	8,196	4,170	360	4,530
ASUN	8,196	3,930	210	4,140
BRTC	8,196	5,460	360	5,820
OTC	8,196	3,600	512	4,112
OZC	8,196	5,040	470	5,510
PTC	8,196	4,050	400	4,450
SAUT	8,196	3,960	630	4,590
SEAC	8,196	4,680	430	5,110
AVERAGE ANNUAL	8,196	4,361	422	4,783

Full-time undergraduate is defined as 30 credit hours per year per SREB guidelines.

TABLE 4
2010-11 Full-time Annualized Fall Tuition and Mandatory Fees
FOUR-YEAR INSTITUTION UNDERGRADUATE RESIDENT

INSTITUTION	ADHE ESTIMATE OF 2010-11 SREB AVERAGE TUITION & FEES	2010-11 ANNUAL TUITION	2010-11 ANNUAL FEES	2010-11 TUITION & FEES
UAF	7,601	5,210	1,557	6,767
LEVEL 1 GROUP AVERAGE	7,601	5,210	1,557	6,767
ASUJ	6,452	5,100	1,540	6,640
UALR	6,452	5,228	1,415	6,643
UCA	6,452	5,235	1,673	6,908
LEVEL 3 GROUP AVERAGE	6,452	5,188	1,543	6,730
ATU	6,345	5,100	808	5,908
HSU	6,345	5,340	1,104	6,444
LEVEL 4 GROUP AVERAGE	6,345	5,220	956	6,176
SAUM	5,051	5,340	1,086	6,426
UAM	5,051	3,600	1,390	4,990
LEVEL 5 GROUP AVERAGE	5,051	4,470	1,238	5,708
UAPB	5,096	3,750	1,283	5,033
UAFS	5,096	3,570	1,348	4,918
LEVEL 6 GROUP AVERAGE	5,096	3,660	1,316	4,976

FOUR-YEAR INSTITUTION UNDERGRADUATE NON-RESIDENT

INSTITUTION	ADHE ESTIMATE OF 2010-11 SREB AVERAGE TUITION & FEES	2010-11 ANNUAL TUITION	2010-11 ANNUAL FEES	2010-11 TUITION & FEES
UAF	20,842	14,443	1,557	16,000
LEVEL 1 GROUP AVERAGE	20,842	14,443	1,557	16,000
ASUJ	15,643	13,320	1,540	14,860
UALR	15,643	14,175	1,415	15,590
UCA	15,643	10,470	1,673	12,143
LEVEL 3 GROUP AVERAGE	15,643	12,655	1,543	14,198
ATU	14,857	10,200	808	11,008
HSU	14,857	10,680	1,104	11,784
LEVEL 4 GROUP AVERAGE	14,857	10,440	956	11,396
SAUM	14,820	8,100	1,086	9,186
UAM	14,820	8,250	1,390	9,640
LEVEL 5 GROUP AVERAGE	14,820	8,175	1,238	9,413
UAPB	12,287	8,700	1,283	9,983
UAFS	12,287	9,540	1,348	10,888
LEVEL 6 GROUP AVERAGE	12,287	9,120	1,316	10,436

Full-time undergraduate is defined as 30 credit hours per year per SREB guidelines.

TABLE 4
2010-11 Full-time Annualized Fall Tuition and Mandatory Fees
FOUR-YEAR INSTITUTION GRADUATE RESIDENT

INSTITUTION	ADHE ESTIMATE OF 2010-11 SREB AVERAGE TUITION & FEES	2010-11 ANNUAL TUITION	2010-11 ANNUAL FEES	2010-11 TUITION & FEES
UAF	8,095	7,355	1,203	8,558
LEVEL 1 GROUP AVERAGE	8,095	7,355	1,203	8,558
ASUJ	5,756	4,680	714	5,394
UALR	5,756	5,880	1,132	7,012
UCA	5,756	5,160	1,369	6,529
LEVEL 3 GROUP AVERAGE	5,756	5,240	1,072	6,312
ATU	6,378	4,680	714	5,394
HSU	6,378	5,304	1,003	6,307
LEVEL 4 GROUP AVERAGE	6,378	4,992	859	5,851
SAUM	4,828	5,304	866	6,170
UAM	4,828	4,320	1,104	5,424
LEVEL 5 GROUP AVERAGE	4,828	4,812	985	5,797
UAPB	5,692	3,840	1,062	4,902
LEVEL 6 GROUP AVERAGE	5,692	3,840	1,062	4,902

FOUR-YEAR INSTITUTION GRADUATE NON-RESIDENT

INSTITUTION	ADHE ESTIMATE OF 2010-11 SREB AVERAGE TUITION & FEES	2010-11 ANNUAL TUITION	2010-11 ANNUAL FEES	2010-11 TUITION & FEES
UAF	20,100	17,401	1,203	18,604
LEVEL 1 GROUP AVERAGE	20,100	17,401	1,203	18,604
ASUJ	13,376	13,224	1,246	14,470
UALR	13,376	13,356	1,132	14,488
UCA	13,376	10,320	1,369	11,689
LEVEL 3 GROUP AVERAGE	13,376	12,300	1,249	13,549
ATU	14,535	9,360	714	10,074
HSU	14,535	10,608	1,003	11,611
LEVEL 4 GROUP AVERAGE	14,535	9,984	859	10,843
SAUM	12,431	7,800	866	8,666
UAM	12,431	9,600	1,104	10,704
LEVEL 5 GROUP AVERAGE	12,431	8,700	985	9,685
UAPB	13,339	8,856	1,062	9,918
LEVEL 6 GROUP AVERAGE	13,339	8,856	1,062	9,918

TABLE 4

2010-11 Full-time Annualized Fall Tuition and Mandatory Fees

PROFESSIONAL UNDERGRADUATE

INSTITUTION	ADHE ESTIMATE OF 2010-11 SREB AVERAGE TUITION & FEES	2010-11 ANNUAL TUITION	2010-11 ANNUAL FEES	2010-11 TUITION & FEES
RESIDENT				
UAMS - HRP	N/A	6,240	240	6,480
UAMS- NURSING	N/A	5,256	265	5,521
NONRESIDENT				
UAMS - HRP	N/A	15,576	240	15,816
UAMS- NURSING	N/A	13,080	256	13,336

PROFESSIONAL GRADUATE

INSTITUTION	ADHE ESTIMATE OF 2010-11 SREB AVERAGE TUITION & FEES	2010-11 ANNUAL TUITION	2010-11 ANNUAL FEES	2010-11 TUITION & FEES
RESIDENT				
UAF-LAW	14,782	7,748	1,281	9,029
UALR-LAW	14,782	8,050	1,539	9,589
UAMS-MEDICINE	19,842	17,980	1,177	19,157
UAMS-PHARMACY	17,266	12,140	525	12,665
UAMS-GRADUATE	N/A	5,840	240	6,080
NONRESIDENT				
UAF-LAW	29,123	16,656	1,281	17,937
UALR-LAW	29,123	17,646	1,539	19,185
UAMS-MEDICINE	39,662	35,690	1,177	36,867
UAMS-PHARMACY	31,365	24,280	525	24,805
UAMS-GRADUATE	N/A	12,540	240	12,780

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UNIVERSITIES

**INSTITUTION APPROPRIATION SUMMARY
FISCAL YEAR 2013**

INSTITUTION ARKANSAS STATE UNIVERSITY

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
	2010-11		2011-12		2011-12		2012-13			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	62,179,775		61,970,382		65,795,395		73,640,695		73,640,695	
2 CASH	84,544,823		237,174,683		237,174,683		237,174,683		237,174,683	
3 ARKANSAS BIOSCIENCES INSTITUTE	3,117,833		5,139,961		5,139,961		5,319,859		5,319,859	
4										
5										
6										
7										
8										
9										
10										
11 TOTAL	\$149,842,431	1,786	\$304,285,026	1,840	\$308,110,039	**2,069	\$316,135,237	2,069	\$316,135,237	2,069
FUNDING SOURCES		%		%				%		%
12 PRIOR YEAR FUND BALANCE*	715,809	0%		0%				0%		0%
13 GENERAL REVENUE	55,858,938	37%	56,158,937	18%			67,846,880	21%	67,846,880	21%
14 EDUCATIONAL EXCELLENCE TRUST FUND	5,557,808	4%	5,793,815	2%			5,793,815	2%	5,793,815	2%
15 WORKFORCE 2000		0%		0%				0%		0%
16 CASH FUNDS	84,544,823	56%	237,174,683	78%			237,174,683	75%	237,174,683	75%
17 SPECIAL REVENUES		0%		0%				0%		0%
18 FEDERAL FUNDS		0%		0%				0%		0%
19 TOBACCO SETTLEMENT FUNDS	3,118,442	2%	5,139,961	2%			5,319,859	2%	5,319,859	2%
20 OTHER FUNDS	47,220	0%	17,630	0%				0%		0%
21 TOTAL INCOME	\$149,843,040	100%	\$304,285,026	100%			\$316,135,237	100%	\$316,135,237	100%
22 EXCESS (FUNDING)/APPROPRIATION	(\$609)		\$0				\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2011:	\$21,344,376
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$2,006,873
INVENTORIES	\$998,650
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	n/a
INSURANCE DEDUCTIBLES	\$100,000
MAJOR CRITICAL SYSTEMS FAILURES	
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$12,217,471
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$6,021,382

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

Other State Treasury Funds:	Actual 2010-2011	Budgeted 2011-2012
Tuition Adjustment	\$ 45,961	
M & R Transfer	1,259	17,630
Total	<u>\$ 47,220</u>	<u>\$ 17,630</u>

**APPROPRIATION ACT FORM - STATE TREASURY
FISCAL YEAR 2013**

FUND CKA0000

INSTITUTION Arkansas State University

APPROPRIATION 299

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12***	INSTITUTIONAL REQUEST /	LEGISLATIVE
				AHECB RECOMMENDATION 2012-13	RECOMMENDATION 2012-13
1 REGULAR SALARIES	48,761,770	49,405,069	49,705,069	55,705,069	52,190,322
2 EXTRA HELP WAGES	60,000	0	60,000	60,000	60,000
3 PERSONAL SERVICES MATCHING	6,550,000	6,763,927	6,763,927	8,843,927	7,102,123
4 OVERTIME	0	0	0	0	0
5 OPERATING EXPENSES	6,106,746	4,983,756	6,447,115	6,699,076	6,880,227
6 CONFERENCE FEES & TRAVEL	0	0		0	
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)	0	0		0	
8 CAPITAL OUTLAY	200,000		200,000	200,000	200,000
9 FUNDED DEPRECIATION	500,000	500,000	500,000	500,000	500,000
10 M & R EXPENSES	1,259	17,630	0		
11 HERITAGE SITES		300,000	2,119,284	1,632,623	2,182,863
12					
13 TOTAL APPROPRIATION	\$62,179,775	\$61,970,382	\$65,795,395	\$73,640,695	\$69,115,535
14 PRIOR YEAR FUND BALANCE**	715,809				
15 GENERAL REVENUE	55,858,938	56,158,937		67,846,880	67,846,880
16 EDUCATIONAL EXCELLENCE TRUST FUND	5,557,808	5,793,815		5,793,815	5,793,815
17 SPECIAL REVENUES * [WF2000]					
18 FEDERAL FUNDS IN STATE TREASURY					
19 TOBACCO SETTLEMENT FUNDS					
20 OTHER STATE TREASURY FUNDS	47,220	17,630			
21 TOTAL INCOME	\$62,179,775	\$61,970,382		\$73,640,695	\$73,640,695
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	(\$4,525,160)

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

***Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

FORM 12-4

Other State Treasury Funds:

	Actual 2010-2011	Budgeted 2011-2012
Tuition Adjustment	\$ 45,961	
M & R Transfer	1,259	17,630
Total	<u>\$ 47,220</u>	<u>\$ 17,630</u>

Allocations:

(1) Jonesboro Campus	\$	69,645,392
(2) ASU-System Administration		2,362,680
(3) Heritage SITES		1,632,623
	<u>\$</u>	<u>73,640,695</u>

**APPROPRIATION ACT FORM - STATE TREASURY
FISCAL YEAR 2013**

FUND TSF0100

INSTITUTION ARKANSAS STATE UNIVERSITY-JONESBORO
ARKANSAS BIOSCIENCES INSTITUTE

APPROPRIATION 318

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12***	INSTITUTIONAL REQUEST /	LEGISLATIVE
				AHECB RECOMMENDATION 2012-13	RECOMMENDATION 2012-13
1 REGULAR SALARIES	1,617,423	2,048,233	2,048,233	2,119,921	2,119,921
2 EXTRA HELP WAGES	0	15,000	15,000	15,000	15,000
3 PERSONAL SERVICES MATCHING	378,326	528,374	528,374	546,867	546,867
4 OVERTIME	0	0		0	0
5 OPERATING EXPENSES	1,093,966	2,393,096	2,393,096	2,476,854	2,476,854
6 CONFERENCE FEES & TRAVEL	0	0			
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)	0	0			
8 CAPITAL OUTLAY	28,118	155,258	155,258	161,217	161,217
9 FUNDED DEPRECIATION	0		0		
10					
11					
12					
13 TOTAL APPROPRIATION	\$3,117,833	\$5,139,961	\$5,139,961	\$5,319,859	\$5,319,859
14 PRIOR YEAR FUND BALANCE**					
15 GENERAL REVENUE					
16 EDUCATIONAL EXCELLENCE TRUST FUND					
17 SPECIAL REVENUES * [WF2000]					
18 FEDERAL FUNDS IN STATE TREASURY					
19 TOBACCO SETTLEMENT FUNDS	3,118,442	5,139,961		5,319,859	5,319,859
20 OTHER STATE TREASURY FUNDS					
21 TOTAL INCOME	\$3,118,442	\$5,139,961		\$5,319,859	\$5,319,859
22 EXCESS (FUNDING)/APPROPRIATION	(\$609)	\$0		\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

***Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

FORM 12-4

**APPROPRIATION ACT FORM - CASH FUNDS
FISCAL YEAR 2013**

FUND 2050000

ARKANSAS STATE UNIVERSITY-JONESBORO

APPROPRIATION A70

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12**	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
				2012-13	2012-13
1 REGULAR SALARIES	19,077,113	22,032,300	22,032,300	22,032,300	22,032,300
2 EXTRA HELP WAGES	9,293,131	13,551,373	13,551,373	13,551,373	13,551,373
3 PERSONAL SERVICES MATCHING	3,110,818	14,459,570	14,459,570	14,459,570	14,459,570
4 OVERTIME	0	200,000	200,000	200,000	200,000
5 OPERATING EXPENSES	30,494,799	53,947,069	53,947,069	53,947,069	53,947,069
6 CONFERENCE FEES & TRAVEL	0	4,029,129	4,029,129	4,029,129	4,029,129
7 PROFESSIONAL FEES AND SERVICES	4,246,089	10,947,230	10,947,230	10,947,230	10,947,230
8 CAPITAL OUTLAY	17,602,853	11,710,654	11,710,654	11,710,654	11,710,654
9 CAPITAL IMPROVEMENTS	0	93,972,358	93,972,358	93,972,358	93,972,358
10 DEBT SERVICE	557,341	8,000,000	8,000,000	8,000,000	8,000,000
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS	0	4,000,000	4,000,000	4,000,000	4,000,000
12 RESALE	0	125,000	125,000	125,000	125,000
13 PROMOTIONAL MATERIALS	162,679	200,000	200,000	200,000	200,000
14					
15					
16 TOTAL APPROPRIATION	\$84,544,823	\$237,174,683	\$237,174,683	\$237,174,683	\$237,174,683
17 PRIOR YEAR FUND BALANCE*					
18 LOCAL CASH FUNDS	84,544,823	237,174,683		237,174,683	237,174,683
19 FEDERAL CASH FUNDS					
20 OTHER CASH FUNDS					
21 TOTAL INCOME	\$84,544,823	\$237,174,683		\$237,174,683	\$237,174,683
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED 2011-12	REQUESTED 2012-13	LEGISLATIVE RECOMMENDATION 2012-13
REGULAR POSITIONS	1,786	1,840	****2,069	2,069	2,069
TOBACCO POSITIONS	33	37	48	48	48
EXTRA HELP ***	1,419	1,419	2,114	2,114	2,114

*Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM 12-5

**Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

*** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

**** Authorized column includes 2 positions acquired from the central pool.

All Extra Help position #'s include both Tobacco & Arkansas State University Extra Help Positions. ASUJ is currently authorized 2,064 extra help positions and ABI is authorized 50.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

Arkansas State University-Jonesboro
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2011-12:						<u>1550</u>
(As of November 1, 2011)						
Nonclassified Administrative Employees:						
White Male:	<u>139</u>	Black Male:	<u>21</u>	Other Male:	<u>14</u>	Total Male: <u>174</u>
White Female:	<u>225</u>	Black Female:	<u>30</u>	Other Female:	<u>12</u>	Total Female: <u>267</u>
Nonclassified Health Care Employees:						
White Male:	<u>0</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>0</u>
White Female:	<u>0</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>220</u>	Black Male:	<u>28</u>	Other Male:	<u>13</u>	Total Male: <u>261</u>
White Female:	<u>303</u>	Black Female:	<u>35</u>	Other Female:	<u>15</u>	Total Female: <u>353</u>
Faculty:						
White Male:	<u>197</u>	Black Male:	<u>10</u>	Other Male:	<u>40</u>	Total Male: <u>247</u>
White Female:	<u>202</u>	Black Female:	<u>21</u>	Other Female:	<u>25</u>	Total Female: <u>248</u>
Total White Male:	<u>556</u>	Total Black Male:	<u>59</u>	Total Other Male:	<u>67</u>	Total Male: <u>682</u>
Total White Female:	<u>730</u>	Total Black Female:	<u>86</u>	Total Other Female:	<u>52</u>	Total Female: <u>868</u>
Total White:	<u>1286</u>	Total Black:	<u>145</u>	Total Other:	<u>119</u>	Total Employees: <u>1550</u>
				Total Minority:	<u>264</u>	

FORM 12-8

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$25,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2011
 Required by A.C.A. 25-36-104

Institution **Arkansas State University-Jonesboro**

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
Comfort Suites Jonesboro	\$59,567				X		

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 1

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$2,205,242

% OF MINORITY CONTRACTS AWARDED 3%

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF Arkansas State University - Jonesboro
June 30, 2010

<p><i>Finding No. 1:</i></p>	<p>The University paid accumulated unused sick leave, totaling \$84,034, to non-classified employees upon their retirement from the University during the period July 1, 2009 through September 7, 2010. Arkansas Code Annotated does not specifically permit such payments and Op. Att’y Gen. no. 2005-211 states that such payments are not authorized for non-classified employees of institutions of higher education.</p>
<p><i>Institution’s Response:</i></p>	<p>On June 17, 2009, University Counsel requested assistance from the Office of the Attorney General in determining whether all employees of agencies of the State of Arkansas could be, or must be, paid for unused sick leave on retirement or death. On July 15, 2009, Don Barnes, Assistant Attorney General and Liaison Counsel to Arkansas State University, responded that the university “may pay unused sick leave upon retirement to all classified and unclassified employees.” On December 11, 2009, the Board of Trustees adopted a resolution specifically citing the opinion from the Attorney General’s office and their desire to make payment for unused sick leave available to all retiring employees within the Arkansas State University System. The institution acted in good faith and upon advice of counsel from the office of the Attorney General when making payment for unused sick leave. ASU Jonesboro has suspended payments of unused sick leave pending clarification by the Legislature in the upcoming session.</p>
<p><i>Finding No. 2:</i></p>	<p>In February 2010, the University entered into a contract with a company (Company) to provide on-line educational services to the University. An employee, then serving as President of the University, signed this contract on the University’s behalf. This employee resigned as President on June 30, 2010 and began employment as a tenured professor of the University on July 1,</p>

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF Arkansas State University - Jonesboro
June 30, 2010

	2010. This employee also began providing consulting services to the Company on July 1, 2010.
	Review this matter for compliance with Ark. Code Ann. §§ 19-11-701 to -717 and request the employee to obtain an advisory opinion or waiver under Ark. Code Ann. § 19-11-715.
<i>Institution's Response:</i>	Review of A.C.A. § 19-11-701 to 717 indicates this employee did not violate any of the ethic laws. The employee has been asked to obtain an advisory opinion or waiver under A.C.A. § 19-11-715.

**INSTITUTION APPROPRIATION SUMMARY
FISCAL YEAR 2013**

INSTITUTION ARKANSAS TECH UNIVERSITY

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
	2010-11		2011-12		2011-12		2012-13			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	34,410,955		34,046,481		35,044,616		38,040,169		38,040,169	
2 CASH	57,545,767		112,037,398		112,037,398		112,037,398		112,037,398	
3										
4										
5										
6										
7										
8										
9										
10										
11 TOTAL	\$91,956,722	986	\$146,083,879	1,056	\$147,082,014	1277****	\$150,077,567	1,277	\$150,077,567	1,277
FUNDING SOURCES		%		%				%		%
12 PRIOR YEAR FUND BALANCE*	424,485	0%		0%				0%		0%
13 GENERAL REVENUE	31,361,139	34%	31,361,139	21%			35,354,827	24%	35,354,827	24%
14 EDUCATIONAL EXCELLENCE TRUST FUND	1,863,014	2%	1,942,126	1%			1,942,126	1%	1,942,126	1%
15 WORKFORCE 2000	762,317	1%	743,216	1%			743,216	0%	743,216	0%
16 CASH FUNDS	57,545,767	63%	112,037,398	77%			112,037,398	75%	112,037,398	75%
17 SPECIAL REVENUES	0	0%	0	0%				0%		0%
18 FEDERAL FUNDS	0	0%	0	0%				0%		0%
19 TOBACCO SETTLEMENT FUNDS	0	0%	0	0%				0%		0%
20 OTHER FUNDS	0	0%	0	0%				0%		0%
21 TOTAL INCOME	\$91,956,722	100%	\$146,083,879	100%			\$150,077,567	100%	\$150,077,567	100%
22 EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2011:	\$18,635,715
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$2,912,298
INVENTORIES	\$792,371
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	
MAJOR CRITICAL SYSTEMS FAILURES	\$3,530,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$7,033,926
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$3,432,907
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$934,213

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

***Includes 7 Growth Pool Positions

**APPROPRIATION ACT FORM - STATE TREASURY
FISCAL YEAR 2013**

FUND COA0000

INSTITUTION ARKANSAS TECH UNIVERSITY

APPROPRIATION 567

DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE
	2010-11	2011-12	2011-12***	AHECB RECOMMENDATION	RECOMMENDATION
				2012-13	2012-13
1 REGULAR SALARIES	24,375,731	23,055,163	24,023,673	26,099,596	25,131,071
2 EXTRA HELP WAGES	2,462,500	2,242,609	2,242,609	2,462,500	2,345,985
3 PERSONAL SERVICES MATCHING	3,335,088	4,858,687	4,858,687	5,211,212	5,082,653
4 OVERTIME					
5 OPERATING EXPENSES	3,888,355	3,541,141	3,541,141	3,888,355	3,704,374
6 CONFERENCE FEES & TRAVEL	400		29,625	29,625	29,625
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)					
8 CAPITAL OUTLAY					
9 FUNDED DEPRECIATION	348,881	348,881	348,881	348,881	348,881
10					
11					
12					
13 TOTAL APPROPRIATION	\$34,410,955	\$34,046,481	\$35,044,616	\$38,040,169	\$36,642,589
14 PRIOR YEAR FUND BALANCE**	424,485				
15 GENERAL REVENUE	31,361,139	31,361,139		35,354,827	35,354,827
16 EDUCATIONAL EXCELLENCE TRUST FUND	1,863,014	1,942,126		1,942,126	1,942,126
17 SPECIAL REVENUES * [WF2000]	762,317	743,216		743,216	743,216
18 FEDERAL FUNDS IN STATE TREASURY	0	0		0	
19 TOBACCO SETTLEMENT FUNDS	0	0		0	
20 OTHER STATE TREASURY FUNDS	0	0		0	
21 TOTAL INCOME	\$34,410,955	\$34,046,481		\$38,040,169	\$38,040,169
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	(\$1,397,580)

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

***Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

**APPROPRIATION ACT FORM - CASH FUNDS
FISCAL YEAR 2013**

FUND 210000

INSTITUTION ARKANSAS TECH UNIVERSITY

APPROPRIATION B11

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12**	INSTITUTIONAL REQUEST /	LEGISLATIVE
				AHECB RECOMMENDATION 2012-13	RECOMMENDATION 2012-13
1 REGULAR SALARIES	10,761,114	18,385,982	18,385,982	18,385,982	18,385,982
2 EXTRA HELP WAGES	2,238,520	3,200,000	3,200,000	3,200,000	3,450,000
3 PERSONAL SERVICES MATCHING	6,712,340	8,694,747	8,694,747	8,694,747	8,694,747
4 OVERTIME	40,915	287,775	287,775	287,775	287,775
5 OPERATING EXPENSES	17,287,064	21,339,791	21,339,791	22,219,447	21,589,690
6 CONFERENCE FEES & TRAVEL	437,905	912,500	912,500	912,500	912,500
7 PROFESSIONAL FEES AND SERVICES	201,369	2,049,603	2,049,603	2,049,603	2,049,603
8 CAPITAL OUTLAY	1,676,303	16,189,870	16,189,870	16,189,870	15,689,971
9 CAPITAL IMPROVEMENTS	12,383,032	16,207,440	16,207,440	16,207,440	16,207,440
10 DEBT SERVICE	5,807,205	7,669,690	7,669,690	7,669,690	7,669,690
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS		17,025,000	17,025,000	16,145,344	17,025,000
12 PROMOTIONAL ITEMS		75,000	75,000	75,000	75,000
13					
14					
15					
16 TOTAL APPROPRIATION	\$57,545,767	\$112,037,398	\$112,037,398	\$112,037,398	\$112,037,398
17 PRIOR YEAR FUND BALANCE*					
18 LOCAL CASH FUNDS	57,545,767	112,037,398		112,037,398	112,037,398
19 FEDERAL CASH FUNDS					
20 OTHER CASH FUNDS					
21 TOTAL INCOME	\$57,545,767	\$112,037,398		\$112,037,398	\$112,037,398
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED 2011-12	REQUESTED 2012-13	LEGISLATIVE RECOMMENDATION 2012-13
REGULAR POSITIONS	986	1,056	1277****	1,277	1,277
TOBACCO POSITIONS	0	0	0	0	0
EXTRA HELP ***	1,215	1,215	1,215	1,215	1,215

*Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM 12-5

**Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

*** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

****Includes 7 Growth Pool Positions

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

ARKANSAS TECH UNIVERSITY
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2011-12:						<u>760</u>
(As of November 1, 2011)						
Nonclassified Administrative Employees:						
White Male:	<u>82</u>	Black Male:	<u>1</u>	Other Male:	<u>6</u>	Total Male: <u>89</u>
White Female:	<u>98</u>	Black Female:	<u>0</u>	Other Female:	<u>3</u>	Total Female: <u>101</u>
Nonclassified Health Care Employees:						
White Male:	<u>0</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>0</u>
White Female:	<u>0</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>75</u>	Black Male:	<u>2</u>	Other Male:	<u>3</u>	Total Male: <u>80</u>
White Female:	<u>177</u>	Black Female:	<u>5</u>	Other Female:	<u>8</u>	Total Female: <u>190</u>
Faculty:						
White Male:	<u>140</u>	Black Male:	<u>2</u>	Other Male:	<u>15</u>	Total Male: <u>157</u>
White Female:	<u>135</u>	Black Female:	<u>2</u>	Other Female:	<u>6</u>	Total Female: <u>143</u>
Total White Male:	<u>297</u>	Total Black Male:	<u>5</u>	Total Other Male:	<u>24</u>	Total Male: <u>326</u>
Total White Female:	<u>410</u>	Total Black Female:	<u>7</u>	Total Other Female:	<u>17</u>	Total Female: <u>434</u>
Total White:	<u>707</u>	Total Black:	<u>12</u>	Total Other:	<u>41</u>	Total Employees: <u>760</u>
				Total Minority:	<u>53</u>	

FORM 12-8

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$25,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2011
 Required by A.C.A. 25-36-104

Institution **ARKANSAS TECH UNIVERSITY**

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
None							

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$258,040

% OF MINORITY CONTRACTS AWARDED 0%

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF Arkansas Tech University
June 30, 2010

<p><i>Finding No. 1:</i></p>	<p>A formal (written and approved) disaster recovery plan should be in place that addresses all aspects of the recovery process from both short and long-term interruptions of computer processing.</p> <p>The Disaster Recovery Plan in place is inadequate (both technical and end user) for restoring from short-term or long-term interruptions of computer processing.</p> <p>This situation could cause the entity to be without computer processing for an extended period of time in the event of a disaster or major interruption and could also place a financial and personnel burden on the resources of the entity.</p>
<p><i>Recommendation:</i></p>	<p>Management develop a formal Disaster Recovery Plan that addresses all aspects of the recovery process from both short and long-term interruptions of computer processing.</p>
<p><i>Institution's Response:</i></p>	<p>The University will develop a formal Disaster Recovery Plan that addresses all aspects of the recovery process from both short and long-term interruptions of computer processing.</p>
<p><i>Finding No. 2:</i></p>	<p>Backup data should be stored in a secure off-site facility.</p> <p>Backups are stored in the Corley and Pendergraft buildings located on-site.</p> <p>Failing to maintain backups at a secure off-site facility could result in loss of data and the inability to continue operations in the event of a disaster.</p>

**DIVISION OF LEGISLATIVE AUDIT
 AUDIT OF Arkansas Tech University
 June 30, 2010**

<i>Recommendation:</i>	Store backup media at a suitable, secure, off-site facility.
<i>Institution's Response:</i>	We are in the process now of redesigning our entire backup system for Banner because of the installation of the new IBM hardware which was recently purchased. This new hardware will provide us the capability to do the majority of our backup processing in a disk-to-disk operation instead of the traditional disk-to-tape method that has been used previously. We are also evaluating the possibility of relocating our redundant hardware facilities to one or more off-campus location(s) for better improving the physical security of the resources.
<i>Finding No. 3:</i>	<p>Effective data access security requires passwords to be changed after initial login and also require users' accounts to be locked after a reasonable number of unsuccessful logon attempts and remain locked until reset by security administrator.</p> <p>Passwords are not forced to be changed by system after initial logon and system allows unlimited unsuccessful logon attempts.</p> <p>Failure to establish proper password controls increases the likelihood that an unauthorized person could gain access to the system.</p>
<i>Recommendation:</i>	The University implement strengthened password controls.
<i>Institution's Response:</i>	We presently have a system in place that requires password changes every 120 days. We will take steps to strengthen access security, including reviewing password controls.

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF Arkansas Tech University
June 30, 2010

<p><i>Finding No. 4:</i></p>	<p>Unless Noted, Remaining Comments 4 through 7 Below Apply to Both Banner Payroll and Student Accounts Receivable Modules”</p> <p>Effective data access security requires passwords to be changed after initial login and also require users’ accounts to be locked after a reasonable number of unsuccessful logon attempts and remain locked until reset by security administrator.</p> <p>Application security access should be restricted to what is required to perform job functions.</p> <p>Some users were identified that had inappropriate (excessive) accesses to the application.</p> <p>Failure to properly assign access permission increases the risk of accidental or intentional unauthorized transactions or data manipulation.</p>
<p><i>Recommendation:</i></p>	<p>The Administrator review and revise security accounts to restrict access to only what is necessary for users to perform their job functions.</p>
<p><i>Institution’s Response:</i></p>	<p>We will revise security accounts to restrict access to only what is necessary for users to perform their job functions.</p>
<p><i>Finding No. 5:</i></p>	<p>Information System Control Standards dictate that programming personnel should not have the ability to update or move programming changes into the production system.</p> <p>Programming personnel have the ability to update and move programming changes into the production system.</p>

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF Arkansas Tech University
June 30, 2010

	<p>Unauthorized changes are more likely to occur when programming staff are allowed to update or move programming changes into production.</p>
<p><i>Recommendation:</i></p>	<p>A person outside the programming area should be assigned the responsibility of moving changed programs into production only after they have the proper signoffs from programming supervisor/end users requesting change. Programmers should not have update access to the production system.</p>
<p><i>Institution's Response:</i></p>	<p>Limited staff, the large number of functional areas to be supported and the large volume of code to be developed and maintained caused a lack of separation of duties. We are reviewing our policies looking for methods of separating access to development functions from access to production functions.</p>
<p><i>Finding No. 6:</i></p>	<p>Payroll Only</p> <p>Adequate data input edit checks with defined format and range rules should be established for all appropriate input fields.</p> <p>During random testing of data input fields, a lack of proper input edit checks was discovered.</p> <p>Lack of proper data input edit checks could result in erroneous data being input into the system.</p>

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF Arkansas Tech University
June 30, 2010

<i>Recommendation:</i>	The University work with the application's vendor to have the proper data input edit checks installed for each appropriate input field.
<i>Institution's Response:</i>	We currently have a manual system in place to verify all payroll information. We will contact our computer application's vendor concerning installation of proper data input edit checks for each appropriate input field.
<i>Finding No. 7:</i>	<p>Student Accounts Receivable Only</p> <p>All files received or sent by the applications should include the necessary record count and/or control totals to ensure the completeness and accuracy of the files.</p> <p>Information from the Clancy system to the Banner AR module is transferred without utilizing control totals.</p> <p>Without record counts and/or control totals there is no assurance that files received by the Banner module are complete and accurate.</p>
<i>Recommendation:</i>	The University send record count/control totals with all outgoing files/records for use in establishing data assurance when file/record is interfaced into another module.
<i>Institution's Response:</i>	Reports are being developed from the Clancy System with record count/control totals to compare and balance with the Banner Cashier's Report record count/control totals on a daily basis.

**INSTITUTION APPROPRIATION SUMMARY
FISCAL YEAR 2013**

INSTITUTION HENDERSON STATE UNIVERSITY

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
	2010-11		2011-12		2011-12		2012-13			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	20,887,673		20,722,885		20,830,924		22,172,926		22,172,926	
2 CASH	27,394,576		72,958,770		72,958,770		72,958,770		72,958,770	
3										
4										
5										
6										
7										
8										
9										
10										
11 TOTAL	\$48,282,249	586	\$93,681,655	597	\$93,789,694	707	\$95,131,696	707	\$95,131,696	707
FUNDING SOURCES		%		%				%		%
12 PRIOR YEAR FUND BALANCE*	246,625	1%		0%				0%		0%
13 GENERAL REVENUE	18,713,847	39%	18,713,847	20%			20,163,888	21%	20,163,888	21%
14 EDUCATIONAL EXCELLENCE TRUST FUND	1,927,201	4%	2,009,038	2%			2,009,038	2%	2,009,038	2%
15 WORKFORCE 2000		0%		0%				0%		0%
16 CASH FUNDS	27,394,576	57%	72,958,770	78%			72,958,770	77%	72,958,770	77%
17 SPECIAL REVENUES		0%		0%				0%		0%
18 FEDERAL FUNDS		0%		0%				0%		0%
19 TOBACCO SETTLEMENT FUNDS		0%		0%				0%		0%
20 OTHER FUNDS		0%		0%				0%		0%
21 TOTAL INCOME	\$48,282,249	100%	\$93,681,655	100%			\$95,131,696	100%	\$95,131,696	100%
22 EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2011:	\$6,893,889
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$2,513,377
INVENTORIES	\$146,080
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	\$0
INSURANCE DEDUCTIBLES	\$33,500
MAJOR CRITICAL SYSTEMS FAILURES	\$200,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$4,543,338
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	(\$542,406)

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
FISCAL YEAR 2013**

FUND CQA0000

INSTITUTION HENDERSON STATE UNIVERSITY

APPROPRIATION 309

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12***	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
				2012-13	2012-13
1 REGULAR SALARIES	16,482,270	15,500,000	15,500,000	16,842,002	15,708,736
2 EXTRA HELP WAGES	20,000	20,000	20,000	20,000	20,000
3 PERSONAL SERVICES MATCHING	2,541,843	2,658,414	2,750,000	2,750,000	2,832,500
4 OVERTIME					
5 OPERATING EXPENSES	1,400,322	1,943,547	1,960,000	1,960,000	2,028,800
6 CONFERENCE FEES & TRAVEL					
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)					
8 CAPITAL OUTLAY	325,000	482,686	482,686	482,686	497,167
9 FUNDED DEPRECIATION	118,238	118,238	118,238	118,238	118,238
10					
11					
12					
13 TOTAL APPROPRIATION	\$20,887,673	\$20,722,885	\$20,830,924	\$22,172,926	\$21,205,441
14 PRIOR YEAR FUND BALANCE**	246,625				
15 GENERAL REVENUE	18,713,847	18,713,847		20,163,888	20,163,888
16 EDUCATIONAL EXCELLENCE TRUST FUND	1,927,201	2,009,038		2,009,038	2,009,038
17 SPECIAL REVENUES * [WF2000]					
18 FEDERAL FUNDS IN STATE TREASURY					
19 TOBACCO SETTLEMENT FUNDS					
20 OTHER STATE TREASURY FUNDS					
21 TOTAL INCOME	\$20,887,673	\$20,722,885		\$22,172,926	\$22,172,926
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	(\$967,485)

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM 12-4

***Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

20 OTHER STATE TREASURY FUNDS 210,585 HSU COMMUNITY EDUCATION CENTER (FORMERLY SWATLC)

**APPROPRIATION ACT FORM - CASH FUNDS
FISCAL YEAR 2013**

FUND 2090000

INSTITUTION HENDERSON STATE UNIVERSITY

APPROPRIATION A74

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12**	INSTITUTIONAL REQUEST /	LEGISLATIVE
				AHECB RECOMMENDATION 2012-13	LEGISLATIVE RECOMMENDATION 2012-13
1 REGULAR SALARIES	5,576,495	18,408,770	18,408,770	18,408,770	18,408,770
2 EXTRA HELP WAGES	1,129,723	3,200,000	3,200,000	3,200,000	3,200,000
3 PERSONAL SERVICES MATCHING	1,543,680	4,800,000	4,800,000	4,800,000	4,800,000
4 OVERTIME	6,788	100,000	100,000	100,000	100,000
5 OPERATING EXPENSES	13,763,646	29,450,000	29,450,000	29,450,000	29,450,000
6 CONFERENCE FEES & TRAVEL	962,722	1,500,000	1,500,000	1,500,000	1,500,000
7 PROFESSIONAL FEES AND SERVICES	285,854	1,000,000	1,000,000	1,000,000	1,000,000
8 CAPITAL OUTLAY	829,625	2,600,000	2,600,000	2,600,000	2,600,000
9 CAPITAL IMPROVEMENTS					
10 DEBT SERVICE	3,296,043	8,900,000	8,900,000	8,900,000	8,900,000
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS		3,000,000	3,000,000	3,000,000	3,000,000
12					
13					
14					
15					
16 TOTAL APPROPRIATION	\$27,394,576	\$72,958,770	\$72,958,770	\$72,958,770	\$72,958,770
17 PRIOR YEAR FUND BALANCE*					
18 LOCAL CASH FUNDS	27,394,576	72,958,770		72,958,770	72,958,770
19 FEDERAL CASH FUNDS					
20 OTHER CASH FUNDS					
21 TOTAL INCOME	\$27,394,576	\$72,958,770		\$72,958,770	\$72,958,770
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED 2011-12	REQUESTED 2012-13	LEGISLATIVE RECOMMENDATION 2012-13
REGULAR POSITIONS	586	597	707	707	707
TOBACCO POSITIONS					
EXTRA HELP ***	198	950	950	950	950

*Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

*** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

HENDERSON STATE UNIVERSITY
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2011-12:						579
						(As of November 1, 2011)
Nonclassified Administrative Employees:						
White Male:	19	Black Male:	1	Other Male:	0	Total Male: 20
White Female:	22	Black Female:	6	Other Female:	1	Total Female: 29
Nonclassified Health Care Employees:						
White Male:	0	Black Male:	0	Other Male:	0	Total Male: 0
White Female:	0	Black Female:	0	Other Female:	0	Total Female: 0
Classified Employees:						
White Male:	76	Black Male:	24	Other Male:	5	Total Male: 105
White Female:	120	Black Female:	32	Other Female:	10	Total Female: 162
Faculty:						
White Male:	113	Black Male:	4	Other Male:	12	Total Male: 129
White Female:	119	Black Female:	7	Other Female:	8	Total Female: 134
Total White Male:						208
Total White Female:						261
Total Black Male:						29
Total Black Female:						45
Total Other Male:						17
Total Other Female:						19
Total White:						469
Total Black:						74
Total Other:						36
Total Minority:						110
Total Employees:						579

FORM 12-8

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$25,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2011
 Required by A.C.A. 25-36-104

Institution **HENDERSON STATE UNIVERSITY**

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
none							

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED

% OF MINORITY CONTRACTS AWARDED 0%

**DIVISION OF LEGISLATIVE AUDIT
AUDIT OF Henderson State University
June 30, 2010**

<i>Finding:</i>	No findings noted
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**INSTITUTION APPROPRIATION SUMMARY
FISCAL YEAR 2013**

INSTITUTION SOUTHERN ARKANSAS UNIVERSITY

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
	2010-11		2011-12		2011-12		2012-13			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	16,805,911		16,714,656		16,955,266		16,637,362		16,637,362	
2 CASH	33,609,402		54,000,000		54,000,000		54,000,000		54,000,000	
3 STATE TREASURY-83G	18,091		100,000		100,000		100,000		100,000	
4										
5										
6										
7										
8										
9										
10										
11 TOTAL	\$50,433,404	415	\$70,814,656	420	\$71,055,266	489	\$70,737,362	489	\$70,737,362	489
FUNDING SOURCES		%		%				%		%
12 PRIOR YEAR FUND BALANCE*	212,992	0%	77,294	0%				0%		0%
13 GENERAL REVENUE	15,449,575	31%	15,449,575	22%			15,449,575	22%	15,449,575	22%
14 EDUCATIONAL EXCELLENCE TRUST FUND	1,139,403	2%	1,187,787	2%			1,187,787	2%	1,187,787	2%
15 WORKFORCE 2000		0%		0%				0%		0%
16 CASH FUNDS	33,609,402	67%	54,000,000	76%			54,000,000	76%	54,000,000	76%
17 SPECIAL REVENUES		0%		0%				0%		0%
18 FEDERAL FUNDS		0%		0%				0%		0%
19 TOBACCO SETTLEMENT FUNDS		0%		0%				0%		0%
20 OTHER FUNDS	22,032	0%	100,000	0%			100,000	0%	100,000	0%
21 TOTAL INCOME	\$50,433,404	100%	\$70,814,656	100%			\$70,737,362	100%	\$70,737,362	100%
22 EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2011:	\$3,259,404
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$1,822,799
INVENTORIES	\$155,992
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	\$181,286
INSURANCE DEDUCTIBLES	\$130,000
MAJOR CRITICAL SYSTEMS FAILURES	\$500,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$3,100,000
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW) HEALTH INSURANCE	\$591,573
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	(\$3,222,246)

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
FISCAL YEAR 2013**

FUND CSA0000

INSTITUTION SOUTHERN ARKANSAS UNIVERSITY

APPROPRIATION 292

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12***	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
				2012-13	2012-13
1 REGULAR SALARIES	14,024,572	14,005,797	14,226,407	14,518,474	14,518,474
2 EXTRA HELP WAGES	25,000	25,000	25,000	30,000	30,000
3 PERSONAL SERVICES MATCHING	2,156,339	2,148,700	2,148,700	1,688,888	2,273,700
4 OVERTIME					
5 OPERATING EXPENSES	300,000	280,000	300,000	400,000	400,000
6 CONFERENCE FEES & TRAVEL					
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)					
8 CAPITAL OUTLAY					
9 FUNDED DEPRECIATION	300,000	255,159	255,159		300,000
10					
11					
12					
13 TOTAL APPROPRIATION	\$16,805,911	\$16,714,656	\$16,955,266	\$16,637,362	\$17,522,174
14 PRIOR YEAR FUND BALANCE**	212,992	77,294			
15 GENERAL REVENUE	15,449,575	15,449,575		15,449,575	15,449,575
16 EDUCATIONAL EXCELLENCE TRUST FUND	1,139,403	1,187,787		1,187,787	1,187,787
17 SPECIAL REVENUES * [WF2000]					
18 FEDERAL FUNDS IN STATE TREASURY					
19 TOBACCO SETTLEMENT FUNDS					
20 OTHER STATE TREASURY FUNDS	3,941				
21 TOTAL INCOME	\$16,805,911	\$16,714,656		\$16,637,362	\$16,637,362
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$884,812

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

***Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

Other State Treasury Funds:\$3941 is Tuition Adjustment

FORM 12-4

**APPROPRIATION ACT FORM - STATE TREASURY
FISCAL YEAR 2013**

FUND CSA0000

INSTITUTION SOUTHERN ARKANSAS UNIVERSITY

APPROPRIATION 83G

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12***	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
				2012-13	2012-13
1 REGULAR SALARIES		45,000	45,000	45,000	45,000
2 EXTRA HELP WAGES					
3 PERSONAL SERVICES MATCHING		13,500	13,500	13,500	13,500
4 OVERTIME					
5 OPERATING EXPENSES	18,091	41,500	41,500	41,500	41,500
6 CONFERENCE FEES & TRAVEL					
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)					
8 CAPITAL OUTLAY					
9 FUNDED DEPRECIATION					
10					
11					
12					
13 TOTAL APPROPRIATION	\$18,091	\$100,000	\$100,000	\$100,000	\$100,000
14 PRIOR YEAR FUND BALANCE**					
15 GENERAL REVENUE					
16 EDUCATIONAL EXCELLENCE TRUST FUND					
17 SPECIAL REVENUES * [WF2000]					
18 FEDERAL FUNDS IN STATE TREASURY					
19 TOBACCO SETTLEMENT FUNDS					
20 OTHER STATE TREASURY FUNDS	18,091	100,000		100,000	100,000
21 TOTAL INCOME	\$18,091	\$100,000		\$100,000	\$100,000
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

***Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

FORM 12-4

**APPROPRIATION ACT FORM - CASH FUNDS
FISCAL YEAR 2013**

FUND 208000

INSTITUTION SOUTHERN ARKANSAS UNIVERSITY

APPROPRIATION A63

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12**	INSTITUTIONAL REQUEST /	LEGISLATIVE
				AHECB RECOMMENDATION 2012-13	LEGISLATIVE RECOMMENDATION 2012-13
1 REGULAR SALARIES	2,773,993	7,081,800	7,081,800	7,081,800	7,081,800
2 EXTRA HELP WAGES	2,356,648	5,400,000	5,400,000	4,400,000	5,400,000
3 PERSONAL SERVICES MATCHING	3,974,344	4,001,000	4,001,000	5,001,000	4,001,000
4 OVERTIME					
5 OPERATING EXPENSES	12,623,858	16,942,200	16,942,200	16,942,200	16,942,200
6 CONFERENCE FEES & TRAVEL	251,309	1,000,000	1,000,000	1,000,000	1,000,000
7 PROFESSIONAL FEES AND SERVICES	508,421	1,050,000	1,050,000	1,050,000	1,050,000
8 CAPITAL OUTLAY	1,354,095	2,200,000	2,200,000	2,200,000	2,200,000
9 CAPITAL IMPROVEMENTS	7,855,001	11,225,000	11,225,000	11,225,000	11,225,000
10 DEBT SERVICE	1,911,733	3,200,000	3,200,000	3,200,000	3,200,000
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS		1,900,000	1,900,000	1,900,000	1,900,000
12					
13					
14					
15					
16 TOTAL APPROPRIATION	\$33,609,402	\$54,000,000	\$54,000,000	\$54,000,000	\$54,000,000
17 PRIOR YEAR FUND BALANCE*					
18 LOCAL CASH FUNDS	33,609,402	54,000,000		54,000,000	54,000,000
19 FEDERAL CASH FUNDS					
20 OTHER CASH FUNDS					
21 TOTAL INCOME	\$33,609,402	\$54,000,000		\$54,000,000	\$54,000,000
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED 2011-12	REQUESTED 2012-13	LEGISLATIVE RECOMMENDATION 2012-13
REGULAR POSITIONS	415	420	489	489	489
TOBACCO POSITIONS					
EXTRA HELP ***	1,575	1,800	1,900	1,900	1,900

*Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM 12-5

**Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

*** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

SOUTHERN ARKANSAS UNIVERSITY
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2011-12:						375
(As of November 1, 2011)						
Nonclassified Administrative Employees:						
White Male:	27	Black Male:	6	Other Male:	2	Total Male: 35
White Female:	39	Black Female:	11	Other Female:	0	Total Female: 50
Nonclassified Health Care Employees:						
White Male:	_____	Black Male:	_____	Other Male:	_____	Total Male: 0
White Female:	_____	Black Female:	_____	Other Female:	_____	Total Female: 0
Classified Employees:						
White Male:	31	Black Male:	3	Other Male:	4	Total Male: 38
White Female:	56	Black Female:	22	Other Female:	0	Total Female: 78
Faculty:						
White Male:	75	Black Male:	6	Other Male:	11	Total Male: 92
White Female:	71	Black Female:	6	Other Female:	5	Total Female: 82
Total White Male:	133	Total Black Male:	15	Total Other Male:	17	Total Male: 165
Total White Female:	166	Total Black Female:	39	Total Other Female:	5	Total Female: 210
Total White:	299	Total Black:	54	Total Other:	22	Total Employees: 375
				Total Minority:	76	

FORM 12-8

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$25,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2011
 Required by A.C.A. 25-36-104

Institution **SOUTHERN ARKANSAS UNIVERSITY**

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
None							

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$0

% OF MINORITY CONTRACTS AWARDED 0%

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF Southern Arkansas University
June 30, 2010

<i>Finding:</i>	No findings noted
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**INSTITUTION APPROPRIATION SUMMARY
FISCAL YEAR 2013**

INSTITUTION UNIVERSITY OF ARKANSAS FUND

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
	2010-11		2011-12		2011-12		2012-13			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	201,460,299		199,550,442		203,767,044		269,754,041		218,295,434	
2 CASH	427,361,822		840,042,500		840,042,500		840,042,500		840,042,500	
3 TOBACCO - FAYETTEVILLE	1,663,751		1,574,670		2,375,563		2,375,563		2,375,563	
4 TOBACCO - AGRI EXPERIMENT	1,664,018		1,574,670		2,415,432		2,415,432		2,415,432	
5 CASH - SOILS TESTING	1,143,860		3,750,000		3,750,000		3,750,000		3,750,000	
6 CASH - AREON	1,830,763		55,003,500		55,003,500		55,003,500		55,003,500	
7 STATE - CJI - CLANDESTINE METH.	150,000		150,000		150,000		150,000		150,000	
8 STATE - LAW SCHOOL	519,873		800,000		800,000		800,000		800,000	
9 STATE - PRYOR CENTER							235,000			
10 STATE -ELEC ENERGY ADVANCEMENT PROG					800,000		800,000		800,000	
11 STATE - AREON					70,056		602,062		451,547	
12 STATE - WINROCK INSTITUTE					108,098					
13 STATE - GARVAN WOODLAND GARDENS					58,180		500,000		375,000	
14 TOTAL	\$635,794,386	4,102	\$1,102,445,782	4,500	\$1,109,340,373	7,043	\$1,176,428,098	7,043	\$1,124,458,976	7,043
FUNDING SOURCES		%		%				%		%
15 PRIOR YEAR FUND BALANCE*	2,484,786	0%		0%				0%		0%
16 GENERAL REVENUE	185,323,363	29%	185,323,363	17%			256,864,024	22%	204,894,902	18%
17 EDUCATIONAL EXCELLENCE TRUST FUND	13,791,440	2%	14,377,079	1%			14,377,079	1%	14,377,079	1%
18 WORKFORCE 2000		0%		0%				0%		0%
19 CASH FUNDS	430,336,445	68%	898,796,000	82%			898,796,000	76%	898,796,000	80%
20 SPECIAL REVENUES-LAW FILING FEES	519,873	0%	800,000	0%			800,000	0%	800,000	0%
21 SPECIAL REVENUES-ELEC ENERGY ADV PROG		0%		0%			800,000	0%	800,000	0%
22 FEDERAL FUNDS		0%		0%				0%		0%
23 TOBACCO SETTLEMENT FUNDS	3,327,769	1%	3,149,340	0%			4,790,995	0%	4,790,995	0%
24 OTHER FUNDS	10,710	0%		0%				0%		0%
25 TOTAL INCOME	\$635,794,386	100%	\$1,102,445,782	100%			\$1,176,428,098	100%	\$1,124,458,976	100%
26 EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2011:	\$73,632,221
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$11,939,579
INVENTORIES	\$4,771,430
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	
MAJOR CRITICAL SYSTEMS FAILURES	
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$46,167,237
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$10,753,975

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
FISCAL YEAR 2013**

FUND CAA

INSTITUTION UNIVERSITY OF ARKANSAS FUND

APPROPRIATION 534

DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE
	2010-11	2011-12	APPROPRIATION	AHECB RECOMMENDATION	RECOMMENDATION
			2011-12***	2012-13	2012-13
1 REGULAR SALARIES	149,728,071	156,802,320	161,000,000	165,000,000	167,000,000
2 EXTRA HELP WAGES					
3 PERSONAL SERVICES MATCHING	37,000,000	35,000,000	35,000,000	38,000,000	36,000,000
4 OVERTIME					
5 OPERATING EXPENSES	12,655,378	5,671,272	5,690,194	13,218,584	6,203,042
6 CONFERENCE FEES & TRAVEL					
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)					
8 CAPITAL OUTLAY					
9 FUNDED DEPRECIATION	2,076,850	2,076,850	2,076,850	2,076,850	2,076,850
10					
11					
12					
13 TOTAL APPROPRIATION	\$201,460,299	\$199,550,442	\$203,767,044	\$218,295,434	\$211,279,892
14 PRIOR YEAR FUND BALANCE**	2,484,786				
15 GENERAL REVENUE	185,173,363	185,173,362		203,918,355	203,918,355
16 EDUCATIONAL EXCELLENCE TRUST FUND	13,791,440	14,377,079		14,377,079	14,377,079
17 SPECIAL REVENUES * [WF2000]					
18 FEDERAL FUNDS IN STATE TREASURY					
19 TOBACCO SETTLEMENT FUNDS					
20 OTHER STATE TREASURY FUNDS	10,710				
21 TOTAL INCOME	\$201,460,299	\$199,550,441		\$218,295,434	\$218,295,434
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$1		\$0	(\$7,015,542)

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

***Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

FORM 12-4

Allocations:	(1) FAYETTEVILLE CAMPUS	\$	140,050,316.00
	(2) SYSTEM ADMINISTRATION		3,670,984
	(3) DIVISION OF AGRICULTURE		68,000,330
	(4) CRIMINAL JUSTICE INSTITUTUE		1,825,769
	(5) ARCHEOLOGICAL SURVEY		2,452,460
	(6) CLINTON SCHOOL		2,295,575
		\$	218,295,434

**APPROPRIATION ACT FORM - STATE TREASURY
FISCAL YEAR 2013**

FUND TSF 0200

INSTITUTION TOBACCO FUNDS - FAYETTEVILLE

APPROPRIATION 319

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12***	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
				2012-13	2012-13
1 REGULAR SALARIES	419,634	480,000	480,000	480,000	480,000
2 EXTRA HELP WAGES					
3 PERSONAL SERVICES MATCHING	53,087	75,000	75,000	75,000	75,000
4 OVERTIME					
5 OPERATING EXPENSES	675,723	800,000	800,000	800,000	800,000
6 CONFERENCE FEES & TRAVEL					
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)					
8 CAPITAL OUTLAY	515,307	219,670	1,020,563	1,020,563	1,020,563
9 FUNDED DEPRECIATION					
10					
11					
12					
13 TOTAL APPROPRIATION	\$1,663,751	\$1,574,670	\$2,375,563	\$2,375,563	\$2,375,563
14 PRIOR YEAR FUND BALANCE**					
15 GENERAL REVENUE					
16 EDUCATIONAL EXCELLENCE TRUST FUND					
17 SPECIAL REVENUES * [WF2000]					
18 FEDERAL FUNDS IN STATE TREASURY					
19 TOBACCO SETTLEMENT FUNDS	1,663,751	1,574,670		2,375,563	2,375,563
20 OTHER STATE TREASURY FUNDS					
21 TOTAL INCOME	\$1,663,751	\$1,574,670		\$2,375,563	\$2,375,563
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

***Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

FORM 12-4

**APPROPRIATION ACT FORM - STATE TREASURY
FISCAL YEAR 2013**

FUND TSF 0202

INSTITUTION TOBACCO FUNDS - AGRI EXPERIMENT STATION

APPROPRIATION 321

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12***	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
				2012-13	2012-13
1 REGULAR SALARIES	1,032,408	886,842	1,356,100	1,356,100	1,356,100
2 EXTRA HELP WAGES					
3 PERSONAL SERVICES MATCHING	268,249	256,297	359,332	359,332	359,332
4 OVERTIME					
5 OPERATING EXPENSES	296,106	242,031	380,000	380,000	380,000
6 CONFERENCE FEES & TRAVEL	25,988	40,000	40,000	40,000	40,000
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)	7,586	90,000	100,000	100,000	100,000
8 CAPITAL OUTLAY	33,681	59,500	180,000	180,000	180,000
9 FUNDED DEPRECIATION					
10					
11					
12					
13 TOTAL APPROPRIATION	\$1,664,018	\$1,574,670	\$2,415,432	\$2,415,432	\$2,415,432
14 PRIOR YEAR FUND BALANCE**					
15 GENERAL REVENUE					
16 EDUCATIONAL EXCELLENCE TRUST FUND					
17 SPECIAL REVENUES * [WF2000]					
18 FEDERAL FUNDS IN STATE TREASURY					
19 TOBACCO SETTLEMENT FUNDS	1,664,018	1,574,670		2,415,432	2,415,432
20 OTHER STATE TREASURY FUNDS					
21 TOTAL INCOME	\$1,664,018	\$1,574,670		\$2,415,432	\$2,415,432
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

***Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

FORM 12-4

**APPROPRIATION ACT FORM - STATE TREASURY
FISCAL YEAR 2013**

FUND CAA 0100

INSTITUTION UNIVERSITY OF ARKANSAS FUND - CJI

APPROPRIATION 534A

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12***	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
				2012-13	2012-13
1 CJI - CLANDESTINE METHAMPHETAMINE					
2 EDUCATION & TRAINING	150,000	150,000	150,000	150,000	150,000
3					
4					
5					
6					
7					
8					
9					
10					
11					
12					
13 TOTAL APPROPRIATION	\$150,000	\$150,000	\$150,000	\$150,000	\$150,000
14 PRIOR YEAR FUND BALANCE**					
15 GENERAL REVENUE					
16 EDUCATIONAL EXCELLENCE TRUST FUND					
17 SPECIAL REVENUES * [WF2000]					
18 FEDERAL FUNDS IN STATE TREASURY					
19 TOBACCO SETTLEMENT FUNDS					
20 OTHER STATE TREASURY FUNDS	150,000	150,000			
21 TOTAL INCOME	\$150,000	\$150,000		\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$150,000	\$150,000

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

***Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

Other State Treasury Funds include Special State Assets Forfeiture Fund

FORM 12-4

**APPROPRIATION ACT FORM - STATE TREASURY
FISCAL YEAR 2013**

FUND ME A 0000

INSTITUTION ELECTRICAL ENERGY ADVANCEMENT PROG

APPROPRIATION 87B

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12***	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
				2012-13	2012-13
1 ELECTRICAL ENERGY ADVANCE PROG			800,000	800,000	800,000
2					
3					
4					
5					
6					
7					
8					
9					
10					
11					
12					
13 TOTAL APPROPRIATION	\$0	\$0	\$800,000	\$800,000	\$800,000
14 PRIOR YEAR FUND BALANCE**					
15 GENERAL REVENUE					
16 EDUCATIONAL EXCELLENCE TRUST FUND					
17 SPECIAL REVENUES-ARK ST ENERGY CON				800,000	800,000
18 FEDERAL FUNDS IN STATE TREASURY					
19 TOBACCO SETTLEMENT FUNDS					
20 OTHER STATE TREASURY FUNDS					
21 TOTAL INCOME	\$0	\$0		\$800,000	\$800,000
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

***Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

FORM 12-4

**APPROPRIATION ACT FORM - STATE TREASURY
FISCAL YEAR 2013**

FUND CAA 0300

INSTITUTION UNIVERSITY OF ARKANSAS FUND - LAW SCHOOL

APPROPRIATION 534

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12***	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
				2012-13	2012-13
1 UNIVERSITY OF ARKANSAS					
2 SCHOOL OF LAW	519,873	800,000	800,000	800,000	800,000
3					
4					
5					
6					
7					
8					
9					
10					
11					
12					
13 TOTAL APPROPRIATION	\$519,873	\$800,000	\$800,000	\$800,000	\$800,000
14 PRIOR YEAR FUND BALANCE**					
15 GENERAL REVENUE					
16 EDUCATIONAL EXCELLENCE TRUST FUND					
17 SPECIAL REVENUES -LAW FILING FEES	519,873	800,000			
18 FEDERAL FUNDS IN STATE TREASURY					
19 TOBACCO SETTLEMENT FUNDS					
20 OTHER STATE TREASURY FUNDS					
21 TOTAL INCOME	\$519,873	\$800,000		\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$800,000	\$800,000

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

***Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

FORM 12-4

**APPROPRIATION ACT FORM - STATE TREASURY
FISCAL YEAR 2013**

FUND CAA 0400

INSTITUTION ARKANSAS RES. & EDUC. OPTICAL NETWORK

APPROPRIATION 534R

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12***	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
				2012-13	2012-13
1 ARK RESEARCH & EDUCATIONAL					
2 OPTICAL NETWORK OPERATIONS			70,056	451,547	161,339
3					
4					
5					
6					
7					
8					
9					
10					
11					
12					
13 TOTAL APPROPRIATION	\$0	\$0	\$70,056	\$451,547	\$161,339
14 PRIOR YEAR FUND BALANCE**					
15 GENERAL REVENUE				451,547	451,547
16 EDUCATIONAL EXCELLENCE TRUST FUND					
17 SPECIAL REVENUES * [WF2000]					
18 FEDERAL FUNDS IN STATE TREASURY					
19 TOBACCO SETTLEMENT FUNDS					
20 OTHER STATE TREASURY FUNDS					
21 TOTAL INCOME	\$0	\$0		\$451,547	\$451,547
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	(\$290,208)

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

***Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

FORM 12-4

**APPROPRIATION ACT FORM - STATE TREASURY
FISCAL YEAR 2013**

FUND CAA 0500

INSTITUTION UNIV. OF ARK. FUND - GARVAN WOODLAND GARDENS

APPROPRIATION 59G

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12***	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
				2012-13	2012-13
1 GARVAN WOODLAND GARDENS OPERATIONS			58,180	375,000	133,989
2					
3					
4					
5					
6					
7					
8					
9					
10					
11					
12					
13 TOTAL APPROPRIATION	\$0	\$0	\$58,180	\$375,000	\$133,989
14 PRIOR YEAR FUND BALANCE**					
15 GENERAL REVENUE				375,000	375,000
16 EDUCATIONAL EXCELLENCE TRUST FUND					
17 SPECIAL REVENUES * [WF2000]					
18 FEDERAL FUNDS IN STATE TREASURY					
19 TOBACCO SETTLEMENT FUNDS					
20 OTHER STATE TREASURY FUNDS					
21 TOTAL INCOME	\$0	\$0		\$375,000	\$375,000
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	(\$241,011)

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

***Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

**APPROPRIATION ACT FORM - STATE TREASURY
FISCAL YEAR 2013**

FUND CAA 0600

INSTITUTION WRI KNOWLEDGE BASED ECON DEVL PROG

APPROPRIATION 59F

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12***	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
				2012-13	2012-13
1 REGULAR SALARIES					
2 EXTRA HELP WAGES					
3 PERSONAL SERVICES MATCHING					
4 OVERTIME					
5 OPERATING EXPENSES					
6 CONFERENCE FEES & TRAVEL					
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)					
8 CAPITAL OUTLAY					
9 FUNDED DEPRECIATION					
10 KNOWLEDGE BASED ECON DEVL			108,098		248,951
11					
12					
13 TOTAL APPROPRIATION	\$0	\$0	\$108,098	\$0	\$248,951
14 PRIOR YEAR FUND BALANCE**					
15 GENERAL REVENUE					
16 EDUCATIONAL EXCELLENCE TRUST FUND					
17 SPECIAL REVENUES * [WF2000]					
18 FEDERAL FUNDS IN STATE TREASURY					
19 TOBACCO SETTLEMENT FUNDS					
20 OTHER STATE TREASURY FUNDS					
21 TOTAL INCOME	\$0	\$0		\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$248,951

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

***Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

FORM 12-4

**APPROPRIATION ACT FORM - CASH FUNDS
FISCAL YEAR 2013**

FUND 2000000

INSTITUTION UNIVERSITY OF ARKANSAS FUND

APPROPRIATION B03

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12**	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE LEGISLATIVE RECOMMENDATION
				2012-13	2012-13
1 REGULAR SALARIES	122,036,724	178,024,600	178,024,600	178,024,600	178,024,600
2 EXTRA HELP WAGES	20,250,088	27,285,500	27,285,500	27,285,500	27,285,500
3 PERSONAL SERVICES MATCHING	21,529,747	44,535,200	44,535,200	44,535,200	44,535,200
4 OVERTIME	1,257,673	5,900,000	5,900,000	5,900,000	5,900,000
5 OPERATING EXPENSES	123,114,211	160,200,000	160,200,000	160,200,000	160,200,000
6 CONFERENCE FEES & TRAVEL	21,578,330	47,000,000	47,000,000	47,000,000	47,000,000
7 PROFESSIONAL FEES AND SERVICES	31,868,000	55,000,000	55,000,000	55,000,000	55,000,000
8 CAPITAL OUTLAY	25,881,019	58,000,000	58,000,000	58,000,000	58,000,000
9 CAPITAL IMPROVEMENTS	59,122,163	246,597,200	246,597,200	246,597,200	246,597,200
10 DEBT SERVICE	723,867	4,000,000	4,000,000	4,000,000	4,000,000
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS		13,500,000	13,500,000	13,500,000	13,500,000
12					
13					
14					
15					
16 TOTAL APPROPRIATION	\$427,361,822	\$840,042,500	\$840,042,500	\$840,042,500	\$840,042,500
17 PRIOR YEAR FUND BALANCE*					
18 LOCAL CASH FUNDS	427,361,822	840,042,500		840,042,500	840,042,500
19 FEDERAL CASH FUNDS					
20 OTHER CASH FUNDS					
21 TOTAL INCOME	\$427,361,822	\$840,042,500		\$840,042,500	\$840,042,500
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED 2011-12	REQUESTED 2012-13	LEGISLATIVE RECOMMENDATION 2012-13
REGULAR POSITIONS	4,102	4,500	7,043	7,043	7,043
TOBACCO POSITIONS					
EXTRA HELP ***	3,808	3,808	3,808	3,808	3,808

*Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM 12-5

**Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

*** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

NOTE: No positions included for Math Science School

**APPROPRIATION ACT FORM - CASH FUNDS
FISCAL YEAR 2013**

FUND 2000100

INSTITUTION U OF A SOILS TESTING AND RESEARCH

APPROPRIATION B76

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12**	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
				2012-13	2012-13
1 REGULAR SALARIES	558,045	800,000	800,000	775,000	800,000
2 EXTRA HELP WAGES	67,566	60,000	60,000	85,000	60,000
3 PERSONAL SERVICES MATCHING	141,396	250,000	250,000	250,000	250,000
4 OVERTIME					
5 OPERATING EXPENSES	357,182	800,000	800,000	800,000	800,000
6 CONFERENCE FEES & TRAVEL	15,703	20,000	20,000	25,000	20,000
7 PROFESSIONAL FEES AND SERVICES		20,000	20,000	20,000	20,000
8 CAPITAL OUTLAY	3,968	500,000	500,000	495,000	500,000
9 CAPITAL IMPROVEMENTS		1,000,000	1,000,000	1,000,000	1,000,000
10 DEBT SERVICE					
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS		300,000	300,000	300,000	300,000
12					
13					
14					
15					
16 TOTAL APPROPRIATION	\$1,143,860	\$3,750,000	\$3,750,000	\$3,750,000	\$3,750,000
17 PRIOR YEAR FUND BALANCE*					
18 LOCAL CASH FUNDS	1,143,860	3,750,000		3,750,000	3,750,000
19 FEDERAL CASH FUNDS					
20 OTHER CASH FUNDS					
21 TOTAL INCOME	\$1,143,860	\$3,750,000		\$3,750,000	\$3,750,000
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED 2011-12	REQUESTED 2012-13	LEGISLATIVE RECOMMENDATION 2012-13
REGULAR POSITIONS					
TOBACCO POSITIONS					
EXTRA HELP ***					

*Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM 12-5

**Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

*** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

Actual expenses not reported in AASIS.

**APPROPRIATION ACT FORM - CASH FUNDS
FISCAL YEAR 2013**

FUND 2000400

INSTITUTION ARKANSAS RES. & EDUC. OPTICAL NETWORK

APPROPRIATION B03

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12**	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE LEGISLATIVE RECOMMENDATION
				2012-13	2012-13
1 REGULAR SALARIES		695,000	695,000	695,000	695,000
2 EXTRA HELP WAGES					
3 PERSONAL SERVICES MATCHING		208,500	208,500	208,500	208,500
4 OVERTIME					
5 OPERATING EXPENSES	853,579	8,000,000	8,000,000	8,000,000	8,000,000
6 CONFERENCE FEES & TRAVEL	29,492	100,000	100,000	100,000	100,000
7 PROFESSIONAL FEES AND SERVICES	740,028	8,000,000	8,000,000	8,000,000	8,000,000
8 CAPITAL OUTLAY	207,664	8,000,000	8,000,000	8,000,000	8,000,000
9 CAPITAL IMPROVEMENTS		30,000,000	30,000,000	30,000,000	30,000,000
10 DEBT SERVICE					
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS					
12					
13					
14					
15					
16 TOTAL APPROPRIATION	\$1,830,763	\$55,003,500	\$55,003,500	\$55,003,500	\$55,003,500
17 PRIOR YEAR FUND BALANCE*					
18 LOCAL CASH FUNDS	1,830,763	55,003,500		55,003,500	55,003,500
19 FEDERAL CASH FUNDS					
20 OTHER CASH FUNDS					
21 TOTAL INCOME	\$1,830,763	\$55,003,500		\$55,003,500	\$55,003,500
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED 2011-12	REQUESTED 2012-13	LEGISLATIVE RECOMMENDATION 2012-13
REGULAR POSITIONS					
TOBACCO POSITIONS					
EXTRA HELP ***					

*Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

*** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

University of Arkansas
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2010-11:						<u>4,707</u>
(As of November 1, 2011)						
Nonclassified Administrative Employees:						
White Male:	<u>737</u>	Black Male:	<u>49</u>	Other Male:	<u>151</u>	Total Male: <u>937</u>
White Female:	<u>771</u>	Black Female:	<u>81</u>	Other Female:	<u>105</u>	Total Female: <u>957</u>
Nonclassified Health Care Employees:						
White Male:	<u>11</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>11</u>
White Female:	<u>44</u>	Black Female:	<u>1</u>	Other Female:	<u>2</u>	Total Female: <u>47</u>
Classified Employees:						
White Male:	<u>530</u>	Black Male:	<u>43</u>	Other Male:	<u>99</u>	Total Male: <u>672</u>
White Female:	<u>738</u>	Black Female:	<u>60</u>	Other Female:	<u>96</u>	Total Female: <u>894</u>
Faculty:						
White Male:	<u>609</u>	Black Male:	<u>23</u>	Other Male:	<u>136</u>	Total Male: <u>768</u>
White Female:	<u>327</u>	Black Female:	<u>18</u>	Other Female:	<u>76</u>	Total Female: <u>421</u>
Total White Male:	<u>1,887</u>	Total Black Male:	<u>115</u>	Total Other Male:	<u>386</u>	Total Male: <u>2,388</u>
Total White Female:	<u>1,880</u>	Total Black Female:	<u>160</u>	Total Other Female:	<u>279</u>	Total Female: <u>2,319</u>
Total White:	<u>3,767</u>	Total Black:	<u>275</u>	Total Other:	<u>665</u>	Total Employees: <u>4,707</u>
				Total Minority:	<u>940</u>	

FORM 12-8

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$25,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2011
 Required by A.C.A. 25-36-104

Institution UNIVERSITY OF ARKANSAS, FAYETTEVILLE

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
NO ELIGIBLE CONTRACTS TO REPORT							

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0
 TOTAL EXPENDITURES ON CONTRACTS AWARDED \$0
 % OF MINORITY CONTRACTS AWARDED 0.000%

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF University of Arkansas at Fayetteville
June 30, 2010

<p><i>Finding No. 1:</i></p>	<p>The University of Arkansas System Internal Audit Department (IAD) conducted a review relating to the misappropriation of funds during the period December 6, 2005 through March 17, 2010. According to the IAD, Jami Coker, an employee whose employment was terminated March 17, 2010, made unauthorized, non-University related purchases totaling \$126,149. Coker was charged with, and pled guilty to, theft of property, fraudulent use of a credit card, and forgery in the second degree in the Fourth Judicial District Circuit Court which ordered restitution of \$126,149. As of report date, no restitution has been paid.</p>
<p><i>Institution's Response:</i></p>	<p>We agree. The University terminated the employee and notified appropriate law enforcement officials of the suspected misappropriation of funds, which ultimately resulted with charges filed against Ms. Coker. She was convicted on June 16, 2010, and received a sentence of 480 months (40 years) with 456 months suspended. She was ordered to serve 24 months in the Regional Punishment Facility and to pay restitution in monthly installments of \$275 beginning 90 days following release from the facility.</p>

**INSTITUTION APPROPRIATION SUMMARY
FISCAL YEAR 2013**

INSTITUTION AR SCHOOL FOR MATHEMATICS, SCIENCES AND THE ARTS

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
	2010-11		2011-12		2011-12		2012-13			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	7,849,428		7,973,941		8,112,403		7,973,941		7,973,941	
2 CASH	1,462,177		30,000,000		30,000,000		30,000,000		30,000,000	
3										
4										
5										
6										
7										
8										
9										
10										
11 TOTAL	\$9,311,604	118	\$37,973,941	114	\$38,112,403	129	\$37,973,941	129	\$37,973,941	129
FUNDING SOURCES		%		%				%		%
12 PRIOR YEAR FUND BALANCE*	155,256	2%		0%				0%		0%
13 GENERAL REVENUE	1,113,014	12%	1,113,015	3%			1,113,015	3%	1,113,015	3%
14 EDUCATIONAL EXCELLENCE TRUST FUND	6,581,455	71%	6,860,926	18%			6,860,926	18%	6,860,926	18%
15 WORKFORCE 2000		0%		0%				0%		0%
16 CASH FUNDS	1,245,700	13%	30,000,000	79%			30,000,000	79%	30,000,000	79%
17 SPECIAL REVENUES		0%		0%				0%		0%
18 FEDERAL FUNDS		0%		0%				0%		0%
19 TOBACCO SETTLEMENT FUNDS		0%		0%				0%		0%
20 OTHER FUNDS	216,180	2%		0%				0%		0%
21 TOTAL INCOME	\$9,311,604	100%	\$37,973,941	100%			\$37,973,941	100%	\$37,973,941	100%
22 EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2011:	\$473,654
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$50,129
INVENTORIES	
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	\$18,230
INSURANCE DEDUCTIBLES	
MAJOR CRITICAL SYSTEMS FAILURES	
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$1,012,705
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	(\$607,409)

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
FISCAL YEAR 2013**

FUND CMS0000

INSTITUTION AR SCHOOL FOR MATHEMATICS, SCIENCES & ARTS

APPROPRIATION 2FD

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12***	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
				2012-13	2012-13
1 REGULAR SALARIES	4,099,705	4,343,811	4,400,000	4,300,000	4,400,000
2 EXTRA HELP WAGES	8,000				
3 PERSONAL SERVICES MATCHING	1,148,000	1,300,000	1,300,000	1,300,000	1,300,000
4 OVERTIME					
5 OPERATING EXPENSES	2,438,467	2,205,130	2,012,403	2,298,941	2,096,326
6 CONFERENCE FEES & TRAVEL	50,000	50,000			
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)					
8 CAPITAL OUTLAY	105,256	75,000		75,000	
9 FUNDED DEPRECIATION					
10 CONTINGENCY			400,000		400,000
11					
12					
13 TOTAL APPROPRIATION	\$7,849,428	\$7,973,941	\$8,112,403	\$7,973,941	\$8,196,326
14 PRIOR YEAR FUND BALANCE**	155,256	0			
15 GENERAL REVENUE	1,113,014	1,113,015		1,113,015	1,113,015
16 EDUCATIONAL EXCELLENCE TRUST FUND	6,581,455	6,860,926		6,860,926	6,860,926
17 SPECIAL REVENUES * [WF2000]					
18 FEDERAL FUNDS IN STATE TREASURY					
19 TOBACCO SETTLEMENT FUNDS					
20 OTHER STATE TREASURY FUNDS	(296)				
21 TOTAL INCOME	\$7,849,428	\$7,973,941		\$7,973,941	\$7,973,941
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$222,385

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

***Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

Other Transfers Out (\$296) for worker's comp admin fee - charged to General Revenue fund, but not appropriation commitment item

FORM 12-4

**APPROPRIATION ACT FORM - CASH FUNDS
FISCAL YEAR 2013**

FUND 2000300

INSTITUTION AR SCHOOL FOR MATHEMATICS, SCIENCES & ARTS

APPROPRIATION B03

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12**	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
				2012-13	2012-13
1 REGULAR SALARIES	502,205	5,000,000	5,000,000	5,000,000	5,000,000
2 EXTRA HELP WAGES	2,706	150,000	150,000	150,000	150,000
3 PERSONAL SERVICES MATCHING	271,999	1,700,000	1,700,000	1,700,000	1,700,000
4 OVERTIME					
5 OPERATING EXPENSES	98,541	3,965,000	4,000,000	4,000,000	4,000,000
6 CONFERENCE FEES & TRAVEL	18,932	300,000	300,000	300,000	300,000
7 PROFESSIONAL FEES AND SERVICES	14,075	400,000	400,000	400,000	400,000
8 CAPITAL OUTLAY	538,608	4,000,000	4,000,000	4,000,000	4,000,000
9 CAPITAL IMPROVEMENTS		4,000,000	4,000,000	4,000,000	4,000,000
10 DEBT SERVICE		450,000	450,000	450,000	450,000
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS					
12 PROMOTIONAL ITEMS	15,111	35,000			
13 CONSTRUCTION		10,000,000	10,000,000	10,000,000	10,000,000
14					
15					
16 TOTAL APPROPRIATION	\$1,462,177	\$30,000,000	\$30,000,000	\$30,000,000	\$30,000,000
17 PRIOR YEAR FUND BALANCE*					
18 LOCAL CASH FUNDS	1,245,700	30,000,000		30,000,000	30,000,000
19 FEDERAL CASH FUNDS					
20 OTHER CASH FUNDS	216,477				
21 TOTAL INCOME	\$1,462,177	\$30,000,000		\$30,000,000	\$30,000,000
22 EXCESS (FUNDING)/APPROPRIATION	(\$0)	\$0		\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED 2011-12	REQUESTED 2012-13	LEGISLATIVE RECOMMENDATION 2012-13
REGULAR POSITIONS	118	114	129	129	129
TOBACCO POSITIONS					
EXTRA HELP ***	2	1	10	10	10

*Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM 12-5

**Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

*** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

ARKANSAS SCHOOL FOR MATHEMATICS, SCIENCES AND THE ARTS _____
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2011-12:						114
(As of November 1, 2011)						
Nonclassified Administrative Employees:						
White Male:	17	Black Male:	1	Other Male:	0	Total Male: 18
White Female:	27	Black Female:	3	Other Female:	2	Total Female: 32
Nonclassified Health Care Employees:						
White Male:	_____	Black Male:	_____	Other Male:	_____	Total Male: 0
White Female:	_____	Black Female:	_____	Other Female:	_____	Total Female: 0
Classified Employees:						
White Male:	_____	Black Male:	_____	Other Male:	_____	Total Male: 0
White Female:	1	Black Female:	_____	Other Female:	_____	Total Female: 1
Faculty:						
White Male:	27	Black Male:	0	Other Male:	1	Total Male: 28
White Female:	29	Black Female:	1	Other Female:	5	Total Female: 35
Total White Male:	44	Total Black Male:	1	Total Other Male:	1	Total Male: 46
Total White Female:	57	Total Black Female:	4	Total Other Female:	7	Total Female: 68
Total White:	101	Total Black:	5	Total Other:	8	Total Employees: 114
				Total Minority:	13	

FORM 12-8

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$25,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2011
 Required by A.C.A. 25-36-104

Institution **AR SCHOOL FOR MATHEMATICS, SCIENCES AND THE ARTS**

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
None							

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$1,297,843

% OF MINORITY CONTRACTS AWARDED 0%

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**INSTITUTION APPROPRIATION SUMMARY
FISCAL YEAR 2013**

INSTITUTION UNIVERSITY OF ARKANSAS - FORT SMITH

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
	2010-11		2011-12		2011-12		2012-13			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	23,444,715		23,059,772		23,640,880		23,059,765		23,059,765	
2 CASH	52,508,641		211,901,642		211,901,642		221,901,642		221,901,642	
3										
4										
5										
6										
7										
8										
9										
10										
11 TOTAL	\$75,953,356	851	\$234,961,414	866	\$235,542,522	1,094	\$244,961,407	1,094	\$244,961,407	1,094
FUNDING SOURCES		%		%				%		%
12 PRIOR YEAR FUND BALANCE*	2,103,710	3%	7	0%				0%		0%
13 GENERAL REVENUE	20,115,961	26%	20,115,961	9%			20,115,961	8%	20,115,961	8%
14 EDUCATIONAL EXCELLENCE TRUST FUND	2,823,891	4%	2,943,804	1%			2,943,804	1%	2,943,804	1%
15 WORKFORCE 2000		0%		0%				0%		0%
16 CASH FUNDS	49,065,779	65%	210,435,533	90%			221,901,642	91%	221,901,642	91%
17 SPECIAL REVENUES		0%		0%				0%		0%
18 FEDERAL FUNDS	1,607,227	2%	1,466,109	1%				0%		0%
19 TOBACCO SETTLEMENT FUNDS		0%		0%				0%		0%
20 OTHER FUNDS	236,795	0%	0	0%				0%		0%
21 TOTAL INCOME	\$75,953,363	100%	\$234,961,414	100%			\$244,961,407	100%	\$244,961,407	100%
22 EXCESS (FUNDING)/APPROPRIATION	(\$7)		\$0				\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2011:	\$3,485,603
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$2,360,612
INVENTORIES	\$81,610
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	\$876,856
INSURANCE DEDUCTIBLES	\$80,000
MAJOR CRITICAL SYSTEMS FAILURES	
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$7,182,022
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	(\$7,095,497)

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
FISCAL YEAR 2013**

FUND CWW0000

INSTITUTION UNIVERSITY OF ARKANSAS - FORT SMITH

APPROPRIATION _____ 568

DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE
	2010-11	2011-12	APPROPRIATION	AHECB RECOMMENDATION	RECOMMENDATION
			2011-12***	2012-13	2012-13
1 REGULAR SALARIES	17,613,929	17,225,898	17,750,000	17,225,892	18,620,000
2 EXTRA HELP WAGES	654,332	592,994	650,000	592,993	671,433
3 PERSONAL SERVICES MATCHING	3,043,949	3,336,840	3,336,840	3,336,840	3,400,000
4 OVERTIME					
5 OPERATING EXPENSES	2,115,045	1,886,580	1,886,580	1,886,580	2,000,000
6 CONFERENCE FEES & TRAVEL					
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)					
8 CAPITAL OUTLAY					
9 FUNDED DEPRECIATION	17,460	17,460	17,460	17,460	17,460
10					
11					
12					
13 TOTAL APPROPRIATION	\$23,444,715	\$23,059,772	\$23,640,880	\$23,059,765	\$24,708,893
14 PRIOR YEAR FUND BALANCE**	268,075	7			
15 GENERAL REVENUE	20,115,961	20,115,961		20,115,961	20,115,961
16 EDUCATIONAL EXCELLENCE TRUST FUND	2,823,891	2,943,804		2,943,804	2,943,804
17 SPECIAL REVENUES * [WF2000]					
18 FEDERAL FUNDS IN STATE TREASURY					
19 TOBACCO SETTLEMENT FUNDS					
20 OTHER STATE TREASURY FUNDS	236,795				
21 TOTAL INCOME	\$23,444,722	\$23,059,772		\$23,059,765	\$23,059,765
22 EXCESS (FUNDING)/APPROPRIATION	(\$7)	\$0		\$0	\$1,649,128

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM 12-4

***Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

Line 20 Other State Treasury Funds

Tuition Adjustment	\$240,467
Transfer Out	(\$3,672)
Total	\$236,795

**APPROPRIATION ACT FORM - CASH FUNDS
FISCAL YEAR 2013**

FUND 2160000

INSTITUTION UNIVERSITY OF ARKANSAS - FORT SMITH

APPROPRIATION B12

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12**	INSTITUTIONAL REQUEST /	LEGISLATIVE
				AHECB RECOMMENDATION 2012-13	LEGISLATIVE RECOMMENDATION 2012-13
1 REGULAR SALARIES	14,217,531	38,954,470	38,954,470	42,575,000	42,575,000
2 EXTRA HELP WAGES	989,733	4,091,326	4,091,326	4,291,326	4,291,326
3 PERSONAL SERVICES MATCHING	6,617,040	13,303,679	13,303,679	14,453,679	14,453,679
4 OVERTIME	123,859	913,518	913,518	1,163,518	1,163,518
5 OPERATING EXPENSES	14,479,352	30,609,757	30,709,757	31,752,034	31,752,034
6 CONFERENCE FEES & TRAVEL	514,788	1,350,000	1,350,000	1,500,000	1,500,000
7 PROFESSIONAL FEES AND SERVICES	662,267	4,250,000	4,250,000	4,350,000	4,350,000
8 CAPITAL OUTLAY	2,284,675	32,456,747	32,456,747	32,958,940	32,958,940
9 CAPITAL IMPROVEMENTS	6,882,013	45,000,000	45,000,000	48,000,000	48,000,000
10 DEBT SERVICE		9,723,118	9,723,118	9,773,118	9,773,118
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS	5,637,382	30,874,027	30,874,027	30,784,027	30,784,027
12 PROMOTIONAL ITEMS	100,001	375,000	275,000	300,000	300,000
13					
14					
15					
16 TOTAL APPROPRIATION	\$52,508,641	\$211,901,642	\$211,901,642	\$221,901,642	\$221,901,642
17 PRIOR YEAR FUND BALANCE*	1,835,635				
18 LOCAL CASH FUNDS	49,065,779	210,435,533		221,901,642	221,901,642
19 FEDERAL CASH FUNDS	1,607,227	1,466,109			
20 OTHER CASH FUNDS					
21 TOTAL INCOME	\$52,508,641	\$211,901,642		\$221,901,642	\$221,901,642
22 EXCESS (FUNDING)/APPROPRIATION	(\$0)	\$0		\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED 2011-12	REQUESTED 2012-13	LEGISLATIVE RECOMMENDATION 2012-13
REGULAR POSITIONS	851	866	1,094	1,094	1,094
TOBACCO POSITIONS					
EXTRA HELP ***	292	350	910	910	910

*Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

*** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

UNIVERSITY OF ARKANSAS - FORT SMITH
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2011-12:						<u>566</u>
						(As of November 1, 2011)
Nonclassified Administrative Employees:						
White Male:	<u>19</u>	Black Male:	<u>1</u>	Other Male:	<u>1</u>	Total Male: <u>21</u>
White Female:	<u>8</u>	Black Female:	<u>2</u>	Other Female:	<u>0</u>	Total Female: <u>10</u>
Nonclassified Health Care Employees:						
White Male:	<u> </u>	Black Male:	<u> </u>	Other Male:	<u> </u>	Total Male: <u>0</u>
White Female:	<u> </u>	Black Female:	<u> </u>	Other Female:	<u> </u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>90</u>	Black Male:	<u>6</u>	Other Male:	<u>12</u>	Total Male: <u>108</u>
White Female:	<u>170</u>	Black Female:	<u>6</u>	Other Female:	<u>25</u>	Total Female: <u>201</u>
Faculty:						
White Male:	<u>98</u>	Black Male:	<u>2</u>	Other Male:	<u>17</u>	Total Male: <u>117</u>
White Female:	<u>88</u>	Black Female:	<u>5</u>	Other Female:	<u>16</u>	Total Female: <u>109</u>
Total White Male:	<u>207</u>	Total Black Male:	<u>9</u>	Total Other Male:	<u>30</u>	Total Male: <u>246</u>
Total White Female:	<u>266</u>	Total Black Female:	<u>13</u>	Total Other Female:	<u>41</u>	Total Female: <u>320</u>
Total White:	<u>473</u>	Total Black:	<u>22</u>	Total Other:	<u>71</u>	Total Employees: <u>566</u>
				Total Minority:	<u>93</u>	

FORM 12-8

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$25,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2011
 Required by A.C.A. 25-36-104

Institution UNIVERSITY OF ARKANSAS - FORT SMITH

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
Flintco Construction Solutions	\$1,977,097			X			
Flintco Construction Solutions	\$1,294,100			X			

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 2

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$5,042,597

% OF MINORITY CONTRACTS AWARDED 42%

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF University of Arkansas at Fort Smith
June 30, 2010

<p><i>Finding No. 1:</i></p>	<p>Net cash flows (bond proceeds) of \$20,702,191 from trustees were incorrectly reflected as proceeds from sales and maturities of investments in the Investing Activities section of the Statement of Cash Flows instead of the proper category in the Capital and Related Financing Activities section. This misclassification had no effect on the reported cash balance at June 30, 2010. The financial statements were corrected by management during the audit fieldwork.</p>
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<p><i>Institution's Response:</i></p>	<p>The current staff was not aware that the statements provided from the previous controller for 2009 and used in the preparation of the 2010 Statement of Cash Flows were later amended to reflect the sales and maturities of investments related to bond issues as deposits with trustees. It was our understanding that the schedules and statements from 2009 used in the preparation of the 2010 Statement of Cash Flows were the official audited financial statements. The correct classification of these activities has been noted and the records have been updated to properly report these in future years. It should be noted that no transactions were missing from the cash flow statements.</p>
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**INSTITUTION APPROPRIATION SUMMARY
FISCAL YEAR 2013**

INSTITUTION UNIVERSITY OF ARKANSAS AT LITTLE ROCK

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
	2010-11		2011-12		2011-12		2012-13			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	64,861,761		66,337,900		68,877,334		66,549,325		66,549,325	
2 LAW SCHOOL	518,946		800,000		800,000		800,000		800,000	
3 UALR NANOTECHNOLOGY			281,341		300,000		300,000		300,000	
4 CASH	118,768,132		371,200,000		371,200,000		371,200,000		371,200,000	
5										
6										
7										
8										
9										
10										
11 TOTAL	\$184,148,839	2,046	\$438,619,241	2,055	\$441,177,334	2,171	\$438,849,325	2,171	\$438,849,325	2,171
FUNDING SOURCES		%		%				%		%
12 PRIOR YEAR FUND BALANCE*	19,726	0%	1,033,687	0%				0%		0%
13 GENERAL REVENUE	59,758,439	32%	59,758,439	14%			61,447,361	14%	61,447,361	14%
14 EDUCATIONAL EXCELLENCE TRUST FUND	4,894,140	3%	5,101,964	1%			5,101,964	1%	5,101,964	1%
15 WORKFORCE 2000		0%		0%				0%		0%
16 CASH FUNDS	118,768,132	64%	371,200,000	85%			371,200,000	85%	371,200,000	85%
17 SPECIAL REVENUES		0%		0%				0%		0%
18 FEDERAL FUNDS		0%		0%				0%		0%
19 TOBACCO SETTLEMENT FUNDS		0%		0%				0%		0%
20 OTHER FUNDS	709,329	0%	1,525,151	0%			1,100,000	0%	1,100,000	0%
21 TOTAL INCOME	\$184,149,766	100%	\$438,619,241	100%			\$438,849,325	100%	\$438,849,325	100%
22 EXCESS (FUNDING)/APPROPRIATION	(\$927)		\$0				\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2011:	\$11,528,126
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$6,331,836
INVENTORIES	\$73,543
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	\$783,689
INSURANCE DEDUCTIBLES	\$50,000
MAJOR CRITICAL SYSTEMS FAILURES	
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$18,334,986
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	(\$14,045,928)

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
FISCAL YEAR 2013**

FUND CEA0000

INSTITUTION UNIVERSITY OF ARKANSAS AT LITTLE ROCK

APPROPRIATION 297

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12***	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
				2012-13	2012-13
1 REGULAR SALARIES	60,711,091	57,535,713	57,742,027	55,790,384	60,546,967
2 EXTRA HELP WAGES					
3 PERSONAL SERVICES MATCHING	4,150,670	8,802,187	11,135,307	10,758,941	11,676,227
4 OVERTIME					
5 OPERATING EXPENSES					
6 CONFERENCE FEES & TRAVEL					
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)					
8 CAPITAL OUTLAY					
9 FUNDED DEPRECIATION					
10					
11					
12					
13 TOTAL APPROPRIATION	\$64,861,761	\$66,337,900	\$68,877,334	\$66,549,325	\$72,223,194
14 PRIOR YEAR FUND BALANCE**	19,726	989,456			
15 GENERAL REVENUE	59,758,439	59,758,439		61,447,361	61,447,361
16 EDUCATIONAL EXCELLENCE TRUST FUND	4,894,140	5,101,964		5,101,964	5,101,964
17 SPECIAL REVENUES * [WF2000]					
18 FEDERAL FUNDS IN STATE TREASURY					
19 TOBACCO SETTLEMENT FUNDS					
20 OTHER STATE TREASURY FUNDS****	189,456	488,041			
21 TOTAL INCOME	\$64,861,761	\$66,337,900		\$66,549,325	\$66,549,325
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$5,673,869

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

***Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

Actuals Other State Funds:\$200,000 transfer for Strive, and (\$10,543.69) transferred out for Workers' Comp and Surety Bonds

Budget State Funds:\$200,000 transfers, and \$6,709.58 transferred out for Workers' Comp and Surety Bonds

****Represents Ending General Revenue Fund Balance due to the University but unavailable in AASIS at June 30, 2011.

FORM 12-4

**APPROPRIATION ACT FORM - STATE TREASURY
FISCAL YEAR 2013**

FUND CEA0000

INSTITUTION UNIVERSITY OF ARKANSAS AT LITTLE ROCK

APPROPRIATION 86P

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12***	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
				2012-13	2012-13
1 UALR NANOTECHNOLOGY		281,341	300,000	300,000	300,000
2					
3					
4					
5					
6					
7					
8					
9					
10					
11					
12					
13 TOTAL APPROPRIATION	\$0	\$281,341	\$300,000	\$300,000	\$300,000
14 PRIOR YEAR FUND BALANCE**					
15 GENERAL REVENUE					
16 EDUCATIONAL EXCELLENCE TRUST FUND					
17 SPECIAL REVENUES * [WF2000]					
18 FEDERAL FUNDS IN STATE TREASURY					
19 TOBACCO SETTLEMENT FUNDS					
20 OTHER STATE TREASURY FUNDS		281,341		300,000	300,000
21 TOTAL INCOME	\$0	\$281,341		\$300,000	\$300,000
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

***Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

Budget State Funds:Only \$281,341 transferred per ACT 764

FORM 12-4

**APPROPRIATION ACT FORM - STATE TREASURY
FISCAL YEAR 2013**

FUND CEA0100

INSTITUTION UNIVERSITY OF ARKANSAS AT LITTLE ROCK

APPROPRIATION 297

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12***	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
				2012-13	2012-13
1 WILLIAM H. BOWEN SCHOOL OF LAW	518,946	800,000	800,000	800,000	800,000
2 EXTRA HELP WAGES					
3 PERSONAL SERVICES MATCHING					
4 OVERTIME					
5 OPERATING EXPENSES					
6 CONFERENCE FEES & TRAVEL					
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)					
8 CAPITAL OUTLAY					
9 FUNDED DEPRECIATION					
10					
11					
12					
13 TOTAL APPROPRIATION	\$518,946	\$800,000	\$800,000	\$800,000	\$800,000
14 PRIOR YEAR FUND BALANCE**		44,231			
15 GENERAL REVENUE					
16 EDUCATIONAL EXCELLENCE TRUST FUND					
17 SPECIAL REVENUES * [WF2000]					
18 FEDERAL FUNDS IN STATE TREASURY					
19 TOBACCO SETTLEMENT FUNDS					
20 OTHER STATE TREASURY FUNDS	519,873	755,769		800,000	800,000
21 TOTAL INCOME	\$519,873	\$800,000		\$800,000	\$800,000
22 EXCESS (FUNDING)/APPROPRIATION	(\$927)	\$0		\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

***Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

Other State Treasury Funds include Legal Education

FORM 12-4

**APPROPRIATION ACT FORM - CASH FUNDS
FISCAL YEAR 2013**

FUND 2010000

INSTITUTION UNIVERSITY OF ARKANSAS AT LITTLE ROCK

APPROPRIATION A68

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12**	INSTITUTIONAL REQUEST /	LEGISLATIVE
				AHECB RECOMMENDATION 2012-13	RECOMMENDATION 2012-13
1 REGULAR SALARIES	22,554,372	72,828,000	72,828,000	72,828,000	72,828,000
2 EXTRA HELP WAGES	2,651,478	12,000,000	12,000,000	12,000,000	12,000,000
3 PERSONAL SERVICES MATCHING	16,479,008	20,808,000	20,808,000	20,808,000	20,808,000
4 OVERTIME		1,000,000	1,000,000	1,000,000	1,000,000
5 OPERATING EXPENSES	22,408,252	40,800,000	40,900,000	40,800,000	40,900,000
6 CONFERENCE FEES & TRAVEL	3,228,583	5,000,000	5,000,000	5,000,000	5,000,000
7 PROFESSIONAL FEES AND SERVICES	5,697,028	10,000,000	10,000,000	10,000,000	10,000,000
8 CAPITAL OUTLAY	33,028,967	35,000,000	35,000,000	35,000,000	35,000,000
9 CAPITAL IMPROVEMENTS	2,805,004	123,264,000	123,264,000	123,264,000	123,264,000
10 DEBT SERVICE	9,723,744	10,000,000	10,000,000	10,000,000	10,000,000
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS	191,696	40,000,000	40,000,000	40,000,000	40,000,000
12 PROMOTIONAL ITEMS		500,000	400,000	500,000	400,000
13					
14					
15					
16 TOTAL APPROPRIATION	\$118,768,132	\$371,200,000	\$371,200,000	\$371,200,000	\$371,200,000
17 PRIOR YEAR FUND BALANCE*					
18 LOCAL CASH FUNDS	118,768,132	371,200,000		371,200,000	371,200,000
19 FEDERAL CASH FUNDS					
20 OTHER CASH FUNDS					
21 TOTAL INCOME	\$118,768,132	\$371,200,000		\$371,200,000	\$371,200,000
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED 2011-12	REQUESTED 2012-13	LEGISLATIVE RECOMMENDATION 2012-13
REGULAR POSITIONS	2,046	2,055	2,171	2,171	2,171
TOBACCO POSITIONS	0	0	0	0	0
EXTRA HELP ***	515	1,300	1,300	1,300	1,300

*Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

*** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

UNIVERSITY OF ARKANSAS AT LITTLE ROCK
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2011-12:						<u>1868</u>
(As of November 1, 2011)						
Nonclassified Administrative Employees:						
White Male:	<u>194</u>	Black Male:	<u>28</u>	Other Male:	<u>48</u>	Total Male: <u>270</u>
White Female:	<u>250</u>	Black Female:	<u>88</u>	Other Female:	<u>36</u>	Total Female: <u>374</u>
Nonclassified Health Care Employees:						
White Male:	<u>0</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>0</u>
White Female:	<u>0</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>97</u>	Black Male:	<u>56</u>	Other Male:	<u>18</u>	Total Male: <u>171</u>
White Female:	<u>95</u>	Black Female:	<u>106</u>	Other Female:	<u>32</u>	Total Female: <u>233</u>
Faculty:						
White Male:	<u>310</u>	Black Male:	<u>20</u>	Other Male:	<u>97</u>	Total Male: <u>427</u>
White Female:	<u>304</u>	Black Female:	<u>25</u>	Other Female:	<u>64</u>	Total Female: <u>393</u>
Total White Male:	<u>601</u>	Total Black Male:	<u>104</u>	Total Other Male:	<u>163</u>	Total Male: <u>868</u>
Total White Female:	<u>649</u>	Total Black Female:	<u>219</u>	Total Other Female:	<u>132</u>	Total Female: <u>1000</u>
Total White:	<u>1250</u>	Total Black:	<u>323</u>	Total Other:	<u>295</u>	Total Employees: <u>1868</u>
				Total Minority:	<u>618</u>	

FORM 12-8

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF University of Arkansas At Little Rock
June 30, 2010

<i>Finding:</i>	No findings noted
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**INSTITUTION APPROPRIATION SUMMARY
FISCAL YEAR 2013**

INSTITUTION UNIVERSITY OF ARKANSAS AT MONTICELLO

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
	2010-11		2011-12		2011-12		2012-13			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	18,123,772		18,482,759		18,601,241		18,526,673		18,526,673	
2 CASH	22,411,993		59,050,000		59,050,000		59,050,000		59,050,000	
3										
4										
5										
6										
7										
8										
9										
10										
11 TOTAL	\$40,535,765	447	\$77,532,759	429	\$77,651,241	604	\$77,576,673	604	\$77,576,673	604
FUNDING SOURCES		%		%				%		%
12 PRIOR YEAR FUND BALANCE*	20,393	0%		0%				0%		0%
13 GENERAL REVENUE	15,832,509	39%	15,832,509	20%			15,876,423	20%	15,876,423	20%
14 EDUCATIONAL EXCELLENCE TRUST FUND	983,341	2%	1,025,098	1%			1,025,098	1%	1,025,098	1%
15 WORKFORCE 2000	1,307,922	3%	1,275,152	2%			1,275,152	2%	1,275,152	2%
16 CASH FUNDS	16,926,149	42%	28,850,000	37%			28,850,000	37%	28,850,000	37%
17 SPECIAL REVENUES		0%		0%				0%		0%
18 FEDERAL FUNDS	5,465,451	13%	30,200,000	39%			30,200,000	39%	30,200,000	39%
19 TOBACCO SETTLEMENT FUNDS		0%		0%				0%		0%
20 OTHER FUNDS	0	0%	350,000	0%			350,000	0%	350,000	0%
21 TOTAL INCOME	\$40,535,765	100%	\$77,532,759	100%			\$77,576,673	100%	\$77,576,673	100%
22 EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2011:	\$4,362,934
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$489,602
INVENTORIES	\$249,906
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	\$91,428
INSURANCE DEDUCTIBLES	
MAJOR CRITICAL SYSTEMS FAILURES	
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$3,543,852
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	(\$11,854)

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
FISCAL YEAR 2013**

FUND CIA0000

INSTITUTION UNIVERSITY OF ARKANSAS AT MONTICELLO

APPROPRIATION 298

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12***	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
				2012-13	2012-13
1 REGULAR SALARIES	11,306,593	11,741,605	11,680,592	11,780,592	11,861,229
2 EXTRA HELP WAGES					
3 PERSONAL SERVICES MATCHING	1,000,000	810,000	1,000,000	800,000	1,000,000
4 OVERTIME					
5 OPERATING EXPENSES	1,556,039	1,702,784	1,606,039	1,673,797	1,606,039
6 CONFERENCE FEES & TRAVEL					
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)					
8 CAPITAL OUTLAY	75,000	75,000	75,000	75,000	75,000
9 FUNDED DEPRECIATION					
10					
11					
12					
13 TOTAL APPROPRIATION	\$13,937,632	\$14,329,389	\$14,361,631	\$14,329,389	\$14,542,268
14 PRIOR YEAR FUND BALANCE**					
15 GENERAL REVENUE	12,954,291	12,954,291		12,954,291	12,954,291
16 EDUCATIONAL EXCELLENCE TRUST FUND	983,341	1,025,098		1,025,098	1,025,098
17 SPECIAL REVENUES * [WF2000]					
18 FEDERAL FUNDS IN STATE TREASURY					
19 TOBACCO SETTLEMENT FUNDS					
20 OTHER STATE TREASURY FUNDS		350,000		350,000	
21 TOTAL INCOME	\$13,937,632	\$14,329,389		\$14,329,389	\$13,979,389
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$562,879

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

***Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

FORM 12-4

**APPROPRIATION ACT FORM - STATE TREASURY
FISCAL YEAR 2013**

FUND CIA0000

INSTITUTION UAM-CROSSETT

APPROPRIATION 1MG

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12***	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
				2012-13	2012-13
1 REGULAR SALARIES	1,175,000	1,175,000	1,251,741	1,251,741	1,324,430
2 EXTRA HELP WAGES	100,000	100,000	100,000	100,000	100,000
3 PERSONAL SERVICES MATCHING	215,000	215,000	225,000	225,000	225,000
4 OVERTIME					
5 OPERATING EXPENSES	294,456	278,654	236,186	235,827	236,186
6 CONFERENCE FEES & TRAVEL					
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)					
8 CAPITAL OUTLAY					
9 FUNDED DEPRECIATION					
10					
11					
12					
13 TOTAL APPROPRIATION	\$1,784,456	\$1,768,654	\$1,812,927	\$1,812,568	\$1,885,616
14 PRIOR YEAR FUND BALANCE**	14				
15 GENERAL REVENUE	1,154,300	1,154,300		1,198,214	1,198,214
16 EDUCATIONAL EXCELLENCE TRUST FUND					
17 SPECIAL REVENUES * [WF2000]	630,142	614,354		614,354	614,354
18 FEDERAL FUNDS IN STATE TREASURY					
19 TOBACCO SETTLEMENT FUNDS					
20 OTHER STATE TREASURY FUNDS					
21 TOTAL INCOME	\$1,784,456	\$1,768,654		\$1,812,568	\$1,812,568
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$73,048

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

***Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

FORM 12-4

**APPROPRIATION ACT FORM - STATE TREASURY
FISCAL YEAR 2013**

FUND CIA0000

INSTITUTION UAM-MCGEHEE

APPROPRIATION 1MF

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12***	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
				2012-13	2012-13
1 REGULAR SALARIES	1,620,000	1,620,000	1,700,000	1,620,000	1,700,000
2 EXTRA HELP WAGES	110,000	110,000	110,000	110,000	110,000
3 PERSONAL SERVICES MATCHING	265,000	265,000	270,000	265,000	270,000
4 OVERTIME					
5 OPERATING EXPENSES	406,684	389,716	296,683	389,716	304,488
6 CONFERENCE FEES & TRAVEL					
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)					
8 CAPITAL OUTLAY					
9 FUNDED DEPRECIATION					
10 CONTINGENCY			50,000		50,000
11					
12					
13 TOTAL APPROPRIATION	\$2,401,684	\$2,384,716	\$2,426,683	\$2,384,716	\$2,434,488
14 PRIOR YEAR FUND BALANCE**					
15 GENERAL REVENUE	1,723,918	1,723,918		1,723,918	1,723,918
16 EDUCATIONAL EXCELLENCE TRUST FUND					
17 SPECIAL REVENUES * [WF2000]	677,779	660,798		660,798	660,798
18 FEDERAL FUNDS IN STATE TREASURY					
19 TOBACCO SETTLEMENT FUNDS					
20 OTHER STATE TREASURY FUNDS					
21 TOTAL INCOME	\$2,401,697	\$2,384,716		\$2,384,716	\$2,384,716
22 EXCESS (FUNDING)/APPROPRIATION	(\$13)	\$0		\$0	\$49,772

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

***Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

**APPROPRIATION ACT FORM - CASH FUNDS
FISCAL YEAR 2013**

FUND 2030000

INSTITUTION UNIVERSITY OF ARKANSAS AT MONTICELLO

APPROPRIATION A69

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12**	INSTITUTIONAL REQUEST /	LEGISLATIVE
				AHECB RECOMMENDATION 2012-13	LEGISLATIVE RECOMMENDATION 2012-13
1 REGULAR SALARIES	5,562,781	10,698,960	10,698,960	10,698,960	10,698,960
2 EXTRA HELP WAGES	1,036,099	2,250,000	2,250,000	2,250,000	2,250,000
3 PERSONAL SERVICES MATCHING	1,657,753	3,080,800	3,080,800	3,080,800	3,080,800
4 OVERTIME		50,000	50,000	50,000	50,000
5 OPERATING EXPENSES	7,643,968	12,000,000	12,000,000	12,000,000	12,000,000
6 CONFERENCE FEES & TRAVEL	14,938	650,000	650,000	650,000	650,000
7 PROFESSIONAL FEES AND SERVICES	247,774	1,650,000	1,650,000	1,650,000	1,650,000
8 CAPITAL OUTLAY	3,060,402	6,000,000	6,000,000	6,000,000	6,000,000
9 CAPITAL IMPROVEMENTS	347,824	7,082,620	7,082,620	7,082,620	7,082,620
10 DEBT SERVICE	102,806	1,150,000	1,000,000	1,150,000	1,000,000
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS	561,092	10,500,000	10,700,000	10,500,000	10,700,000
12 DATA PROCESSING		50,000		50,000	
13 PROMOTIONAL ITEMS	17,453				
14					
15					
16 TOTAL APPROPRIATION	\$20,252,890	\$55,162,380	\$55,162,380	\$55,162,380	\$55,162,380
17 PRIOR YEAR FUND BALANCE*					
18 LOCAL CASH FUNDS	13,461,641	12,718,850		12,718,850	12,718,850
19 FEDERAL CASH FUNDS	5,093,437	28,500,000		28,500,000	28,500,000
20 OTHER CASH FUNDS	1,697,812	13,943,530		13,943,530	13,943,530
21 TOTAL INCOME	\$20,252,890	\$55,162,380		\$55,162,380	\$55,162,380
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED 2011-12	REQUESTED 2012-13	LEGISLATIVE RECOMMENDATION 2012-13
REGULAR POSITIONS	362	356	487	487	487
TOBACCO POSITIONS					
EXTRA HELP ***	31	31	790	790	790

*Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

*** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

**APPROPRIATION ACT FORM - CASH FUNDS
FISCAL YEAR 2013**

FUND 2030000

INSTITUTION UAM-CROSSETT

APPROPRIATION B83

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12**	INSTITUTIONAL REQUEST /	LEGISLATIVE
				AHECB RECOMMENDATION 2012-13	LEGISLATIVE RECOMMENDATION 2012-13
1 REGULAR SALARIES	435,687	774,240	774,240	774,240	774,240
2 EXTRA HELP WAGES	73,490	75,000	75,000	75,000	75,000
3 PERSONAL SERVICES MATCHING	54,949	258,080	258,080	258,080	258,080
4 OVERTIME					
5 OPERATING EXPENSES	444,139	725,000	725,000	725,000	725,000
6 CONFERENCE FEES & TRAVEL	154	25,000	25,000	25,000	25,000
7 PROFESSIONAL FEES AND SERVICES	11,372	50,000	50,000	50,000	50,000
8 CAPITAL OUTLAY	36,641	50,000	50,000	50,000	50,000
9 CAPITAL IMPROVEMENTS					
10 DEBT SERVICE		50,000	50,000	50,000	50,000
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS					
12					
13					
14					
15					
16 TOTAL APPROPRIATION	\$1,056,432	\$2,007,320	\$2,007,320	\$2,007,320	\$2,007,320
17 PRIOR YEAR FUND BALANCE*	20,393				
18 LOCAL CASH FUNDS	764,487	715,578		715,578	715,578
19 FEDERAL CASH FUNDS	271,552	900,000		900,000	900,000
20 OTHER CASH FUNDS		391,742		391,742	391,742
21 TOTAL INCOME	\$1,056,432	\$2,007,320		\$2,007,320	\$2,007,320
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED 2011-12	REQUESTED 2012-13	LEGISLATIVE RECOMMENDATION 2012-13
REGULAR POSITIONS	39	37	56	56	56
TOBACCO POSITIONS					
EXTRA HELP ***	24	24	36	36	36

*Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

*** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

**APPROPRIATION ACT FORM - CASH FUNDS
FISCAL YEAR 2013**

FUND 2030000

INSTITUTION UAM-MCGEHEE

APPROPRIATION B82

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12**	INSTITUTIONAL REQUEST /	LEGISLATIVE
				AHECB RECOMMENDATION 2012-13	LEGISLATIVE RECOMMENDATION 2012-13
1 REGULAR SALARIES	288,962	744,240	744,240	744,240	744,240
2 EXTRA HELP WAGES	58,847	75,000	75,000	75,000	75,000
3 PERSONAL SERVICES MATCHING	7,694	196,060	196,060	196,060	196,060
4 OVERTIME					
5 OPERATING EXPENSES	733,701	740,000	740,000	740,000	740,000
6 CONFERENCE FEES & TRAVEL		25,000	25,000	25,000	25,000
7 PROFESSIONAL FEES AND SERVICES	4,668	50,000	50,000	50,000	50,000
8 CAPITAL OUTLAY	8,799	50,000	50,000	50,000	50,000
9 CAPITAL IMPROVEMENTS					
10 DEBT SERVICE					
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS					
12					
13					
14					
15					
16 TOTAL APPROPRIATION	\$1,102,671	\$1,880,300	\$1,880,300	\$1,880,300	\$1,880,300
17 PRIOR YEAR FUND BALANCE*					
18 LOCAL CASH FUNDS	1,002,209	930,187		930,187	930,187
19 FEDERAL CASH FUNDS	100,462	800,000		800,000	800,000
20 OTHER CASH FUNDS		150,113		150,113	150,113
21 TOTAL INCOME	\$1,102,671	\$1,880,300		\$1,880,300	\$1,880,300
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED 2011-12	REQUESTED 2012-13	LEGISLATIVE RECOMMENDATION 2012-13
REGULAR POSITIONS	46	46	61	61	61
TOBACCO POSITIONS					
EXTRA HELP ***	18	18	36	36	36

*Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

*** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

University of Arkansas at Monticello
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2011-12:						497
(As of November 1, 2011)						
Nonclassified Administrative Employees:						
White Male:	31	Black Male:	3	Other Male:	7	Total Male: 41
White Female:	44	Black Female:	12	Other Female:	3	Total Female: 59
Nonclassified Health Care Employees:						
White Male:	_____	Black Male:	_____	Other Male:	_____	Total Male: 0
White Female:	_____	Black Female:	_____	Other Female:	_____	Total Female: 0
Classified Employees:						
White Male:	43	Black Male:	10	Other Male:	0	Total Male: 53
White Female:	72	Black Female:	25	Other Female:	1	Total Female: 98
Faculty:						
White Male:	93	Black Male:	6	Other Male:	15	Total Male: 114
White Female:	113	Black Female:	10	Other Female:	9	Total Female: 132
Total White Male:						167
Total White Female:						229
Total Black Male:						19
Total Black Female:						47
Total Other Male:						22
Total Other Female:						13
Total White:						396
Total Black:						66
Total Other:						35
Total Minority:						101
Total Employees:						497

FORM 12-8

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$25,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2011
 Required by A.C.A. 25-36-104

Institution **UNIVERSITY OF ARKANSAS AT MONTICELLO**

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
James Janitorial	\$27,588	X					

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED	<u>1</u>
TOTAL EXPENDITURES ON CONTRACTS AWARDED	<u>\$248,112</u>
% OF MINORITY CONTRACTS AWARDED	<u>11%</u>

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF University of Arkansas at Monticello
June 30, 2010

<i>Finding:</i>	No findings noted
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**INSTITUTION APPROPRIATION SUMMARY
FISCAL YEAR 2013**

INSTITUTION UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
	2010-11		2011-12		2011-12		2012-13			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY UAMS State Appropriation	104,683,661		105,597,182		106,803,381		105,597,182		105,597,182	
2 STATE TREASURY UAMS State Appropriation-Healthcare Initiative	7,086,000		7,100,000		8,100,000		7,100,000		7,100,000	
3 STATE TREASURY UAMS State Appropriation-Psychiatric Rsch Insititute & Peds-Trauma	1,500,000		1,500,000		1,500,000		1,500,000		1,500,000	
4 STATE TREASURY UAMS State Appropriation-Colorectal Cancer	0		0		5,000,000		0		0	
5 STATE TREASURY UAMS State Apporriation-Newborn Umbilical Cord Blood Initiative	0		150,000		250,000		150,000		150,000	
6 STATE TREASURY UAMS State Appropriation-Adult Sickle Cell Disease Program	0		0		379,993		0		0	
7 STATE TREASURY Child Abuse & Neglect Programs	1,131,795		1,205,000		5,000,000		5,000,000		5,000,000	
8 STATE TREASURY Rural Medical Practice: Community Match	325,076		350,000		450,000		450,000		450,000	
9 STATE TREASURY Rural Advanced Nursing	200,000		200,000		300,000		300,000		300,000	
10 STATE TREASURY Poison & Drug Info & Disease State Mgmt	299,959		299,959		299,959		299,959		299,959	
11 STATE TREASURY Poison & Drug Information Center-additional funding	150,000		150,000		400,000		400,000		400,000	
12 STATE TREASURY Breast Cancer Research	942,491		1,194,216		1,194,216		1,194,216		1,194,216	
13 STATE TREASURY Breast Cancer Research-Komen	168,772		135,000		500,000		500,000		500,000	
14 STATE TREASURY Newborn Umbilical Cord Blood Initiative	14,140		18,000		546,000		546,000		546,000	
15 STATE TREASURY Domestic Violence Shelter Programs	355,085		360,000		7,100,000		7,100,000		7,100,000	
16 STATE TREASURY Tobacco Funding: Institute on Aging	1,707,753		1,547,079		2,320,796		2,320,796		2,320,796	
17 STATE TREASURY Tobacco Funding: College of Public Health	2,472,741		2,320,619		3,195,946		3,195,946		3,195,946	
18 STATE TREASURY Tobacco Funding: Delta AHEC	1,830,844		1,547,079		2,320,796		2,320,796		2,320,796	
19 STATE TREASURY Tobacco Funding: Arkansas Biosciences	4,366,251		4,097,642		6,180,957		6,180,957		6,180,957	
20 CASH Operations	968,038,135		1,905,405,000		1,905,405,000		1,905,405,000		1,905,405,000	
21 CASH Pharmacy Student Loans	50,000		550,000		550,000		550,000		550,000	
22 TOTAL	\$1,095,322,703	8,454	\$2,033,726,776	9,412	\$99	11,740	\$2,050,110,852	11,740	\$2,050,110,852	11,627
FUNDING SOURCES										
23 PRIOR YEAR FUND BALANCE*	241,609	0%		0%				0%		0%
24 GENERAL REVENUE - INCLUDES CHILD SAFETY	98,286,825	9%	98,436,825	5%			98,436,825	5%	98,436,825	5%
25 INDIGENT CARE APPROPRIATION	5,342,181	0%	5,342,181	0%			5,342,181	0%	5,342,181	0%
26 EDUCATIONAL EXCELLENCE TRUST FUND	8,426,749	1%	8,784,582	0%			8,784,582	0%	8,784,582	0%
27 EDUC EXCEL TRUST - INDIGENT CARE	209,690	0%	218,594	0%			218,594	0%	218,594	0%
28 CASH FUNDS	865,118,565	79%	1,605,955,000	79%			1,605,955,000	78%	1,605,955,000	78%
29 FEDERAL FUNDS	102,969,570	9%	300,000,000	15%			300,000,000	15%	300,000,000	15%
30 TOBACCO SETTLEMENT FUNDS	10,135,980	1%	9,512,419	0%			14,018,495	1%	14,018,495	1%
31 OTHER FUNDS (BAIL BONDS)	355,085	0%	360,000	0%			7,100,000	0%	7,100,000	0%
32 OTHER FUNDS	5,725,660	1%	5,117,175	0%			10,255,175	1%	10,255,175	1%
33 TOTAL INCOME	\$1,096,811,914	100%	\$2,033,726,776	100%			\$2,050,110,852	100%	\$2,050,110,852	100%
34 EXCESS (FUNDING)/APPROPRIATION	(1,489,211)		\$0				\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2011:	\$206,639,604
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$110,956,413
INVENTORIES	\$20,127,327
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	
MAJOR CRITICAL SYSTEMS FAILURES	
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$113,397,429
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	(\$37,841,565)

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
FISCAL YEAR 2013**

FUND CCA0000
UAMS State Appropriation

INSTITUTION UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES

APPROPRIATION 429

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12***	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
				2012-13	2012-13
1 REGULAR SALARIES	83,606,946	81,855,838	82,990,422	81,840,801	86,183,536
2 EXTRA HELP WAGES					
3 PERSONAL SERVICES MATCHING	10,884,088	8,786,637	8,813,142	8,786,637	9,152,234
4 OVERTIME					
5 OPERATING EXPENSES					
6 CONFERENCE FEES & TRAVEL					
7 CLAIMS	150,000				
8 M&R EXPENSES	67,345				
9 FUNDED DEPRECIATION	1,130,663	1,151,724	1,155,198	1,151,724	1,192,465
10 TEXARKANA AHEC	253,300	252,538	253,300	252,538	261,472
11 POISON & DRUG INFO CENTER	802,116	799,704	802,116	799,704	827,993
12 ADDITIONAL AHEC SUPPORT	2,331,696	2,324,684	2,331,696	2,324,684	2,406,918
13 GRANTS/AID (ACH & Indigent Care)	5,457,507	5,441,094	5,457,507	5,441,094	5,633,570
14 CONTINGENCY	0	4,984,963	5,000,000	5,000,000	5,000,000
15 TOTAL APPROPRIATION	\$104,683,661	\$105,597,182	\$106,803,381	\$105,597,182	\$110,658,188
16 PRIOR YEAR FUND BALANCE**					
17 GENERAL REVENUE	88,980,239	88,231,239		88,231,239	88,231,239
18 INDIGENT CARE APPROPRIATION	5,342,181	5,342,181		5,342,181	5,342,181
19 EDUCATIONAL EXCELLENCE TRUST FUND	8,426,749	8,784,582		8,784,582	8,784,582
20 EDUC EXCEL TRUST - INDIGENT CARE	209,690	218,594		218,594	218,594
21 SPECIAL REVENUES * [WF2000]					
22 FEDERAL FUNDS IN STATE TREASURY					
23 TOBACCO SETTLEMENT FUNDS					
24 CHILD SAFETY CENTERS (Gen Rev)		720,586		720,586	720,586
25 OTHER STATE TREASURY FUNDS****	3,214,013	2,300,000		2,300,000	2,300,000
26 TOTAL INCOME	\$106,172,872	\$105,597,182		\$105,597,182	\$105,597,182
27 EXCESS (FUNDING)/APPROPRIATION	(\$1,489,211)	\$0		\$0	\$5,061,006

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

***Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

****GIF, M&R, ADHE Tuition Adjustment (19-5-1076), Mixed Drink Revenue (CCA0200)

FORM 12-4

**APPROPRIATION ACT FORM - STATE TREASURY
FISCAL YEAR 2013**

FUND CCA0000
UAMS State Appropriation-Healthcare Initiative

INSTITUTION UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES

APPROPRIATION 59P

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12***	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
				2012-13	2012-13
1 REGULAR SALARIES					
2 EXTRA HELP WAGES					
3 PERSONAL SERVICES MATCHING					
4 OVERTIME					
5 OPERATING EXPENSES					
6 CONFERENCE FEES & TRAVEL					
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)					
8 CAPITAL OUTLAY					
9 FUNDED DEPRECIATION					
10 AREA HEALTH EDUCATION CENTERS	3,400,000	3,400,000	3,400,000	3,400,000	3,400,000
11 ARKANSAS HEALTH DATA INITIATIVE	686,000	700,000	700,000	700,000	700,000
12 NORTHWEST ARKANSAS INITIATIVE	3,000,000	3,000,000	4,000,000	3,000,000	4,000,000
13 TOTAL APPROPRIATION	\$7,086,000	\$7,100,000	\$8,100,000	\$7,100,000	\$8,100,000
14 PRIOR YEAR FUND BALANCE**					
15 GENERAL REVENUE	7,086,000	7,100,000		7,100,000	8,100,000
16 EDUCATIONAL EXCELLENCE TRUST FUND					
17 SPECIAL REVENUES * [WF2000]					
18 FEDERAL FUNDS IN STATE TREASURY					
19 OTHER STATE TREASURY FUNDS					
20 TOTAL INCOME	\$7,086,000	\$7,100,000		\$7,100,000	\$8,100,000
21 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

***Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

**APPROPRIATION ACT FORM - STATE TREASURY
FISCAL YEAR 2013**

FUND CCA0100
Psychiatric Research Institute & Pediatrics - Trauma Program

INSTITUTION UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES

APPROPRIATION 83C

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12***	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
				2012-13	2012-13
1 REGULAR SALARIES					
2 EXTRA HELP WAGES					
3 PERSONAL SERVICES MATCHING					
4 OVERTIME					
5 OPERATING EXPENSES					
6 CONFERENCE FEES & TRAVEL					
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)					
8 CAPITAL OUTLAY					
9 FUNDED DEPRECIATION					
10 PSYCHIATRIC RESEARCH INSTITUTE EXPENSES FOR TRAINING, TREATMENT & COMMUNITY PROVIDERS	800,000	800,000	800,000	800,000	800,000
11 DEPARTMENT OF PEDIATRICS EXPENSES FOR TRAINING, TREATMENT & ADVOCATE EDUCATION	500,000	500,000	500,000	500,000	500,000
12 DEPARTMENT OF PEDIATRICS EXPENSES FOR MED EXAMINATIONS, CONSULTATION, EDUCATION & TRAINING	200,000	200,000	200,000	200,000	200,000
13 TOTAL APPROPRIATION	\$1,500,000	\$1,500,000	\$1,500,000	\$1,500,000	\$1,500,000
14 PRIOR YEAR FUND BALANCE**					
15 GENERAL REVENUE	1,500,000	1,500,000		1,500,000	1,500,000
16 EDUCATIONAL EXCELLENCE TRUST FUND					
17 SPECIAL REVENUES * [WF2000]					
18 FEDERAL FUNDS IN STATE TREASURY					
19 OTHER STATE TREASURY FUNDS					
20 TOTAL INCOME	\$1,500,000	\$1,500,000		\$1,500,000	\$1,500,000
21 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

***Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

**APPROPRIATION ACT FORM - STATE TREASURY
FISCAL YEAR 2013**

FUND CCA0000
Colorectal Cancer

INSTITUTION UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES

APPROPRIATION 59Q

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12***	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
				2012-13	2012-13
1 REGULAR SALARIES					
2 EXTRA HELP WAGES					
3 PERSONAL SERVICES MATCHING					
4 OVERTIME					
5 OPERATING EXPENSES					
6 CONFERENCE FEES & TRAVEL					
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)					
8 CAPITAL OUTLAY					
9 FUNDED DEPRECIATION					
10 COLORECTAL CANCER SCREEN & RSCH			5,000,000	0	5,000,000
11					
12					
13 TOTAL APPROPRIATION	\$0	\$0	\$5,000,000	\$0	\$5,000,000
14 PRIOR YEAR FUND BALANCE**			[Patterned Area]		
15 GENERAL REVENUE					
16 EDUCATIONAL EXCELLENCE TRUST FUND					
17 SPECIAL REVENUES * [WF2000]					
18 FEDERAL FUNDS IN STATE TREASURY					
19 OTHER STATE TREASURY FUNDS					
20 TOTAL INCOME	\$0	\$0			\$0
21 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$5,000,000

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

***Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

FORM 12-4

**APPROPRIATION ACT FORM - STATE TREASURY
FISCAL YEAR 2013**

FUND CCA0000
Newborn Umbilical Cord Blood Initiative

INSTITUTION UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES

APPROPRIATION 86R

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12***	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
				2012-13	2012-13
1 REGULAR SALARIES					
2 EXTRA HELP WAGES					
3 PERSONAL SERVICES MATCHING					
4 OVERTIME					
5 OPERATING EXPENSES					
6 CONFERENCE FEES & TRAVEL					
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)					
8 CAPITAL OUTLAY					
9 FUNDED DEPRECIATION					
10 NEWBORN UMBILICAL CORD BLOOD PROG	0	150,000	250,000	150,000	250,000
11					
12					
13 TOTAL APPROPRIATION	\$0	\$150,000	\$250,000	\$150,000	\$250,000
14 PRIOR YEAR FUND BALANCE**					
15 GENERAL REVENUE	0	150,000		150,000	250,000
16 EDUCATIONAL EXCELLENCE TRUST FUND					
17 SPECIAL REVENUES * [WF2000]					
18 FEDERAL FUNDS IN STATE TREASURY					
19 OTHER STATE TREASURY FUNDS					
20 TOTAL INCOME	\$0	\$150,000		\$150,000	\$250,000
21 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

***Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

**APPROPRIATION ACT FORM - STATE TREASURY
FISCAL YEAR 2013**

FUND CCA0000
Adult Sickle Cell Disease Program

INSTITUTION UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES

APPROPRIATION 86S

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12***	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
				2012-13	2012-13
1 REGULAR SALARIES					
2 EXTRA HELP WAGES					
3 PERSONAL SERVICES MATCHING					
4 OVERTIME					
5 OPERATING EXPENSES					
6 CONFERENCE FEES & TRAVEL					
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)					
8 CAPITAL OUTLAY					
9 FUNDED DEPRECIATION					
10 ADULT SICKLE CELL DISEASE PROGRAM	0	0	379,993	0	379,993
11					
12					
13 TOTAL APPROPRIATION	\$0	\$0	\$379,993	\$0	\$379,993
14 PRIOR YEAR FUND BALANCE**					
15 GENERAL REVENUE					
16 EDUCATIONAL EXCELLENCE TRUST FUND					
17 SPECIAL REVENUES * [WF2000]					
18 FEDERAL FUNDS IN STATE TREASURY					
19 OTHER STATE TREASURY FUNDS					
20 TOTAL INCOME	\$0	\$0		\$0	\$0
21 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$379,993

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

***Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

FORM 12-4

**APPROPRIATION ACT FORM - STATE TREASURY
FISCAL YEAR 2013**

FUND CCA0100
Child Abuse and Neglect Programs

INSTITUTION UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES

APPROPRIATION 38E

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12***	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
				2012-13	2012-13
1 REGULAR SALARIES					
2 EXTRA HELP WAGES					
3 PERSONAL SERVICES MATCHING					
4 OVERTIME					
5 OPERATING EXPENSES					
6 CONFERENCE FEES & TRAVEL					
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)					
8 CAPITAL OUTLAY					
9 FUNDED DEPRECIATION					
10 CHILD ABUSE AND NEGLECT PROGRAMS	1,131,795	1,205,000	5,000,000	5,000,000	5,000,000
11					
12					
13 TOTAL APPROPRIATION	\$1,131,795	\$1,205,000	\$5,000,000	\$5,000,000	\$5,000,000
14 PRIOR YEAR FUND BALANCE**					
15 GENERAL REVENUE	720,586	735,000		735,000	
16 EDUCATIONAL EXCELLENCE TRUST FUND					
17 SPECIAL REVENUES * [WF2000]					
18 FEDERAL FUNDS IN STATE TREASURY					
19 OTHER STATE TREASURY FUNDS****	411,209	470,000		4,265,000	
20 TOTAL INCOME	\$1,131,795	\$1,205,000		\$5,000,000	\$0
21 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$5,000,000

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

***Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

****Cigarette Tax

FORM 12-4

**APPROPRIATION ACT FORM - STATE TREASURY
FISCAL YEAR 2013**

FUND HUA1501
Medical Loans & Scholarships

INSTITUTION UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES

APPROPRIATION 464

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12***	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
				2012-13	2012-13
1 REGULAR SALARIES					
2 EXTRA HELP WAGES					
3 PERSONAL SERVICES MATCHING					
4 OVERTIME					
5 OPERATING EXPENSES					
6 CONFERENCE FEES & TRAVEL					
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)					
8 CAPITAL OUTLAY					
9 FUNDED DEPRECIATION					
10 RURAL MEDICAL PRACTICE LOANS/SCHOLARSHIPS	200,000	200,000	300,000	300,000	300,000
11 COMMUNITY MATCH STUDENT LOANS/SCHOLARSHIPS	125,076	150,000	150,000	150,000	150,000
12					
13 TOTAL APPROPRIATION	\$325,076	\$350,000	\$450,000	\$450,000	\$450,000
14 PRIOR YEAR FUND BALANCE**					
15 GENERAL REVENUE					
16 EDUCATIONAL EXCELLENCE TRUST FUND					
17 SPECIAL REVENUES * [WF2000]					
18 FEDERAL FUNDS IN STATE TREASURY					
19 OTHER STATE TREASURY FUNDS	325,076	350,000		450,000	450,000
20 TOTAL INCOME	\$325,076	\$350,000		\$450,000	\$450,000
21 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

***Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

**APPROPRIATION ACT FORM - STATE TREASURY
FISCAL YEAR 2013**

FUND HUA1502
Nursing Loans & Scholarships

INSTITUTION UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES

APPROPRIATION _____ 461

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12***	INSTITUTIONAL REQUEST /	LEGISLATIVE
				AHECB RECOMMENDATION 2012-13	RECOMMENDATION 2012-13
1 REGULAR SALARIES					
2 EXTRA HELP WAGES					
3 PERSONAL SERVICES MATCHING					
4 OVERTIME					
5 OPERATING EXPENSES					
6 CONFERENCE FEES & TRAVEL					
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)					
8 CAPITAL OUTLAY					
9 FUNDED DEPRECIATION					
10 ADVANCED NURSING PRACTICE COMMUNITY MATCH STUDENT LOANS/SCHOLARSHIPS	100,000	100,000	150,000	150,000	150,000
11 RURAL ADVANCED NURSING PRACTICE STUDENT LOAN/SCHOLARSHIPS	100,000	100,000	150,000	150,000	150,000
12					
13 TOTAL APPROPRIATION	\$200,000	\$200,000	\$300,000	\$300,000	\$300,000
14 PRIOR YEAR FUND BALANCE**					
15 GENERAL REVENUE					
16 EDUCATIONAL EXCELLENCE TRUST FUND					
17 SPECIAL REVENUES * [WF2000]					
18 FEDERAL FUNDS IN STATE TREASURY					
19 OTHER STATE TREASURY FUNDS	200,000	200,000		300,000	300,000
20 TOTAL INCOME	\$200,000	\$200,000		\$300,000	\$300,000
21 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

***Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

**APPROPRIATION ACT FORM - STATE TREASURY
FISCAL YEAR 2013**

FUND HUA1503
Poison and Drug Information Center and Disease Management

INSTITUTION UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES

APPROPRIATION 1UV

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12***	INSTITUTIONAL REQUEST /	LEGISLATIVE
				AHECB RECOMMENDATION 2012-13	RECOMMENDATION 2012-13
1 REGULAR SALARIES					
2 EXTRA HELP WAGES					
3 PERSONAL SERVICES MATCHING					
4 OVERTIME					
5 OPERATING EXPENSES					
6 CONFERENCE FEES & TRAVEL					
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)					
8 CAPITAL OUTLAY					
9 FUNDED DEPRECIATION					
10 ADDITIONAL SUPPORT FOR THE ARKANSAS POISON & DRUG INFORMATION CENTER	139,959	139,959	139,959	139,959	139,959
11 SUPPORT FOR DISEASE STATE MANAGEMENT	160,000	160,000	160,000	160,000	160,000
12					
13 TOTAL APPROPRIATION	\$299,959	\$299,959	\$299,959	\$299,959	\$299,959
14 PRIOR YEAR FUND BALANCE**					
15 GENERAL REVENUE					
16 EDUCATIONAL EXCELLENCE TRUST FUND					
17 SPECIAL REVENUES * [WF2000]					
18 FEDERAL FUNDS IN STATE TREASURY					
19 OTHER STATE TREASURY FUNDS	299,959	299,959		299,959	299,959
20 TOTAL INCOME	\$299,959	\$299,959		\$299,959	\$299,959
21 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

***Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

FORM 12-4

**APPROPRIATION ACT FORM - STATE TREASURY
FISCAL YEAR 2013**

FUND HUA1503
Poison and Drug Information Center

INSTITUTION UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES

APPROPRIATION 1UV

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12***	INSTITUTIONAL REQUEST /	LEGISLATIVE
				AHECB RECOMMENDATION 2012-13	RECOMMENDATION 2012-13
1 REGULAR SALARIES					
2 EXTRA HELP WAGES					
3 PERSONAL SERVICES MATCHING					
4 OVERTIME					
5 OPERATING EXPENSES					
6 CONFERENCE FEES & TRAVEL					
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)					
8 CAPITAL OUTLAY					
9 FUNDED DEPRECIATION					
10 POISON & DRUG INFO CNTR OPERATIONS	150,000	150,000	400,000	400,000	400,000
11					
12					
13 TOTAL APPROPRIATION	\$150,000	\$150,000	\$400,000	\$400,000	\$400,000
14 PRIOR YEAR FUND BALANCE**					
15 GENERAL REVENUE					
16 EDUCATIONAL EXCELLENCE TRUST FUND					
17 SPECIAL REVENUES * [WF2000]					
18 FEDERAL FUNDS IN STATE TREASURY					
19 OTHER STATE TREASURY FUNDS	150,000	150,000		400,000	400,000
20 TOTAL INCOME	\$150,000	\$150,000		\$400,000	\$400,000
21 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

***Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

FORM 12-4

**APPROPRIATION ACT FORM - STATE TREASURY
FISCAL YEAR 2013**

FUND MBR0100
Breast Cancer Research

INSTITUTION UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES

APPROPRIATION 231

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12***	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
				2012-13	2012-13
1 REGULAR SALARIES					
2 EXTRA HELP WAGES					
3 PERSONAL SERVICES MATCHING					
4 OVERTIME					
5 OPERATING EXPENSES					
6 CONFERENCE FEES & TRAVEL					
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)					
8 CAPITAL OUTLAY					
9 FUNDED DEPRECIATION					
10 BREAST CANCER RESEARCH PRG EXP	942,491	1,194,216	1,194,216	1,194,216	1,194,216
11					
12					
13 TOTAL APPROPRIATION	\$942,491	\$1,194,216	\$1,194,216	\$1,194,216	\$1,194,216
14 PRIOR YEAR FUND BALANCE**					
15 GENERAL REVENUE					
16 EDUCATIONAL EXCELLENCE TRUST FUND					
17 SPECIAL REVENUES * [WF2000]					
18 FEDERAL FUNDS IN STATE TREASURY					
19 OTHER STATE TREASURY FUNDS	942,491	1,194,216		1,194,216	1,194,216
20 TOTAL INCOME	\$942,491	\$1,194,216		\$1,194,216	\$1,194,216
21 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

***Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

**APPROPRIATION ACT FORM - STATE TREASURY
FISCAL YEAR 2013**

FUND MBR0200
Breast Cancer Research - Komen

INSTITUTION UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES

APPROPRIATION _____ 231

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12***	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
				2012-13	2012-13
1 REGULAR SALARIES					
2 EXTRA HELP WAGES					
3 PERSONAL SERVICES MATCHING					
4 OVERTIME					
5 OPERATING EXPENSES					
6 CONFERENCE FEES & TRAVEL					
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)					
8 CAPITAL OUTLAY					
9 FUNDED DEPRECIATION					
10 BREAST CANCER RESEARCH - KOMEN	168,772	135,000	500,000	500,000	500,000
11					
12					
13 TOTAL APPROPRIATION	\$168,772	\$135,000	\$500,000	\$500,000	\$500,000
14 PRIOR YEAR FUND BALANCE**					
15 GENERAL REVENUE					
16 EDUCATIONAL EXCELLENCE TRUST FUND					
17 SPECIAL REVENUES * [WF2000]					
18 FEDERAL FUNDS IN STATE TREASURY					
19 OTHER STATE TREASURY FUNDS	168,772	135,000		500,000	500,000
20 TOTAL INCOME	\$168,772	\$135,000		\$500,000	\$500,000
21 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

***Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

**APPROPRIATION ACT FORM - STATE TREASURY
FISCAL YEAR 2013**

FUND MNU0000
Newborn Umbilical Cord Blood Initiative

INSTITUTION UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES

APPROPRIATION 58U

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12***	INSTITUTIONAL REQUEST /	LEGISLATIVE
				AHECB RECOMMENDATION 2012-13	RECOMMENDATION 2012-13
1 REGULAR SALARIES					
2 EXTRA HELP WAGES					
3 PERSONAL SERVICES MATCHING					
4 OVERTIME					
5 OPERATING EXPENSES					
6 CONFERENCE FEES & TRAVEL					
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)					
8 CAPITAL OUTLAY					
9 FUNDED DEPRECIATION					
10 NEWBORN UMBILICAL CORD BLOOD	14,140	18,000	546,000	546,000	546,000
11					
12					
13 TOTAL APPROPRIATION	\$14,140	\$18,000	\$546,000	\$546,000	\$546,000
14 PRIOR YEAR FUND BALANCE**					
15 GENERAL REVENUE					
16 EDUCATIONAL EXCELLENCE TRUST FUND					
17 SPECIAL REVENUES * [WF2000]					
18 FEDERAL FUNDS IN STATE TREASURY					
19 OTHER STATE TREASURY FUNDS	14,140	18,000		546,000	546,000
20 TOTAL INCOME	\$14,140	\$18,000		\$546,000	\$546,000
21 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

***Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

FORM 12-4

**APPROPRIATION ACT FORM - STATE TREASURY
FISCAL YEAR 2013**

FUND SML0000
Domestic Violence Shelter Programs

INSTITUTION UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES

APPROPRIATION 1PQ

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12***	INSTITUTIONAL REQUEST /	LEGISLATIVE
				AHECB RECOMMENDATION 2012-13	RECOMMENDATION 2012-13
1 REGULAR SALARIES					
2 EXTRA HELP WAGES					
3 PERSONAL SERVICES MATCHING					
4 OVERTIME					
5 OPERATING EXPENSES					
6 CONFERENCE FEES & TRAVEL					
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)					
8 CAPITAL OUTLAY					
9 FUNDED DEPRECIATION					
10 DOMESTIC VIOLENCES SHELTER PROGRAMS	355,085	360,000	6,100,000	6,100,000	6,100,000
11 DOMESTIC VIOLENCE SHELTER GRANTS			1,000,000	1,000,000	1,000,000
12					
13 TOTAL APPROPRIATION	\$355,085	\$360,000	\$7,100,000	\$7,100,000	\$7,100,000
14 PRIOR YEAR FUND BALANCE**					
15 GENERAL REVENUE					
16 EDUCATIONAL EXCELLENCE TRUST FUND					
17 SPECIAL REVENUES * [WF2000]					
18 FEDERAL FUNDS IN STATE TREASURY					
19 BAIL BONDS	0				
20 OTHER STATE TREASURY FUNDS	355,085	360,000		7,100,000	7,100,000
21 TOTAL INCOME	\$355,085	\$360,000		\$7,100,000	\$7,100,000
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

***Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

FORM 12-4

**APPROPRIATION ACT FORM - STATE TREASURY
FISCAL YEAR 2013**

FUND TSE0201
Tobacco Funding - Institute on Aging

INSTITUTION UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES

APPROPRIATION 322

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12***	INSTITUTIONAL REQUEST /	LEGISLATIVE
				AHECB RECOMMENDATION 2012-13	RECOMMENDATION 2012-13
1 REGULAR SALARIES	1,011,000	820,912	1,292,959	1,292,959	1,292,959
2 EXTRA HELP WAGES					
3 PERSONAL SERVICES MATCHING	274,800	201,120	316,765	316,765	316,765
4 OVERTIME					
5 OPERATING EXPENSES	398,953	435,918	603,713	603,713	603,713
6 CONFERENCE FEES & TRAVEL	23,000	37,129	52,128	52,128	52,128
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)					
8 CAPITAL OUTLAY		52,000	55,231	55,231	55,231
9 FUNDED DEPRECIATION					
10					
11					
12					
13 TOTAL APPROPRIATION	\$1,707,753	\$1,547,079	\$2,320,796	\$2,320,796	\$2,320,796
14 PRIOR YEAR FUND BALANCE**	59,259				
15 GENERAL REVENUE					
16 EDUCATIONAL EXCELLENCE TRUST FUND					
17 SPECIAL REVENUES * [WF2000]					
18 FEDERAL FUNDS IN STATE TREASURY					
19 TOBACCO SETTLEMENT FUNDS	1,648,494	1,547,079		2,320,796	2,320,796
20 OTHER STATE TREASURY FUNDS					
21 TOTAL INCOME	\$1,707,753	\$1,547,079		\$2,320,796	\$2,320,796
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

***Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

FORM 12-4

**APPROPRIATION ACT FORM - STATE TREASURY
FISCAL YEAR 2013**

FUND TSE0202
Tobacco Funding - College of Public Health

INSTITUTION UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES

APPROPRIATION 347

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12***	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
				2012-13	2012-13
1 REGULAR SALARIES	1,961,137	1,852,423	2,561,276	2,561,276	2,561,276
2 EXTRA HELP WAGES					
3 PERSONAL SERVICES MATCHING	448,404	389,864	564,670	564,670	564,670
4 OVERTIME					
5 OPERATING EXPENSES	50,000	73,332	50,000	50,000	50,000
6 CONFERENCE FEES & TRAVEL	13,200	5,000	20,000	20,000	20,000
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)					
8 CAPITAL OUTLAY					
9 FUNDED DEPRECIATION					
10					
11					
12					
13 TOTAL APPROPRIATION	\$2,472,741	\$2,320,619	\$3,195,946	\$3,195,946	\$3,195,946
14 PRIOR YEAR FUND BALANCE**					
15 GENERAL REVENUE					
16 EDUCATIONAL EXCELLENCE TRUST FUND					
17 SPECIAL REVENUES * [WF2000]					
18 FEDERAL FUNDS IN STATE TREASURY					
19 TOBACCO SETTLEMENT FUNDS	2,472,741	2,320,619		3,195,946	3,195,946
20 OTHER STATE TREASURY FUNDS					
21 TOTAL INCOME	\$2,472,741	\$2,320,619		\$3,195,946	\$3,195,946
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

***Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

**APPROPRIATION ACT FORM - STATE TREASURY
FISCAL YEAR 2013**

FUND TSE0203
Tobacco Funding - Delta AHEC

INSTITUTION UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES

APPROPRIATION _____ 368

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12***	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
				2012-13	2012-13
1 REGULAR SALARIES	1,040,000	822,911	1,292,959	1,292,959	1,292,959
2 EXTRA HELP WAGES					
3 PERSONAL SERVICES MATCHING	307,500	201,120	316,765	349,098	316,765
4 OVERTIME					
5 OPERATING EXPENSES	482,844	435,918	603,713	552,632	603,713
6 CONFERENCE FEES & TRAVEL	500	37,130	52,128	40,128	52,128
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)				18,748	
8 CAPITAL OUTLAY		50,000	55,231	55,231	55,231
9 FUNDED DEPRECIATION				12,000	
10					
11					
12					
13 TOTAL APPROPRIATION	\$1,830,844	\$1,547,079	\$2,320,796	\$2,320,796	\$2,320,796
14 PRIOR YEAR FUND BALANCE**	182,350				
15 GENERAL REVENUE					
16 EDUCATIONAL EXCELLENCE TRUST FUND					
17 SPECIAL REVENUES * [WF2000]					
18 FEDERAL FUNDS IN STATE TREASURY					
19 TOBACCO SETTLEMENT FUNDS	1,648,494	1,547,079		2,320,796	2,320,796
20 OTHER STATE TREASURY FUNDS					
21 TOTAL INCOME	\$1,830,844	\$1,547,079		\$2,320,796	\$2,320,796
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

***Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

FORM 12-4

**APPROPRIATION ACT FORM - STATE TREASURY
FISCAL YEAR 2013**

FUND TSF0300
Tobacco Funding - Arkansas Biosciences

INSTITUTION UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES

APPROPRIATION 365

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12***	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
				2012-13	2012-13
1 REGULAR SALARIES	1,200,000	971,141	1,407,267	1,207,267	1,407,267
2 EXTRA HELP WAGES					
3 PERSONAL SERVICES MATCHING	265,668	221,272	337,875	337,875	337,875
4 OVERTIME					
5 OPERATING EXPENSES	829,666	692,501	1,042,499	1,242,499	1,042,499
6 CONFERENCE FEES & TRAVEL	20,000	5,000	23,960	23,960	23,960
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)			37,940	37,940	37,940
8 CAPITAL OUTLAY	595,500	950,326	1,434,680	1,434,680	1,434,680
9 FUNDED DEPRECIATION					
10 ARKANSAS CHILDREN'S HOSPITAL	1,455,417	1,257,402	1,896,736	1,896,736	1,896,736
11					
12					
13 TOTAL APPROPRIATION	\$4,366,251	\$4,097,642	\$6,180,957	\$6,180,957	\$6,180,957
14 PRIOR YEAR FUND BALANCE**					
15 GENERAL REVENUE					
16 EDUCATIONAL EXCELLENCE TRUST FUND					
17 SPECIAL REVENUES * [WF2000]					
18 FEDERAL FUNDS IN STATE TREASURY					
19 TOBACCO SETTLEMENT FUNDS	4,366,251	4,097,642		6,180,957	6,180,957
20 OTHER STATE TREASURY FUNDS					
21 TOTAL INCOME	\$4,366,251	\$4,097,642		\$6,180,957	\$6,180,957
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

***Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

FORM 12-4

**APPROPRIATION ACT FORM - CASH FUNDS
FISCAL YEAR 2013**

FUND 2040000

INSTITUTION UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES

APPROPRIATION A85

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12**	INSTITUTIONAL REQUEST /	LEGISLATIVE
				AHECB RECOMMENDATION 2012-13	RECOMMENDATION 2012-13
1 REGULAR SALARIES	500,155,770	805,200,000	805,200,000	805,200,000	805,200,000
2 EXTRA HELP WAGES	334,955	25,000,000	25,000,000	25,000,000	25,000,000
3 PERSONAL SERVICES MATCHING	127,103,144	201,262,000	201,262,000	201,262,000	201,262,000
4 OVERTIME	6,564,552	20,000,000	20,000,000	20,000,000	20,000,000
5 OPERATING EXPENSES	286,792,276	513,643,000	513,643,000	513,643,000	513,643,000
6 CONFERENCE FEES & TRAVEL	3,076,900	20,000,000	20,000,000	20,000,000	20,000,000
7 PROFESSIONAL FEES AND SERVICES	8,902,688	45,000,000	45,000,000	45,000,000	45,000,000
8 CAPITAL OUTLAY	34,797,150	85,000,000	85,000,000	85,000,000	85,000,000
9 CAPITAL IMPROVEMENTS	0	110,000,000	110,000,000	110,000,000	110,000,000
10 DEBT SERVICE	10,700	30,000,000	30,000,000	30,000,000	30,000,000
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS	0	40,000,000	40,000,000	40,000,000	40,000,000
12 CHILDREN'S JUSTICE ACT	250,000	250,000	250,000	250,000	250,000
13 WAR MEMORIAL PARKING FEES	50,000	50,000	50,000	50,000	50,000
14 GRANTS/AID		10,000,000	10,000,000	10,000,000	10,000,000
15					
16 TOTAL APPROPRIATION	\$968,038,135	\$1,905,405,000	\$1,905,405,000	\$1,905,405,000	\$1,905,405,000
17 PRIOR YEAR FUND BALANCE*					
18 LOCAL CASH FUNDS	865,068,565	1,605,405,000		1,605,405,000	1,605,405,000
19 FEDERAL CASH FUNDS	102,969,570	300,000,000		300,000,000	300,000,000
20 OTHER CASH FUNDS					
21 TOTAL INCOME	\$968,038,135	\$1,905,405,000		\$1,905,405,000	\$1,905,405,000
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED 2011-12	REQUESTED 2012-13	LEGISLATIVE RECOMMENDATION 2012-13
REGULAR POSITIONS	8,412	9,370	11,627	11,627	11,627
TOBACCO POSITIONS	42	42	113	113	113
EXTRA HELP ***	669	476	1,565	1,565	1,565

*Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

*** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

FORM 12-5

**APPROPRIATION ACT FORM - CASH FUNDS
FISCAL YEAR 2013**

FUND 2040000
Pharmacy Student Loans

INSTITUTION UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES

APPROPRIATION A85

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12**	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
				2012-13	2012-13
1 REGULAR SALARIES					
2 EXTRA HELP WAGES					
3 PERSONAL SERVICES MATCHING					
4 OVERTIME					
5 OPERATING EXPENSES					
6 CONFERENCE FEES & TRAVEL					
7 PROFESSIONAL FEES AND SERVICES					
8 CAPITAL OUTLAY					
9 CAPITAL IMPROVEMENTS					
10 DEBT SERVICE					
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS					
12 PHARMACY STUDENT LOANS	50,000	550,000	550,000	550,000	550,000
13					
14					
15					
16 TOTAL APPROPRIATION	\$50,000	\$550,000	\$550,000	\$550,000	\$550,000
17 PRIOR YEAR FUND BALANCE*					
18 LOCAL CASH FUNDS	50,000	550,000		550,000	550,000
19 FEDERAL CASH FUNDS					
20 OTHER CASH FUNDS					
21 TOTAL INCOME	\$50,000	\$550,000		\$550,000	\$550,000
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

*Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2011-12:						<u>8875</u>
(As of November 1, 2011)						
Nonclassified Administrative Employees:						
White Male:	<u>498</u>	Black Male:	<u>58</u>	Other Male:	<u>29</u>	Total Male: <u>585</u>
White Female:	<u>1060</u>	Black Female:	<u>290</u>	Other Female:	<u>73</u>	Total Female: <u>1423</u>
Nonclassified Health Care Employees:						
White Male:	<u>446</u>	Black Male:	<u>159</u>	Other Male:	<u>38</u>	Total Male: <u>643</u>
White Female:	<u>2143</u>	Black Female:	<u>851</u>	Other Female:	<u>144</u>	Total Female: <u>3138</u>
Classified Employees:						
White Male:	<u>223</u>	Black Male:	<u>174</u>	Other Male:	<u>16</u>	Total Male: <u>413</u>
White Female:	<u>656</u>	Black Female:	<u>547</u>	Other Female:	<u>64</u>	Total Female: <u>1267</u>
Faculty:						
White Male:	<u>631</u>	Black Male:	<u>18</u>	Other Male:	<u>158</u>	Total Male: <u>807</u>
White Female:	<u>459</u>	Black Female:	<u>33</u>	Other Female:	<u>107</u>	Total Female: <u>599</u>
Total White Male:	<u>1798</u>	Total Black Male:	<u>409</u>	Total Other Male:	<u>241</u>	Total Male: <u>2448</u>
Total White Female:	<u>4318</u>	Total Black Female:	<u>1721</u>	Total Other Female:	<u>388</u>	Total Female: <u>6427</u>
Total White:	<u>6116</u>	Total Black:	<u>2130</u>	Total Other:	<u>629</u>	Total Employees: <u>8875</u>
				Total Minority:	<u>2759</u>	

FORM 12-8

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$25,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2011
 Required by A.C.A. 25-36-104

Institution **UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES**

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
ADVANCE PEST CONTROL INC	\$1,850		X				
ARKANSAS STATEWIDE TREE SERVICE INC	\$8,100		X				
LA ZETA 95.7 FM	\$2,600		X				
ABM JANITORIAL SERVICES - S CENTRAL	\$4,800	X					
ANTHONY WALKER	\$17,226	X					
CARADINE & COMPANY	\$39,227	X					
DOYNE CONSTRUCTION COMP INC	\$315,000	X					
MT NEBO MISSIONARY BAPTIST CHURCH	\$2,175	X					
PHOENIX YOUTH & FAMILY SVCS INC	\$6,250	X					
SICKLE CELL SUPPORT SERVICES	\$17,000	X					
WOODS GROUP ARCHITECTS INC	\$49,304	X					
XPRESS COURIER SERVICES INC	\$2,000	X					
KAREN CROWELL	\$24,000	X					
GODDESS OFFICE PRODUCTS	\$9,002	X					
ARKANSAS SPANISH INTERPRETERS	\$375,358				X		
JE SYSTEMS INC	\$50,214		X				
ADVANCE SERVICES INC	\$4,806			X			
TOTAL NUMBER OF MINORITY CONTRACTS AWARDED		<u>17</u>					
TOTAL EXPENDITURES ON CONTRACTS AWARDED		<u>\$928,912 (1)</u>					
% OF MINORITY CONTRACTS AWARDED		<u>2.5%</u>					

(1) The \$928,912 is the portion of our total vendor contracts totaling \$37,525,988 that were defined as expenditures that are minority biddable. The \$928,912 amount listed does not include small order purchases that went to minority vendors. Adding in the small order amount to the \$928,912 gives a total of \$1,158,981 and increases the percent of minority contracts awarded to 3.1%. Contracts exclude drugs and medicine, blood derivatives, medical implants & appliances, and mandatory state contract purchases.

**DIVISION OF LEGISLATIVE AUDIT
AUDIT OF University of Arkansas – Medical Sciences
June 30, 2010**

**FINDINGS COVERING PROGRAMS AUDITED BY OTHER EXTERNAL AUDITORS
FINDINGS COVERING MORE THAN ONE FEDERAL AGENCY**

FINDING NUMBER: 10-150-01
STATE/EDUCATIONAL AGENCY: University of Arkansas for Medical Sciences
CFDA NUMBER: 84.007- Federal Supplemental Educational Opportunity Grants
84.032- Federal Family Education Loans
84.038-Federal Perkins Loan Program_Federal Capital Contributions
84.063- Federal Pell Grant Program
93.342- Health Professions Student Loans, Including Primary Care
Loans/Loans for Disadvantaged Students
(Student Financial Assistance Cluster)
QUESTIONED COSTS: \$5,464

TITLE IV, SPECIAL TESTS AND PROVISIONS - VERIFICATION, DISBURSEMENTS TO OR ON BEHALF OF STUDENTS, Cost of Attendance (COA) - During our test of forty (40) students, we noted the following exceptions:

We noted the cost of attendance (COA) per the award letters for two (2) College of Medicine (COM) students did not match the established budgets for the specific programs. The students were not over awarded federal loans as a result of the errors.

We noted that for five (5) students, the Director of Financial Aid for COM raised the COA for scholarships the students received instead of reducing the amount of unsubsidized Stafford loans that were awarded to the students. The increases resulted in over awards totaling \$3,050 for three (3) of the five (5) students. The other two (2) students had estimated COA's that were equal to or greater than the increase in COA and can be used to account for additional unsubsidized Stafford loans.

We noted that for three (3) students, the Director of Financial Aid for COM used professional judgment to raise the COA for amounts that were unsupported or not considered a special circumstance. In all cases, the students' unsubsidized loan amounts were increased to the revised COA amounts. The increase in COA created over awards for all three (3) students totaling \$2,414.

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF University of Arkansas – Medical Sciences
June 30, 2010

We recommend management implement a process to ensure award letters are complete and accurate before mailing the letters to the students.

We recommend the Financial Aid department of COM discontinue increasing students' cost of attendance for non-federal scholarships received. We recommend management train staff assigned to determine financial need on the regulations related to increasing a student's cost of attendance, including what is allowed and not allowed, and what supporting documentation should be maintained.

We also recommend management implement a second level review and approval process for professional judgment decisions and document the review in the student files.

**DIVISION OF LEGISLATIVE AUDIT
AUDIT OF University of Arkansas – Medical Sciences
June 30, 2010**

**FINDINGS COVERING PROGRAMS AUDITED BY OTHER EXTERNAL AUDITORS
FINDINGS COVERING MORE THAN ONE FEDERAL AGENCY**

FINDING NUMBER: 10-150-02
STATE/EDUCATIONAL AGENCY: University of Arkansas for Medical Sciences
CFDA NUMBER: 84.007- Federal Supplemental Educational Opportunity Grants
84.032- Federal Family Education Loans
84.038-Federal Perkins Loan Program_Federal Capital Contributions
84.063- Federal Pell Grant Program
93.342- Health Professions Student Loans, Including Primary Care
Loans/Loans for Disadvantaged Students
(Student Financial Assistance Cluster)
QUESTIONED COSTS: None

SPECIAL TESTS AND PROVISIONS - DISBURSEMENT TO OR ON BEHALF OF STUDENTS - During our testing of verification compliance, we noted three (3) exceptions in testing College of Medicine (COM) students, in which the verification process was not complete prior to the disbursement of aid funds. In one case, the student's funds were disbursed four (4) days prior to the completion of the verification process per the date of the letter to the student from the Director of Financial Aid for COM. In the other two (2) cases, aid funds were disbursed two (2) and seven (7) days prior to the student signing the verification worksheets and the date of the letter from the Director of Financial Aid for COM indicating the verification process was complete.

We also noted one exception where the number of household members varied from the number certified by the student on the verification worksheet. The discrepancy was not noted on the review worksheet nor were changes made to the student's record to correct the error.

We recommend management implement a control process that will ensure aid funds are not disbursed to students who have been selected for verification until the verification process is complete and all information has been verified and appropriately updated, if necessary.

**DIVISION OF LEGISLATIVE AUDIT
AUDIT OF University of Arkansas – Medical Sciences
June 30, 2010**

**FINDINGS COVERING PROGRAMS AUDITED BY OTHER EXTERNAL AUDITORS
U.S. DEPARTMENT OF EDUCATION**

FINDING NUMBER:	10-150-03
STATE/EDUCATIONAL AGENCY:	University of Arkansas for Medical Sciences
CFDA NUMBER:	84.063- Federal Pell Grant Program (Student Financial Assistance Cluster)
QUESTIONED COSTS:	None

SPECIAL TESTS AND PROVISIONS - PELL DISBURSEMENT RECORDS REPORTING TO THE DEPARTMENT OF EDUCATION'S COMMON ORIGIN AND DISBURSEMENT SYSTEM (COD) - During our test we noted that for six (6) of the twenty-five (25) students tested, Pell disbursements were not reported to the COD within the required thirty (30) day time period. The exceptions ranged from thirty-one (31) to thirty-three (33) days after the payment were posted to the students' accounts.

We recommend management implement a process to ensure Pell disbursements are reported to the COD within the required time period. The process should be documented and staff responsible for the reporting should be properly trained.

**DIVISION OF LEGISLATIVE AUDIT
AUDIT OF University of Arkansas – Medical Sciences
June 30, 2010**

**FINDINGS COVERING PROGRAMS AUDITED BY OTHER EXTERNAL AUDITORS
U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES**

FINDING NUMBER: 10-150-04
STATE/EDUCATIONAL AGENCY: University of Arkansas for Medical Sciences
CFDA NUMBER: 93.389 - National Center for Research Resources
93.395 - Cancer Treatment Research
(Research and Development Cluster)
QUESTIONED COSTS: \$144,952

FUNDING PERIODS & OBLIGATIONS/EXPENSES - During the testing of expenses charged during and after the end of the grant period, we noted that expenses for goods were charged to the grants in question even though the expense was outside of the period of availability. The expense items noted were computer maintenance costs of \$27,950, \$14,513 and \$53,750, and nonemployee travel of \$971 on grant G1-35030 and equipment maintenance for two (2) months of \$2,783 on grant G1-34956.

Total facilities and administrative (F&A) expenses related to these charges total \$44,985.

We recommend that management ensure that any maintenance agreements be reviewed prior to posting to a grant. This review should be by appropriate levels of management to ensure that the grant period charged is correct. We also recommend that any purchases made close to the end of the grant period, particularly those that are not liquidated prior to the grant's year end, be reviewed in greater detail to ensure that the period charged is correct. Finally, we recommend that entries be posted to reclassify any unallowable costs to future periods and resubmit the Financial Status Report (FSR), as needed.

**DIVISION OF LEGISLATIVE AUDIT
AUDIT OF University of Arkansas – Medical Sciences
June 30, 2010**

**FINDINGS COVERING PROGRAMS AUDITED BY OTHER EXTERNAL AUDITORS
U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES**

FINDING NUMBER: 10-150-05
STATE/EDUCATIONAL AGENCY: University of Arkansas for Medical Sciences
CFDA NUMBER: 93.600 – Head Start
(Head Start Cluster)
QUESTIONED COSTS: None

COMPLIANCE FOR IN-KIND CONTRIBUTIONS - During our review of the monthly amounts for in-kind contributions, we noted differences between the supporting documentation (volunteer time sheets) and the inputs into the data management system. The differences totaled \$3,755 for November 2009, \$4,651 for February 2010, and \$6,607 for April 2010, which could result in the in-kind amount being overstated. We also noted that volunteer time sheets for two (2) Head Start sites for November 2009 had not been input into the system. The total in-kind contributions for these sites were \$3,521 resulting in understatement of in-kind contributions.

The Head Start Program is required to contribute at least 20% of the costs of the program through cash or in-kind contributions, unless a lesser amount has been approved. Through July 31, 2010, approximately \$775,727 has been input into the data management system for volunteer time. The differences noted are one (1) percent of the total recorded and would not prevent the Head Start Program from meeting the 20% requirement.

We recommend that the Head Start Fiscal Officer implement procedures to review monthly reports from the data management system to identify potential errors in the amounts input.

**INSTITUTION APPROPRIATION SUMMARY
FISCAL YEAR 2013**

INSTITUTION UNIVERSITY OF ARKANSAS AT PINE BLUFF

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
	2010-11		2011-12		2011-12		2012-13			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	27,050,175		27,009,285		28,243,112		27,009,285		27,009,285	
2 CASH	36,794,419		111,760,000		111,760,000		111,760,000		111,760,000	
3										
4										
5										
6										
7										
8										
9										
10										
11 TOTAL	\$63,844,594	928	\$138,769,285	668	\$140,003,112	907	\$138,769,285	907	\$138,769,285	907
FUNDING SOURCES		%		%				%		%
12 PRIOR YEAR FUND BALANCE*	113,379	0%		0%				0%		0%
13 GENERAL REVENUE	25,229,737	40%	25,229,737	18%			25,229,737	18%	25,229,737	18%
14 EDUCATIONAL EXCELLENCE TRUST FUND	1,707,059	3%	1,779,548	1%			1,779,548	1%	1,779,548	1%
15 WORKFORCE 2000		0%		0%				0%		0%
16 CASH FUNDS	19,533,313	31%	56,000,000	40%			56,000,000	40%	56,000,000	40%
17 SPECIAL REVENUES		0%		0%				0%		0%
18 FEDERAL FUNDS	17,261,106	27%	55,760,000	40%			55,760,000	40%	55,760,000	40%
19 TOBACCO SETTLEMENT FUNDS		0%		0%				0%		0%
20 OTHER FUNDS		0%		0%				0%		0%
21 TOTAL INCOME	\$63,844,594	100%	\$138,769,285	100%			\$138,769,285	100%	\$138,769,285	100%
22 EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2011:	\$9,120,432
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$1,496,351
INVENTORIES	\$27,734
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$50,000
MAJOR CRITICAL SYSTEMS FAILURES	\$200,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$4,460,619
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$2,885,728

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
FISCAL YEAR 2013**

FUND CGA0000

INSTITUTION UNIVERSITY OF ARKANSAS AT PINE BLUFF

APPROPRIATION 616

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12***	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
				2012-13	2012-13
1 REGULAR SALARIES	16,534,075	16,527,871	17,343,274	16,527,872	17,808,597
2 EXTRA HELP WAGES	837,993	879,005	879,005	879,005	902,589
3 PERSONAL SERVICES MATCHING	4,468,613	4,483,463	4,687,313	4,483,463	4,813,074
4 OVERTIME				0	
5 OPERATING EXPENSES	3,956,599	3,804,732	4,019,306	3,804,731	4,127,145
6 CONFERENCE FEES & TRAVEL				0	
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)	253,054	265,440	265,440	265,440	272,561
8 CAPITAL OUTLAY				0	
9 FUNDED DEPRECIATION	999,841	1,048,774	1,048,774	1,048,774	1,076,913
10					
11					
12					
13 TOTAL APPROPRIATION	27,050,175	\$27,009,285	\$28,243,112	\$27,009,285	\$29,000,879
14 PRIOR YEAR FUND BALANCE**	113,379				
15 GENERAL REVENUE	25,229,737	25,229,737		25,229,737	25,229,737
16 EDUCATIONAL EXCELLENCE TRUST FUND	1,707,059	1,779,548		1,779,548	1,779,548
17 SPECIAL REVENUES * [WF2000]					
18 FEDERAL FUNDS IN STATE TREASURY					
19 TOBACCO SETTLEMENT FUNDS					
20 OTHER STATE TREASURY FUNDS					
21 TOTAL INCOME	27,050,175	\$27,009,285		\$27,009,285	\$27,009,285
22 EXCESS (FUNDING)/APPROPRIATION	(0)	\$0		\$0	\$1,991,594

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

***Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

FORM 12-4

**APPROPRIATION ACT FORM - CASH FUNDS
FISCAL YEAR 2013**

FUND 2020000

INSTITUTION UNIVERSITY OF ARKANSAS AT PINE BLUFF

APPROPRIATION B13

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12**	INSTITUTIONAL REQUEST /	LEGISLATIVE
				AHECB RECOMMENDATION 2012-13	LEGISLATIVE RECOMMENDATION 2012-13
1 REGULAR SALARIES	11,914,544	27,128,435	27,128,435	27,128,435	27,833,774
2 EXTRA HELP WAGES	1,757,092	4,064,000	4,064,000	4,064,000	4,169,664
3 PERSONAL SERVICES MATCHING	3,244,266	8,634,765	8,634,765	8,634,765	8,859,269
4 OVERTIME		4,267,200	4,267,200	4,267,200	4,378,147
5 OPERATING EXPENSES	10,374,405	16,357,600	16,357,600	16,357,600	16,782,898
6 CONFERENCE FEES & TRAVEL	491,296	2,184,400	2,184,400	2,184,400	2,241,194
7 PROFESSIONAL FEES AND SERVICES	2,149,998	2,184,400	2,184,400	2,184,400	2,241,194
8 CAPITAL OUTLAY	5,212,858	13,360,400	13,360,400	13,360,400	13,707,770
9 CAPITAL IMPROVEMENTS		20,929,600	20,929,600	20,929,600	21,473,770
10 DEBT SERVICE	400,688	3,403,600	3,403,600	3,403,600	3,492,094
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS	1,249,272	9,144,000	9,144,000	9,144,000	9,381,744
12 PROMOTIONAL ITEMS		101,600	101,600	101,600	104,242
13					
14					
15					
16 TOTAL APPROPRIATION	\$36,794,419	\$111,760,000	\$111,760,000	\$111,760,000	\$114,665,760
17 PRIOR YEAR FUND BALANCE*					
18 LOCAL CASH FUNDS	19,533,313	56,000,000		56,000,000	56,000,000
19 FEDERAL CASH FUNDS	17,261,106	55,760,000		55,760,000	55,760,000
20 OTHER CASH FUNDS					
21 TOTAL INCOME	\$36,794,419	\$111,760,000		\$111,760,000	\$111,760,000
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$2,905,760

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED 2011-12	REQUESTED 2012-13	LEGISLATIVE RECOMMENDATION 2012-13
REGULAR POSITIONS	928	668	907	907	907
TOBACCO POSITIONS	0	0	0	0	0
EXTRA HELP ***	924	365	924	924	924

*Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM 12-5

**Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

*** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

UNIVERSITY OF ARKANSAS AT PINE BLUFF
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2011-12:						733
(As of November 1, 2011)						
Nonclassified Administrative Employees:						
White Male:	30	Black Male:	83	Other Male:	8	Total Male: 121
White Female:	20	Black Female:	103	Other Female:	3	Total Female: 126
Nonclassified Health Care Employees:						
White Male:		Black Male:		Other Male:		Total Male: 0
White Female:		Black Female:		Other Female:		Total Female: 0
Classified Employees:						
White Male:	9	Black Male:	93	Other Male:		Total Male: 102
White Female:	7	Black Female:	179	Other Female:		Total Female: 186
Faculty:						
White Male:	31	Black Male:	55	Other Male:	18	Total Male: 104
White Female:	16	Black Female:	67	Other Female:	11	Total Female: 94
Total White Male:	70	Total Black Male:	231	Total Other Male:	26	Total Male: 327
Total White Female:	43	Total Black Female:	349	Total Other Female:	14	Total Female: 406
Total White:	113	Total Black:	580	Total Other:	40	Total Employees: 733
				Total Minority:	620	

FORM 12-8

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$25,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2011
 Required by A.C.A. 25-36-104

Institution : UNIVERSITY OF ARKANSAS AT PINE BLUFF #160

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
WELLS BAYOU YOUTH DEVELOPMENT	52,900.00	X					
ARKANSAS HUMAN DEVELOPMENT	55,000.00	X					
CALHOUN HEIGHTS COMMUNITY	52,000.00	X					
EVERGREEN BAPTIST CHURCH	55,700.00	X					
FAMILY YOUTH ENRICHMENT NETWORK	62,998.00	X					
FAMILY SERVICE AGENCY	57,000.00	X					
FUTURE BUILDERS	62,000.00	X					
LEGACY INITIATIVES	58,000.00	X					
MADISON COUNTY HLTH COALITION	47,000.00	X					
GARLAND COUNTY CARES	54,250.00	X					
ST FRANCIS HOUSE NWA, INC	55,500.00	X					
WE CARE PULASKI COUNTY	53,000.00	X					
WOMEN'S COUNCIL ON AFRICAN AMERIID	59,800.00	X					
COALITION FOR A TOBACCO FREE	62,000.00	X					
COALITION FOR A TOBACCO FREE	62,000.00	X					
IN HIS IMAGE YOUTH DEVELOPMENT	56,852.00	X					
ASIAN PACIFIC RESOURCE & CULTU	54,000.00	X					
JEFFERSON COUNTY CDC	40,000.00	X					
FAMILY CDC	40,000.00	X					
THOMPSON'S HOSPITALITY	339,181.92	X					
MISSISSIPPI COUNTY COALITION	62,000.00	X					
THOMPSON'S HOSPITALITY	31,050.61	X					
THOMPSON'S HOSPITALITY	47,905.77	X					
THOMPSON'S HOSPITALITY	27,877.20	X					
THOMPSON'S HOSPITALITY	2,121,554.00	X					

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 25
TOTAL EXPENDITURES ON CONTRACTS AWARDED \$3,669,570
% OF MINORITY CONTRACTS AWARDED 62%

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF University of Arkansas at Pine Bluff
June 30, 2010

<p><i>Finding No. 1:</i></p>	<p>The University of Arkansas System Internal Audit Department (IAD) conducted a review relating to the misappropriation of funds for two agency accounts, Miss UAPB and Coronation, during the period July 1, 2009 through March 31, 2010. According to the IAD, the Dean of Student Involvement and Leadership, Dovie Burl, made unauthorized purchases of personal clothing costing \$1,530 in September and subsequently reimbursed this amount to the University. In addition, IAD discovered the University expended \$19,316 for personal clothing and care expenditures for pageant participants and recommended these expenditures be reimbursed utilizing private discretionary funds. As of report date, the Miss UAPB and Coronation accounts have not been reimbursed.</p>
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DIVISION OF LEGISLATIVE AUDIT
AUDIT OF University of Arkansas at Pine Bluff
June 30, 2010

<p><i>Institution's Response:</i></p>	<p>The University assesses students an activity fee in order to subsidize experiences complementary to their academic studies. These activities include: campus lectures, social events, the Arkansasawyer (campus newspaper), etc.</p> <p>Because of recent concerns, the University was made aware that traditional operational procedures needed to be altered. However, University of Arkansas System Board Policy 260.1 allows the Chancellor to expend state funds for activities designated as "Official Functions" to any expenditure considered germane to the University's mission. The attire purchased for students is no different from uniforms purchased for athletic teams, cheerleaders, and band members. However, since clothing for student leaders is size specific and has a rapid depreciation rate, inventory of the items would be superfluous.</p> <p>Specifically, with proper interpretation, the expenditures delineated in the findings, in the opinion of the Chancellor, are within the purview "Official Functions" designated essential to fulfilling the mission of the University. Clothing for Miss UAPB, SGA Officers, and perhaps the sponsor are within expenditures which support participation in activities representing the University in various venues. The expenditure of (\$1,530) for lack of irrefutable evidence of criminal intent was turned over to Campus Police and the University System officials for investigation and resolution. Restoration of the \$1,530.00 expenditure was made.</p>
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**DIVISION OF LEGISLATIVE AUDIT
AUDIT OF University of Arkansas at Pine Bluff
June 30, 2010**

U.S. DEPARTMENT OF EDUCATION

FINDING NUMBER: 10-160-01

CFDA NUMBER: 84.038 - Federal Perkins Loan Program_Federal Capital Contributions

Federal Capital Contributions (Student Financial Assistance Cluster)

QUESTIONED COSTS: None

COHORT DEFAULT RATE - To be considered administratively capable, a University must have a cohort default rate of 25% or less. The current year cohort default rate was 47%. This rate was significant because of the small population of borrowers. The University reported that nine (9) of nineteen (19) borrowers who had entered repayment during the prescribed period were in default. A similar finding has been reported in previous audits. We recommend the Agency establish procedures to lower the University's cohort default rate to an acceptable level.

**INSTITUTION APPROPRIATION SUMMARY
FISCAL YEAR 2013**

INSTITUTION UNIVERSITY OF CENTRAL ARKANSAS

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
	2010-11		2011-12		2011-12		2012-13			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	56,772,807		56,393,905		58,939,183		58,433,653		58,433,653	
2 CASH	81,180,777		262,600,000		262,600,000		323,800,000		323,800,000	
3										
4										
5										
6										
7										
8										
9										
10										
11 TOTAL	\$137,953,584	1,737	\$318,993,905	1,781	\$321,539,183	2,222	\$382,233,653	2,222	\$382,233,653	2,222
FUNDING SOURCES		%		%				%		%
12 PRIOR YEAR FUND BALANCE*	542,895	0%		0%				0%		0%
13 GENERAL REVENUE	51,972,375	38%	51,972,375	16%			54,012,123	14%	54,012,123	14%
14 EDUCATIONAL EXCELLENCE TRUST FUND	4,241,423	3%	4,421,530	1%			4,421,530	1%	4,421,530	1%
15 WORKFORCE 2000		0%		0%				0%		0%
16 CASH FUNDS	81,180,777	59%	262,600,000	82%			323,800,000	85%	323,800,000	85%
17 SPECIAL REVENUES		0%		0%				0%		0%
18 FEDERAL FUNDS		0%		0%				0%		0%
19 TOBACCO SETTLEMENT FUNDS		0%		0%				0%		0%
20 OTHER FUNDS	16,114	0%		0%				0%		0%
21 TOTAL INCOME	\$137,953,584	100%	\$318,993,905	100%			\$382,233,653	100%	\$382,233,653	100%
22 EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2011:	\$4,315,006
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$1,826,212
INVENTORIES	\$339,159
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$45,000
MAJOR CRITICAL SYSTEMS FAILURES	
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$12,929,677
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	(\$10,825,043)

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
FISCAL YEAR 2013**

FUND CUA0000

INSTITUTION UNIVERSITY OF CENTRAL ARKANSAS

APPROPRIATION 310

DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE
	2010-11	2011-12	APPROPRIATION	AHECB RECOMMENDATION	RECOMMENDATION
			2011-12***	2012-13	2012-13
1 REGULAR SALARIES	41,500,000	43,188,000	43,188,000	45,000,000	45,000,000
2 EXTRA HELP WAGES	3,250,000	2,963,789	3,000,000	3,500,000	3,500,000
3 PERSONAL SERVICES MATCHING	8,469,838	9,242,766	9,242,766	9,433,653	10,000,000
4 OVERTIME					
5 OPERATING EXPENSES	3,036,267	499,350	3,008,417		3,755,426
6 CONFERENCE FEES & TRAVEL					
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)					
8 CAPITAL OUTLAY					
9 FUNDED DEPRECIATION	500,000	500,000	500,000	500,000	500,000
10 M&R EXPENSES	16,702				
11					
12					
13 TOTAL APPROPRIATION	\$56,772,807	\$56,393,905	\$58,939,183	\$58,433,653	\$62,755,426
14 PRIOR YEAR FUND BALANCE**	542,895				
15 GENERAL REVENUE	51,972,375	51,972,375		54,012,123	54,012,123
16 EDUCATIONAL EXCELLENCE TRUST FUND	4,241,423	4,421,530		4,421,530	4,421,530
17 SPECIAL REVENUES * [WF2000]					
18 FEDERAL FUNDS IN STATE TREASURY					
19 TOBACCO SETTLEMENT FUNDS					
20 OTHER STATE TREASURY FUNDS	16,114				
21 TOTAL INCOME	\$56,772,807	\$56,393,905		\$58,433,653	\$58,433,653
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$4,321,773

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM 12-4

**APPROPRIATION ACT FORM - CASH FUNDS
FISCAL YEAR 2013**

FUND 2070000

INSTITUTION UNIVERSITY OF CENTRAL ARKANSAS

APPROPRIATION A75

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12**	INSTITUTIONAL REQUEST /	LEGISLATIVE
				AHECB RECOMMENDATION 2012-13	RECOMMENDATION 2012-13
1 REGULAR SALARIES	25,912,070	76,000,000	76,000,000	78,000,000	78,000,000
2 EXTRA HELP WAGES	825,321	2,400,000	2,400,000	3,000,000	3,000,000
3 PERSONAL SERVICES MATCHING	9,650,530	26,000,000	26,000,000	27,000,000	27,000,000
4 OVERTIME	164,055	300,000	300,000	300,000	300,000
5 OPERATING EXPENSES	32,007,698	75,000,000	90,000,000	92,000,000	92,000,000
6 CONFERENCE FEES & TRAVEL	953,359	1,500,000	1,500,000	2,000,000	2,000,000
7 PROFESSIONAL FEES AND SERVICES	2,144,476	5,000,000	5,000,000	6,000,000	6,000,000
8 CAPITAL OUTLAY	3,376,155	18,000,000	18,000,000	19,000,000	19,000,000
9 CAPITAL IMPROVEMENTS		40,000,000	15,000,000	77,100,000	16,000,000
10 DEBT SERVICE	5,992,250	10,000,000	10,000,000	11,000,000	11,000,000
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS		8,100,000	8,100,000	8,100,000	8,100,000
12 PROMOTIONAL ITEMS	154,863	300,000	300,000	300,000	300,000
13					
14					
15					
16 TOTAL APPROPRIATION	\$81,180,777	\$262,600,000	\$252,600,000	\$323,800,000	\$262,700,000
17 PRIOR YEAR FUND BALANCE*					
18 LOCAL CASH FUNDS	81,180,777	262,600,000		323,800,000	323,800,000
19 FEDERAL CASH FUNDS				0	
20 OTHER CASH FUNDS				0	
21 TOTAL INCOME	\$81,180,777	\$262,600,000		\$323,800,000	\$323,800,000
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	(\$61,100,000)

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED 2011-12	REQUESTED 2012-13	LEGISLATIVE RECOMMENDATION 2012-13
REGULAR POSITIONS	1,737	1,781	2,222	2,222	2,222
TOBACCO POSITIONS					
EXTRA HELP ***	1,012	1,050	1,600	1,600	1,600

*Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

*** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

UNIVERSITY OF CENTRAL ARKANSAS
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2011-12:						1378
						(As of November 1, 2011)
Nonclassified Administrative Employees:						
White Male:	111	Black Male:	12	Other Male:	4	Total Male: 127
White Female:	111	Black Female:	16	Other Female:	5	Total Female: 132
Nonclassified Health Care Employees:						
White Male:	1	Black Male:	0	Other Male:	0	Total Male: 1
White Female:	5	Black Female:	1	Other Female:	0	Total Female: 6
Classified Employees:						
White Male:	165	Black Male:	31	Other Male:	8	Total Male: 204
White Female:	245	Black Female:	44	Other Female:	17	Total Female: 306
Faculty:						
White Male:	251	Black Male:	12	Other Male:	29	Total Male: 292
White Female:	273	Black Female:	10	Other Female:	27	Total Female: 310
Total White Male:	528	Total Black Male:	55	Total Other Male:	41	Total Male: 624
Total White Female:	634	Total Black Female:	71	Total Other Female:	49	Total Female: 754
Total White:	1162	Total Black:	126	Total Other:	90	Total Employees: 1378
				Total Minority:	216	

FORM 12-8

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$25,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2011
 Required by A.C.A. 25-36-104

Institution **UNIVERSITY OF CENTRAL ARKANSAS**

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
Wrights Cleaning Service	\$83,894	X					

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 1

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$5,714,283

% OF MINORITY CONTRACTS AWARDED 1%

**DIVISION OF LEGISLATIVE AUDIT
AUDIT OF University of Central Arkansas
June 30, 2010**

<p><i>Finding No. 1:</i></p>	<p>Financial statements are the responsibility of the University's management and should be presented in conformity with accounting principles generally accepted in the United States of America. The University has policies and procedures to properly record and classify transactions in the financial statements; however, certain misclassifications were detected. These misclassifications had no effect on the University's reported net assets or cash balance at June 30, 2010 and the financial statements were subsequently corrected by University personnel during audit fieldwork. Misclassifications in the Statement of Revenues, Expenses and Changes in Net Assets and Statement of Cash Flows consisted of the following:</p> <p>Unexpended plant expenses of \$4,448,712 that did not meet the University's capitalization thresholds and expenses pertaining to the American Recovery and Reinvestment Act of 2009 of \$4,203,976 were reported as other deductions in the Other Changes of Net Assets section instead of supplies and services in the Operating Expenses section of the Statement of Revenues, Expenses and Changes in Net Assets. As a result of these misclassifications, these transactions were reported in the Statement of Cash Flows as other uses in the Cash Flows from Noncapital Financing Activities section instead of payments to suppliers in the Cash Flows from Operating Activities section.</p> <p>A similar finding was reported in the previous two audits.</p>
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DIVISION OF LEGISLATIVE AUDIT
AUDIT OF University of Central Arkansas
June 30, 2010

<i>Institution's Response:</i>	Management acknowledges the misclassification and plans to re-examine all automated and manual processes by which numbers are fed into the financial statements. There have been four vice presidents in the previous two years leading to inconsistent management and oversight. Additional training will be provided where necessary.
<i>Finding No. 2:</i>	Password controls do not meet minimum standards. Passwords are not required to be changed on a periodic, recurring basis, not to exceed 90 days. Failure to establish proper password controls increases the likelihood that an unauthorized person could gain access to the system.
<i>Institution's Response:</i>	Management also acknowledges the need for stronger password protection. The current policy is under revision and will be in place in early spring 2011.
<i>Finding No. 3:</i>	The Disaster Recovery Plan in place is inadequate (both technical and end user) for restoring from short-term or long-term interruptions of computer processing. This situation could cause the entity to be without computer processing for an extended period of time in the event of a disaster or major interruption and could also place a financial and personnel burden on the resources of the entity.
<i>Institution's Response:</i>	Management acknowledges the need to upgrade the Technology Disaster Recovery Plan. That process is underway utilizing the campus-wide technology teams along with the emergency

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF University of Central Arkansas
June 30, 2010

	preparedness planning group. This plan will include redundancy as well as off-site storage of backups. A comprehensive plan is scheduled to be completed by June 2011.
Finding No. 4:	Backups are not stored at a suitable off-site facility. Failing to maintain backups at a secure off-site facility could result in loss of data and the inability to continue operations in the event of a disaster.
Institution's Response:	The Technology Disaster Recovery Plan will include redundancy as well as off-site storage of backups. A comprehensive plan is scheduled to be completed by June 2011.
Finding No. 5:	The University's Internal Auditor Office (IAO) conducted an investigation relating to the misappropriation of Student Government Association (SGA) funds. According to the IAO, Gregory Gordon, President of a student fraternity, received eight unauthorized expense reimbursement checks totaling \$15,884 during the period September 25, 2009 through June 15, 2010. Gordon was charged with three felony and one misdemeanor offenses and the University's Police Department has an ongoing investigation of this matter as of report date.
Recommendation:	The IAO recommended several internal control improvements relating to SGA funds, which have been implemented. To ensure internal controls are effective, University management should continue to monitor financial transactions of SGA funds.
Institution's Response:	Management has reviewed and approved enhanced disbursement procedures recommended by Internal Audit and Financial

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF University of Central Arkansas
June 30, 2010

	Accounting, in conjunction with officers of the Student Government Association (SGA). These improved internal controls include the use of blue ink by authorizing officials of SGA, requirement of detailed support documents with disbursement requests, and specific designated SGA officers for pickup of the completed checks.
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COLLEGES

**INSTITUTION APPROPRIATION SUMMARY
FISCAL YEAR 2013**

INSTITUTION ARKANSAS NORTHEASTERN COLLEGE

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
	2010-11		2011-12		2011-12		2012-13			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	10,085,007		9,959,463		10,012,783		9,953,778		9,953,778	
2 CASH	6,702,745		28,500,000		28,500,000		28,500,000		28,500,000	
3										
4										
5										
6										
7										
8										
9										
10										
11 TOTAL	\$16,787,752	220	\$38,459,463	257	\$38,512,783	307	\$38,453,778	307	\$38,453,778	307
FUNDING SOURCES		%		%				%		%
12 PRIOR YEAR FUND BALANCE*	128,930	1%	0	0%			0	0%	0	0%
13 GENERAL REVENUE	8,577,053	51%	8,577,052	22%			8,577,052	22%	8,577,052	22%
14 EDUCATIONAL EXCELLENCE TRUST FUND	664,718	4%	692,945	2%			692,945	2%	692,945	2%
15 WORKFORCE 2000	701,355	4%	683,781	2%			683,781	2%	683,781	2%
16 CASH FUNDS	5,350,238	32%	4,517,598	12%			4,596,950	12%	4,596,950	12%
17 SPECIAL REVENUES		0%		0%				0%		0%
18 FEDERAL FUNDS	1,352,507	8%	23,982,402	62%			23,903,050	62%	23,903,050	62%
19 TOBACCO SETTLEMENT FUNDS		0%		0%				0%		0%
20 OTHER FUNDS	12,951	0%	5,685	0%				0%		0%
21 TOTAL INCOME	\$16,787,752	100%	\$38,459,463	100%			\$38,453,778	100%	\$38,453,778	100%
22 EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2011:	\$5,075,298
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$487,107
INVENTORIES	\$21,217
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$31,500
MAJOR CRITICAL SYSTEMS FAILURES	\$2,000,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$2,100,000
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$435,474

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
FISCAL YEAR 2013**

FUND CWM0000

INSTITUTION ARKANSAS NORTHEASTERN COLLEGE

APPROPRIATION _____ 537

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12***	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
				2012-13	2012-13
1 REGULAR SALARIES	7,608,007	7,162,899	7,800,000	7,800,000	7,800,000
2 EXTRA HELP WAGES					
3 PERSONAL SERVICES MATCHING	1,839,000	1,800,000	1,800,000	1,800,000	1,800,000
4 OVERTIME					
5 OPERATING EXPENSES	588,000	921,564	237,783	278,778	237,783
6 CONFERENCE FEES & TRAVEL	25,000	25,000	25,000	25,000	25,000
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)	25,000	25,000	25,000	25,000	25,000
8 CAPITAL OUTLAY		25,000	25,000	25,000	25,000
9 FUNDED DEPRECIATION					
10 CONTINGENCY			100,000		100,000
11					
12					
13 TOTAL APPROPRIATION	\$10,085,007	\$9,959,463	\$10,012,783	\$9,953,778	\$10,012,783
14 PRIOR YEAR FUND BALANCE**	128,930	0			
15 GENERAL REVENUE	8,577,053	8,577,052		8,577,052	8,577,052
16 EDUCATIONAL EXCELLENCE TRUST FUND	664,718	692,945		692,945	692,945
17 SPECIAL REVENUES * [WF2000]	701,355	683,781		683,781	683,781
18 FEDERAL FUNDS IN STATE TREASURY					
19 TOBACCO SETTLEMENT FUNDS					
20 OTHER STATE TREASURY FUNDS	12,951	5,685			
21 TOTAL INCOME	\$10,085,007	\$9,959,463		\$9,953,778	\$9,953,778
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$59,005

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

***Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

FORM 12-4

Other State Treasury Funds

Tuition adjustment of \$13,768
less surety premium of \$459
less workers' comp adjustment of \$268

**APPROPRIATION ACT FORM - CASH FUNDS
FISCAL YEAR 2013**

FUND 2130000

INSTITUTION ARKANSAS NORTHEASTERN COLLEGE

APPROPRIATION B04

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12**	INSTITUTIONAL REQUEST /	LEGISLATIVE
				AHECB RECOMMENDATION 2012-13	LEGISLATIVE RECOMMENDATION 2012-13
1 REGULAR SALARIES	1,533,659	4,000,000	4,000,000	4,000,000	4,000,000
2 EXTRA HELP WAGES	640,403	2,000,000	2,000,000	2,000,000	2,000,000
3 PERSONAL SERVICES MATCHING	537,229	3,000,000	3,000,000	3,000,000	3,000,000
4 OVERTIME	1,972	28,000	28,000	28,000	28,000
5 OPERATING EXPENSES	3,511,166	6,822,000	6,822,000	6,822,000	6,822,000
6 CONFERENCE FEES & TRAVEL	127,441	800,000	800,000	800,000	800,000
7 PROFESSIONAL FEES AND SERVICES	83,649	500,000	500,000	500,000	500,000
8 CAPITAL OUTLAY	266,822	4,000,000	4,000,000	4,000,000	4,000,000
9 CAPITAL IMPROVEMENTS		6,000,000	6,000,000	6,000,000	6,000,000
10 DEBT SERVICE		800,000	800,000	800,000	800,000
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS		400,000	400,000	400,000	400,000
12 PROMOTIONAL ITEMS	404	150,000	150,000	150,000	150,000
13					
14					
15					
16 TOTAL APPROPRIATION	\$6,702,745	\$28,500,000	\$28,500,000	\$28,500,000	\$28,500,000
17 PRIOR YEAR FUND BALANCE*					
18 LOCAL CASH FUNDS	4,712,590	3,967,598		4,046,950	4,046,950
19 FEDERAL CASH FUNDS	1,352,507	23,982,402		23,903,050	23,903,050
20 OTHER CASH FUNDS	637,648	550,000		550,000	550,000
21 TOTAL INCOME	\$6,702,745	\$28,500,000		\$28,500,000	\$28,500,000
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED 2011-12	REQUESTED 2012-13	LEGISLATIVE RECOMMENDATION 2012-13
REGULAR POSITIONS	220	257	307	307	307
TOBACCO POSITIONS					
EXTRA HELP ***	227	238	521	521	521

*Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM 12-5

**Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

*** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

ARKANSAS NORTHEASTERN COLLEGE
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2011-12:						197
(As of November 1, 2011)						
Nonclassified Administrative Employees:						
White Male:	12	Black Male:	3	Other Male:	0	Total Male: 15
White Female:	43	Black Female:	8	Other Female:	0	Total Female: 51
Nonclassified Health Care Employees:						
White Male:	0	Black Male:	0	Other Male:	0	Total Male: 0
White Female:	0	Black Female:	0	Other Female:	0	Total Female: 0
Classified Employees:						
White Male:	15	Black Male:	0	Other Male:	1	Total Male: 16
White Female:	30	Black Female:	8	Other Female:	1	Total Female: 39
Faculty:						
White Male:	27	Black Male:	0	Other Male:	2	Total Male: 29
White Female:	44	Black Female:	3	Other Female:	0	Total Female: 47
Total White Male:	54	Total Black Male:	3	Total Other Male:	3	Total Male: 60
Total White Female:	117	Total Black Female:	19	Total Other Female:	1	Total Female: 137
Total White:	171	Total Black:	22	Total Other:	4	Total Employees: 197
				Total Minority:	26	

FORM 12-8

**ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$25,000 AWARDED TO MINORITY OWNED BUSINESSES**

Fiscal Year 2011

Required by A.C.A. 25-36-104

Institution **ARKANSAS NORTHEASTERN COLLEGE**

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
NOTE: The only registered minority business in Mississippi County is a business supplies orthopedic gloves to a local orthopedic surgeon							

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED	<u>0</u>
TOTAL EXPENDITURES ON CONTRACTS AWARDED	<u>\$2,839,438</u>
% OF MINORITY CONTRACTS AWARDED	<u>0%</u>

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF Arkansas Northeastern College
June 30, 2010

<i>Finding:</i>	No findings noted
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**INSTITUTION APPROPRIATION SUMMARY
FISCAL YEAR 2013**

INSTITUTION ARKANSAS STATE UNIVERSITY-BEEBE

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
	2010-11		2011-12		2011-12		2012-13			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	14,308,249		14,177,404		14,404,829		14,177,404		14,177,404	
2 CASH	25,060,378		82,695,000		82,695,000		82,695,000		82,695,000	
3										
4										
5										
6										
7										
8										
9										
10										
11 TOTAL	\$39,368,627	389	\$96,872,404	457	\$97,099,829	571	\$96,872,404	571	\$96,872,404	571
FUNDING SOURCES		%		%				%		%
12 PRIOR YEAR FUND BALANCE*	167,872	0%	0	0%				0%		0%
13 GENERAL REVENUE	12,044,916	31%	12,044,916	12%			12,044,916	12%	12,044,916	12%
14 EDUCATIONAL EXCELLENCE TRUST FUND	1,325,990	3%	1,382,297	1%			1,382,297	1%	1,382,297	1%
15 WORKFORCE 2000	769,471	2%	750,191	1%			750,191	1%	750,191	1%
16 CASH FUNDS	25,060,378	64%	82,695,000	85%			82,695,000	85%	82,695,000	85%
17 SPECIAL REVENUES		0%		0%				0%		0%
18 FEDERAL FUNDS		0%		0%				0%		0%
19 TOBACCO SETTLEMENT FUNDS		0%		0%				0%		0%
20 OTHER FUNDS		0%		0%				0%		0%
21 TOTAL INCOME	\$39,368,627	100%	\$96,872,404	100%			\$96,872,404	100%	\$96,872,404	100%
22 EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2011:	\$3,637,709
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$784,795
INVENTORIES	\$72,816
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$25,000
MAJOR CRITICAL SYSTEMS FAILURES	
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$3,019,003
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$451,061
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	(\$714,966)

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
FISCAL YEAR 2013**

FUND CMA0000

INSTITUTION ARKANSAS STATE UNIVERSITY-BEEBE

APPROPRIATION 300

DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE
	2010-11	2011-12	APPROPRIATION	AHECB RECOMMENDATION	RECOMMENDATION
			2011-12***	2012-13	2012-13
1 REGULAR SALARIES	11,146,840	11,414,317	11,603,632	11,414,317	11,949,211
2 EXTRA HELP WAGES					
3 PERSONAL SERVICES MATCHING	2,146,117	1,758,827	1,758,827	1,758,827	1,808,827
4 OVERTIME					
5 OPERATING EXPENSES					
6 CONFERENCE FEES & TRAVEL					
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)					
8 CAPITAL OUTLAY					
9 FUNDED DEPRECIATION	160,000	170,000	170,000	170,000	180,000
10					
11					
12					
13 TOTAL APPROPRIATION	\$13,452,957	\$13,343,144	\$13,532,459	\$13,343,144	\$13,938,038
14 PRIOR YEAR FUND BALANCE**	146,840				
15 GENERAL REVENUE	11,210,656	11,210,656		11,210,656	11,210,656
16 EDUCATIONAL EXCELLENCE TRUST FUND	1,325,990	1,382,297		1,382,297	1,382,297
17 SPECIAL REVENUES * [WF2000]	769,471	750,191		750,191	750,191
18 FEDERAL FUNDS IN STATE TREASURY					
19 TOBACCO SETTLEMENT FUNDS					
20 OTHER STATE TREASURY FUNDS					
21 TOTAL INCOME	\$13,452,957	\$13,343,144		\$13,343,144	\$13,343,144
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$594,894

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

***Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

FORM 12-4

**APPROPRIATION ACT FORM - STATE TREASURY
FISCAL YEAR 2013**

FUND CMA0000

INSTITUTION ASUB-ARKANSAS STATE UNIVERSITY-HEBER SPRINGS

APPROPRIATION 145

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12***	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
				2012-13	2012-13
1 REGULAR SALARIES	834,260	822,370	822,370	822,370	881,000
2 EXTRA HELP WAGES					
3 PERSONAL SERVICES MATCHING	21,032	11,890	50,000	11,890	50,000
4 OVERTIME					
5 OPERATING EXPENSES					
6 CONFERENCE FEES & TRAVEL					
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)					
8 CAPITAL OUTLAY					
9 FUNDED DEPRECIATION					
10					
11					
12					
13 TOTAL APPROPRIATION	\$855,292	\$834,260	\$872,370	\$834,260	\$931,000
14 PRIOR YEAR FUND BALANCE**	21,032				
15 GENERAL REVENUE	834,260	834,260		834,260	834,260
16 EDUCATIONAL EXCELLENCE TRUST FUND					
17 SPECIAL REVENUES * [WF2000]					
18 FEDERAL FUNDS IN STATE TREASURY					
19 TOBACCO SETTLEMENT FUNDS					
20 OTHER STATE TREASURY FUNDS					
21 TOTAL INCOME	\$855,292	\$834,260		\$834,260	\$834,260
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$96,740

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

***Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

FORM 12-4

**APPROPRIATION ACT FORM - CASH FUNDS
FISCAL YEAR 2013**

FUND 2060000

INSTITUTION ARKANSAS STATE UNIVERSITY-BEEBE

APPROPRIATION A71

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12**	INSTITUTIONAL REQUEST /	LEGISLATIVE
				AHECB RECOMMENDATION 2012-13	LEGISLATIVE RECOMMENDATION 2012-13
1 REGULAR SALARIES	2,492,489	6,496,960	6,496,960	6,496,960	6,496,960
2 EXTRA HELP WAGES	567,750	800,000	800,000	800,000	800,000
3 PERSONAL SERVICES MATCHING	2,191,390	3,920,600	3,920,600	3,920,600	3,920,600
4 OVERTIME		15,000	15,000	15,000	15,000
5 OPERATING EXPENSES	5,680,758	10,000,000	10,000,000	10,000,000	10,000,000
6 CONFERENCE FEES & TRAVEL	311,314	375,000	375,000	400,000	425,000
7 PROFESSIONAL FEES AND SERVICES	505,524	900,000	900,000	900,000	900,000
8 CAPITAL OUTLAY	380,298	1,250,000	1,250,000	1,225,000	1,250,000
9 CAPITAL IMPROVEMENTS	7,054,176	26,776,840	26,776,840	26,776,840	26,776,840
10 DEBT SERVICE	1,108,365	2,000,000	2,000,000	2,000,000	2,000,000
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS	1,637,255	8,000,000	8,000,000	8,000,000	7,950,000
12 PROMOTIONAL ITEMS	19,282	100,000	100,000	100,000	100,000
13					
14					
15					
16 TOTAL APPROPRIATION	\$21,948,601	\$60,634,400	\$60,634,400	\$60,634,400	\$60,634,400
17 PRIOR YEAR FUND BALANCE*					
18 LOCAL CASH FUNDS	21,948,601	60,634,400		60,634,400	60,634,400
19 FEDERAL CASH FUNDS					
20 OTHER CASH FUNDS					
21 TOTAL INCOME	\$21,948,601	\$60,634,400		\$60,634,400	\$60,634,400
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED 2011-12	REQUESTED 2012-13	LEGISLATIVE RECOMMENDATION 2012-13
REGULAR POSITIONS	389	396	484	484	484
TOBACCO POSITIONS					
EXTRA HELP ***	121	134	175	175	175

*Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

*** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

**APPROPRIATION ACT FORM - CASH FUNDS
FISCAL YEAR 2013**

FUND 2060000

INSTITUTION ASUB-ARKANSAS STATE UNIVERSITY-HEBER SPRINGS

APPROPRIATION A43

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12**	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE LEGISLATIVE RECOMMENDATION
				2012-13	2012-13
1 REGULAR SALARIES	650,938	1,540,400	1,540,400	1,540,400	1,540,400
2 EXTRA HELP WAGES	161,647	200,000	200,000	200,000	200,000
3 PERSONAL SERVICES MATCHING	389,095	600,000	600,000	520,200	700,000
4 OVERTIME		10,000	10,000	10,000	10,000
5 OPERATING EXPENSES	886,353	1,300,000	1,300,000	1,200,000	1,400,000
6 CONFERENCE FEES & TRAVEL	38,246	50,000	50,000	75,000	60,000
7 PROFESSIONAL FEES AND SERVICES	5,264	100,000	100,000	100,000	100,000
8 CAPITAL OUTLAY	15,832	250,000	250,000	250,000	250,000
9 CAPITAL IMPROVEMENTS		14,865,000	14,865,000	14,810,000	14,865,000
10 DEBT SERVICE	955,058	1,500,000	1,500,000	1,300,000	1,500,000
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS	3,932	1,615,200	1,615,200	2,000,000	1,405,200
12 DATA PROCESSING		0	0	25,000	0
13 PROMOTIONAL ITEMS	5,412	30,000	30,000	30,000	30,000
15					
16 TOTAL APPROPRIATION	\$3,111,777	\$22,060,600	\$22,060,600	\$22,060,600	\$22,060,600
17 PRIOR YEAR FUND BALANCE*					
18 LOCAL CASH FUNDS	3,111,777	22,060,600		22,060,600	22,060,600
19 FEDERAL CASH FUNDS					
20 OTHER CASH FUNDS					
21 TOTAL INCOME	\$3,111,777	\$22,060,600		\$22,060,600	\$22,060,600
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED 2011-12	REQUESTED 2012-13	LEGISLATIVE RECOMMENDATION 2012-13
REGULAR POSITIONS	57	61	87	87	87
TOBACCO POSITIONS					
EXTRA HELP ***	24	27	40	40	40

*Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

*** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

ARKANSAS STATE UNIVERSITY-BEEBE
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2011-12:						349
(As of November 1, 2011)						
Nonclassified Administrative Employees:						
White Male:	31	Black Male:	1	Other Male:	1	Total Male: 33
White Female:	44	Black Female:	3	Other Female:	1	Total Female: 48
Nonclassified Health Care Employees:						
White Male:		Black Male:		Other Male:		Total Male: 0
White Female:		Black Female:		Other Female:		Total Female: 0
Classified Employees:						
White Male:	35	Black Male:	3	Other Male:	2	Total Male: 40
White Female:	96	Black Female:	5	Other Female:	1	Total Female: 102
Faculty:						
White Male:	60	Black Male:	1	Other Male:	1	Total Male: 62
White Female:	62	Black Female:	2	Other Female:		Total Female: 64
Total White Male:	126	Total Black Male:	5	Total Other Male:	4	Total Male: 135
Total White Female:	202	Total Black Female:	10	Total Other Female:	2	Total Female: 214
Total White:	328	Total Black:	15	Total Other:	6	Total Employees: 349
				Total Minority:	21	

FORM 12-8

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$25,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2011
 Required by A.C.A. 25-36-104

Institution: ARKANSAS STATE UNIVERSITY-BEEBE

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
None							

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$1,249,378

% OF MINORITY CONTRACTS AWARDED 0%

**DIVISION OF LEGISLATIVE AUDIT
AUDIT OF Arkansas State University - Beebe
June 30, 2010**

<i>Finding:</i>	No findings noted
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**INSTITUTION APPROPRIATION SUMMARY
FISCAL YEAR 2013**

INSTITUTION ASU - MOUNTAIN HOME

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
	2010-11		2011-12		2011-12		2012-13			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	4,452,857		4,326,347		4,542,261		5,179,467		5,179,467	
2 CASH	4,719,060		32,870,000		32,870,000		32,870,000		32,870,000	
3										
4										
5										
6										
7										
8										
9										
10										
11 TOTAL	\$9,171,917	152	\$37,196,347	152	\$37,412,261	171	\$38,049,467	171	\$38,049,467	171
FUNDING SOURCES		%		%				%		%
12 PRIOR YEAR FUND BALANCE*	106,701	1%		0%				0%		0%
13 GENERAL REVENUE	3,555,592	39%	3,555,592	10%			4,408,712	12%	4,408,712	12%
14 EDUCATIONAL EXCELLENCE TRUST FUND		0%		0%				0%		0%
15 WORKFORCE 2000	790,564	9%	770,755	2%			770,755	2%	770,755	2%
16 CASH FUNDS	4,719,060	51%	32,870,000	88%			32,870,000	86%	32,870,000	86%
17 SPECIAL REVENUES		0%		0%				0%		0%
18 FEDERAL FUNDS		0%		0%				0%		0%
19 TOBACCO SETTLEMENT FUNDS		0%		0%				0%		0%
20 OTHER FUNDS		0%		0%				0%		0%
21 TOTAL INCOME	\$9,171,917	100%	\$37,196,347	100%			\$38,049,467	100%	\$38,049,467	100%
22 EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2011:	\$2,076,396
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$444,202
INVENTORIES	
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$175,000
MAJOR CRITICAL SYSTEMS FAILURES	
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$760,000
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$305,603
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$391,591

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
FISCAL YEAR 2013**

FUND CTH0000

INSTITUTION ASU - MOUNTAIN HOME

APPROPRIATION 771

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12***	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
				2012-13	2012-13
1 REGULAR SALARIES	4,046,156	4,070,755	4,100,000	4,528,967	4,300,000
2 EXTRA HELP WAGES				150,000	0
3 PERSONAL SERVICES MATCHING	406,701	255,592	441,761	500,000	550,504
4 OVERTIME					
5 OPERATING EXPENSES			500	500	500
6 CONFERENCE FEES & TRAVEL					
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)					
8 CAPITAL OUTLAY					
9 FUNDED DEPRECIATION					
10					
11					
12					
13 TOTAL APPROPRIATION	\$4,452,857	\$4,326,347	\$4,542,261	\$5,179,467	\$4,851,004
14 PRIOR YEAR FUND BALANCE**	106,701				
15 GENERAL REVENUE	3,555,592	3,555,592		4,408,712	5,179,467
16 EDUCATIONAL EXCELLENCE TRUST FUND					
17 SPECIAL REVENUES * [WF2000]	790,564	770,755		770,755	0
18 FEDERAL FUNDS IN STATE TREASURY					
19 TOBACCO SETTLEMENT FUNDS					
20 OTHER STATE TREASURY FUNDS					
21 TOTAL INCOME	\$4,452,857	\$4,326,347		\$5,179,467	\$5,179,467
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	-\$328,463

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

***Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

FORM 12-4

**APPROPRIATION ACT FORM - CASH FUNDS
FISCAL YEAR 2013**

FUND 2820000

INSTITUTION ASU - MOUNTAIN HOME

APPROPRIATION B80

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12**	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE LEGISLATIVE RECOMMENDATION
				2012-13	2012-13
1 REGULAR SALARIES	1,092,619	4,080,800	4,080,800	4,080,800	4,080,800
2 EXTRA HELP WAGES	199,806	200,000	200,000	400,000	200,000
3 PERSONAL SERVICES MATCHING	43,457	2,540,400	2,540,400	2,540,400	2,540,400
4 OVERTIME	0	10,000	10,000	10,000	10,000
5 OPERATING EXPENSES	2,072,015	2,600,000	2,600,000	2,600,000	2,600,000
6 CONFERENCE FEES & TRAVEL	122,255	140,000	140,000	140,000	140,000
7 PROFESSIONAL FEES AND SERVICES	122,794	1,400,000	1,400,000	1,400,000	1,400,000
8 CAPITAL OUTLAY	51,919	700,000	700,000	700,000	700,000
9 CAPITAL IMPROVEMENTS	0	18,178,800	18,178,800	17,968,800	18,178,800
10 DEBT SERVICE	994,368	2,000,000	2,000,000	2,000,000	2,000,000
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS	0	1,000,000	1,000,000	1,000,000	1,000,000
12 PROMOTIONAL ITEMS	19,827	20,000	20,000	30,000	20,000
13					
14					
15					
16 TOTAL APPROPRIATION	\$4,719,060	\$32,870,000	\$32,870,000	\$32,870,000	\$32,870,000
17 PRIOR YEAR FUND BALANCE*					
18 LOCAL CASH FUNDS	4,719,060	32,870,000		32,870,000	32,870,000
19 FEDERAL CASH FUNDS					
20 OTHER CASH FUNDS					
21 TOTAL INCOME	\$4,719,060	\$32,870,000		\$32,870,000	\$32,870,000
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED 2011-12	REQUESTED 2012-13	LEGISLATIVE RECOMMENDATION 2012-13
REGULAR POSITIONS	152	152	171	171	171
TOBACCO POSITIONS			0	0	
EXTRA HELP ***	70	70	70	70	70

*Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM 12-5

**Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

*** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

ASU - MOUNTAIN HOME

(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2011-12:					124			
(As of November 1, 2011)								
Nonclassified Administrative Employees:						Total	Male:	12
White Male:	12	Black Male:	0	Other Male:	0	Total	Female:	18
White Female:	18	Black Female:	0	Other Female:	0			
Nonclassified Health Care Employees:						Total	Male:	0
White Male:	0	Black Male:	0	Other Male:	0	Total	Female:	0
White Female:	0	Black Female:	0	Other Female:	0			
Classified Employees:						Total	Male:	18
White Male:	17	Black Male:	0	Other Male:	1	Total	Female:	28
White Female:	28	Black Female:	0	Other Female:	0			
Faculty:						Total	Male:	19
White Male:	18	Black Male:	0	Other Male:	1	Total	Female:	29
White Female:	26	Black Female:	1	Other Female:	2			
Total White Male:	47	Total Black Male:	0	Total Other Male:	2	Total	Male:	49
Total White Female:	72	Total Black Female:	1	Total Other Female:	2	Total	Female:	75
Total White:	119	Total Black:	1	Total Other:	4	Total	Employees:	124
				Total Minority:	5			

FORM 12-8

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$25,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2011
 Required by A.C.A. 25-36-104

Institution **ARKANSAS STATE UNIVERSITY - MOUNTAIN HOME**

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
None							

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$0

% OF MINORITY CONTRACTS AWARDED 0%

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF Arkansas State University – Mountain Home
June 30, 2010

<i>Finding:</i>	No findings noted
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**INSTITUTION APPROPRIATION SUMMARY
FISCAL YEAR 2013**

INSTITUTION ARKANSAS STATE UNIVERSITY-NEWPORT

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
	2010-11		2011-12		2011-12		2012-13			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	7,103,299		7,404,611		7,404,611		7,318,432		7,318,432	
2 CASH	15,995,413		27,945,000		27,945,000		29,635,713		29,635,713	
3										
4										
5										
6										
7										
8										
9										
10										
11 TOTAL	\$23,098,712	132	\$35,349,611	135	\$35,349,611	287	\$36,954,145	287	\$36,954,145	287
FUNDING SOURCES		%		%				%		%
12 PRIOR YEAR FUND BALANCE*		0%	86,179	0%				0%		0%
13 GENERAL REVENUE	5,992,293	26%	5,992,293	17%			5,992,293	16%	5,992,293	16%
14 EDUCATIONAL EXCELLENCE TRUST FUND		0%		0%				0%		0%
15 WORKFORCE 2000	1,360,221	6%	1,326,139	4%			1,326,139	4%	1,326,139	4%
16 CASH FUNDS	15,995,413	69%	27,945,000	79%			29,635,713	80%	29,635,713	80%
17 SPECIAL REVENUES		0%		0%				0%		0%
18 FEDERAL FUNDS		0%		0%				0%		0%
19 TOBACCO SETTLEMENT FUNDS		0%		0%				0%		0%
20 OTHER FUNDS		0%		0%				0%		0%
21 TOTAL INCOME	\$23,347,927	100%	\$35,349,611	100%			\$36,954,145	100%	\$36,954,145	100%
22 EXCESS (FUNDING)/APPROPRIATION	(\$249,215)		\$0				\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2011:	\$5,517,185
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$1,506,844
INVENTORIES	\$172,267
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	\$10,000
INSURANCE DEDUCTIBLES	\$75,000
MAJOR CRITICAL SYSTEMS FAILURES	\$300,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$1,548,160
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$300,000
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$1,604,914

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
FISCAL YEAR 2013**

FUND CMN0000

INSTITUTION ARKANSAS STATE UNIVERSITY-NEWPORT

APPROPRIATION 790

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12***	INSTITUTIONAL REQUEST /	LEGISLATIVE
				AHECB RECOMMENDATION 2012-13	RECOMMENDATION 2012-13
1 REGULAR SALARIES	5,617,514	5,340,000	5,340,000	5,340,000	5,440,000
2 EXTRA HELP WAGES	40,000	45,000	45,000	45,000	45,000
3 PERSONAL SERVICES MATCHING	1,420,785	1,244,611	1,244,611	1,244,611	1,313,244
4 OVERTIME					
5 OPERATING EXPENSES		750,000	750,000	663,821	750,000
6 CONFERENCE FEES & TRAVEL					
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)					
8 CAPITAL OUTLAY					
9 FUNDED DEPRECIATION	25,000	25,000	25,000	25,000	25,000
10					
11					
12					
13 TOTAL APPROPRIATION	\$7,103,299	\$7,404,611	\$7,404,611	\$7,318,432	\$7,573,244
14 PRIOR YEAR FUND BALANCE**		86,179			
15 GENERAL REVENUE	5,992,293	5,992,293		5,992,293	5,992,293
16 EDUCATIONAL EXCELLENCE TRUST FUND					
17 SPECIAL REVENUES * [WF2000]	1,360,221	1,326,139		1,326,139	1,326,139
18 FEDERAL FUNDS IN STATE TREASURY					
19 TOBACCO SETTLEMENT FUNDS					
20 OTHER STATE TREASURY FUNDS					
21 TOTAL INCOME	\$7,352,514	\$7,404,611		\$7,318,432	\$7,318,432
22 EXCESS (FUNDING)/APPROPRIATION	(\$249,215)	\$0		\$0	\$254,812

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

***Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

FORM 12-4

**APPROPRIATION ACT FORM - CASH FUNDS
FISCAL YEAR 2013**

FUND 2290000

INSTITUTION ARKANSAS STATE UNIVERSITY-NEWPORT

APPROPRIATION B77

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12**	INSTITUTIONAL REQUEST /	LEGISLATIVE
				AHECB RECOMMENDATION 2012-13	LEGISLATIVE RECOMMENDATION 2012-13
1 REGULAR SALARIES	6,765,302	6,631,367	6,631,367	6,931,367	6,631,367
2 EXTRA HELP WAGES	379,191	316,585	316,585	400,000	316,585
3 PERSONAL SERVICES MATCHING	1,448,168	1,673,251	1,673,251	2,200,000	1,673,251
4 OVERTIME					
5 OPERATING EXPENSES	4,313,797	4,477,039	4,477,039	5,500,000	4,477,039
6 CONFERENCE FEES & TRAVEL	114,401	285,000	285,000	325,000	285,000
7 PROFESSIONAL FEES AND SERVICES	221,988	1,350,770	1,350,770	1,500,000	1,350,770
8 CAPITAL OUTLAY	1,623,362	1,744,346	1,744,346	2,744,346	1,744,346
9 CAPITAL IMPROVEMENTS	234,844	8,546,642	8,546,642	7,000,000	8,546,642
10 DEBT SERVICE	464,676	700,000	700,000	800,000	700,000
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS	379,795	2,210,000	2,210,000	2,210,000	2,210,000
12 PROMOTIONAL ITEMS	9,889	10,000	10,000	25,000	10,000
13					
14					
15					
16 TOTAL APPROPRIATION	\$15,955,413	\$27,945,000	\$27,945,000	\$29,635,713	\$27,945,000
17 PRIOR YEAR FUND BALANCE*					
18 LOCAL CASH FUNDS	15,955,413	27,945,000		29,635,713	29,635,713
19 FEDERAL CASH FUNDS					
20 OTHER CASH FUNDS					
21 TOTAL INCOME	\$15,955,413	\$27,945,000		\$29,635,713	\$29,635,713
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	(\$1,690,713)

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED 2011-12	REQUESTED 2012-13	LEGISLATIVE RECOMMENDATION 2012-13
REGULAR POSITIONS	132	135	287	287	287
TOBACCO POSITIONS					
EXTRA HELP ***	42	42	60	60	60

*Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM 12-5

**Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

*** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

ARKANSAS STATE UNIVERSITY-NEWPORT
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2011-12:					151			
(As of November 1, 2011)								
Nonclassified Administrative Employees:						Total	Male:	9
White Male:	9	Black Male:	0	Other Male:	0	Total	Female:	24
White Female:	21	Black Female:	3	Other Female:	0			
Nonclassified Health Care Employees:						Total	Male:	0
White Male:	0	Black Male:	0	Other Male:	0	Total	Female:	0
White Female:	0	Black Female:	0	Other Female:	0			
Classified Employees:						Total	Male:	18
White Male:	16	Black Male:	2	Other Male:	0	Total	Female:	35
White Female:	32	Black Female:	3	Other Female:	0			
Faculty:						Total	Male:	29
White Male:	28	Black Male:	0	Other Male:	1	Total	Female:	36
White Female:	35	Black Female:	0	Other Female:	1			
Total White Male:	53	Total Black Male:	2	Total Other Male:	1	Total	Male:	56
Total White Female:	88	Total Black Female:	6	Total Other Female:	1	Total	Female:	95
Total White:	141	Total Black:	8	Total Other:	2	Total	Employees:	151
				Total Minority:	10			

FORM 12-8

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$25,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2011
 Required by A.C.A. 25-36-104

Institution **ARKANSAS STATE UNIVERSITY-NEWPORT**

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
NONE							

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED _____

TOTAL EXPENDITURES ON CONTRACTS AWARDED _____ \$0

% OF MINORITY CONTRACTS AWARDED _____

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF Arkansas State University - Newport
June 30, 2010

<i>Finding No. 1:</i>	One student was not enrolled as indicated in the reported enrollment as of the eleventh class day for the spring semester.
<i>Institution's Response:</i>	<p>This error occurred due to our differing interpretation of the Student Information System manual and as a result, we are re-evaluating our processes to ensure that this error is not repeated. With this in mind, we believe that we can:</p> <p>Improve the process of how instructors report no-shows prior to the census date through improved communications.</p> <p>Review and modify the steps a student may take to withdraw from a course or courses through the use of an embedded withdrawal form located on the ASU-Newport portal.</p> <p>Continue to refine our processes in order to ensure accurate census data reporting. Continue to re-evaluate all of our processes within the Office of Admissions and the Registrar.</p> <p>It is our intent to accurately report data for each of the respective areas of Arkansas State University Newport. Again, we will continue to review our procedures and make the needed changes relative to this Management Letter Finding as we move forward.</p>

**INSTITUTION APPROPRIATION SUMMARY
FISCAL YEAR 2013**

INSTITUTION BLACK RIVER TECHNICAL COLLEGE

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
	2010-11		2011-12		2011-12		2012-13			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	8,408,415		8,111,437		8,287,239		8,864,441		8,864,441	
2 CASH	8,144,970		23,615,000		23,615,000		23,615,000		23,615,000	
3										
4										
5										
6										
7										
8										
9										
10										
11 TOTAL	\$16,553,385	206	\$31,726,437	223	\$31,902,239	243	\$32,479,441	243	\$32,479,441	243
FUNDING SOURCES		%		%				%		%
12 PRIOR YEAR FUND BALANCE*	241,357	1%		0%				0%		0%
13 GENERAL REVENUE	6,011,126	36%	6,011,126	19%			6,764,130	21%	6,764,130	21%
14 EDUCATIONAL EXCELLENCE TRUST FUND		0%		0%				0%		0%
15 WORKFORCE 2000	2,154,290	13%	2,100,311	7%			2,100,311	6%	2,100,311	6%
16 CASH FUNDS	7,048,807	43%	21,615,000	68%			18,615,000	57%	18,615,000	57%
17 SPECIAL REVENUES		0%		0%				0%		0%
18 FEDERAL FUNDS	1,096,163	7%	2,000,000	6%			5,000,000	15%	5,000,000	15%
19 TOBACCO SETTLEMENT FUNDS		0%		0%				0%		0%
20 OTHER FUNDS	1,642	0%		0%				0%		0%
21 TOTAL INCOME	\$16,553,385	100%	\$31,726,437	100%			\$32,479,441	100%	\$32,479,441	100%
22 EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2011:	\$2,766,906
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$738,815
INVENTORIES	\$293,651
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$57,500
MAJOR CRITICAL SYSTEMS FAILURES	\$250,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$2,422,169
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	\$571,586
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	(\$1,566,815)

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
FISCAL YEAR 2013**

FUND CTB0000

INSTITUTION BLACK RIVER TECHNICAL COLLEGE

APPROPRIATION 703

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12***	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
				2012-13	2012-13
1 REGULAR SALARIES	5,949,606	6,150,000	5,407,690	6,544,606	6,000,000
2 EXTRA HELP WAGES					
3 PERSONAL SERVICES MATCHING	1,911,125	1,961,437	1,784,538	2,119,835	2,000,000
4 OVERTIME					
5 OPERATING EXPENSES	547,684		1,095,011	200,000	585,270
6 CONFERENCE FEES & TRAVEL					
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)					
8 CAPITAL OUTLAY					
9 FUNDED DEPRECIATION					
10					
11					
12					
13 TOTAL APPROPRIATION	\$8,408,415	\$8,111,437	\$8,287,239	\$8,864,441	\$8,585,270
14 PRIOR YEAR FUND BALANCE**	241,357				
15 GENERAL REVENUE	6,011,126	6,011,126		6,764,130	6,764,130
16 EDUCATIONAL EXCELLENCE TRUST FUND					
17 SPECIAL REVENUES * [WF2000]	2,154,290	2,100,311		2,100,311	2,100,311
18 FEDERAL FUNDS IN STATE TREASURY					
19 TOBACCO SETTLEMENT FUNDS					
20 OTHER STATE TREASURY FUNDS	1,642				
21 TOTAL INCOME	\$8,408,415	\$8,111,437		\$8,864,441	\$8,864,441
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	(\$279,171)

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

***Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

Other State Treasury Funds include proceeds from M&R.

FORM 12-4

**APPROPRIATION ACT FORM - CASH FUNDS
FISCAL YEAR 2013**

FUND 2750000

INSTITUTION BLACK RIVER TECHNICAL COLLEGE

APPROPRIATION B51

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12**	INSTITUTIONAL REQUEST /	LEGISLATIVE
				AHECB RECOMMENDATION 2012-13	LEGISLATIVE RECOMMENDATION 2012-13
1 REGULAR SALARIES	1,045,960	4,000,000	4,000,000	4,000,000	4,000,000
2 EXTRA HELP WAGES	199,485	500,000	500,000	500,000	500,000
3 PERSONAL SERVICES MATCHING	403,408	1,500,000	1,500,000	1,500,000	1,500,000
4 OVERTIME		10,000	10,000	10,000	10,000
5 OPERATING EXPENSES	4,929,903	6,500,000	6,500,000	7,500,000	7,500,000
6 CONFERENCE FEES & TRAVEL	29,715	200,000	200,000	200,000	200,000
7 PROFESSIONAL FEES AND SERVICES	186,936	500,000	500,000	500,000	500,000
8 CAPITAL OUTLAY	1,185,009	5,115,000	5,115,000	4,115,000	4,115,000
9 CAPITAL IMPROVEMENTS		5,000,000	5,000,000	5,000,000	5,000,000
10 DEBT SERVICE	144,574	210,000	210,000	210,000	210,000
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS		50,000	50,000	50,000	50,000
12 PROMOTIONAL ITEMS	19,980	30,000	30,000	30,000	30,000
13					
14					
15					
16 TOTAL APPROPRIATION	\$8,144,970	\$23,615,000	\$23,615,000	\$23,615,000	\$23,615,000
17 PRIOR YEAR FUND BALANCE*					
18 LOCAL CASH FUNDS	5,903,359	6,000,000		6,000,000	6,000,000
19 FEDERAL CASH FUNDS	1,096,163	2,000,000		5,000,000	5,000,000
20 OTHER CASH FUNDS	1,145,448	15,615,000		12,615,000	12,615,000
21 TOTAL INCOME	\$8,144,970	\$23,615,000		\$23,615,000	\$23,615,000
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED 2011-12	REQUESTED 2012-13	LEGISLATIVE RECOMMENDATION 2012-13
REGULAR POSITIONS	206	223	243	243	243
TOBACCO POSITIONS					
EXTRA HELP ***	60	60	60	60	60

*Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

*** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

BLACK RIVER TECHNICAL COLLEGE
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2011-12:						192
(As of November 1, 2011)						
Nonclassified Administrative Employees:						
White Male:	8	Black Male:	0	Other Male:	0	Total Male: 8
White Female:	17	Black Female:	0	Other Female:	0	Total Female: 17
Nonclassified Health Care Employees:						
White Male:	0	Black Male:	0	Other Male:	0	Total Male: 0
White Female:	0	Black Female:	0	Other Female:	0	Total Female: 0
Classified Employees:						
White Male:	14	Black Male:	0	Other Male:	0	Total Male: 14
White Female:	44	Black Female:	0	Other Female:	0	Total Female: 44
Faculty:						
White Male:	37	Black Male:	0	Other Male:	1	Total Male: 38
White Female:	70	Black Female:	1	Other Female:	0	Total Female: 71
Total White Male:	59	Total Black Male:	0	Total Other Male:	1	Total Male: 60
Total White Female:	131	Total Black Female:	1	Total Other Female:	0	Total Female: 132
Total White:	190	Total Black:	1	Total Other:	1	Total Employees: 192
				Total Minority:	2	

FORM 12-8

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$25,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2011
 Required by A.C.A. 25-36-104

Institution **BLACK RIVER TECHNICAL COLLEGE**

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
None							

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$0

% OF MINORITY CONTRACTS AWARDED 0%

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF Black River Technical College
June 30, 2010

<i>Finding:</i>	No findings noted
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**INSTITUTION APPROPRIATION SUMMARY
FISCAL YEAR 2013**

INSTITUTION Cossatot Community College of the University of Arkansas

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
	2010-11		2011-12		2011-12		2012-13			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	4,765,836		4,590,761		4,784,890		5,190,737		5,190,737	
2 CASH	8,974,582		21,059,760		21,059,760		21,983,623		21,983,623	
3										
4										
5										
6										
7										
8										
9										
10										
11 TOTAL	\$13,740,418	166	\$25,650,521	168	\$25,844,650	207	\$27,174,360	207	\$27,174,360	207
FUNDING SOURCES		%		%				%		%
12 PRIOR YEAR FUND BALANCE*	141,805	1%		0%				0%		0%
13 GENERAL REVENUE	3,327,570	23%	3,327,570	13%			3,927,546	14%	3,927,546	14%
14 EDUCATIONAL EXCELLENCE TRUST FUND		0%		0%				0%		0%
15 WORKFORCE 2000	1,295,656	9%	1,263,191	5%			1,263,191	5%	1,263,191	5%
16 CASH FUNDS	9,498,592	67%	21,059,760	82%			21,983,623	81%	21,983,623	81%
17 SPECIAL REVENUES		0%		0%				0%		0%
18 FEDERAL FUNDS		0%		0%				0%		0%
19 TOBACCO SETTLEMENT FUNDS		0%		0%				0%		0%
20 OTHER FUNDS	805	0%		0%				0%		0%
21 TOTAL INCOME	\$14,264,428	100%	\$25,650,521	100%			\$27,174,360	100%	\$27,174,360	100%
22 EXCESS (FUNDING)/APPROPRIATION	(\$524,010)		\$0				\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2011:	\$2,306,437
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$529,752
INVENTORIES	\$0
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	\$238,475
INSURANCE DEDUCTIBLES	\$50,000
MAJOR CRITICAL SYSTEMS FAILURES	\$450,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$1,270,792
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$0
OTHER (FOOTNOTE BELOW)	\$0
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	(\$232,582)

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
FISCAL YEAR 2013**

FUND CTC0000

INSTITUTION Cossatot Community College of the University of Arkansas

APPROPRIATION 705

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12***	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
				2012-13	2012-13
1 REGULAR SALARIES	3,140,204	2,955,761	3,139,400	3,295,000	3,205,685
2 EXTRA HELP WAGES	57,170	52,000	57,170	60,000	98,000
3 PERSONAL SERVICES MATCHING	806,000	805,000	806,000	820,000	876,000
4 OVERTIME					
5 OPERATING EXPENSES	762,462	762,000	762,867	995,000	874,361
6 CONFERENCE FEES & TRAVEL		16,000	19,453	20,737	19,453
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)					
8 CAPITAL OUTLAY					
9 FUNDED DEPRECIATION					
10					
11					
12					
13 TOTAL APPROPRIATION	\$4,765,836	\$4,590,761	\$4,784,890	\$5,190,737	\$5,073,499
14 PRIOR YEAR FUND BALANCE**	141,805				
15 GENERAL REVENUE	3,327,570	3,327,570		3,927,546	3,927,546
16 EDUCATIONAL EXCELLENCE TRUST FUND					
17 SPECIAL REVENUES * [WF2000]	1,295,656	1,263,191		1,263,191	1,263,191
18 FEDERAL FUNDS IN STATE TREASURY					
19 TOBACCO SETTLEMENT FUNDS					
20 OTHER STATE TREASURY FUNDS	805				
21 TOTAL INCOME	\$4,765,836	\$4,590,761		\$5,190,737	\$5,190,737
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	(\$117,238)

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM 12-4

***Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

Other State Treasury Funds includes Tuition Adjustment Fund of \$2,236, M & R Fund of \$59, Transfers out of -\$286 for Surety Premium and -\$1,204 for Worker's Comp Admin Cost.

**APPROPRIATION ACT FORM - CASH FUNDS
FISCAL YEAR 2013**

FUND 2770000

INSTITUTION Cossatot Community College of the University of Arkansas

APPROPRIATION B52

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12**	INSTITUTIONAL REQUEST /	LEGISLATIVE
				AHECB RECOMMENDATION 2012-13	RECOMMENDATION 2012-13
1 REGULAR SALARIES	1,330,578	3,772,566	3,772,566	3,961,194	3,961,194
2 EXTRA HELP WAGES	330,872	630,000	630,000	661,500	661,500
3 PERSONAL SERVICES MATCHING	924,085	1,604,694	1,604,694	1,684,929	1,684,929
4 OVERTIME	0	0	0	0	0
5 OPERATING EXPENSES	4,752,709	4,702,500	4,712,500	6,468,750	5,183,750
6 CONFERENCE FEES & TRAVEL	56,410	577,500	577,500	726,375	606,375
7 PROFESSIONAL FEES AND SERVICES	151,529	367,500	367,500	485,875	385,875
8 CAPITAL OUTLAY	892,440	4,600,000	4,600,000	4,000,000	4,600,000
9 CAPITAL IMPROVEMENTS	0	4,085,000	4,085,000	3,000,000	4,085,000
10 DEBT SERVICE	534,055	700,000	700,000	975,000	800,000
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS	0	0	0	0	0
12 PROMOTIONAL ITEMS	1,904	20,000	10,000	20,000	15,000
13					
14					
15					
16 TOTAL APPROPRIATION	\$8,974,582	\$21,059,760	\$21,059,760	\$21,983,623	\$21,983,623
17 PRIOR YEAR FUND BALANCE*	0	25,644		0	0
18 LOCAL CASH FUNDS	3,991,282	4,172,106		4,605,875	4,605,875
19 FEDERAL CASH FUNDS	3,902,919	5,100,000		5,250,685	5,250,685
20 OTHER CASH FUNDS	1,604,391	11,762,010		12,127,063	12,127,063
21 TOTAL INCOME	\$9,498,592	\$21,059,760		\$21,983,623	\$21,983,623
22 EXCESS (FUNDING)/APPROPRIATION	(\$524,010)	\$0		\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED 2011-12	REQUESTED 2012-13	LEGISLATIVE RECOMMENDATION 2012-13
REGULAR POSITIONS	166	168	207	207	207
TOBACCO POSITIONS					
EXTRA HELP ***	51	55	100	100	100

*Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

*** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

Cossatot Community College of the University of Arkansas
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2011-12:						<u>166</u>
(As of November 1, 2011)						
Nonclassified Administrative Employees:						
White Male:	<u>6</u>	Black Male:	<u>1</u>	Other Male:	<u>0</u>	Total Male: <u>7</u>
White Female:	<u>14</u>	Black Female:	<u>1</u>	Other Female:	<u>1</u>	Total Female: <u>16</u>
Nonclassified Health Care Employees:						
White Male:	<u> </u>	Black Male:	<u> </u>	Other Male:	<u> </u>	Total Male: <u>0</u>
White Female:	<u> </u>	Black Female:	<u> </u>	Other Female:	<u> </u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>12</u>	Black Male:	<u>1</u>	Other Male:	<u>0</u>	Total Male: <u>13</u>
White Female:	<u>22</u>	Black Female:	<u>0</u>	Other Female:	<u>1</u>	Total Female: <u>23</u>
Faculty:						
White Male:	<u>31</u>	Black Male:	<u>0</u>	Other Male:	<u>1</u>	Total Male: <u>32</u>
White Female:	<u>73</u>	Black Female:	<u>0</u>	Other Female:	<u>2</u>	Total Female: <u>75</u>
Total White Male:	<u>49</u>	Total Black Male:	<u>2</u>	Total Other Male:	<u>1</u>	Total Male: <u>52</u>
Total White Female:	<u>109</u>	Total Black Female:	<u>1</u>	Total Other Female:	<u>4</u>	Total Female: <u>114</u>
Total White:	<u>158</u>	Total Black:	<u>3</u>	Total Other:	<u>5</u>	Total Employees: <u>166</u>
				Total Minority:	<u>8</u>	

FORM 12-8

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$25,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2011
 Required by A.C.A. 25-36-104

Institution **Cossatot Community College of the University of Arkansas**

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
None							

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$57,205

% OF MINORITY CONTRACTS AWARDED 0%

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF Cossatot Community College of the UA
June 30, 2010

<i>Finding:</i>	No findings noted
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**INSTITUTION APPROPRIATION SUMMARY
FISCAL YEAR 2013**

INSTITUTION COLLEGE OF THE OUACHITAS

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
	2010-11		2011-12		2011-12		2012-13			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	4,615,668		4,587,866		4,779,514		4,977,620		4,977,620	
2 CASH	4,773,566		9,062,625		9,062,625		9,062,625		9,062,625	
3										
4										
5										
6										
7										
8										
9										
10										
11 TOTAL	\$9,389,234	184	\$13,650,491	186	\$13,842,139	188	\$14,040,245	188	\$14,040,245	188
FUNDING SOURCES		%		%				%		%
12 PRIOR YEAR FUND BALANCE*		0%		0%				0%		0%
13 GENERAL REVENUE	3,506,109	37%	3,506,109	26%			3,895,863	28%	3,895,863	28%
14 EDUCATIONAL EXCELLENCE TRUST FUND		0%		0%				0%		0%
15 WORKFORCE 2000	1,109,559	12%	1,081,757	8%			1,081,757	8%	1,081,757	8%
16 CASH FUNDS	4,773,566	51%	9,062,625	66%			9,062,625	65%	9,062,625	65%
17 SPECIAL REVENUES		0%		0%				0%		0%
18 FEDERAL FUNDS		0%		0%				0%		0%
19 TOBACCO SETTLEMENT FUNDS		0%		0%				0%		0%
20 OTHER FUNDS		0%		0%				0%		0%
21 TOTAL INCOME	\$9,389,234	100%	\$13,650,491	100%			\$14,040,245	100%	\$14,040,245	100%
22 EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2011:	\$1,027,311
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$120,000
INVENTORIES	\$205,429
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$100,000
MAJOR CRITICAL SYSTEMS FAILURES	
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$1,000,000
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	(\$398,118)

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
FISCAL YEAR 2013**

FUND CTW0000

INSTITUTION COLLEGE OF THE OUACHITAS

APPROPRIATION 1WZ

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12***	INSTITUTIONAL REQUEST /	LEGISLATIVE
				AHECB RECOMMENDATION 2012-13	RECOMMENDATION 2012-13
1 REGULAR SALARIES	2,706,108	2,781,758	3,206,108	3,171,512	3,206,108
2 EXTRA HELP WAGES		200,000	0	200,000	0
3 PERSONAL SERVICES MATCHING	600,000	632,702	800,000	632,702	800,000
4 OVERTIME					
5 OPERATING EXPENSES	1,309,560	973,406	773,406	973,406	1,054,724
6 CONFERENCE FEES & TRAVEL					
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)					
8 CAPITAL OUTLAY					
9 FUNDED DEPRECIATION					
10					
11					
12					
13 TOTAL APPROPRIATION	\$4,615,668	\$4,587,866	\$4,779,514	\$4,977,620	\$5,060,832
14 PRIOR YEAR FUND BALANCE**					
15 GENERAL REVENUE	3,506,109	3,506,109		3,895,863	3,895,863
16 EDUCATIONAL EXCELLENCE TRUST FUND					
17 SPECIAL REVENUES * [WF2000]	1,109,559	1,081,757		1,081,757	1,081,757
18 FEDERAL FUNDS IN STATE TREASURY					
19 TOBACCO SETTLEMENT FUNDS					
20 OTHER STATE TREASURY FUNDS					
21 TOTAL INCOME	\$4,615,668	\$4,587,866		\$4,977,620	\$4,977,620
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$83,212

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

***Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

FORM 12-4

**APPROPRIATION ACT FORM - CASH FUNDS
FISCAL YEAR 2013**

FUND 2850000

INSTITUTION COLLEGE OF THE OUACHITAS

APPROPRIATION B62

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12**	INSTITUTIONAL REQUEST /	LEGISLATIVE
				AHECB RECOMMENDATION 2012-13	LEGISLATIVE RECOMMENDATION 2012-13
1 REGULAR SALARIES	1,508,512	2,510,145	2,510,145	2,510,145	2,510,145
2 EXTRA HELP WAGES	596,553	584,123	584,123	584,123	584,123
3 PERSONAL SERVICES MATCHING	820,970	1,152,857	1,152,857	1,152,857	1,152,857
4 OVERTIME					
5 OPERATING EXPENSES	1,525,421	2,184,209	2,184,209	2,184,209	2,184,209
6 CONFERENCE FEES & TRAVEL	118,507	188,450	188,450	188,450	188,450
7 PROFESSIONAL FEES AND SERVICES	68,841	270,486	270,486	270,486	270,486
8 CAPITAL OUTLAY	134,762	1,158,398	1,158,398	1,158,398	1,158,398
9 CAPITAL IMPROVEMENTS		813,957	813,957	813,957	813,957
10 DEBT SERVICE					
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS		200,000	200,000	200,000	200,000
12					
13					
14					
15					
16 TOTAL APPROPRIATION	\$4,773,566	\$9,062,625	\$9,062,625	\$9,062,625	\$9,062,625
17 PRIOR YEAR FUND BALANCE*					
18 LOCAL CASH FUNDS	4,773,566	9,065,625		9,062,625	9,062,625
19 FEDERAL CASH FUNDS					
20 OTHER CASH FUNDS					
21 TOTAL INCOME	\$4,773,566	\$9,065,625		\$9,062,625	\$9,062,625
22 EXCESS (FUNDING)/APPROPRIATION	\$0	(\$3,000)		\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED 2011-12	REQUESTED 2012-13	LEGISLATIVE RECOMMENDATION 2012-13
REGULAR POSITIONS	184	186	188	188	188
TOBACCO POSITIONS					
EXTRA HELP ***	55	54	60	60	60

*Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

*** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

COLLEGE OF THE OUACHITAS
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2011-12:						<u>181</u>
(As of November 1, 2011)						
Nonclassified Administrative Employees:						
White Male:	<u>7</u>	Black Male:	<u>1</u>	Other Male:	<u> </u>	Total Male: <u>8</u>
White Female:	<u>6</u>	Black Female:	<u>1</u>	Other Female:	<u> </u>	Total Female: <u>7</u>
Nonclassified Health Care Employees:						
White Male:	<u> </u>	Black Male:	<u> </u>	Other Male:	<u> </u>	Total Male: <u>0</u>
White Female:	<u> </u>	Black Female:	<u> </u>	Other Female:	<u> </u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>21</u>	Black Male:	<u>2</u>	Other Male:	<u>1</u>	Total Male: <u>24</u>
White Female:	<u>51</u>	Black Female:	<u>5</u>	Other Female:	<u> </u>	Total Female: <u>56</u>
Faculty:						
White Male:	<u>32</u>	Black Male:	<u> </u>	Other Male:	<u>2</u>	Total Male: <u>34</u>
White Female:	<u>49</u>	Black Female:	<u>3</u>	Other Female:	<u> </u>	Total Female: <u>52</u>
Total White Male:	<u>60</u>	Total Black Male:	<u>3</u>	Total Other Male:	<u>3</u>	Total Male: <u>66</u>
Total White Female:	<u>106</u>	Total Black Female:	<u>9</u>	Total Other Female:	<u>0</u>	Total Female: <u>115</u>
Total White:	<u>166</u>	Total Black:	<u>12</u>	Total Other:	<u>3</u>	Total Employees: <u>181</u>
				Total Minority:	<u>15</u>	

FORM 12-8

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$25,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2011
 Required by A.C.A. 25-36-104

Institution COLLEGE OF THE OUACHITAS

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
CRYSTAL GROOVE CLEANING, LLC	\$156,540	x					

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 1

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$156,540

% OF MINORITY CONTRACTS AWARDED 19%

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF College of the Ouachitas
June 30, 2010

<p><i>Finding:</i></p>	<p>The College's internal control system did not prevent or detect material errors in the financial statements. The following audit adjustments to the College's financial statements were necessary: (a) reclassification of cash and cash equivalents of \$75,000 on the Comparative Statement of Net Assets from current to noncurrent assets and (b) elimination of \$217,453 of direct student loan activity improperly reported on the Comparative Statement of Revenues, Expenses and Changes in Net Assets. These errors had no effect on the College's reported net assets or cash balances at June 30, 2010.</p>
<p><i>Institution's Response:</i></p>	<p>We have corrected our financial statements for the \$75,000 movement of cash from current to noncurrent cash. We have also eliminated the direct loan revenues and related expense from the Comparative Statement of Revenues, Expenses and Changes in Net Assets. We will put the capital projects funds in noncurrent cash in the future and we will not put direct loan revenue and expenses in the Comparative Statement of Revenues, Expenses and Changes in Net Assets. These errors had no effect on the College's reported net assets or cash balances at June 30, 2010, but we will gladly make the changes and report it that way in the future.</p>

**INSTITUTION APPROPRIATION SUMMARY
FISCAL YEAR 2013**

INSTITUTION EAST ARKANSAS COMMUNITY COLLEGE

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
	2010-11		2011-12		2011-12		2012-13			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	6,584,470		6,511,448		6,552,329		6,511,448		6,511,448	
2 CASH	10,837,270		27,400,000		27,400,000		27,400,000		27,400,000	
3										
4										
5										
6										
7										
8										
9										
10										
11 TOTAL	\$17,421,740	223	\$33,911,448	244	\$33,952,329	308	\$33,911,448	308	\$33,911,448	308
FUNDING SOURCES		%		%				%		%
12 PRIOR YEAR FUND BALANCE*	102,488	1%		0%				0%		0%
13 GENERAL REVENUE	5,788,058	33%	5,788,058	17%			5,788,058	17%	5,788,058	17%
14 EDUCATIONAL EXCELLENCE TRUST FUND	693,924	4%	723,390	2%			723,390	2%	723,390	2%
15 WORKFORCE 2000		0%		0%				0%		0%
16 CASH FUNDS	4,741,502	27%	22,000,000	65%			22,000,000	65%	22,000,000	65%
17 SPECIAL REVENUES		0%		0%				0%		0%
18 FEDERAL FUNDS	6,095,768	35%	5,400,000	16%			5,400,000	16%	5,400,000	16%
19 TOBACCO SETTLEMENT FUNDS		0%		0%				0%		0%
20 OTHER FUNDS		0%		0%				0%		0%
21 TOTAL INCOME	\$17,421,740	100%	\$33,911,448	100%			\$33,911,448	100%	\$33,911,448	100%
22 EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2011:	\$2,875,598
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$147,220
INVENTORIES	\$15,386
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	\$59,500
INSURANCE DEDUCTIBLES	\$100,000
MAJOR CRITICAL SYSTEMS FAILURES	\$1,000,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$1,126,351
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$166,732
OTHER (FOOTNOTE BELOW)	\$138,478
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$121,931

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

** Certificate of Equity - Electrical Co-op

**APPROPRIATION ACT FORM - STATE TREASURY
FISCAL YEAR 2013**

FUND CWE0000

INSTITUTION EAST ARKANSAS COMMUNITY COLLEGE

APPROPRIATION 538

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12***	INSTITUTIONAL REQUEST /	LEGISLATIVE
				AHECB RECOMMENDATION 2012-13	RECOMMENDATION 2012-13
1 REGULAR SALARIES	4,723,261	4,723,262	4,723,262	4,723,262	4,723,262
2 EXTRA HELP WAGES	21,000	21,000	21,000	21,000	21,000
3 PERSONAL SERVICES MATCHING	1,113,548	1,042,186	1,008,067	1,142,186	1,024,509
4 OVERTIME					
5 OPERATING EXPENSES	726,661	725,000	725,000	625,000	725,000
6 CONFERENCE FEES & TRAVEL					
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)					
8 CAPITAL OUTLAY					
9 FUNDED DEPRECIATION					
10 Contingency			75,000		75,000
11					
12					
13 TOTAL APPROPRIATION	\$6,584,470	\$6,511,448	\$6,552,329	\$6,511,448	\$6,568,771
14 PRIOR YEAR FUND BALANCE**	102,488	0			
15 GENERAL REVENUE	5,788,058	5,788,058		5,788,058	5,804,500
16 EDUCATIONAL EXCELLENCE TRUST FUND	693,924	723,390		723,390	689,271
17 SPECIAL REVENUES * [WF2000]					
18 FEDERAL FUNDS IN STATE TREASURY					
19 TOBACCO SETTLEMENT FUNDS					
20 OTHER STATE TREASURY FUNDS					
21 TOTAL INCOME	\$6,584,470	\$6,511,448		\$6,511,448	\$6,493,771
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$75,000

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

***Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

FORM 12-4

**APPROPRIATION ACT FORM - CASH FUNDS
FISCAL YEAR 2013**

FUND 2110000

INSTITUTION EAST ARKANSAS COMMUNITY COLLEGE

APPROPRIATION B05

DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE
	2010-11	2011-12	2011-12**	AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
				2012-13	2012-13
1 REGULAR SALARIES	859,774	2,500,000	2,500,000	2,500,000	2,500,000
2 EXTRA HELP WAGES	125,901	300,000	300,000	300,000	300,000
3 PERSONAL SERVICES MATCHING	817,575	1,694,734	1,694,734	1,694,734	1,694,734
4 OVERTIME					
5 OPERATING EXPENSES	1,755,944	2,604,000	2,604,000	2,904,000	2,604,000
6 CONFERENCE FEES & TRAVEL	120,676	321,000	321,000	321,000	321,000
7 PROFESSIONAL FEES AND SERVICES	83,861	200,000	200,000	200,000	200,000
8 CAPITAL OUTLAY	1,695,358	1,250,000	1,250,000	950,000	1,250,000
9 CAPITAL IMPROVEMENTS	5,365,887	16,003,266	16,003,266	15,703,266	16,003,266
10 DEBT SERVICE				300,000	0
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS		2,500,000	2,500,000	2,500,000	2,500,000
12 PROMOTIONAL ITEMS	12,295	27,000	27,000	27,000	27,000
13					
14					
15					
16 TOTAL APPROPRIATION	\$10,837,270	\$27,400,000	\$27,400,000	\$27,400,000	\$27,400,000
17 PRIOR YEAR FUND BALANCE*					
18 LOCAL CASH FUNDS	3,065,210	3,365,220		3,365,220	3,365,220
19 FEDERAL CASH FUNDS	6,095,768	2,034,780		2,034,780	2,034,780
20 OTHER CASH FUNDS	1,676,292	22,000,000		22,000,000	22,000,000
21 TOTAL INCOME	\$10,837,270	\$27,400,000		\$27,400,000	\$27,400,000
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL	BUDGETED	AUTHORIZED	REQUESTED	LEGISLATIVE RECOMMENDATION
	2010-11	2011-12	2011-12	2012-13	2012-13
REGULAR POSITIONS	223	244	308	308	308
TOBACCO POSITIONS					
EXTRA HELP ***	115	125	249	249	249

*Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM 12-5

**Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

*** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

EAST ARKANSAS COMMUNITY COLLEGE
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2011-12:					<u>126</u>
(As of November 1, 2011)					
Nonclassified Administrative Employees:					
White Male:	<u>8</u>	Black Male:	<u>5</u>	Other Male:	<u>0</u>
White Female:	<u>20</u>	Black Female:	<u>13</u>	Other Female:	<u>2</u>
Total		Total		Total	Male: <u>13</u>
				Total	Female: <u>35</u>
Nonclassified Health Care Employees:					
White Male:	<u>0</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>
White Female:	<u>0</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>
Total		Total		Total	Male: <u>0</u>
				Total	Female: <u>0</u>
Classified Employees:					
White Male:	<u>2</u>	Black Male:	<u>3</u>	Other Male:	<u>0</u>
White Female:	<u>21</u>	Black Female:	<u>15</u>	Other Female:	<u>1</u>
Total		Total		Total	Male: <u>5</u>
				Total	Female: <u>37</u>
Faculty:					
White Male:	<u>16</u>	Black Male:	<u>1</u>	Other Male:	<u>0</u>
White Female:	<u>17</u>	Black Female:	<u>2</u>	Other Female:	<u>0</u>
Total		Total		Total	Male: <u>17</u>
				Total	Female: <u>19</u>
Total White Male:	<u>26</u>	Total Black Male:	<u>9</u>	Total Other Male:	<u>0</u>
Total White Female:	<u>58</u>	Total Black Female:	<u>30</u>	Total Other Female:	<u>3</u>
Total		Total		Total	Male: <u>35</u>
				Total	Female: <u>91</u>
Total White:	<u>84</u>	Total Black:	<u>39</u>	Total Other:	<u>3</u>
				Total Minority:	<u>42</u>
				Total	Employees: <u>126</u>

FORM 12-8

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$25,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2011
 Required by A.C.A. 25-36-104

Institution **EAST ARKANSAS COMMUNITY COLLEGE**

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
DRS Services, Inc.	\$63,368	X					

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 1

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$346,803

% OF MINORITY CONTRACTS AWARDED 17%

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF East Arkansas Community College
June 30, 2010

<i>Finding:</i>	No findings noted
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**INSTITUTION APPROPRIATION SUMMARY
FISCAL YEAR 2013**

INSTITUTION Mid-South Community College

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
	2010-11		2011-12		2011-12		2012-13			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	5,949,264		5,921,829		6,133,665		6,295,321		6,295,321	
2 CASH	15,574,568		30,000,000		30,000,000		33,915,000		33,915,000	
3 ADTEC	2,820,361		3,602,698		3,602,697		2,775,000		2,775,000	
4										
5										
6										
7										
8										
9										
10										
11 TOTAL	\$24,344,193	229	\$39,524,527	273	\$39,736,362	283	\$42,985,321	283	\$42,985,321	283
FUNDING SOURCES		%		%				%		%
12 PRIOR YEAR FUND BALANCE*	2,820,361	12%	690,502	27300%				0%		0%
13 GENERAL REVENUE	3,791,766	16%	3,791,767	10%			6,720,801	16%	6,720,801	16%
14 EDUCATIONAL EXCELLENCE TRUST FUND		0%		0%				0%		0%
15 WORKFORCE 2000	2,102,194	9%	2,049,520	5%			2,049,520	5%	2,049,520	5%
16 CASH FUNDS	2,470,499	10%	21,938,596	56%			25,915,000	60%	25,915,000	60%
17 SPECIAL REVENUES		0%		0%				0%		0%
18 FEDERAL FUNDS	13,104,069	54%	8,061,404	21%			8,000,000	19%	8,000,000	19%
19 TOBACCO SETTLEMENT FUNDS		0%		0%				0%		0%
20 OTHER FUNDS	81,096	0%	2,692,738	7%			300,000	1%	300,000	1%
21 TOTAL INCOME	\$24,369,985	100%	\$39,224,527	27398%			\$42,985,321	100%	\$42,985,321	100%
22 EXCESS (FUNDING)/APPROPRIATION	(\$25,792)		\$300,000				\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2011:	\$1,256,764
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$1,138,211
INVENTORIES	\$30,000
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$10,000
MAJOR CRITICAL SYSTEMS FAILURES	\$250,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$2,662,109
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	(\$2,833,556)

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
FISCAL YEAR 2013**

FUND CTM0000

INSTITUTION Mid-South Community College

APPROPRIATION _____ 109

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12***	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
				2012-13	2012-13
1 REGULAR SALARIES	3,041,766	4,351,767	4,435,000	4,520,000	4,500,000
2 EXTRA HELP WAGES	200,000		300,000	300,000	350,000
3 PERSONAL SERVICES MATCHING	1,305,334	1,140,000	900,000	970,000	950,000
4					
5 OPERATING EXPENSES	1,402,164	380,062	468,665	475,321	610,468
6 CONFERENCE FEES & TRAVEL			30,000	30,000	30,000
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)		50,000			
8 CAPITAL OUTLAY					
9 FUNDED DEPRECIATION					
10					
11					
12					
13 TOTAL APPROPRIATION	\$5,949,264	\$5,921,829	\$6,133,665	\$6,295,321	\$6,440,468
14 PRIOR YEAR FUND BALANCE**	0	80,542			
15 GENERAL REVENUE	3,791,766	3,791,767		4,245,801	4,245,801
16 EDUCATIONAL EXCELLENCE TRUST FUND					
17 SPECIAL REVENUES * [WF2000]	2,102,194	2,049,520		2,049,520	2,049,520
18 FEDERAL FUNDS IN STATE TREASURY					
19 TOBACCO SETTLEMENT FUNDS					
20 OTHER STATE TREASURY FUNDS	81,096	0		0	0
21 TOTAL INCOME	\$5,975,056	\$5,921,829		\$6,295,321	\$6,295,321
22 EXCESS (FUNDING)/APPROPRIATION	(\$25,792)	\$0		\$0	\$145,147

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

***Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

FORM 12-4

**APPROPRIATION ACT FORM - STATE TREASURY
FISCAL YEAR 2013**

FUND CTM0000

INSTITUTION MID-SOUTH COMMUNITY COLLEGE

APPROPRIATION 86Q

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12***	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
				2012-13	2012-13
1 REGULAR SALARIES		215,000			
2 EXTRA HELP WAGES		75,000			
3 PERSONAL SERVICES MATCHING					
4 OVERTIME					
5 OPERATING EXPENSES		10,000			
6 CONFERENCE FEES & TRAVEL					
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)					
8 CAPITAL OUTLAY					
9 FUNDED DEPRECIATION					
10 ADTEC			300,000	300,000	300,000
11					
12					
13 TOTAL APPROPRIATION	\$0	\$300,000	\$300,000	\$300,000	\$300,000
14 PRIOR YEAR FUND BALANCE**					
15 GENERAL REVENUE					
16 EDUCATIONAL EXCELLENCE TRUST FUND					
17 SPECIAL REVENUES * [WF2000]					
18 FEDERAL FUNDS IN STATE TREASURY					
19 TOBACCO SETTLEMENT FUNDS					
20 OTHER STATE TREASURY FUNDS				300,000	300,000
21 TOTAL INCOME	\$0	\$0		\$300,000	\$300,000
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$300,000		\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM 12-4

***Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

ACT 845 of 2011

**APPROPRIATION ACT FORM - STATE TREASURY
FISCAL YEAR 2013**

FUND Various

INSTITUTION MID-SOUTH COMMUNITY COLLEGE

APPROPRIATION 93Q

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12***	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
				2012-13	2012-13
1 REGULAR SALARIES	1,861,138	2,527,332		1,894,262	
2 EXTRA HELP WAGES	5,573	14,009		10,500	
3 PERSONAL SERVICES MATCHING	623,673	373,452		279,906	
4 OVERTIME					
5 OPERATING EXPENSES	202,573	252,536		189,278	
6 CONFERENCE FEES & TRAVEL	28,660	37,072		27,786	
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)					
8 CAPITAL OUTLAY	98,744	98,297		73,668	
9 FUNDED DEPRECIATION					
10 ADTEC			3,302,697		3,401,778
11 UNIVERSITY CENTER					
12 CROSSROADS COALITION					
13 TOTAL APPROPRIATION	\$2,820,361	\$3,302,698	\$3,302,697	\$2,475,400	\$3,401,778
14 PRIOR YEAR FUND BALANCE**	2,820,361	609,960			
15 GENERAL REVENUE				2,475,400	2,475,400
16 EDUCATIONAL EXCELLENCE TRUST FUND					
17 SPECIAL REVENUES * [WF2000]					
18 FEDERAL FUNDS IN STATE TREASURY					
19 TOBACCO SETTLEMENT FUNDS					
20 OTHER STATE TREASURY FUNDS		2,692,738			
21 TOTAL INCOME	\$2,820,361	\$3,302,698		\$2,475,400	\$2,475,400
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$926,378

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM 12-4

***Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

Other State Treasury Funds are from Act 1117 of 2011

**APPROPRIATION ACT FORM - CASH FUNDS
FISCAL YEAR 2013**

FUND 281000

INSTITUTION Mid-South Community College

APPROPRIATION D03

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12**	INSTITUTIONAL REQUEST /	LEGISLATIVE
				AHECB RECOMMENDATION 2012-13	LEGISLATIVE RECOMMENDATION 2012-13
1 REGULAR SALARIES	4,307,065	7,300,000	4,300,000	7,500,000	5,000,000
2 EXTRA HELP WAGES	411,192	850,000	500,000	900,000	600,000
3 PERSONAL SERVICES MATCHING	1,038,809	2,800,000	1,800,000	3,000,000	1,850,000
4 OVERTIME		10,000	10,000	10,000	10,000
5 OPERATING EXPENSES	3,813,756	6,910,000	3,350,000	7,000,000	4,350,000
6 CONFERENCE FEES & TRAVEL	192,550	300,000	300,000	325,000	375,000
7 PROFESSIONAL FEES AND SERVICES	986,211	850,000	550,000	900,000	750,000
8 CAPITAL OUTLAY	897,414	4,000,000	3,500,000	4,300,000	4,000,000
9 CAPITAL IMPROVEMENTS	3,927,571	6,500,000	6,500,000	6,500,000	6,500,000
10 DEBT SERVICE					
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS		450,000	450,000	450,000	650,000
12 PROMOTIONAL ITEMS		30,000	30,000	30,000	50,000
13 CONTINGENCY			8,710,000	3,000,000	5,865,000
14					
15					
16 TOTAL APPROPRIATION	\$15,574,568	\$30,000,000	\$30,000,000	\$33,915,000	\$30,000,000
17 PRIOR YEAR FUND BALANCE*					
18 LOCAL CASH FUNDS	2,470,499	21,938,596		25,915,000	25,915,000
19 FEDERAL CASH FUNDS	13,104,069	8,061,404		8,000,000	8,000,000
20 OTHER CASH FUNDS					
21 TOTAL INCOME	\$15,574,568	\$30,000,000		\$33,915,000	\$33,915,000
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	(\$3,915,000)

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED 2011-12	REQUESTED 2012-13	LEGISLATIVE RECOMMENDATION 2012-13
REGULAR POSITIONS	229	273	283	283	283
TOBACCO POSITIONS					
EXTRA HELP ***	55	64	200	200	200

*Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

*** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

Mid-South Community College

 (NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2011-12:						<u>158</u>
(As of November 1, 2011)						
Nonclassified Administrative Employees:						
White Male:	<u>23</u>	Black Male:	<u>5</u>	Other Male:	<u>1</u>	Total Male: <u>29</u>
White Female:	<u>19</u>	Black Female:	<u>21</u>	Other Female:	<u> </u>	Total Female: <u>40</u>
Nonclassified Health Care Employees:						
White Male:	<u> </u>	Black Male:	<u> </u>	Other Male:	<u> </u>	Total Male: <u>0</u>
White Female:	<u> </u>	Black Female:	<u> </u>	Other Female:	<u> </u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>8</u>	Black Male:	<u>4</u>	Other Male:	<u> </u>	Total Male: <u>12</u>
White Female:	<u>24</u>	Black Female:	<u>11</u>	Other Female:	<u>2</u>	Total Female: <u>37</u>
Faculty:						
White Male:	<u>19</u>	Black Male:	<u>4</u>	Other Male:	<u>1</u>	Total Male: <u>24</u>
White Female:	<u>14</u>	Black Female:	<u>2</u>	Other Female:	<u> </u>	Total Female: <u>16</u>
Total White Male:						<u>50</u>
Total White Female:						<u>57</u>
Total Black Male:						<u>13</u>
Total Black Female:						<u>34</u>
Total Other Male:						<u>2</u>
Total Other Female:						<u>2</u>
Total White:						<u>107</u>
Total Black:						<u>47</u>
Total Other:						<u>4</u>
Total Minority:						<u>51</u>
Total Employees:						<u>158</u>

FORM 12-8

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$25,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2011
 Required by A.C.A. 25-36-104

Institution Mid-South Community College

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
None							

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$33,202

% OF MINORITY CONTRACTS AWARDED 0%

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF Mid-South Community College
June 30, 2010

<i>Finding:</i>	No findings noted
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**INSTITUTION APPROPRIATION SUMMARY
FISCAL YEAR 2013**

INSTITUTION NORTH ARKANSAS COLLEGE

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
	2010-11		2011-12		2011-12		2012-13			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	9,036,643		8,931,374		9,094,432		8,931,374		8,931,374	
2 CASH	18,349,929		44,470,000		42,470,000		43,780,000		43,780,000	
3										
4										
5										
6										
7										
8										
9										
10										
11 TOTAL	\$27,386,572	381	\$53,401,374	381	\$51,564,432	407	\$52,711,374	407	\$52,711,374	407
FUNDING SOURCES		%		%				%		%
12 PRIOR YEAR FUND BALANCE*	108,844	0%		0%				0%		0%
13 GENERAL REVENUE	7,966,091	29%	7,966,091	15%			7,966,091	15%	7,966,091	15%
14 EDUCATIONAL EXCELLENCE TRUST FUND	409,823	1%	427,226	1%			427,226	1%	427,226	1%
15 WORKFORCE 2000	551,885	2%	538,057	1%			538,057	1%	538,057	1%
16 CASH FUNDS	8,163,050	30%	32,470,000	61%			30,780,000	58%	30,780,000	58%
17 SPECIAL REVENUES		0%		0%				0%		0%
18 FEDERAL FUNDS	10,186,879	37%	12,000,000	22%			13,000,000	25%	13,000,000	25%
19 TOBACCO SETTLEMENT FUNDS		0%		0%				0%		0%
20 OTHER FUNDS		0%		0%				0%		0%
21 TOTAL INCOME	\$27,386,572	100%	\$53,401,374	100%			\$52,711,374	100%	\$52,711,374	100%
22 EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2011:	\$1,993,612
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$246,360
INVENTORIES	\$7,630
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	\$20,000
INSURANCE DEDUCTIBLES	\$15,000
MAJOR CRITICAL SYSTEMS FAILURES	\$500,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$1,811,000
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$20,000
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	(\$626,378)

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
FISCAL YEAR 2013**

FUND CWN0000

INSTITUTION NORTH ARKANSAS COLLEGE

APPROPRIATION 291

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12***	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
				2012-13	2012-13
1 REGULAR SALARIES	6,552,010	6,596,501	6,600,000	6,580,000	6,700,000
2 EXTRA HELP WAGES					
3 PERSONAL SERVICES MATCHING	1,216,667	1,150,000	1,250,000	1,180,000	1,300,000
4 OVERTIME					
5 OPERATING EXPENSES	1,267,666	1,184,873	1,244,132	1,171,374	1,378,648
6 CONFERENCE FEES & TRAVEL	100		100		100
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)	100		100		100
8 CAPITAL OUTLAY	100		100		100
9 FUNDED DEPRECIATION					
10					
11					
12					
13 TOTAL APPROPRIATION	\$9,036,643	\$8,931,374	\$9,094,432	\$8,931,374	\$9,378,948
14 PRIOR YEAR FUND BALANCE**	108,844				
15 GENERAL REVENUE	7,966,091	7,966,091		7,966,091	7,966,091
16 EDUCATIONAL EXCELLENCE TRUST FUND	409,823	427,226		427,226	427,226
17 SPECIAL REVENUES * [WF2000]	551,885	538,057		538,057	538,057
18 FEDERAL FUNDS IN STATE TREASURY					
19 TOBACCO SETTLEMENT FUNDS					
20 OTHER STATE TREASURY FUNDS					
21 TOTAL INCOME	\$9,036,643	\$8,931,374		\$8,931,374	\$8,931,374
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$447,574

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

***Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

FORM 12-4

**APPROPRIATION ACT FORM - CASH FUNDS
FISCAL YEAR 2013**

FUND 2140000

INSTITUTION NORTH ARKANSAS COLLEGE

APPROPRIATION A62

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12**	INSTITUTIONAL REQUEST /	LEGISLATIVE
				AHECB RECOMMENDATION 2012-13	LEGISLATIVE RECOMMENDATION 2012-13
1 REGULAR SALARIES	1,486,633	5,000,000	5,000,000	5,250,000	5,200,000
2 EXTRA HELP WAGES	597,613	700,000	700,000	1,000,000	725,000
3 PERSONAL SERVICES MATCHING	1,610,895	4,000,000	4,000,000	4,000,000	4,200,000
4 OVERTIME	0	10,000	10,000	20,000	10,000
5 OPERATING EXPENSES	3,625,383	5,100,000	5,100,000	5,500,000	5,300,000
6 CONFERENCE FEES & TRAVEL	284,332	350,000	350,000	500,000	375,000
7 PROFESSIONAL FEES AND SERVICES	283,345	300,000	300,000	500,000	300,000
8 CAPITAL OUTLAY	497,731	2,000,000	2,000,000	2,000,000	2,000,000
9 CAPITAL IMPROVEMENTS	207,112	12,000,000	12,000,000	12,000,000	12,000,000
10 DEBT SERVICE	0	1,000,000	1,000,000	1,000,000	1,000,000
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS	9,756,885	12,000,000	12,000,000	12,000,000	12,000,000
12 PROMOTIONAL ITEMS	0	10,000	10,000	10,000	10,000
13 ARRA	0	2,000,000			
14					
15					
16 TOTAL APPROPRIATION	\$18,349,929	\$44,470,000	\$42,470,000	\$43,780,000	\$43,120,000
17 PRIOR YEAR FUND BALANCE*					
18 LOCAL CASH FUNDS	4,249,959	4,883,218		5,000,000	5,000,000
19 FEDERAL CASH FUNDS	10,186,879	12,000,000		13,000,000	13,000,000
20 OTHER CASH FUNDS	3,913,091	27,586,782		25,780,000	25,780,000
21 TOTAL INCOME	\$18,349,929	\$44,470,000		\$43,780,000	\$43,780,000
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	(\$660,000)

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED 2011-12	REQUESTED 2012-13	LEGISLATIVE RECOMMENDATION 2012-13
REGULAR POSITIONS	381	381	407	407	407
TOBACCO POSITIONS					
EXTRA HELP ***	480	500	500	500	500

*Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM 12-5

**Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

*** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

NORTH ARKANSAS COLLEGE
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2011-12:						198
(As of November 1, 2011)						
Nonclassified Administrative Employees:						
White Male:	14	Black Male:	0	Other Male:	0	Total Male: 14
White Female:	42	Black Female:	0	Other Female:	0	Total Female: 42
Nonclassified Health Care Employees:						
White Male:	0	Black Male:	0	Other Male:	0	Total Male: 0
White Female:	0	Black Female:	0	Other Female:	0	Total Female: 0
Classified Employees:						
White Male:	28	Black Male:	0	Other Male:	0	Total Male: 28
White Female:	49	Black Female:	0	Other Female:	0	Total Female: 49
Faculty:						
White Male:	33	Black Male:	0	Other Male:	0	Total Male: 33
White Female:	32	Black Female:	0	Other Female:	0	Total Female: 32
Total White Male:	75	Total Black Male:	0	Total Other Male:	0	Total Male: 75
Total White Female:	123	Total Black Female:	0	Total Other Female:	0	Total Female: 123
Total White:	198	Total Black:	0	Total Other:	0	Total Employees: 198
				Total Minority:	0	

FORM 12-8

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$25,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2011
 Required by A.C.A. 25-36-104

Institution **NORTH ARKANSAS COLLEGE**

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
none							

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$516,193

% OF MINORITY CONTRACTS AWARDED 0%

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF North Arkansas College
June 30, 2010

<p><i>Finding:</i></p>	<p>Institution personnel discovered unauthorized fuel charges of \$12,759 during the period May 9, 2009 through July 27, 2010. After the Institution referred this matter to the Harrison Police Department, Maintenance employee Seth McCutcheon, whose employment was terminated on July 27, 2010, pled guilty to the fraudulent use of a debit or credit card, a Class C felony.</p>
<p><i>Institution's Response:</i></p>	<p>College personnel discovered the unauthorized use on July 27, 2010, and immediately started a review of the cards' past utilization and the overall process and procedures. The review resulted in an employee admitting guilt and he was immediately terminated. The incident was reported to the Harrison Police Department and to the Arkansas Division of Legislative Audit. After many delays by the defendant's lawyer and the Prosecuting Attorney's Office, the final sentencing hearing is set for June 3, 2011.</p> <p>Additional controls, including card sign-out logs and dual subsequent review of charges, have been implemented. Between restitution by the former employee and coverage by the State Employee Dishonesty Surety Bond, the College may suffer a net loss of \$1,000.</p>

**INSTITUTION APPROPRIATION SUMMARY
FISCAL YEAR 2013**

INSTITUTION National Park Community College

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
	2010-11		2011-12		2011-12		2012-13			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	10,579,097		10,607,138		10,880,822		11,115,935		11,115,935	
2 CASH	12,194,682		33,900,000		33,900,000		33,900,000		33,900,000	
3										
4										
5										
6										
7										
8										
9										
10										
11 TOTAL	\$22,773,779	387	\$44,507,138	387	\$44,780,822	388	\$45,015,935	388	\$45,015,935	388
FUNDING SOURCES		%		%				%		%
12 PRIOR YEAR FUND BALANCE*		0%		0%				0%		0%
13 GENERAL REVENUE	8,900,297	39%	8,900,297	20%			9,409,094	21%	9,409,094	21%
14 EDUCATIONAL EXCELLENCE TRUST FUND	1,037,860	5%	1,081,932	2%			1,081,932	2%	1,081,932	2%
15 WORKFORCE 2000	640,970	3%	624,909	1%			624,909	1%	624,909	1%
16 CASH FUNDS	9,217,601	40%	18,259,665	41%			33,900,000	75%	33,900,000	75%
17 SPECIAL REVENUES		0%		0%				0%		0%
18 FEDERAL FUNDS	1,871,668	8%	13,083,320	29%				0%		0%
19 TOBACCO SETTLEMENT FUNDS		0%		0%				0%		0%
20 OTHER FUNDS	1,105,413	5%	2,557,015	6%				0%		0%
21 TOTAL INCOME	\$22,773,809	100%	\$44,507,138	100%			\$45,015,935	100%	\$45,015,935	100%
22 EXCESS (FUNDING)/APPROPRIATION	(\$30)		\$0				\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2011:	\$2,515,165
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$1,001,103
INVENTORIES	
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$25,000
MAJOR CRITICAL SYSTEMS FAILURES	
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$1,943,145
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	(\$454,083)

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
FISCAL YEAR 2013**

FUND CWG0000

INSTITUTION National Park Community College

APPROPRIATION 302

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12***	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
				2012-13	2012-13
1 REGULAR SALARIES	7,617,739	7,538,431	8,229,023	8,054,760	8,704,630
2 EXTRA HELP WAGES					
3 PERSONAL SERVICES MATCHING	2,209,559	2,108,000	1,900,000	2,100,000	1,900,000
4 OVERTIME					
5 OPERATING EXPENSES	725,624	934,532	725,624	935,000	725,624
6 CONFERENCE FEES & TRAVEL					
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)					
8 CAPITAL OUTLAY					
9 FUNDED DEPRECIATION	26,175	26,175	26,175	26,175	26,175
10					
11					
12					
13 TOTAL APPROPRIATION	\$10,579,097	\$10,607,138	\$10,880,822	\$11,115,935	\$11,356,429
14 PRIOR YEAR FUND BALANCE**					
15 GENERAL REVENUE	8,900,297	8,900,297		9,409,094	9,409,094
16 EDUCATIONAL EXCELLENCE TRUST FUND	1,037,860	1,081,932		1,081,932	1,081,932
17 SPECIAL REVENUES * [WF2000]	640,970	624,909		624,909	624,909
18 FEDERAL FUNDS IN STATE TREASURY					
19 TOBACCO SETTLEMENT FUNDS					
20 OTHER STATE TREASURY FUNDS					
21 TOTAL INCOME	\$10,579,127	\$10,607,138		\$11,115,935	\$11,115,935
22 EXCESS (FUNDING)/APPROPRIATION	(\$30)	\$0		\$0	\$240,494

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

***Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

FORM 12-4

**APPROPRIATION ACT FORM - CASH FUNDS
FISCAL YEAR 2013**

FUND 2120000

INSTITUTION National Park Community College

APPROPRIATION A72

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12**	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE LEGISLATIVE RECOMMENDATION
				2012-13	2012-13
1 REGULAR SALARIES	3,434,856	9,826,240	9,826,240	9,826,240	9,826,240
2 EXTRA HELP WAGES	758,524	1,150,000	1,150,000	1,150,000	1,150,000
3 PERSONAL SERVICES MATCHING	152,531	1,310,904	1,310,904	1,310,904	1,310,904
4 OVERTIME					
5 OPERATING EXPENSES	5,550,830	6,902,856	6,902,856	6,902,856	6,902,856
6 CONFERENCE FEES & TRAVEL	118,610	150,000	150,000	150,000	150,000
7 PROFESSIONAL FEES AND SERVICES	1,024,003	2,350,000	2,350,000	2,350,000	2,350,000
8 CAPITAL OUTLAY	452,376	500,000	500,000	500,000	500,000
9 CAPITAL IMPROVEMENTS		10,000,000	10,000,000	10,000,000	10,000,000
10 DEBT SERVICE	700,000	700,000	700,000	700,000	700,000
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS	1,000	1,000,000	1,000,000	1,000,000	1,000,000
12 PROMOTIONAL ITEMS	1,952	10,000	10,000	10,000	10,000
13					
14					
15					
16 TOTAL APPROPRIATION	\$12,194,682	\$33,900,000	\$33,900,000	\$33,900,000	\$33,900,000
17 PRIOR YEAR FUND BALANCE*					
18 LOCAL CASH FUNDS	9,217,601	18,259,665		16,059,665	16,059,665
19 FEDERAL CASH FUNDS	1,871,668	13,083,320		15,083,320	15,083,320
20 OTHER CASH FUNDS	1,105,413	2,557,015		2,757,015	2,757,015
21 TOTAL INCOME	\$12,194,682	\$33,900,000		\$33,900,000	\$33,900,000
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED 2011-12	REQUESTED 2012-13	LEGISLATIVE RECOMMENDATION 2012-13
REGULAR POSITIONS	387	387	388	388	388
TOBACCO POSITIONS					
EXTRA HELP ***	402	402	402	402	402

*Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM 12-5

**Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

*** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

National Park Community College
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2011-12:						<u>241</u>
(As of November 1, 2011)						
Nonclassified Administrative Employees:						
White Male:	<u>14</u>	Black Male:	<u>1</u>	Other Male:	<u>1</u>	Total Male: <u>16</u>
White Female:	<u>29</u>	Black Female:	<u>1</u>	Other Female:	<u>0</u>	Total Female: <u>30</u>
Nonclassified Health Care Employees:						
White Male:	<u>0</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>0</u>
White Female:	<u>1</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>1</u>
Classified Employees:						
White Male:	<u>25</u>	Black Male:	<u>2</u>	Other Male:	<u>1</u>	Total Male: <u>28</u>
White Female:	<u>52</u>	Black Female:	<u>5</u>	Other Female:	<u>4</u>	Total Female: <u>61</u>
Faculty:						
White Male:	<u>36</u>	Black Male:	<u>0</u>	Other Male:	<u>1</u>	Total Male: <u>37</u>
White Female:	<u>63</u>	Black Female:	<u>3</u>	Other Female:	<u>2</u>	Total Female: <u>68</u>
Total White Male:	<u>75</u>	Total Black Male:	<u>3</u>	Total Other Male:	<u>3</u>	Total Male: <u>81</u>
Total White Female:	<u>145</u>	Total Black Female:	<u>9</u>	Total Other Female:	<u>6</u>	Total Female: <u>160</u>
Total White:	<u>220</u>	Total Black:	<u>12</u>	Total Other:	<u>9</u>	Total Employees: <u>241</u>
				Total Minority:	<u>21</u>	

FORM 12-8

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$25,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2011
 Required by A.C.A. 25-36-104

Institution National Park Community College

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
None							

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$72,465

% OF MINORITY CONTRACTS AWARDED 0%

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF National Park Community College
June 30, 2010

<p><i>Finding:</i></p>	<p>Proper segregation of duties prevents fraud and the misappropriation of assets and is of primary consideration when assigning security access. The College has utilized workflow automated approvals for the Purchasing Cycle, but has not implemented workflow for the Pay Cycle. It is possible for accounts payable personnel to circumvent manual controls and purchasing workflow approvals, and complete an unauthorized pay cycle. The Accounts Payable clerk also submits the Positive Pay file to the bank and prints electronically signed checks (no dollar limits).</p>
<p><i>Recommendation:</i></p>	<p>The College should review the pay cycle and incorporate automated and manual approval controls to prevent processing of unauthorized payments, to include further segregation of duties of the Accounts Payable function.</p>
<p><i>Response:</i></p>	<p>This is the issue of segregating duties related to the Pay Cycle. Several people, including the Fiscal Support Specialist, have the ability to generate a positive-pay file from PeopleSoft. The Fiscal Support Specialist then uploads this file to the bank. We are changing the folder permissions (where PeopleSoft writes the positive pay file) to read-only for all end-users. We are also moving file-transfer (to the bank) capabilities from the Fiscal Support Specialist to the Accountant and possibly another backup/alternative user (to be determined). NPCC is investigating additional opportunities for splitting Business Office job duties and/or introducing approval workflows related to Pay Cycle processes.</p>

**INSTITUTION APPROPRIATION SUMMARY
FISCAL YEAR 2013**

INSTITUTION NORTHWEST ARKANSAS COMMUNIT COLLEGE

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
	2010-11		2011-12		2011-12		2012-13			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	10,701,253		10,740,200		11,751,707		16,561,069		16,561,069	
2 CASH	53,385,775		68,898,293		89,903,000		198,125,000		198,125,000	
3										
4										
5										
6										
7										
8										
9										
10										
11 TOTAL	\$64,087,028	797	\$79,638,493	829	\$101,654,707	1,034	\$214,686,069	1,034	\$214,686,069	1,034
FUNDING SOURCES		%		%				%		%
12 PRIOR YEAR FUND BALANCE*	0	0%		0%				0%		0%
13 GENERAL REVENUE	9,784,052	15%	9,784,051	12%			15,604,920	7%	15,604,920	7%
14 EDUCATIONAL EXCELLENCE TRUST FUND	917,201	1%	956,149	1%			956,149	0%	956,149	0%
15 WORKFORCE 2000		0%		0%				0%		0%
16 CASH FUNDS	23,680,296	36%	27,898,293	35%			82,125,000	38%	82,125,000	38%
17 SPECIAL REVENUES		0%		0%				0%		0%
18 FEDERAL FUNDS	17,966,203	28%	19,000,000	24%			56,000,000	26%	56,000,000	26%
19 TOBACCO SETTLEMENT FUNDS		0%		0%				0%		0%
20 OTHER FUNDS	12,722,502	20%	22,000,000	28%			60,000,000	28%	60,000,000	28%
21 TOTAL INCOME	\$65,070,254	100%	\$79,638,493	100%			\$214,686,069	100%	\$214,686,069	100%
22 EXCESS (FUNDING)/APPROPRIATION	(\$983,226)		\$0				\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2011:	\$7,407,507
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$4,817,571
INVENTORIES	\$20,918
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	
MAJOR CRITICAL SYSTEMS FAILURES	
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$3,400,000
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	(\$830,982)

Salaries only

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
FISCAL YEAR 2013**

FUND CWA0000

INSTITUTION NORTHWEST ARKASAS COMMUNITY COLLEGE

APPROPRIATION 1108

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12***	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
				2012-13	2012-13
1 REGULAR SALARIES	10,701,253	10,740,200	11,751,707	16,561,069	13,164,805
2 EXTRA HELP WAGES					
3 PERSONAL SERVICES MATCHING					
4 OVERTIME					
5 OPERATING EXPENSES					
6 CONFERENCE FEES & TRAVEL					
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)					
8 CAPITAL OUTLAY					
9 FUNDED DEPRECIATION					
10					
11					
12					
13 TOTAL APPROPRIATION	\$10,701,253	\$10,740,200	\$11,751,707	\$16,561,069	\$13,164,805
14 PRIOR YEAR FUND BALANCE**					
15 GENERAL REVENUE	9,784,052	9,784,051		15,604,920	15,604,920
16 EDUCATIONAL EXCELLENCE TRUST FUND	917,201	956,149		956,149	956,149
17 SPECIAL REVENUES * [WF2000]					
18 FEDERAL FUNDS IN STATE TREASURY					
19 TOBACCO SETTLEMENT FUNDS					
20 OTHER STATE TREASURY FUNDS					
21 TOTAL INCOME	\$10,701,253	\$10,740,200		\$16,561,069	\$16,561,069
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	(\$3,396,264)

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

***Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

**APPROPRIATION ACT FORM - CASH FUNDS
FISCAL YEAR 2013**

FUND 2200000

INSTITUTION NORTHWEST ARKASAS COMMUNITY COLLEGE

APPROPRIATION B17

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12**	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE LEGISLATIVE RECOMMENDATION
				2012-13	2012-13
1 REGULAR SALARIES	13,388,919	15,789,841	25,300,000	47,000,000	27,830,000
2 EXTRA HELP WAGES	817,174	873,914	880,000	2,500,000	968,000
3 PERSONAL SERVICES MATCHING	7,423,957	7,663,725	9,713,000	22,000,000	10,684,300
4 OVERTIME	0	0	0	475,000	
5 OPERATING EXPENSES	23,731,118	24,126,629	14,630,000	72,000,000	16,093,000
6 CONFERENCE FEES & TRAVEL	449,918	542,561	550,000	1,500,000	605,000
7 PROFESSIONAL FEES AND SERVICES	1,435,163	1,394,813	660,000	4,000,000	726,000
8 CAPITAL OUTLAY	1,491,493	1,485,475	8,470,000	4,200,000	9,317,000
9 CAPITAL IMPROVEMENTS	1,885,746	14,571,335	29,700,000	41,000,000	32,670,000
10 DEBT SERVICE	2,762,287	2,450,000		3,450,000	0
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS					
12					
13					
14					
15					
16 TOTAL APPROPRIATION	\$53,385,775	\$68,898,293	\$89,903,000	\$198,125,000	\$98,893,300
17 PRIOR YEAR FUND BALANCE*	0				
18 LOCAL CASH FUNDS	23,680,296	27,898,293		82,125,000	82,125,000
19 FEDERAL CASH FUNDS	17,966,203	19,000,000		56,000,000	56,000,000
20 OTHER CASH FUNDS	12,722,502	22,000,000		60,000,000	60,000,000
21 TOTAL INCOME	\$54,369,001	\$68,898,293		\$198,125,000	\$198,125,000
22 EXCESS (FUNDING)/APPROPRIATION	(\$983,226)	\$0		\$0	(\$99,231,700)

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED 2011-12	REQUESTED 2012-13	LEGISLATIVE RECOMMENDATION 2012-13
REGULAR POSITIONS	797	829	1,034	1,034	1,034
TOBACCO POSITIONS			-		
EXTRA HELP ***	79	110	360	360	360

*Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM 12-5

**Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

*** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

NWACC' request for FY 2013 includes all cash funds (unrestricted, restricted, capital funds). Previous years cash appropriation requests were made only for unrestricted cash funds. Positions count includes provisional positions.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

NORTHWEST ARKASAS COMMUNITY COLLEGE

(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2011-12:*						939
(As of November 1, 2011)						
Nonclassified Administrative Employees:						
White Male:	38	Black Male:	3	Other Male:	2	Total Male: 43
White Female:	55	Black Female:	3	Other Female:	5	Total Female: 63
Nonclassified Health Care Employees:						
White Male:	0	Black Male:	0	Other Male:	0	Total Male: 0
White Female:	0	Black Female:	0	Other Female:	0	Total Female: 0
Classified Employees:						
White Male:	70	Black Male:	5	Other Male:	23	Total Male: 98
White Female:	157	Black Female:	7	Other Female:	31	Total Female: 195
Faculty:						
White Male:	216	Black Male:	4	Other Male:	10	Total Male: 230
White Female:	284	Black Female:	14	Other Female:	12	Total Female: 310
Total White Male:	324	Total Black Male:	12	Total Other Male:	35	Total Male: 371
Total White Female:	496	Total Black Female:	24	Total Other Female:	48	Total Female: 568
Total White:	820	Total Black:	36	Total Other:	83	Total Employees: 939
				Total Minority:	119	

FORM 12-8

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$25,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2011
 Required by A.C.A. 25-36-104

Institution: **NORTHWEST ARKANSAS COMMUNITY COLLEGE**

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
Flintco	\$673,607			X			
Vicky's Cleaning	\$34,467		X				

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED	<u>2</u>
TOTAL EXPENDITURES ON CONTRACTS AWARDED	<u>\$1,595,998</u>
% OF MINORITY CONTRACTS AWARDED	<u>5%</u>

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF Northwest Arkansas Community College
June 30, 2010

<i>Finding:</i>	During the 2011 fiscal year, salary increases were given to several employees for additional duties assumed. The Department of Finance and Administration/Office of Personnel Management reviewed these increases and determined that additional duty increases were not allowable for eight of these employees. As a result of this review, the Northwest Arkansas Community College Foundation, Inc., repaid the College \$10,708 on March 15, 2011. This matter is considered resolved.
<i>Institution's Response:</i>	As stated, all unapproved salary increases, totaling \$10,708, have been repaid by the Northwest Arkansas Community College Foundation. The College considers this matter resolved and closed.

**INSTITUTION APPROPRIATION SUMMARY
FISCAL YEAR 2013**

INSTITUTION OZARKA COLLEGE

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
	2010-11		2011-12		2011-12		2012-13			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	4,225,803		4,149,353		4,335,851		5,226,260		5,226,260	
2 CASH	5,807,109		11,313,715		11,313,715		12,313,715		12,313,715	
3										
4										
5										
6										
7										
8										
9										
10										
11 TOTAL	\$10,032,912	158	\$15,463,068	165	\$15,649,566	191	\$17,539,975	191	\$17,539,975	191
FUNDING SOURCES		%		%				%		%
12 PRIOR YEAR FUND BALANCE*	41,674	0%		0%				0%		0%
13 GENERAL REVENUE	2,959,592	28%	2,959,592	19%			4,036,499	23%	4,036,499	23%
14 EDUCATIONAL EXCELLENCE TRUST FUND		0%		0%				0%		0%
15 WORKFORCE 2000	1,220,338	11%	1,189,761	8%			1,189,761	7%	1,189,761	7%
16 CASH FUNDS	5,116,499	48%	5,170,000	33%			6,000,000	34%	6,000,000	34%
17 SPECIAL REVENUES		0%		0%				0%		0%
18 FEDERAL FUNDS	1,084,236	10%	3,809,000	25%			4,000,000	23%	4,000,000	23%
19 TOBACCO SETTLEMENT FUNDS		0%		0%				0%		0%
20 OTHER FUNDS	314,451	3%	2,334,715	15%			2,313,715	13%	2,313,715	13%
21 TOTAL INCOME	\$10,736,790	100%	\$15,463,068	100%			\$17,539,975	100%	\$17,539,975	100%
22 EXCESS (FUNDING)/APPROPRIATION	(\$703,878)		\$0				\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2011:	\$3,981,193
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$555,815
INVENTORIES	\$276,945
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$10,000
MAJOR CRITICAL SYSTEMS FAILURES	\$50,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$1,047,533
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$670,000
OTHER (FOOTNOTE BELOW)	\$625,000
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$745,900

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
FISCAL YEAR 2013**

FUND CTO0000

INSTITUTION OZARKA COLLEGE

APPROPRIATION 1XC

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12***	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
				2012-13	2012-13
1 REGULAR SALARIES	3,104,674	2,850,000	2,900,000	3,400,000	3,100,000
2 EXTRA HELP WAGES	75,000	75,000	75,000	100,000	100,000
3 PERSONAL SERVICES MATCHING	1,041,130	1,223,053	1,359,551	1,725,000	1,411,354
4 OVERTIME		100	100	100	100
5 OPERATING EXPENSES	4,199	200	200	200	200
6 CONFERENCE FEES & TRAVEL	200	200	200	200	200
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)	200	200	200	200	200
8 CAPITAL OUTLAY	200	200	200	200	200
9 FUNDED DEPRECIATION	200	200	200	160	200
10 CAPITAL IMPROVEMENTS		200	200	200	200
11					
12					
13 TOTAL APPROPRIATION	\$4,225,803	\$4,149,353	\$4,335,851	\$5,226,260	\$4,612,654
14 PRIOR YEAR FUND BALANCE**	41,674				
15 GENERAL REVENUE	2,959,592	2,959,592		4,036,499	4,036,499
16 EDUCATIONAL EXCELLENCE TRUST FUND					
17 SPECIAL REVENUES * [WF2000]	1,220,338	1,189,761		1,189,761	1,189,761
18 FEDERAL FUNDS IN STATE TREASURY					
19 TOBACCO SETTLEMENT FUNDS					
20 OTHER STATE TREASURY FUNDS	4,199				
21 TOTAL INCOME	\$4,225,803	\$4,149,353		\$5,226,260	\$5,226,260
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	(\$613,606)

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

***Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

Other State Treasury Funds are from M&R Proceeds.

FORM 12-4

**APPROPRIATION ACT FORM - CASH FUNDS
FISCAL YEAR 2013**

FUND 2870000

INSTITUTION OZARKA COLLEGE

APPROPRIATION B63

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12**	INSTITUTIONAL REQUEST /	LEGISLATIVE
				AHECB RECOMMENDATION 2012-13	LEGISLATIVE RECOMMENDATION 2012-13
1 REGULAR SALARIES	1,243,201	2,500,000	2,500,000	2,500,000	2,500,000
2 EXTRA HELP WAGES	125,633	225,000	225,000	225,000	225,000
3 PERSONAL SERVICES MATCHING	930,742	1,400,000	1,400,000	1,400,000	1,400,000
4 OVERTIME	0	1,000	1,000	1,000	1,000
5 OPERATING EXPENSES	2,092,917	4,800,000	4,800,000	4,800,000	4,800,000
6 CONFERENCE FEES & TRAVEL		75,000	75,000	75,000	75,000
7 PROFESSIONAL FEES AND SERVICES	5,674	87,715	87,715	87,715	87,715
8 CAPITAL OUTLAY	119,325	250,000	250,000	225,000	250,000
9 CAPITAL IMPROVEMENTS	1,043,892	1,700,000	1,700,000	2,700,000	1,700,000
10 DEBT SERVICE	245,725	275,000	275,000	300,000	275,000
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS					
12					
13					
14					
15					
16 TOTAL APPROPRIATION	\$5,807,109	\$11,313,715	\$11,313,715	\$12,313,715	\$11,313,715
17 PRIOR YEAR FUND BALANCE*					
18 LOCAL CASH FUNDS	5,116,499	5,170,000		6,000,000	6,000,000
19 FEDERAL CASH FUNDS	1,084,236	3,809,000		4,000,000	4,000,000
20 OTHER CASH FUNDS	310,252	2,334,715		2,313,715	2,313,715
21 TOTAL INCOME	\$6,510,987	\$11,313,715		\$12,313,715	\$12,313,715
22 EXCESS (FUNDING)/APPROPRIATION	(\$703,878)	\$0		\$0	(\$1,000,000)

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED 2011-12	REQUESTED 2012-13	LEGISLATIVE RECOMMENDATION 2012-13
REGULAR POSITIONS	158	165	191	191	191
TOBACCO POSITIONS					
EXTRA HELP ***	100	100	100	100	100

*Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

*** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

OZARKA COLLEGE

(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2011-12:						162
(As of November 1, 2011)						
Nonclassified Administrative Employees:						
White Male:	4	Black Male:	_____	Other Male:	_____	Total Male: 4
White Female:	4	Black Female:	_____	Other Female:	_____	Total Female: 4
Nonclassified Health Care Employees:						
White Male:	_____	Black Male:	_____	Other Male:	_____	Total Male: 0
White Female:	7	Black Female:	_____	Other Female:	_____	Total Female: 7
Classified Employees:						
White Male:	14	Black Male:	_____	Other Male:	_____	Total Male: 14
White Female:	34	Black Female:	_____	Other Female:	2	Total Female: 36
Faculty:						
White Male:	38	Black Male:	_____	Other Male:	_____	Total Male: 38
White Female:	57	Black Female:	_____	Other Female:	2	Total Female: 59
Total White Male:	56	Total Black Male:	0	Total Other Male:	0	Total Male: 56
Total White Female:	102	Total Black Female:	0	Total Other Female:	4	Total Female: 106
Total White:	158	Total Black:	0	Total Other:	4	Total Employees: 162
				Total Minority:	4	

FORM 12-8

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$25,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2011
 Required by A.C.A. 25-36-104

Institution: OZARKA COLLEGE

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
None							

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0
 TOTAL EXPENDITURES ON CONTRACTS AWARDED \$0
 % OF MINORITY CONTRACTS AWARDED 0%

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF Ozarka College
June 30, 2010

<i>Finding:</i>	No findings noted
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**INSTITUTION APPROPRIATION SUMMARY
FISCAL YEAR 2013**

INSTITUTION PHILLIPS COMMUNITY COLLEGE UA

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
	2010-11		2011-12		2011-12		2012-13			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY 308	8,276,083		7,754,954		7,332,920		7,754,954		7,754,954	
2 STATE TREASURY 1BW	684,508		1,130,078		1,210,491		1,130,078		1,130,078	
3 STATE TREASURY 413	1,436,994		1,378,201		1,755,570		1,378,201		1,378,201	
4 CASH A73	8,045,260		27,590,000		27,590,000		27,665,000		27,665,000	
5 CASH B08	74,910		8,770,000		8,770,000		8,770,000		8,770,000	
6 CASH A81	7,716,830		9,270,000		9,270,000		9,470,000		9,470,000	
7										
8										
9										
10										
11 TOTAL	\$26,234,585	266	\$55,893,233	322	\$55,928,981	325	\$56,168,233	325	\$56,168,233	325
FUNDING SOURCES		%		%				%		%
12 PRIOR YEAR FUND BALANCE*	150,309	1%		0%				0%		0%
13 GENERAL REVENUE	9,063,088	34%	9,063,088	16%			9,063,088	16%	9,063,088	16%
14 EDUCATIONAL EXCELLENCE TRUST FUND	675,788	3%	704,484	1%			704,484	1%	704,484	1%
15 WORKFORCE 2000	508,400	2%	495,661	1%			495,661	1%	495,661	1%
16 CASH FUNDS	5,767,494	21%	5,726,368	10%			5,726,368	10%	5,726,368	10%
17 SPECIAL REVENUES		0%		0%				0%		0%
18 FEDERAL FUNDS	10,673,239	40%	3,450,000	6%			3,450,000	6%	3,450,000	6%
19 TOBACCO SETTLEMENT FUNDS		0%		0%				0%		0%
20 OTHER FUNDS		0%	36,453,632	65%			36,728,632	65%	36,728,632	65%
21 TOTAL INCOME	\$26,838,318	100%	\$55,893,233	100%			\$56,168,233	100%	\$56,168,233	100%
22 EXCESS (FUNDING)/APPROPRIATION	(\$603,733)		\$0				\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2011:	\$4,669,991
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$2,668,047
INVENTORIES	\$59,071
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	
MAJOR CRITICAL SYSTEMS FAILURES	
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$1,635,287
RESERVE FOR SPECIFIC ITEM (RESERVED FOR ROOF REPLACEMENT)	\$350,000
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	(\$42,414)

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
FISCAL YEAR 2013**

FUND CWP0000

INSTITUTION PHILLIPS COMMUNITY COLLEGE UA (HELENA)

APPROPRIATION 308

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12***	INSTITUTIONAL REQUEST /	LEGISLATIVE
				AHECB RECOMMENDATION 2012-13	RECOMMENDATION 2012-13
1 REGULAR SALARIES	6,645,931	6,188,055	5,609,852	6,188,055	5,609,852
2 EXTRA HELP WAGES					
3 PERSONAL SERVICES MATCHING	1,175,445	1,129,835	1,182,535	1,129,835	1,182,535
4 OVERTIME					
5 OPERATING EXPENSES	454,707	437,064	465,533	437,064	465,533
6 CONFERENCE FEES & TRAVEL					
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)					
8 CAPITAL OUTLAY					
9 FUNDED DEPRECIATION					
10 CONTINGENCY			75,000		75,000
11					
12					
13 TOTAL APPROPRIATION	\$8,276,083	\$7,754,954	\$7,332,920	\$7,754,954	\$7,332,920
14 PRIOR YEAR FUND BALANCE**	150,309				
15 GENERAL REVENUE	7,449,986	7,050,470		7,050,470	7,050,470
16 EDUCATIONAL EXCELLENCE TRUST FUND	675,788	704,484		704,484	704,484
17 SPECIAL REVENUES * [WF2000]					
18 FEDERAL FUNDS IN STATE TREASURY					
19 TOBACCO SETTLEMENT FUNDS					
20 OTHER STATE TREASURY FUNDS					
21 TOTAL INCOME	\$8,276,083	\$7,754,954		\$7,754,954	\$7,754,954
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	(\$422,034)

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

***Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

FORM 12-4

**APPROPRIATION ACT FORM - STATE TREASURY
FISCAL YEAR 2013**

FUND CWP0000

INSTITUTION PHILLIPS COMMUNITY COLLEGE UA (DEWITT)

APPROPRIATION 1BW

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12***	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
				2012-13	2012-13
1 REGULAR SALARIES	436,696	720,956	831,758	720,956	831,758
2 EXTRA HELP WAGES					
3 PERSONAL SERVICES MATCHING	85,068	140,442	188,733	140,442	188,733
4 OVERTIME					
5 OPERATING EXPENSES	162,744	268,680	190,000	268,680	190,000
6 CONFERENCE FEES & TRAVEL					
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)					
8 CAPITAL OUTLAY					
9 FUNDED DEPRECIATION					
10					
11					
12					
13 TOTAL APPROPRIATION	\$684,508	\$1,130,078	\$1,210,491	\$1,130,078	\$1,210,491
14 PRIOR YEAR FUND BALANCE**					
15 GENERAL REVENUE	176,108	634,417		634,417	634,417
16 EDUCATIONAL EXCELLENCE TRUST FUND					
17 SPECIAL REVENUES * [WF2000]	508,400	495,661		495,661	495,661
18 FEDERAL FUNDS IN STATE TREASURY					
19 TOBACCO SETTLEMENT FUNDS					
20 OTHER STATE TREASURY FUNDS					
21 TOTAL INCOME	\$684,508	\$1,130,078		\$1,130,078	\$1,130,078
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$80,413

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

***Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

**APPROPRIATION ACT FORM - STATE TREASURY
FISCAL YEAR 2013**

FUND CWP0000

INSTITUTION PHILLIPS COMMUNITY COLLEGE UA (STUTT GART)

APPROPRIATION 413

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12***	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
				2012-13	2012-13
1 REGULAR SALARIES	1,079,304	1,084,929	1,210,219	1,084,929	1,210,219
2 EXTRA HELP WAGES					
3 PERSONAL SERVICES MATCHING	218,810	179,404	245,351	179,404	245,351
4 OVERTIME					
5 OPERATING EXPENSES	138,880	113,868	300,000	113,868	300,000
6 CONFERENCE FEES & TRAVEL					
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)					
8 CAPITAL OUTLAY					
9 FUNDED DEPRECIATION					
10					
11					
12					
13 TOTAL APPROPRIATION	\$1,436,994	\$1,378,201	\$1,755,570	\$1,378,201	\$1,755,570
14 PRIOR YEAR FUND BALANCE**					
15 GENERAL REVENUE	1,436,994	1,378,201		1,378,201	1,378,201
16 EDUCATIONAL EXCELLENCE TRUST FUND					
17 SPECIAL REVENUES * [WF2000]					
18 FEDERAL FUNDS IN STATE TREASURY					
19 TOBACCO SETTLEMENT FUNDS					
20 OTHER STATE TREASURY FUNDS					
21 TOTAL INCOME	\$1,436,994	\$1,378,201		\$1,378,201	\$1,378,201
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$377,369

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

***Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

FORM 12-4

**APPROPRIATION ACT FORM - CASH FUNDS
FISCAL YEAR 2013**

FUND 2150000

INSTITUTION PHILLIPS COMMUNITY COLLEGE UA (HELENA)

APPROPRIATION A73

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12**	INSTITUTIONAL REQUEST /	LEGISLATIVE
				AHECB RECOMMENDATION 2012-13	LEGISLATIVE RECOMMENDATION 2012-13
1 REGULAR SALARIES	277,506	3,500,000	3,500,000	3,500,000	3,500,000
2 EXTRA HELP WAGES	549,969	550,000	550,000	550,000	550,000
3 PERSONAL SERVICES MATCHING	1,210,370	1,500,000	1,500,000	1,500,000	1,500,000
4 OVERTIME	139,994	140,000	140,000	140,000	140,000
5 OPERATING EXPENSES	3,085,983	4,675,000	4,675,000	4,750,000	4,675,000
6 CONFERENCE FEES & TRAVEL	176,916	200,000	200,000	200,000	200,000
7 PROFESSIONAL FEES AND SERVICES	289,840	1,000,000	1,000,000	1,000,000	1,000,000
8 CAPITAL OUTLAY	231,241	3,000,000	3,000,000	3,000,000	3,000,000
9 CAPITAL IMPROVEMENTS	1,368,893	10,000,000	10,000,000	10,000,000	10,000,000
10 DEBT SERVICE	694,123	3,000,000	3,000,000	3,000,000	3,000,000
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS					
12 PROMOTIONAL ITEMS	20,425	25,000	25,000	25,000	25,000
13					
14					
15					
16 TOTAL APPROPRIATION	\$8,045,260	\$27,590,000	\$27,590,000	\$27,665,000	\$27,590,000
17 PRIOR YEAR FUND BALANCE*					
18 LOCAL CASH FUNDS	2,941,422	3,493,084		3,493,084	3,493,084
19 FEDERAL CASH FUNDS	5,103,838	1,200,000		1,200,000	1,200,000
20 OTHER CASH FUNDS		22,896,916		22,971,916	22,971,916
21 TOTAL INCOME	\$8,045,260	\$27,590,000		\$27,665,000	\$27,665,000
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	(\$75,000)

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED 2011-12	REQUESTED 2012-13	LEGISLATIVE RECOMMENDATION 2012-13
REGULAR POSITIONS	167	186	188	188	188
TOBACCO POSITIONS					
EXTRA HELP ***	78	200	600	600	600

*Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM 12-5

**Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

*** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

**APPROPRIATION ACT FORM - CASH FUNDS
FISCAL YEAR 2013**

FUND 2150000

INSTITUTION PHILLIPS COMMUNITY COLLEGE UA (HELENA)

APPROPRIATION A73

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12**	INSTITUTIONAL REQUEST /	LEGISLATIVE
				AHECB RECOMMENDATION 2012-13	LEGISLATIVE RECOMMENDATION 2012-13
1 REGULAR SALARIES	277,506	3,500,000	3,500,000	3,500,000	3,500,000
2 EXTRA HELP WAGES	549,969	550,000	550,000	550,000	550,000
3 PERSONAL SERVICES MATCHING	1,210,370	1,500,000	1,500,000	1,500,000	1,500,000
4 OVERTIME	139,994	140,000	140,000	140,000	140,000
5 OPERATING EXPENSES	3,085,983	4,675,000	4,675,000	4,750,000	4,675,000
6 CONFERENCE FEES & TRAVEL	176,916	200,000	200,000	200,000	200,000
7 PROFESSIONAL FEES AND SERVICES	289,840	1,000,000	1,000,000	1,000,000	1,000,000
8 CAPITAL OUTLAY	231,241	3,000,000	3,000,000	3,000,000	3,000,000
9 CAPITAL IMPROVEMENTS	1,368,893	10,000,000	10,000,000	10,000,000	10,000,000
10 DEBT SERVICE	694,123	3,000,000	3,000,000	3,000,000	3,000,000
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS					
12 PROMOTIONAL ITEMS	20,425	25,000	25,000	25,000	25,000
13					
14					
15					
16 TOTAL APPROPRIATION	\$8,045,260	\$27,590,000	\$27,590,000	\$27,665,000	\$27,590,000
17 PRIOR YEAR FUND BALANCE*					
18 LOCAL CASH FUNDS	2,941,422	3,493,084		3,493,084	3,493,084
19 FEDERAL CASH FUNDS	5,103,838	1,200,000		1,200,000	1,200,000
20 OTHER CASH FUNDS		22,896,916		22,971,916	22,971,916
21 TOTAL INCOME	\$8,045,260	\$27,590,000		\$27,665,000	\$27,665,000
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	(\$75,000)

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED 2011-12	REQUESTED 2012-13	LEGISLATIVE RECOMMENDATION 2012-13
REGULAR POSITIONS	167	186	188	188	188
TOBACCO POSITIONS					
EXTRA HELP ***	78	200	600	600	600

*Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM 12-5

**Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

*** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

**APPROPRIATION ACT FORM - CASH FUNDS
FISCAL YEAR 2013**

FUND 2150000

INSTITUTION PHILLIPS COMMUNITY COLLEGE UA (DEWITT)

APPROPRIATION B08

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12**	INSTITUTIONAL REQUEST /	LEGISLATIVE
				AHECB RECOMMENDATION 2012-13	LEGISLATIVE RECOMMENDATION 2012-13
1 REGULAR SALARIES	19,009	750,000	750,000	750,000	750,000
2 EXTRA HELP WAGES	11,033	200,000	200,000	200,000	200,000
3 PERSONAL SERVICES MATCHING	3,847	250,000	250,000	250,000	250,000
4 OVERTIME	16,329	70,000	70,000	70,000	70,000
5 OPERATING EXPENSES	7,980	1,150,000	1,150,000	1,150,000	1,150,000
6 CONFERENCE FEES & TRAVEL	3,131	50,000	50,000	50,000	50,000
7 PROFESSIONAL FEES AND SERVICES	2,926	50,000	50,000	50,000	50,000
8 CAPITAL OUTLAY	10,655	250,000	250,000	250,000	250,000
9 CAPITAL IMPROVEMENTS		5,000,000	5,000,000	5,000,000	5,000,000
10 DEBT SERVICE		1,000,000	1,000,000	1,000,000	1,000,000
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS					
12					
13					
14					
15					
16 TOTAL APPROPRIATION	\$74,910	\$8,770,000	\$8,770,000	\$8,770,000	\$8,770,000
17 PRIOR YEAR FUND BALANCE*					
18 LOCAL CASH FUNDS	74,910	1,088,010		1,088,010	1,088,010
19 FEDERAL CASH FUNDS					
20 OTHER CASH FUNDS		7,681,990		7,681,990	7,681,990
21 TOTAL INCOME	\$74,910	\$8,770,000		\$8,770,000	\$8,770,000
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED 2011-12	REQUESTED 2012-13	LEGISLATIVE RECOMMENDATION 2012-13
REGULAR POSITIONS	46	66	66	66	66
TOBACCO POSITIONS					
EXTRA HELP ***	2	25	150	150	150

*Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

*** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

**APPROPRIATION ACT FORM - CASH FUNDS
FISCAL YEAR 2013**

FUND 2150000

INSTITUTION PHILLIPS COMMUNITY COLLEGE UA (DEWITT)

APPROPRIATION B08

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12**	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE LEGISLATIVE RECOMMENDATION
				2012-13	2012-13
1 REGULAR SALARIES	19,009	750,000	750,000	750,000	750,000
2 EXTRA HELP WAGES	11,033	200,000	200,000	200,000	200,000
3 PERSONAL SERVICES MATCHING	3,847	250,000	250,000	250,000	250,000
4 OVERTIME	16,329	70,000	70,000	70,000	70,000
5 OPERATING EXPENSES	7,980	1,150,000	1,150,000	1,150,000	1,150,000
6 CONFERENCE FEES & TRAVEL	3,131	50,000	50,000	50,000	50,000
7 PROFESSIONAL FEES AND SERVICES	2,926	50,000	50,000	50,000	50,000
8 CAPITAL OUTLAY	10,655	250,000	250,000	250,000	250,000
9 CAPITAL IMPROVEMENTS		5,000,000	5,000,000	5,000,000	5,000,000
10 DEBT SERVICE		1,000,000	1,000,000	1,000,000	1,000,000
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS					
12					
13					
14					
15					
16 TOTAL APPROPRIATION	\$74,910	\$8,770,000	\$8,770,000	\$8,770,000	\$8,770,000
17 PRIOR YEAR FUND BALANCE*					
18 LOCAL CASH FUNDS	74,910	1,088,010		1,088,010	1,088,010
19 FEDERAL CASH FUNDS					
20 OTHER CASH FUNDS		7,681,990		7,681,990	7,681,990
21 TOTAL INCOME	\$74,910	\$8,770,000		\$8,770,000	\$8,770,000
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED 2011-12	REQUESTED 2012-13	LEGISLATIVE RECOMMENDATION 2012-13
REGULAR POSITIONS	46	66	66	66	66
TOBACCO POSITIONS					
EXTRA HELP ***	2	25	150	150	150

*Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

*** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

**APPROPRIATION ACT FORM - CASH FUNDS
FISCAL YEAR 2013**

FUND 2150000

INSTITUTION PHILLIPS COMMUNITY COLLEGE UA (STUTTART)

APPROPRIATION A81

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12**	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
				2012-13	2012-13
1 REGULAR SALARIES	221,541	1,100,000	1,100,000	1,100,000	1,100,000
2 EXTRA HELP WAGES	59,491	250,000	250,000	250,000	250,000
3 PERSONAL SERVICES MATCHING	103,134	350,000	350,000	350,000	350,000
4 OVERTIME	33,863	70,000	70,000	70,000	70,000
5 OPERATING EXPENSES	26,480	1,150,000	1,150,000	1,150,000	1,150,000
6 CONFERENCE FEES & TRAVEL	12,015	50,000	50,000	50,000	50,000
7 PROFESSIONAL FEES AND SERVICES	204,890	50,000	50,000	250,000	50,000
8 CAPITAL OUTLAY	145,622	250,000	250,000	250,000	250,000
9 CAPITAL IMPROVEMENTS	6,909,794	5,000,000	5,000,000	5,000,000	5,000,000
10 DEBT SERVICE		1,000,000	1,000,000	1,000,000	1,000,000
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS					
12					
13					
14					
15					
16 TOTAL APPROPRIATION	\$7,716,830	\$9,270,000	\$9,270,000	\$9,470,000	\$9,270,000
17 PRIOR YEAR FUND BALANCE*					
18 LOCAL CASH FUNDS	2,751,162	1,145,274		1,145,274	1,145,274
19 FEDERAL CASH FUNDS	5,569,401	2,250,000		2,250,000	2,250,000
20 OTHER CASH FUNDS		5,874,726		6,074,726	6,074,726
21 TOTAL INCOME	\$8,320,563	\$9,270,000		\$9,470,000	\$9,470,000
22 EXCESS (FUNDING)/APPROPRIATION	(\$603,733)	\$0		\$0	(\$200,000)

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED 2011-12	REQUESTED 2012-13	LEGISLATIVE RECOMMENDATION 2012-13
REGULAR POSITIONS	53	70	71	71	71
TOBACCO POSITIONS					
EXTRA HELP ***	4	30	150	150	150

*Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

*** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

PHILLIPS COMMUNITY COLLEGE UA
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2011-12:						<u>228</u>
(As of November 1, 2011)						
Nonclassified Administrative Employees:						
White Male:	<u>7</u>	Black Male:	<u>3</u>	Other Male:	<u>0</u>	Total Male: <u>10</u>
White Female:	<u>25</u>	Black Female:	<u>15</u>	Other Female:	<u>0</u>	Total Female: <u>40</u>
Nonclassified Health Care Employees:						
White Male:	<u> </u>	Black Male:	<u> </u>	Other Male:	<u> </u>	Total Male: <u>0</u>
White Female:	<u> </u>	Black Female:	<u> </u>	Other Female:	<u> </u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>19</u>	Black Male:	<u>18</u>	Other Male:	<u> </u>	Total Male: <u>37</u>
White Female:	<u>47</u>	Black Female:	<u>22</u>	Other Female:	<u> </u>	Total Female: <u>69</u>
Faculty:						
White Male:	<u>17</u>	Black Male:	<u>2</u>	Other Male:	<u>1</u>	Total Male: <u>20</u>
White Female:	<u>44</u>	Black Female:	<u>7</u>	Other Female:	<u>1</u>	Total Female: <u>52</u>
Total White Male:	<u>43</u>	Total Black Male:	<u>23</u>	Total Other Male:	<u>1</u>	Total Male: <u>67</u>
Total White Female:	<u>116</u>	Total Black Female:	<u>44</u>	Total Other Female:	<u>1</u>	Total Female: <u>161</u>
Total White:	<u>159</u>	Total Black:	<u>67</u>	Total Other:	<u>2</u>	Total Employees: <u>228</u>
				Total Minority:	<u>69</u>	

FORM 12-8

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$25,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2011
 Required by A.C.A. 25-36-104

Institution **PHILLIPS COMMUNITY COLLEGE UA**

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
DRS Services Support	\$25,000			X			
Akins Janitorial	\$99,000	X					
Quality Security Services	\$100,000				X		

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 3

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$650,000

% OF MINORITY CONTRACTS AWARDED 22%

**DIVISION OF LEGISLATIVE AUDIT
AUDIT OF Phillips Community College of UA
June 30, 2010**

<i>Finding:</i>	No findings noted
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**INSTITUTION APPROPRIATION SUMMARY
FISCAL YEAR 2013**

INSTITUTION Pulaski Technical College

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
	2010-11		2011-12		2011-12		2012-13			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	17,146,054		16,435,690		17,601,596		23,110,031		23,110,031	
2 CASH	108,400,403		205,375,520		205,375,520		398,200,000		398,200,000	
3										
4										
5										
6										
7										
8										
9										
10										
11 TOTAL	\$125,546,457	722	\$221,811,210	835	\$222,977,116	950	\$421,310,031	950	\$421,310,031	950
FUNDING SOURCES		%		%				%		%
12 PRIOR YEAR FUND BALANCE*	12,009,613	10%	3,605,044	2%				0%		0%
13 GENERAL REVENUE	14,308,659	12%	14,308,659	6%			20,983,000	5%	20,983,000	5%
14 EDUCATIONAL EXCELLENCE TRUST FUND		0%		0%				0%		0%
15 WORKFORCE 2000	2,181,697	2%	2,127,031	1%			2,127,031	1%	2,127,031	1%
16 CASH FUNDS	29,572,098	24%	30,426,869	14%			32,345,000	8%	32,345,000	8%
17 SPECIAL REVENUES		0%		0%				0%		0%
18 FEDERAL FUNDS	56,290,595	46%	162,843,607	73%			356,855,000	85%	356,855,000	85%
19 TOBACCO SETTLEMENT FUNDS		0%		0%				0%		0%
20 OTHER FUNDS	7,578,751	6%	8,500,000	4%			9,000,000	2%	9,000,000	2%
21 TOTAL INCOME	\$121,941,413	100%	\$221,811,210	100%			\$421,310,031	100%	\$421,310,031	100%
22 EXCESS (FUNDING)/APPROPRIATION	\$3,605,044		\$0				\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2011:	\$14,958,959
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$2,029,184
INVENTORIES	\$26,223
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	\$677,468
INSURANCE DEDUCTIBLES	\$10,000
MAJOR CRITICAL SYSTEMS FAILURES	\$200,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$6,298,762
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.) debt service \$2,054,440 & one-time \$6000	\$2,654,440
OTHER (FOOTNOTE BELOW) Operating Exp Bistro & Finish Line	\$250,000
UNRESERVED EDUCATIONAL & GENEREAL FUND BALANCE	\$2,812,882

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
FISCAL YEAR 2013**

FUND CTP0000

INSTITUTION Pulaski Technical College

APPROPRIATION 734

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12***	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
				2012-13	2012-13
1 REGULAR SALARIES	17,146,054	16,435,690	17,601,596	23,110,031	19,224,790
2 EXTRA HELP WAGES					
3 PERSONAL SERVICES MATCHING					
4 OVERTIME					
5 OPERATING EXPENSES					
6 CONFERENCE FEES & TRAVEL					
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)					
8 CAPITAL OUTLAY					
9 FUNDED DEPRECIATION					
10					
11					
12					
13 TOTAL APPROPRIATION	\$17,146,054	\$16,435,690	\$17,601,596	\$23,110,031	\$19,224,790
14 PRIOR YEAR FUND BALANCE**	655,698				
15 GENERAL REVENUE	14,308,659	14,308,659		20,983,000	20,983,000
16 EDUCATIONAL EXCELLENCE TRUST FUND					
17 SPECIAL REVENUES * [WF2000]	2,181,697	2,127,031		2,127,031	2,127,031
18 FEDERAL FUNDS IN STATE TREASURY					
19 TOBACCO SETTLEMENT FUNDS					
20 OTHER STATE TREASURY FUNDS					
21 TOTAL INCOME	\$17,146,054	\$16,435,690		\$23,110,031	\$23,110,031
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	(\$3,885,241)

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

***Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

FORM 12-4

**APPROPRIATION ACT FORM - CASH FUNDS
FISCAL YEAR 2013**

FUND 2930000

INSTITUTION Pulaski Technical College

APPROPRIATION B66

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12**	INSTITUTIONAL REQUEST /	LEGISLATIVE
				AHECB RECOMMENDATION 2012-13	LEGISLATIVE RECOMMENDATION 2012-13
1 REGULAR SALARIES	6,326,907	17,776,720	17,776,720	18,800,000	17,776,720
2 EXTRA HELP WAGES	1,415,056	2,200,000	2,200,000	3,500,000	2,400,000
3 PERSONAL SERVICES MATCHING	6,122,578	10,080,800	10,080,800	25,000,000	10,080,800
4 OVERTIME	9,083	100,000	100,000	100,000	110,000
5 OPERATING EXPENSES	86,716,536	109,945,000	110,000,000	250,100,000	117,000,000
6 CONFERENCE FEES & TRAVEL	385,001	575,000	575,000	700,000	600,000
7 PROFESSIONAL FEES AND SERVICES	855,471	4,000,000	4,000,000	7,000,000	4,000,000
8 CAPITAL OUTLAY	2,679,382	3,500,000	3,500,000	8,000,000	3,500,000
9 CAPITAL IMPROVEMENTS		50,000,000	50,000,000	75,000,000	50,000,000
10 DEBT SERVICE	3,870,171	7,143,000	7,143,000	10,000,000	7,143,000
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS					
12 PROMOTIONAL ITEMS	20,218	55,000			
13					
14					
15					
16 TOTAL APPROPRIATION	\$108,400,403	\$205,375,520	\$205,375,520	\$398,200,000	\$212,610,520
17 PRIOR YEAR FUND BALANCE*	11,353,915	3,605,044			
18 LOCAL CASH FUNDS	29,572,098	30,426,869		32,345,000	32,345,000
19 FEDERAL CASH FUNDS	56,290,595	162,843,607		356,855,000	356,855,000
20 OTHER CASH FUNDS	7,578,751	8,500,000		9,000,000	9,000,000
21 TOTAL INCOME	\$104,795,359	\$205,375,520		\$398,200,000	\$398,200,000
22 EXCESS (FUNDING)/APPROPRIATION	\$3,605,044	\$0		\$0	(\$185,589,480)

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED 2011-12	REQUESTED 2012-13	LEGISLATIVE RECOMMENDATION 2012-13
REGULAR POSITIONS	722	835	950	950	950
TOBACCO POSITIONS	0	0	0	0	
EXTRA HELP ***	217	300	300	300	300

*Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

*** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

Pulaski Technical College

(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2011-12:						<u>412</u>
						(As of November 1, 2011)
Nonclassified Administrative Employees:						
White Male:	<u>37</u>	Black Male:	<u>11</u>	Other Male:	<u>2</u>	Total Male: <u>50</u>
White Female:	<u>60</u>	Black Female:	<u>20</u>	Other Female:	<u>0</u>	Total Female: <u>80</u>
Nonclassified Health Care Employees:						
White Male:	<u> </u>	Black Male:	<u> </u>	Other Male:	<u> </u>	Total Male: <u>0</u>
White Female:	<u> </u>	Black Female:	<u> </u>	Other Female:	<u> </u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>28</u>	Black Male:	<u>13</u>	Other Male:	<u>0</u>	Total Male: <u>41</u>
White Female:	<u>44</u>	Black Female:	<u>23</u>	Other Female:	<u>4</u>	Total Female: <u>71</u>
Faculty:						
White Male:	<u>77</u>	Black Male:	<u>3</u>	Other Male:	<u>2</u>	Total Male: <u>82</u>
White Female:	<u>77</u>	Black Female:	<u>10</u>	Other Female:	<u>1</u>	Total Female: <u>88</u>
Total White Male:	<u>142</u>	Total Black Male:	<u>27</u>	Total Other Male:	<u>4</u>	Total Male: <u>173</u>
Total White Female:	<u>181</u>	Total Black Female:	<u>53</u>	Total Other Female:	<u>5</u>	Total Female: <u>239</u>
Total White:	<u>323</u>	Total Black:	<u>80</u>	Total Other:	<u>9</u>	Total Employees: <u>412</u>
				Total Minority:	<u>89</u>	

FORM 12-8

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$25,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2011
 Required by A.C.A. 25-36-104

Institution Pulaski Technical College

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
Goddess Office Products	\$57,350	X					

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 1

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$11,222,835

% OF MINORITY CONTRACTS AWARDED 1%

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF Pulaski Technical College
June 30, 2010

<p><i>Finding No. 1:</i></p>	<p>Financial statements should be presented fairly in conformity with generally accepted accounting principles as prescribed by the Governmental Accounting Standards Board (GASB). The College's internal control system did not detect or prevent material misstatements in the financial statements. A similar finding was reported in the previous audit. Key errors in the Comparative Statement of Net Assets, the Comparative Statement of Revenues, Expenses and Changes in Net Assets, the Comparative Statement of Cash Flows, and the Notes to the Financial Statements included:</p> <p>Comparative Statement of Net Assets</p> <ul style="list-style-type: none"> a) Current and noncurrent deposits with trustees were misclassified by \$39,163. b) Accounts payable and accrued liabilities were understated by \$644,568 due to bond interest not being accrued. c) Current cash and cash equivalents were understated and noncurrent cash and cash equivalents were overstated by \$253,390 due to the recognition of construction accounts payable. d) Invested in capital assets, net of related debt was overstated and restricted net assets were understated by \$734,678 due to various misclassification errors. <p>Comparative Statement of Revenues, Expenses and Changes in Net Assets</p> <ul style="list-style-type: none"> a) Interest on capital asset-related debt was overstated and other expenses were understated by \$70,574 due to
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**DIVISION OF LEGISLATIVE AUDIT
AUDIT OF Pulaski Technical College
June 30, 2010**

	<p>misclassification of amortized issuance costs and debt fees.</p> <p>b) Due to a classification error, investment income was understated by \$70,951, which caused other operating revenues and other non-operating revenues to be overstated by \$67,495 and \$3,456, respectively.</p> <p>Comparative Statement of Cash Flows</p> <p>There was an overstatement of \$3,537,412 relating to various accounts, with a corresponding understatement in other accounts (misclassification errors did not affect total cash). Errors primarily pertained to the amounts reported for capital appropriations, capital grants, and bond proceeds from Act 1282 of 2005.</p> <p>Notes to the Financial Statements</p> <p>The commitment note amount was overstated by \$55,689 due to current year payments on construction contracts not being considered.</p> <p>The financial statements were corrected for the above errors during the audit fieldwork.</p>
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**DIVISION OF LEGISLATIVE AUDIT
 AUDIT OF Pulaski Technical College
 June 30, 2010**

<p><i>Institution's Response:</i></p>	<p>Comparative Statement of Net Assets</p> <ul style="list-style-type: none"> a) The College will keep in mind at the end of each fiscal year to separate the bond funds from the debt service reserve fund for all deposits held with trustees. b) Accrued interest payable on bonds was not recorded in the past, not because we were not aware of it, but because we considered it immaterial. It is added in one year and then reversed out the next fiscal year. From now on we will include it. c) The College will do a better job on classifying current and non-current accounts payable. d) The College will be more diligent when requesting reimbursements after fiscal year end to include them as part of the restricted expendable assets in the previous fiscal year. <p>Comparative Statement of Revenues, Expenses and Changes in Net Assets</p> <ul style="list-style-type: none"> a) In the future, the College will list as other expense, items not related to bonds. b) In the early years of GASB 35 implementation, the College was separating interest income between operating and non-operating. That is clearly no longer the case and we will include it all as investment income under non-operating revenues.
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DIVISION OF LEGISLATIVE AUDIT
AUDIT OF Pulaski Technical College
June 30, 2010

	<p>Comparative Statement of Cash Flows</p> <p>Some of these errors were due to the description of the line items; and others were due because some items were not netted or separated out from a line item. The College always intends to comply.</p> <p>Notes to the Financial Statements</p> <p>These figures will be double checked to assure accuracy and more attention will be paid to the effective date of any change orders.</p>
<p><i>Finding No. 2:</i></p>	<p>Password Controls - The College's password controls do not meet minimum standards in that passwords are not required to contain a mixture of alpha and numeric characters. Failure to establish proper password controls increases the likelihood that an unauthorized person could gain access to the system.</p>
<p><i>Institution's Response:</i></p>	<p>The vendor has submitted instructions to our IT department to change passwords to alpha numeric to meet the minimum standards. The IT department has started the process, but due to staffing issues, the process is not complete.</p>

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**INSTITUTION APPROPRIATION SUMMARY
FISCAL YEAR 2013**

INSTITUTION Rich Mountain Community College

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
	2010-11		2011-12		2011-12		2012-13			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	3,454,516		3,392,200		3,480,591		3,392,200		3,392,200	
2 CASH	3,458,279		9,614,800		9,614,800		9,614,800		9,614,800	
3										
4										
5										
6										
7										
8										
9										
10										
11 TOTAL	\$6,912,795	74	\$13,007,000	75	\$13,095,391	130	\$13,007,000	130	\$13,007,000	130
FUNDING SOURCES		%		%				%		%
12 PRIOR YEAR FUND BALANCE*	70,094	1%		0%				0%		0%
13 GENERAL REVENUE	3,201,251	46%	3,201,251	25%			3,201,251	25%	3,201,251	25%
14 EDUCATIONAL EXCELLENCE TRUST FUND	183,171	3%	190,949	1%			190,949	1%	190,949	1%
15 WORKFORCE 2000		0%		0%				0%		0%
16 CASH FUNDS	3,458,279	50%	9,614,800	74%			9,614,800	74%	9,614,800	74%
17 SPECIAL REVENUES		0%		0%				0%		0%
18 FEDERAL FUNDS		0%		0%				0%		0%
19 TOBACCO SETTLEMENT FUNDS		0%		0%				0%		0%
20 OTHER FUNDS		0%		0%				0%		0%
21 TOTAL INCOME	\$6,912,795	100%	\$13,007,000	100%			\$13,007,000	100%	\$13,007,000	100%
22 EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2011:	\$2,066,463
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$150,000
INVENTORIES	\$10,000
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$20,000
MAJOR CRITICAL SYSTEMS FAILURES	\$750,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$800,000
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$250,000
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$86,463

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
FISCAL YEAR 2013**

FUND CWR0000

INSTITUTION Rich Mountain Community College

APPROPRIATION _____ 112

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12***	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
				2012-13	2012-13
1 REGULAR SALARIES	2,350,000	2,333,763	2,375,000	2,333,763	2,430,000
2 EXTRA HELP WAGES	30,000	25,000	30,000	25,000	35,000
3 PERSONAL SERVICES MATCHING	595,116	500,000	500,000	500,000	525,000
4 OVERTIME	0	0	0	0	0
5 OPERATING EXPENSES	459,400	470,000	470,000	470,000	500,000
6 CONFERENCE FEES & TRAVEL	20,000	23,437	25,591	23,437	30,658
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)	0	0	0	0	0
8 CAPITAL OUTLAY	0	40,000	80,000	40,000	100,000
9 FUNDED DEPRECIATION	0	0	0	0	0
10					
11					
12					
13 TOTAL APPROPRIATION	\$3,454,516	\$3,392,200	\$3,480,591	\$3,392,200	\$3,620,658
14 PRIOR YEAR FUND BALANCE**	70,094				
15 GENERAL REVENUE	3,201,251	3,201,251		3,201,251	3,201,251
16 EDUCATIONAL EXCELLENCE TRUST FUND	183,171	190,949		190,949	190,949
17 SPECIAL REVENUES * [WF2000]					
18 FEDERAL FUNDS IN STATE TREASURY					
19 TOBACCO SETTLEMENT FUNDS					
20 OTHER STATE TREASURY FUNDS					
21 TOTAL INCOME	\$3,454,516	\$3,392,200		\$3,392,200	\$3,392,200
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$228,458

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

***Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

**APPROPRIATION ACT FORM - CASH FUNDS
FISCAL YEAR 2013**

FUND 219000

INSTITUTION Rich Mountain Community College

APPROPRIATION A26

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12**	INSTITUTIONAL REQUEST /	LEGISLATIVE
				AHECB RECOMMENDATION 2012-13	LEGISLATIVE RECOMMENDATION 2012-13
1 REGULAR SALARIES	1,413,190	3,666,541	3,666,541	3,667,142	3,813,202
2 EXTRA HELP WAGES	211,468	228,800	228,800	253,000	237,952
3 PERSONAL SERVICES MATCHING	412,610	1,556,058	1,556,058	1,556,058	1,618,301
4 OVERTIME	0	0	0	0	0
5 OPERATING EXPENSES	1,331,732	2,135,401	2,135,401	2,200,000	2,220,817
6 CONFERENCE FEES & TRAVEL	82,412	353,600	353,600	353,600	367,744
7 PROFESSIONAL FEES AND SERVICES	6,867	10,400	10,400	15,000	10,816
8 CAPITAL OUTLAY	0	676,000	676,000	550,000	703,040
9 CAPITAL IMPROVEMENTS	0	780,000	780,000	670,000	811,200
10 DEBT SERVICE	0	208,000	208,000	350,000	216,320
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS	0	0	0		
12					
13					
14					
15					
16 TOTAL APPROPRIATION	\$3,458,279	\$9,614,800	\$9,614,800	\$9,614,800	\$9,999,392
17 PRIOR YEAR FUND BALANCE*					
18 LOCAL CASH FUNDS	947,820	1,942,720		1,942,720	1,942,720
19 FEDERAL CASH FUNDS	1,895,210	2,562,560		2,562,560	2,562,560
20 OTHER CASH FUNDS	615,249	5,109,520		5,109,520	5,109,520
21 TOTAL INCOME	\$3,458,279	\$9,614,800		\$9,614,800	\$9,614,800
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$384,592

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED 2011-12	REQUESTED 2012-13	LEGISLATIVE RECOMMENDATION 2012-13
REGULAR POSITIONS	74	75	130	130	130
TOBACCO POSITIONS					
EXTRA HELP ***	60	80	80	80	80

*Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

*** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

Rich Mountain Community College
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2011-12:					74			
(As of November 1, 2011)								
Nonclassified Administrative Employees:						Total	Male:	8
White Male:	8	Black Male:		Other Male:		Total	Female:	25
White Female:	25	Black Female:		Other Female:				
Nonclassified Health Care Employees:						Total	Male:	0
White Male:		Black Male:		Other Male:		Total	Female:	0
White Female:		Black Female:		Other Female:				
Classified Employees:						Total	Male:	7
White Male:	7	Black Male:		Other Male:		Total	Female:	14
White Female:	14	Black Female:		Other Female:				
Faculty:						Total	Male:	7
White Male:	7	Black Male:		Other Male:		Total	Female:	13
White Female:	13	Black Female:		Other Female:				
Total White Male:	22	Total Black Male:	0	Total Other Male:	0	Total	Male:	22
Total White Female:	52	Total Black Female:	0	Total Other Female:	0	Total	Female:	52
Total White:	74	Total Black:	0	Total Other:	0	Total	Employees:	74
				Total Minority:	0			

FORM 12-8

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$25,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2011
 Required by A.C.A. 25-36-104

Institution **Rich Mountain Community College**

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
None	\$0						

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED	<u>0</u>
TOTAL EXPENDITURES ON CONTRACTS AWARDED	<u>\$1,023,887</u>
% OF MINORITY CONTRACTS AWARDED	<u>0%</u>

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF Rich Mountain Community College
June 30, 2010

<i>Finding</i>	During our review of student enrollment data supporting documentation, we noted one student reported as enrolled who had actually withdrawn from Summer I 2010 classes prior to the census date. Additionally, we noted one student reported as enrolled who withdrew from Spring 2010 classes, but the date of withdrawal was not documented and could not be determined.
<i>Response</i>	We have researched this issue and concur with the audit finding. The issue is a result of unintentional human error. Working with our IT department, we are implementing an electronic procedure to ensure accurate information and amounts reported. We are also working to develop electronic systems that will eventually eliminate paper documents, and thus reduce human data entry error.

**INSTITUTION APPROPRIATION SUMMARY
FISCAL YEAR 2013**

INSTITUTION SOUTH ARKANSAS COMMUNITY COLLEGE

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
	2010-11		2011-12		2011-12		2012-13			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	6,913,578		6,999,086		7,072,803		6,920,677		6,920,677	
2 CASH	11,545,093		23,871,580		23,871,580		23,871,580		23,871,580	
3										
4										
5										
6										
7										
8										
9										
10										
11 TOTAL	\$18,458,671	207	\$30,870,666	330	\$30,944,383	330	\$30,792,257	330	\$30,792,257	330
FUNDING SOURCES		%		%				%		%
12 PRIOR YEAR FUND BALANCE*	0	0%	78,409	0%				0%		0%
13 GENERAL REVENUE	5,994,317	32%	5,994,317	19%			5,994,317	19%	5,994,317	19%
14 EDUCATIONAL EXCELLENCE TRUST FUND	474,594	3%	494,747	2%			494,747	2%	494,747	2%
15 WORKFORCE 2000	442,705	2%	431,613	1%			431,613	1%	431,613	1%
16 CASH FUNDS	5,376,275	29%	4,429,902	14%			4,635,750	15%	4,635,750	15%
17 SPECIAL REVENUES		0%		0%				0%		0%
18 FEDERAL FUNDS	2,684,011	15%	3,696,400	12%			3,696,400	12%	3,696,400	12%
19 TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%
20 OTHER FUNDS	3,486,769	19%	15,745,278	51%			15,539,430	50%	15,539,430	50%
21 TOTAL INCOME	\$18,458,671	100%	\$30,870,666	100%			\$30,792,257	100%	\$30,792,257	100%
22 EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2011:	\$2,034,870
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$243,602
INVENTORIES	\$20,039
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$11,000
MAJOR CRITICAL SYSTEMS FAILURES	\$150,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$1,355,316
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$254,913

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
FISCAL YEAR 2013**

FUND CWS0000

INSTITUTION SOUTH ARKANSAS COMMUNITY COLLEGE

APPROPRIATION _____ 793

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12***	INSTITUTIONAL REQUEST /	LEGISLATIVE
				AHECB RECOMMENDATION 2012-13	RECOMMENDATION 2012-13
1 REGULAR SALARIES	3,831,461	4,630,000	4,630,000	4,680,000	4,680,000
2 EXTRA HELP WAGES	84,000	79,000	79,000	75,000	75,000
3 PERSONAL SERVICES MATCHING	1,428,258	1,327,000	1,327,000	1,336,000	1,336,000
4 OVERTIME					
5 OPERATING EXPENSES	1,534,859	943,086	1,016,803	809,677	1,223,946
6 CONFERENCE FEES & TRAVEL	15,000	10,000	10,000	10,000	10,000
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)					
8 CAPITAL OUTLAY	20,000	10,000	10,000	10,000	10,000
9 FUNDED DEPRECIATION					
10					
11					
12					
13 TOTAL APPROPRIATION	\$6,913,578	\$6,999,086	\$7,072,803	\$6,920,677	\$7,334,946
14 PRIOR YEAR FUND BALANCE**		78,409			
15 GENERAL REVENUE	5,994,317	5,994,317		5,994,317	5,994,317
16 EDUCATIONAL EXCELLENCE TRUST FUND	474,594	494,747		494,747	494,747
17 SPECIAL REVENUES * [WF2000]	442,705	431,613		431,613	431,613
18 FEDERAL FUNDS IN STATE TREASURY					
19 TOBACCO SETTLEMENT FUNDS					
20 OTHER STATE TREASURY FUNDS	1,962				
21 TOTAL INCOME	\$6,913,578	\$6,999,086		\$6,920,677	\$6,920,677
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$414,269

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

***Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

Other State Treasury Funds: Tuition Adjustment Funds

**APPROPRIATION ACT FORM - CASH FUNDS
FISCAL YEAR 2013**

FUND 2210000

INSTITUTION SOUTH ARKANSAS COMMUNITY COLLEGE

APPROPRIATION B78

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12**	INSTITUTIONAL REQUEST /	LEGISLATIVE
				AHECB RECOMMENDATION 2012-13	LEGISLATIVE RECOMMENDATION 2012-13
1 REGULAR SALARIES	3,386,291	3,997,169	3,997,169	3,997,169	3,997,169
2 EXTRA HELP WAGES	181,471	304,500	304,500	304,500	304,500
3 PERSONAL SERVICES MATCHING	1,055,581	1,870,009	1,870,009	1,870,009	1,870,009
4 OVERTIME	640	27,075	27,075	27,075	27,075
5 OPERATING EXPENSES	2,977,408	3,457,996	3,457,996	3,457,996	3,457,996
6 CONFERENCE FEES & TRAVEL	142,070	378,640	378,640	378,640	378,640
7 PROFESSIONAL FEES AND SERVICES	271,754	498,000	498,000	498,000	498,000
8 CAPITAL OUTLAY	107,915	575,000	575,000	575,000	575,000
9 CAPITAL IMPROVEMENTS	3,332,535	11,113,491	11,113,491	11,113,491	11,113,491
10 DEBT SERVICE	58,588	500,000	500,000	500,000	500,000
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS	11,580	1,129,700	1,129,700	1,129,700	1,129,700
12 PROMOTIONAL ITEMS	19,260	20,000	20,000	20,000	20,000
13					
14					
15					
16 TOTAL APPROPRIATION	\$11,545,093	\$23,871,580	\$23,871,580	\$23,871,580	\$23,871,580
17 PRIOR YEAR FUND BALANCE*					
18 LOCAL CASH FUNDS	5,376,275	4,429,902		4,635,750	4,635,750
19 FEDERAL CASH FUNDS	2,684,011	3,696,400		3,696,400	3,696,400
20 OTHER CASH FUNDS	3,484,807	15,745,278		15,539,430	15,539,430
21 TOTAL INCOME	\$11,545,093	\$23,871,580		\$23,871,580	\$23,871,580
22 EXCESS (FUNDING)/APPROPRIATION	(\$0)	\$0		\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED 2011-12	REQUESTED 2012-13	LEGISLATIVE RECOMMENDATION 2012-13
REGULAR POSITIONS	207	330	330	330	330
TOBACCO POSITIONS					
EXTRA HELP ***	175	175	175	175	175

*Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

*** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

South Arkansas Community College
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2011-12:					<u>168</u>			
(As of November 1, 2011)								
Nonclassified Administrative Employees:						Total	Male:	<u>20</u>
White Male:	<u>16</u>	Black Male:	<u>3</u>	Other Male:	<u>1</u>	Total	Female:	<u>30</u>
White Female:	<u>28</u>	Black Female:	<u>1</u>	Other Female:	<u>1</u>			
Nonclassified Health Care Employees:						Total	Male:	<u>0</u>
White Male:	<u> </u>	Black Male:	<u> </u>	Other Male:	<u> </u>	Total	Female:	<u>0</u>
White Female:	<u> </u>	Black Female:	<u> </u>	Other Female:	<u> </u>			
Classified Employees:						Total	Male:	<u>20</u>
White Male:	<u>11</u>	Black Male:	<u>9</u>	Other Male:	<u>0</u>	Total	Female:	<u>37</u>
White Female:	<u>30</u>	Black Female:	<u>6</u>	Other Female:	<u>1</u>			
Faculty:						Total	Male:	<u>17</u>
White Male:	<u>16</u>	Black Male:	<u>1</u>	Other Male:	<u> </u>	Total	Female:	<u>44</u>
White Female:	<u>38</u>	Black Female:	<u>6</u>	Other Female:	<u> </u>			
Total White Male:	<u>43</u>	Total Black Male:	<u>13</u>	Total Other Male:	<u>1</u>	Total	Male:	<u>57</u>
Total White Female:	<u>96</u>	Total Black Female:	<u>13</u>	Total Other Female:	<u>2</u>	Total	Female:	<u>111</u>
Total White:	<u>139</u>	Total Black:	<u>26</u>	Total Other:	<u>3</u>	Total	Employees:	<u>168</u>
				Total Minority:	<u>29</u>			

FORM 12-8

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$25,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2011
 Required by A.C.A. 25-36-104

Institution South Arkansas Community College

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
NONE							

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0
 TOTAL EXPENDITURES ON CONTRACTS AWARDED \$160,080
 % OF MINORITY CONTRACTS AWARDED 0%

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF South Arkansas Community College
June 30, 2010

<i>Finding:</i>	No findings noted
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**INSTITUTION APPROPRIATION SUMMARY
FISCAL YEAR 2013**

INSTITUTION SOUTHERN ARKANSAS UNIVERSITY TECH

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
	2010-11		2011-12		2011-12		2012-13			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	5,799,609		5,806,652		6,124,612		6,356,711		6,356,711	
2 CASH	12,084,146		17,740,000		17,740,000		17,740,000		17,740,000	
3										
4										
5										
6										
7										
8										
9										
10										
11 TOTAL	\$17,883,755	208	\$23,546,652	209	\$23,864,612	231	\$24,096,711	231	\$24,096,711	231
FUNDING SOURCES		%		%				%		%
12 PRIOR YEAR FUND BALANCE*		0%		0%				0%		0%
13 GENERAL REVENUE	5,611,615	31%	5,611,615	24%			6,161,674	26%	6,161,674	26%
14 EDUCATIONAL EXCELLENCE TRUST FUND	187,092	1%	195,037	1%			195,037	1%	195,037	1%
15 WORKFORCE 2000		0%		0%				0%		0%
16 CASH FUNDS	6,144,914	34%	10,740,000	46%			10,740,000	45%	10,740,000	45%
17 SPECIAL REVENUES		0%		0%				0%		0%
18 FEDERAL FUNDS	5,939,232	33%	7,000,000	30%			7,000,000	29%	7,000,000	29%
19 TOBACCO SETTLEMENT FUNDS		0%		0%				0%		0%
20 OTHER FUNDS	902	0%		0%				0%		0%
21 TOTAL INCOME	\$17,883,755	100%	\$23,546,652	100%			\$24,096,711	100%	\$24,096,711	100%
22 EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2011:	\$3,524,832
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$133,617
INVENTORIES	\$11,565
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	\$100,000
INSURANCE DEDUCTIBLES	\$40,000
MAJOR CRITICAL SYSTEMS FAILURES	\$200,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$1,200,000
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$200,000
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$1,639,650

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
FISCAL YEAR 2013**

FUND CSS0000

INSTITUTION SOUTHERN ARKANSAS UNIVERSITY TECH

APPROPRIATION 294

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12***	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
				2012-13	2012-13
1 REGULAR SALARIES	3,617,453	3,819,994	3,821,591	3,966,414	4,100,142
2 EXTRA HELP WAGES					
3 PERSONAL SERVICES MATCHING	1,000,000	1,000,000	1,056,432	1,096,467	1,133,433
4 OVERTIME					
5 OPERATING EXPENSES	1,002,156	828,554	1,056,431	1,096,466	1,133,433
6 CONFERENCE FEES & TRAVEL	55,000	58,104	58,104	60,306	62,339
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)					
8 CAPITAL OUTLAY	125,000	100,000	132,054	137,058	141,680
9 FUNDED DEPRECIATION					
10					
11					
12					
13 TOTAL APPROPRIATION	\$5,799,609	\$5,806,652	\$6,124,612	\$6,356,711	\$6,571,027
14 PRIOR YEAR FUND BALANCE**					
15 GENERAL REVENUE	5,611,615	5,611,615		6,161,674	6,161,674
16 EDUCATIONAL EXCELLENCE TRUST FUND	187,092	195,037		195,037	195,037
17 SPECIAL REVENUES * [WF2000]					
18 FEDERAL FUNDS IN STATE TREASURY					
19 TOBACCO SETTLEMENT FUNDS					
20 OTHER STATE TREASURY FUNDS	902				
21 TOTAL INCOME	\$5,799,609	\$5,806,652		\$6,356,711	\$6,356,711
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$214,316

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

***Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

Other State Treasury Funds include Tuition Adjustment Funds of \$902

FORM 12-4

**APPROPRIATION ACT FORM - CASH FUNDS
FISCAL YEAR 2013**

FUND 2170000

INSTITUTION SOUTHERN ARKANSAS UNIVERSITY TECH

APPROPRIATION A65

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12**	INSTITUTIONAL REQUEST /	LEGISLATIVE
				AHECB RECOMMENDATION 2012-13	LEGISLATIVE RECOMMENDATION 2012-13
1 REGULAR SALARIES	2,887,502	4,468,478	4,468,478	3,400,000	4,468,478
2 EXTRA HELP WAGES	88,929	845,384	595,384	300,000	595,384
3 PERSONAL SERVICES MATCHING	889,737	1,741,359	1,741,359	1,200,000	1,741,359
4 OVERTIME					
5 OPERATING EXPENSES	3,359,027	5,435,869	4,585,708	3,515,000	4,585,708
6 CONFERENCE FEES & TRAVEL	62,500	538,768	333,768	125,000	333,768
7 PROFESSIONAL FEES AND SERVICES	75,430	219,384	218,384	400,000	218,384
8 CAPITAL OUTLAY	108,952	358,151	358,151	250,000	358,151
9 CAPITAL IMPROVEMENTS	1,730,311	1,000,000	2,000,000	5,000,000	2,000,000
10 DEBT SERVICE	188,146	438,768	438,768	550,000	438,768
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS	2,693,612	2,693,839	3,000,000	3,000,000	3,000,000
12					
13					
14					
15					
16 TOTAL APPROPRIATION	\$12,084,146	\$17,740,000	\$17,740,000	\$17,740,000	\$17,740,000
17 PRIOR YEAR FUND BALANCE*					
18 LOCAL CASH FUNDS	6,144,914	8,740,000		8,740,000	8,740,000
19 FEDERAL CASH FUNDS	5,939,232	7,000,000		7,000,000	7,000,000
20 OTHER CASH FUNDS		2,000,000		2,000,000	2,000,000
21 TOTAL INCOME	\$12,084,146	\$17,740,000		\$17,740,000	\$17,740,000
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED 2011-12	REQUESTED 2012-13	LEGISLATIVE RECOMMENDATION 2012-13
REGULAR POSITIONS	208	209	231	231	231
TOBACCO POSITIONS					
EXTRA HELP ***	175	175	175	175	175

*Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

*** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

SOUTHERN ARKANSAS UNIVERSITY TECH
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2011-12:					149			
(As of November 1, 2011)								
Nonclassified Administrative Employees:						Total	Male:	15
White Male:	10	Black Male:	5	Other Male:		Total	Female:	22
White Female:	13	Black Female:	9	Other Female:				
Nonclassified Health Care Employees:						Total	Male:	0
White Male:		Black Male:		Other Male:		Total	Female:	0
White Female:		Black Female:		Other Female:				
Classified Employees:						Total	Male:	26
White Male:	19	Black Male:	7	Other Male:		Total	Female:	42
White Female:	26	Black Female:	15	Other Female:	1			
Faculty:						Total	Male:	20
White Male:	19	Black Male:	1	Other Male:		Total	Female:	24
White Female:	21	Black Female:	3	Other Female:				
Total White Male:	48	Total Black Male:	13	Total Other Male:	0	Total	Male:	61
Total White Female:	60	Total Black Female:	27	Total Other Female:	1	Total	Female:	88
Total White:	108	Total Black:	40	Total Other:	1	Total	Employees:	149
				Total Minority:	41			

FORM 12-8

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$25,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2011
 Required by A.C.A. 25-36-104

Institution : SOUTHERN ARKANSAS UNIVERSITY TECH

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
NONE							

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED _____

% OF MINORITY CONTRACTS AWARDED 0%

**DIVISION OF LEGISLATIVE AUDIT
AUDIT OF Southern Arkansas University - Tech
June 30, 2010**

<i>Finding:</i>	No findings noted
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**INSTITUTION APPROPRIATION SUMMARY
FISCAL YEAR 2013**

INSTITUTION ARKANSAS ENVIRONMENTAL TRAINING ACADEMY

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
	2010-11		2011-12		2011-12		2012-13			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	399,669		400,996		407,290		400,996		400,996	
2 CASH	259,094		1,573,000		1,573,000		1,573,000		1,573,000	
3										
4										
5										
6										
7										
8										
9										
10										
11 TOTAL	\$658,763	20	\$1,973,996	20	\$1,980,290	26	\$1,973,996	26	\$1,973,996	26
FUNDING SOURCES		%		%				%		%
12 PRIOR YEAR FUND BALANCE*		0%		0%				0%		0%
13 GENERAL REVENUE	368,404	56%	368,404	19%			368,404	19%	368,404	19%
14 EDUCATIONAL EXCELLENCE TRUST FUND	31,265	5%	32,592	2%			32,592	2%	32,592	2%
15 WORKFORCE 2000		0%		0%				0%		0%
16 CASH FUNDS	259,094	39%	1,573,000	80%			1,573,000	80%	1,573,000	80%
17 SPECIAL REVENUES		0%		0%				0%		0%
18 FEDERAL FUNDS		0%		0%				0%		0%
19 TOBACCO SETTLEMENT FUNDS		0%		0%				0%		0%
20 OTHER FUNDS		0%		0%				0%		0%
21 TOTAL INCOME	\$658,763	100%	\$1,973,996	100%			\$1,973,996	100%	\$1,973,996	100%
22 EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2011:	\$594,372
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$22,637
INVENTORIES	
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	\$10,000
INSURANCE DEDUCTIBLES	\$25,000
MAJOR CRITICAL SYSTEMS FAILURES	\$25,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$90,000
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$25,000
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$396,735

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
FISCAL YEAR 2013**

FUND CSS0000

INSTITUTION ARKANSAS ENVIRONMENTAL ACADEMY

APPROPRIATION 296

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12***	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
				2012-13	2012-13
1 REGULAR SALARIES	235,339	239,059	239,326	239,059	246,400
2 EXTRA HELP WAGES					
3 PERSONAL SERVICES MATCHING	54,127	50,733	50,733	50,733	52,232
4 OVERTIME					
5 OPERATING EXPENSES	101,381	102,935	108,962	102,935	112,183
6 CONFERENCE FEES & TRAVEL	8,822	8,269	8,269	8,269	8,513
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)					
8 CAPITAL OUTLAY					
9 FUNDED DEPRECIATION					
10					
11					
12					
13 TOTAL APPROPRIATION	\$399,669	\$400,996	\$407,290	\$400,996	\$419,328
14 PRIOR YEAR FUND BALANCE**					
15 GENERAL REVENUE	368,404	368,404		368,404	368,404
16 EDUCATIONAL EXCELLENCE TRUST FUND	31,265	32,592		32,592	32,592
17 SPECIAL REVENUES * [WF2000]					
18 FEDERAL FUNDS IN STATE TREASURY					
19 TOBACCO SETTLEMENT FUNDS					
20 OTHER STATE TREASURY FUNDS					
21 TOTAL INCOME	\$399,669	\$400,996		\$400,996	\$400,996
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$18,332

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

***Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

FORM 12-4

**APPROPRIATION ACT FORM - CASH FUNDS
FISCAL YEAR 2013**

FUND 2170000

INSTITUTION ARKANSAS ENVIRONMENTAL TRAINING ACADEMY

APPROPRIATION A67

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12**	INSTITUTIONAL REQUEST /	LEGISLATIVE
				AHECB RECOMMENDATION 2012-13	LEGISLATIVE RECOMMENDATION 2012-13
1 REGULAR SALARIES	150,303	532,021	532,021	532,000	532,021
2 EXTRA HELP WAGES		89,253	89,253	50,000	89,253
3 PERSONAL SERVICES MATCHING	51,148	174,747	174,747	150,000	174,747
4 OVERTIME					
5 OPERATING EXPENSES	48,335	480,781	380,781	152,689	380,781
6 CONFERENCE FEES & TRAVEL	9,308	113,311	113,311	63,311	113,311
7 PROFESSIONAL FEES AND SERVICES		16,746	16,746	50,000	16,746
8 CAPITAL OUTLAY		166,141	166,141	175,000	166,141
9 CAPITAL IMPROVEMENTS			100,000	400,000	100,000
10 DEBT SERVICE					
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS					
12					
13					
14					
15					
16 TOTAL APPROPRIATION	\$259,094	\$1,573,000	\$1,573,000	\$1,573,000	\$1,573,000
17 PRIOR YEAR FUND BALANCE*					
18 LOCAL CASH FUNDS	259,094	1,573,000		1,573,000	1,573,000
19 FEDERAL CASH FUNDS					
20 OTHER CASH FUNDS					
21 TOTAL INCOME	\$259,094	\$1,573,000		\$1,573,000	\$1,573,000
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED 2011-12	REQUESTED 2012-13	LEGISLATIVE RECOMMENDATION 2012-13
REGULAR POSITIONS	20	20	26	26	26
TOBACCO POSITIONS					
EXTRA HELP ***	50	50	50	50	50

*Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM 12-5

**Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

*** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

ARKANSAS ENVIRONMENTAL TRAINING ACADEMY
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2011-12:						<u>8</u>
(As of November 1, 2011)						
Nonclassified Administrative Employees:						
White Male:	<u>2</u>	Black Male:	<u> </u>	Other Male:	<u> </u>	Total Male: <u>2</u>
White Female:	<u> </u>	Black Female:	<u> </u>	Other Female:	<u> </u>	Total Female: <u>0</u>
Nonclassified Health Care Employees:						
White Male:	<u> </u>	Black Male:	<u> </u>	Other Male:	<u> </u>	Total Male: <u>0</u>
White Female:	<u> </u>	Black Female:	<u> </u>	Other Female:	<u> </u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u> </u>	Black Male:	<u> </u>	Other Male:	<u> </u>	Total Male: <u>0</u>
White Female:	<u>1</u>	Black Female:	<u>1</u>	Other Female:	<u> </u>	Total Female: <u>2</u>
Faculty:						
White Male:	<u>4</u>	Black Male:	<u> </u>	Other Male:	<u> </u>	Total Male: <u>4</u>
White Female:	<u> </u>	Black Female:	<u> </u>	Other Female:	<u> </u>	Total Female: <u>0</u>
Total White Male:	<u>6</u>	Total Black Male:	<u>0</u>	Total Other Male:	<u>0</u>	Total Male: <u>6</u>
Total White Female:	<u>1</u>	Total Black Female:	<u>1</u>	Total Other Female:	<u>0</u>	Total Female: <u>2</u>
Total White:	<u>7</u>	Total Black:	<u>1</u>	Total Other:	<u>0</u>	Total Employees: <u>8</u>
				Total Minority:	<u>1</u>	

FORM 12-8

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$25,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2011
 Required by A.C.A. 25-36-104

Institution: ARKANSAS ENVIRONMENTAL TRAINING ACADEMY

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
None							

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED _____

% OF MINORITY CONTRACTS AWARDED 0%

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**INSTITUTION APPROPRIATION SUMMARY
FISCAL YEAR 2013**

INSTITUTION ARKANSAS FIRE TRAINING ACADEMY

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
	2010-11		2011-12		2011-12		2012-13			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	1,688,885		1,733,711		1,759,098		1,733,711		\$1,733,711	
2 CASH	421,472		2,541,000		2,541,000		2,541,000		2,541,000	
3										
4										
5										
6										
7										
8										
9										
10										
11 TOTAL	\$2,110,357	49	\$4,274,711	48		67	\$4,274,711	67	\$4,274,711	67
FUNDING SOURCES		%		%				%		%
12 PRIOR YEAR FUND BALANCE*		0%		0%				0%		0%
13 GENERAL REVENUE	1,651,221	77%	1,651,221	39%			1,651,221	39%	1,651,221	39%
14 EDUCATIONAL EXCELLENCE TRUST FUND	79,130	4%	82,490	2%			82,490	2%	82,490	2%
15 WORKFORCE 2000		0%		0%				0%		0%
16 CASH FUNDS	421,472	20%	2,541,000	59%			2,541,000	59%	2,541,000	59%
17 SPECIAL REVENUES		0%		0%				0%		0%
18 FEDERAL FUNDS		0%		0%				0%		0%
19 TOBACCO SETTLEMENT FUNDS		0%		0%				0%		0%
20 OTHER FUNDS		0%		0%				0%		0%
21 TOTAL INCOME	\$2,151,823	100%	\$4,274,711	100%			\$4,274,711	100%	\$4,274,711	100%
22 EXCESS (FUNDING)/APPROPRIATION	(\$41,466)		\$0				\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2011:	\$754,244
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$10,153
INVENTORIES	\$31,399
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	\$20,000
INSURANCE DEDUCTIBLES	\$40,000
MAJOR CRITICAL SYSTEMS FAILURES	\$50,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$225,000
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$50,000
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$327,692

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
FISCAL YEAR 2013**

FUND CSS0000

INSTITUTION ARKANSAS FIRE TRAINING ACADEMY

APPROPRIATION 295

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12***	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
				2012-13	2012-13
1 REGULAR SALARIES	800,426	813,298	813,974	813,298	835,430
2 EXTRA HELP WAGES					
3 PERSONAL SERVICES MATCHING	225,000	228,808	228,808	228,808	234,839
4 OVERTIME					
5 OPERATING EXPENSES	594,270	603,788	603,788	603,788	619,704
6 CONFERENCE FEES & TRAVEL	10,030	36,258	36,258	36,258	37,214
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)					
8 CAPITAL OUTLAY	59,159	51,559	76,270	51,559	78,280
9 FUNDED DEPRECIATION					
10					
11					
12					
13 TOTAL APPROPRIATION	\$1,688,885	\$1,733,711	\$1,759,098	\$1,733,711	\$1,805,467
14 PRIOR YEAR FUND BALANCE**					
15 GENERAL REVENUE	1,651,221	1,651,221		1,651,221	1,651,221
16 EDUCATIONAL EXCELLENCE TRUST FUND	79,130	82,490		82,490	82,490
17 SPECIAL REVENUES * [WF2000]					
18 FEDERAL FUNDS IN STATE TREASURY					
19 TOBACCO SETTLEMENT FUNDS					
20 OTHER STATE TREASURY FUNDS					
21 TOTAL INCOME	\$1,730,351	\$1,733,711		\$1,733,711	\$1,733,711
22 EXCESS (FUNDING)/APPROPRIATION	(\$41,466)	\$0		\$0	\$71,756

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

***Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

FORM 12-4

**APPROPRIATION ACT FORM - CASH FUNDS
FISCAL YEAR 2013**

FUND 2170000

INSTITUTION ARKANSAS FIRE TRAINING ACADEMY

APPROPRIATION A66

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12**	INSTITUTIONAL REQUEST /	LEGISLATIVE
				AHECB RECOMMENDATION 2012-13	LEGISLATIVE RECOMMENDATION 2012-13
1 REGULAR SALARIES	275,987	558,525	558,525	400,000	558,525
2 EXTRA HELP WAGES		76,775	76,775	76,775	76,775
3 PERSONAL SERVICES MATCHING	58,020	165,883	165,883	165,883	165,883
4 OVERTIME					
5 OPERATING EXPENSES	61,341	865,006	865,006	671,658	713,133
6 CONFERENCE FEES & TRAVEL	171	166,559	166,559	166,559	166,559
7 PROFESSIONAL FEES AND SERVICES	250	277,955	277,955	277,955	277,955
8 CAPITAL OUTLAY		382,170	382,170	382,170	382,170
9 CAPITAL IMPROVEMENTS	25,703	48,127	48,127	400,000	200,000
10 DEBT SERVICE					
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS					
12					
13					
14					
15					
16 TOTAL APPROPRIATION	\$421,472	\$2,541,000	\$2,541,000	\$2,541,000	\$2,541,000
17 PRIOR YEAR FUND BALANCE*					
18 LOCAL CASH FUNDS	421,472	2,541,000		2,541,000	2,541,000
19 FEDERAL CASH FUNDS					
20 OTHER CASH FUNDS					
21 TOTAL INCOME	\$421,472	\$2,541,000		\$2,541,000	\$2,541,000
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED 2011-12	REQUESTED 2012-13	LEGISLATIVE RECOMMENDATION 2012-13
REGULAR POSITIONS	49	48	67	67	67
TOBACCO POSITIONS					
EXTRA HELP ***	55	55	55	55	55

*Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

*** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

ARKANSAS FIRE TRAINING ACADEMY
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2011-12:						23
						(As of November 1, 2011)
Nonclassified Administrative Employees:						
White Male:	1	Black Male:	1	Other Male:		Total Male: 2
White Female:	1	Black Female:		Other Female:		Total Female: 1
Nonclassified Health Care Employees:						
White Male:		Black Male:		Other Male:		Total Male: 0
White Female:		Black Female:		Other Female:		Total Female: 0
Classified Employees:						
White Male:	2	Black Male:		Other Male:		Total Male: 2
White Female:	6	Black Female:	2	Other Female:		Total Female: 8
Faculty:						
White Male:	9	Black Male:		Other Male:		Total Male: 9
White Female:	1	Black Female:		Other Female:		Total Female: 1
Total White Male:	12	Total Black Male:	1	Total Other Male:	0	Total Male: 13
Total White Female:	8	Total Black Female:	2	Total Other Female:	0	Total Female: 10
Total White:	20	Total Black:	3	Total Other:	0	Total Employees: 23
				Total Minority:	3	

FORM 12-8

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$25,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2011
 Required by A.C.A. 25-36-104

Institution: ARKANSAS FIRE TRAINING ACADEMY

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
NONE							

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED _____

% OF MINORITY CONTRACTS AWARDED 0%

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**INSTITUTION APPROPRIATION SUMMARY
FISCAL YEAR 2013**

INSTITUTION SOUTHEAST ARKANSAS COLLEGE

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
	2010-11		2011-12		2011-12		2012-13			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	8,086,522		7,485,056		7,625,859		7,484,526		7,484,526	
2 CASH	6,157,338		32,450,000		32,450,000		32,450,000		32,450,000	
3										
4										
5										
6										
7										
8										
9										
10										
11 TOTAL	\$14,243,860	178	\$39,935,056	356	\$40,075,859	356	\$39,934,526	356	\$39,934,526	356
FUNDING SOURCES		%		%				%		%
12 PRIOR YEAR FUND BALANCE*	1,273,186	9%		0%				0%		0%
13 GENERAL REVENUE	5,636,798	40%	5,636,799	14%			5,636,799	14%	5,636,799	14%
14 EDUCATIONAL EXCELLENCE TRUST FUND		0%		0%				0%		0%
15 WORKFORCE 2000	1,895,214	13%	1,847,727	5%			1,847,727	5%	1,847,727	5%
16 CASH FUNDS	3,770,149	26%	30,450,000	76%			30,450,000	76%	30,450,000	76%
17 SPECIAL REVENUES		0%		0%				0%		0%
18 FEDERAL FUNDS	1,668,513	12%	2,000,000	5%			2,000,000	5%	2,000,000	5%
19 TOBACCO SETTLEMENT FUNDS		0%		0%				0%		0%
20 OTHER FUNDS		0%	530	0%				0%		0%
21 TOTAL INCOME	\$14,243,860	100%	\$39,935,056	100%			\$39,934,526	100%	\$39,934,526	100%
22 EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2011:	\$2,159,990
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$368,112
INVENTORIES	
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$10,000
MAJOR CRITICAL SYSTEMS FAILURES	\$500,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$1,200,000
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$81,878

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
FISCAL YEAR 2013**

FUND CTT0000

INSTITUTION SOUTHEAST ARKANSAS COLLEGE

APPROPRIATION 1XD

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12***	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
				2012-13	2012-13
1 REGULAR SALARIES	5,595,213	5,324,241	5,250,000	5,450,000	5,250,000
2 EXTRA HELP WAGES	742,000	700,000	950,000	750,000	950,000
3 PERSONAL SERVICES MATCHING	1,748,899	1,323,486	675,759	1,134,526	922,564
4 OVERTIME	0	0			
5 OPERATING EXPENSES	410	137,329	750,100	150,000	750,100
6 CONFERENCE FEES & TRAVEL					
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)					
8 CAPITAL OUTLAY					
9 FUNDED DEPRECIATION					
10					
11					
12					
13 TOTAL APPROPRIATION	\$8,086,522	\$7,485,056	\$7,625,859	\$7,484,526	\$7,872,664
14 PRIOR YEAR FUND BALANCE**	554,510				
15 GENERAL REVENUE	5,636,798	5,636,799		5,636,799	5,636,799
16 EDUCATIONAL EXCELLENCE TRUST FUND					
17 SPECIAL REVENUES * [WF2000]	1,895,214	1,847,727		1,847,727	1,847,727
18 FEDERAL FUNDS IN STATE TREASURY					
19 TOBACCO SETTLEMENT FUNDS					
20 OTHER STATE TREASURY FUNDS		530			
21 TOTAL INCOME	\$8,086,522	\$7,485,056		\$7,484,526	\$7,484,526
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$388,138

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

***Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

**APPROPRIATION ACT FORM - CASH FUNDS
FISCAL YEAR 2013**

FUND 2910000

INSTITUTION SOUTHEAST ARKANSAS COLLEGE

APPROPRIATION B65

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12**	INSTITUTIONAL REQUEST /	LEGISLATIVE
				AHECB RECOMMENDATION 2012-13	LEGISLATIVE RECOMMENDATION 2012-13
1 REGULAR SALARIES	406,726	1,632,320	1,632,320	1,632,320	1,632,320
2 EXTRA HELP WAGES	85,096	1,000,000	1,000,000	1,000,000	1,000,000
3 PERSONAL SERVICES MATCHING	3,085	718,332	718,332	718,332	718,332
4 OVERTIME		200,000	200,000	200,000	200,000
5 OPERATING EXPENSES	3,780,802	7,000,000	7,000,000	7,000,000	7,000,000
6 CONFERENCE FEES & TRAVEL	112,592	400,000	400,000	400,000	400,000
7 PROFESSIONAL FEES AND SERVICES	921,291	922,400	922,400	922,400	922,400
8 CAPITAL OUTLAY	847,746	5,376,948	5,376,948	5,376,948	5,376,948
9 CAPITAL IMPROVEMENTS		14,000,000	14,000,000	14,000,000	14,000,000
10 DEBT SERVICE					
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS		1,200,000	1,200,000	1,200,000	1,200,000
12					
13					
14					
15					
16 TOTAL APPROPRIATION	\$6,157,338	\$32,450,000	\$32,450,000	\$32,450,000	\$32,450,000
17 PRIOR YEAR FUND BALANCE*	718,676				
18 LOCAL CASH FUNDS	3,770,149	4,256,200		4,256,200	4,256,200
19 FEDERAL CASH FUNDS	1,668,513	2,000,000		2,000,000	2,000,000
20 OTHER CASH FUNDS		26,193,800		26,193,800	26,193,800
21 TOTAL INCOME	\$6,157,338	\$32,450,000		\$32,450,000	\$32,450,000
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED 2011-12	REQUESTED 2012-13	LEGISLATIVE RECOMMENDATION 2012-13
REGULAR POSITIONS	178	356	356	356	356
TOBACCO POSITIONS					
EXTRA HELP ***	102	200	200	200	200

*Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

*** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

SOUTHEAST ARKANSAS COLLEGE
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2011-12:					123			
(As of November 1, 2011)								
Nonclassified Administrative Employees:						Total	Male:	7
White Male:	7	Black Male:	0	Other Male:		Total	Female:	18
White Female:	12	Black Female:	6	Other Female:				
Nonclassified Health Care Employees:						Total	Male:	0
White Male:		Black Male:		Other Male:		Total	Female:	0
White Female:		Black Female:		Other Female:				
Classified Employees:						Total	Male:	16
White Male:	7	Black Male:	9	Other Male:		Total	Female:	41
White Female:	23	Black Female:	18	Other Female:				
Faculty:						Total	Male:	14
White Male:	13	Black Male:	0	Other Male:	1	Total	Female:	27
White Female:	12	Black Female:	13	Other Female:	2			
Total White Male:	27	Total Black Male:	9	Total Other Male:	1	Total	Male:	37
Total White Female:	47	Total Black Female:	37	Total Other Female:	2	Total	Female:	86
Total White:	74	Total Black:	46	Total Other:	3	Total	Employees:	123
				Total Minority:	49			

FORM 12-8

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$25,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2011
 Required by A.C.A. 25-36-104

Institution **SOUTHEAST ARKANSAS COLLEGE**

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
No Contracts over over \$25,000							

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED

% OF MINORITY CONTRACTS AWARDED 0%

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF Southeast Arkansas College
June 30, 2010

<p><i>Finding No. 1:</i></p>	<p>Financial statements should be presented fairly in conformity with accounting principles generally accepted in the United States of America as prescribed by the Governmental Accounting Standards Board (GASB). The College's internal control system did not prevent, or detect and correct misstatements as follows:</p> <ol style="list-style-type: none">a. The Statement of Net Assets originally reflected the 2010 allowance of doubtful accounts and accumulated depreciation parenthetically as zero instead of \$225,453 and \$9,072,284, respectively.b. Classification errors of \$373,595 in the Statement of Net Assets and Statement of Revenues, Expenses, and Changes in Net Assets resulted from improper reporting as follows:<ul style="list-style-type: none">• Expenditures totaling \$342,173 were improperly capitalized.• Adjustments to prior year expenditures of \$27,891 were reflected as depreciation expense.• Cash of \$3,531 was incorrectly recorded as accounts receivable.c. Numerous errors in the Notes to Financial Statements were observed, including accounts receivable and accounts payable reflecting the prior year balances, cash deposits did
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DIVISION OF LEGISLATIVE AUDIT
AUDIT OF Southeast Arkansas College
June 30, 2010

	<p>not agree with the cash as reflected on the Statement of Net Assets, operating expenses by functional classification differed with the Statement of Revenues, Expenses, and Changes in Net Assets by \$326,539, and the capital asset note did not reflect construction in progress additions.</p> <p>The financial statements were corrected during the audit fieldwork.</p>
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<p><i>Response:</i></p>	<ul style="list-style-type: none"> a. The amounts for allowance for doubtful accounts and accumulated depreciation were included in the 2010 Financial Statement amounts calculated and presented for net accounts receivable and capital assets net of accumulated depreciation, but were not shown in the description area for comparison to the prior year amounts. We will make sure these amounts are presented in the description area for comparison. b. We are making these corrections and are increasing training and review efforts. Many of the errors were discovered just prior to the audit. The employee responsible for recording and tracking capital assets walked out at that time and is no longer employed. c. Emphasis is being placed on completion of financial statements in a timelier manner in order to exercise due diligence in the preparation of the Notes to the Financial Statements. We are looking to hire additional staff for internal audit and review (Business Manager).
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DIVISION OF LEGISLATIVE AUDIT
AUDIT OF Southeast Arkansas College
June 30, 2010

<p><i>Finding No. 2:</i></p>	<p>Human Resources Specialist Margaret Taylor’s responsibilities included entering employee salaries into the College’s payroll system. Although Taylor’s approved salary was \$27,347, she entered it as \$30,713 and was paid at the higher rate from July 2009 through March 2010 resulting in an overpayment of \$2,459. Subsequently, Taylor reimbursed \$150 of the overpayment prior to termination of employment on June 12, 2010 and the College retained excess retirement contributions of \$148 leaving a balance due the College of \$2,161.</p>
<p><i>Response:</i></p>	<p>Upon discovery of this error by the College, “prior to the audit”, an internal review of salaries was conducted to ensure that correct salaries were entered into the system. We will implement a process for continued monitoring. This is another area that an internal staff member (Business Manager) could perform periodic review and verification. Further collection efforts of remaining balance due from Ms. Taylor will be made. DSO has already been notified and will submit to collection agency.</p>
<p><i>Finding No. 3:</i></p>	<p>Audit logging of database events was not enabled. Without adequate logging of record changes and deletions, database level changes cannot be properly tracked to ensure that historical data was both complete and properly authorized.</p>
<p><i>Response:</i></p>	<p>Audit logging for database transactions has been enabled during the audit. We are addressing the specific screen and fields identified by the audit.</p>
<p><i>Finding No. 4:</i></p>	<p>Proper segregation of duties had not been employed in accounting roles within the application. Failure to properly assign access permissions affords users the ability to process and conceal</p>

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF Southeast Arkansas College
June 30, 2010

	unauthorized transactions, increasing the risk of fraud and the misappropriation of assets.
<i>Response:</i>	System access will be reviewed and changes made to ensure proper segregation of duties is restored. During FY 2010 and 2011 the department has experienced a large number of turnovers (6) in a small 11 person department. Several staff assumed new positions/duties. We are conducting training and restoring segregation of duties as quickly as possible.
<i>Finding No. 5:</i>	Application data integrity controls were inadequate to detect and prevent invalid data entry. Sound information system practices dictate that a secure authorization structure be in place to secure critical transactions and that field edit checks be employed to prevent incorrect or unauthorized data from being processed.
<i>Response:</i>	We have contacted the software vendor and have set up calls to identify the scope of the project and determine the cost. We are also re-evaluating existing reports for reviewing and monitoring invalid data entry and inappropriate transactions. This is a point that a new staff member (Business Manager) could perform the monitoring and internal audit functions.

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**INSTITUTION APPROPRIATION SUMMARY
FISCAL YEAR 2013**

INSTITUTION UNIVERSITY OF AR COMMUNITY COLLEGE AT BATESVILLE

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
	2010-11		2011-12		2011-12		2012-13			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	4,852,307		4,831,468		5,154,136		5,798,745		5,798,745	
2 CASH	10,243,115		30,030,000		40,530,000		39,680,000		39,680,000	
3										
4										
5										
6										
7										
8										
9										
10										
11 TOTAL	\$15,095,422	272	\$34,861,468	272	\$45,684,136	272	\$45,478,745	272	\$45,478,745	272
FUNDING SOURCES		%		%				%		%
12 PRIOR YEAR FUND BALANCE*		0%		0%				0%		0%
13 GENERAL REVENUE	4,020,646	27%	4,020,646	12%			4,987,923	11%	4,987,923	11%
14 EDUCATIONAL EXCELLENCE TRUST FUND		0%		0%				0%		0%
15 WORKFORCE 2000	831,661	6%	810,822	2%			810,822	2%	810,822	2%
16 CASH FUNDS	10,243,115	68%	30,030,000	86%			39,680,000	87%	39,680,000	87%
17 SPECIAL REVENUES		0%		0%				0%		0%
18 FEDERAL FUNDS		0%		0%				0%		0%
19 TOBACCO SETTLEMENT FUNDS		0%		0%				0%		0%
20 OTHER FUNDS		0%		0%				0%		0%
21 TOTAL INCOME	\$15,095,422	100%	\$34,861,468	100%			\$45,478,745	100%	\$45,478,745	100%
22 EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2011:	\$2,055,015
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$466,794
INVENTORIES	\$308,460
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	\$30,093
INSURANCE DEDUCTIBLES	\$60,000
MAJOR CRITICAL SYSTEMS FAILURES	\$250,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$1,282,476
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	(\$342,808)

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
FISCAL YEAR 2013**

FUND CTG0000

INSTITUTION University of AR Community College at Batesville

APPROPRIATION 1RT

DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE
	2010-11	2011-12	APPROPRIATION	AHECB RECOMMENDATION	RECOMMENDATION
			2011-12***	2012-13	2012-13
1 REGULAR SALARIES	4,450,242	3,976,468	4,299,136	4,948,745	4,745,320
2 EXTRA HELP WAGES		100,000	100,000	100,000	100,000
3 PERSONAL SERVICES MATCHING	402,065	750,000	750,000	750,000	750,000
4 OVERTIME					
5 OPERATING EXPENSES					
6 CONFERENCE FEES & TRAVEL					
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)					
8 CAPITAL OUTLAY					
9 FUNDED DEPRECIATION		5,000	5,000		5,000
10					
11					
12					
13 TOTAL APPROPRIATION	\$4,852,307	\$4,831,468	\$5,154,136	\$5,798,745	\$5,600,320
14 PRIOR YEAR FUND BALANCE**					
15 GENERAL REVENUE	4,020,646	4,020,646		4,987,923	4,987,923
16 EDUCATIONAL EXCELLENCE TRUST FUND					
17 SPECIAL REVENUES * [WF2000]	831,661	810,822		810,822	810,822
18 FEDERAL FUNDS IN STATE TREASURY					
19 TOBACCO SETTLEMENT FUNDS					
20 OTHER STATE TREASURY FUNDS					
21 TOTAL INCOME	\$4,852,307	\$4,831,468		\$5,798,745	\$5,798,745
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	(\$198,425)

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

***Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

FORM 12-4

**APPROPRIATION ACT FORM - CASH FUNDS
FISCAL YEAR 2013**

FUND 2790000

INSTITUTION UNIVERSITY OF AR COMMUN COLL AT BATESVILLE

APPROPRIATION B39

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12**	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE LEGISLATIVE RECOMMENDATION
				2012-13	2012-13
1 REGULAR SALARIES	976,655	4,900,000	4,900,000	4,800,000	5,200,000
2 EXTRA HELP WAGES	199,143	500,000	500,000	500,000	600,000
3 PERSONAL SERVICES MATCHING	1,440,940	3,000,000	3,000,000	3,000,000	3,500,000
4 OVERTIME	0	5,000	5,000	5,000	5,000
5 OPERATING EXPENSES	4,312,086	7,000,000	7,000,000	7,500,000	8,000,000
6 CONFERENCE FEES & TRAVEL	108,290	350,000	350,000	375,000	350,000
7 PROFESSIONAL FEES AND SERVICES	150,122	250,000	250,000	275,000	250,000
8 CAPITAL OUTLAY	2,489,448	5,000,000	5,000,000	5,000,000	6,000,000
9 CAPITAL IMPROVEMENTS	0	4,000,000	4,000,000	3,000,000	4,500,000
10 DEBT SERVICE	555,752	1,000,000	1,000,000	700,000	1,000,000
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS	0	4,000,000	4,000,000	4,000,000	4,000,000
12 PROMOTIONAL ITEMS	10,679	25,000	25,000	25,000	25,000
13 CONTINGENCY	0		10,500,000	10,500,000	11,000,000
14					
15					
16 TOTAL APPROPRIATION	\$10,243,115	\$30,030,000	\$40,530,000	\$39,680,000	\$44,430,000
17 PRIOR YEAR FUND BALANCE*					
18 LOCAL CASH FUNDS	4,799,115	4,973,215		4,950,000	4,950,000
19 FEDERAL CASH FUNDS					
20 OTHER CASH FUNDS	5,444,000	25,056,785		34,730,000	34,730,000
21 TOTAL INCOME	\$10,243,115	\$30,030,000		\$39,680,000	\$39,680,000
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$4,750,000

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED 2011-12	REQUESTED 2012-13	LEGISLATIVE RECOMMENDATION 2012-13
REGULAR POSITIONS	272	272	272	272	272
TOBACCO POSITIONS	0	0	0	0	
EXTRA HELP ***	100	100	100	100	100

*Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

*** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

FORM 12-5

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

UNIVERSITY OF AR COMMUNITY COLLEGE AT BATESVILLE
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2011-12: <u>125</u>					
(As of November 1, 2011)					
Nonclassified Administrative Employees:					
White Male:	<u>12</u>	Black Male:	<u>0</u>	Other Male:	<u>1</u>
White Female:	<u>26</u>	Black Female:	<u>1</u>	Other Female:	<u>1</u>
Total		Total		Total	<u>13</u>
Total		Total		Total	<u>28</u>
Nonclassified Health Care Employees:					
White Male:	<u> </u>	Black Male:	<u> </u>	Other Male:	<u> </u>
White Female:	<u> </u>	Black Female:	<u> </u>	Other Female:	<u> </u>
Total		Total		Total	<u> </u>
Total		Total		Total	<u> </u>
Classified Employees:					
White Male:	<u>8</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>
White Female:	<u>30</u>	Black Female:	<u>1</u>	Other Female:	<u>1</u>
Total		Total		Total	<u>8</u>
Total		Total		Total	<u>32</u>
Faculty:					
White Male:	<u>18</u>	Black Male:	<u>0</u>	Other Male:	<u>1</u>
White Female:	<u>24</u>	Black Female:	<u>0</u>	Other Female:	<u>1</u>
Total		Total		Total	<u>19</u>
Total		Total		Total	<u>25</u>
Total White Male:	<u>38</u>	Total Black Male:	<u>0</u>	Total Other Male:	<u>2</u>
Total White Female:	<u>80</u>	Total Black Female:	<u>2</u>	Total Other Female:	<u>3</u>
Total		Total		Total	<u>40</u>
Total		Total		Total	<u>85</u>
Total White:	<u>118</u>	Total Black:	<u>2</u>	Total Other:	<u>5</u>
				Total Minority:	<u>7</u>
Total		Total		Total	<u>125</u>
Total		Total		Total	<u>125</u>

FORM 12-8

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$25,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2011
 Required by A.C.A. 25-36-104

Institution UNIVERSITY OF AR COMMUNITY COLLEGE AT BATESVILLE

Minority Business	Minority Type per A.C.A. 15-4-303 (2)						
	Total Contract Awarded	African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
None							

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0
 TOTAL EXPENDITURES ON CONTRACTS AWARDED \$158,783
 % OF MINORITY CONTRACTS AWARDED 0%

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF University of Arkansas at Batesville
June 30, 2010

<i>Finding:</i>	No findings noted
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**INSTITUTION APPROPRIATION SUMMARY
FISCAL YEAR 2013**

INSTITUTION University of Arkansas Community College at Hope

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
	2010-11		2011-12		2011-12		2012-13			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	6,371,618		6,324,521		6,406,202		6,324,521		6,324,521	
2 CASH	10,814,429		11,418,790		12,049,640		12,049,640		12,049,640	
3										
4										
5										
6										
7										
8										
9										
10										
11 TOTAL	\$17,186,047	116	\$17,743,311	116	\$18,455,842	208	\$18,374,161	208	\$18,374,161	208
FUNDING SOURCES		%		%				%		%
12 PRIOR YEAR FUND BALANCE*		0%		0%				0%		0%
13 GENERAL REVENUE	4,491,997	26%	4,491,997	25%			4,491,997	24%	4,491,997	24%
14 EDUCATIONAL EXCELLENCE TRUST FUND		0%		0%				0%		0%
15 WORKFORCE 2000	1,879,621	11%	1,832,524	10%			1,832,524	10%	1,832,524	10%
16 CASH FUNDS	3,778,549	22%	4,878,549	27%			3,628,700	20%	3,628,700	20%
17 SPECIAL REVENUES		0%		0%				0%		0%
18 FEDERAL FUNDS	7,295,669	42%	6,540,241	37%			5,940,000	32%	5,940,000	32%
19 TOBACCO SETTLEMENT FUNDS		0%		0%				0%		0%
20 OTHER FUNDS		0%		0%			2,480,940	14%	2,480,940	14%
21 TOTAL INCOME	\$17,445,836	100%	\$17,743,311	100%			\$18,374,161	100%	\$18,374,161	100%
22 EXCESS (FUNDING)/APPROPRIATION	(\$259,789)		\$0				\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2011:	\$1,531,380
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$254,484
INVENTORIES	\$19,960
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	\$61,598
INSURANCE DEDUCTIBLES	\$50,000
MAJOR CRITICAL SYSTEMS FAILURES	\$250,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$1,054,241
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	(\$158,903)

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
FISCAL YEAR 2013**

FUND CTR000

INSTITUTION University of Arkansas Community College at Hope

APPROPRIATION 1BU

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12***	INSTITUTIONAL REQUEST /	LEGISLATIVE
				AHECB RECOMMENDATION 2012-13	RECOMMENDATION 2012-13
1 REGULAR SALARIES	4,743,623	4,719,319	4,726,000	4,883,520	4,756,000
2 EXTRA HELP WAGES					
3 PERSONAL SERVICES MATCHING	1,627,995	1,605,202	1,605,202	1,441,001	1,643,654
4 OVERTIME					
5 OPERATING EXPENSES					
6 CONFERENCE FEES & TRAVEL					
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)					
8 CAPITAL OUTLAY					
9 FUNDED DEPRECIATION					
10 CONTINGENCY			75,000		75,000
11					
12					
13 TOTAL APPROPRIATION	\$6,371,618	\$6,324,521	\$6,406,202	\$6,324,521	\$6,474,654
14 PRIOR YEAR FUND BALANCE**		0			
15 GENERAL REVENUE	4,491,997	4,491,997		4,491,997	4,491,997
16 EDUCATIONAL EXCELLENCE TRUST FUND					
17 SPECIAL REVENUES * [WF2000]	1,879,621	1,832,524		1,832,524	1,832,524
18 FEDERAL FUNDS IN STATE TREASURY					
19 TOBACCO SETTLEMENT FUNDS					
20 OTHER STATE TREASURY FUNDS					
21 TOTAL INCOME	\$6,371,618	\$6,324,521		\$6,324,521	\$6,324,521
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$150,133

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

***Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

FORM 12-4

**APPROPRIATION ACT FORM - CASH FUNDS
FISCAL YEAR 2013**

FUND 295000

INSTITUTION University of Arkansas Community College at Hope

APPROPRIATION A98

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12**	INSTITUTIONAL REQUEST /	LEGISLATIVE
				AHECB RECOMMENDATION 2012-13	LEGISLATIVE RECOMMENDATION 2012-13
1 REGULAR SALARIES	342,742	345,000	978,280	978,280	978,280
2 EXTRA HELP WAGES	136,945	100,945	150,000	150,000	150,000
3 PERSONAL SERVICES MATCHING	394,802	417,595	936,360	936,360	936,360
4 OVERTIME	0	0	0	0	0
5 OPERATING EXPENSES	7,759,300	7,701,600	3,290,000	3,290,000	3,290,000
6 CONFERENCE FEES & TRAVEL	136,062	124,000	220,000	220,000	220,000
7 PROFESSIONAL FEES AND SERVICES	70,696	72,000	225,000	225,000	225,000
8 CAPITAL OUTLAY	137,480	150,000	500,000	500,000	500,000
9 CAPITAL IMPROVEMENTS	1,099,541	1,800,000	4,050,000	3,850,000	4,050,000
10 DEBT SERVICE	736,861	707,650	700,000	900,000	700,000
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS			1,000,000	1,000,000	1,000,000
12					
13					
14					
15					
16 TOTAL APPROPRIATION	\$10,814,429	\$11,418,790	\$12,049,640	\$12,049,640	\$12,049,640
17 PRIOR YEAR FUND BALANCE*					
18 LOCAL CASH FUNDS	3,778,549	4,878,549		3,628,700	3,628,700
19 FEDERAL CASH FUNDS	7,295,669	6,540,241		5,940,000	5,940,000
20 OTHER CASH FUNDS				2,480,940	2,480,940
21 TOTAL INCOME	\$11,074,218	\$11,418,790		\$12,049,640	\$12,049,640
22 EXCESS (FUNDING)/APPROPRIATION	(\$259,789)	\$0		\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED 2011-12	REQUESTED 2012-13	LEGISLATIVE RECOMMENDATION 2012-13
REGULAR POSITIONS	116	116	208	208	208
TOBACCO POSITIONS	0	0	0	0	0
EXTRA HELP ***	43	21	200	200	200

*Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM 12-5

**Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

*** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

University of Arkansas Community College at Hope
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2011-12:					<u>116</u>			
(As of November 1, 2011)								
Nonclassified Administrative Employees:						Total	Male:	<u>10</u>
White Male:	<u>8</u>	Black Male:	<u>2</u>	Other Male:	<u>0</u>	Total	Female:	<u>20</u>
White Female:	<u>15</u>	Black Female:	<u>4</u>	Other Female:	<u>1</u>			
Nonclassified Health Care Employees:						Total	Male:	<u>0</u>
White Male:	<u>0</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total	Female:	<u>0</u>
White Female:	<u>0</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>			
Classified Employees:						Total	Male:	<u>14</u>
White Male:	<u>11</u>	Black Male:	<u>3</u>	Other Male:	<u>0</u>	Total	Female:	<u>35</u>
White Female:	<u>25</u>	Black Female:	<u>10</u>	Other Female:	<u>0</u>			
Faculty:						Total	Male:	<u>15</u>
White Male:	<u>13</u>	Black Male:	<u>2</u>	Other Male:	<u>0</u>	Total	Female:	<u>22</u>
White Female:	<u>22</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>			
Total White Male:	<u>32</u>	Total Black Male:	<u>7</u>	Total Other Male:	<u>0</u>	Total	Male:	<u>39</u>
Total White Female:	<u>62</u>	Total Black Female:	<u>14</u>	Total Other Female:	<u>1</u>	Total	Female:	<u>77</u>
Total White:	<u>94</u>	Total Black:	<u>21</u>	Total Other:	<u>1</u>	Total	Employees:	<u>116</u>
				Total Minority:	<u>22</u>			

FORM 12-8

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$25,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2011
 Required by A.C.A. 25-36-104

Institution University of Arkansas Community College at Hope

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
None	\$0						

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$578,250

% OF MINORITY CONTRACTS AWARDED 0%

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF University of Arkansas Community College at Hope
June 30, 2010

<i>Finding No. 1:</i>	<p>Data Integrity Controls - All POISE Financial Modules</p> <p>Data integrity controls were inadequate, specifically related to the ability of employees to edit or delete records after issuance. Sound information system practices dictate that a secure authorization structure be in place to restrict access to critical transactions and that field edit checks be employed to prevent incorrect or unauthorized data from being processed.</p>
<i>Institution's Response:</i>	<p>These controls have been implemented and reviewed by Legislative Audit.</p>
<i>Finding No. 2:</i>	<p>To verify the accuracy of student enrollment data submitted to the Arkansas Department of Higher Education, we examined supporting documentation and discovered one student reported as enrolled had not attended the College.</p>
<i>Institution's Response:</i>	<p>The College administration ask faculty at the beginning of each semester to notify the Registrar's Office of any students listed on their roster who are not attending their class. The faculty sends the names of students electronically to the Registrar's Office who are not attending their class and they are promptly dropped. This process is not always perfect and this student was missed in the first two weeks and identified after the eleventh day report at which time they were promptly dropped. The College will intensify efforts with faculty to improve the drop process prior to the eleventh day of classes.</p>

**INSTITUTION APPROPRIATION SUMMARY
FISCAL YEAR 2013**

INSTITUTION UNIVERSITY OF AR COMM COLLEGE MORRILTON

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
	2010-11		2011-12		2011-12		2012-13			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	6,041,126		5,943,728		6,372,805		8,173,111		8,173,111	
2 CASH	10,074,147		29,775,000		29,775,000		29,775,000		29,775,000	
3										
4										
5										
6										
7										
8										
9										
10										
11 TOTAL	\$16,115,273	211	\$35,718,728	217	\$36,147,805	297	\$37,948,111	297	\$37,948,111	297
FUNDING SOURCES		%		%				%		%
12 PRIOR YEAR FUND BALANCE*	66,356	0%		0%				0%		0%
13 GENERAL REVENUE	4,735,870	29%	4,735,870	13%			6,965,253	18%	6,965,253	18%
14 EDUCATIONAL EXCELLENCE TRUST FUND		0%		0%				0%		0%
15 WORKFORCE 2000	1,238,900	8%	1,207,858	3%			1,207,858	3%	1,207,858	3%
16 CASH FUNDS	9,174,970	57%	28,725,000	80%			28,175,000	74%	28,175,000	74%
17 SPECIAL REVENUES		0%		0%				0%		0%
18 FEDERAL FUNDS	849,615	5%	1,000,000	3%			1,500,000	4%	1,500,000	4%
19 TOBACCO SETTLEMENT FUNDS		0%		0%				0%		0%
20 OTHER FUNDS	49,562	0%	50,000	0%			100,000	0%	100,000	0%
21 TOTAL INCOME	\$16,115,273	100%	\$35,718,728	100%			\$37,948,111	100%	\$37,948,111	100%
22 EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2011:	\$2,242,835
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$984,263
INVENTORIES	
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$50,000
MAJOR CRITICAL SYSTEMS FAILURES	
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$1,500,000
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	(\$291,428)

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
FISCAL YEAR 2013**

FUND CTJ0000

INSTITUTION UNIVERSITY OF AR COMM COLLEGE MORRILTON

APPROPRIATION 729

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12***	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
				2012-13	2012-13
1 REGULAR SALARIES	4,485,910	4,537,858	4,870,000	5,498,111	5,240,000
2 EXTRA HELP WAGES	109,346	130,000	173,759	150,000	173,759
3 PERSONAL SERVICES MATCHING	1,442,454	1,260,870	1,304,046	1,500,000	1,384,047
4 OVERTIME	3,416	15,000	15,000	25,000	15,000
5 OPERATING EXPENSES			10,000	1,000,000	154,123
6 CONFERENCE FEES & TRAVEL					
7 PROFESSIONAL FEES AND SERVICES (EXCEPT DATA PROCESSING)					
8 CAPITAL OUTLAY					
9 FUNDED DEPRECIATION					
10					
11					
12					
13 TOTAL APPROPRIATION	\$6,041,126	\$5,943,728	\$6,372,805	\$8,173,111	\$6,966,929
14 PRIOR YEAR FUND BALANCE**	66,356				
15 GENERAL REVENUE	4,735,870	4,735,870		6,965,253	6,965,253
16 EDUCATIONAL EXCELLENCE TRUST FUND					
17 SPECIAL REVENUES * [WF2000]	1,238,900	1,207,858		1,207,858	1,207,858
18 FEDERAL FUNDS IN STATE TREASURY					
19 TOBACCO SETTLEMENT FUNDS					
20 OTHER STATE TREASURY FUNDS					
21 TOTAL INCOME	\$6,041,126	\$5,943,728		\$8,173,111	\$8,173,111
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	(\$1,206,182)

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

***Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

FORM 12-4

**APPROPRIATION ACT FORM - CASH FUNDS
FISCAL YEAR 2013**

FUND 2890000

INSTITUTION UNIVERSITY OF AR COMM COLLEGE MORRILTON

APPROPRIATION B64

DESCRIPTION	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED APPROPRIATION 2011-12**	INSTITUTIONAL REQUEST /	LEGISLATIVE
				AHECB RECOMMENDATION 2012-13	RECOMMENDATION 2012-13
1 REGULAR SALARIES	2,646,141	7,600,000	7,600,000	7,600,000	7,600,000
2 EXTRA HELP WAGES	167,432	500,000	500,000	500,000	500,000
3 PERSONAL SERVICES MATCHING	1,743,320	3,600,000	3,600,000	3,600,000	3,600,000
4 OVERTIME	1,627	20,000	20,000	20,000	20,000
5 OPERATING EXPENSES	4,325,556	7,605,000	7,605,000	7,605,000	7,605,000
6 CONFERENCE FEES & TRAVEL	85,553	250,000	250,000	250,000	250,000
7 PROFESSIONAL FEES AND SERVICES	104,276	450,000	450,000	450,000	450,000
8 CAPITAL OUTLAY	222,594	2,000,000	2,000,000	2,000,000	2,000,000
9 CAPITAL IMPROVEMENTS		6,000,000	6,000,000	6,000,000	6,000,000
10 DEBT SERVICE	616,075	1,200,000	1,200,000	1,200,000	1,200,000
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS	161,573	500,000	500,000	500,000	500,000
12 PROMOTIONAL ITEMS		50,000	50,000	50,000	50,000
13					
14					
15					
16 TOTAL APPROPRIATION	\$10,074,147	\$29,775,000	\$29,775,000	\$29,775,000	\$29,775,000
17 PRIOR YEAR FUND BALANCE*					
18 LOCAL CASH FUNDS	9,174,970	28,725,000		28,175,000	28,175,000
19 FEDERAL CASH FUNDS	849,615	1,000,000		1,500,000	1,500,000
20 OTHER CASH FUNDS	49,562	50,000		100,000	100,000
21 TOTAL INCOME	\$10,074,147	\$29,775,000		\$29,775,000	\$29,775,000
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2010-11	BUDGETED 2011-12	AUTHORIZED 2011-12	REQUESTED 2012-13	LEGISLATIVE RECOMMENDATION 2012-13
REGULAR POSITIONS	211	217	297	297	297
TOBACCO POSITIONS					
EXTRA HELP ***	35	110	110	110	110

*Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**Authorized Appropriation may be less than Budgeted Appropriation due to appropriation transfers during the fiscal year.

*** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

UNIVERSITY OF AR COMM COLLEGE MORRILTON
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2011-12:						173
(As of November 1, 2011)						
Nonclassified Administrative Employees:						
White Male:	8	Black Male:		Other Male:	1	Total Male: 9
White Female:	27	Black Female:	2	Other Female:		Total Female: 29
Nonclassified Health Care Employees:						
White Male:		Black Male:		Other Male:		Total Male: 0
White Female:		Black Female:		Other Female:		Total Female: 0
Classified Employees:						
White Male:	14	Black Male:	2	Other Male:	1	Total Male: 17
White Female:	48	Black Female:	4	Other Female:		Total Female: 52
Faculty:						
White Male:	29	Black Male:		Other Male:		Total Male: 29
White Female:	35	Black Female:		Other Female:	2	Total Female: 37
Total White Male:	51	Total Black Male:	2	Total Other Male:	2	Total Male: 55
Total White Female:	110	Total Black Female:	6	Total Other Female:	2	Total Female: 118
Total White:	161	Total Black:	8	Total Other:	4	Total Employees: 173
				Total Minority:	12	

FORM 12-8

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$25,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2011
 Required by A.C.A. 25-36-104

Institution **UNIVERSITY OF AR COMM COLLEGE MORRILTON**

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
NONE							

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$262,272

% OF MINORITY CONTRACTS AWARDED 0%

**DIVISION OF LEGISLATIVE AUDIT
AUDIT OF University of Arkansas at Morrilton
June 30, 2010**

<i>Finding:</i>	No findings noted
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