

Arkansas Public Higher Education Operating & Capital Recommendations

2013-2015 Biennium



7-A

Volume 2
Two-Year
Colleges

Arkansas Department of Higher Education
114 East Capitol, Little Rock, Arkansas 72201

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**ARKANSAS PUBLIC HIGHER EDUCATION
OPERATING AND CAPITAL RECOMMENDATIONS
2013-15 BIENNIUM**

**VOLUME 2
TWO-YEAR COLLEGES**

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Institutional Abbreviations

Four-Year Institutions

Arkansas State University (Jonesboro)	ASUJ
Arkansas Tech University (Russellville)	ATU
Henderson State University (Arkadelphia)	HSU
Southern Arkansas University (Magnolia)	SAUM
University of Arkansas (Fayetteville)	UAF
University of Arkansas at Fort Smith	UAFS
University of Arkansas at Little Rock	UALR
University of Arkansas at Monticello	UAM
University of Arkansas at Pine Bluff	UAPB
University of Central Arkansas	UCA

Two-Year Institutions

Arkansas Northeastern College (Blytheville)	ANC
Arkansas State University - Beebe	ASUB
Arkansas State University - Mountain Home	ASUMH
Arkansas State University - Newport	ASUN
Black River Technical College (Pocahontas)	BRTC
Cossatot Community College of the UA (DeQueen)	CCCUA
East Arkansas Community College (Forrest City)	EACC
Mid-South Community College (West Memphis)	MSCC
National Park Community College (Hot Springs)	NPCC
North Arkansas College (Harrison)	NAC
Northwest Arkansas Community College (Bentonville)	NWACC
College of the Ouachitas	CotO
Ozarka College (Melbourne)	OZC
Phillips Community College of the UA (Helena)	PCCUA
Pulaski Technical College (North Little Rock)	PTC
Rich Mountain Community College (Mena)	RMCC
South Arkansas Community College (El Dorado)	SACC
Southeast Arkansas College (Pine Bluff)	SEAC
Southern Arkansas University - Tech (Camden)	SAUT
UA Community College at Batesville	UACCB
UA Community College at Hope	UACCH
UA Community College at Morrilton	UACCM

Non-Formula Institutions / Entities

Arkansas Delta Training and Education Consortium	ADTEC
Arkansas Research and Educational Optical Network	ARE-ON
ASU - System	ASU-SYS
ASU - Heritage Sites	ASU-Heritage
HSU - Community Education Center	HSU-CEC
SAUT - Environmental Training Academy	SAUT-ETA
SAUT - Fire Training Academy	SAUT-FTA
UA - System (Little Rock)	UA-SYS
UA - Archeological Survey (Fayetteville)	UA-AS
UA - Clinton School of Public Service (Little Rock)	UA-CS
UA - Criminal Justice Institute (Little Rock)	UA-CJI
UA - Division of Agriculture (Fayetteville and Little Rock)	UA-DIV AGR
UA - School of Mathematics, Sciences, and the Arts (Hot Springs)	UA-ASMSA
UA-Winthrop Rockefeller Institute Knowledge Based Economic Development Program	UA-WRI KBED
UAF - Garvan Woodland Gardens (Hot Springs)	UAF-GWG
UAF - The David & Barbara Pryor Center for Arkansas Oral and Visual History	UAF-Pryor Center
UALR - Research and Public Service	UALR-RAPS
University of Arkansas at Pine Bluff (Non-Formula)	UAPB
University of Arkansas for Medical Sciences	UAMS

Technical Centers

ATU - Ozark Campus	ATU-OZARK
UAM - College of Technology - Crossett	UAM-CROSSETT
UAM - College of Technology - McGehee	UAM-MCGEHEE

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ARKANSAS NORTHEASTERN COLLEGE

June Walters, Interim President

ENABLING LAWS

Act 171 of 2012 and Act 22 of 2012

INSTITUTION HISTORY AND ORGANIZATION

The Role and Scope of Arkansas Northeastern College broadly establishes the College's higher education responsibility for diverse, lifelong learning opportunities delivered to its district citizens for whom, and by whom, the College was established. The College is governed by nine representatives of its enacting district and is authorized to grant the Associate in Arts, Associate in Applied Science, and Associate in Science degrees, as well as certificates, diplomas, and awards.

Programs of study, courses, and components of the above degrees, certificates, diplomas, and awards include a general education core and university-parallel education for completion of the first two (2) years of a baccalaureate program or for personal objectives; technical programs or studies intended for direct employment preparation or advancement; and adult education and compensatory education for the development or enhancement of basic and advanced learning skills. Also included are vocational courses and programs in sponsorship of continuing education and a diversity of community/public services requested of the College by its district. ANC currently operates under Act 171 of the 88th General Assembly for the personal services and operating expenses for Arkansas Northeastern College, as well as Act 22 for the reappropriation of the balances of the capital improvement appropriations for institutions of higher education.

THE MISSION

ANC is committed to providing accessible, quality educational programs, services, and lifelong learning opportunities.

The College's underlying philosophy is to provide opportunities for youth and adults to develop themselves for purposeful, gratifying, and useful lives in a democratic society. The College accepts the national goal of providing at least two (2) years of education beyond the high school level. Paramount in such education are programs of study designed to fit the needs of students of varying educational and vocational goals and those which provide co-curricular activities and community services consistent with the concept of the community college. Operating in the larger context of local, state,

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regional, and national higher educational patterns, the College seeks to respond to the needs of individuals and their levels of ability and development.

STRATEGIC GOALS

1. The College shall offer relevant curricula and quality educational programs that utilize flexible delivery methods while ensuring academic excellence and integrity.
2. The College shall facilitate the transition of students into higher education by strengthening partnerships and improving communication with secondary schools, governmental agencies, and business/industry.
3. The College shall provide systems and processes to encourage student enrollment, increase retention, and facilitate transition into careers or further learning opportunities.
4. The College shall enhance instruction, student learning, and the delivery of administrative and educational services to students, faculty, and staff by implementing and supporting technological systems and services.
5. The College shall participate in regional economic development as a partner and innovative leader in training, retraining, and educational services provided to business and industries in the region.
6. The College shall ensure the efficient and effective use of all available resources by maintaining a high level of stewardship and accountability.
7. The College shall cultivate a learning environment that promotes cultural enrichment, communication, diversity, and lifelong learning opportunities for its constituencies.
8. The College shall increase access to baccalaureate and master degree programs for area citizens.

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THE STRATEGIC PLAN

The development of Arkansas Northeastern College's Long-Range Plan employed the findings and recommendations from timely reviews and assessments of the College's accomplishments. These included the College's North Central Association Self-Study and the Final Report of a visit by an NCA team of consultants/evaluators, and Arkansas Department of Higher Education Program Reviews of the previous five years. These and other studies and reports were used to develop a listing of goal statements concerning achievement of mission and purposes. Additional goal statements were solicited from faculty, staff, board, and community representatives and incorporated in an unduplicated listing. The College community was invited to identify those statements deemed most important and served to combine or eliminate and reduce the numbers of the most important statements for consideration by a group consensus process. Analysis of the results produced the goal statements presented to, and adopted by, the College's Board of Trustees on December 15, 2004.

Each year the faculty and staff of Arkansas Northeastern College engage in a strategic planning process establishing objectives relating to the Long-Range Goals. Each objective is measured for success, time to complete, and cost. This process provides for a consistent application of resources toward the stated goals of the institution.

OBJECTIVE STRATEGIES

The objective strategies for the College reflect and encompass the Long-Range Goals as follows:

1. *To evaluate the past accomplishment of the College's Mission and prepare for its future accomplishment.*

The annual strategic planning process, budgetary process, and assessment of institutional effectiveness jointly serve to measure performance and establish priorities for the future. The College also embarked on a comprehensive examination of institutional practices through the Foundations of Excellence process.

2. *To evaluate curricula, programs, services, and student outcomes.*

Curricula modifications are a part of the evolutionary academic process. Modifications and new program

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introductions are based on research and inputs from the College's constituency. Advisory committees for each degree program meet annually recording external input on effectiveness. Internal assessment studies are used annually to modify services and impact outcomes.

3. *To strengthen partnership with secondary schools, governmental agencies, and business/industry.*

The College continues to evolve its outreach to these various constituencies. The College operates a Secondary Center and a STEM Academy in partnership with area school districts. The College and Mississippi County have partnered to assist in economic development activities and extensive new objectives are in place to serve business/industry through the Arkansas Delta Training and Education Consortium (ADTEC). The College has also established with the assistance of area businesses and industries, The Great River Promise. This is a scholarship program offering tuition scholarships to ANC for every high school graduate each year in the county.

4. *To participate and lead in regional economic development through training and services.*

The Solutions Group, the College's industry service and training department, was nationally recognized in 2005 by receiving the Bellwether Award for Innovation by the Community College Futures Assembly. This award distinguished the Solutions Group for its outstanding service to industry. The College recently has created new programs in aviation maintenance and phlebotomy. The College is an active partner in the Arkansas Delta Training and Education Consortium (ADTEC). The Department of Labor presented ADTEC with the Recognition of Excellence award.

5. *To effectively and efficiently use resources while maintaining a high level of stewardship.*

The College operates a fully integrated system of assessment which couples with the strategic planning process to produce the analysis of the past year and to support the financial request for the upcoming year. The College has demonstrated stewardship by consistently receiving audits with no significant findings. The College regularly reviews academic program viability, suspending programs with insufficient participation and adding programs in

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high demand by employers.

6. *To cultivate a learning environment that promotes cultural enrichment, communication, diversity, and lifelong learning.*

The comprehensive mission of a community college encompasses the community service and outreach functions. ANC, through its strategic plan, incorporates these critical components into its objectives.

7. *To increase access to baccalaureate and master degree programs.*

The College, through its University Center, has been hosting a variety of programs for over twenty (20) years. There are currently fifty (50) baccalaureate programs and three (3) master programs delivered on the ANC main campus. The creation of the ADTEC University Center has increased the ability of students to access more programs with greater flexibility.

INSTITUTION HIGHLIGHTS

Arkansas Northeastern College strives to be the State's premier provider of customized workforce training. The Solutions Group, ANC's customized training division, provides a wide variety of applied technical training to every major industrial employer in Mississippi County, as well as many clients throughout the region. The range, depth, and quality of training resources at ANC have been instrumental in recruiting several new employers to Mississippi County, including Denso, Aviation Repair Technologies, and Beckmann-Volmer. From 2003 to 2010, the median annual wage in Mississippi County increased seven percent (7%) *per year* in a period of low inflation. The overarching goal of The Solutions Group is to provide training needed by local and prospective employers that will enhance local economic development and the resultant quality of life of the region.

Arkansas Northeastern College also seeks to be a leader in the delivery of allied health education. Since the merger of Mississippi County Community College and Cotton Boll Technical Institute, ANC has provided comprehensive offerings in

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the high demand field of healthcare professionals. ANC's registered nursing program consistently produces among the highest licensure success and placement rates in Arkansas. Graduates of ANC's practical nursing program also are in high demand among area employers. Accordingly, both nursing programs have student waiting lists with students completing general education requirements while awaiting program openings. To complement the nursing programs, as well as existing programs in certified nursing assistant, dental assisting and emergency medical technician, ANC launched a new phlebotomy program in response to employer demand. Classes began during the Fall 2012 semester in a newly constructed, state-of-the-art Nursing/Allied Health building located on the ANC main campus, featuring the latest in laboratory and classroom technology.

As part of the College's participation in the Path to Accelerated Completion & Employment (PACE) and Complete College America (CCA) grants, ANC revamped its developmental English courses to reduce the required completion time from two semesters to possibly one semester. The developmental math program, which is the area that represents a challenge for a greater number of students, was reduced from three tracks to two tracks by integrating Nursing/Allied Health math with Occupational/Technical Program Track. The new Math Applications Course is organized around 14 modules to reflect the specific math content required for the various technical, business, health science, criminal justice, and advanced manufacturing technologies. Required completion time in the math area has been reduced from a maximum of 3 or 4 semesters to 1 or 2 semesters. These innovations are being implemented in the Fall semester of 2012.

The Career Coaches program, operated through a grant from the Arkansas Department of Career Education, allows ANC to place career coaches at 7 of our area high schools. Although in existence only two years, the innovative new program already has influenced several key outcomes. College-going rates in Mississippi County (54%) now exceed the State average of 51.7% due to a **12% increase in the countywide college-going rate** over the past two years. The percentage of county high school graduates attending ANC has increased from roughly 30% over the ten years prior to the introduction of career coaches to 41% afterward. Additionally, the three largest school districts in Mississippi County in grades 7 through 12 have reduced their remediation rates thanks to improved ACT scores, a direct result of the ACT preparation classes offered through the Career Coaching program. Fall-to-Spring retention rates of county graduates, exposed to the Career Coaching program, attending ANC averaged 74% for May 2011 graduates as compared to a

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college-wide Fall-to-Spring retention rate of 65%.

The College's Appropriation Request supports continuing effort toward achievement of these institutional objectives.

The Board of Trustees has ensured that ANC has been, and will continue to be, a strong partner in the economic well-being of Mississippi County and the district. Through the provision of educational opportunities and service initiatives to citizens, businesses, and industries, the College is a participant in the development potential of its geographic district.

Arkansas Northeastern College intends to carry its services to the community. The College has pledged to extend educational opportunities to the citizens of Mississippi County and its service area through our main campus and through its extended campus operations. This Appropriation Request reflects the mission and goals of the College and the state goal to improve access to higher education opportunity by citizens through Arkansas.

The College's Appropriation Request provides for general operations accommodative of a growth in students and district services, faculty/staff additions, campus improvements, and infrastructure additions. Combined with tuition revenue and support by private citizens, the appropriation requested will support Arkansas Northeastern College as one of Arkansas' premier two-year colleges and its delivery of efficient, accountable, higher education opportunities and services.

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**INSTITUTION APPROPRIATION SUMMARY
2013-15 BIENNIUM**

INSTITUTION ARKANSAS NORTHEASTERN COLLEGE

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2011-2012		2012-13		2012-13		2013-14				2014-15			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	9,953,778		9,958,331		10,012,783		10,111,001		10,111,001		10,343,554		10,343,554	
2 CASH	9,911,413		28,500,000		28,500,000		28,500,000		28,500,000		28,500,000		28,500,000	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$19,865,191	220	\$38,458,331	252	\$38,512,783	307	\$38,611,001	307	\$38,611,001	307	\$38,843,554	307	\$38,843,554	307
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*	0	0%	24,739	0%			0	0%	0	0%	0	0%	0	0%
13 GENERAL REVENUE	8,577,298	43%	8,577,052	22%			8,764,461	23%	8,764,461	23%	8,997,014	23%	8,997,014	23%
14 EDUCATIONAL EXCELLENCE TRUST FUND	692,945	3%	707,281	2%			707,281	2%	707,281	2%	707,281	2%	707,281	2%
15 WORKFORCE 2000	683,781	3%	639,259	2%			639,259	2%	639,259	2%	639,259	2%	639,259	2%
16 CASH FUNDS	6,186,286	31%	6,458,421	17%			6,522,334	17%	6,522,334	17%	6,588,180	17%	6,588,180	17%
17 SPECIAL REVENUES		0%		0%				0%	0	0%	0	0%	0	0%
18 FEDERAL FUNDS	3,725,127	19%	22,041,579	57%			21,977,666	57%	21,977,666	57%	21,911,820	56%	21,911,820	56%
19 TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
20 OTHER FUNDS	24,493	0%	10,000	0%			0	0%	0	0%	0	0%	0	0%
21 TOTAL INCOME	\$19,889,930	100%	\$38,458,331	100%			\$38,611,001	100%	\$38,611,001	100%	\$38,843,554	100%	\$38,843,554	100%
22 EXCESS (FUNDING)/APPROPRIATION	-\$24,739		\$0				\$0		\$0		\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2012:	\$5,736,251
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$334,677
INVENTORIES	\$17,340
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	\$200,000
INSURANCE DEDUCTIBLES	\$31,500
MAJOR CRITICAL SYSTEMS FAILURES	\$2,000,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$2,200,000
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$952,734

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2013-15 BIENNIUM**

FUND CWM0000

INSTITUTION ARKANSAS NORTHEASTERN COLLEGE

APPROPRIATION 537

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES	7,157,214	7,800,000	7,800,000	7,800,000	7,800,000		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	1,800,000	1,800,000	1,800,000	1,800,000	1,800,000		
5 OPERATING EXPENSES	921,564	237,783	237,783	436,001	668,554		
6 CONFERENCE FEES & TRAVEL	25,000	25,000	25,000	25,000	25,000		
7 PROFESSIONAL FEES AND SERVICES	25,000	25,000	25,000	25,000	25,000		
8 CAPITAL OUTLAY	25,000	25,000	25,000	25,000	25,000		
9 FUNDED DEPRECIATION							
10 CONTINGENCY		45,548	100,000				
11							
12							
13 TOTAL APPROPRIATION	\$9,953,778	\$9,958,331	\$10,012,783	\$10,111,001	\$10,343,554	\$0	\$0
14 PRIOR YEAR FUND BALANCE**		24,739					
15 GENERAL REVENUE	8,577,298	8,577,052		8,764,461	8,997,014		
16 EDUCATIONAL EXCELLENCE TRUST FUND	692,945	707,281		707,281	707,281		
17 SPECIAL REVENUES * [WF2000]	683,781	639,259		639,259	639,259		
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS	24,493	10,000					
21 TOTAL INCOME	\$9,978,517	\$9,958,331		\$10,111,001	\$10,343,554	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	-\$24,739	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS
2013-15 BIENNIUM**

FUND 2130000

INSTITUTION ARKANSAS NORTHEASTERN COLLEGE

APPROPRIATION B04

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES	2,098,666	4,000,000	4,000,000	4,000,000	4,000,000		
2 EXTRA HELP WAGES	630,121	2,000,000	2,000,000	2,000,000	2,000,000		
3 OVERTIME	5,849	28,000	28,000	28,000	28,000		
4 PERSONAL SERVICES MATCHING	499,919	3,000,000	3,000,000	3,000,000	3,000,000		
5 OPERATING EXPENSES	3,151,882	6,822,000	6,822,000	6,822,000	6,822,000		
6 CONFERENCE FEES & TRAVEL	160,668	800,000	800,000	800,000	800,000		
7 PROFESSIONAL FEES AND SERVICES	166,684	500,000	500,000	500,000	500,000		
8 CAPITAL OUTLAY	3,103,410	4,000,000	4,000,000	4,000,000	4,000,000		
9 CAPITAL IMPROVEMENTS		6,000,000	6,000,000	6,000,000	6,000,000		
10 DEBT SERVICE	93,000	800,000	800,000	800,000	800,000		
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS		400,000	400,000	400,000	400,000		
12 PROMOTIONAL ITEMS	1,214	150,000	150,000	150,000	150,000		
13							
14							
15							
16 TOTAL APPROPRIATION	\$9,911,413	\$28,500,000	\$28,500,000	\$28,500,000	\$28,500,000	\$0	\$0
17 PRIOR YEAR FUND BALANCE***							
18 TUITION AND MANDATORY FEES	2,940,564	2,870,464		2,927,872	2,986,431		
19 ALL OTHER FEES	591,931	357,314		364,462	371,749		
20 SALES AND SERVICES RELATED TO							
21 INVESTMENT INCOME	85,343	130,000		130,000	130,000		
22 FEDERAL CASH FUNDS	3,725,127	22,041,579		21,977,666	21,911,820		
23 OTHER CASH FUNDS	2,568,448	3,100,643		3,100,000	3,100,000		
24 TOTAL INCOME	\$9,911,413	\$28,500,000		\$28,500,000	\$28,500,000	\$0	\$0
25 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED 2012-13	REQUEST		RECOMMENDATION	
				2013-14	2013-14	2013-14	2014-15
REGULAR POSITIONS	220	252	307	307	307		
TOBACCO POSITIONS							
EXTRA HELP **	164	173	521	521	521		

FORM 13-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

ARKANSAS NORTHEASTERN COLLEGE
(NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2011-12				BUDGETED 2012-13			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *				0				0
2 HOUSING				0				0
3 FOOD SERVICES	47,247	66,541	0	(19,294)	42,500	67,268	0	(24,768)
4 STUDENT UNION				0				0
5 BOOKSTORE	59,298	0	0	59,298	55,000	0	0	55,000
6 STUDENT ORGANIZATIONS AND PUBLICATIONS	13,268	47,298	0	(34,030)	0	47,732	0	(47,732)
7 OTHER				0				0
8 SUBTOTAL	119,812	113,839	0	5,973	97,500	115,000	0	(17,500)
9 ATHLETIC TRANSFER **				0				0
10 OTHER TRANSFERS ***	0			0	17,500			17,500
11 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	119,812	113,839	0	5,973	115,000	115,000	0	0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 13-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line Item 10 Other Transfers - \$17,500 other transfers represents student fees transferred from Unrestricted E&G

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

ARKANSAS NORTHEASTERN COLLEGE
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2011-12:						198
(As of November 1, 2011)						
Nonclassified Administrative Employees:						
White Male:	12	Black Male:	3	Other Male:	0	Total Male: 15
White Female:	43	Black Female:	8	Other Female:	0	Total Female: 51
Nonclassified Health Care Employees:						
White Male:	0	Black Male:	0	Other Male:	0	Total Male: 0
White Female:	0	Black Female:	0	Other Female:	0	Total Female: 0
Classified Employees:						
White Male:	16	Black Male:	0	Other Male:	1	Total Male: 17
White Female:	30	Black Female:	8	Other Female:	1	Total Female: 39
Faculty:						
White Male:	27	Black Male:	0	Other Male:	2	Total Male: 29
White Female:	44	Black Female:	3	Other Female:	0	Total Female: 47
Total White Male:	55	Total Black Male:	3	Total Other Male:	3	Total Male: 61
Total White Female:	117	Total Black Female:	19	Total Other Female:	1	Total Female: 137
Total White:	172	Total Black:	22	Total Other:	4	Total Employees: 198
				Total Minority:	26	

FORM 13-6

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$25,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2012
 Required by A.C.A. 25-36-104

Institution

ARKANSAS NORTHEASTERN COLLEGE

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
N/A							

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$3,671,625
 (Total Expenditures equals ALL Contracts Exceeding \$25,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

**DIVISION OF LEGISLATIVE AUDIT
AUDIT OF ARKANSAS NORTHEASTERN COLLEGE
June 30, 2011**

<i>Finding:</i>	No Findings noted
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ARKANSAS STATE UNIVERSITY-BEEBE

Dr. Eugene McKay, Chancellor

ENABLING LAWS

A.C.A. §6-65-209; A.C.A. §6-53-401 & 405; §6-56-102; Act 146 of 2012.

INSTITUTION HISTORY AND ORGANIZATION

ASU-Beebe is a multi-campus institution with campuses at Beebe, Heber Springs, Searcy, and the Little Rock Air Force Base. During FY 12, it served over 7,000 students from 63 counties, 28 states, and 14 foreign countries. This student population also includes approximately 250 students living on-campus in two residence halls. During the 2010-2011 academic year, ASU-Beebe awarded 1,140 credentials.

ASU-Beebe has a proud tradition of national recognition and honors. The Aspen Institute ranked the University in the top 10% of community colleges in the nation the past two years. Stateuniversity.com ranked ASU-Beebe as the safest campus in the nation the past three years. *GI Magazine* lists ASU-Beebe as a “veteran friendly” college.

A recent study showed ASU-Beebe has over a \$316 million dollar annual economic impact on its service area. To this end, it is one of the largest employers in the area. ASU-Beebe also has several grants and initiatives serving the community including, but are not limited to Upward Bound, Students Support Services, Career Pathways, Area Career Centers, electrician apprenticeship program, plumber apprenticeship program, Workforce Training, Economic Development Center, White County Industrial Training Council, and the Little Red Industrial Training Council. ASU-Beebe offers many programs in technical education including, but not limited to pharmacy technology, veterinary technology, petroleum technology, welding technology, LPN, medical laboratory technology, computerized machining technology, diesel technology, and power sport engine technology. Furthermore, the University served over 3,000 students through the Economic Development Center and workforce training program.

ASU-Beebe also offers several academic and technical programs unique to the state: Agricultural Technology (John Deere), Veterinary Technology, Pharmacy Technology, and Associate of Fine Arts. It also is the only two-year school in the state with a working farm. Additionally, the University partners with ASU-Jonesboro to offer 12 bachelor’s degrees and 4 master’s degrees on the ASU-Beebe Degree Center to better meet the needs of our service area.

ARKANSAS STATE UNIVERSITY-BEEBE
Dr. Eugene McKay, Chancellor

Arkansas State University-Beebe began in 1927 as Junior Agricultural School of Central Arkansas, enacted by A.C.A. § 6-65-209. In 1955, the Arkansas General Assembly designated the school a campus of Arkansas State College; and, in 1967, it became Arkansas State University-Beebe. In addition to the original campus in Beebe, the institution has established campuses at the Little Rock Air Force Base in 1965, Heber Springs in 1999, and, through a merger with Foothills Technical Institute, at Searcy in 2003. In FY13, ASU-Beebe and its campuses are appropriated by Act 146 of 2012.

MISSION

ASU-Beebe's mission is "transforming lives through quality learning experiences." To achieve this mission, the University has developed the following strategic goals:

1. Provide learning experiences that support the diversity of our students' needs and aspirations
2. Increase enrollment and completion, persistence, and graduation rates
3. Build mechanisms to better adapt to legislative, accreditation, and other regulatory issues
4. Develop and maintain a culture of assessment to improve student success
5. Develop and manage our human, funding, and other resources

ASU-Beebe is part of the Arkansas State University System. As such, the University reports to the ASU System President and is governed by the Board of Trustees, which consists of five persons appointed by the Governor.

ADDITIONAL APPROPRIATIONS/NEW POSITIONS

ASU-Beebe requests 8 new total positions: 3 full-time and 5 part-time. The full-time positions would allow the University to better meet the demands of external accrediting bodies and better recruit students, thus helping achieve our strategic goals and, ultimately, our mission. The 5 part-time positions would be adjunct faculty positions to allow ASU-Beebe more effectively meet the needs of students by providing adequate instructional support.

**INSTITUTION APPROPRIATION SUMMARY
2013-15 BIENNIUM**

INSTITUTION ARKANSAS STATE UNIVERSITY-BEEBE

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2011-2012		2012-13		2012-13		2013-14				2014-15			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	14,222,974		14,157,155		14,869,038		14,420,336		14,420,336		14,752,004		14,752,004	
2 CASH	20,626,532		82,695,000		82,695,000		82,695,000		82,695,000		82,695,000		82,695,000	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$34,849,506	509	\$96,852,155	507	\$97,564,038	571	\$97,115,336	579	\$97,115,336	579	\$97,447,004	579	\$97,447,004	579
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*	227,094	1%	0	0%			0	0%	0	0%	0	0%	0	0%
13 GENERAL REVENUE	12,090,486	35%	12,044,916	12%			12,308,097	13%	12,308,097	13%	12,639,765	13%	12,639,765	13%
14 EDUCATIONAL EXCELLENCE TRUST FUND	1,382,297	4%	1,410,895	1%			1,410,895	1%	1,410,895	1%	1,410,895	1%	1,410,895	1%
15 WORKFORCE 2000	750,191	2%	701,344	1%			701,344	1%	701,344	1%	701,344	1%	701,344	1%
16 CASH FUNDS	18,064,005	52%	82,695,000	85%			82,695,000	85%	82,695,000	85%	82,695,000	85%	82,695,000	85%
17 SPECIAL REVENUES	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
18 FEDERAL FUNDS	2,335,433	7%	0	0%			0	0%	0	0%	0	0%	0	0%
19 TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
20 OTHER FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
21 TOTAL INCOME	\$34,849,506	100%	\$96,852,155	100%			\$97,115,336	100%	\$97,115,336	100%	\$97,447,004	100%	\$97,447,004	100%
22 EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0		\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2012:	\$4,124,446
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$554,739
INVENTORIES	\$76,748
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$25,000
MAJOR CRITICAL SYSTEMS FAILURES	
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$3,044,765
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$693,713
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	(\$270,519)

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2013-15 BIENNIUM**

FUND CMA0000

INSTITUTION ARKANSAS STATE UNIVERSITY-BEEBE

APPROPRIATION 300

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES	11,446,961	11,394,068	11,949,211	11,588,299	11,823,484		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	1,758,827	1,758,827	1,808,827	1,788,827	1,838,827		
5 OPERATING EXPENSES							
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES							
8 CAPITAL OUTLAY							
9 FUNDED DEPRECIATION	170,000	170,000	180,000	180,000	190,000		
10 OTHER: WORKERS COMPENSATION ADMIN FEE	6,222						
11 OTHER: SURETY BOND	1,135						
12							
13 TOTAL APPROPRIATION	\$13,383,145	\$13,322,895	\$13,938,038	\$13,557,126	\$13,852,311	\$0	\$0
14 PRIOR YEAR FUND BALANCE**							
15 GENERAL REVENUE	11,250,657	11,210,656		11,444,887	11,740,072		
16 EDUCATIONAL EXCELLENCE TRUST FUND	1,382,297	1,410,895		1,410,895	1,410,895		
17 SPECIAL REVENUES * [WF2000]	750,191	701,344		701,344	701,344		
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS							
21 TOTAL INCOME	\$13,383,145	\$13,322,895		\$13,557,126	\$13,852,311	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM 13-3

**APPROPRIATION ACT FORM - STATE TREASURY
2013-15 BIENNIUM**

FUND CMA0000

INSTITUTION ASUB-ARKANSAS STATE UNIVERSITY-HEBER SPRINGS

APPROPRIATION 145

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES	822,370	834,260	881,000	863,210	863,210		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	17,459	0	50,000	0	36,483		
5 OPERATING EXPENSES							
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES							
8 CAPITAL OUTLAY							
9 FUNDED DEPRECIATION							
10							
11							
12							
13 TOTAL APPROPRIATION	\$839,829	\$834,260	\$931,000	\$863,210	\$899,693	\$0	\$0
14 PRIOR YEAR FUND BALANCE**							
15 GENERAL REVENUE	839,829	834,260		863,210	899,693		
16 EDUCATIONAL EXCELLENCE TRUST FUND							
17 SPECIAL REVENUES * [WF2000]							
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS							
21 TOTAL INCOME	\$839,829	\$834,260		\$863,210	\$899,693	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM 13-3

**APPROPRIATION ACT FORM - CASH FUNDS
2013-15 BIENNIUM**

FUND 2060000

INSTITUTION ARKANSAS STATE UNIVERSITY-BEEBE

APPROPRIATION A71

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES	2,114,266	6,496,960	6,496,960	6,496,960	6,496,960		
2 EXTRA HELP WAGES	704,944	800,000	800,000	850,000	900,000		
3 OVERTIME		15,000	15,000	15,000	15,000		
4 PERSONAL SERVICES MATCHING	2,543,417	3,920,600	3,920,600	3,920,600	3,920,600		
5 OPERATING EXPENSES	5,571,056	10,000,000	10,000,000	10,000,000	10,000,000		
6 CONFERENCE FEES & TRAVEL	245,692	425,000	425,000	425,000	425,000		
7 PROFESSIONAL FEES AND SERVICES	568,363	900,000	900,000	900,000	900,000		
8 CAPITAL OUTLAY	422,743	1,250,000	1,250,000	1,250,000	1,250,000		
9 CAPITAL IMPROVEMENTS	1,943,648	26,776,840	26,776,840	26,726,840	26,676,840		
10 DEBT SERVICE	1,631,876	2,000,000	2,000,000	2,500,000	2,500,000		
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS	1,294,777	7,950,000	7,950,000	7,450,000	7,450,000		
12 PROMOTIONAL ITEMS	22,261	100,000	100,000	100,000	100,000		
13							
14							
15							
16 TOTAL APPROPRIATION	\$17,063,043	\$60,634,400	\$60,634,400	\$60,634,400	\$60,634,400	\$0	\$0
17 PRIOR YEAR FUND BALANCE***	227,094						
18 TUITION AND MANDATORY FEES	9,423,041	9,705,000		9,899,100	10,297,082		
19 ALL OTHER FEES	5,836	6,000		6,000	6,000		
20 SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	61,373	53,000		55,000	57,000		
21 INVESTMENT INCOME	92,735	100,000		100,000	100,000		
22 FEDERAL CASH FUNDS	1,875,591						
23 OTHER CASH FUNDS	5,377,373	50,770,400		50,574,300	50,174,318		
24 TOTAL INCOME	\$17,063,043	\$60,634,400		\$60,634,400	\$60,634,400	\$0	\$0
25 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED 2012-13	REQUEST	RECOMMEND	LEGISLATIVE RECOMMENDATION	
				2013-14	2013-14	2013-14	2014-15
REGULAR POSITIONS	432	430	484	487	487		
TOBACCO POSITIONS							
EXTRA HELP **	140	129	175	175	175		

FORM 13-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS
2013-15 BIENNIUM**

FUND 2060000

INSTITUTION ASUB-ARKANSAS STATE UNIVERSITY-HEBER SPRINGS

APPROPRIATION A43

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES	805,399	1,540,400	1,540,400	1,540,400	1,540,400		
2 EXTRA HELP WAGES	196,014	200,000	200,000	250,000	250,000		
3 OVERTIME		10,000	10,000	10,000	10,000		
4 PERSONAL SERVICES MATCHING	441,349	700,000	700,000	700,000	700,000		
5 OPERATING EXPENSES	861,162	1,400,000	1,400,000	1,400,000	1,400,000		
6 CONFERENCE FEES & TRAVEL	33,533	60,000	60,000	60,000	60,000		
7 PROFESSIONAL FEES AND SERVICES	5,746	100,000	100,000	100,000	100,000		
8 CAPITAL OUTLAY	13,591	250,000	250,000	250,000	250,000		
9 CAPITAL IMPROVEMENTS	38,850	14,865,000	14,865,000	14,815,000	14,815,000		
10 DEBT SERVICE	951,908	1,500,000	1,500,000	1,500,000	1,500,000		
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS	209,637	1,405,200	1,405,200	1,405,200	1,405,200		
12 PROMOTIONAL ITEMS	6,300	30,000	30,000	30,000	30,000		
13							
14							
15							
16 TOTAL APPROPRIATION	\$3,563,489	\$22,060,600	\$22,060,600	\$22,060,600	\$22,060,600	\$0	\$0
17 PRIOR YEAR FUND BALANCE***							
18 TUITION AND MANDATORY FEES	1,144,484	1,185,600		1,209,312	1,233,498		
19 ALL OTHER FEES							
20 SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	3,605	4,000		4,000	4,000		
21 INVESTMENT INCOME	22,753	15,000		15,000	15,000		
22 FEDERAL CASH FUNDS	459,842						
23 OTHER CASH FUNDS	1,932,805	20,856,000		20,832,288	20,808,102		
24 TOTAL INCOME	\$3,563,489	\$22,060,600		\$22,060,600	\$22,060,600	\$0	\$0
25 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED 2012-13	REQUEST	RECOMMEND	LEGISLATIVE RECOMMENDATION	
				2013-14	2013-14	2013-14	2014-15
REGULAR POSITIONS	77	77	87	92	92		
TOBACCO POSITIONS							
EXTRA HELP **	30	23	40	40	40		

FORM 13-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

ARKANSAS STATE UNIVERSITY-BEEBE
(NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2011-12				BUDGETED 2012-13			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *				0				0
2 HOUSING	765,622	393,237	564,310	(191,925)	744,000	387,469	564,115	(207,584)
3 FOOD SERVICES	625,155	716,520		(91,365)	606,000	683,904		(77,904)
4 STUDENT UNION	213,941	74,887	103,511	35,543	214,100	83,518	101,492	29,090
5 BOOKSTORE	1,670,524	1,521,574		148,950	1,670,250	1,453,852		216,398
6 STUDENT ORGANIZATIONS AND PUBLICATIONS				0				
7 OTHER: Vending	38,790			38,790	40,000			40,000
8 SUBTOTAL	3,314,032	2,706,218	667,821	(60,007)	3,274,350	2,608,743	665,607	0
9 ATHLETIC TRANSFER **				0				0
10 OTHER TRANSFERS ***	(20,799)			(20,799)				0
11 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	3,293,233	2,706,218	667,821	(80,806)	3,274,350	2,608,743	665,607	0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 13-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 9 Other: Transfer from Vending.

NOTE: Line 10 Other Transfer: Transfer to Plant for a Deferred Maintenance fund as required by AHEC Board policy.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

ARKANSAS STATE UNIVERSITY-BEEBE
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2011-12:					<u>416</u>
(As of November 1, 2011)					
Nonclassified Administrative Employees:					
White Male:	<u>30</u>	Black Male:	<u>1</u>	Other Male:	<u>3</u>
White Female:	<u>32</u>	Black Female:	<u>2</u>	Other Female:	<u> </u>
				Total Male:	<u>34</u>
				Total Female:	<u>34</u>
Nonclassified Health Care Employees:					
White Male:	<u> </u>	Black Male:	<u> </u>	Other Male:	<u> </u>
White Female:	<u> </u>	Black Female:	<u> </u>	Other Female:	<u> </u>
				Total Male:	<u>0</u>
				Total Female:	<u>0</u>
Classified Employees:					
White Male:	<u>34</u>	Black Male:	<u>3</u>	Other Male:	<u>2</u>
White Female:	<u>84</u>	Black Female:	<u>5</u>	Other Female:	<u>1</u>
				Total Male:	<u>39</u>
				Total Female:	<u>90</u>
Faculty:					
White Male:	<u>104</u>	Black Male:	<u>3</u>	Other Male:	<u>4</u>
White Female:	<u>104</u>	Black Female:	<u>3</u>	Other Female:	<u>1</u>
				Total Male:	<u>111</u>
				Total Female:	<u>108</u>
Total White Male:	<u>168</u>	Total Black Male:	<u>7</u>	Total Other Male:	<u>9</u>
Total White Female:	<u>220</u>	Total Black Female:	<u>10</u>	Total Other Female:	<u>2</u>
Total White:	<u>388</u>	Total Black:	<u>17</u>	Total Other:	<u>11</u>
				Total Minority:	<u>28</u>
				Total Employees:	<u>416</u>

FORM 13-6

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$25,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2012
 Required by A.C.A. 25-36-104

Institution ARKANSAS STATE UNIVERSITY-BEEBE

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
N/A							

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$323,503
 (Total Expenditures equals ALL Contracts Exceeding \$25,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

**DIVISION OF LEGISLATIVE AUDIT
AUDIT OF ARKANSAS STATE UNIVERSITY - BEEBE
June 30, 2011**

<i>Finding:</i>	No Findings noted
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ARKANSAS STATE UNIVERSITY – MOUNTAIN HOME

Dr. Robert Myers, Chancellor

ENABLING LAWS

Act 100 of 1909; Act 1244 of 1991; A.C.A. §6-65-201 & 202; A.C.A. §6-65-209; A.C.A. §6-53-401 & 405; §6-56-102; Act 193 of 2012.

INSTITUTION HISTORY AND ORGANIZATION

Arkansas State University-Mountain Home (ASUMH) is a public, open access, two-year campus of Arkansas State University, primarily serving students in North Central Arkansas. ASUMH provides affordable, lifelong learning and excellent teaching, enabling students of all ages to explore ethical values, develop technological and critical thinking skills, and communicate logically and effectively in order to enhance their quality of life. Furthermore, ASUMH is committed to creating a progressive community of enlightened and productive global citizens. To help students achieve educational, personal, and career goals, ASUMH offers certificates, associate degrees, continuing and workforce education, and adult basic education.

Arkansas State University-Mountain Home's vision is to provide expertise and resources which create opportunities and change lives.

The mission of ASUMH is to **LEAD** through educational opportunities:

Lifelong Learning
Enhanced Quality of Life,
Academic Accessibility, and
Diverse Experiences

During the most recent academic year ASUMH awarded 268 associate degrees, 77 technical certificates, and 226 certificates of proficiency. The fall 2012 headcount is 1,413. ASUMH also provided 13,917 workforce training contact hours during the 2012 academic year, ending June 30, 2012.

Act 1244 of 1991 designated the Mountain Home Education Center as a technical college. Arkansas State University – Mountain Home (ASUMH) was established on July 1, 1995 as a branch campus of the Arkansas State University System,

ARKANSAS STATE UNIVERSITY – MOUNTAIN HOME
Dr. Robert Myers, Chancellor

by approval of the State Board of Higher Education. The Arkansas State University Board of Trustees has oversight responsibility for the university.

REQUEST

Arkansas State University – Mountain Home is a formula driven entity, the increase in general revenue funding is a result of the recommendation made by the Arkansas Higher Education Coordinating Board.

ASUMH requested three additional positions, one Counselor, one Project/Program Specialist, and one Accountant II. All three positions are related to growth of the university, which has exceeded twenty-eight percent over the previous three years.

**INSTITUTION APPROPRIATION SUMMARY
2013-15 BIENNIUM**

INSTITUTION ARKANSAS STATE UNIVERSITY - MOUNTAIN HOME

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2011-2012		2012-13		2012-13		2013-14				2014-15			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	4,397,277		4,303,214		5,179,467		5,087,114		5,087,114		5,204,118		5,204,118	
2 CASH	7,835,814		32,870,000		32,870,000		32,870,000		32,870,000		32,870,000		32,870,000	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$12,233,091	153	\$37,173,214	156	\$38,049,467	171	\$37,957,114	174	\$37,957,114	173	\$38,074,118	174	\$38,074,118	173
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*	0	0%	422	0%			0	0%	0	0%	0	0%	0	0%
13 GENERAL REVENUE	3,626,944	30%	3,582,223	10%			4,366,545	12%	4,366,545	12%	4,483,549	12%	4,483,549	12%
14 EDUCATIONAL EXCELLENCE TRUST FUND	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
15 WORKFORCE 2000	770,755	6%	720,569	2%			720,569	2%	720,569	2%	720,569	2%	720,569	2%
16 CASH FUNDS	6,807,263	56%	31,970,000	86%			32,120,000	85%	32,120,000	85%	32,120,000	84%	32,120,000	84%
17 SPECIAL REVENUES		0%		0%				0%	0	0%		0%	0	0%
18 FEDERAL FUNDS	1,028,551	8%	900,000	2%			750,000	2%	750,000	2%	750,000	2%	750,000	2%
19 TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
20 OTHER FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
21 TOTAL INCOME	\$12,233,513	100%	\$37,173,214	100%			\$37,957,114	100%	\$37,957,114	100%	\$38,074,118	100%	\$38,074,118	100%
22 EXCESS (FUNDING)/APPROPRIATION	-\$422		\$0				\$0		\$0		\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2012:	\$2,161,172
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$523,439
INVENTORIES	
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$175,000
MAJOR CRITICAL SYSTEMS FAILURES	
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$1,000,000
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$75,000
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$387,733

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2013-15 BIENNIUM**

FUND CTH0000

INSTITUTION ARKANSAS STATE UNIVERSITY - MOUNTAIN HOME

APPROPRIATION 771

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES	4,070,332	4,000,422	4,528,967	4,586,614	4,703,618		
2 EXTRA HELP WAGES			150,000	150,000	150,000		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	326,945	302,792	500,000	350,000	350,000		
5 OPERATING EXPENSES			500	500	500		
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES							
8 CAPITAL OUTLAY							
9 FUNDED DEPRECIATION							
10							
11							
12							
13 TOTAL APPROPRIATION	\$4,397,277	\$4,303,214	\$5,179,467	\$5,087,114	\$5,204,118	\$0	\$0
14 PRIOR YEAR FUND BALANCE**		422					
15 GENERAL REVENUE	3,626,944	3,582,223		4,366,545	4,483,549		
16 EDUCATIONAL EXCELLENCE TRUST FUND							
17 SPECIAL REVENUES * [WF2000]	770,755	720,569		720,569	720,569		
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS							
21 TOTAL INCOME	\$4,397,699	\$4,303,214		\$5,087,114	\$5,204,118	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	-\$422	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM 13-3

**APPROPRIATION ACT FORM - CASH FUNDS
2013-15 BIENNIUM**

FUND 2820000

INSTITUTION ARKANSAS STATE UNIVERSITY - MOUNTAIN HOME

APPROPRIATION B80

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES	1,270,670	4,080,800	4,080,800	4,800,000	4,800,000		
2 EXTRA HELP WAGES	335,145	400,000	400,000	500,000	500,000		
3 OVERTIME	0	10,000	10,000	10,000	10,000		
4 PERSONAL SERVICES MATCHING	1,373,981	2,540,400	2,540,400	2,540,400	2,540,400		
5 OPERATING EXPENSES	2,305,357	2,600,000	2,600,000	3,600,000	3,600,000		
6 CONFERENCE FEES & TRAVEL	130,874	140,000	140,000	200,000	200,000		
7 PROFESSIONAL FEES AND SERVICES	269,393	1,400,000	1,400,000	1,400,000	1,400,000		
8 CAPITAL OUTLAY	199,230	700,000	700,000	900,000	900,000		
9 CAPITAL IMPROVEMENTS	360,957	17,968,800	17,968,800	15,889,600	15,889,600		
10 DEBT SERVICE	1,070,220	2,000,000	2,000,000	2,000,000	2,000,000		
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS	500,000	1,000,000	1,000,000	1,000,000	1,000,000		
12 PROMOTIONAL ITEMS	19,987	30,000	30,000	30,000	30,000		
13							
14							
15							
16 TOTAL APPROPRIATION	\$7,835,814	\$32,870,000	\$32,870,000	\$32,870,000	\$32,870,000	\$0	\$0
17 PRIOR YEAR FUND BALANCE***							
18 TUITION AND MANDATORY FEES	3,666,327	3,843,150		4,000,000	4,000,000		
19 ALL OTHER FEES	418,450	444,000		480,000	480,000		
20 SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS							
21 INVESTMENT INCOME	10,758	25,000		25,000	25,000		
22 FEDERAL CASH FUNDS	1,028,551	900,000		750,000	750,000		
23 OTHER CASH FUNDS	2,711,728	27,657,850		27,615,000	27,615,000		
24 TOTAL INCOME	\$7,835,814	\$32,870,000		\$32,870,000	\$32,870,000	\$0	\$0
25 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED 2012-13	REQUEST	RECOMMEND	LEGISLATIVE RECOMMENDATION	
				2013-14	2013-14	2013-14	2014-15
REGULAR POSITIONS	153	156	171	174	173		
TOBACCO POSITIONS							
EXTRA HELP **	52	70	70	70	70		

FORM 13-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

ARKANSAS STATE UNIVERSITY - MOUNTAIN HOME
(NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2011-12				BUDGETED 2012-13			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *				0				0
2 HOUSING				0				0
3 FOOD SERVICES				0				0
4 STUDENT UNION				0				0
5 BOOKSTORE				0				0
6 STUDENT ORGANIZATIONS AND PUBLICATIONS				0				
7 OTHER	228,154	185,868	0	42,286	70,000	40,000		30,000
8 SUBTOTAL	228,154	185,868	0	42,286	70,000	40,000	0	30,000
9 ATHLETIC TRANSFER **				0				0
10 OTHER TRANSFERS ***				(42,286)				(30,000)
11 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	228,154	185,868	0	0	70,000	40,000	0	0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 13-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

ARKANSAS STATE UNIVERSITY - MOUNTAIN HOME
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2011-12:						<u>123</u>
(As of November 1, 2011)						
Nonclassified Administrative Employees:						
White Male:	<u>12</u>	Black Male:	<u> </u>	Other Male:	<u> </u>	Total Male: <u>12</u>
White Female:	<u>18</u>	Black Female:	<u> </u>	Other Female:	<u> </u>	Total Female: <u>18</u>
Nonclassified Health Care Employees:						
White Male:	<u> </u>	Black Male:	<u> </u>	Other Male:	<u> </u>	Total Male: <u>0</u>
White Female:	<u> </u>	Black Female:	<u> </u>	Other Female:	<u> </u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>17</u>	Black Male:	<u> </u>	Other Male:	<u>1</u>	Total Male: <u>18</u>
White Female:	<u>27</u>	Black Female:	<u> </u>	Other Female:	<u> </u>	Total Female: <u>27</u>
Faculty:						
White Male:	<u>18</u>	Black Male:	<u> </u>	Other Male:	<u>1</u>	Total Male: <u>19</u>
White Female:	<u>26</u>	Black Female:	<u>1</u>	Other Female:	<u>2</u>	Total Female: <u>29</u>
Total White Male:						<u>47</u>
Total White Female:						<u>71</u>
Total Black Male:						<u>0</u>
Total Black Female:						<u>1</u>
Total Other Male:						<u>2</u>
Total Other Female:						<u>2</u>
Total White:						<u>118</u>
Total Black:						<u>1</u>
Total Other:						<u>4</u>
Total Minority:						<u>5</u>
Total Employees:						<u>123</u>

FORM 13-6

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$25,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2012
 Required by A.C.A. 25-36-104

Institution

ARKANSAS STATE UNIVERSITY - MOUNTAIN HOME

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
N/A							

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$39,477
 (Total Expenditures equals ALL Contracts Exceeding \$25,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF ARKANSAS STATE UNIVERSITY – MOUNTAIN HOME
June 30, 2011

<p><i>Finding No. 1:</i></p>	<p>On August 1, 2007, the Arkansas State University-Mountain Home campus executed a contract of \$218,623 for the construction of two entrance gates. The contract was paid from private funds through the Arkansas State University Foundation, Inc. A report by Arkansas State University System Internal Audit, dated March 7, 2011, revealed bids were not solicited for this project in noncompliance with State law.</p>
<p><i>Institution's Response</i></p>	<p>Arkansas State University–Mountain Home management staff has reviewed the audit finding related to the August 1, 2007 construction contract. Since the contract was financed entirely with private funds, campus personnel mistakenly believed proper procedures had been followed for the project. Following this issue, all construction contracts have been executed in compliance with state laws and Arkansas State University System construction policies.</p>

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ARKANSAS STATE UNIVERSITY-NEWPORT

Dr. Larry N. Williams, Chancellor

ENABLING LAW

Act 145 of 2012

INSTITUTION HISTORY AND ORGANIZATION

Arkansas State University-Newport (ASUN) provides life-long learning opportunities, business and industry training, and economic development support to the Northeast Arkansas region. With its three campuses located in Newport, Jonesboro, and Marked Tree; ASUN is proud to serve the counties of Jackson, White, Woodruff, Lonoke, Craighead, Poinsett and Faulkner. The university strives to advance industry and aid economic development in the region by responding to industry needs in programming and training. The faculty and staff of ASU-Newport are dedicated to providing the highest quality technical and transfer programs, and support service to students.

Arkansas State University-Newport was founded as White River Vocational Technical School in 1976. In 1992, the school merged with ASU-Beebe to become ASU-Beebe/Newport. After gaining approval from the Arkansas Department of Higher Education, the institution in 2002 gained accreditation from the Higher Learning Commission and became a stand-alone campus of the Arkansas State University System. In December 2007, ASUN assumed responsibility for the Arkansas State University technical centers located in Jonesboro and Marked Tree, creating the three campus organization that is collectively known as ASU-Newport.

Through its three campuses, ASU-Newport provides quality and diverse educational opportunities. The college has the only High Voltage Lineman Technology program in the state. The state of the art laboratory facilities that have been constructed to support the students in this unique program are the only ones of its type in this region of the country. Another example of a program meeting industry-specific needs is the Telecommunications Technology and Advanced Manufacturing Technology programs on the ASUN Jonesboro campus. These programs provide high-tech education and training to students in an expanding sector of industry in the region. Through its alignment with the Arkansas Delta Training and Education Consortium (ADTEC), additional opportunities have been provided to students in emerging career fields such as Renewable Energy Technology. The college also provides quality online learning opportunities to provide the flexibility of students who require non-traditional methods to obtain a degree.

ARKANSAS STATE UNIVERSITY-NEWPORT
Dr. Larry N. Williams, Chancellor

ASU-Newport offers general and technical certificates, certificates of proficiency, and associate degrees. From 2006 to 2010 the Full-Time Equivalent (FTE) enrollment at the college has grown by 67% from 906 FTE students to 1,513 students. Total student headcount has grown by 118% for the same time period. ASUN is proud to have recently been ranked as the second most successful two year college in graduation rates for first-time entering degree or certificate seeking students, out of the twenty-two two year colleges in the state.

GOVERNANCE

ASU-Newport is governed by the Arkansas State University System board of trustees, which consists of five persons appointed by the Governor of the state. The members are as follows:

Name	Term Expires
Ron Rhodes, Chair	2013
Mike Gibson, Vice Chair	2014
Dan Pierce, Secretary	2015
Charles Luter	2016
Howard Slinkard	2017

Charles L. Welch, ASU System President

Campus Administration	Title
Dr. Larry Williams	Chancellor
Dr. Sandra Massey	Vice-Chancellor for Academic Affairs
Mr. Adam Adair	Vice-Chancellor for Fiscal Affairs
Dr. Mary Robertson	Vice-Chancellor for Student Affairs
Mrs. Linda Sharp	Vice-Chancellor for ASUN Jonesboro Campus
Mr. Jeff Bookout	Vice-Chancellor for ASUN Marked Tree Campus

ARKANSAS STATE UNIVERSITY-NEWPORT
Dr. Larry N. Williams, Chancellor

MISSION STATEMENT

Our Mission is to provide integrity of programs and services, affordable lifelong learning, and enhanced quality of life in the diverse community we serve.

INSTITUTIONAL GOALS

- STRATEGIC GOAL 1: To provide an academic environment which will motivate and enable students from diverse backgrounds to achieve their educational goals.
- STRATEGIC GOAL 2: To promote institutional development which will attract new enrollment and retain quality students.
- STRATEGIC GOAL 3: To strengthen student commitments to life-long learning, career development and community leadership.
- STRATEGIC GOAL 4: To expand the role of the institution in the economic development of the Arkansas Delta Region.
- STRATEGIC GOAL 5: To strengthen collaboration and working partnerships with other education service providers, business and industry, employers, and community members.
- STRATEGIC GOAL 6: To secure additional funding for institutional development and advancement from state, local, and private sources.
- STRATEGIC GOAL 7: To expand and improve physical and technological facilities of the institution.
- STRATEGIC GOAL 8: To ensure integrity and quality in all areas of institutional performance.

ARKANSAS STATE UNIVERSITY-NEWPORT
Dr. Larry N. Williams, Chancellor

STRATEGIC GOAL 9: To provide continuous communication and accountability to the multiple constituency groups served.

Due to the need to increase individual course offerings to students, both on-campus and online, the college requests twelve additional part-time faculty slots. This will allow the flexibility to increase offerings and ensure a level of sustained growth before full time faculty positions are added. The college also requests two additional twelve-month educational and general administrative positions, a Director and a Project/Program Specialist. Due to growth on our Jonesboro campus, ASUN foresees the need to add staff to oversee operations. There is currently a very limited number professional staff to oversee fiscal and student operations on the Jonesboro campus. These positions will allow the college the flexibility to effectively manage growth and continue to provide a high level of service to students and to provide oversight to ensure the integrity of fiscal operations.

**INSTITUTION APPROPRIATION SUMMARY
2013-15 BIENNIUM**

INSTITUTION ARKANSAS STATE UNIVERSITY-NEWPORT

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2011-2012		2012-13		2012-13		2013-14				2014-15			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	7,318,431		7,277,562		7,573,244		7,363,016		7,363,016		7,532,365		7,532,365	
2 CASH	9,043,618		29,045,000		29,045,000		28,760,000		28,760,000		29,095,000		29,095,000	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$16,362,049	220	\$36,322,562	244	\$36,618,244	287	\$36,123,016	301	\$36,123,016	301	\$36,627,365	301	\$36,627,365	301
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*	0	0%	45,478	0%			0	0%	0	0%	0	0%	0	0%
13 GENERAL REVENUE	6,038,040	37%	5,992,293	16%			6,123,225	17%	6,123,225	17%	6,292,574	17%	6,292,574	17%
14 EDUCATIONAL EXCELLENCE TRUST FUND	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
15 WORKFORCE 2000	1,326,139	8%	1,239,791	3%			1,239,791	3%	1,239,791	3%	1,239,791	3%	1,239,791	3%
16 CASH FUNDS	5,856,100	36%	25,845,000	71%			25,360,000	70%	25,360,000	70%	25,495,000	70%	25,495,000	70%
17 SPECIAL REVENUES		0%		0%				0%	0	0%		0%	0	0%
18 FEDERAL FUNDS	3,187,518	19%	3,200,000	9%			3,400,000	9%	3,400,000	9%	3,600,000	10%	3,600,000	10%
19 TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
20 OTHER FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
21 TOTAL INCOME	\$16,407,797	100%	\$36,322,562	100%			\$36,123,016	100%	\$36,123,016	100%	\$36,627,365	100%	\$36,627,365	100%
22 EXCESS (FUNDING)/APPROPRIATION	-\$45,748		\$0				\$0		\$0		\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2012:	\$3,630,199
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$1,669,814
INVENTORIES	\$88,107
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$65,000
MAJOR CRITICAL SYSTEMS FAILURES	\$250,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$1,604,221
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$500,000
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	(\$546,943)

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2013-15 BIENNIUM**

FUND CMN0000

INSTITUTION ARKANSAS STATE UNIVERSITY-NEWPORT

APPROPRIATION 790

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES	4,427,682	4,472,293	5,440,000	4,450,000	4,450,000		
2 EXTRA HELP WAGES	45,000	45,000	45,000	45,000	45,000		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	1,444,611	1,200,000	1,313,244	1,300,000	1,325,000		
5 OPERATING EXPENSES	1,376,138	1,535,269	750,000	1,543,016	1,687,365		
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES							
8 CAPITAL OUTLAY							
9 FUNDED DEPRECIATION	25,000	25,000	25,000	25,000	25,000		
10							
11							
12							
13 TOTAL APPROPRIATION	\$7,318,431	\$7,277,562	\$7,573,244	\$7,363,016	\$7,532,365	\$0	\$0
14 PRIOR YEAR FUND BALANCE**		45,478					
15 GENERAL REVENUE	6,038,040	5,992,293		6,123,225	6,292,574		
16 EDUCATIONAL EXCELLENCE TRUST FUND							
17 SPECIAL REVENUES * [WF2000]	1,326,139	1,239,791		1,239,791	1,239,791		
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS							
21 TOTAL INCOME	\$7,364,179	\$7,277,562		\$7,363,016	\$7,532,365	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	-\$45,748	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS
2013-15 BIENNIUM**

FUND 2290000

INSTITUTION ARKANSAS STATE UNIVERSITY-NEWPORT

APPROPRIATION B77

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES	2,419,364	6,631,367	6,631,367	7,500,000	7,650,000		
2 EXTRA HELP WAGES	236,534	316,585	316,585	350,000	375,000		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	773,430	1,673,251	1,673,251	2,300,000	2,400,000		
5 OPERATING EXPENSES	3,514,582	4,477,039	4,477,039	4,900,000	4,950,000		
6 CONFERENCE FEES & TRAVEL	107,679	285,000	285,000	285,000	285,000		
7 PROFESSIONAL FEES AND SERVICES	47,435	1,350,770	1,350,770	1,350,000	1,350,000		
8 CAPITAL OUTLAY	43,370	2,744,346	2,744,346	2,750,000	2,750,000		
9 CAPITAL IMPROVEMENTS	1,402,466	8,546,642	8,546,642	6,500,000	6,500,000		
10 DEBT SERVICE	462,646	800,000	800,000	800,000	800,000		
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS	26,470	2,210,000	2,210,000	2,000,000	2,000,000		
12 PROMOTIONAL ITEMS	9,642	10,000	10,000	25,000	35,000		
13							
14							
15							
16 TOTAL APPROPRIATION	\$9,043,618	\$29,045,000	\$29,045,000	\$28,760,000	\$29,095,000	\$0	\$0
17 PRIOR YEAR FUND BALANCE***							
18 TUITION AND MANDATORY FEES	4,597,038	4,125,728		4,166,985	4,208,655		
19 ALL OTHER FEES	188,059	98,130		125,000	150,000		
20 SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS							
21 INVESTMENT INCOME	55,903	60,000		60,000	60,000		
22 FEDERAL CASH FUNDS	3,187,518	3,200,000		3,400,000	3,600,000		
23 OTHER CASH FUNDS	1,015,100	21,561,142		21,008,015	21,076,345		
24 TOTAL INCOME	\$9,043,618	\$29,045,000		\$28,760,000	\$29,095,000	\$0	\$0
25 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED 2012-13	REQUEST		RECOMMEND		LEGISLATIVE RECOMMENDATION	
				2013-14	2013-14	2013-14	2014-15		
REGULAR POSITIONS	220	244	287	301	301				
TOBACCO POSITIONS									
EXTRA HELP **	42	60	60	60	60				

FORM 13-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

ARKANSAS STATE UNIVERSITY-NEWPORT
 (NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2011-12				BUDGETED 2012-13			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *				0				0
2 HOUSING				0				0
3 FOOD SERVICES		24,133		(24,133)		24,000		(24,000)
4 STUDENT UNION				0				0
5 BOOKSTORE	604,702	527,227		77,475	50,000			50,000
6 STUDENT ORGANIZATIONS AND PUBLICATIONS		3,557		(3,557)		3,000		
7 OTHER	6,966			6,966	9,000			9,000
8 SUBTOTAL	611,668	554,917	0	56,751	59,000	27,000	0	35,000
9 ATHLETIC TRANSFER **				0				0
10 OTHER TRANSFERS ***				0				0
11 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	611,668	554,917	0	56,751	59,000	27,000	0	35,000

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 13-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 5 - The bookstore was outsourced to a third-party beginning FY13. The college will collect commissions and not bear any costs under the agreement.

NOTE: Line 7 Other - Amount is derived from commissions on vending operations

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

ARKANSAS STATE UNIVERSITY-NEWPORT
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2011-12:						<u>234</u>
(As of November 1, 2011)						
Nonclassified Administrative Employees:						
White Male:	<u>11</u>	Black Male:	<u> </u>	Other Male:	<u> </u>	Total Male: <u>11</u>
White Female:	<u>24</u>	Black Female:	<u>3</u>	Other Female:	<u> </u>	Total Female: <u>27</u>
Nonclassified Health Care Employees:						
White Male:	<u> </u>	Black Male:	<u> </u>	Other Male:	<u> </u>	Total Male: <u>0</u>
White Female:	<u> </u>	Black Female:	<u> </u>	Other Female:	<u> </u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>14</u>	Black Male:	<u>2</u>	Other Male:	<u> </u>	Total Male: <u>16</u>
White Female:	<u>29</u>	Black Female:	<u>3</u>	Other Female:	<u> </u>	Total Female: <u>32</u>
Faculty:						
White Male:	<u>62</u>	Black Male:	<u>4</u>	Other Male:	<u>2</u>	Total Male: <u>68</u>
White Female:	<u>74</u>	Black Female:	<u>4</u>	Other Female:	<u>2</u>	Total Female: <u>80</u>
Total White Male:						<u>87</u>
Total White Female:						<u>127</u>
Total Black Male:						<u>6</u>
Total Black Female:						<u>10</u>
Total Other Male:						<u>2</u>
Total Other Female:						<u>2</u>
Total White:						<u>214</u>
Total Black:						<u>16</u>
Total Other:						<u>4</u>
Total Minority:						<u>20</u>
Total Employees:						<u>234</u>

FORM 13-6

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$25,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2012
 Required by A.C.A. 25-36-104

Institution

ARKANSAS STATE UNIVERSITY-NEWPORT

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
Curtis Construction and Concrete	\$61,000	X					

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 1

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$1,608,972
 (Total Expenditures equals ALL Contracts Exceeding \$25,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 4%

**DIVISION OF LEGISLATIVE AUDIT
AUDIT OF ARKANSAS STATE UNIVERSITY - NEWPORT
June 30, 2011**

<i>Finding:</i>	No Findings noted
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BLACK RIVER TECHNICAL COLLEGE

Dr. Wayne Hatcher, President

ENABLING LAWS

Black River Vocational Technical School began as a component unit of the State of Arkansas on February 26, 1973 under the provisions of Act 328 of 1957 which created the State Board of Vocational Education for the purpose of establishing in various sections of Arkansas secondary level high schools specializing in vocational technical training.

Effective July 1, 1991, under the provisions of Ark. Code Ann 6-53-301, the school's name was changed to Black River Technical College, and in 1993 became an independent institution of higher education under the jurisdiction of the Arkansas Higher Education Coordinating Board.

Act 176 of the State of Arkansas 88th General Assembly, Fiscal Session, 2012, provided appropriations for Black River Technical College for the fiscal year 2012-2013 to include a maximum of 243 regular employees, 60 temporary or part time employees, State appropriations of \$8,864,441, and appropriated Cash Funds of \$23,615,000.

INSTITUTION HISTORY AND ORGANIZATION

The idea to provide vocational and technical training to enhance and enrich the lives of students of Randolph County, Arkansas began in 1972 with land donated by the City of Pocahontas on which it was planned to build a school to serve this educational purpose. On February 26, 1973, the Black River Vocational Technical School became a reality under the provisions of Act 328 of 1957 with an initial enrollment of 38 students in six programs. In 1991, the school's name was changed to Black River Technical College (BRTC) and now serves more than 3,000 students in 27 certificate programs and 16 associate degree programs, along with a strong community education program. The original 46,775 square foot campus, situated on 44 acres, has grown to 308,578 square feet with a total of 23 buildings on 100 acres. BRTC not only serves a growing population with the addition of a second campus located in Paragould, Arkansas, but also provides training for Arkansas' finest at our Law Enforcement Training Academy on the Pocahontas Campus, and the Fire Science Training Academy in our Walnut Ridge facility.

BLACK RIVER TECHNICAL COLLEGE

Dr. Wayne Hatcher, President

The College is governed by a six member Board of Trustees appointed by the Governor of Arkansas. The College is accredited by The Higher Learning Commission of the North Central Association of Colleges and Schools.

MISSION STATEMENT

The mission statement for Black River Technical College, “**Blending tradition, technology and innovation to educate today’s diverse students for tomorrow’s changing world,**” resolves to encompass the vision of the founding fathers to enhance and enrich the lives of those students whom we serve. To augment the full meaning of the mission statement, BRTC has adopted the “Slogan” **Bridging Resources, Technology, and Challenges...One Graduate at a Time.**

In addition, BRTC has implemented the following priorities to ensure that the mission statement is a fluid proclamation moving us forward in our endeavors of enriching the lives of those we serve:

- Priority 1:** Create an environment to increase the likelihood that BRTC students will complete certification and degrees.
- Priority 2:** Emphasize quality in the academic rigor of all credit and non-credit courses and in all programs of study and workforce training.
- Priority 3:** Develop opportunities for all students to develop intellectual skills demanded by the knowledge economy.
- Priority 4:** Adopt policies and structures that lead to excellence in teaching and learning.
- Priority 5:** Create a culture that demonstrates that the college values diversity and globalization.
- Priority 6:** Serve as a catalyst for economic development in the college’s identified communities.
- Priority 7:** Establish and nurture community partnerships that lead to expanded human and physical resources for BRTC’s students and staff.
- Priority 8:** Provide, maintain and upgrade facilities and infrastructure and staff that enable the college to fulfill its goals.
- Priority 9:** Support expansion of technologically mediated learning opportunities that are academically sound.
- Priority 10:** Nurture relationships that increase access and lead to a seamless educational path across the diverse student spectrum.

BLACK RIVER TECHNICAL COLLEGE
Dr. Wayne Hatcher, President

NEW OR EXPANDED PROGRAMS

BRTC-PARAGOULD SITE

BRTC first opened its 22,000 ft. academic complex at the BRTC-Paragould site in the Fall of 2009. Enrollment numbers have consistently remained strong since that first semester. The increased enrollment trend at the BRTC-Paragould Campus points clearly to a need for expanded facilities and staffing in response to student enrollment that is growing at a faster pace than on the main campus. Current scheduling is at maximum capacity creating one of our more pressing staffing needs as classes are scheduled from 7:00 am until 10:00 pm Monday through Thursday, 7:00 am until 4:30 pm on Fridays, and 8:00 am until 2:00 pm on Saturdays. In addition, this need has resulted in the hiring of three full-time faculty members in math, science and English. After much research on current room utilization, a new student services department was carved out of a classroom and the old student services department now houses the CVN classroom designed for distance education classes. The campus is also acquiring a 3000+ square foot facility to adapt to a Math Learning Center in response to the growing needs of space for the math department as BRTC implements the PACE Grant in a desire to shorten the time-to-completion for those needing remedial classwork.

Despite all of the progress made at the school there are still needs that must be met. In addition to the need for additional faculty, the next area most in need of staffing is the maintenance/housekeeping department. With the addition of 3000+ additional square feet of space, key positions in this department will need to be reassessed and this should result in additional hiring. In addition to the current renovation of the Math Learning Center, the President has been leading meetings for construction of a new building that will include desperately needed science labs, classrooms, faculty and staff offices, a student services department, library and food service as well as providing a student center adequate for the meeting needs of the students and student clubs. In regard to community education, the Paragould Campus continues to offer custom-designed classes for local industry consumption while also offering continuing education classes for the community. All of this work is carefully monitored as BRTC continues to give students multiple opportunities to evaluate course rigor and content, faculty accessibility and professionalism, and institutional attention to meeting students' needs.

BLACK RIVER TECHNICAL COLLEGE
Dr. Wayne Hatcher, President

AS in BUSINESS

BRTC has added one associate degree program: AS in Business, designed to align with the BS in Business degree offered at four-year colleges.

DISTANCE EDUCATION

In response to the growing demand for online courses, BRTC has moved proactively to increase the number and selection of classes available through Distance Education (DE), with a significant increase in classes available totally online. Accordingly, an additional fulltime staff member has been added in support of online classes along with an instructor who has a three-hour release time for support. These individuals provide training for students enrolling in online classes and for faculty who are developing courses to be offered online and in the hybrid setting. During the last academic year, BRTC offered 159 sections of online courses. BRTC currently offers many DE programs (a program of study in which at least 50% of the course content is delivered electronically): AA, AAT, Accounting Assistant Certificate, Administrative Services Certificate, Microcomputer Business Applications Certificate, Microcomputer Repair Technician Certificate, AAS in Criminal Justice, Health Professions Certificate, AAS in Business Technology and AAS in Nursing. A new program, Homeland Security, is the first wholly online program which will be offered for the first time in the Spring 2013 semester. Additionally, BRTC plans to have the AA wholly online in the near future. Additional DE programs are in various stages of development as additional instructors are trained.

HEALTH PROFESSIONS

The college has experienced several instances of programmatic growth/expansion in the Health-Related Professions:

- 1) The RN program, functioning as a member of a consortium of eight two-year colleges (ARNEC), remains at capacity of 40 students on an annual basis.

BLACK RIVER TECHNICAL COLLEGE
Dr. Wayne Hatcher, President

- 2) The Arkansas State Board of Nursing has granted permission to BRTC's practical nursing which admits a new Fall/Spring class each semester of up to 86 total practical nursing students enrolled in the three semester program.
- 3) The Respiratory Care is a registry program, accredited by CoArc (Committee on Accreditation for Respiratory Care). The Respiratory Care program is now being housed in a newly renovated facility which was previously used for the old Auto Collision Repair program. The move has allowed for enhanced training as required in the Respiratory Care Registry certification, and for the Nursing program to expand lab simulation space as required for the Nursing program expansion.
- 4) A Health Information Option has been added to the AAS in the Business Technology degree cluster.
- 5) An AAS in Health Professions has been added to facilitate a degree option to students interested in Nursing or Respiratory while completing their pre-professional requirements.

AREA OF EXCELLENCE

The college has emphasized Nursing as a primary "Area of Excellence." Both RN and PN graduates have shown this to be an in-demand exemplary field of study at BRTC. Nursing staff selected the forty new RN students for the 2012 class from 63 qualified applicants and the forty for the 2013 class were selected from a pool of 65 qualified applicants. A total of 26 PN students were selected from a pool of 122 applicants for Fall 2012; 24 PN students were selected from a pool of 73 for Spring 2013.

PROGRAM AWARDS

Pass rates among the RN and PN graduates has been exceptional: RN pass rates (ARNEC consortium): 2010 was 98.5%; 2011 was 95.5%; 2012 was 85%. PN pass rates from July 2010 to June 2011 were 100%; from July 2011 to

BLACK RIVER TECHNICAL COLLEGE
Dr. Wayne Hatcher, President

June 2012 were 96.36%.

REALLOCATION OR TARGETED FUNDING

With the ongoing and projected growing demand for nurses in Arkansas and throughout the country, BRTC has made a commitment to this “Area of Excellence” allowing program growth while the institution directs financial support to enhance and upgrade the physical and human resources required for continued excellence in the training of nurses.

Specifically, BRTC has directed the bulk of institutional grant funds from the Economic Incentive Grant and from its Perkins Grant to this “Area of Excellence.” These grants have made possible the hiring of additional nursing staff, including a clinical lab facilitator to assist in student skills check-off training; the modification of the nursing facility, converting the lab to a well-equipped clinical simulations lab; and to purchase technologically sophisticated equipment, including Sim Man and Sim Baby. In addition, a Resource Assistant for Program Completion has also been added with grant funding to help increase retention and completion of technical students with emphasis given to the Health Professions track.

CULTURAL HERITAGE—PROJECT “REACH” (Researching Early Arkansas Cultural Heritage)

BRTC has completed the construction phases of restoration of two historic structures, the Rice Upshaw House (ca. 1828), and the William Looney Tavern (ca. 1833), and related auxiliary buildings, a granary and smoke house at the Rice Upshaw site. These territorial era log structures located in northwestern Randolph County in the Eleven Point River Valley are among the states’ oldest. Restoration, along with related architectural, archeological, and historical research was funded by approximately \$1.8 million in grant funds awarded over a multi-year period by Arkansas Natural and Cultural Resources Center.

BLACK RIVER TECHNICAL COLLEGE
Dr. Wayne Hatcher, President

The sites are open on specified weekend dates during the months of April through October. In addition to their value as a source of heritage tourism, they serve as educational labs for BRTC students, for public schools students from throughout the region, for educators at all levels, and for graduate students and scholars pursuing research opportunities.

A team of BRTC staff members submitted and were selected as participants in an NEH/CCHA grant program, “Bridging Cultures,” based on the REACH project. Through this program, the team is working to develop a module on REACH for integration into the college’s Arkansas and American History courses.

In addition, the sites are being utilized in Fall 2012 for a series of AESP (Arkansas Energy Sector Partnership)-funded Green Construction Workshops. The series of workshops includes instruction in the use of traditional tools, and training in such skills as application of chinking and daubing, battens, construction of paling fencing and stacked fencing, and construction of primitive furniture, using renewable resources.

BRTC plans to take additional steps regarding site development to enhance accessibility and interpretation by seeking grant funding for installation of signage comparable to that found in national parks on the grounds of both sites, including the use of QR codes with links to the website so that visitors can self-guide to greater extent and for further archeological investigations that might reveal the locations of other auxiliary or primary structures for more complete interpretation and for possible expanded construction projects.

REACH Project M & O needs are currently funded through a \$25,000 annual budget, and through donations and through the sale of REACH-related memorabilia. Immediate funding needs include lighting and security camera installations. As activity increases, the college will need to increase its REACH budget for operations, and should consider assigning either a part-time staff member or an individual funded through contract labor to assist with REACH visitors.

BLACK RIVER TECHNICAL COLLEGE
Dr. Wayne Hatcher, President

DELETED PROGRAMS

The Technical Certificate in Medical Lab Assisting was deleted during the past biennium due to low participation.

PROGRAM GOALS

Credit Instruction

- To offer Freshman and Sophomore college transfer courses that are equivalent to and aligned with those in the four-year institutions without loss of credit to transferring students;
- To provide student advisement for college transfer students so that students transfer without loss of or unnecessary accumulation of credit hours
- To continuously monitor and improve student learning through systematic assessment of student outcomes in Gen Ed and Technical Ed courses
- To continue to seek opportunities for growth with emphasis on the Health Professions
- To meet the growing demands for instruction delivered via Distance Education, while monitoring adequacy of technological infrastructure, faculty and student capabilities, and quality of each online course;
- To monitor and assess the persistence and success rates of students enrolled in developmental courses and to explore models of alternative delivery of developmental education;
- To seek additional opportunities for consortia partnerships with other institutions to enhance and expand programs of study in a cost-effective way;
- To develop additional programs of study linking secondary-postsecondary students in a seamless, non-duplicative process;

BLACK RIVER TECHNICAL COLLEGE
Dr. Wayne Hatcher, President

- To seek to increase the concurrent enrollment options for area high school students;
- To support the integration of authentic learning opportunities such as those in Project REACH into academic courses and programs.

Non-Credit Instruction

- To support the development of entrepreneurial training through a wide array of programs and services;
- To assist in the multi-county Intermodal effort to recruit business and industry to this region;
- To raise awareness of training programs and grant-funded training options in our service area counties;
- To respond quickly and efficiently to the retraining of dislocated workers;
- To bridge the generational technological divide through technology classes in and for the community;
- To tap into the college's human resource pool to provide expanded lifelong learning opportunities through on-demand classes and activities;
- To expand the scope of the annual "Kids' College" to include specialty education programs for youth in such areas as Spanish, Computer Technology, Environmental Studies, Creative Writing, Art, and Economics;
- To enhance the community's global awareness through special presentations.

Student Support Services

- To provide financial aid information through print, online, and face-to-face sessions with current and/or prospective students and their parents;

BLACK RIVER TECHNICAL COLLEGE
Dr. Wayne Hatcher, President

- To work with the Office of Development in locating and developing privately-funded scholarship opportunities;
- To monitor and report student retention and completion rates;
- To provide student advisement so that students who transfer do so without loss of or accumulation of unnecessary credit hours;
- To assist in job placement;
- To administer CPI so that eligible student participants receive appropriate benefits, and to monitor the effectiveness of CPI with regards to student persistence and success;
- To continuously monitor the process of enrollment and registration to ensure student-friendly policies.

Development

- To seek and develop funding streams through
 - Annual Growing Strong Campaign
 - Annual Foundation Gala
 - Annual Golf Tournament
 - Private or Publicly-funded Grants
 - Community Partnerships
 - Planned Giving Campaign
 - Capital Campaign (when appropriate)
- To strategically develop and grow the BRTC Foundation Board;
- To develop strong community ties through endorsing the engagement of human resources and appropriate use of physical, and other resources by members of the community;
- To nurture and grow the Alumni Association;

BLACK RIVER TECHNICAL COLLEGE
Dr. Wayne Hatcher, President

- To maintain strong “PR presence” and tell the college’s “story” in the community through print, digital, broadcast, and other media;
- To plan and implement special events or functions in support of institutional advancement;
- To support all college departments through appropriate print, video, photographic and digital products;
- To actively recruit new students.

GENERAL REVENUE REQUEST:

Black River Technical College is a formula driven entity and did not make a request for general revenue. The increase in general revenue funding is a result of the recommendation made by the Arkansas Higher Education Coordinating Board. Increases in the funding will be used for salaries and related fringe benefits as increased student enrollment necessitates additional faculty in all general education disciplines

CASH APPROPRIATION REQUEST:

Cash fund appropriation increases will cover expenses associated with growth including new faculty and staff, and related personal services matching; plus salary increases and related personal services matching for current faculty and staff and required operational needs, travel and professional development; and capital outlay and improvements to maintain program and facility integrity.

BLACK RIVER TECHNICAL COLLEGE
Dr. Wayne Hatcher, President

PERSONAL SERVICES REQUEST:

The College is requesting the following personnel changes:

1. A total of eleven additional classified positions: One Financial Aid Analyst for the financial aid office which has an increased work load due to significant growth in enrollment; One Fiscal Support Specialist for the finance office which has remained moderately staffed and Seven Administrative Specialist positions due to the tremendous growth over the last two decades the need for this additional level of administrative staffing has become necessary to effectively carry out the financial functions of the College; One Maintenance Assistant and three Institutional Services Assistants to maintain the College facilities and physical plant due to the significant increase in student enrollment; plus one Food Preparation Specialist to effectively meet the needs of the students, faculty, and staff in the area of food service.
2. A total of four non-classified positions: One Coordinator of Continuing Education and Business Outreach to accommodate the continued growth on the Paragould Campus; One Project Program Manager to coordinate a learning center in order to provide necessary services to students to facilitate student success. The need for this position has become very evident with greater emphasis being placed on retention and graduation; One Institutional Research Coordinator to compile data for institutional analysis; and One Academic Advisor which will facilitate students with guidance with their educational career.
3. A total of six additional twelve month academic positions: Two Division Chairperson positions to lead the departments so that adequate administrative processes for division are maintained; One Director of Distance Education due to the continued growth of online courses; Three twelve month faculty which will facilitate the restructuring in Fiscal Year 2014 as a result of the tremendous student enrollment growth.
4. A total of ten additional nine month faculty positions are needed because of increased student enrollment.

BLACK RIVER TECHNICAL COLLEGE
Dr. Wayne Hatcher, President

5. A total of ten additional nine month part-time faculty positions to accommodate more classes being offered at a greater variance of scheduled times.

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**INSTITUTION APPROPRIATION SUMMARY
2013-15 BIENNIUM**

INSTITUTION BLACK RIVER TECHNICAL COLLEGE

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2011-2012		2012-13		2012-13		2013-14				2014-15			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	8,184,668		8,013,001		8,864,441		8,832,161		8,832,161		9,035,300		9,035,300	
2 CASH	8,569,813		23,615,000		23,615,000		34,108,700		34,108,700		44,603,000		44,603,000	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$16,754,481	221	\$31,628,001	231	\$32,479,441	244	\$42,940,861	285	\$42,940,861	277	\$53,638,300	285	\$53,638,300	277
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*	0	0%	43	0%			0	0%	0	0%	0	0%	0	0%
13 GENERAL REVENUE	6,084,400	36%	6,049,404	19%			6,868,607	16%	6,868,607	16%	7,071,746	13%	7,071,746	13%
14 EDUCATIONAL EXCELLENCE TRUST FUND	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
15 WORKFORCE 2000	2,100,311	13%	1,963,554	6%			1,963,554	5%	1,963,554	5%	1,963,554	4%	1,963,554	4%
16 CASH FUNDS	8,569,813	51%	23,615,000	75%			34,108,700	79%	34,108,700	79%	44,603,000	83%	44,603,000	83%
17 SPECIAL REVENUES		0%		0%				0%	0	0%		0%	0	0%
18 FEDERAL FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
19 TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
20 OTHER FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
21 TOTAL INCOME	\$16,754,524	100%	\$31,628,001	100%			\$42,940,861	100%	\$42,940,861	100%	\$53,638,300	100%	\$53,638,300	100%
22 EXCESS (FUNDING)/APPROPRIATION	-\$43		\$0				\$0		\$0		\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2012:	\$4,354,267
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$863,327
INVENTORIES	\$288,042
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$100,000
MAJOR CRITICAL SYSTEMS FAILURES	\$375,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$2,261,408
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$350,000
OTHER (FOOTNOTE BELOW)	\$571,586
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	(\$455,096)

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*Other: Unfunded OPEB

**APPROPRIATION ACT FORM - STATE TREASURY
2013-15 BIENNIUM**

FUND CTB0000

INSTITUTION BLACK RIVER TECHNICAL COLLEGE

APPROPRIATION 703

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES	6,150,000	5,890,043	6,544,606	6,520,774	6,670,751		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	1,961,437	2,000,000	2,119,835	2,112,116	2,160,694		
5 OPERATING EXPENSES	73,231	122,958	200,000	199,271	203,855		
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES							
8 CAPITAL OUTLAY							
9 FUNDED DEPRECIATION							
10							
11							
12							
13 TOTAL APPROPRIATION	\$8,184,668	\$8,013,001	\$8,864,441	\$8,832,161	\$9,035,300	\$0	\$0
14 PRIOR YEAR FUND BALANCE**		43					
15 GENERAL REVENUE	6,084,400	6,049,404		6,868,607	7,071,746		
16 EDUCATIONAL EXCELLENCE TRUST FUND							
17 SPECIAL REVENUES * [WF2000]	2,100,311	1,963,554		1,963,554	1,963,554		
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS							
21 TOTAL INCOME	\$8,184,711	\$8,013,001		\$8,832,161	\$9,035,300	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	-\$43	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS
2013-15 BIENNIUM**

FUND 2750000

INSTITUTION BLACK RIVER TECHNICAL COLLEGE

APPROPRIATION B51

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES	944,039	4,500,000	4,500,000	6,500,000	8,500,000		
2 EXTRA HELP WAGES	203,350	500,000	500,000	722,500	945,000		
3 OVERTIME		10,000	10,000	14,450	18,900		
4 PERSONAL SERVICES MATCHING	370,741	1,750,000	1,750,000	2,527,500	3,305,000		
5 OPERATING EXPENSES	5,599,182	6,500,000	6,500,000	9,388,000	12,276,000		
6 CONFERENCE FEES & TRAVEL	58,403	200,000	200,000	288,850	377,700		
7 PROFESSIONAL FEES AND SERVICES	72,003	500,000	500,000	722,500	945,000		
8 CAPITAL OUTLAY	1,108,982	4,865,000	4,865,000	7,026,500	9,188,000		
9 CAPITAL IMPROVEMENTS		4,500,000	4,500,000	6,500,000	8,500,000		
10 DEBT SERVICE	192,983	210,000	210,000	303,000	396,600		
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS		50,000	50,000	72,200	94,400		
12 PROMOTIONAL ITEMS	20,130	30,000	30,000	43,200	56,400		
13							
14							
15 CONTINGENCY							
16 TOTAL APPROPRIATION	\$8,569,813	\$23,615,000	\$23,615,000	\$34,108,700	\$44,603,000	\$0	\$0
17 PRIOR YEAR FUND BALANCE***							
18 TUITION AND MANDATORY FEES	5,687,377	6,101,500		6,101,500	6,101,500		
19 ALL OTHER FEES	32,015	33,500		33,500	33,500		
20 SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS							
21 INVESTMENT INCOME	163,617	100,000		100,000	100,000		
22 FEDERAL CASH FUNDS							
23 OTHER CASH FUNDS	2,686,804	17,380,000		27,873,700	38,368,000		
24 TOTAL INCOME	\$8,569,813	\$23,615,000		\$34,108,700	\$44,603,000	\$0	\$0
25 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED 2012-13	REQUEST	RECOMMEND	LEGISLATIVE RECOMMENDATION	
				2013-14	2013-14	2013-14	2014-15
REGULAR POSITIONS	221	231	244	285	277		
TOBACCO POSITIONS							
EXTRA HELP **	60	60	60	70	70		

FORM 13-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

BLACK RIVER TECHNICAL COLLEGE
(NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2011-12				BUDGETED 2012-13			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *				0				0
2 HOUSING				0				0
3 FOOD SERVICES	90,820	128,181		(37,361)	140,000	140,000		0
4 STUDENT UNION				0				0
5 BOOKSTORE	1,801,222	1,372,439		428,783	1,900,000	1,600,000		300,000
6 STUDENT ORGANIZATIONS AND PUBLICATIONS								
7 OTHER	727	2,642		(1,915)	3,000	3,000		0
8 SUBTOTAL	1,892,769	1,503,262	0	389,507	2,043,000	1,743,000	0	300,000
9 ATHLETIC TRANSFER **				0				0
10 OTHER TRANSFERS ***				(900,000)				0
11 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	1,892,769	1,503,262	0	(510,493)	2,043,000	1,743,000	0	300,000

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10., Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

BLACK RIVER TECHNICAL COLLEGE
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2011-12:					<u>192</u>
(As of November 1, 2011)					
Nonclassified Administrative Employees:					
White Male:	<u>8</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>
White Female:	<u>17</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>
				Total Male:	<u>8</u>
				Total Female:	<u>17</u>
Nonclassified Health Care Employees:					
White Male:	<u>0</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>
White Female:	<u>0</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>
				Total Male:	<u>0</u>
				Total Female:	<u>0</u>
Classified Employees:					
White Male:	<u>14</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>
White Female:	<u>44</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>
				Total Male:	<u>14</u>
				Total Female:	<u>44</u>
Faculty:					
White Male:	<u>37</u>	Black Male:	<u>0</u>	Other Male:	<u>1</u>
White Female:	<u>70</u>	Black Female:	<u>1</u>	Other Female:	<u>0</u>
				Total Male:	<u>38</u>
				Total Female:	<u>71</u>
Total White Male:	<u>59</u>	Total Black Male:	<u>0</u>	Total Other Male:	<u>1</u>
Total White Female:	<u>131</u>	Total Black Female:	<u>1</u>	Total Other Female:	<u>0</u>
Total White:	<u>190</u>	Total Black:	<u>1</u>	Total Other:	<u>1</u>
				Total Minority:	<u>2</u>
				Total Employees:	<u>192</u>

FORM 13-6

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$25,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2012
 Required by A.C.A. 25-36-104

Institution

BLACK RIVER TECHNICAL COLLEGE

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
N/A							

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED N/A
 (Total Expenditures equals ALL Contracts Exceeding \$25,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

**DIVISION OF LEGISLATIVE AUDIT
AUDIT OF BLACK RIVER TECHNICAL COLLEGE
June 30, 2011**

<i>Finding:</i>	No Findings noted
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COLLEGE OF THE OUACHITAS

Dr. Stephen Schoonmaker, President

ENABLING LAWS

Act 617 of 1991 and Act 120 of 2012

INSTITUTION HISTORY AND ORGANIZATION

The forerunner of College of the Ouachitas, Ouachita Vocational Technical School (OVTS), opened under the authority of the State Board of Education in January 1972 with 292 students enrolled in 11 programs. Throughout its 20-year history, OVTS maintained roughly the same number of programs and enrollment. In August 1972, OVTS became the Hot Spring County provider of General Equivalency Diploma (GED) courses. In May 1985, OVTS was also designated a Secondary Vocational Center in the State's effort to make vocational education accessible to all Arkansas high school students.

The 1991 Arkansas Legislature responded to recommendations from the Arkansas Business Council Foundation, a group of prominent Arkansas business and industry leaders, with Act 1244 of 1991 the Two-Year Postsecondary Education Reorganization Act (Exhibit 2). This legislation mandated the conversion of most of the State's existing vocational technical schools into technical colleges and their transfer from the auspices of the State Board of Vocational Education (predecessor of State Board of Career Education) to that of the State Board of Higher Education (forerunner of the Arkansas Higher Education Coordinating Board) and allocated funds for this initiative. These actions, effective July 1, 1991, were taken to provide citizens with greater accessibility to higher education, to expand general education and transfer programs, and to develop technical training in closer cooperation with business and industry.

Although OVTS was not included in Act 1244, following a meeting of local business leaders, legislators, and OVTS faculty and administrators, Senator George Hopkins introduced separate legislation to designate OVTS as Ouachita Technical College (OTC). Act 617 of 1991 was actually signed into law before the enabling Act 1244 legislation was passed; thus, OTC became the first Arkansas two-year technical college.

Ouachita Technical College's charter board was appointed by then Governor Bill Clinton in October 1991. The first president, Dr. Ken Martin, was hired by the Board in August 1992. The College entered into a contractual agreement with Henderson State University, an HLC-NCA accredited institution located in the service area, to offer general education courses on the OTC campus to provide students with immediate transferability of credits. The College assumed delivery

COLLEGE OF THE OUACHITAS

Dr. Stephen Schoonmaker, President

of general education in Summer 1994 after achieving HLC-NCA candidacy status in February 1994. Ouachita Technical College's second president, Dr. J. Barry Ballard, was appointed by the Board of Trustees in April 2001. Ouachita Technical College's name changed to College of the Ouachitas July 1, 2011 to better communicate to stakeholders the "college" aspect in addition to the technical programs offered. Dr. Ballard retired December 2011 and Dr. Stephen Schoonmaker became president January 2012.

The College is one of 22 comprehensive two-year colleges across the state. Since its inception in 1991, OTC's fall enrollment has increased 667 percent (210 to 1,610). The graph that follows indicates the 68 percent increase in unduplicated headcount and in annualized full-time equivalents (SSCH/30) between academic years 2001 and 2009.

In June 1976, OVTS received initial accreditation with the Arkansas State Department of Education; this status was renewed at five-year intervals until the College obtained candidacy status with the North Central Association, the predecessor of the HLC-NCA. The following delineates the College's history with the Higher Learning Commission.

- February 1994 - granted candidacy status following a September 1993 comprehensive visit (no follow-up visits or reports were required);
- August 1994 - approval of Change Request to add the Associate of Arts degree to the curricula following a May 1994 focused visit (no follow-up visits or reports were required);
- February 1996 - granted the maximum five-year initial accreditation following a comprehensive visit in September 1995 (no follow-up visits or reports were required);
- February 2001 - granted the maximum ten-year continuing accreditation following a September 2000 comprehensive visit (no follow-up visits or reports were required);
- December 2004 - approval of Change Request to add online degree programs following an August 2004 focused visit; stipulation of the submission of a Progress Report on the implementation and assessment of online programs in January 2007;
- January 2007 - acceptance of Progress Report on online programs (no further reports required).
- December 2010 - granted the maximum ten-year continuing accreditation following a September 2010 comprehensive visit (no follow-up visits or reports were required)

COLLEGE OF THE OUACHITAS
Dr. Stephen Schoonmaker, President

In addition, various programs have accreditations and/or approvals. These include Arkansas State Board of Nursing approval of the Practical Nursing and Registered Nursing programs, Arkansas State Board of Cosmetology accreditation of the Cosmetology Program, National Automotive Technician Education Foundation accreditation of the Automotive Service Technology Program, and National Alliance of Concurrent Enrollment Partnership for the high school concurrent program. By November 2012 the College will have received candidacy status from the National League of Nursing for the Registered Nursing program. These accreditations/approvals and the College's history with the Higher Learning Commission indicate the College's diligence concerning - quality assurance and institutional and program improvement.

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**INSTITUTION APPROPRIATION SUMMARY
2013-15 BIENNIUM**

INSTITUTION COLLEGE OF THE OUACHITAS

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2011-2012		2012-13		2012-13		2013-14				2014-15			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	4,636,950		4,538,582		5,060,832		4,615,653		4,615,653		4,721,813		4,721,813	
2 CASH	5,496,966		9,062,625		9,062,625		9,062,625		9,062,625		9,062,625		9,062,625	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$10,133,916	165	\$13,601,207	166	\$14,123,457	190	\$13,678,278	194	\$13,678,278	194	\$13,784,438	194	\$13,784,438	194
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
13 GENERAL REVENUE	3,555,193	35%	3,527,261	26%			3,604,332	26%	3,604,332	26%	3,710,492	27%	3,710,492	27%
14 EDUCATIONAL EXCELLENCE TRUST FUND	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
15 WORKFORCE 2000	1,081,757	11%	1,011,321	7%			1,011,321	7%	1,011,321	7%	1,011,321	7%	1,011,321	7%
16 CASH FUNDS	4,530,298	45%	8,015,240	59%			8,015,240	59%	8,015,240	59%	8,015,240	58%	8,015,240	58%
17 SPECIAL REVENUES		0%		0%				0%	0	0%		0%	0	0%
18 FEDERAL FUNDS	966,668	10%	1,047,385	8%			1,047,385	8%	1,047,385	8%	1,047,385	8%	1,047,385	8%
19 TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
20 OTHER FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
21 TOTAL INCOME	\$10,133,916	100%	\$13,601,207	100%			\$13,678,278	100%	\$13,678,278	100%	\$13,784,438	100%	\$13,784,438	100%
22 EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0		\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2012:	\$178,219
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$230,438
INVENTORIES	\$151,257
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$10,000
MAJOR CRITICAL SYSTEMS FAILURES	
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$1,000,000
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	(\$1,213,476)

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2013-15 BIENNIUM**

FUND CTW0000

INSTITUTION COLLEGE OF THE OUACHITAS

APPROPRIATION 1WZ

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES	2,930,840	2,771,451	3,206,108	2,848,522	2,954,682		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	732,701	782,702	800,000	782,702	782,702		
5 OPERATING EXPENSES	973,409	984,429	1,054,724	984,429	984,429		
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES							
8 CAPITAL OUTLAY							
9 FUNDED DEPRECIATION							
10							
11							
12							
13 TOTAL APPROPRIATION	\$4,636,950	\$4,538,582	\$5,060,832	\$4,615,653	\$4,721,813	\$0	\$0
14 PRIOR YEAR FUND BALANCE**							
15 GENERAL REVENUE	3,555,193	3,527,261		3,604,332	3,710,492		
16 EDUCATIONAL EXCELLENCE TRUST FUND							
17 SPECIAL REVENUES * [WF2000]	1,081,757	1,011,321		1,011,321	1,011,321		
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS							
21 TOTAL INCOME	\$4,636,950	\$4,538,582		\$4,615,653	\$4,721,813	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS
2013-15 BIENNIUM**

FUND 2850000

INSTITUTION COLLEGE OF THE OUACHITAS

APPROPRIATION B62

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES	1,568,023	2,510,145	2,510,145	2,510,145	2,510,145		
2 EXTRA HELP WAGES	661,563	584,123	584,123	784,123	784,123		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	1,069,417	1,152,857	1,152,857	1,400,000	1,400,000		
5 OPERATING EXPENSES	1,920,059	2,184,209	2,184,209	2,184,209	2,184,209		
6 CONFERENCE FEES & TRAVEL	103,401	188,450	188,450	188,450	188,450		
7 PROFESSIONAL FEES AND SERVICES	77,912	270,486	270,486	270,486	270,486		
8 CAPITAL OUTLAY	83,194	1,158,398	1,158,398	1,125,212	1,125,212		
9 CAPITAL IMPROVEMENTS		813,957	813,957	400,000	400,000		
10 DEBT SERVICE							
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS	13,397	200,000	200,000	200,000	200,000		
12							
13							
14							
15							
16 TOTAL APPROPRIATION	\$5,496,966	\$9,062,625	\$9,062,625	\$9,062,625	\$9,062,625	\$0	\$0
17 PRIOR YEAR FUND BALANCE***							
18 TUITION AND MANDATORY FEES	2,280,967	2,190,000		2,190,000	2,190,000		
19 ALL OTHER FEES	285,772	285,933		285,933	285,933		
20 SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	19,000	20,000		20,000	20,000		
21 INVESTMENT INCOME	32,036	20,000		20,000	20,000		
22 FEDERAL CASH FUNDS	966,668	1,047,385		1,047,385	1,047,385		
23 OTHER CASH FUNDS	1,912,523	5,499,307		5,499,307	5,499,307		
24 TOTAL INCOME	\$5,496,966	\$9,062,625		\$9,062,625	\$9,062,625	\$0	\$0
25 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED 2012-13	REQUEST	RECOMMEND	LEGISLATIVE RECOMMENDATION	
				2013-14	2013-14	2013-14	2014-15
REGULAR POSITIONS	165	166	190	194	194		
TOBACCO POSITIONS							
EXTRA HELP **	56	56	60	60	60		

FORM 13-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

COLLEGE OF THE OUACHITAS
 (NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2011-12				BUDGETED 2012-13			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *				0				0
2 HOUSING				0				0
3 FOOD SERVICES				0				0
4 STUDENT UNION				0				0
5 BOOKSTORE	690,600	723,167		(32,567)				0
6 STUDENT ORGANIZATIONS AND PUBLICATIONS				0				
7 OTHER				0				0
8 SUBTOTAL	690,600	723,167	0	(32,567)	0	0	0	0
9 ATHLETIC TRANSFER **				0				0
10 OTHER TRANSFERS ***				0				0
11 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	690,600	723,167	0	(32,567)	0	0	0	0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 13-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

COLLEGE OF THE OUACHITAS
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2011-12:					105
(As of November 1, 2011)					
Nonclassified Administrative Employees:					
White Male:	7	Black Male:	1	Other Male:	8
White Female:	6	Black Female:	1	Other Female:	7
Nonclassified Health Care Employees:					
White Male:	N/A	Black Male:		Other Male:	0
White Female:		Black Female:		Other Female:	0
Classified Employees:					
White Male:	10	Black Male:	2	Other Male:	12
White Female:	33	Black Female:	5	Other Female:	38
Faculty:					
White Male:	14	Black Male:		Other Male:	14
White Female:	24	Black Female:	2	Other Female:	26
Total White Male:	31	Total Black Male:	3	Total Other Male:	0
Total White Female:	63	Total Black Female:	8	Total Other Female:	0
Total White:	94	Total Black:	11	Total Other:	0
				Total Minority:	11
				Total Employees:	105

FORM 13-6

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$25,000 AWARDED TO MINORITY OWNED BUSINESSES
Fiscal Year 2012
Required by A.C.A. 25-36-104

Institution

COLLEGE OF THE OUACHITAS

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
CRYSTAL GROOVE CLEANING	\$168,180	X					

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 1

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$316,180
 (Total Expenditures equals ALL Contracts Exceeding \$25,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 53%

**DIVISION OF LEGISLATIVE AUDIT
AUDIT OF COLLEGE OF THE OUACHITAS
June 30, 2011**

<i>Finding:</i>	No Findings noted
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COSSATOT COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS

Steve Cole, Chancellor

ENABLING LAWS

Act 231 of 2012 makes an appropriation for personal services and operating expenses for Cossatot Community College of the University of Arkansas for the Fiscal Year ending June 30, 2013; and for other purposes. Act 287 of 2012 amends the Arkansas Revenue Stabilization Law that sets the funding for state agencies, including Cossatot Community College of the University of Arkansas. And Act 22 of 2012 makes a re-appropriation for the balances of capital improvement appropriations for the institutions of higher education; and for other purposes.

INSTITUTION HISTORY AND ORGANIZATION

Cossatot Community College of the University of Arkansas (CCCUA) is an NCA-accredited two-year institution affiliated with the University of Arkansas System. It has campuses in De Queen, Nashville and Ashdown, Arkansas. CCCUA offers degrees and certificates in more than two dozen fields. CCCUA also offers four Associate degrees completely online and has a roster of more than 80 sections of online courses.

The school was founded in 1975 at De Queen as Cossatot Vocational-Technical School. In 1991, the Arkansas Legislature passed Act 1244 that allowed Vo-tech schools to become community or technical colleges so they could offer Associate's degrees. This Act designated the change in name and mission to become effective July 1, 1991 as Cossatot Technical College.

In 2001, voters in Sevier County passed the first of three county quarter-cent sales taxes, which was the last remaining hurdle for CCCUA to join the University of Arkansas System as a community college. As of July 1, 2001, the college joined the U of A System to become Cossatot Community College of the University of Arkansas. CCCUA's designated service area consists of Sevier and Little River Counties. It shares Howard and Pike Counties with Rich Mountain Community College at Mena and the University of Arkansas Community College at Hope. CCCUA is unique among Arkansas community colleges in that it benefits from a quarter-cent sales tax in three of the four counties it serves.

MISSION AND PURPOSES

The Mission Statement for CCCUA is: Cossatot Community College of the University of Arkansas, an institution of higher

COSSATOT COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS
Steve Cole, Chancellor

education, is a public two-year college located in Southwest Arkansas and is dedicated to serving students who wish to achieve academic, personal, or career goals. The College seeks to encourage in each student the values essential for effective citizenship; the desire for lifelong learning; the techniques for applying knowledge and skills to personal, career, and community life challenges; and an understanding that all individuals have worth and potential. To achieve this mission the College strives to carry out the following purposes, which directly relate to the mission statement:

- I. To provide employment education for students to gain competence in skill areas and knowledge for entry into the global workforce.
- II. To offer quality higher education courses which may transfer to another educational institution.
- III. To offer a general education foundation in all programs that will enhance the student's personal growth, skills, and understanding.
- IV. To provide developmental educational programs to allow individuals to reach their personal or occupational goals or to prepare for college-level course work.
- V. To provide opportunity for those needing adult basic education, general adult education, computer literacy, or English speaking and writing skills.
- VI. To provide student services including, but not limited to, counseling and guidance, career exploration and assistance, financial aid, and opportunities for extracurricular activities.
- VII. To provide the facilities of the College and the talents of its professional staff to its publics in order to support educational, civic, and cultural endeavors within each community in the service area.
- VIII. To provide leadership for and participate in economic development activities to enhance employment opportunities in the service area.

COSSATOT COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS
Steve Cole, Chancellor

IX. To work with local schools, community organizations, and state and federal agencies to encourage high school students and adults to continue their education beyond the twelfth grade or its equivalent.

X. To provide educational and skill development by selecting delivery systems that encompass technology and alternative attendance patterns, and accepting creditable prior learning.

DEGREE AND CERTIFICATE OFFERINGS

Associate level degrees offered at CCCUA involve two years of study (60-65 credit hours). They are intended to give graduates the ability to go straight to work in their chosen field or, in the case of an Associate of Arts degree, prepare students for junior- and senior-level courses at a 4-year institution. Technical Certificates and Certificates of Proficiency also prepare students for careers where Technical certificates generally take less than two years to complete and certificates of Proficiency take one or two semesters.

DISTANCE EDUCATION

CCCUA has long been a leader in Distance Education. CCCUA won the “Excellence in Distance Education Programming Award” made by the Arkansas Distance Learning Association (ARDLA) in 2001 and 2002. Many members of its faculty, both online and in-class, have been recognized at the national level for excellence in teaching, which is the primary focus of community colleges. Online courses at CCCUA have both the rigor of in-room classes and the flexibility of anytime/anywhere learning that is so appealing to many students today.

ACCREDITATION

Cossatot Technical College first became accredited through the Higher Learning Commission of the North Central Association of Colleges and Schools in 1998. CCCUA has maintained this accreditation throughout the years and has now joined the AQIP process for accreditation. AQIP (Academic Quality Improvement Program) is an alternative process to maintain accreditation status that infuses the principles and benefits of continuous improvement into the culture of the college in order to assure and advance the quality of higher education. Through this process, CCCUA has completed several improvement projects and has written a Systems Portfolio that will, along with a site visit coming up this Fall of

COSSATOT COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS
Steve Cole, Chancellor

2012, be judged by peers.

MILESTONES/CHANGES

Over the past couple of years, CCCUA has instituted a new Occupational Therapy Assistant (OTA) Program and built a new college Radio Station to enhance our current Radio Broadcasting program. CCCUA also has made strides on each campus to improve the overall look and function of buildings and grounds. Another significant occurrence is the decrease in enrollment for fiscal year 2012, which took a small hit due to rough economic times. But enrollment is recovering in the current fiscal year, evidenced by posting a record enrollment of 1542 students. The college also attained a record Hispanic enrollment to start this fiscal year, increasing to 16% of the overall college population.

CCCUA has also written a strategic plan called “Cossatot Project 2016: Our Strategic Plan.” This plan calls for three Strategic Priorities: (1) Successful Hispanic Recruitment; (2) Strengthening the Cossatot Foundation; and (3) Developing Stronger Economic Development Ideas to Promote New Industry Recruitment. Three Facility Priorities are also included: (1) Constructing a Multi-Purpose Building in Nashville; (2) Constructing a Campus Radio Station for us by all Campuses; and (3) Refurbishing and Expanding the Automotive Repair and Collision Facilities in De Queen. And two Academic Priorities are: (1) Improving Degree and Certificate Attainment by Improving Retention and Graduation Rates and (2) Continuing to Develop Programs that Benefit the Recruitment of New Industry. As you can see, CCCUA has already worked on some of these strategies and has completed the construction of the Radio Station as mentioned earlier. Funding will be the main factor in what CCCUA can accomplish from the plan by the year 2016.

GENERAL REVENUE REQUEST

CCCUA is a formula driven entity and does not make a request for general revenue. The decrease in general revenue is a result of the recommendation made by the Arkansas Department of Higher Education Coordinating Board. This is a 2.48% decrease compared to Fiscal Year 2012 funding. CCCUA will be receiving approximately 67.9% of the total need that was calculated through the current funding formula. Cossatot is the fifth smallest college in Arkansas yet will receive the third largest general revenue reduction among all two-year colleges.

COSSATOT COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS
Steve Cole, Chancellor

CASH APPROPRIATION REQUEST

CCCUA is requesting an increase in cash appropriations of \$1,162,330 for Fiscal Year 2014 and \$1,249,815 in Fiscal Year 2015. CCCUA will continue to seek grants and contracts that will allow growth in certain programs and other services to our students and the community. It is necessary to keep good cash appropriations in order to spend any new grant funds sought after and awarded during these periods.

Commitment item increases for Fiscal Year 2014 are as follows: Regular Salaries - \$118,836 for hiring administrators of grants obtained, faculty and support staff as needed; Extra help - \$19,845 for hiring tutors and other extra help supported by grants, etc.; Personal Services Matching - \$250,548 for benefits for newly hired employees; Operating Expenses - \$646,875 for operating expenses for any new grants obtained or instructional programs started; Conference Fees & Travel - \$72,638 for professional development for faculty and grant personnel, as well as training for new grants obtained; Professional Fees and Services - \$48,588 for possible grant consulting fees and engineering and architect fees for new projects; and Promotional Items - \$5,000 for recruiting purposes. At this time, CCCUA is also increasing Capital Outlay by \$1,000,000 and decreasing Capital Improvements by an offsetting amount. CCCUA has plans to construct a new Multi-purpose Community and Classroom Building on the thriving Nashville campus in the future.

Commitment item increases for Fiscal Year 2015 are as follows: Regular Salaries - \$122,401 for hiring additional faculty in thriving programs, new grant personnel, and support staff as needed; Extra help - \$20,440 for tutors and various grant support personnel; Personal Services Matching - \$262,064 for benefits for newly hired employees; Operating Expenses - \$711,563 for grant operating expenses and growing instructional programs; Conference Fees & Travel - \$79,901 for grant personnel professional development; and Professional Fees - \$53,446 for possible grant consulting fees and other services.

PERSONAL SERVICES REQUEST

There were no personnel service changes requested through for Non-Classified positions. But CCCUA has requested 4 additional Classified positions for the new biennium. This request is an overall increase, but through a series of trades, CCCUA is attempting to reclassify employees into the correct titles and job descriptions for the functions they are actually performing.

COSSATOT COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS
Steve Cole, Chancellor

Positions requested and given up are: (1) an Assistant Registrar who is in an Administrative Specialist III position, but performs all the duties of the Assistant Registrar; (2) a Student Accounts Officer, who is in a Fiscal Support Specialist position, but works with student accounts; (3) three Financial Aid Specialists, who are all in Administrative Support Specialist positions, but who work with students and award Financial Aid; (4) a Payroll Technician, who is in an Accounting Tech position, but assists with all things Payroll; and (5) a Fiscal Support Analyst, who is in a Fiscal Support Specialist, but performs duties similar to an analyst. In addition to these trade-offs, CCCUA is seeking two additional Maintenance Specialist positions to accommodate the growing facilities. And, although several slots are to be given up as mentioned above, CCCUA would like to add an additional Administrative Specialist II and retain one of the Administrative Specialist III positions to take care of the growing needs in academic departments.

**INSTITUTION APPROPRIATION SUMMARY
2013-15 BIENNIUM**

INSTITUTION COSSATOT COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2011-2012		2012-13		2012-13		2013-14				2014-15			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	4,590,760		4,614,110		5,190,737		5,079,196		5,079,196		5,196,017		5,196,017	
2 CASH	8,000,409		21,983,623		21,983,623		23,145,953		23,145,953		24,195,768		24,195,768	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$12,591,169	182	\$26,597,733	182	\$27,174,360	207	\$28,225,149	211	\$28,225,149	211	\$29,391,785	211	\$29,391,785	211
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*	0	0%	81,542	0%			0	0%	0	0%	0	0%	0	0%
13 GENERAL REVENUE	3,384,412	27%	3,351,626	13%			3,898,254	14%	3,898,254	14%	4,015,075	14%	4,015,075	14%
14 EDUCATIONAL EXCELLENCE TRUST FUND	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
15 WORKFORCE 2000	1,263,191	10%	1,180,942	4%			1,180,942	4%	1,180,942	4%	1,180,942	4%	1,180,942	4%
16 CASH FUNDS	3,953,736	31%	17,233,623	65%			10,345,953	37%	10,345,953	37%	10,995,768	37%	10,995,768	37%
17 SPECIAL REVENUES		0%		0%				0%	0	0%		0%	0	0%
18 FEDERAL FUNDS	4,046,673	32%	4,750,000	18%			12,800,000	45%	12,800,000	45%	13,200,000	45%	13,200,000	45%
19 TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
20 OTHER FUNDS	24,699	0%	0	0%			0	0%	0	0%	0	0%	0	0%
21 TOTAL INCOME	\$12,672,711	100%	\$26,597,733	100%			\$28,225,149	100%	\$28,225,149	100%	\$29,391,785	100%	\$29,391,785	100%
22 EXCESS (FUNDING)/APPROPRIATION	-\$81,542		\$0				\$0		\$0		\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2012:	\$2,289,888
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$668,639
INVENTORIES	\$0
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	\$225,328
INSURANCE DEDUCTIBLES	\$50,000
MAJOR CRITICAL SYSTEMS FAILURES	\$525,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$1,270,792
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	(\$449,871)

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2013-15 BIENNIUM**

FUND CTC0000

INSTITUTION COSSATOT COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS

APPROPRIATION

705

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES	2,955,761	3,037,542	3,295,000	3,255,000	3,315,000		
2 EXTRA HELP WAGES	52,000	31,780	60,000	55,000	55,000		
3 PERSONAL SERVICES MATCHING	805,000	710,000	820,000	810,000	841,000		
4 OPERATING EXPENSES	761,999	820,000	995,000	945,000	970,000		
5 CONFERENCE FEES & TRAVEL	16,000	14,788	20,737	14,196	15,017		
6 PROFESSIONAL FEES AND SERVICES							
7 CAPITAL OUTLAY							
8							
9							
10							
11							
12 TOTAL APPROPRIATION	\$4,590,760	\$4,614,110	\$5,190,737	\$5,079,196	\$5,196,017	\$0	\$0
13 PRIOR YEAR FUND BALANCE**		81,542					
14 GENERAL REVENUE	3,384,412	3,351,626		3,898,254	4,015,075		
15 EDUCATIONAL EXCELLENCE TRUST FUND							
16 SPECIAL REVENUES * [WF2000]	1,263,191	1,180,942		1,180,942	1,180,942		
17 FEDERAL FUNDS IN STATE TREASURY							
18 TOBACCO SETTLEMENT FUNDS							
19 OTHER STATE TREASURY FUNDS	24,699						
20 TOTAL INCOME	\$4,672,302	\$4,614,110		\$5,079,196	\$5,196,017	\$0	\$0
21 EXCESS (FUNDING)/APPROPRIATION	-\$81,542	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 16 - "Special Revenues".

FORM 13-3

**Line 13 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

*Other State Treasury Funds are \$5,098 from the Tuition Adjustment Fund and \$19,601 from the MMV Fund.

**APPROPRIATION ACT FORM - CASH FUNDS
2013-15 BIENNIUM**

FUND 2770000

INSTITUTION COSSATOT COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS

APPROPRIATION B52

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES	2,094,944	3,961,194	3,961,194	4,080,030	4,202,431		
2 EXTRA HELP WAGES	348,001	661,500	661,500	681,345	701,785		
3 PERSONAL SERVICES MATCHING	924,300	1,684,929	1,684,929	1,935,477	1,997,541		
4 OPERATING EXPENSES	3,687,778	6,468,750	6,468,750	7,115,625	7,827,188		
5 CONFERENCE FEES & TRAVEL	58,177	726,375	726,375	799,013	878,914		
6 PROFESSIONAL FEES AND SERVICES	251,179	485,875	485,875	534,463	587,909		
7 CAPITAL OUTLAY	153,314	4,000,000	4,000,000	5,000,000	5,000,000		
8 CAPITAL IMPROVEMENTS	0	3,000,000	3,000,000	2,000,000	2,000,000		
9 DEBT SERVICE	482,166	975,000	975,000	975,000	975,000		
10 FUND TRANSFERS, REFUNDS AND INVESTMENTS							
11 PROMOTIONAL ITEMS	550	20,000	20,000	25,000	25,000		
12							
13							
14							
15 TOTAL APPROPRIATION	\$8,000,409	\$21,983,623	\$21,983,623	\$23,145,953	\$24,195,768	\$0	\$0
16 PRIOR YEAR FUND BALANCE***	0	0					
17 TUITION AND MANDATORY FEES	2,308,204	2,525,489		2,876,720	3,020,556		
18 ALL OTHER FEES	287,712	344,385		392,280	411,894		
19 SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	25,334	25,000		30,000	32,000		
20 INVESTMENT INCOME	17,132	18,000		25,000	27,000		
21 FEDERAL CASH FUNDS	4,046,673	4,750,000		12,800,000	13,200,000		
22 OTHER CASH FUNDS	1,315,354	14,320,749		7,021,953	7,504,318		
23 TOTAL INCOME	\$8,000,409	\$21,983,623		\$23,145,953	\$24,195,768	\$0	\$0
24 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED 2012-13	REQUEST	RECOMMEND	LEGISLATIVE RECOMMENDATION	
				2013-14	2013-14	2013-14	2014-15
REGULAR POSITIONS	182	182	207	211	211		
TOBACCO POSITIONS							
EXTRA HELP **	61	60	100	100	100		

FORM 13-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 16 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

COSSATOT COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS
(NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2011-12				BUDGETED 2012-13			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *	18,368	41,022		(22,654)	22,000	45,000		(23,000)
2 HOUSING				0				0
3 FOOD SERVICES				0				0
4 STUDENT UNION				0				0
5 BOOKSTORE				0				0
6 STUDENT ORGANIZATIONS AND PUBLICATIONS				0				0
7 OTHER				0				0
8 SUBTOTAL	18,368	41,022	0	(22,654)	22,000	45,000	0	(23,000)
9 ATHLETIC TRANSFER **				0				0
10 OTHER TRANSFERS ***	22,654			22,654	23,000			23,000
11 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	41,022	41,022	0	0	45,000	45,000	0	0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 13-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 10 Other Transfers - Amount transferred from E&G to support the college rodeo team.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

COSSATOT COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2011-12:			<u>182</u>
(As of November 1, 2011)			
Nonclassified Administrative Employees:			
White Male:	<u>6</u>	Black Male:	<u>1</u>
White Female:	<u>14</u>	Black Female:	<u>1</u>
		Other Male:	<u>1</u>
		Other Female:	<u>1</u>
Total		Total	<u>7</u>
Total		Total	<u>16</u>
Nonclassified Health Care Employees:			
White Male:	<u> </u>	Black Male:	<u> </u>
White Female:	<u> </u>	Black Female:	<u> </u>
		Other Male:	<u> </u>
		Other Female:	<u> </u>
Total		Total	<u>0</u>
Total		Total	<u>0</u>
Classified Employees:			
White Male:	<u>12</u>	Black Male:	<u>1</u>
White Female:	<u>22</u>	Black Female:	<u> </u>
		Other Male:	<u> </u>
		Other Female:	<u>1</u>
Total		Total	<u>13</u>
Total		Total	<u>23</u>
Faculty:			
White Male:	<u>35</u>	Black Male:	<u> </u>
White Female:	<u>80</u>	Black Female:	<u>4</u>
		Other Male:	<u>1</u>
		Other Female:	<u>3</u>
Total		Total	<u>36</u>
Total		Total	<u>87</u>
Total White Male:	<u>53</u>	Total Black Male:	<u>2</u>
Total White Female:	<u>116</u>	Total Black Female:	<u>5</u>
		Total Other Male:	<u>1</u>
		Total Other Female:	<u>5</u>
Total		Total	<u>56</u>
Total		Total	<u>126</u>
Total White:	<u>169</u>	Total Black:	<u>7</u>
		Total Other:	<u>6</u>
		Total Minority:	<u>13</u>
Total		Total	<u>182</u>

FORM 13-6

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$25,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2012
 Required by A.C.A. 25-36-104

Institution

COSSATOT COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
N/A							

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$109,217
 (Total Expenditures equals ALL Contracts Exceeding \$25,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF COSSATOT COMMUNITY COLLEGE – UNIVERSITY OF ARKANSAS
June 30, 2011

<i>Finding:</i>	No Findings noted
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EAST ARKANSAS COMMUNITY COLLEGE
Dr. Coy Grace, President

ENABLING LAWS

Act 103 of 1973 and Act 177 of 2012

INSTITUTION HISTORY AND ORGANIZATION

The statutory justification for EACC's legal authorization was established in Legislative Act 103 as passed by the State General Assembly in 1973. EACC operates under the granted accreditation at the associate degree level by the North Central Association of Colleges and Schools.

By an authorized constitutional amendment, a State legislative act, and a vote of the people of St. Francis County, EACC was authorized to grant degrees through regulations of the State Board of Higher Education.

The Board of Trustees, comprised of nine members appointed by the Governor of the State of Arkansas, is the legal governing agency that establishes official policies for the institution. The Board, subject to the rules and regulations of the Arkansas Department of Higher Education, and to State and Federal laws and regulations, operates within the context of its policies and procedures as enumerated in the Board of Trustees policy Manual.

The nine members of the Governor-appointed Board of Trustees are completely autonomous from the administration. Their terms are staggered to ensure continuity on the Board.

The President is the Chief Executive Officer of the College. Since September of 1999, Dr. Coy Grace has served as the college's President.

Act 177 of the 88th General Legislature makes an appropriation for personal services and operating expenses for East Arkansas Community College. East Arkansas Community College is requesting no increase in positions for the next biennium.

MISSION STATEMENT

The mission of East Arkansas Community College is to enhance the quality of life for everyone within the EACC service

EAST ARKANSAS COMMUNITY COLLEGE
Dr. Coy Grace, President

community by providing opportunities for educational excellence, economic stimulation, and cultural enrichment.

VISION STATEMENT

As an open-door, two-year institution of higher education, East Arkansas Community College's primary focus is a commitment to learning by educating and preparing students to become responsible citizens and contributing members of society. In addition, the College realizes the importance of serving other clients, including area businesses, industries, and educational institutions. In order to actualize its mission, the College is committed to promoting the intellectual and cultural advancement of the community, fostering diversity, tolerance, and mutual respect among its constituents by offering the human and physical resources of the college, and continued improvements through professional development of its faculty and staff.

Through these efforts and through its cooperation with other educational institutions, the College is an active partner in the economic and social progress of eastern Arkansas. The specific objectives used to fulfill the vision of EACC are to offer:

1. Correlated programs of study that will transfer to four-year institutions.
2. Occupational degrees and certificate programs consistent with the needs of our students and service area.
3. Lifelong learning and industrial training programs to meet the needs of the community.
4. Qualified high school students the option to earn college credit through articulation agreements.
5. A broad range of educational opportunities by providing access to other institutions through cooperative agreements, joint programs, and distance learning.
6. Developmental education that assists individuals in improving learning skills and overcoming educational deficiencies.
7. Personalized guidance and counseling services which promote the proper placement of students in all courses and programs of study.
8. Opportunities for faculty and staff development.

**INSTITUTION APPROPRIATION SUMMARY
2013-15 BIENNIUM**

INSTITUTION EAST ARKANSAS COMMUNITY COLLEGE

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2011-2012		2012-13		2012-13		2013-14				2014-15			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	6,514,441		6,526,415		6,568,771		6,652,884		6,652,884		6,805,900		6,805,900	
2 CASH	4,136,496		27,400,000		27,400,000		27,400,000		27,400,000		27,400,000		27,400,000	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$10,650,937	215	\$33,926,415	239	\$33,968,771	308	\$34,052,884	308	\$34,052,884	308	\$34,205,900	308	\$34,205,900	308
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*	2,993	0%	0	0%			0	0%	0	0%	0	0%	0	0%
13 GENERAL REVENUE	5,788,058	54%	5,788,058	17%			5,914,527	17%	5,914,527	17%	6,067,543	18%	6,067,543	18%
14 EDUCATIONAL EXCELLENCE TRUST FUND	723,390	7%	738,357	2%			738,357	2%	738,357	2%	738,357	2%	738,357	2%
15 WORKFORCE 2000	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
16 CASH FUNDS	3,777,881	35%	22,063,181	65%			21,903,077	64%	21,903,077	64%	21,738,170	64%	21,738,170	64%
17 SPECIAL REVENUES		0%		0%				0%	0	0%		0%	0	0%
18 FEDERAL FUNDS	358,615	3%	5,336,819	16%			5,496,923	16%	5,496,923	16%	5,661,830	17%	5,661,830	17%
19 TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
20 OTHER FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
21 TOTAL INCOME	\$10,650,937	100%	\$33,926,415	100%			\$34,052,884	100%	\$34,052,884	100%	\$34,205,900	100%	\$34,205,900	100%
22 EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0		\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2012:	\$3,076,866
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$128,703
INVENTORIES	\$15,720
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	\$28,851
INSURANCE DEDUCTIBLES	\$100,000
MAJOR CRITICAL SYSTEMS FAILURES	\$1,000,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$1,357,633
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	\$159,893
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$286,066

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

NOTE: Other - Woodruff Electric Certificate of Equity

**APPROPRIATION ACT FORM - STATE TREASURY
2013-15 BIENNIUM**

FUND CWE0000

INSTITUTION EAST ARKANSAS COMMUNITY COLLEGE

APPROPRIATION 538

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES	4,716,870	4,680,906	4,723,262	4,723,000	4,817,460		
2 EXTRA HELP WAGES	21,000	21,000	21,000	21,000	21,000		
3 OVERTIME		0	0				
4 PERSONAL SERVICES MATCHING	1,051,571	1,024,509	1,024,509	1,150,000	1,150,000		
5 OPERATING EXPENSES	725,000	725,000	725,000	758,884	817,440		
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES							
8 CAPITAL OUTLAY							
9 FUNDED DEPRECIATION							
10 CONTINGENCY		75,000	75,000				
11							
12							
13 TOTAL APPROPRIATION	\$6,514,441	\$6,526,415	\$6,568,771	\$6,652,884	\$6,805,900	\$0	\$0
14 PRIOR YEAR FUND BALANCE**	2,993						
15 GENERAL REVENUE	5,788,058	5,788,058		5,914,527	6,067,543		
16 EDUCATIONAL EXCELLENCE TRUST FUND	723,390	738,357		738,357	738,357		
17 SPECIAL REVENUES * [WF2000]							
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS							
21 TOTAL INCOME	\$6,514,441	\$6,526,415		\$6,652,884	\$6,805,900	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS
2013-15 BIENNIUM**

FUND 2110000

INSTITUTION EAST ARKANSAS COMMUNITY COLLEGE

APPROPRIATION B05

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES	733,533	2,500,000	2,500,000	2,500,000	2,500,000		
2 EXTRA HELP WAGES	118,955	300,000	300,000	300,000	300,000		
3 OVERTIME				10,000	10,000		
4 PERSONAL SERVICES MATCHING	759,683	1,694,734	1,694,734	1,694,734	1,694,734		
5 OPERATING EXPENSES	1,908,732	2,604,000	2,604,000	2,604,000	2,604,000		
6 CONFERENCE FEES & TRAVEL	86,587	321,000	321,000	321,000	321,000		
7 PROFESSIONAL FEES AND SERVICES	110,312	200,000	200,000	300,000	300,000		
8 CAPITAL OUTLAY	405,606	1,250,000	1,250,000	1,250,000	1,250,000		
9 CAPITAL IMPROVEMENTS		16,003,266	16,003,266	15,593,266	15,593,266		
10 DEBT SERVICE				300,000	300,000		
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS		2,500,000	2,500,000	2,500,000	2,500,000		
12 PROMOTIONAL ITEMS	13,088	27,000	27,000	27,000	27,000		
13							
14							
15							
16 TOTAL APPROPRIATION	\$4,136,496	\$27,400,000	\$27,400,000	\$27,400,000	\$27,400,000	\$0	\$0
17 PRIOR YEAR FUND BALANCE***							
18 TUITION AND MANDATORY FEES	2,249,851	2,381,680		2,453,130	2,526,724		
19 ALL OTHER FEES	432,453	693,367		714,168	735,590		
20 SALES AND SERVICES RELATED TO	591,931	625,501		644,266	663,600		
21 INVESTMENT INCOME	23,414	13,255		13,652	14,062		
22 FEDERAL CASH FUNDS	358,615	5,336,819		5,496,923	5,661,830		
23 OTHER CASH FUNDS	480,232	18,349,378		18,077,861	17,798,194		
24 TOTAL INCOME	\$4,136,496	\$27,400,000		\$27,400,000	\$27,400,000	\$0	\$0
25 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED 2012-13	RECOMMENDATION			
				REQUEST 2013-14	RECOMMEND 2013-14	TIVE RECOMMENDATION 2013-14	2014-15
REGULAR POSITIONS	215	239	308	308	308		
TOBACCO POSITIONS							
EXTRA HELP **	119	125	249	249	249		

FORM 13-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

EAST ARKANSAS COMMUNITY COLLEGE
(NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2011-12				BUDGETED 2012-13			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *	0			0	0	0	0	0
2 HOUSING	0			0	0	0	0	0
3 FOOD SERVICES	2,624			2,624	4,000	0	0	4,000
4 STUDENT UNION				0	0	0	0	0
5 BOOKSTORE	590,835	548,380		42,455	602,000	578,270	0	23,730
6 STUDENT ORGANIZATIONS AND PUBLICATIONS				0		26,647	0	(26,647)
7 OTHER		45,000		(45,000)		22,583	0	(22,583)
8 SUBTOTAL	593,459	593,380	0	79	606,000	627,500	0	(21,500)
9 ATHLETIC TRANSFER **				0				0
10 OTHER TRANSFERS ***	22,865			22,865	21,500			21,500
11 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	616,324	593,380	0	22,944	627,500	627,500	0	0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 13-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line Item 7 Other - The other amounts shown on line 7 are bookstore funds transferred to E& G

NOTE: Line Item 10 Other Transfers - The other amounts shown on line 10 are transfers in for Student Activities.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

EAST ARKANSAS COMMUNITY COLLEGE
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2011-12:						<u>162</u>
(As of November 1, 2011)						
Nonclassified Administrative Employees:						
White Male:	<u>6</u>	Black Male:	<u>4</u>	Other Male:	<u> </u>	Total Male: <u>10</u>
White Female:	<u>15</u>	Black Female:	<u>5</u>	Other Female:	<u>2</u>	Total Female: <u>22</u>
Nonclassified Health Care Employees:						
White Male:	<u> </u>	Black Male:	<u> </u>	Other Male:	<u> </u>	Total Male: <u>0</u>
White Female:	<u> </u>	Black Female:	<u> </u>	Other Female:	<u> </u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>2</u>	Black Male:	<u>3</u>	Other Male:	<u> </u>	Total Male: <u>5</u>
White Female:	<u>22</u>	Black Female:	<u>15</u>	Other Female:	<u>1</u>	Total Female: <u>38</u>
Faculty:						
White Male:	<u>29</u>	Black Male:	<u>5</u>	Other Male:	<u> </u>	Total Male: <u>34</u>
White Female:	<u>45</u>	Black Female:	<u>8</u>	Other Female:	<u> </u>	Total Female: <u>53</u>
Total White Male:	<u>37</u>	Total Black Male:	<u>12</u>	Total Other Male:	<u>0</u>	Total Male: <u>49</u>
Total White Female:	<u>82</u>	Total Black Female:	<u>28</u>	Total Other Female:	<u>3</u>	Total Female: <u>113</u>
Total White:	<u>119</u>	Total Black:	<u>40</u>	Total Other:	<u>3</u>	Total Employees: <u>162</u>
				Total Minority:	<u>43</u>	

FORM 13-6

**ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$25,000 AWARDED TO MINORITY OWNED BUSINESSES
Fiscal Year 2012
Required by A.C.A. 25-36-104**

Institution

EAST ARKANSAS COMMUNITY COLLEGE

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
DRS	\$63,368	X					

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 1

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$1,608,005
(Total Expenditures equals ALL Contracts Exceeding \$25,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 4%

**DIVISION OF LEGISLATIVE AUDIT
AUDIT OF EAST ARKANSAS COMMUNITY COLLEGE
June 30, 2011**

<i>Finding:</i>	No Findings noted
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MID-SOUTH COMMUNITY COLLEGE

Dr. Glen Fenter, President

ENABLING LAWS

Act 1244 of 1991 and Act 188 of 2012

INSTITUTION HISTORY AND ORGANIZATION

Mid-South Community College was created by Arkansas Act 1244 of 1991, which converted 14 of Arkansas' vocational technical schools into technical colleges. In operation since 1979, it experienced little growth or financial support during its twelve years as one of the state's smallest vocational technical schools. The original campus offered only two instructional buildings and a maintenance shop. At the time of the conversion, Mid-South offered primarily adult education classes and occupational programs in practical nursing, over-the-road trucking, welding, data processing and clerical support.

Mid-South Community College is now a fully accredited, two-year public institution serving Crittenden and surrounding counties. Its founding marked the first local provision of higher education in a county which has lagged decades behind more prosperous areas in educational and economic development. To address these deficits effectively, College personnel are committed to student learning, customer service, and an entrepreneurial approach to education.

MSCC is committed to building a continuum of educational opportunities through alliances with both public schools and other institutions of higher education to increase college-preparedness and to provide local access to baccalaureate and graduate programs and professional training. To this end, MSCC offers 10 associate degree programs, 7 technical certificate programs, 15 certificates of proficiency programs, 7 licensure/certification programs, developmental education, and adult education. In 2011-12, the College employed 39 full-time instructors, approximately 90 adjuncts and 118 full-time staff. In addition, the College hosts upper-division and graduate courses offered on campus by Arkansas State University, Arkansas Tech University, Bethel University, the University of Central Arkansas, the University of Arkansas-Fort Smith, the University of Arkansas-Fayetteville, and Montana State University-Northern, and Victory University.

The College has experienced a 91% growth in the past 10 years. Although the College set a new record in enrollment in fall 2010 with the number of enrollees exceeding 2300, enrollment since then has experienced a marginal decline. The decline is common across two-year colleges in the state due in part to changes in the Arkansas Challenge Scholarship which has made enrollment at four-year colleges more attainable for high school students.

MID-SOUTH COMMUNITY COLLEGE
Dr. Glen Fenter, President

Act 188 of 2012 is Mid-South Community College appropriation act for personnel and expenses for the fiscal year ending June 30, 2013. Although appropriation totals \$6,440,468 actual RSA and WF2000 funding is only 69.6% of need as determined using the funding formula model for two-year colleges stated in A.C.A 6-61-229. This percentage represents a \$2,552,474 deficit in funding which is desperately needed to help meet the challenges facing the Arkansas Delta.

MISSION STATEMENT

Mid-South Community College is a public two-year institution of higher education with an open-door admission policy, serving Crittenden County, Arkansas, and the surrounding areas with a comprehensive educational program. The College is committed to economic development in the Arkansas Delta through the provision of high quality, affordable, and convenient learning opportunities and services consistent with identified student, community, and regional needs. To meet these needs, the College provides quality academic and support programs, personnel, technology, administrative services, and facilities necessary to respond in a timely and effective manner.

Members of the Mid-South Community College Board of Trustees, who are appointed by the Governor, serve without compensation. The Board of Trustees establishes and reviews basic policies that govern the institution.

Mid-South Community College continues our aggressive assessment of the employment needs of our region, nation, and world to provide education and training programs that will prepare our students for the jobs of today and tomorrow. New areas of emphasis for the 2012-2013 academic year include renewable energy, transportation, healthcare, welding and aviation. We are excited about what the future holds and what we can do to make it better.

The increased emphasis on the development and utilization of non-petroleum based fuels and products creates an opportunity for us to be a major player in the alternative energy market. Our proximity to major interstate highways and regional distribution centers makes our college an ideal location for enhanced programs in Transportation, Distribution, and Logistics as well. We also have great potential to train the additional healthcare workers needed to meet local and regional demand by developing new programs in Pharmacy Technology and Respiratory Care. We revamped our welding program and our students, including high school students, excelled in earning industry certifications. Our Airframe and Powerplant program started with a DOL grant have the first graduates during this academic year.

Mid-South Community College isn't requesting additional appropriation other than what the model produces but we do request increased funding of the model to enable us to help and empower the citizens of Crittenden County.

Arkansas Delta Training & Education Consortium (ADTEC)

ENABLING LAWS

Act 188 of 2012 and Act 287 of 2012

INSTITUTION HISTORY AND ORGANIZATION

Historically, the Arkansas Delta has lagged decades behind more prosperous areas of the state as it has struggled with a post-agrarian economy bereft of strong industry, saddled with an under-educated workforce, and possessing minimal resources with which to effect substantial change. As a result, much of eastern Arkansas has been home to a depressed economy and a substantial population of citizens who are tax *consumers* rather than tax *producers*.

To address these problems, four community colleges (Arkansas Northeastern College, East Arkansas Community College, Mid-South Community College, and Phillips Community College of the University of Arkansas) collaborated in 2005 to create the Arkansas Delta Training & Education Consortium (ADTEC). Arkansas State University-Newport became the fifth consortium college in July 2006. Espousing a unilateral commitment to supporting workforce and economic development in the Arkansas Delta, ADTEC first secured \$5,935,402 from the U.S. Department of Labor in March 2006 to underwrite its economic agenda.

Since 2008 the consortium has received funding through General Improvement Funds (GIF) funds to provide continued support for the University Center and ADTEC. ADTEC's appropriation for fiscal year 2013 is found in Act 188 with GIF funding from Act 287.

From October 2005 through February 2010, ADTEC initiatives have secured federal grant awards totaling \$32,376,346. During this same period the State of Arkansas contributed funds in the amount of \$9,338,208 toward ADTEC initiatives, and local funds in the amount of \$7,984,546 were invested in ADTEC. These strategic efforts have generated a total of \$49,699.10 a return to Arkansas of \$4.32 for each dollar of state investment. The list of accomplishments generated is substantial; the following is a list of some of the highlights:

- Career Pathway in Advanced Manufacturing which has trained over 9,000 new and incumbent workers in the region for the advanced manufacturing industry to date. This Career Pathway includes a high school

Arkansas Delta Training & Education Consortium (ADTEC)

component, community college certificates and degrees, and a baccalaureate degree, all of which articulate with one another and which support multiple stop- out points for students who must work before completing their education.

- Creation of the Arkansas Delta Workforce Innovation in Regional Workforce Development WIRED initiative, which funded/implemented workforce and economic development strategies in advanced manufacturing; renewable energy; and transportation, distribution, and logistics and the expansion of workforce development and education infrastructure.
- Creation of a model project to build a future workforce by recruiting high school students, particularly African Americans, and retaining them through two-year and four-year degree programs in science, technology, engineering, and mathematics (STEM) disciplines.
- Creation of additional programs to train technicians in the renewable energy/energy efficiency industries through U.S. Department of Labor green job initiatives. This includes collaboration with Memphis Bio-works in an Energy Training Partnership grant and with the Arkansas Department of Workforce Services and the Arkansas Association of Two- Year Colleges in the State Energy Sector Partnership grant.
- Creation of the ADTEC Regional University Center to provide local access for place-bound students in the Arkansas Delta to baccalaureate degrees specifically targeted to support economic development in the region. Strategically targeted programs include middle-school education, business entrepreneurship, transportation/logistics, information technology and renewable energy.

The ADTEC initiative has been noticed by many around the country. The following awards and recognition have been received:

- **Bellwether Award Winner 2010**, *Workforce Development Category*, Community College Futures Assembly
- **Highlighted** as a **national workforce development model** in *Employers, Low-Income Young Adults, and Postsecondary Credential*, October 2009, a Workforce Strategy Center report underwritten by the Bill & Melinda Gates Foundation
- **U.S. Department of Labor 2008 Recognition of Excellence Award** in the category *Building a Regionally Focused Workforce Strategy*

Arkansas Delta Training & Education Consortium (ADTEC)

- Southern Growth Policies Board 2007 Innovator of the Year Award

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**INSTITUTION APPROPRIATION SUMMARY
2013-15 BIENNIUM**

INSTITUTION MID-SOUTH COMMUNITY COLLEGE

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2011-2012		2012-13		2012-13		2013-14				2014-15			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	6,192,958		6,000,135		6,440,468		6,345,080		6,345,080		6,488,717		6,488,717	
2 CASH	12,274,701		30,000,000		30,000,000		44,330,000		44,330,000		46,485,000		46,485,000	
3 STATE TREASURY - ADTEC	2,042,317		2,101,174		3,401,778		2,000,000		2,000,000		2,046,000		2,046,000	
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$20,509,976	225	\$38,101,309	238	\$39,842,246	283	\$52,675,080	315	\$52,675,080	311	\$55,019,717	315	\$55,019,717	311
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*	633,317	3%	777,121	2%			0	0%	0	0%	0	0%	0	0%
13 GENERAL REVENUE	3,960,094	19%	3,818,117	10%			6,329,009	12%	6,329,009	12%	6,518,646	12%	6,518,646	12%
14 EDUCATIONAL EXCELLENCE TRUST FUND	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
15 WORKFORCE 2000	2,049,520	10%	1,916,071	5%			1,916,071	4%	1,916,071	4%	1,916,071	3%	1,916,071	3%
16 CASH FUNDS	7,684,307	36%	18,048,271	47%			32,330,000	61%	32,330,000	61%	34,485,000	63%	34,485,000	63%
17 SPECIAL REVENUES	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
18 FEDERAL FUNDS	4,590,394	22%	11,951,729	31%			12,000,000	23%	12,000,000	23%	12,000,000	22%	12,000,000	22%
19 TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
20 OTHER FUNDS	2,369,465	11%	1,590,000	4%			100,000	0%	100,000	0%	100,000	0%	100,000	0%
21 TOTAL INCOME	\$21,287,097	100%	\$38,101,309	100%			\$52,675,080	100%	\$52,675,080	100%	\$55,019,717	100%	\$55,019,717	100%
22 EXCESS (FUNDING)/APPROPRIATION	-\$777,121		\$0				\$0		\$0		\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2012:	\$1,897,841
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$680,679
INVENTORIES	\$30,000
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$10,000
MAJOR CRITICAL SYSTEMS FAILURES	\$250,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$1,784,810
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	(\$857,648)

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2013-15 BIENNIUM (Non-Formula Entities)**

ADTEC/ADTEC UNIVERSITY CENTER
NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2011-12 ACTUAL	2012-13 BUDGETED *	2013-15 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS			
			2013-14		2014-15	
			REQUEST	RECOMMENDATION	REQUEST	RECOMMENDATION
1 INSTRUCTIONAL	927,587	1,150,000	1,100,000	1,100,000	1,146,000	1,146,000
2 PUBLIC SERVICE	661,637	580,458	550,000	550,000	550,000	550,000
3 ACADEMIC SUPPORT	348,646	270,716	270,000	270,000	270,000	270,000
4 INSTITUTIONAL SUPPORT	104,447	100,000	80,000	80,000	80,000	80,000
5						
6						
7						
8						
9						
10						
11						
12						
13 MANDATORY TRANSFERS						
14 AUXILIARY TRANSFERS						
15 NON-MANDATORY TRANSFERS						
16 TOTAL UNREST. E&G EXP.	\$2,042,317	\$2,101,174	\$2,000,000	\$2,000,000	\$2,046,000	\$2,046,000
17 NET LOCAL INCOME						
18 PRIOR YEAR BALANCE***	552,775	601,174				
STATE FUNDS:						
19 GENERAL REVENUE	90,716		2,000,000	2,000,000	2,046,000	2,046,000
20 EDUCATIONAL EXCELLENCE						
21 WORKFORCE 2000						
22 TOBACCO SETTLEMENT FUNDS						
23 OTHER STATE FUNDS **	2,000,000	1,500,000				
24 TOTAL SOURCES OF INCOME	\$2,643,491	\$2,101,174	\$2,000,000	\$2,000,000	\$2,046,000	\$2,046,000

FORM 13-2 Nonformula

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in a footnote.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

NOTE: OTHER STATE FUNDS -

Money Received in 2011-2012 for \$2,000,000 were from General Improvement Funds. Carry Forward Balances were from a combination of ARRA Funds and GIF Funds.

Money Budgeted in 2012-2013 for \$1,500,000 are funds from the Auditor of the State as noted in Act 287.

**APPROPRIATION ACT FORM - STATE TREASURY
2013-15 BIENNIUM**

FUND CTM0000

INSTITUTION MID-SOUTH COMMUNITY COLLEGE

APPROPRIATION 109

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES	4,620,841	4,475,000	4,500,000	4,600,000	4,700,000		
2 EXTRA HELP WAGES		350,000	350,000	350,000	350,000		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	1,142,055	850,000	950,000	1,000,000	1,000,000		
5 OPERATING EXPENSES	380,062	295,135	610,468	365,080	408,717		
6 CONFERENCE FEES & TRAVEL		30,000	30,000	30,000	30,000		
7 PROFESSIONAL FEES AND SERVICES	50,000						
8 CAPITAL OUTLAY							
9 FUNDED DEPRECIATION							
10							
11							
12							
13 TOTAL APPROPRIATION	\$6,192,958	\$6,000,135	\$6,440,468	\$6,345,080	\$6,488,717	\$0	\$0
14 PRIOR YEAR FUND BALANCE**	80,542	175,947					
15 GENERAL REVENUE	3,869,378	3,818,117		4,329,009	4,472,646		
16 EDUCATIONAL EXCELLENCE TRUST FUND							
17 SPECIAL REVENUES * [WF2000]	2,049,520	1,916,071		1,916,071	1,916,071		
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS	369,465	90,000		100,000	100,000		
21 TOTAL INCOME	\$6,368,905	\$6,000,135		\$6,345,080	\$6,488,717	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	-\$175,947	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2013-15 BIENNIUM**

FUND _____

INSTITUTION ADTEC/ADTEC UNIVERSITY CENTER

APPROPRIATION _____

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES	1,262,589	1,200,000					
2 EXTRA HELP WAGES	54,844	60,000					
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	325,315	438,000					
5 OPERATING EXPENSES	337,138	338,174					
6 CONFERENCE FEES & TRAVEL	25,286	30,000					
7 PROFESSIONAL FEES AND SERVICES							
8 CAPITAL OUTLAY	37,145	35,000					
9 FUNDED DEPRECIATION							
10 OTHER (ACT 188 and information below)			3,401,778	2,000,000	2,046,000		
11							
12							
13 TOTAL APPROPRIATION	\$2,042,317	\$2,101,174	\$3,401,778	\$2,000,000	\$2,046,000	\$0	\$0
14 PRIOR YEAR FUND BALANCE**	552,775	601,174					
15 GENERAL REVENUE	90,716			2,000,000	2,046,000		
16 EDUCATIONAL EXCELLENCE TRUST FUND							
17 SPECIAL REVENUES * [WF2000]							
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS	2,000,000	1,500,000					
21 TOTAL INCOME	\$2,643,491	\$2,101,174		\$2,000,000	\$2,046,000	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	-\$601,174	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 17- "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM 13-3

ADTEC Allocations for 2012-13

(1) ARKANSAS NORTHEASTERN COLLEGE	356,237
(2) ARKANSAS STATE UNIVERSITY NEWPORT	356,237
(3) EAST ARKANSAS COMMUNITY COLLEGE	466,214
(4) MID-SOUTH COMMUNITY COLLEGE	909,585
(5) PHILLIPS COMMUNITY COLLEGE OF THE UA	412,091
(6) ARKANSAS STATE UNIVERSITY JONESBORO	698,126
(7) UNIVERSITY OF ARKANSAS AT FORT SMITH 203,288	203,288
TOTAL AMOUNT ALLOCATED	\$3,401,778

ADTEC Allocations for 2013-15

(1) ARKANSAS NORTHEASTERN COLLEGE	209,442	214,259
(2) ARKANSAS STATE UNIVERSITY NEWPORT	209,442	214,259
(3) EAST ARKANSAS COMMUNITY COLLEGE	274,100	280,404
(4) MID-SOUTH COMMUNITY COLLEGE	534,770	547,070
(5) PHILLIPS COMMUNITY COLLEGE OF THE UA	242,280	247,852
(6) ARKANSAS STATE UNIVERSITY JONESBORO	410,448	419,888
(7) UNIVERSITY OF ARKANSAS AT FORT SMITH	119,519	122,268
TOTAL AMOUNT ALLOCATED	\$2,000,000	\$2,046,000

NOTE: OTHER STATE FUNDS -

Money Received in 2011-2012 for \$2,000,000 were from General Improvement Funds. Carry Forward Balances were from a combination of ARRA Funds and GIF Funds.
Money Budgeted in 2012-2013 for \$1,500,000 are funds from the Auditor of the State as noted in Act 287.

***See Act 188 and Act 287 of 2012 for further information.

**APPROPRIATION ACT FORM - CASH FUNDS
2013-15 BIENNIUM**

FUND 2810000

INSTITUTION MID-SOUTH COMMUNITY COLLEGE

APPROPRIATION D03

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES	1,389,295	7,500,000	5,000,000	13,000,000	14,000,000		
2 EXTRA HELP WAGES	638,840	850,000	600,000	1,500,000	1,600,000		
3 OVERTIME		10,000	10,000	30,000	30,000		
4 PERSONAL SERVICES MATCHING	858,758	2,600,000	1,850,000	5,000,000	5,200,000		
5 OPERATING EXPENSES	3,024,273	6,615,000	4,350,000	9,500,000	9,750,000		
6 CONFERENCE FEES & TRAVEL	149,524	375,000	375,000	900,000	950,000		
7 PROFESSIONAL FEES AND SERVICES	800,128	850,000	750,000	1,250,000	1,350,000		
8 CAPITAL OUTLAY	939,131	4,000,000	4,000,000	4,500,000	4,750,000		
9 CAPITAL IMPROVEMENTS	4,468,677	6,500,000	6,500,000	7,500,000	7,700,000		
10 DEBT SERVICE							
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS		650,000	650,000	950,000	950,000		
12 PROMOTIONAL ITEMS	6,075	50,000	50,000	200,000	205,000		
13 CONTINGENCY			5,865,000				
14							
15							
16 TOTAL APPROPRIATION	\$12,274,701	\$30,000,000	\$30,000,000	\$44,330,000	\$46,485,000	\$0	\$0
17 PRIOR YEAR FUND BALANCE***							
18 TUITION AND MANDATORY FEES	3,674,117	4,524,865		4,751,108	4,893,641		
19 ALL OTHER FEES	503,177	4,140,759		4,347,797	4,478,231		
20 SALES AND SERVICES RELATED TO							
21 INVESTMENT INCOME	14,726	12,500		15,000	15,000		
22 FEDERAL CASH FUNDS	4,590,394	11,951,729		12,000,000	12,000,000		
23 OTHER CASH FUNDS	3,492,287	9,370,147		23,216,095	25,098,128		
24 TOTAL INCOME	\$12,274,701	\$30,000,000		\$44,330,000	\$46,485,000	\$0	\$0
25 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED 2012-13	REQUEST		RECOMMENDATIVE RECOMMENDATION	
				2013-14	2013-14	2013-14	2014-15
REGULAR POSITIONS	225	238	283	315	311		
TOBACCO POSITIONS							
EXTRA HELP **	110	117	200	200	200		

FORM 13-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

MID-SOUTH COMMUNITY COLLEGE
(NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2011-12				BUDGETED 2012-13			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *	26,979	120,985		(94,006)	28,500	128,500		(100,000)
2 HOUSING				0				0
3 FOOD SERVICES	198,685	240,235		(41,550)	220,000	283,540		(63,540)
4 STUDENT UNION				0				0
5 BOOKSTORE	47,235	167		47,068	70,000	240		69,760
6 STUDENT ORGANIZATIONS AND PUBLICATIONS				0				
7 OTHER				0				0
8 SUBTOTAL	272,899	361,387	0	(88,488)	318,500	412,280	0	(93,780)
9 ATHLETIC TRANSFER **	94,006			94,006	100,000			100,000
10 OTHER TRANSFERS ***				0				0
11 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	366,905	361,387	0	5,518	418,500	412,280	0	6,220

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

Mid-South Community College

 (NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2011-12:						157
(As of November 1, 2011)						
Nonclassified Administrative Employees:						
White Male:	11	Black Male:	1	Other Male:	_____	Total Male: <u>12</u>
White Female:	10	Black Female:	8	Other Female:	_____	Total Female: <u>18</u>
Nonclassified Health Care Employees:						
White Male:	_____	Black Male:	_____	Other Male:	_____	Total Male: <u>0</u>
White Female:	_____	Black Female:	_____	Other Female:	_____	Total Female: <u>0</u>
Classified Employees:						
White Male:	20	Black Male:	8	Other Male:	1	Total Male: <u>29</u>
White Female:	33	Black Female:	24	Other Female:	2	Total Female: <u>59</u>
Faculty:						
White Male:	18	Black Male:	4	Other Male:	1	Total Male: <u>23</u>
White Female:	14	Black Female:	2	Other Female:	_____	Total Female: <u>16</u>
Total White Male:						49
Total White Female:						57
Total Black Male:						13
Total Black Female:						34
Total Other Male:						2
Total Other Female:						2
Total White:						106
Total Black:						47
Total Other:						4
Total Minority:						<u>51</u>
Total Employees:						<u>157</u>

FORM 13-6

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$25,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2012
 Required by A.C.A. 25-36-104

Institution

MID-SOUTH COMMUNITY COLLEGE

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
N/A							

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$1,153,342
 (Total Expenditures equals ALL Contracts Exceeding \$25,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

**DIVISION OF LEGISLATIVE AUDIT
AUDIT OF MID-SOUTH COMMUNITY COLLEGE
June 30, 2011**

<i>Finding:</i>	No Findings noted
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NATIONAL PARK COMMUNITY COLLEGE

Janis Sawyer, Vice President for Financial Affairs

ENABLING LAWS

Act 678 of 2003 and Act 236 of 2012

INSTITUTION HISTORY AND ORGANIZATION

National Park Community College is a comprehensive public two-year college and represents the merger of Garland County Community College and Quapaw Technical Institute. Both institutions began offering post-secondary coursework in 1973. NPCC was created by Act 678 of the 2003 Regular Session of the 84th General Assembly; official operations began on July 1, 2003. Enabling legislation includes appropriation Act 236 of the 2012 Fiscal Session of the 88th General Assembly. NPCC is under the oversight of an elected board of trustees. As a formula driven institution, NPCC concurs with recommendations of the Arkansas Higher Education Coordinating Board.

The NPCC campus is located on 128 acres in Garland County outside the city limits of Hot Springs. NPCC serves both the students in Garland County and the students in Montgomery and Pike Counties, but NPCC also draws students from Clark, Hot Spring, and Saline Counties. Many of these students come from disadvantaged rural areas and would not be pursuing higher education if National Park Community College was not available to them. The College exists to serve the needs of its students and of the greater community it serves.

INSTITUTIONAL MISSION STATEMENT

Learning is our focus; student success is our goal.

Values:

Access – We assist students in achieving their individual educational goals by creating a learning community that is accessible, convenient, caring, affordable, and secure.

Excellence – We strive for exemplary performance in all we do. Assessment of student learning is a means of measuring our success.

NATIONAL PARK COMMUNITY COLLEGE
Janis Sawyer, Vice President for Financial Affairs

Accountability - We are all accountable to ourselves and to one another in a learning community. We expect all members to act responsibly, behave ethically, and grow professionally.

Collaboration – We facilitate partnerships that enhance learning, solve problems, promote economic development, and improve quality of life.

Mutual Respect and Support – We recognize the dignity and inherent worth of all individuals. We create opportunities to explore diversity of ideas, individuals, and cultures through open communication.

INSTITUTIONAL VISION

We aspire to be the premier comprehensive community college in the state by providing learning for life opportunities while offering exemplary service to our community.

With our mission, Learning is our focus; student success is our goal, NPCC seeks to respond to the needs of students of varying levels of abilities. NPCC offers the following degrees in various areas of study: Associate of Arts, Associate of Science, Associate of Liberal Studies, and Associate of Applied Science. In addition, the College also offers Technical Certificate and Certificate of Proficiency programs, secondary and post-secondary occupational education, Adult Education, and Community Service/Continuing Education/Workforce Development.

INSTITUTIONAL ACCREDITATIONS

NPCC is accredited by The Higher Learning Commission of the North Central Association of Colleges and Schools. Both of the Nursing Programs A.S. (RN) and the PN (LPN) Certificate are approved by the Arkansas State Board of Nursing. The Associate degree program is also accredited by the National League for Nursing Accrediting Commission (NLNAC). The HIT Associate of Applied Science program is accredited by the Commission on Accreditation for Health Informatics and Information Management Education (CAHIIM). The Medical Coding Program, a Technical Certificate, is approved by the American Health Information Management Association (AHIMA). The Medical Laboratory Technology Program is accredited by the National Accrediting Agency for Clinical Laboratory Sciences. The Radiography Program is accredited by the Joint Review Committee on Education in Radiologic Technology. National Park Community College is nationally

NATIONAL PARK COMMUNITY COLLEGE
Janis Sawyer, Vice President for Financial Affairs

accredited by the Council on Occupational Education (COE), for the offering of its business programs that culminate in the Associate of Arts, Associate of Science, and Associate of Applied Sciences Degrees. The EMS Program is accredited by the Arkansas Department of Health and the Committee on Accreditation of Education Programs for the EMS Professions (CoAEMSOP). The Technical and Professional programs are accredited by the Council on Occupational Education. The Automotive program is also accredited by the National Automotive Technicians Education Foundation, and the Residential Carpentry program is approved by the Associated General Contractors of America.

NEW AND DELETED PROGRAMS; REALLOCATION OF FUNDS

New Approved Programs

- Certificate of Proficiency in A+
- Certificate of Proficiency in Homeland Security and Emergency Management
- Technical Certificate in Pharmacy Technology
- AAS degree in Pharmacy Technology

Deleted Programs

- Technical Certificate in Medical Transcription
- Reallocated Funds**

Due to continued cut-backs in state funding, any possibilities of reallocation of funds were taken as cost savings. Thus reallocations could not occur.

ALLOCATION OF NEW FUNDS

National Park Community College made no request for additional positions.

National Park Community College did not request additional cash appropriation.

National Park Community College is a formula driven entity and did not make a request for general revenue. Any increase in general revenue funding is a result of the recommendation made by the Arkansas Higher Education Coordinating Board. New funds will be allocated for hiring new faculty, possible cost of living raises, and anticipated utility increases.

NATIONAL PARK COMMUNITY COLLEGE
Janis Sawyer, Vice President for Financial Affairs

CONCLUSION

National Park Community College continues to provide postsecondary educational opportunities through quality learning experiences that contribute to the intellectual and cultural development of its students. The College continues to strengthen institutional quality through excellence in instruction. It provides quality lifelong learning through diverse and innovative comprehensive educational programs. All are encouraged to commit to the challenges that lay ahead as NPCC continues to maximize its resources, striving for excellence, with a commitment to learning.

**INSTITUTION APPROPRIATION SUMMARY
2013-15 BIENNIUM**

INSTITUTION NATIONAL PARK COMMUNITY COLLEGE

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2011-2012		2012-13		2012-13		2013-14				2014-15			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	10,732,212		10,632,339		11,356,429		11,928,143		11,928,143		12,202,490		12,202,490	
2 CASH	12,973,571		33,900,000		33,900,000		33,900,000		33,900,000		33,900,000		33,900,000	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$23,705,783	345	\$44,532,339	343	\$45,256,429	390	\$45,828,143	390	\$45,828,143	390	\$46,102,490	390	\$46,102,490	390
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
13 GENERAL REVENUE	9,025,371	38%	8,943,803	20%			10,239,607	22%	10,239,607	22%	10,513,954	23%	10,513,954	23%
14 EDUCATIONAL EXCELLENCE TRUST FUND	1,081,932	5%	1,104,316	2%			1,104,316	2%	1,104,316	2%	1,104,316	2%	1,104,316	2%
15 WORKFORCE 2000	624,909	3%	584,220	1%			584,220	1%	584,220	1%	584,220	1%	584,220	1%
16 CASH FUNDS	10,626,738	45%	20,028,000	45%			20,028,000	44%	20,028,000	44%	20,028,000	43%	20,028,000	43%
17 SPECIAL REVENUES		0%		0%				0%	0	0%		0%	0	0%
18 FEDERAL FUNDS	2,346,833	10%	13,872,000	31%			13,872,000	30%	13,872,000	30%	13,872,000	30%	13,872,000	30%
19 TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
20 OTHER FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
21 TOTAL INCOME	\$23,705,783	100%	\$44,532,339	100%			\$45,828,143	100%	\$45,828,143	100%	\$46,102,490	100%	\$46,102,490	100%
22 EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0		\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2012:	\$2,138,517
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$606,910
INVENTORIES	\$45,766
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$25,000
MAJOR CRITICAL SYSTEMS FAILURES	\$100,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$1,360,841
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$0

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2013-15 BIENNIUM**

FUND CWG0000

INSTITUTION NATIONAL PARK COMMUNITY COLLEGE

APPROPRIATION 302

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES	7,663,504	7,980,540	8,704,630	8,876,968	9,151,315		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	2,108,000	1,900,000	1,900,000	2,100,000	2,100,000		
5 OPERATING EXPENSES	934,533	725,624	725,624	925,000	925,000		
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES							
8 CAPITAL OUTLAY							
9 FUNDED DEPRECIATION	26,175	26,175	26,175	26,175	26,175		
10							
11							
12							
13 TOTAL APPROPRIATION	\$10,732,212	\$10,632,339	\$11,356,429	\$11,928,143	\$12,202,490	\$0	\$0
14 PRIOR YEAR FUND BALANCE**							
15 GENERAL REVENUE	9,025,371	8,943,803		10,239,607	10,513,954		
16 EDUCATIONAL EXCELLENCE TRUST FUND	1,081,932	1,104,316		1,104,316	1,104,316		
17 SPECIAL REVENUES * [WF2000]	624,909	584,220		584,220	584,220		
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS							
21 TOTAL INCOME	\$10,732,212	\$10,632,339		\$11,928,143	\$12,202,490	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS
2013-15 BIENNIUM**

FUND 2120000

INSTITUTION NATIONAL PARK COMMUNITY COLLEGE

APPROPRIATION A72

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES	3,987,220	9,826,240	9,826,240	9,626,240	9,626,240		
2 EXTRA HELP WAGES	806,057	1,150,000	1,150,000	1,150,000	1,150,000		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING*	295,670	1,310,904	1,310,904	1,310,904	1,310,904		
5 OPERATING EXPENSES	5,626,072	6,902,856	6,902,856	6,902,856	6,902,856		
6 CONFERENCE FEES & TRAVEL	149,949	150,000	150,000	300,000	300,000		
7 PROFESSIONAL FEES AND SERVICES	780,595	2,350,000	2,350,000	2,200,000	2,200,000		
8 CAPITAL OUTLAY	433,239	500,000	500,000	500,000	500,000		
9 CAPITAL IMPROVEMENTS		10,000,000	10,000,000	10,000,000	10,000,000		
10 DEBT SERVICE	893,240	700,000	700,000	900,000	900,000		
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS		1,000,000	1,000,000	1,000,000	1,000,000		
12 PROMOTIONAL ITEMS	1,529	10,000	10,000	10,000	10,000		
13							
14							
15							
16 TOTAL APPROPRIATION	\$12,973,571	\$33,900,000	\$33,900,000	\$33,900,000	\$33,900,000	\$0	\$0
17 PRIOR YEAR FUND BALANCE***							
18 TUITION AND MANDATORY FEES	7,879,446	8,842,430		9,726,673	9,726,673		
19 ALL OTHER FEES	17,280	51,840		51,840	51,840		
20 SALES AND SERVICES RELATED TO	2,701,629	3,014,000		3,315,400	3,315,400		
21 INVESTMENT INCOME	28,383	28,371		28,371	28,371		
22 FEDERAL CASH FUNDS	2,346,833	13,872,000		13,872,000	13,872,000		
23 OTHER CASH FUNDS	0	8,091,359		6,905,716	6,905,716		
24 TOTAL INCOME	\$12,973,571	\$33,900,000		\$33,900,000	\$33,900,000	\$0	\$0
25 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED 2012-13	REQUEST	RECOMMEND	LEGISLATIVE RECOMMENDATION	
				2013-14	2013-14	2013-14	2014-15
REGULAR POSITIONS	345	343	390	390	390		
TOBACCO POSITIONS							
EXTRA HELP **	249	249	402	402	402		

FORM 13-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

NATIONAL PARK COMMUNITY COLLEGE
(NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2011-12				BUDGETED 2012-13			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *				0				0
2 HOUSING				0				0
3 FOOD SERVICES				0				0
4 STUDENT UNION	14,767	7,515		7,252	12,000	8,400		3,600
5 BOOKSTORE	2,686,862	2,257,700		429,162	3,014,000	3,014,000		0
6 STUDENT ORGANIZATIONS AND PUBLICATIONS	41,400	44,438		(3,038)	41,400	3,600		37,800
7 OTHER				0				0
8 SUBTOTAL	2,743,029	2,309,653	0	433,376	3,067,400	3,026,000	0	41,400
9 ATHLETIC TRANSFER **				0				0
10 OTHER TRANSFERS ***	(41,400)			(41,400)	(41,400)			(41,400)
11 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	2,701,629	2,309,653	0	391,976	3,026,000	3,026,000	0	0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 13-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line Item 10 Other Transfers - Transferred in from Current E&G Fund for student activities and other auxiliary enterprises.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

NATIONAL PARK COMMUNITY COLLEGE
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2011-12:						333
(As of November 1, 2011)						
Nonclassified Administrative Employees:						
White Male:	11	Black Male:	1	Other Male:	1	Total Male: 13
White Female:	21	Black Female:	0	Other Female:	0	Total Female: 21
Nonclassified Health Care Employees:						
White Male:	0	Black Male:	0	Other Male:	0	Total Male: 0
White Female:	1	Black Female:	0	Other Female:	0	Total Female: 1
Classified Employees:						
White Male:	24	Black Male:	3	Other Male:	1	Total Male: 28
White Female:	48	Black Female:	4	Other Female:	4	Total Female: 56
Faculty:						
White Male:	77	Black Male:	1	Other Male:	1	Total Male: 79
White Female:	126	Black Female:	4	Other Female:	5	Total Female: 135
Total White Male:	112	Total Black Male:	5	Total Other Male:	3	Total Male: 220
Total White Female:	196	Total Black Female:	8	Total Other Female:	9	Total Female: 113
Total White:	308	Total Black:	13	Total Other:	12	Total Employees: 333
				Total Minority:	25	

FORM 13-6

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$25,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2012
 Required by A.C.A. 25-36-104

Institution

NATIONAL PARK COMMUNITY COLLEGE

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
N/A							

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$222,384
 (Total Expenditures equals ALL Contracts Exceeding \$25,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

**DIVISION OF LEGISLATIVE AUDIT
AUDIT OF NATIONAL PARK COMMUNITY COLLEGE
June 30, 2011**

<i>Finding:</i>	No Findings noted
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NORTH ARKANSAS COLLEGE

Dr. Jackie Elliott, President

ENABLING LAWS

A.C.A. §6-61-501 and Act 189 of 2012

INSTITUTION HISTORY AND ORGANIZATION

North Arkansas College is a comprehensive, public two-year college that offers transfer and technical degree programs, one-year technical certificates, certificates of proficiency, customized business and industry training, adult basic education (GED) classes and non-credit community education courses. The college's main service area includes the counties of Boone, Carroll, Marion, Searcy, Newton, and Madison.

Authorized by Arkansas code 6-61-501, the college started in 1974 as North Arkansas College Community with Boone Country as the community college tax district. In 1993, the college merged with Twin Lakes Technical College and became the state's first community college-technical college union and adopted the name North Arkansas Community/Technical College. In 1997, the college was renamed North Arkansas College.

The mission of North Arkansas College is to provide high quality, affordable, convenient opportunities for learning and community enrichment. The college's vision is to be a premier institution, achieving excellence through innovation, technology, continuous improvement and quality instruction.

North Arkansas College is accredited by The Higher Learner Commission and is a member of the North Central Association of Colleges and Schools. The college was the first institution in the state to be accredited as an AQIP institution, the Academic Quality Improvement Program which is an alternate means of accreditation now offered by the Higher Learning Commission. AQIP allows participating institutions to meet accreditation standards by developing and using processes which lead to continuation improvement.

In 2012 Northark became the first college in Arkansas to be accepted into the Continuous Quality Improvement Network (CQIN). The membership organization affords CEO's of colleges and universities as well as corporations associated with higher education significant networking and learning opportunities to foster continuous improvement and achievement of performance excellence.

NORTH ARKANSAS COLLEGE
Dr. Jackie Elliott, President

The college is an acknowledged leader in forming community partnerships and providing health education in northern Arkansas. In 1996, the college partnered with North Arkansas Regional Medical Center to create the North Arkansas Partnership for Health Education (NAPHE), an organization dedicated to professional and community health education. NAPHE has been recognized by the U.S. Department of Education and the U.S. Department of Agriculture and is a model for partnership programs across the nation.

North Arkansas College is not requesting any additional personnel positions. The requested funding amounts are from the Arkansas two-year college funding model.

**INSTITUTION APPROPRIATION SUMMARY
2013-15 BIENNIUM**

INSTITUTION NORTH ARKANSAS COLLEGE

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2011-2012		2012-13		2012-13		2013-14				2014-15			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	8,985,336		8,906,578		9,378,948		9,088,591		9,088,591		9,297,628		9,297,628	
2 CASH	17,652,033		43,120,000		43,120,000		47,185,000		47,185,000		49,385,000		49,385,000	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$26,637,369	369	\$52,026,578	372	\$52,498,948	407	\$56,273,591	407	\$56,273,591	407	\$58,682,628	407	\$58,682,628	407
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*	0	0%	1,399	0%			0	0%	0	0%	0	0%	0	0%
13 GENERAL REVENUE	8,021,452	30%	7,966,091	15%			8,149,503	14%	8,149,503	14%	8,358,540	14%	8,358,540	14%
14 EDUCATIONAL EXCELLENCE TRUST FUND	427,226	2%	436,065	1%			436,065	1%	436,065	1%	436,065	1%	436,065	1%
15 WORKFORCE 2000	538,057	2%	503,023	1%			503,023	1%	503,023	1%	503,023	1%	503,023	1%
16 CASH FUNDS	9,748,558	37%	34,620,000	67%			38,555,000	69%	38,555,000	69%	40,660,000	69%	40,660,000	69%
17 SPECIAL REVENUES		0%		0%				0%	0	0%		0%	0	0%
18 FEDERAL FUNDS	7,903,475	30%	8,500,000	16%			8,630,000	15%	8,630,000	15%	8,725,000	15%	8,725,000	15%
19 TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
20 OTHER FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
21 TOTAL INCOME	\$26,638,768	100%	\$52,026,578	100%			\$56,273,591	100%	\$56,273,591	100%	\$58,682,628	100%	\$58,682,628	100%
22 EXCESS (FUNDING)/APPROPRIATION	-\$1,399		\$0				\$0		\$0		\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2012:	\$1,730,990
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$258,072
INVENTORIES	\$7,500
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$15,000
MAJOR CRITICAL SYSTEMS FAILURES	\$500,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$1,811,000
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$20,000
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	(\$880,582)

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2013-15 BIENNIUM**

FUND CWN0000

INSTITUTION NORTH ARKANSAS COLLEGE

APPROPRIATION 291

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES	6,595,102	6,601,399	6,700,000	6,700,000	6,700,000		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	1,205,361	1,159,179	1,300,000	1,250,000	1,300,000		
5 OPERATING EXPENSES	1,184,873	1,146,000	1,378,648	1,138,291	1,297,328		
6 CONFERENCE FEES & TRAVEL			100	100	100		
7 PROFESSIONAL FEES AND SERVICES			100	100	100		
8 CAPITAL OUTLAY			100	100	100		
9 FUNDED DEPRECIATION							
10							
11							
12							
13 TOTAL APPROPRIATION	\$8,985,336	\$8,906,578	\$9,378,948	\$9,088,591	\$9,297,628	\$0	\$0
14 PRIOR YEAR FUND BALANCE**		1,399					
15 GENERAL REVENUE	8,021,452	7,966,091		8,149,503	8,358,540		
16 EDUCATIONAL EXCELLENCE TRUST FUND	427,226	436,065		436,065	436,065		
17 SPECIAL REVENUES * [WF2000]	538,057	503,023		503,023	503,023		
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS							
21 TOTAL INCOME	\$8,986,735	\$8,906,578		9,088,591	\$9,297,628	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	-\$1,399	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM 13-3

**APPROPRIATION ACT FORM - CASH FUNDS
2013-15 BIENNIUM**

FUND 2140000

INSTITUTION NORTH ARKANSAS COLLEGE

APPROPRIATION A62

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES	1,620,635	5,200,000	5,200,000	5,200,000	5,350,000		
2 EXTRA HELP WAGES	676,350	725,000	725,000	750,000	750,000		
3 OVERTIME		10,000	10,000	10,000	10,000		
4 PERSONAL SERVICES MATCHING	1,661,755	4,200,000	4,200,000	2,500,000	2,500,000		
5 OPERATING EXPENSES	3,791,068	5,300,000	5,300,000	6,000,000	7,000,000		
6 CONFERENCE FEES & TRAVEL	208,470	375,000	375,000	375,000	375,000		
7 PROFESSIONAL FEES AND SERVICES	222,052	300,000	300,000	300,000	350,000		
8 CAPITAL OUTLAY	472,959	2,000,000	2,000,000	2,000,000	2,000,000		
9 CAPITAL IMPROVEMENTS		12,000,000	12,000,000	12,000,000	12,000,000		
10 DEBT SERVICE		1,000,000	1,000,000	1,000,000	1,000,000		
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS	8,998,744	12,000,000	12,000,000	17,000,000	18,000,000		
12 PROMOTIONAL ITEMS		10,000	10,000	50,000	50,000		
13							
14							
15							
16 TOTAL APPROPRIATION	\$17,652,033	\$43,120,000	\$43,120,000	\$47,185,000	\$49,385,000	\$0	\$0
17 PRIOR YEAR FUND BALANCE***							
18 TUITION AND MANDATORY FEES	4,257,531	4,760,709		4,800,000	5,000,000		
19 ALL OTHER FEES	81,765	83,000		85,000	85,000		
20 SALES AND SERVICES RELATED TO	1,816,274	1,973,713		2,000,000	2,000,000		
21 INVESTMENT INCOME	35,203	35,000		40,000	45,000		
22 FEDERAL CASH FUNDS	7,903,475	8,500,000		8,630,000	8,725,000		
23 OTHER CASH FUNDS	3,557,785	27,767,578		31,630,000	33,530,000		
24 TOTAL INCOME	\$17,652,033	\$43,120,000		\$47,185,000	\$49,385,000	\$0	\$0
25 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED 2012-13	REQUEST		LEGISLATIVE RECOMMENDATION	
				2013-14	2013-14	2013-14	2014-15
REGULAR POSITIONS	369	372	407	407	407		
TOBACCO POSITIONS							
EXTRA HELP **	500	500	500	500	500		

FORM 13-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

NORTH ARKANSAS COLLEGE
 (NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2011-12				BUDGETED 2012-13			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *	10,750	316,271		(305,521)	3,500	316,251		(312,751)
2 HOUSING				0				0
3 FOOD SERVICES				0				0
4 STUDENT UNION				0				0
5 BOOKSTORE	1,798,835	1,522,405		276,430	1,956,713	1,739,456		217,257
6 STUDENT ORGANIZATIONS AND PUBLICATIONS		7,971		(7,971)		12,225		(12,225)
7 OTHER	37,768	33,543		4,225	40,000	40,896		(896)
8 SUBTOTAL	1,847,353	1,880,190	0	(32,837)	2,000,213	2,108,828	0	(108,615)
9 ATHLETIC TRANSFER **	130,851			130,851	126,000			126,000
10 OTHER TRANSFERS ***	26,176			26,176	31,000			31,000
11 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	2,004,380	1,880,190	0	124,190	2,157,213	2,108,828	0	48,385

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 13-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line Item 7 Other - Transfer includes Vending, Gym Rental, Summer Camps, Tournaments, Student Activities and Intramurals

NOTE: Line Item 10 Other Transfers - Transfer includes General Activities

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

NORTH ARKANSAS COLLEGE
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2011-12:					<u>362</u>
(As of November 1, 2011)					
Nonclassified Administrative Employees:					
White Male:	<u>14</u>	Black Male:	_____	Other Male:	_____
White Female:	<u>38</u>	Black Female:	_____	Other Female:	<u>1</u>
Total		Total		Total	<u>14</u>
Total		Total		Total	<u>39</u>
Nonclassified Health Care Employees:					
White Male:	_____	Black Male:	_____	Other Male:	_____
White Female:	_____	Black Female:	_____	Other Female:	_____
Total		Total		Total	<u>0</u>
Total		Total		Total	<u>0</u>
Classified Employees:					
White Male:	<u>48</u>	Black Male:	_____	Other Male:	<u>1</u>
White Female:	<u>70</u>	Black Female:	_____	Other Female:	<u>2</u>
Total		Total		Total	<u>49</u>
Total		Total		Total	<u>72</u>
Faculty:					
White Male:	<u>70</u>	Black Male:	_____	Other Male:	<u>2</u>
White Female:	<u>112</u>	Black Female:	_____	Other Female:	<u>4</u>
Total		Total		Total	<u>72</u>
Total		Total		Total	<u>116</u>
Total White Male:	<u>132</u>	Total Black Male:	<u>0</u>	Total Other Male:	<u>3</u>
Total White Female:	<u>220</u>	Total Black Female:	<u>0</u>	Total Other Female:	<u>7</u>
Total		Total		Total	<u>135</u>
Total		Total		Total	<u>227</u>
Total White:	<u>352</u>	Total Black:	<u>0</u>	Total Other:	<u>10</u>
				Total Minority:	<u>10</u>
Total		Total		Total	<u>362</u>
Total		Total		Total	<u>362</u>

FORM 13-6

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$25,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2012
 Required by A.C.A. 25-36-104

Institution

NORTH ARKANSAS COLLEGE

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
N/A							
	\$0						

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$753,857
 (Total Expenditures equals ALL Contracts Exceeding \$25,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED \$0

**DIVISION OF LEGISLATIVE AUDIT
 AUDIT OF NORTH ARKANSAS COLLEGE
 June 30, 2011**

<p><i>Finding No. 1:</i></p>	<p>Network security should be utilized and kept current to prevent unauthorized personnel from gaining access to network/network resources. Review of network revealed potential vulnerabilities that if exploited could lead to unauthorized access of network resources. The potential exists for unauthorized usage of computing assets through exploiting vulnerabilities.</p> <p>Recommendation: All security parameters should be reviewed and configured to alleviate the risk of unauthorized use of network/network resources.</p>
<p><i>Institution's Response</i></p>	<p>Network security is an ever-moving target in that new vulnerabilities occur on a regular basis. However, there are steps that the IT Services (ITS) department have taken and will be taking to reduce the likelihood of unauthorized personnel from accessing network resources. These steps are as follows:</p> <ul style="list-style-type: none"> A. Replace edge security devices. We are in the process of replacing our existing firewall, router and load balancing system with current technology. One of the findings in the audit was a vulnerability in the operating system within our load balancing system, so ITS is completely replacing this device with current equipment that has been patched to the latest revisions. Also, by replacing the primary firewall, ITS is reviewing the processes for firewall change management and has implemented a change log for future modifications. B. Another potential vulnerability that was identified was the use of the protocol, telnet. ITS has already started the process to replace that with a more secure communication protocol. ITS plans to have this fully implemented during the summer of 2012. C. Another potential vulnerability exists with the use of the RDP, or Remote Desktop Protocol. While it will be more difficult to eliminate that protocol, ITS has found a way to make those connections more secure and plan to have that implemented during the summer of 2012.

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF NORTH ARKANSAS COLLEGE
June 30, 2011

<p><i>Finding No. 2:</i></p>	<p>Adequate data input edit checks with defined format and range rules should be established for all appropriate input fields. During random testing of data input fields, a lack of proper input edit checks was discovered. Lack of proper data input edit checks could result in erroneous data being input into the system.</p> <p>Recommendation: The Entity work with the application's vendor to have the proper data input edit checks installed for each appropriate input field.</p>
<p><i>Institution's Response</i></p>	<p>Northark Computer Services (CS) and the software vendor ESP are currently working to remedy the findings associated with data input and edit checks. Both parties are currently reviewing the files and fields associated with data input and making some revisions to these files. The fields within these files are being redefined to only accept valid data and proper range of data. If an edit list is not already in place for the user to verify newly entered data, an edit will be created.</p>
<p><i>Finding No. 3:</i></p>	<p>Application security access should be restricted to what is required to perform job functions. Some users and the Vendor were identified that had excessive access to the application. Failure to properly assign access permission increases the risk of accidental or intentional unauthorized transactions or data manipulation.</p> <p>Recommendation: The Security Administrator should review and revise security accounts to restrict access to only what is necessary for users to perform their job functions and that vendor access be granted on an as needed basis and included logging of events when feasible.</p>
<p><i>Institution's Response</i></p>	<p>Application security access has been addressed and action taken to resolve any system access that may be excessive for each user. This is an ongoing project and will be monitored closely. Also, the software vendors have been contacted and advised that unnecessary user accounts will be removed and restricted accounts will only be established on an "as needed" basis.</p>

NORTHWEST ARKANSAS COMMUNITY COLLEGE

Dr. Becky Paneitz, Chief Executive Officer and President

ENABLING LAWS

Act 252 of 1989, A.C.A. § 6-61-529 and Act 232 of 2012

INSTITUTION HISTORY AND ORGANIZATION

The NorthWest Arkansas Community College (NWACC) tax district was authorized pursuant to Act 252 of the First Special Session of 1989 on August 15, 1989. Voters in the Rogers and Bentonville public school districts passed a 3-mil property tax. Its first classes were attended by more than 1,200 students in the fall of 1990 at high schools, chambers of commerce, municipal and leased facilities throughout the district.

Day, evening, and weekend classes are offered at NWACC's main campus in Bentonville at other locations in Bella Vista, Rogers, Springdale, Farmington and online. The College opened its fifth major facility on campus in January 2013, a three-story, 81,000 Health Professions Building that will allow NWACC to expand its Associates Degree Nursing (A.D.N. RN) program, and other allied health programs.

NWACC served 18,216 individual learners in Academic Year 2011. This included 12,190 unduplicated college credit students, 1,862 students in workforce development courses, 3,428 learners in Adult Education classes, 496 students in personal enrichment studies, and another 240 learners in secondary education programs.

NWACC's ADHE assigned service area is Benton and Washington counties, which have a combined 2011 estimated population of 435,077 residents. In serving this dynamic region of Arkansas, NWACC recognizes the importance of providing varied and abundant opportunities for learning. NWACC is a comprehensive, learning-centered two-year college dedicated primarily to meeting the educational needs of that defined service area. NWACC offers a comprehensive education program including, but without limitation, technical occupational programs, freshman and sophomore programs for students to transfer to four-year colleges, specialty courses, professional continuing education courses, community enrichment classes, and business and industry training to meet the needs of the emerging and existing workforce.

NWACC serves as the first of only four regional partners of the National Child Protection Training Center, which is dedicated to eradicating child abuse in the United States within three generations. The center serves current and future

NORTHWEST ARKANSAS COMMUNITY COLLEGE
Dr. Becky Paneitz, Chief Executive Officer and President

child protection professionals in a 16-state region, teaching all mandated reporters, teachers, social workers, counselors, law enforcement officers, first responders, health professionals, attorneys, and members of judicial and childcare systems to “Recognize, Report, and Respond” to child abuse and maltreatment. NWACC has already implemented courses and curriculum for students on its home campus, throughout Arkansas and other states.

In accomplishing its mission and goals, NWACC employees value academic, professional and personal integrity; they facilitate continuous learning for students and stakeholders; they value human resources over physical resources; they develop the potential of the individual to achieve excellence, anticipate and respond to needs by encouraging innovative ideas and technologies, respect differences and view them as a strength, and advance knowledge through individual and team challenges.

NWACC is accredited by The Higher Learning Commission of the North Central Association of Schools and Colleges (312-263-0456). NWACC participates in The Higher Learning Commission’s Academic Quality Improvement Program, a process for re-accreditation based on the principles and benefits of continuous improvement into the culture of the college.

NWACC has been one of the fastest growing higher education institutions in Arkansas. Student semester credit hours grew 57% from 74,371 in academic year 2002 to 173,282 in AY 2011. Because of flat state support, the College has diversified its funding base. The base consists of property taxes, state and federal aid, student tuition and fees, and other institutionally generated revenues. Total operating revenues have increased 36% since FY2008 to \$39,420,006 projected in FY12. NWACC allocates 27% of revenues to instruction, 4% to instructional administration, 12% to administration, 15% to classified, 17% to benefits, 19% to maintenance and general operations, and 7% to a Board of Trustees reserve and contingency fund.

NWACC is governed by a 9 member Board of Trustees that effective November 2012 are now elected by voting zones within the two public school districts as required by A.C.A. § 6-61-529. The Board of Trustees has established the following five goals and objectives based on the College’s constituent communities.

NORTHWEST ARKANSAS COMMUNITY COLLEGE
Dr. Becky Paneitz, Chief Executive Officer and President

1. For the learner community we will:

Provide expanded access to high-quality educational opportunities for the population in general, especially for under-served learners in all age groups who are disconnected by choice or circumstance.

2. For the business community we will:

Enhance partnerships and collaborations with business and industry.

3. For the owner community (taxpayers) we will:

Enhance its capacity to diversify NWACC's resource base and function as a catalyst for comprehensive regional planning and development.

4. For the pre-kindergarten through grade 16 community we will:

Provide support and leadership for partnerships with area educational institutions to provide learners with seamless opportunities preparing them for college and careers.

5. For the college community we will:

Align the organizational infrastructure through continuous quality improvement and commitment to the principles of the Learning College.

NWACC's FY13 personal services and operating expenses are covered in Act 232 of the 2012 Fiscal Session.

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**INSTITUTION APPROPRIATION SUMMARY
2013-15 BIENNIUM**

INSTITUTION **NORTHWEST ARKANSAS COMMUNITY COLLEGE**

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2011-2012		2012-13		2012-13		2013-14				2014-15			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	11,381,528		11,060,494		16,561,069		17,010,046		17,010,046		17,401,277		17,401,277	
2 CASH	29,256,443		198,125,000		198,125,000		201,785,000		201,785,000		202,510,000		202,510,000	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$40,637,971	823	\$209,185,494	1,021	\$214,686,069	1,034	\$218,795,046	1,089	\$218,795,046	1,061	\$219,911,277	1,089	\$219,911,277	1,061
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*	1,478,280	4%	0	0%			0	0%	0	0%	0	0%	0	0%
13 GENERAL REVENUE	10,079,226	25%	10,084,563	5%			16,034,115	7%	16,034,115	7%	16,425,346	7%	16,425,346	7%
14 EDUCATIONAL EXCELLENCE TRUST FUND	956,148.84	2%	975,931	0%			975,931	0%	975,931	0%	975,931	0%	975,931	0%
15 WORKFORCE 2000	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
16 CASH FUNDS	24,616,485	61%	96,125,000	46%			126,285,000	58%	126,285,000	58%	126,510,000	58%	126,510,000	58%
17 SPECIAL REVENUES	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
18 FEDERAL FUNDS	3,507,830	9%	102,000,000	49%			75,500,000	35%	75,500,000	35%	76,000,000	35%	76,000,000	35%
19 TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
20 OTHER FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
21 TOTAL INCOME	\$40,637,971	100%	\$209,185,494	100%			\$218,795,046	100%	\$218,795,046	100%	\$219,911,277	100%	\$219,911,277	100%
22 EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0		\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2012:	\$6,891,906
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$1,263,578
INVENTORIES	\$18,791
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	
MAJOR CRITICAL SYSTEMS FAILURES	
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$5,849,668
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	(\$240,131)

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2013-15 BIENNIUM**

FUND CWA0000

INSTITUTION NORTHWEST ARKANSAS COMMUNITY COLLEGE

APPROPRIATION 313

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES	11,381,528	11,060,494	16,561,069	17,010,046	17,401,277		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING							
5 OPERATING EXPENSES							
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES							
8 CAPITAL OUTLAY							
9 FUNDED DEPRECIATION							
10							
11							
12							
13 TOTAL APPROPRIATION	\$11,381,528	\$11,060,494	\$16,561,069	\$17,010,046	\$17,401,277	\$0	\$0
14 PRIOR YEAR FUND BALANCE**	346,153						
15 GENERAL REVENUE	10,079,226	10,084,563		16,034,115	16,425,346		
16 EDUCATIONAL EXCELLENCE TRUST FUND	956,149	975,931		975,931	975,931		
17 SPECIAL REVENUES * [WF2000]							
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS							
21 TOTAL INCOME	\$11,381,528	\$11,060,494		\$17,010,046	\$17,401,277	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the FY2011 reimbursement that was received in FY2012.

**APPROPRIATION ACT FORM - CASH FUNDS
2013-15 BIENNIUM**

FUND 2200000 INSTITUTION NORTHWEST ARKANSAS COMMUNITY COLLEGE APPROPRIATION B17

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES	11,700,526	47,000,000	47,000,000	47,250,000	47,500,000		
2 EXTRA HELP WAGES	1,012,681	2,500,000	2,500,000	2,600,000	2,600,000		
3 OVERTIME		475,000	475,000	475,000	475,000		
4 PERSONAL SERVICES MATCHING	7,323,075	22,000,000	22,000,000	22,150,000	22,300,000		
5 OPERATING EXPENSES	8,385,216	72,000,000	72,000,000	72,100,000	72,200,000		
6 CONFERENCE FEES & TRAVEL	432,269	1,500,000	1,500,000	1,500,000	1,550,000		
7 PROFESSIONAL FEES AND SERVICES	134,485	4,000,000	4,000,000	4,000,000	4,150,000		
8 CAPITAL OUTLAY	254,496	4,200,000	4,200,000	4,200,000	4,200,000		
9 CAPITAL IMPROVEMENTS		41,000,000	41,000,000	41,000,000	41,000,000		
10 DEBT SERVICE		3,450,000	3,450,000	6,510,000	6,535,000		
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS							
12 PROMOTIONAL ITEMS	13,695						
13							
14							
15							
16 TOTAL APPROPRIATION	\$29,256,443	\$198,125,000	\$198,125,000	\$201,785,000	\$202,510,000	\$0	\$0
17 PRIOR YEAR FUND BALANCE***	1,132,127						
18 TUITION AND MANDATORY FEES	16,339,963	17,137,595		51,400,000	51,800,000		
19 ALL OTHER FEES	2,918,021	4,185,328		12,050,000	12,150,000		
20 SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS							
21 INVESTMENT INCOME	7,912	30,000		40,000	45,000		
22 FEDERAL CASH FUNDS	3,507,830	102,000,000		75,500,000	76,000,000		
23 OTHER CASH FUNDS	5,350,590	74,772,077		62,795,000	62,515,000		
24 TOTAL INCOME	\$29,256,443	\$198,125,000		\$201,785,000	\$202,510,000	\$0	\$0
25 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED 2012-13	REQUEST	RECOMMEND	LEGISLATIVE RECOMMENDATION	
				2013-14	2013-14	2013-14	2014-15
REGULAR POSITIONS	823	1,021	1,034	1,089	1,061		
TOBACCO POSITIONS							
EXTRA HELP **	182	360	360	360	360		

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM 13-4

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

NORTHWEST ARKANSAS COMMUNITY COLLEGE
(NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2011-12				BUDGETED 2012-13			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *				0				0
2 HOUSING				0				0
3 FOOD SERVICES	93,802	293,746		(199,944)	164,000	262,500		(98,500)
4 STUDENT UNION				0				0
5 BOOKSTORE	354,469	49,354		305,115	300,000	300,000		0
6 STUDENT ORGANIZATIONS AND PUBLICATIONS				0				
7 OTHER	114,779	1,204		113,574	109,400	1,500		107,900
8 SUBTOTAL	563,050	344,304	0	218,746	573,400	564,000	0	9,400
9 ATHLETIC TRANSFER **				0				0
10 OTHER TRANSFERS ***	(1,838)			(1,838)				0
11 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	561,212	344,304	0	216,908	573,400	564,000	0	9,400

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 13-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 7 Other - Transfers include Teller Machine, Vending Machine and Campus Parking

NOTE: Line 10 Other Transfers - \$1,838 transferred to college's educational and general revenue funds to correct the accounting posting.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

NORTHWEST ARKANSAS COMMUNITY COLLEGE
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2011-12:					<u>827</u>
(As of November 1, 2011)					
Nonclassified Administrative Employees:					
White Male:	<u>38</u>	Black Male:	<u>3</u>	Other Male:	<u>2</u>
White Female:	<u>54</u>	Black Female:	<u>3</u>	Other Female:	<u>5</u>
Total		Total		Total	<u>43</u>
				Total	<u>Female: 62</u>
Nonclassified Health Care Employees:					
White Male:	<u> </u>	Black Male:	<u> </u>	Other Male:	<u> </u>
White Female:	<u> </u>	Black Female:	<u> </u>	Other Female:	<u> </u>
Total		Total		Total	<u>0</u>
				Total	<u>Female: 0</u>
Classified Employees:					
White Male:	<u>43</u>	Black Male:	<u>0</u>	Other Male:	<u>10</u>
White Female:	<u>107</u>	Black Female:	<u>2</u>	Other Female:	<u>17</u>
Total		Total		Total	<u>53</u>
				Total	<u>Female: 126</u>
Faculty:					
White Male:	<u>224</u>	Black Male:	<u>4</u>	Other Male:	<u>16</u>
White Female:	<u>272</u>	Black Female:	<u>14</u>	Other Female:	<u>13</u>
Total		Total		Total	<u>244</u>
				Total	<u>Female: 299</u>
Total White Male:	<u>305</u>	Total Black Male:	<u>7</u>	Total Other Male:	<u>28</u>
Total White Female:	<u>433</u>	Total Black Female:	<u>19</u>	Total Other Female:	<u>35</u>
Total		Total		Total	<u>340</u>
				Total	<u>Female: 487</u>
Total White:	<u>738</u>	Total Black:	<u>26</u>	Total Other:	<u>63</u>
				Total Minority:	<u>89</u>
				Total	<u>Employees: 827</u>

FORM 13-6

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$25,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2012
 Required by A.C.A. 25-36-104

Institution

NORTHWEST ARKANSAS COMMUNITY COLLEGE

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
N/A							

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$622,753
 (Total Expenditures equals ALL Contracts Exceeding \$25,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

**DIVISION OF LEGISLATIVE AUDIT
 AUDIT OF NORTHWEST ARKANSAS COMMUNITY COLLEGE
 June 30, 2011**

<p><i>Finding No. 1:</i></p>	<p>In March and April 2011, the College made duplicate payments to the Internal Revenue Service (IRS) totaling \$47,398. Subsequently, the College was assessed a late filing penalty of \$26,460 for not making timely tax deposits for the February and March, 2011 payrolls. As a result of the above transactions, the College received a net refund of \$20,938 on June 15, 2011 from the IRS.</p> <p>In addition, on July 7, 2011, the College paid a late filing penalty of \$2,375 assessed by the Arkansas Department of Finance and Administration for not making timely tax deposits for the September 2010 payrolls.</p>
<p><i>Institution's Response</i></p>	<p>The College is continuing to review the internal controls previously in place and is currently making revisions to prevent future overpayments, underpayments, and untimely filings of State and Federal taxes. In addition, improved management oversight and designation of individual responsibilities were established in order to ensure individual accountability. The payroll department has also implemented a check list which aids in the timely completion of payroll processing, the release of tax payments, and external reporting. On July 1, 2012, the College will change from a semi-monthly to a bi-weekly payroll cycle. This change will establish a regular schedule for the processing of payroll and other related transactions which should make the process more efficient and allow for ease in identifying deviations between payrolls in order to quickly address issues including those presented in the management letter.</p> <p>As the College moves forward, these aforementioned changes will continue to be reassessed for effectiveness.</p>

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OZARKA COLLEGE

Dr. Richard L. Dawe, President

ENABLING LAWS

Act 1244 of 1991, Act 22 of 2012, Act 173 of 2012 and Act 271 of 2012

INSTITUTION HISTORY AND ORGANIZATION

Ozarka College opened its doors as Ozarka Vocational-Technical School in 1975 to provide vocational training to residents of Fulton, Izard, Sharp and Stone Counties. The first class of 43 students graduated in July 1976 with degrees in Automotive Service, Food Service, Business Education, Building Trades, Industrial Equipment Technology, Licensed Practical Nursing and Major Appliance Service. Classes leading to GED certificates were also offered.

Ozarka now enrolls approximately 1,600 students per semester. This growth came from a major extension of the institution's mission. In 1991, the Arkansas State Legislature passed ACT 1244, transforming Ozarka and a number of other vocational technical schools into technical colleges. In addition to the courses already offered, Ozarka began to provide courses for college transfer credit through articulation agreements with other state colleges and universities.

Ozarka formed a partnership with Arkansas State University to facilitate the development of college transfer coursework. In August 1993, Ozarka Technical College became a candidate for accreditation. In 1996, the Higher Learning Commission of the North Central Association of Colleges and Schools determined that Ozarka had fulfilled all requirements for accreditation.

In keeping with its mission and continued evolution, the College made another name change to Ozarka College in 1999, dropping the "Technical" to emphasize the full range of available degree programs.

Ozarka College now has transfer agreements in place with four-year colleges and universities around the state, allowing students in the Associate of Arts program to transfer easily.

As the campus has widened the scope of educational programs, services have been expanded to other locations in the region. With the main campus located in Melbourne, Ozarka also operates off-campus sites in Ash Flat, Mammoth Spring, and Mountain View as well as some local high schools in the region. Distance education classes, including full programs, are also available via Internet which offers Ozarka students more flexibility in scheduling classes.

The Ozarka College Mission is: Ozarka College provides life-changing experiences through education. The Mission is

OZARKA COLLEGE
Dr. Richard L. Dawe, President

the basis for all of the College's primary activities that include approval to deliver thirteen certificates of proficiency, eight technical certificates, seven associate of applied science degrees, and five associate degrees as approved by the Arkansas Department of Higher Education. Ozarka College also houses adult basic education for the area, as well as providing concurrent education and continuing education.

Oversight to Ozarka College operations is provided by the Board of Trustees, a seven person, Governor appointed Board representing the four-county service area. Trustees serve a seven year term of office.

Currently, Ozarka College is fully accredited by the North Central Association of the Higher Learning Commission until the 2020-2021 academic year. The Licensed Practical Nursing program is approved by the Arkansas State Board of Nursing, the Automotive Service Technology Program is accredited by the National Automotive Technicians Education Foundation, and the College is approved by the Veterans Administration for individuals eligible for educational benefits under the GI Bill.

Ozarka College's appropriations are determined by the funding model. The latest Appropriation Acts for operation of the College include the Personal Services and Operating Expenses Act, Act 173 of 2012; the Revenue Stabilization Act, Act 271 of 2012; and the Re-appropriation of Capital Improvement Act, Act 22 of 2012.

As Ozarka College looks to the future in best serving its constituents, it is exploring the creation of new programs to advance its mission. Some of the possibilities include developing a degree program in Aviation Science for students seeking careers in aviation, an Agricultural Science degree program to serve the agricultural needs of the region, and an Information Science degree to address technology career field needs. In addition, the College, based on its Facilities Master Plan completed in 2011, will build a Student Services Center building for the main campus at Melbourne.

Ozarka College has requested an increase in Part-Time Faculty positions due to the enrollment growth during recent years. As with most institutions, part-time faculty members play a vital role delivering classroom instruction to our students. With four locations, one in each county of the service area, part-time faculty members are instrumental in ensuring that course offerings are offered consistently at each location. As the need for additional courses and course offerings increases to accommodate student enrollment growth, both full-time and part-time faculty members have been hired. To continue meeting the needs of our students and have flexibility in course scheduling and selections, additional

OZARKA COLLEGE
Dr. Richard L. Dawe, President

part-time faculty positions are needed to meet the demand.

Additional cash appropriation totaling \$762,285 has been requested to ensure sufficient appropriations are in place to process construction related expenses associated with a new Student Services Center that will be built on the Melbourne Campus during the 2013-2015 Biennium.

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**INSTITUTION APPROPRIATION SUMMARY
2013-15 BIENNIUM**

INSTITUTION OZARKA COLLEGE

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2011-2012		2012-13		2012-13		2013-14				2014-15			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	4,212,687		4,101,133		5,226,300		5,642,756		5,642,756		5,772,539		5,772,539	
2 CASH	6,331,001		12,313,715		12,313,715		13,076,000		13,076,000		13,076,000		13,076,000	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$10,543,688	175	\$16,414,848	179	\$17,540,015	195	\$18,718,756	215	\$18,718,756	205	\$18,848,539	215	\$18,848,539	205
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*	0	0%	147	0%			0	0%	0	0%	0	0%	0	0%
13 GENERAL REVENUE	3,016,769	29%	2,988,694	18%			4,530,464	24%	4,530,464	24%	4,660,247	25%	4,660,247	25%
14 EDUCATIONAL EXCELLENCE TRUST FUND	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
15 WORKFORCE 2000	1,189,761	11%	1,112,292	7%			1,112,292	6%	1,112,292	6%	1,112,292	6%	1,112,292	6%
16 CASH FUNDS	5,046,037	48%	5,278,114	32%			5,826,000	31%	5,826,000	31%	5,826,000	31%	5,826,000	31%
17 SPECIAL REVENUES		0%		0%				0%	0	0%		0%	0	0%
18 FEDERAL FUNDS	1,284,964	12%	7,035,601	43%			7,250,000	39%	7,250,000	39%	7,250,000	38%	7,250,000	38%
19 TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
20 OTHER FUNDS	6,304	0%	0	0%			0	0%	0	0%	0	0%	0	0%
21 TOTAL INCOME	\$10,543,835	100%	\$16,414,848	100%			\$18,718,756	100%	\$18,718,756	100%	\$18,848,539	100%	\$18,848,539	100%
22 EXCESS (FUNDING)/APPROPRIATION	-\$147		\$0				\$0		\$0		\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2012:	\$3,664,870
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$356,180
INVENTORIES	\$387,105
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$10,000
MAJOR CRITICAL SYSTEMS FAILURES	\$100,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$1,288,865
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$352,675
OTHER (FOOTNOTE BELOW)	\$748,500
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$421,545

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

NOTE: Other -

Board Designated Operating Reserve	698,500
Building Maintenance Fund/Bond Issue	50,000
	<u>748,500</u>

**APPROPRIATION ACT FORM - STATE TREASURY
2013-15 BIENNIUM**

FUND CTO0000

INSTITUTION OZARKA COLLEGE

APPROPRIATION 1XC

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES	2,913,634	2,750,147	3,400,000	3,750,000	3,850,000		
2 EXTRA HELP WAGES	75,000	100,000	100,000	125,000	150,000		
3 OVERTIME		100	100	100	100		
4 PERSONAL SERVICES MATCHING	1,223,053	1,249,686	1,725,000	1,766,456	1,771,239		
5 OPERATING EXPENSES	200	200	200	200	200		
6 CONFERENCE FEES & TRAVEL	200	200	200	200	200		
7 PROFESSIONAL FEES AND SERVICES	200	200	200	200	200		
8 CAPITAL OUTLAY	200	200	200	200	200		
9 FUNDED DEPRECIATION	200	200	200	200	200		
10 CAPITAL IMPROVEMENTS		200	200	200	200		
11							
12							
13 TOTAL APPROPRIATION	\$4,212,687	\$4,101,133	\$5,226,300	\$5,642,756	\$5,772,539	\$0	\$0
14 PRIOR YEAR FUND BALANCE**		147					
15 GENERAL REVENUE	3,016,769	2,988,694		4,530,464	4,660,247		
16 EDUCATIONAL EXCELLENCE TRUST FUND							
17 SPECIAL REVENUES * [WF2000]	1,189,761	1,112,292		1,112,292	1,112,292		
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS *	6,304						
21 TOTAL INCOME	\$4,212,834	\$4,101,133		\$5,642,756	\$5,772,539	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	-\$147	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM 13-3

***Other State Treasury Funds:**

M&R Proceeds 6,304

**APPROPRIATION ACT FORM - CASH FUNDS
2013-15 BIENNIUM**

FUND 2870000

INSTITUTION OZARKA COLLEGE

APPROPRIATION B63

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES	1,880,293	2,500,000	2,500,000	3,000,000	3,000,000		
2 EXTRA HELP WAGES	159,932	225,000	225,000	225,000	225,000		
3 OVERTIME		1,000	1,000	1,000	1,000		
4 PERSONAL SERVICES MATCHING	1,169,227	1,400,000	1,400,000	1,400,000	1,400,000		
5 OPERATING EXPENSES	2,698,555	4,800,000	4,800,000	4,275,000	4,275,000		
6 CONFERENCE FEES & TRAVEL	21,734	75,000	75,000	75,000	75,000		
7 PROFESSIONAL FEES AND SERVICES	23,398	87,715	87,715	250,000	250,000		
8 CAPITAL OUTLAY	140,502	225,000	225,000	225,000	225,000		
9 CAPITAL IMPROVEMENTS		2,700,000	2,700,000	3,000,000	3,000,000		
10 DEBT SERVICE	237,360	300,000	300,000	600,000	600,000		
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS							
12 PROMOTIONAL ITEMS				25,000	25,000		
13							
14							
15							
16 TOTAL APPROPRIATION	\$6,331,001	\$12,313,715	\$12,313,715	\$13,076,000	\$13,076,000	\$0	\$0
17 PRIOR YEAR FUND BALANCE***							
18 TUITION AND MANDATORY FEES	3,566,215	3,850,800		3,900,000	3,900,000		
19 ALL OTHER FEES	326,457	343,714		350,000	350,000		
20 SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS							
21 INVESTMENT INCOME	14,262	11,000		11,000	11,000		
22 FEDERAL CASH FUNDS	1,284,964	7,035,601		7,250,000	7,250,000		
23 OTHER CASH FUNDS	1,139,103	1,072,600		1,565,000	1,565,000		
24 TOTAL INCOME	\$6,331,001	\$12,313,715		\$13,076,000	\$13,076,000	\$0	\$0
25 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED 2012-13	REQUEST	RECOMMEND	LEGISLATIVE RECOMMENDATION	
				2013-14	2013-14	2013-14	2014-15
REGULAR POSITIONS	175	179	195	215	205		
TOBACCO POSITIONS							
EXTRA HELP **	100	100	100	100	100		

FORM 13-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

OZARKA COLLEGE

(NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2011-12				BUDGETED 2012-13			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *				0				0
2 HOUSING				0				0
3 FOOD SERVICES	71,723	147,078		(75,355)	55,400	138,788		(83,388)
4 STUDENT UNION				0				0
5 BOOKSTORE	1,006,963	849,642		157,321	1,002,200	897,177		105,023
6 STUDENT ORGANIZATIONS AND PUBLICATIONS				0				
7 OTHER	164,478	227,834		(63,356)	255,700	247,861		7,839
8 SUBTOTAL	1,243,164	1,224,554	0	18,610	1,313,300	1,283,826	0	29,474
9 ATHLETIC TRANSFER **				0				0
10 OTHER TRANSFERS ***				0				0
11 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	1,243,164	1,224,554	0	18,610	1,313,300	1,283,826	0	29,474

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 13-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line Item 7 Other - Transfer from Preschool

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

OZARKA COLLEGE

(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2011-12:						177
(As of November 1, 2011)						
Nonclassified Administrative Employees:						
White Male:	10	Black Male:		Other Male:		Total Male: 10
White Female:	17	Black Female:		Other Female:		Total Female: 17
Nonclassified Health Care Employees:						
White Male:	0	Black Male:		Other Male:		Total Male: 0
White Female:	7	Black Female:		Other Female:		Total Female: 7
Classified Employees:						
White Male:	10	Black Male:		Other Male:		Total Male: 10
White Female:	27	Black Female:		Other Female:	2	Total Female: 29
Faculty:						
White Male:	40	Black Male:		Other Male:		Total Male: 40
White Female:	62	Black Female:		Other Female:	2	Total Female: 64
Total White Male:	60	Total Black Male:	0	Total Other Male:	0	Total Male: 60
Total White Female:	113	Total Black Female:	0	Total Other Female:	4	Total Female: 117
Total White:	173	Total Black:	0	Total Other:	4	Total Employees: 177
				Total Minority:	4	

FORM 13-6

**ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$25,000 AWARDED TO MINORITY OWNED BUSINESSES
Fiscal Year 2012
Required by A.C.A. 25-36-104**

Institution

OZARKA COLLEGE

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
N/A							

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$184,661
(Total Expenditures equals ALL Contracts Exceeding \$25,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

**DIVISION OF LEGISLATIVE AUDIT
 AUDIT OF OZARKA COLLEGE
 June 30, 2011**

<p><i>Finding No. 1:</i></p>	<p>One student was reported as a no-show by all instructors for the Spring 2011 term, but due to a clerical error, was withdrawn after the census date for one course. The error was detected by the College, but corrected data was not resubmitted to the State Department of Higher Education.</p>
<p><i>Institution's Response</i></p>	<p>To prevent this error from occurring in the future the following safeguards have been implemented:</p> <ol style="list-style-type: none"> 1. The course page in our student database system has been enhanced with color coding to highlight the enrollment status of each student. In addition to this measure, a field has been added that will allow for documentation detailing the reason for the enrollment changes. This will assist Student Services personnel to quickly identify and correct any discrepancies with enrollment status. 2. A series of on-demand reports to identify enrollment changes made after the census date has been developed. The reports will be utilized on a routine basis and should discrepancies be found, the Information System staff will submit updated reports to the Arkansas Department of Higher Education. 3. A committee has been appointed to review the current procedures for dropping/withdrawing from courses and has been charged with developing an online process to replace the manual process that is currently being utilized. The same safeguards and reporting functions mentioned above will be incorporated into this new process and help reduce the possibility of human error. <p>In the future, we will utilize the procedures outlined above to ensure that students are properly withdrawn or removed from classes and corrected submissions of records are made to the Arkansas Department of Higher Education in a timely manner.</p>

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PHILLIPS COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS

Dr. Steven Murray, Chancellor

ENABLING LAWS

Act 560 of 1965 and Act 172 of 2012

INSTITUTION HISTORY AND ORGANIZATION

PCCUA is a multi-campus, two year college serving the communities in Eastern Arkansas. The college is committed to helping every student succeed. We provide high-quality, accessible educational opportunities and skills development to promote life-long learning, and we engage in the lives of our students and our communities.

In 1964, leaders from all parts of Arkansas became enthusiastic about the public community college concept and undertook the difficult task of amending the state's constitution to permit the development of system of community colleges in Arkansas.

The official history of Phillips County Community College dates from two events in 1965:

(1) Act 560 of the Arkansas State Legislature, which paved the way for establishing community colleges; and (2) an affirmative vote by the people of Phillips County on October 23, 1965, which provided the local financial support for the college.

The Board of Trustees was appointed by the Governor of the State and met for the first time on November 1, 1965, officially creating Phillips County Community College. An early order of business for the Board was the election of Dr. John Easley as the first President of the College. The Board of Trustees decided that since construction of a new plant would take approximately two years, classes would begin in the Naval Reserve Building in Helena. The building served as home for Phillips County Community College for two years. In May, 1968, the first class was graduated. Construction of the permanent College facilities was also completed in 1968.

In 1972, the Fine Arts Center and Lily Peter Auditorium were completed with the help of generous support from the community. In 1976, the Nursing Education Complex was completed. In 1982, the Technical & Industrial Education Complex was opened. In 1986, the administration/data processing building was completed, and a year later, the college library was renovated and named the Lewis Library, thanks to the generosity of the A. B. Lewis family.



PHILLIPS COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS
Dr. Steven Murray, Chancellor

In 1988, Dr. Easley retired, and the administration building was renamed in his honor. Dr. Steven W. Jones was elected by the Board of Trustees to be the college's second president on March 8, 1988.

Since that time, the College has experienced dramatic growth with an expansion of the curriculum and facilities. Enrollment increased steadily in the early 1990's, and the Asa W. Bonner Student Center was dedicated in 1991. The Mitchell Science Annex was added to the Nursing Education Complex in 1992. In 1993, the College was given the historic Pillow-Thompson House in Helena by Josephine Thompson and her son, George de Man. The house was renovated with the generous support of community donations and historic preservation grants and reopened in May of 1997.

In March 1996, the people of Arkansas County passed a referendum to annex that county into the PCCC taxing district. The Board of Trustees changed the name of the college to Phillips Community College to reflect the multi-county support and began plans to expand the off-campus programs in Stuttgart. In June 1996, the State transferred the former Rice Belt Technical Institute in DeWitt to Phillips Community College and on July 1, 1996, Phillips became a member of the University of Arkansas System.

Facilities in Arkansas County have grown to include day and evening classes in Stuttgart and general education programs in DeWitt. The Stuttgart facility is equipped with nine traditional classrooms, two science labs, six computer labs, a large technical training lab, two compressed video labs for distance learning, a library, large lecture room, bookstore, and offices. The DeWitt facility expansion and reconstruction included an addition of 14,000 square feet AND renovations to 15,000 square feet. The addition includes five classrooms, two distance learning classrooms, library, and student center. In 2003, the Grand Prairie War Memorial Auditorium Board voted to offer a long-term lease of the auditorium to the college as a technology training center. In 2007, the National Guard Armory in DeWitt was leased to the College as a headquarters for the agriculture program.

Phillips Community College has always been a leader and innovator in higher education in Arkansas. The College has grown from an original enrollment of fewer than 250 students in 14 program areas to over 2,300 students in academic, occupational/technical, and continuing education programs. New programs are continually being researched and planned so that PCCUA will remain responsive to the needs and interests of the people of Eastern Arkansas and on the Grand

PHILLIPS COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS
Dr. Steven Murray, Chancellor

Prairie. In the Fall of 2003, Dr. Steven Murray was named Chancellor of Phillips Community College. Dr. Murray is the college's third Chancellor.

ACCREDITATION

Phillips Community College is accredited by the Higher Learning Commission of the North Central Association of Colleges and Schools; 30 North LaSalle Street, Suite 2400, Chicago, Illinois 60602, (800) 621-7440. The Associate Degree Nursing program is accredited by the National League for Nursing Accrediting Commission (NLNAC); 3343 Peachtree Road, NE, Suite 500, Atlanta, GA, 30326; (404) 975-5000; Fax (404) 975-5020. The Medical Laboratory Technology program is accredited by the National Accrediting Agency for Clinical Laboratory Sciences (NAACLS), 5600 N. River Rd., Suite 720, Rosemont, IL 60018, (773) 714-8880. The Phlebotomy program is approved by NAACLS. The business programs are accredited by The Association of Collegiate Business Schools and Programs (ACBSP); 7007 College Boulevard, Suite 420, Overland Park, KS 66211, (913) 339-9356. PCCUA is also approved by the Arkansas State Approving Agency for Veterans Training.

Phillips Community College is a member of the American Association of Community Colleges, the Arkansas Association of Two-Year Colleges, the National Association of College and University Business Officers, and the American Association of Collegiate Registrars and Admissions Officers.

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**INSTITUTION APPROPRIATION SUMMARY
2013-15 BIENNIUM**

INSTITUTION PHILLIPS COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2011-2012		2012-13		2012-13		2013-14				2014-15			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	10,191,132		10,317,635		10,721,015		10,443,562		10,443,562		10,683,764		10,683,764	
2 CASH	7,708,692		45,630,000		45,630,000		45,630,000		45,630,000		45,630,000		45,630,000	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$17,899,824	310	\$55,947,635	324	\$56,351,015	325	\$56,073,562	325	\$56,073,562	325	\$56,313,764	325	\$56,313,764	325
FUNDING SOURCES		%		%		%		%		%		%		%
12 PRIOR YEAR FUND BALANCE*	0	0%	72,101	0%			0	0%	0	0%	0	0%	0	0%
13 GENERAL REVENUE	9,063,088	50%	9,063,088	16%			9,261,116	17%	9,261,116	17%	9,501,318	17%	9,501,318	17%
14 EDUCATIONAL EXCELLENCE TRUST FUND	704,484	4%	719,059	1%			719,059	1%	719,059	1%	719,059	1%	719,059	1%
15 WORKFORCE 2000	495,661	3%	463,387	1%			463,387	1%	463,387	1%	463,387	1%	463,387	1%
16 CASH FUNDS	5,258,006	29%	43,137,000	77%			43,137,000	77%	43,137,000	77%	43,137,000	77%	43,137,000	77%
17 SPECIAL REVENUES		0%		0%				0%	0	0%		0%	0	0%
18 FEDERAL FUNDS	2,450,686	14%	2,493,000	4%			2,493,000	4%	2,493,000	4%	2,493,000	4%	2,493,000	4%
19 TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
20 OTHER FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
21 TOTAL INCOME	\$17,971,925	100%	\$55,947,635	100%			\$56,073,562	100%	\$56,073,562	100%	\$56,313,764	100%	\$56,313,764	100%
22 EXCESS (FUNDING)/APPROPRIATION	-\$72,101		\$0				\$0		\$0		\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2012:	\$4,951,344
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$2,162,325
INVENTORIES	\$54,707
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	
MAJOR CRITICAL SYSTEMS FAILURES	
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$2,176,538
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$345,000
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$212,774

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2013-15 BIENNIUM**

FUND CWP0000

INSTITUTION PHILLIPS COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS
HELENA CAMPUS

APPROPRIATION _____ 308

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES	5,574,104	5,784,675	6,188,055	5,826,018	5,963,623		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	1,182,535	1,129,835	1,129,835	1,152,272	1,179,487		
5 OPERATING EXPENSES	465,533	437,064	437,064	445,743	456,271		
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES							
8 CAPITAL OUTLAY							
9 FUNDED DEPRECIATION							
10							
11							
12							
13 TOTAL APPROPRIATION	\$7,222,172	\$7,351,574	\$7,754,954	\$7,424,033	\$7,599,381	\$0	\$0
14 PRIOR YEAR FUND BALANCE**		72,101					
15 GENERAL REVENUE	6,589,789	6,560,414		6,704,974	6,880,322		
16 EDUCATIONAL EXCELLENCE TRUST FUND	704,484	719,059		719,059	719,059		
17 SPECIAL REVENUES * [WF2000]							
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS							
21 TOTAL INCOME	\$7,294,273	\$7,351,574		\$7,424,033	\$7,599,381	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	-\$72,101	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2013-15 BIENNIUM**

FUND CWP0000

INSTITUTION PHILLIPS COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS
DEWITT CAMPUS

APPROPRIATION 1BW

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES	881,883	831,758	831,758	849,447	870,903		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	152,873	188,733	188,733	192,747	197,616		
5 OPERATING EXPENSES	178,635	190,000	190,000	194,041	198,942		
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES							
8 CAPITAL OUTLAY							
9 FUNDED DEPRECIATION							
10							
11							
12							
13 TOTAL APPROPRIATION	\$1,213,391	\$1,210,491	\$1,210,491	\$1,236,235	\$1,267,461	\$0	\$0
14 PRIOR YEAR FUND BALANCE**							
15 GENERAL REVENUE	717,730	747,104		772,848	804,074		
16 EDUCATIONAL EXCELLENCE TRUST FUND							
17 SPECIAL REVENUES * [WF2000]	495,661	463,387		463,387	463,387		
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS							
21 TOTAL INCOME	\$1,213,391	\$1,210,491		\$1,236,235	\$1,267,461	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM 13-3

**APPROPRIATION ACT FORM - STATE TREASURY
2013-15 BIENNIUM**

FUND CWP0000

INSTITUTION PHILLIPS COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS
STUTT GART CAMPUS

APPROPRIATION 413

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES	1,210,219	1,210,219	1,210,219	1,229,331	1,252,513		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	245,351	245,351	245,351	249,225	253,925		
5 OPERATING EXPENSES	299,999	300,000	300,000	304,738	310,484		
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES							
8 CAPITAL OUTLAY							
9 FUNDED DEPRECIATION							
10							
11							
12							
13 TOTAL APPROPRIATION	\$1,755,569	\$1,755,570	\$1,755,570	\$1,783,294	\$1,816,922	\$0	\$0
14 PRIOR YEAR FUND BALANCE**							
15 GENERAL REVENUE	1,755,569	1,755,570		1,783,294	1,816,922		
16 EDUCATIONAL EXCELLENCE TRUST FUND							
17 SPECIAL REVENUES * [WF2000]							
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS							
21 TOTAL INCOME	\$1,755,569	\$1,755,570		\$1,783,294	\$1,816,922	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS
2013-15 BIENNIUM**

FUND 2150000

INSTITUTION PHILLIPS COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS
HELENA CAMPUS

APPROPRIATION A73

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES	914,789	3,500,000	3,500,000	3,500,000	3,500,000		
2 EXTRA HELP WAGES	213,893	550,000	550,000	550,000	550,000		
3 OVERTIME	139,959	140,000	140,000	140,000	140,000		
4 PERSONAL SERVICES MATCHING	1,139,795	1,500,000	1,500,000	1,500,000	1,500,000		
5 OPERATING EXPENSES	2,984,028	4,675,000	4,675,000	4,675,000	4,675,000		
6 CONFERENCE FEES & TRAVEL	159,381	200,000	200,000	200,000	200,000		
7 PROFESSIONAL FEES AND SERVICES	445,026	1,000,000	1,000,000	1,000,000	1,000,000		
8 CAPITAL OUTLAY	292,711	3,000,000	3,000,000	3,000,000	3,000,000		
9 CAPITAL IMPROVEMENTS		10,000,000	10,000,000	10,000,000	10,000,000		
10 DEBT SERVICE	773,348	3,000,000	3,000,000	3,000,000	3,000,000		
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS							
12 PROMOTIONAL ITEMS	25,030	25,000	25,000	25,000	25,000		
13							
14							
15							
16 TOTAL APPROPRIATION	\$7,087,960	\$27,590,000	\$27,590,000	\$27,590,000	\$27,590,000	\$0	\$0
17 PRIOR YEAR FUND BALANCE***							
18 TUITION AND MANDATORY FEES	2,628,598	2,314,000		2,314,000	2,314,000		
19 ALL OTHER FEES	42,822	10,000		10,000	10,000		
20 SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	22,954	25,000		25,000	25,000		
21 INVESTMENT INCOME	11,088	12,000		12,000	12,000		
22 FEDERAL CASH FUNDS	2,450,686	2,493,000		2,493,000	2,493,000		
23 OTHER CASH FUNDS	1,931,812	22,736,000		22,736,000	22,736,000		
24 TOTAL INCOME	\$7,087,960	\$27,590,000		\$27,590,000	\$27,590,000	\$0	\$0
25 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED 2012-13	REQUEST	RECOMMEND	LEGISLATIVE RECOMMENDATION	
				2013-14	2013-14	2013-14	2014-15
REGULAR POSITIONS	180	187	188	188	188		
TOBACCO POSITIONS							
EXTRA HELP **	66	600	600	600	600		

FORM 13-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS
2013-15 BIENNIUM**

FUND 2150000

INSTITUTION PHILLIPS COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS
DEWITT CAMPUS

APPROPRIATION B08

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES	1	750,000	750,000	750,000	750,000		
2 EXTRA HELP WAGES	29,292	200,000	200,000	200,000	200,000		
3 OVERTIME	7,971	70,000	70,000	70,000	70,000		
4 PERSONAL SERVICES MATCHING	1	250,000	250,000	250,000	250,000		
5 OPERATING EXPENSES	3,185	1,150,000	1,150,000	1,150,000	1,150,000		
6 CONFERENCE FEES & TRAVEL	6,339	50,000	50,000	50,000	50,000		
7 PROFESSIONAL FEES AND SERVICES		50,000	50,000	50,000	50,000		
8 CAPITAL OUTLAY	68,578	250,000	250,000	250,000	250,000		
9 CAPITAL IMPROVEMENTS		5,000,000	5,000,000	5,000,000	5,000,000		
10 DEBT SERVICE		1,000,000	1,000,000	1,000,000	1,000,000		
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS							
12							
13							
14							
15							
16 TOTAL APPROPRIATION	\$115,367	\$8,770,000	\$8,770,000	\$8,770,000	\$8,770,000	\$0	\$0
17 PRIOR YEAR FUND BALANCE***							
18 TUITION AND MANDATORY FEES	115,367	200,000		200,000	200,000		
19 ALL OTHER FEES							
20 SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS							
21 INVESTMENT INCOME							
22 FEDERAL CASH FUNDS							
23 OTHER CASH FUNDS	0	8,570,000		8,570,000	8,570,000		
24 TOTAL INCOME	\$115,367	\$8,770,000		\$8,770,000	\$8,770,000	\$0	\$0
25 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED 2012-13	REQUEST	RECOMMEND	LEGISLATIVE RECOMMENDATION	
				2013-14	2013-14	2013-14	2014-15
REGULAR POSITIONS	60	66	66	66	66		
TOBACCO POSITIONS							
EXTRA HELP **	10	150	150	150	150		

FORM 13-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS
2013-15 BIENNIUM**

FUND 2150000

INSTITUTION PHILLIPS COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS
STUTT GART CAMPUS

APPROPRIATION A81

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES	101,489	1,100,000	1,100,000	1,100,000	1,100,000		
2 EXTRA HELP WAGES	7,360	250,000	250,000	250,000	250,000		
3 OVERTIME	22,041	70,000	70,000	70,000	70,000		
4 PERSONAL SERVICES MATCHING	107,799	350,000	350,000	350,000	350,000		
5 OPERATING EXPENSES	57,240	1,150,000	1,150,000	1,150,000	1,150,000		
6 CONFERENCE FEES & TRAVEL	11,845	50,000	50,000	50,000	50,000		
7 PROFESSIONAL FEES AND SERVICES	13,000	50,000	50,000	50,000	50,000		
8 CAPITAL OUTLAY	10,445	250,000	250,000	250,000	250,000		
9 CAPITAL IMPROVEMENTS	174,146	5,000,000	5,000,000	5,000,000	5,000,000		
10 DEBT SERVICE		1,000,000	1,000,000	1,000,000	1,000,000		
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS							
12							
13							
14							
15							
16 TOTAL APPROPRIATION	\$505,365	\$9,270,000	\$9,270,000	\$9,270,000	\$9,270,000	\$0	\$0
17 PRIOR YEAR FUND BALANCE***							
18 TUITION AND MANDATORY FEES	331,218	590,000		590,000	590,000		
19 ALL OTHER FEES							
20 SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS							
21 INVESTMENT INCOME							
22 FEDERAL CASH FUNDS							
23 OTHER CASH FUNDS	174,147	8,680,000		8,680,000	8,680,000		
24 TOTAL INCOME	\$505,365	\$9,270,000		\$9,270,000	\$9,270,000	\$0	\$0
25 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED 2012-13	REQUEST		RECOMMEND		LEGISLATIVE RECOMMENDATION	
				2013-14	2013-14	2013-14	2013-14	2013-14	2014-15
REGULAR POSITIONS	70	71	71	71	71				
TOBACCO POSITIONS									
EXTRA HELP **	16	150	150	150	150				

FORM 13-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

PHILLIPS COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS
(NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2011-12				BUDGETED 2012-13			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *				0				0
2 HOUSING				0				0
3 FOOD SERVICES	76,453	160,145		(83,692)	90,000	135,000		(45,000)
4 STUDENT UNION				0				0
5 BOOKSTORE	43,918	0		43,918	45,000	0		45,000
6 STUDENT ORGANIZATIONS AND PUBLICATIONS	0	32,791		(32,791)	32,000	35,000		
7 OTHER	86,445	20,966		65,479	33,000	30,000		3,000
8 SUBTOTAL	206,816	213,902	0	(7,086)	200,000	200,000	0	3,000
9 ATHLETIC TRANSFER **				0				0
10 OTHER TRANSFERS ***	63,644			63,644	50,000			50,000
11 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	270,460	213,902	0	56,558	250,000	200,000	0	53,000

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 13-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

Line 7: Other Activity - Other Auxiliary activities include facility rental revenue and expenses and other miscellaneous auxiliary expenses.

Line 10: Other Transfers - Amounts transferred from E&G to cover Operating Expenses for Student Organizations & Publications and other Auxiliary activities.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

PHILLIPS COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2011-12:						<u>291</u>
						(As of November 1, 2011)
Nonclassified Administrative Employees:						
White Male:	<u>3</u>	Black Male:	<u>3</u>	Other Male:	<u> </u>	Total Male: <u>6</u>
White Female:	<u>17</u>	Black Female:	<u>6</u>	Other Female:	<u> </u>	Total Female: <u>23</u>
Nonclassified Health Care Employees:						
White Male:	<u> </u>	Black Male:	<u> </u>	Other Male:	<u> </u>	Total Male: <u>0</u>
White Female:	<u> </u>	Black Female:	<u> </u>	Other Female:	<u> </u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>25</u>	Black Male:	<u>23</u>	Other Male:	<u> </u>	Total Male: <u>48</u>
White Female:	<u>58</u>	Black Female:	<u>38</u>	Other Female:	<u> </u>	Total Female: <u>96</u>
Faculty:						
White Male:	<u>26</u>	Black Male:	<u>6</u>	Other Male:	<u>1</u>	Total Male: <u>33</u>
White Female:	<u>71</u>	Black Female:	<u>13</u>	Other Female:	<u>1</u>	Total Female: <u>85</u>
Total White Male:	<u>54</u>	Total Black Male:	<u>32</u>	Total Other Male:	<u>1</u>	Total Male: <u>87</u>
Total White Female:	<u>146</u>	Total Black Female:	<u>57</u>	Total Other Female:	<u>1</u>	Total Female: <u>204</u>
Total White:	<u>200</u>	Total Black:	<u>89</u>	Total Other:	<u>2</u>	Total Employees: <u>291</u>
				Total Minority:	<u>91</u>	

FORM 13-6

**ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$25,000 AWARDED TO MINORITY OWNED BUSINESSES
Fiscal Year 2012
Required by A.C.A. 25-36-104**

Institution

PHILLIPS COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
N/A							

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$313,536
(Total Expenditures equals ALL Contracts Exceeding \$25,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF PHILLIPS COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS
June 30, 2011

<p><i>Finding No. 1:</i></p>	<p>The deadline of September 1, 2011, set by the University of Arkansas System to have the financial statements completed and submitted for audit, was not met. As a result, the audit process was not completed in a timely and efficient manner.</p>
<p><i>Institution's Response</i></p>	<p>Reorganization of business office functions and monthly reconciliations of accounts are in process which will help to facilitate accurate and timely financial statements.</p>
<p><i>Finding No. 2:</i></p>	<p>Financial statements should be presented in conformity with accounting principles generally accepted in the United States of America. The College's internal control system did not prevent or detect misstatements. Key errors in the Statement of Net Assets, the Statement of Revenues, Expenses, and Changes in Net Assets, and Notes to the Financial Statements included:</p> <ul style="list-style-type: none"> • Interest of \$387,127, supplies and services of \$326,333, and equipment of \$82,575, were improperly capitalized as building additions (except for the improper capitalization of interest, the financial statements were corrected). • Accounts payable was overstated by \$186,832 (financial statements were corrected). <p>Errors in the Notes to the Financial Statements were observed, including the failure to report compensated absences, understating construction commitments, and overstating construction in progress additions and deletions (notes to the financial statements were corrected).</p>
<p><i>Institution's Response</i></p>	<p>Establishment of monthly reconciliations of financial accounts is in process to strengthen the internal control and safeguarding of the general ledger and of College assets.</p>

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PULASKI TECHNICAL COLLEGE

Dr. Margaret A. Ellibee, President

ENABLING LAWS

Act 1244 of 1991 and Act 190 of 2012

INSTITUTION HISTORY AND ORGANIZATION

When the Arkansas General Assembly created the Arkansas Technical and Community College System via Act 1244 of 1991, Pulaski Vocational-Technical School and 12 other vocational-technical schools became technical colleges under the coordination of the Arkansas Higher Education Coordinating Board. The school was renamed Pulaski Technical College. Currently, Act 190 of the 2012 fiscal session of the Arkansas General Assembly provides appropriations for personal services and operating expenses for fiscal year 2012-2013. The College is governed by a seven-member board of trustees appointed by the governor.

VISION STATEMENT

Pulaski Technical College's vision is to be Arkansas' leading comprehensive two-year college, recognized regionally for excellence in workforce development and university-transfer education.

MISSION STATEMENT

Pulaski Technical College is a comprehensive two-year college that serves the educational needs of central Arkansas through technical programs, a university-transfer program, and specialized programs for business and industry. The College's mission is to provide access to high quality education that promotes student learning, to enable individuals to develop to their fullest potential, and to support the economic development of the state.

INSTITUTIONAL GOALS

- 1. Workforce development:** Pulaski Tech will continue to address the workforce development needs of central Arkansas (a) by delivering high quality technical programs that respond to the changing employment needs and opportunities in the region and (b) by providing high quality customized training for business and industry.
- 2. University studies:** Pulaski Tech will continue to provide programs of study that prepare students for successful transfer to four-year institutions and support the general education needs of Associate of Applied Science and Technical

PULASKI TECHNICAL COLLEGE
Dr. Margaret A. Ellibee, President

Certificate students.

3. Access to higher education: Pulaski Tech will continue to provide central Arkansas with accessible, high quality, affordable technical education and university-transfer programs.

4. Student success: Student success occurs when students accomplish their educational goals at Pulaski Tech and finish what they start. Student success is accomplished through the completion of any of the following areas: certificate, Associate of Applied Science, Associate of Arts, Associate of Science, and Associate of Arts in Teaching, enhancement of career or personal skills and course work that promotes life-long learning. PTC will continue its commitment to student success by providing programs and services that will help students achieve their goals.

5. Quality learning environment; Pulaski Tech will continue to create a quality learning environment by promoting excellence in teaching and learning and maintaining up-to-date facilities and technology.

6. Educational partnerships: Pulaski Tech will continue developing and strengthening partnerships with area school districts, colleges, universities and hospitals to address the education/workforce development needs of central Arkansas.

7. Continuing education and community service: Pulaski Tech will provide continuing education and community services that are responsive to the needs of citizens and organizations in central Arkansas.

8. Developing resources: Pulaski Tech will continue seeking additional financial, physical and human resources to support the development and ongoing improvement of programs and services.

9. Continuous planning and improvement: Pulaski Tech will continuously assess and respond to changing student and community needs, evaluate and improve the effectiveness of programs and services, and update planning goals and strategies.

PROGRAMS AND PROGRAM DEFINITIONS

1. Instruction: This program encompasses the credit (degree and certificate) programs offered by the College, to include

PULASKI TECHNICAL COLLEGE
Dr. Margaret A. Ellibee, President

technical and occupational programs and the university-transfer program. There are seven organizational divisions among instruction: (1) Academic Success, (2) Allied Health and Human Services, (3) Business, (4) Fine Arts and Humanities, (5) Information Technology, (6) Mathematics/Natural and Social Sciences, and (7) Technical and Industrial Programs.

Targeted Area 1: Pulaski Tech will continue its efforts to improve the quality of its programs through participation in the Academic Quality Improvement Program of the Higher Learning Commission, program accreditations, and student success initiatives. Additionally, the college will work to meet performance funding objectives as they relate to student success.

Targeted Area 2: PTC plans to continue development of its Little Rock-South site at 13000 Interstate 30 in the southern-most part of Pulaski County. In support of the state's hospitality industry, the Associate of Applied Science degree and technical certificate programs in culinary arts, baking and pastry arts, hospitality management, and wine and spirits studies are offered at the site. In support of the state's transportation industry, small engine repair technology, collision repair technology, automotive technology, diesel technology, commercial driver training, and motorcycle and all-terrain vehicle repair are offered. In support of these academic programs, courses in general education, developmental education, business, and information technology are offered on site, as well.

Targeted Area 3: PTC plans to strengthen aerospace education in support of Arkansas's largest export industry. PTC has added the Associate of Science in aviation in partnership with Central Flying Service and Henderson State University. In 2009, PTC opened an Aerospace Technology Center at the North Little Rock Airport and one year later remodeled an older facility at the location. Programs include the Associate of Applied Science degree in aviation maintenance technology and technical certificates in avionics technology, airframe maintenance, and power plant maintenance.

Targeted Area 4: PTC plans to continue development of the Pulaski Tech Arkansas Culinary School (ACS), which has acquired program accreditations from American Culinary Federation Education Foundation (ACFEF) and the Accreditation Commission for Programs in Hospitality Administration (ACPHA). Through ACS, PTC offers three

PULASKI TECHNICAL COLLEGE
Dr. Margaret A. Ellibee, President

Associate of Applied Science degrees in culinary arts, hospitality management, and baking and pastry arts, and three technical certificates in baking and pastry, culinary arts, and wine and spirits studies. The College is currently constructing a new Culinary Arts and Hospitality Management Center at Little Rock-South, with plans to open in August 2013.

Targeted Area 5: PTC plans to continue its allied health programs to support the needs of the local healthcare industry, to continue to establish partnerships with regional healthcare entities, and to expand transfer opportunities to institutions in allied health fields. PTC has added an Associate of Applied Science in allied health in partnership with Baptist Health, St. Vincent Infirmary Medical Center, and Arkansas Children's Hospital. The Baptist Health partnership addresses career areas of histotechnology, sleep technology, and surgical technology. The St. Vincent partnership addresses radiography. The Arkansas Children's Hospital partnership addresses anesthesia technology.

Targeted Area 6: PTC plans to strengthen its educational offerings in business and information technology with the Associate of Science in Business designed to be a transfer degree, an Associate of Applied Science in business with emphasis in entrepreneurship, and a technical certificate in entrepreneurship. The College also recently opened a new Business Technology Center on the main campus in North Little Rock. The Information Technology Division recently added a digital media production program in response to the needs of the Arkansas film and digital media industry.

Targeted Area 7: PTC plans to strengthen its commitment to the community through additional community education courses and programs, as well as fine and performing arts events and other on- and off-campus activities. A new Fine and Performing Arts/Humanities Center is under development, with a planned opening in August 2014.

2. Business and Industry Training: This program encompasses the customized training programs designed and delivered by the College to address the specific education and training needs of central Arkansas employers and their employees.

PULASKI TECHNICAL COLLEGE
Dr. Margaret A. Ellibee, President

Targeted Area 1: PTC will continue to offer specialized continuing education in coordination with local aerospace industries in order to strengthen the local aerospace technology workforce.

Targeted Area 2: PTC will continue to strengthen its manufacturing technology continuing education in support of the local manufacturing sector.

Targeted Area 3: PTC will continue to support the training needs of business, industry, and government.

Targeted Area 4: PTC will continue to maintain its status as a Building Sciences Center of Excellence and its Weatherization Training Center, which provides training and instruction in green jobs technology.

3. Areas of Excellence, Program Awards, and Special Recognitions: In 2011, PTC was selected as a Leader College in the “Achieving the Dream: Community Colleges Count” initiative. The initiative is a five-year, national endeavor aimed at improving student outcomes in community colleges, particularly among low-income students and students of color. In 2006, PTC began participation in “Foundations of Excellence in the First College Year” through the Policy Center on the First Year of College. Pulaski Tech also has been fortunate to receive a number of grants that provide resources for learner support services, program development and improvement, and capital needs.

PLANNING AND PERFORMANCE MEASUREMENT

In order to promote continuous planning and improvement, PTC will do the following:

Objective/Strategy 1: Annually update and continuously monitor the PTC Operating Plan, which assigns responsibility, sets target dates, and identifies resource needs for the accomplishment of College and departmental goals.

Objective/Strategy 2: Enhance continuous communication with business, industry, and community leaders about the education and training needs of central Arkansas and conduct regular needs analyses.

PULASKI TECHNICAL COLLEGE
Dr. Margaret A. Ellibee, President

Objective/Strategy 3: Continue to develop and implement measures of institutional effectiveness to include retention rates, graduation rates, and student/graduate/employer satisfaction as means of continuous evaluation and improvement of PTC programs and services.

Objective/Strategy 4: Maintain an active program for assessment of student achievement as a means for continuous evaluation and improvement of PTC instructional programs.

Objective/Strategy 5: Continuously monitor and evaluate the productivity and effectiveness of all instructional programs.

Objective/Strategy 6: Continue to enhance data support for institutional decision making, to include planning, budgeting, and institutional assessment.

Objective/Strategy 7: Maintain a participatory, coordinated process for planning, budgeting, and assessment to ensure that institutional decisions are aligned with institutional needs and priorities.

Objective/Strategy 8: Maintain an environment that encourages and recognizes innovation and continuous program improvement.

GENERAL REVENUE REQUEST

Pulaski Technical College is a formula-driven entity and did not make a request for general revenue. The increase in general revenue funding is the result of the recommendation made by the Arkansas Higher Education Coordinating Board. The Coordinating Board recommended general revenue of \$22,063,613 and Workforce 2000 funding of \$1,988,535 for 2013-2014 and general revenue of \$22,616,812 and Workforce 2000 funding of \$1,988,535 for 2014-2015. The College's current general revenue is \$14,457,088.

Pulaski Tech has tremendous needs in terms of personnel and instructional technology support; therefore, the College's plans in the biennium are to hire 22 faculty members, a 12-month allied health instructor, a risk manager, a director of

PULASKI TECHNICAL COLLEGE
Dr. Margaret A. Ellibee, President

systems programming and database services, a project/program director for student services at Little Rock-South and Little Rock-West locations, an associate vice president for finance, and project/program managers for instructional technology, supplemental instruction, financial aid (two positions), graduation/credential attainment, veterans services, and the Weatherization Training Center, and four special instructors for the Weatherization Training Center.

Additionally, funds will be used to handle increases in academic and student support services, instructional technology, maintenance and operation (to include utility increases), energy efficiency initiatives, and safety and security.

CASH APPROPRIATION REQUEST

Pulaski Tech requests continuing-level cash appropriations for the 2013-2015 biennium.

PERSONAL SERVICES REQUEST

Pulaski Tech's primary justification for requesting additional/new positions is based on the College's continued significant growth with a fall 2012 headcount enrollment in college credit programs of approximately 12,000 students, making Pulaski Tech the largest two-year college and fourth largest higher education institution in Arkansas. In spring 2011 (at the time of the College's last Personal Services Request), PTC had a headcount enrollment of 11,461. Continued growth requires that the College address staffing needs. Pulaski Tech now operates in seven locations throughout a four-county service area.

In light of the College's growth and in support of its mission, the Arkansas Department of Higher Education has recommended 37 new non-classified positions for the 2013-2015 biennium:

1. Risk Manager - Responsible for developing and writing policies, reviewing practices and developing procedures to promote safety on campus and limit the risk to the College.
2. Director of Systems Programming and Database Services - Responsible for providing oversight, direction and management of a growing workforce in the College's software programming and database services.
3. Project/Program Director (Director of Student Services at Little Rock-South and Little Rock-West) - This position is needed to supervise staff and coordinate all student services at the College's Little Rock-South and Little Rock-

PULASKI TECHNICAL COLLEGE
Dr. Margaret A. Ellibee, President

West locations in collaboration with the main campus in North Little Rock.

4. Associate Vice President for Finance - This position is needed to help manage the normal day-to-day processes of the Business Office, in addition to providing a reduction in succession risk.
5. Project/Program Manager (Instructional Technologist/Designer) - This position is needed to assist in improving online student outcomes via course design improvement in the Distance Learning department.
6. Project/Program Manager (Coordinator of Supplemental Instruction) - This position is needed to supervise, evaluate and provide reporting for the College's growing supplemental instruction program.
7. Project/Program Manager (Financial Aid – two positions) - These positions are needed to support current numbers of students receiving financial assistance, as well as future growth. The College experiences an extremely high volume of students selected for financial aid verification (well beyond the 30 percent national average); therefore, additional staff support is crucial.
8. Project/Program Manager (Graduation/Credential Attainment Coordinator) - This position will report to the Registrar and will be responsible for all aspects of identification of potential graduates and the commencement ceremony.
9. Project/Program Manager (Veterans Services Coordinator) - The College serves a significant number of veterans, and this position is needed to provide them with enrollment assistance, degree planning, advising, and referral and assistance with campus and community resources.
10. Project/Program Manager (Director of Weatherization Training Center (P)) - The College received American Recovery and Reinvestment Act funds from the Arkansas Department of Human Services Arkansas Weatherization Training Center to train and credential the state's weatherization workforce. ARRA funds expire in March 2013, and the College expects ADHS to provide some funding for the center and its staff; however, the amount is unknown at this time.
11. Special Instructor (Program Director for Dental Assisting) - This position is needed to complete the year-round, program-specific admissions process and correspondence; assist students with job placement, registration and national boards; handle correspondence that is received throughout the summer; and to be available to complete year-round program director duties that arise from the Commission on Dental Accreditation.
12. Special Instructor (Weatherization Training Center – 4 positions (P)) - The College received American Recovery and Reinvestment Act funds from the Arkansas Department of Human Services Arkansas Weatherization Training

PULASKI TECHNICAL COLLEGE
Dr. Margaret A. Ellibee, President

Center to train and credential the state's weatherization workforce. ARRA funds expire in March 2013, and the College expects ADHS and the Arkansas Energy Office to provide some funding for the center and its staff; however, the amount is unknown at this time.

13. Project/Program Specialist (Clinical Preceptor Coordinator) - This position will monitor students and equipment at numerous clinical sites in the metropolitan area (and soon to be across the state). This position also will ensure that preceptors in area hospitals are trained and actively involved in the clinical rotations.
14. Director of Engineering Systems (Building Services) - This position will ensure that all preventive maintenance, repair and service to campus heating, ventilation and air conditioning services is conducted, resulting in a cost savings in energy and equipment replacement.
15. Instructor (Nursing/Respiratory Therapy) - The instructor will coordinate and supervise a clinical group, as well as teach theory courses and skills labs to include simulation labs to meet minimum accreditation standards during each of the three semesters/terms.
16. 22 faculty positions - Additional full-time faculty positions are needed to address the College's growth. The College has budgeted all of the currently authorized full-time faculty positions. Additionally, there is a tremendous need to improve the full-time to part-time faculty ratio.

In addition to the 37 positions recommended by the Arkansas Department of Higher Education, the College has requested a net increase of 27 classified administrative support staff positions, ranging from information technology personnel to plant maintenance employees from the state Office of Personnel Management.

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**INSTITUTION APPROPRIATION SUMMARY
2013-15 BIENNIUM**

INSTITUTION PULASKI TECHNICAL COLLEGE

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2011-2012		2012-13		2012-13		2013-14				2014-15			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	16,442,929		16,795,449		23,110,031		24,052,148		24,052,148		24,605,347		24,605,347	
2 CASH	127,755,446		398,200,000		398,200,000		398,200,000		398,200,000		398,200,000		398,200,000	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$144,198,375	732	\$414,995,449	864	\$421,310,031	950	\$422,252,148	1,026	\$422,252,148	997	\$422,805,347	1,026	\$422,805,347	997
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*	0	0%	349,826	0%			0	0%	0	0%	0	0%	0	0%
13 GENERAL REVENUE	14,665,724	10%	14,457,088	3%			22,063,613	5%	22,063,613	5%	22,616,812	5%	22,616,812	5%
14 EDUCATIONAL EXCELLENCE TRUST FUN	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
15 WORKFORCE 2000	2,127,031	1%	1,988,535	0%			1,988,535	0%	1,988,535	0%	1,988,535	0%	1,988,535	0%
16 CASH FUNDS	35,471,326	25%	63,200,000	15%			63,200,000	15%	63,200,000	15%	63,200,000	15%	63,200,000	15%
17 SPECIAL REVENUES		0%		0%				0%	0	0%	0	0%	0	0%
18 FEDERAL FUNDS	92,284,120	64%	335,000,000	81%			335,000,000	79%	335,000,000	79%	335,000,000	79%	335,000,000	79%
19 TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
20 OTHER FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
21 TOTAL INCOME	\$144,548,201	100%	\$414,995,449	100%			\$422,252,148	100%	\$422,252,148	100%	\$422,805,347	100%	\$422,805,347	100%
22 EXCESS (FUNDING)/APPROPRIATION	-\$349,826		\$0				\$0		\$0		\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2012:	\$15,403,745
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$1,327,371
INVENTORIES	\$30,694
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	\$371,518
INSURANCE DEDUCTIBLES	\$25,000
MAJOR CRITICAL SYSTEMS FAILURES	\$200,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$7,500,000
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)debt service	\$3,036,173
OTHER (FOOTNOTE BELOW) operating exp Finish Line & Big Rock Bistro estimate	\$300,000
UNRESERVED EDUCATIONAL & GENEREAL FUND BALANCE	\$2,612,989

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.
NOTE: OTHER is estimated Operating expenses for the Finish Line Café and Big Rock Bistro

**APPROPRIATION ACT FORM - STATE TREASURY
2013-15 BIENNIUM**

FUND CTP0000

INSTITUTION PULASKI TECHNICAL COLLEGE

APPROPRIATION 734

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES	16,435,690	16,795,449	23,110,031	24,052,148	24,605,347		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING							
5 OPERATING EXPENSES							
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES							
8 CAPITAL OUTLAY							
9 FUNDED DEPRECIATION							
10 WC Admin Cost Transfer	5,915						
11 Surety Premium Transfer	1,324						
12							
13 TOTAL APPROPRIATION	\$16,442,929	\$16,795,449	\$23,110,031	\$24,052,148	\$24,605,347	\$0	\$0
14 PRIOR YEAR FUND BALANCE**		349,826					
15 GENERAL REVENUE	14,665,724	14,457,088		22,063,613	22,616,812		
16 EDUCATIONAL EXCELLENCE TRUST FUND							
17 SPECIAL REVENUES * [WF2000]	2,127,031	1,988,535		1,988,535	1,988,535		
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS							
21 TOTAL INCOME	\$16,792,755	\$16,795,449		\$24,052,148	\$24,605,347	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	-\$349,826	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS
2013-15 BIENNIUM**

FUND 2930000

INSTITUTION PULASKI TECHNICAL COLLEGE

APPROPRIATION B66

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES	8,196,226	18,800,000	18,800,000	18,800,000	18,800,000		
2 EXTRA HELP WAGES	1,462,714	3,500,000	3,500,000	3,500,000	3,500,000		
3 OVERTIME	6,807	100,000	100,000	100,000	100,000		
4 PERSONAL SERVICES MATCHING	6,252,279	25,000,000	25,000,000	25,000,000	25,000,000		
5 OPERATING EXPENSES	103,395,507	250,100,000	250,100,000	250,045,000	250,040,000		
6 CONFERENCE FEES & TRAVEL	471,231	700,000	700,000	700,000	700,000		
7 PROFESSIONAL FEES AND SERVICES	1,053,271	7,000,000	7,000,000	7,000,000	7,000,000		
8 CAPITAL OUTLAY	765,261	8,000,000	8,000,000	8,000,000	8,000,000		
9 CAPITAL IMPROVEMENTS		75,000,000	75,000,000	75,000,000	75,000,000		
10 DEBT SERVICE	6,123,659	10,000,000	10,000,000	10,000,000	10,000,000		
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS							
12 PROMOTIONAL ITEMS	28,491			55,000	60,000		
13							
14							
15							
16 TOTAL APPROPRIATION	\$127,755,446	\$398,200,000	\$398,200,000	\$398,200,000	\$398,200,000	\$0	\$0
17 PRIOR YEAR FUND BALANCE***							
18 TUITION AND MANDATORY FEES	28,872,436	30,155,000		31,500,000	32,500,000		
19 ALL OTHER FEES	894,510	1,120,000		1,120,000	1,200,000		
20 SALES AND SERVICES RELATED TO	1,239,310	1,575,000		1,575,000	1,595,000		
21 INVESTMENT INCOME	344,920	350,000		350,000	350,000		
22 FEDERAL CASH FUNDS	92,284,120	335,000,000		335,000,000	335,000,000		
23 OTHER CASH FUNDS	4,120,150	30,000,000		28,655,000	27,555,000		
24 TOTAL INCOME	\$127,755,446	\$398,200,000		\$398,200,000	\$398,200,000	\$0	\$0
25 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED 2012-13	REQUEST	RECOMMEND	LEGISLATIVE RECOMMENDATION	
				2013-14	2013-14	2013-14	2014-15
REGULAR POSITIONS	732	864	950	1,026	997		
TOBACCO POSITIONS							
EXTRA HELP **	300	300	300	400	400		

Actual do not reflect everything processed through cash funds such as refunds on all forms of financial aid.

FORM 13-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

PULASKI TECHNICAL COLLEGE
 (NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2011-12				BUDGETED 2012-13			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *				0				0
2 HOUSING				0				0
3 FOOD SERVICES				0				0
4 STUDENT UNION				0				0
5 BOOKSTORE	680,972			680,972	650,000			650,000
6 STUDENT ORGANIZATIONS AND PUBLICATIONS				0				
7 OTHER Snack & Beverage Vending	55,341			55,341	63,000			63,000
8 SUBTOTAL	736,313	0	0	736,313	713,000	0	0	713,000
9 ATHLETIC TRANSFER **				0				0
10 OTHER TRANSFERS ***				0				0
11 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	736,313	0	0	736,313	713,000	0	0	713,000

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 13-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 7 Other - Transfer from Snack and Beverage Vending

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

PULASKI TECHNICAL COLLEGE
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2011-12:						743
(As of November 1, 2011)						
Nonclassified Administrative Employees:						
White Male:	37	Black Male:	11	Other Male:	2	Total Male: 50
White Female:	59	Black Female:	18	Other Female:	1	Total Female: 78
Nonclassified Health Care Employees:						
White Male:	_____	Black Male:	_____	Other Male:	_____	Total Male: 0
White Female:	_____	Black Female:	_____	Other Female:	_____	Total Female: 0
Classified Employees:						
White Male:	26	Black Male:	13	Other Male:	_____	Total Male: 39
White Female:	41	Black Female:	23	Other Female:	3	Total Female: 67
Faculty:						
White Male:	197	Black Male:	36	Other Male:	5	Total Male: 238
White Female:	220	Black Female:	47	Other Female:	4	Total Female: 271
Total White Male:	260	Total Black Male:	60	Total Other Male:	7	Total Male: 327
Total White Female:	320	Total Black Female:	88	Total Other Female:	8	Total Female: 416
Total White:	580	Total Black:	148	Total Other:	15	Total Employees: 743
				Total Minority:	163	

FORM 13-6

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$25,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2012
 Required by A.C.A. 25-36-104

Institution

PULASKI TECHNICAL COLLEGE

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
N/A							

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$1,175,708
 (Total Expenditures equals ALL Contracts Exceeding \$25,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

**DIVISION OF LEGISLATIVE AUDIT
AUDIT OF PULASKI TECHNICAL COLLEGE
June 30, 2011**

<i>Finding:</i>	No Findings noted
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RICH MOUNTAIN COMMUNITY COLLEGE

Dr. Phillip Wilson, President

ENABLING LAW(S)

Act 237 of 2012

INSTITUTION HISTORY AND ORGANIZATION

Mission

Rich Mountain Community College exists to provide all residents of the Ouachita Mountain Region with exemplary educational and enrichment opportunities to improve the quality of life and standard of living.

Vision

Rich Mountain Community College is committed to being an institution of distinction where an exceptional faculty and staff serve to awaken students to a love of learning. The college is dedicated to empowering each individual to pursue a higher quality of life by providing access to dynamic, lifelong learning opportunities.

The college's caring approach to teaching and learning allows individuals to enhance and expand their knowledge base and to enrich their lives through intellectual challenge and self-discovery with the support of skilled human resources and of emergent technologies. The college demonstrates respect for the worth and dignity of each person, and recognizes the uniqueness of each individual. In addition, the College encourages personal growth by offering affordable, high quality, accessible education in preparation for transfer and technical degrees, workforce development, career advancement, and personal enrichment.

Values

Rich Mountain Community College, as a leader in a growing community, and a vibrant contributor to the quality of life of the Ouachita Mountain Region, continues to develop an exemplary learning environment. Success in this endeavor hinges on turning the following values into action:

All who choose to use Rich Mountain Community College's resources are treated with dignity and are

RICH MOUNTAIN COMMUNITY COLLEGE
Dr. Phillip Wilson, President

challenged to maximize their potential.

All students are given the opportunity and are encouraged to accept responsibility for learning and growing as individuals and citizens.

All College personnel are committed to providing an atmosphere of respect and cooperation where ideas, inquiry, and the continued pursuit for self-development are valued as means towards learning and growth.

All College personnel are dedicated to responsible stewardship and continued sustainability of human, fiscal, and natural resources with which we have been entrusted.

Philosophy and Scope

Rich Mountain Community College recognizes the worth and dignity of the individual, as exemplified by its commitment to serving the multi-dimensional educational needs of its constituency.

Toward that end, Rich Mountain Community College endeavors to provide academic, vocational, and personal enrichment opportunities and dedicates itself to quality programs in each of these areas. Guided by these principles the scope of the college is as follows:

To offer associate degrees, technical degrees and certificates of proficiency in occupational education for students who wish to gain competence in specific skill areas or to upgrade their skills.

To provide the first two years of university parallel course work of high academic quality for students who transfer to senior institutions.

To provide foundational based educational programs for students who need basic academic skills.

RICH MOUNTAIN COMMUNITY COLLEGE
Dr. Phillip Wilson, President

To provide services for students who desire academic, vocational, financial, and personal guidance.

To offer the facilities and resources of the College to promote educational, civic, and cultural endeavors within the community.

To provide programs and services that support and enhance the social, civic, and economic development of our community and state.

To offer a variety of programs and courses designed to meet academic, vocational, and personal enrichment needs.

Strategic Plan Major Goals

Goal #1 Access to Higher Education

Rich Mountain Community College continues to provide the Ouachita Mountain Region with accessible, quality, and affordable education.

RMCC will provide the service area's population with expanded access to educational opportunities for those who seek lifelong learning. In addition to serving traditional and nontraditional students, special programs are available to the disadvantaged, those requiring foundational based studies, or students wanting to enter the workforce. The College will continue to provide best value and seek out every viable opportunity to augment its ability to further serve the community.

Goal #2 Student Success

Rich Mountain Community College is committed to student success by providing programs and services that will help students achieve their educational and career goals.

RMCC promotes student success at every level of the academic process. Foundational based education programs are available for students who need to improve math, reading, and writing skills. Qualified, dedicated faculty are committed to

RICH MOUNTAIN COMMUNITY COLLEGE

Dr. Phillip Wilson, President

student learning. The Director of Student Success Initiatives develops and maintains collaborative activities geared toward student success in and out of the classroom. Federal, state, and student services programs offer additional resources to students.

Student success is a priority at RMCC upon initial contact with the student through degree completion.

Following the recruitment and admissions process, RMCC has extensive placement testing and a developmental education program for students who lack sufficient math, reading and writing scores. Freshmen are required to take College Success Orientation (CSO) their first semester in college. Furthermore, besides boasting of qualified, dedicated faculty who are committed to student learning, RMCC also has a Director of Student Success Initiatives who develops and maintains collaborative initiatives geared toward student success in and out of the classroom. To further promote student success, RMCC has Career Pathways Initiatives and four TRiO programs on campus: Educational Opportunities Center, Student Support Services, Educational Talent Search, and Upward Bound. Additionally, RMCC staff contributes vast time and effort to ensure student success at every level of the academic process.

Goal #3 Quality Learning Environment

RMCC will create a quality learning environment by promoting excellence in learning and teaching while maintaining state of the art facilities and technology.

Rich Mountain Community College provides an effective teaching and learning environment. Quality educational programs and services are accessible and responsive to the needs and interests of the communities it serves. Faculty and staff foster a supportive challenging atmosphere dedicated to serving those who will become tomorrow's leaders and actively engaged members of society.

Goal #4 Educational Partnerships

RICH MOUNTAIN COMMUNITY COLLEGE
Dr. Phillip Wilson, President

Rich Mountain Community College is committed to student success by providing programs and services that will help students achieve their educational and career goals.

Working collaboratively with communities and schools, Rich Mountain Community College seeks to improve academic achievement and expand post-secondary educational opportunities for students. RMCC works with teachers, counselors, administrators, and families to foster systemic change and build a college-going culture. Through a comprehensive program of articulation and course transfer agreements including advanced degree partnerships with colleges and universities, RMCC contributes to economic growth through teaching, outreach, and cultural enhancement.

Goal #5 Development of Resources

Rich Mountain Community College strives to develop and improve our programs and services by seeking additional financial, physical, and human resource opportunities.

RMCC strives to develop additional sources of funding. The College actively pursues public and private sector support, as well as grants to enhance programs and services.

Goal #6 Continuous Planning Improvement of Outreach Centers

Rich Mountain Community College will develop all off-campus sites to meet the needs of the communities they serve.

RMCC actively supports and commits significant resources toward continued development of the service area through the operation of outreach centers in Polk, Montgomery, and Scott Counties. Further development of these sites is essential for individuals in these areas to receive superior educational opportunities. The College fosters growth in these areas through continued communication with all interested parties.

Rich Mountain Community College serves a low income three county area. Polk, Scott, and Montgomery counties are geographically isolated with only a small percentage of residents having attained a bachelor's degree or higher. The College actively supports continued development of the service area through the operation of off-campus sites in Mount Ida, Waldron, and Polk County. RMCC has committed significant funds to the continued development of these sites by purchasing facilities

RICH MOUNTAIN COMMUNITY COLLEGE
Dr. Phillip Wilson, President

in Mount Ida and by securing a long term lease in Waldron. The College will continue to foster the growth in these areas through continued communication with community leaders, students, and faculty. Further development of these sites is essential for the continued growth of the College and is necessary for individuals in the areas to receive adequate educational opportunities.

**INSTITUTION APPROPRIATION SUMMARY
2013-15 BIENNIUM**

INSTITUTION RICH MOUNTAIN COMMUNITY COLLEGE

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2011-2012		2012-13		2012-13		2013-14				2014-15			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	3,418,204		3,397,702		3,620,658		3,526,305		3,526,305		3,607,410		3,607,410	
2 CASH	2,902,741		9,999,392		9,999,392		9,860,742		9,860,742		10,110,742		10,110,742	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$6,320,945	94	\$13,397,094	95	\$13,620,050	130	\$13,387,047	130	\$13,387,047	130	\$13,718,152	130	\$13,718,152	130
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*	0	0%	1,553	0%			0	0%	0	0%	0	0%	0	0%
13 GENERAL REVENUE	3,228,808	51%	3,201,250	24%			3,331,406	25%	3,331,406	25%	3,412,511	25%	3,412,511	25%
14 EDUCATIONAL EXCELLENCE TRUST FUND	190,949	3%	194,899	1%			194,899	1%	194,899	1%	194,899	1%	194,899	1%
15 WORKFORCE 2000	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
16 CASH FUNDS	2,157,618	34%	6,914,800	52%			7,060,742	53%	7,060,742	53%	7,310,742	53%	7,310,742	53%
17 SPECIAL REVENUES		0%		0%				0%	0	0%		0%	0	0%
18 FEDERAL FUNDS	745,123	12%	3,084,592	23%			2,800,000	21%	2,800,000	21%	2,800,000	20%	2,800,000	20%
19 TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
20 OTHER FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
21 TOTAL INCOME	\$6,322,498	100%	\$13,397,094	100%			\$13,387,047	100%	\$13,387,047	100%	\$13,718,152	100%	\$13,718,152	100%
22 EXCESS (FUNDING)/APPROPRIATION	-\$1,553		\$0				\$0		\$0		\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2012:	\$2,240,960
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$225,000
INVENTORIES	\$150,000
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$20,000
MAJOR CRITICAL SYSTEMS FAILURES	\$925,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$800,000
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$100,000
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$20,960

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2013-15 BIENNIUM**

FUND CWR0000

INSTITUTION RICH MOUNTAIN COMMUNITY COLLEGE

APPROPRIATION 112

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES	2,361,320	2,337,562	2,430,000	2,375,000	2,395,000		
2 EXTRA HELP WAGES	25,000	25,000	35,000	30,000	30,000		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	500,000	500,000	525,000	525,000	545,000		
5 OPERATING EXPENSES	469,834	472,000	500,000	500,000	525,000		
6 CONFERENCE FEES & TRAVEL	23,436	23,140	30,658	36,305	37,410		
7 PROFESSIONAL FEES AND SERVICES							
8 CAPITAL OUTLAY	38,614	40,000	100,000	60,000	75,000		
9 FUNDED DEPRECIATION							
10							
11							
12							
13 TOTAL APPROPRIATION	\$3,418,204	\$3,397,702	\$3,620,658	\$3,526,305	\$3,607,410	\$0	\$0
14 PRIOR YEAR FUND BALANCE**		1,553					
15 GENERAL REVENUE	3,228,808	3,201,250		3,331,406	3,412,511		
16 EDUCATIONAL EXCELLENCE TRUST FUND	190,949	194,899		194,899	194,899		
17 SPECIAL REVENUES * [WF2000]							
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS							
21 TOTAL INCOME	\$3,419,757	\$3,397,702		\$3,526,305	\$3,607,410	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	-\$1,553	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM 13-3

**APPROPRIATION ACT FORM - CASH FUNDS
2013-15 BIENNIUM**

FUND 2190000

INSTITUTION RICH MOUNTAIN COMMUNITY COLLEGE

APPROPRIATION A26

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES	1,309,641	3,813,202	3,813,202	2,667,142	2,767,142		
2 EXTRA HELP WAGES	228,799	237,952	237,952	325,000	325,000		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	403,810	1,618,301	1,618,301	1,350,000	1,400,000		
5 OPERATING EXPENSES	895,093	2,220,817	2,220,817	1,500,000	1,600,000		
6 CONFERENCE FEES & TRAVEL	57,637	367,744	367,744	353,600	353,600		
7 PROFESSIONAL FEES AND SERVICES	843	10,816	10,816	15,000	15,000		
8 CAPITAL OUTLAY	6,918	703,040	703,040	3,000,000	3,000,000		
9 CAPITAL IMPROVEMENTS		811,200	811,200	300,000	300,000		
10 DEBT SERVICE		216,320	216,320	350,000	350,000		
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS							
12							
13							
14							
15							
16 TOTAL APPROPRIATION	\$2,902,741	\$9,999,392	\$9,999,392	\$9,860,742	\$10,110,742	\$0	\$0
17 PRIOR YEAR FUND BALANCE***							
18 TUITION AND MANDATORY FEES	1,861,211	1,812,042		1,812,042	1,812,042		
19 ALL OTHER FEES							
20 SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS							
21 INVESTMENT INCOME	2,320	10,000		10,000	10,000		
22 FEDERAL CASH FUNDS	745,123	3,084,592		2,800,000	2,800,000		
23 OTHER CASH FUNDS	294,087	5,092,758		5,238,700	5,488,700		
24 TOTAL INCOME	\$2,902,741	\$9,999,392		\$9,860,742	\$10,110,742	\$0	\$0
25 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED 2012-13	REQUEST	RECOMMEND	LEGISLATIVE RECOMMENDATION	
				2013-14	2013-14	2013-14	2014-15
REGULAR POSITIONS	94	95	130	130	130		
TOBACCO POSITIONS							
EXTRA HELP **	65	70	80	80	80		

FORM 13-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

RICH MOUNTAIN COMMUNITY COLLEGE
(NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2011-12				BUDGETED 2012-13			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *				0				0
2 HOUSING				0				0
3 FOOD SERVICES				0				0
4 STUDENT UNION				0				0
5 BOOKSTORE	376,398	359,319		17,079	375,000	345,975		29,025
6 STUDENT ORGANIZATIONS AND PUBLICATIONS				0				
7 OTHER				0				0
8 SUBTOTAL	376,398	359,319	0	17,079	375,000	345,975	0	29,025
9 ATHLETIC TRANSFER **				0				0
10 OTHER TRANSFERS ***				0				0
11 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	376,398	359,319	0	17,079	375,000	345,975	0	29,025

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

FORM 13-5

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

RICH MOUNTAIN COMMUNITY COLLEGE
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2011-12:					176
(As of November 1, 2011)					
Nonclassified Administrative Employees:					
White Male:	16	Black Male:	_____	Other Male:	_____
White Female:	65	Black Female:	_____	Other Female:	_____
Total		Total		Male:	16
Total		Total		Female:	65
Nonclassified Health Care Employees:					
White Male:	0	Black Male:	_____	Other Male:	_____
White Female:	0	Black Female:	_____	Other Female:	_____
Total		Total		Male:	0
Total		Total		Female:	0
Classified Employees:					
White Male:	4	Black Male:	_____	Other Male:	_____
White Female:	14	Black Female:	_____	Other Female:	_____
Total		Total		Male:	4
Total		Total		Female:	14
Faculty:					
White Male:	34	Black Male:	_____	Other Male:	_____
White Female:	43	Black Female:	_____	Other Female:	_____
Total		Total		Male:	34
Total		Total		Female:	43
Total White Male:	54	Total Black Male:	0	Total Other Male:	0
Total White Female:	122	Total Black Female:	0	Total Other Female:	0
Total White:	176	Total Black:	0	Total Other:	0
				Total Minority:	0
Total		Total		Employees:	176

FORM 13-6

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$25,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2012
 Required by A.C.A. 25-36-104

Institution

RICH MOUNTAIN COMMUNITY COLLEGE

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
N/A							

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED N/A
 (Total Expenditures equals ALL Contracts Exceeding \$25,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

**DIVISION OF LEGISLATIVE AUDIT
AUDIT OF RICH MOUNTAIN COMMUNITY COLLEGE
June 30, 2011**

<i>Finding:</i>	No Findings noted
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SOUTH ARKANSAS COMMUNITY COLLEGE

Dr. Barbara R. Jones, President

ENABLING LAWS

Act 171 of 1975, Act 1244 of 1991 and Act 122 of 2012

INSTITUTION HISTORY AND ORGANIZATION

Amendment Number 52 to the Arkansas Constitution provides the constitutional authority for the establishment of state-supported community colleges in Arkansas. Arkansas Code Title 6 Education, Chapter 61 Post Secondary Institutions Generally, Subchapters 5 and 6 provide the statutory authority for the establishment and operation of the college.

The original Oil Belt Vocation –Technical School located on East Main opened its doors in 1967. Act 1244 of 1991 authorized the name change to Oil Belt Technical College in 1991 and authorized an expanded mission.

Act 171 of the regular session of 1975 authorized Southern State College to establish a branch campus in El Dorado beginning July 1, 1975, to be known as the Southern State College, El Dorado Branch. In 1976 the name was changed to Southern Arkansas University, El Dorado Branch. The Branch was governed by the Board of Trustees of the Southern Arkansas University.

Under the Arkansas Code provisions noted above, a Steering Committee was formed to gather signatures calling for a special election to merge Oil Belt Technical College, a state-supported technical college governed by the State Board of Higher Education and Southern Arkansas University - El Dorado Branch, a two-year state-supported branch governed by the Southern Arkansas University Board of Trustees. Both boards endorsed holding the election and on March 31, 1992, the majority of the electors voting in the special election in Union County established the Union County Community College District and authorized a one-half mill levy on the real and personal property of Union County to support the College. The Governor appointed the first Board of Trustees and it named the institution the South Arkansas Community College. The Board was officially sworn in on April 14, 1992. The College had a transition period until July 1, 1992, when the predecessor colleges were officially abolished and the new college began operation under its own budgetary authority and the new Board of Trustees. The College operates its East Campus (formerly Oil Belt Technical College) and its West Campus (formerly Southern Arkansas University-El Dorado Branch) in El Dorado. In 2003, the College began offering classes in Warren.

SOUTH ARKANSAS COMMUNITY COLLEGE

Dr. Barbara R. Jones, President

The South Arkansas Community College-West Campus stands as a historical site for educational institutions in South Arkansas. The College, located at 300 South West Avenue still includes the Junior College Building which was built in 1905 with the site being continually used for public education since 1858.

Currently, Act 122 of the Fiscal Session of 2012 authorizes an appropriation for Personal Services and Operating Expenses.

Board of Trustees

South Arkansas Community College is governed by a nine-member Board of Trustees.

Under the option selected by the Board of Trustees as provided by Act 1349 of 1995, the positions on the Board shall become vacant as current terms expire and persons who are residents and qualified electors of the community college district shall be appointed by the Governor for a term of six (6) years.

Mission Statement

South Arkansas Community College promotes excellence in learning, teaching, and service; provides lifelong educational opportunities; and serves as a cultural, intellectual, and economic resource for the community.

Purposes

The primary activities of South Arkansas Community College are outlined in the College's purposes as follows: to provide an environment that fosters excellence in learning and teaching; to provide a university transfer program of high academic quality for students who plan to continue their education; to offer degree and certificate programs to develop or enhance occupational skills that prepare learners for a changing global economy; to provide developmental courses to prepare students to do college level work; to provide adult education and workforce development; to provide comprehensive student services to enhance students' success; to promote the civic and cultural life and the economic development of the community; and to make education accessible through innovative instructional methods.

SOUTH ARKANSAS COMMUNITY COLLEGE
Dr. Barbara R. Jones, President

Program Review

The College has established program advisory committees for each occupational/technical program. Each program advisory committee meets at least annually for the purpose of reviewing the program's curriculum and suggesting changes to meet the needs of the business/industry served by the program.

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**INSTITUTION APPROPRIATION SUMMARY
2013-15 BIENNIUM**

INSTITUTION SOUTH ARKANSAS COMMUNITY COLLEGE

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2011-2012		2012-13		2012-13		2013-14				2014-15			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	7,050,290		6,902,808		7,334,946		7,462,326		7,462,326		7,633,960		7,633,960	
2 CASH	9,901,455		23,871,580		23,871,580		35,303,015		35,303,015		35,303,015		35,303,015	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$16,951,745	231	\$30,774,388	243	\$31,206,526	330	\$42,765,341	330	\$42,765,341	330	\$42,936,975	330	\$42,936,975	330
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*	78,409	0%	0	0%			0	0%	0	0%	0	0%	0	0%
13 GENERAL REVENUE	6,042,987	36%	5,994,316	19%			6,553,834	15%	6,553,834	15%	6,725,468	16%	6,725,468	16%
14 EDUCATIONAL EXCELLENCE TRUST FUND	494,747	3%	504,983	2%			504,983	1%	504,983	1%	504,983	1%	504,983	1%
15 WORKFORCE 2000	431,613	3%	403,509	1%			403,509	1%	403,509	1%	403,509	1%	403,509	1%
16 CASH FUNDS	7,661,642	45%	15,814,335	51%			27,337,912	64%	27,337,912	64%	26,749,317	62%	26,749,317	62%
17 SPECIAL REVENUES		0%		0%				0%	0	0%		0%	0	0%
18 FEDERAL FUNDS	2,239,813	13%	8,057,245	26%			7,965,102	19%	7,965,102	19%	8,553,698	20%	8,553,698	20%
19 TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
20 OTHER FUNDS	2,534	0%	0	0%			0	0%	0	0%	0	0%	0	0%
21 TOTAL INCOME	\$16,951,745	100%	\$30,774,388	100%			\$42,765,340	100%	\$42,765,340	100%	\$42,936,975	100%	\$42,936,975	100%
22 EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$1		\$1		\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2012:	\$2,456,312
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$191,437
INVENTORIES	\$28,120
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$11,000
MAJOR CRITICAL SYSTEMS FAILURES	\$350,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$1,550,000
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$325,755

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2013-15 BIENNIUM**

FUND CWS0000

INSTITUTION SOUTH ARKANSAS COMMUNITY COLLEGE

APPROPRIATION 793

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES	4,629,787	4,680,000	4,680,000	4,680,000	4,680,000		
2 EXTRA HELP WAGES	79,000	75,000	75,000	100,000	100,000		
3 PERSONAL SERVICES MATCHING	1,343,500	1,336,000	1,336,000	1,400,000	1,400,000		
4 OPERATING EXPENSES	988,003	791,808	1,223,946	1,262,326	1,433,960		
5 CONFERENCE FEES & TRAVEL	10,000	10,000	10,000	10,000	10,000		
6 PROFESSIONAL FEES AND SERVICES							
7 CAPITAL OUTLAY		10,000	10,000	10,000	10,000		
8							
9							
10							
11 TOTAL APPROPRIATION	\$7,050,290	\$6,902,808	\$7,334,946	\$7,462,326	\$7,633,960	\$0	\$0
12 PRIOR YEAR FUND BALANCE**	78,409						
13 GENERAL REVENUE	6,042,987	5,994,316		6,553,834	6,725,468		
14 EDUCATIONAL EXCELLENCE TRUST FUND	494,747	504,983		504,983	504,983		
15 SPECIAL REVENUES * [WF2000]	431,613	403,509		403,509	403,509		
16 FEDERAL FUNDS IN STATE TREASURY							
17 TOBACCO SETTLEMENT FUNDS							
18 OTHER STATE TREASURY FUNDS	2,534						
19 TOTAL INCOME	\$7,050,290	\$6,902,808		\$7,462,326	\$7,633,960	\$0	\$0
20 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

FORM 13-3

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

Line 21 - Other State Treasury Fund

Tuition Adjustment

\$ 2,534.00

**APPROPRIATION ACT FORM - CASH FUNDS
2013-15 BIENNIUM**

FUND 2210000 INSTITUTION SOUTH ARKANSAS COMMUNITY COLLEGE APPROPRIATION B78

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES	2,932,608	3,997,169	3,997,169	8,895,663	8,895,663		
2 EXTRA HELP WAGES	341,498	304,500	304,500	1,044,591	1,044,591		
3 OVERTIME	856	27,075	27,075	81,225	81,225		
4 PERSONAL SERVICES MATCHING	1,217,445	1,870,009	1,870,009	3,744,429	3,744,429		
5 OPERATING EXPENSES	3,457,393	3,457,996	3,457,996	8,531,408	8,531,408		
6 CONFERENCE FEES & TRAVEL	178,589	378,640	378,640	781,498	781,498		
7 PROFESSIONAL FEES AND SERVICES	233,535	498,000	498,000	712,590	712,590		
8 CAPITAL OUTLAY	537,364	575,000	575,000	653,535	653,535		
9 CAPITAL IMPROVEMENTS	660,531	11,113,491	11,113,491	7,000,000	7,000,000		
10 DEBT SERVICE	278,521	500,000	500,000	833,076	833,076		
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS	51,878	1,129,700	1,129,700	3,000,000	3,000,000		
12 PROMOTIONAL ITEMS	11,237	20,000	20,000	25,000	25,000		
13							
14							
15							
16 TOTAL APPROPRIATION	\$9,901,455	\$23,871,580	\$23,871,580	\$35,303,015	\$35,303,015	\$0	\$0
17 PRIOR YEAR FUND BALANCE***							
18 TUITION AND MANDATORY FEES	4,089,682	4,212,373		4,422,991	4,644,141		
19 ALL OTHER FEES	530,402	546,314		573,630	602,311		
20 SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	1,864,689	1,865,000		1,958,250	2,056,163		
21 INVESTMENT INCOME	52,156	50,000		50,000	50,000		
22 FEDERAL CASH FUNDS	2,239,813	8,057,245		7,965,102	8,553,698		
23 OTHER CASH FUNDS	1,124,713	9,140,648		20,333,041	19,396,702		
24 TOTAL INCOME	\$9,901,455	\$23,871,580		\$35,303,014	\$35,303,015	\$0	\$0
25 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED 2012-13	REQUEST		RECOMMENDATION	
				2013-14	2013-14	2013-14	2014-15
REGULAR POSITIONS	231	243	330	330	330		
TOBACCO POSITIONS							
EXTRA HELP **	75	75	175	175	175		

FORM 13-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

SOUTH ARKANSAS COMMUNITY COLLEGE
 (NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2011-12				BUDGETED 2012-13			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *				0				0
2 HOUSING				0				0
3 FOOD SERVICES	83,093	125,881		(42,788)	90,225	120,000		(29,775)
4 STUDENT UNION				0				0
5 BOOKSTORE	1,389,742	1,155,963		233,779	1,396,466	1,346,175		50,291
6 STUDENT ORGANIZATIONS AND PUBLICATIONS				0		0		
7 OTHER	348,038	369,144		(21,106)	217,425	329,304		(111,879)
8 SUBTOTAL	1,820,873	1,650,988	0	169,885	1,704,116	1,795,479	0	(91,363)
9 ATHLETIC TRANSFER **				0				0
10 OTHER TRANSFERS ***	93,236			93,236	91,363			91,363
11 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	1,914,109	1,650,988	0	263,121	1,795,479	1,795,479	0	0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 13-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 7 - Other - Transfers include Conference Center, Student Activities, Rental House, City of El Dorado and Interest Income

NOTE: Line 10 - Other Transfers - Transfers include E&G for Conference Center and Bookstore to E&G

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

SOUTH ARKANSAS COMMUNITY COLLEGE
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2011-12:						<u>228</u>
(As of November 1, 2011)						
Nonclassified Administrative Employees:						
White Male:	<u>16</u>	Black Male:	<u>3</u>	Other Male:	<u>1</u>	Total Male: <u>20</u>
White Female:	<u>28</u>	Black Female:	<u>1</u>	Other Female:	<u>1</u>	Total Female: <u>30</u>
Nonclassified Health Care Employees:						
White Male:	<u> </u>	Black Male:	<u> </u>	Other Male:	<u> </u>	Total Male: <u>0</u>
White Female:	<u> </u>	Black Female:	<u> </u>	Other Female:	<u> </u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>15</u>	Black Male:	<u>9</u>	Other Male:	<u> </u>	Total Male: <u>24</u>
White Female:	<u>26</u>	Black Female:	<u>6</u>	Other Female:	<u>1</u>	Total Female: <u>33</u>
Faculty:						
White Male:	<u>39</u>	Black Male:	<u>1</u>	Other Male:	<u>1</u>	Total Male: <u>41</u>
White Female:	<u>71</u>	Black Female:	<u>9</u>	Other Female:	<u> </u>	Total Female: <u>80</u>
Total White Male:	<u>70</u>	Total Black Male:	<u>13</u>	Total Other Male:	<u>2</u>	Total Male: <u>85</u>
Total White Female:	<u>125</u>	Total Black Female:	<u>16</u>	Total Other Female:	<u>2</u>	Total Female: <u>143</u>
Total White:	<u>195</u>	Total Black:	<u>29</u>	Total Other:	<u>4</u>	Total Employees: <u>228</u>
				Total Minority:	<u>33</u>	

FORM 13-6

**ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$25,000 AWARDED TO MINORITY OWNED BUSINESSES
Fiscal Year 2012
Required by A.C.A. 25-36-104**

Institution

SOUTH ARKANSAS COMMUNITY COLLEGE

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
N/A							
No contracts awarded over \$25,000 for minority of non-minority business.							

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED N/A
(Total Expenditures equals ALL Contracts Exceeding \$25,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

**DIVISION OF LEGISLATIVE AUDIT
AUDIT OF SOUTH ARKANSAS COMMUNITY COLLEGE
June 30, 2011**

<i>Finding:</i>	No Findings noted
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SOUTHERN ARKANSAS UNIVERSITY TECH

Dr. Corbet J. Lamkin, Chancellor

ENABLINGS LAWS

A.C.A. §24-7-1002, A.C.A. §19-5-503, A.C.A. §12-13-204, A.C.A. §12-13-201, A.C.A. §6-65-412, A.C.A. §6-65-409, A.C.A. §6-65-408, A.C.A. §6-65-404, A.C.A. §6-61-102 and Act 249 of 2012

INSTITUTION HISTORY AND ORGANIZATION

Southern Arkansas University Tech (ACT 249 of 2012-2013) is a public, not-for-profit, two-year college that specializes in technical training and offers the first two years of a university transfer program. The college is located approximately 100 miles south of Little Rock in the south central section of the State. Primarily, SAU Tech serves the counties of Ouachita, Columbia, Dallas, and Calhoun. According to the U.S. Census Bureau, Census 2010, population diversity in this service area is composed of 59.3% White, 37.6% Black or African American, 3.1% Other ethnic origins

The General Assembly of Arkansas created Southwest Technical Institute (SWTI) in 1967, with the purpose of providing a technically trained workforce for the growing Highland Industrial Park in which the institution was located. The Brown Foundation of Houston, Texas, which purchased the Shumaker Naval Ammunition Depot for use as an Industrial Park, donated 70 acres of land and 6 buildings, and this formed the initial physical facilities of the school. The State Board of Education operated SWTI until 1975 when, by an Act of the Arkansas legislature, Southwest Technical Institute became Southern Arkansas University Tech (SAU Tech), under the governance of the Board of Trustees of Southern Arkansas University. With this change, the college came under the jurisdiction of the Arkansas Department of Higher Education with the authority to grant up to and including the associate of arts, associate of science, and associate of applied science degrees.

In addition to offering traditional classroom courses, SAU Tech was one of the first colleges in Arkansas to receive approval through The Higher Learning Commission to offer its degrees online, and the status of its online offerings continues to thrive. SAU Tech is a diverse institution with several non-traditional programs under its umbrella. The college enjoys a sizeable enrollment in its high school concurrent credit program, which services eight counties in south Arkansas. The college also operates the Career Academy, which provides secondary vocational education programs for ten high schools in five surrounding counties. In addition, the college's Adult Education of Calhoun, Columbia and Ouachita Counties program provides basic skills training to adults with less than a high school education. Additionally, SAU Tech operates the Environmental Training Academy with a mission of providing statewide training to managers and

SOUTHERN ARKANSAS UNIVERSITY TECH
Dr. Corbet J. Lamkin, Chancellor

operating personnel in the operation of city and industrial water, wastewater, and solid waste facilities as well as backflow prevention certification. Also under SAU Tech's umbrella is the Arkansas Fire Training Academy. Through operations at the main site in Camden and through satellite operations in northeast, northwest, and central Arkansas, the Arkansas Fire Training Academy provides quality training and certification on a statewide basis to Arkansas fire services for fire and related emergency service programs. It also provides limited firefighter training on an international basis.

SAU Tech is unique in that it is the only college or university in the state that is located in an industrial park. Its location provides broad exposure to the industries located in the park and makes it well situated to address the educational needs of its industrial neighbors. SAU Tech understands its role in economic development and workforce training in south Arkansas through training opportunities for area business and industry as well as collaboration with other public and private entities in the area to develop strategies for economic growth.

The college's core values define the qualities and principles the institution regards as priorities and by which it will conduct its operations. Through The Higher Learning Commission's AQIP process, SAU Tech transformed its strategic plan, which included the development of a challenging vision statement that positions the institution for "*Leading Arkansas in Economic and Educational Transformations.*" SAU Tech recognizes its function as a two-year college in south Arkansas is multifaceted. The college's mission provides for quality educational programs delivered through various technologies and methodologies to meet the needs of its constituencies. SAU Tech believes it meets this mission through diverse programs, which afford educational opportunities to high school students, traditional as well as non-traditional students, adults requiring a high school equivalent, employees in the surrounding workforce, and statewide certification/licensure training. The college's strategic goals support its mission and focus on student success, access to higher education, quality programs, accountability and institutional effectiveness, resource development, and partnerships, collaborative efforts, and workforce and economic development.

**INSTITUTION APPROPRIATION SUMMARY
2013-15 BIENNIUM**

INSTITUTION SOUTHERN ARKANSAS UNIVERSITY TECH

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2011-2012		2012-13		2012-13		2013-14				2014-15			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	5,876,733		5,881,324		6,571,027		6,672,419		6,672,419		6,825,885		6,825,885	
2 CASH	11,681,029		17,740,000		17,740,000		19,625,000		19,625,000		19,625,000		19,625,000	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$17,557,762	168	\$23,621,324	208	\$24,311,027	231	\$26,297,419	233	\$26,297,419	233	\$26,450,885	233	\$26,450,885	233
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*	0	0%	43,055	0%			0	0%	0	0%	0	0%	0	0%
13 GENERAL REVENUE	5,681,696	32%	5,639,168	24%			6,473,318	25%	6,473,318	25%	6,626,784	25%	6,626,784	25%
14 EDUCATIONAL EXCELLENCE TRUST FUND	195,037	1%	199,101	1%			199,101	1%	199,101	1%	199,101	1%	199,101	1%
15 WORKFORCE 2000	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
16 CASH FUNDS	5,957,149	34%	11,740,000	50%			13,125,000	50%	13,125,000	50%	13,125,000	50%	13,125,000	50%
17 SPECIAL REVENUES		0%		0%				0%	0	0%		0%	0	0%
18 FEDERAL FUNDS	5,723,880	33%	6,000,000	25%			6,500,000	25%	6,500,000	25%	6,500,000	25%	6,500,000	25%
19 TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
20 OTHER FUNDS	43,055	0%	0	0%			0	0%	0	0%	0	0%	0	0%
21 TOTAL INCOME	\$17,600,817	100%	\$23,621,324	100%			\$26,297,419	100%	\$26,297,419	100%	\$26,450,885	100%	\$26,450,885	100%
22 EXCESS (FUNDING)/APPROPRIATION	-\$43,055		\$0				\$0		\$0		\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2012:	\$2,891,144
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$330,440
INVENTORIES	\$13,000
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	\$50,000
INSURANCE DEDUCTIBLES	\$40,000
MAJOR CRITICAL SYSTEMS FAILURES	\$200,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$1,200,000
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$200,000
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$857,704

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2013-15 BIENNIUM**

FUND CSS0000

INSTITUTION SOUTHERN ARKANSAS UNIVERSITY TECH

APPROPRIATION 294

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES	3,819,994	3,910,417	4,100,142	4,163,408	4,259,167		
2 PERSONAL SERVICES MATCHING	1,056,432	933,433	1,133,433	1,150,922	1,177,393		
3 OPERATING EXPENSES	879,784	933,455	1,133,433	1,150,922	1,177,393		
4 CONFERENCE FEES & TRAVEL	58,104	62,339	62,339	63,301	64,757		
5 PROFESSIONAL FEES AND SERVICES							
6 CAPITAL OUTLAY	62,419	41,680	141,680	143,866	147,175		
7							
8							
9							
10 TOTAL APPROPRIATION	\$5,876,733	\$5,881,324	\$6,571,027	\$6,672,419	\$6,825,885	\$0	\$0
11 PRIOR YEAR FUND BALANCE**		43,055					
12 GENERAL REVENUE	5,681,696	5,639,168		6,473,318	6,626,784		
13 EDUCATIONAL EXCELLENCE TRUST FUND	195,037	199,101		199,101	199,101		
14 SPECIAL REVENUES * [WF2000]							
15 FEDERAL FUNDS IN STATE TREASURY							
16 TOBACCO SETTLEMENT FUNDS							
17 OTHER STATE TREASURY FUNDS	43,055						
18 TOTAL INCOME	\$5,919,788	\$5,881,324		\$6,672,419	\$6,825,885	\$0	\$0
19 EXCESS (FUNDING)/APPROPRIATION	-\$43,055	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 14 - "Special Revenues".

**Line 11 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM 13-3

**APPROPRIATION ACT FORM - CASH FUNDS
2013-15 BIENNIUM**

FUND 2170000

INSTITUTION SOUTHERN ARKANSAS UNIVERSITY TECH

APPROPRIATION A65

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES	3,217,433	3,400,000	3,400,000	3,600,000	3,600,000		
2 EXTRA HELP WAGES	99,273	300,000	300,000	200,000	200,000		
3 PERSONAL SERVICES MATCHING	908,145	1,200,000	1,200,000	1,300,000	1,300,000		
4 OPERATING EXPENSES	3,464,183	3,515,000	3,515,000	3,700,000	3,700,000		
5 CONFERENCE FEES & TRAVEL	87,400	125,000	125,000	125,000	125,000		
6 PROFESSIONAL FEES AND SERVICES	65,686	400,000	400,000	400,000	400,000		
7 CAPITAL OUTLAY	59,717	250,000	250,000	250,000	250,000		
8 CAPITAL IMPROVEMENTS	597,563	5,000,000	5,000,000	6,000,000	6,000,000		
9 DEBT SERVICE	181,634	550,000	550,000	550,000	550,000		
10 FUND TRANSFERS, REFUNDS AND INVESTMENTS	2,999,995	3,000,000	3,000,000	3,500,000	3,500,000		
11							
12							
13							
14							
15 TOTAL APPROPRIATION	\$11,681,029	\$17,740,000	\$17,740,000	\$19,625,000	\$19,625,000	\$0	\$0
16 PRIOR YEAR FUND BALANCE***							
17 TUITION AND MANDATORY FEES	4,620,703	5,120,192		5,500,000	5,500,000		
18 ALL OTHER FEES	158,712	133,000		200,000	200,000		
19 SALES AND SERVICES RELATED TO	606,417	606,600		800,000	800,000		
20 INVESTMENT INCOME	13,043	12,000		20,000	20,000		
21 FEDERAL CASH FUNDS	5,723,880	6,000,000		6,500,000	6,500,000		
22 OTHER CASH FUNDS	558,274	5,868,208		6,605,000	6,605,000		
23 TOTAL INCOME	\$11,681,029	\$17,740,000		\$19,625,000	\$19,625,000	\$0	\$0
24 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED 2012-13	REQUEST		RECOMMEND		LEGISLATIVE RECOMMENDATION	
				2013-14	2013-14	2013-14	2014-15	2013-14	2014-15
REGULAR POSITIONS	168	208	231	233	233				
TOBACCO POSITIONS									
EXTRA HELP **	175	175	175	175	175				

FORM 13-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 16 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

SOUTHERN ARKANSAS UNIVERSITY TECH
 (NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2011-12				BUDGETED 2012-13			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *				0				0
2 HOUSING	159,112	137,151		21,961	133,000	133,000		0
3 FOOD SERVICES				0				0
4 STUDENT UNION				0				0
5 BOOKSTORE	550,382	466,644		83,738	555,000	555,000		0
6 STUDENT ORGANIZATIONS AND PUBLICATIONS				0				0
7 OTHER				0				0
8 SUBTOTAL	709,494	603,795	0	105,699	688,000	688,000	0	0
9 ATHLETIC TRANSFER **				0				0
10 OTHER TRANSFERS ***	(99,738)			(99,738)				0
11 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	609,756	603,795	0	5,961	688,000	688,000	0	0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 13-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 10 Other Transfers - \$21,962 transferred to Plant Funds Reserve - Housing project; \$77,777 transferred to Current Unrestricted to support E&G operations.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

SOUTHERN ARKANSAS UNIVERSITY TECH
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2011-12:						157
						(As of November 1, 2011)
Nonclassified Administrative Employees:						
White Male:	10	Black Male:	5	Other Male:		Total Male: 15
White Female:	11	Black Female:	5	Other Female:		Total Female: 16
Nonclassified Health Care Employees:						
White Male:		Black Male:		Other Male:		Total Male: 0
White Female:		Black Female:		Other Female:		Total Female: 0
Classified Employees:						
White Male:	19	Black Male:	7	Other Male:		Total Male: 26
White Female:	21	Black Female:	12	Other Female:	1	Total Female: 34
Faculty:						
White Male:	27	Black Male:	1	Other Male:		Total Male: 28
White Female:	33	Black Female:	4	Other Female:	1	Total Female: 38
Total White Male:	56	Total Black Male:	13	Total Other Male:	0	Total Male: 69
Total White Female:	65	Total Black Female:	21	Total Other Female:	2	Total Female: 88
Total White:	121	Total Black:	34	Total Other:	2	Total Employees: 157
				Total Minority:	36	

FORM 13-6

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$25,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2012
 Required by A.C.A. 25-36-104

Institution

SOUTHERN ARKANSAS UNIVERSITY TECH

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
N/A							

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$437,890
 (Total Expenditures equals ALL Contracts Exceeding \$25,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

**DIVISION OF LEGISLATIVE AUDIT
AUDIT OF SOUTHERN ARKANSAS UNIVERSITY TECH
June 30, 2011**

<i>Finding:</i>	No Findings noted
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SOUTHERN ARKANSAS UNIVERSITY TECH - ARKANSAS ENVIRONMENTAL TRAINING ACADEMY

Randy Harper, Director

ENABLINGS LAWS

A.C.A. §6-65-411, A.C.A. §19-5-303 and Act 249 of 2012

INSTITUTION HISTORY AND ORGANIZATION

The Arkansas Environmental Training Academy is the designated Environmental Training Center for the State of Arkansas and is a training division of Southern Arkansas University Tech in Camden, Arkansas. The Academy has a statewide mission to provide quality training and educational programs to aid the management and operating staff of municipal, commercial and industrial facilities in meeting state and federal licensing and certification requirements. Within our resources, the Academy accomplishes its mission through comprehensive certification and continuing education programs, statewide technical assistance programs, administrative and student services and professional development for faculty and staff.

Funding for the Academy's statewide training programs is provided by the Arkansas State Legislature under the umbrella of the Arkansas Department of Higher Education (ACT 249 of 2012-2013), industrial contracts and student registration fees. The Academy provides training on campus, at training sites statewide and by Internet delivery.

The Academy has an Advisory Board appointed by the Chancellor of Southern Arkansas University Tech. The purpose of the Board is to identify training needs, provide curriculum oversight and provide input on strategies and solutions that lead to efficient and effective delivery of training to environmental students statewide. The Board is comprised of five (5) voting members and eleven (11) ex-officio (non-voting) members. The Board meets on a quarterly basis.

The Academy is made up of Five Divisions:

- Water - Water training is tied directly to the Arkansas Department of Health Division of Engineering Water Operator Licensing Program.
- Wastewater - Wastewater training is tied directly to the Arkansas Department of Environmental Quality Wastewater Operator Licensing Program.
- Solid Waste - Solid Waste training is tied directly to the Arkansas Department of Environmental Quality Solid Waste Operator Licensing Program.

SOUTHERN ARKANSAS UNIVERSITY TECH - ARKANSAS ENVIRONMENTAL TRAINING ACADEMY

Randy Harper, Director

- Backflow - Backflow training is tied to the Arkansas Department of Health Protective Health Codes Division Backflow Licensing Program and Arkansas Department of Health Engineering Division Water Licensing Program.
- Environmental Health & Safety – Environmental Health and Safety training provides municipalities and industries statewide with a cost effective source of employee health and safety training.

New Programs for FY 13:

The Academy continues to work with our stakeholders and state regulatory agencies to develop and deliver training statewide. In FY 2013, the Academy will develop and/or expand training opportunities statewide for:

- Illegal Dumps Control Officer - the Arkansas Environmental Training Academy, through a partnership with the Arkansas Department of Environmental Quality and the Arkansas Law Enforcement Training Academy will provide training for Illegal Dumps Control Officer licensure.
- Class IV Municipal Wastewater - the Arkansas Environmental Training Academy through a partnership with the Arkansas Department of Environmental Quality has developed training for Class IV Municipal Wastewater licensure. The Academy will offer this training statewide in 2013.

Milestones:

- In the fall of 2012 the Academy will begin construction on a 6,000 ft² environmental training lab that will allow us to begin offering more hands-on training to students in a controlled atmosphere. The lab will consist of two classrooms and an open lab.
- The Arkansas Environmental Training Academy will continue to work with key industry groups for the purpose of developing partnerships and identify training needs to better serve the State of Arkansas.
- As training needs are identified, the Academy will provide training opportunities for both traditional and non-traditional students through on-campus, off-campus and Internet delivery to enable municipal, private, and industrial personnel to achieve and/or maintain compliance with state licensure and/or certification requirements.
- The Academy will continue to work with Southern Arkansas University Tech convert non-credit environmental courses into college credit leading to an A.A.S. Degree in Environmental Science.

SOUTHERN ARKANSAS UNIVERSITY TECH - ARKANSAS ENVIRONMENTAL TRAINING ACADEMY
Randy Harper, Director

Request of Appropriation Increase

Since FY 07 the Academy has seen a 33% increase in student enrollment. Due to the success of our programs and the increasing demand for training state wide, the Academy has requested to fill our vacant Administrative Specialist I position to better serve our students.

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**INSTITUTION APPROPRIATION SUMMARY
2013-15 BIENNIUM**

INSTITUTION ARKANSAS ENVIRONMENTAL TRAINING ACADEMY

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2011-2012		2012-13		2012-13		2013-14				2014-15			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	402,839		401,640		600,996		436,560		436,560		446,601		446,601	
2 CASH	262,643		1,573,000		1,573,000		1,573,000		1,573,000		1,573,000		1,573,000	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$665,482	20	\$1,974,640	20	\$2,173,996	26	\$2,009,560	26	\$2,009,560	26	\$2,019,601	26	\$2,019,601	26
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
13 GENERAL REVENUE	370,247	56%	368,404	19%			403,324	20%	403,324	20%	413,365	20%	413,365	20%
14 EDUCATIONAL EXCELLENCE TRUST FUND	32,592	5%	33,236	2%			33,236	2%	33,236	2%	33,236	2%	33,236	2%
15 WORKFORCE 2000	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
16 CASH FUNDS	262,643	39%	1,073,000	54%			1,073,000	53%	1,073,000	53%	1,073,000	53%	1,073,000	53%
17 SPECIAL REVENUES		0%		0%				0%	0	0%	0	0%	0	0%
18 FEDERAL FUNDS	0	0%	500,000	25%			500,000	25%	500,000	25%	500,000	25%	500,000	25%
19 TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
20 OTHER FUNDS		0%	0	0%			0	0%	0	0%	0	0%	0	0%
21 TOTAL INCOME	\$665,482	100%	\$1,974,640	100%			\$2,009,560	100%	\$2,009,560	100%	\$2,019,601	100%	\$2,019,601	100%
22 EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0		\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2012:	\$419,278
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$25,000
INVENTORIES	\$0
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	\$1,000
INSURANCE DEDUCTIBLES	\$25,000
MAJOR CRITICAL SYSTEMS FAILURES	\$25,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$100,000
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$25,000
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$218,278

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2013-15 BIENNIUM (Non-Formula Entities)**

ARKANSAS ENVIRONMENTAL TRAINING ACADEMY

NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2011-12 ACTUAL	2012-13 BUDGETED *	2013-15 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS			
			2013-14		2014-15	
			REQUEST	RECOMMENDATION	REQUEST	RECOMMENDATION
1 INSTRUCTION	399,055	402,908	433,941	433,941	440,129	440,129
2 INSTITUTIONAL SUPPORT	276,084	269,828	274,138	274,138	277,780	277,780
3 PHYSICAL PLANT	4,099	5,350	5,571	5,571	5,782	5,782
4						
5						
6						
7						
8						
9						
10						
11						
12						
13 MANDATORY TRANSFERS						
14 AUXILIARY TRANSFERS						
15 NON-MANDATORY TRANSFERS	300,000					
16 TOTAL UNREST. E&G EXP.	\$979,238	\$678,086	\$713,650	\$713,650	\$723,691	\$723,691
17 NET LOCAL INCOME	401,356	276,446	277,090	277,090	277,090	277,090
18 PRIOR YEAR BALANCE***	175,043					
STATE FUNDS:						
19 GENERAL REVENUE	370,247	368,404	403,324	403,324	413,365	413,365
20 EDUCATIONAL EXCELLENCE	32,592	33,236	33,236	33,236	33,236	33,236
21 WORKFORCE 2000						
22 TOBACCO SETTLEMENT FUNDS						
23 OTHER STATE FUNDS **						
24 TOTAL SOURCES OF INCOME	\$979,238	\$678,086	\$713,650	\$713,650	\$723,691	\$723,691

FORM 13-2 Nonformula

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in a footnote.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2013-15 BIENNIUM**

FUND CSS0000

INSTITUTION ARKANSAS ENVIRONMENTAL TRAINING ACADEMY

APPROPRIATION 296

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES	239,059	239,059	239,059	259,844	265,820		
2 EXTRA HELP WAGES							
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	50,733	50,733	50,733	55,144	56,412		
5 OPERATING EXPENSES	104,778	103,579	302,935	112,585	115,174		
6 CONFERENCE FEES & TRAVEL	8,269	8,269	8,269	8,987	9,195		
7 PROFESSIONAL FEES AND SERVICES							
8 CAPITAL OUTLAY							
9 FUNDED DEPRECIATION							
10							
11							
12							
13 TOTAL APPROPRIATION	\$402,839	\$401,640	\$600,996	\$436,560	\$446,601	\$0	\$0
14 PRIOR YEAR FUND BALANCE**							
15 GENERAL REVENUE	370,247	368,404		403,324	413,365		
16 EDUCATIONAL EXCELLENCE TRUST FUND	32,592	33,236		33,236	33,236		
17 SPECIAL REVENUES * [WF2000]							
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS							
21 TOTAL INCOME	\$402,839	\$401,640		\$436,560	\$446,601	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS
2013-15 BIENNIUM**

FUND 2170000

INSTITUTION ARKANSAS ENVIRONMENTAL TRAINING ACADEMY

APPROPRIATION A67

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES	166,977	532,000	532,000	532,000	532,000		
2 EXTRA HELP WAGES		50,000	50,000	50,000	50,000		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	61,801	150,000	150,000	150,000	150,000		
5 OPERATING EXPENSES	22,785	152,689	152,689	152,689	152,689		
6 CONFERENCE FEES & TRAVEL	11,080	63,311	63,311	63,311	63,311		
7 PROFESSIONAL FEES AND SERVICES		50,000	50,000	50,000	50,000		
8 CAPITAL OUTLAY		175,000	175,000	175,000	175,000		
9 CAPITAL IMPROVEMENTS		400,000	400,000	400,000	400,000		
10 DEBT SERVICE							
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS							
12							
13							
14							
15							
16 TOTAL APPROPRIATION	\$262,643	\$1,573,000	\$1,573,000	\$1,573,000	\$1,573,000	\$0	\$0
17 PRIOR YEAR FUND BALANCE***							
18 TUITION AND MANDATORY FEES	262,643	277,090		300,000	325,000		
19 ALL OTHER FEES	0	0					
20 SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	0	0					
21 INVESTMENT INCOME		0					
22 FEDERAL CASH FUNDS	0	500,000		500,000	500,000		
23 OTHER CASH FUNDS	0	795,910		773,000	748,000		
24 TOTAL INCOME	\$262,643	\$1,573,000		\$1,573,000	\$1,573,000	\$0	\$0
25 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0
TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)							
	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED 2012-13	REQUEST 2013-14	RECOMMEND 2013-14	LEGISLATIVE RECOMMENDATION	
				2013-14	2013-14	2013-14	2014-15
REGULAR POSITIONS	20	20	26	26	26		
TOBACCO POSITIONS							
EXTRA HELP **	50	50	50	50	50		

FORM 13-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

ARKANSAS ENVIRONMENTAL TRAINING ACADEMY
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2011-12:						11
						(As of November 1, 2011)
Nonclassified Administrative Employees:						
White Male:	2	Black Male:		Other Male:		Total Male: 2
White Female:		Black Female:		Other Female:		Total Female: 0
Nonclassified Health Care Employees:						
White Male:		Black Male:		Other Male:		Total Male: 0
White Female:		Black Female:		Other Female:		Total Female: 0
Classified Employees:						
White Male:		Black Male:		Other Male:		Total Male: 0
White Female:	1	Black Female:	1	Other Female:		Total Female: 2
Faculty:						
White Male:	6	Black Male:		Other Male:		Total Male: 6
White Female:	1	Black Female:		Other Female:		Total Female: 1
Total White Male:	8	Total Black Male:	0	Total Other Male:	0	Total Male: 8
Total White Female:	2	Total Black Female:	1	Total Other Female:	0	Total Female: 3
Total White:	10	Total Black:	1	Total Other:	0	Total Employees: 11
				Total Minority:	1	

FORM 13-6

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$25,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2012
 Required by A.C.A. 25-36-104

Institution

ARKANSAS ENVIRONMENTAL TRAINING ACADEMY

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
N/A							

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED N/A
 (Total Expenditures equals ALL Contracts Exceeding \$25,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

SOUTHERN ARKANSAS UNIVERSITY TECH - ARKANSAS FIRE TRAINING ACADEMY

Rachel Nix, Director

ENABLINGS LAW(S)

A.C.A. §20-22-811, Arkansas Fire Code 104.10.3, A.C.A. §19-5-303, A.C.A. §12-13-204, A.C.A. §12-13-201 and Act 249 of 2012

INSTITUTION HISTORY AND ORGANIZATION

The mission of the Arkansas Fire Training Academy (ACT 249 of 2012-2013) is to provide quality training and certification fire and related emergency service programs to the Arkansas Fire Service in an effective and efficient manner. The academy is committed to providing quality-training programs to fire service personnel throughout the state through various technologies and methodologies to meet the needs of the fire service. The academy is also committed to certifying fire service personnel throughout the state who meet or exceed the certification requirements of the applicable National Fire Protection Association (NFPA) Professional Qualification for Fire Service Personnel. In addition, the academy shall support fire departments by maintaining the states National Fire Incident Reporting System thereby making participating departments eligible to receive specific federal grants. Within its resources, the academy will accomplish its mission by offering on-campus training and certification services, off-campus training and certification services, by direct deliveries, regional deliveries, by alternative delivery methodologies, and through support services.

There are three statutes in the Arkansas Code that reference the Arkansas Fire Training Academy and the responsibility expected.

As set forth in the Arkansas Code **12-13-201**, the Arkansas Fire Training Academy shall be under the control of SAU-Tech for Southern Arkansas University and the university shall maintain and operate a fire service training program. **20-22-806(c)(2)** states: The Arkansas Fire Training Academy, which shall provide fire services and adjunct training and education services as are required to ensure the maximum possible professionalism and competence for the firefighters in Arkansas.

A newly hired fulltime firefighter must satisfactorily complete a training program administered by the Arkansas Fire Training Academy. The academy shall utilize the National Fire Protection Association (NFPA) 1001 standards. The person seeking employment that has certification from another state shall submit the certification to the academy for approval. This statute can be found in the Arkansas Code, **20-22-811**.

SOUTHERN ARKANSAS UNIVERSITY TECH - ARKANSAS FIRE TRAINING ACADEMY

Rachel Nix, Director

The Arkansas Fire Training Academy is committed to meet and/or exceed the responsibility set forth in the Arkansas Code. As stated in the mission statement above, the academy extends training opportunities to all facets of the fire service to include volunteer and paid firefighters. The training also includes not only basic firefighting programs, but emergency medical technology, officer level programs, rescue technician programs and as well as driver/operator to name but a few.

The Arkansas Fire Training Academy is also responsible for The National Fire Incident Reporting System. The academy is recognized by FEMA as the guardian of the reporting system for the State of Arkansas. Every fire department in Arkansas is required, by the 15th of each month, to report the occurrence of fire in said district, to the Arkansas Fire Training Academy. This requirement is found in the **Arkansas Fire Code 104.10.3**.

The Arkansas Fire Training Academy was initially advised by the Arkansas Fire Training Academy Advisory Board. This board was transferred to the Arkansas Fire Protection Services Board. The Arkansas Fire Protection Services Board; advises the Director of the Arkansas Fire Training Academy in matters related to the training and certification of fire services personnel in Arkansas and curriculum and instructional content of the curriculum offered by the Arkansas Fire Training Academy. The board also advises the Chancellor of SAU-Tech in matters regarding the appointment and retention of the director of the academy. This can be found in the Municipal Code 20-22-804(a)(7)(8).

The Arkansas Fire Training Academy has a large scope of responsibility. There are approximately 1,000 fire departments in the Arkansas and approximately 15,000 firefighters. The academy is committed to offer quality training to these firefighters. Currently we are accomplishing this task with a staff of 25. That number includes 9 instructors, 4 administrative staff and 12 classified staff. The academy relies heavily on adjunct instructors as well as fire department instructors. As the fire service has grown, the academy has not grown in staffing. To meet the needs of the Arkansas Fire Service, the Arkansas Fire Training Academy must increase in personnel to meet those needs

**INSTITUTION APPROPRIATION SUMMARY
2013-15 BIENNIUM**

INSTITUTION ARKANSAS FIRE TRAINING ACADEMY

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2011-2012		2012-13		2012-13		2013-14				2014-15			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	1,739,960		1,736,066		1,805,467		2,348,209		2,348,209		2,402,218		2,402,218	
2 CASH	630,879		2,541,000		2,541,000		2,541,000		2,541,000		2,541,000		2,541,000	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$2,370,839	49	\$4,277,066	49	\$4,346,467	67	\$4,889,209	67	\$4,889,209	67	\$4,943,218	67	\$4,943,218	67
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*	308,576	13%	647	0%			0	0%	0	0%	0	0%	0	0%
13 GENERAL REVENUE	1,658,117	70%	1,651,221	39%			2,264,011	46%	2,264,011	46%	2,318,020	47%	2,318,020	47%
14 EDUCATIONAL EXCELLENCE TRUST FUND	82,490	3%	84,198	2%			84,198	2%	84,198	2%	84,198	2%	84,198	2%
15 WORKFORCE 2000	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
16 CASH FUNDS	322,303	14%	1,541,000	36%			1,541,000	32%	1,541,000	32%	1,541,000	31%	1,541,000	31%
17 SPECIAL REVENUES	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
18 FEDERAL FUNDS	0	0%	1,000,000	23%			1,000,000	20%	1,000,000	20%	1,000,000	20%	1,000,000	20%
19 TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
20 OTHER FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
21 TOTAL INCOME	\$2,371,486	100%	\$4,277,066	100%			\$4,889,209	100%	\$4,889,209	100%	\$4,943,218	100%	\$4,943,218	100%
22 EXCESS (FUNDING)/APPROPRIATION	-\$647		\$0				\$0		\$0		\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2012:	\$383,136
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$36,000
INVENTORIES	\$41,000
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	\$250
INSURANCE DEDUCTIBLES	\$25,000
MAJOR CRITICAL SYSTEMS FAILURES	\$20,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$225,000
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$35,886

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME
AND AHECB GENERAL REVENUE RECOMMENDATIONS
FOR THE 2013-15 BIENNIUM (Non-Formula Entities)**

ARKANSAS FIRE TRAINING ACADEMY

NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2011-12 ACTUAL	2012-13 BUDGETED *	2013-15 INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS			
			2013-14		2014-15	
			REQUEST	RECOMMENDATION	REQUEST	RECOMMENDATION
1 INSTRUCTION	1,058,654	1,032,818	1,622,548	1,622,548	1,633,788	1,633,788
2 INSTITUTIONAL SUPPORT	617,148	626,710	641,571	641,571	668,028	668,028
3 STUDENT SERVICES	204,606	192,101	196,559	196,559	204,667	204,667
4 PHYSICAL PLANT	238,087	185,256	190,705	190,705	198,909	198,909
5						
6						
7						
8						
9						
10						
11						
12						
13 MANDATORY TRANSFERS						
14 AUXILIARY TRANSFERS						
15 NON-MANDATORY TRANSFERS	315,402					
16 TOTAL UNREST. E&G EXP.	\$2,433,897	\$2,036,885	\$2,651,383	\$2,651,383	\$2,705,392	\$2,705,392
17 NET LOCAL INCOME	322,303	301,466	303,174	303,174	303,174	303,174
18 PRIOR YEAR BALANCE***	370,987					
STATE FUNDS:						
19 GENERAL REVENUE	1,658,117	1,651,221	2,264,011	2,264,011	2,318,020	2,318,020
20 EDUCATIONAL EXCELLENCE	82,490	84,198	84,198	84,198	84,198	84,198
21 WORKFORCE 2000						
22 TOBACCO SETTLEMENT FUNDS						
23 OTHER STATE FUNDS **						
24 TOTAL SOURCES OF INCOME	\$2,433,897	\$2,036,885	\$2,651,383	\$2,651,383	\$2,705,392	\$2,705,392

FORM 13-2 Nonformula

* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

** Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in a footnote.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2013-15 BIENNIUM**

FUND CSS0000

INSTITUTION ARKANSAS FIRE TRAINING ACADEMY

APPROPRIATION 295

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES	813,298	835,430	835,430	1,086,569	1,111,560		
4 PERSONAL SERVICES MATCHING	228,808	234,839	234,839	305,434	312,459		
5 OPERATING EXPENSES	603,788	600,327	619,704	805,993	824,531		
6 CONFERENCE FEES & TRAVEL	17,796	17,214	37,214	48,401	49,514		
7 PROFESSIONAL FEES AND SERVICES							
8 CAPITAL OUTLAY	76,270	48,256	78,280	101,812	104,154		
10							
11							
12							
13 TOTAL APPROPRIATION	\$1,739,960	\$1,736,066	\$1,805,467	\$2,348,209	\$2,402,218	\$0	\$0
14 PRIOR YEAR FUND BALANCE**		647					
15 GENERAL REVENUE	1,658,117	1,651,221		2,264,011	2,318,020		
16 EDUCATIONAL EXCELLENCE TRUST FUND	82,490	84,198		84,198	84,198		
17 SPECIAL REVENUES * [WF2000]							
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS							
21 TOTAL INCOME	\$1,740,607	\$1,736,066		2,348,209	\$2,402,218	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	-\$647	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM 13-3

**APPROPRIATION ACT FORM - CASH FUNDS
2013-15 BIENNIUM**

FUND 2170000

INSTITUTION ARKANSAS FIRE TRAINING ACADEMY

APPROPRIATION A66

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES	243,075	558,525	558,525	558,525	558,525		
2 EXTRA HELP WAGES		76,775	76,775	76,775	76,775		
3 PERSONAL SERVICES MATCHING	57,450	165,883	165,883	165,883	165,883		
4 OPERATING EXPENSES	122,001	713,133	713,133	713,133	713,133		
5 CONFERENCE FEES & TRAVEL		166,559	166,559	166,559	166,559		
6 PROFESSIONAL FEES AND SERVICES		277,955	277,955	277,955	277,955		
7 CAPITAL OUTLAY	208,353	382,170	382,170	382,170	382,170		
8 CAPITAL IMPROVEMENTS		200,000	200,000	200,000	200,000		
9							
10							
11							
12							
13 TOTAL APPROPRIATION	\$630,879	\$2,541,000	\$2,541,000	\$2,541,000	\$2,541,000	\$0	\$0
14 PRIOR YEAR FUND BALANCE***	308,576						
15 TUITION AND MANDATORY FEES	207,871	211,774		250,000	275,000		
16 ALL OTHER FEES							
17 SALES AND SERVICES RELATED TO	105,345	91,400		100,000	125,000		
18 INVESTMENT INCOME							
19 FEDERAL CASH FUNDS		1,000,000		1,000,000	1,000,000		
20 OTHER CASH FUNDS	9,087	1,237,826		1,191,000	1,141,000		
21 TOTAL INCOME	\$630,879	\$2,541,000		\$2,541,000	\$2,541,000	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED 2012-13	REQUEST		LEGISLATIVE RECOMMENDATION	
				2013-14	2013-14	2013-14	2014-15
REGULAR POSITIONS	49	49	67	67	67		
TOBACCO POSITIONS							
EXTRA HELP **	55	55	55	55	55		

FORM 13-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

ARKANSAS FIRE TRAINING ACADEMY
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2011-12:						<u>48</u>
(As of November 1, 2011)						
Nonclassified Administrative Employees:						
White Male:	<u>1</u>	Black Male:	<u>1</u>	Other Male:	<u> </u>	Total Male: <u>2</u>
White Female:	<u>1</u>	Black Female:	<u> </u>	Other Female:	<u> </u>	Total Female: <u>1</u>
Nonclassified Health Care Employees:						
White Male:	<u> </u>	Black Male:	<u> </u>	Other Male:	<u> </u>	Total Male: <u>0</u>
White Female:	<u> </u>	Black Female:	<u> </u>	Other Female:	<u> </u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>2</u>	Black Male:	<u> </u>	Other Male:	<u> </u>	Total Male: <u>2</u>
White Female:	<u>6</u>	Black Female:	<u>2</u>	Other Female:	<u> </u>	Total Female: <u>8</u>
Faculty:						
White Male:	<u>34</u>	Black Male:	<u> </u>	Other Male:	<u> </u>	Total Male: <u>34</u>
White Female:	<u>1</u>	Black Female:	<u> </u>	Other Female:	<u> </u>	Total Female: <u>1</u>
Total White Male: <u>37</u>						Total Male: <u>38</u>
Total White Female: <u>8</u>						Total Female: <u>10</u>
Total Black Male: <u>1</u>						
Total Black Female: <u>2</u>						
Total Other Male: <u>0</u>						
Total Other Female: <u>0</u>						
Total White: <u>45</u>						Total Employees: <u>48</u>
Total Black: <u>3</u>						
Total Other: <u>0</u>						
Total Minority: <u>3</u>						

FORM 13-6

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$25,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2012
 Required by A.C.A. 25-36-104

Institution

ARKANSAS FIRE TRAINING ACADEMY

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
N/A							

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED N/A
 (Total Expenditures equals ALL Contracts Exceeding \$25,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

SOUTHEAST ARKANSAS COLLEGE

Dr. Stephen Hilterbran, President

ENABLING LAWS

Act 1244 of 1991 & Act 123 of 2012

INSTITUTION HISTORY AND ORGANIZATION

Southeast Arkansas College was created by Act 1244 of the 78th General Assembly of the State of Arkansas, which was signed into law by the Governor on April 17, 1991.

As contained in Act 1244, "The purpose of this Act is to serve as legislative charter . . . for the establishment, organization and administration of a system of educational institutions throughout the state offering courses of instruction in technical, vocational and adult education programs, industry training and two-year college transfer programs. The system established under this Act shall provide educational programs which are easily accessible by all segments of the population to benefit from training, retraining or upgrade training for employment and which is highly responsive to individuals needing to achieve basic, general and specialized education to meet the needs of the workplace."

The Act further states that "technical college means an institution of higher education established under this Act dedicated primarily to the educational needs of the service area offering a comprehensive program including, but without limitation, vocational, trade and technical specialty courses and programs, courses in general adult education and courses comparable in content and quality to freshman and sophomore courses which may carry transfer credit to a four-year institution in a chosen course of study."

The above act re-designated and redefined the mission of eleven (11) existing postsecondary vocational-technical schools located throughout the State to technical colleges. Similarly, state authority for these institutions was transferred from the Arkansas Board of Vocational-Technical Education to the Arkansas Board of Higher Education. The latter serves as the state coordinating agency for all public universities, community colleges and technical colleges in the State of Arkansas.

The predecessor of Southeast Arkansas College was Pines Vocational-Technical School, which began offering

SOUTHEAST ARKANSAS COLLEGE

Dr. Stephen Hilterbran, President

postsecondary vocational-technical programs as Arkansas Vocational-Technical School on September 21, 1959. With the enactment of Act 1244, on July 1, 1991, all land, buildings, equipment, and personnel associated with Pines Vocational-Technical School was transferred to Pines Technical College. In October of 1991, the Governor appointed the Charter members of the Pines Technical College Board of Trustees. The College's first president was appointed by the Board of Trustees effective December 1, 1992. In order to better reflect the College's service area, the College changed its name from Pines Technical College to Southeast Arkansas Technical College on July 1, 1996. The word "Technical" was removed from the College's name on July 8, 1998.

MISSION STATEMENT

The mission of Southeast Arkansas College is to provide comprehensive community college education and services, with an emphasis on technical education and workforce development, for the citizens of Jefferson, Cleveland, Desha, Drew, Grant and Lincoln counties. These educational programs and services include: technical career education, workforce development, university transfer education, general education, adult education, continuing education, and community services.

General Revenue Request

Southeast Arkansas College is a formula driven entity and did not make a request for general revenue. The increase in general revenue funding is a result of the recommendation made by the Arkansas Higher Education Coordinating Board.

Cash Appropriation Request

Southeast Arkansas College is not requesting an increase in Cash Appropriation.

Personal Service Request

Southeast Arkansas College is not requesting additional positions for the 2013-15 Biennium.

**INSTITUTION APPROPRIATION SUMMARY
2013-15 BIENNIUM**

INSTITUTION SOUTHEAST ARKANSAS COLLEGE

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2011-2012		2012-13		2012-13		2013-14				2014-15			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	7,526,695		7,364,214		7,872,664		7,487,378		7,487,378		7,659,588		7,659,588	
2 CASH	5,569,756		32,450,000		32,450,000		32,450,000		32,450,000		32,450,000		32,450,000	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$13,096,451	220	\$39,814,214	356	\$40,322,664	356	\$39,937,378	356	\$39,937,378	356	\$40,109,588	356	\$40,109,588	356
FUNDING SOURCES		%		%		%		%		%		%		%
12 PRIOR YEAR FUND BALANCE*	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
13 GENERAL REVENUE	5,678,968	43%	5,636,798	14%			5,759,962	14%	5,759,962	14%	5,932,172	15%	5,932,172	15%
14 EDUCATIONAL EXCELLENCE TRUST FUND	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
15 WORKFORCE 2000	1,847,727	14%	1,727,416	4%			1,727,416	4%	1,727,416	4%	1,727,416	4%	1,727,416	4%
16 CASH FUNDS	5,271,487	40%	25,450,000	64%			25,450,000	64%	25,450,000	64%	25,450,000	63%	25,450,000	63%
17 SPECIAL REVENUES		0%		0%				0%	0	0%		0%	0	0%
18 FEDERAL FUNDS	298,269	2%	7,000,000	18%			7,000,000	18%	7,000,000	18%	7,000,000	17%	7,000,000	17%
19 TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
20 OTHER FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
21 TOTAL INCOME	\$13,096,451	100%	\$39,814,214	100%			\$39,937,378	100%	\$39,937,378	100%	\$40,109,588	100%	\$40,109,588	100%
22 EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0		\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2012:	\$1,396,249
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$280,604
INVENTORIES	
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$10,000
MAJOR CRITICAL SYSTEMS FAILURES	
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$1,094,044
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$11,601

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2013-15 BIENNIUM**

FUND CTT0000

INSTITUTION SOUTHEAST ARKANSAS COLLEGE

APPROPRIATION 1XD

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES	5,366,411	5,300,000	5,250,000	5,500,000	5,500,000		
2 EXTRA HELP WAGES	700,000	700,000	950,000	750,000	750,000		
3 OVERTIME							
4 PERSONAL SERVICES MATCHING	1,323,486	1,227,158	922,564	937,378	950,000		
5 OPERATING EXPENSES	136,798	137,056	750,100	300,000	459,588		
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES							
8 CAPITAL OUTLAY							
9 FUNDED DEPRECIATION							
10							
11							
12							
13 TOTAL APPROPRIATION	\$7,526,695	\$7,364,214	\$7,872,664	\$7,487,378	\$7,659,588	\$0	\$0
14 PRIOR YEAR FUND BALANCE**							
15 GENERAL REVENUE	5,678,968	5,636,798		5,759,962	5,932,172		
16 EDUCATIONAL EXCELLENCE TRUST FUND							
17 SPECIAL REVENUES * [WF2000]	1,847,727	1,727,416		1,727,416	1,727,416		
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS							
21 TOTAL INCOME	\$7,526,695	\$7,364,214		\$7,487,378	\$7,659,588	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 18 - "Special Revenues".

**Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS
2013-15 BIENNIUM**

FUND 2910000

INSTITUTION SOUTHEAST ARKANSAS COLLEGE

APPROPRIATION B65

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES	284,906	1,632,320	1,632,320	2,000,000	2,000,000		
2 EXTRA HELP WAGES	163,867	1,000,000	1,000,000	1,000,000	1,000,000		
3 OVERTIME		200,000	200,000	200,000	200,000		
4 PERSONAL SERVICES MATCHING	428,090	718,332	718,332	1,500,000	1,500,000		
5 OPERATING EXPENSES	2,599,917	7,000,000	7,000,000	11,000,000	11,000,000		
6 CONFERENCE FEES & TRAVEL	88,878	400,000	400,000	400,000	400,000		
7 PROFESSIONAL FEES AND SERVICES	317,483	922,400	922,400	1,000,000	1,000,000		
8 CAPITAL OUTLAY	1,686,615	5,376,948	5,376,948	6,000,000	6,000,000		
9 CAPITAL IMPROVEMENTS		14,000,000	14,000,000	8,150,000	8,150,000		
10 DEBT SERVICE							
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS		1,200,000	1,200,000	1,200,000	1,200,000		
12							
13							
14							
15							
16 TOTAL APPROPRIATION	\$5,569,756	\$32,450,000	\$32,450,000	\$32,450,000	\$32,450,000	\$0	\$0
17 PRIOR YEAR FUND BALANCE***							
18 TUITION AND MANDATORY FEES	4,133,862	4,168,000		4,168,000	4,168,000		
19 ALL OTHER FEES							
20 SALES AND SERVICES RELATED TO							
21 INVESTMENT INCOME	29,239	30,000		30,000	30,000		
22 FEDERAL CASH FUNDS	298,269	7,000,000		7,000,000	7,000,000		
23 OTHER CASH FUNDS	1,108,386	21,252,000		21,252,000	21,252,000		
24 TOTAL INCOME	\$5,569,756	\$32,450,000		\$32,450,000	\$32,450,000	\$0	\$0
25 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED 2012-13	REQUEST	RECOMMEND	LEGISLATIVE RECOMMENDATION	
				2013-14	2013-14	2013-14	2014-15
REGULAR POSITIONS	220	356	356	356	356		
TOBACCO POSITIONS							
EXTRA HELP **	200	200	200	200	200		

FORM 13-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

SOUTHEAST ARKANSAS COLLEGE
(NAME OF INSTITUTION)

FORM N/A

ACTIVITY	ACTUAL 2011-12				BUDGETED 2012-13			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *				0				0
2 HOUSING				0				0
3 FOOD SERVICES				0				0
4 STUDENT UNION				0				0
5 BOOKSTORE				0				0
6 STUDENT ORGANIZATIONS AND PUBLICATIONS				0				0
7 OTHER				0				0
8 SUBTOTAL	0	0	0	0	0	0	0	0
9 ATHLETIC TRANSFER **				0				0
10 OTHER TRANSFERS ***				0				0
11 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	0	0	0	0	0	0	0	0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

FORM 13-5

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

SOUTHEAST ARKANSAS COLLEGE
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2011-12:					135
(As of November 1, 2011)					
Nonclassified Administrative Employees:					
White Male:	6	Black Male:	0	Other Male:	0
White Female:	14	Black Female:	8	Other Female:	0
				Total Male:	6
				Total Female:	22
Nonclassified Health Care Employees:					
White Male:	0	Black Male:	0	Other Male:	0
White Female:	0	Black Female:	0	Other Female:	0
				Total Male:	0
				Total Female:	0
Classified Employees:					
White Male:	12	Black Male:	8	Other Male:	0
White Female:	21	Black Female:	22	Other Female:	0
				Total Male:	20
				Total Female:	43
Faculty:					
White Male:	14	Black Male:	0	Other Male:	1
White Female:	16	Black Female:	11	Other Female:	2
				Total Male:	15
				Total Female:	29
Total White Male:	32	Total Black Male:	8	Total Other Male:	1
Total White Female:	51	Total Black Female:	41	Total Other Female:	2
Total White:	83	Total Black:	49	Total Other:	3
				Total Minority:	52
				Total Employees:	135

FORM 13-6

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$25,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2012
 Required by A.C.A. 25-36-104

Institution

SOUTHEAST ARKANSAS COLLEGE

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
N/A							
No Contracts over \$25,000 awarded - minority or non-minority							

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED N/A
 (Total Expenditures equals ALL Contracts Exceeding \$25,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF SOUTHEAST ARKANSAS COLLEGE
June 30, 2011

<p style="text-align: center;"><i>Finding No. 1:</i></p>	<p>Financial statements should be presented fairly in conformity with generally accepted accounting principles as prescribed by the Governmental Accounting Standards Board (GASB). The College's internal control system did not prevent, or detect and correct misstatements as follows:</p> <ol style="list-style-type: none"> a. The Statement of Net Assets and Statement of Revenues, Expenses, and Changes in Net Assets reflected numerous classification errors totaling \$2,304,656. The primary causes of the classification errors included: <ul style="list-style-type: none"> • Investments totaling \$1,029,959 were improperly reflected as cash and cash equivalents. • Long-term investments of \$1,035,334 were stated as short-term investments. • Purchases totaling \$132,072 were not capitalized in accordance with the College's policy. • Journal entry errors resulted in overstating of accounts receivable and deferred revenue balances. b. Errors in the Notes to Financial Statements were observed, including: <ul style="list-style-type: none"> • Accounts payable disaggregation amount did not agree with the Statement of Net Assets. • Cash deposits reflected prior year balances. c. The College failed to verify the total assets less total liabilities equaled net assets. <p>The financial statements were corrected during the audit fieldwork. A similar finding was reported in the previous audit.</p>
<p style="text-align: center;"><i>Institution's Response</i></p>	<p>(a) We are making these corrections. A new Controller with significant financial accounting and management experience and CPA certification was hired on February 20, 2012. Further, we are documenting workflow processes and training throughout the business office.</p> <p>(b) Corrections to the Notes to Financial Statements were made. Emphasis is being placed on completion of financial statements in a more timely and accurate manner in order to exercise due diligence in the preparation of the Notes to the Financial Statements. A new Controller with significant financial accounting experience and CPA certification was hired on February 20, 2012. We are also considering hiring additional staff for internal audit and review (Business Manager).</p> <p>(c) We have made the corrections. The new Controller has significant financial statement preparation and audit experience and will complete the financial statements and notes in a timely, more accurate manner to enable proper review. We are confident these errors will not reoccur.</p>

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF SOUTHEAST ARKANSAS COLLEGE
June 30, 2011

<i>Finding No. 2:</i>	Per Ark. Code Ann. § 6-63-307, "No employee drawing a salary or other form of compensation from an institution of higher education shall be paid an additional salary or receive additional compensation from that institution or from any other agency or institution of higher education except upon written certification to and approval by the Chief Fiscal Officer of the State and by the head of each agency or institution.....Three of the six extra-help employees in our test were also full-time employees of the College, but the College did not obtain prior approval from the Department of Finance and Administration or its president.
<i>Institution's Response</i>	None.

<i>Finding No. 3:</i>	Proper segregation of duties had not been employed in accounting roles within the application. Failure to properly assign access permissions affords users the ability to process and conceal unauthorized transactions, increasing the risk of fraud and the misappropriation of assets. A similar finding was reported in the previous audit.
<i>Institution's Response</i>	System access has been reviewed and changes made to ensure proper segregation of duties is maintained. Temporary access granted for backup personnel will be granted for specific time limit and compensating controls will be defined and utilized.

<i>Finding No. 4:</i>	Application data integrity controls were inadequate to detect and prevent invalid data entry. Sound information system practices dictate that a secure authorization structure be in place to secure critical transactions and that field edit checks be employed to prevent incorrect or unauthorized data from being processed. A similar finding was reported in the previous audit.
<i>Institution's Response</i>	The College will define and implement compensating controls. This is a point that a new staff member (Business Manager) could perform the monitoring and internal audit functions.

**DIVISION OF LEGISLATIVE AUDIT
AUDIT OF SOUTHEAST ARKANSAS COLLEGE
June 30, 2011**

<i>Finding No. 5:</i>	Upon termination of employment, the annual leave payout policy allows employees to be paid for unused annual, birthday, and holiday pay not to exceed 240 hours. The president had accrued and was paid for hours in excess of 240, resulting in a \$2,339 overpayment. During the 2012 fiscal year, the controller and director of physical plant received an excess leave payout resulting in overpayments of \$503 and \$2,067, respectively.
<i>Institution's Response</i>	We will notify these individuals of the clerical error generating the overpayment and request payment. If repayment is not received timely, additional collection efforts will be made through the Debt Set-off Program and Outside Collection Agencies. Staff will be retrained and disciplined, if appropriate. The leave pay-out form will be revised to reflect maximum annual and sick leave payout hours.

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UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT BATESVILLE
Deborah J. Frazier, Chancellor

ENABLING LAWS

Act 1244 of 1991 and Act 119 of 2012

INSTITUTION HISTORY AND ORGANIZATION

Gateway Vocational Technical School came into existence in 1975 to serve the needs of a four-county region of Northeast Arkansas. The mission of the school at that time was to provide postsecondary skills training for immediate employment in areas such as auto mechanics, welding, building trades, office occupations, and practical nursing.

Act 1244 of 1991, “Two-year Postsecondary Education Reorganization Act of 1991”, converted fourteen of the state’s vocational technical schools into technical colleges or branches of other institutions. Act 1244 also mandated that the new colleges achieve NCA accreditation within an established time frame. In September 1995 NCA granted initial candidacy to Gateway Technical College. In the fall of 1996 NCA extended initial candidacy to the College’s new Associate of Arts program. In October 1997 Gateway Technical College was merged with the University of Arkansas System and with the passage of a county sales tax in March 1998 became the University of Arkansas Community College at Batesville. The current appropriation authority is pursuant to Act 119 of 2012.

Oversight for the college is through the University of Arkansas System and a 10 member Board of Trustees which is appointed by the governor. A local Board of Visitors, composed of 12 members, provides guidance for the operation of the college. UACCB is accredited by the Higher Learning Commission and is a member of the North Central Association.

UACCB’s service area was defined by the Arkansas Department of Higher Education to include Independence and Cleburne counties with Stone and Sharp counties shared with other higher education institutions.

MISSION STATEMENT

The University of Arkansas Community College at Batesville provides quality educational opportunities within a supportive learning environment. We promote success through community partnerships, responsive programs, and an enduring commitment to improvement.

UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT BATESVILLE
Deborah J. Frazier, Chancellor

Values

At UACCB we value...

Unity through collaboration

Achievement in educational goals

Commitment to excellence

Contribution to community

Balance in learning and life

Vision

UACCB will be recognized for excellence in education, leadership, service, and innovation in response to education, economic and social needs.

In order to accomplish its mission and fulfill its vision, UACCB has established a set of strategic goals. Each organizational area is expected to work toward its respective goals and correspondingly contribute to the greater strategic institutional efforts.

GENERAL REVENUE REQUEST

The Arkansas Higher Education Coordinating Board has recommended an increase in general revenue funds of \$950,881 in FY14 and \$132,468 in FY15. The funds will be used for expansion of programs to enhance retention and graduation rates, expansion of educational programs, improvement in technology infrastructure to improve course delivery and improvement in facilities.

PERSONNEL REQUEST

No additional positions over authorized have been requested.

**INSTITUTION APPROPRIATION SUMMARY
2013-15 BIENNIUM**

INSTITUTION UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT BATESVILLE

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2011-2012		2012-13		2012-13		2013-14				2014-15			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	4,917,924		4,813,613		5,798,745		5,759,494		5,759,494		5,891,962		5,891,962	
2 CASH	5,559,047		44,430,000		44,430,000		44,830,000		44,830,000		45,285,000		45,285,000	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$10,476,971	241	\$49,243,613	242	\$50,228,745	272	\$50,589,494	272	\$50,589,494	272	\$51,176,962	272	\$51,176,962	272
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*	54,479	1%	51,977	0%			0	0%	0	0%	0	0%	0	0%
13 GENERAL REVENUE	4,104,600	39%	4,050,586	8%			5,001,467	10%	5,001,467	10%	5,133,935	10%	5,133,935	10%
14 EDUCATIONAL EXCELLENCE TRUST FUND	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
15 WORKFORCE 2000	810,822	8%	758,027	2%			758,027	1%	758,027	1%	758,027	1%	758,027	1%
16 CASH FUNDS	5,559,047	53%	44,430,000	90%			44,830,000	89%	44,830,000	89%	45,285,000	88%	45,285,000	88%
17 SPECIAL REVENUES	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
18 FEDERAL FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
19 TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
20 OTHER FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
21 TOTAL INCOME	\$10,528,948	100%	\$49,290,590	100%			\$50,589,494	100%	\$50,589,494	100%	\$51,176,962	100%	\$51,176,962	100%
22 EXCESS (FUNDING)/APPROPRIATION	-\$51,977		-\$46,977				\$0		\$0		\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2012:	\$2,365,517
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$477,316
INVENTORIES	\$377,004
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	\$82,000
INSURANCE DEDUCTIBLES	\$50,000
MAJOR CRITICAL SYSTEMS FAILURES	\$125,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$1,150,000
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$100,000
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$4,197

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2013-15 BIENNIUM**

FUND CTG0000

INSTITUTION UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT BATESVILLE

APPROPRIATION 1RT

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES	4,060,422	4,208,613	4,948,745	4,948,745	5,041,962		
2 EXTRA HELP WAGES	100,000	100,000	100,000	100,000	100,000		
3 PERSONAL SERVICES MATCHING	750,000	505,000	750,000	710,749	750,000		
4 OPERATING EXPENSES	2,502						
5 CONFERENCE FEES & TRAVEL							
6 PROFESSIONAL FEES AND SERVICES							
7 CAPITAL OUTLAY							
8 FUNDED DEPRECIATION	5,000						
9							
10							
11							
12 TOTAL APPROPRIATION	\$4,917,924	\$4,813,613	\$5,798,745	\$5,759,494	\$5,891,962	\$0	\$0
13 PRIOR YEAR FUND BALANCE**	54,479	51,977					
14 GENERAL REVENUE	4,104,600	4,050,586		5,001,467	5,133,935		
15 EDUCATIONAL EXCELLENCE TRUST FUND							
16 SPECIAL REVENUES * [WF2000]	810,822	758,027		758,027	758,027		
17 FEDERAL FUNDS IN STATE TREASURY							
18 TOBACCO SETTLEMENT FUNDS							
19 OTHER STATE TREASURY FUNDS							
20 TOTAL INCOME	\$4,969,901	\$4,860,590		\$5,759,494	\$5,891,962	\$0	\$0
21 EXCESS (FUNDING)/APPROPRIATION	-\$51,977	-\$46,977		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 16 - "Special Revenues".

**Line 13 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM 13-3

**APPROPRIATION ACT FORM - CASH FUNDS
2013-15 BIENNIUM**

FUND 2790000

INSTITUTION UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT BATESVILLE

APPROPRIATION B39

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES	638,360	5,200,000	5,200,000	7,100,000	7,300,000		
2 EXTRA HELP WAGES	80,586	600,000	600,000	500,000	500,000		
3 OVERTIME		5,000	5,000	5,000	5,000		
4 PERSONAL SERVICES MATCHING	788,090	3,500,000	3,500,000	3,800,000	3,900,000		
5 OPERATING EXPENSES	3,043,490	8,000,000	8,000,000	9,000,000	9,200,000		
6 CONFERENCE FEES & TRAVEL	86,008	350,000	350,000	350,000	350,000		
7 PROFESSIONAL FEES AND SERVICES	88,818	250,000	250,000	750,000	300,000		
8 CAPITAL OUTLAY	262,007	6,000,000	6,000,000	10,000,000	10,200,000		
9 CAPITAL IMPROVEMENTS		4,500,000	4,500,000	8,300,000	8,300,000		
10 DEBT SERVICE	558,928	1,000,000	1,000,000	1,000,000	1,200,000		
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS		4,000,000	4,000,000	4,000,000	4,000,000		
12 PROMOTIONAL ITEMS	12,760	25,000	25,000	25,000	30,000		
13 CONTINGENCY		11,000,000	11,000,000				
14							
15							
16 TOTAL APPROPRIATION	\$5,559,047	\$44,430,000	\$44,430,000	\$44,830,000	\$45,285,000	\$0	\$0
17 PRIOR YEAR FUND BALANCE***							
18 TUITION AND MANDATORY FEES	3,183,222	3,185,670		3,255,000	3,350,000		
19 ALL OTHER FEES	278,803	432,193		325,000	340,000		
20 SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS							
21 INVESTMENT INCOME	19,098	15,000		16,500	17,500		
22 FEDERAL CASH FUNDS							
23 OTHER CASH FUNDS	2,077,924	40,797,137		41,233,500	41,577,500		
24 TOTAL INCOME	\$5,559,047	\$44,430,000		\$44,830,000	\$45,285,000	\$0	\$0
25 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED 2012-13	REQUEST		LEGISLATIVE RECOMMENDATION	
				2013-14	2013-14	2013-14	2014-15
REGULAR POSITIONS	241	242	272	272	272		
TOBACCO POSITIONS							
EXTRA HELP **	90	100	100	100	100		

FORM 13-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT BATESVILLE
(NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2011-12				BUDGETED 2012-13			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *				0				0
2 HOUSING				0				0
3 FOOD SERVICES	104,786	113,306		(8,520)	90,000	136,337		(46,337)
4 STUDENT UNION				0				0
5 BOOKSTORE	917,310	792,571		124,739	1,072,800	969,983		102,817
6 STUDENT ORGANIZATIONS AND PUBLICATIONS		54,175		(54,175)				
7 OTHER	5,845			5,845	17,250			17,250
8 SUBTOTAL	1,027,941	960,052	0	67,889	1,180,050	1,106,320	0	73,730
9 ATHLETIC TRANSFER **				0				0
10 OTHER TRANSFERS ***				0				0
11 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	1,027,941	960,052	0	67,889	1,180,050	1,106,320	0	73,730

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 13-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 7 Other - Rental and Maintenance Fees for Independence Hall.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT BATESVILLE
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2011-12:					193
(As of November 1, 2011)					
Nonclassified Administrative Employees:					
White Male:	10	Black Male:	0	Other Male:	1
White Female:	19	Black Female:	1	Other Female:	1
				Total Male:	11
				Total Female:	21
Nonclassified Health Care Employees:					
White Male:	0	Black Male:	0	Other Male:	0
White Female:	0	Black Female:	0	Other Female:	0
				Total Male:	0
				Total Female:	0
Classified Employees:					
White Male:	8	Black Male:	0	Other Male:	0
White Female:	27	Black Female:	1	Other Female:	1
				Total Male:	8
				Total Female:	29
Faculty:					
White Male:	47	Black Male:	0	Other Male:	1
White Female:	73	Black Female:	2	Other Female:	1
				Total Male:	48
				Total Female:	76
Total White Male:	65	Total Black Male:	0	Total Other Male:	2
Total White Female:	119	Total Black Female:	4	Total Other Female:	3
Total White:	184	Total Black:	4	Total Other:	5
				Total Minority:	9
				Total Employees:	193

FORM 13-6

**ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$25,000 AWARDED TO MINORITY OWNED BUSINESSES**

Fiscal Year 2012

Required by A.C.A. 25-36-104

Institution

UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT BATESVILLE

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
N/A							

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$34,292

(Total Expenditures equals ALL Contracts Exceeding \$25,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT BATESVILLE
June 30, 2011

<p><i>Finding No. 1:</i></p>	<p>Financial statements are the responsibility of the College's management and should be presented in conformity with accounting principles generally accepted in the United States of America.</p> <p>Errors were detected in the College's accounts receivable balance at year-end, resulting in errors projected to the total population of \$114,989. It is imperative to properly reconcile the accounts receivable subsidiary ledgers and investigate any negative or unusual balances.</p> <p>Additionally, numerous errors, totaling \$593,431, were detected in the College's Statement of Net Assets, Statement of Revenues, Expenses, and Changes in Net Assets, Statement of Cash Flows, and the Notes to the Financial Statements. These errors primarily pertained to misclassifications of balances or transactions, omissions of accounts, or inaccuracies of financial statement accounts or elements. When these errors were brought to the attention of College personnel, the financial statements were corrected.</p>
<p><i>Institution's Response</i></p>	<p>Accounts Receivable will be reconciled monthly. Another person has been assigned to check for the negative and unusual balances. This will include three (3) employees checking for errors.</p> <p>There will be a concerted effort to eliminate adjustments to the financial statements. All postings are now being reviewed by Finance and Administration before the posting is made.</p>
<p><i>Finding No. 2:</i></p>	<p>Password controls did not meet minimum industry standards. The minimum number of characters required for passwords was below industry standards. A password history file was not maintained to prevent re-use of previous passwords. User accounts were not locked after three unsuccessful login attempts. Failure to establish proper password controls increases the likelihood that an unauthorized person could gain access to the system.</p>
<p><i>Institution's Response</i></p>	<p>A computer upgrade in access security controls was made on October 12, 2011, that should satisfy this requirement.</p>

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT BATESVILLE
June 30, 2011

<p><i>Finding No. 3:</i></p>	<p>The Disaster Recovery Plan in place was inadequate (both technical and end user) for restoring from short-term or long-term interruptions of computer processing. This situation could cause the entity to be without computer processing for an extended period of time in the event of a disaster or major interruption and could also place a financial and personnel burden on the resources of the entity.</p>
<p><i>Institution's Response</i></p>	<p>Presently our backup data is stored in a lockbox at an off campus location. Data is backed up daily and is moved to the lockbox monthly. There is a plan in place for data recovery. The data could be restored within three weeks for some services and some operations. Others might have to be manual. Due to broadband width constraints, and until the completion of the AREON project, it is impractical and too financially draining to utilize any of the customary backup techniques. We currently have 6 mg through our T1 lines. An Arkansas Continuity of Operations Plan (ACOOOP) is underway.</p>

UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT HOPE

Chris Thomason, Chancellor

ENABLING LAW(S)

Act 1244 of 1991 and Act 234 of 2012

INSTITUTION HISTORY AND ORGANIZATION

The University of Arkansas Community College at Hope is located on Highway 29 South in the city limits of Hope, Arkansas. The College is located on a 72 acre site originally obtained by the citizens of the area for Red River Vocational-Technical School in 1965. On July 1, 1992, Red River Vocational-Technical School officially became Red River Technical College and operated under the guidelines of the Arkansas Department of Higher Education.

In 1995, the Arkansas Legislature passed an act that provided for the merger of state two-year colleges and universities. On March 5, 1996, the citizens of Hempstead County approved a ¼-cent sales tax to support the expansion of the College. On July 1, 1996, Red River Technical College became a division of the University of Arkansas System and was renamed the University of Arkansas Community College at Hope.

MISSION

The University of Arkansas Community College at Hope is an accredited, open-access, two-year institution of higher education that connects students and community partners to quality education and supports a culture of academic, occupational, personal growth and enrichment programs throughout Southwest Arkansas.

VISION

The University of Arkansas Community College at Hope envisions itself as a twenty-first century model community college. To make our vision a reality UACCH will reach beyond traditional boundaries so that students can overcome obstacles, achieve dreams and reach their full potential. We will empower a network of diverse and committed individuals to work creatively and collaboratively toward shared principles and the common goal of student success. Our learning environments will be nurturing, accepting, personalized and student-focused. We vow to the community that we serve to always be attentive to our mission, cohesive in our approach and responsive to changing needs.

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**INSTITUTION APPROPRIATION SUMMARY
2013-15 BIENNIUM**

INSTITUTION UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT HOPE

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2011-2012		2012-13		2012-13		2013-14				2014-15			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	6,324,521		6,220,908		6,474,654		6,303,351		6,303,351		6,448,328		6,448,328	
2 CASH	6,042,534		12,049,640		12,049,640		12,049,640		12,049,640		12,049,640		12,049,640	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$12,367,055	149	\$18,270,548	163	\$18,524,294	209	\$18,352,991	209	\$18,352,991	209	\$18,497,968	209	\$18,497,968	209
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*	0	0%	15,707	0%			0	0%	0	0%	0	0%	0	0%
13 GENERAL REVENUE	4,507,704	36%	4,491,997	25%			4,590,147	25%	4,590,147	25%	4,735,124	26%	4,735,124	26%
14 EDUCATIONAL EXCELLENCE TRUST FUND	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
15 WORKFORCE 2000	1,832,524	15%	1,713,204	9%			1,713,204	9%	1,713,204	9%	1,713,204	9%	1,713,204	9%
16 CASH FUNDS	4,414,510	36%	6,149,640	34%			6,149,640	34%	6,149,640	34%	6,149,640	33%	6,149,640	33%
17 SPECIAL REVENUES	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
18 FEDERAL FUNDS	1,628,024	13%	5,900,000	32%			5,900,000	32%	5,900,000	32%	5,900,000	32%	5,900,000	32%
19 TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
20 OTHER FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
21 TOTAL INCOME	\$12,382,762	100%	\$18,270,548	100%			\$18,352,991	100%	\$18,352,991	100%	\$18,497,968	100%	\$18,497,968	100%
22 EXCESS (FUNDING)/APPROPRIATION	-\$15,707		\$0				\$0		\$0		\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2012:	\$1,317,584
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$290,093
INVENTORIES	\$26,927
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	\$79,842
INSURANCE DEDUCTIBLES	\$100,000
MAJOR CRITICAL SYSTEMS FAILURES	\$275,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$1,089,760
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$50,000
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	(\$594,038)

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2013-15 BIENNIUM**

FUND CTR0000

INSTITUTION UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT HOPE

APPROPRIATION 1BU

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES	4,660,524	4,756,000	4,756,000	4,851,120	4,972,398		
2 PERSONAL SERVICES MATCHING	1,663,610	1,464,908	1,643,654	1,452,231	1,475,930		
3 OPERATING EXPENSES	387						
4 CONFERENCE FEES & TRAVEL							
5 PROFESSIONAL FEES AND SERVICES							
6 CAPITAL OUTLAY							
7 CONTINGENCY			75,000				
8							
9							
10 TOTAL APPROPRIATION	\$6,324,521	\$6,220,908	\$6,474,654	\$6,303,351	\$6,448,328	\$0	\$0
11 PRIOR YEAR FUND BALANCE**		15,707					
12 GENERAL REVENUE	4,507,704	4,491,997		4,590,147	4,735,124		
13 EDUCATIONAL EXCELLENCE TRUST FUND							
14 SPECIAL REVENUES * [WF2000]	1,832,524	1,713,204		1,713,204	1,713,204		
15 FEDERAL FUNDS IN STATE TREASURY							
16 TOBACCO SETTLEMENT FUNDS							
17 OTHER STATE TREASURY FUNDS							
18 TOTAL INCOME	\$6,340,228	\$6,220,908		\$6,303,351	\$6,448,328	\$0	\$0
19 EXCESS (FUNDING)/APPROPRIATION	-\$15,707	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 14 - "Special Revenues".

**Line 11 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

The \$387 in Operating Expenses for FY2012 is the surety premium to cover the fiscal officers bond that is charged directly to our State Treasury account by DF&A.

FORM 13-3

**APPROPRIATION ACT FORM - CASH FUNDS
2013-15 BIENNIUM**

FUND 2950000

INSTITUTION UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT HOPE

APPROPRIATION A98

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES	617,701	978,280	978,280	1,178,280	1,178,280		
2 EXTRA HELP WAGES	89,973	150,000	150,000	150,000	150,000		
3 PERSONAL SERVICES MATCHING	269,700	936,360	936,360	936,360	936,360		
4 OPERATING EXPENSES	3,234,400	3,290,000	3,290,000	3,290,000	3,290,000		
5 CONFERENCE FEES & TRAVEL	80,853	220,000	220,000	220,000	220,000		
6 PROFESSIONAL FEES AND SERVICES	196,329	225,000	225,000	225,000	225,000		
7 CAPITAL OUTLAY		500,000	500,000	500,000	500,000		
8 CAPITAL IMPROVEMENTS	853,578	3,850,000	3,850,000	3,650,000	3,650,000		
9 DEBT SERVICE	700,000	900,000	900,000	900,000	900,000		
10 FUND TRANSFERS, REFUNDS AND INVESTMENTS		1,000,000	1,000,000	1,000,000	1,000,000		
11							
12							
13							
14							
15 TOTAL APPROPRIATION	\$6,042,534	\$12,049,640	\$12,049,640	\$12,049,640	\$12,049,640	\$0	\$0
16 PRIOR YEAR FUND BALANCE***							
17 TUITION AND MANDATORY FEES	2,250,185	2,234,413		2,279,101	2,324,688		
18 ALL OTHER FEES							
19 SALES AND SERVICES RELATED TO	10,314	21,876		21,000	21,000		
20 INVESTMENT INCOME	4,584	6,200		6,200	6,200		
21 FEDERAL CASH FUNDS	1,628,024	5,900,000		5,900,000	5,900,000		
22 OTHER CASH FUNDS	2,149,427	3,887,151		3,843,339	3,797,752		
23 TOTAL INCOME	\$6,042,534	\$12,049,640		\$12,049,640	\$12,049,640	\$0	\$0
24 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED 2012-13	REQUEST	RECOMMEND	LEGISLATIVE RECOMMENDATION	
				2013-14	2013-14	2013-14	2014-15
REGULAR POSITIONS	149	163	209	209	209		
TOBACCO POSITIONS							
EXTRA HELP **	28	34	200	200	200		

FORM 13-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 16 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT HOPE
(NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2011-12				BUDGETED 2012-13			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *				0				0
2 HOUSING				0				0
3 FOOD SERVICES				0				0
4 STUDENT UNION				0				0
5 BOOKSTORE	44,860	211		44,649	55,000	0		55,000
6 STUDENT ORGANIZATIONS AND PUBLICATIONS				0				
7 OTHER				0				0
8 SUBTOTAL	44,860	211	0	44,649	55,000	0	0	55,000
9 ATHLETIC TRANSFER **				0				0
10 OTHER TRANSFERS ***				0				0
11 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	44,860	211	0	44,649	55,000	0	0	55,000

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 13-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT HOPE
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2011-12:						159
(As of November 1, 2011)						
Nonclassified Administrative Employees:						
White Male:	8	Black Male:	2	Other Male:	0	Total Male: <u>10</u>
White Female:	16	Black Female:	4	Other Female:	1	Total Female: <u>21</u>
Nonclassified Health Care Employees:						
White Male:	0	Black Male:	0	Other Male:	0	Total Male: <u>0</u>
White Female:		Black Female:	0	Other Female:	0	Total Female: <u>0</u>
Classified Employees:						
White Male:	11	Black Male:	3	Other Male:	0	Total Male: <u>14</u>
White Female:	24	Black Female:	10	Other Female:	0	Total Female: <u>34</u>
Faculty:						
White Male:	34	Black Male:	6	Other Male:	0	Total Male: <u>40</u>
White Female:	38	Black Female:	2	Other Female:	0	Total Female: <u>40</u>
Total White Male:						53
Total White Female:						78
Total Black Male:						11
Total Black Female:						16
Total Other Male:						0
Total Other Female:						1
Total White:						131
Total Black:						27
Total Other:						1
Total Minority:						28
Total Employees:						159

FORM 13-6

ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$25,000 AWARDED TO MINORITY OWNED BUSINESSES
 Fiscal Year 2012
 Required by A.C.A. 25-36-104

Institution

UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
N/A	\$0						

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$1,464,232
 (Total Expenditures equals ALL Contracts Exceeding \$25,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT HOPE
June 30, 2011

<p><i>Finding No. 1:</i></p>	<p>The College wrote off \$270,530 of student account balances that were deemed uncollectible without referring the debt to the Chief Fiscal Officer of the State for abatement as required per Ark. Code Ann. § 19-2-306.</p>
<p><i>Institution's Response</i></p>	<p>This was an accumulation of debt for the past three years that had been sent to the State to off-set against income tax refunds and billed to the students monthly. Three years ago the College was required to send our Accounts Receivable Policy to the Department of Finance & Administration. This policy allows the College to write off accounts receivable every two years. We mistakenly thought that once we filed our policy with DF&A we would no longer have to ask permission to write off bad debt. In the future, we will submit a list and ask the Chief Fiscal Officer of the State for abatement.</p>

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UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT MORRILTON
Dr. Larry Davis, Chancellor

ENABLING LAW(S)

A.C.A. §19-5-303, A.C.A. §6-53-301 and Act 215 of 2012

INSTITUTION HISTORY AND ORGANIZATION

The University of Arkansas Community College at Morrilton is a two-year, community college serving west-central Arkansas. UACCM is celebrating 50 years as an institution, 20 years as a college and 10 years as part of the University of Arkansas System. This 50/20/10 celebration is a time to reflect on the rich history of UACCM and its bright future. The college is currently funded through ACT 215 of the 2012 Fiscal Session.

UACCM is committed to excellence in learning and personal enrichment. It is a simple mission that focuses on the student!

During the past ten years, UACCM has been identified as one of the fastest growing two-year colleges in the nation. UACCM offers a variety of degree options including transfer programs and occupational/technical programs. A core component is technical education. The campus offers technical programs such as nursing, drafting, surveying, auto mechanics, welding, auto collision repair, heating and air conditioning, and petroleum technology. Demand for these programs is high because they offer excellent opportunities for graduates. The programs have strong support from business and industry. A prime example is the petroleum technology program, which offers the only associate degree option in the State and one of the few in the nation. The program is supported by businesses within the Fayetteville Shale area. Scholarships, internship opportunities, technical expertise and funds have all been committed by these businesses to support the program. Their support is vital to the success of the program and its graduates. It is an excellent example of business and education working together to provide opportunities for Arkansans!

The success of technical programs is directly related to the quality of the training space and the access to technical equipment. The current technical facilities are over 40 years old. Although well maintained, the facilities need to be replaced. UACCM must plan for the future and invest in its technical programs. Planning has begun for a new Economic Development Center which will house many of the college's technical programs and allow for their expansion. In addition, leaders have been in discussion concerning the inclusion of a One-Stop Workforce Center. This concept would allow

UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT MORRILTON
Dr. Larry Davis, Chancellor

unemployed and under-employed people to see first-hand technical training opportunities. While visiting the One-Stop Workforce Center, new opportunities would be in clear view! It is hoped that funding for the Economic Development Center will come from a variety of partners, including the State. The Center is included in UACCM's capital request.

With limited resources and increasing demands, it is important for the campus to look toward conservation and efficiency to address financial needs. This has prompted campus officials to work on energy conservation projects. Examples of the projects include installing low-flow valves on all faucets, working with consultants on a Super Tune Up program to evaluate all package AC units on campus and get them in top working order. Other examples include a lighting retrofit program that will place high efficiency lighting in older areas of campus. Occupancy sensors are also being added to help reduce energy usage. Some of these programs are offered free of charge through energy consultants and other programs offered financial incentives that will help UACCM attain a quicker payback on the projects. Saving money on utilities allows the reallocation of financial resources to other areas.

Being good stewards of all resources is a top priority at UACCM. That charge is taken seriously by UACCM and remains a guiding force behind all decisions.

**INSTITUTION APPROPRIATION SUMMARY
2013-15 BIENNIUM**

INSTITUTION UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT MORRILTON

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION							
	2011-2012		2012-13		2012-13		2013-14				2014-15			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY	6,106,073		5,917,020		8,173,111		8,540,630		8,540,630		8,737,064		8,737,064	
2 CASH	9,497,772		29,775,000		29,775,000		43,625,000		43,625,000		43,625,000		43,625,000	
3														
4														
5														
6														
7														
8														
9														
10														
11 TOTAL	\$15,603,845	214	\$35,692,020	280	\$37,948,111	299	\$52,165,630	299	\$52,165,630	299	\$52,362,064	299	\$52,362,064	299
FUNDING SOURCES		%		%				%		%		%		%
12 PRIOR YEAR FUND BALANCE*	1,951	0%	799	0%			0	0%	0	0%	0	0%	0	0%
13 GENERAL REVENUE	4,860,308	31%	4,787,010	13%			7,411,419	14%	7,411,419	14%	7,607,853	15%	7,607,853	15%
14 EDUCATIONAL EXCELLENCE TRUST FUND	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
15 WORKFORCE 2000	1,207,858	8%	1,129,211	3%			1,129,211	2%	1,129,211	2%	1,129,211	2%	1,129,211	2%
16 CASH FUNDS	8,610,809	55%	28,775,000	81%			42,625,000	82%	42,625,000	82%	42,625,000	81%	42,625,000	81%
17 SPECIAL REVENUES	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
18 FEDERAL FUNDS	886,963	6%	1,000,000	3%			1,000,000	2%	1,000,000	2%	1,000,000	2%	1,000,000	2%
19 TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%	0	0%	0	0%
20 OTHER FUNDS	36,755	0%	0	0%			0	0%	0	0%	0	0%	0	0%
21 TOTAL INCOME	\$15,604,644	100%	\$35,692,020	100%			\$52,165,630	100%	\$52,165,630	100%	\$52,362,064	100%	\$52,362,064	100%
22 EXCESS (FUNDING)/APPROPRIATION		-\$799		\$0				\$0		\$0		\$0		\$0

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2012:	\$3,349,116
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$1,016,540
INVENTORIES	
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$50,000
MAJOR CRITICAL SYSTEMS FAILURES	\$100,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$1,750,000
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$432,576

*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY
2013-15 BIENNIUM**

FUND CTJ0000

INSTITUTION UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT MORRILTON

APPROPRIATION 729

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES	4,704,970	4,451,939	5,498,111	6,000,000	6,000,000		
2 EXTRA HELP WAGES	93,500	60,000	150,000	165,000	165,000		
3 OVERTIME	10,776	14,000	25,000	25,000	25,000		
4 PERSONAL SERVICES MATCHING	1,260,871	1,391,081	1,500,000	1,650,000	1,650,000		
5 OPERATING EXPENSES	35,956		1,000,000	700,630	897,064		
6 CONFERENCE FEES & TRAVEL							
7 PROFESSIONAL FEES AND SERVICES							
8 CAPITAL OUTLAY							
9							
10							
11							
12							
13 TOTAL APPROPRIATION	\$6,106,073	\$5,917,020	\$8,173,111	\$8,540,630	\$8,737,064	\$0	\$0
14 PRIOR YEAR FUND BALANCE**	1,951	799					
15 GENERAL REVENUE	4,860,308	4,787,010		7,411,419	7,607,853		
16 EDUCATIONAL EXCELLENCE TRUST FUND							
17 SPECIAL REVENUES * [WF2000]	1,207,858	1,129,211		1,129,211	1,129,211		
18 FEDERAL FUNDS IN STATE TREASURY							
19 TOBACCO SETTLEMENT FUNDS							
20 OTHER STATE TREASURY FUNDS***	36,755						
21 TOTAL INCOME	\$6,106,872	\$5,917,020		\$8,540,630	\$8,737,064	\$0	\$0
22 EXCESS (FUNDING)/APPROPRIATION	-\$799	\$0		\$0	\$0	\$0	\$0

* Report WF2000 funds on line 17 - "Special Revenues".

**Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

***OTHER STATE TREASURY FUNDS: Actual Column reflect M&R Proceeds of \$1755 Plus GIF funds of \$35,000.00

NOTE: Operating Expenses Line 5 include 35,000 GIF Expenditures and \$956 Surety Bond/WC Admin costs drawn from treasury funds

**APPROPRIATION ACT FORM - CASH FUNDS
2013-15 BIENNIUM**

FUND 2890000

INSTITUTION UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT MORRILTON

APPROPRIATION B64

DESCRIPTION	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED APPROPRIATION 2012-13	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION		LEGISLATIVE RECOMMENDATION	
				2013-14	2014-15	2013-14	2014-15
1 REGULAR SALARIES	2,952,109	7,600,000	7,600,000	9,000,000	9,000,000		
2 EXTRA HELP WAGES	166,068	500,000	500,000	600,000	600,000		
3 OVERTIME	2,064	20,000	20,000	25,000	25,000		
4 PERSONAL SERVICES MATCHING	1,298,937	3,600,000	3,600,000	4,000,000	4,000,000		
5 OPERATING EXPENSES	4,025,550	7,605,000	7,605,000	9,000,000	9,000,000		
6 CONFERENCE FEES & TRAVEL	85,118	250,000	250,000	250,000	250,000		
7 PROFESSIONAL FEES AND SERVICES	38,932	450,000	450,000	750,000	750,000		
8 CAPITAL OUTLAY	919,885	2,000,000	2,000,000	3,000,000	3,000,000		
9 CAPITAL IMPROVEMENTS		6,000,000	6,000,000	15,000,000	15,000,000		
10 DEBT SERVICE		1,200,000	1,200,000	1,200,000	1,200,000		
11 FUND TRANSFERS, REFUNDS AND INVESTMENTS		500,000	500,000	750,000	750,000		
12 PROMOTIONAL ITEMS	9,109	50,000	50,000	50,000	50,000		
13							
14							
15							
16 TOTAL APPROPRIATION	\$9,497,772	\$29,775,000	\$29,775,000	\$43,625,000	\$43,625,000	\$0	\$0
17 PRIOR YEAR FUND BALANCE***							
18 TUITION AND MANDATORY FEES	6,463,759	7,500,000		7,500,000	7,500,000		
19 ALL OTHER FEES							
20 SALES AND SERVICES RELATED TO	64,441	50,000		50,000	50,000		
21 INVESTMENT INCOME	142,659	50,000		50,000	50,000		
22 FEDERAL CASH FUNDS	886,963	1,000,000		1,000,000	1,000,000		
23 OTHER CASH FUNDS	1,939,950	21,175,000		35,025,000	35,025,000		
24 TOTAL INCOME	\$9,497,772	\$29,775,000		\$43,625,000	\$43,625,000	\$0	\$0
25 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0	\$0	\$0

TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)

	ACTUAL 2011-12	BUDGETED 2012-13	AUTHORIZED 2012-13	REQUEST	RECOMMEND	LEGISLATIVE RECOMMENDATION	
				2013-14	2013-14	2013-14	2014-15
REGULAR POSITIONS	214	280	299	299	299		
TOBACCO POSITIONS							
EXTRA HELP **	41	110	110	110	110		

FORM 13-4

** The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

***Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES

UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT MORRILTON
(NAME OF INSTITUTION)

ACTIVITY	ACTUAL 2011-12				BUDGETED 2012-13			
	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1 INTERCOLLEGIATE ATHLETICS *				0				0
2 HOUSING				0				0
3 FOOD SERVICES	187,831	285,245		(97,414)	150,000	268,522		(118,522)
4 STUDENT UNION				0				0
5 BOOKSTORE	1,512,149	1,207,593		304,556	1,725,000	1,615,978		109,022
6 STUDENT ORGANIZATIONS AND PUBLICATIONS				0				
7 OTHER	38,187	23,547		14,640	11,000	1,500		9,500
8 SUBTOTAL	1,738,167	1,516,385	0	221,782	1,886,000	1,886,000	0	0
9 ATHLETIC TRANSFER **				0				0
10 OTHER TRANSFERS ***				0				0
11 GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	1,738,167	1,516,385	0	221,782	1,886,000	1,886,000	0	0

* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 13-5

** For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

*** For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line Item 7 Other - line item includes our Traxx Convenience Store and Vending Services

**EMPLOYMENT INFORMATION
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT MORRILTON
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2011-12:						209
(As of November 1, 2011)						
Nonclassified Administrative Employees:						
White Male:	7	Black Male:		Other Male:	1	Total Male: 8
White Female:	27	Black Female:	2	Other Female:		Total Female: 29
Nonclassified Health Care Employees:						
White Male:		Black Male:		Other Male:		Total Male: 0
White Female:		Black Female:		Other Female:		Total Female: 0
Classified Employees:						
White Male:	14	Black Male:	2	Other Male:	1	Total Male: 17
White Female:	46	Black Female:	4	Other Female:	0	Total Female: 50
Faculty:						
White Male:	37	Black Male:	0	Other Male:	0	Total Male: 37
White Female:	63	Black Female:	1	Other Female:	4	Total Female: 68
Total White Male:	58	Total Black Male:	2	Total Other Male:	2	Total Male: 62
Total White Female:	136	Total Black Female:	7	Total Other Female:	4	Total Female: 147
Total White:	194	Total Black:	9	Total Other:	6	Total Employees: 209
				Total Minority:	15	

FORM 13-6

**ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES
STATE CONTRACTS OVER \$25,000 AWARDED TO MINORITY OWNED BUSINESSES**

Fiscal Year 2012

Required by A.C.A. 25-36-104

Institution

UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT MORRILTON

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
N/A							

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$50,503
(Total Expenditures equals ALL Contracts Exceeding \$25,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

DIVISION OF LEGISLATIVE AUDIT
AUDIT OF UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT MORRILTON
June 30, 2011

<i>Finding:</i>	No Findings noted
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