

Arkansas Public Higher Education Operating & Capital Recommendations

2013-2015 Biennium



7-A

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Universities

Arkansas Department of Higher Education

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ARKANSAS PUBLIC HIGHER EDUCATION OPERATING AND CAPITAL RECOMMENDATIONS 2013-15 BIENNIUM

VOLUME 1 OVERVIEW AND UNIVERSITIES

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Institutional Abbreviations

Four-Year Institutions

Arkansas State University (Jonesboro)	ASUJ
Arkansas Tech University (Russellville)	ATU
Henderson State University (Arkadelphia)	HSU
Southern Arkansas University (Magnolia)	SAUM
University of Arkansas (Fayetteville)	UAF
University of Arkansas at Fort Smith	UAFS
University of Arkansas at Little Rock	UALR
University of Arkansas at Monticello	UAM
University of Arkansas at Pine Bluff	UAPB
University of Central Arkansas	UCA

Two-Year Institutions

Arkansas Northeastern College (Blytheville)	ANC
Arkansas State University - Beebe	ASUB
Arkansas State University - Mountain Home	ASUMH
Arkansas State University - Newport	ASUN
Black River Technical College (Pocahontas)	BRTC
Cossatot Community College of the UA (DeQueen)	CCCUA
East Arkansas Community College (Forrest City)	EACC
Mid-South Community College (West Memphis)	MSCC
National Park Community College (Hot Springs)	NPCC
North Arkansas College (Harrison)	NAC
Northwest Arkansas Community College (Bentonville)	NWACC
College of the Ouachitas	CotO
Ozarka College (Melbourne)	OZC
Phillips Community College of the UA (Helena)	PCCUA
Pulaski Technical College (North Little Rock)	PTC
Rich Mountain Community College (Mena)	RMCC
South Arkansas Community College (El Dorado)	SACC
Southeast Arkansas College (Pine Bluff)	SEAC
Southern Arkansas University - Tech (Camden)	SAUT
UA Community College at Batesville	UACCB
UA Community College at Hope	UACCH
UA Community College at Morrilton	UACCM

Non-Formula Institutions / Entities

Arkansas Delta Training and Education Consortium	ADTEC
Arkansas Research and Educational Optical Network	ARE-ON
ASU - System	ASU-SYS
ASU - Heritage Sites	ASU-Heritage
HSU - Community Education Center	HSU-CEC
SAUT - Environmental Training Academy	SAUT-ETA
SAUT - Fire Training Academy	SAUT-FTA
UA - System (Little Rock)	UA-SYS
UA - Archeological Survey (Fayetteville)	UA-AS
UA - Clinton School of Public Service (Little Rock)	UA-CS
UA - Criminal Justice Institute (Little Rock)	UA-CJI
UA - Division of Agriculture (Fayetteville and Little Rock)	UA-DIV AGR
UA - School of Mathematics, Sciences, and the Arts (Hot Springs)	UA-ASMSA
UA-Winthrop Rockefeller Institute Knowledge Based Economic Development Program	UA-WRI KBED
UAF - Garvan Woodland Gardens (Hot Springs)	UAF-GWG
UAF - The David & Barbara Pryor Center for Arkansas Oral and Visual History	UAF-Pryor Center
UALR - Research and Public Service	UALR-RAPS
University of Arkansas at Pine Bluff (Non-Formula)	UAPB
University of Arkansas for Medical Sciences	UAMS

Technical Centers

ATU - Ozark Campus	ATU-OZARK
UAM - College of Technology - Crossett	UAM-CROSSETT
UAM - College of Technology - McGehee	UAM-MCGEHEE

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**RECOMMENDATIONS FOR EDUCATIONAL AND GENERAL OPERATIONS
STATE-SUPPORTED INSTITUTIONS OF HIGHER EDUCATION
2013-15 BIENNIUM**

Background

A.C.A. §6-61-223 establishes the process and key components for formula development for funding public institutions of higher education. That language reads as follows:

“(a) The Arkansas Higher Education Coordinating Board will work with the state college and university Presidents Council to review, revise, and develop funding formulas which will, in principle, seek to provide fair and equitable state support to all postsecondary students across the state, regardless of the state institution attended, while at the same time recognizing: (1) The different needs for lower level, upper level, and graduate level instruction at the various institutions; (2) The requirements for specialized equipment, labs and smaller class sizes in some disciplines; and (3) Unique missions, such as agricultural extension services, research, medical sciences, workforce development, and public service; and (4) Growth, economies of scale, and other appropriate factors. (b) The formulas will be developed in consensus with the state college and university president’s council and presented to the Joint Budget Committee for review.”

At its April 27, 2012 meeting, the Arkansas Higher Education Coordinating Board approved the funding models for the two-year colleges, universities, and the technical centers (former technical institutes merged with universities). These models had been developed in conjunction with presidents and chancellors after meetings and revisions. The funding models were used to develop the comparative needs of Arkansas institutions of higher education in terms of the average funding levels of schools in the SREB region.

The staff reviewed the justification requests submitted by the non-formula group and prepared preliminary funding recommendations based upon those requests. After making preliminary recommendations, the staff conducted budget meetings with all non-formula entities which had concerns with the recommendations. After the conclusion of all budget meetings, final recommendations were made in light of the appeals heard during the budget meetings.

The difference between the funding model determined needs of the entities compared to the Fiscal 2013 appropriations was

\$270.3 million. The funding gap (the difference between the formula determined need and the current fiscal year appropriation) is part of a phenomenon that occurs when there is an extended economic downturn which is invariably accompanied by enrollment increases, declining state support and tuition increases (to replace a part of the lost state support). It should be emphasized that the funding needs of the institutions are the funds needed to bring Arkansas higher education to the **average** funding level of the SREB region. The funding gap took a number of years to reach its current level and may never be completely erased.

Operating Funding Recommendations for the 2013-2015 Biennium

The operating needs are based upon the tuition policies established by the Arkansas Higher Education Coordinating Board's approval of Agenda Items 14 on April 27, 2012 and the funding formulas approved by the AHECB in April 2012. Each funding formula was developed to provide an equitable basis for the distribution of **any funding available**.

The funding models have been updated with calendar year 2011 SSCH for both census-date and end-of-term using eighty (80) percent of the census-date SSCH and twenty (20) percent of the end-of-term SSCH. The input data for the funding models were the SSCH by level and discipline as reported in the Student Information System for calendar year 2011 and the new educational and general square footage as reported in the Facilities Audit Program (FAP) 2012. The updated formula driven models represent a total need for funding of higher education institutions of \$874,157,036 and non-formula entities of \$270,500,222 in 2013-14.

Since it is not anticipated that the models will be fully funded in the near future, funding recommendations for 2013-14 are:

For the four-year institutions, a 2.3 percent increase for all institutions based on the Higher Education Price Index (HEPI) which totals \$8.6 million and further recommending that those institutions below 75 percent of the model be brought to that level which is an additional \$42.4 million for a total four-year recommendation of \$51.0 million in new revenue.

For the two-year institutions the priority is to bring those institutions below 75 percent of the model before giving an increase based on the HEPI index. To bring those institutions below 75 percent to that level would require \$22.4 million. To provide a 2.3 percent increase on the HEPI index would require an additional \$3.0 million for a total two-year recommendation of \$25.5 million in new revenue.

For the non-formula entities the recommendation is for a 2.3 percent increase, based on the HEPI index, of \$4.3 million and a recommendation of full funding which would require an additional \$53.8 million, of which \$39.4 million would be for the University of Arkansas for Medical Sciences (UAMS). The total recommendation for non-formula entities is \$58.1 million in new revenue.

For the technical centers the recommendation is for a 2.3 percent increase based on the HEPI index which is \$121,275 and an adjustment to bring those below 75 percent of the model to 75 percent which would require an additional \$1.9 million, for a total recommendation of \$2.1 million in new revenue.

The allocation of funds generated by the increases in RSA funding between colleges, universities, non-formula entities and technical institutes is based upon each group's share of the need for new funds.

The individual institutional recommendations for all four types of institutions (Colleges, Universities, Non-Formula Entities, and Technical Institutes) were determined in the following manner: The general revenue funds were distributed based upon the need for new funds as determined by the three funding formulas and the ADHE staff determined need of the non-formula entities. The non-formula needs were based up the justifications submitted by the institutions. The total recommendation for 2013-14 for Colleges, Universities, Non-Formula Entities and Technical Centers is \$136.9 million in new revenue.

It should be noted that it is unlikely that these recommendations will keep pace with the combination of inflation and enrollment increases for most institutions. However, given the economic uncertainty and declining state revenues, there is even a greater uncertainty than usual that even these modest recommendations will be funded.

The principles for determining operating needs address continued levels of base funding for institutions, equity, small college adjustment, and economies of scale. Specific aspects of the operating recommendations for all institutions follow:

All of the general revenue increases recommended were distributed on the bases of the funding formula or staff determined need for new funding.

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Table A. Summary of Operating Needs & Recommendations for the 2013-15 Biennium



Institution Type	Fiscal Year 2012-13 Base						FY2013-14 AHECB Recommendations				FY2014-15 AHECB Recommendations		
	95% Current RSA Base	5% Performance Funding	Total Current RSA Base (4/11/12)	EETF (4/11/12)	WF2000 (4/23/12)	Total Fiscal Year Base	Total Need	Total Recommendation	New Funds	% Inc	Total Recommendation	New Funds	% Inc
Colleges	133,033,632	7,001,770	140,035,402	6,990,887	19,755,675	166,781,964	237,269,781	192,323,589	25,541,625	15.3%	196,747,032	4,423,443	2.3%
Universities	374,252,348	19,697,492	393,949,839	35,727,682		429,677,521	624,879,175	480,770,247	51,092,726	11.9%	491,827,963	11,057,716	2.3%
Subtotal	507,285,979	26,699,262	533,985,241	42,718,569	19,755,675	596,459,485	862,148,956	673,093,836	76,634,351	12.8%	688,574,994	15,481,158	2.3%
Technical Centers			5,272,810			1,886,946	7,159,756	12,008,080	9,277,808	29.6%	9,491,198	213,390	2.3%
Total			539,258,051	42,718,569	21,642,621	603,619,241	874,157,036	682,371,644	78,752,403	13.0%	698,066,192	15,694,548	2.3%

Non-Formula Entity Type	Fiscal Year 2012-13 Base			FY2013-14 AHECB Recommendation			FY 2014-15 AHECB Recommendation		
	Total RSA Base	EETF (4/11/12)	Total Fiscal Year Base	Total Recommendation	New Funds	% Inc	Total Recommendation	New Funds	% Inc
Non-Formula Entities	85,909,224	12,814,025	98,723,249	115,096,477	16,373,228	16.6%	117,743,696	2,647,219	2.3%
Health Care-Related UAMS	104,404,430	9,189,447	113,593,877	155,403,745	41,809,868	36.8%	158,978,031	3,574,286	2.3%
Total	190,313,654	22,003,472	212,317,126	270,500,222	58,183,096	27.4%	276,721,727	6,221,505	2.3%

All Institution Types	Fiscal Year 2012-13 Base						FY2013-14 AHECB Recommendation			FY2014-15 AHECB Recommendation		
	95% Current RSA Base	5% Performance Funding	Total Current RSA Base (4/11/12)	EETF (4/11/12)	WF2000 (4/23/12)	Total Fiscal Year Base	Total Recommendation	New Funds	% Inc	Total Recommendation	New Funds	% Inc
Total	507,285,979	26,699,262	539,258,051	64,722,041	21,642,621	815,936,367	952,871,866	136,935,499	16.8%	974,787,919	21,916,053	2.3%

NOTE: FY2012-13 Base - DFA Forecast as of 4/11/2012

Table B. 2013-15 Four-Year Universities Recommendations



Inst	2012-13					FY2013-14							FY2014-15	
	95% Needs-based RSA Base	5% Outcomes-based RSA Base	Total RSA	EETF	Total Base (RSA & EETF)	Total Need	% of Need	2.3% Continuing Level on RSA (95% Needs-Based)	Adjustment to Reach 75% of Need	New Funds	Total Recommendation	% of Need	2.3% Continuing Level	Total Recommendation
ASUJ	51,484,381	2,709,704	54,194,085	5,913,685	60,107,770	96,882,191	62.0%	1,184,141	11,369,732	12,553,873	72,661,643	75.0%	1,671,218	74,332,861
ATU	27,683,599	1,457,032	29,140,631	1,982,307	31,122,938	46,040,812	67.6%	636,723	2,770,948	3,407,671	34,530,609	75.0%	794,204	35,324,813
HSU	17,578,099	925,163	18,503,262	2,050,603	20,553,865	22,501,494	91.3%	404,296		404,296	20,958,161	93.1%	482,038	21,440,199
SAUM	14,677,096	772,479	15,449,575	1,212,362	16,661,937	21,325,154	78.1%	337,573		337,573	16,999,510	79.7%	390,989	17,390,499
UAF	107,676,480	5,667,183	113,343,663	8,980,814	122,324,477	197,331,204	62.0%	2,476,559	23,197,367	25,673,926	147,998,403	75.0%	3,403,963	151,402,366
UAFS	19,232,908	1,012,258	20,245,166	3,004,710	23,249,876	32,903,731	70.7%	442,357	985,565	1,427,922	24,677,798	75.0%	567,589	25,245,388
UALR	53,440,349	2,812,650	56,252,999	5,207,521	61,460,520	86,588,885	71.0%	1,229,128	2,252,016	3,481,144	64,941,664	75.0%	1,493,658	66,435,322
UAM	12,306,577	647,715	12,954,291	1,046,306	14,000,597	16,223,751	86.3%	283,051		283,051	14,283,649	88.0%	328,524	14,612,173
UAPB	20,503,039	1,079,107	21,582,146	1,816,365	23,398,511	25,283,648	92.5%	471,570		471,570	23,870,081	94.4%	549,012	24,419,093
UCA	49,669,820	2,614,201	52,284,021	4,513,009	56,797,030	79,798,305	71.2%	1,142,406	1,909,293	3,051,699	59,848,729	75.0%	1,376,521	61,225,250
Total	374,252,348	19,697,492	393,949,839	35,727,682	429,677,521	624,879,175	68.8%	8,607,804	42,484,922	51,092,726	480,770,247	76.9%	11,057,716	491,827,963

Table C. 2013-15 Two-Year Colleges Recommendations



Inst	FY2012-13						FY2013-14						FY2014-15	
	95% Needs-based RSA Base	5% Outcomes-based RSA Base	Total RSA Base	EETF	WF2000	Total Base (RSA, EETF & WF2000)	Total Need	% of Need Met	Adjustment to Reach 75% of Need	2.3% Continuing Level on RSA (95% Needs-Based)	New Funds	Total Recommendation	2.3% Continuing Level	Total Recommendation
ANC	8,148,199	428,853	8,577,052	707,281	639,259	9,923,592	9,923,592	100.0%	-	187,409	187,409	10,111,001	232,553	10,343,554
ASUB	11,442,670	602,246	12,044,916	1,410,895	701,344	14,157,155	15,098,323	93.8%	-	263,181	263,181	14,420,336	331,668	14,752,004
ASUMH	3,403,112	179,111	3,582,223	0	720,569	4,302,792	6,678,457	64.4%	706,051	78,272	784,322	5,087,114	117,004	5,204,118
ASUN	5,692,678	299,615	5,992,293	0	1,239,791	7,232,084	9,503,372	76.1%	-	130,932	130,932	7,363,016	169,349	7,532,365
BRTC	5,746,934	302,470	6,049,404	0	1,963,554	8,012,958	11,599,975	69.1%	687,023	132,179	819,203	8,832,161	203,140	9,035,300
CCCUA	3,184,045	167,581	3,351,626	0	1,180,942	4,532,568	6,674,617	67.9%	473,395	73,233	546,628	5,079,196	116,822	5,196,017
CotO	3,350,898	176,363	3,527,261	0	1,011,321	4,538,582	6,017,203	75.4%	-	77,071	77,071	4,615,653	106,160	4,721,813
EACC	5,498,655	289,403	5,788,058	738,357	0	6,526,415	6,753,443	96.6%	-	126,469	126,469	6,652,884	153,016	6,805,900
MSCC	3,627,211	190,906	3,818,117	0	1,916,071	5,734,188	8,215,539	69.8%	427,466	83,426	510,892	6,245,080	143,637	6,388,717
NAC	7,567,786	398,305	7,966,091	436,065	503,023	8,905,179	11,886,042	74.9%	9,353	174,059	183,412	9,088,591	209,038	9,297,628
NPCC	8,496,613	447,190	8,943,803	1,104,316	584,220	10,632,339	15,643,628	68.0%	1,100,382	195,422	1,295,804	11,928,143	274,347	12,202,490
NWACC	9,580,335	504,228	10,084,563	975,931	0	11,060,494	22,386,264	49.4%	5,729,204	220,348	5,949,552	17,010,046	391,231	17,401,277
OZC	2,839,259	149,435	2,988,694	0	1,112,292	4,100,986	7,436,604	55.1%	1,476,467	65,303	1,541,770	5,642,756	129,783	5,772,539
PCCUA	8,609,934	453,154	9,063,088	719,059	463,387	10,245,534	10,245,534	100.0%	-	198,028	198,028	10,443,562	240,202	10,683,764
PTC	13,734,234	722,854	14,457,088	0	1,988,535	16,445,623	31,648,347	52.0%	7,290,637	315,887	7,606,525	24,052,148	553,199	24,605,347
RMCC	3,041,188	160,063	3,201,250	194,899	0	3,396,149	4,608,477	73.7%	60,209	69,947	130,156	3,526,305	81,105	3,607,410
SACC	5,694,600	299,716	5,994,316	504,983	403,509	6,902,808	9,775,134	70.6%	428,543	130,976	559,518	7,462,326	171,634	7,633,960
SAUT	5,357,210	281,958	5,639,168	199,101	0	5,838,269	8,732,271	66.9%	710,934	123,216	834,150	6,672,419	153,466	6,825,885
SEAC	5,354,958	281,840	5,636,798	0	1,727,416	7,364,214	8,832,382	83.4%	-	123,164	123,164	7,487,378	172,210	7,659,588
UACCB	3,848,057	202,529	4,050,586	0	758,027	4,808,613	7,561,318	63.6%	862,376	88,505	950,881	5,759,494	132,468	5,891,962
UACCH	4,267,397	224,600	4,491,997	0	1,713,204	6,205,201	6,801,214	91.2%	-	98,150	98,150	6,303,351	144,977	6,448,328
UACCM	4,547,660	239,351	4,787,010	0	1,129,211	5,916,221	11,248,045	52.6%	2,519,813	104,596	2,624,409	8,540,630	196,434	8,737,064
Total	133,033,632	7,001,770	140,035,402	6,990,887	19,755,675	166,781,964	237,269,781	70.3%	22,481,852	3,059,774	25,541,625	192,323,589	4,423,443	196,747,032

Table D. 2013-15 Non-Formula Entities Recommendations



Institution/Entity	FY2012-13			FY2013-14					FY 2014-15	
	RSA	EETF	Total Base	100% ADHE Recommendation	2.3% Increase on RSA	Adjustment to 100% of ADHE Recommendation	Total New Funds	Total Recommendation	2.3% Continuing Level	Total Recommendation
ADTEC/ADWIRED				2,000,000	0	2,000,000	2,000,000	2,000,000	46,000	2,046,000
AREON				1,350,758	0	1,350,758	1,350,758	1,350,758	31,067	1,381,825
ASU-System Office	2,362,680		2,362,680	2,860,360	54,342	443,338	497,680	2,860,360	65,788	2,926,148
ASU-Heritage	300,000		300,000	2,191,862	6,900	1,884,962	1,891,862	2,191,862	50,413	2,242,275
HSU-CEC	210,585		210,585	216,903	4,843	1,475	6,318	216,903	4,989	221,892
SAUT-ETA	368,404	33,236	401,640	436,560	8,473	26,447	34,920	436,560	10,041	446,601
SAUT-FTA	1,651,221	84,198	1,735,419	2,348,209	37,978	574,812	612,790	2,348,209	54,009	2,402,218
UA-SYS	3,417,950	258,269	3,676,219	4,249,609	78,613	494,777	573,390	4,249,609	97,741	4,347,350
UA-AS	2,327,380	127,668	2,455,048	3,191,266	53,530	682,688	736,218	3,191,266	73,399	3,264,665
UA-DivAgri	62,800,138	5,307,780	68,107,918	71,244,334	1,444,403	1,692,013	3,136,416	71,244,334	1,638,620	72,882,954
UA-ASMSA	1,113,015	7,002,874	8,115,889	8,649,279	25,599	507,791	533,390	8,649,279	198,933	8,848,212
UA-CS	2,295,575		2,295,575	2,464,442	52,798	116,069	168,867	2,464,442	56,682	2,521,124
UA-CJI	1,825,769		1,825,769	2,918,042	41,993	1,050,280	1,092,273	2,918,042	67,115	2,985,157
UAF-GWG				500,000	0	500,000	500,000	500,000	11,500	511,500
UAF-Pryor Center				235,000	0	235,000	235,000	235,000	5,405	240,405
UALR-RAPS	3,588,916		3,588,916	5,616,583	82,545	1,945,122	2,027,667	5,616,583	129,181	5,745,764
UAPB-Nonformula*	3,647,591		3,647,591	4,623,270	83,895	891,784	975,679	4,623,270	106,335	4,729,605
Total	85,909,224	12,814,025	98,723,249	115,096,477	1,975,912	14,397,316	16,373,228	115,096,477	2,647,219	117,743,696

*100% Recommendation for federal matching purposes.

Health-Related Non-Formula Entity - UAMS

Institution/Entity	FY2012-13			FY 2013-14					FY 2014-15	
	RSA	EETF	Total Base	100% ADHE Recommendation	2.3% Increase on RSA	Adjustment to 100% of ADHE Recommendation	Total New Funds	Total Recommendation	2.3% Continuing Level	Total Recommendation
UAMS	95,656,661	8,966,330	104,622,991	146,255,501	2,200,103	39,432,407	41,632,510	146,255,501	3,363,877	149,619,378
UAMS-CARDVC	735,000		735,000	735,000	0	0	0	735,000	16,905	751,905
UAMS-Child Safety	720,588		720,588	742,204	16,574	5,042	21,616	742,204	17,071	759,275
UAMS-Ped&PRI	1,950,000		1,950,000	1,950,000	0	0	0	1,950,000	44,850	1,994,850
UAMS-IC	5,342,181	223,117	5,565,298	5,721,040	122,870	32,872	155,742	5,721,040	131,584	5,852,624
Total	104,404,430	9,189,447	113,593,877	155,403,745	2,339,547	39,470,321	41,809,868	155,403,745	3,574,286	158,978,031

Table E. 2013-15 Technical Centers Recommendations



Institution	FY2012-13			FY2013-14							FY 2014-15	
	RSA	Workforce 2000	Total Base	100% Model Calculated Need	% of Need Met	2.3% Continuing Level on RSA	Adjustment to 75% of Need	New Funds	Total Recommendation	% of Need	2.3% Continuing Level	Total Recommendation
ATU-Ozark	2,394,591	694,824	3,089,415	6,823,119	45.3%	55,076	1,972,848	2,027,924	5,117,339	75.0%	117,699	5,235,038
UAM-Crossett	1,154,300	574,603	1,728,903	2,372,509	72.9%	26,549	23,929	50,478	1,779,382	75.0%	40,926	1,820,308
UAM-McGehee	1,723,918	617,519	2,341,437	2,812,452	83.3%	39,650		39,650	2,381,087	84.7%	54,765	2,435,852
Total	5,272,810	1,886,946	7,159,756	12,008,080	59.6%	121,275	1,996,778	2,118,053	9,277,808	77.3%	213,390	9,491,198

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RECOMMENDATIONS FOR STATE FUNDING OF CAPITAL PROJECTS 2013-15 BIENNIUM

Background

From a total of \$270 million appropriated, the Governor and the 88th General Assembly have provided to date allocations totaling \$13.8 million for distribution from the General Improvement Fund (GIF) for various capital projects at institutions of higher education. For the 2009-11 biennium almost \$35 million was distributed for capital projects. For the 2007-09 biennium, almost \$59 million was distributed, and in the 2005-07 biennium \$16.5 million was distributed for capital projects.

Capital Priorities

Capital priorities for General Improvement Fund projects in 2013-15 remain the same as those established in past biennia by the Arkansas Higher Education Coordinating Board. Those priorities are as follows:

- Technology infrastructure improvements including: installations or upgrades of local area networks (LANS), campus infrastructure to support increased bandwidth, and instructional technology equipment for classrooms and laboratories, as well as distance learning delivery systems.
- Critical maintenance projects where critical needs are defined as those which must be addressed before the end of 2013 and which, if neglected, could result in substantial damage to the structural integrity of the building, or are related to the imminent failure of building systems such as HVAC, electrical and plumbing. In addition, critical maintenance projects include those associated with ADA compliance and/or safety needs.
- Improvements in instructional, research, and clinical equipment as well as library holdings and technology.
- Renovation of existing facilities to address changing program needs.
- New construction of facilities when renovation of an existing building to meet the need is either not cost effective or is not an option, e.g., new space to address enrollment growth.

In light of these priorities, institutions have responded with capital needs for the 2013-15 biennium. ADHE staff has evaluated the requests and has recommended capital projects that meet the strategic needs of higher education through 2015.

Capital 2013-15 Recommendations

Arkansas higher education now has a current replacement value for its educational and general (E&G) space of \$4.6 billion. When this information is coupled with the fact that statewide, approximately 46 percent of the useful life of campus facilities has been expended, it is not surprising that the capital request for higher education is significant.

Construction, Renovation and Technology Infrastructure Projects

Institutions requested \$1.2 billion in capital construction/renovation and technology infrastructure projects. Recommendations were made on the basis of the following criteria and institutions' demonstrating a compelling need for the projects.

- Institutional need for additional E&G square footage
- Condition of facilities (facilities condition index factor, critical maintenance needs)
- Age of facilities
- Debt service (capacity and utilization)
- Enrollment
- SREB category of the institution

Of the \$136.0 million recommended, \$63.0 million (47 percent) is for four-year institutions; \$53.0 million (39 percent) for two-year institutions; \$18.0 million (13 percent) for non-formula entities; and \$2.1 million (1 percent) for technical institutes.

Four-year institutions represent about 54 percent of total higher education facilities assets, while the two-year institutions and non-formula entities have 24 percent and 21 percent of the total assets, respectively. Technical institutes represent

approximately 1 percent of the total assets. A narrative description of each recommended construction/renovation and technology infrastructure project follows in Table 2.

Deferred Maintenance

Educational and General maintenance needs for all institutions total over \$2.4 billion. The four-year institutions have a maintenance need of \$1.5 billion (63 percent); the two-year maintenance need is \$365 million (15 percent); and the non-formula and technical institute maintenance needs are \$513 million (21 percent) and \$37 million (1 percent) respectively.

Recommendations are based on two percent of the institution's total maintenance need. The total deferred maintenance need and recommendation for each institution can be found on Table 1.

Staff recommends approximately \$59.4 million for deferred maintenance needs.

Equipment and Library

Staff recommends approximately \$21 million for equipment and library needs. This recommendation is based on \$150/weighted FTE for the four-year and two-year institutions. The minimum for the technical institutes and non-formula entities was set at \$30,000.

Summary

The capital recommendation is significant given the projected revenues to support the projects; however, these recommendations reflect the continuing need to maintain the state's investment in higher education facilities and keep pace with the technological advances that are necessary for cutting edge academic programs. Project categories and recommendations are summarized as follows:

<u>Project Category</u>	<u>Recommendations</u>
Renovation, Construction and Technology Infrastructure	\$135,999,318
Deferred Maintenance	59,367,211
Equipment and Library	20,965,648
GRAND TOTAL	\$216,332,177

Table 1: Capital Requests / Recommendations for the 2013-15 Biennium

INSTITUTION/ PROJECT NAME	PROJECT TYPE	REQUESTED AMOUNT	ADHE RECOMM.	Priority	
				A	B
ASUJ					
Projects:					
Humanities and Social Sciences Bldg	New Construction	21,575,068	4,000,000	4,000,000	
Wilson Hall Renovation	Renovation	7,500,000	3,000,000	3,000,000	
Army Reserve Center Renovation	Renovation	2,000,000			
Fine Arts Annex Replacement	New Construction	7,000,000			
Lab Science Renovation	Renovation	5,000,000			
Convocation Center Renovation	Renovation	30,000,000	-		
Hemingway-Pfeiffer Educational Center	New Construction	6,800,000			
Administration Building Renovation	Renovation	2,500,000			
Large Animal Instructional Center	New Construction	2,000,000			
Research Building	New Construction	25,000,000			
Project Total		109,375,068	7,000,000	7,000,000	
Deferred Maintenance		204,924,378	4,100,000	4,100,000	
Replacement Equipment & Library			1,890,484	1,890,484	
Total		314,299,446	12,990,484	12,990,484	
ATU					
Projects:					
Academic Classroom Facility	New Construction	19,748,062	3,500,000	3,500,000	
Educational and General Buildings	Renovation & Critical Maintenance	2,496,393	2,500,000	2,500,000	
Project Total		22,244,455	6,000,000	6,000,000	
Deferred Maintenance		80,251,718	1,610,000	1,610,000	
Replacement Equipment & Library			1,208,094	1,208,094	
Total		102,496,173	8,818,094	8,818,094	

Table 1: Capital Requests / Recommendations for the 2013-15 Biennium

INSTITUTION/ PROJECT NAME	PROJECT TYPE	REQUESTED AMOUNT	ADHE RECOMM.	Priority	
				A	B
HSU					
Projects:					
Phase I Campus Renovation	Renovation	5,000,000	5,000,000	5,000,000	
School of Business Building / Mooney Hall	Renovation & New Construction	14,139,400			
Henderson State University LANS	Technology	654,000	654,000	654,000	
Underground Electrical Distribution	Energy Conservation	2,200,000	346,000	346,000	
Land Acquisition	Other	575,000			
Project Total		22,568,400	6,000,000	6,000,000	
Deferred Maintenance		74,984,138	1,746,000	1,746,000	
Replacement Equipment & Library			546,168	546,168	
Total		97,552,538	8,292,168	8,292,168	
SAUM					
Projects:					
Agricultural Instructional Lab Facilities	New Construction	1,300,000	1,300,000	1,300,000	
Technology Upgrades	Technology	2,706,235	2,500,000	2,500,000	
Bruce Center Conversion	Renovation	2,519,931	850,000	850,000	
Engineering Training Center	Renovation	5,010,941	850,000	850,000	
Project Total		11,537,107	5,500,000	5,500,000	
Deferred Maintenance		78,659,342	1,570,000	1,570,000	
Replacement Equipment & Library			496,133	496,133	
Total		90,196,449	7,566,133	7,566,133	

Table 1: Capital Requests / Recommendations for the 2013-15 Biennium

INSTITUTION/ PROJECT NAME	PROJECT TYPE	REQUESTED AMOUNT	ADHE RECOMM.	Priority	
				A	B
UAF					
Projects:					
General Use Classroom & Teaching Lab Building	New Construction	17,026,178			
Kimpel Hall	Renovation	22,105,721	8,000,000	8,000,000	
Engineering Hall - Restoration/Auditorium Addition	Restoration / Renovation / Addition	20,567,507			
Memorial Hall	Restoration / Renovation	16,845,092			
Business Building - Building Systems Renewal	Renovation	9,833,274			
Agriculture Building	Restoration / Renovation	17,742,000			
Mullins Library	Renovation / Addition	86,214,409			
Fine Arts Building	Restoration / Renovation	19,328,050			
Human Environmental Sciences Building	Restoration / Renovation	9,599,400			
Mechanical Engineering - Building Systems Renewal	Renovation	4,879,550			
Concert Hall at the Field House	Restoration / Renovation	16,061,970			
Nanoscale Mat, Sci and Eng - 3rd Flr Fitout & Cleanrm	New Construction (Interior Only)	15,836,977			
Project Total		256,040,128	8,000,000	8,000,000	
Deferred Maintenance		461,572,369	9,230,000	9,230,000	
Replacement Equipment & Library			3,821,449	3,821,449	
Total		717,612,497	21,051,449	21,051,449	
UAFS					
Projects:					
Math-Science Building	Renovation	5,983,875	2,500,000	2,500,000	
Education Building	New Construction	8,251,000	1,500,000	1,500,000	
Fine Arts Building	New Construction	19,534,312	1,500,000	1,500,000	
Project Total		33,769,187	5,500,000	5,500,000	
Deferred Maintenance		54,324,965	1,090,000	1,090,000	
Replacement Equipment & Library			884,200	884,200	
Total		88,094,152	7,474,200	7,474,200	

Table 1: Capital Requests / Recommendations for the 2013-15 Biennium

INSTITUTION/ PROJECT NAME	PROJECT TYPE	REQUESTED AMOUNT	ADHE RECOMM.	Priority	
				A	B
UALR					
Projects:					
Nanotechnology Center (CINS)	New Construction	3,910,700			
Technology Infrastructure Improvements	Technology	9,450,000	1,500,000	1,500,000	
Classroom Technology Improvements	Technology	2,215,000	1,500,000	1,500,000	
Bldg. Infrastructure / Critical Maintenance	Critical Maintenance	6,108,004	4,000,000	4,000,000	
Science Classroom / Laboratory	New Construction	22,280,000			
Communication Classroom / Lab.	New Construction	19,857,500			
Applied Arts Renov. / Expansion	New Construction	7,820,000			
Electrical Generation Plant	New Construction	11,930,737			
Sequoia National Research Center	New Construction	8,000,000			
Project Total		91,571,941	7,000,000	7,000,000	
Deferred Maintenance		219,423,385	4,390,000	4,390,000	
Replacement Equipment & Library			1,950,554	1,950,554	
Total		310,995,326	13,340,554	13,340,554	
UAM					
Projects:					
Renovation of Math and Science Center	Renovation	2,500,000	2,500,000	2,500,000	
New Telephone System	Technology	250,000	250,000	250,000	
Recable Campus Buildings	Technology	300,000	300,000	300,000	
Wireless Access Point and Controller	Technology	150,000	150,000	150,000	
Renovation of Music Building	Renovation	1,300,000	1,300,000	1,300,000	
Campus Roadway and Parking Improvements	New Construction	1,200,000			
Academic Learning Center	New Construction	8,800,000	1,000,000	1,000,000	
Project Total		14,500,000	5,500,000	5,500,000	
Deferred Maintenance		66,263,763	1,330,000	1,330,000	
Replacement Equipment & Library			373,213	373,213	
Total		80,763,763	7,203,213	7,203,213	

Table 1: Capital Requests / Recommendations for the 2013-15 Biennium

INSTITUTION/ PROJECT NAME	PROJECT TYPE	REQUESTED AMOUNT	ADHE RECOMM.	Priority	
				A	B
UAPB					
Projects:					
Campus Renovations & Repair	Critical Maintenance	12,000,000	1,950,000	1,950,000	
Technology Upgrades	Technology	550,000	550,000	550,000	
STEM Conference Center	New Construction	3,000,000	3,000,000	3,000,000	
Library Expansion	New Construction	14,500,000			
Health Center	New Construction	6,000,000			
Diversity Center	New Construction	9,000,000			
Project Total		45,050,000	5,500,000	5,500,000	
Deferred Maintenance		59,236,875	1,180,000	1,180,000	
Replacement Equipment & Library			449,310	449,310	
Total		104,286,875	7,129,310	7,129,310	
UCA					
Projects:					
Cabling Upgrade	Technology	700,000	700,000	700,000	
Old Main	Renovation/Critical Maintenance	18,000,000	3,300,000	3,300,000	
Lewis Science Center Replacement	New Construction	75,000,000	3,000,000	3,000,000	
Torreyson Library Roof	Critical Maintenance	350,000			
Fiber Replacement	Technology	1,250,000			
Learning Commons	New Construction	60,000,000			
Project Total		155,300,000	7,000,000	7,000,000	
Deferred Maintenance		158,548,147	3,170,000	3,170,000	
Replacement Equipment & Library			1,714,461.25	1,714,461	
Total		313,848,147	11,884,461	11,884,461	
Subtotal Four Year					
Projects		\$ 761,956,286	\$ 63,000,000	\$ 63,000,000	\$ -
Deferred Maintenance		\$ 1,458,189,080	\$ 29,416,000	\$ 29,416,000	\$ -
Equipment & Library		\$ -	\$ 13,334,064	\$ 13,334,064	\$ -
Total		\$ 2,220,145,366	\$ 105,750,064	\$ 105,750,064	\$ -

Table 1: Capital Requests / Recommendations for the 2013-15 Biennium

INSTITUTION/ PROJECT NAME	PROJECT TYPE	REQUESTED AMOUNT	ADHE RECOMM.	Priority	
				A	B
ATU - Ozark					
Projects:					
Electrical System Upgrade	Critical Maintenance	193,188	193,188	193,188	
Project Total		193,188	193,188	193,188	
Deferred Maintenance		27,138,943	2,036,812	2,036,812	
Replacement Equipment & Library			122,350	122,350	
Total		27,332,131	2,352,350	2,352,350	
UAM-Crossett					
Projects:					
Workforce/Collegiate Center UAM CTC	New Construction	2,150,000	950,000	950,000	
Project Total		2,150,000	950,000	950,000	
Deferred Maintenance		4,277,143	840,000	840,000	
Replacement Equipment & Library			43,380	43,380	
Total		6,427,143	1,833,380	1,833,380	
UAM-McGehee					
Projects:					
General Education Building UAM CTM	New Construction	4,250,000	950,000	950,000	
Project Total		4,250,000	950,000	950,000	
Deferred Maintenance		5,661,002	860,000	860,000	
Replacement Equipment & Library			49,580	49,580	
Total		9,911,002	1,859,580	1,859,580	
Subtotal Technical Institutes					
Projects		\$ 6,593,188	\$ 2,093,188	\$ 2,093,188	\$ -
Deferred Maintenance		\$ 37,077,088	\$ 3,736,812	\$ 3,736,812	\$ -
Replacement Equipment & Library		\$ -	\$ 215,310	\$ 215,310	\$ -
Total		\$ 43,670,276	\$ 6,045,310	\$ 6,045,310	\$ -

Table 1: Capital Requests / Recommendations for the 2013-15 Biennium

INSTITUTION/ PROJECT NAME	PROJECT TYPE	REQUESTED AMOUNT	ADHE RECOMM.	Priority	
				A	B
ASU-SYS					
Projects:					
System Office Renovation Project	Renovation	1,550,896	1,050,000	1,050,000	
Project Total		1,550,896	1,050,000	1,050,000	
Deferred Maintenance		0	0	0	
Replacement Equipment & Library		0	0	0	
Total		1,550,896	1,050,000	1,050,000	
SAUT - Environmental Training Academy					
Projects:					
NO REQUESTS					
Project Total		0			
Deferred Maintenance		715,529	715,529	715,529	
Replacement Equipment & Library		0	0	0	
Total		715,529	715,529	715,529	
SAUT - Fire Training Academy					
Projects:					
Dormitory	New Construction	4,335,708	1,000,000	1,000,000	
Confined Space/Rescue Tech Access	New Construction	500,000	100,000	100,000	
Driving Course	New Construction	500,000			
Classroom Building (Lincoln Site)	New Construction	3,000,000			
Classroom Building (Jonesboro Site)	New Construction	200,000			
Heat Building (Residential)	New Construction	200,000			
Heat Building (Commercial)	New Construction	300,000			
		4,000,000			
Project Total		13,035,708	1,100,000	1,100,000	
Deferred Maintenance		1,853,506	40,000	40,000	
Replacement Equipment & Library		0	0	0	
Total		14,889,214	1,140,000	1,140,000	

Table 1: Capital Requests / Recommendations for the 2013-15 Biennium

INSTITUTION/ PROJECT NAME	PROJECT TYPE	REQUESTED AMOUNT	ADHE RECOMM.	Priority	
				A	B
UA - Div. Of Agriculture					
Projects:					
Plant Science & Plant Genomics Bldg	New Construction	1,900,000	1,900,000	1,900,000	
CES Lonoke Agriculture Center	New Construction	500,000	500,000	500,000	
Soil Testing and Research Laboratory - Marianna	Technology	1,375,000	1,375,000	1,375,000	
Project Total		3,775,000	3,775,000	3,775,000	
Deferred Maintenance		70,914,213	1,695,000	1,695,000	
Replacement Equipment & Library			0	0	
Total		74,689,213	5,470,000	5,470,000	
UA - Clinton School					
Projects:					
Lecture Hall	New Construction (Leasehold Improvement)	325,000	325,000	325,000	
Project Total		325,000	325,000	325,000	
Deferred Maintenance		0	0	0	
Replacement Equipment & Library			0	0	
Total		325,000	325,000	325,000	
UA - Criminal Justice Institute					
Projects:					
Criminal Justice Institute Building	New Construction	4,445,500	601,500	601,500	
CJI Facility Equipment Upgrades	Equipment	301,000	301,000	301,000	
CJI Forensic Lab and Classroom Equipment	Equipment	97,500	97,500	97,500	
Project Total		4,844,000	1,000,000	1,000,000	
Deferred Maintenance		0	0	0	
Replacement Equipment & Library			0	0	
Total		4,844,000	1,000,000	1,000,000	

Table 1: Capital Requests / Recommendations for the 2013-15 Biennium

INSTITUTION/ PROJECT NAME	PROJECT TYPE	REQUESTED AMOUNT	ADHE RECOMM.	Priority	
				A	B
UA-AAS					
Projects:					
Roof of Survey Building	Critical Maintenance	163,277	163,277	163,277	
Archives & Photo Preservation Tech	Technology & Equip Upgrade	17,448	17,448	17,448	
Cemetery Preservation Technology	Technology & Equip Upgrade	26,196	26,196	26,196	
Project Total		206,921	206,921	206,921	
Deferred Maintenance		2,964,139	1,003,079	1,003,079	
Replacement Equipment & Library			0	0	
Total		3,171,060	1,210,000	1,210,000	
UA-AREON					
Projects:					
Optical Transport Equip Replacements	Technology	1,314,000	1,000,000	1,000,000	
Project Total		1,314,000	1,000,000	1,000,000	
Deferred Maintenance		0	0	0	
Replacement Equipment & Library			0	0	
Total		1,314,000	1,000,000	1,000,000	
UA-ASMSA					
Projects:					
Cedar Street Building Renovations	Renovation	5,000,000	700,000	700,000	
Multi-purpose Building	New Construction	6,000,000	300,000	300,000	
Project Total		11,000,000	1,000,000	1,000,000	
Deferred Maintenance		0	0	0	
Replacement Equipment & Library			0	0	
Total		11,000,000	1,000,000	1,000,000	

Table 1: Capital Requests / Recommendations for the 2013-15 Biennium

INSTITUTION/ PROJECT NAME	PROJECT TYPE	REQUESTED AMOUNT	ADHE RECOMM.	Priority	
				A	B
UA-SYS					
Projects:					
Cammack Campus Critical Maint.	Critical Maintenance	570,000	1,050,000	1,050,000	
Technology Upgrades	Technology	100,000			
System Office Expansion	New Construction	1,027,655			
Project Total		1,697,655	1,050,000	1,050,000	
Deferred Maintenance		2,107,806	420,000	420,000	
Replacement Equipment & Library			0	0	
Total		3,805,461	1,470,000	1,470,000	
UAMS					
Projects:					
UAMS Oral Health Center - Phase 2	Renovation/Remodeling	2,518,710	2,518,710	2,518,710	
Ambulatory/Ancillary Space-West Little Rock Clinic	New Construction	20,000,000			
Expand Outpatient Services-Add 2 Flrs	New Construction	10,885,000			
Ed II Building Infrastructure/Facilities	Renovation	10,961,884	4,981,290	4,981,290	
Pine/Cedar Street Expansion	New Construction	4,976,047			
Build Out 2 Floors-W P Rockefeller Cancer Institute	New Construction	9,750,000			
Project Total		59,091,641	7,500,000	7,500,000	
Deferred Maintenance		434,111,266	8,680,000	8,680,000	
Replacement Equipment & Library		0	1,360,814	1,360,814	
Total		493,202,907	17,540,814	17,540,814	
Subtotal Non-Formula					
Projects		\$ 96,840,821	\$ 18,006,921	\$ 18,006,921	\$ -
Deferred Maintenance		\$ 512,666,460	\$ 12,553,608	\$ 12,553,608	\$ -
Equipment & Library		\$ -	\$ 1,360,814	\$ 1,360,814	\$ -
Total		\$ 607,956,385	\$ 31,921,343	\$ 31,921,343	\$ -

Table 1 - Capital Recommendations 2013-15

Table 1: Capital Requests / Recommendations for the 2013-15 Biennium

INSTITUTION/ PROJECT NAME	PROJECT TYPE	REQUESTED AMOUNT	ADHE RECOMM.	Priority	
				A	B
ANC					
Projects:					
Nursing & Allied Health Building (Paragould)	New Construction	3,415,000	2,650,000	2,650,000	
Workforce Training Building	New Construction	3,700,000			
Center for Science and Technology	New Construction	11,000,000			
General Classroom Building	New Construction	3,500,000			
Project Total		21,615,000	2,650,000	2,650,000	
Deferred Maintenance		14,426,053	290,000	290,000	
Replacement Equipment & Library			184,130	184,130	
Total		36,041,053	3,124,130	3,124,130	
ASUB					
Projects:					
Heber Springs Academic Center Expansion	New Construction	2,273,000	1,500,000	1,500,000	
State Hall	Renovation	2,291,000	1,500,000	1,500,000	
Performing Arts Center	New Construction	24,728,000			
Project Total		29,292,000	3,000,000	3,000,000	
Deferred Maintenance		42,696,229	850,000	850,000	
Replacement Equipment & Library			468,560	468,560	
Total		71,988,229	4,318,560	4,318,560	
ASUMH					
Projects:					
Vada Sheid Community Development Center	New Construction	5,000,000	1,500,000	1,500,000	
Technology Infrastructure Upgrade	Renovation	893,365	900,000	900,000	
Campus Security System	Renovation	188,806	200,000	200,000	
Project Total		6,082,171	2,600,000	2,600,000	
Deferred Maintenance		6,171,283	120,000	120,000	
Replacement Equipment & Library			160,010	160,010	
Total		12,253,454	2,880,010	2,880,010	

Table 1: Capital Requests / Recommendations for the 2013-15 Biennium

INSTITUTION/ PROJECT NAME	PROJECT TYPE	REQUESTED AMOUNT	ADHE RECOMM.	Priority	
				A	B
ASUN					
Projects:					
Classroom/Laboratory Bldg - Jonesboro Campus	New Construction	500,000	500,000	500,000	
Administration/Workforce Bldg. - Marked Tree Campus	New Construction	1,500,000	1,500,000	1,500,000	
Classroom Building - Jonesboro Campus	New Construction	2,000,000	325,000	325,000	
Classroom Building - Newport Campus	New Construction	2,500,000	325,000	325,000	
Project Total		6,500,000	2,650,000	2,650,000	
Deferred Maintenance		12,901,125	260,000	260,000	
Replacement Equipment & Library			182,200	182,200	
Total		19,401,125	3,092,200	3,092,200	
BRTC					
Projects:					
Fire Science Drill Tower	New Construction	950,000	1,200,000	1,200,000	
Paragould Academic Complex	New Construction	7,500,000	1,500,000	1,500,000	
"A" & "B" Bldg. Renovation	Renovation	2,550,000			
Health Science Bldg. Addition	New Construction	3,500,000			
Project Total		14,500,000	2,700,000	2,700,000	
Deferred Maintenance		15,648,173	310,000	310,000	
Replacement Equipment & Library			280,070	280,070	
Total		30,148,173	3,290,070	3,290,070	
CCCUA					
Projects:					
Classrooms / Lecture Hall / Arena	New Construction	3,000,000	1,500,000	1,500,000	
Collision Repair / Automotive Technology	Renovation	550,000	550,000	550,000	
HVAC Replacement - Administration Bldg	Critical Maintenance	200,000	200,000	200,000	
Network Storage - Disaster Recovery	Technology	162,511	162,000	162,000	
Gigabyte Network Upgrade	Technology	9,500	9,500	9,500	
Virtual Desktop Infrastructure	Technology	231,255	178,500	178,500	
Project Total		4,153,266	2,600,000	2,600,000	
Deferred Maintenance		12,481,676	250,000	250,000	
Replacement Equipment & Library			134,600	134,600	
Total		16,634,942	2,984,600	2,984,600	

Table 1 - Capital Recommendations 2013-15

Table 1: Capital Requests / Recommendations for the 2013-15 Biennium

INSTITUTION/ PROJECT NAME	PROJECT TYPE	REQUESTED AMOUNT	ADHE RECOMM.	Priority	
				A	B
COTO					
Projects:					
Technology Infrastructure Improvements	Technology	230,000	230,000	230,000	
Applied Science and Business Technology Center	New Construction	2,289,000	2,289,000	2,289,000	
Child Development Laboratory Center	New Construction	846,200			
Project Total		3,365,200	2,519,000	2,519,000	
Deferred Maintenance		8,409,867	201,000	201,000	
Replacement Equipment & Library			131,380	131,380	
Total		11,775,067	2,851,380	2,851,380	
EACC					
Projects:					
Technology Infrastructure	Renovation	486,931	487,000	487,000	
Student Center	New Construction	1,794,000			
Renovation of Classroom Bld. 3	Renovation	1,095,500	1,095,500	1,095,500	
Workforce Training Center	New Construction	4,208,000			
Maintenance Building	New Construction / Renovation	153,000	153,000	153,000	
Project Total		7,737,431	1,735,500	1,735,500	
Deferred Maintenance		9,050,122	1,044,500	1,044,500	
Replacement Equipment & Library			121,950	121,950	
Total		16,787,553	2,901,950	2,901,950	
MSCC					
Projects:					
Aviation Annex	New Construction	6,053,215	2,650,000	2,650,000	
Project Total		6,053,215	2,650,000	2,650,000	
Deferred Maintenance		17,023,147	340,000	340,000	
Replacement Equipment & Library			186,150	186,150	
Total		23,076,362	3,176,150	3,176,150	

Table 1: Capital Requests / Recommendations for the 2013-15 Biennium

INSTITUTION/ PROJECT NAME	PROJECT TYPE	REQUESTED AMOUNT	ADHE RECOMM.	Priority	
				A	B
NAC					
Projects:					
Science & Chemistry Addition	Renovation & New Construction	1,853,697	1,200,000	1,200,000	
Student Success Center	Renovation	1,003,800	1,000,000	1,000,000	
Info Tech Upgrade & Relocation	Technology & Renovation	1,015,000	500,000	500,000	
Student Welcome Center	Renovation	265,467			
Agriculture Greenhouse	New Construction	96,290			
Project Total		4,234,254	2,700,000	2,700,000	
Deferred Maintenance		19,835,884	400,000	400,000	
Replacement Equipment & Library			255,390	255,390	
Total		24,070,138	3,355,390	3,355,390	
NPCC					
Projects:					
Infrastructure Improvements	Technology	1,409,000	1,409,000	1,409,000	
Classroom Technology	Technology	609,500	609,500	609,500	
Construction of Post-Secondary Technology Center	New Construction	8,727,500			
Project Total		10,746,000	2,018,500	2,018,500	
Deferred Maintenance		19,509,621	1,221,500	1,221,500	
Replacement Equipment & Library			407,400	407,400	
Total		30,255,621	3,647,400	3,647,400	

Table 1: Capital Requests / Recommendations for the 2013-15 Biennium

INSTITUTION/ PROJECT NAME	PROJECT TYPE	REQUESTED AMOUNT	ADHE RECOMM.	Priority	
				A	B
NWACC					
Projects:					
National Child Protection Training Center	Renovation	800,000	800,000	800,000	
Instructional Technology for Center for Health Professions	Technology	904,761	900,000	900,000	
Washington County Center	New Construction	540,000	100,217	100,217	
VoIP Phone System Deployed to Analog Campuses	Technology	324,783	324,783	324,783	
Shewmaker Ctr for Workforce Technologies Roof Replacement	Other	307,432	200,000	200,000	
Security Cameras	Technology	306,098	200,000	200,000	
Health Professions 3rd Floor	New Construction	639,000			
Burns Hall Bathroom Renovation	Renovation	212,000	212,000	212,000	
Wind Turbines	Energy Conservation	75,000	75,000	75,000	
Video Conference Equipment	Technology	75,000	75,000	75,000	
Security Lighting-Main Campus	Energy Conservation	34,735	34,735	34,735	
New Physical Plant Facility	New Construction	400,000			
Exterior Signage	Energy Conservation	16,474	16,474	16,474	
Shewmaker Ctr for Workforce Technologies Monument Sign	Other	142,710			
Burns Hall Remodel	Renovation	1,402,475			
Project Total		6,180,468	2,938,209	2,938,209	
Deferred Maintenance		16,620,228	991,791	991,791	
Replacement Equipment & Library			761,380	761,380	
Total		22,800,696	4,691,380	4,691,380	
OZC					
Projects:					
Melbourne College Center	New Construction	1,500,000	1,050,000	1,050,000	
Allied Health Building Expansion	New Construction	1,000,000	750,000	750,000	
Technology Training Center	New Construction	1,000,000	750,000	750,000	
Project Total		3,500,000	2,550,000	2,550,000	
Deferred Maintenance		8,896,850	180,000	180,000	
Replacement Equipment & Library			175,760	175,760	
Total		12,396,850	2,905,760	2,905,760	

Table 1: Capital Requests / Recommendations for the 2013-15 Biennium

INSTITUTION/ PROJECT NAME	PROJECT TYPE	REQUESTED AMOUNT	ADHE RECOMM.	Priority	
				A	B
PCCUA					
Projects:					
Roof Repair & Replacement-8 Bldgs	Renovation	855,000	855,000	855,000	
Technology Upgrade	Renovation	230,000	230,000	230,000	
Chiller Repair-Stuttgart Campus	Renovation	115,000	115,000	115,000	
Fine Arts Building Boiler	Renovation	18,000	18,000	18,000	
Fine Arts Building Insulation	Renovation	25,000	25,000	25,000	
Small Business Incubator Elevator	Renovation	135,000	135,000	135,000	
Project Total		1,378,000	1,378,000	1,378,000	
Deferred Maintenance		46,873,398	2,212,000	2,212,000	
Replacement Equipment & Library			187,200	187,200	
Total		48,251,398	3,777,200	3,777,200	
PTC					
Projects:					
Math & Science Building	New Construction	40,000,000			
Center for Advanced Manufacturing & Industrial Technology	New Construction	11,600,000			
Health & Wellness Center	New Construction	15,000,000			
Child Development Center – Little Rock-South	New Construction	3,000,000			
Central Warehouse & Distribution – Little Rock South	New Construction	2,300,000			
Central Warehouse & Distribution Operations Center	New Construction	3,450,000			
Renovation of former Business Building for Administrative Offices	Renovation	3,000,000	3,000,000	3,000,000	
Video Conferencing - All Locations	Technology	350,000	350,000	350,000	
Project Total		78,700,000	3,350,000	3,350,000	
Deferred Maintenance		21,794,817	740,000	740,000	
Replacement Equipment & Library			1,196,980	1,196,980	
Total		100,494,817	5,286,980	5,286,980	

Table 1: Capital Requests / Recommendations for the 2013-15 Biennium

INSTITUTION/ PROJECT NAME	PROJECT TYPE	REQUESTED AMOUNT	ADHE RECOMM.	Priority	
				A	B
RMCC					
Projects:					
Learning Commons	New Construction	4,000,000	189,000	189,000	
Conference Center Renovations	Renovation	811,000	811,000	811,000	
Fine Arts Center	New Construction	11,755,000			
Project Total		16,566,000	1,000,000	1,000,000	
Deferred Maintenance		3,780,644	1,580,000	1,580,000	
Replacement Equipment & Library			96,450	96,450	
Total		20,346,644	2,676,450	2,676,450	
SACC					
Projects:					
Health Science Center Addition	New Construction	3,111,250	1,000,000	1,000,000	
Library/Learning Center Expansion	New Construction	2,951,000	951,040	951,040	
Technology Infrastructure	Technology	407,089	400,000	400,000	
Renovation of Industrial Tech Bldg	Renovation	298,960	298,960	298,960	
Project Total		6,768,299	2,650,000	2,650,000	
Deferred Maintenance		16,696,842	330,000	330,000	
Replacement Equipment & Library			170,430	170,430	
Total		23,465,141	3,150,430	3,150,430	
SAUT					
Projects:					
Administration/Business Bld Renovation	Renovation	1,605,000	1,605,000	1,605,000	
Career and Workforce Development Center	New Construction	12,510,000			
Auto Body Shop Building	New Construction	155,000	155,000	155,000	
Project Total		14,270,000	1,760,000	1,760,000	
Deferred Maintenance		29,603,084	1,480,000	1,480,000	
Replacement Equipment & Library			173,050	173,050	
Total		43,873,084	3,413,050	3,413,050	

Table 1: Capital Requests / Recommendations for the 2013-15 Biennium

INSTITUTION/ PROJECT NAME	PROJECT TYPE	REQUESTED AMOUNT	ADHE RECOMM.	Priority	
				A	B
SEAC					
Projects:					
Security (Cameras All Buildings)	Other	100,000	100,000	100,000	
Tech Center South - Transformers	Critical Maintenance	25,000	25,000	25,000	
ADA Update	Other	37,500	37,500	37,500	
Sewer Repair	Critical Maintenance	750,000	750,000	750,000	
Information Tech Building Phase II	New Construction	2,003,500	387,500	387,500	
Routing Fiber Optics	Other	200,000	200,000	200,000	
Project Total		3,116,000	1,500,000	1,500,000	
Deferred Maintenance		11,496,134	230,000	230,000	
Replacement Equipment & Library			209,360	209,360	
Total		14,612,134	1,939,360	1,939,360	
UACCB					
Projects:					
Nursing and Allied Health Facility	New Construction	5,571,605			
Creek Bank Stabilization	Renovation	300,000	300,000	300,000	
Student Services/Administration/Classroom Building	New Construction	4,000,000			
Old Nursing Building	Renovation	1,700,000	1,700,000	1,700,000	
Underground Utility Renovation	Renovation	100,000	100,000	100,000	
Main Classroom Building	Renovation	750,000	550,000	550,000	
Business and Industry Building	New Construction	2,195,100			
Workforce and Community Ed Center	New Construction	1,498,000			
General Instruction Classroom Building	New Construction	2,513,700			
Land Acquisition	Other	875,000			
Project Total		19,503,405	2,650,000	2,650,000	
Deferred Maintenance		6,778,526	140,000	140,000	
Replacement Equipment & Library			169,160	169,160	
Total		26,281,931	2,959,160	2,959,160	

Table 1: Capital Requests / Recommendations for the 2013-15 Biennium

INSTITUTION/ PROJECT NAME	PROJECT TYPE	REQUESTED AMOUNT	ADHE RECOMM.	Priority	
				A	B
UACCH					
Projects:					
Administration/Classroom Building	Renovation	2,878,600	1,650,000	1,650,000	
Technology Infrastructure	Technology	956,292	950,000	950,000	
Texarkana Classroom Building	New Construction	9,590,100			
Project Total		13,424,992	2,600,000	2,600,000	
Deferred Maintenance		7,406,514	150,000	150,000	
Replacement Equipment & Library			140,180	140,180	
Total		20,831,506	2,890,180	2,890,180	
UACCM					
Projects:					
Technology Center	New Construction	9,000,000	1,200,000	1,200,000	
Education Complex	New Construction	4,500,000	1,500,000	1,500,000	
Project Total		13,500,000	2,700,000	2,700,000	
Deferred Maintenance		16,890,161	340,000	340,000	
Replacement Equipment & Library			263,670	263,670	
Total		30,390,161	3,303,670	3,303,670	
Subtotal Two-Year					
Projects		\$ 291,185,701	\$ 52,899,209	\$ 52,899,209	\$ -
Deferred Maintenance		\$ 364,990,377	\$ 13,660,791	\$ 13,660,791	\$ -
Equipment & Library		\$ -	\$ 6,055,460	\$ 6,055,460	\$ -
Total		\$ 644,401,010	\$ 72,615,460	\$ 72,615,460	\$ -
Grand Total					
Projects		\$ 1,156,575,996	\$ 135,999,318	\$ 135,999,318	\$ -
Deferred Maintenance		\$ 2,372,923,004	\$ 59,367,211	\$ 59,367,211	
Equipment & Library		\$ -	\$ 20,965,648	\$ 20,965,648	\$ -
Total		\$ 3,516,173,037	\$ 216,332,177	\$ 216,332,177	\$ -

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Table 2. Narrative Descriptions of Capital Projects for Renovation and New Construction for the 2013-2015 Biennium*

Arkansas State University

Humanities & Social Sciences Building: Arkansas State University proposes construction of the Humanities & Social Sciences Building that will provide classroom and teaching laboratories essential to meeting current and future needs created by growing enrollments at Arkansas State University.

This facility will house the departments of World Languages and Cultures, Criminology, Sociology and Geography, English and Philosophy, History, and Political Science. The proposed plan will provide for the construction of 120,000 sf of high technology classrooms, teaching laboratories, and instructional spaces. Each of these spaces will utilize 'state of the art' equipment and furnishings in optimal room sizes and configurations to enhance the methodology faculty and students use to communicate information, solve problems and interact in time and space. This request has been previously requested.

Recommendation: \$4,000,000 (Category A)

Wilson Hall Renovation: Arkansas State University proposes renovation of Wilson Hall to address modernization of architectural, mechanical, electrical, and plumbing systems. Due to disruption of current building use, the project will be phased over multiple summers. This is the initial request for this project.

Recommendation: \$3,000,000 (Category A)

Arkansas State System - Office

System Office Building Renovation: Project includes the purchase and renovation of older office building for System Office space. The potential exists for space sharing opportunities with a smaller state agency. This is the first request for this project.

Recommendation: \$1,050,000 (Category A)

Arkansas Tech University

Academic Classroom Facility: Arkansas Tech University requests construction of an Academic Classroom Facility that will include classrooms, labs, and faculty offices. The square footage of the building is estimated at approximately 100,000

square feet and the facility will be equipped with state-of-the-art technology and instructional communications systems. The last freestanding classroom facility constructed at Arkansas Tech University was completed in 2009. This was the first freestanding classroom facility constructed since 1988. The need for classroom and faculty office space has grown faster than funding has kept pace. While this new classroom building has relieved some of our space requirements, the 24-year period of time between funding for classroom buildings has still left Arkansas Tech University with a huge lag in being able to meet the facility needs of the students and faculty. This is the second request for this project.

Recommendation: \$3,500,000 (Category A)

Educational and General Buildings: Arkansas Tech University requests \$2,496,393 for renovation and critical maintenance of approximately 210,000 square feet of educational and general space. This includes updates to lab and classroom spaces, the repair of outdated HVAC systems and other repairs to assure continued functionality of the McEver Science, Hull, Williamson, Crabaugh and Corley buildings. This is the second request for this project.

Recommendation: \$2,500,000 (Category A)

Arkansas Tech University – Ozark

Electrical System Upgrade: Arkansas Tech University – Ozark requests upgrades of the Technology and Academic Support Building that houses the programs of Auto Service Technology, Collision Repair Technology and Welding Technology. Due to enrollment growth in these programs and the demand on electrical resources, an electrical service re-distribution and upgrade are needed.

Re-distribution and upgrade will balance the electrical load across all three program areas to improve capacity. Currently, the students are alternating the use of welders and other equipment in the lab setting to balance the use of electricity. The requested upgrade will also allow for more time in the lab per student and flexibility in scheduling. In addition, increased capacity is needed to expand technologies necessary for the programs to remain current with industry practice. This is the second request for this project.

Recommendation: \$193,188 (Category A)

Henderson State University

Phase I Campus Renovations: Henderson State University has requested renovations to Garrison Center, Art Annex, Proctor Hall and various other Education & General buildings. This request has been previously requested.

Recommendation: \$5,000,000 (Category A)

Henderson State University LANS: Henderson State University is making this request to insure that Henderson State University's local area network can support the new bandwidth increases made available by the ARE-ON state fiber network HSU will need to upgrade the campus network infrastructure. The University will need to install new single mode fiber across campus to take advantage of these higher bandwidths. Each building on campus has a fiber switch connection and that fiber switch connection will need to be replaced by a fiber switch that can support the newer single-mode fiber and its higher bandwidth. This request has been made previously for this project.

Recommendation: \$654,000 (Category A)

Underground Electrical Distribution: This project will allow the university to place the remaining overhead utility, cable and computer lines underground. These lines are on our main campus area and the cost of maintaining the above ground structure has increased each year. This project has been previously requested.

Recommendation: \$346,000 (Category A)

Southern Arkansas University-Magnolia

Agricultural Instructional Lab Facilities: Horticultural Field Labs: This project will provide facilities necessary to provide state of the art "hands-on" training for the horticulture science curriculums. Poultry Operations Lab: The intent of this project is to provide a teaching and research facility large enough to simulate large scale broiler house operations. Swine Operations Lab: The intent of this project is to provide a teaching facility large enough to simulate large scale swine production operations. Ruminant Teaching Facility: The intent of this project is to provide a teaching facility large enough to simulate large scale goat and sheep ruminant operations. This is the first request for this project.

Recommendation: \$1,300,000 (Category A)

Technology Upgrades: Campus Network Copper Wiring Upgrades: This project involves the upgrading of old network wiring plus new wiring for buildings that either are not fully wired now or have never been wired. This includes wiring of all the existing resident halls which currently rely entirely on wireless connectivity to provide students' access to the campus network. This would provide a reliable connection to the ARE-ON state network. Campus Network Backbone Fiber Optics Upgrades: In addition to upgrading some network electronics, this project includes the installation of new fiber optic cabling to all buildings to establish a ring topology for the campus backbone connections. Voice over Internet Protocol: This project will transition the entire campus community to Voice over IP (VoIP) from the current PBX telephone system. This migration has begun where opportunities are fundable, but the mixture of VoIP and PBX phones has caused some problems that will not exist once the entire campus has been converted. Establishment of a Network Access Control (NAC) System for the Campus Network: This is needed to provide complete compliance with the Communications

Assistance for Law Enforcement Act of 1994 (CALEA) and to allow for control of device activities on the campus network. The establishment of a NAC will result in better utilization of network resources, thus better performance can be achieved for everyone. A NAC would require authentication to access the network thus restricting access to valid students, faculty, and staff. Additionally when a system connects, it can be checked for valid anti-virus software and current Windows updates which will reduce the network volatilities/risks at any given time. This is the second request for this project.

Recommendation: \$2,500,000 (Category A)

Bruce Center Conversion: The Bruce Center was constructed in 1964 as the University Student Center and Cafeteria. After the new Reynolds Student Center was completed in 2004, a small portion of the top floor of the Bruce Center underwent minor “in house” modification to accommodate urgently needed E&G space. Additionally, the bottom floor (old cafeteria) was dedicated to develop a regional archeological museum. Only very minor and essential modifications have been made to date. To fully develop this building which will house the Regional Archeological Museum, University Police, Upward Bound Program, Talent Search Program, Sports Information Office and classroom facilities, the entire top floor (16,346 ft²) and approximately 8,000 ft² of the bottom floor require renovations to incorporate energy efficiencies, ADA conveyances and provide support for selected University programs. This is the third request for this project.

Recommendation: \$850,000 (Category A)

Engineer Training Center: Scope includes renovations to Childs Hall whose current occupants will relocate in the spring of 2013. Although constructed in 1945, this facility is structurally sound and can be economically renovated to accommodate the University’s need for a newly developing engineer program. The upgrades will require extensive structural, mechanical and electrical modifications necessary to meet building code, ADA and programming requirements. The facility will provide classrooms, “hands on” engineer labs, computer labs and faculty offices. This is the second request for this project.

Recommendation: \$850,000 (Category A)

University of Arkansas-Fayetteville

Kimpel Hall: Kimpel Hall houses the departments of Communications, Drama, English, Foreign Languages, and journalism. It is the most populated classroom building on campus, with 29,000 scheduled credit hours per semester. After nearly 40 years of heavy use, the building¹s systems, finishes, furnishings, and technology are in great need of refurbishment. In response, the University began to renovate the building in 2010 as funds became available. To date, two auditoria and 12 classrooms have been renovated, using facility renewal and stewardship funds. An additional five rooms, including the drama workroom and black box theater, will be

renovated in the summer of 2012. Funding this request would allay my fears for asbestos abatement and modernization of the remainder of the building. The project will be fully commissioned and constructed to the equivalent of LEED Silver or Green Globes Two Globes. This is the initial request for this project.

Recommendation: \$8,000,000 (Category A)

University of Arkansas at Fort Smith

Math-Science Building Renovation: The College of Science, Technology, Engineering and Math (STEM) and the College of Education are collocated in the Math-Science (MS) Building. Both are growing programs and constrained by facility limitations imposed by shared space. Plans call for the College of Education to relocate to a new building, which allows STEM to expand into the vacated space and fully occupy the MS Building. Vacated space must, however, be reconfigured. Requested funding will support the planning, design, renovation and reconfiguration costs needed to repurpose vacated space to house new and growing baccalaureate programs in STEM disciplines. The renovated building will contain additional and modernized labs more appropriate than those presently available to upper-division coursework required of baccalaureate majors in the STEM disciplines. HVAC, electrical and plumbing systems will be updated and incorporated as needed. Lab improvements will include drain systems for emergency showers and more robust ventilation. This is the second request for this project.

Recommendation: \$2,500,000 (Category A)

Education Building: The proposed building will put the College of Education and its programs in one building. The College of Education with approximately 1200 students is the largest baccalaureate degree producing college at UAFS. Due to its continued growth, the college has classes in three different buildings comprising approximately 50,000 square feet of usage by the college. Currently, the college is housed in two of those buildings, one of which was constructed in 1956. At this time, facility constraints impair further growth of the college of education programs. A new building of approximately 55,000 –60,000 square feet of space would allow the College of Education to grow and maintain the integrity of its programs and place all of its programs in one building. The new building would contain state of the art educational technology, sufficient classroom and office space to house all faculty and staff, and sufficient conference rooms for meetings and functions that are essential to the operation of the college. This is the second request for this project.

Recommendation: \$1,500,000 (Category A)

Fine Arts Building: The proposed fine arts building will bring together the expanding music and visual and theater arts programs offered by the University, all of which are inadequately housed and space limited. The building frees up needed

space in the Breedlove and replaces the Holt and Ballman-Speer buildings, which are approximately 50 years old and not adaptable to new use through renovation. Theater arts and music programs are collocated in Breedlove and compete for use of the finite rehearsal and performance space in this building. Both are hampered by facility limitations. The new building addresses this deficiency with space for up to 150 music majors including two large and one small ensemble rehearsal areas, 30 individual practice rooms, a piano lab and expanded space for instrument storage. Theater programs will have a dedicated stage and theater production shop and "black box" theater with seating for 200 to 250. This is the fifth request for this project.

Recommendation: \$1,500,000 (Category A)

University of Arkansas at Little Rock

Technology Infrastructure Improvements: UALR's technical infrastructure supports the campus network, access to both Internet I and Internet II, campus information systems, e-mail, on-line course delivery, streaming video for web-enhanced and web delivered courses, Voice Over Internet Protocol, and a variety of technical needs on the campus. The security needs of the campus have become increasingly more important with the proliferation of viruses and increased hacking incidents. The increase in web-based courses has increased bandwidth needs. These funds will be used to purchase and maintain campus technical infrastructure including but not limited to campus network hardware for deployment of IPV6, data security, building network wiring, campus wireless cloud, business continuity and disaster recovery planning, streaming video server to support distance education initiatives, contactless campus card system, fire suppression system in the data center, and administrative system hardware. This is the initial request for this project.

Recommendation: \$1,500,000 (Category A)

Classroom Technology Improvements: This project will expand the technology in UALR classrooms. These innovations will increase the capabilities in each room to provide professors with the opportunity to include state of the art presentations and provide student interaction with technology. UALR faculty and students will have the opportunity to become more technically proficient and will enhance the learning opportunities in the classroom. Additionally, the rooms will be wired and equipped with contactless card readers for security and attendance tracking purposes. Distance education capabilities will be expanded to include streaming video that can simulate the classroom environment for those students who take classes over the Internet. By bringing quality educational capabilities to distance education students, the students will have the opportunity to improve the skills and abilities they can offer to both current and prospective employees. Increasing the potential for higher educational attainment of the Arkansas population will provide for an enhanced tax base and improving the economic position of the state as a whole. This is the initial request for this project.

Recommendation: \$1,500,000 (Category A)

Building Infrastructure / Critical Maintenance: This request relates to the imminent failure of critical building systems and infrastructure. HVAC systems, elevator systems, and building envelopes in a number of buildings on campus have reached the end of their useful life. Reliable operations in these facilities require replacement of these critical building components. The full list of critical infrastructure needs is available in the 2013-2015 FAP report. This request has previously been made.

Recommendation: \$4,000,000 (Category A)

University of Arkansas at Monticello

Renovation of Math and Science Center: The University of Arkansas at Monticello plans to renovate the Math and Science Center. The renovation of the Math and Science Center will include the addition of insulation, floor coverings and HVAC upgrades. The present facility has minimal thermal insulation. The exterior envelope needs to be insulated at least to minimum standards and the HVAC and ventilation systems need to be upgraded and redesigned in many areas. The renovation of the Math and Science Center is crucial for recruiting students majoring in math or science. Additional grant programs will be available soon to students majoring in these areas. Therefore, facilities must be renovated to attract students to these programs. Other renovations to the Math and Science Center would include alterations necessary for compliance with the Americans with Disabilities Act and renovations to increase energy efficiency. This is the fourth request for this project.

Recommendation: \$2,500,000 (Category A)

New Telephone System: Funding is requested to replace the telephone system on the University of Arkansas at Monticello campus. The current system is over 20 years old and the system operates with circuit line cards that have no capacity to upgrade to multi-line telephones from single lines. The system is antiquated and has frequent malfunctions. The replacement parts are difficult to find. Therefore, the current system is expensive to operate and maintain. This is the third request for this project.

Recommendation: \$250,000 (Category A)

Recable Campus Buildings: Funding requested for this project will be used to provide new cable in several campus buildings. This is necessary to improve campus computing speed, implement a new telephone system and to provide wireless access to various buildings. A substantial number of educational and administrative buildings on campus were wired using low speed cabling standards. They are slow and unreliable. These buildings will need to be rewired in order

to support newer computers and educational technologies and the increased speed enabled by a new fiber backbone and connection to AREON. This is the third request for this project.

Recommendation: \$300,000 (Category A)

Wireless Access Point and Controller: Funding is requested to expand wireless access on the Monticello campus. UAM currently has a limited number of wireless access points, but plans to expand access for the convenience of students and reduce dependency on desktop computers located in labs. This is the third request for this project.

Recommendation: \$150,000 (Category A)

Renovation of Music Building: The University of Arkansas at Monticello plans to renovate the Music Building. The renovation of the Music Building will include the addition of insulation, floor coverings and HVAC upgrades. This building still uses steam heat, which makes the temperature difficult to regulate. The heating and cooling of this building is not energy efficient and therefore is more expensive to operate. Other renovations to the Music Building would include alterations necessary for compliance with the Americans with Disabilities Act and renovations to increase energy efficiency. This is the fourth request for this project.

Recommendation: \$1,300,000 (Category A)

Academic Learning Center: The University of Arkansas at Monticello (UAM) proposes to construct a multipurpose educational building to meet the changing needs of the campus. Many of the primary buildings utilized as classrooms buildings on the UAM campus were originally constructed as residence halls. While some have served as classrooms for more than 30 years, the changing nature of educational delivery and student needs is encroaching upon the adequacy of these classrooms. They can no longer meet the demands of increased space for computer labs and other specialized rooms. The addition of two graduate programs has increased the need for additional classrooms as well as rooms for seminars and graduate presentations. In addition, programs in Social and Behavioral Sciences, Arts and Humanities, Fine Arts, and Math and Sciences have created a demand for additional classrooms. The new educational classroom building will consist of classrooms, seminar rooms, an auditorium with break out rooms, and a limited number of offices, storage, and space for building support personnel. This is the sixth request for this project.

Recommendation: \$1,000,000 (Category A)

University of Arkansas at Pine Bluff

Campus Renovations and Repair: The University of Arkansas at Pine Bluff requests for campus wide renovations and repairs including repair and replacement of roofing systems, environmental control systems, and subterranean drainage

and foundation repairs. These are major critical maintenance issues that threaten to shutdown the campus if not addressed. This project has a long history of requests and recommendations over the years, but it has received minimal funding.

Recommendation: \$1,950,000 (Category A)

Technology Upgrade - Virtual Desktop Infrastructure: This request is for the acquisition of hardware and software that will facilitate the implementation of a Virtual Desktop Infrastructure. The idea behind what is called a Virtual Desktop Infrastructure (VDI) is to run desktop operating systems and applications inside virtual machines that reside on servers in the data center. Desktop operating systems inside virtual machines are also referred to as virtual desktops. Users access the virtual desktops and applications from a desktop PC client or thin client using a remote display protocol and get almost the full features as if the applications were loaded on their local systems, with the difference being that the applications are centrally managed. Some of the benefits would be the reduction in desktop administrative and management tasks; applications can quickly be added, deleted, upgraded, and patched; security is centralized; and data is easier to safeguard and back up.

Technology Upgrade - Online Desktop Support and Meeting Solution: Acquisition of online system that will offer an effective, quick, and cost-effective way to provide remote support, share information and collaborate with colleagues anywhere in the world via the Internet in real-time. This solution will allow the IT department to reduce support costs, provide higher service quality, and increase customer satisfaction and improve communication. It will increase first-call issue resolution and provide UAPB end-users with a quality support experience. This solution would be a secure interface and feature set for conducting and attending online meetings. Two examples of this type of online solution are Cisco WebEx and GoToMeeting.

Recommendation: \$550,000 (Category A)

STEM Conference Center: The University of Arkansas at Pine Bluff received approval to begin the construction process for a STEM Complex consisting of a STEM Conference Center to house administrative offices; conference, workshop, and seminar space; a computer laboratory and a student lounge. The project method of finance was based on 100% federal funding with a completion date of November 1, 2015. The university has received a 25% cut in continuing funds for this project and this request is submitted to seek additional funds to complete this project within the projected completion date of November 1, 2015.

Recommendation: \$3,000,000 (Category A)

University of Central Arkansas

Buildings – Cable Upgrade: Several buildings contain cat 3 cabling which is considered sub-standard. The cabling is outdated and needs to be upgraded to cat 5 to improve internet speed in classrooms, labs, and offices

This is the second request for this project.

Recommendation: \$700,000 (Category A)

Old Main: Old Main is one of the oldest buildings on the UCA campus. Having been built in 1917, the last major renovation to Old Main occurred in 1966. This building, listed on the National Register of Historic Places in 2011, is again in need of major renovation. Currently, it is used for classroom space and office space and, houses the University College, the UCA Institute for STEM Professional Development and Education Research (UCA STEM Institute), the Academic Success Center (tutoring lab), the Oxford American magazine offices, and AN Services. Ida Waldron Auditorium, added to the West side of Old Main in the mid-1930's, is nearing completion of its renovation, a project funded by a \$300,000 grant from the Arkansas Natural & Cultural Resources Council and \$11,635 from the UCA Student Government Association. This is the fourth request for this project.

Recommendation: \$3,300,000 (Category A)

Lewis Science Center Replacement: Lewis Science Center currently houses the Departments of Biology and Physics & Astronomy, the Dean's office for the College of Natural Sciences and Mathematics, the facilities for teacher education in the sciences and outreach capabilities including a planetarium. This facility is aging and numerous challenges including inadequate and outdated lab facilities, roof leaks, insufficient wiring, and a dysfunctional HVAC system have led to the conclusion that a replacement is required. The replacement building will house the programs noted above along with the Department of Computer Science and the UCA Institute for STEM Professional Development and Education Research (UCA STEM Institute). Teaching, research and service in the sciences will be integrated into the design of this new facility such that UCA will be positioned to capture opportunities that arise in the rapidly changing landscape for higher education. This is the second request for this project.

Recommendation: \$3,000,000 (Category A)

University of Arkansas at Monticello – Crossett

Workforce/Collegiate Center: The University of Arkansas at Monticello-Crossett requests \$2,150,000 to construct a 14,000 square foot Workforce/Collegiate Center. This facility would provide much needed space for the following: the Arkansas Workforce Training Center of Ashley County, workforce development training and conferences, the Adult

Education Program, and collegiate courses. This project has a long history of requests. ADHE recommended \$200,000 in Category A in the 2009-11 biennium.

Recommendation: \$950,000 (Category A)

University of Arkansas at Monticello – McGehee

General Education Building: The University of Arkansas at Monticello-McGehee requests \$4,250,000 to construct a 26,000 square foot General Education building. This facility will provide much needed space for academic programs, technical training, and continuing education. This project has been requested since the 2003-05 biennium.

Recommendation: \$950,000 (Category A)

Southern Arkansas University Tech – Fire Academy

Dormitory (Camden Site): The Fire Academies purpose is to house approximately 100 people on campus. This facility will include sleeping quarters, shower, and bathroom facilities, cafeteria and dining area. Living quarters and study area. This is the third request for this project.

Recommendation: \$1,000,000 (Category A)

Confined Space / Rescue Tech Simulators: The Fire Academy proposes to build props to enhance rescue techniques involved in advanced rescue environments. This will aid in meeting standards set by NFPA and aid in meeting testing methods recommended by IFSAC and Pro-Board accrediting bodies as well as meeting mandates set forth by Arkansas Department of Emergency Management Committee for Search and Rescue. This will include a trench rescue prop. Firefighters will be trained on techniques of shoring and stabilizing ground areas during collapse situation, as well as technical rope and heavy machinery. This is the third request for this project.

Recommendation: \$100,000 (Category A)

University of Arkansas – Division of Agriculture

Plant Science & Plant Genomics Building – Arkansas Research and Extension Center – Fayetteville: Arkansas is a U.S. leader in agricultural production and food processing. Arkansas is in the top 20 states in 18 agricultural commodities including rice, cotton and soybeans. The proposed laboratory and office building is designed to meet the increased demand for state-of-the-art agricultural molecular genomic research in plants and crops important to Arkansas' farm economy. The 45,000 square foot research and office building will provide Division Scientists' with modern

laboratories for plant molecular genetics, entomology, physiology, pathology, molecular breeding, plant tissue culture, genetic marker development and the associated supplemental sciences essential for sustainable plant production systems.

The Arkansas Research and Extension Center in Fayetteville is the Division of Agriculture's single largest research location in terms of personnel (scientists and laboratory support personnel) and yearly research expenditures. Its location in close proximity to the University of Arkansas campus in Fayetteville will continue a tradition of collaboration between Division and campus scientists utilizing modern and secure agricultural-based laboratories at the research and extension center. The request for this project has been made previously.

Recommendation: \$1,900,000 (Category A)

Cooperative Extension Service Lonoke Agricultural Center: Row crop agriculture in Arkansas is a \$3 billion industry annually. The Lonoke Agricultural Center supports this industry through programs in weed science, plant pathology, entomology and agronomy. Crops served include soybeans, cotton, rice, corn, grain sorghum and wheat. The center currently serves as headquarters for three faculty, seven associates and technicians, and approximately 15 seasonal workers. UA CES requests \$500,000 for infrastructure improvements to maintain and expand this support of the row crop industry. Specific plans include construction of office space with flexible meeting and field laboratory work spaces. This is the initial request for this project.

Recommendation: \$500,000 (Category A)

Soil Testing and Research Laboratory – Marianna: The Division of Agriculture in the University of Arkansas System provides soil testing services for agriculture, gardeners, and homeowners. This is an essential service to guide the proper applications of fertilizers that meet the desired need for plant nutrients while not endangering water quality. In addition, the Division of Agriculture conducts the important research which ensures that fertilizer recommendations are science based. The existing laboratory, constructed in the early 1950's, does not have the capacity for the current volume of samples and needs to be upgraded to handle the modern scientific equipment necessary for today's standards. Discussions are underway for partial funding with the Soil Test Review Board and other agricultural organizations with interests in soil testing.

Recommendation: \$1,375,000 (Category A)

University of Arkansas-Clinton School

Lecture Hall: The Clinton School lecture hall will be used for classroom lectures, meetings, and receptions. The space will accommodate approximately 100 people. It will also include a few faculty offices. It will be located in a new multi-use building to be constructed by the Central Arkansas Library System at the corner of Clinton and River Market Avenues on what is now a paid parking lot. This is the initial request for this project.

Recommendation: \$325,000 (Category A)

University of Arkansas - Criminal Justice Institute

Criminal Justice Institute Building: The Criminal Justice Institute (CJI) request is predicated on the inadequacy of our current facilities. The current leased building was constructed in 1961 and the Institute took occupancy in February 1995. With hundreds of law enforcement personnel attending courses and programs on-site each month, the Institute is experiencing a lack of effective and efficient classroom space and teaching laboratory space. In addition, the current space does not allow for potential program growth nationally.

Other inadequacies include the lack of office and storage space, the outdated configuration of utilities, the outdated physical plant, and an inability to expand parking spaces for an existing overcrowded parking configuration. There is a continuing problem with the heating and air units since most are the original units put in place when the building was constructed. The severe lack of restroom space is brought to light when classes are held in the annex classrooms. Consideration for the installation or upgrades of any technical equipment becomes excessively expensive because of the alterations, changes, and distances involved in running lines to the facility and within the buildings.

The renovation of the existing leased facilities is neither cost effective nor possible because of extreme space limitations of the current land holdings. The unsafe and insecure environment in which the building is located also plays a factor in this request. This is the third request for this project.

Recommendation: \$601,500 (Category A)

CJI Facility Equipment Upgrades: The Criminal Justice Institute has been in its current location (7723 Colonel Glenn), with 28,000 square feet of space, since 1994. The current telephone system is the original system purchased. In order to more efficiently and effectively utilize the current space, including classroom and forensic laboratory space, the Criminal Justice Institute is requesting \$301,000 for technology upgrades. This request addresses servers, routers, light

projectors, instructional equipment for classrooms, computers and laptops, printers, scanners, audio visual/sound equipment and a new telephone system. This is the third request for this project.

Recommendation: \$301,000 (Category A)

CJI Forensic Lab and Classroom Equipment: In order to provide “real life” exercises, actual crime scene equipment and materials must be used. To enhance the educational experience and the safety of students participating in FSCED courses, \$97,500 in additional equipment is requested. These funds will enable CJI to purchase forensic light sources, down-flow and chemical workstations, a digital video and editing system, digital cameras and crime scene documentation equipment essential in enhancing the ability of Arkansas law enforcement to maximize the value of forensic/crime scene evidence in criminal investigations.

This equipment will enhance the mock exercises and scenes created for the students as well as provide them with exposure to additional methods and techniques for locating, processing, documenting, and preserving crime scene evidence. The safety of students will be enhanced with equipment that will allow them to process evidence using a variety of powders, chemicals, and sprays. This is the fourth request for this project.

Recommendation: \$97,500 (Category A)

University of Arkansas – Arkansas Archeological Survey

Arkansas Archeological Survey Building Roofing Project: The Arkansas Archeological Survey Building, located on the UA Experimental Farm in Fayetteville, contains the Survey Administrative Offices and the archeological collections facility, as well as the archeological heritage collections that were previously housed in the Museum of the University of Arkansas at Fayetteville (UAF). Although the building is relatively new, constructed in 1998, it needs a new roof. The roof is leaking in a number of places and during heavy rains the use of waterproof tarps are required to protect paper and digital records, library resources, and archeological collections critical to the heritage of the state. In addition, the leaks could impact the structural integrity of the building if not addressed. The roof replacement was recommended by UAF Facilities Management personnel. This is the first request for this project.

Recommendation: \$163,277 (Category A)

Archives and Photograph Preservation Technology: The Arkansas Archeological Survey was created in 1967. In the last 44 years over 46,000 archeological sites have been recorded in over 6,000 archeological projects. These projects created tens of thousands of black and white and color slide photographs, and tens of thousands of pages of field notes, maps, and other documents. This documentation is the primary source of information covering approximately 14,000

years of Arkansas history. Modern methods for preservation and timely retrieval of these documents and photographs require expanded hard drives for digital storage, high speed document scanners, film scanners, and microfilm readers and scanners. This is the second request for this project.

Recommendation: \$17,448 (Category A)

Cemetery Preservation Technology: Increasingly, the citizens of Arkansas are calling on the Arkansas Archeological Survey to provide its technical expertise in the preservation of cemeteries. The Survey Research Stations have responded by providing advice and technical assistance. However, new technology and equipment upgrades will facilitate the help the Survey can provide. The Survey needs new geophysical remote sensing equipment to locate unmarked graves, new surveying equipment and software to map cemeteries, and a new outdoor laptop computer to record information. This is the second request for this project.

Recommendation: \$26,169 (Category A)

University of Arkansas – AERON

ARE-ON Network Equipment Replacement: AERON is requesting this project to replace the existing optical transport equipment purchased during the initial construction of Phase I ARE-ON infrastructure for which marketing and repair support will be discontinued. This is the initial request for this project.

Recommendation: \$1,000,000 (Category A)

University of Arkansas - Arkansas School for Math, Science and Art

Cedar Street Building Renovation: The Cedar Street wing of the old hospital building was originally a parking lot and later remodeled for hospital space. The first and second floors are currently used by the ASMSA Maintenance department. The third, fourth and fifth floors must be retrofitted to house the art, music and computer science classrooms, faculty offices, the admissions and counseling departments, and the fitness center. All of these are currently located in the old hospital complex and must be moved to another location before the old building can be demolished. This is the initial request for this project.

Recommendation: \$700,000 (Category A)

Multi-Purpose Building: ASMSA is proposing construction of multi-purpose building that can be used for assemblies, physical education classes, performing arts, science fair, special meetings, intramurals, etc. Having no large open-space

facility, ASMSA is now required to rent space from other entities to meet the mandatory need for various activities. The scope of offerings in many operational areas at ASMSA is limited by not having a multi-purpose area which this building would provide. This is the fifth request for this project.

Recommendation: \$300,000 (Category A)

University of Arkansas – System Office

Cammack Campus: The University of Arkansas System B. Alan Sugg Administration building serves not only as the central administrative facility for all eleven (11) campuses and six (6) divisions of the University of Arkansas System, but also as the gathering place for other institutions of higher education in central Arkansas. As such, the facility is subjected to intensive use. The two primary issues with the building are stopping the ongoing water damage resulting from the failure of the cupola waterproofing system along the perimeter of the roof and replacing damaged exterior doors and the damaged wood windows with energy efficient clad type. These repairs are essential to preventing further damage to the building interiors in addition to providing energy savings. Also, for safety and energy saving purposes the exterior site lighting needs to be upgraded and for energy efficiency the interior lighting needs to be upgraded. This is the first request for this project.

Recommendation: \$1,050,000 (Category A)

University of Arkansas for Medical Sciences

UAMS Oral Health Center – Phase Two: Oral health care is a much needed service for patients who seek health care at UAMS. Often medical care must be delayed at UAMS because of health problems that require treatment that dentists provide. Lack of immediate dental service at UAMS is a problem that needs to be solved. When compared to other academic health centers that have the health care resources of UAMS, it would be rare to find another without a dental service. Postgraduate education for dentists is another Arkansas need that would be filled by the planned dental clinical facility. Continuing Education for Arkansas dentists and postgraduate (residency) education would, for the first time, be delivered at UAMS.

To start addressing these needs, UAMS has a project underway (Phase I) to build five general dental operator rooms and one sedation operator room all furnished with exam chairs and other necessary support equipment. The project will be adjacent to and enhance the existing UAMS Dental Hygiene Clinic. The new dental service and the dental hygiene clinic will create a comprehensive UAMS Oral Health Center. Phase I will be a great start to this program but it needs to be expanded to serve the full needs.

Phase I will be complete in the fall of 2012; Phase 2 will need to be coordinated with ongoing academic schedules. Completion of Phase 2 needs to be prior to July 1, 2014 when UAMS plans to implement its first dental residency program; a hospital based General Practice Residency (GPR) on July 1, 2014. Planning for a second dental residency (Oral Surgery) will track the first residency start up and will begin soon after the first residency. This is the initial request for this project.

Recommendation: \$2,518,710 (Category A)

Repair and Renovation of Ed II Building Infrastructure and Educational and Library Facilities: The Education II building which includes the UAMS Library opened in 1977. The infrastructure and furnishings of the building are continually aging, while student enrollment has increased approximately 75% and will continue to increase. Many classrooms still have the original student seating, and need to be updated to current learning methodologies. Study space in the Library and other areas must be expanded to provide for more students and enhanced to accommodate the ways students, faculty, staff, and other library patrons now use computers and technology in their work, study, and research.

This project includes upgrading the HVAC and elevator infrastructure of the building. Despite the best efforts of service personnel, it is impossible to maintain this equipment to satisfy current needs. Heating and air-conditioning have been marginal for many years. In some portions of the building, including the library, there is little or no localized-temperature control. Problems have exacerbated as the performance of the equipment has degraded with age. The elevators in this building are almost obsolete from a technology and age standpoint. Operational problems with the elevators are routine. This is the fifth request for this project.

Recommendation: \$4,981,290 (Category A)

Arkansas Northeastern College

Nursing and Allied Health Building: Arkansas Northeastern College requests to construct a 20,000 square foot Nursing and Allied Health building. The new building will house the specialty facilities accommodative of nursing and other allied health related programs. The College has operated the Nursing and Allied Health Programs in a leased facility for nine (9) years. This facility is a renovated motel, which the program has outgrown. The main facility will contain classrooms and clinical laboratories equipped with current technologies. This facility will serve the expanding health care industry in the Paragould region. This is the second request for this project.

Recommendation: \$2,650,000 (Category A)

Arkansas State University – Beebe

ASU-Heber Springs Academic Center Expansion: ASU-Heber Springs request an expansion of the Academic Center. The enrollment of the Heber Springs campus has grown to the point there will soon be a shortage of classrooms and offices. Contributing to the shortage is the presence of grant programs such as Upward Bound and Student Support Services. The University plans to expand the number of classrooms, office space for faculty and staff, conference rooms and a meeting room for a sizable number of people. This addition should delay the need for a new building for the next few years depending on the enrollment. This is the first request for this project.

Recommendation: \$1,500,000 (Category A)

State Hall: Arkansas State University – Beebe requests \$2,291,000 for renovations of State Hall. The State Hall Building was built on the Beebe campus in 1938 and is one of the three original buildings on the campus. State Hall houses senior level administrative offices, Registrar, Financial Aid, Business Office, Institutional Research, Public Information, and Information Technology. The building is in bad need of renovation to update the building in appearance and mechanical/electrical systems. This is the second request for this project.

Recommendation: \$1,500,000 (Category A)

Arkansas State University – Mountain Home

Vada Sheid Community Development Center: Arkansas State University-Mountain Home requests new development of the Vada Shield Development Center. The Vada Sheid Community Development Center will include a performing arts theatre, convention center, banquet facilities, concourse and art gallery, conference rooms, the ASUMH Library, classroom and office space, outdoor amphitheater, and possibly a trout resource and nature center. This project has been requested previously.

Recommendation: \$1,500,000 (Category A)

Technology Infrastructure Upgrade: Arkansas State University – Mountain Home requests project work that would include replacement of all campus cabling, network switches, routers, and firewall. It would also include adding a generator to the building that houses the critical components of the university information technology system. The project is necessary due to the growth in the number of computer labs, online courses, courses taught via distance technology, and the addition of AREON to the campus. This is the initial request for this project.

Recommendation: \$900,000 (Category A)

Campus Security System: Arkansas State University – Mountain Home requests project work that would add electronic surveillance cameras in the areas of campus not currently monitored. Currently only two areas of the university are monitored by security cameras. The university would add cameras at all exterior doors and in parking areas. Additionally a digital video recorder would be needed. This is the initial request for this project.

Recommendation: \$200,000 (Category A)

Arkansas State University – Newport

Classroom/Laboratory Building: Arkansas State University-Newport plans the construction of a new classroom/laboratory building on its campus in Jonesboro. The 14,000 square foot facility will contain classroom and laboratory space to address growth and requests from local industry partners. This building will house programs that directly correspond to growth in the area, as well as specific requests made by industry leaders in the local food service industry. The facility will include classrooms, lab space, and faculty offices as well as state of the art built-in equipment to support program growth. This is the initial request for this project.

Recommendation: \$500,000 (Category A)

Administration/Workforce Building: Arkansas State University-Newport plans the construction of a new workforce/administration building on its campus in Marked Tree. The 15,000 square foot facility will contain space to address enrollment growth and will support the growing demand from the community in its degree and certificate programs. It will also support needs for Workforce training that is critical to the economy of the area. In addition, this building will centralize offices that provide critical student service functions. This is the initial request for this project.

Recommendation: \$1,500,000 (Category A)

Classroom Building – Jonesboro Campus: Arkansas State University-Newport plans the construction of a new classroom building on its campus in Jonesboro. This building will include instructional classrooms, computer laboratories, and faculty offices. The 15,000 square foot facility is needed to address the demand for more technical education programs in the area. The building will also help the institution keep pace with high growth programs and provide additional space for on-going operations. This facility will be designed to support existing technical education programs, but will include design features that will give the Technical Center the flexibility to respond to a variety of industry related workforce requests for education and training. This is the third request for this project.

Recommendation: \$325,000 (Category A)

Classroom Building – Newport Campus: Arkansas State University-Newport plans the construction of a new classroom building on its campus in Newport. This building will include instructional classrooms, computer laboratories, and faculty offices. The 18,000 square foot facility would be located just North of the Student/Community Center and Samuel Moore Walton Hall. The campus master plan indicates the likely location of this facility will provide easy access to the library, counseling center, and other student services located on the campus. This facility is regarded as a traditional classroom building, but would include state-of-the-art technology features required in today’s educational setting. This building will serve the critical needs of students well into the coming years. This is the fourth request for this project.

Recommendation: \$325,000 (Category A)

Black River Technical College

Fire Training Drill Tower: Black River Technical College is requesting of construction of a new tower that will meet safety standards and allow faculty to incorporate new testing standards for Fire Fighter I and II. These new testing standards require students to pass a physical agility test referred to as “Feats Test.” A structural evaluation by an engineer has deemed the current building unsafe to continue training. The building has visible cracks in the exterior with missing pieces of concrete and interior stairs do not meet standards to provide proper movement of equipment and personnel up, down and around stairs. The new tower will be four stories in height with a basement, standpipe system and sprinkler system. Training will be provided for the AAS and Technical Certificate in Fire Science and for Rural and City Fire Departments. This is the initial request for this project.

Recommendation: \$1,200,000 (Category A)

Paragould Academic Complex: Black River Technical College is in great need of expanding existing facilities on the Paragould Campus to accommodate continued student enrollment growth in general education courses leading toward the AA degree, AAS degrees, and Technical Certificates. Additional classrooms, science labs, computer labs, faculty offices, student service areas, and a student commons area are very much needed. Additional space will also be utilized for specialized business and industry training programs. This is the initial request for this project.

Recommendation: \$1,500,000 (Category A)

Cossatot Community College-University of Arkansas

Classroom / Lecture/ Arena Facility: Cossatot Community College is requesting to construct a facility consisting of eight new E & G classrooms, 200 seat lecture hall, and multi-purpose space for graduations, exhibits, and student use. This is the initial request for this project.

Recommendation: \$1,500,000 (Category A)

Collision Repair / Automotive Renovation: Cossatot Community College is requesting renovation of two buildings. These two growing technical programs occupy two buildings in close proximity to each other on-campus and both have requirements for additional space. Our plan is to join these two existing buildings together in the middle, adding common classroom space, restrooms, along with the sharing of common needs, such as air compressors, ventilation, lift equipment, etc. This is the first request for this project.

Recommendation: \$550,000 (Category A)

HVAC Replacement – Administration Bldg: Cossatot Community College is requesting the HVAC for the Administration building to be replaced as it is nearing the end of its life cycle. This is the first request for this project.

Recommendation: \$200,000 (Category A)

Storage Area Networks for Disaster Recovery: Cossatot Community College is requesting this project to implement storage area networks on each of the three campuses to use as remote backup sites for disaster recovery purposes. In addition to holding the backups, the storage may also be used to create virtual servers. This is the first request for this project.

Recommendation: \$162,000 (Category A)

Gigabit Network Upgrade: Cossatot Community College is requesting this project to upgrade the computer networking infrastructure in the De Queen Administrative Building from a 10/100Mb network to a 10/100/1000Mb network. This is the first request for this project.

Recommendation: \$9,500 (Category A)

Virtual Desktop Infrastructure Equipment: Cossatot Community College is requesting this project to start the conversion from standalone classroom computers to a virtual desktop infrastructure that delivers virtual student computer desktops on demand to any lab or to any location. We are hopeful that this will allow us not only to reduce the computer lab maintenance labor and computer replacement costs but to also deliver a computer lab virtual desktop configuration to a student wherever he or she happens to be given that they are in a location with adequate bandwidth to receive it. This is the first request for this project.

Recommendation: \$178,500 (Category A)

College of the Ouachitas

Technology Infrastructure Improvements: College of the Ouachitas Computer Services department needs to perform technology infrastructure improvements and upgrades in order to better support today's technology and to ensure we have the infrastructure to support our distance education program, future growth, and higher speed applications. Due to the rapid growth of the college over the past 10 years, the current local area network has become a hodgepodge of connections. These upgrades and improvements will allow consolidation of the network backbone and allow for future growth and better manageability

This is the second request for this project.

Recommendation: \$230,000 (Category A)

Applied Science and Business Technology Center: College of the Ouachitas needs to construct an Applied Science and Business Technology Center that will also act as a community safe room in case of natural disaster, community readiness, local pandemics, etc. The new facility will incorporate all of the science programs and laboratories into one building and will also include faculty offices. Growth in the science programs has caused the current classroom and labs to be overtaxed. The current science labs were also built in 1972 and are not up to par technologically. This is the second request for this project.

Recommendation: \$2,289,000 (Category A)

East Arkansas Community College

Update Technology Infrastructure: East Arkansas Community College installed a 1 GB fiber optic network in the summer 1998 and it is the backbone of the entire campus computing system. The present fiber network capacity needs to be upgraded to accommodate growing access needs and other newer technologies including wireless device access, which can be very sensitive to network capacity limitations. Due to increases in network traffic, the campus network has become congested and unstable. Replacement of the current network would bring the EACC network to current industry standards. This is the first request for this project.

Recommendation: \$487,000 (Category A)

Renovation of Classroom Building 3: East Arkansas Community College requests for renovations of Classroom Building 3 on the EACC campus. This facility was constructed in 1986 and in part has been used as Allied Health classrooms and labs. Since an Allied Health Center is now under construction, a complete renovation is needed to

convert this space to general use up-to-date classrooms. This two story building also needs to have an elevator installed to enable easy handicapped access. This is the first request for this project.

Recommendation: \$1,095,500 (Category A)

Maintenance Building: East Arkansas Community College is requesting renovation of the current Maintenance Building and expansion that would include an addition of approximately 1,900 sq. ft. for housing of personnel and storage. It would also allow for the current portion of the facility to be covered with brick veneer siding that matches existing buildings on campus and in its general vicinity. At this time, the College does not have adequate storage facilities on campus and this would allow all stored items to be brought back to campus and eliminate the need to rent storage offsite.

Recommendation: \$153,000 (Category A)

Mid-South Community College

Aviation Annex: Mid-South Community College proposes this project that involves the demolition and removal of two existing metal buildings and related accessories and systems. A new building to be completed in three phases, parking and access pad will be constructed per structural requirements and to facilitate adequate drainage. This is the first request for this project.

Recommendation: \$2,650,000 (Category A)

North Arkansas College

Science and Chemistry Addition: Original plans called for a Campus & STEM Center with 64,000 GSF containing technical classrooms, laboratories, meeting rooms, general study areas, a student lounge and a student information center. However, due to limited state and private funds, Northark was able to renovate a general student center area within existing space and now plans to address the science and chemistry academic needs with a 4,500 GSF classroom and office addition adjoining a planned renovated area for two more chemistry laboratories. The entire area is exclusively for E & G use. By renovating the existing 6,500 GSF area, there is a base savings of \$260,000 from the cost of new construction. This request was been made one time previously.

Recommendation: \$1,200,000 (Category A)

Student Success Center: As part of the effort to increase student retention, course completion and improve credential attainment, Northark is committed to student success and believes a centralized, all-service student assistance and success center can help. As such, plans call for the renovation of 6,500 GSF of current library space so that person-to-

person and computerized tutorial assistance, testing, computer research, quiet reading and general academic support may be offered all at one site. The entire area is exclusively for E & G use. This is the initial request for this project.

Recommendation: \$1,000,000 (Category A)

Information Technology Upgrade & Relocation: Due to the ever increasing growth and dependency of information technology and the associated equipment and infrastructure required for support, over the course of many years, information technology operations has been located in multiple areas based on available vacant space. The situation now exists where not only IT support is occupying areas that are needed for instructor office space, it has become difficult and inefficient to maintain the security, backup provisions and coordination of staff for all of the multiple IT operation sites. While communications hubs will still be required, the centralization of IT operations and support staff will provide for more reliable, effective and efficient information technology and the data it is responsible for. This is the initial request for this project.

Recommendation: \$500,000 (Category A)

National Park Community College

Infrastructure Improvements: National Park Community College is requesting infrastructure improvements to support classroom technology that requires upgrades in order to serve the needs of students in the areas of testing, on campus classes, and web based classes. This project consists of replacing the existing copper low-speed internet connection with high speed fiber internet connection, increasing the bandwidth of NPCC's LAN by replacing the current network switches with high performance switches throughout the campus, consolidating the network servers that are scattered across campus with server array technology. Server arrays on each end of the campus will enhance reliability and performance to provide optimal instruction, provide centralized backup power for mission critical servers. Three (3) units would be installed. New campus wide IP based landline phone system for the campus, including support and infrastructure. The existing phone system is outdated and replacement parts are no longer available and AER-ON networking equipment and infrastructure to support speeds, including a new router, firewall, and backup power equipment. This is the fourth request for this project.

Recommendation: \$1,409,000 (Category A)

Classroom Technology: National Park Community College requests \$654,000 for classroom technology upgrades. This upgrade includes replacing (20) classroom printers with high performance network color laser jet printers, Spam/Spyware Protection, classroom multimedia stations including Teaching Lecterns and a combination of Smart Boards, symposiums, document cameras and scanners. In addition, high speed switching will be installed for 15

buildings. This is the fourth request for this project.

Recommendation: \$609,500 (Category A)

Northwest Arkansas Community College

National Child Protection Training Center: Northwest Arkansas Community College requests to outfit approximately a 17,000 sq. ft. building to serve as the National Child Protection Training Center, Southern Regional Center. This funding will be used to provide required furnishings for full operation and update technology needs. This request has been requested previously.

Recommendation: \$800,000 (Category A)

Instructional Technology for Center for Health Professions: Northwest Arkansas Community College requests to equip the new Center for Health Professions with a competitive all-in-one, fully-integrated learning management solution (LMS), comprehensive simulation equipment (METI) and state-of-the-art telepresence and lecture capture environment for student use, instructional technology and distance learning/collaboration across the state and community. This is the initial request for this project.

Recommendation: \$900,000 (Category A)

Washington County Center: Northwest Arkansas Community College is requesting to construct a Washington County Center. Since Washington County is part of our service area, plans are being evaluated to establish a center in Washington County due to the high percentage of our student population with a Washington County address. Programs will include general education, developmental coursework and classroom space to serve the local business community. Funds would be used for startup costs including planning and architectural services. This is the initial request for this project.

Recommendation: \$100,217 (Category A)

VoiP Phone System Deployed to Analog Campuses: Northwest Arkansas Community College requests this project to be able to deploy VoiP phone system to all Analog locations- Burns Hall (BH), Shewmaker Center for Workforce Technologies (SCWT), Facilities/Physical Plant (PP) and Parking Structure. Deployment will allow consistent service, functionality and features. Will allow comprehensive usage of the Cisco phone system purchased and deployed over 2010/2011 and contribute to the 'unified communications' approach the College has invested in. This is the third request for this project.

Recommendation: \$324,783 (Category A)

Shewmaker Center for Workforce Technologies Roof Replacement: Northwest Arkansas Community College makes this request due to numerous storms and extreme weather conditions, the existing roof is showing signs of needed replacement. This project would include the removal of current asphalt materials and the installation of metal roofing materials including tile ice and water shields. This is the initial request for this project.

Recommendation: \$200,000 (Category A)

Security Cameras: Northwest Arkansas Community College requests to deploy Cisco security cameras/video surveillance equipment to replace outdated analog cameras and equipment.

Recommendation: \$200,000 (Category A)

Burns Hall Bathroom Renovation: Northwest Arkansas Community College proposes to have selective demolition of current lavatories, wall and floor tiles, and areas of wallboard. Install materials that will not harbor bacteria and will be safer for students. (These areas are original to Burns Hall and are not safe or sanitary for student use.) This is the initial request for this project.

Recommendation: \$212,000 (Category A)

Wind Turbines: Northwest Arkansas Community College is proposing to Install 3 wind turbines on the south lawn of Burns Hall that will produce 1.2 kW per unit. As part of the nationwide green initiative, solar and wind power can supply a portion of power to the college and provide certain esthetics to student and community. This is to enhance the NWACC sustainability effort.

Recommendation: \$75,000 (Category A)

Video Conference Equipment: Northwest Arkansas Community College Deploy Cisco video conference equipment in three NWACC conference rooms (SC108A, 3rd Floor Board Room, and Emergency Management Conference Room) for collaborative discussions across the community, state and nation. Bandwidth made possible by the ARE-ON connection (Spring 2012). This is the initial request for this project.

Recommendation: \$75,000 (Category A)

Security Lighting-Main Campus: Northwest Arkansas Community College Complete is requesting security lighting on NWACC's main campus for student safety and energy efficiency. This is the initial request for this project.

Recommendation: \$34,735 (Category A)

Exterior Signage: Northwest Arkansas Community College is proposing the addition of lighted exterior identification signage to Burns Hall, Pauline Whitaker Library, and White Hall that is critical to students and the college community. This is the initial request for this project.

Recommendation: \$16,474 (Category A)

Ozarka College

Melbourne Campus Center/Student Services Building: Ozarka is requesting this new construction due to enrollment at Ozarka College that has exceeded the capacity of the existing student center, bookstore and student service offices on the Melbourne campus. A new structure is needed to consolidate all student services and personnel into one central area and provide a designated area for students to study, interact with peers, and obtain tutoring and counseling services. This is the initial request for this project.

Recommendation: \$1,050,000 (Category A)

Allied Health Building Renovation: Ozarka is requesting additional classroom, laboratory and office space that is needed on the Melbourne campus to accommodate increased enrollment in the LPN and RN programs. The LPN program currently enrolls 120 LPN students and 80 of those are located on the Melbourne campus. In addition to the LPN students, 40 RN students attend classes on the Melbourne campus. The nursing program currently occupies 4,500 square feet of classroom and laboratory space that was designed to hold 20 students. To better meet the needs of students and provide an environment conducive to learning, additional space is necessary to house our LPN and RN students. This is the second request for this project.

Recommendation: \$750,000 (Category A)

Technology Center: Ozarka is making this request based on the immediate need for additional space to support Ozarka College technology and continuing education programs such as Diesel Technology, Green Energy Technologies, Construction, Plumbing, Welding and HVAC. A technology building with multiple classrooms and lab bays will allow the College to better serve the needs of our four county region by maintaining the agility to react to near real-time demand from industry and employers. This is the second request for this project.

Recommendation: \$750,000 (Category A)

Phillips Community College of the University of Arkansas

New Roofs for (8) Buildings: Phillips Community College of the University of Arkansas is requesting roof replacements.

DeWitt Campus: The main building was renovated and added on to in 1996-1997, but the roof on the original part of the building was not replaced. The roof has been repaired over the years as a stop gap measure, but leakage continues as the original roof ages. The maintenance storage building at this Campus is also in need of repair.

Stuttgart Campus: The roof on the main building is in need of repair, leakage is occurring that is causing damage to the infrastructure and carpeting. Helena Campus: Five (5) buildings are in need of roof replacement due to the age of the existing roofs which is at a minimum of 20 years of age per building. The Technology & Training Building houses technology classrooms, cosmetology, compressed video, drafting, and the Gear-Up grant program. The Gym is used for community service, intramurals, and houses the fitness center for the College.

The Bonner Center houses Admissions, the Student Center, the Bookstore, and the Cafeteria. The Fine Arts Building houses classrooms, auditorium, community service, and the art gallery. The Maintenance Shop is the repair center for all maintenance equipment and the vehicle fleet. This request has been made multiple times. .

Recommendation: \$855,000 (Category A)

Technology Upgrade: Phillips Community College of the University of Arkansas is making the following request. The servers and SAN we employ to host all of the financial applications and student records are rapidly reaching end of life. These devices have been in production for nearly five (5) years. Having mission critical servers in production for this length of time places our records and day-to-day operations at risk for catastrophic hardware failure. While we have back up procedures and a disaster recovery plan in place, it is imperative for the security of our information and continuity of operations that we replace this hardware as soon as funding is available. Hardware that is more capable would afford us the ability to implement new reporting tools so that financial and report information to governmental agencies can be managed more efficiently. This is the initial request for this project.

Recommendation: \$230,000 (Category A)

Chiller Repair for Stuttgart Campus: Phillips Community College of the University of Arkansas requests this chiller that was installed with the construction of the Campus in 1997-98. It is costing more to repair and maintain the chiller in its' present condition than a new chiller with a five (5) year warranty would cost. This is the second request for this project.

Recommendation: \$115,000 (Category A)

Fine Arts Building Boiler: Phillips Community College of the University of Arkansas is making the following request. The boiler for this building has been repaired many times, and is now at the stage that it is costing more to repair it than the return on investment. In addition, the current boiler is leaking asbestos materials and is a hazard to those employees and others who have to do repairs on the unit. This is the first request for this project.

Recommendation: \$18,000 (Category A)

Fine Arts Building Insulation: Insulate vertical roof of Fine Arts Building. This is the initial request for this project.

Recommendation: \$25,000 (Category A)

Small Business Incubator Elevator: The area served by PCCUA is in an economically distressed location. One of the projects that we have partnered with the community is housing a small business incubator project in one of our off campus buildings. This building contains three floors, and needs an ADA compliant elevator to better serve the community as a whole. This is the initial request for this project.

Recommendation: \$135,000 (Category A)

Pulaski Technical College

Renovation of former Business Building for Administrative Offices: Administrative Offices are currently in the oldest building on campus. That one-story building will be torn down to make room for our other prioritized projects and additional parking. The old Business building is approximately 10,000 square feet. It currently holds some mathematics classes and some fine arts classes. Once the Fine and Performing Arts Center is completed in 2013 and a new Math and Science Building -- included in this request -- is completed, the Business building could be renovated. Renovation of this space is estimated to cost \$3,000,000. The offices that will move to the renovated space will include the President, Executive Vice President, and other Vice Presidents, along with some administrative staff. This is the first request for this project.

Recommendation: \$3,000,000 (Category A)

Video Conferencing –All Locations: Pulaski Technical College will soon become a member of ARE-ON and receive a substantial increase in our Internet bandwidth capabilities. One of the uses for these increased capabilities would be to participate in video conferences with other institutions and organizations. A requirement for this participation is for each of our locations to have video conferencing equipment. We can place a video conferencing system at each location and utilize our Opt-e-man network connections to achieve these capabilities. Total cost for this would amount to \$350,000. For this amount, we would place a LifeSize Express unit on each campus along with permanent video conferencing

capabilities in the Campus Center Grand Hall, Crain Team Community Room, U.S. Bank Community Room, and R.J. Wills Lecture Hall. These portable units will be on a cart that can be moved to utilize any conference or classroom that is available at the time the conference would be scheduled. The cart would contain the LifeSize unit, camera, and LCD display. Also included in the cost is the Bridging software and server that would allow all locations to participate in a conference simultaneously. The cost also includes installation and setup. This is the first request for this project.

Recommendation: \$350,000 (Category A)

Rich Mountain Community College

Learning Commons: The facility will house new RMCC Library Services, computer labs (2), Student Support Services, Developmental classrooms (2), tutoring labs as well as office space to house those responsible for these areas. This is the third request for this project.

Recommendation: \$189,000 (Category A)

Conference Center Renovations: RMCC requests this project that would renovate the existing Library Services area once the Learning Commons is completed and those facilities ready for use. The Conference Center would provide much needed facilities to host campus programs with attendance of greater than 75 attendees. It would also provide needed facilities for community use for programs requiring lecture, conference or meeting needs. Currently, there are no facilities in the community for activities of this nature.

This is the first request for this project.

Recommendation: \$811,000 (Category A)

South Arkansas Community College

Health Science Center Addition: South Arkansas Community College proposes an addition to the Health and Natural Sciences Building that will include offices, classrooms, and state-of-the-art laboratories for Chemistry/Physical Sciences, Biology, Microbiology, and Medical Laboratory Science. The total space required for these spaces will total approximately 12,000 square feet. Included in this space will be 4 laboratories that can be used for lecture and laboratory classes: 1 for chemistry/physical sciences, 2 for biological sciences, 1 for microbiology, and 1 for medical laboratory science (seating for 30 students in each). These laboratories will have a central chemical storage area and storage and lab prep space. Additionally, there will be 4 multipurpose lecture/classrooms that will seat 30 students each. The building will also include sufficient office space to house the associated faculty and staff (12 offices). This is

the initial request for this project.

Recommendation: \$1,000,000 (Category A)

Library/Learning Resource Center Expansion: South Arkansas Community College proposes this request is to improve and expand the Library which is the academic hub of our College. The Library has experienced increased usage and needs more space. An auditorium and lobby is included within the current square footage of the existing Library Building limiting the actual library square footage. The proposed expansion would include learning centers, labs, study areas and some classrooms. The expanded area would provide space for instruction in math, writing, reading, bibliography, and other subjects. This space is needed to serve the needs of our students.

Recommendation: \$951,040 (Category A)

Technology Infrastructure Upgrade: South Arkansas Community College proposes this project to complete development of SouthArk's hot disaster recovery site, continue its network infrastructure upgrade, and upgrades to the Data Center. Completion of these efforts will permit the College to implement policy-based security at the physical port level with the College's network, to upgrade network perimeter security appliances, complete its on-going server virtualization, implement RAID 60 data protection for all core administrative data sets, and implement disk to disk data backup and synchronized network storage deployed at multiple physical locations. This effort is in direct support of current construction and disaster recovery/business continuity projects to fully upgrade existing host systems to leverage the business continuity advantages of a virtualized data center. This is the third request for this project

Recommendation: \$400,000 (Category A)

Renovation of Industrial Technology Building: South Arkansas Community College makes this request is for renovation of the Industrial and Technology Building on the East Campus. The building currently has metal siding, and plans include adding brick siding to the exterior and renovations of the restrooms. The metal roof also needs to be recoated and some classrooms need renovations. This is the second request for this project.

Recommendation: \$298,960 (Category A)

Southern Arkansas University Tech

Renovation - Administration and Business Buildings: Both the Administration Building and the Business Buildings were constructed in 1946 and 1949 respectively. Each building is approximately 47,000 square feet. These buildings were originally built by the United States Navy and served as a military base in the Highland Industrial Park in South Arkansas. Both buildings are very much in need of modernization and major renovations. The Administration Building is

the very first building students and parents visit. The appearance of this building sets the tone for the rest of the campus. Currently the impression people have of both buildings is very low. The College is criticized frequently because of the outdated condition of the buildings. This is the initial request for this project.

Recommendation: \$1,605,000 (Category A)

Auto Body Shop Building: Before the merger of the Camden Career Center with SAU Tech the secondary center offered an Auto Body Collision Repair class. After the merger, the program had to be abandoned because of inadequate facilities on the SAU Tech campus. The goal is to make this new facility state of the art with the latest materials and practices used in the industry. Because there has been no Auto Body program in over seven years, the pool of available workers has shrunk to really low levels. After visiting with two of the major auto body businesses in Camden, there is great interest and excitement about the reinstatement of this program. The local businesses expressed concern that the pool of trained workers is drying up in South Arkansas. One shop said they paid their employees at least \$22.50 an hour in salary. That is an excellent wage for this area. Their shop was also air conditioned to provide an excellent work condition for their workers. When the Career Academy recruits local high schools one of the most asked questions is “do you have auto body program.” There is great interest in this program. In years past there were always more students that wanted to take the course than space allowed. Enrollment continues to grow in the career and technical training programs. It is believed this program will contribute to the economic development of the community. This facility will also be used for industry training as required by I-CAR standards. The closest programs are in Texarkana and Little Rock with nothing in South Central Arkansas. College has already received \$70,000 from GIF towards this project.

Recommendation: \$155,000 (Category A)

Southeast Arkansas College

Security: Southeast Arkansas College submits this request to install camera security devices in all buildings and to replace cameras in the Business Office Center that handles funds. This is the second request for this project.

Recommendation: \$100,000 (Category A)

Technology Center South Transformers: Southeast Arkansas College requests to replace transformers. Due to overheating through use and natural obsolescence, these transformers must be replaced. This is the second request for this project.

Recommendation: \$25,000 (Category A)

ADA Update: Southeast Arkansas College submits this request to provide safety, access and to meet ADA requirements for students, faculty and staff with disabilities. This is the third request for this project.

Recommendation: \$37,500 (Category A)

Sewer and Plumbing Repair: Southeast Arkansas College requests this for replacement of underground water and sewer lines between buildings that are approximately 52 years old. Life expectancy is only 3 more years. Holes and washouts are appearing beneath sidewalks and water is accumulating over sidewalks in low spots. It appears some lines have already failed and water cannot properly drain. .This is the third request for this project.

Recommendation: \$750,000 (Category A)

Information Tech Building Phase II: Southeast Arkansas College proposes to construct a multifunction facility to complete connection with Computer Services' building Phase I. This is completion of the primary point of contact for all new students, training room for community use and board room. This is the second request for this project.

Recommendation: \$387,500 (Category A)

Routing Fiber Optics: Southeast Arkansas College is proposing to relocate all Technology Servers to the new Computer Services Building. Re-route all fiber optic lines from each building on campus - directly to the new Computer Services building. Continued operation of the system through a building whose environmental's are not conducive to reliable operation of the campus data network may result in total network failure. This would essentially shut down all data lines on campus and would be very problematic to the implementation of any kind of a "business continuity" plan.

All Technology Servers and data lines are located in an older building. Similar buildings have experienced electrical failure and burning of wires and are not operational. This is the initial request for this project.

Recommendation: \$200,000 (Category A)

University of Arkansas Community College at Batesville

Vehicular Bridge Stabilization: UACCB proposes the following request due to that there is a creek that runs near the center of the campus which requires a bridge for ingress and egress to the east side of the campus. The vehicular bridge is the only access to the east side of the campus. Otherwise, students would have to use the state highway for commuting between classrooms and library. Stabilization of the bridge must occur for safety. There is deterioration along the creek bank which affects the stability of the bridge. The data communication between both sides of the campus is

also run along the bridge. Maintenance of this bridge is required for campus safety and communication. This is the initial request for this project.

Recommendation: \$300,000 (Category A)

Old Nursing Building: UACCB proposes this project that will provide for the remodeling of approximately 25% of the existing building, which was built in 1987 and add approximately 9,000 square feet on the north end. The current building has 5,928 square feet of classroom, lab, staff and faculty office space. This funding will allow the Old Nursing building to be remodeled to maximize the utilization of the current building and add classrooms and labs to support our growing career programs. This renovation would include the addition of approximately 9,000 square feet of instructional space on the north end of the building, remodeling and improving existing restrooms, and the redesign of faculty offices to better meet faculty/staff needs. This project has a long history of requests.

Recommendation: \$1,700,000 (Category A)

Underground Utility Replacement: UACCB's Main Classroom Building currently receives its electrical supply from three 3-phase transformers located approximately 150 feet west of the building via an underground connectivity. Expansion of our MCB Annex facility will require the elimination of that electrical supply line. Underground electrical utilities connectivity is available on the northwest end of the building. Expanding that underground access will require approximately 350 feet of conduit and lines, a pull box, and the placement of a ground mounted transformer. Additional utility replacement for storm drains, water and sewer, electricity and data communication will also be required across campus. This request has been previously requested.

Recommendation: \$100,000 (Category A)

Main Classroom Building: The Main Classroom Building currently receives its electrical supply from three 3-phase transformers located approximately 150 feet west of the building via an underground connectivity. Expansion of our MCB Annex facility will require the elimination of that electrical supply line. Underground electrical utilities connectivity is available on the northwest end of the building. Expanding that underground access will require approximately 350 feet of conduit and lines, a pull box, and the placement of a ground mounted transformer. An additional 150 feet of line will be required to be run internally in the building to connect to the main power supply for the building. Additional utility replacement for storm drains, water and sewer, electricity and data communication will also be required across campus. This is the initial request for this project.

Recommendation: \$550,000 (Category A)

University of Arkansas Community College at Hope

Administration/Classroom Building: UACCH is proposing this project that will involve replacing the 45 year old electrical system, interior and exterior remodeling, drainage system installed, lighting controls and replacing existing HVAC units with more efficient units with less fluorocarbon emissions. The existing foundation and concrete slab would also be stabilized with the renovation. This is the fourth request for this project.

Recommendation: \$1,650,000 (Category A)

Technology Infrastructure: UACCH requests funds for this project that would provide for upgrades of local area networks, support increased bandwidth, and provide replacement equipment for instructional technology and distance learning delivery systems. The network backbone would be improved to connect each building on campus creating a mesh topology of redundancy and effectively manage network traffic and security initiatives. Single mode fiber will be laid between each building with switching equipment to handle data flow during peak hours. Equipment and software will be purchased to automatically reroute any data to the correct destination on failure of the initial route and to insure the integrity of the network. The improved backbone will allow the college to develop a hot site that will house a live copy of all data that is stored on all servers and complete the disaster recovery plan in case of an emergency. This is the fourth request for this project.

Recommendation: \$950,000 (Category A)

University of Arkansas Community College at Morrilton

Technology Center: UACCM makes this request for the Technology Center that will house new labs and classrooms for the departments of Auto Collision Repair, Auto Service, Air Conditioning, Heating & Refrigeration and Welding. These departments are currently housed in space that is outdated and too small. It would be very expensive to renovate existing facilities because of their age (one building over 30 years old and the other over 50 years old) and locations. The programs produce graduates that are quickly employed, but enrollment in the programs is limited by physical space. Enrollment often reaches maximum capacity two months before classes begin. By constructing new space, UACCM will be able to enroll approximately 30% more students in these demand areas. In addition to classroom and lab space, a One-Stop Workforce Center will be included. Unemployed and underemployed workers who visit the Center will be exposed to technical training opportunities. Upon completion of the new space the Technology I Building will be demolished because of the deteriorating condition. Space vacated in Technology II will require minor remodeling to provide needed expansion space for the Petroleum Technology program. Technology III will require only slight

remodeling to become a ceramics lab for the art program. This request has been requested previously.

Recommendation: \$1,200,000 (Category A)

Education Complex: The UACCB Education Complex will provide additional classroom space and faculty office space. Classroom and office space is often in short supply at UACCM because of the increases in on-campus students. Alternative classroom space would be a part of this complex to allow for larger class space as needed. The building will also include a large Student Success Lab which is needed to support student learning. The current lab space is located in three separate rooms that were previously classrooms. By creating a new lab, the classrooms can return to their original use and a more functional lab space can be created. The new lab space would allow us to change current staffing and operate more efficiently, while serving more students. This request has been requested previously.

Recommendation: \$1,500,000 (Category A)

* Presented to the AHECB as "Table 13-B: Summary of Capital Requests/Recommendations for the 2013-15 Biennium"

Table 3: DEFINITIONS OF EXPENDITURE CATEGORIES

Academic Support. This category includes funds expended primarily to provide support for an institution's primary mission--teaching, research, and public service. Academic support is comprised of the following sub-categories:

Libraries. Includes salaries and operating expenses of separately organized libraries and media centers that are the responsibility of the librarian.

Museums and Galleries. Includes expenditures for activities that provide for the collection, preservation, and exhibition of historical materials, art objects, scientific displays, etc.

Organized Activities Related to Educational Departments. Includes expenditures for activities that provide support services to the three primary programs: teaching, research, and public service. These activities usually provide an opportunity for students to gain practical experience. Examples of organized activities are campus radio stations or farms.

Other Academic Support. Includes educational media services, academic computing, academic administration (deans and their support costs), and faculty development.

Equipment Replacement. Funds are provided for the replacement of worn or obsolete equipment. This is not an expenditure category in accounting terms since the expenditures will occur in other categories where equipment is used.

Institutional Support. This category includes administrative costs for institutional management such as the president's office, fiscal and personnel management, chief academic and student service officers, administrative computing, and other central institutional services that cannot be allocated to a specific department or activity.

Instruction. This category includes expenditures for all activities that are part of an institution's instructional program. Expenditures for credit and non-credit courses, for academic, vocational, and technical instruction, for remedial and tutorial instruction, and for any regular, special, and extension sessions are included. This category includes the following sub-categories:

Teaching Salaries. Includes only that portion of faculty salaries devoted to the teaching of credit-equivalency courses.

Departmental Operating Expenses. Includes salaries, wages, equipment, and operating expenses of instructional departments exclusive of teaching salaries.

Off-Campus Credit. Includes all credit courses offered anywhere other than in the facilities or on the grounds of a four-year institution, branch campus, or technical college. Included are expenditures for community college courses offered anywhere outside the district in which the community college is located.

Non-Credit Instruction. Encompasses courses which are not part of a degree or certificate program, such as community service courses.

Plant Maintenance and Operation. This category includes all costs associated with operating the campus buildings including utilities, and routine repair and maintenance of buildings.

Public Service. This category includes expenditures for non-instructional services beneficial to individuals and groups external to the institution. Examples are workshops, administration of non-credit courses, concerts and other cultural events intended primarily for the public, and cooperative extension services.

Research. This category includes all expenditures for activities specifically and separately organized to produce research outcomes. Included are expenditures for institutes, research centers, and program and project research.

Scholarships and Fellowships. This category includes expenditures in the form of outright grants to students selected by the institution and financed from unrestricted funds in the form of tuition remission. Performance or athletic scholarships are not included.

Student Services. This category includes expenditures for the offices of admissions, registrar, deans of men and women, financial aid, and counseling. Student service activities are those that contribute to the student's emotional and physical well-being and to their intellectual, cultural, and social development outside the context of formal academic instruction.

Transfers. Included are funds that are transferred from the unrestricted current funds group to any of the restricted fund groups. Transfers are classified in the following three categories:

Auxiliary Transfers. This item applies to the four-year institutions and their two-year branches. An auxiliary enterprise is an entity that exists to furnish goods or services to students, faculty, or staff, and charges a fee directly related to, although not necessarily equal to, the cost of the goods or services. Most auxiliaries are expected to be self-supporting. These include dormitories, bookstores, food services, and health services. Student organizations, publications, and college unions may require a subsidy from the unrestricted educational and general budget.

Mandatory Transfers. This category includes transfers to restricted fund groups, excluding auxiliaries, arising out of legal agreements related to the financing of educational facilities, and grant agreements with the federal government or other external sources.

Non-Mandatory Transfers. This category includes transfers from the unrestricted current funds group to any restricted fund group to serve a variety of objectives unique to an institution. The most common non-mandatory transfers are to auxiliaries, to the plant reserve fund, and other transfers to loan funds, quasi-endowment funds, or pre-payments of debt principal.

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Table 4. Annual Full-Time Equivalent Enrollment (FTE) for FY2011-12

Four-Year Universities		Two-Year Colleges			
2011-12 FTE		2011-12 FTE		2011-12 FTE	
ASUJ	12,574	ANC	1,321	NWACC	5,721
ATU	7,992	ASUB	3,494	OZC	1,277
HSU	3,576	ASUMH	1,193	PCCUA	1,251
SAUM	3,091	ASUN	1,508	PTC	8,719
UAF	21,412	BRTC	2,025	RMCC	659
UAFS	6,274	CCCUA	966	SACC	1,387
UALR	9,829	COTO	937	SAUT	1,367
UAM	2,502	EACC	913	SEAC	1,526
UAPB	2,940	MSCC	1,297	UACCB	1,168
UCA	10,188	NAC	1,805	UACCH	959
		NPCC	2,771	UACCM	1,830
Subtotal	80,378			44,094	
Grand Total				124,472	

Note: For funding purposes, FTE calculated as of July 1, 2012.

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TABLE 5
2012-13 Full-time Annualized Fall Tuition and Mandatory Fees

TWO-YEAR INSTITUTION RESIDENT

INSTITUTION	ADHE ESTIMATE OF 2012-13 SREB AVERAGE TUITION & FEES	2012-13 ANNUAL TUITION	2012-13 ANNUAL FEES	2012-13 TUITION & FEES
LOCAL TAXES (IN-DISTRICT)				
ANC	3,035	1,770	230	2,000
CCCUA	3,035	1,650	352	2,002
EACC	3,035	2,160	270	2,430
MSCC	3,035	2,400	330	2,730
NAC	3,035	1,890	300	2,190
NPCC	3,035	2,400	350	2,750
NWACC	3,035	2,250	673	2,923
PCCUA	3,035	1,860	515	2,375
RMCC	3,035	1,860	390	2,250
SACC	3,035	2,280	370	2,650
UACCB	3,035	1,890	650	2,540
UACCH	3,035	1,770	426	2,196
UACCM	3,035	2,340	810	3,150
AVERAGE ANNUAL	3,035	2,040	436	2,476
LOCAL TAXES (OUT-OF-DISTRICT/IN-STATE)				
ANC	3,405	2,070	230	2,300
ASUMH	3,405	2,580	570	3,150
CCCUA	3,405	1,950	352	2,302
EACC	3,405	2,430	270	2,700
MSCC	3,405	2,940	330	3,270
NAC	3,405	2,610	300	2,910
NPCC	3,405	2,700	350	3,050
NWACC	3,405	3,675	673	4,348
PCCUA	3,405	2,220	515	2,735
RMCC	3,405	2,280	390	2,670
SACC	3,405	2,640	370	3,010
UACCB	3,405	2,250	650	2,900
UACCH	3,405	1,920	426	2,346
UACCM	3,405	2,550	810	3,360
AVERAGE ANNUAL	3,405	2,487	445	2,932
NO LOCAL TAXES (IN-STATE)				
ASUB	3,405	2,550	420	2,970
ASUN	3,405	2,580	270	2,850
BRTC	3,405	2,310	480	2,790
COTO	3,405	1,950	557	2,507
OZC	3,405	2,250	560	2,810
PTC	3,405	2,700	483	3,183
SAUT	3,405	2,940	690	3,630
SEAC	3,405	2,460	520	2,980
AVERAGE ANNUAL	3,405	2,468	498	2,965

Full-time undergraduate is defined as 30 credit hours per year per SREB guidelines.

TABLE 5
2012-13 Full-time Annualized Fall Tuition and Mandatory Fees

TWO-YEAR INSTITUTION NON-RESIDENT

INSTITUTION	ADHE ESTIMATE OF 2012-13 SREB AVERAGE TUITION & FEES	2012-13 ANNUAL TUITION	2012-13 ANNUAL FEES	2012-13 TUITION & FEES
LOCAL TAXES				
ANC	8,364	3,570	230	3,800
ASUMH	8,364	4,380	570	4,950
CCCUA	8,364	4,500	352	4,852
EACC	8,364	2,880	270	3,150
MSCC	8,364	5,160	330	5,490
NAC	8,364	4,740	300	5,040
NPCC	8,364	4,020	350	4,370
NWACC	8,364	5,250	673	5,923
PCCUA	8,364	3,540	515	4,055
RMCC	8,364	5,400	390	5,790
SACC	8,364	4,800	370	5,170
UACCB	8,364	4,200	650	4,850
UACCH	8,364	3,780	426	4,206
UACCM	8,364	3,660	810	4,470
AVERAGE ANNUAL	8,364	4,277	445	4,723
NO LOCAL TAXES				
ASUB	8,364	4,410	420	4,830
ASUN	8,364	4,230	270	4,500
BRTC	8,364	5,670	480	6,150
COTO	8,364	3,900	557	4,457
OZC	8,364	5,190	560	5,750
PTC	8,364	4,440	483	4,923
SAUT	8,364	4,260	690	4,950
SEAC	8,364	4,920	520	5,440
AVERAGE ANNUAL	8,364	4,628	498	5,125

Full-time undergraduate is defined as 30 credit hours per year per SREB guidelines.

TABLE 5
2012-13 Full-time Annualized Fall Tuition and Mandatory Fees
FOUR-YEAR INSTITUTION UNDERGRADUATE RESIDENT

INSTITUTION	ADHE ESTIMATE OF 2012-13 SREB AVERAGE TUITION & FEES	2012-13 ANNUAL TUITION	2012-13 ANNUAL FEES	2012-13 TUITION & FEES
UAF	9,793	6,141	1,412	7,553
LEVEL 1 GROUP AVERAGE	9,793	6,141	1,412	7,553
ASUJ	7,316	5,430	1,750	7,180
UALR	7,316	5,730	1,613	7,343
UCA	7,316	5,498	1,835	7,333
LEVEL 3 GROUP AVERAGE	7,316	5,553	1,733	7,285
ATU	6,515	5,610	918	6,528
HSU	6,515	5,880	1,104	6,984
LEVEL 4 GROUP AVERAGE	6,515	5,745	1,011	6,756
SAUM	6,422	5,880	1,266	7,146
UAM	6,422	3,960	1,600	5,560
LEVEL 5 GROUP AVERAGE	6,422	4,920	1,433	6,353
UAPB	5,490	4,110	1,407	5,517
UAFS	5,490	3,900	1,536	5,436
LEVEL 6 GROUP AVERAGE	5,490	4,005	1,472	5,477

FOUR-YEAR INSTITUTION UNDERGRADUATE NON-RESIDENT

INSTITUTION	ADHE ESTIMATE OF 2012-13 SREB AVERAGE TUITION & FEES	2012-13 ANNUAL TUITION	2012-13 ANNUAL FEES	2012-13 TUITION & FEES
UAF	23,130	17,022	1,412	18,434
LEVEL 1 GROUP AVERAGE	23,130	17,022	1,412	18,434
ASUJ	18,026	10,860	1,750	12,610
UALR	18,026	15,600	1,613	17,213
UCA	18,026	10,995	1,835	12,830
LEVEL 3 GROUP AVERAGE	18,026	12,485	1,733	14,218
ATU	16,394	11,220	918	12,138
HSU	16,394	11,760	1,104	12,864
LEVEL 4 GROUP AVERAGE	16,394	11,490	1,011	12,501
SAUM	16,204	8,910	1,266	10,176
UAM	16,204	9,450	1,600	11,050
LEVEL 5 GROUP AVERAGE	16,204	9,180	1,433	10,613
UAPB	14,583	9,540	1,407	10,947
UAFS	14,583	10,650	1,536	12,186
LEVEL 6 GROUP AVERAGE	14,583	10,095	1,472	11,567

Full-time undergraduate is defined as 30 credit hours per year per SREB guidelines.

TABLE 5
2012-13 Full-time Annualized Fall Tuition and Mandatory Fees
FOUR-YEAR INSTITUTION GRADUATE RESIDENT

INSTITUTION	ADHE ESTIMATE OF 2012-13 SREB AVERAGE TUITION & FEES	2012-13 ANNUAL TUITION	2012-13 ANNUAL FEES	2012-13 TUITION & FEES
UAF	10,670	8,387	1,086	9,473
LEVEL 1 GROUP AVERAGE	10,670	8,387	1,086	9,473
ASUJ	8,380	5,520	1,414	6,934
UALR	8,380	6,480	1,291	7,771
UCA	8,380	5,419	1,506	6,925
LEVEL 3 GROUP AVERAGE	8,380	5,806	1,404	7,210
ATU	7,085	5,160	798	5,958
HSU	7,085	5,832	1,003	6,835
LEVEL 4 GROUP AVERAGE	7,085	5,496	901	6,397
SAUM	6,142	5,856	1,010	6,866
UAM	6,142	5,160	1,272	6,432
LEVEL 5 GROUP AVERAGE	6,142	5,508	1,141	6,649
UAPB	5,662	4,200	1,164	5,364
LEVEL 6 GROUP AVERAGE	5,662	4,200	1,164	5,364

FOUR-YEAR INSTITUTION GRADUATE NON-RESIDENT

INSTITUTION	ADHE ESTIMATE OF 2012-13 SREB AVERAGE TUITION & FEES	2012-13 ANNUAL TUITION	2012-13 ANNUAL FEES	2012-13 TUITION & FEES
UAF	21,994	19,842	1,086	20,928
LEVEL 1 GROUP AVERAGE	21,994	19,842	1,086	20,928
ASUJ	16,718	11,040	1,414	12,454
UALR	16,718	14,880	1,291	16,171
UCA	16,718	10,838	1,506	12,344
LEVEL 3 GROUP AVERAGE	16,718	12,253	1,404	13,656
ATU	13,366	10,320	798	11,118
HSU	13,366	11,664	1,003	12,667
LEVEL 4 GROUP AVERAGE	13,366	10,992	901	11,893
SAUM	14,401	8,544	1,010	9,554
UAM	14,401	10,800	1,272	12,072
LEVEL 5 GROUP AVERAGE	14,401	9,672	1,141	10,813
UAPB	17,675	9,696	1,164	10,860
LEVEL 6 GROUP AVERAGE	17,675	9,696	1,164	10,860

TABLE 5

2012-13 Full-time Annualized Fall Tuition and Mandatory Fees

PROFESSIONAL UNDERGRADUATE

INSTITUTION	ADHE ESTIMATE OF 2012-13 SREB AVERAGE TUITION & FEES	2012-13 ANNUAL TUITION	2012-13 ANNUAL FEES	2012-13 TUITION & FEES
RESIDENT				
UAMS - HRP	N/A	6,930	790	7,720
UAMS- NURSING	N/A	5,688	790	6,478
NONRESIDENT				
UAMS - HRP	N/A	16,830	790	17,620
UAMS- NURSING	N/A	14,160	790	14,950

PROFESSIONAL GRADUATE

INSTITUTION	ADHE ESTIMATE OF 2012-13 SREB AVERAGE TUITION & FEES	2012-13 ANNUAL TUITION	2012-13 ANNUAL FEES	2012-13 TUITION & FEES
RESIDENT				
UAF-LAW	15,707	8,815	1,197	10,012
UALR-LAW	15,707	8,814	1,597	10,411
UAMS-MEDICINE	24,589	20,202	1,695	21,897
UAMS-PHARMACY	19,167	13,620	1,156	14,776
UAMS-GRADUATE	N/A	6,140	790	6,930
NONRESIDENT				
UAF-LAW	31,130	19,325	1,197	20,522
UALR-LAW	31,130	19,324	1,597	20,921
UAMS-MEDICINE	49,744	40,404	1,695	42,099
UAMS-PHARMACY	30,666	27,240	1,156	28,396
UAMS-GRADUATE	N/A	13,180	790	13,970

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ARKANSAS STATE UNIVERSITY - SYSTEM

Dr. Charles L. Welch, President

ENABLING LAWS

Act 100 of 1909; A.C.A. §6-65-201 & 202; A.C.A. §6-65-209; A.C.A. §6-53-401 & 405; §6-56-102; Acts 145, 146, 192, 193, & 221 of 2012.

INSTITUTION HISTORY AND ORGANIZATION

Arkansas State University System (ASU System) serves to advance the educational and economic growth of Arkansas by supporting the Board of Trustees and providing administrative support to all the ASU campuses and entities. The ASU System includes campuses in Jonesboro, Beebe, Mountain Home and Newport. ASU-Beebe has campuses at Searcy, Heber Springs and the Little Rock Air Force Base in Jacksonville. ASU-Newport operates campuses at Marked Tree and Jonesboro which specialize in technical programs and workforce training. In addition to the various academic programs leading to degrees, the ASU System supports significant programs for the state and region including economic development initiatives, leadership in the Arkansas Biosciences Institute, and Arkansas Heritage Sites.

While each campus functions autonomously in its day-to-day operations, System Administration coordinates various operations that are more efficiently carried out in a system-wide basis. In addition to the President's Office, administrative functions of system administration include legal counsel services, governmental relations, university advancement, internal audit, benefits and risk management and fiscal management.

ASU System offers programs at the doctoral, specialist, master's, bachelor's, associate's and certificate levels. During the academic year ended June 30, 2012, the ASU System conferred 1,840 graduate degrees, 1,649 bachelor's degrees, 1,590 associate degrees and 1,368 certificates. Preliminary enrollment across the ASU System for the Fall 2012 semester totals over 22,000.

Arkansas State University began in Jonesboro in 1909 as a state agriculture school. Authority to extend the curriculum, offer senior college work, and grant degrees was given to the institution by the Arkansas General Assembly in 1925. In 1933, the Arkansas General Assembly changed the name of the college to Arkansas State College. Master-level graduate programs were begun in 1955. **Arkansas State University–Jonesboro** was granted university status by the General Assembly in 1967. The University's first doctoral degree in Educational Leadership was awarded in 1992.

ARKANSAS STATE UNIVERSITY - SYSTEM
Dr. Charles L. Welch, President

Arkansas State University-Beebe began in 1927 as Junior Agricultural School of Central Arkansas. In 1955, the Arkansas General Assembly designated the school a campus of Arkansas State College. The campus became Arkansas State University-Beebe in 1967. In addition to the original campus in Beebe, the institution has established campuses at the Little Rock Air Force Base in 1965, Heber Springs in 1999, and, through a merger with Foothills Technical Institute, at Searcy in 2003.

In 1991, the Arkansas General Assembly created Mountain Home Technical College through the merger of Baxter County Community/Technical Center and the North Arkansas Community/ Technical Center in Mountain Home. The institution was designated **Arkansas State University-Mountain Home** in 1995.

In 1975, the Arkansas General Assembly established the White River Vocational Technical School at Newport. In 1992 the school merged with Arkansas State University-Beebe and in 1997 was designated as **Arkansas State University-Newport**.

In 1998, the Arkansas State University Board of Trustees approved the recognition and designation of the Arkansas State University System to encompass the campuses and locations.

Governance and Administration: The ASU System is governed by the Board, which consists of five persons appointed by the Governor of the State. The members of the Board and years of term expiration are as follows:

Name	Term Expires
Ron Rhodes, Chair	2013
Mike Gibson, Vice Chair	2014
Dan Pierce, Secretary	2015
Charles Luter	2016
Howard Slinkard	2017

ARKANSAS STATE UNIVERSITY - SYSTEM
Dr. Charles L. Welch, President

ASU System Administration. The current officers of the System are:

Name	Office
Dr. Charles L. Welch	President
Julie Bates	Vice President for Finance
Robert Evans	Vice President for Governmental Relations
Steve Owens	Vice President for University Advancement
Lucinda McDaniel	University Counsel

Campus Administration. The four campuses of the ASU System are each led by a chancellor appointed by the Board in consultation with the President of the University. The current chancellors of the campuses are:

Name	Campus
Dr. Tim Hudson, Chancellor	ASU-Jonesboro
Dr. Eugene McKay, Chancellor	ASU-Beebe
Dr. Robin Myers, Chancellor	ASU-Mountain Home
Dr. Larry Williams, Chancellor	ASU-Newport

MISSION STATEMENT

The mission of the Arkansas State University System is to contribute to the educational, cultural, and economic advancement of Arkansas by providing quality general undergraduate education and specialized programs leading to certificate, associate, baccalaureate, masters, professional, and doctoral degrees; by encouraging the pursuit of research, scholarly inquiry, and creative activity; and by bringing these intellectual resources together to develop the economy of the state and the education of its citizens throughout their lives.

ARKANSAS STATE UNIVERSITY - SYSTEM
Dr. Charles L. Welch, President

INSTITUTIONAL GOALS

- Expanding participation through increasing access, enhancing diversity, improving service to non-traditional students, expanding use of distance education, and describing the advantages of continuing education.
- Increasing academic productivity through improved recruitment, increased retention, accelerated graduation, expanded continuing education opportunities, and advanced technologies.
- Producing graduates with the skills and knowledge to be capable of leadership, creative thinking, and being contributing citizens.
- Creating and disseminating new knowledge through research and investigation.
- Emphasizing the recruitment, hiring, and retention of the best possible faculty, staff, and administration.
- Expanding Arkansas's economic development by providing needed graduates, offering appropriate academic programs, marketing the system and its components as economic assets of the state, supporting research, and commercializing ideas and discoveries.

Due to the increased complexity of operations and scope of the ASU System, additional operating funds are requested to strengthen the Internal Audit function of the System. In order to coordinate institutional transparency, performance and accountability efforts across the System, resources are requested to coordinate data information needs. The ASU System Office requests an additional Associate General Counsel position. Currently, the legal staff is limited to the General Counsel and Associate General Counsel to assist the campuses.

ARKANSAS STATE UNIVERSITY - JONESBORO
Dr. Tim Hudson, Chancellor

ENABLING LAWS

Act 100 of 1909; A.C.A. §6-65-201 & 202; A.C.A. §6-65-209; A.C.A. §6-53-401 & 405; §6-56-102; Act 192 & 221 of 2012.

INSTITUTION HISTORY AND ORGANIZATION

Arkansas State University (ASU) programs are designed to meet the needs of the state, the region, the nation and the international community. Educating people for a global, knowledge-based economy, retraining and continuing education for the already-employed citizens of Arkansas, and providing the impetus and support for technology-based economic development are the keys to diversifying and improving our state's economy. Arkansas State offers 45 degrees, advanced certificates and graduate certificates in 160 fields of study. Those include five associate degrees in 14 fields of study, an advanced certificate in one field of study, 15 bachelor's degrees in 72 fields of study, a graduate certificate in eight fields of study, 18 master's degrees in 55 fields of study, two specialists degrees in four fields of study and three doctoral degrees in six fields of study.

Arkansas State offers programs at the doctoral, specialist, master's, bachelor's and associate degree levels through the colleges of Agriculture and Technology, Business, Communications, Education, Engineering, Fine Arts, Humanities and Social Sciences, Nursing and Health Professions, Sciences and Mathematics, and University College. Additionally, ASU offers degree programs at Mid-South Community College in West Memphis, Arkansas State University-Beebe, Arkansas State University-Mountain Home, Arkansas State University-Newport and online. ASU awarded 3,997 degrees during the 2011-12 academic year.

In order to maintain the highest quality in all its programs, ASU actively seeks evaluation from accreditation visits and program reviews to monitor academic quality. ASU is accredited by the Higher Learning Commission of the North Central Association of Colleges and Schools, and individual programs are accredited by specialized accrediting agencies for the respective programs.

In the Fall 2011 semester, ASU experienced its highest headcount enrollment in the history of the institution. ASU enrolled 13,900 students from 75 counties within the state of Arkansas and 48 states across the nation. Enrollment for

ARKANSAS STATE UNIVERSITY - JONESBORO
Dr. Tim Hudson, Chancellor

the Fall 2012 semester was the highest full-time-equivalent (FTE) enrollment in the history of the institution; ASU enrolled 10,112 FTE students, which was up more than 92 students from the previous fall.

In addition, the Fall 2012 freshman class was the most academically prepared class in the history of ASU, with students holding an average ACT score of 23. The corresponding increase in freshman-average ACT scores could be attributed to the aggressive increase in admissions standards over the last few years. Although the standards increased, the Fall 2012 incoming freshman class increased 7.5 percent over the Fall 2011 numbers. ASU has also experienced increases in transfer and non-traditional students. The number of students living on the ASU campus has steadily increased over the last several years. We have experienced more than a 26 percent increase in students residing on campus since 2007.

ASU contributes to the economic development of our region and state through our academic offerings, research initiatives and outreach programs. Education and economic development are inextricably linked. ASU is committed to providing training and educational programs through the Delta Center for Economic Development. These programs are designed to assist new companies, as well as provide ongoing training for existing companies.

Arkansas State is also the home of the Arkansas Biosciences Institute (ABI). ABI is a collaborative research effort of five institutions utilized to improve the lives of Arkansans through agricultural and medical research. Specifically, research areas include agriculture and disease, molecular innovations in food science, plant metabolic engineering and plant-based protein production. The state-of-the-art ABI facility provides significant resources for faculty, students and industry in several areas including plant genetic engineering, DNA and protein analysis, mammalian cell culture, microscopy and analytical support. ABI partners with companies to conduct research, and faculty are encouraged to identify products and processes that may someday have commercial applications.

Arkansas State began in Jonesboro in 1909 as a state agricultural school. The campus opened as a vocational high school in 1910 and became State Agricultural and Mechanical College in 1925. Authority to extend the curriculum, offer senior college work and grant degrees was given by the Arkansas General Assembly in 1925. Senior college work was initiated in 1930; the first bachelor's degree was granted in 1931. In 1933, the General Assembly changed

ARKANSAS STATE UNIVERSITY - JONESBORO
Dr. Tim Hudson, Chancellor

the name of the school to Arkansas State College. Master-level graduate programs were initiated in 1955 and doctoral degree programs were added in 1999.

GOVERNANCE AND ADMINISTRATION

Arkansas State is governed by a Board of Trustees, which consists of five individuals appointed by the governor for a five-year term. The members of the Board of Trustees and years of term expiration are as follows:

Name	Term Expires
Ron Rhodes, chair	2013
Mike Gibson, vice chair	2014
Dan Pierce, secretary	2015
Charles Luter	2016
Howard Slinkard	2017

The current officers of the Arkansas State University-Jonesboro campus are:

- Dr. Tim Hudson, chancellor
- Dr. Len Frey, vice chancellor for Finance & Administration
- Dr. Rick Stripling, vice chancellor for Student Affairs
- Dr. Lynita Cooksey, interim vice chancellor for Academic Affairs & Research
- Mr. Cristian Murdock, vice chancellor for University Advancement

MISSION STATEMENT

Arkansas State University-Jonesboro educates leaders, enhances intellectual growth and enriches lives. (ASU= e3)

INSTITUTIONAL GOALS

Arkansas State University-Jonesboro aspires to be an academic leader recognized for innovation and quality in teaching and learning, international standing in strategic research areas, and commitment to outreach and service to the Delta and beyond.

ARKANSAS STATE UNIVERSITY - JONESBORO
Dr. Tim Hudson, Chancellor

ADDITIONAL APPROPRIATION

Arkansas State University-Jonesboro is a formula-driven entity and did not make an additional request for general revenue. The increase in general revenue funding is a result of the recommendation made by the Arkansas Higher Education Coordinating Board (AHECB) to provide a minimum of 75 percent funding based on the university model framework that is governed by A.C.A. §6-61-224 and 228, and AHECB policy.

Arkansas State University-Arkansas Heritage Sites requests additional funding to establish and secure operational support for the Arkansas Heritage Sites program, which includes four Delta Heritage sites, a coordinating office on the Arkansas State University-Jonesboro campus, and support from the Arkansas State University Museum. These rural heritage sites include the Dyess Colony Administration Building and Johnny Cash Boyhood Home at Dyess, Hemingway-Pfeiffer Museum and Educational Center at Piggott, Southern Tenant Farmers Museum at Tyronza, and the Lakeport Plantation at Lake Village. These programs serve as educational laboratories for students in the Heritage Studies Ph.D. program, as well as other graduate and undergraduate programs, elementary and secondary programs, and provide professional development programs for teachers. Additionally, Heritage Sites serves as an economic catalyst for rural Arkansas communities by providing technical support for natural- and cultural-heritage tourism and preservation-based economic development strategies.

ADDITIONAL POSITION REQUESTS

Title changes only were requested for the following to accommodate changing roles and responsibilities:

Existing Title:	New Title:
Director of Development	Assistant Vice Chancellor for Development
Associate Director of Development	Assistant Vice Chancellor
Director of Alumni	Assistant Vice Chancellor
Director of University Communications	Assistant Vice Chancellor
Business Manager	Director of Risk Management
Development Officer	Development/Advancement Administrator (Director, Manager, Specialist)
Annual Fund Coordinator	Development/Advancement Administrator (Director, Manager, Specialist)
Counselor	Academic/Student Support

ARKANSAS STATE UNIVERSITY - JONESBORO
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Additional 12-month, 9-month faculty and academic adviser positions were requested because of program growth and enrollment increases.

Since increased enrollment and increased numbers of students are now living on the ASU campus, Arkansas State is requesting the creation of 50 new classified titles for the following areas: Information Technology Support, Campus Public Safety, Campus Maintenance and Fiscal Support. We are also requesting the deletion of 10 unused classified positions.

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**INSTITUTION APPROPRIATION SUMMARY
2013-15 BIENNIUM**

INSTITUTION ARKANSAS STATE UNIVERSITY-JONESBORO

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2011-2012		2012-13		2012-13		2013-14				2014-15			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	63,521,669		62,770,450		73,640,695		77,713,865		77,713,865		79,501,284		79,501,284	
2 CASH	92,297,642		237,174,683		237,174,683		237,174,683		237,174,683		237,174,683		237,174,683	
3 ARKANSAS BIOSCIENCES INSTITUTE	2,950,843		2,990,670		5,319,859		5,479,455		5,479,455		5,643,838		5,643,838	
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$158,770,154	1,924	\$302,935,803	1,928	\$316,135,237	2,072	\$320,368,003	2,181	\$320,368,003	2,145	\$322,319,805	2,181	\$322,319,805	2,145
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
13 GENERAL REVENUE	57,382,109	36%	56,856,765	19%			71,800,180	22%	71,800,180	22%	73,587,599	23%	73,587,599	23%
14 EDUCATIONAL EXCELLENCE TRUST FUND	5,793,815	4%	5,913,685	2%			5,913,685	2%	5,913,685	2%	5,913,685	2%	5,913,685	2%
15 WORKFORCE 2000	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
16 CASH FUNDS	92,297,641	58%	237,174,683	78%			188,789,130	59%	188,789,130	59%	188,789,130	59%	188,789,130	59%
17 SPECIAL REVENUES		0%		0%				0%	0	0%		0%	0	0%
18 FEDERAL FUNDS	0	0%	0	0%			48,385,554	15%	48,385,554	15%	48,385,554	15%	48,385,554	15%
19 TOBACCO SETTLEMENT FUNDS	2,950,843	2%	2,990,670	1%			5,479,455	2%	5,479,455	2%	5,643,838	2%	5,643,838	2%
20 OTHER FUNDS	345,745	0%	0	0%			0	0%	0	0%	0	0%	0	0%
21 TOTAL INCOME	\$158,770,153	100%	\$302,935,803	100%			\$320,368,003	100%	\$320,368,003	100%	\$322,319,805	100%	\$322,319,805	100%
22 EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0		\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2012:	\$27,547,536
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$1,756,221
INVENTORIES	\$1,157,726
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	\$0
INSURANCE DEDUCTIBLES	\$100,000
MAJOR CRITICAL SYSTEMS FAILURES	\$0
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$12,864,500
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$0
OTHER (FOOTNOTE BELOW)	\$0
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$11,669,089

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2013-15 BIENNIUM (Non-Formula Entities)**

ARKANSAS STATE UNIVERSITY-SYSTEM
NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2011-12 ACTUAL	2012-13 BUDGETED *	2013-15 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS			
			2013-14		2014-15	
			REQUEST	RECOMMENDATION	REQUEST	RECOMMENDATION
1 INSTRUCTION						
2 RESEARCH						
3 PUBLIC SERVICE						
4 ACADEMIC SUPPORT						
5 STUDENT SERVICES						
6 INSTITUTIONAL SUPPORT	2,345,120	2,787,425	3,298,420	3,298,420	3,377,829	3,377,829
7 OPERATION & MAIN. OF PLANT	137,039	154,164	154,164	154,164	154,164	154,164
8						
9						
10						
11						
12						
13 MANDATORY TRANSFERS						
14 AUXILIARY TRANSFERS						
15 NON-MANDATORY TRANSFERS	189,932					
16 TOTAL UNREST. E&G EXP.	\$2,672,091	\$2,941,589	\$3,452,584	\$3,452,584	\$3,531,993	\$3,531,993
17 NET LOCAL INCOME	302,520	578,909	592,224	592,224	605,845	605,845
18 PRIOR YEAR BALANCE***						
STATE FUNDS:						
19 GENERAL REVENUE	2,369,571	2,362,680	2,860,360	2,860,360	2,926,148	2,926,148
20 EDUCATIONAL EXCELLENCE						
21 WORKFORCE 2000						
22 TOBACCO SETTLEMENT FUNDS						
23 OTHER STATE FUNDS **						
24 TOTAL SOURCES OF INCOME	\$2,672,091	\$2,941,589	\$3,452,584	\$3,452,584	\$3,531,993	\$3,531,993

FORM 13-2 Nonformula

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in a footnote.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2013-15 BIENNIUM (Non-Formula Entities)**

ARKANSAS STATE UNIVERSITY-HERITAGE SITES

NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2011-12 ACTUAL	2012-13 BUDGETED *	2013-15 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS			
			2013-14		2014-15	
			REQUEST	RECOMMENDATION	REQUEST	RECOMMENDATION
1 INSTRUCTION						
2 RESEARCH						
3 PUBLIC SERVICE	739,498	821,146	2,191,862	2,191,862	2,242,275	2,242,275
4 ACADEMIC SUPPORT						
5 STUDENT SERVICES						
6 INSTITUTIONAL SUPPORT						
7 OPERATION & MAIN. OF PLANT						
8						
9						
10						
11						
12						
13 MANDATORY TRANSFERS						
14 AUXILIARY TRANSFERS						
15 NON-MANDATORY TRANSFERS	89,245					
16 TOTAL UNREST. E&G EXP.	\$828,743	\$821,146	\$2,191,862	\$2,191,862	\$2,242,275	\$2,242,275
17 NET LOCAL INCOME	170,532	521,146				
18 PRIOR YEAR BALANCE***						
STATE FUNDS:						
19 GENERAL REVENUE	658,211	300,000	2,191,862	2,191,862	2,242,275	2,242,275
20 EDUCATIONAL EXCELLENCE						
21 WORKFORCE 2000						
22 TOBACCO SETTLEMENT FUNDS						
23 OTHER STATE FUNDS **						
24 TOTAL SOURCES OF INCOME	\$828,743	\$821,146	\$2,191,862	\$2,191,862	\$2,242,275	\$2,242,275

FORM 13-2 Nonformula

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in a footnote.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2013-15 BIENNIUM**

FUND CKA0000

INSTITUTION ARKANSAS STATE UNIVERSITY-JONESBORO

APPROPRIATION _____ 299

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES	49,682,999	48,721,847	55,705,069	58,690,341	60,051,720		
2 EXTRA HELP WAGES			60,000	110,404	112,943		
3 PERSONAL SERVICES MATCHING	6,785,998	7,538,383	8,843,927	9,456,195	9,673,687		
4 OPERATING EXPENSES	5,894,461	5,710,220	6,699,076	8,597,790	8,795,539		
5 CONFERENCE FEES & TRAVEL							
6 PROFESSIONAL FEES AND SERVICES							
7 CAPITAL OUTLAY			200,000	359,135	367,395		
8 FUNDED DEPRECIATION	500,000	500,000	500,000	500,000	500,000		
9 FARMERS MUSEUM, LAKEPORT PLANTATION, AND THE HERITAGE CENTER EXPENSES	358,211	300,000	1,632,623	0	0		
10 HERITAGE SITES (Act 319 of 2011)	300,000						
11							
12 TOTAL APPROPRIATION	\$63,521,669	\$62,770,450	\$73,640,695	\$77,713,865	\$79,501,284	\$0	\$0
13 PRIOR YEAR FUND BALANCE**							
14 GENERAL REVENUE	57,382,109	56,856,765		71,800,180	73,587,599		
15 EDUCATIONAL EXCELLENCE TRUST FUND	5,793,815	5,913,685		5,913,685	5,913,685		
16 SPECIAL REVENUES * [WF2000]							
17 FEDERAL FUNDS IN STATE TREASURY							
18 TOBACCO SETTLEMENT FUNDS							
19 OTHER STATE TREASURY FUNDS	345,745						
20 TOTAL INCOME	\$63,521,669	\$62,770,450		\$77,713,865	\$79,501,284	\$0	\$0
21 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 16 - "Special Revenues".

FORM 13-3

**Line 13 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

Other State Treasury Funds:
Tuition Adjustment
M&R Transfers
Board of Collections Funding
Total

Actual 2011-2012
\$ 46,373
18,030
281,341
\$ 345,744

Allocation Request/Reccomendation for General Revenue

(1) ASU-Jonesboro	\$ 72,661,643	\$ 74,332,861
(2) ASU-System Office	2,860,360	2,926,148
(3) ASU-Heritage Sites	2,191,862	2,242,275
	<u>\$ 77,713,865</u>	<u>\$ 79,501,284</u>

**APPROPRIATION ACT FORM - STATE TREASURY
2013-15 BIENNIUM**

FUND TSF0100

INSTITUTION ARKANSAS STATE UNIVERSITY-JONESBORO
ARKANSAS BIOSCIENCES INSTITUTE

APPROPRIATION 318

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES	1,570,115	1,800,000	2,119,921	2,183,519	2,249,024		
2 EXTRA HELP WAGES		2,000	15,000	15,450	15,914		
3 PERSONAL SERVICES MATCHING	374,457	522,000	546,867	563,273	580,171		
4 OPERATING EXPENSES	985,978	646,670	2,476,854	2,551,160	2,627,694		
5 CONFERENCE FEES & TRAVEL							
6 PROFESSIONAL FEES AND SERVICES							
7 CAPITAL OUTLAY	20,293	20,000	161,217	166,054	171,035		
8 FUNDED DEPRECIATION							
9 HERITAGE SITES							
10							
11							
12 TOTAL APPROPRIATION	\$2,950,843	\$2,990,670	\$5,319,859	\$5,479,455	\$5,643,838	\$0	\$0
13 PRIOR YEAR FUND BALANCE**							
14 GENERAL REVENUE							
15 EDUCATIONAL EXCELLENCE TRUST FUND							
16 SPECIAL REVENUES * [WF2000]							
17 FEDERAL FUNDS IN STATE TREASURY							
18 TOBACCO SETTLEMENT FUNDS	2,950,843	2,990,670		5,479,455	5,643,838		
19 OTHER STATE TREASURY FUNDS							
20 TOTAL INCOME	\$2,950,843	\$2,990,670		\$5,479,455	\$5,643,838	\$0	\$0
21 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 16 - "Special Revenues".

**Line 13 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS
2013-15 BIENNIUM**

FUND 2050000

INSTITUTION ARKANSAS STATE UNIVERSITY-JONESBORO

APPROPRIATION A70

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES	15,900,979	22,032,300	22,032,300	33,000,000	33,000,000		
2 EXTRA HELP WAGES	6,837,778	13,551,373	13,551,373	9,500,000	9,500,000		
3 OVERTIME		200,000	200,000				
4 PERSONAL SERVICES MATCHING	11,833,131	14,459,570	14,459,570	15,000,000	15,000,000		
5 OPERATING EXPENSES	37,688,125	53,947,069	53,947,069	55,000,000	55,000,000		
6 CONFERENCE FEES & TRAVEL		4,029,129	4,029,129	7,000,000	7,000,000		
7 PROFESSIONAL FEES AND SERVICES	9,706,395	10,947,230	10,947,230	25,000,000	25,000,000		
8 CAPITAL OUTLAY	5,763,568	11,710,654	11,710,654	18,000,000	18,000,000		
9 CAPITAL IMPROVEMENTS		93,972,358	93,972,358	52,349,683	52,349,683		
10 DEBT SERVICE	4,412,104	8,000,000	8,000,000	22,000,000	22,000,000		
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS		4,000,000	4,000,000				
12 PROMOTIONAL MATERIALS	155,561	200,000	200,000	200,000	200,000		
13 RESALE		125,000	125,000	125,000	125,000		
14							
15							
16 TOTAL APPROPRIATION	\$92,297,642	\$237,174,683	\$237,174,683	\$237,174,683	\$237,174,683	\$0	\$0
17 PRIOR YEAR FUND BALANCE***							
18 TUITION AND MANDATORY FEES	83,275,348	92,583,670		97,037,804	97,037,804		
19 ALL OTHER FEES		4,324,402		22,408,737	22,408,737		
20 SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS		988,349		988,349	988,349		
21 INVESTMENT INCOME		769,391		769,391	769,391		
22 FEDERAL CASH FUNDS		0		48,385,554	48,385,554		
23 OTHER CASH FUNDS	9,022,294	138,508,871		67,584,849	67,584,849		
24 TOTAL INCOME	\$92,297,641	\$237,174,683		\$237,174,683	\$237,174,683	\$0	\$0
25 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED 2012-13	REQUEST	RECOMMEND	LEGISLATIVE RECOMMENDATION	
				2013-14	2013-14	2013-14	2014-15
REGULAR POSITIONS	1,924	1,928	2,072	2,181	2,145		
TOBACCO POSITIONS	41	41	48	49	49		
EXTRA HELP **	917	917	2,114	2,114	2,114		

FORM 13-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

ARKANSAS STATE UNIVERSITY-JONESBORO
(NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2011-12				BUDGETED 2012-13			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *	9,127,335	13,098,114		(3,970,779)	10,036,315	13,338,354		(3,302,039)
2 HOUSING	11,976,564	5,400,808	5,627,506	948,250	12,196,014	5,900,596	5,850,098	445,320
3 FOOD SERVICES	1,193,364	163,001		1,030,363	500,000	225,000		275,000
4 STUDENT UNION	2,432,988	1,251,895	1,200,297	(19,204)	2,373,000	1,232,059	1,201,602	(60,661)
5 BOOKSTORE	227,143	61,778		165,365	222,142	68,000		154,142
6 STUDENT ORGANIZATIONS AND PUBLICATIONS	164,398	197,747		(33,349)	162,000	216,052		(54,052)
7 OTHER	3,452,479	3,002,507	409,003	40,969	3,381,891	2,373,523	409,448	598,920
8 SUBTOTAL	28,574,271	23,175,850	7,236,806	(1,838,385)	28,871,362	23,353,584	7,461,148	(1,943,370)
9 ATHLETIC TRANSFER **	1,154,142			1,154,142	1,180,687			1,180,687
10 OTHER TRANSFERS ***	817,321			817,321	762,683			762,683
11 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	30,545,734	23,175,850	7,236,806	133,078	30,814,732	23,353,584	7,461,148	0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 13-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 7 Other - Transfers include Farms, Vending, Convocation Center, Post Office, Parking and Property Management

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

ARKANSAS STATE UNIVERSITY-JONESBORO
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2011-12:					<u>1,550</u>			
(As of November 1, 2011)								
Nonclassified Administrative Employees:					Total	Male:	<u>166</u>	
White Male:	<u>137</u>	Black Male:	<u>20</u>	Other Male:	<u>9</u>	Total	Female:	<u>253</u>
White Female:	<u>219</u>	Black Female:	<u>29</u>	Other Female:	<u>5</u>			
Nonclassified Health Care Employees:					Total	Male:	<u>0</u>	
White Male:	<u> </u>	Black Male:	<u> </u>	Other Male:	<u> </u>	Total	Female:	<u>0</u>
White Female:	<u> </u>	Black Female:	<u> </u>	Other Female:	<u> </u>			
Classified Employees:					Total	Male:	<u>275</u>	
White Male:	<u>239</u>	Black Male:	<u>29</u>	Other Male:	<u>7</u>	Total	Female:	<u>371</u>
White Female:	<u>325</u>	Black Female:	<u>36</u>	Other Female:	<u>10</u>			
Faculty:					Total	Male:	<u>241</u>	
White Male:	<u>218</u>	Black Male:	<u>8</u>	Other Male:	<u>15</u>	Total	Female:	<u>244</u>
White Female:	<u>211</u>	Black Female:	<u>22</u>	Other Female:	<u>11</u>			
Total White Male:	<u>594</u>	Total Black Male:	<u>57</u>	Total Other Male:	<u>31</u>	Total	Male:	<u>682</u>
Total White Female:	<u>755</u>	Total Black Female:	<u>87</u>	Total Other Female:	<u>26</u>	Total	Female:	<u>868</u>
Total White:	<u>1,349</u>	Total Black:	<u>144</u>	Total Other:	<u>57</u>	Total	Employees:	<u>1,550</u>
				Total Minority:	<u>201</u>			

FORM 13-6

**ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$25,000 AWARDED TO MINORITY OWNED BUSINESSES
Fiscal Year 2012
Required by A.C.A. 25-36-104**

Institution

ARKANSAS STATE UNIVERSITY-JONESBORO

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
Curtis Construction & Concrete Staining Co.	\$69,112	X					
Murdock Enterprises Inc.	\$280,000	X					
Curtis Construction & Concrete Staining Co.	\$77,000	X					

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 3

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$16,392,048
(Total Expenditures equals ALL Contracts Exceeding \$25,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 2%

**DIVISION OF LEGISLATIVE AUDIT
AUDIT OF ARKANSAS STATE UNIVERSITY
June 30, 2011**

<i>Finding:</i>	No Findings noted
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ARKANSAS TECH UNIVERSITY

Dr. Robert Brown, President

ENABLING LAWS

Act 100 of 1909, Act 242 of 1975, Act 260 of 2007 and Act 194 of 2012

INSTITUTION HISTORY AND ORGANIZATION

Arkansas Tech University was created in 1909 by Act 100 of the Arkansas General Assembly. Under the provisions of this Act, the State was divided into four agricultural school districts. The General Assembly in 1925 changed the name from the Second District Agriculture College to Arkansas Polytechnic College with power to grant degrees. In 1948, the Board of Trustees converted the college from a junior college to a degree-granting institution. In accordance with Act 242 of the Arkansas General Assembly of 1975, the name was changed to Arkansas Tech University effective July 9, 1976. The institution's programs are now divided into the College of Education, Professional Studies and Community Outreach, Business, Arts and Humanities, Natural and Health Sciences, Applied Sciences and Graduate Studies.

Arkansas Valley Vocational Technical Institute (AVTI) merged with Arkansas Tech University effective July 1, 2003. Act 260 of 2007 changed the name of Arkansas Valley Technical Institute of Arkansas Tech University to Arkansas Tech University – Ozark Campus.

Arkansas Tech University is currently funded under Act 194 for the Fiscal Session, 2012.

MISSION STATEMENT

Arkansas Tech University, a state-supported institution of higher education, is dedicated to nurturing scholastic development, integrity, and professionalism. The University offers a wide range of traditional and innovative programs which provide a solid educational foundation for life-long learning to a diverse community of learners.

STATUTORY RESPONSIBILITIES

The basis of all institutional goals, objectives, and plans at Arkansas Tech University is founded in the Role and Scope of the University as determined by the State of Arkansas and the University Mission Statement approved by the Board of Trustees.

ARKANSAS TECH UNIVERSITY
Dr. Robert Brown, President

Arkansas Tech University operates with one preeminent goal and purpose: to produce, for the benefit of our students and the people of Arkansas, the highest quality instruction and service which is possible within limits of the resources over which we have been appointed as stewards.

All other activity on our campus, be it research, public service, outreach, student services, the administration of faculty and personnel policies, management of fiscal resources, the planning, construction and maintenance of the physical plant, private fund raising, or student extracurricular activities, is designed to augment, enhance and supplement this primary purpose of high quality instruction.

To ensure the orderly pursuit of this goal, Arkansas Tech University has engaged in a Strategic Planning process involving the entire campus community, which has been assisted and facilitated by the most outstanding higher education authority and consulting services in the United States. The University completed a major update of our existing Strategic Plan during the 2006-07 Academic Year.

Arkansas Tech University (Tech) is presently in the midst of its eighteenth year of implementation of this campus-wide strategic plan. Great strides have been made towards meeting objectives set forth in the plan. We propose to:

- **Enhance the creation and delivery of first quality education services.**

Since 1995, Tech has established 66 new programs of study at various levels. Accreditation of Programs has been achieved or renewed in emergency administration and management (this is the first accredited program in the US), business, teacher education, nursing, medical assisting, health information management, electrical and mechanical engineering, computer science, information systems, parks and recreation, hospitality administration, music, chemistry, rehabilitation science, practical nursing, cosmetology, automotive technology, paramedic/EMT, and physical therapist assistant.

- **Improve enrollment management by focusing on student success.**

Student enrollment has grown by 158% percent since 1997. Since 1995, each incoming freshman class has had average

ARKANSAS TECH UNIVERSITY
Dr. Robert Brown, President

test scores and grades which were above the Arkansas, Southern Region, and National averages. Tech has won national awards for its retention programs, and a first year orientation to the university course was established for freshmen on both campuses. Graduation and retention rates have consistently been above the average for Arkansas public colleges and universities. Upward Bound Math and Science has been funded since 2003, and the classic Upward Bound Program has been funded since 2007. A third TRIO program focusing on student success, Student Support Services, has been funded since 2010.

- **Develop the physical plant to be appropriate to the university mission.**

Over \$205 million dollars have been committed to new building, renovations, and the purchase of new instructional equipment during this period, while fiscal management of the University has remained sound. This has been accomplished in spite of many years of underfunding that occurred before the implementation by the General Assembly in 2005 of the state funding formula for higher education.

- **Expand university partnerships with private individuals, corporations, and foundations.**

Alumni chapters, student programs, and community/corporate outreach are engaging the University's various constituencies in new ways to develop partnerships.

- **Effectively market the university.**

With the approval of ADHE and within the guidelines provided by the General Assembly, an effective marketing plan has begun.

Our Detailed Strategies:

Goal One: Enhance the creation and delivery of first quality education services

Enhance graduate offerings to enable Tech to achieve SREB four-year 3 status.

ARKANSAS TECH UNIVERSITY
Dr. Robert Brown, President

- Develop and implement a doctoral program in Executive Leadership (Ed.D.)
- Develop and implement a business management focus in the Master of Engineering degree
- Develop and implement a Master of Science in Business Administration degree
- Develop a Master of Science in Recreational Activities/Wellness Science
- Develop other masters degrees to achieve graduate offerings in a least 15 distinct CIP codes

Develop and implement a comprehensive master plan to increase retention and graduation rates.

- Identify and implement strategies to increase class attendance
- Optimize use of technology to track class attendance and monitor retention data
- Focus on special needs of first generation, minority, and developmental students
- Increase success rates in remedial courses, especially mathematics
- Fund grants to support innovative retention projects

Increase emphasis and support for a campus culture of assessment.

- Achieve accreditation of all eligible academic programs
- Focus on assessment to improve academic programs and ensure programs maintain State viability standards
- Emphasize assessment and improvement of the general education program

Develop and implement a master plan for distance education.

- Continue to provide accessible and frequent training opportunities for faculty and students
- Ensure effective assessment of distance courses
- Increase the online course/program offerings
- Provide online courses to support the accelerated degree program leading to the Bachelor of Professional Studies degree

Strengthen the development of faculty and staff human resources.

- Continue operation of the Center for Teaching and Learning to provide faculty and staff development opportunities

ARKANSAS TECH UNIVERSITY
Dr. Robert Brown, President

- Use the resources of the Arkansas Research and Education Optical Network (ARE-ON) to enhance faculty collaboration and leverage existing resources: applications from Internet 2 and the National Lambda Rail
- Continue to support opportunities for faculty development/research

Goal Two: Improve enrollment management by focusing on student success

Create a Freshmen Unit.

- Focus on continuous improvement of services to students
- Create a seamless first year experience including orientation and advising
- Increase individual student and faculty contact time
- Increase support for student research
- Evaluate the honors program and revise where changes are indicated

Establish annual institutional enrollment goals with appropriate financial aid and other assistance to support these goals.

- Focus on improving the quality of our students
- Make student enrollment process easier for students (user-friendly)
- Review admission requirements
- Increase the number of undergraduate and graduate international students

Revise Career Services with focus on practicums, internships, cooperative education programs, employer services and placement (internal and external).

Goal Three: Develop the Physical Plant to be appropriate to the University mission

Plan for new construction to include sufficient parking with an eye toward solid growth and program efficiency.

- Construct additional housing facilities as needed

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- Renovate classroom facilities that are obsolete

Continue implementing a master plan for the use of campus facilities including critical maintenance issues.

- New Classroom Building
- Upgrade or construct athletic facilities
- Renovate existing educational and general buildings and housing facilities
- Address critical maintenance issue as funds are made available
- Continue upgrading campus landscaping and grounds and where possible have green areas.

Establish a maintenance technology system that will provide information concerning the status of projects and other operational issues.

- Evaluate campus utility usage with the intent to maximize efficiency
- Electronic work orders and accessible data base
- Interactive website for each major construction project

Goal Four: Expand university partnerships with private individuals, corporations, and foundations

Cultivate the University's relationships with alumni, students, friends, and partnering organizations through outreach, education, and mutual support.

Develop partnerships with all constituencies by providing opportunities for new, continued, and renewed involvement.

Communicate the impact of the Tech experience as it relates to the success, life-long learning, and productive citizenship of current and future Tech alumni.

Actively seek support and aid to increase student access and persistence in higher education through activities and funding that will enhance the student experience at Tech.

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- Identify and promote the accomplishments and activities of faculty, staff, and students as a basis for attracting support and partnerships

Goal Five: Effectively market the university

Continuously elevate the Arkansas Tech Brand. Recognizing the importance of image in the college selection process as well as in fundraising efforts, Arkansas Tech University will strive for continuous improvement of our brand among high school students and their influencers, alumni, current students, and the community.

- Integrate all university marketing communications for brand consistency and budget effectiveness
- Develop necessary on-campus communications services in order to save money, streamline our efforts and allow for higher output
- Increase exposure through media relations and public relations
- Promote the visual image of the university through the consistent use of word marks and logos
- Expand the licensing program
- Explore and harness new media opportunities
- Allocate marketing budgets to remain competitive against other universities in the region
- Develop and execute annual external communications plans to include advertising, direct mail, public relations, and web-based marketing
- Improve and update web presence and web communications

Ensure Stakeholder Satisfaction.

Addressing the need to connect with students, faculty, staff, alumni, parents, communities, and government officials, the university will streamline internal communication, expand on communication vehicles, and enhance delivery of Arkansas Tech's message to neighboring communities as well as throughout the state and region. Beyond delivery of messages, the university will boost services and support offerings to enrich existing relationships and foster new bonds with stakeholders.

- Enhance internal communications through use of www.arkansastechnews.com, text messaging and the campus e-mail portal.

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General Revenue Request Fund 2100000 Appropriation 567

FY 2013-14

FY 2014-15

Arkansas Tech University is a formula driven entity and did not make a request for general revenue. The increase in general revenue funding is a result of the recommendation made by the Arkansas Higher Education Coordinating Board. Additional general revenue funding for the 2013-15 Biennium is:

\$3,407,671

\$794,204

During the last three years, our student population has increased as follows:

	<u>Headcount</u>	<u>Number</u>	<u>Percentage</u>
Fall 2010	9,815		
Fall 2011	10,464	649	6.62%
Fall 2012	10,946	482	4.61% (unofficial, as of 11 th class day)

Changes in Physical Plant

Since 2010 we have added 93,086 gross square feet of building space in 5 buildings. During that same period of time, the maintenance needs on all of our facilities have increased from \$155,440,479 in 2010 to \$171,727,074 in 2012 and \$4,584,500 of the maintenance needs are classified as critical. Detailed calculations of this information can be found in the F.A.P. 2012 Facilities Audit Program submitted to the Arkansas Department of Higher Education in March of 2012. We will apply the additional funding listed in this request to:

- Compensate existing employees
- Add new faculty and staff to support out growing student population
- Added utility and maintenance costs that have been generated by expanding our building square footage
- Purchase additional supplies and support items
- Provide state of the art technology and related instructional items to support our Academic Mission
- Expand and support new programs of study
- When possible, address some of our critical maintenance issues

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Cash Fund Request Fund 2100000 Appropriation B11		FY 2013-14	FY 2014-15
02	Extra Help Wages	0	0
03	Overtime	0	0
05	Operating Expenses	2,206,802	619,547
07	Professional Fees and Services	0	0
09	Capital Outlay	(481,802)	0
10	Capital Improvements	(125,000)	0
12	Fund Transfers, Refunds, Investments	(1,600,000)	(619,547)
Net Change		0	0

The Cash Fund Appropriation Request remains the same for each year of the 2013-15 biennium as for FY 2012-13 appropriated. We have made some adjustments in the various appropriation line items. The re-allocation of line item amounts will be used to:

- Compensate existing employees
- Add new faculty and staff to support out growing student population
- Added utility and maintenance costs that have been generated by expanding our building square footage
- Purchase additional supplies and support items
- Provide state of the art technology and related instructional items to support our Academic Mission
- Expand and support new programs of study
- When possible, address some of our critical maintenance issues

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PERSONNEL REQUESTS

Position Title: Project/Program Administrator
Sr. Project/Program Director
Project/Program Director
Project/Program Manager
Project/Program Specialist

Line Item Number: 18.01
Requested Positions: 5

Computer Services

Arkansas Tech University requests two (2) full-time Project/Program Administrator positions for the 2013-2015 biennium. Arkansas Tech University has had an IT audit comment stating that we need to make a separation between persons who develop and test new code and persons responsible for implementing new code in production. This separation of duties is not even feasible to try given our limited programming staff. With our current departmental organization the same person (programmer/developer):

- Fields questions / problems relating to day to day functional use of both in-house and third party applications
- Writes data queries as needed
- Corresponds with software vendors as needed about problem resolutions
- Supports functional implementation of all new software delivered
- Works with functional users to analyze new development needs
- Writes / develops new code as needed
- Implements that code into production

The process of implementing new code in production is a DBA/administrative job function and, in order to address the audit comment, would always be performed by a DBA or Administrative staff member, never by the developer. We

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currently have one DBA and one System Administrator. It would be impossible for them to implement all newly developed code and every code modification, maintain all systems, and perform database monitoring, patching and upgrading.

The university has made a large investment in computer systems and software. These systems are constantly being upgraded by the vendors, to the point that it dictates much of the time of our programming staff be spent installing, testing, and implementing those upgrades. Our current staff works hard to support and maintain current processes and has very little time that can be dedicated to new process development. There is a great deal of capability and functionality within current systems that could be of benefit to the university that is going unused because of insufficient staff to develop and support it. Some examples are:

- Implementation of the CAPP degree audit and advising tool
- Electronic sending of transcripts (outgoing EDI transcripts)
- Electronic loading of transfer work (incoming EDI transcripts)
- Employee web time entry / leave reporting
- Electronic approvals within Banner (could be used in several finance processes, payroll approvals, and any other process needing an approval hierarchy)
- Continuing education (schedule, registration, transcripts)
- Campus Loan Manager (Banner software that we have but are not using. Would assist Students Accounts Office in tracking/managing bad debt.)

We have a long list of project requests for development of workflows to:

- Assist in the communication required when a student changes majors
- Automate grade changes
- Streamline and automate student labor requests
- Consolidate the employee termination / exit process
- Automate the faculty / staff waiver process
- Automate the posting of advance credit

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We have several new software systems that are currently being installed which will require continued support. These include the StarRez housing solution and BossCars parking management software.

Administration and Finance

Arkansas Tech University requests the addition of three (3) full-time Project/Program Administrator positions for the 2013-2015 biennium. The positions are requested to address the increased demand for services in the Administration and Finance Department. Arkansas Tech has experienced significant growth since 1997 as illustrated by the following data:

Description	2007	Fall 2012*	Net Change %
Student Headcount	4,238	10,946	158%
SSCH Produced	53,747	125,657	134%
Programs			
• Associate	8	13	63%
• Bachelor	52	114	119%
• Masters	22	39	77%
• Educational Specialist	1	1	0%
• Post Graduate	0	1	100%

Student growth impacts all operating areas in Administration including Student Accounts, Financial Aid and auxiliary services such as Bookstore and Food Services. There are other areas such as Budget, Purchasing, Controller's Office and Human Resources that are impacted by both student and faculty/staff increases.

*preliminary headcount and SSCH; official enrollment will be available October 2012.

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Position Title: Development/Advancement Administrator
Development/Advancement Director
Development/Advancement Manager
Development/Advancement Specialist

Line Item Number: 25.01
Requested Positions: 8

Arkansas Tech University requests eight (8) full-time Development/Advancement Administrator positions for the 2013-2015 biennium. These positions are needed to serve the University's increasing alumni base. Arkansas Tech University currently interacts with over 30,000 alumni and adds approximately 2,000 new graduates each year. Alumni play a pivotal role in supporting the university - from recruiting new students to providing critical private support. Planning, organizing, and coordinating communications for programs and events is necessary to engage and retain active alumni, and targeted programming must be developed for the various segments of the alumni population to encourage their support. In order to build and retain that interaction, we request these positions. The new titles would be more descriptive of the duties of personnel filling the currently appropriated Major Gift Development Officer positions. We are deleting 8 positions on line 58 so the net result of this requested item is zero positions increased.

Position Title: Student Development Specialist
Line Item Number: 49
Requested Positions: 6

Arkansas Tech University requests the addition of six (6) full-time Student Development Specialist positions for the 2013-2015 biennium. These positions are needed to address staffing shortages in a variety of growing service areas within student life that are critical to support the increasing number of students served on campus. Duties assigned to these individuals will vary from activities such as retention services, judicial and student conduct administration, parent services and outreach, orientation programs, leadership development programs, coordinating graduate assistant placement, and the coordination of special events. The addition of these positions will allow new staff to be added to existing understaffed

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areas as well as provide an opportunity to develop new programs and services with competent and trained professionals as we address the continued growth in the student body. Two of these positions have been approved through the growth pool.

Position Title: Database Administrator
Line Item Number: 52
Requested Positions: 1

Arkansas Tech University requests the addition of one (1) full-time Database Administrator position for the 2013-2015 biennium. Arkansas Tech University has invested heavily over the past seven years in the Oracle data base management software products to support multiple computerized platforms for both administrative and academic requirements. These platforms include the SunGardHE Banner suite which serves practically all areas of the administration of the university including Student Records, Financial Accounting, Human Resources, Alumni and fundraising, Financial Aid, and several others. However, Banner is not the only major database required for the appropriate functioning of the technology infrastructure. In addition the primary platform for online academic instruction is the BlackBoard course management system which is essential to our growing efforts to provide coursework via electronic means in order to meet the demands from our constituency. This software suite is also operated on the Oracle DBMS, as are multiple other second-level products which have been implemented to enhance our goal of providing the best possible technology to all our students, faculty, and staff. This increased use of the Oracle system has greatly succeeded in providing the services that we've required, but it is becoming obvious that the increasing demands on the system have also created the need for additional support staff to ensure the availability and performance of the system.

The present support staff for the entire Oracle DBMS implementation at ATU consists of one Data Base Administrator who operates in that capacity on a full-time basis. His duties not only include the daily support and management tasks to monitor and manage operations and performance to meet the myriad of events which occur normally because of the expected use of these systems, but he also is tasked to evaluate, install, test, and implement the almost daily influx of software updates and fixes that are associated with a system which is so thoroughly integrated with such a variety of

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products, many of which are absolutely critical to our daily operations at the university. This situation of having only a single individual who is available to provide these services was acceptable for us when we began our Oracle DBMS implementation years ago, but it has now become unacceptable for several reasons.

We are requesting the addition of another Data Base Administrator position to our allocation in order to better address the following:

1. Banner is not the only major database which must be maintained to effectively and efficiently support the significant technology infrastructure that allows the university to function. There are databases associated with our learning management systems, the IBM software, and a multitude of other systems requiring knowledge of AIX and other skills that are different from those required for Oracle support.
2. Additional expertise is needed to effectively and efficiently handle the workload demands for supporting the Oracle platforms within a reasonable timeframe as dictated by operational requirements. This would provide us the much needed ability to better multi-task the normal issues.
3. Two individuals who specialize in database administration can be cross-trained to provide critical support in case either becomes sick or injured and unable to work. The added redundancy in the required skill set would ensure continuity of operations during periods of extended absence of either of the DBAs due to illness, training or vacation. It would be almost impossible for us to provide our normal services without a functioning DBA in place at all times.
4. The requirement for our DBA to be very familiar with software packages and system capabilities in addition to Oracle but which integrate so closely with it, and with our continued acquisition of new packages to supplement our already existing services, are exceeding the reasonably expected demands on a single individual. This is particularly important in a situation where the performance standards are as absolutely critical for normal operations as they are in this environment.

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Finally, the addition of another Data Base Administrator position will enable us to continue to grow as expected to meet the service requirements for our steadily increasing student body, as well as the ability for our faculty and staff to access the technology which they require.

Position Title: Faculty
Distinguished Professor
Professor
Associate Professor
Assistant Professor
Instructor

Line Item Number: 151
Requested Positions: 20

Arkansas Tech University requests the addition twenty (20) full-time Faculty positions for the 2013-2015 biennium. Currently, the institution has 260 authorized full-time faculty positions. To keep pace with growing enrollment, the university is aggressively pursuing qualified faculty applicants and filling all vacancies to ensure quality of instruction for current and incoming students. Six of these requested positions have been approved through the growth pool.

For the 2011-12 academic year, 244 faculty positions are currently being used. Budgeted vacancies are currently being filled which will add an additional 12 faculty. Anticipated new faculty positions total another 10. Should all positions both current and anticipated be filled, Arkansas Tech University would need 266 (244+12+10) faculty positions for 2012-13, six more than is currently authorized. These six positions are currently being requested from the Central Pool (as per Act 1065 of 2011).

At the current rate of growth, we anticipate the need for additional faculty beyond the 266. Therefore, we are asking for fourteen (14) more positions beyond those requested from the Central Pool, for a total of twenty (20). The total authorized positions for the 2013-15 biennium would then total 280.

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Five years' of statistics for the main campus are presented below to illustrate the need for the institution to increase its faculty size:

	Fall 2007	Fall 2011	Percentage Increase
Enrollment (headcount)	6,804	8,664	27.34
Faculty (headcount)	230	244	6.09
Overloads (FTE)	17.221	30.642	77.94

The standard teaching load for Arkansas Tech University faculty is 12 semester hours per semester, which equals 1 FTE. Many of our faculty, however, teach more than the 12 semester hours due to the increased enrollment and the lack of qualified adjunct faculty. For fall of 2011 Arkansas Tech's 244 faculty are teaching the equivalent hours of 274.642 faculty.

In The Higher Learning Commission team report, as part of Arkansas Tech University's re-accreditation during the 2010-11 academic year, the following statement is made: "Due to the growth of the past five years, many classes, especially in general education, are necessarily quite large." The reviewers were concerned, in conversations with university officials, about the increase in enrollment versus the increase in faculty. Although the HLC did not cite this as a concern within their report but merely an observation, Arkansas Tech University is obligated to address this issue in order to maintain the academic integrity of our classes and programs.

Position Title: Part-Time Faculty
Line Item Number: 152
Requested Positions: 20

Arkansas Tech University is requesting the addition of twenty (20) Part-Time Faculty positions for the 2013-15 biennium. Enrollment on the main campus has increased from 6,804 in fall 2007, to 8,664 in fall 2011. This increase represents a 27.34% increase. Adjunct usage during this time period has increased from 103 positions used with an FTE production of 50.888 to 119 positions used with an FTE production of 74.433. The FTE production represents a 46.27% increase over

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five years. With our continuing and increasing efforts in online education, we anticipate the need to hire several more qualified adjuncts over the next five years.

Although the institution currently has 136 authorized positions and has not yet used that number, we anticipate adjunct usage for 2012-13 to be very close to the 136 and want to ensure sufficient positions for the next biennium. With online education no longer the “wave of the future” but now an integral part of the institution’s mission, Arkansas Tech University requests the addition of the 20 part-time faculty positions as a safeguard against a lack of positions being a deterrent to accomplishing our educational mission.

Position Title: Graduate Assistant
Line Item Number: 153
Requested Positions: 10

Arkansas Tech University is requesting the addition of ten (10) Graduate Assistant positions for the 2013-15 biennium. Currently the institution has 125 positions. For fall 2011, 122 positions were used and for spring 2012, 121 positions were used.

Arkansas Tech’s graduate student enrollment has increased since fall of 2003 from 357 to fall of 2011 with 679. This increase is due in part to increased efforts in recruiting graduate students and also in large part to the increase in graduate offerings. Since fall, 2000, the institution has added eleven (11) master’s degree programs and one educational specialist program. The institution has also consciously increased the number of graduate assistant positions which has allowed some students to enroll who would not otherwise have been able to financially afford to do so. Arkansas Tech respectfully requests the addition of ten (10) more graduate assistant positions as part of our continuing efforts to increase and graduate students at the master’s level or higher.

Position Title: Director of Student Health Services
Line Item Number: 155.01
Requested Positions: 1

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Arkansas Tech University requests one (1) full-time Director of Student Health Services position for the 2013-2015 biennium. The position is needed to address the growing demand for health services for an increasing student population. Currently Student Health Services is staffed by one full-time RN who sees more than 2,400 clients annually. The need for continued health services in both direct service areas and outreach programs continues to grow. Recent increases in state mandated MMR and Meningitis vaccinations, as well as, public demand for flu vaccinations, have increased the workload dramatically. To continue to provide adequate health services for students, this additional support is necessary. This position has been approved through the growth pool.

Position Title: Advance Practice Nurse
Line Item Number: 157.01
Requested Positions: 1

Arkansas Tech University requests one (1) full-time Advance Practice Nurse position for the 2013-2015 biennium. This position, which requires master's level training in nursing and state certification as an APN, is needed to address the complexities of health concerns being presented to our Student Health Services department. This staff member will work under the supervision of a licensed physician to prescribe basic medications and other health services that cannot be provided by a registered nurse. This position is needed to provide the advanced services necessary to allow students to maintain enrollment, while at the same time, addressing more serious health concerns and providing cost effective health care solutions to students with poor or no health insurance coverage.

Position Title: Chief Business and Community Outreach Officer
Line Item Number: 187.01
Requested Positions: 1

Arkansas Tech University – Ozark Campus requests one (1) full-time Chief Business and Community Outreach Officer position for the 2013-2015 biennium. The Chief Business and Community Outreach Officer will report directly to the Chancellor of the Ozark Campus and is responsible for development and facilitation of all non-credit instruction, development of business and industry partnerships, seeking alternative funding sources, working with governmental

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agencies, supervising community outreach efforts, development of customized training programs, contributing to the development of alternative funding sources, and administrating most grant activities for the campus.

This position is needed as a result of increased requests for non- credit workforce training throughout our region, and to assist in providing incumbent worker training as well as pre-employment screening. This position also supervises all community and economic outreach and campus engagement activities throughout the region.

Position Title: Director of Physical Plant
Line Item Number: 192.02
Requested Positions: 1

Arkansas Tech University – Ozark Campus requests one (1) full-time Director of Physical Plant position for the 2013-2015 biennium. This position will serve the Ozark Campus in the oversight of the physical plant operations and facilities improvements. The need for the position is a direct result of significant enrollment growth and campus expansion over the past several years. With the growth in student populations, there is an impact on all operating areas to provide quality facilities that will enhance student learning. Additionally, this position is needed to assist with coordination of facilities improvements through execution of the campus master plan.

Following is enrollment data over a three year period:

Fall 2008	778	Spring 2009	792
Fall 2011	1,800	Spring 2012	1,682
% Change	131	% Change	112

Position Title: Academic Advisor
Line Item Number: 200
Requested Positions: 1

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Arkansas Tech University – Ozark Campus requests the addition of one (1) full-time Academic Advisor position for the 2013-2015 biennium. This position is needed to work closely with students to provide academic guidance in an effort to support retention and graduation. This position is needed in response to our growing enrollment. This position would report to the Chief Student Officer at the Ozark Campus.

Position Title: Director of Community Outreach
Line Item Number: 201.01
Requested Positions: 1

Arkansas Tech University – Ozark Campus requests one (1) full-time Director of Community Outreach position for the 2013-2015 biennium. The Director of Community Outreach is responsible for public and external relations, facilitation of non-credit community interest classes, and dissemination of university information. This position will report to the Chief Business and Community Outreach Officer. This title more accurately and appropriately represents the duties of the position. The current title indicates supervision of and responsibility for administrative support staff which are longer a part of this position's job duties.

Position Title: Workforce Ed Faculty
Line Item Number: 220
Requested Positions: 15

Arkansas Tech University – Ozark Campus requests the addition of fifteen (15) full-time Workforce Ed Faculty positions for the 2013-2015 biennium. These positions are needed to serve the Ozark Campus enrollment and program growth to include the Arkansas Tech University Career Center. Arkansas Tech University Career Center is a secondary education training facility which serves (11) school districts. The Ozark Campus has added additional programs in Registered Nursing, Physical Therapist Assistant, Occupational Therapy Assistant, Health Information Technology, Energy Systems, and Viticulture/Enology. The campus has seen an increase of students enrolled by over 400 % since its merger with Arkansas Tech University.

Position Title: Workforce Ed Part-Time Faculty
Line Item Number: 221

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Requested Positions: 10

Arkansas Tech University – Ozark Campus requests the addition of ten (10) Workforce Ed Part-Time Faculty positions for the 2013-2015 biennium. These part-time positions are needed to serve the Ozark Campus enrollment and program growth to include the Arkansas Tech University Career Center. The Ozark Campus has added additional programs in Registered Nursing, Physical Therapist Assistant, Occupational Therapy Assistant, Health Information Technology, Energy Systems, and Viticulture / Enology. The campus has seen an increase of students enrolled by over 400 % since its merger with Arkansas Tech University. Arkansas Tech University Career Center is a secondary education training facility which serves eleven (11) school districts.

Classified Positions

Position Title: Grants Manager
Requested Positions: 1

Arkansas Tech University requests one (1) Grants Manager position for the 2013-2015 Appropriation. The Budget and Special Programs Office houses the grants, contracts, and special programs oversight and administration from the initial proposal review to the daily administration and close out of the awards. There is a need to have a mid-level manager position to oversee this area to keep abreast of and establish, review, and change policies and procedures for the grant, contract, and special project area to facilitate the recommended level of standards endorsed by the National Council of University Research Administrators (NCURA).

The Grants Manager position will play a key role in reviewing the grant, contract, and special project proposals and awards as well as directing subordinates in the daily operations in the grant, contract, and special project area. These include reviewing proposals and awarded contracts, setting up cost centers, monitoring budgets and expenditures, approving purchase requisitions, coordinating payroll activity, approving vendor payments, compiling financial reports and analysis of financial data on all of the grants and special programs, close out tasks, and keeping abreast of the current trends, OMB circulars, and recommendations of the various oversight agencies. This position will enable these duties to be assigned to an employee who can be dedicated to this area and coordinate the activities.

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The grant, contract, and special project area has, in the last several years, taken on many complex tasks and issues including implementation of a new database and monitoring the on-line filing of reports, on-line reimbursement requests, the coordination and re-writing of policies and procedures to revamp this particular administrative area. There is a need for a position of this caliber to provide this level of oversight for this area.

Position Title: Fiscal Support Analyst
Requested Positions: 1

Arkansas Tech University requests the addition of one (1) Fiscal Support Analyst position for the 2013-2015 Appropriation. The Payroll Services Coordinator has recently taken on several additional duties in the payroll office due to the growth of the University. Additional duties will likely need to be delegated. An additional position will allow assignment of operational tasks to increase focus on management duties.

Continued growth has not only caused a need for additional personnel throughout the University, but also a need for business process analysis. Many of our current processes are in need of restructuring to help manage this growth. Delegation of operational tasks will free up managements time, allowing them to focus their efforts on design and implementation of these processes.

Position Title: Landscape Specialist
Requested Positions: 1

Arkansas Tech University requests the addition of one (1) Landscape Specialist position for the 2013-2015 Appropriation. A survey of landscape maintenance and installation contractors has indicated the starting wage for a skilled employee with three or more years of experience in installing and maintaining landscaping, including irrigation systems, averages \$15.00 per hour. The skill set required to maintain and improve the conditions of the grounds has recently increased beyond the skill set of the existing Maintenance Assistant positions which pay \$9.99 which requires a skill set of merely pulling weeds, running a weed eater and running a mower. A higher level position requiring more skills and responsibility is needed to attract higher skilled employees and be competitive with the local average wage for employees who can

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install, maintain and troubleshoot irrigation systems and maintain landscaping beyond the level of basic trimming and weed pulling. The area of the campus with elaborate planting beds and additional irrigation has increased 83,133 square feet since 2009, and we are unable to attract employees who already have the skill to work in these areas with the existing Maintenance Assistant positions. The requested Landscape Specialist position paying \$11.02 per hour should help us attract employees who can effectively maintain these areas beginning the day they are hired.

Position Title: Maintenance Assistant
Requested Positions: 3

Arkansas Tech University requests the addition of three (3) Maintenance Assistant positions for the 2013-2015 Appropriation. The addition of Maintenance Assistant employees is necessary to allow the grounds crew to move beyond barely able to just maintain the grounds but to actually improve them. The grounds crew is continually being asked to perform more and more labor related jobs that require them to stop working on the grounds to complete. Principal among these are football game set-ups, graduations, and other similar events. During the 50 work week period between 7/1/11 and 6/15/12 the grounds crew Maintenance Assistants worked 337 hours of overtime and thus earned 505.5 hours of comp. time, resulting in an average of 10.11 hours per week, or 2.02 hours per day. To use this time within the same period requires one employee to be absent per week on average. In addition the grounds crew Maintenance Assistants average 2.39 hours of vacation per week times 11 employees resulting in 26.29 hours per week. They work five days per week resulting in $(26.29/5) = 5.26$ hours per day of vacation time they can use. The grounds crew Maintenance Assistants earn 2 hours of sick time per week times 11 employees resulting in 22 hours per week. They work five days per week resulting in $(22/5) = 4.4$ hours per day of sick time accrued. This results in $2.02+5.25+4.4 = 11.67$ hours per day or approximately 1.5 employees absent per day. Effectively the 11 person crew accomplishes 9 employees worth of work. Two additional employees are required to reduce the comp. time hours accrued: $337 \text{ hours}/50 \text{ weeks} = 30$ hours per week for one employee, and the second helps cover the leave time accrued by the first employee as well as completes those moving/labor tasks that currently go uncompleted. The second two employees increase the number of employees working on actual grounds related tasks to at least 11 or more when /if the comp. time is eliminated or reduced. This will allow the grounds crew to move from a position of barely maintaining the multi-million dollar landscape of the campus into position to improve the landscape of the campus.

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Position Title: Maintenance Specialist
Requested Positions: 2

Arkansas Tech University requests two (2) Maintenance Specialist positions for the 2013-2015 Appropriation. With the completion of the new housing facility on M Street, the number of students living on campus will have increased 407 since 2009. Most of these additional beds are in facilities requiring a higher level of skill to maintain. Compliance with ACT 1494 has increased the level of skill required to maintain HVAC, plumbing and electrical systems in the housing facilities. Security issues have also increased the skill set required to maintain housing facilities on campus. The housing maintenance employees are required to have skills as an electrician, plumber, carpenter, HVACR technician, painter, and plasterer. The Arkansas Department of Labor reports the average wage in Pope County for these trades is \$17.60 per hour. The Maintenance Specialist position at \$9.99/hour vs. the existing Apprentice Tradesman position paying \$8.63/hour will help Arkansas Tech University attract higher caliber employees and retain those employees already performing at the higher level.

Position Title: Occupational Safety Coordinator
Requested Positions: 1

Arkansas Tech University requests one (1) Occupational Safety Coordinator position for the 2013-2015 Appropriation. Safety documentation and training requirements have increased significantly. Increased emphasis on the installation and performance of safety systems, such as fire alarm monitoring, has increased the necessity for more frequent inspections of these systems, better coordination of their maintenance, and increased documentation. A short list of systems or equipment requiring frequent inspections are emergency lighting, fire sprinklers, fire alarms, vehicles, and scaffolding. In the past year, Plant employees have spent approximately 660 man hours on these inspections taking them away from their maintenance duties. State and Federal environmental and employee protection laws are continually changing and requiring more time and documentation, this position is critical to keep the University in compliance and protect the safety of our students, faculty, staff and the taxpayer. In the past two years, University employees have made twelve Workers Compensation claims. As the campus continues to grow and the risks continue to increase, this position will reduce those claims through training and oversight.

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Position Title: Budget Manager
Requested Positions: 1

Arkansas Tech University requests one (1) Budget Manager position for the 2013-2015 Appropriation. There is a need to have a mid-level manager position to aid the Budget Director in coordinating the activities regarding the compilation of information and oversight of the University's operating budget.

The Budget Manager position will play a crucial role in providing analysis and compiling data to prepare information for the budget process overseen by the Budget Advisory Committee as well as coordinate the day to day operations required by the Budget Office to ensure that the University's policies and procedures for this area are sustained. This position will work closely with the Payroll and Human Resources areas to provide the cost analysis and financial impact information regarding our human capital assets needed by these departments and senior level management. This position will work as a liaison with the University departments to interpret and communicate policies and procedures, conduct general and specialized training sessions, and advise the Budget Director on items that need to be revised and/or implemented. The Budget Manager will assist the Budget Director in cost analysis to establish the feasibility of implementing new programs and aid in researching alternative funding possibilities.

Position Title: Administrative Specialist III
Requested Positions: 1

Arkansas Tech University – Ozark Campus requests the addition of one (1) Administrative Specialist III position for the 2013-15 Appropriation. This position is needed as a result of the increase in responsibilities required to coordinate six (6) allied health programs. The Ozark Campus has increased the Allied Health offerings on its campus from two (2) to six (6) beginning in 2003. With the addition of the program offerings, the administrative responsibilities have increased in number and in scope. The Administrative Specialist III supports the administrative and fiscal functions under the umbrella of the Office of Academic Affairs. This position will report to the Chief Academic Officer on the Arkansas Tech University – Ozark Campus.

ARKANSAS TECH UNIVERSITY
Dr. Robert Brown, President

The Administrative Specialist III is responsible for coordinating office activities, researching and preparing special reports, developing, revising, and recommending administrative policies and procedures, compiling financial information to include the monitoring of expenditures, and providing information assistance as directed by supervisor. This position is governed by state and federal laws and agency/institution policy.

Position Title: Maintenance Assistant
Requested Positions: 1

Arkansas Tech University - Ozark Campus requests the addition of one (1) Maintenance Assistant position for the 2013-2015 Appropriation. This position is needed for the purpose of maintaining grounds and facilities for an optimal learning environment. Significant growth in building services as well as student and staff population have been realized. This position will provide the additional staff needed to sustain the growth. This position will report to the Maintenance Supervisor

Position Title: Public Safety Commander I
Requested Positions: 1

The Arkansas Tech University-Ozark Campus requests one (1) HE Public Safety Commander I position for the 2013-15 Appropriation. Due to significant growth on the Ozark Campus we anticipate hiring additional public safety officers in the future. This position will also be responsible for the implementation and management of the campus' emergency plan. This position will be responsible for the investigation of all criminal acts committed on campus property. This position will also be responsible for maintaining and submitting statistics for the Ozark Campus and required by the Clery Act.

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**INSTITUTION APPROPRIATION SUMMARY
2013-15 BIENNIUM**

INSTITUTION ARKANSAS TECH UNIVERSITY

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION								
	2011-2012		2012-13		2012-13		2013-14			2014-15					
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS	
1 STATE TREASURY	34,467,097		34,220,788		38,040,169		39,647,948		39,647,948		40,559,851		40,559,851		
2 CASH	60,663,236		112,037,398		112,037,398		112,037,398		112,037,398		112,037,398		112,037,398		
3															
4															
5															
6															
7															
8															
9															
10															
11 TOTAL	\$95,130,333	1,119	\$146,258,186	1,164	\$150,077,567	1,301	\$151,685,346	1,430	\$151,685,346	1,397	\$152,597,249	1,430	\$152,597,249	1,397	
FUNDING SOURCES		%		%				%		%		%		%	
12 PRIOR YEAR FUND BALANCE*	0	0%	8,435	0%			0	0%	0	0%	0	0%	0	0%	
13 GENERAL REVENUE	31,790,190	33%	31,535,222	22%			36,970,817	24%	36,970,817	24%	37,882,720	25%	37,882,720	25%	
14 EDUCATIONAL EXCELLENCE TRUST FUND	1,942,126	2%	1,982,307	1%			1,982,307	1%	1,982,307	1%	1,982,307	1%	1,982,307	1%	
15 WORKFORCE 2000	743,216	1%	694,824	0%			694,824	0%	694,824	0%	694,824	0%	694,824	0%	
16 CASH FUNDS	57,684,873	61%	101,089,967	69%			107,395,301	71%	107,395,301	71%	107,166,038	70%	107,166,038	70%	
17 SPECIAL REVENUES		0%		0%				0%	0	0%		0%	0	0%	
18 FEDERAL FUNDS	2,978,363	3%	10,947,431	7%			4,642,097	3%	4,642,097	3%	4,871,360	3%	4,871,360	3%	
19 TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%	
20 OTHER FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%	
21 TOTAL INCOME	\$95,138,768	100%	\$146,258,186	100%			\$151,685,346	100%	\$151,685,346	100%	\$152,597,249	100%	\$152,597,249	100%	
22 EXCESS (FUNDING)/APPROPRIATION	-\$8,435		\$0				\$0		\$0		\$0		\$0		

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2012:	\$22,114,079
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$3,032,499
INVENTORIES	\$839,222
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	
MAJOR CRITICAL SYSTEMS FAILURES	\$4,584,500
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$7,421,278
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$2,992,102
OTHER (FOOTNOTE BELOW)	\$2,677,505
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$566,973

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

OTHER:

1 Band Practice Field	168,235
2 Ozark Data Center	380,072
3 Morton Hall Reroof	266,765
4 Old Art Building	195,980
5 Public Safety Building	825,503
6 Theatre/Techionery	840,950
	<u>2,677,505</u>

**APPROPRIATION ACT FORM - STATE TREASURY
2013-15 BIENNIUM**

FUND COA0000

INSTITUTION ARKANSAS TECH UNIVERSITY

APPROPRIATION

567

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES	22,962,651	23,784,016	26,099,596	27,213,790	27,845,740		
2 EXTRA HELP WAGES	2,242,609	2,262,500	2,462,500	2,567,624	2,627,249		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING***	5,437,994	4,656,036	5,211,212	5,433,679	5,559,858		
5 OPERATING EXPENSES	3,474,962	3,169,355	3,888,355	4,054,349	4,148,498		
6 CONFERENCE FEES & TRAVEL			29,625	29,625	29,625		
7 PROFESSIONAL FEES AND SERVICES							
8 CAPITAL OUTLAY							
9 FUNDED DEPRECIATION	348,881	348,881	348,881	348,881	348,881		
10							
11							
12							
13 TOTAL APPROPRIATION	\$34,467,097	\$34,220,788	\$38,040,169	\$39,647,948	\$40,559,851	\$0	\$0
14 PRIOR YEAR FUND BALANCE**		8,435					
15 GENERAL REVENUE	31,790,190	31,535,222		36,970,817	37,882,720		
16 EDUCATIONAL EXCELLENCE TRUST FUND	1,942,126	1,982,307		1,982,307	1,982,307		
17 SPECIAL REVENUES * [WF2000]	743,216	694,824		694,824	694,824		
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS							
21 TOTAL INCOME	\$34,475,532	\$34,220,788		\$39,647,948	\$40,559,851	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	-\$8,435	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

***For 2011-12, \$579,307 in Workforce Ed funds were added to Personal Services Matching

FORM 13-3

**APPROPRIATION ACT FORM - CASH FUNDS
2013-15 BIENNIUM**

FUND 2100000

INSTITUTION ARKANSAS TECH UNIVERSITY

APPROPRIATION B11

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES	14,745,216	18,385,982	18,385,982	18,385,982	18,385,982		
2 EXTRA HELP WAGES	15,466	3,450,000	3,450,000	3,450,000	3,450,000		
3 OVERTIME	24,704	287,775	287,775	287,775	287,775		
4 PERSONAL SERVICES MATCHING	4,372,323	8,694,747	8,694,747	8,694,747	8,694,747		
5 OPERATING EXPENSES	21,336,211	21,589,690	21,589,690	23,796,492	24,416,039		
6 CONFERENCE FEES & TRAVEL	506,325	912,500	912,500	912,500	912,500		
7 PROFESSIONAL FEES AND SERVICES	225,486	2,049,603	2,049,603	2,049,603	2,049,603		
8 CAPITAL OUTLAY	1,773,325	15,689,971	15,689,971	15,208,169	15,208,169		
9 CAPITAL IMPROVEMENTS	13,683,271	16,207,440	16,207,440	16,082,440	16,082,440		
10 DEBT SERVICE	3,947,692	7,669,690	7,669,690	7,669,690	7,669,690		
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS		17,025,000	17,025,000	15,425,000	14,805,453		
12 PROMOTIONAL ITEMS	33,218	75,000	75,000	75,000	75,000		
13							
14							
15							
16 TOTAL APPROPRIATION	\$60,663,236	\$112,037,398	\$112,037,398	\$112,037,398	\$112,037,398	\$0	\$0
17 PRIOR YEAR FUND BALANCE***	0	0		0	0		
18 TUITION AND MANDATORY FEES	50,302,118	51,677,067		53,283,179	54,881,674		
19 ALL OTHER FEES	82,910	54,175		55,800	57,474		
20 SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	1,584,780	23,144,194		23,375,636	23,609,392		
21 INVESTMENT INCOME	282,782	254,109		254,109	254,109		
22 FEDERAL CASH FUNDS	2,978,363	10,947,431		4,642,097	4,871,360		
23 OTHER CASH FUNDS	5,432,283	25,960,422		30,426,577	28,363,389		
24 TOTAL INCOME	\$60,663,236	\$112,037,398		\$112,037,398	\$112,037,398	\$0	\$0
25 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED 2012-13	REQUEST		LEGISLATIVE RECOMMENDATION	
				2013-14	2013-14	2013-14	2014-15
REGULAR POSITIONS	1,119	1,164	1,301	1,430	1,397		
TOBACCO POSITIONS							
EXTRA HELP **	1,215	1,215	1,215	1,215	1,215		

FORM 13-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

ACTIVITY	ACTUAL 2011-12				BUDGETED 2012-13			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *	3,222,092	3,907,895	195,649	(881,452)	3,253,961	3,960,137	474,511	(1,180,687)
2 HOUSING	7,431,374	4,705,122	2,297,623	428,629	7,793,970	5,339,340	2,454,630	0
3 FOOD SERVICES	5,562,720	4,463,063	0	1,099,657	5,571,597	4,831,827	739,770	0
4 STUDENT UNION	0	0	0	0	0	0	0	0
5 BOOKSTORE	2,997,419	2,689,171	0	308,248	2,874,229	2,874,229	0	0
6 STUDENT ORGANIZATIONS AND PUBLICATIONS	432,992	534,389	0	(101,397)	444,200	576,476	0	(132,276)
7 OTHER	259,851	430,208	0	(170,357)	223,716	393,088	0	(169,372)
8 SUBTOTAL	19,906,448	16,729,848	2,493,272	683,328	20,161,673	17,975,097	3,668,911	(1,482,335)
9 ATHLETIC TRANSFER **	1,124,400			1,124,400	1,180,687			1,180,687
10 OTHER TRANSFERS ***	(1,721,466)			(1,721,466)	301,648			301,648
11 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	19,309,382	16,729,848	2,493,272	86,262	21,644,008	17,975,097	3,668,911	0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 13-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 7 Other - Transfers include Student Health Services and Music Camp

NOTE: Line 10 Other Transfers include Music Camp, Student Activities, Transfers In and Out

ACTIVITY	ACTUAL 2011-12				BUDGETED 2012-13			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *	0	0	0	0	0	0	0	0
2 HOUSING	0	0	0	0	0	0	0	0
3 FOOD SERVICES	19,165	12,788	0	6,377	10,700	10,700	0	0
4 STUDENT UNION	0	0	0	0	0	0	0	0
5 BOOKSTORE	874,653	721,523	70,580	82,550	701,213	632,593	68,620	0
6 STUDENT ORGANIZATIONS AND PUBLICATIONS	21,000	21,000	0	0	21,000	21,000	0	0
7 OTHER	0	0	0	0				0
8 SUBTOTAL	914,818	755,311	70,580	88,927	732,913	664,293	68,620	0
9 ATHLETIC TRANSFER **	0			0				0
10 OTHER TRANSFERS ***	0			0				0
11 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	914,818	755,311	70,580	88,927	732,913	664,293	68,620	0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

ARKANSAS TECH UNIVERSITY
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2011-12:					1,128
(As of November 1, 2011)					
Nonclassified Administrative Employees:					
White Male:	87	Black Male:	2	Other Male:	5
White Female:	115	Black Female:	2	Other Female:	5
Total		Total		Total	94
				Total	Female: 122
Nonclassified Health Care Employees:					
White Male:	0	Black Male:	0	Other Male:	0
White Female:	0	Black Female:	0	Other Female:	0
Total		Total		Total	0
				Total	Female: 0
Classified Employees:					
White Male:	73	Black Male:	1	Other Male:	2
White Female:	193	Black Female:	2	Other Female:	9
Total		Total		Total	76
				Total	Female: 204
*Faculty:					
White Male:	256	Black Male:	9	Other Male:	36
White Female:	296	Black Female:	6	Other Female:	29
Total		Total		Total	301
				Total	Female: 331
Total White Male:	416	Total Black Male:	12	Total Other Male:	43
Total White Female:	604	Total Black Female:	10	Total Other Female:	43
Total		Total		Total	471
				Total	Female: 657
Total White:	1,020	Total Black:	22	Total Other:	86
				Total Minority:	108
				Total	Employees: 1,128

FORM 13-6

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$25,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2012
 Required by A.C.A. 25-36-104

Institution

ARKANSAS TECH UNIVERSITY

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
Shree-Ram LLC	\$51,938				x		

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 1

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$7,733,860
 (Total Expenditures equals ALL Contracts Exceeding \$25,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF ARKANSAS TECH UNIVERSITY
June 30, 2011

<p><i>Finding No. 1:</i></p>	<p>The following control weaknesses were discovered during a review of the Banner system performed September 12, 2011:</p> <p>Applies to the Banner Payroll and Student Accounts Receivable Modules</p> <p>Application security access should be restricted to what is required to perform job functions. Some users were identified that had inappropriate (excessive) accesses to the application. Failure to properly assign access permission increases the risk of accidental or intentional unauthorized transactions or data manipulation. A similar finding was reported in the previous audit.</p> <p>Recommendation: Administrator review and revise security accounts to restrict access to only what is necessary for users to perform their job functions.</p>
<p><i>Institution's Response</i></p>	<p>Only users from Computer Services were identified as having excessive access to the Banner Production data. A small staff, along with immediate demands from administrative offices, requires that our application staff have quick access to the most up-to-date data in our production system. Several occasions have proved that waiting time for the appropriate person or approval to make data changes would be too time consuming to serve the University as expected. We are constantly striving to reconcile the demand put on our limited Computer Services staff with the need for security controls.</p>
<p><i>Finding No. 2:</i></p>	<p>Information System Control Standards dictate that programming personnel should not have the ability to update or move programming changes into the production system. Programming personnel have the ability to update and move programming changes into the production system. Unauthorized changes are more likely to occur when programming staff are allowed to update or move programming changes into production. A similar finding was reported in the previous audit.</p> <p>Recommendation: A person outside the programming area should be assigned the responsibility of moving changed programs into production only after they have the proper signoffs from programming supervisor/end users requesting change. Programmers should not have update access to the production system.</p>

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF ARKANSAS TECH UNIVERSITY
June 30, 2011

<p><i>Institution's Response</i></p>	<p>Due to no significant change in the available staff in Computer Services during the past year, we are still experiencing the same restrictions that have been identified earlier. We have looked at possible ways to handle the issues mentioned in the comment; however, we have been unable to successfully identify a way that is operationally feasible at this time. We will continue to review procedures and policies as our operations and technologies change and take appropriate actions.</p>
<p><i>Finding No. 3:</i></p>	<p>Backup data should be stored in a secure off-site facility. Backups are stored in the Corley and Pendergraft buildings located on-site. Failing to maintain backups at a secure off-site facility could result in loss of data and the inability to continue operations in the event of a disaster. A similar finding was reported in the previous audit.</p> <p>Recommendation: Storing backup media at a suitable, secure, off-site facility.</p>
<p><i>Institution's Response</i></p>	<p>We have discussed several options for an off-site backup facility. One option explored was to utilize the Ozark Campus for this purpose. This option, though beneficial, would require substantial start-up costs that would involve construction of a secure environment to house the equipment, as well as additional network connectivity and additional employees to staff the facility. Another option discussed was using a third party vendor. Analysis showed that option to have less up-front costs than construction of a facility on the Ozark Campus. However the costs are still substantial. Work is continuing on these options to find the most beneficial, long-term solution to the redundant data issue at a reasonable cost.</p>
<p><i>Finding No. 4:</i></p>	<p>A formal (written and approved) disaster recovery plan should be in place that addresses all aspects of the recovery process from both short and long-term interruptions of computer processing. The Disaster Recovery Plan in place is inadequate (both technical and end user) for restoring from short-term or long-term interruptions of computer processing. This situation could cause the entity to be without computer processing for an extended period of time in the event of a disaster or major interruption and could also place a financial and personnel burden on the resources of the entity. A similar finding was reported in the previous audit.</p> <p>Recommendation: Management develop a formal Disaster Recovery Plan that addresses all aspects of the recovery process from both short and long-term interruptions of computer processing.</p>

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF ARKANSAS TECH UNIVERSITY
June 30, 2011

<p><i>Institution's Response</i></p>	<p>A committee was formed with the Emergency Management Department Chair, Director of Computer Services, Department of Academic Affairs and Administration & Finance to development the Disaster Recovery Plan for the University. Headed up by the Emergency Management Department's Center for Preparedness and Recovery, a formal two phase plan was enacted that would address the specific comments contained in the auditor's report. The Center's team collected data and interviewed departments to identify critical functions and essential processes. The information was uploaded to the Arkansas Department of Information System for review. We are awaiting approval of this Disaster Recovery Plan.</p>
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HENDERSON STATE UNIVERSITY

Dr. Glen Jones, President

ENABLING LAWS

The institution was established as a public body politic and corporate by Act 46 of 1929 of the Arkansas Legislature, which was later codified as Arkansas Code Annotated 6-66-101. The legislature later changed the name of the institution to Henderson State University by Act 4 of 1975. As a result, the establishment of this institution is documented by Arkansas statute and not by any Articles of Incorporation. As an institution of higher learning duly organized and existing under the laws of the State of Arkansas, the creation of Henderson State University is not documented by Articles of Incorporation as would be expected of a private corporation or a non-profit corporation.

Pursuant to Arkansas Code Annotated 6-66-101 and 6-66-102, Henderson State University is governed by a seven member Board of Trustees, which is charged with the management and control of Henderson State University. The Trustees are appointed by the Governor of the State of Arkansas. As a result, Henderson State University does not have an Operating Agreement that sets out the plan of management and control for the institution. Those matters are also covered by Arkansas statute.

Henderson State University is currently operating under Act 267 of the Fiscal Session of the 88th General Assembly of the State of Arkansas. The Act is to make an appropriation for personal services and operating expenses for the Henderson State University for the fiscal year ending June 30, 2013, and for other purposes.

INSTITUTION HISTORY AND ORGANIZATION

Henderson State University is Arkansas's public liberal arts university. True to the century-long tradition that has distinguished Henderson since its founding, the university remains dedicated to providing excellent undergraduate curricula and graduate programs. Henderson, a Southern, comprehensive regional institution with a diverse student body, advocates a program based on the liberal arts, regardless of specific educational interests. Through a common core of courses in arts and sciences, as well as through the more specialized curricula, the university fosters the maximum growth and development of each student. More specifically, Henderson endeavors to provide an education that will nurture in each student the capacity:

To think logically and critically;

HENDERSON STATE UNIVERSITY
Dr. Glen Jones, President

To speak and write effectively;
To appreciate the complexity and diversity of world cultures;
To understand the physical universe;
To participate as a concerned, intelligent citizen;
To acquire mastery of a particular field of study;
To mature intellectually, emotionally, and physically; and
To discern appropriate uses of technology.

Henderson's quality in education is fostered not only by a positively motivated student body, but also by a faculty and staff distinguished for their continuing commitment to excellence. University care and concern, positive student response, small class sizes, and a personal friendly atmosphere—these characteristics are the heart of Henderson.

The university encourages excellence and supports scholarly and creative endeavors on the part of both its faculty and students. These endeavors form the foundation upon which Henderson builds appropriate programs in response to the needs of the various communities it serves. Working collaboratively with our communities, Henderson applies its core competencies to develop and deliver programs that enhance the preservation and improvement of the quality of life in Arkansas.

Henderson State University has the following Institutional and Program Accreditations:

AACSB International – The Association to Advance Collegiate Schools of Business
Academy of Nutrition and Dietetics
Commission on Accreditation of Athletic Training Education
Commission of Collegiate Nursing Education
Council for Accreditation of Counseling and Related Educational Programs
Higher Learning Commission of the North Central Association of Colleges and Schools
National Association of Schools of Music
National Council for the Accreditation of Teacher Education

HENDERSON STATE UNIVERSITY
Dr. Glen Jones, President

Henderson State University has the following Institutional Memberships:

- American Association of Colleges of Nursing
- American Association of Colleges for Teacher Education
- American Association of Family and Consumer Sciences
- American Association of State Colleges and Universities
- College Placement Council
- Council of Public Liberal Arts Colleges
- Higher Learning Commission of the North Central Association of Colleges and Schools
- National Association of Schools of Music
- National Collegiate Athletic Association
- National Council for Accreditation of Teacher Education
- University Aviation Association

During the 2011-2012 academic year, Henderson State University received reaffirmation of accreditation in six of the eight institutional accreditations that were scheduled for review.

The university also conducted an extensive national search for a president which resulted in the selection of Dr. Glen Jones as Henderson State University's seventeenth President. Dr. Jones was selected to serve in March 2012 and he began in his official capacity on July 1, 2012.

ADDITIONAL APPROPRIATIONS AND NEW POSITIONS

Henderson State University is a formula driven entity and as such will not make a request for general revenue. In accordance with Act 1203 of 2011, which modified Arkansas Annotated Code 6-61-224, Henderson will be funded with an outcome-centered component of the formula in addition to the needs-based formula that has been used in previous years.

Henderson State University requests four additional Institutional Assistant positions to be used in our new Academic

HENDERSON STATE UNIVERSITY
Dr. Glen Jones, President

Advising Center. The Academic Advising Center was developed as part of our university strategic plan with the primary objective being the improvement of the retention and graduation rates of our student body.

Job responsibilities include increasing student success by assisting students in the development of an educational plan. This includes assisting students in choosing a program of study and/or clarifying educational goals, in addition to monitoring their academic progress. The Academic Advising Counselors will provide students with information about alternatives, limitations and possible consequences to orientation groups, potential students and parents. Academic Advising Counselors work with departmental, college, and university advisors to address advising issues. They maintain student files; document all pertinent student information; update the computerized information system regarding changes to student status and prepare various reports as requested for assessment. They may also supervise work study students and perform other duties as assigned by supervisory personnel. These positions report to the Director of Retention.

These positions will enable Henderson to improve the retention and overall graduation rates of our students. This will allow the university to play its part in meeting Governor Beebe's mandate to double the amount of college degrees awarded by the year 2025.

**INSTITUTION APPROPRIATION SUMMARY
2013-15 BIENNIUM**

INSTITUTION HENDERSON STATE UNIVERSITY

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2011-2012		2012-13		2012-13		2013-14				2014-15			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	20,753,369		20,764,450		22,187,407		21,175,064		21,175,064		21,662,091		21,662,091	
2 CASH	29,271,605		87,958,770		87,958,770		103,200,000		103,200,000		107,950,000		107,950,000	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$50,024,974	603	\$108,723,220	611	\$110,146,177	732	\$124,375,064	711	\$124,375,064	711	\$129,612,091	711	\$129,612,091	711
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
13 GENERAL REVENUE	18,744,331	37%	18,713,847	17%			19,124,461	15%	19,124,461	15%	19,611,488	15%	19,611,488	15%
14 EDUCATIONAL EXCELLENCE TRUST FUND	2,009,038	4%	2,050,603	2%			2,050,603	2%	2,050,603	2%	2,050,603	2%	2,050,603	2%
15 WORKFORCE 2000	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
16 CASH FUNDS	29,271,605	59%	76,958,770	71%			88,200,000	71%	88,200,000	71%	90,700,000	70%	90,700,000	70%
17 SPECIAL REVENUES		0%		0%				0%	0	0%		0%	0	0%
18 FEDERAL FUNDS	0	0%	11,000,000	10%			15,000,000	12%	15,000,000	12%	17,250,000	13%	17,250,000	13%
19 TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
20 OTHER FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
21 TOTAL INCOME	\$50,024,974	100%	\$108,723,220	100%			\$124,375,064	100%	\$124,375,064	100%	\$129,612,091	100%	\$129,612,091	100%
22 EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0		\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2012:	\$7,091,137
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$2,497,377
INVENTORIES	\$150,047
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	\$0
INSURANCE DEDUCTIBLES	\$33,500
MAJOR CRITICAL SYSTEMS FAILURES	\$200,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$3,836,632
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$373,581

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2013-15 BIENNIUM (Non-Formula Entities)**

HENDERSON STATE UNIVERSITY

COMMUNITY EDUCATION CENTER

NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2011-12 ACTUAL	2012-13 BUDGETED *	2013-15 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS			
			2013-14		2014-15	
			REQUEST	RECOMMENDATION	REQUEST	RECOMMENDATION
1 REGULAR SALARIES	110,000	133,585	140,000	140,000	143,392	143,392
2 EXTRA HELP WAGES						
3 OVERTIME						
4 PERSONAL SERVICES MATCHING	36,145	39,000	40,000	40,000	40,000	40,000
5 OPERATING EXPENSES	59,772	33,000	29,403	29,403	31,000	31,000
6 CONFERENCE FEES & TRAVEL						
7 PROFESSIONAL FEES AND SERVICES						
8 CAPITAL OUTLAY	4,842	5,000	7,500	7,500	7,500	7,500
9 FUNDED DEPRECIATION						
10 CLAIMS & AWARDS						
11						
12						
13 MANDATORY TRANSFERS						
14 AUXILIARY TRANSFERS						
15 NON-MANDATORY TRANSFERS						
16 TOTAL UNREST. E&G EXP.	\$210,759	\$210,585	\$216,903	\$216,903	\$221,892	\$221,892
17 NET LOCAL INCOME						
18 PRIOR YEAR BALANCE***						
STATE FUNDS:						
19 GENERAL REVENUE	210,759	210,585	216,903	216,903	221,892	221,892
20 EDUCATIONAL EXCELLENCE						
21 WORKFORCE 2000						
22 TOBACCO SETTLEMENT FUNDS						
23 OTHER STATE FUNDS **						
24 TOTAL SOURCES OF INCOME	\$210,759	\$210,585	\$216,903	\$216,903	\$221,892	\$221,892

FORM 13-2 Nonformula

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in a footnote.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS
2013-15 BIENNIUM**

FUND 2090000

INSTITUTION HENDERSON STATE UNIVERSITY

APPROPRIATION A74

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES	6,961,279	18,408,770	18,408,770	18,500,000	19,000,000		
2 EXTRA HELP WAGES	1,163,859	3,200,000	3,200,000	3,200,000	3,200,000		
3 OVERTIME	4,518	100,000	100,000	100,000	100,000		
4 PERSONAL SERVICES MATCHING	1,550,133	4,800,000	4,800,000	4,800,000	5,200,000		
5 OPERATING EXPENSES	13,766,310	29,450,000	29,450,000	29,500,000	30,000,000		
6 CONFERENCE FEES & TRAVEL	993,624	1,500,000	1,500,000	1,500,000	1,500,000		
7 PROFESSIONAL FEES AND SERVICES	348,750	1,000,000	1,000,000	1,000,000	1,250,000		
8 CAPITAL OUTLAY	936,858	2,600,000	2,600,000	2,600,000	3,200,000		
9 CAPITAL IMPROVEMENTS		15,000,000	15,000,000	25,000,000	27,500,000		
10 DEBT SERVICE	2,913,474	8,900,000	8,900,000	9,000,000	9,000,000		
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS	632,800	3,000,000	3,000,000	8,000,000	8,000,000		
12							
13							
14							
15							
16 TOTAL APPROPRIATION	\$29,271,605	\$87,958,770	\$87,958,770	\$103,200,000	\$107,950,000	\$0	\$0
17 PRIOR YEAR FUND BALANCE***							
18 TUITION AND MANDATORY FEES	24,859,557	25,377,002		26,138,312	26,922,461		
19 ALL OTHER FEES							
20 SALES AND SERVICES RELATED TO		14,000		50,000	50,000		
21 INVESTMENT INCOME	51,384	100,000		300,000	300,000		
22 FEDERAL CASH FUNDS		11,000,000		15,000,000	17,250,000		
23 OTHER CASH FUNDS	4,360,664	51,467,768		61,711,688	63,427,539		
24 TOTAL INCOME	\$29,271,605	\$87,958,770		\$103,200,000	\$107,950,000	\$0	\$0
25 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED 2012-13	REQUEST	RECOMMEND	LEGISLATIVE RECOMMENDATION	
				2013-14	2013-14	2013-14	2014-15
REGULAR POSITIONS	603	611	732	711	711		
TOBACCO POSITIONS							
EXTRA HELP **	204	950	950	950	950		

FORM 13-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

HENDERSON STATE UNIVERSITY
(NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2011-12				BUDGETED 2012-13			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *	1,385,311	3,537,599		(2,152,288)	1,398,347	3,542,478		(2,144,131)
2 HOUSING	4,181,831	2,274,415	1,033,293	874,123	4,026,568	2,212,792	1,242,675	571,101
3 FOOD SERVICES	3,314,433	2,868,245	132,857	313,331	3,428,321	3,013,943	147,035	267,343
4 STUDENT UNION	191,407	349,882		(158,475)	322,942	322,942		0
5 BOOKSTORE	123,376			123,376	125,000			125,000
6 STUDENT ORGANIZATIONS AND PUBLICATIONS	110,809	145,939		(35,130)	14,596	16,700		
7 OTHER	2,093,375	1,671,020	581,644	(159,289)	2,392,205	1,809,388	582,817	0
8 SUBTOTAL	11,400,542	10,847,100	1,747,794	(1,194,352)	11,707,979	10,918,243	1,972,527	(1,180,687)
9 ATHLETIC TRANSFER **	1,154,142			1,154,142	1,180,687			1,180,687
10 OTHER TRANSFERS ***	49,595			49,595				0
11 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	12,604,279	10,847,100	1,747,794	9,385	12,888,666	10,918,243	1,972,527	0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 7 Other - Transfers include Airport, Bed & Breakfast, Recreation Center, Other Auxiliary, Student Health and Post Office

NOTE: Line 10 Other Transfers - Comprised of student athletic fee, whispering oaks communication and intramurals.

FORM 13-5

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

HENDERSON STATE UNIVERSITY
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2011-12:					577		
(As of November 1, 2011)							
Nonclassified Administrative Employees:							
White Male:	13	Black Male:	2	Other Male:	0	Total Male:	15
White Female:	9	Black Female:	2	Other Female:	0	Total Female:	11
Nonclassified Health Care Employees:							
White Male:	_____	Black Male:	_____	Other Male:	_____	Total Male:	0
White Female:	_____	Black Female:	_____	Other Female:	_____	Total Female:	0
Classified Employees:							
White Male:	87	Black Male:	24	Other Male:	4	Total Male:	115
White Female:	138	Black Female:	36	Other Female:	12	Total Female:	186
Faculty:							
White Male:	109	Black Male:	3	Other Male:	12	Total Male:	124
White Female:	112	Black Female:	7	Other Female:	7	Total Female:	126
Total White Male:	209	Total Black Male:	29	Total Other Male:	16	Total Male:	254
Total White Female:	259	Total Black Female:	45	Total Other Female:	19	Total Female:	323
Total White:	468	Total Black:	74	Total Other:	35	Total Employees:	577
				Total Minority:	109		

FORM 13-6

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$25,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2012
 Required by A.C.A. 25-36-104

Institution

HENDERSON STATE UNIVERSITY

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
N/A							

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED N/A
 (Total Expenditures equals ALL Contracts Exceeding \$25,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

**DIVISION OF LEGISLATIVE AUDIT
AUDIT OF HENDERSON STATE UNIVERSITY
June 30, 2011**

<i>Finding:</i>	No Findings noted
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SOUTHERN ARKANSAS UNIVERSITY

Dr. David Rankin, President

ENABLING LAWS

Act 100 of 1909, Act 229 of 1923, Act 45 of 1925, Act 11 of 1951, Act 171 of 1975, Act 343 of 1975 and Act 197 of 2012

INSTITUTION HISTORY AND ORGANIZATION

Southern Arkansas University (SAU), a comprehensive regional public university, was founded more than a century ago as the Third District Agricultural School (TDAS). It was one of four such schools established by Act 100 of the Arkansas legislature on April 1, 1909, a date celebrated at SAU as Founder's Day. It opened on January 3, 1911, as a residential secondary agricultural school for Southwest Arkansas after local citizens had raised funds to match state financing to locate the institution in Magnolia (Columbia County). A Progressive Era educational reform urged by the Farmers Educational and Cooperative Union, the school taught rural youngsters scientific agricultural practices, modern home economics, and academic subject equivalents to a high school degree. The legacy of the Farmers Union continued as the school evolved into a university. SAU operates one of the state's largest collegiate farms, and the school's colors - Blue and Gold - are those of the union. SAU's agricultural roots are also evident in its unique symbol – Muleriders - adopted in 1912 when its football players rode mules, ubiquitous and essential to Southern agriculture, to practice and games. The student yearbook was named *The Mulerider* in 1922 and the newspaper *The Bray* in 1923. At each home football game, a student rider on a mascot mule celebrates team successes.

To increase the supply of rural schoolteachers, Arkansas elevated TDAS and the state's other residential agricultural schools to junior college status with Act 229 in 1923 and Act 45 in 1925. Officially renamed State Agricultural and Mechanical College, Third District, the school was known everywhere as Magnolia A&M. The North Central Association of Colleges and Secondary Schools accredited Magnolia A&M in 1929, and the institution afterward maintained continuous accreditation through its later transformations. In the fall of 1949 the Board of Trustees, exercising authority vested in it by the state legislature, decided to change the junior college into a four-year, degree-granting institution. By Act 11 on January 24, 1951, the legislature confirmed this change in renaming the institution Southern State College (SSC). Enrollment grew from a few hundred students during the junior college years to well over two thousand during SSC's twenty-five year history. The Arkansas legislature in Act 171 on February 14, 1975, created a three-campus SSC system by adding two junior college branches at El Dorado and Camden to the main campus at Magnolia. The El Dorado branch became an independent institution in 1991. Having gained accreditation from the North Central Association in

SOUTHERN ARKANSAS UNIVERSITY

Dr. David Rankin, President

1973 to offer graduate courses in education, SSC began a Division of Graduate Studies on June 2, 1975. Legislative Act 343 granted SSC permission, subject to approval by the State Board of Higher Education, to change to university status. On July 9, 1976, SSC was renamed Southern Arkansas University. An Honors College for undergraduates and additional masters degrees in agriculture, business, computer science, counseling, and public administration in a School of Graduate Studies established at the beginning of the Twenty-First Century increased enrollment to more than 3,000 students.

As a means of achieving SAU's goal and to continuously improve, SAU has applied for and gained approval for the alternative accreditation program of the Higher Learning Commission of the North Central Association. The Academic Quality Improvement Program's emphasis on continuous improvement provides for focus on three areas of specific need with project teams involving a wide group of faculty and staff. Annual reports are submitted based on the work of these groups, and feedback is received providing helpful direction for further improvement. At the completion of each project another is selected. The University also prepares a systems portfolio which describes the processes and results and documents improvements. Initial projects involve improving student learning, faculty/staff development, and retention of students.

The University is currently operating under Act 197 of 2012 which provides the University's operational appropriation.

I. Institutional Vision and Mission Statement

Vision

Southern Arkansas University dynamically builds on its rich heritage of student success through continuous improvement as a quality, comprehensive, regional University that strives to expand access to learning opportunities and to provide engaging, transformational learning experiences.

SOUTHERN ARKANSAS UNIVERSITY

Dr. David Rankin, President

Mission

The mission of Southern Arkansas University is to educate students for productive and fulfilling lives in a global environment by providing opportunities for intellectual growth, individual enrichment, skill development, and meaningful career preparation. The University believes in the worth of the individual and accepts its responsibility for developing in its students those values and competencies essential for effective citizenship in an ever-changing, free, and democratic society. Further, the University encourages and supports excellence in teaching, scholarly and creative endeavors, and leads economic development in our region.

II. Goals

1. Implement strategies that demonstrate student learning is the most important university experience.
 - a. Construct, maintain, and equip learning environments.
 - b. Provide academic assistance to enhance learning.
 - c. Insure student engagement and success by providing learning experiences in the classroom that match student learning styles.
 - d. Provide a culturally rich and diverse university life.
 - e. Provide service learning opportunities.
 - f. Provide living-learning experiences that incorporate faculty/student interaction in non-classroom settings.
 - g. Provide student worker experience that contributes to learning.
 - h. Increase student research opportunities in all disciplines.
 - i. Ensure internationalization of the curriculum and opportunities for international educational and/or cultural learning experiences for students.
2. Insure the University Learning Goals and General Education Goals are achieved through a comprehensive assessment process.
 - a. Develop and administer a comprehensive assessment process.

SOUTHERN ARKANSAS UNIVERSITY
Dr. David Rankin, President

- b. Analyze periodically the assessment data generated.
- c. Implement changes from assessment data as needed to insure goal attainment.
- d. Analyze institutional data and prepare report (deans and vice presidents) for the president.
- e. University Learning Goals and General Education Goals

University

- (1) Our graduates possess the knowledge and skills to be successful.
- (2) Our graduates understand their own and other societies and cultures.
- (3) Our graduates are prepared to be contributing members of their communities.
- (4) Our graduates communicate effectively.
- (5) Our graduates understand the process of making informed and ethical decisions.
- (6) Our graduates utilize appropriate quantitative skills in making decisions.
- (7) Our graduates use technology effectively.

General Education Goals

- (1) Communication: Students will communicate effectively.
- (2) Critical Thinking: Students will think logically and creatively to solve problems and make informed decisions.
- (3) Technology: Students will use technology applications.
- (4) Culture: Students will understand world histories, cultures, and fine arts in relation to their own values.
- (5) Health and Wellness: Students will understand concepts that support personal and community health.

- 3. Recruit and retain diverse, well-qualified faculty and staff that support an environment of collegiality and academic excellence.

- a. Increase target or specialized recruiting of faculty.
- b. Implement comprehensive faculty professional development programs.

SOUTHERN ARKANSAS UNIVERSITY
Dr. David Rankin, President

- c. Encourage and support scholarly activity of faculty.
 - d. Provide salaries that are competitive.
 - e. Provide opportunities for faculty/staff colloquiums on significant issues.
 - f. Cultivate grow-your-own faculty development in fields that have limited applicant pools.
 - g. Emphasize the SAU family heritage to include faculty, staff, students and friends of SAU.
4. Develop and implement enrollment management strategies that recruit students of character and academic promise.
- a. Expand alternative delivery methods of degree programs to reach students that cannot attend in the traditional way.
 - b. Emphasize to prospective students and their parents the SAU family heritage.
 - c. Expand graduate programs and undergraduate programs based on continuous needs assessment.
 - d. Empower faculty and staff to reach out to prospective students.
 - e. Expand faculty-to-faculty relationships to promote seamless transfer of students and additional 2+2 programs.
5. Improve retention and graduation of students.
- a. Implement programs to increase retention of students by targeting the needs of specific student groups.
 - b. Establish a retention task force to gather and analyze data pertaining to retention rates of specific groups.
 - c. Establish advisor training programs: one for new advisors, and one for experienced advisors.
 - d. Continually review the need for and the availability of financial aid for students.
 - e. Identify and support existing successful programs (and the people that make them happen).
 - f. Implement an early warning program to identify student needs and issues and provide appropriate intervention if possible.
6. Expand opportunities for scholarly activity and public service.

SOUTHERN ARKANSAS UNIVERSITY
Dr. David Rankin, President

- a. Expand opportunities and continue to support scholarly activity. This includes research, creative activities, and other activities of discovery by faculty and students.
 - (1) Continue to develop the Natural Resource Research Center.
 - (2) Explore additional grant opportunities that support research.
 - (3) Offer additional student research opportunities.
 - b. Expand and continue public service. Public service includes appropriate cultural and educational exchange between the SAU community and external groups and the necessary support activities.
 - (1) Provide culturally enriching activities for the citizens of south Arkansas.
 - (2) Increase student, faculty, and staff participation in civic and service activities.
 - (3) Meet the higher education needs of business and industry and promote economic development.
7. Establish strategic partnerships and initiatives to expand advancement and development of SAU.
- a. Find and provide financial support to increase alternate delivery methods of classes.
 - b. Develop unique and innovative programs to serve regions and increase revenue.
 - c. Expand communications to and participation with stakeholders.
 - d. Expand long-term, strategic advancement and development reach for SAU.
 - e. Expand ways to encourage economic development.
 - f. Increase the number of grant applications by SAU.
 - g. Develop strategic financial plan to guide strategic partnerships.

**INSTITUTION APPROPRIATION SUMMARY
2013-15 BIENNIUM**

INSTITUTION SOUTHERN ARKANSAS UNIVERSITY

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2011-2012		2012-13		2012-13		2013-14				2014-15			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	16,859,047		16,661,937		17,522,174		16,999,510		16,999,510		17,390,499		17,390,499	
2 CASH	30,958,965		54,000,000		54,000,000		54,000,000		54,000,000		54,000,000		54,000,000	
3 STATE TREASURY - SAU SYSTEM			100,000		100,000		100,000		100,000		100,000		100,000	
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$47,818,012	416	\$70,761,937	417	\$71,622,174	489	\$71,099,510	489	\$71,099,510	489	\$71,490,499	489	\$71,490,499	489
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*	77,294	0%	0	0%			0	0%	0	0%	0	0%	0	0%
13 GENERAL REVENUE	15,566,043	33%	15,449,575	22%			15,787,148	22%	15,787,148	22%	16,178,137	23%	16,178,137	23%
14 EDUCATIONAL EXCELLENCE TRUST FUND	1,187,787	2%	1,212,362	2%			1,212,362	2%	1,212,362	2%	1,212,362	2%	1,212,362	2%
15 WORKFORCE 2000	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
16 CASH FUNDS	30,447,611	64%	53,508,517	76%			53,500,000	75%	53,500,000	75%	53,500,000	75%	53,500,000	75%
17 SPECIAL REVENUES	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
18 FEDERAL FUNDS	511,354	1%	491,483	1%			500,000	1%	500,000	1%	500,000	1%	500,000	1%
19 TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
20 OTHER FUNDS	27,923	0%	100,000	0%			100,000	0%	100,000	0%	100,000	0%	100,000	0%
21 TOTAL INCOME	\$47,818,012	100%	\$70,761,937	100%			\$71,099,510	100%	\$71,099,510	100%	\$71,490,499	100%	\$71,490,499	100%
22 EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0		\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2012:	\$3,463,549
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$1,928,360
INVENTORIES	\$182,930
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$130,000
MAJOR CRITICAL SYSTEMS FAILURES	\$500,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$3,100,000
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (Health Insurance)	\$591,573
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	(\$2,969,314)

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2013-15 BIENNIUM**

FUND CSA0000

INSTITUTION SOUTHERN ARKANSAS UNIVERSITY - SYSTEM

APPROPRIATION 83G

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES		45,000	45,000	45,000	45,000		
2 PERSONAL SERVICES MATCHING		13,500	13,500	13,500	13,500		
3 OPERATING EXPENSES		41,500	41,500	41,500	41,500		
4 CONFERENCE FEES & TRAVEL							
5 PROFESSIONAL FEES AND SERVICES							
6 CAPITAL OUTLAY							
7							
8							
9							
10 TOTAL APPROPRIATION	\$0	\$100,000	\$100,000	\$100,000	\$100,000	\$0	\$0
11 PRIOR YEAR FUND BALANCE**							
12 GENERAL REVENUE							
13 EDUCATIONAL EXCELLENCE TRUST FUND							
14 SPECIAL REVENUES * [WF2000]							
15 FEDERAL FUNDS IN STATE TREASURY							
16 TOBACCO SETTLEMENT FUNDS							
17 OTHER STATE TREASURY FUNDS***		100,000		100,000	100,000		
18 TOTAL INCOME	\$0	\$100,000		\$100,000	\$100,000	\$0	\$0
19 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 14 - "Special Revenues".

**Line 11 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*** OTHER STATE TREASURY FUNDS: Based on Act 764 of 2012 from the Board of Collection Agencies Section 5 E (i)

FORM 13-3

**APPROPRIATION ACT FORM - STATE TREASURY
2013-15 BIENNIUM**

FUND CSA0000

INSTITUTION SOUTHERN ARKANSAS UNIVERSITY

APPROPRIATION 292

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES	14,152,448	14,005,797	14,518,474	14,600,000	14,700,000		
2 EXTRA HELP WAGES	25,000	25,000	30,000	30,000	30,000		
3 PERSONAL SERVICES MATCHING	2,148,700	2,148,700	2,273,700	2,150,000	2,005,340		
4 OPERATING EXPENSES	277,740	227,281	400,000	100,000	400,000		
5 CONFERENCE FEES & TRAVEL							
6 PROFESSIONAL FEES AND SERVICES							
7 CAPITAL OUTLAY							
8 FUNDED DEPRECIATION	255,159	255,159	300,000	119,510	255,159		
9							
10							
11							
12 TOTAL APPROPRIATION	\$16,859,047	\$16,661,937	\$17,522,174	\$16,999,510	\$17,390,499	\$0	\$0
13 PRIOR YEAR FUND BALANCE**	77,294						
14 GENERAL REVENUE	15,566,043	15,449,575		15,787,148	16,178,137		
15 EDUCATIONAL EXCELLENCE TRUST FUND	1,187,787	1,212,362		1,212,362	1,212,362		
16 SPECIAL REVENUES * [WF2000]							
17 FEDERAL FUNDS IN STATE TREASURY							
18 TOBACCO SETTLEMENT FUNDS							
19 OTHER STATE TREASURY FUNDS	27,923						
20 TOTAL INCOME	\$16,859,047	\$16,661,937		\$16,999,510	\$17,390,499	\$0	\$0
21 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 16 - "Special Revenues".

FORM 13-3

**Line 13 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

OTHER STATE TREASURY FUNDS:

Tuition Reimbursement \$30,183 less surety premium of \$1,125.31 and Workers's comp admin cost of \$1,134.46 = \$27,923

**APPROPRIATION ACT FORM - CASH FUNDS
2013-15 BIENNIUM**

FUND 2080000

INSTITUTION SOUTHERN ARKANSAS UNIVERSITY

APPROPRIATION A63

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES	1,882,423	7,081,800	7,081,800	7,081,800	7,081,800		
2 EXTRA HELP WAGES	2,411,037	5,400,000	5,400,000	5,000,000	5,000,000		
3 PERSONAL SERVICES MATCHING	3,546,561	4,001,000	4,001,000	4,001,000	4,001,000		
4 OPERATING EXPENSES	12,080,851	16,942,200	16,942,200	16,942,200	16,942,200		
5 CONFERENCE FEES & TRAVEL	267,834	1,000,000	1,000,000	1,000,000	1,000,000		
6 PROFESSIONAL FEES AND SERVICES	434,552	1,050,000	1,050,000	1,050,000	1,050,000		
7 CAPITAL OUTLAY	582,047	2,200,000	2,200,000	2,200,000	2,200,000		
8 CAPITAL IMPROVEMENTS	6,768,483	11,225,000	11,225,000	11,225,000	10,825,000		
9 DEBT SERVICE	2,985,178	3,200,000	3,200,000	3,600,000	4,000,000		
10 FUND TRANSFERS, REFUNDS AND INVESTMENTS		1,900,000	1,900,000	1,900,000	1,900,000		
11							
12							
13							
14							
15 TOTAL APPROPRIATION	\$30,958,965	\$54,000,000	\$54,000,000	\$54,000,000	\$54,000,000	\$0	\$0
16 PRIOR YEAR FUND BALANCE***							
17 TUITION AND MANDATORY FEES	20,732,191	21,520,686		21,750,000	21,750,000		
18 ALL OTHER FEES	1,072,963	1,056,176		1,056,176	1,056,176		
19 SALES AND SERVICES RELATED TO	300,114	258,590		260,000	260,000		
20 INVESTMENT INCOME	30,697	25,000		25,000	25,000		
21 FEDERAL CASH FUNDS	511,354	491,483		500,000	500,000		
22 OTHER CASH FUNDS	8,311,646	30,648,065		30,408,824	30,408,824		
23 TOTAL INCOME	\$30,958,965	\$54,000,000		\$54,000,000	\$54,000,000	\$0	\$0
24 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED 2012-13	REQUEST		RECOMMEND		LEGISLATIVE RECOMMENDATION	
				2013-14	2013-14	2013-14	2014-15	2013-14	2014-15
REGULAR POSITIONS	416	417	489	489	489				
TOBACCO POSITIONS									
EXTRA HELP **	1,140	1,900	1,900	1,900	1,900				

FORM 13-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 16 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

SOUTHERN ARKANSAS UNIVERSITY
(NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2011-12				BUDGETED 2012-13			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *	1,223,878	3,312,620	90,016	(2,178,758)	1,212,480	2,471,220	89,020	(1,347,760)
2 HOUSING	4,594,373	2,968,458	640,961	984,954	4,615,284	2,158,244	753,293	1,703,747
3 FOOD SERVICES	2,996,553	2,260,946		735,607	2,710,830	1,927,047		783,783
4 STUDENT UNION	66,408	195,189	29,453	(158,234)	31,000	193,382	30,880	(193,262)
5 BOOKSTORE	227,418	8,311		219,107	200,000	7,550		192,450
6 STUDENT ORGANIZATIONS AND PUBLICATIONS	478,555	323,408		155,147	435,798	291,033		
7 OTHER	305,882	351,065		(45,183)	287,540	362,347		(74,807)
8 SUBTOTAL	9,893,066	9,419,997	760,430	(287,361)	9,492,932	7,410,823	873,193	1,064,151
9 ATHLETIC TRANSFER **	1,154,142			1,154,142	1,180,687			1,180,687
10 OTHER TRANSFERS ***	(117,538)			(117,538)	(86,850)			(86,850)
11 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	10,929,670	9,419,997	760,430	749,243	10,586,769	7,410,823	873,193	2,157,988

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line Item 7 Other - Transfers include Faculty Housing, Student Health Services and Post Office

NOTE: Line Item 10 Other Transfers - Transfers include Unexpended plant, Student Activities - Agency and Retriected Agencies

FORM 13-5

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

SOUTHERN ARKANSAS UNIVERSITY
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2011-12:					375			
(As of November 1, 2011)								
Nonclassified Administrative Employees:					Total	Male:	35	
White Male:	27	Black Male:	6	Other Male:	2	Total	Female:	50
White Female:	39	Black Female:	11	Other Female:	0			
Nonclassified Health Care Employees:					Total	Male:	0	
White Male:		Black Male:		Other Male:		Total	Female:	0
White Female:		Black Female:		Other Female:				
Classified Employees:					Total	Male:	38	
White Male:	31	Black Male:	3	Other Male:	4	Total	Female:	78
White Female:	56	Black Female:	22	Other Female:	0			
Faculty:					Total	Male:	92	
White Male:	75	Black Male:	6	Other Male:	11	Total	Female:	82
White Female:	71	Black Female:	6	Other Female:	5			
Total White Male:	133	Total Black Male:	15	Total Other Male:	17	Total	Male:	165
Total White Female:	166	Total Black Female:	39	Total Other Female:	5	Total	Female:	210
Total White:	299	Total Black:	54	Total Other:	22	Total	Employees:	375
				Total Minority:	76			

FORM 13-6

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$25,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2012
 Required by A.C.A. 25-36-104

Institution

SOUTHERN ARKANSAS UNIVERSITY

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
N/A							

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$5,953,842
 (Total Expenditures equals ALL Contracts Exceeding \$25,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

**DIVISION OF LEGISLATIVE AUDIT
AUDIT OF SOUTHERN ARKANSAS UNIVERSITY
June 30, 2011**

<i>Finding:</i>	No Findings noted
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UNIVERSITY OF ARKANSAS SYSTEM

Donald R. Bobbitt, President

ENABLING LAWS

Morrill Act of 1862, Act 44 of 1871, Arkansas code Subtitle 5 of Title 6 in Chapter 64 and Act 223 of 2012

INSTITUTION HISTORY AND ORGANIZATION

The Arkansas General Assembly established the university in Fayetteville in 1871 pursuant to Act 44 as Arkansas Industrial University, and under the Morrill Act of 1862, it became the state land-grant institution and first state-assisted college in Arkansas. Arkansas Industrial University became the University of Arkansas in 1899 reflecting the institution's broadened academic mission. Legislative actions specifically applicable to the University of Arkansas are codified in Chapter 64 of Title 6 of Volume 5 of Arkansas Code Annotated. The current appropriation authority is pursuant to Act 223 of 2012.

The 10 members of the Board of Trustees are appointed by the governor, one each year, for 10-year terms with the consent of the state senate. Two trustees are appointed from each of the state's four congressional districts and two who are alumni of the University of Arkansas are appointed from the state at-large. The trustees oversee the UA System and approve major system and institutional policies.

INSTITUTION MISSION STATEMENT

The University of Arkansas System Administration carries out the governance and administration of the University of Arkansas System in accordance with policies of the Board of Trustees and the President through delegated authority. The system administration provides assistance to the campuses and entities in achieving the comprehensive mission of the University of Arkansas System. The campuses and entities of the UA System include the following:

Institutions

University of Arkansas, Fayetteville
University of Arkansas at Fort Smith
University of Arkansas at Little Rock
University of Arkansas at Monticello
University of Arkansas for Medical Sciences
University of Arkansas at Pine Bluff

Entities

UA Division of Agriculture
UA Clinton School of Public Service
UA Criminal Justice Institute
Arkansas Archeological Survey
Arkansas School for Mathematics, Sciences & the Arts

UNIVERSITY OF ARKANSAS SYSTEM
Donald R. Bobbitt, President

Institutions

Cossatot Community College UA

Phillips Community College UA

UA Community College at Batesville

UA Community College at Hope

UA Community College at Morrilton

PROGRAMS AND DEFINITIONS

General Administration

General Administration includes activities related to general administrative operations, executive direction and central executive-level activities concerned with management and long-range planning for the University of Arkansas System. The System Office provides administrative staff support for the governing Board of Trustees and the President as the Chief Executive Officer of the University of Arkansas System.

The following are examples of services provided for the Board and other external constituencies:

- Advance the development and implementation of policies of financial reporting for the Board of Trustees and other constituents.
- Develop for the Board of Trustees and the President a report on annual operating budgets with quarterly updates for all campuses and entities.
- Provide representation for the Board of Trustees and the President in all litigation that is a result of the performance of their System duties and responsibilities.
- Provide risk assessment for the Board of Trustees and the President of the fiscal operations of the campuses and entities.
- Develop public relations and coordinate media contacts for the Board of Trustees, the President and the University of Arkansas System.

Academic Affairs/E-Learning

Academic Affairs/E-learning works regularly with the chancellors to provide academic support services to UA campuses and entities related to the offering of higher education courses, and is engaged in initiatives that improve student success, provide professional development support for faculty and track appropriate and effective quality enhancement measures. The goal is to provide leadership and guidance that will assist the individual campuses and entities in meeting statewide goals in student retention and graduation.

The following are examples of services provided for the Board and other external constituencies:

- Advance the development and implementation of academic policies for the Board of Trustees and other constituents that lead to student

UNIVERSITY OF ARKANSAS SYSTEM Donald R. Bobbitt, President

success.

- Develop for the Board of Trustees and the President an annual report on academic initiatives.
- Provide representation for the Board of Trustees and the President in all academic issues.
- Coordinate and support intercampus and inter-entity collaborative e-learning academic endeavors.

Institutional Support

Institutional Support includes legal and fiscal operations, planning and programming, internal audit, support services to UA campuses and entities; and activities concerned with community and government relations. The goal is to provide services that assist the individual campuses and entities in achieving the comprehensive mission of the University of Arkansas System.

The following are examples of services provide for the UA campuses and entities:

- Provide and administer a program of employee benefits and risk management.
- Provide financial and accounting advice, reporting and consultation and the preparation of consolidated financial reports.
- Strengthen overview of procedures and policies for capital construction.
- Provide legal and regulatory counsel to the campuses and entities of the University of Arkansas System to include representation in litigation.
- Minimize the risk of liability or loss to the University by coordination, communication and advice to the campuses and entities concerning applicable legal and regulatory matters.
- Support campuses and entities in the exercise of governance authority within applicable policies of the Board; state, federal and local laws and regulations; and judicial decisions.
- Serve as an advocate and liaison between the state and federal government and the campuses and entities of the System.
- Provide risk assessed audit services to insure accountability in the use of resources and cost-effective performance of the campuses and entities in accordance with applicable laws, regulations and policies of the Board of Trustees.
- Expand planning, assessment and development strategies that encourage consensus-building among all campuses and entities.

General Revenue Request:

The Arkansas Higher Education Coordinating Board has recommended an increase in general revenue funds of \$573,390 (FY14), \$97,741 (FY15). These funds will be used to develop new strategies for technology use to enhance the delivery mode of higher education in Arkansas by researching alternative delivery methods and providing faculty support services in instructional design and technology to develop online courses; strengthen retention and graduation efforts through the development of institutional effectiveness measurement plans for student outcomes and

UNIVERSITY OF ARKANSAS SYSTEM
Donald R. Bobbitt, President

data analysis; and strength the ability of the UA System to provide audit services to ensure accountability in the use of resources and cost-effective performance of the campuses and entities.

Personnel Request:

No additional positions over authorized have been requested.

UNIVERSITY OF ARKANSAS - FAYETTEVILLE
G. David Gearhart, Chancellor

ENABLING LAW

Act 223 of 2012

INSTITUTION HISTORY AND ORGANIZATION

Under the authority of the University of Arkansas Board of Trustees, the University of Arkansas is the oldest and largest state institution of higher education and the primary state and land-grant university in Arkansas, offering the state's most comprehensive array of undergraduate, professional, graduate, and honors programs. The University of Arkansas is recognized in many assessments and reputational surveys as being one of the top public research universities in the country. Through these programs, students have the opportunity to participate in nationally competitive research, to study abroad, and to work in business, industry, and other institutions through internships. Courses and degree programs are offered by both traditional and technology-mediated instruction to students at other campuses and sites in Arkansas and on some military bases and at international sites.

The University of Arkansas also provides a wide range of public- and economic development-related services including—most especially—technical and professional services to further the economic growth of Arkansas. In addition, the University of Arkansas assists other institutions of public and higher education in Arkansas by providing specialized resources, such as computing, library, and information technology services and expertise in many disciplines. Public- and economic development-related services are provided through the various academic departments, schools, and colleges and by specialized units such as the Arkansas Leadership Academy, the Legal Clinic, the Small Business Development Center, the Center for Business and Economic Research, the Community Design Center, Genesis (the technology-based business incubator), the Global Campus of the School of Continuing Education and Academic Outreach, the Arkansas Research and Technology Park, and the World Trade Center Arkansas.

Of the nation's 4,633 accredited universities and colleges, the University of Arkansas is one of only 108 to receive the highest possible research classification from the Carnegie Foundation for the Advancement of Teaching. The University of Arkansas is the only comprehensive research university in Arkansas. Pursuit of research, scholarly and creative endeavors is a significant responsibility of faculty members at the University of Arkansas, along with integrating original scholarship with teaching and public outreach activities. Such integrated efforts are designed to advance the frontiers of knowledge and to apply that

UNIVERSITY OF ARKANSAS - FAYETTEVILLE
G. David Gearhart, Chancellor

knowledge to improve human understanding, advance economic development and the standard of living and quality of life of people in Arkansas, the nation, and the world. University of Arkansas research, scholarly and creative programs also play important roles in graduate education and increasingly in undergraduate programs as well.

Research and scholarly efforts at the University of Arkansas are pursued by faculty members through the various academic departments, schools, and colleges and through specialized units such as the Business and Economic Research Center, the Mack Blackwell Rural Transportation Center, the High Density Electronics Center, the Center for Advanced Spatial Technologies, the Center for Protein Structure and Function, the Center for Semiconductor Physics in Nanostructures, and the Institute of Food Science and Engineering.

Nationally Competitive Students, Nationally Competitive University

The University of Arkansas is enrolling and graduating more students than any other institution in Arkansas. The qualifications and diversity of the entering freshmen classes have continued to increase in recent years, as have the University's efforts to retain and graduate students. Through its competitive scholarship programs and endowed chairs and professorships, the University of Arkansas is retaining many of Arkansas' best students and attracting scholars from throughout the world. The University's progress brings positive national notice to the State of Arkansas in the higher education arena as well as in the areas of economic development, basic and applied research, and scholarship. The University of Arkansas is committed to putting Students First to ensure that its diverse mix of students receive the assistance they need to succeed both at the University and in their chosen careers upon graduation.

Fueling the Arkansas Economy

The University of Arkansas strives to fuel the Arkansas economy:

- Through its graduates
The knowledge-based economy of the 21st Century requires skilled, educated workers. University of Arkansas

UNIVERSITY OF ARKANSAS - FAYETTEVILLE

G. David Gearhart, Chancellor

graduates receive the nationally competitive education necessary to succeed in the knowledge-based economy—the kind of education that will fuel the economic and cultural growth of the State of Arkansas.

- By attracting businesses to Arkansas

Proximity to nationally competitive public research universities is often a deciding factor when relocating existing businesses. Corporations such as Wal-Mart have cited the University of Arkansas as a major reason why they are headquartered in Arkansas. The World Trade Center Arkansas connects Arkansas to the world by providing international trade services to companies and individuals and by educating students in global commerce. World Trade Center Arkansas brings together businesses and governmental agencies involved in foreign trade to further the economic outlook for the state.

- By being a “magnet” that attracts intellectual capital and economic activity

The University of Arkansas’s national reputation as an academic institution attracts brain power from outside of Arkansas and from around the world. Students and faculty stay in our state and come to our state for those reasons, generating millions of dollars annually in economic activity that would not otherwise result. Furthermore, the concentration of intellectual capital at and around the University of Arkansas provides a clean, natural resource of tremendous value to our state’s public, private, and not-for-profit sectors. The University of Arkansas’s social and cultural elements, such as its affiliated arts, sports, and guest lecture series, are major economic attractions as well and help make Arkansas a vibrant place to live, work, and raise a family.

- By supporting new businesses

Over the past eight years, the University of Arkansas Technology Development Foundation has overseen a steady transformation of the Arkansas Research and Technology Park (ARTP) into a multi-faceted knowledge community focused on innovation and technology development. The ARTP has added significant physical infrastructure in the form of the Innovation Center, the Enterprise Center and the National Center for Reliable Electric Power Transmission, bringing the total R&D capacity in the research park to nearly 300,000 square feet. A portfolio of 32 public/private affiliates contributing over \$44 million in regional economic activity now reside in the park and former affiliates such as Ocean NanoTech and BioBased Technologies have chosen Northwest Arkansas as the headquarters for commercialization of products developed through R&D at the ARTP. By growing and retaining these companies, the ARTP is contributing to the development of a technologically skilled workforce, with the addition of over 200 high

UNIVERSITY OF ARKANSAS - FAYETTEVILLE
G. David Gearhart, Chancellor

technology jobs, earning an average salary of over \$70,000. Furthermore, ARTP affiliate companies have secured nearly \$46 million in federal grants and contracts since January 2005 providing the research and development basis for these companies to continue to grow their financial viability and employment base. The Arkansas Research and Technology Park is now recognized as a State asset supporting the formation, growth and retention of emerging technology companies that add an important dimension to the state economy. In addition, the World Trade Center Arkansas assists Arkansas businesses compete more effectively in the global marketplace. In the last five years, the World Trade Center Arkansas has partnered with many firms across the state to establish or strengthen their global presence including comprehensive international business services, global connections, professional development and educational events.

University of Arkansas Research

In FY12, the University expended \$123.2 million in total research and development expenditures, as reported to the National Science Foundation. These totals include not only the external research awards actually spent in that year, but also institutional funds invested in research as well as funded and unfunded indirect costs. The \$123.2 million in total R&D expenditures during the second year of the current biennium represents a 8.1 percent increase over the \$113.9 million expended in the second year of the previous biennium (FY10) and a 48.4 percent increase over the past ten years since FY02. In FY12 over \$34.1 million of the University's research expenditures were derived from federal funding sources.

General Appropriation Request

The University of Arkansas is a formula driven entity and did not make a request for general appropriation. The increase in general appropriation is a result of the recommendation made by the Arkansas Higher Education Coordinating Board through the formula driven by the number of credit hours in which students enroll and which they complete. New appropriations in the recommended amounts of \$25,673,926 for FY14 and \$29,077,889 for FY15 will be used to fund enrollment growth through new faculty hiring, to provide competitive salaries and fringe benefits for faculty and staff, and to fund required cost increases such as utilities and health care.

UNIVERSITY OF ARKANSAS - FAYETTEVILLE
G. David Gearhart, Chancellor

Cash Appropriation Request

No change from the Total Appropriation Authorization for 2014 or 2015 is requested.

Personal Services Request

UA Fund Totals: A net of one hundred and eighty-one (+181) additional positions is requested. University of Arkansas Auxiliary Enterprises have requested a net of fifteen (+15) additional positions. The remaining net change of one hundred sixty six (+166) additional positions is in Fayetteville Educational and General.

UA Educational and General:

The majority of requested positions reflect an increase in faculty numbers to accommodate the growing numbers in enrollment, degree programs, and research as well as increases in the numbers of classes offered. In order to keep pace with these expansions it becomes necessary to provide administration and support to meet the needs of faculty and additional programs and services, including campus safety, technology and academic advisors to support the growing numbers. Additional Development/Advancement Administrators are needed to prepare for future growth to assist the funding raising program at the University by providing the appropriate stewardship of donors, managing the University's scholarship program, providing support in identifying donors in areas of planned giving, corporate and foundation relations, annual giving, research, alumni relations, fund raising and general operations.

Auxiliary:

An additional position (Physician) in the Health Center is requested to meet the health needs of an increased population. Fourteen (14) of the auxiliary positions are an increase in project program Administrators for various programs in Housing and Athletics

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UNIVERSITY OF ARKANSAS - ARKANSAS RESEARCH AND EDUCATION OPTICAL NETWORK

Mike Abbiatti, Executive Director

ENABLING LAW

Act 223 of 2012

INSTITUTION HISTORY AND ORGANIZATION

ARE-ON is a high-speed fiber-based optical communications network and associated applications established and operated by a consortium of public four-year universities in Arkansas. ARE-ON is governed by the presidents and chancellors of participating institutions, in cooperation with the Arkansas Department of Higher Education.

ARE-ON is an ECONOMIC DEVELOPMENT ASSET of the State created by the public, four-year institutions of higher education, University of Arkansas for Medical Sciences, and the University of Arkansas' Division of Agriculture.

ARE-ON is a collaborative environment that empowers each institution to leverage the unique and agile capabilities of high performance networking and computing for RESEARCH, EDUCATION, ECONOMIC DEVELOPMENT, and EMERGENCY PREPAREDNESS.

ARE-ON is a link between the CYBER INFRASTRUCTURE INITIATIVES in the State and the GLOBAL RESEARCH and EDUCATION COMMUNITY.

ARE-ON is a member of the NATIONAL RESEARCH and EDUCATION NETWORK FAMILY that shares resources across many states to increase access to technology investments while being mindful of cost savings.

ARE-ON is a UNIFYING ORGANIZATION dedicated to leveraging current and evolving technologies for the COLLECTIVE BENEFIT of Arkansans.

CREATIVE MINDS + ADVANCED CYBER INFRASTRUCTURE = ARE-ON

ARE-ON + INNOVATIVE APPLICATIONS = ECONOMIC DEVELOPMENT

UNIVERSITY OF ARKANSAS - ARKANSAS RESEARCH AND EDUCATION OPTICAL NETWORK
Mike Abbiatti, Executive Director

ARE-ON focuses its strategic initiatives, operational objectives and tactical goals and events on four Core Agendas:

1. Research Agenda (basic and applied)
2. Academic Agenda (scaling advanced cyber infrastructure across the curriculum)
3. Telemedicine and Telehealth (applying advanced cyber infrastructure to healthcare in support of UAMS)
4. Emergency Preparedness (integrating advanced cyber infrastructure into the process of planning and implementation of training and education related to Emergency Preparedness/Management programs in higher education and beyond as appropriate.

Each Core agenda is directly allied with ongoing, quantifiable statewide needs. ARE-ON represents a critical component of the higher education mission to be both a cultural and economic engine for the state of Arkansas. **General Revenue Request:** The recommended need of \$1,350,758 (FY14), \$1,381,825 (FY15) for ARE-ON would be applied toward maintenance and operation of the expanded network facilities and at least (1) additional engineer.

UNIVERSITY OF ARKANSAS - GARVAN WOODLAND GARDENS

Bob Bledsoe, Executive Director

ENABLING LAW

Act 223 of 2012

INSTITUTION HISTORY AND ORGANIZATION

Verna Cook Garvan, a long-time resident of Southern Arkansas, was founder and benefactress of Garvan Woodland Gardens.

The site for Garvan Woodland Gardens was purchased in the 1920's after a timber clear-cut in 1915. Mrs. Garvan loved this beautiful place so much that she never allowed it to be cut again. In 1956, as a self-taught gardener, she began to develop it as a garden. She was intimately familiar with the land and laid out each path, marking every tree to be removed. Verna also personally chose each new plant and selected its location.

Over the next forty years, Mrs. Garvan planted thousands of specimens which now form an impressive collection. There are hundreds of rare shrubs and trees, some more than 40 years old. Upon her death in 1993, Mrs. Garvan bequeathed the property to the School of Architecture through the University of Arkansas Foundation. Now an independent department of the University's Fay Jones School of Architecture, the Gardens continue to flourish and grow.

It was Mrs. Garvan's wish that the Gardens be used to educate and serve the people of Arkansas, providing them the joy and repose it had offered her. She noted the devastation of the environment that had taken place in her lifetime and wished to preserve a remnant of the twentieth century's natural grandeur for generations to come.

MISSION STATEMENT

Garvan Woodland Gardens furthers the university's mission of teaching, research, and public service through its own mission:

- Preserve and enhance a unique part of the Ouachita Mountain environment;
- Provide people with a place of learning, research, cultural enrichment, and serenity;

UNIVERSITY OF ARKANSAS - GARVAN WOODLAND GARDENS
Bob Bledsoe, Executive Director

- Develop and sustain gardens, landscapes, and structures of exceptional aesthetics, design, and construction; and
- Partner with and serve the community of which the Garden is a part.

PROGRAMS AND DEFINITIONS

General Administration

General Administration includes activities related to planning, co-ordination, budget adherence and management of the mission of Garvan Woodland Gardens through the outreach in the areas of Volunteer Co-Ordination, Development, Wedding Co-Ordination, Facility Rental, Education Programs, Special Event Co-Ordination and Membership Supervision.

The following are examples of services provided to external constituencies by General Administration:

- Co-Ordination and Planning of 200 Group Tours.
- Co-Ordination and Planning of 153 Weddings and 54 Receptions.
- Presentation of 12 Special Events.
- Management of over 400 Volunteers providing 17,575 volunteer hours.
- Outreach to 3,500 member families and millions raised in Development Outreach.
- 55 Adult Educational Programs presented.

Operational Administration

Operational Administration includes activities related to the care, maintenance and presentation of natural displays in a 210 acre woodland garden.

UNIVERSITY OF ARKANSAS - GARVAN WOODLAND GARDENS
Bob Bledsoe, Executive Director

The following are examples of services provided to external constituencies by Operational Administration.

- The annual planting of 125,000 tulip bulbs for spring “Tulip Mania”.
- Oversight of 2 million holiday lights on 10 acres for the 60,000 + visitors to Holiday Light Event.
- Arborists and Horticulturists maintaining a safe and pleasant visitor experience for over 150,000 guests.
- Construction of 5 new features within the Gardens.

GENERAL REVENUE REQUEST

The Arkansas Higher Education Coordinating Board has recommended \$500,000 in general revenue funds for Garvan Woodland Gardens. These funds will be used to further grow our outreach to the state and beyond through enhanced programmatic features, greater professional services and strategic long range planning. Funding would also include further refinement of the Operations Administration to build upon its World Class status as a premiere botanical garden within the United States.

PERSONNEL REQUEST

No additional positions over authorized have been requested.

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**UNIVERSITY OF ARKANSAS - DAVID AND BARBARA PRYOR CENTER
FOR ARKANSAS ORAL AND VISUAL HISTORY
Kris Katrosh, Director**

ENABLING LAW

Act 223 of 2012

INSTITUTION HISTORY AND ORGANIZATION

The David and Barbara Pryor Center for Arkansas Oral and Visual History is an oral history program with the mission to record audio and video interviews statewide about Arkansas history and culture, collect other organizations recordings, organize these recordings into an archive, digitize all analog collections, and provide public access to the archive, primarily through the center's Web site. The Pryor Center is the state's only oral and visual history program with a statewide, 75-county mission to collect, preserve and share audio and moving image recordings of Arkansas history.

The Pryor Center archive has the largest audio and moving-image archive of Arkansas history in the state, and one of the largest state collections in the U.S. The archive currently consists of approximately 900 audio and/or video interviews with Arkansans about Arkansas history; 20 historical events pertaining to Arkansans an Arkansas history; and 24,000 hours of videotape covering 6 decades of Arkansas history donated by ABC Network affiliate KATV, Channel 7, Little Rock. The collection grows annually by about 200 hours of new interview recordings. The center also receives in donation an average of 1,000 hours of recorded material from other organizations each year.

The institutional goals of the center include:

- Record interviews with Arkansans with a diverse population from all 75 counties.
- Train individuals and organizations to record their community's history for inclusion in the center's archive.
- Collect historical audio and video recordings from other organizations that need archival assistance.
- Digitize and further process all materials to be placed in the center's digital archive.
- Provide all Arkansas students and teachers with primary resource materials from the center's archive.
- Provide public access to the entire digital archive electronically, primarily through the center's Web site.

Strategies for meeting the above goals include:

- Travel to each county at least once every three years to conduct local interviews.

**UNIVERSITY OF ARKANSAS - DAVID AND BARBARA PRYOR CENTER
FOR ARKANSAS ORAL AND VISUAL HISTORY
Kris Katrosh, Director**

- Provide training sessions, guidelines, and forms in person and via the Web site.
- Collect, digitize and archive recordings donated by others, and provide digital copies to the donors.
- Digitize all analog recordings, add appropriate metadata, and place them in the center's digital archive.

- Create digital media lesson plans from the center's archival materials, in partnership with educators.
- Expand the digital archive and add back-up systems to secure all recordings.
- Increase server space and bandwidth capability to enable improved Internet access to the archive.

Appropriation Requests

The Digital Archive Expansion Project

The Pryor Center currently has about 30,000 hours of audio and video recordings in its archive, and the archive expands by an average of 1,200 hours each year. Ninety percent of the recordings in the archive are in analog audiotape and videotape formats, which are not accessible electronically (such as over the Internet).

The Pryor Center is actively engaged in digitizing these older materials. However, digital file storage for such digitized materials is currently inadequate at the center. If the Pryor Center had adequate digital file storage systems in place today, the center would have the largest, Internet accessible state historical archive of audio and moving image recording in the U.S.

The Pryor Center is on the verge of becoming the national model for other states. Expansion of the digital archive is essential to our mission goals of providing public access to the archive, and to enable the center to take a leadership role in the research and development of digital video archiving for state collections in the U.S.

The requested funding will provide for 120 terabytes of additional digital video storage, which will enable the center to digitize the next 1,000 hours of the oldest and most fragile one-of-a-kind videotapes of Arkansas history in our collection, and provide secure back-up for an additional 1,000 hours of digital video material at risk on older hard drive technology. This request for expanding the digital archive will enable the center to provide secure storage of our most valuable digital

**UNIVERSITY OF ARKANSAS - DAVID AND BARBARA PRYOR CENTER
FOR ARKANSAS ORAL AND VISUAL HISTORY
Kris Katrosh, Director**

materials.

The Oral History Training Program

The Pryor Center is requesting funding to expand the current oral history training program. In 2009, the center began providing training, digital recording kits, guidelines, and forms for local groups to record oral histories. Word of mouth advertising among local historians and organizations greatly increased the demand for these services. This is a very positive trend. It is not possible for the Pryor Center's 5-person staff to record every interview targeted across the state. Further, local historians and community leaders are very good at designing oral history projects and choosing excellent interviewees in their areas of interest. However, they generally need training in best practices. In addition, there are many Arkansans over the age of 65 who have important observations, memories and personal activities of historical importance to relate. The center and other organizations are in a race against time to capture these stories before these individuals are unable to tell their stories.

The AHECB recommendation of \$235,000 in 2013-14 and \$240,405 in 2014-15 in general revenue funding will allow the center's staff to travel to more locations in Arkansas to provide training so that local communities can conduct their own oral history programs in a professional and consistent manner using best practices. This will greatly increase the professionalism and consistency of oral interview programs around the state, as well as streamline the processing of the recordings once donated to the center. By providing the right tools and training to communities, the resulting recordings will be much easier to process for sharing, saving labor and time for processing the interviews at the center. This investment on the front end will more than pay for itself in labor saved during the processing stage, as well as expand the number of organizations the center can train.

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**INSTITUTION APPROPRIATION SUMMARY
2013-15 BIENNIUM**

INSTITUTION UNIVERSITY OF ARKANSAS FUND

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2011-2012		2012-13		2012-13		2013-14				2014-15			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	201,087,251		200,762,464		218,295,434		232,066,096		232,066,096		237,403,616		237,403,616	
2 CASH	461,258,081		840,042,500		840,042,500		840,042,500		840,042,500		840,042,500		840,042,500	
3 CASH-SOILS TESTING	1,558,391		3,750,000		3,750,000		3,750,000		3,750,000		3,750,000		3,750,000	
4 CASH-AREON	1,185,624		55,003,500		55,003,500		55,003,500		55,003,500		55,003,500		55,003,500	
5 TOBACCO-FAYETTEVILLE	1,574,169		1,595,923		2,375,563		2,375,563		2,375,563		2,375,563		2,375,563	
6 TOBACCO-AGRI EXPERIMENT	1,574,670		1,595,923		2,415,432		2,415,432		2,415,432		2,415,432		2,415,432	
7 STATE-CJI-CLANDESTINE METH.	150,000		150,000		150,000		150,000		150,000		150,000		150,000	
8 STATE-LAW SCHOOL	485,336		800,000		800,000		800,000		800,000		800,000		800,000	
9 STATE-PRYOR CENTER	0		0		0		235,000		235,000		240,405		240,405	
10 STATE-ELEC ENERGY ADV. PROG.	0		0		800,000		800,000		800,000		800,000		800,000	
11 STATE-AREON	16,537		0		451,547		1,350,758		1,350,758		1,381,825		1,381,825	
12 STATE-WINROCK INSTITUTE	25,517		0		248,951		0		0		0		0	
13 STATE-GARVAN GARDENS	9,614		0		375,000		500,000		500,000		511,500		511,500	
14 TOTAL	\$668,925,190	5,169	\$1,103,700,310	5,925	\$1,124,707,927	7,043	\$1,139,488,849	7,341	\$1,139,488,849	7,222	\$1,144,874,341	7,341	\$1,144,874,341	7,222
FUNDING SOURCES		%		%				%		%		%		%
15 PRIOR YEAR FUND BALANCE*	0	0%	77,458	0%			0	0%	0	0%	0	0%	0	0%
16 GENERAL REVENUE	186,796,406	28%	186,010,475	17%			219,477,323	19%	219,477,323	19%	224,862,815	20%	224,862,815	20%
17 EDUCATIONAL EXCELLENCE TRUST FUND	14,377,079	2%	14,674,531	1%			14,674,531	1%	14,674,531	1%	14,674,531	1%	14,674,531	1%
18 WORKFORCE 2000	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
19 CASH FUNDS	464,002,096	69%	887,799,844	80%			887,799,844	78%	887,799,844	78%	887,799,844	78%	887,799,844	78%
20 SPECIAL REVENUES	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
21 FEDERAL FUNDS	0	0%	10,996,156	1%			10,996,156	1%	10,996,156	1%	10,996,156	1%	10,996,156	1%
22 TOBACCO SETTLEMENT FUNDS	3,148,839	0%	3,191,846	0%			4,790,995	0%	4,790,995	0%	4,790,995	0%	4,790,995	0%
23 OTHER FUNDS	678,228	0%	950,000	0%			1,750,000	0%	1,750,000	0%	1,750,000	0%	1,750,000	0%
24 TOTAL INCOME	\$669,002,648	100%	\$1,103,700,310	100%			\$1,139,488,849	100%	\$1,139,488,849	100%	\$1,144,874,341	100%	\$1,144,874,341	100%
25 EXCESS (FUNDING)/APPROPRIATION	-\$77,458		\$0				\$0		\$0		\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2012:	\$92,564,826
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$12,091,242
INVENTORIES	\$5,295,047
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	
MAJOR CRITICAL SYSTEMS FAILURES	
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$48,391,900
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$26,786,637

*Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2013-15 BIENNIUM (Non-Formula Entities)**

UNIVERSITY OF ARKANSAS SYSTEM OFFICE

NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2011-12 ACTUAL	2012-13 BUDGETED *	2013-15 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS			
			2013-14		2014-15	
			REQUEST	RECOMMENDATION	REQUEST	RECOMMENDATION
1 SALARIES	4,225,934	4,375,476	4,778,157	4,778,157	4,855,238	4,855,238
2 STAFF BENEFITS	1,263,731	1,310,117	1,430,272	1,430,272	1,452,478	1,452,478
3 EXTRA HELP	48,374	53,500	48,265	48,265	53,498	30,636
4 MAINTENANCE & OPERATIONS	1,233,386	1,077,408	1,133,197	1,133,197	1,149,280	1,149,280
5						
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11						
12						
13 MANDATORY TRANSFERS						
14 AUXILIARY TRANSFERS						
15 NON-MANDATORY TRANSFERS						
16 TOTAL UNREST. E&G EXP.	\$6,771,425	\$6,816,501	\$7,389,891	\$7,389,891	\$7,510,494	\$7,487,632
17 NET LOCAL INCOME	3,094,263	3,140,282	3,140,282	3,140,282	3,140,282	3,140,282
18 PRIOR YEAR BALANCE***						
STATE FUNDS:						
19 GENERAL REVENUE	3,424,128	3,417,950	3,991,340	3,991,340	4,111,943	4,089,082
20 EDUCATIONAL EXCELLENCE	253,034	258,269	258,269	258,269	258,269	258,268
21 WORKFORCE 2000						
22 TOBACCO SETTLEMENT FUNDS						
23 OTHER STATE FUNDS **						
24 TOTAL SOURCES OF INCOME	\$6,771,425	\$6,816,501	\$7,389,891	\$7,389,891	\$7,510,494	\$7,487,632

FORM 13-2 Nonformula

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in a footnote.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2013-15 BIENNIUM (Non-Formula Entities)**

UNIVERSITY OF ARKANSAS DIVISION OF AGRICULTURE

NAME OF INSTITUTION _____

EXPENDITURE CATEGORIES	2011-12 ACTUAL	2012-13 BUDGETED *	2013-15 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS			
			2013-14		2014-15	
			REQUEST	RECOMMENDATION	REQUEST	RECOMMENDATION
1 SALARIES-CLASSIFIED	11,698,937	10,776,065	11,002,145	11,002,145	11,235,008	11,235,008
2 SALARIES-NONCLASSIFIED	42,126,216	43,777,613	45,671,615	45,671,615	47,159,877	47,159,877
3 FRINGE BENEFITS	16,094,779	16,388,992	17,032,553	17,032,553	17,556,652	17,556,652
4 OPERATING EXPENSES	17,471,961	16,626,563	17,106,924	16,999,336	17,542,223	16,392,732
5 CONTINGENCY						
6						
7						
8						
9						
10						
11						
12						
13 MANDATORY TRANSFERS		50,000	50,000	50,000	50,000	50,000
14 AUXILIARY TRANSFERS						
15 NON-MANDATORY TRANSFERS	2,947,628	2,200,000	2,200,000	2,200,000	2,200,000	2,200,000
16 TOTAL UNREST. E&G EXP.	\$90,339,521	\$89,819,233	\$93,063,237	\$92,955,649	\$95,743,761	\$94,594,269
17 NET LOCAL INCOME	24,670,019	21,711,315	21,711,315	21,711,315	21,711,315	21,711,315
18 PRIOR YEAR BALANCE***						
STATE FUNDS:						
19 GENERAL REVENUE	62,908,033	62,800,138	66,044,142	65,936,554	68,724,666	67,575,174
20 EDUCATIONAL EXCELLENCE	5,200,192	5,307,780	5,307,780	5,307,780	5,307,780	5,307,780
21 WORKFORCE 2000						
22 TOBACCO SETTLEMENT FUNDS						
23 OTHER STATE FUNDS **	58,216					
24 TOTAL SOURCES OF INCOME	\$92,836,460	\$89,819,233	\$93,063,237	\$92,955,649	\$95,743,761	\$94,594,269

FORM 13-2 Nonformula

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in a footnote.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

** OTHER STATE FUNDS

Educational Activities (pesticide training)	35,716
LeadAR prgm tuition from another agency	2,500
GIF-Act 668	20,000
	<u>58,216</u>

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2013-15 BIENNIUM (Non-Formula Entities)**

UNIVERSITY OF ARKANSAS - ARKANSAS ARCHEOLOGICAL SURVEY

NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2011-12 ACTUAL	2012-13 BUDGETED *	2013-15 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS			
			2013-14		2014-15	
			REQUEST	RECOMMENDATION	REQUEST	RECOMMENDATION
1 SALARIES	1,655,455	1,686,035	2,258,371	2,258,371	2,348,326	2,348,326
2 STAFF BENEFITS	510,615	504,125	675,254	675,254	702,151	702,151
3 EXTRA HELP	18,352					
4 MAINTENANCE & OPERATIONS	324,763	309,588	295,088	292,500	295,089	253,845
5 SURVEY PUBLICATIONS	1,775	20,000	20,000	20,000	20,000	20,000
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10						
11						
12						
13 MANDATORY TRANSFERS						
14 AUXILIARY TRANSFERS						
15 NON-MANDATORY TRANSFERS						
16 TOTAL UNREST. E&G EXP.	\$2,510,960	\$2,519,748	\$3,248,713	\$3,246,125	\$3,365,566	\$3,324,322
17 NET LOCAL INCOME	23,984	35,000	54,859	54,859	59,657	59,657
18 PRIOR YEAR BALANCE***	29,160	29,700				
STATE FUNDS:						
19 GENERAL REVENUE	2,332,736	2,327,380	3,066,186	3,063,598	3,178,241	3,136,997
20 EDUCATIONAL EXCELLENCE	125,080	127,668	127,668	127,668	127,668	127,668
21 WORKFORCE 2000						
22 TOBACCO SETTLEMENT FUNDS						
23 OTHER STATE FUNDS **						
24 TOTAL SOURCES OF INCOME	\$2,510,960	\$2,519,748	\$3,248,713	\$3,246,125	\$3,365,566	\$3,324,322

FORM 13-2 Nonformula

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in a footnote.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2013-15 BIENNIUM (Non-Formula Entities)**

ARKANSAS RESEARCH AND EDUCATION OPTICAL NETWORK

NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2011-12 ACTUAL	2012-13 BUDGETED *	2013-15 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS			
			2013-14		2014-15	
			REQUEST	RECOMMENDATION	REQUEST	RECOMMENDATION
1 RESEARCH	3,310,539	3,400,000	4,750,758	4,750,758	6,087,130	4,781,825
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13 MANDATORY TRANSFERS						
14 AUXILIARY TRANSFERS						
15 NON-MANDATORY TRANSFERS						
16 TOTAL UNREST. E&G EXP.	\$3,310,539	\$3,400,000	\$4,750,758	\$4,750,758	\$6,087,130	\$4,781,825
17 NET LOCAL INCOME	2,402,656	3,400,000	3,400,000	3,400,000	3,400,000	3,400,000
18 PRIOR YEAR BALANCE***	891,346					
STATE FUNDS:						
19 GENERAL REVENUE	16,537		1,350,758	1,350,758	2,687,130	1,381,825
20 EDUCATIONAL EXCELLENCE						
21 WORKFORCE 2000						
22 TOBACCO SETTLEMENT FUNDS						
23 OTHER STATE FUNDS **						
24 TOTAL SOURCES OF INCOME	\$3,310,539	\$3,400,000	\$4,750,758	\$4,750,758	\$6,087,130	\$4,781,825

FORM 13-2 Nonformula

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in a footnote.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2013-15 BIENNIUM (Non-Formula Entities)**

UA CLINTON SCHOOL OF PUBLIC SERVICE
NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2011-12 ACTUAL	2012-13 BUDGETED *	2013-15 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS			
			2013-14		2014-15	
			REQUEST	RECOMMENDATION	REQUEST	RECOMMENDATION
1 SALARIES-NONCLASSIFIED	1,422,970	1,541,319	1,713,654	1,713,654	1,747,927	1,747,927
2 EXTRA HELP	122,045	100,000	80,000	80,000	80,000	80,000
3 STAFF BENEFITS	450,413	468,855	467,609	467,609	507,858	507,858
4 SCHOLARSHIPS	457,130	414,000	389,000	389,000	409,000	409,000
5 MAINTENANCE & OPERATIONS	677,162	499,401	513,179	513,179	521,590	521,590
6						
7						
8						
9						
10						
11						
12						
13 MANDATORY TRANSFERS						
14 AUXILIARY TRANSFERS						
15 NON-MANDATORY TRANSFERS	(100,000)					
16 TOTAL UNREST. E&G EXP.	\$3,029,720	\$3,023,575	\$3,163,442	\$3,163,442	\$3,266,375	\$3,266,375
17 NET LOCAL INCOME	632,838	728,000	729,000	729,000	745,251	745,251
18 PRIOR YEAR BALANCE***	95,680					
STATE FUNDS:						
19 GENERAL REVENUE	2,301,202	2,295,575	2,464,442	2,464,442	2,521,124	2,521,124
20 EDUCATIONAL EXCELLENCE						
21 WORKFORCE 2000						
22 TOBACCO SETTLEMENT FUNDS						
23 OTHER STATE FUNDS **						
24 TOTAL SOURCES OF INCOME	\$3,029,720	\$3,023,575	\$3,193,442	\$3,193,442	\$3,266,375	\$3,266,375

FORM 13-2 Nonformula

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in a footnote.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2013-15 BIENNIUM (Non-Formula Entities)**

CRIMINAL JUSTICE INSTITUTE - UA SYSTEM

NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2011-12 ACTUAL	2012-13 BUDGETED *	2013-15 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS			
			2013-14		2014-15	
			REQUEST	RECOMMENDATION	REQUEST	RECOMMENDATION
1 PUBLIC SERVICE	2,162,954	2,496,795	3,439,068	3,439,068	3,522,109	3,506,183
2						
3						
4						
5						
6						
7						
8						
9						
10						
11						
12						
13 MANDATORY TRANSFERS						
14 AUXILIARY TRANSFERS						
15 NON-MANDATORY TRANSFERS	285,772					
16 TOTAL UNREST. E&G EXP.	\$2,448,726	\$2,496,795	\$3,439,068	\$3,439,068	\$3,522,109	\$3,506,183
17 NET LOCAL INCOME	522,699	521,026	521,026	521,026	521,026	521,026
18 PRIOR YEAR BALANCE***						
STATE FUNDS:						
19 GENERAL REVENUE	1,830,383	1,825,769	2,918,042	2,918,042	3,001,083	2,985,157
20 EDUCATIONAL EXCELLENCE						
21 WORKFORCE 2000						
22 TOBACCO SETTLEMENT FUNDS						
23 OTHER STATE FUNDS **	150,000	150,000				
24 TOTAL SOURCES OF INCOME	\$2,503,082	\$2,496,795	\$3,439,068	\$3,439,068	\$3,522,109	\$3,506,183

FORM 13-2 Nonformula

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in a footnote.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

NOTE: NET LOCAL INCOME - Indirect Costs earned from Federal, State, and private grants

NOTE: OTHER STATE FUNDS - Special State Asset Forfeiture Funds

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2013-15 BIENNIUM (Non-Formula Entities)**

UA GARVAN WOODLAND GARDENS

NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2011-12 ACTUAL	2012-13 BUDGETED *	2013-15 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS			
			2013-14		2014-15	
			REQUEST	RECOMMENDATION	REQUEST	RECOMMENDATION
1 PUBLIC SERVICE	1,729,903	1,267,100	1,767,100	1,767,100	1,767,100	1,778,600
2						
3						
4						
5						
6						
7						
8						
9						
10						
11						
12						
13 MANDATORY TRANSFERS						
14 AUXILIARY TRANSFERS						
15 NON-MANDATORY TRANSFERS						
16 TOTAL UNREST. E&G EXP.	\$1,729,903	\$1,267,100	\$1,767,100	\$1,767,100	\$1,767,100	\$1,778,600
17 NET LOCAL INCOME	1,720,289	1,267,100	1,267,100	1,267,100	1,267,100	1,267,100
18 PRIOR YEAR BALANCE***						
STATE FUNDS:						
19 GENERAL REVENUE	9,614		500,000	500,000	500,000	511,500
20 EDUCATIONAL EXCELLENCE						
21 WORKFORCE 2000						
22 TOBACCO SETTLEMENT FUNDS						
23 OTHER STATE FUNDS **						
24 TOTAL SOURCES OF INCOME	\$1,729,903	\$1,267,100	\$1,767,100	\$1,767,100	\$1,767,100	\$1,778,600

FORM 13-2 Nonformula

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in a footnote.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2013-15 BIENNIUM (Non-Formula Entities)**

UA WRI KNOWLEDGE BASED ECONOMIC DEVELOPMENT PROGRAM

NAME OF INSTITUTION _____

EXPENDITURE CATEGORIES	2011-12 ACTUAL	2012-13 BUDGETED *	2013-15 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS			
			2013-14		2014-15	
			REQUEST	RECOMMENDATION	REQUEST	RECOMMENDATION
1 PUBLIC SERVICE	25,517	0				
2						
3						
4						
5						
6						
7						
8						
9						
10						
11						
12						
13 MANDATORY TRANSFERS						
14 AUXILIARY TRANSFERS						
15 NON-MANDATORY TRANSFERS						
16 TOTAL UNREST. E&G EXP.	\$25,517	\$0	\$0	\$0	\$0	\$0
17 NET LOCAL INCOME						
18 PRIOR YEAR BALANCE***						
STATE FUNDS:						
19 GENERAL REVENUE	25,517					
20 EDUCATIONAL EXCELLENCE						
21 WORKFORCE 2000						
22 TOBACCO SETTLEMENT FUNDS						
23 OTHER STATE FUNDS **						
24 TOTAL SOURCES OF INCOME	\$25,517	\$0	\$0	\$0	\$0	\$0

FORM 13-2 Nonformula

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in a footnote.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2013-15 BIENNIUM (Non-Formula Entities)**

THE DAVID AND BARBARA PRYOR CENTER FOR ARKANSAS ORAL AND VISUAL HISTORY

NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2011-12 ACTUAL	2012-13 BUDGETED *	2013-15 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS			
			2013-14		2014-15	
			REQUEST	RECOMMENDATION	REQUEST	RECOMMENDATION
1 ACADEMIC SUPPORT	346,729	241,503	484,000	484,000	491,000	496,405
2						
3						
4						
5						
6						
7						
8						
9						
10						
11						
12						
13 MANDATORY TRANSFERS						
14 AUXILIARY TRANSFERS						
15 NON-MANDATORY TRANSFERS						
16 TOTAL UNREST. E&G EXP.	\$346,729	\$241,503	\$484,000	\$484,000	\$491,000	\$496,405
17 NET LOCAL INCOME	346,729	241,503	249,000	249,000	256,000	256,000
18 PRIOR YEAR BALANCE***						
STATE FUNDS:						
19 GENERAL REVENUE			235,000	235,000	235,000	240,405
20 EDUCATIONAL EXCELLENCE						
21 WORKFORCE 2000						
22 TOBACCO SETTLEMENT FUNDS						
23 OTHER STATE FUNDS **						
24 TOTAL SOURCES OF INCOME	\$346,729	\$241,503	\$484,000	\$484,000	\$491,000	\$496,405

FORM 13-2 Nonformula

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in a footnote.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2013-15 BIENNIUM**

FUND CAA0000

INSTITUTION UNIVERSITY OF ARKANSAS FUND

APPROPRIATION 534

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES	158,322,985	158,654,602	165,000,000	170,000,000	172,000,000		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	35,000,000	33,708,096	38,000,000	41,000,000	42,000,000		
5 OPERATING EXPENSES	5,687,416	6,322,916	13,218,584	18,989,246	21,326,766		
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES							
8 CAPITAL OUTLAY							
9 FUNDED DEPRECIATION	2,076,850	2,076,850	2,076,850	2,076,850	2,076,850		
10							
11							
12							
13 TOTAL APPROPRIATION	\$201,087,251	\$200,762,464	\$218,295,434	\$232,066,096	\$237,403,616	\$0	\$0
14 PRIOR YEAR FUND BALANCE**		77,458					
15 GENERAL REVENUE	186,744,738	186,010,475		217,391,565	222,729,085		
16 EDUCATIONAL EXCELLENCE TRUST FUND	14,377,079	14,674,531		14,674,531	14,674,531		
17 SPECIAL REVENUES * [WF2000]							
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS	42,892						
21 TOTAL INCOME	\$201,164,709	\$200,762,464		\$232,066,096	\$237,403,616	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	-\$77,458	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

FORM 13-3

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

NOTE: OTHER STATE TREASURY FUNDS - Actual Other State Treasury Funds are Tuition Adjustment Funds

Allocations:	(1) FAYETTEVILLE CAMPUS	\$	147,998,403.00	151,402,366
	(2) SYSTEM ADMINISTRATION		4,249,609	4,347,350
	(3) DIVISION OF AGRICULTURE		71,244,334	72,882,954
	(4) CRIMINAL JUSTICE INSTITUTUE		2,918,042	2,985,157
	(5) ARCHEOLOGICAL SURVEY		3,191,266	3,264,665
	(6) CLINTON SCHOOL		2,464,442	2,521,124
		\$	232,066,096	\$ 237,403,616

**APPROPRIATION ACT FORM - STATE TREASURY
2013-15 BIENNIUM**

FUND TSF0200

INSTITUTION TOBACCO FUNDS - FAYETTEVILLE

APPROPRIATION 319

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES	468,363	480,000	480,000	480,000	480,000		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	55,961	75,000	75,000	75,000	75,000		
5 OPERATING EXPENSES	694,415	800,000	800,000	800,000	800,000		
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES							
8 CAPITAL OUTLAY	355,430	240,923	1,020,563	1,020,563	1,020,563		
9 FUNDED DEPRECIATION							
10							
11							
12							
13 TOTAL APPROPRIATION	\$1,574,169	\$1,595,923	\$2,375,563	\$2,375,563	\$2,375,563	\$0	\$0
14 PRIOR YEAR FUND BALANCE**							
15 GENERAL REVENUE							
16 EDUCATIONAL EXCELLENCE TRUST FUND							
17 SPECIAL REVENUES * [WF2000]							
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS	1,574,169	1,595,923		2,375,563	2,375,563		
20 OTHER STATE TREASURY FUNDS							
21 TOTAL INCOME	\$1,574,169	\$1,595,923		\$2,375,563	\$2,375,563	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM 13-3

**APPROPRIATION ACT FORM - STATE TREASURY
2013-15 BIENNIUM**

FUND TSF0202

INSTITUTION TOBACCO FUNDS - AGRI EXPERIMENT STATION

APPROPRIATION 321

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES	922,981	903,128	1,356,100	1,356,100	1,356,100		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	264,997	261,264	359,332	359,332	359,332		
5 OPERATING EXPENSES	320,817	242,031	380,000	380,000	380,000		
6 CONFERENCE FEES & TRAVEL	28,621	40,000	40,000	40,000	40,000		
7 PROFESSIONAL FEES AND SERVICES	21,080	90,000	100,000	100,000	100,000		
8 CAPITAL OUTLAY	16,174	59,500	180,000	180,000	180,000		
9 FUNDED DEPRECIATION							
10							
11							
12							
13 TOTAL APPROPRIATION	\$1,574,670	\$1,595,923	\$2,415,432	\$2,415,432	\$2,415,432	\$0	\$0
14 PRIOR YEAR FUND BALANCE**							
15 GENERAL REVENUE							
16 EDUCATIONAL EXCELLENCE TRUST FUND							
17 SPECIAL REVENUES * [WF2000]							
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS	1,574,670	1,595,923		2,415,432	2,415,432		
20 OTHER STATE TREASURY FUNDS							
21 TOTAL INCOME	\$1,574,670	\$1,595,923		\$2,415,432	\$2,415,432	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2013-15 BIENNIUM**

FUND CAA0100

INSTITUTION UNIVERSITY OF ARKANSAS FUND - CJJ

APPROPRIATION 534A

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 CJJ - CLANDESTINE METHAMPHETAMINE EDUCATION & TRAINING	150,000	150,000	150,000	150,000	150,000		
2							
3							
4							
5							
6							
7							
8							
9							
10							
11							
12							
13 TOTAL APPROPRIATION	\$150,000	\$150,000	\$150,000	\$150,000	\$150,000	\$0	\$0
14 PRIOR YEAR FUND BALANCE**							
15 GENERAL REVENUE							
16 EDUCATIONAL EXCELLENCE TRUST FUND							
17 SPECIAL REVENUES * [WF2000]							
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS	150,000	150,000		150,000	150,000		
21 TOTAL INCOME	\$150,000	\$150,000		\$150,000	\$150,000	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

FORM 13-3

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

NOTE: OTHER STATE TREASURY FUNDS - from Asset Forfeiture Fund

**APPROPRIATION ACT FORM - STATE TREASURY
2013-15 BIENNIUM**

FUND CAA0300

INSTITUTION UNIVERSITY OF ARKANSAS FUND - LAW SCHOOL

APPROPRIATION 534

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 UA SCHOOL OF LAW	485,336	800,000	800,000	800,000	800,000		
2							
3							
4							
5							
6							
7							
8							
9							
10							
11							
12							
13 TOTAL APPROPRIATION	\$485,336	\$800,000	\$800,000	\$800,000	\$800,000	\$0	\$0
14 PRIOR YEAR FUND BALANCE**							
15 GENERAL REVENUE							
16 EDUCATIONAL EXCELLENCE TRUST FUND							
17 SPECIAL REVENUES * [WF2000]							
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS	485,336	800,000		800,000	800,000		
21 TOTAL INCOME	\$485,336	\$800,000		\$800,000	\$800,000	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

NOTE: OTHER STATE TREASURY FUNDS - from Uniform Filing Fees

FORM 13-3

**APPROPRIATION ACT FORM - STATE TREASURY
2013-15 BIENNIUM**

FUND CAA0400

INSTITUTION ARKANSAS RESEARCH & EDUCATION OPTICAL NETWORK

APPROPRIATION 534R

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 ARK. RESEARCH & EDUCATIONAL OPTICAL NETWORK OPERATIONS	16,537	0	451,547	1,350,758	1,381,825		
2							
3							
4							
5							
6							
7							
8							
9							
10							
11							
12							
13 TOTAL APPROPRIATION	\$16,537	\$0	\$451,547	\$1,350,758	\$1,381,825	\$0	\$0
14 PRIOR YEAR FUND BALANCE**							
15 GENERAL REVENUE	16,537			1,350,758	1,381,825		
16 EDUCATIONAL EXCELLENCE TRUST FUND							
17 SPECIAL REVENUES * [WF2000]							
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS							
21 TOTAL INCOME	\$16,537	\$0		\$1,350,758	\$1,381,825	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM 13-3

**APPROPRIATION ACT FORM - STATE TREASURY
2013-15 BIENNIUM**

FUND CAA0600

INSTITUTION UA WRI KNOWLEDGE BASED ECONOMIC DEVELOPMENT PROGRAM

APPROPRIATION _____ 59F

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 UA WINROCK INSTITUTE	25,517	0	248,951				
2							
3							
4							
5							
6							
7							
8							
9							
10							
11							
12							
13 TOTAL APPROPRIATION	\$25,517	\$0	\$248,951	\$0	\$0	\$0	\$0
14 PRIOR YEAR FUND BALANCE**							
15 GENERAL REVENUE	25,517						
16 EDUCATIONAL EXCELLENCE TRUST FUND							
17 SPECIAL REVENUES * [WF2000]							
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS							
21 TOTAL INCOME	\$25,517	\$0		\$0	\$0	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2013-15 BIENNIUM**

FUND CAA0500

INSTITUTION UA GARVAN WOODLAND GARDENS

APPROPRIATION 59G

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 GARVAN WOODLAND GARDENS OPS.	9,614	0	375,000	500,000	511,500		
2							
3							
4							
5							
6							
7							
8							
9							
10							
11							
12							
13 TOTAL APPROPRIATION	\$9,614	\$0	\$375,000	\$500,000	\$511,500	\$0	\$0
14 PRIOR YEAR FUND BALANCE**							
15 GENERAL REVENUE	9,614			500,000	511,500		
16 EDUCATIONAL EXCELLENCE TRUST FUND							
17 SPECIAL REVENUES * [WF2000]							
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS							
21 TOTAL INCOME	\$9,614	\$0		\$500,000	\$511,500	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2013-15 BIENNIUM**

FUND CAA0000

INSTITUTION PRYOR CENTER FOR ARK. ORAL & VISUAL HISTORY

APPROPRIATION NEW

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 OPERATING EXPENSES				235,000	240,405		
2							
3							
4							
5							
6							
7							
8							
9							
10							
11							
12							
13 TOTAL APPROPRIATION	\$0	\$0	\$0	\$235,000	\$240,405	\$0	\$0
14 PRIOR YEAR FUND BALANCE**							
15 GENERAL REVENUE				235,000	240,405		
16 EDUCATIONAL EXCELLENCE TRUST FUND							
17 SPECIAL REVENUES * [WF2000]							
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS							
21 TOTAL INCOME	\$0	\$0		\$235,000	\$240,405	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM 13-3

**APPROPRIATION ACT FORM - STATE TREASURY
2013-15 BIENNIUM**

FUND MEA0000

INSTITUTION ELECTRICAL ENERGY ADVANCEMENT PROGRAM

APPROPRIATION 87B

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 ELECTR. ENERGY ADVANCE. PROG.	0	0	800,000	800,000	800,000		
2							
3							
4							
5							
6							
7							
8							
9							
10							
11							
12							
13 TOTAL APPROPRIATION	\$0	\$0	\$800,000	\$800,000	\$800,000	\$0	\$0
14 PRIOR YEAR FUND BALANCE**							
15 GENERAL REVENUE							
16 EDUCATIONAL EXCELLENCE TRUST FUND							
17 SPECIAL REVENUES * [WF2000]							
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS				800,000	800,000		
21 TOTAL INCOME	\$0	\$0		\$800,000	\$800,000	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

NOTE: OTHER STATE TREASURY FUNDS - from the Electrical Energy Advancement Program Fund

**APPROPRIATION ACT FORM - CASH FUNDS
2013-15 BIENNIUM**

FUND 2000000

INSTITUTION UNIVERSITY OF ARKANSAS FUND

APPROPRIATION B03

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES	107,432,797	178,024,600	178,024,600	178,024,600	178,024,600		
2 EXTRA HELP WAGES	19,881,926	27,285,500	27,285,500	27,285,500	27,285,500		
3 OVERTIME	1,193,500	5,900,000	5,900,000	5,900,000	5,900,000		
4 PERSONAL SERVICES MATCHING	17,202,473	44,535,200	44,535,200	44,535,200	44,535,200		
5 OPERATING EXPENSES	142,850,698	160,200,000	160,200,000	160,200,000	160,200,000		
6 CONFERENCE FEES & TRAVEL	21,212,412	47,000,000	47,000,000	47,000,000	47,000,000		
7 PROFESSIONAL FEES AND SERVICES	48,849,654	55,000,000	55,000,000	55,000,000	55,000,000		
8 CAPITAL OUTLAY	24,316,565	58,000,000	58,000,000	58,000,000	58,000,000		
9 CAPITAL IMPROVEMENTS	77,660,460	246,597,200	246,597,200	246,597,200	246,597,200		
10 DEBT SERVICE	642,553	4,000,000	4,000,000	4,000,000	4,000,000		
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS		13,500,000	13,500,000	13,500,000	13,500,000		
12 PROMOTIONAL ITEMS	15,043						
13							
14							
15							
16 TOTAL APPROPRIATION	\$461,258,081	\$840,042,500	\$840,042,500	\$840,042,500	\$840,042,500	\$0	\$0
17 PRIOR YEAR FUND BALANCE***							
18 TUITION AND MANDATORY FEES	180,716,805	186,135,966		193,581,000	201,324,000		
19 ALL OTHER FEES							
20 SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	27,486,277	12,322,451		12,500,000	12,700,000		
21 INVESTMENT INCOME	4,426,565						
22 FEDERAL CASH FUNDS		10,996,156		10,996,156	10,996,156		
23 OTHER CASH FUNDS	248,628,434	630,587,927		622,965,344	615,022,344		
24 TOTAL INCOME	\$461,258,081	\$840,042,500		\$840,042,500	\$840,042,500	\$0	\$0
25 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED 2012-13	REQUEST	RECOMMEND	LEGISLATIVE RECOMMENDATION	
				2013-14	2013-14	2013-14	2014-15
REGULAR POSITIONS	5,169	5,925	7,043	7,341	7,222		
TOBACCO POSITIONS							
EXTRA HELP **	2,415	2,415	3,808	3,808	3,808		

FORM 13-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS
2013-15 BIENNIUM**

FUND 2000000

INSTITUTION U OF A SOILS TESTING AND RESEARCH

APPROPRIATION B76

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES	567,981	775,000	775,000	775,000	775,000		
2 EXTRA HELP WAGES	67,439	85,000	85,000	85,000	85,000		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	166,807	250,000	250,000	250,000	250,000		
5 OPERATING EXPENSES	417,206	800,000	800,000	800,000	800,000		
6 CONFERENCE FEES & TRAVEL	16,725	25,000	25,000	25,000	25,000		
7 PROFESSIONAL FEES AND SERVICES		20,000	20,000	20,000	20,000		
8 CAPITAL OUTLAY	322,233	495,000	495,000	495,000	495,000		
9 CAPITAL IMPROVEMENTS		1,000,000	1,000,000	1,000,000	1,000,000		
10 DEBT SERVICE							
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS		300,000	300,000	300,000	300,000		
12							
13							
14							
15							
16 TOTAL APPROPRIATION	\$1,558,391	\$3,750,000	\$3,750,000	\$3,750,000	\$3,750,000	\$0	\$0
17 PRIOR YEAR FUND BALANCE***							
18 TUITION AND MANDATORY FEES							
19 ALL OTHER FEES							
20 SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS							
21 INVESTMENT INCOME							
22 FEDERAL CASH FUNDS							
23 OTHER CASH FUNDS	1,558,391	3,750,000		3,750,000	3,750,000		
24 TOTAL INCOME	\$1,558,391	\$3,750,000		\$3,750,000	\$3,750,000	\$0	\$0
25 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED 2012-13	REQUEST	RECOMMEND	LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
REGULAR POSITIONS	N/A						
TOBACCO POSITIONS	N/A						
EXTRA HELP **	N/A						

FORM 13-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

UNIVERSITY OF ARKANSAS - FAYETTEVILLE
(NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2011-12				BUDGETED 2012-13			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *	74,022,230	57,067,821	6,711,354	10,243,055	75,623,750	66,458,661	9,165,089	0
2 HOUSING	33,881,602	17,507,321	9,450,744	6,923,537	30,082,948	20,022,339	10,060,609	0
3 STUDENT HEALTH SERVICES	5,660,313	5,579,495		80,818	6,019,374	6,019,374		0
4 STUDENT UNION	850,915	1,165,546	29,492	(344,123)				0
5 BOOKSTORE	18,487,696	17,938,775	880,194	(331,273)	21,262,133	20,354,307	907,826	0
6 STUDENT ORGANIZATIONS AND PUBLICATIONS	2,117,080	1,615,334	158,962	342,784	2,184,769	2,184,769		
7 OTHER	9,765,329	5,273,858	3,505,035	986,436	12,368,421	8,115,288	4,253,133	0
8 SUBTOTAL	144,785,165	106,148,150	20,735,781	17,901,234	147,541,395	123,154,738	24,386,657	0
9 ATHLETIC TRANSFER **				0				0
10 OTHER TRANSFERS ***				0				0
11 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	144,785,165	106,148,150	20,735,781	17,901,234	147,541,395	123,154,738	24,386,657	0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 13-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line Item 7 Other - Activity includes Transit, Parking and Miscellaneous Auxiliary.

NOTE: Line Item 10 Other Transfers - includes Transfers for Plant Additions, and Other Transfers & Charges

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

UNIVERSITY OF ARKANSAS FUND
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2011-12:					<u>4,655</u>			
(As of November 1, 2011)								
Nonclassified Administrative Employees:						Total	Male:	<u>858</u>
White Male:	<u>679</u>	Black Male:	<u>45</u>	Other Male:	<u>134</u>	Total	Female:	<u>856</u>
White Female:	<u>692</u>	Black Female:	<u>80</u>	Other Female:	<u>84</u>			
Nonclassified Health Care Employees:						Total	Male:	<u>1</u>
White Male:	<u> </u>	Black Male:	<u>0</u>	Other Male:	<u>1</u>	Total	Female:	<u>2</u>
White Female:	<u> </u>	Black Female:	<u>0</u>	Other Female:	<u>2</u>			
Classified Employees:						Total	Male:	<u>755</u>
White Male:	<u>602</u>	Black Male:	<u>49</u>	Other Male:	<u>104</u>	Total	Female:	<u>997</u>
White Female:	<u>825</u>	Black Female:	<u>63</u>	Other Female:	<u>109</u>			
Faculty:						Total	Male:	<u>765</u>
White Male:	<u>614</u>	Black Male:	<u>23</u>	Other Male:	<u>128</u>	Total	Female:	<u>421</u>
White Female:	<u>329</u>	Black Female:	<u>18</u>	Other Female:	<u>74</u>			
Total White Male:	<u>1,895</u>	Total Black Male:	<u>117</u>	Total Other Male:	<u>367</u>	Total	Male:	<u>2,379</u>
Total White Female:	<u>1,846</u>	Total Black Female:	<u>161</u>	Total Other Female:	<u>269</u>	Total	Female:	<u>2,276</u>
Total White:	<u>3,741</u>	Total Black:	<u>278</u>	Total Other:	<u>636</u>	Total	Employees:	<u>4,655</u>
				Total Minority:	<u>914</u>			

FORM 13-6

**ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$25,000 AWARDED TO MINORITY OWNED BUSINESSES
Fiscal Year 2012
Required by A.C.A. 25-36-104**

Institution

UNIVERSITY OF ARKANSAS - FAYETTEVILLE

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
N/A							

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$91,334,992
(Total Expenditures equals ALL Contracts Exceeding \$25,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

<i>Finding:</i>	No Findings noted
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UNIVERSITY OF ARKANSAS - DIVISION OF AGRICULTURE

Mark Cochran, Vice President for Agriculture

ENABLING LAWS

The Division is part of the University of Arkansas System and the UA Fund. The current appropriation for the UA Fund is Act 223 of 2012. The Division also falls under Arkansas Code Annotated §6-64-5 and §6-64-7. The University Board of Trustees oversees the operation of the University of Arkansas System, including the Division of Agriculture. The UABOT has ten members from all parts of the state.

INSTITUTION HISTORY AND ORGANIZATION

The Division of Agriculture was established by the UA Board of Trustees in September, 1959 as a distinct institution in the University of Arkansas System. It is unique in the overall scheme of higher education in Arkansas. It is composed of the Agricultural Experiment Station and the Cooperative Extension Service and has the basic mission of discovery of knowledge from research and, through extension education, helping Arkansans put that knowledge to work in their daily lives. Through its programs, the Division reaches out into all 75 counties and touches nearly every rural and urban citizen in the state.

MISSION STATEMENT

The University of Arkansas System Division of Agriculture is the statewide research and extension agency serving Arkansas agriculture, communities, families and youth. Our mission is to discover new knowledge, incorporate it into practical applications and assist Arkansans in its application.

PROGRAM ENHANCEMENTS

The Division is requesting program enhancements of \$1,360,000 for FY2013-14 and \$2,100,000 for FY14-15. The majority of the land area in the state is used in agriculture and forestry so the Division programs have a major focus on sustainable resource use and environmental stewardship. Program enhancements are necessary to continue the capacity of the Division to provide science based solutions to emerging issues such as glyphosate resistant weeds, animal health and wellbeing, water and energy efficiency in poultry and row crop production, etc. A major component of the Division of Agriculture efforts focuses on production and food processing.

UNIVERSITY OF ARKANSAS - DIVISION OF AGRICULTURE
Mark Cochran, Vice President for Agriculture

PERSONNEL REQUESTS

The Division has requested a net of zero positions. The non-classified personal services request for the Division includes adding a Director of Information Technology while deleting a County Agent position for CES and deleting three Program Assistant positions to allow for an increase of three Research Scientist positions for AES. These position changes are needed to meet the demands of current research and service needs of the public. As the UA Division of Agriculture moves into a position where it is more dependent on extramural funding, we need more research scientist positions to attract support personnel that can truly function as Co-Pi's on grant applications. These positions will enhance our ability to successfully pursue extramural grants so vital to our future financial stability

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2013-15 BIENNIUM (Non-Formula Entities)**

UNIVERSITY OF ARKANSAS DIVISION OF AGRICULTURE
NAME OF INSTITUTION _____

EXPENDITURE CATEGORIES	2011-12 ACTUAL	2012-13 BUDGETED *	2013-15 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS			
			2013-14		2014-15	
			REQUEST	RECOMMENDATION	REQUEST	RECOMMENDATION
1 SALARIES-CLASSIFIED	11,698,937	10,776,065	11,002,145	11,002,145	11,235,008	11,235,008
2 SALARIES-NONCLASSIFIED	42,126,216	43,777,613	45,671,615	45,671,615	47,159,877	47,159,877
3 FRINGE BENEFITS	16,094,779	16,388,992	17,032,553	17,032,553	17,556,652	17,556,652
4 OPERATING EXPENSES	17,471,961	16,626,563	17,106,924	16,999,336	17,542,223	16,392,732
5 CONTINGENCY						
6						
7						
8						
9						
10						
11						
12						
13 MANDATORY TRANSFERS		50,000	50,000	50,000	50,000	50,000
14 AUXILIARY TRANSFERS						
15 NON-MANDATORY TRANSFERS	2,947,628	2,200,000	2,200,000	2,200,000	2,200,000	2,200,000
16 TOTAL UNREST. E&G EXP.	\$90,339,521	\$89,819,233	\$93,063,237	\$92,955,649	\$95,743,761	\$94,594,269
17 NET LOCAL INCOME	24,670,019	21,711,315	21,711,315	21,711,315	21,711,315	21,711,315
18 PRIOR YEAR BALANCE***						
STATE FUNDS:						
19 GENERAL REVENUE	62,908,033	62,800,138	66,044,142	65,936,554	68,724,666	67,575,174
20 EDUCATIONAL EXCELLENCE	5,200,192	5,307,780	5,307,780	5,307,780	5,307,780	5,307,780
21 WORKFORCE 2000						
22 TOBACCO SETTLEMENT FUNDS						
23 OTHER STATE FUNDS **	58,216					
24 TOTAL SOURCES OF INCOME	\$92,836,460	\$89,819,233	\$93,063,237	\$92,955,649	\$95,743,761	\$94,594,269

FORM 13-2 Nonformula

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in a footnote.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**** OTHER STATE FUNDS**

Educational Activities (pesticide training)	35,716
LeadAR prgm tuition from another agency	2,500
GIF-Act 668	20,000
	<u>58,216</u>

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UNIVERSITY OF ARKANSAS - ARKANSAS ARCHEOLOGICAL SURVEY

Thomas J. Green, Director

ENABLING LAWS

Act 39 of 1967, Act 741 of 1977 and Act 223 of 2012

INSTITUTION HISTORY AND ORGANIZATION

The Arkansas Archeological Survey was created by the General Assembly by Act 39 of 1967. The Survey was officially designated an independent unit of the University of Arkansas System by Act 741 in 1977. The Survey operates a statewide program of archeological research, public service, and public education through eleven research stations and the Coordinating Office in Fayetteville. Eight research stations are located on university campuses (UAF, UAPB, UAM, UAFS, WRI, HSU, ASU, and SAU). Research stations are also located at Toltec Mounds Archeological State Park, Parkin Archeological State Park, and the City of Blytheville. The Survey's Coordinating Office in Fayetteville houses the administrative offices, the State Archeologist's office, the Registrar's office, Computer Services, Sponsored Research Program, and the Publications Office. Survey administrative staff includes the Director, the Assistant Director for Financial Affairs, an administrative secretary, and an accounting technician. The Survey has 43 employees statewide. The Survey works closely with the Department of Arkansas Heritage, Arkansas State Parks, various Indian tribes now living in Oklahoma, and the Arkansas Archeological Society, a statewide amateur organization, to study and preserve the archeological heritage of Arkansas.

RECENT ACCOMPLISHMENTS

The Survey recently completed a project locating homes and buildings destroyed as a result of the 1862 battle at **Prairie Grove Battlefield State Park**. In June of 2011 and 2012 the Survey and the Arkansas Archeological Society excavated the 1830-1860 commercial district of **Historic Washington State Park**. The Survey provides technical services to **cemetery preservation** groups around the state. Several of the Survey's archeologists were featured in the AETN production "Silent Storytellers. The Survey regularly provides geophysical technical support to **law enforcement agencies** to help locate murder victims. In the past year, services were provided to the FBI, CID of the Arkansas State Police, Little Rock Homicide Squad, and the Pine Bluff Police Department. Survey station archeologists **taught 21** anthropology and archeology classes at 7 state universities (UAF, UAPB, UAM, UAFS, ASU, HSU, and SAU) to 496 students in the past year.

UNIVERSITY OF ARKANSAS - ARKANSAS ARCHEOLOGICAL SURVEY
Thomas J. Green, Director

FUNDING GOALS FOR THE 2013–2015 BIENNIUM

Cemetery and Law Enforcement Support Team: The Survey is the only entity in the state providing field services to local cemetery preservation organizations. In addition, law enforcement agencies increasingly request the Survey's help to locate buried murder victims and investigate crime scenes. To provide basic services to law enforcement and cemetery organizations, the Survey needs one full-time archeologist who specializes in cemetery preservation; one full-time expert in geophysical applications to locate unmarked graves and other buried features; and two field assistants capable of technical mapping using modern total stations and operating the geophysical equipment.

Registrar's Office and Computer Databases: The Survey Registrar's Office maintains records on over 46,000 known archeological sites and over 6,000 individual archeological projects. This information is needed by the Arkansas Historic Preservation Program in the Department of Arkansas Heritage, state and federal agencies, and private corporations to comply with federal historic preservation laws. This information is available online but the Survey does not have staff to keep the databases up-to-date. Funds are needed for one database manager and one full-time person to scan documents and enter information into the databases.

Website design and support. The Survey needs one full-time web site designer and manager. The existing web sites receive over 180,000 hits a year and need constant updating to provide new content for school teachers and the public.

Research Station Assistants. Funds are needed for salaries for research station assistants. People in these positions have BAs and MAs and provide support to the research station archeologists. Station assistants are needed at Toltec Mounds and Parkin Mounds research stations and funds are needed to keep good station assistants from taking more lucrative positions in government and private industry.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2013-15 BIENNIUM (Non-Formula Entities)**

UNIVERSITY OF ARKANSAS - ARKANSAS ARCHEOLOGICAL SURVEY

NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2011-12 ACTUAL	2012-13 BUDGETED *	2013-15 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS			
			2013-14		2014-15	
			REQUEST	RECOMMENDATION	REQUEST	RECOMMENDATION
1 SALARIES	1,655,455	1,686,035	2,258,371	2,258,371	2,348,326	2,348,326
2 STAFF BENEFITS	510,615	504,125	675,254	675,254	702,151	702,151
3 EXTRA HELP	18,352					
4 MAINTENANCE & OPERATIONS	324,763	309,588	295,088	292,500	295,089	253,845
5 SURVEY PUBLICATIONS	1,775	20,000	20,000	20,000	20,000	20,000
6						
7						
8						
9						
10						
11						
12						
13 MANDATORY TRANSFERS						
14 AUXILIARY TRANSFERS						
15 NON-MANDATORY TRANSFERS						
16 TOTAL UNREST. E&G EXP.	\$2,510,960	\$2,519,748	\$3,248,713	\$3,246,125	\$3,365,566	\$3,324,322
17 NET LOCAL INCOME	23,984	35,000	54,859	54,859	59,657	59,657
18 PRIOR YEAR BALANCE***	29,160	29,700				
STATE FUNDS:						
19 GENERAL REVENUE	2,332,736	2,327,380	3,066,186	3,063,598	3,178,241	3,136,997
20 EDUCATIONAL EXCELLENCE	125,080	127,668	127,668	127,668	127,668	127,668
21 WORKFORCE 2000						
22 TOBACCO SETTLEMENT FUNDS						
23 OTHER STATE FUNDS **						
24 TOTAL SOURCES OF INCOME	\$2,510,960	\$2,519,748	\$3,248,713	\$3,246,125	\$3,365,566	\$3,324,322

FORM 13-2 Nonformula

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in a footnote.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

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UNIVERSITY OF ARKANSAS - CLINTON SCHOOL OF PUBLIC SERVICE
James L. Rutherford III, Dean

ENABLING LAWS

Act 689 of 1997 and Act 223 of 2012

INSTITUTION HISTORY AND ORGANIZATION

The mission of the University of Arkansas Clinton School of Public Service is to educate and prepare professionals in public service who understand, engage, and transform complex social, cultural, economic, and political systems to ensure equity, challenge oppression, and effect positive social change.

We realize our mission by:

1. Operating at the intersection of theory and practice.
2. Establishing, nurturing and maintaining a community of students, scholars, and experienced public servants.
3. Creating and sustaining partnerships and alliances with public, for-profit, non-profit, philanthropic, and volunteer sectors.
4. Systematically evaluating the School's effectiveness in fulfilling its mission.

Now in its eighth academic year, the Clinton School is the first graduate school in the nation offering a Master of Public Service (MPS) degree program. The program is a full-time graduate program taking two years to complete. The program is unique because a portion of its curriculum is made up of in-the-field, hands-on public service projects. Students complete group, international and individual public service projects for academic credit toward their degree. They also work heavily in the classroom, studying the aspects of public service ranging from communication and ethics, to dynamics of social change and leadership development among other areas. The School also hosts free public programs, guest lectures and community conversations featuring internationally-prominent leaders and timely topics. The Clinton School Distinguished Lecture Series features a diverse array of programs ranging from senators, congressman, cabinet officials and ambassadors to renowned academics, corporate CEOs, philanthropists, authors and journalists.

The Clinton School's degree program operates under a consortium agreement with the UA Fayetteville, UALR and UAMS. The Dean of the Clinton School is appointed by and reports directly to the President of the UA System. The

UNIVERSITY OF ARKANSAS - CLINTON SCHOOL OF PUBLIC SERVICE
James L. Rutherford III, Dean

Clinton School is a unique entity within the UA System, and is governed by the UA Board of Trustees.

Appropriation Requests

The Clinton School is requesting additional appropriation to review and potentially plan for an Executive MPS program. An initial survey conducted 3 years ago revealed there is an interest in the Clinton School establishing this program. However, it was not feasible to plan for the program at that time because of the sluggish economy. The Clinton School also continues to further develop its field-based public service projects.

No additional positions are being requested.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2013-15 BIENNIUM (Non-Formula Entities)**

UA CLINTON SCHOOL OF PUBLIC SERVICE
NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2011-12 ACTUAL	2012-13 BUDGETED *	2013-15 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS			
			2013-14		2014-15	
			REQUEST	RECOMMENDATION	REQUEST	RECOMMENDATION
1 SALARIES-NONCLASSIFIED	1,422,970	1,541,319	1,713,654	1,713,654	1,747,927	1,747,927
2 EXTRA HELP	122,045	100,000	80,000	80,000	80,000	80,000
3 STAFF BENEFITS	450,413	468,855	467,609	467,609	507,858	507,858
4 SCHOLARSHIPS	457,130	414,000	389,000	389,000	409,000	409,000
5 MAINTENANCE & OPERATIONS	677,162	499,401	513,179	513,179	521,590	521,590
6						
7						
8						
9						
10						
11						
12						
13 MANDATORY TRANSFERS						
14 AUXILIARY TRANSFERS						
15 NON-MANDATORY TRANSFERS	(100,000)					
16 TOTAL UNREST. E&G EXP.	\$3,029,720	\$3,023,575	\$3,163,442	\$3,163,442	\$3,266,375	\$3,266,375
17 NET LOCAL INCOME	632,838	728,000	729,000	729,000	745,251	745,251
18 PRIOR YEAR BALANCE***	95,680					
STATE FUNDS:						
19 GENERAL REVENUE	2,301,202	2,295,575	2,464,442	2,464,442	2,521,124	2,521,124
20 EDUCATIONAL EXCELLENCE						
21 WORKFORCE 2000						
22 TOBACCO SETTLEMENT FUNDS						
23 OTHER STATE FUNDS **						
24 TOTAL SOURCES OF INCOME	\$3,029,720	\$3,023,575	\$3,193,442	\$3,193,442	\$3,266,375	\$3,266,375

FORM 13-2 Nonformula

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in a footnote.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

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UNIVERSITY OF ARKANSAS - CRIMINAL JUSTICE INSTITUTE

Dr. Cheryl P. May, Director

ENABLING LAWS

Act 1111 of 1993 and Act 223 of 2012

INSTITUTION HISTORY AND ORGANIZATION

The Criminal Justice Institute (CJI) was established in 1993 by Act 1111 to serve the unmet continuing education and technical needs of Arkansas law enforcement executives and managers. Act 223 of Fiscal Session 2012 provided current appropriations for the Institute.

Mission

- The CJI Advisory Board consists of 16 members of the Arkansas law enforcement and higher education communities.

Our mission is met by offering continuing education to Arkansas's law enforcement professionals, including school and traffic safety officers, in the fields of forensic sciences, illicit drug investigations, computer applications, leadership, management, and other specialized areas related to public safety. CJI also provides valuable technical assistance to peace officers across the State in grant application and purchase of bullet resistant vests, model policies and procedures for small agencies and the donation of computer equipment (A.C.A. §25-34-107) to rural agencies. CJI is committed to continuing and strengthening collaborative efforts to provide advanced education and professional development for the Arkansas law enforcement community.

Institutional Milestones

- In 1997, the 81st General Assembly with Act 1035 established CJI as a separate division of the University of Arkansas System, expanding the areas of forensic sciences (crime scene and death investigation) and computer applications for public safety personnel. In addition, Act 1035 authorized the initiatives of the Institute to be applicable nationally through the National Center for Rural Law Enforcement.

UNIVERSITY OF ARKANSAS - CRIMINAL JUSTICE INSTITUTE
Dr. Cheryl P. May, Director

- In 2009, the Arkansas Higher Education Coordinating Board approved a statewide program viability standard for offering law enforcement administration and crime scene investigation certificates and associate degrees through a partnership between CJI and 21 higher education institutions across the State, providing a unique educational opportunity and increased accessibility to Arkansas law enforcement professionals.

Additional Appropriation Request

ADHE's recommendation for CJI will be used to:

1. Identify and protect children abused, neglected and maltreated as a result of the illicit drug use of their parents or caregivers by establishing the CJI School, Campus and Child Safety Initiative. This initiative will train school resource officers, campus police, public safety officers, security officers, school/ campus administrators, faculty and staff and social service, judicial and medical professionals across the State in the identification and rescue of those children and intervention measures to be taken to prevent and respond to violence in schools and on campuses.
2. Increase the Law Enforcement Administration and Crime Scene Investigation certificates and AAS degree completion rate by expanding CJI's online delivery strategies to enhance accessibility especially of rural agencies to CJI programs.
3. Reduce the threat of illicit drug activities in communities across our State and reduce the danger faced by narcotics officers by providing comprehensive methamphetamine and illicit drug investigation training for Arkansas law enforcement personnel.

Personnel Services Request

CJI did not request additional personnel positions.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2013-15 BIENNIUM (Non-Formula Entities)**

CRIMINAL JUSTICE INSTITUTE - UA SYSTEM
NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2011-12 ACTUAL	2012-13 BUDGETED *	2013-15 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS			
			2013-14		2014-15	
			REQUEST	RECOMMENDATION	REQUEST	RECOMMENDATION
1 PUBLIC SERVICE	2,162,954	2,496,795	3,439,068	3,439,068	3,522,109	3,506,183
2						
3						
4						
5						
6						
7						
8						
9						
10						
11						
12						
13 MANDATORY TRANSFERS						
14 AUXILIARY TRANSFERS						
15 NON-MANDATORY TRANSFERS	285,772					
16 TOTAL UNREST. E&G EXP.	\$2,448,726	\$2,496,795	\$3,439,068	\$3,439,068	\$3,522,109	\$3,506,183
17 NET LOCAL INCOME	522,699	521,026	521,026	521,026	521,026	521,026
18 PRIOR YEAR BALANCE***						
STATE FUNDS:						
19 GENERAL REVENUE	1,830,383	1,825,769	2,918,042	2,918,042	3,001,083	2,985,157
20 EDUCATIONAL EXCELLENCE						
21 WORKFORCE 2000						
22 TOBACCO SETTLEMENT FUNDS						
23 OTHER STATE FUNDS **	150,000	150,000				
24 TOTAL SOURCES OF INCOME	\$2,503,082	\$2,496,795	\$3,439,068	\$3,439,068	\$3,522,109	\$3,506,183

FORM 13-2 Nonformula

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in a footnote.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

NOTE: NET LOCAL INCOME - Indirect Costs earned from Federal, State, and private grants

NOTE: OTHER STATE FUNDS - Special State Asset Forfeiture Funds

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UNIVERSITY OF ARKANSAS - ARKANSAS SCHOOL FOR MATHEMATICS, SCIENCES AND THE ARTS

Corey Alderdice, Director

ENABLING LAWS

Act 305 of 1991, Act 1083 of 1999, Act 1305 of 2003 and Act 223 of 2012

INSTITUTION HISTORY AND ORGANIZATION

The Arkansas School for Mathematics and Science (ASMS) was established by Act 305 of 1991 and created a residential school for eleventh and twelfth grade students. The purpose of the School is to educate the gifted and talented math and science students of the state and to develop curriculum and materials to improve instruction in mathematics and science for all students in the State. The School was initially governed by the Arkansas Department of Education with the advice and counsel of a nine-member advisory Board of Directors.

Effective January 1, 2004, the Arkansas School for Mathematics and Science was consolidated and incorporated into the University of Arkansas System. Based on Act 1305 of 2003, oversight authority was transferred to The Board of Trustees of the University of Arkansas, and the name was changed to the Arkansas School for Mathematics, Sciences and the Arts (ASMSA). At that time, the Board of Directors was abolished as a separate institution and converted to the current Board of Visitors. The current appropriation act for the School is Act 223 of 2012.

The mission of the ASMSA is to create, encourage and sustain, throughout the State of Arkansas, an educational community of academically talented students, faculty and staff that pursues knowledge of mathematics, sciences and the arts. As one of only 15 public residential schools in the country, ASMSA specializes in the education of students with an interest in advanced careers in math and science. All classes are taught at the college level and more than one-third of the faculty holds doctorate degrees. The school offers 40 courses that allow students to earn college credit. Many ASMSA graduates begin college at the sophomore level, and some even begin with junior level classes. The living and learning environment at ASMSA provides an experience that extends beyond the classroom and after graduation.

In addition to the outstanding residential academic program, the Office of Distance Education continues to expand their school districts served annual and diversity of course offerings. Created as a result of Act 1083 of 1999, the program has

UNIVERSITY OF ARKANSAS - ARKANSAS SCHOOL FOR MATHEMATICS, SCIENCES AND THE ARTS

Corey Alderdice, Director

grown from serving 228 students during its first year of operation (1998-99) to over 3,345 students in AY 2011-12. This figure included at least 30 distinct courses with students in 92 Arkansas school districts.

MILESTONES/CHANGES

Two significant milestones and changes have recently occurred for the School. Over the summer interim, the school welcomed its new director, Corey Alderdice. He replaces Janet Hugo, who retired in June after six years of service as the School's director. Prior to coming to ASMSA, Alderdice was the assistant director for admissions and public relations at the Gatton Academy of Mathematics and Science, a school similar to ASMSA on the campus of Western Kentucky University in Bowling Green, Ky.

In addition to our new director, the School opened the new Student Life Center and residential facilities for the upcoming academic year. The students have moved into the new \$18 million Student Center that includes residence hall wings for males and females, a dining hall, kitchen and several common areas. The building also houses the offices for the residential life staff, security and the school nurse. Construction continues on a library in the new center and will be open for spring semester in January 2013.

PROGRAMS, AWARDS AND SPECIAL RECOGNITIONS

ASMSA residential STEM program special recognitions:

The 93 members of the Class of 2012 received more than \$10 million in scholarship offers from 85 colleges and universities. Approximately 85 percent of the graduates are continuing their undergraduate studies at Arkansas colleges and universities.

A senior was honored in both the Siemens Competition and Intel Science Talent Search—programs that are considered the premiere showcases for scientific research conducted by high school students. He was the only student in Arkansas recognized in the competition.

UNIVERSITY OF ARKANSAS - ARKANSAS SCHOOL FOR MATHEMATICS, SCIENCES AND THE ARTS

Corey Alderdice, Director

Two seniors qualified for the Intel International Science and Engineering Fair and traveled to Pittsburgh in May to present their findings in the annual, global competition. Another senior published portions of her research project in the Arkansas Council of Teachers of Mathematics newsletter.

The ASMSA robotics team placed first at the Fall 2011 BEST Robotics competition. The students later placed fourth in the regional qualifier, where their design also received the “Most Elegant” award.

A total of 17 ASMSA students participated in global learning experiences during the previous year.

Office of Distance Education special recognitions:

A foreign language instructor received an award for instructional excellence from the Instructional Technology Council.

The program began offering Mandarin Chinese as part of its curriculum for the 2011-12 academic year.

General Revenue Requests

The Arkansas School for Mathematics, Sciences and the Arts is a non-formula entity. The School requested a total increase of \$533,300 in state funding for various program enhancements. The request included an increase in base operations. When the new Student Center opens this fall, the students will be transferred to the new building, but we will have to leave parts of the old residential life building open for faculty/classroom space. Therefore, the School will need additional security staff, janitorial staff and maintenance personnel to service both facilities. Since these personnel are contracted services--and not ASMSA employees--we will need an increase in maintenance and operations to support this increase in contracted personnel. In addition, the School will need funding for the expected increase in utilities as well as other operations. Also included is a request for capital outlay for scientific equipment needed for student and faculty research as well as funding for professional development workshops for teachers throughout the state.

**UNIVERSITY OF ARKANSAS - ARKANSAS SCHOOL FOR MATHEMATICS, SCIENCES AND THE
ARTS
Corey Alderdice, Director**

Personal Services Requests

The School is requesting to create one new position titled ASMSA Principal of Distance Education. Over the past several years, the School has experienced a significant growth in the Distance Education program. As a result, we have converted our current Principal role into a 12-month position. Since the School has a limited number of available positions that we can use to hire new employees, we are requesting a separate title for this position. We are willing to delete one position - ASMSA Teacher--the position that the current Principal holds--in order to gain this new position.

**INSTITUTION APPROPRIATION SUMMARY
2013-15 BIENNIUM**

INSTITUTION ARKANSAS SCHOOL FOR MATHEMATICS, SCIENCES AND THE ARTS

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2011-2012		2012-13		2012-13		2013-14				2014-15			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	7,987,383		7,973,941		7,973,941		8,649,279		8,649,279		8,848,212		8,848,212	
2 CASH	3,278,267		30,000,000		30,000,000		30,000,000		30,000,000		30,000,000		30,000,000	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$11,265,650	115	\$37,973,941	115	\$37,973,941	129	\$38,649,279	129	\$38,649,279	129	\$38,848,212	129	\$38,848,212	129
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
13 GENERAL REVENUE	1,126,454	10%	1,113,015	3%			1,646,405	4%	1,646,405	4%	1,845,338	5%	1,845,338	5%
14 EDUCATIONAL EXCELLENCE TRUST FUND	6,860,929	61%	7,002,874	18%			7,002,874	18%	7,002,874	18%	7,002,874	18%	7,002,874	18%
15 WORKFORCE 2000	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
16 CASH FUNDS	2,962,587	26%	30,000,000	79%			30,000,000	78%	30,000,000	78%	30,000,000	77%	30,000,000	77%
17 SPECIAL REVENUES	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
18 FEDERAL FUNDS	315,680	3%	0	0%			0	0%	0	0%	0	0%	0	0%
19 TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
20 OTHER FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
21 TOTAL INCOME	\$11,265,650	100%	\$38,115,889	100%			\$38,649,279	100%	\$38,649,279	100%	\$38,848,212	100%	\$38,848,212	100%
22 EXCESS (FUNDING)/APPROPRIATION	\$0		-\$141,948				\$0		\$0		\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2012:	\$538,656
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$67,768
INVENTORIES	
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	\$14,755
INSURANCE DEDUCTIBLES	
MAJOR CRITICAL SYSTEMS FAILURES	
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$983,417
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	(\$527,284)

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2013-15 BIENNIUM (Non-Formula Entities)**

ARKANSAS SCHOOL FOR MATHEMATICS, SCIENCES AND THE ARTS

NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2011-12 ACTUAL	2012-13 BUDGETED *	2013-15 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS			
			2013-14		2014-15	
			REQUEST	RECOMMENDATION	REQUEST	RECOMMENDATION
1 INSTRUCTION	3,925,759	3,967,949	4,191,104	4,191,104	4,274,425	4,274,425
2 ACADEMIC SUPPORT	1,091,714	1,126,016	1,190,200	1,190,200	1,214,000	1,214,000
3 STUDENT SERVICES	1,209,625	1,268,495	1,340,800	1,340,800	1,367,615	1,367,615
4 INSTITUTIONAL SUPPORT	947,985	1,146,196	1,211,530	1,211,530	1,235,760	1,235,760
5 OPERATION & MAINT OF PLANT	1,774,150	1,928,288	2,038,200	2,038,200	2,078,965	2,078,965
6						
7						
8						
9						
10						
11						
12						
13 MANDATORY TRANSFERS						
14 AUXILIARY TRANSFERS						
15 NON-MANDATORY TRANSFERS	927,329	177,445	177,445	177,445	177,447	177,447
16 TOTAL UNREST. E&G EXP.	\$9,876,561	\$9,614,389	\$10,149,279	\$10,149,279	\$10,348,212	\$10,348,212
17 NET LOCAL INCOME	1,941,392	1,498,500	1,500,000	1,500,000	1,500,000	1,500,000
18 PRIOR YEAR BALANCE***						
STATE FUNDS:						
19 GENERAL REVENUE	1,126,454	1,113,015	1,646,405	1,646,405	1,845,338	1,845,338
20 EDUCATIONAL EXCELLENCE	6,860,929	7,002,874	7,002,874	7,002,874	7,002,874	7,002,874
21 WORKFORCE 2000						
22 TOBACCO SETTLEMENT FUNDS						
23 OTHER STATE FUNDS **						
24 TOTAL SOURCES OF INCOME	\$9,928,775	\$9,614,389	\$10,149,279	\$10,149,279	\$10,348,212	\$10,348,212

FORM 13-2 Nonformula

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in a footnote.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2013-15 BIENNIUM**

FUND CMS0000

INSTITUTION ARKANSAS SCHOOL FOR MATHEMATICS, SCIENCES AND THE ARTS

APPROPRIATION _____

2FD

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES	4,356,858	4,300,000	4,300,000	4,550,000	4,600,000		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	1,300,000	1,300,000	1,300,000	1,400,000	1,450,000		
5 OPERATING EXPENSES	2,205,130	2,298,941	2,298,941	2,499,279	2,598,212		
6 CONFERENCE FEES & TRAVEL	50,000			100,000	100,000		
7 PROFESSIONAL FEES AND SERVICES							
8 CAPITAL OUTLAY	75,000	75,000	75,000	100,000	100,000		
9 FUNDED DEPRECIATION							
10 WORKER'S COMPENSATION & SURETY BOND	395						
11							
12							
13 TOTAL APPROPRIATION	\$7,987,383	\$7,973,941	\$7,973,941	\$8,649,279	\$8,848,212	\$0	\$0
14 PRIOR YEAR FUND BALANCE**							
15 GENERAL REVENUE	1,126,454	1,113,015		1,646,405	1,845,338		
16 EDUCATIONAL EXCELLENCE TRUST FUND	6,860,929	7,002,874		7,002,874	7,002,874		
17 SPECIAL REVENUES * [WF2000]							
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS							
21 TOTAL INCOME	\$7,987,383	\$8,115,889		\$8,649,279	\$8,848,212	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	\$0	-\$141,948		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS
2013-15 BIENNIUM**

FUND 2000300

INSTITUTION ARKANSAS SCHOOL FOR MATHEMATICS, SCIENCES AND THE ARTS

APPROPRIATION B03

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES	126,184	5,000,000	5,000,000	5,000,000	5,000,000		
2 EXTRA HELP WAGES	9,916	150,000	150,000	150,000	150,000		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	94,728	1,700,000	1,700,000	1,700,000	1,700,000		
5 OPERATING EXPENSES	942,990	4,000,000	4,000,000	3,965,000	3,965,000		
6 CONFERENCE FEES & TRAVEL	41,436	300,000	300,000	300,000	300,000		
7 PROFESSIONAL FEES AND SERVICES	21,837	400,000	400,000	400,000	400,000		
8 CAPITAL OUTLAY	1,884,499	4,000,000	4,000,000	4,000,000	4,000,000		
9 CAPITAL IMPROVEMENTS		4,000,000	4,000,000	4,000,000	4,000,000		
10 DEBT SERVICE	150,000	450,000	450,000	450,000	450,000		
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS							
12 PROMOTIONAL ITEMS	6,677			35,000	35,000		
13 CONSTRUCTION		10,000,000	10,000,000	10,000,000	10,000,000		
14 CONTINGENCY							
15 BUDGET TRANSFERS							
16 TOTAL APPROPRIATION	\$3,278,267	\$30,000,000	\$30,000,000	\$30,000,000	\$30,000,000	\$0	\$0
17 PRIOR YEAR FUND BALANCE***							
18 TUITION AND MANDATORY FEES							
19 ALL OTHER FEES							
20 SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS							
21 INVESTMENT INCOME							
22 FEDERAL CASH FUNDS	315,680						
23 OTHER CASH FUNDS	2,962,587	30,000,000		30,000,000	30,000,000		
24 TOTAL INCOME	\$3,278,267	\$30,000,000		\$30,000,000	\$30,000,000	\$0	\$0
25 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED 2012-13	REQUEST	RECOMMEND	LEGISLATIVE RECOMMENDATION	
				2013-14	2013-14	2013-14	2014-15
REGULAR POSITIONS	115	115	129	129	129		
TOBACCO POSITIONS							
EXTRA HELP **	4	1	10	10	10		

FORM 13-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

ARKANSAS SCHOOL FOR MATHEMATICS, SCIENCES AND THE ARTS
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2011-12:						114
(As of November 1, 2011)						
Nonclassified Administrative Employees:						
White Male:	16	Black Male:	1	Other Male:	0	Total Male: 17
White Female:	27	Black Female:	4	Other Female:	2	Total Female: 33
Nonclassified Health Care Employees:						
White Male:	_____	Black Male:	_____	Other Male:	_____	Total Male: 0
White Female:	_____	Black Female:	_____	Other Female:	_____	Total Female: 0
Classified Employees:						
White Male:	_____	Black Male:	_____	Other Male:	_____	Total Male: 0
White Female:	_____	Black Female:	_____	Other Female:	_____	Total Female: 0
Faculty:						
White Male:	28	Black Male:	0	Other Male:	1	Total Male: 29
White Female:	29	Black Female:	1	Other Female:	5	Total Female: 35
Total White Male:	44	Total Black Male:	1	Total Other Male:	1	Total Male: 46
Total White Female:	56	Total Black Female:	5	Total Other Female:	7	Total Female: 68
Total White:	100	Total Black:	6	Total Other:	8	Total Employees: 114
				Total Minority:	14	

FORM 13-6

**ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
 STATE CONTRACTS OVER \$25,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2012
 Required by A.C.A. 25-36-104**

Institution

ARKANSAS SCHOOL FOR MATHEMATICS, SCIENCES AND THE ARTS

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
N/A							

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$1,323,836

(Total Expenditures equals ALL Contracts Exceeding \$25,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

UNIVERSITY OF ARKANSAS AT FORT SMITH

Dr. Paul B. Beran, Chancellor

ENABLING LAWS

Act 971 of 1997, Act 1796 of 2001 and Act 196 of 2012

INSTITUTION HISTORY AND ORGANIZATION

UAFS was established in 1928 as an extension of the public school system in Fort Smith, Arkansas. Known originally as Fort Smith Junior College, the institution operated within the Fort Smith public school system until 1950, when the school was incorporated as a private, nonprofit institution with its own governing board.

In September 1952, the College moved from borrowed facilities in the high school to its current site.

In 1960, a vocational-technical division was added. The college began developing the programs and character of a comprehensive community college—a new concept in Arkansas and across the nation.

An Arkansas constitutional amendment received voter approval in the fall of 1964 and was followed by an enabling act passed by the Arkansas General Assembly early in 1965. The latter legislation authorized citizens to create community college districts by popular referendum. In a special election held in the fall of 1965, the Sebastian County electorate approved the creation of the Sebastian County Community Junior College District along with a tax levy on the real and personal property in the county. The governor appointed a Board of Trustees, and the school again became a public institution. Subsequent trustees were elected by popular vote of the citizens of Sebastian County, Arkansas.

In 1966, the name was changed from Fort Smith Junior College to Westark Junior College, indicating the larger area to be served. In 1972, the Board of Trustees again changed the name of the institution to Westark Community College, an acknowledgement of a national trend to develop comprehensive two-year colleges as "community" rather than junior, transfer only, institutions.

In 1997, the 81st General Assembly of the Arkansas Legislature passed Act 971. This act officially designated Westark Community College as a "*Unique Community College*" and granted it authority to offer up to nine applied bachelor's degrees developed in response to identified needs in the area and industries served. A further feature of this legislation

UNIVERSITY OF ARKANSAS AT FORT SMITH
Dr. Paul B. Beran, Chancellor

was authority granted *"to experiment with employment models other than tenure to accommodate the development and delivery of curriculum, such as the employment of new faculty on a twelve-month, forty-hour work basis, with rewards for administrative staff and faculty based upon results."*

The name of the college was changed yet again in February 1998 to Westark College, based on the desire of the Board of Trustees to more accurately portray the evolving mission, role and scope of the rapidly growing institution.

On December 15, 2000, the Board of Trustees of Westark College entered into an agreement with the Board of Trustees of the University of Arkansas to merge Westark College with the University of Arkansas System as a four-year institution and become the University of Arkansas - Fort Smith. The merger agreement endorsed the concept of UAFS as a unique university—one that offers applied and traditional baccalaureate degree programs, one-and two-year associate and technical programs, and noncredit business and industry training programs.

In 2001, the Sebastian County electorate voted to support the merger of Westark College with the University of Arkansas System. Based on this vote and the final determination to proceed with the merger, a formal request to change affiliation status to that of a bachelor's degree-granting institution under the name of the University of Arkansas - Fort Smith was submitted to the Higher Learning Commission in August 2001. The Institutional Actions Council voted on November 19, 2001, to extend the status to that of a bachelor's degree-granting institution. A follow-up focused visit in April 2002 validated the change in affiliation status and was confirmed with a revised Statement of Affiliation Status dated August 12, 2002.

The 83rd General Assembly provided a statutory means for a four-year institution to receive local tax support when it passed Act 1796 of 2001. This legislation authorizes counties to impose a sales tax for the benefit of a community college that merges into a four-year institution, thereby opening an avenue for the merger to proceed if approved by district voters.

The matter of continued local financial support through a sales and use tax was presented to the voters of Sebastian County at a special election held on July 17, 2001. On that date, citizens approved the dissolution of the community

UNIVERSITY OF ARKANSAS AT FORT SMITH
Dr. Paul B. Beran, Chancellor

college district, repeal of property tax levies collected for the district, and collection of a one-quarter of one-percent sales tax for the support of UAFS.

On January 1, 2002, the Sebastian County Community Junior College District was dissolved, the property tax collected for the benefit of Westark College was discontinued and replaced by a countywide sales tax, and Westark College, a comprehensive community college, became the University of Arkansas - Fort Smith, a four-year institution. Also on this date, the elected Board of Trustees of Westark College conveyed governance of the merged institution to the Board of Trustees of the University of Arkansas System and then was dissolved to be reappointed as the Board of Visitors, an advisory board, to the University of Arkansas - Fort Smith.

UAFS is currently operating under Act 196 of 2012 (88th General Assembly, State of Arkansas). Act 196 is *“An Act to make an appropriation for personal services and operating expenses for the University of Arkansas - Fort Smith for the fiscal year ending June 30, 2013; and for other purposes.”*

The University of Arkansas - Fort Smith (UAFS) recently celebrated 84 years of service to the citizens of Western Arkansas and the region. Over the years, the name has changed several times, but the changes made were more significant than name alone. UAFS’s history is one of continuous, purposeful change, driven by the learning needs of the area served. The institution has changed from a public two-year junior college (1928-1950) organized within the public school system to private status (1950-1965) and back to that of a public institution (1965 to present). From being a two-year liberal arts institution, it evolved into a nationally recognized comprehensive community college. Now in its 11th year of transition and transformation to a distinctive, learning-centered, "hybrid" baccalaureate institution, UAFS is in many ways a new school—an 84-year-old institution in its infancy.

INSTITUTIONAL VISION STATEMENT, MISSION STATEMENT, AND STRATEGIC PLAN

UAFS has consistently defined its roles as a leader in higher education and a driving force in the regional economy. Following is an outline of actions designed to reinforce and expand the goals UAFS has already put into practice:

UNIVERSITY OF ARKANSAS AT FORT SMITH
Dr. Paul B. Beran, Chancellor

VISION

UAFS will be a premier regional university, connecting education with careers.

MISSION

UAFS prepares students to succeed in an ever-changing global world while advancing economic development and quality of place.

STRATEGIC PLAN

- Develop as a center for intellectual, artistic, social, and cultural advancement;
- Maximize citizenship and real-world work application experiences;
- Solidify and expand UAFS's position as a primary contributor to economic development;
- Lead in the innovative use of technology;
- Promote global learning initiatives; and
- Continue to seek and steward resources.

Additionally, strategic plan initiatives have been introduced which further focus our efforts in technology, student retention, and resource stewardship. By following the framework put forth in the strategic plan, UAFS will solidify its presence as an active partner in global relations and the engine of regional commerce, while producing work-ready graduates.

ACCREDITATION

The University of Arkansas - Fort Smith is accredited by the Higher Learning Commission of the North Central Association of Colleges and Schools. NCA has made the following accreditation visits and focus visits:

- 1970 -- Granted candidacy status by the North Central Association of Colleges and Schools
- 1973 -- First accreditation visit—received initial 5-year accreditation
- 1978 -- Second accreditation visit—received 7-year accreditation
- 1985 -- Third accreditation visit—won a full 10-year accreditation

UNIVERSITY OF ARKANSAS AT FORT SMITH
Dr. Paul B. Beran, Chancellor

- 1995 -- Fourth accreditation visit—won a full 10-year accreditation
- 1999 -- Focused Visit--Manufacturing Technology Program (bachelor's degree program)
- 2002 -- Focused Visit—Accreditation visit for WC/UAFS conversion; requested approval of institutional change from a two-year degree-granting institution (Westark College) to a bachelor's degree granting institution (University of Arkansas - Fort Smith)
- 2005 -- Fifth Accreditation visit—won a full 10-year accreditation

UAFS is also approved by the United States Department of Education, the United States Department of Health and Human Services, and the Arkansas State Approving Agency for veterans' training.

Program accreditations:

- Programs offered by the College of Applied Science and Technology are accredited by the Association of Technology, Management and Applied Engineering (ATMAE). The automotive program is certified by the National Automotive Technicians Education Foundation (NATEF). The legal assistance/paralegal program is approved by the American Bar Association (ABA).
- Programs offered by the College of Education are accredited by the National Council for the Accreditation of Teacher Education (NCATE).
- Nursing programs offered by the College of Health Sciences are approved by the Arkansas State Board of Nursing. The traditional BSN program, the RN-BSN online completion program, and the associate degree nursing program are accredited by the National League for Nursing Accrediting Commission (NLNAC). The surgical technology program is accredited by the Accreditation Review Council on Education in Surgical Technology and Surgical Assisting through the Commission on Accreditation of Allied Health Education Programs (CAAHEP). The dental hygiene program is accredited by the American Dental Association's Commission on Dental Accreditation (CODA). The radiography program is accredited by the Joint Review Committee on Education in Radiologic Technology (JRCERT) and the diagnostic medical sonography program is accredited by the Joint Review Committee of Diagnostic Medical Sonography (JRCEDMS) through the Commission on Accreditation of Allied Health Education Programs (CAAHEP).

UNIVERSITY OF ARKANSAS AT FORT SMITH
Dr. Paul B. Beran, Chancellor

- Within the College of Humanities and Social Sciences, the music department is an accredited member of the National Association of Schools of Music (NASM).

ADDITIONAL APPROPRIATIONS AND NEW POSITIONS

The University of Arkansas – Fort Smith is a formula driven entity and as such will not make a request for general revenue. In accordance with Act 1203 of 2011, which modified Arkansas Annotated Code 6-61-224, UAFS will be funded with an outcome-centered component of the formula in addition to the needs-based formula that has been used in previous years.

The University of Arkansas – Fort Smith requests no additional positions.

**INSTITUTION APPROPRIATION SUMMARY
2013-15 BIENNIUM**

INSTITUTION UNIVERSITY OF ARKANSAS - FORT SMITH

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2011-2012		2012-13		2012-13		2013-14				2014-15			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	23,399,461		23,339,515		24,708,893		24,677,798		24,677,798		25,245,388		25,245,388	
2 CASH	59,417,530		221,901,642		221,901,642		221,901,642		221,901,642		221,901,642		221,901,642	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$82,816,991	911	\$245,241,157	918	\$246,610,535	1,094	\$246,579,440	1,120	\$246,579,440	1,119	\$247,147,030	1,120	\$247,147,030	1,119
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*	7	0%	0	0%			0	0%	0	0%	0	0%	0	0%
13 GENERAL REVENUE	20,339,616	25%	20,245,166	8%			21,673,088	9%	21,673,088	9%	22,240,678	9%	22,240,678	9%
14 EDUCATIONAL EXCELLENCE TRUST FUND	2,943,804	4%	3,004,710	1%			3,004,710	1%	3,004,710	1%	3,004,710	1%	3,004,710	1%
15 WORKFORCE 2000	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
16 CASH FUNDS	37,614,642	45%	200,101,642	82%			200,101,642	81%	200,101,642	81%	200,101,642	81%	200,101,642	81%
17 SPECIAL REVENUES	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
18 FEDERAL FUNDS	21,802,888	26%	21,800,000	9%			21,800,000	9%	21,800,000	9%	21,800,000	9%	21,800,000	9%
19 TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
20 OTHER FUNDS	116,034	0%	89,639	0%			0	0%	0	0%	0	0%	0	0%
21 TOTAL INCOME	\$82,816,991	100%	\$245,241,157	100%			\$246,579,440	100%	\$246,579,440	100%	\$247,147,030	100%	\$247,147,030	100%
22 EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0		\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2012:	\$2,579,046
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$2,007,283
INVENTORIES	\$67,549
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	\$817,576
INSURANCE DEDUCTIBLES	\$100,000
MAJOR CRITICAL SYSTEMS FAILURES	\$750,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$7,325,662
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENEREAL FUND BALANCE	(\$8,489,024)

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2013-15 BIENNIUM**

FUND CWW0000

INSTITUTION UNIVERSITY OF ARKANSAS - FORT SMITH

APPROPRIATION 568

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES	17,508,581	17,411,114	18,620,000	18,620,000	18,806,200		
2 EXTRA HELP WAGES	650,000	510,941	671,433	647,798	671,433		
3 PERSONAL SERVICES MATCHING	3,336,840	3,400,000	3,400,000	3,400,000	3,405,000		
4 OPERATING EXPENSES	1,886,580	2,000,000	2,000,000	2,000,000	2,342,755		
5 CONFERENCE FEES & TRAVEL							
6 PROFESSIONAL FEES AND SERVICES							
7 CAPITAL OUTLAY							
8 FUNDED DEPRECIATION	17,460	17,460	17,460	10,000	20,000		
9							
10							
11							
12 TOTAL APPROPRIATION	\$23,399,461	\$23,339,515	\$24,708,893	\$24,677,798	\$25,245,388	\$0	\$0
13 PRIOR YEAR FUND BALANCE**	7	0					
14 GENERAL REVENUE	20,339,616	20,245,166		21,673,088	22,240,678		
15 EDUCATIONAL EXCELLENCE TRUST FUND	2,943,804	3,004,710		3,004,710	3,004,710		
16 SPECIAL REVENUES * [WF2000]							
17 FEDERAL FUNDS IN STATE TREASURY							
18 TOBACCO SETTLEMENT FUNDS							
19 OTHER STATE TREASURY FUNDS	116,034	89,639					
20 TOTAL INCOME	\$23,399,461	\$23,339,515		\$24,677,798	\$25,245,388	\$0	\$0
21 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 16 - "Special Revenues".

FORM 13-3

**Line 13 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

OTHER STATE TREASURY FUNDS:

Tuition Adjustment Funds	126,525	
Workman's Comp/Surety Prem	(10,491)	
One time state appropriation		89,639
	<u>116,034</u>	<u>89,639</u>

**APPROPRIATION ACT FORM - CASH FUNDS
2013-15 BIENNIUM**

FUND 2160000

INSTITUTION UNIVERSITY OF ARKANSAS - FORT SMITH

APPROPRIATION B12

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES	16,639,556	42,575,000	42,575,000	42,575,000	42,575,000		
2 EXTRA HELP WAGES	1,067,738	4,291,326	4,291,326	4,291,326	4,291,326		
3 OVERTIME	115,530	1,163,518	1,163,518	1,163,518	1,163,518		
4 PERSONAL SERVICES MATCHING	6,827,809	14,453,679	14,453,679	14,453,679	14,453,679		
5 OPERATING EXPENSES	14,329,652	31,752,034	31,752,034	31,752,034	31,752,034		
6 CONFERENCE FEES & TRAVEL	566,805	1,500,000	1,500,000	1,500,000	1,500,000		
7 PROFESSIONAL FEES AND SERVICES	567,642	4,350,000	4,350,000	4,450,000	4,450,000		
8 CAPITAL OUTLAY	842,402	32,958,940	32,958,940	32,958,940	32,958,940		
9 CAPITAL IMPROVEMENTS	10,755,434	48,000,000	48,000,000	50,000,000	50,000,000		
10 DEBT SERVICE		9,773,118	9,773,118	10,773,118	10,773,118		
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS	7,585,878	30,784,027	30,784,027	27,559,027	27,559,027		
12 PROMOTIONAL ITEMS	119,084	300,000	300,000	425,000	425,000		
13							
14							
15							
16 TOTAL APPROPRIATION	\$59,417,530	\$221,901,642	\$221,901,642	\$221,901,642	\$221,901,642	\$0	\$0
17 PRIOR YEAR FUND BALANCE***							
18 TUITION AND MANDATORY FEES	35,559,331	32,183,143		32,183,143	32,183,143		
19 ALL OTHER FEES							
20 SALES AND SERVICES RELATED TO	324,930	325,000		325,000	325,000		
21 INVESTMENT INCOME	23,495	24,000		25,000	25,000		
22 FEDERAL CASH FUNDS	21,802,888	21,800,000		21,800,000	21,800,000		
23 OTHER CASH FUNDS	1,706,886	167,569,499		167,568,499	167,568,499		
24 TOTAL INCOME	\$59,417,530	\$221,901,642		\$221,901,642	\$221,901,642	\$0	\$0
25 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED 2012-13	REQUEST		LEGISLATIVE RECOMMENDATION	
				2013-14	RECOMMEND 2013-14	2013-14	2014-15
REGULAR POSITIONS	911	918	1,094	1,120	1,119		
TOBACCO POSITIONS							
EXTRA HELP **	356	380	910	910	910		

FORM 13-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

UNIVERSITY OF ARKANSAS - FORT SMITH
(NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2011-12				BUDGETED 2012-13			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *	2,826,112	3,124,143		(298,031)	2,989,585	3,623,729		(634,144)
2 HOUSING	3,993,363	1,659,216	2,947,945	(613,798)	4,237,746	1,671,073	2,757,125	(190,452)
3 FOOD SERVICES	1,022,953	1,004,680		18,273	1,032,855	1,111,000		(78,145)
4 STUDENT UNION		43,349		(43,349)				0
5 BOOKSTORE	501,273	0		501,273	475,000	5,000		470,000
6 STUDENT ORGANIZATIONS AND PUBLICATIONS	2,485,462	906,753		1,578,709	2,494,007	877,536		1,616,471
7 OTHER	252,915	541,857		(288,942)	233,000	562,253		(329,253)
8 SUBTOTAL	11,082,078	7,279,998	2,947,945	854,135	11,462,193	7,850,591	2,757,125	854,477
9 ATHLETIC TRANSFER **				0				0
10 OTHER TRANSFERS ***				(206,421)				(854,477)
11 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	11,082,078	7,279,998	2,947,945	647,714	11,462,193	7,850,591	2,757,125	0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 13-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 7 Other - Transfers include Season of Entertainment, Special Ticket Sales, Bad Debt (recovery/write-off), Interest Earned and ATM

NOTE: Line 10 Other Transfer - Transfer includes E&G (student activities) and Plant

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

UNIVERSITY OF ARKANSAS - FORT SMITH
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2011-12:					566
(As of November 1, 2011)					
Nonclassified Administrative Employees:					
White Male:	19	Black Male:	1	Other Male:	1
White Female:	8	Black Female:	2	Other Female:	
Total		Total		Total	21
				Total	Female: 10
Nonclassified Health Care Employees:					
White Male:		Black Male:		Other Male:	
White Female:		Black Female:		Other Female:	
Total		Total		Total	0
				Total	Female: 0
Classified Employees:					
White Male:	90	Black Male:	6	Other Male:	12
White Female:	170	Black Female:	6	Other Female:	25
Total		Total		Total	108
				Total	Female: 201
Faculty:					
White Male:	98	Black Male:	2	Other Male:	17
White Female:	88	Black Female:	5	Other Female:	16
Total		Total		Total	117
				Total	Female: 109
Total White Male:	207	Total Black Male:	9	Total Other Male:	30
Total White Female:	266	Total Black Female:	13	Total Other Female:	41
Total		Total		Total	246
				Total	Female: 320
Total White:	473	Total Black:	22	Total Other:	71
				Total Minority:	93
				Total	Employees: 566

FORM 13-6

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$25,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2012
 Required by A.C.A. 25-36-104

Institution

UNIVERSITY OF ARKANSAS - FORT SMITH

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
N/A							

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$3,811,758
 (Total Expenditures equals ALL Contracts Exceeding \$25,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

**DIVISION OF LEGISLATIVE AUDIT
AUDIT OF UNIVERSITY OF ARKANSAS AT FORT SMITH
June 30, 2011**

<i>Finding:</i>	No Findings noted
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UNIVERSITY OF ARKANSAS AT LITTLE ROCK
Dr. Joel Anderson, Chancellor

ENABLING LAWS

Act 35 of 1969, A.C.A. §6-64-301 and Act 195 of 2012

INSTITUTION HISTORY AND ORGANIZATION

By Act 35 of 1969 of the Arkansas General Assembly, the University of Arkansas Board of Trustees was legally authorized to establish the campus to be known as the University of Arkansas at Little Rock (UALR). This enabling legislation is now codified as section 6-64-301 of the Arkansas Code. The current appropriation act for the university is Act 195 of 2012. UALR is governed by all other applicable state law and regulations regarding state-funded universities. By law, UALR is governed by the University of Arkansas Board of Trustees and operates with the advice and counsel of the University of Arkansas at Little Rock Board of Visitors. UALR is accredited by the North Central Higher Learning Commission.

The mission of the University of Arkansas at Little Rock is to develop the intellect of students; to discover and disseminate knowledge; to serve and strengthen society by enhancing awareness in scientific, technical, and cultural arenas; and to promote humane sensitivities and understanding of interdependence. Within this broad mission are the responsibilities to use quality instruction to instill in students a lifelong desire to learn; to use knowledge in ways that will contribute to society; and to apply the resources and research skills of the University community to the service of the city, the state, the nation, and the world in ways that will benefit humanity. (Statement adopted by the UALR Faculty Senate, 1988)

As described in the institution's official role and scope statements, the University of Arkansas at Little Rock (UALR) has the responsibility for serving:

UNIVERSITY OF ARKANSAS AT LITTLE ROCK

Dr. Joel Anderson, Chancellor

- Residents of Arkansas and the Little Rock metropolitan area who have completed a high school education and are seeking either a college degree or continuing professional education. As a metropolitan university, the institution serves adult, part-time students in particular.
- Employers across the state, particularly in the region, both public and private, seeking well-educated employees, technical assistance and applied research.
- Economic development interests and entrepreneurs in the region and across the state.
- The research community.
- The community and area by providing a broad range of academic and cultural activities and public events.
- Area K-12 schools seeking college general education courses for advanced students.
- Two-year college transfer students.

Milestones

In the past two years, UALR has experienced several milestones including the opening of the Center for Integrative Nanotechnology Sciences, the Student Services Center and the residential facilities of the University Village apartment complex and the West Hall, opening up more than 700 additional beds on campus. Also, the Coleman Recreational and Sports (soccer, track and intramural sports) was opened on land donated by the Coleman Family. The university also dedicated the Trail of Tears Park and marker this year.

UALR's research profile has increased significantly within the past three to five years. In areas such as nanotechnology, information quality, energy and engineering, focus on the state's economic development needs is being applied through research projects in UALR laboratories. The new Office of Innovation and Commercialization is providing attention and direction to moving the research from the labs to the marketplace to create higher paying jobs in the state and assistance to existing Arkansas companies.

UNIVERSITY OF ARKANSAS AT LITTLE ROCK
Dr. Joel Anderson, Chancellor

Position Request

The Arkansas Department of Higher Education Coordinating Board has recommended 71 additional non-classified positions for UALR for the 2013-15 biennium. The Arkansas Office of Personnel Management has not provided the recommendations for classified positions as of this writing. For the 2012-13 fiscal year, UALR is authorized for 2,192 positions. In 2000-01, over ten years earlier, the university was authorized for 2,267 positions. UALR is operating today with three percent fewer positions than it was ten years ago, while enrollment has grown over that period by 20 percent. Of the 71 additional non-classified positions recommended, 12 of those are authorized to provide for central pool positions that were approved by the legislature for the university in the 2011-12 fiscal year, all of which are currently filled. Therefore, for the 2013-15 biennium, UALR is being recommended 59 new non-classified positions to accommodate any expansion or growth that may be experienced.

Areas in which the university anticipates growth or change due to current plans, and for which positions were requested, include ***student success*** (including positions to ensure retention and graduation) and ***online education, technology services*** (including instructional and technical staff for academic programs), positions to enhance the university's ***emerging research portfolio, facilities management*** positions to handle the new physical space opened on the campus particularly to accommodate the growing residential population at UALR, and ***faculty positions*** to meet the demands in high-growth areas such as nursing.

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**INSTITUTION APPROPRIATION SUMMARY
2013-15 BIENNIUM**

INSTITUTION UNIVERSITY OF ARKANSAS AT LITTLE ROCK

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2011-2012		2012-13		2012-13		2013-14				2014-15			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	66,712,408		65,049,436		72,223,194		70,558,247		70,558,247		72,181,086		72,181,086	
2 CASH	124,096,673		371,200,000		371,200,000		371,200,000		371,200,000		371,200,000		371,200,000	
3 STATE TREASURY - WILLIAM H. BOWEN SCHOOL OF LAW	487,862		800,000		800,000		800,000		800,000		800,000		800,000	
4 STATE TREASURY - RAPS/NANOTECHNOLOGY	281,341		300,000		300,000		300,000		300,000		300,000		300,000	
5														
6														
7														
8														
9														
10														
11 TOTAL	\$191,578,284	2,040	\$437,349,436	2,040	\$444,523,194	2,210	\$442,858,247	2,335	\$442,858,247	2,295	\$444,481,086	2,335	\$444,481,086	2,295
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*	991,982	1%	0	0%			0	0%	0	0%	0	0%	0	0%
13 GENERAL REVENUE	60,433,991	32%	59,841,915	14%			65,350,726	15%	65,350,726	15%	66,973,565	15%	66,973,565	15%
14 EDUCATIONAL EXCELLENCE TRUST FUND	5,101,964	3%	5,207,521	1%			5,207,521	1%	5,207,521	1%	5,207,521	1%	5,207,521	1%
15 WORKFORCE 2000	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
16 CASH FUNDS	122,033,214	64%	371,200,000	85%			371,200,000	84%	371,200,000	84%	371,200,000	84%	371,200,000	84%
17 SPECIAL REVENUES	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
18 FEDERAL FUNDS	2,063,459	1%	0	0%			0	0%	0	0%	0	0%	0	0%
19 TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
20 OTHER FUNDS	953,674	0%	1,100,000	0%			1,100,000	0%	1,100,000	0%	1,100,000	0%	1,100,000	0%
21 TOTAL INCOME	\$191,578,284	100%	\$437,349,436	100%			\$442,858,247	100%	\$442,858,247	100%	\$444,481,086	100%	\$444,481,086	100%
22 EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0		\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2012:	\$12,518,980
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$6,092,040
INVENTORIES	\$68,680
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	\$782,516
INSURANCE DEDUCTIBLES	\$50,000
MAJOR CRITICAL SYSTEMS FAILURES	
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$19,067,502
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	(\$13,541,758)

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2013-15 BIENNIUM (Non-Formula Entities)**

UNIVERSITY OF ARKANSAS AT LITTLE ROCK - RAPS/NANOTECHNOLOGY

NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2011-12 ACTUAL	2012-13 BUDGETED *	2013-15 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS			
			2013-14		2014-15	
			REQUEST	RECOMMENDATION	REQUEST	RECOMMENDATION
1 RESEARCH	857,307	1,049,497	1,294,222	1,026,077	1,333,289	1,045,280
2 PUBLIC SERVICE	2,809,950	2,731,459	2,915,034	2,670,506	3,002,714	2,720,484
3 NANOTECHNOLOGY/RESEARCH	1,102,976	2,000,000	2,121,800	1,920,000	2,185,454	1,980,000
4						
5						
6						
7						
8						
9						
10						
11						
12						
13 MANDATORY TRANSFERS						
14 AUXILIARY TRANSFERS						
15 NON-MANDATORY TRANSFERS						
16 TOTAL UNREST. E&G EXP.	\$4,770,233	\$5,780,956	\$6,331,056	\$5,616,583	\$6,521,457	\$5,745,764
17 NET LOCAL INCOME	730,226	192,040	192,040		192,040	
18 PRIOR YEAR BALANCE***						
STATE FUNDS:						
19 GENERAL REVENUE	3,644,062	3,588,916	6,139,016	5,616,583	6,329,417	5,745,764
20 EDUCATIONAL EXCELLENCE						
21 WORKFORCE 2000						
22 TOBACCO SETTLEMENT FUNDS						
23 OTHER STATE FUNDS **	803,341	2,000,000				
24 TOTAL SOURCES OF INCOME	\$5,177,629	\$5,780,956	\$6,331,056	\$5,616,583	\$6,521,457	\$5,745,764

FORM 13-2 Nonformula

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in a footnote.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

NOTE: Line 23 Other State Funds - \$281,341 transfer of Check Cashers Settlement; \$500,000 GIF KBPD94R Nanotechnology; \$22,000 GIF KBP192K Nanotechnology Ctr

Received \$1.7m in restricted funds that will expire to support Nanotechnology.

**APPROPRIATION ACT FORM - STATE TREASURY
2013-15 BIENNIUM**

FUND CEA0000

INSTITUTION UNIVERSITY OF ARKANSAS AT LITTLE ROCK

APPROPRIATION 297

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES	57,742,027	56,247,249	60,546,967	59,151,190	60,511,667		
2 PERSONAL SERVICES MATCHING	8,970,381	8,802,187	11,676,227	11,407,057	11,669,419		
3 OPERATING EXPENSES							
4 CONFERENCE FEES & TRAVEL							
5 PROFESSIONAL FEES AND SERVICES							
6 CAPITAL OUTLAY							
7							
8							
9							
10							
11 TOTAL APPROPRIATION	\$66,712,408	\$65,049,436	\$72,223,194	\$70,558,247	\$72,181,086	\$0	\$0
12 PRIOR YEAR FUND BALANCE**	989,456						
13 GENERAL REVENUE	60,433,991	59,841,915		65,350,726	66,973,565		
14 EDUCATIONAL EXCELLENCE TRUST FUND	5,101,964	5,207,521		5,207,521	5,207,521		
15 SPECIAL REVENUES * [WF2000]							
16 FEDERAL FUNDS IN STATE TREASURY							
17 TOBACCO SETTLEMENT FUNDS							
18 OTHER STATE TREASURY FUNDS	186,997						
19 TOTAL INCOME	\$66,712,408	\$65,049,436		\$70,558,247	\$72,181,086	\$0	\$0
20 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 15 - "Special Revenues".

**Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

NOTE: OTHER STATE TREASURY FUNDS - \$200,000 Strive Funding; (\$4,885) Surety Prem. Tr. Out; (\$8,118.01) WC Ad. Cost Tr. Out

**APPROPRIATION ACT FORM - STATE TREASURY
2013-15 BIENNIUM**

FUND CEA0000

INSTITUTION UNIVERSITY OF ARKANSAS AT LITTLE ROCK
NANOTECH CENTER (Act 152 of 2012)

APPROPRIATION 86P

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES	184,779	300,000	300,000	300,000	300,000		
2 PERSONAL SERVICES MATCHING	96,562						
3							
4							
5							
6							
7							
8							
9							
10							
11 TOTAL APPROPRIATION	\$281,341	\$300,000	\$300,000	\$300,000	\$300,000	\$0	\$0
12 PRIOR YEAR FUND BALANCE**							
13 GENERAL REVENUE							
14 EDUCATIONAL EXCELLENCE TRUST FUND							
15 SPECIAL REVENUES * [WF2000]							
16 FEDERAL FUNDS IN STATE TREASURY							
17 TOBACCO SETTLEMENT FUNDS							
18 OTHER STATE TREASURY FUNDS	281,341	300,000		300,000	300,000		
19 TOTAL INCOME	\$281,341	\$300,000		\$300,000	\$300,000	\$0	\$0
20 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 15 - "Special Revenues".

**Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

NOTE: OTHER STATE TREASURY FUNDS - \$281,341 transfer of Check Cashers Settlement

FORM 13-3

**APPROPRIATION ACT FORM - STATE TREASURY
2013-15 BIENNIUM**

FUND CEA0100

INSTITUTION UNIVERSITY OF ARKANSAS AT LITTLE ROCK

APPROPRIATION 297

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 WILLIAM H. BOWEN SCHOOL OF LAW	487,862	800,000	800,000	800,000	800,000		
2							
3							
4							
5							
6							
7							
8							
9							
10							
11							
12							
13 TOTAL APPROPRIATION	\$487,862	\$800,000	\$800,000	\$800,000	\$800,000	\$0	\$0
14 PRIOR YEAR FUND BALANCE**	2,526						
15 GENERAL REVENUE							
16 EDUCATIONAL EXCELLENCE TRUST FUND							
17 SPECIAL REVENUES * [WF2000]							
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS	485,336	800,000		800,000	800,000		
21 TOTAL INCOME	\$487,862	\$800,000		\$800,000	\$800,000	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

NOTE: OTHER STATE TREASURY FUNDS - \$501,899.07 Unif Court File Fees; (\$15,057.36) Special Rev Fees; (\$1505.71) Additional Sr Fees

**APPROPRIATION ACT FORM - CASH FUNDS
2013-15 BIENNIUM**

FUND 2010000

INSTITUTION UNIVERSITY OF ARKANSAS AT LITTLE ROCK

APPROPRIATION A68

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES	33,689,492	72,828,000	72,828,000	72,828,000	72,828,000		
2 EXTRA HELP WAGES	3,007,932	12,000,000	12,000,000	12,000,000	12,000,000		
3 OVERTIME		1,000,000	1,000,000	1,000,000	1,000,000		
4 PERSONAL SERVICES MATCHING	13,116,443	20,808,000	20,808,000	20,808,000	20,808,000		
5 OPERATING EXPENSES	25,136,477	40,800,000	40,900,000	40,800,000	40,800,000		
6 CONFERENCE FEES & TRAVEL	2,995,653	5,000,000	5,000,000	6,000,000	6,000,000		
7 PROFESSIONAL FEES AND SERVICES	4,067,385	10,000,000	10,000,000	10,000,000	10,000,000		
8 CAPITAL OUTLAY	33,246,209	35,000,000	35,000,000	35,000,000	35,000,000		
9 CAPITAL IMPROVEMENTS	790,645	123,264,000	123,264,000	123,264,000	123,264,000		
10 DEBT SERVICE	7,916,278	10,000,000	10,000,000	15,000,000	15,000,000		
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS	130,159	40,000,000	40,000,000	34,000,000	34,000,000		
12 PROMOTIONAL ITEMS		500,000	400,000	500,000	500,000		
13							
14							
15							
16 TOTAL APPROPRIATION	\$124,096,673	\$371,200,000	\$371,200,000	\$371,200,000	\$371,200,000	\$0	\$0
17 PRIOR YEAR FUND BALANCE***							
18 TUITION AND MANDATORY FEES	73,272,098	72,315,965		72,315,965	72,315,965		
19 ALL OTHER FEES		3,565,238		3,565,238	3,565,238		
20 SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	2,463,172	1,647,035		1,647,035	1,647,035		
21 INVESTMENT INCOME	1,192,495	200,000		200,000	200,000		
22 FEDERAL CASH FUNDS	2,063,459						
23 OTHER CASH FUNDS	45,105,449	293,471,762		293,471,762	293,471,762		
24 TOTAL INCOME	\$124,096,673	\$371,200,000		\$371,200,000	\$371,200,000	\$0	\$0
25 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED 2012-13	REQUEST	RECOMMEND	LEGISLATIVE RECOMMENDATION	
				2013-14	2013-14	2013-14	2014-15
REGULAR POSITIONS	2,040	2,040	2,210	2,335	2,295		
TOBACCO POSITIONS							
EXTRA HELP **	453	420	1,300	1,300	1,300		

FORM 13-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

UNIVERSITY OF ARKANSAS AT LITTLE ROCK
(NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2011-12				BUDGETED 2012-13			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *	5,960,569	7,003,160	76,034	(1,118,625)	6,169,627	7,158,450		(988,823)
2 HOUSING	4,153,207	1,645,978	2,953,103	(445,874)	7,251,756	2,701,103	3,943,120	607,533
3 FOOD SERVICES****	1,873,858	1,884,280		(10,422)				0
4 STUDENT UNION	1,920,108	1,889,399	464,071	(433,362)	1,328,375	1,816,866	463,890	(952,381)
5 BOOKSTORE				0				0
6 STUDENT ORGANIZATIONS AND PUBLICATIONS	710,050	728,696		(18,646)	702,432	702,432		
7 OTHER	1,349,619	1,243,572	17,744	88,303	2,111,509	2,414,326		(302,817)
8 SUBTOTAL	15,967,411	14,395,085	3,510,952	(1,938,626)	17,563,699	14,793,177	4,407,010	(1,636,488)
9 ATHLETIC TRANSFER **	1,118,625			1,118,625				988,823
10 OTHER TRANSFERS ***	820,001			820,001				647,665
11 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	17,906,037	14,395,085	3,510,952	0	17,563,699	14,793,177	4,407,010	0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 13-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

****Food service for current year will be amended after actual student meal plan numbers are available.

NOTE: Line Item 7 OTHER - consists of Duplicating Center & School of Law Auxiliary Services

NOTE: Line Item 10 Other Transfers - the Net result of transfers from E&G, to support the college union, and other auxiliary functions, and transfers to and from plant funds.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

UNIVERSITY OF ARKANSAS AT LITTLE ROCK
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2011-12:					<u>1,870</u>	
(As of November 1, 2011)						
Nonclassified Administrative Employees:						
White Male:	<u>203</u>	Black Male:	<u>28</u>	Other Male:	<u>34</u>	Total Male: <u>265</u>
White Female:	<u>253</u>	Black Female:	<u>82</u>	Other Female:	<u>19</u>	Total Female: <u>354</u>
Nonclassified Health Care Employees:						
White Male:	<u>0</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>0</u>
White Female:	<u>0</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>103</u>	Black Male:	<u>60</u>	Other Male:	<u>6</u>	Total Male: <u>169</u>
White Female:	<u>100</u>	Black Female:	<u>114</u>	Other Female:	<u>21</u>	Total Female: <u>235</u>
Faculty:						
White Male:	<u>332</u>	Black Male:	<u>24</u>	Other Male:	<u>77</u>	Total Male: <u>433</u>
White Female:	<u>328</u>	Black Female:	<u>37</u>	Other Female:	<u>49</u>	Total Female: <u>414</u>
Total White Male:	<u>638</u>	Total Black Male:	<u>112</u>	Total Other Male:	<u>117</u>	Total Male: <u>867</u>
Total White Female:	<u>681</u>	Total Black Female:	<u>233</u>	Total Other Female:	<u>89</u>	Total Female: <u>1,003</u>
Total White:	<u>1,319</u>	Total Black:	<u>345</u>	Total Other:	<u>206</u>	Total Employees: <u>1,870</u>
				Total Minority:	<u>551</u>	

FORM 13-6

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$25,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2012
 Required by A.C.A. 25-36-104

Institution

UNIVERSITY OF ARKANSAS AT LITTLE ROCK

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
NCS, Inc.	\$60,027	X					

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 1

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$32,556,785
 (Total Expenditures equals ALL Contracts Exceeding \$25,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF UNIVERSITY OF ARKANSAS AT LITTLE ROCK
June 30, 2011

<p><i>Finding No. 1:</i></p>	<p>After notification from the Office of State Procurement of apparent improper P-Card transactions by one of the University's employees, the System's Internal Audit Department (IAD) conducted an audit of all P-Card transactions incurred by that employee for the period December 15, 2009 through January 15, 2011. The IAD's report is summarized below.</p> <p>The employee used the University's P-Card for personal purchases totaling \$646. Prior to the P-Card log being submitted to the Purchasing Department, the employee reimbursed the University for personal items.</p> <p>To determine if other P-Card transactions complied with the University's P-Card Policy, IAD reviewed all P-Card transactions for the period July 1, 2009 through January, 31, 2011 and noted additional exceptions. The majority of the additional questioned P-Card transactions related to the University's Children International Department, some of which are described below:</p> <ul style="list-style-type: none"> • 26 transactions totaling \$2,339 for items that appeared personal in nature. • 31 purchases totaling \$9,977 that were not supported by a detailed receipt. • 19 transactions totaling \$1,707 for gratuities which exceeded 15%, the maximum allowed by state law. <p>7 transactions totaling \$1,384 that included gift cards totaling \$1,295</p>
<p><i>Institution's Response</i></p>	<p>The university concluded that the personal purchases made by an employee were a result of the employee inadvertently using the university issued P-Card instead of her own personal credit card. The employee had reported this error to her supervisor prior to the state procurement office questioning the purchases and has reimbursed the university for the personal purchases. The university has cancelled this employee's P-Card and she no longer has access to any university credit card.</p> <p>The Children International Program is a unique grant funded activity. This grant is focused on assisting underprivileged children with educational endeavors as well as to improve their quality of life. Addressing this mission often requires presenting "gift cards" for food and clothing items and hosting many small activities for the children throughout the city. Due to how this fund operates, the university has classified it as "exempt" in our accounting system to allow transactions and expenditures not allowed on other funds. Officials of the Children International Foundation reviewed all the expenditures questioned in the audit and provided written documentation that all of the expenditures were in accordance with the intentions of the Foundation and that they would not be requesting that any funds be returned. The university and the Foundation staff agreed to continue to seek areas of improvement in administration of the program while serving the needs of the children in the community.</p> <p>Of the items noted in the audit, all related to the Children International Program with the exception of 2 of the 19 transactions with gratuities that exceeded 15% and 3 of the 31 items noted as not being supported with a detailed receipt. The two gratuities exceeding 15% totaled \$11.86 above the maximum allowed on meals totaling \$220.95. Staff responsible for reviewing P-Card purchases have been instructed to ensure gratuities do not exceed 15%.</p>

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF UNIVERSITY OF ARKANSAS AT LITTLE ROCK
June 30, 2011

	<p>Of the three items not supported by a detailed receipt, one was for \$29.09 for a meal with a prospective employee for the athletic department. A credit card receipt was provided, but did not detail the meal items. Another was for \$940 for a conference registration fee for which an email acknowledgement had been received denoting the payment received. The third time was \$2,500 for a College of Business ad in Newsweek Magazine for which the credit card receipt did not provide details of the ad purchase. Staff that review P-Card purchases have been instructed to ensure that receipts supporting the P-Card transactions include sufficient detail of the items purchased.</p>
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<p><i>Finding No. 2:</i></p>	<p>ACCESS CONTROLS Sound information system controls dictate that an individual's access to system resources should be limited to only those necessary to complete their job duties. Additionally, segregation of duties should be established by disseminating the tasks and associated privileges for a specific business process among multiple users for preventing fraud and errors.</p> <p>Payroll office staff have create and update ability to transactions that are typically reserved for human resource staff including employee personnel data, the defined rules of salary or wage and the employee benefit plan.</p> <p>Failure to maintain adequate segregation of duties could increase the risk for errors or fraud.</p>
<p><i>Institution's Response</i></p>	<p>UALR agrees that an individual's access to system resources should be limited to only those necessary to complete his or her job duties. Actions have been taken to restrict the access of staff in the Payroll Office to only those required for their duties.</p>

<p><i>Finding No. 3:</i></p>	<p>DATA INTEGRITY Good business practices indicate that an internal control should be designed and established to prevent fraud or errors occurring in cash receipting.</p> <p>The audit revealed the following weaknesses in the cash receipting process:</p> <ul style="list-style-type: none"> • The cash change for each cashier is \$1,000. <p>The actual dollar amount of the cash change on hand was not verified by anyone other than cashier himself or herself.</p>
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DIVISION OF LEGISLATIVE AUDIT
AUDIT OF UNIVERSITY OF ARKANSAS AT LITTLE ROCK
June 30, 2011

<p><i>Institution's Response</i></p>	<p>UALR agrees that good business practices indicate that an internal control should be designed and established to prevent fraud or errors occurring in cash receipting. Management has reassessed the dollar amount in each change drawer and currently elects to maintain cash at the \$1,000 level. Over the course of this fiscal year, these balances will be monitored to verify if the amount can be lowered without diminishing service to the University or its students. The actual dollar amount in each change drawer is now being verified periodically by an independent person and the results will be reported to the Bursar and the Associate Vice Chancellor for Finance.</p>
<p><i>Finding No. 4:</i></p>	<p>DATA INTEGRITY Good business practices indicate that a mechanism should be developed and implemented for ensuring all cash receipt numbers including used and voided are documented. The audit revealed that Banner, at this point, does not have the functionality to document the skipped cash receipt numbers.</p> <p>Without such functionality in place, the cash receipting review is not able to determine whether the skipped cash receipt number was for a legitimate cause or otherwise.</p>
<p><i>Institution's Response</i></p>	<p>UALR agrees that good business practices indicate that a mechanism should be developed and implemented for ensuring that all cash receipt numbers, including those used and voided, are documented. When management investigated this issue it was discovered that the programmer pulling the audit files was erroneously excluding a classification of receipt which accounted for the vast majority of the missing documents. It was also discovered that the Payware software used to process daily pay files was not operating properly. This software has now been upgraded and it is expected to resolve the remaining missing receipts. Management will remain on this project until it can be documented that the issue is fully resolved.</p>

UNIVERSITY OF ARKANSAS AT MONTICELLO

H. Jack Lassiter, Chancellor

ENABLING LAWS

Act 100 of 1909, Act 1203 of 2011, A.C.A. §6-61-224 and Act 148 of 2012

INSTITUTION HISTORY AND ORGANIZATION

As one of the few remaining open admissions universities in the region, the University of Arkansas at Monticello is proud of its heritage of offering educational opportunities to the people of Arkansas. Founded in 1909 (Act 100) as the Fourth District Agricultural School, UAM completes its 101st year with a renewed commitment to meeting the challenges of higher education in the 21st century. The University of Arkansas at Monticello (UAM) is a comprehensive institution offering undergraduate and graduate programs. UAM provides degree opportunities for both traditional and non-traditional students and provides an environment which nurtures individual achievement and personal development. UAM offers associate and bachelor's degrees in the liberal arts and sciences and it also offers pre-professional and professional, and applied programs to prepare graduates for careers and advanced study. Master's degrees are offered in Elementary and Secondary Education, Fine Arts, and Forest Resources. UAM also offers and receives courses via video conferencing and the Internet. The UAM College of Technology at Crossett, the UAM College of Technology at McGehee, and the Arkansas Heavy Equipment Operator Training Academy in Warren incorporate technical and workforce education into the offerings of the University. These campuses offer programs leading to technical certificates, certificates of proficiency and the associate of applied science awarded by UAM in various technical fields. UAM also cooperates with other institutions to bring services and programs to the southeast region of the state.

The University of Arkansas at Monticello is committed to providing a campus environment conducive to inspired teaching and learning. Therefore, the primary focus for faculty members at UAM is excellence in teaching. To enrich teaching and learning, UAM faculty are also encouraged to be involved in research, scholarship and/or creative activities. A stronger emphasis is placed on applied research in the School of Agriculture and the School of Forest Resources as UAM partners with the UA Division of Agriculture, the Arkansas Agriculture Experiment Station and Cooperative Extension Service and their related missions.

The University of Arkansas at Monticello, as the only state university in southeastern Arkansas, has a special role in providing cultural opportunities for students and citizens within its service area. In this regard, the campus serves as an

UNIVERSITY OF ARKANSAS AT MONTICELLO
H. Jack Lassiter, Chancellor

advocate and sponsor for many activities and events in seeking to promote the growth and development of the region. UAM also seeks to collaborate with and serve the needs of public schools, business and industry, transportation, agriculture, economic development agencies and the wood products industry. UAM, in particular, assumes a leadership role in the delivery of services and resources in the areas of community, leadership, economic and industrial development, and cooperative educational programs with emphases on basic education literacy, disadvantaged youth, and workforce training and retraining. UAM is also committed to K-12 enrichment programs and educational reform and actively collaborates with area schools and the regional educational cooperative.

The University's appropriation for 2012-13 is authorized by Act 148 of Fiscal Session, 2012. Like other colleges and universities in the state, UAM's appropriation is formula driven, in accordance with Act 1203 of 2011, which modified Arkansas Code section 6-61-224 to include an outcome-centered component of the formula in addition to the needs-based factor that had been the sole determinant in previous years. The Higher Learning Commission of the North Central Association is UAM's primary accrediting body.

During the last fiscal year, UAM had a record 2011 fall enrollment with 3,920 students and the preliminary enrollment for fall 2012 is 3,946. Not only has enrollment grown, but UAM graduated more students in May 2012 than in any other year with 1,058 students obtaining degrees. The University is nearing completion of the construction of the School of Forest Resources (SFR) annex and renovation project, of which over 80% was funded with general improvement funds and the remaining 20% from donors and plant funds. After the completion of this project, which follows a comprehensive upgrade to many of UAM's buildings and infrastructure, UAM's bonded debt continues to be the lowest of any four-year institution in Arkansas.

The University has requested twenty (20) additional positions for the coming biennium that it believes necessary to assist in the achievement of its mission. Unlike state agencies, the number of authorized positions in the University's appropriation act does not affect institutional appropriation or funding. There must be adequate funding for the filling of any position prior to inclusion in UAM's budget.

Our request includes 6 positions on the Monticello campus, 12 on the Crossett campus and 2 on the McGehee campus.

UNIVERSITY OF ARKANSAS AT MONTICELLO
H. Jack Lassiter, Chancellor

In addition to two additional project/program administrator positions, the Monticello campus is requesting directors in the following areas: institutional research, grants, community education and alumni services. These positions are requested to assist the University in raising friends and support.

The remaining positions in our request are for Workforce Education instructors at our colleges of technology. For our Crossett campus, we are requesting 4 full-time instructors and 8 part-time instructors. At the McGehee campus, we are requesting 2 additional full-time instructors. We have seen steady increases in enrollment at both of these sites and we are in need of the increased number of authorized positions to keep up with this growth.

Institutional Mission Statement

The University of Arkansas at Monticello shares with all universities the commitment to search for truth and understanding through scholastic endeavor. The University seeks to enhance and share knowledge, to preserve and promote the intellectual content of society, and to educate people for critical thought. The University provides learning experiences which enable students to synthesize knowledge communicate effectively, use knowledge and technology with intelligence and responsibility, and act creatively within their own and other cultures.

The University strives for excellence in all its endeavors. Educational opportunities encompass the liberal arts, basic and applied sciences, selected professions, and vocational and technical preparation. These opportunities are founded in a strong program of general education and are fulfilled through contemporary disciplinary curricula, certification programs, and vocational/technical education or workforce training. The University assures opportunities in higher education for both traditional and non-traditional students and strives to provide an environment which fosters individual achievement and personal development.

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**INSTITUTION APPROPRIATION SUMMARY
2013-15 BIENNIUM**

INSTITUTION UNIVERSITY OF ARKANSAS AT MONTICELLO

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2011-2012		2012-13		2012-13		2013-14				2014-15			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	18,442,603		18,420,937		18,862,372		18,794,118		18,794,118		19,218,333		19,218,333	
2 CASH	15,138,780		59,050,000		59,050,000		59,050,000		59,050,000		59,050,000		59,050,000	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$33,581,383	463	\$77,470,937	466	\$77,912,372	604	\$77,844,118	626	\$77,844,118	618	\$78,268,333	626	\$78,268,333	618
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
13 GENERAL REVENUE	15,938,066	48%	15,832,509	20%			16,205,690	21%	16,205,690	21%	16,629,905	21%	16,629,905	21%
14 EDUCATIONAL EXCELLENCE TRUST FUND	1,025,098	3%	1,046,306	1%			1,046,306	1%	1,046,306	1%	1,046,306	1%	1,046,306	1%
15 WORKFORCE 2000	1,275,150	4%	1,192,122	2%			1,192,122	2%	1,192,122	2%	1,192,122	2%	1,192,122	2%
16 CASH FUNDS	15,138,780	45%	29,250,000	38%			29,250,000	38%	29,250,000	38%	29,250,000	37%	29,250,000	37%
17 SPECIAL REVENUES	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
18 FEDERAL FUNDS	0	0%	29,800,000	38%			29,800,000	38%	29,800,000	38%	29,800,000	38%	29,800,000	38%
19 TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
20 OTHER FUNDS	0	0%	350,000	0%			350,000	0%	350,000	0%	350,000	0%	350,000	0%
21 TOTAL INCOME	\$33,377,094	100%	\$77,470,937	100%			\$77,844,118	100%	\$77,844,118	100%	\$78,268,333	100%	\$78,268,333	100%
22 EXCESS (FUNDING)/APPROPRIATION	\$204,289		\$0				\$0		\$0		\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2012:	\$4,744,307
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$955,540
INVENTORIES	\$238,929
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	\$625,628
INSURANCE DEDUCTIBLES	
MAJOR CRITICAL SYSTEMS FAILURES	
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$3,717,339
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	(\$793,129)

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2013-15 BIENNIUM**

FUND CIA0000

INSTITUTION UNIVERSITY OF ARKANSAS AT MONTICELLO

APPROPRIATION _____ 298

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES	11,674,656	11,800,000	11,861,229	11,900,000	12,000,000		
2 PERSONAL SERVICES MATCHING	699,591	820,000	1,000,000	900,000	1,000,000		
3 OPERATING EXPENSES	1,608,721	1,655,597	1,606,039	1,733,649	1,862,173		
4 CONFERENCE FEES & TRAVEL							
5 PROFESSIONAL FEES AND SERVICES							
6 CAPITAL OUTLAY	75,000	75,000	75,000	100,000	100,000		
7							
8							
9							
10							
11 TOTAL APPROPRIATION	\$14,057,968	\$14,350,597	\$14,542,268	\$14,633,649	\$14,962,173	\$0	\$0
12 PRIOR YEAR FUND BALANCE**							
13 GENERAL REVENUE	13,032,870	12,954,291		13,237,343	13,565,867		
14 EDUCATIONAL EXCELLENCE TRUST FUND	1,025,098	1,046,306		1,046,306	1,046,306		
15 SPECIAL REVENUES * [WF2000]							
16 FEDERAL FUNDS IN STATE TREASURY							
17 TOBACCO SETTLEMENT FUNDS							
18 OTHER STATE TREASURY FUNDS		350,000		350,000	350,000		
19 TOTAL INCOME	\$14,057,968	\$14,350,597		\$14,633,649	\$14,962,173	\$0	\$0
20 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 15 - "Special Revenues".

**Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

OTHER STATE TREASURY FUNDS - Timber Severance Tax Funds

**APPROPRIATION ACT FORM - STATE TREASURY
2013-15 BIENNIUM**

FUND CIA0000

INSTITUTION UNIVERSITY OF ARKANSAS AT MONTICELLO
CROSSETT

APPROPRIATION 1MG

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES	1,594,286	1,150,000	1,324,430	1,250,000	1,250,000		
2 EXTRA HELP WAGES	115,502	250,000	100,000	250,000	250,000		
3 PERSONAL SERVICES MATCHING		90,000	225,000	50,000	50,000		
4 OPERATING EXPENSES	278,668	238,903	236,186	229,382	270,308		
5 CONFERENCE FEES & TRAVEL							
6 PROFESSIONAL FEES AND SERVICES							
7 CAPITAL OUTLAY							
8							
9							
10							
11							
12 TOTAL APPROPRIATION	\$1,988,456	\$1,728,903	\$1,885,616	\$1,779,382	\$1,820,308	\$0	\$0
13 PRIOR YEAR FUND BALANCE**	204,289						
14 GENERAL REVENUE	1,169,812	1,154,300		1,204,779	1,245,705		
15 EDUCATIONAL EXCELLENCE TRUST FUND							
16 SPECIAL REVENUES * [WF2000]	614,355	574,603		574,603	574,603		
17 FEDERAL FUNDS IN STATE TREASURY							
18 TOBACCO SETTLEMENT FUNDS							
19 OTHER STATE TREASURY FUNDS							
20 TOTAL INCOME	\$1,988,456	\$1,728,903		\$1,779,382	\$1,820,308	\$0	\$0
21 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 16 - "Special Revenues".

**Line 13 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM 13-3

**APPROPRIATION ACT FORM - STATE TREASURY
2013-15 BIENNIUM**

FUND CIA0000

INSTITUTION UNIVERSITY OF ARKANSAS AT MONTICELLO
MCGEHEE

APPROPRIATION 1MF

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES	1,885,000	1,470,000	1,700,000	1,650,000	1,650,000		
2 EXTRA HELP WAGES	121,476	250,000	110,000	250,000	250,000		
3 PERSONAL SERVICES MATCHING		105,000	270,000	50,000	50,000		
4 OPERATING EXPENSES	389,703	516,437	304,488	431,087	485,852		
5 CONFERENCE FEES & TRAVEL							
6 PROFESSIONAL FEES AND SERVICES							
7 CAPITAL OUTLAY							
8 CONTINGENCY			50,000				
9							
10							
11 TOTAL APPROPRIATION	\$2,396,179	\$2,341,437	\$2,434,488	\$2,381,087	\$2,435,852	\$0	\$0
12 PRIOR YEAR FUND BALANCE**							
13 GENERAL REVENUE	1,735,384	1,723,918		1,763,568	1,818,333		
14 EDUCATIONAL EXCELLENCE TRUST FUND							
15 SPECIAL REVENUES * [WF2000]	660,795	617,519		617,519	617,519		
16 FEDERAL FUNDS IN STATE TREASURY							
17 TOBACCO SETTLEMENT FUNDS							
18 OTHER STATE TREASURY FUNDS							
19 TOTAL INCOME	\$2,396,179	\$2,341,437		\$2,381,087	\$2,435,852	\$0	\$0
20 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 15 - "Special Revenues".

**Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM 13-3

**APPROPRIATION ACT FORM - CASH FUNDS
2013-15 BIENNIUM**

FUND 2030000

INSTITUTION UNIVERSITY OF ARKANSAS AT MONTICELLO

APPROPRIATION A69

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES	1,201,203	10,698,960	10,698,960	10,698,960	10,698,960		
2 EXTRA HELP WAGES	744,410	2,250,000	2,250,000	2,250,000	2,250,000		
3 OVERTIME		50,000	50,000	50,000	50,000		
4 PERSONAL SERVICES MATCHING	132,704	3,080,800	3,080,800	3,080,800	3,080,800		
5 OPERATING EXPENSES	9,921,527	12,000,000	12,000,000	12,000,000	12,000,000		
6 CONFERENCE FEES & TRAVEL	14,991	650,000	650,000	650,000	650,000		
7 PROFESSIONAL FEES AND SERVICES	267,559	1,650,000	1,650,000	1,650,000	1,650,000		
8 CAPITAL OUTLAY	827,388	6,000,000	6,000,000	6,000,000	6,000,000		
9 CAPITAL IMPROVEMENTS	207,688	7,082,620	7,082,620	7,082,620	7,082,620		
10 DEBT SERVICE	102,806	1,000,000	1,000,000	1,000,000	1,000,000		
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS		10,700,000	10,700,000	10,700,000	10,700,000		
12 PROMOTIONAL ITEMS	11,729						
13							
14							
15							
16 TOTAL APPROPRIATION	\$13,432,005	\$55,162,380	\$55,162,380	\$55,162,380	\$55,162,380	\$0	\$0
17 PRIOR YEAR FUND BALANCE***							
18 TUITION AND MANDATORY FEES	12,355,636	12,727,972		12,727,972	12,727,972		
19 ALL OTHER FEES	815,940	686,279		686,279	686,279		
20 SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	105,007	80,000		80,000	80,000		
21 INVESTMENT INCOME	155,422	265,000		265,000	265,000		
22 FEDERAL CASH FUNDS		28,500,000		28,500,000	28,500,000		
23 OTHER CASH FUNDS		12,903,129		12,903,129	12,903,129		
24 TOTAL INCOME	\$13,432,005	\$55,162,380		\$55,162,380	\$55,162,380	\$0	\$0
25 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED 2012-13	REQUEST	RECOMMEND	LEGISLATIVE RECOMMENDATION	
				2013-14	2013-14	2013-14	2014-15
REGULAR POSITIONS	381	383	487	493	493		
TOBACCO POSITIONS							
EXTRA HELP **	45	45	790	790	790		

FORM 13-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS
2013-15 BIENNIUM**

FUND 2030000

INSTITUTION UNIVERSITY OF ARKANSAS AT MONTICELLO
CROSSETT

APPROPRIATION B83

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES	143,261	774,240	774,240	774,240	774,240		
2 EXTRA HELP WAGES	74,959	75,000	75,000	75,000	75,000		
3 PERSONAL SERVICES MATCHING	47,257	258,080	258,080	258,080	258,080		
4 OPERATING EXPENSES	486,243	725,000	725,000	725,000	725,000		
5 CONFERENCE FEES & TRAVEL		25,000	25,000	25,000	25,000		
6 PROFESSIONAL FEES AND SERVICES	742	50,000	50,000	50,000	50,000		
7 CAPITAL OUTLAY	41,942	50,000	50,000	50,000	50,000		
8 CAPITAL IMPROVEMENTS							
9 DEBT SERVICE		50,000	50,000	50,000	50,000		
10 FUND TRANSFERS, REFUNDS AND INVESTMENTS							
11							
12							
13							
14							
15 TOTAL APPROPRIATION	\$794,404	\$2,007,320	\$2,007,320	\$2,007,320	\$2,007,320	\$0	\$0
16 PRIOR YEAR FUND BALANCE***							
17 TUITION AND MANDATORY FEES	765,670	769,500		769,500	769,500		
18 ALL OTHER FEES	19,220	9,450		9,450	9,450		
19 SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	6,899	5,000		5,000	5,000		
20 INVESTMENT INCOME	2,615	8,000		8,000	8,000		
21 FEDERAL CASH FUNDS		800,000		800,000	800,000		
22 OTHER CASH FUNDS		415,370		415,370	415,370		
23 TOTAL INCOME	\$794,404	\$2,007,320		\$2,007,320	\$2,007,320	\$0	\$0
24 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED 2012-13	REQUEST	RECOMMEND	LEGISLATIVE RECOMMENDATION	
				2013-14	2013-14	2013-14	2014-15
REGULAR POSITIONS	38	38	49	62	54		
TOBACCO POSITIONS							
EXTRA HELP **	21	21	36	36	36		

FORM 13-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 16 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS
2013-15 BIENNIUM**

FUND 2030000

INSTITUTION UNIVERSITY OF ARKANSAS AT MONTICELLO
MCGEHEE

APPROPRIATION B82

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES	126,992	744,240	744,240	744,240	744,240		
2 EXTRA HELP WAGES	67,423	75,000	75,000	75,000	75,000		
3 PERSONAL SERVICES MATCHING	60,904	196,060	196,060	196,060	196,060		
4 OPERATING EXPENSES	647,972	740,000	740,000	740,000	740,000		
5 CONFERENCE FEES & TRAVEL		25,000	25,000	25,000	25,000		
6 PROFESSIONAL FEES AND SERVICES	722	50,000	50,000	50,000	50,000		
7 CAPITAL OUTLAY	8,358	50,000	50,000	50,000	50,000		
8 CAPITAL IMPROVEMENTS							
9 DEBT SERVICE							
10 FUND TRANSFERS, REFUNDS AND INVESTMENTS							
11							
12							
13							
14							
15 TOTAL APPROPRIATION	\$912,371	\$1,880,300	\$1,880,300	\$1,880,300	\$1,880,300	\$0	\$0
16 PRIOR YEAR FUND BALANCE***							
17 TUITION AND MANDATORY FEES	811,546	944,180		944,180	944,180		
18 ALL OTHER FEES	90,007	17,675		17,675	17,675		
19 SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	7,829	6,000		6,000	6,000		
20 INVESTMENT INCOME	2,989	4,000		4,000	4,000		
21 FEDERAL CASH FUNDS		500,000		500,000	500,000		
22 OTHER CASH FUNDS		408,445		408,445	408,445		
23 TOTAL INCOME	\$912,371	\$1,880,300		\$1,880,300	\$1,880,300	\$0	\$0
24 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED 2012-13	REQUEST	RECOMMEND	LEGISLATIVE RECOMMENDATION	
				2013-14	2013-14	2013-14	2014-15
REGULAR POSITIONS	44	45	68	71	71		
TOBACCO POSITIONS							
EXTRA HELP **	8	8	36	36	36		

FORM 13-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 16 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

UNIVERSITY OF ARKANSAS AT MONTICELLO
(NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2011-12				BUDGETED 2012-13			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *	916,319	3,137,829	105,308	(2,326,818)	823,865	3,009,885	130,305	(2,316,325)
2 HOUSING	1,543,077	501,466	386,463	655,148	1,463,810	602,355	391,223	470,232
3 FOOD SERVICES	1,623,229	1,296,873		326,356	1,318,256	1,032,601		285,655
4 STUDENT UNION				0				0
5 BOOKSTORE	1,867,616	1,569,868		297,748	1,575,300	1,367,957		207,343
6 STUDENT ORGANIZATIONS AND PUBLICATIONS				0				
7 OTHER	772,946	182,013		590,933	741,744	108,636		633,108
8 SUBTOTAL	6,723,187	6,688,049	491,771	(456,633)	5,922,975	6,121,434	521,528	(719,987)
9 ATHLETIC TRANSFER **	600,000			600,000	719,987			719,987
10 OTHER TRANSFERS ***				0				0
11 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	7,323,187	6,688,049	491,771	143,367	6,642,962	6,121,434	521,528	0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 13-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line Item 7 Other - Transfers include Faculty Housing, Post Office Rent, Horse Boarding Fee, Locker Rent, Vendor Sales, Facilities Fee, Royalties, Logo Sales, Trotter House, Stadium, Checking Interest, Misc., Cablevision, Field House, End Zone Facility, Weightroom, Practice Football Field, Softball Field, Baseball Field, Tennis Courts and Indoor Practice Facility

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

UNIVERSITY OF ARKANSAS AT MONTICELLO
(NAME OF INSTITUTION)

CROSSETT

ACTIVITY	ACTUAL 2011-12				BUDGETED 2012-13			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *				0				0
2 HOUSING				0				0
3 FOOD SERVICES				0				0
4 STUDENT UNION				0				0
5 BOOKSTORE	310,710	368,699		(57,989)	243,300	289,219		(45,919)
6 STUDENT ORGANIZATIONS AND PUBLICATIONS				0				
7 OTHER	4,605	17,559		(12,954)	7,200	15,438		(8,238)
8 SUBTOTAL	315,315	386,258	0	(70,943)	250,500	304,657	0	(54,157)
9 ATHLETIC TRANSFER **				0				0
10 OTHER TRANSFERS ***	70,943			70,943	54,157			54,157
11 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	386,258	386,258	0	0	304,657	304,657	0	0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 13-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line Item 7 Other - Transfers include Crossett Trotter House

NOTE: Line Item 10 Other Transfers - Transfer from E&G to cover deficits from bookstore and Trotter House.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

UNIVERSITY OF ARKANSAS AT MONTICELLO
(NAME OF INSTITUTION)

MCGEHEE

ACTIVITY	ACTUAL 2011-12				BUDGETED 2012-13			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *				0				0
2 HOUSING				0				0
3 FOOD SERVICES				0				0
4 STUDENT UNION				0				0
5 BOOKSTORE	352,352	365,615		(13,263)	370,000	420,595		(50,595)
6 STUDENT ORGANIZATIONS AND PUBLICATIONS				0				
7 OTHER	4,605	17,222		(12,617)	7,200	15,627		(8,427)
8 SUBTOTAL	356,957	382,837	0	(25,880)	377,200	436,222	0	(59,022)
9 ATHLETIC TRANSFER **				0				0
10 OTHER TRANSFERS ***	25,880			25,880	59,022			59,022
11 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	382,837	382,837	0	0	436,222	436,222	0	0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 13-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line Item 7 Other - Transfers include Trotter House

NOTE: Line Item 10 Other Transfers- Transfer from E&G to cover deficits from bookstore and Trotter House.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

UNIVERSITY OF ARKANSAS AT MONTICELLO
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2011-12:					489
(As of November 1, 2011)					
Nonclassified Administrative Employees:					
White Male:	39	Black Male:	4	Other Male:	1
White Female:	34	Black Female:	5	Other Female:	0
Total		Total		Total	44
				Total	39
Nonclassified Health Care Employees:					
White Male:		Black Male:		Other Male:	
White Female:		Black Female:		Other Female:	
Total		Total		Total	0
				Total	0
Classified Employees:					
White Male:	44	Black Male:	9	Other Male:	0
White Female:	71	Black Female:	23	Other Female:	1
Total		Total		Total	53
				Total	95
Faculty:					
White Male:	102	Black Male:	8	Other Male:	8
White Female:	125	Black Female:	10	Other Female:	5
Total		Total		Total	118
				Total	140
Total White Male:	185	Total Black Male:	21	Total Other Male:	9
Total White Female:	230	Total Black Female:	38	Total Other Female:	6
Total White:	415	Total Black:	59	Total Other:	15
				Total Minority:	74
				Total	Employees: 489

FORM 13-6

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$25,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2012
 Required by A.C.A. 25-36-104

Institution

UNIVERSITY OF ARKANSAS AT MONTICELLO

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
N/A							

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$4,528,452
 (Total Expenditures equals ALL Contracts Exceeding \$25,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF UNIVERSITY OF ARKANSAS AT MONTICELLO
June 30, 2011

<p><i>Finding No. 1:</i></p>	<p>Transactions of \$2,902,153 pertaining to a bond refunding were incorrectly reflected as cash transactions in the Capital and Related Financing Activities of the Statement of Cash Flows instead of the Non-Cash Transactions section. This misclassification had no effect on the reported cash balance at June 30, 2011. The financial statements were corrected by management during the audit fieldwork.</p>
<p><i>Institution's Response</i></p>	<p>The University's balance of \$6,009 in a bond fund checking account at a local bank on June 30, 2011, was originally classified as 'Cash and cash equivalents' instead of 'Deposits and funds held in trust by others' on the Statement of Net Assets as of June 30, 2011. Therefore, the transactions of \$2,902,153 into the account and the payments made from the account were included as cash activity on the Statement of Cash Flows. During the audit field work, the Statement of Net Assets and the Statement of Cash Flows were corrected by the University and the misclassification had no effect on the reported cash balance at June 30, 2011. The University has taken steps to reclassify this account on the University's general ledger to ensure future bond fund deposits are classified correctly.</p>
<p><i>Finding No. 2:</i></p>	<p>At the beginning of the audit year, the University implemented a new financial software program. As a result of the bank reconciliation module not being available until July of 2011, University personnel performed annual reconciliations for the Consolidated and Credit Card bank accounts rather than monthly reconciliations. At June 30, 2011, the Consolidated bank account was not reconciled by \$33,151. The University recorded this amount as accounts payable in its financial statements until the variance can be resolved.</p>
<p><i>Institution's Response</i></p>	<p>The University plans to move the payroll activity to a separate checking account from the University's Consolidated bank account. Segregating this activity will assist with the reconciliation process. The University is making progress on monthly reconciliations and identifying the variance amount recorded as accounts payable.</p>

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UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES

Dr. Dan Rahn, Chancellor

ENABLING LAWS

Act 360 of 1911, A.C.A. §20-13-702, A.C.A. §19-12-115, A.C.A. §19-12-114, A.C.A. §6-64-421, A.C.A. §6-64-411, A.C.A. §6-64-410, A.C.A. §6-64-409, A.C.A. §6-64-404, A.C.A. §6-64-402, A.C.A. §6-64-401, Act 1149 of 2012, Act 281 of 2012, Act 257 of 2012, Act 207 of 2012, Act 139 of 2012 and Act 27 of 2012

INSTITUTION HISTORY AND ORGANIZATION

History

In October, 1879, eight physicians pooled their money and invested \$5,000 to start the first medical school in Arkansas. The initial investment of \$625 made by each of the founding physicians now represents more than \$5 billion in economic impact for the state of Arkansas from UAMS and its affiliates every year.

Under Act 95 of 1887, the course of study at the University of Arkansas (previously named Arkansas Industrial University) did not embrace the medical sciences. However, the former Sperindo Restaurant and Hotel in downtown Little Rock served as the first home for what was then known as the Medical Department of Arkansas Industrial University. The medical school was maintained by and conducted in buildings owned by the Arkansas Industrial University Medical Department, operating under the authority of the University of Arkansas. Act 360 of 1911 changed the name to the Medical Department of the University of Arkansas and provided that the act took effect, as “a bequest, devise, gift, or conveyance”, upon the “irrevocable transfer of all real and personal property of the Arkansas Industrial University Medical Department to the State of Arkansas for the use and benefit of the University of Arkansas for the purpose of maintaining and operating a first class medical college as a part of that university.”

The itemized description of the property included “a parcel of ground and building thereon at the corner of East Second and Sherman streets..., a parcel of ground and building thereon at No. 611 East Markham street...”, cash on hand, office fixtures, operating room, dispensary, library, laboratories with equipment, supplies and chemicals. As stated in the act, “all said property of the aggregate value, estimated, of sixty-five thousand, seven hundred and fifty-three dollars (\$65,753).”

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Act 360 of 1911, codified as A.C.A.6-64-401 and A.C.A.6-64-402, also stated that “the Medical Department of the University of Arkansas shall be maintained at the cost of the State of Arkansas” and assigns the responsibilities of control and management to the Board of Trustees of the University of Arkansas which “shall cause the medical department to be operated in a first-class manner and ‘add courses, fill professorships, and add buildings, furniture, libraries, apparatus, and other things so as to keep this department up to the standard required of medical colleges by the Association of American Medical Colleges.”

As enrollment grew into the 20th century, the school was housed in several different locations, including the Old State House in downtown Little Rock. A new medical school was built in the 1930s with funding provided by President Franklin Roosevelt’s Public Works Administration. Additional funding was provided by a tax on beer and liquor assessed by the Arkansas state legislature.

In 1951, Governor Sid McMath used funds from a new cigarette tax to secure \$7.4 million for a new University Hospital on a 26-acre site on West Markham Street in what was then the outskirts of Little Rock. The Medical Center moved into the new hospital in 1956. Hospital space increased with the Harry P. Ward Tower, but proved to be insufficient.

In early 2009, UAMS opened a new hospital, a 540,000-square-foot facility with 234 adult beds and 60 neonatal beds. The new hospital and the adjoining Psychiatric Research Institute serve as the center of the institution’s now 84-acre campus. Also in 2009, in response to a nationwide shortage of health care professionals, UAMS opened a northwest Arkansas satellite campus in Fayetteville to help produce more physicians, nurses, pharmacist and other health care professionals.

In addition to its state-of-the art hospital and outpatient center, UAMS is home to the: Colleges of Medicine, Nursing, Pharmacy, Health Professions, Public Health and a Graduate School.

The Winthrop P. Rockefeller Cancer Institute serves as the official cancer research and treatment institution in Arkansas. The Cancer Institute was founded as the Arkansas Cancer Research Center in 1984 and renamed to honor the late lieutenant governor of Arkansas in 2007. A 12-floor expansion was completed in 2010. The number

UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES
Dr. Dan Rahn, Chancellor

of patient visits to the Cancer Institute has tripled in the past ten years, and today one-third of the revenue generated by UAMS is from Cancer Institute patient care.

The Myeloma Institute for Research and Therapy at UAMS is part of the Cancer Institute and has performed more blood stem cell transplants for myeloma than any other facility in the world. Each year, the Myeloma Institute evaluates about 600 new patients. Seventy percent of these patients are from outside of Arkansas, coming to UAMS from all over the United States and from abroad. On any given day, there are about 200 myeloma patients staying in Little Rock for diagnosis and treatment of their disease.

The Harvey & Bernice Jones Eye Institute was founded in 1994 and houses the Department of Ophthalmology and the Pat & Willard Walker Eye Research Center. Through a nationwide network, the Eye Bank provides the gift of sight to more than 600 patients each year.

The UAMS Psychiatric Research Institute is one of only nine institutions in the country to combine psychiatric research and education with inpatient and outpatient care and is one of the most innovative psychiatric treatment and research facilities in the nation.

The Jackson T. Stephens Spine & Neurosciences Institute at UAMS is a center for research, education and clinical care related to the spine and features an expansive physical therapy room with special equipment that can measure minute improvements in patients' progress and a wheelchair-accessible swimming pool designed for water therapy.

The Donald W. Reynolds Institute on Aging, home to the UAMS Department of Geriatrics, is one of the most recognized geriatric centers in the nation. The department was established in 1997 and by 2003 was listed in the top 10 geriatrics programs in medical schools by U.S. News and World Report.

The Translational Research Institute's purpose is to enhance capacity in Clinical and Translational Research at UAMS.

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Today UAMS has outreach programs operating in every county of the state, including eight Area Health Education Centers, eight regional Centers on Aging and one of the most successful Head Start programs in the nation. UAMS is where medicine – and excellence – live.

Requests for Personal Services and Legislative Appropriations

UAMS has the responsibility to act in the best interests of the public in educating and training health professionals for the future, conducting research, and translating that into improvements in its approaches to health as well as providing excellent patient care. For patients, specifically, UAMS' goal is to assure that patients receive the highest quality of care and that it is provided in a way that effectively manages costs. As UAMS strives to continue to meet that goal in a changing health care environment, it is also in the process of searching for a new leader for its hospital and clinical programs. This administrator will face many challenges as he guides the hospital into the future. These challenges will be further complicated by the uncertain receipt of revenues for both the hospital and physicians. UAMS must offer a competitive compensation package in order to recruit a strong leader with a proven track record. For this reason, UAMS is requesting a change in position title from Vice Chancellor to UAMS Medical Center Chief Executive Officer as well as an increase in the line item salary for the position. The Arkansas Department of Higher Education supports this title change. The UAMS Medical Center CEO will provide leadership and assume responsibility and accountability for the overall strategic and operational planning of the hospital and clinical programs. This will be carried out in concert with the overall strategic direction of the UAMS.

Change is also occurring within the academic mission of UAMS. It has long been known that the state of Arkansas does not have an adequate number of dentists for its needs. So, in the UAMS Appropriations Act (257) of 2012, a new Center for Dental Education was established in Arkansas. It establishes cooperation between the University of Tennessee Dental School, the University of Arkansas for Medical Sciences and Arkansas Children's Hospital. The Center is known as the University of Arkansas for Medical Sciences Center for Dental Education and will facilitate the continued development of dental education, its specialties and services for the citizens of Arkansas.

Although no appropriation has been granted at this time, an administrator responsible for the program and its

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future development will be needed. Therefore, the request was made to add one Assistant Vice Chancellor for the biennium. This position will be responsible for overseeing accreditation of the institution and all programs within the University and will work directly with the Higher Learning Commission and the Arkansas Department of Higher Education in all matters related to the Chief Academic Officer. In addition, acting independently and having decision making authority related to academic matters, the individual will chair multiple committees within the division and oversee policy development and implementation of those policies. The person will interact with the Vice Chancellor for Communication and the Vice Chancellor for Administration and Governmental Affairs to provide accurate institutional reports and information to state and federal authorities. This position has received ADHE recommendation.

The modest change requested for personal services could not have been made without the confidence evoked by the talented and dedicated faculty and staff members that are the core for carrying out all phases of the UAMS mission. They have exhibited much strength during the efforts to address the financial needs of the campus that have resulted in changes to personal workloads. Great challenges have been presented to extend the same level of excellence during continuous educational and medical program expansions to meet the needs of Arkansans, but at fairly level employee numbers.

The UAMS request for legislative appropriations reflects the planned approach and focus of the 5-Year Plan regarding programs expansions and its goals. There is a sense of optimism as efforts are being made to work together within divisions and to reach out through collaborations so we may achieve excellence in new areas as well as established programs. For the 2013-15 biennium, requests focus on these proposals:

- **Dental Education Program**
A study on the future of dental education in the state of Arkansas showed that relying on other states to provide dental education for Arkansas students was failing to provide the total workforce required for Arkansas. The need for a College of Dentistry at the University of Arkansas for Medical Sciences became apparent. Partially supported by grants from the Arkansas Department of Health and Delta Dental of Arkansas, the Center for Dental Education was initiated, reporting directly to the Chancellor. In FY13, UAMS

UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES
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is providing funding to expand the Center by establishing a dental clinic within the UAMS Medical Center that will provide oral health services to patients seeking dental care at UAMS, but, will also be the organizational component that develops dental education by serving as a teaching facility for dental students and dental residents. The dental clinic will open in October 2012.

National studies, as well as studies by UAMS, indicate that an Arkansas dental workforce shortage exists now and will worsen in the next ten years. Arkansas must act to deal with this growing problem. In FY15, UAMS will begin additional incremental steps that will lead to a College of Dentistry. The Center will begin an Advanced General Practice Dentistry Residency (GPR) and create a foundation for a subsequent Oral Surgery Residency. Initially, the GPR will accept 4 to 6 dental residents, which will require additional dental assistants and part-time dentist faculty.

- **Physician Assistant Program**
The College of Health Professions (CHP) of the University of Arkansas for Medical Sciences is in the process of implementing a 28-month education program leading to a Master of Physician Assistant Studies. Physician Assistants (PA) are academically and clinically prepared to practice medicine with the direction and responsible supervision of a doctor of medicine or osteopathy. The physician-PA team relationship is fundamental to the PA profession and enhances the delivery of high quality health care. Graduates of this program will work, with supervising physicians to improve the accessibility and delivery of primary care to the people of Arkansas and to improve the overall delivery of health care to Arkansans through increased efficiencies and effectiveness.

- **Establish a Health Workforce Studies Program**
Regardless of the details of health care reform, future health care will undoubtedly require, 1) a greater focus on prevention of morbidity and mortality risk factors and 2) increased reliance on a variety of traditional and non-traditional health care workers capable of assuming patient care responsibilities, when appropriate, at lower costs than if physicians and other health care workers performed them. These changes in health care practice will require more trained and certified health care educators, as well as

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trained community health workers. Health care educators will counsel patients on behavioral changes that lead to healthier lifestyles. Community health workers are capable of assuming responsibilities such as patient follow-up to ensure and promote adherence with medical recommendations, assisting traditional health care providers with monitoring vital signs and promoting healthy lifestyles during office and home visits. It is anticipated that the Community Health Worker Certificate curriculum will be implemented in collaboration with interested community colleges to improve access to the program by Arkansans. The two educational programs, MPH with specialization in health education, and Certificate as a Community Health Worker, proposed to be developed and delivered by two faculty positions will help meet these health workforce needs in Arkansas and lower health care costs while improving the health of Arkansans.

- **Intranet Enhancement**
UAMS strives to support its talent-rich environment through employee support programs, enhanced organizational communication, and employee development. A new UAMS Intranet is one of the most cost-effective ways to directly impact organizational communication. A socially-enabled intranet will create a forum for UAMS employees to enhance collaboration across traditionally unit silos.

- **Patient Centered Medical Home**
One of the core strategic initiatives for Regional Programs is to incorporate the Patient Centered Medical Home (PCMH) concepts and practices as the new model of care delivery at six existing UAMS AHEC Family Medicine Clinics. This new care delivery model has its focus on improving outcomes while at the same time reducing costs of care. Under this new care delivery model, significant changes will be required to our current system. Increasing access for patients, establishing systems for managing patients that have been diagnosed with a chronic disease, and creating a system to meet reporting requirements for tracking patient outcomes are all parts of required changes. In order to meet the PCMH requirements from an IT infrastructure perspective, funds need to be invested in upgrading our electronic health record systems by purchasing additional modules, such as e-prescribing, patient portal, and secure messaging components. In addition, a disease registry, which will be used to manage patients diagnosed with a chronic disease, needs to be purchased. We also were awarded a grant from HRSA to design and implement a curriculum

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for teaching family medicine residents the PCMH core objectives and requirements.

- **Expand Primary Care Residency Training Programs**
The community based/ hospital affiliated structure type allows the AHEC Network to establish community partnerships, which foster working relationships between key stakeholders within any given community. The result is investment in the future training and ultimate recruitment and retention of family physicians within the regions that training occurs. Under this initiative, we propose to establish a program, which would, once the program is fully implemented, train and graduate 6 family doctors per year. The full complement of resident physicians for the program would be 18. Increasing the number of Family Physicians trained under UAMS and the AHEC network, will potentially lower the projected shortage of primary care physicians cause by the increased number of covered lives and the number of current family physicians projected to retire over the next 10 years.
- **Establish a Center for Health Literacy**
As part of the implementation of the Patient Center Medical Home (PCMH) care delivery system, a program in Health Literacy will establish an educational training program in the AHECs. The training will focus on addressing health literacy in the clinical setting and introduce evidence-based techniques and tools to improve patient/provider communication and understanding. Health professionals will be taught to recognize the importance of adopting health literacy practices for all patients and families and recognize the impact health literacy has on quality health outcomes. Best practices on communication with patients and families will be a key component. Health Literacy issues are an important core component of the PCMH in order to empower patients to make informed decisions about their health and health care.
- **Develop Additional Programmatic Research Areas**
The Colleges of Public Health, Nursing and Health Professions are proposing several initiatives to support the existing, but underdeveloped efforts in programmatic research. While appropriations requests by each college differ in specific programs, they share the institutional objectives to someday possess the ability to quickly translate research into medical practice and position itself as a leader in improving health care of

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Arkansans and across the nation.

For example, the College of Health Professions program to establish a Cancer-prevention Research Unit and Ph.D. has as a base the collaboration with research and clinical efforts in the Winthrop P. Rockefeller Cancer Institute at UAMS. Thus, the college will contribute to cancer research efforts and provide leadership in clinical nutrition for cancer patients.

To do so requires the enhancement of extramural funding levels and capacity in clinical and translational research by

- 1) Aligning base research programs with translational research groups
- 2) Encouraging the formation of multi-disciplinary research teams
- 3) Expanding the application of community engagement principles to reach underserved populations
- 4) Increasing the number of investigator-initiated clinical trials
- 5) Developing a research data warehouse and
- 6) Developing the capacity to quickly translate scientific knowledge into improvement of human health.

If successful, students and faculty members who want to become engaged in research can create interdisciplinary programs that will train the next generation of health sciences researchers.

- Enhance Capacity in Clinical and Translational Research
The UAMS Translational Research Institute (TRI) was established in 2009 as a result of an NIH Clinical & Translational Science Award received from the NIH. The overarching goal is to increase the pace and efficiency of scientific discoveries from the research lab to the community.

The UAMS Translational Research Institute (TRI) is a campus-wide resource and coordinating division on campus for efforts in conducting clinical and translational research. TRI has doubled the number of supported investigators to over 350. It has expanded beyond Arkansas state boundaries to include

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investigators from highly regarded institutions across the United States. It also has formed a partnership with the National Center for a Toxicological Research (NCTR), a component of the Food and Drug Administration (FDA), headquartered in Jefferson, Arkansas that will focus on modernizing regulatory sciences with the intent to speed safe products to market and reduce post-marketing failure, increase the understanding of toxicity of nanotechnology-based products, promote education at the graduate level and to the public, and increase the knowledge base available for excellence and greater productivity in these areas.

TRI is proposing infrastructure additions that are needed for:

- 1) Establishing a phase I clinical trial unit
- 2) Increasing the number of funded MD/PhD KL2 scholars to enhance the research training environment
- 3) Funding post doctorate fellowships specifically targeted for minority students
- 4) Enhancing evaluation of outcomes by supporting additional comparative outcomes research
- 5) Housing a Center for Personalized Medicine within the Translational Research Institute
- 6) Using technology for analysis of outcomes through software and staff

- **Achieve National Cancer Institute Designation**
The Winthrop P. Rockefeller Cancer Institute within UAMS has requested funds that would support the establishment of standards necessary to seek designation as a National Cancer Institute (NCI) Cancer Center. The NCI-designated centers are the centerpiece of the nation's effort to reduce morbidity and mortality from cancer. With this designation, the institute would establish the scientific infrastructure and research programs necessary to develop more effective approaches to prevention, diagnosis, and therapy of cancer.
- **Integrated Clinical Information System Platform**
The Division of Information Technology in concert with the clinical programs of UAMS has plans to implement an integrated clinical systems platform that will allow patient data to be accessed from across

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entities on campus. It will bring the “one patient, one record” approach into today’s health care setting. The treatment of chronic illness requires coordinating multiple encounters in various settings over extended periods of time. Care delivery can be optimized through the use of an integrated platform. From check-in to check-out, the integrated system provides better management of the individual patient’s medical care and the hospital and physician reimbursements for their treatment.

- UAMS Northwest
Arkansas is faced with a great challenge: training a sufficient number of health care professionals to meet the growing demands. In response to this challenge, UAMS Northwest, a regional campus in Northwest Arkansas, was established to expand the opportunities to train physicians, pharmacists, nurses and other health care professionals in the state.

The Northwest Campus has been in operation since 2007. As of fall 2012, there will be 31 medical students, 51 pharmacy students, 35 graduate nursing students, and 23 students in the health related professions (140 total).

The regional campus offers educational opportunities for students in the UAMS Colleges of Medicine, Pharmacy, Nursing, and Health Related Professions. Opportunities include:

- Transfer from UAMS for the third and fourth years of medical school;
- Transfer from UAMS for the third and fourth years of pharmacy school;
- MNSc (Advanced Practice Nursing) and PhD degree programs in nursing; and
- Associate and Bachelor degree programs in Radiologic Imaging Sciences.

Mission

The Mission of the University of Arkansas for Medical Sciences (UAMS) is to improve the health, health care and well-being of Arkansans and of others in the region, nation and the world by:

- Educating current and future health professionals and the public;
- Providing high quality, innovative health care and also providing specialty expertise not routinely available in

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community settings; and

- Advancing knowledge in areas of human health and disease and translating and accelerating discoveries into health improvements.

Statutory Responsibility

Act 360 of 1911, codified as A.C.A.6-64-401 and A.C.A.6-64-402 states that “the Medical Department of the University of Arkansas shall be maintained at the cost of the State of Arkansas” and assigns the responsibilities of control and management to the Board of Trustees of the University of Arkansas which “shall cause the medical department to be operated in a first-class manner and add courses, fill professorships, and add buildings, furniture, libraries, apparatus, and other things so as to keep this department up to the standard required of medical colleges by the Association of American Medical Colleges.”

UAMS is the state’s only comprehensive academic health center with colleges of Medicine, Nursing, Pharmacy, Health Professions and Public Health; a graduate school; a hospital; a statewide network of Area Health Education Centers; and seven institutes: the Winthrop P. Rockefeller Cancer Institute, the Jackson T. Stephens Spine & Neurosciences Institute, the Myeloma Institute for Research and Therapy, the Harvey & Bernice Jones Eye Institute, the Psychiatric Research Institute, the Donald W. Reynolds Institute on Aging and the Translational Research Institute. UAMS offers 64 baccalaureate, master’s, doctoral, professional and specialist degree programs and certificates through our Colleges. Students attend classes at the UAMS main campus in Little Rock and our regional campus in northwest Arkansas.

UAMS Medical Center was named the Best Little Rock Metropolitan Hospital in 2011 by U.S. News & World Report and is home to the state’s only adult Level One Trauma Center. UAMS has more than 2,800 students and 775 medical residents. It is the state’s largest public employer with more than 10,000 employees, including approximately 1,000 physicians and other professionals who provide care to patients at UAMS, Arkansas Children’s Hospital, the VA Medical Center and UAMS Area Health Education Centers through partnerships with regional hospitals around the state. In addition, UAMS and St. Vincent Health System have entered into a nonbinding letter of intent to explore opportunities for an affiliation to deliver collaborative and /or integrated

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services that would benefit both institutions' health care delivery and, most importantly, the citizens of Arkansas by assuring integrated high quality, efficient health care in an era of health system reform.

Affiliations:

Examples of the many affiliations fostered by the University of Arkansas for Medical Sciences are

- CVAHS --VA affiliations include not only the LR VA hospital, but also the psychiatric facility at Fort Roots in NLR and the VA Biomedical Research Foundation (Little Rock)
- Arkansas Children's Hospital
- Arkansas Children's Hospital Research Institute
- Arkansas Hospital Association
- Council of Teaching Hospitals
- American Association of Medical College
- National Association of Public Hospitals
- University Health System Consortium
- University of Arkansas at Little Rock
- University of Central Arkansas
- University of Arkansas Clinton School of Public Service
- Univ. of Arkansas Community College at Hope, Arkansas
- Arkansas State University at Mountain Home
- King Saud bin Abdulaziz University in Riyadh, Saudi Arabia
- Lyon College in Batesville
- Nuclear Imaging Education Consortium; University of Missouri, St Louis University, Georgia Health Sciences University)
- Arkansas Department of Health
- Department of Human Services – many offices with many UAMS departments: i.e. evidence-based Prescription Drug Program; i.e. Division of Behavioral Health Sciences- Arkansas State Hospital
- Department of Finance & Administration-Employee Benefits Division

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- State Board of Pharmacy – support for Disease State Management
- Rx Results
- Arkansas Child Abuse, Rape & Domestic Violence Commission
- Arkansas Child Advocacy Centers
- Arkansas Child Safety Centers
- Arkansas Poison and Drug Information Center
- Arkansas Center for Dental Education
- Center for Distance Health
- Regional AHEC Health System Teaching Hospitals
- Arkansas Telemedicine Network
- Building Effective Services for Trauma Programs
- Arkansas Health Data Initiative Program
- Colorectal Cancer Screening & Research
- Sickle Cell Disease Program Initiative
- Newborn Umbilical Cord Blood Initiative
- Contractual agreements to provide Housestaff coverage in area hospitals
- Rural Advanced /Rural Nursing Practice Loan & Scholarship Programs
- Arkansas Prostate Cancer Foundation
- Breast Cancer Research Program
- Rural Medical Practice Student Loan and Scholarship Program
- Community Match Student Loan and Scholarship Program
- Arkansas Minority Health Commission
- ASU—Beebe
- Centers for Youth and Families
- Numerous Arkansas school districts
- Numerous Arkansas nursing homes
- Arkansas Heart Hospital
- Arkansas Dept of Corrections

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- Conway Human Development Center
- American Heart Association
- Youth Home
- Northwest Medical Center
- Ozark Guidance Center
- North Metro Medical Center
- Baptist Health Rehab Institute
- National Center for Toxicological Research
- Foundations: UAMS, ACRC, DW Reynolds, ACH foundations

Oversight and/or advisory Boards or Commissions:

Examples of the many boards and commissions whose members are associates of UAMS are

- Arkansas Biosciences Institute Board.
- Arkansas Rural Medical Practice Student Loan and Scholarship Board
- Advisory Council to the Arkansas Youth Suicide Prevention Task Force
- Alzheimer's Advisory Council
- Arkansas HIV-AIDS Minority Task Force
- Legislative Task Force On Autism
- Arkansas Health Care Access Council
- Advisory Council to the Rita Rowell Hale Prenatal and Early Childhood Nurse Home Visitation Program
- Cervical Cancer Task Force
- Arkansas Legislative Task Force On Traumatic Brain Injury
- Legislative Task Force On Abused And Neglected Children
- Child Health Advisory Committee
- Acute Stroke Care Task Force
- Breast Cancer Control Advisory Board

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- Office of Health Information Technology
- Arkansas Commission for The Newborn Umbilical Cord Blood Initiative
- Health Adequacy Advisory Committee
- Oversight Committee on Prostate Cancer

U of A Board of Trustees

Effective leadership, including the Board of Trustee, the president, chancellor and our executive leadership, is vital to the success of UAMS' mission. The Board of Trustees of the University of Arkansas (U of A) is our institution's main governing body. Appointed by and responsible to the 10-member Board of Trustees, the U of A president manages the offices and executes the policies of the U of A system. Each U of A campus has a chancellor, who reports directly to the president and oversees a cabinet of executive leadership. UAMS also has ambassadors, who raise public awareness and lead fundraising initiatives. Through leadership and governance we strive for continuous improvement and adherence to institutional policies and best practices. UAMS' leadership is committed to bringing UAMS and its mission to the forefront of healthcare.

**INSTITUTION APPROPRIATION SUMMARY
2013-15 BIENNIUM**

INSTITUTION UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2011-2012		2012-13		2012-13		2013-14				2014-15			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY UAMS State Appropriation - Operations	104,712,913		109,090,076		110,658,188		147,894,745		147,894,745		151,407,277		151,407,277	
2 STATE TREASURY UAMS State Appropriation - Healthcare Initiative	7,086,000		7,100,000		8,100,000		7,100,000		7,100,000		7,100,000		7,100,000	
3 STATE TREASURY UAMS State Appropriation - Psychiatric Research Inst & Dept of Pediatrics	1,500,000		1,950,000		1,960,000		1,950,000		1,950,000		1,994,850		1,994,850	
4 STATE TREASURY UAMS State Appropriation - Colorectal Cancer Screening & Research	0		0		5,000,000		0		0		0		0	
5 STATE TREASURY UAMS State Appropriation - Newborn Umbilical Cord Blood Program	0		150,000		250,000		150,000		150,000		150,000		150,000	
6 STATE TREASURY UAMS State Appropriation - Adult Sickle Cell Disease Program	0		0		379,993		0		0		0		0	
7 STATE TREASURY UAMS State Appropriation - Child Abuse & Neglect Programs	1,130,291		1,145,000		5,000,000		5,000,000		5,000,000		5,000,000		5,000,000	
8 STATE TREASURY Medical Loans & Scholarships	325,076		350,000		450,000		450,000		450,000		450,000		450,000	
9 STATE TREASURY Nursing Loans & Scholarships	200,000		200,000		300,000		300,000		300,000		300,000		300,000	
10 STATE TREASURY Poison & Drug Information Center and Disease Management	299,959		299,959		299,959		299,959		299,959		299,959		299,959	
11 STATE TREASURY Poison & Drug Information Center	150,000		150,000		400,000		400,000		400,000		400,000		400,000	
12 STATE TREASURY Breast Cancer Research	927,319		928,000		1,194,216		1,194,216		1,194,216		1,194,216		1,194,216	
13 STATE TREASURY Breast Cancer Research - Susan G. Komen	189,800		190,000		500,000		500,000		500,000		500,000		500,000	
14 STATE TREASURY Newborn Umbilical Cord Blood Initiative	5,186		5,200		546,000		546,000		546,000		546,000		546,000	
15 STATE TREASURY Domestic Violence Shelter Programs & Grants	720,335		720,588		7,100,000		7,100,000		7,100,000		7,100,000		7,100,000	
16 STATE TREASURY Tobacco Funding: Institute on Aging	1,557,765		2,320,796		2,320,796		2,320,796		2,320,796		2,320,796		2,320,796	
17 STATE TREASURY Tobacco Funding: College of Public Health	2,335,616		3,195,946		3,195,946		3,195,946		3,195,946		3,195,946		3,195,946	
18 STATE TREASURY Tobacco Funding: Arkansas Biosciences	3,575,296		6,180,957		6,180,957		6,180,957		6,180,957		6,180,957		6,180,957	
19 STATE TREASURY Tobacco Funding: Delta AHEC	1,380,900		2,320,796		2,320,796		2,320,796		2,320,796		2,320,796		2,320,796	
20 CASH UAMS State Appropriation	1,071,712,165		1,905,405,000		1,905,405,000		1,905,405,000		1,905,405,000		1,905,405,000		1,905,405,000	
21 CASH Pharmacy Student Loans	0		550,000		550,000		550,000		550,000		550,000		550,000	
22 TOTAL	\$1,197,808,621	8,711	\$2,042,252,318	8,867	\$2,062,111,851	11,627	\$2,092,858,415	11,628	\$2,092,858,415	11,628	\$2,096,415,797	11,628	\$2,096,415,797	11,628
23 FUNDING SOURCES		%		%				%		%		%		%
24 PRIOR YEAR FUND BALANCE*	1,099,902	0%	3,005,199	0%			0	0%	0	0%	0	0%	0	0%
25 GENERAL REVENUE - INCLUDES CARDVC, CHILD SAFETY, Ped & PRI	99,039,648	8%	99,062,249	5%			140,716,375	7%	140,716,375	7%	144,159,078	7%	144,159,078	7%
26 INDIGENT CARE APPROPRIATION	5,346,544	0%	5,342,181	0%			5,497,923	0%	5,497,923	0%	5,629,507	0%	5,629,507	0%
27 EDUCATIONAL EXCELLENCE TRUST FUND	8,784,582	1%	8,966,330	0%			8,966,330	0%	8,966,330	0%	8,966,330	0%	8,966,330	0%
28 EDUC EXCEL TRUST - INDIGENT CARE	218,594	0%	223,117	0%			223,117	0%	223,117	0%	223,117	0%	223,117	0%
29 CASH FUNDS	923,979,224	77%	1,752,801,911	86%			1,745,955,000	83%	1,745,955,000	83%	1,737,955,000	83%	1,737,955,000	83%
30 FEDERAL FUNDS	147,732,941	12%	153,153,089	7%			160,000,000	8%	160,000,000	8%	168,000,000	8%	168,000,000	8%
31 TOBACCO SETTLEMENT FUNDS	8,849,577	1%	14,018,495	1%			14,018,495	1%	14,018,495	1%	14,018,495	1%	14,018,495	1%
32 OTHER FUNDS (BAIL BONDS)	363,847	0%	363,975	0%			0	0%	0	0%	0	0%	0	0%
33 OTHER FUNDS	5,398,961	0%	5,315,772	0%			17,481,175	1%	17,481,175	1%	17,464,270	1%	17,464,270	1%
34 TOTAL INCOME	\$1,200,813,820	100%	\$2,042,252,318	100%			\$2,092,858,415	100%	\$2,092,858,415	100%	\$2,096,415,797	100%	\$2,096,415,797	100%
35 EXCESS (FUNDING)/APPROPRIATION	-\$3,005,199		\$0				\$0		\$0		\$0		\$0	

FORM 13-1

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2012:	\$223,720,003
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$116,652,802
INVENTORIES	\$18,707,479
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	
MAJOR CRITICAL SYSTEMS FAILURES	
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$118,352,714
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	(\$29,992,992)

*Line 24 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2013-15 BIENNIUM (Non-Formula Entities)**

UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES

NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2011-12 ACTUAL	2012-13 BUDGETED *	2013-15 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS			
			2013-14		2014-15	
			REQUEST	RECOMMENDATION	REQUEST	RECOMMENDATION
1 College of Medicine	399,368,729	431,188,131	521,714,115	521,714,115	524,374,460	524,374,460
2 College of Nursing	7,510,647	7,328,408	10,422,212	10,422,212	10,680,112	10,680,112
3 College of Pharmacy	14,076,645	13,409,528	18,456,806	18,456,806	18,746,127	18,746,127
4 College of Health Professions	8,564,533	9,799,308	10,814,443	10,814,443	11,080,617	11,080,617
5 College of Public Health	4,328,148	5,155,363	12,348,651	12,348,651	12,479,492	12,479,492
6 Graduate School	802,270	846,543	976,692	976,692	1,002,155	1,002,155
7 Institutional Support	(2,510,988)	(9,012,959)	38,081,614	38,081,614	39,116,853	39,116,853
8 Chancellor	4,921,404	4,085,773	6,740,214	6,740,214	6,791,088	6,791,088
9 Center for Health Improvement	2,965,780	5,669,329	5,974,501	5,974,501	5,999,677	5,999,677
10 Academic Affairs	10,263,915	11,859,620	18,660,314	18,660,314	18,915,207	18,915,207
11 Finance	7,686,833	8,212,194	8,008,321	8,008,321	8,225,967	8,225,967
12 Administration & Government Affairs	5,226,223	5,378,561	5,533,254	5,533,254	5,658,989	5,658,989
13 Campus Operations	21,131,658	24,757,984	23,933,760	23,933,760	24,388,277	24,388,277
14 Communications	4,440,632	4,501,768	4,827,937	4,827,937	4,900,988	4,900,988
15 Development & Alumni Affairs	1,913,958	2,671,346	2,369,013	2,369,013	2,445,124	2,445,124
16 Diversity Affairs	704,109	748,153	422,159	422,159	444,690	444,690
17 Institutional Compliance	2,336,240	2,819,749	2,525,194	2,525,194	2,603,434	2,603,434
18 Research	3,750,973	4,161,277	3,735,063	3,735,063	3,837,287	3,837,287
19 Information Technology	19,076,331	23,299,471	46,614,125	46,614,125	33,097,148	33,097,148
20 Hospital & Clinical Programs	554,804,451	565,135,126	520,271,389	520,271,389	536,900,804	536,900,804
21 Regional Programs	54,406,479	62,344,588	62,436,555	62,436,555	63,247,371	63,247,371
22 NW Arkansas Campus	6,017,367	5,612,361	5,195,324	5,195,324	5,300,947	5,300,947
23 Biosciences Institute	257,793	250,000	269,643	269,643	269,643	269,643
24 Jones Eye Institute	837,825	794,413	857,702	857,702	873,118	873,118
25 Myeloma Institute	7,870,161	6,719,798	13,228,441	13,228,441	13,263,695	13,263,695
26 Psychiatric Research Institute	21,907,298	24,860,146	21,820,937	21,820,937	22,101,294	22,101,294
27 Reynolds Institute on Aging	1,111,979	1,267,565	3,744,750	3,744,750	3,766,572	3,766,572
28 Rockefeller Cancer Institute	8,112,368	8,719,854	10,293,144	10,293,144	9,993,244	9,993,244
29 Stephens Spine/Neurology Institute	357,913	362,963	527,725	527,725	538,234	538,234
30 Translational Research Institute	259,694	1,050,069				
31 Meaningful Use - Hospital & Clinics	0			0		0
32 Meaningful Use - Regional Programs	0			0		0
31 MANDATORY TRANSFERS	22,856,434	22,566,010	4,973,170	4,973,170	5,122,365	5,122,365
32 AUXILIARY TRANSFERS						
33 NON-MANDATORY TRANSFERS	(73,557,230)	(72,538,340)				
34 TOTAL UNREST. E&G EXP.	\$1,121,800,572	\$1,184,024,100	\$1,385,777,168	\$1,385,777,168	\$1,396,164,979	\$1,396,164,979
35 NET LOCAL INCOME	1,022,822,947	1,068,004,224	1,234,445,095	1,230,373,423	1,235,048,341	1,237,186,948
36 PRIOR YEAR BALANCE***						
37 STATE FUNDS:						
GENERAL REVENUE - INCLUDES						
38 CARDVC, CHILD SAFETY, Ped & PRI	99,039,648	99,062,248	136,680,722	140,716,375	146,333,784	144,159,158
39 INDIGENT CARE	5,346,544	5,342,181	5,497,923	5,497,923	5,629,426	5,629,426
40 EDUCATIONAL EXCELLENCE	8,784,582	8,966,330	8,930,311	8,966,330	8,930,311	8,966,330
41 EDUCATIONAL EXCELLENCE - IC	218,594	223,117	223,117	223,117	223,117	223,117
42 WORKFORCE 2000						
43 TOBACCO SETTLEMENT FUNDS						
44 OTHER STATE FUNDS *	2,536,024	2,426,000				
45 OTHER STATE FUNDS **	1,352,816					
46 TOTAL SOURCES OF INCOME	\$1,140,101,155	\$1,184,024,100	\$1,385,777,168	\$1,385,777,168	\$1,396,164,979	\$1,396,164,979

FORM 13-2 Nonformula

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in a footnote.

***Line 36 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

* OTHER STATE FUNDS - Mixed Drink Tax

** OTHER STATE FUNDS - GIF and M&R

**APPROPRIATION ACT FORM - STATE TREASURY
2013-15 BIENNIUM**

FUND CCA0000

INSTITUTION UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES
UAMS STATE APPROPRIATION - OPERATIONS

APPROPRIATION 429

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES	85,849,570	85,464,394	86,183,536	124,978,179	128,156,797		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	8,813,142	8,655,938	9,152,234	12,594,148	12,928,062		
5 OPERATING EXPENSES							
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES							
8 CAPITAL OUTLAY	74,919						
9 FUNDED DEPRECIATION	1,130,663	1,151,724	1,192,465	1,192,465	1,192,465		
10 TEXARKANA AHEC	253,300	252,538	261,472	261,472	261,472		
11 POISON & DRUG INFO CENTER	802,116	799,704	827,993	827,993	827,993		
12 ADDITIONAL AHEC SUPPORT	2,331,696	2,324,684	2,406,918	2,406,918	2,406,918		
13 GRANTS/AID (ACH & INDIGENT CARE)	5,457,507	5,441,094	5,633,570	5,633,570	5,633,570		
14 CONTIGENCY		5,000,000	5,000,000				
15 TOTAL APPROPRIATION	\$104,712,913	\$109,090,076	\$110,658,188	\$147,894,745	\$151,407,277	\$0	\$0
16 PRIOR YEAR FUND BALANCE**	1,099,902	3,005,199					
17 GENERAL REVENUE	89,732,466	88,406,661		130,039,171	133,403,048		
18 INDIGENT CARE APPROPRIATION	5,346,544	5,342,181		5,497,923	5,629,507		
19 EDUC EXCELLENCE TRUST FUND	8,784,582	8,966,330		8,966,330	8,966,330		
20 EDUC EXCEL TRUST - INDIGENT CARE	218,594	223,117		223,117	223,117		
21 SPECIAL REVENUES* [WF2000]							
22 FEDERAL FUNDS IN STATE TREASURY							
23 TOBACCO SETTLEMENT FUNDS							
24 CHILD ABUSE / DOMESTIC VIOLENCE							
25 CHILD SAFETY CENTERS (Gen Rev)		720,588		742,204	759,275		
26 OTHER STATE TREASURY FUNDS	2,536,024	2,426,000		2,426,000	2,426,000		
27 TOTAL INCOME	\$107,718,112	\$109,090,076		\$147,894,745	\$151,407,277	\$0	\$0
28 EXCESS (FUNDING)/APPROPRIATION	-\$3,005,199	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 21- "Special Revenues".

**Line 16 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

NOTE: OTHER STATE TREASURY FUNDS - Mixed Drink Revenue (CCA0200)

FORM 13-3

**APPROPRIATION ACT FORM - STATE TREASURY
2013-15 BIENNIUM**

FUND CCA0000

INSTITUTION UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES

APPROPRIATION 59P

UAMS STATE APPROPRIATION - HEALTHCARE INITIATIVE

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES							
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING							
5 OPERATING EXPENSES							
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES							
8 CAPITAL OUTLAY							
9 FUNDED DEPRECIATION							
10 AREA HEALTH EDUCATION CENTERS	3,400,000	3,400,000	3,400,000	3,400,000	3,400,000		
11 ARKANSAS HEALTH DATA INITIATIVE	686,000	700,000	700,000	700,000	700,000		
12 UAMS NORTHWEST ARK MEDICAL SCHOOL	3,000,000	3,000,000	4,000,000	3,000,000	3,000,000		
13 TOTAL APPROPRIATION	\$7,086,000	\$7,100,000	\$8,100,000	\$7,100,000	\$7,100,000	\$0	\$0
14 PRIOR YEAR FUND BALANCE**							
15 GENERAL REVENUE	7,086,000	7,100,000		7,100,000	7,100,000		
16 EDUCATIONAL EXCELLENCE TRUST FUND							
17 SPECIAL REVENUES * [WF2000]							
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS							
21 TOTAL INCOME	\$7,086,000	\$7,100,000		\$7,100,000	\$7,100,000	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2013-15 BIENNIUM**

FUND CCA0100 INSTITUTION UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES APPROPRIATION 83C
PSYCHIATRIC RESEARCH INSTITUTE & DEPARTMENT OF PEDIATRICS

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES							
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING							
5 OPERATING EXPENSES							
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES							
8 CAPITAL OUTLAY							
9 FUNDED DEPRECIATION							
10 PSYCHIATRIC RESEARCH INSTITUTE EXPENSES FOR TRAINING, TREATMENT, COMMUNITY PROVIDERS, & PERSONAL SERVICES	800,000	1,250,000	1,260,000	1,250,000	1,294,850		
11 DEPARTMENT OF PEDIATRICS EXPENSES FOR TRAINING, TREATMENT, & ADVOCATE EDUCATION	500,000	500,000	500,000	500,000	500,000		
12 DEPARTMENT OF PEDIATRICS EXPENSES FOR MEDICAL EXAMINATION, CONSULTATION, EDUCATION, & TRAINING	200,000	200,000	200,000	200,000	200,000		
13 TOTAL APPROPRIATION	\$1,500,000	\$1,950,000	\$1,960,000	\$1,950,000	\$1,994,850	\$0	\$0
14 PRIOR YEAR FUND BALANCE**							
15 GENERAL REVENUE	1,500,000	1,950,000		1,950,000	1,994,850		
16 EDUCATIONAL EXCELLENCE TRUST FUND							
17 SPECIAL REVENUES * [WF2000]							
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS							
21 TOTAL INCOME	\$1,500,000	\$1,950,000		\$1,950,000	\$1,994,850	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2013-15 BIENNIUM**

FUND CCA0000

INSTITUTION UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES

APPROPRIATION 59Q

UAMS STATE APPROPRIATION - COLORECTAL CANCER SCREENING & RESEARCH

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES							
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING							
5 OPERATING EXPENSES							
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES							
8 CAPITAL OUTLAY							
9 FUNDED DEPRECIATION							
10 COLORECTAL CANCER SCREEN & RSCH	0	0	5,000,000	0	0		
11							
12							
13 TOTAL APPROPRIATION	\$0	\$0	\$5,000,000	\$0	\$0	\$0	\$0
14 PRIOR YEAR FUND BALANCE**							
15 GENERAL REVENUE	0	0		0	0		
16 EDUCATIONAL EXCELLENCE TRUST FUND							
17 SPECIAL REVENUES * [WF2000]							
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS							
21 TOTAL INCOME	\$0	\$0		\$0	\$0	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM 13-3

**APPROPRIATION ACT FORM - STATE TREASURY
2013-15 BIENNIUM**

FUND CCA0000

INSTITUTION UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES

APPROPRIATION 86R

UAMS STATE APPROPRIATION - NEWBORN UMBILICAL CORD BLOOD PROGRAM

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES							
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING							
5 OPERATING EXPENSES							
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES							
8 CAPITAL OUTLAY							
9 FUNDED DEPRECIATION							
10 NEWBORN UMBILICAL CORD BLOOD PROG	0	150,000	250,000	150,000	150,000		
11							
12							
13 TOTAL APPROPRIATION	\$0	\$150,000	\$250,000	\$150,000	\$150,000	\$0	\$0
14 PRIOR YEAR FUND BALANCE**							
15 GENERAL REVENUE	0	150,000		150,000	150,000		
16 EDUCATIONAL EXCELLENCE TRUST FUND							
17 SPECIAL REVENUES * [WF2000]							
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS							
21 TOTAL INCOME	\$0	\$150,000		\$150,000	\$150,000	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2013-15 BIENNIUM**

FUND CCA0000

INSTITUTION UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES

APPROPRIATION 86S

UAMS STATE APPROPRIATION - ADULT SICKLE CELL DISEASE PROGRAM

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES							
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING							
5 OPERATING EXPENSES							
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES							
8 CAPITAL OUTLAY							
9 FUNDED DEPRECIATION							
10 ADULT SICKLE CELL DISEASE PROGRAM	0	0	379,993	0	0		
11							
12							
13 TOTAL APPROPRIATION	\$0	\$0	\$379,993	\$0	\$0	\$0	\$0
14 PRIOR YEAR FUND BALANCE**							
15 GENERAL REVENUE	0	0		0	0		
16 EDUCATIONAL EXCELLENCE TRUST FUND							
17 SPECIAL REVENUES * [WF2000]							
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS							
21 TOTAL INCOME	\$0	\$0		\$0	\$0	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM 13-3

**APPROPRIATION ACT FORM - STATE TREASURY
2013-15 BIENNIUM**

FUND CCA0100

INSTITUTION UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES

APPROPRIATION 38E

UAMS STATE APPROPRIATION - CHILD ABUSE AND NEGLECT PROGRAMS

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES							
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING							
5 OPERATING EXPENSES							
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES							
8 CAPITAL OUTLAY							
9 FUNDED DEPRECIATION							
10 CHILD ABUSE & NEGLECT PROGRAMS	1,130,291	1,145,000	5,000,000	5,000,000	5,000,000		
11							
12							
13 TOTAL APPROPRIATION	\$1,130,291	\$1,145,000	\$5,000,000	\$5,000,000	\$5,000,000	\$0	\$0
14 PRIOR YEAR FUND BALANCE**							
15 GENERAL REVENUE / CARDVC	721,182	735,000		735,000	751,905		
16 EDUCATIONAL EXCELLENCE TRUST FUND							
17 SPECIAL REVENUES * [WF2000]							
18 FEDERAL FUNDS IN STATE TREASURY							
19 OTHER STATE TREASURY FUNDS	409,109	410,000		4,265,000	4,248,095		
20 TOTAL INCOME	\$1,130,291	\$1,145,000		\$5,000,000	\$5,000,000	\$0	\$0
21 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2013-15 BIENNIUM**

FUND HUA1503 INSTITUTION UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES APPROPRIATION 1UV
POISON & DRUG INFORMATION CENTER & DISEASE MANAGEMENT

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES							
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING							
5 OPERATING EXPENSES							
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES							
8 CAPITAL OUTLAY							
9 FUNDED DEPRECIATION							
10 ADDITIONAL SUPPORT FOR THE ARKANSAS POISON & DRUG INFORMATION CENTER	139,959	139,959	139,959	139,959	139,959		
11 SUPPORT FOR DISEASE STATE MANAGEMENT	160,000	160,000	160,000	160,000	160,000		
12							
13 TOTAL APPROPRIATION	\$299,959	\$299,959	\$299,959	\$299,959	\$299,959	\$0	\$0
14 PRIOR YEAR FUND BALANCE**							
15 GENERAL REVENUE							
16 EDUCATIONAL EXCELLENCE TRUST FUND							
17 SPECIAL REVENUES * [WF2000]							
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS	299,959	299,959		299,959	299,959		
21 TOTAL INCOME	\$299,959	\$299,959		\$299,959	\$299,959	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

** Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

NOTE: OTHER STATE TREASURY FUNDS - Miscellaneous Agencies Fund

FORM 13-3

**APPROPRIATION ACT FORM - STATE TREASURY
2013-15 BIENNIUM**

FUND HUA1503

INSTITUTION UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES
POISON & DRUG INFORMATION CENTER

APPROPRIATION 1UV

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES							
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING							
5 OPERATING EXPENSES							
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES							
8 CAPITAL OUTLAY							
9 FUNDED DEPRECIATION							
10 POISON & DRUG INFOR CTR OPERATIONS	150,000	150,000	400,000	400,000	400,000		
11							
12							
13 TOTAL APPROPRIATION	\$150,000	\$150,000	\$400,000	\$400,000	\$400,000	\$0	\$0
14 PRIOR YEAR FUND BALANCE**							
15 GENERAL REVENUE							
16 EDUCATIONAL EXCELLENCE TRUST FUND							
17 SPECIAL REVENUES * [WF2000]							
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS	150,000	150,000		400,000	400,000		
21 TOTAL INCOME	\$150,000	\$150,000		\$400,000	\$400,000	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

** Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

NOTE: OTHER STATE TREASURY FUNDS - Miscellaneous Agencies Fund

FORM 13-3

**APPROPRIATION ACT FORM - STATE TREASURY
2013-15 BIENNIUM**

FUND MBR0100

INSTITUTION UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES
BREAST CANCER RESEARCH

APPROPRIATION 231

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES							
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING							
5 OPERATING EXPENSES							
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES							
8 CAPITAL OUTLAY							
9 FUNDED DEPRECIATION							
10 BREAST CANCER RESEARCH PROG EXP	927,319	928,000	1,194,216	1,194,216	1,194,216		
11							
12							
13 TOTAL APPROPRIATION	\$927,319	\$928,000	\$1,194,216	\$1,194,216	\$1,194,216	\$0	\$0
14 PRIOR YEAR FUND BALANCE**							
15 GENERAL REVENUE							
16 EDUCATIONAL EXCELLENCE TRUST FUND							
17 SPECIAL REVENUES * [WF2000]							
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS3	927,319	928,000		1,194,216	1,194,216		
21 TOTAL INCOME	\$927,319	\$928,000		\$1,194,216	\$1,194,216	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

** Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

NOTE: OTHER STATE TREASURY FUNDS - Breast Cancer Research Fund

FORM 13-3

**APPROPRIATION ACT FORM - STATE TREASURY
2013-15 BIENNIUM**

FUND MBR0200

INSTITUTION UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES
BREAST CANCER RESEARCH - SUSAN G. KOMEN

APPROPRIATION 231

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES							
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING							
5 OPERATING EXPENSES							
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES							
8 CAPITAL OUTLAY							
9 FUNDED DEPRECIATION							
10 BREAST CANCER RESEARCH - KOMEN	189,800	190,000	500,000	500,000	500,000		
11							
12							
13 TOTAL APPROPRIATION	\$189,800	\$190,000	\$500,000	\$500,000	\$500,000	\$0	\$0
14 PRIOR YEAR FUND BALANCE**							
15 GENERAL REVENUE							
16 EDUCATIONAL EXCELLENCE TRUST FUND							
17 SPECIAL REVENUES * [WF2000]							
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS	189,800	190,000		500,000	500,000		
21 TOTAL INCOME	\$189,800	\$190,000		\$500,000	\$500,000	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

** Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

NOTE: OTHER STATE TREASURY FUNDS - Breast Cancer Research Fund

FORM 13-3

**APPROPRIATION ACT FORM - STATE TREASURY
2013-15 BIENNIUM**

FUND MNU0000

INSTITUTION UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES
NEWBORN UMBILICAL CORD BLOOD INITIATIVE

APPROPRIATION 58U

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES							
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING							
5 OPERATING EXPENSES							
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES							
8 CAPITAL OUTLAY							
9 FUNDED DEPRECIATION							
10 NEWBORN UMBILICAL CORD BLOOD INIT	5,186	5,200	546,000	546,000	546,000		
11							
12							
13 TOTAL APPROPRIATION	\$5,186	\$5,200	\$546,000	\$546,000	\$546,000	\$0	\$0
14 PRIOR YEAR FUND BALANCE**							
15 GENERAL REVENUE							
16 EDUCATIONAL EXCELLENCE TRUST FUND							
17 SPECIAL REVENUES * [WF2000]							
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS	5,186	5,200		546,000	546,000		
21 TOTAL INCOME	\$5,186	\$5,200		\$546,000	\$546,000	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

** Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

NOTE: OTHER STATE TREASURY FUNDS - Newborn Umbilical Cord Blood Initiative Fund

**APPROPRIATION ACT FORM - STATE TREASURY
2013-15 BIENNIUM**

FUND SML0000

INSTITUTION UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES
DOMESTIC VIOLENCE SHELTER - PROGRAMS

APPROPRIATION 1PQ

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES							
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING							
5 OPERATING EXPENSES							
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES							
8 CAPITAL OUTLAY							
9 FUNDED DEPRECIATION							
10 DOMESTIC VIOLENCE SHELTER PROGRAMS	720,335	720,588	6,100,000	6,100,000	6,100,000		
11 DOMESTIC VIOLENCE SHELTER GRANTS			1,000,000	1,000,000	1,000,000		
12							
13 TOTAL APPROPRIATION	\$720,335	\$720,588	\$7,100,000	\$7,100,000	\$7,100,000	\$0	\$0
14 PRIOR YEAR FUND BALANCE**							
15 GENERAL REVENUE							
16 EDUCATIONAL EXCELLENCE TRUST FUND							
17 SPECIAL REVENUES * [WF2000]							
18 FEDERAL FUNDS IN STATE TREASURY							
19 OTHER STATE TREASURY FUNDS (1)	363,847	363,975					
20 OTHER STATE TREASURY FUNDS (2)	356,488	356,613		7,100,000	7,100,000		
21 TOTAL INCOME	\$720,335	\$720,588		\$7,100,000	\$7,100,000	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2013-15 BIENNIUM**

FUND TSE0201

INSTITUTION UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES
TOBACCO FUNDING - INSTITUTE ON AGING

APPROPRIATION 322

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES	960,686	1,292,959	1,292,959	1,292,959	1,292,959		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	230,000	316,765	316,765	316,765	316,765		
5 OPERATING EXPENSES	348,079	603,713	603,713	603,713	603,713		
6 CONFERENCE FEES & TRAVEL	19,000	52,128	52,128	52,128	52,128		
7 PROFESSIONAL FEES AND SERVICES							
8 CAPITAL OUTLAY	0	55,231	55,231	55,231	55,231		
9 FUNDED DEPRECIATION							
10							
11							
12							
13 TOTAL APPROPRIATION	\$1,557,765	\$2,320,796	\$2,320,796	\$2,320,796	\$2,320,796	\$0	\$0
14 PRIOR YEAR FUND BALANCE**							
15 GENERAL REVENUE							
16 EDUCATIONAL EXCELLENCE TRUST FUND							
17 SPECIAL REVENUES * [WF2000]							
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS	1,557,765	2,320,796		2,320,796	2,320,796		
20 OTHER STATE TREASURY FUNDS							
21 TOTAL INCOME	\$1,557,765	\$2,320,796		\$2,320,796	\$2,320,796	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2013-15 BIENNIUM**

FUND TSE0202

INSTITUTION UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES
TOBACCO FUNDING - COLLEGE OF PUBLIC HEALTH

APPROPRIATION 347

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES	1,861,000	2,561,276	2,561,276	2,561,276	2,561,276		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	409,616	564,670	564,670	564,670	564,670		
5 OPERATING EXPENSES	50,000	50,000	50,000	50,000	50,000		
6 CONFERENCE FEES & TRAVEL	15,000	20,000	20,000	20,000	20,000		
7 PROFESSIONAL FEES AND SERVICES							
8 CAPITAL OUTLAY							
9 FUNDED DEPRECIATION							
10							
11							
12							
13 TOTAL APPROPRIATION	\$2,335,616	\$3,195,946	\$3,195,946	\$3,195,946	\$3,195,946	\$0	\$0
14 PRIOR YEAR FUND BALANCE**							
15 GENERAL REVENUE							
16 EDUCATIONAL EXCELLENCE TRUST FUND							
17 SPECIAL REVENUES * [WF2000]							
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS	2,335,616	3,195,946		3,195,946	3,195,946		
20 OTHER STATE TREASURY FUNDS							
21 TOTAL INCOME	\$2,335,616	\$3,195,946		\$3,195,946	\$3,195,946	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2013-15 BIENNIUM**

FUND TSF0300

INSTITUTION UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES
TOBACCO FUNDING - ARKANSAS BIOSCIENCES

APPROPRIATION 365

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES	1,139,700	1,407,267	1,407,267	1,407,267	1,407,267		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	264,000	337,875	337,875	337,875	337,875		
5 OPERATING EXPENSES	536,800	1,042,499	1,042,499	1,042,499	1,042,499		
6 CONFERENCE FEES & TRAVEL	7,700	23,960	23,960	23,960	23,960		
7 PROFESSIONAL FEES AND SERVICES		37,940	37,940	37,940	37,940		
8 CAPITAL OUTLAY	249,900	1,434,680	1,434,680	1,434,680	1,434,680		
9 FUNDED DEPRECIATION							
10 ARKANSAS CHILDREN'S HOSPITAL	1,377,196	1,896,736	1,896,736	1,896,736	1,896,736		
11							
12							
13 TOTAL APPROPRIATION	\$3,575,296	\$6,180,957	\$6,180,957	\$6,180,957	\$6,180,957	\$0	\$0
14 PRIOR YEAR FUND BALANCE**							
15 GENERAL REVENUE							
16 EDUCATIONAL EXCELLENCE TRUST FUND							
17 SPECIAL REVENUES * [WF2000]							
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS	3,575,296	6,180,957		6,180,957	6,180,957		
20 OTHER STATE TREASURY FUNDS							
21 TOTAL INCOME	\$3,575,296	\$6,180,957		\$6,180,957	\$6,180,957	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM 13-3

**APPROPRIATION ACT FORM - STATE TREASURY
2013-15 BIENNIUM**

FUND TSE0203

INSTITUTION UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES
TOBACCO FUNDING - DELTA AHEC

APPROPRIATION 368

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES	813,300	1,292,959	1,292,959	1,237,959	1,237,959		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	205,000	349,098	316,765	316,765	316,765		
5 OPERATING EXPENSES	362,600	583,380	603,713	603,713	603,713		
6 CONFERENCE FEES & TRAVEL		40,128	52,128	52,128	52,128		
7 PROFESSIONAL FEES AND SERVICES				25,000	25,000		
8 CAPITAL OUTLAY		55,231	55,231	55,231	55,231		
9 FUNDED DEPRECIATION				30,000	30,000		
10							
11							
12							
13 TOTAL APPROPRIATION	\$1,380,900	\$2,320,796	\$2,320,796	\$2,320,796	\$2,320,796	\$0	\$0
14 PRIOR YEAR FUND BALANCE**							
15 GENERAL REVENUE							
16 EDUCATIONAL EXCELLENCE TRUST FUND							
17 SPECIAL REVENUES * [WF2000]							
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS	1,380,900	2,320,796		2,320,796	2,320,796		
20 OTHER STATE TREASURY FUNDS							
21 TOTAL INCOME	\$1,380,900	\$2,320,796		\$2,320,796	\$2,320,796	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS
2013-15 BIENNIUM**

FUND 2040000

INSTITUTION UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES
UAMS CASH APPROPRIATION

APPROPRIATION A85

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES	529,315,446	805,200,000	805,200,000	805,200,000	805,200,000		
2 EXTRA HELP WAGES		25,000,000	25,000,000	25,000,000	25,000,000		
3 OVERTIME	7,013,708	20,000,000	20,000,000	20,000,000	20,000,000		
4 PERSONAL SERVICES MATCHING	132,220,262	201,262,000	201,262,000	201,262,000	201,262,000		
5 OPERATING EXPENSES	315,734,427	513,643,000	513,643,000	513,643,000	513,643,000		
6 CONFERENCE FEES & TRAVEL	2,975,379	20,000,000	20,000,000	20,000,000	20,000,000		
7 PROFESSIONAL FEES AND SERVICES	8,424,809	45,000,000	45,000,000	45,000,000	45,000,000		
8 CAPITAL OUTLAY	76,019,924	85,000,000	85,000,000	85,000,000	85,000,000		
9 CAPITAL IMPROVEMENTS		110,000,000	110,000,000	110,000,000	110,000,000		
10 DEBT SERVICE	8,210	30,000,000	30,000,000	30,000,000	30,000,000		
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS		40,000,000	40,000,000	40,000,000	40,000,000		
12 CHILDREN'S JUSTICE ACT		250,000	250,000	250,000	250,000		
13 WAR MEMORIAL PARKING FEES		50,000	50,000	50,000	50,000		
14 INDIGENT CARE & BREAST CANCER RSRCH PROG		10,000,000	10,000,000	10,000,000	10,000,000		
15							
16 TOTAL APPROPRIATION	1,071,712,165	1,905,405,000	1,905,405,000	1,905,405,000	1,905,405,000	\$0	\$0
17 PRIOR YEAR FUND BALANCE***							
18 TUITION AND MANDATORY FEES	29,585,044	32,489,267		34,000,000	36,000,000		
19 ALL OTHER FEES							
20 SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	31,405,499	40,172,374		42,000,000	46,200,000		
21 INVESTMENT INCOME	4,017,270	1,818,650		2,000,000	3,000,000		
22 FEDERAL CASH FUNDS	147,732,941	153,153,089		160,000,000	168,000,000		
23 OTHER CASH FUNDS	858,971,411	1,677,771,620		1,667,405,000	1,652,205,000		
24 TOTAL INCOME	1,071,712,165	1,905,405,000		1,905,405,000	1,905,405,000	\$0	\$0
25 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED 2012-13	REQUEST	RECOMMEND	LEGISLATIVE RECOMMENDATION	
				2013-14	2013-14	2013-14	2014-15
REGULAR POSITIONS	8,711	8,867	11,627	11,628	11,628		
TOBACCO POSITIONS	35	32	113	113	113		
EXTRA HELP **	555	521	1,565	1,565	1,565		

FORM 13-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS
2013-15 BIENNIUM**

FUND 2040000

INSTITUTION UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES
PHARMACY STUDENT LOANS

APPROPRIATION A85

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES							
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING							
5 OPERATING EXPENSES							
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES							
8 CAPITAL OUTLAY							
9 CAPITAL IMPROVEMENTS							
10 DEBT SERVICE							
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS							
12 PHARMACY STUDENT LOANS		550,000	550,000	550,000	550,000		
13							
14							
15							
16 TOTAL APPROPRIATION	\$0	\$550,000	\$550,000	\$550,000	\$550,000	\$0	\$0
17 PRIOR YEAR FUND BALANCE***							
18 TUITION AND MANDATORY FEES							
19 LOCAL CASH FUNDS							
20 SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS							
21 INVESTMENT INCOME							
22 FEDERAL CASH FUNDS							
23 OTHER CASH FUNDS*		550,000		550,000	550,000		
24 TOTAL INCOME	\$0	\$550,000		\$550,000	\$550,000	\$0	\$0
25 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED 2012-13	REQUEST	RECOMMEND	LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
REGULAR POSITIONS							
TOBACCO POSITIONS							
EXTRA HELP **							

FORM 13-4

* Funding comes through Pharmacy Board

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES
(NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2011-12				BUDGETED 2012-13			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS				0				0
2 HOUSING	1,751,017	961,998	732,890	56,129	1,165,584	432,999	732,585	0
3 FOOD SERVICES				0				0
4 STUDENT UNION				0				0
5 BOOKSTORE	985,255	940,570	0	44,685	1,011,856	1,011,856	0	0
6 STUDENT ORGANIZATIONS AND PUBLICATIONS	129,473	70,682	0	58,791	129,250	55,000	0	74,250
7 OTHER *	3,955,564	1,993,238	1,620,819	341,507	3,944,145	2,692,745	1,620,701	(369,301)
8 SUBTOTAL	6,821,309	3,966,488	2,353,709	501,112	6,250,835	4,192,600	2,353,286	(295,051)
9 ATHLETIC TRANSFER				0				0
10 OTHER TRANSFERS				0				0
11 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	6,821,309	3,966,488	2,353,709	501,112	6,250,835	4,192,600	2,353,286	(295,051)

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 13-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 7 Other - Other Auxiliary Revenue is comprised of Parking revenue, Rental Properties and Clinical Valet Parking.

NOTE: FY13 deficit for Valet Parking will be covered by University Hospital.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2011-12: (As of November 1, 2011)					<u>8,748</u>
Nonclassified Administrative Employees:					
White Male:	<u>561</u>	Black Male:	<u>63</u>	Other Male:	<u>85</u>
White Female:	<u>1344</u>	Black Female:	<u>301</u>	Other Female:	<u>145</u>
				Total Male:	<u>709</u>
				Total Female:	<u>1,790</u>
Nonclassified Health Care Employees:					
White Male:	<u>396</u>	Black Male:	<u>142</u>	Other Male:	<u>33</u>
White Female:	<u>1921</u>	Black Female:	<u>811</u>	Other Female:	<u>142</u>
				Total Male:	<u>571</u>
				Total Female:	<u>2,874</u>
Classified Employees:					
White Male:	<u>212</u>	Black Male:	<u>161</u>	Other Male:	<u>14</u>
White Female:	<u>572</u>	Black Female:	<u>467</u>	Other Female:	<u>53</u>
				Total Male:	<u>387</u>
				Total Female:	<u>1,092</u>
Faculty:					
White Male:	<u>600</u>	Black Male:	<u>17</u>	Other Male:	<u>147</u>
White Female:	<u>435</u>	Black Female:	<u>31</u>	Other Female:	<u>95</u>
				Total Male:	<u>764</u>
				Total Female:	<u>561</u>
Total White Male:	<u>1,769</u>	Total Black Male:	<u>383</u>	Total Other Male:	<u>279</u>
Total White Female:	<u>4,272</u>	Total Black Female:	<u>1,610</u>	Total Other Female:	<u>435</u>
Total White:	<u>6,041</u>	Total Black:	<u>1,993</u>	Total Other:	<u>714</u>
				Total Minority:	<u>2,707</u>
				Total Employees:	<u>8,748</u>

FORM 13-6

**ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$25,000 AWARDED TO MINORITY OWNED BUSINESSES
Fiscal Year 2012
Required by A.C.A. 25-36-104**

Institution

UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
JE SYSTEMS INC	\$37,548		X				
ARKANSAS SPANISH INTERPRETERS	\$126,075		x				

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 2

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$33,780,597
(Total Expenditures equals ALL Contracts Exceeding \$25,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0.5%

NOTES: Contracts exclude drugs and medicine, blood derivatives, medical implants & appliances, and mandatory state contract

**DIVISION OF LEGISLATIVE AUDIT
AUDIT OF UNIVERSITY OF ARKANSAS – MEDICAL SCIENCES
June 30, 2011**

FINDINGS COVERING PROGRAMS AUDITED BY OTHER EXTERNAL AUDITORS

U.S. DEPARTMENT OF EDUCATION

Finding Number: 11-150-01
State/Educational Agency(s): University of Arkansas for Medical Sciences
CFDA Number(s) and Program Title(s): 84.268 – Federal Direct Student Loans
(Student Financial Assistance Cluster)
Federal Award Number(s): N/A
Federal Award Year(s): July 1, 2010 to June 30, 2011
Compliance Requirement(s) Affected: Enrollment Reporting
Type of Finding: Noncompliance and Significant Deficiency

Criteria:

In accordance with 34 CFR section 685.209, under Federal Direct Loan programs, schools must complete and return within 30 days the Enrollment Reporting roster file (formerly the Student Status Confirmation Report (SSCR)) placed in their Student Aid Internet Gateway (SAIG) mailboxes. Once received, the institution must update for changes in student status, report the date the enrollment status was effective, enter the new anticipated completion date, and submit the changes electronically through the batch method or the New Student Loan Data System (NSLDS) web site. Institutions are responsible for timely reporting, whether they report directly or via a third party servicer.

Unless the school expects to complete its next roster within 60 days, the school must notify the lender or the guaranty agency within 30 days, if it discovers that a student who received a loan either did not enroll or ceased to be enrolled on at least a half-time basis.

Condition and Context:

During our testing of Enrollment Reporting, we noted four instances out of a sample of 40 where changes in students' status were not reported to the NSLDS within 60 days. Two of the four students graduated in May 2011 but were not reported to NSLDS until September 2011. For the other two students, their information was not reported to the NSLDS as of September 2011, even though their statuses were updated in the student system prior to June 30, 2011. One student graduated in December 2010 and the other student graduated in May 2011.

Additionally, we noted that for three of the 40 students tested who withdrew from school during fiscal year 2011, the withdraw date in the student system did not match the withdraw date per the official notice from the registrar maintained in the students' file or the date recorded with the NSLDS. In some cases the registrar was entering the date the student cleared campus in the student system rather than the official withdraw date.

Questioned Costs:

None

Cause:

The college registrars do not always update the student system with status changes in a timely and accurate manner. We also found that the automatic system processes used by the University to match data in the student system to the NSLDS data and transmit changes in student status back to the NSLDS may have errors causing instances where student status changes posted in the student system are not reported to NSLDS.

Effect:

The Department of Education has the right to withdraw funding when there is reason to believe through periodic monitoring of review that the University is not in compliance with the requirements established.

**DIVISION OF LEGISLATIVE AUDIT
AUDIT OF UNIVERSITY OF ARKANSAS – MEDICAL SCIENCES
June 30, 2011**

FINDINGS COVERING PROGRAMS AUDITED BY OTHER EXTERNAL AUDITORS (Continued)

U.S. DEPARTMENT OF EDUCATION (Continued)

Finding Number: 11-150-01 (Continued)
State/Educational Agency(s): University of Arkansas for Medical Sciences
CFDA Number(s) and Program Title(s): 84.268 – Federal Direct Student Loans
(Student Financial Assistance Cluster)
Federal Award Number(s): N/A
Federal Award Year(s): July 1, 2010 to June 30, 2011
Compliance Requirement(s) Affected: Enrollment Reporting
Type of Finding: Noncompliance and Significant Deficiency

Recommendation:

We recommend management provide training to the registrars to ensure they understand the importance of updating the student system with accurate and timely student status changes. We also recommend that management perform a review of the automatic reporting processes between the student system and NSLDS to ensure the processes are working as expected and applicable data is properly reported between UAMS and NLSDS.

Views of Responsible Officials and Planned Corrective Action:

The registrars have been notified that it is critical to update student statuses accurately and in a timely manner. For the record, the OASIS Status Change Report was created and reviewed for accuracy. However, when the file was built and submitted to NSLDS within the required timeframe, it did not retain the correct status and our office did not receive an error message. We are reviewing with Academic Reporting the automatic reporting processes to ensure they are properly reporting the data to NSLDS and researching procedures to update data using the Clearinghouse.

The registrars have been notified that it is critical to update student statuses accurately and in a timely manner. Student Financial Services and Academic Computing staff have reviewed the current automatic reporting process and monitor/verify the data submitted to NSLDS. SFS, Academic Computing, and IT staff are currently investigating the submission of data using the Clearing House.

Anticipated Completion Date: Complete

**DIVISION OF LEGISLATIVE AUDIT
AUDIT OF UNIVERSITY OF ARKANSAS – MEDICAL SCIENCES
June 30, 2011**

FINDINGS COVERING PROGRAMS AUDITED BY OTHER EXTERNAL AUDITORS (Continued)

U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES

Finding Number: 11-150-02
State/Educational Agency(s): University of Arkansas for Medical Sciences
CFDA Number(s) and Program Title(s): 93.866 – Aging Research
93.307 – Minority Health and Health Disparities Research
(Research and Development Cluster)
Federal Award Number(s): 2P01AG012411-12A2; IR24MD002805-03
Federal Award Year(s): 04/01/2010 - 03/31/2011; 04/10/2010 - 03/31/2011
Compliance Requirement(s) Affected: Allowable Costs/Cost Principles; Subrecipient Monitoring
Type of Finding: Noncompliance and Significant Deficiency

Criteria:

In accordance with 2 CFR Section 220 and Federal Cost Accounting Standards, costs charged to federally funded programs should represent actual costs, not budgeted or projected amounts.

Condition and Context:

In testing a sample of 25 subrecipients, we identified two instances where subrecipients were paid the entire contract amount in advance of work being performed on the grant. The entire subaward was charged to the grant at the time of payment.

2P01AG012411-12A2: Imperial College was subcontracted to perform work from April 1, 2010 through March 31, 2011 for \$160,982. The entire subaward was paid in January 2011. The work performed by the subrecipient was not completed until March 32, 2011. As such, the University should not have paid the contract amount to the subrecipient until the work was complete. Additionally, the invoice received from the subrecipient did not indicate the period in which the work was completed.

IR24MD002805-03: Mississippi County Arkansas Economic, Inc. was subcontracted to perform work from April 1, 2010 through March 31, 2010 for \$61,160. The entire subaward was paid in July 2010. The work performed by the subrecipient was not completed until March 31, 2011. As such, the University should not have paid the contract amount to the subrecipient until the work was complete.

The total value of the 25 subrecipient awards tested was \$2,848,665. Costs paid to the two subrecipients discussed above were for allowable activities.

Questioned Costs:

None

Cause:

The Principal Investigator or Department Administrator responsible for reviewing invoices received from the subrecipient to ensure amounts billed represented actual costs incurred did not comply with University policies and procedures, as the invoice was processed for payment.

Effect:

Payments to subrecipients prior to the completion of a research or development project increases the likelihood of expenditures incurred for unallowable costs or activities. Prepayments on subrecipient contracts inhibit management's ability to maintain efficient and effective monitoring controls over costs incurred by the subrecipient during the contract period.

**DIVISION OF LEGISLATIVE AUDIT
AUDIT OF UNIVERSITY OF ARKANSAS – MEDICAL SCIENCES
June 30, 2011**

FINDINGS COVERING PROGRAMS AUDITED BY OTHER EXTERNAL AUDITORS (Continued)

U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES (Continued)

Finding Number: 11-150-02 (Continued)
State/Educational Agency(s): University of Arkansas for Medical Sciences
CFDA Number(s) and Program Title(s): 93.866 – Aging Research
93.307 – Minority Health and Health Disparities Research
(Research and Development Cluster)
Federal Award Number(s): 2P01AG012411-12A2; 1R24MD002805-03
Federal Award Year(s): 04/01/2010 - 03/31/2011; 04/10/2010 - 03/31/2011
Compliance Requirement(s) Affected: Allowable Costs/Cost Principles; Subrecipient Monitoring
Type of Finding: Noncompliance and Significant Deficiency

Recommendation:

We recommend that management discuss the above findings with the individuals responsible for monitoring subrecipient invoices to ensure they understand University policies and the related federal compliance requirements surrounding subrecipient monitoring and allowable costs/cost principles.

Views of Responsible Officials and Planned Corrective Action:

In both cases the subrecipients have submitted audits in the past that were clean, no findings. Both subrecipients have been contacted to submit documentation of the actual expenses incurred. Once the documentation is received it will be reviewed. Both department administrators have been notified that this is an unacceptable payment plan. The contracts are cost reimbursable so the future payments will be verified to be cost reimbursable.

In the case of 2P01AG012411-12A2: Imperial College the current year contract is being withheld until the documentation is received and approved.

In the case of 1R24MD002805-03: Mississippi County Arkansas Economic, Inc, a statement of actual costs to date has been submitted. A refund for currently unspent dollars has been requested. Future payments will be made based on cost reimbursable expenditures.

In the case of 2P01AG012411-12A2: Imperial College the current year contract continues to be withheld pending receipt of documentation and a refund. The need for a refund was discovered when the initial response was received from Imperial College.

In the case of 1R24MD002805-03, Mississippi County Arkansas Economic, Inc, a refund was received in November. Monthly invoices have been processed for reimbursements for the months of December through February.

Anticipated Completion Date: April 1, 2011 for refunds. Training is ongoing.

**DIVISION OF LEGISLATIVE AUDIT
AUDIT OF UNIVERSITY OF ARKANSAS – MEDICAL SCIENCES
June 30, 2011**

FINDINGS COVERING PROGRAMS AUDITED BY OTHER EXTERNAL AUDITORS (Continued)

U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES (Continued)

Finding Number: 11-150-03
State/Educational Agency(s): University of Arkansas for Medical Sciences
CFDA Number(s) and Program Title(s): 93.701 – ARRA – Trans-NIH Recovery Act Research Support
93.389 – National Center for Research Resources
(Research and Development Cluster)
Federal Award Number(s): 5RC1CA147697-02; 5P20RR020146-07; 3R01DK034817-24A1S1
Federal Award Year(s): 09/01/10 – 08/31/11; 05/01/10 – 04/30/11; 06/08/10 – 09/30/10
Compliance Requirement(s) Affected: Equipment and Real Property Management
Type of Finding: Noncompliance and Significant Deficiency

Criteria:

In accordance with 45 CFR Section 74.34(f), property management records for equipment acquired with federal funds shall include all of the following: description of equipment; serial number; model number; or other identification number; source of the equipment, including the award number; whether title vests in the recipient or Federal Government; acquisition date; location and condition of the equipment; unit acquisition cost; and ultimate disposition data.

Condition and Context:

A sample of 40 equipment purchases was selected for testing. For two pieces of equipment, the equipment records did not include the inventory tag numbers or locations of the assets. For one piece of equipment, the equipment record did not include the grant number.

Additionally, we were unable to locate one piece of equipment. Based on subsequent discussions with management, it was determined that the equipment had been returned to the vendor for repairs. The researcher had not notified Property Services of the return in accordance with UAMS Administrative Guide 5.2.02.

Questioned Costs:

None

Cause:

Proper procedures were not followed to appropriately record equipment information in the system after the equipment was received and tagged. For the returned equipment, we found that the department administrator was unaware of the procedure to return items through Property Services.

Effect:

Misappropriation or loss of equipment purchased with federal funds could occur when internal controls designed to prevent and detect noncompliance related to safeguarding equipment are not implemented or operating effectively.

Recommendation:

We recommend Property Services review the design and implementation of internal controls to ensure equipment records are properly updated when an asset is received and tagged.

We also recommend departmental personnel responsible for equipment review the UAMS Administrative Guide requirements to ensure equipment is properly accounted for and the proper forms are completed when equipment is returned to a vendor for repairs.

**DIVISION OF LEGISLATIVE AUDIT
AUDIT OF UNIVERSITY OF ARKANSAS – MEDICAL SCIENCES
June 30, 2011**

FINDINGS COVERING PROGRAMS AUDITED BY OTHER EXTERNAL AUDITORS (Continued)

U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES (Continued)

Finding Number: 11-150-03 (Continued)
State/Educational Agency(s): University of Arkansas for Medical Sciences
CFDA Number(s) and Program Title(s): 93.701 – ARRA – Trans-NIH Recovery Act Research Support
93.389 – National Center for Research Resources
(Research and Development Cluster)
Federal Award Number(s): 5RC1CA147697-02; 5P20RR020146-07; 3R01DK034817-24A1S1
Federal Award Year(s): 09/01/10 – 08/31/11; 05/01/10 – 04/30/11; 06/08/10 – 09/30/10
Compliance Requirement(s) Affected: Equipment and Real Property Management
Type of Finding: Noncompliance and Significant Deficiency

Views of Responsible Officials and Planned Corrective Action:

In the case of the equipment that was returned to the manufacturer, Property Services has contacted the department and given them a copy of the policy, UAMS Admin Guide 5.1.2 and explained the procedure to follow for any future equipment that has to leave the UAMS campus. The Grants Management Certification Program will also remind the Grants Administrators of this at the annual meeting, weekly tips and tricks and at other training sessions in the next year.

In the case of the missing information on the property records for 3 assets all data has been entered and the staff have been informed of the urgency to enter all pertinent information when entering data as required by the property guidelines.

Anticipated Completion Date: Ongoing

**DIVISION OF LEGISLATIVE AUDIT
AUDIT OF UNIVERSITY OF ARKANSAS – MEDICAL SCIENCES
June 30, 2011**

FINDINGS COVERING PROGRAMS AUDITED BY OTHER EXTERNAL AUDITORS (Continued)

U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES (Continued)

Finding Number: 11-150-04
State/Educational Agency(s): University of Arkansas for Medical Sciences
CFDA Number(s) and Program Title(s): 93.708 – ARRA – Head Start
93.709 – ARRA – Early Head Start
(Head Start Cluster)
Federal Award Number(s): 06SE7001/01 and 06SA7001/02
Federal Award Year(s): July 1, 2009 to September 30, 2010
Compliance Requirement(s) Affected: Matching, Level of Effort, Earmarking
Type of Finding: Significant Deficiency

Criteria:

In accordance with 42 USC 9835 (b) and 45 CFR sections 1301.20 and 1301.21, grantees are required to contribute at least 20% of the costs of the program through cash or in-kind contributions, unless a lesser amount has been approved. Additionally, in accordance with 45 CFR 92.20, nonfederal entities receiving federal awards are required to design and implement internal controls that operate effectively to reasonably ensure compliance with federal laws, regulations, and program compliance requirements.

In order to ensure compliance with this requirement, Grants Accounting is responsible for reviewing the schedule maintained by the Head Start Chief Fiscal Officer that details the reported in-kind contributions. The schedule is provided to Grants Accounting as supporting documentation for the total in-kind contributions included in the final closeout report on the Head Start grants.

Condition and Context:

The final closeout reports for the ARR Head Start and ARRA Early Head Start grants were submitted to the granting agency prior to Grants Accounting's review of the in-kind supporting schedule and reported in-kind balances. The initial reports to the granting agency did not include the correct in-kind contribution balance.

At the request of the granting agency the final closeout reports were resubmitted subsequent to review of the in-kind contribution schedule by Grants Accounting. The reported in-kind contribution was sufficient to meet the required match amount and appears to be accurately supported and calculated on the revised final closeout reports.

Questioned Costs:

None

Cause:

The internal control related to the Grants Specialist's review of the in-kind contribution schedule and final close-out report did not operate as designed. The Grants Specialist who typically reviews the in-kind contribution schedules for the final Head Start close out reports did not review ARRA funded grants, as these were assigned to a different Grant's Specialist. The Grants Specialist reviewing the ARRA funded grants was not familiar with the established review procedures which led to the misstatement of the in-kind contribution amount on the final closeout reports for the two ARRA funded Head Start programs.

Effect:

The Department of Health and Human Services has the right to wholly or partly suspend or terminate the current grant award, condition a future grant or elect not to provide future grant funds, and/or withhold further awards for the program when there is reason to believe through periodic monitoring or review that the University is not in compliance with the requirements established.

Additionally, insufficient tracking of reported in-kind contributions by the Grants Accounting department could lead to an increased risk of noncompliance with matching requirements.

**DIVISION OF LEGISLATIVE AUDIT
AUDIT OF UNIVERSITY OF ARKANSAS – MEDICAL SCIENCES
June 30, 2011**

FINDINGS COVERING PROGRAMS AUDITED BY OTHER EXTERNAL AUDITORS (Continued)

U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES (Continued)

Finding Number: 11-150-04 (Continued)
State/Educational Agency(s): University of Arkansas for Medical Sciences
CFDA Number(s) and Program Title(s): 93.708 – ARRA – Head Start
93.709 – ARRA – Early Head Start
(Head Start Cluster)
Federal Award Number(s): 06SE7001/01 and 06SA7001/02
Federal Award Year(s): July 1, 2009 to September 30, 2010
Compliance Requirement(s) Affected: Matching, Level of Effort, Earmarking
Type of Finding: Significant Deficiency

Recommendation:

We recommend that Grants Accounting implement procedures to ensure that the in-kind contributions schedule prepared by the Head Start Fiscal Officer is included as supporting documentation for the Head Start final closeout reports to ensure the completeness and accuracy of reported amounts.

Views of Responsible Officials and Planned Corrective Action:

In-kind match data was maintained and available from the Head Start Business Officer but was left off of the Federal Financial Report (FFR) when submitted. The revised report was submitted with the applicable data when requested. In the future FFR's will be checked against the ARIA (internal awards data base) for required match to ensure this data is reported with the FFR is submitted.

Anticipated Completion Date: September 30, 2011

UNIVERSITY OF ARKANSAS AT PINE BLUFF

Calvin Johnson, Interim Chancellor

ENABLING LAWS

Morrill Act of 1862, Legislative Act of 1873, Land Grant of 1890 and Act 149 of 2012

INSTITUTION HISTORY AND ORGANIZATION

Introduction

The University of Arkansas at Pine Bluff (UAPB) is an 1890 Land Grant institution and is the second oldest public university in Arkansas. UAPB maintains a 320-acre main campus, an extended campus in North Little Rock, research farms in Lake Village, Lonoke and Marianna, research and Extension offices in Lonoke, Newport and Lake Village, ERDC Business Support Incubator (Downtown Pine Bluff), and a University Plaza. Thirty-three baccalaureate degree programs, seven master's degree programs and a Ph.D. program are offered through 15 academic departments. The student population for fall 2011 was 3,188.

Mission

One of the most important aspects of the historical mission of Agricultural, Mechanical and Normal College was established by the Legislative Act of 1873 that created the College. The Act stipulated that the institution was being established for the convenience and well-being of the poorer classes. The second statutory provision relating to the mission of the College was the Land Grant Act of 1890, which made the Morrill Act of 1862 applicable in every respect to Agricultural, Mechanical and Normal College. The Act of 1862 charges the College, without its excluding other scientific and classical studies, and including military tactics, to teach such branches of learning as are related to agriculture and the mechanic arts. These subjects are to be taught in such manner as the State prescribes in order to provide the liberal and practical education of the laboring classes of people in the several pursuits and occupations of life. While the University of Arkansas at Pine Bluff will continue to maintain a special sensitivity to the needs, aspirations, problems, and opportunities of its historic constituents, it shall expand its mission with a high degree of excellence and with a sense of constantly improving quality.

To fulfill its mission of service to this heterogeneous student clientele differing academically, socially, racially, ethnically and culturally, UAPB shall develop creative and innovative activities that produce new curricular models in the fields of aesthetics, social and political institutions, and scientific technical development.

UNIVERSITY OF ARKANSAS AT PINE BLUFF
Calvin Johnson, Interim Chancellor

The thrusts of this new and expanded mission could and should result in the re-examination and improvement of value systems and moral behavior of political institutions and of the economic system. Such a mission does not merely support the advancements of science and technology; it uses science and technology to help solve economic, physical, social, political, racial and cultural problems.

Student involvement and leadership opportunities are provided by over 90 student organizations, the internationally renowned Vesper Choir, internationally acclaimed Marching Band, nationally recognized debate team, award-winning theatre department, accomplished athletic program and radio and television laboratory facilities.

One of the university's successful programs is the STEM (Science, Technology, Engineering, and Mathematics) Academy. The UAPB STEM Academy is a well-integrated set of enrichment programs designed to help meet local, state and national human resource needs in STEM areas. As an HBCU with a land grant mission, the University of Arkansas at Pine Bluff has a legacy of service to underserved, rural and minority populations. The STEM academy reflects this mission and has a particular emphasis on helping to increase the pool of well-prepared underrepresented minorities in STEM majors and careers. The STEM Academy is designed to help meet research, teaching and industry needs in science areas, with a particular emphasis on diversity in this critical area. The UAPB STEM Academy has 213 undergraduates and five graduate students in fall 2011. The retention rate of student participants in the STEM Academy is 80.4% for academic year 2008-09, 80.0% for 2009-10 and 81.3% for 2010-11.

UAPB is accredited by the Higher Learning Commission (North Central Association), the National Council for the Accreditation of Teacher Education, the Arkansas State Board of Nursing, National Association of Schools of Music, The National League for Nursing, The National Association of Schools of Art and Design, The American Association of Family and Consumer Sciences, National Association of Industrial Technology; and the National Schools of Social Work.

FORMULA DRIVEN

The University of Arkansas at Pine Bluff requests appropriations to be allocated to salary (increases) for faculty and increases in operational costs. The university is challenged by low faculty salaries as compared to SREB and peer institutions which make it increasingly difficult to recruit and retain highly qualified faculty. Utility and general maintenance

UNIVERSITY OF ARKANSAS AT PINE BLUFF
Calvin Johnson, Interim Chancellor

expenses continue to increase despite energy saving strategies that have been implemented and cost containment efforts. (Energy saving lights and automatic off/on switches has reduced power usage). However, expansion of university properties has increased the maintenance budget.

The University of Arkansas at Pine Bluff is keenly aware of its need to increase retention and graduation rates and to decrease the need for remediation among its freshmen recruits. The university has developed and implemented a “Student Success Plan”, new approaches to retention and is focusing on program completion. Also the university has reviewed its commitment to internships paid/unpaid, partnerships, career readiness and preparation for graduate education.

The university has developed a new master level program in computer science and technology and a certificate program in Early Childhood Special Education that were approved by the Arkansas Higher Education Coordinating Board in July 2012.

The UAPB Bachelor of Science Degree in Regulatory Science is not only the exclusive choice for the study in the nation, but also the program is designated by the U.S. Department of Agriculture as a Center of Excellence and anchors a vital partnership with other national governmental agencies such as the United States Department of Transportation, the United States Department of Commerce, and other agencies.

NON FORMULA

UAPB is one of five institutions generating the highest dollar amounts in research grants among higher education institutions in Arkansas. Our Land-Grant mission is supported by the Federal Government which requires a state dollar for dollar match. It is imperative that the match be realized to avoid a decrease in services to the Arkansas farm industry. It should be noted that the Federal Government has granted waivers for the match over the past four years. Failure by the state to acknowledge its share of the cost might cause the funds to be reallocated to institutions in other states.

The University of Arkansas at Pine Bluff remains committed to the continuation and enhancement of its Center of Excellence (Aquaculture), and its areas of emphasis (science and mathematics, minority business development, teacher

UNIVERSITY OF ARKANSAS AT PINE BLUFF
Calvin Johnson, Interim Chancellor

education, and student development and leadership). The University offers premier programs in aquaculture and Regulatory Science. Aquaculture and Fisheries, the UAPB Center of Excellence, is internationally recognized for its educational distinction, research and for its economic value. A highly qualified independent committee of Aquaculture experts, catfish farmer policy makers and agricultural economist and supporters supported a Ph.D. in Aquaculture, Human Sciences and Fisheries. The Ph.D. degree program was approved by the Arkansas Higher Education Coordinating Board on July 1, 2012. The program was also implemented in July 2012.

The production of the faculty in the program possesses an international reputation. A Title III Grant has been funded to support the Ph.D. program. Arkansas leads the nation in the production of baitfish and UAPB is attributed with much of Arkansas' successful performance in the catfish and baitfish industries. The UAPB Bachelor of Science degree in Regulatory Science is not only the exclusive choice for that study in the nation, but also the program is designated by the U. S. Department of Agriculture as a Center of Excellence, and anchors a vital partnership with other national governmental agencies such as the United States Department of Transportation, the United States Department of Commerce, and other agencies.

The future of these programs is critical to the aquaculture and related farm industry and depends heavily on the availability of matching funds by the state.

The UAPB Jenkins Children's Center Program provides an excellent opportunity for the program to jointly address a critical need for services by some of the more challenged individuals in our society. Also, funding for the program helps to provide a critical need for teachers preparing for careers in Special Education.

It is easily verified that the continued vitality of the Aquaculture Program at UAPB is essential to the continuation of the fish farming industry in Arkansas. The support from the industry is second to none and this is evidenced by the tremendous advocacy of the industry for the Ph.D. in Aquaculture at UAPB. The federal government recognized the importance of UAPB's mission in aquaculture and has each year increased our appropriation for the programs. These appropriations require a state match.

UNIVERSITY OF ARKANSAS AT PINE BLUFF
Calvin Johnson, Interim Chancellor

Failure to appropriate sufficient funds for the Jenkins program will seriously impact UAPB's ability to serve some of the more challenged individuals in our society and the allocation will be redirected to other institutions.

PERSONAL SERVICES

The university respectfully requests the following critical personnel positions to fulfill its commitments and support for its programs, remediation, retention, graduation and activities:

Non-Classified	4 Positions
Classified	8 Positions

The positions will assist the university with program viability and compliance.

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**INSTITUTION APPROPRIATION SUMMARY
2013-15 BIENNIUM**

INSTITUTION UNIVERSITY OF ARKANSAS AT PINE BLUFF

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2011-2012		2012-13		2012-13		2013-14				2014-15			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	27,105,842		27,046,102		29,000,879		28,493,351		28,493,351		29,148,698		29,148,698	
2 CASH	33,378,311		114,665,760		114,665,760		117,303,072		117,303,072		120,001,043		120,001,043	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$60,484,153	659	\$141,711,862	686	\$143,666,639	907	\$145,796,423	911	\$145,796,423	911	\$149,149,741	911	\$149,149,741	911
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
13 GENERAL REVENUE	25,326,294	42%	25,229,737	18%			26,676,986	18%	26,676,986	18%	27,332,333	18%	27,332,333	18%
14 EDUCATIONAL EXCELLENCE TRUST FUND	1,779,548	3%	1,816,365	1%			1,816,365	1%	1,816,365	1%	1,816,365	1%	1,816,365	1%
15 WORKFORCE 2000	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
16 CASH FUNDS	19,935,588	33%	54,665,760	39%			55,503,072	38%	55,503,072	38%	56,347,043	38%	56,347,043	38%
17 SPECIAL REVENUES	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
18 FEDERAL FUNDS	13,442,723	22%	60,000,000	42%			61,800,000	42%	61,800,000	42%	63,654,000	43%	63,654,000	43%
19 TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
20 OTHER FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
21 TOTAL INCOME	\$60,484,153	100%	\$141,711,862	100%			\$145,796,423	100%	\$145,796,423	100%	\$149,149,741	100%	\$149,149,741	100%
22 EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0		\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2012:	\$12,208,870
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$1,315,571
INVENTORIES	\$40,470
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$50,000
MAJOR CRITICAL SYSTEMS FAILURES	\$200,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$4,706,366
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$5,896,463

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2013-15 BIENNIUM (Non-Formula Entities)**

UNIVERSITY OF ARKANSAS AT PINE BLUFF

NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2011-12 ACTUAL	2012-13 BUDGETED *	2013-15 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS			
			2013-14		2014-15	
			REQUEST	RECOMMENDATION	REQUEST	RECOMMENDATION
1 INSTRUCTION	252,558	252,558				
2 RESEARCH	1,848,477	1,846,836	2,511,235	2,511,235	2,568,993	2,568,993
3 PUBLIC SERVICE	1,572,272	1,548,197	2,112,035	2,112,035	2,160,612	2,160,612
4						
5						
6						
7						
8						
9						
10						
11						
12						
13 MANDATORY TRANSFERS						
14 AUXILIARY TRANSFERS						
15 NON-MANDATORY TRANSFERS						
16 TOTAL UNREST. E&G EXP.	\$3,673,307	\$3,647,591	\$4,623,270	\$4,623,270	\$4,729,605	\$4,729,605
17 NET LOCAL INCOME						
18 PRIOR YEAR BALANCE***						
STATE FUNDS:						
19 GENERAL REVENUE	3,673,307	3,647,591	4,623,270	4,623,270	4,729,605	4,729,605
20 EDUCATIONAL EXCELLENCE						
21 WORKFORCE 2000						
22 TOBACCO SETTLEMENT FUNDS						
23 OTHER STATE FUNDS **						
24 TOTAL SOURCES OF INCOME	\$3,673,307	\$3,647,591	\$4,623,270	\$4,623,270	\$4,729,605	\$4,729,605

FORM 13-2 Nonformula

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in a footnote.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2013-15 BIENNIUM**

FUND CGA0000

INSTITUTION UNIVERSITY OF ARKANSAS AT PINE BLUFF

APPROPRIATION 616

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES	16,513,296	16,484,775	17,808,597	17,366,883	17,759,331		
2 EXTRA HELP WAGES	879,005	902,589	902,589	950,887	972,375		
3 PERSONAL SERVICES MATCHING	4,483,462	4,482,119	4,813,074	4,721,959	4,828,664		
4 OPERATING EXPENSES	3,886,185	3,827,145	4,127,145	4,031,937	4,134,518		
5 CONFERENCE FEES & TRAVEL							
6 PROFESSIONAL FEES AND SERVICES	265,440	272,561	272,561	287,146	293,635		
7 CAPITAL OUTLAY							
8 FUNDED DEPRECIATION	1,048,774	1,076,913	1,076,913	1,134,539	1,160,177		
9 CLAIMS	29,680						
10							
11							
12 TOTAL APPROPRIATION	\$27,105,842	\$27,046,102	\$29,000,879	\$28,493,351	\$29,148,698	\$0	\$0
13 PRIOR YEAR FUND BALANCE**							
14 GENERAL REVENUE	25,326,294	25,229,737		26,676,986	27,332,333		
15 EDUCATIONAL EXCELLENCE TRUST FUND	1,779,548	1,816,365		1,816,365	1,816,365		
16 SPECIAL REVENUES * [WF2000]							
17 FEDERAL FUNDS IN STATE TREASURY							
18 TOBACCO SETTLEMENT FUNDS							
19 OTHER STATE TREASURY FUNDS							
20 TOTAL INCOME	\$27,105,842	\$27,046,102		\$28,493,351	\$29,148,698	\$0	\$0
21 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 16 - "Special Revenues".

**Line 13 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS
2013-15 BIENNIUM**

FUND 2020000

INSTITUTION UNIVERSITY OF ARKANSAS AT PINE BLUFF

APPROPRIATION B13

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES	9,591,941	27,833,774	27,833,774	28,473,951	29,128,852		
2 EXTRA HELP WAGES	1,436,198	4,169,664	4,169,664	4,265,566	4,363,674		
3 OVERTIME	338,929	4,378,147	4,378,147	4,478,844	4,581,858		
4 PERSONAL SERVICES MATCHING	4,059,640	8,859,269	8,859,269	9,063,032	9,271,482		
5 OPERATING EXPENSES	9,423,319	16,782,898	16,782,898	17,168,905	17,563,789		
6 CONFERENCE FEES & TRAVEL	469,136	2,241,194	2,241,194	2,292,741	2,345,475		
7 PROFESSIONAL FEES AND SERVICES	2,184,278	2,241,194	2,241,194	2,292,741	2,345,475		
8 CAPITAL OUTLAY	5,285,618	13,707,770	13,707,770	14,023,049	14,345,579		
9 CAPITAL IMPROVEMENTS	12,325	21,473,770	21,473,770	21,967,667	22,472,923		
10 DEBT SERVICE	86,171	3,492,094	3,492,094	3,572,412	3,654,578		
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS	490,756	9,381,744	9,381,744	9,597,524	9,818,267		
12 PROMOTIONAL ITEMS		104,242	104,242	106,640	109,092		
13							
14							
15							
16 TOTAL APPROPRIATION	\$33,378,311	\$114,665,760	\$114,665,760	\$117,303,072	\$120,001,043	\$0	\$0
17 PRIOR YEAR FUND BALANCE***							
18 TUITION AND MANDATORY FEES	18,913,371	17,973,684		18,512,895	19,068,281		
19 ALL OTHER FEES		522,750		538,433	554,585		
20 SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	80,053	116,000		119,480	123,064		
21 INVESTMENT INCOME	40,554	10,000		10,300	10,609		
22 FEDERAL CASH FUNDS	13,442,723	60,000,000		61,800,000	63,654,000		
23 OTHER CASH FUNDS	901,610	36,043,326		36,321,965	36,590,503		
24 TOTAL INCOME	\$33,378,311	\$114,665,760		\$117,303,072	\$120,001,043	\$0	\$0
25 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED 2012-13	REQUEST	RECOMMEND	LEGISLATIVE RECOMMENDATION	
				2013-14	2013-14	2013-14	2014-15
REGULAR POSITIONS	659	686	907	911	911		
TOBACCO POSITIONS							
EXTRA HELP **	383	389	924	924	924		

FORM 13-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

UNIVERSITY OF ARKANSAS AT PINE BLUFF
(NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2011-12				BUDGETED 2012-13			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *	2,933,755	5,706,075	571,106	(3,343,426)	4,810,151	5,724,262	600,000	(1,514,111)
2 HOUSING	4,955,320	2,472,795	828,645	1,653,880	4,693,000	3,477,149	962,200	253,651
3 FOOD SERVICES	4,161,816	2,960,608		1,201,208	3,000,000	2,712,822		287,178
4 STUDENT UNION	501	286,608		(286,107)		308,096		(308,096)
5 BOOKSTORE	156,374	1,730		154,644	135,000			135,000
6 STUDENT ORGANIZATIONS AND PUBLICATIONS				0				
7 OTHER	315,001	682,342		(367,341)	256,500	432,568		(176,068)
8 SUBTOTAL	12,522,767	12,110,158	1,399,751	(987,142)	12,894,651	12,654,897	1,562,200	(1,322,446)
9 ATHLETIC TRANSFER **	1,078,234			1,078,234	1,138,234			1,138,234
10 OTHER TRANSFERS ***	184,212			184,212	184,212			184,212
11 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	13,785,213	12,110,158	1,399,751	275,304	14,217,097	12,654,897	1,562,200	0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 13-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 7 Other - Includes Parking Fines/Decals and Transit Fees

NOTE: Line 10 Other Transfers - Student Fee Allocation to Student Union

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

UNIVERSITY OF ARKANSAS AT PINE BLUFF
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2011-12:					536
(As of November 1, 2011)					
Nonclassified Administrative Employees:					
White Male:	1	Black Male:	7	Other Male:	
White Female:	1	Black Female:	37	Other Female:	
Total		Total		Total	8
				Total	Female: 38
Nonclassified Health Care Employees:					
White Male:		Black Male:		Other Male:	
White Female:		Black Female:	1	Other Female:	
Total		Total		Total	0
				Total	Female: 1
Classified Employees:					
White Male:	7	Black Male:	95	Other Male:	
White Female:	5	Black Female:	159	Other Female:	
Total		Total		Total	102
				Total	Female: 164
Faculty:					
White Male:	34	Black Male:	62	Other Male:	29
White Female:	15	Black Female:	81	Other Female:	7
Total		Total		Total	125
				Total	Female: 103
Total White Male:	39	Total Black Male:	164	Total Other Male:	29
Total White Female:	19	Total Black Female:	278	Total Other Female:	7
Total White:	58	Total Black:	442	Total Other:	36
				Total Minority:	478
				Total	Employees: 536

FORM 13-6

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$25,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2012
 Required by A.C.A. 25-36-104

Institution

UNIVERSITY OF ARKANSAS AT PINE BLUFF

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
ARKANSAS HUMAN DEVELOPMENT	\$54,725	X					
ASIAN PACIFIC RESOURCE CULT. CNTR	\$48,108				X		
CALHOUN HEIGHTS COMMUNITY	\$52,000	X					
COALITION FOR A TOBACCO FREE AR.	\$61,579	X					
EVERGREEN BAPTIST CHURCH	\$55,699	X					
FAMILY COMMUNITY DEVELOPMENT CENTER	\$40,000	X					
FAMILY SERVICE AGENCY	\$57,000	X					
FAMILY YOUTH ENRICHMENT NETWORK, INC.	\$62,998	X					
FUTURE BUILDERS	\$62,000	X					
IN HIS IMAGE YOUTH DEVELOPMENT	\$55,026	X					
JEFFERSON COUNTY COMM. DEVELOPMENT CENTER	\$40,000	X					
LEGACY INITIATIVES	\$58,000	X					
LUCKY HOLMAN CONSTRUCTION	\$83,130	X					
MADISON COUNTY HLTH COALITION	\$47,000	X					
SOUTHWEST AR COMM. DEVE.	\$43,000	X					
STARLIGHT TOURS INC.	\$109,522	X					
ST. FRANCIS HOUSE NWA, INC.	\$51,774	X					
THOMPSON'S HOSPITALITY	\$3,209,062	X					
WE CARE PULASKI COUNTY	\$53,000	X					
WELLS BAYOU YOUTH DEVELOPMENT	\$52,900	X					
WOMEN'S COUNCIL ON AFRICAN AMER. AFFAIRS	\$59,591	X					
WOODS ARCHITECTURAL GROUP ARCHITECTS	\$211,493	X					
TOTAL NUMBER OF MINORITY CONTRACTS AWARDED	<u>22</u>						
TOTAL EXPENDITURES ON CONTRACTS AWARDED	<u>\$10,437,272</u>						
<small>(Total Expenditures equals ALL Contracts Exceeding \$25,000--Minority and Non-Minority)</small>							
% OF MINORITY CONTRACTS AWARDED	<u>44%</u>						

**DIVISION OF LEGISLATIVE AUDIT
 AUDIT OF UNIVERSITY OF ARKANSAS AT PINE BLUFF
 June 30, 2011**

<p><i>Finding No. 1:</i></p>	<p>The University of Arkansas System Internal Audit Department (IAD) was notified on November 22, 2010 by University administration regarding the misappropriation of funds that occurred in the Science, Technology, Engineering, and Mathematics (STEM) Talent Expansion Program. According to the IAD audit report, STEM Program Administrative Assistant Marlo Thomas prepared three requisitions for unauthorized, non-University related stipend payments totaling \$5,400 in the names of her three children. Thomas, whose employment was terminated on November 18, 2010, subsequently reimbursed this amount to the University</p>
<p><i>Institution's Response</i></p>	<p>Although Ms. Thomas initiated the requisitions, the budget officer's designee approved the purchase requisitions per the University's policy. Therefore, Ms. Thomas did not initiate and approve the purchase requisitions.</p> <p>In addition, Grants Accounting provided monthly budget reports to STEM budget officers which were to be reviewed by the budget officer or their designee. A review of the budget reports would have revealed the vouchers issued in names of Ms. Thomas' three children and the misappropriation of funds.</p> <p>The IAD audit report also noted that Ms. Marlo Thomas picked up checks she requested directly from accounts payable. However, management feels very strongly that Ms. Thomas still would have cashed the checks issued to her children had the University mailed the checks, because the checks were addressed to Ms. Thomas' personal residence and post office box.</p> <p>As a result of the STEM audit finding, management has implemented the following to further strengthen internal controls:</p> <ul style="list-style-type: none"> • The STEM Director appointed an individual to review all transactions on the STEM Program. • All accounts payable checks are mailed directly to vendors unless the vendor is an employee or student of the University. Any check payable to an employee or student must be picked up in A/P by the employee or student and a signature is required. Designated staff personnel are not allowed to pick up travel or stipend checks on behalf of someone else. <p>In July and August 2011, the Controller's Office trained budget officers to run real-time budget reports via the budget officer's desktop computer. Budget officers now have the ability to analyze and review purchase requisitions, encumbrances (outstanding purchase orders) and budget reports in real-time. The ability to run real-time reports will enable budget officers to operate more efficiently and effectively and provide a reasonable level of assurance that the processes and resources for which they are responsible are adequately protected.</p>

**DIVISION OF LEGISLATIVE AUDIT
AUDIT OF UNIVERSITY OF ARKANSAS AT PINE BLUFF
June 30, 2011**

U.S. DEPARTMENT OF EDUCATION

Finding Number: 11-160-01
State/Educational Agency(s): University of Arkansas at Pine Bluff
CFDA Number(s) and Program Title(s): 84.038 – Federal Perkins Loan Program_Federal Capital Contributions (Student Financial Assistance Cluster)
Federal Award Number(s): N/A
Federal Award Year(s): 2011
Compliance Requirement(s) Affected: Reporting
Type of Finding: Noncompliance and Control Deficiency

Criteria:

In accordance with 34 CFR § 668.16 of the Student Assistance General Provisions, an institution that begins and continues to participate in any Title IV, HEA program, must demonstrate that it is capable of adequately administering that program under the standards established. 34 CFR § 668.16 (m)(1)(iii) indicates the Secretary considers an institution to have that administrative capability if the institution's cohort default rate under the Federal Perkins Loan Program does not exceed 15%. In addition, as stated in 34 CFR § 674.5(a)(1) of the Federal Perkins Loan Program, if an institution's cohort default rate equals or exceeds 25%, the institution's Federal Capital Contribution (FCC) is reduced to zero.

Condition and Context:

Part III of the University's Fiscal Operations Report and Application to Participate (FISAP) was obtained, and the cohort default rate, a key line item, was examined. The University's current year cohort default rate was 39%. A similar finding has been reported in previous audits.

Questioned Costs:

None

Cause:

The Federal Perkins Loan Program cohort default rate was significant because of the small population of borrowers included in the calculation. The University reported that 7 of 18 borrowers who had entered repayment status during the prescribed period were in default.

Effect:

The University exceeded the allowable Federal Perkins Loan Program cohort default rate.

Recommendation:

We recommend the University establish procedures to lower the cohort default rate to an acceptable level.

Views of Responsible Officials and Planned Corrective Action:

The university is liquidating the Federal Perkins Loan Program and is not offering new loans to students. Because fewer than 30 borrowers entered repayment in the most recent year (7/1/09-6/30/10), the default rate was based on the average for the past three years. Eighteen borrowers entered repayment in this three year period with seven defaulting resulting in the default rate of 39%. However, none of the seven borrowers who first entered repayment in the most recent year (7/1/09-6/30/10) defaulted.

The university will continue to use the services of an outside agency, Campus Partners, to service the loan portfolio and insure timely billing and due diligence is performed.

Loans will be assigned to the U. S. Department of Education and efforts to fully liquidate the program will continue.

**DIVISION OF LEGISLATIVE AUDIT
AUDIT OF UNIVERSITY OF ARKANSAS AT PINE BLUFF
June 30, 2011**

U.S. DEPARTMENT OF EDUCATION (Continued)

Finding Number: 11-160-01 (Continued)
State/Educational Agency(s): University of Arkansas at Pine Bluff
CFDA Number(s) and Program Title(s): 84.038 – Federal Perkins Loan Program_Federal Capital Contributions
(Student Financial Assistance Cluster)
Federal Award Number(s): N/A
Federal Award Year(s): 2011
Compliance Requirement(s) Affected: Reporting
Type of Finding: Noncompliance and Control Deficiency

Views of Responsible Officials and Planned Corrective Action (Continued):

Anticipated Completion Date: June 30, 2011

UNIVERSITY OF CENTRAL ARKANSAS

Tom Courtway, President

History of the University

The University of Central Arkansas was established as Arkansas State Normal School by the General Assembly of Arkansas in 1907, with statewide responsibility for preparing citizens to teach Arkansas children.

The name of the institution was changed to Arkansas State Teachers College in 1925. In January 1967, the name of the institution was again changed by the state legislature to the State College of Arkansas, expanding its statewide role to a multipurpose institution. On January 21, 1975, the governor of Arkansas signed a bill granting university status to the institution and naming it the University of Central Arkansas. The appropriated funding through the FY11-13 Biennium is authorized under Act 1079 of the Regular Session of 2011 and Act 150 of the Fiscal Session of 2012.

Description of the University

The University of Central Arkansas is a comprehensive university offering degree programs at the associate, bachelor's, master's, specialist and doctoral levels. The University offers a variety of undergraduate and graduate programs in the liberal and fine arts, the basic sciences, business, and technical and professional fields in addition to its historical emphasis in the field of education.

UCA fosters learning and the advancement of knowledge. Faculty scholarship—including faculty-student collaboration in a wide range of research and scholarly and creative activities—is an integral part of the teaching-and-learning mission of the University. These activities are encouraged at UCA in many ways, including active support for grant-development, a variety of University grant opportunities for faculty and students, faculty summer research stipends, sabbatical leaves, reassigned time, and up-to-date technological support. UCA supports student learning and growth by providing comprehensive student services and rich on-campus extracurricular programming, by developing learning communities on campus, and by encouraging students to be learners in a wider community through cooperative and service-learning opportunities and international experiences.

UCA serves its public constituencies with for-credit course offerings; a variety of non-credit leisure and community education activities; and seminars, conferences, workshops, in-service training activities, and consulting services

UNIVERSITY OF CENTRAL ARKANSAS
Tom Courtway, President

designed to meet the needs of business and industry, public schools, and state and local government.

In April 2012 UCA submitted a required monitoring report to the Higher Learning Commission (HLC). The report's purpose was to document UCA's comprehensive strategic planning process as a foundation for implementation of the strategic plan. The report was accepted by the HLC on May 30, 2012. The Commission will follow up with a focused site visit in November 2013 on implementation of UCA's strategic plan.

Vision

The University of Central Arkansas aspires to be a premiere learner-focused public comprehensive university, a nationally recognized leader for its continuous record of excellence in undergraduate and graduate education, scholarly and creative endeavors, and engagement with local, national, and global communities.

Mission

The University of Central Arkansas, a leader in 21st-century higher education, is committed to excellence through the delivery of outstanding undergraduate and graduate education that remains current and responsive to the diverse needs of those it serves. The university's faculty and staff promote the intellectual, professional, social, and personal development of its students through innovations in learning, scholarship, and creative endeavors. Students, faculty, and staff partner to create strong engagement with the local, national, and global communities. The University of Central Arkansas dedicates itself to academic vitality, integrity, and diversity.

Personnel Request

The University of Central Arkansas is respectfully requesting ten (10) additional Project/Program Administrator positions for the 2013-2015 Analysis of Personal Services Request. Currently, UCA has 67 authorized and 66 filled for the Program/Project Administrator title.

UCA is in the final stages of the Higher Learning Commission (HLC) accreditation process. We have already been informed of some organizational changes that UCA will need to implement based on the recommendations from the HLC.

UNIVERSITY OF CENTRAL ARKANSAS
Tom Courtway, President

UCA is going to be able to meet many of these recommendations with existing titles; however, we are going to need these additional titles to fulfill some of the remaining HLC recommendations and still have some room for flexibility in the future. The positions will be required to meet the HLC recommendations and will not be needed until after July 1, 2013.

Additionally, UCA has reached its maximum number of Project/Program Titles due to a reorganization of the Internal Audit Department. This reorganization was made at the request of the UCA Board of Trustees to ensure appropriate staffing levels within Internal Audit as they seek to recruit and retain quality staff necessary to oversee UCA operations.

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**INSTITUTION APPROPRIATION SUMMARY
2013-15 BIENNIUM**

INSTITUTION UNIVERSITY OF CENTRAL ARKANSAS

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2011-2012		2012-13		2012-13		2013-14				2014-15			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	57,014,414		56,797,030		62,755,426		59,848,729		59,848,729		61,225,250		61,225,250	
2 CASH	98,402,172		323,800,000		323,800,000		769,400,000		769,400,000		782,600,000		782,600,000	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$155,416,586	1,767	\$380,597,030	1,783	\$386,555,426	2,226	\$829,248,729	2,236	\$829,248,729	2,234	\$843,825,250	2,236	\$843,825,250	2,234
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
13 GENERAL REVENUE	52,561,560	34%	52,284,021	14%			55,335,720	7%	55,335,720	7%	56,712,241	7%	56,712,241	7%
14 EDUCATIONAL EXCELLENCE TRUST FUND	4,421,530	3%	4,513,009	1%			4,513,009	1%	4,513,009	1%	4,513,009	1%	4,513,009	1%
15 WORKFORCE 2000	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
16 CASH FUNDS	78,036,199	50%	248,800,000	65%			619,400,000	75%	619,400,000	75%	607,600,000	72%	607,600,000	72%
17 SPECIAL REVENUES	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
18 FEDERAL FUNDS	20,365,973	13%	75,000,000	20%			150,000,000	18%	150,000,000	18%	175,000,000	21%	175,000,000	21%
19 TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
20 OTHER FUNDS	31,324	0%	0	0%			0	0%	0	0%	0	0%	0	0%
21 TOTAL INCOME	\$155,416,586	100%	\$380,597,030	100%			\$829,248,729	100%	\$829,248,729	100%	\$843,825,250	100%	\$843,825,250	100%
22 EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0		\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2012:	\$9,617,119
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$1,760,254
INVENTORIES	\$326,625
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$131,500
MAJOR CRITICAL SYSTEMS FAILURES	
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$13,418,105
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	(\$6,019,365)

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2013-15 BIENNIUM**

FUND CUA0000

INSTITUTION UNIVERSITY OF CENTRAL ARKANSAS

APPROPRIATION 310

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES	43,188,000	45,000,000	45,000,000	45,600,000	46,000,000		
2 EXTRA HELP WAGES	2,989,342	3,500,000	3,500,000	3,400,000	3,500,000		
3 PERSONAL SERVICES MATCHING	9,242,766	8,297,030	10,000,000	9,248,729	9,660,000		
4 OPERATING EXPENSES	1,088,535		3,755,426	1,600,000	2,065,250		
5 CONFERENCE FEES & TRAVEL							
6 PROFESSIONAL FEES AND SERVICES							
7 CAPITAL OUTLAY							
8 FUNDED DEPRECIATION	500,000		500,000				
9 M & R EXPENSES	5,771						
10							
11							
12 TOTAL APPROPRIATION	\$57,014,414	\$56,797,030	\$62,755,426	\$59,848,729	\$61,225,250	\$0	\$0
13 PRIOR YEAR FUND BALANCE**							
14 GENERAL REVENUE	52,561,560	52,284,021		55,335,720	56,712,241		
15 EDUCATIONAL EXCELLENCE TRUST FUND	4,421,530	4,513,009		4,513,009	4,513,009		
16 SPECIAL REVENUES * [WF2000]							
17 FEDERAL FUNDS IN STATE TREASURY							
18 TOBACCO SETTLEMENT FUNDS							
19 OTHER STATE TREASURY FUNDS	31,324						
20 TOTAL INCOME	\$57,014,414	\$56,797,030		\$59,848,729	\$61,225,250	\$0	\$0
21 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 16 - "Special Revenues".

FORM 13-3

**Line 13 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

OTHER STATE TREASURY FUNDS: Actual M&R Transfers In 21,245.23, Other Transfers Out (15,474.11)+ Tuition Adjustment 25,553

Tuition Adjustment \$\$	25,553
M&R(per AASIS Report)	21,245
	46,798
Total Transfers Out	(15,474)
Other State Funds	31,324

**APPROPRIATION ACT FORM - CASH FUNDS
2013-15 BIENNIUM**

FUND 2070000

INSTITUTION UNIVERSITY OF CENTRAL ARKANSAS

APPROPRIATION A75

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES	26,103,820	78,000,000	78,000,000	80,000,000	90,000,000		
2 EXTRA HELP WAGES	1,291,837	3,000,000	3,000,000	9,000,000	9,000,000		
3 OVERTIME	172,083	300,000	300,000	900,000	900,000		
4 PERSONAL SERVICES MATCHING	9,295,105	27,000,000	27,000,000	33,000,000	35,000,000		
5 OPERATING EXPENSES	33,134,609	92,000,000	92,000,000	276,000,000	276,000,000		
6 CONFERENCE FEES & TRAVEL	995,985	2,000,000	2,000,000	6,000,000	6,200,000		
7 PROFESSIONAL FEES AND SERVICES	2,359,803	6,000,000	6,000,000	18,000,000	18,000,000		
8 CAPITAL OUTLAY	17,222,877	19,000,000	19,000,000	57,000,000	57,000,000		
9 CAPITAL IMPROVEMENTS		77,100,000	77,100,000	231,300,000	231,300,000		
10 DEBT SERVICE	7,714,054	11,000,000	11,000,000	33,000,000	34,000,000		
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS		8,100,000	8,100,000	24,300,000	24,300,000		
12 PROMOTIONAL ITEMS	111,999	300,000	300,000	900,000	900,000		
13							
14							
15							
16 TOTAL APPROPRIATION	\$98,402,172	\$323,800,000	\$323,800,000	\$769,400,000	\$782,600,000	\$0	\$0
17 PRIOR YEAR FUND BALANCE***							
18 TUITION AND MANDATORY FEES	69,777,090	72,000,000		75,000,000	78,000,000		
19 ALL OTHER FEES							
20 SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	2,383,172	90,000,000		150,000,000	175,000,000		
21 INVESTMENT INCOME	347,587	1,000,000		2,000,000	3,000,000		
22 FEDERAL CASH FUNDS	20,365,973	75,000,000		150,000,000	175,000,000		
23 OTHER CASH FUNDS	5,528,350	85,800,000		392,400,000	351,600,000		
24 TOTAL INCOME	\$98,402,172	\$323,800,000		\$769,400,000	\$782,600,000	\$0	\$0
25 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED 2012-13	REQUEST	RECOMMEND	LEGISLATIVE RECOMMENDATION	
				2013-14	2013-14	2013-14	2014-15
REGULAR POSITIONS	1,767	1,783	2,226	2,236	2,234		
TOBACCO POSITIONS							
EXTRA HELP **	1,458	1,480	1,600	1,600	1,600		

FORM 13-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

UNIVERSITY OF CENTRAL ARKANSAS
 (NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2011-12				BUDGETED 2012-13			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *	6,709,934	8,826,933	374,455	(2,491,454)	6,789,000	8,813,811	382,576	(2,407,387)
2 HOUSING	14,156,146	8,623,707	3,527,584	2,004,855	13,868,138	9,130,769	3,552,198	1,185,171
3 FOOD SERVICES	7,875,535	5,932,784	0	1,942,751	7,433,556	5,841,572	0	1,591,984
4 STUDENT UNION	1,350,035	818,831	224,723	306,481	1,327,650	1,086,042	227,365	14,243
5 BOOKSTORE	350,000	69,257	0	280,743	350,000	77,500	0	272,500
6 STUDENT ORGANIZATIONS AND PUBLICATIONS				0	0			
7 OTHER	4,746,722	2,661,398	628,436	1,456,888	5,184,278	2,970,546	595,516	1,618,216
8 SUBTOTAL	35,188,372	26,932,910	4,755,198	3,500,264	34,952,622	27,920,240	4,757,655	2,274,727
9 ATHLETIC TRANSFER **	1,154,142			1,154,142	1,069,387			1,069,387
10 OTHER TRANSFERS ***	(3,552,118)			(3,552,118)	(3,344,114)			(3,344,114)
11 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	32,790,396	26,932,910	4,755,198	1,102,288	32,677,895	27,920,240	4,757,655	0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 13-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line Item 7 Other - Transfers include HPER Center, Radio Station, Farris Fields/Recreational Facilities, Post Office, Access & Security, Student Health Services, General Auxiliary

NOTE: Line 10 Other Transfers - Transfers include Athletics, Housing, Food Service, Student Center, Bookstore, HPER Center, Radio Station, Farris Fields/Recreational Facilities, Post Office, Access & Security, Student Health

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

UNIVERSITY OF CENTRAL ARKANSAS
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2011-12:					1,748
(As of November 1, 2011)					
Nonclassified Administrative Employees:					
White Male:	115	Black Male:	14	Other Male:	5
White Female:	123	Black Female:	18	Other Female:	9
Total		Total		Total	134
				Total	150
Nonclassified Health Care Employees:					
White Male:	1	Black Male:	0	Other Male:	0
White Female:	5	Black Female:	1	Other Female:	0
Total		Total		Total	1
				Total	6
Classified Employees:					
White Male:	160	Black Male:	32	Other Male:	11
White Female:	240	Black Female:	43	Other Female:	19
Total		Total		Total	203
				Total	302
Faculty:					
White Male:	359	Black Male:	20	Other Male:	53
White Female:	451	Black Female:	30	Other Female:	39
Total		Total		Total	432
				Total	520
Total White Male:	635	Total Black Male:	66	Total Other Male:	69
Total White Female:	819	Total Black Female:	92	Total Other Female:	67
Total		Total		Total	770
				Total	978
Total White:	1,454	Total Black:	158	Total Other:	136
				Total Minority:	294
				Total	1,748

FORM 13-6

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$25,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2012
 Required by A.C.A. 25-36-104

Institution

UNIVERSITY OF CENTRAL ARKANSAS

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
Wright's Cleaning Service	\$78,138	X					

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 1

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$18,409,730
 (Total Expenditures equals ALL Contracts Exceeding \$25,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF UNIVERSITY OF CENTRAL ARKANSAS
June 30, 2011

<p><i>Finding No. 1:</i></p>	<p>Financial statements are the responsibility of the University's management and should be presented in conformity with accounting principles general accepted in the United States of America. The University has policies and procedures to properly record and classify transactions in the financial statements; however, misclassifications were detected. These misclassifications had no effect on the University's reported net assets or cash balance at June 30, 2011, and the financial statements were subsequently corrected by University personnel during audit fieldwork.</p> <p>A substantial misclassification in the Statement of Revenues, Expenses, and Changes in Net Assets (SRECNA) and the Statement of Cash Flows was observed in the presentation of scholarship allowances. The Governmental Accounting Standards Board (GASB) stipulates that revenues should be reported by major source, net of discounts and allowances. Such discounts and allowances are to be disclosed on the SRECNA or in a note to the financial statements. Although the University's methodology for calculating the amount of the scholarship allowances was correct, the University reduced the wrong revenue sources and did not disclose scholarship allowances of \$21,672,162. The University netted the scholarship allowances against federal grants and contracts (\$10,751,191) and state and local grants and contracts (\$10,920,971), both non-operating revenues instead of student tuition and fees (operating revenues). As a result of this misclassification, the scholarship allowances were initially reported in the Statement of Cash Flows as offsets to revenues in the Non-capital Financing Activities section instead of offsets to revenues in the Operating Activities section.</p> <p>A similar finding was reported in the previous three audits.</p>
<p><i>Institution's Response</i></p>	<p>Management acknowledges the misclassification and will continue to evaluate all automated and manual processes by which numbers are determined and fed into the financial statements. Great progress has been made, however. While this misclassification had no effect on the University's reported net assets or cash balance, management understands and agrees this type of mistake must not continue. Plans are being made to bring in an outside reviewer to assist in evaluating the reporting tools and accounting staff members are receiving additional training.</p>

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF UNIVERSITY OF CENTRAL ARKANSAS
June 30, 2011

<p><i>Finding No. 2:</i></p>	<p>Sound IT management includes establishing controls over access to programs and data, to provide reasonable assurance that unauthorized or erroneous disclosure, modification, or destruction of information will be prevented or detected in a timely manner. The SCT Banner System (Banner System) is a comprehensive software package used by the University to administer student financial aid, finance, human resources, and payroll processes and functions.</p> <p>The IT Director discovered, and this audit verified, that the Technical Director of Financial and Administrative Services changed records in the Banner System using access rights for which he was not authorized. This employee, who appears to have violated Ark. Code Ann. § 5-41-104 relating to computer trespass, escalated his access privileges by exploiting the security parameters of a user with full, authorized access rights.</p> <p>Failure to maintain adequate access controls resulted in improper and unauthorized employee access. Although no malicious action occurred as a result of the Banner System security breach, this situation increased the risk for errors or fraud.</p>
<p><i>Institution's Response</i></p>	<p>Management acknowledges the deficiency and acted immediately to limit access while the situation was further evaluated. After evaluation, the act was determined to be a significant violation. The employee has been terminated.</p> <p>This action also resulted in a review of the IT structure. Management will be making a determination as to the reporting line of those employees charged primarily with programming duties who reside outside the IT department.</p>

<p><i>Finding No. 3:</i></p>	<p>The Disaster Recovery Plan in place is inadequate (both technical and end user) for restoring from short-term or long-term interruptions of computer processing. This situation could cause the entity to be without computer processing for an extended period of time in the event of a disaster or major interruption and could also place a financial burden on the entity. A similar finding was reported in the previous audit.</p>
<p><i>Institution's Response</i></p>	<p>Management agrees with the finding as the plan was not far enough along as of June 30, 2011. As of September 2011, the pass rate for the UCA plan, provided by the State of Arkansas Department of Information Technology was 88%, well above the 80% required. Work continues to improve the plan and to fund the redundancy project within the plan.</p>

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF UNIVERSITY OF CENTRAL ARKANSAS
June 30, 2011

<i>Finding No. 4:</i>	Password controls do not meet minimum standards. User accounts are not locked out after three unsuccessful logon attempts. Failure to establish proper password controls increases the likelihood that an unauthorized person could gain access to the system. A similar finding was reported in the previous audit.
<i>Institution's Response</i>	Management agrees with the finding and has already corrected the password deficiency.

<i>Finding No. 5:</i>	<p>The University did not comply with Ark. Code Ann. § 19-4-1407 on a construction project involving the installation of artificial turf on the football and softball playing fields, with a total cost of approximately \$1 million. The code states that before any “affirmative action toward the construction” of a project, institutions such as the University must secure approval of a Request for Approval of Method of Finance (MOF) from the Department of Finance and Administration (DFA). On this project, the University incurred costs related to the installation of turf in March 2011; however, the MOF was not approved by DFA until May 25, 2011.</p> <p>During our audit period the construction of Bear Hall, a new residence hall, was started. Due to the projected cost of this project, in excess of \$18 million, the University could take advantage of Ark. Code Ann. § 19-4-1415, which would relieve the University of complying with several other code sections in the subchapter, including Ark. Code Ann. § 19-4-1407; however, several other code sections would still be applicable to a project undertaken under § 19-4-1415, including Ark. Code Ann. § 22-9-104. The MOF submitted by the University to DFA on or about February 4, 2011 occurred while the General Assembly was in session. Thus, Ark. Code Ann. § 22-9-104(a), (b), and (c) seem to be applicable: An MOF should have been submitted, and no work on the project commenced, pending approval by the General Assembly. The University executed a construction contract for this project on August 13, 2010; however, the MOF was not approved by DFA until February 18, 2011.</p>
<i>Institution's Response</i>	Management agrees with the finding. Because of the Bear Hall Method of Finance (MOF) confusion, the campus established a more formal process to ensure the MOF approval was in place prior to the project begin date.

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF UNIVERSITY OF CENTRAL ARKANSAS
June 30, 2011

	<p>The MOF approval for the turf project was due shortly after implementation of the new process and would have been adequate except the appropriate review committee did not meet that month which negatively impacted the timing. The decision was made to continue since the project was extremely time sensitive. There is now a better understanding of the need to plan far enough in advance to account for the unexpected.</p>
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<p><i>Finding No. 6:</i></p>	<p>Financial statements were not completed in a timely manner as requested by the audit staff. We requested the financial statements to be completed by September 1, 2011 but did not receive the final product with prior year restatements until October 11, 2011. As a result, the audit process was delayed.</p>
<p><i>Institution's Response</i></p>	<p>Management agrees with the finding and acknowledges that several issues contributed to the delay of the final statements. Additional measures will be taken to ensure the timeliness of future statements. For example, entries from the issuance of debt will be made as soon as the final indenture is received rather than during the year-end process, staff will receive additional training on specific requirements by the Governmental Accounting Standards Board (GASB), improved procedures and reviews will be established in the area of fixed assets, and automated financial statement processes will be reviewed throughout the year.</p>