

# **Arkansas Public Higher Education Operating Recommendations**

**FISCAL YEAR 2016-2017**



Universities  
and  
Colleges

**Arkansas Department of Higher Education**

423 Main, STE 400, Little Rock, Arkansas 72201

March 2016



# ARKANSAS PUBLIC HIGHER EDUCATION OPERATING RECOMMENDATIONS 2016-17 FISCAL YEAR

## OVERVIEW, UNIVERSITIES AND COLLEGES

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# Institutional Abbreviations

## Four-Year Institutions

Arkansas State University (Jonesboro)	ASUJ
Arkansas Tech University (Russellville)	ATU
Henderson State University (Arkadelphia)	HSU
Southern Arkansas University (Magnolia)	SAUM
University of Arkansas (Fayetteville)	UAF
University of Arkansas at Fort Smith	UAFS
University of Arkansas at Little Rock	UALR
University of Arkansas at Monticello	UAM
University of Arkansas at Pine Bluff	UAPB
University of Central Arkansas	UCA

## Two-Year Institutions

Arkansas Northeastern College (Blytheville)	ANC
Arkansas State University - Beebe	ASUB
Arkansas State University - Mountain Home	ASUMH
Arkansas State University - Mid-South	ASUMS
Arkansas State University - Newport	ASUN
Black River Technical College (Pocahontas)	BRTC
Cossatot Community College of the UA (DeQueen)	CCCUA
College of the Ouachitas (Malvern)	CotO
East Arkansas Community College (Forrest City)	EACC
North Arkansas College (Harrison)	NAC
National Park College (Hot Springs)	NPC
Northwest Arkansas Community College (Bentonville)	NWACC
Ozarka College (Melbourne)	OZC
Phillips Community College of the UA (Helena)	PCCUA
Pulaski Technical College (North Little Rock)	PTC
Rich Mountain Community College (Mena)	RMCC
South Arkansas Community College (El Dorado)	SACC
Southern Arkansas University - Tech (Camden)	SAUT
Southeast Arkansas College (Pine Bluff)	SEAC
UA Community College at Batesville	UACCB
UA Community College at Hope	UACCH
UA Community College at Morrilton	UACCM

## Non-Formula Institutions / Entities

Arkansas Delta Training and Education Consortium	ADTEC
Arkansas Research and Educational Optical Network	AREON
ASU - System	ASU-SYS
ASU - Heritage Sites	ASU-Heritage
HSU - Community Education Center	HSU-CEC
NWACC - Child Protection Training Center	NWACC-CPTC
SACC - Arboretum	SACC - Arboretum
SAUT - Environmental Training Academy	SAUT-ETA
SAUT - Fire Training Academy	SAUT-FTA
UA - System	UA-SYS
UA - Archeological Survey	UA-AS
UA - Division of Agriculture	UA-DIV AGRI
UA - School of Mathematics, Sciences, and the Arts	UA-ASMSA
UA - Clinton School of Public Service	UA-CS
UA - Criminal Justice Institute	UA-CJI
UAF - Arkansas Research and Technology Park	UAF-ARTP
UAF - Arkansas Centers for Rural Education in Autism and Related Disabilities	UAF-Autism
UAF - Garvan Woodland Gardens	UAF-GWG
UAF - The David & Barbara Pryor Center for Arkansas Oral and Visual History	UAF-Pryor Center
UAF - World Trade Center Arkansas	UAF - WTC AR
UALR - Research and Public Service	UALR-RAPS
University of Arkansas at Pine Bluff (1890 Land Grant Universities Match)	UAPB - Nonformula
University of Arkansas for Medical Sciences	UAMS

## Technical Centers

ATU - Ozark Campus	ATU-OZARK
UAM - College of Technology - Crossett	UAM-CROSSETT
UAM - College of Technology - McGehee	UAM-MCGEHEE

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**RECOMMENDATIONS FOR EDUCATIONAL AND GENERAL OPERATIONS  
STATE-SUPPORTED INSTITUTIONS OF HIGHER EDUCATION  
FISCAL YEAR 2016-17**

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**Background**

A.C.A. §6-61-224 establishes the process and key components for formula development for funding public institutions of higher education. That language reads as follows:

*“(a) The Arkansas Department of Higher Education, in collaboration with the state college and university presidents and chancellors, shall develop funding formulas consisting of a needs-based component and an outcome-centered component which will, in principle, seek to provide fair and equitable state support to all postsecondary students across the state, regardless of the state institution attended, while at the same time recognizing: (1) The different needs for lower level, upper level, and graduate level instruction at the various institutions; (2) The requirements for specialized equipment, labs and smaller class sizes in some disciplines; and (3) Unique missions, such as agricultural extension services, research, medical sciences, workforce development, and public service; and (4) Growth, economies of scale, and other appropriate factors.*

At its April 27, 2014 meeting, the Arkansas Higher Education Coordinating Board approved the funding models for the two-year colleges, universities, and the technical centers (former technical institutes merged with universities). These models had been developed in conjunction with presidents and chancellors after meetings and revisions. The funding models were used to develop the comparative needs of Arkansas institutions of higher education in terms of the average funding levels of schools in the SREB region.

ADHE staff also reviewed new justification requests submitted by the non-formula group and prepared funding recommendations for Fiscal Year 2016-17 based upon those requests.

The difference between the funding model determined needs of the entities compared to the Fiscal Year 2016 appropriations was \$346,435,271. The funding gap (the difference between the formula determined need and the current fiscal year appropriation) is part of a phenomenon that occurs when there is an extended economic downturn which is

invariably accompanied by enrollment increases, declining state support and tuition increases (to replace a part of the lost state support). It should be emphasized that the funding needs of the institutions are the funds needed to bring Arkansas higher education to the **average** funding level of the SREB region. The funding gap took a number of years to reach its current level and may never be completely erased.

### **Operating Funding Recommendations for the 2016-17 Fiscal Year**

The operating needs are based upon the tuition policies established by the Arkansas Higher Education Coordinating Board and the funding formulas approved by the AHECB in April 2014.

Each funding formula was developed to provide an equitable basis for the distribution of **any funding available**.

The funding models have been updated with academic year 2015 student semester credit hours (SSCH). The input data for the funding models were the SSCH by level and discipline as reported in the Student Information System for academic year 2015 and the educational and general square footage as reported in the Facilities Audit Program (FAP) 2014. The updated formula driven models represent a total need for funding of higher education institutions of \$966,531,263. The total funding recommendation for the non-formulas entities is \$239,190,089 in 2016-17.

Since it is not anticipated that the models will be fully funded in the near future, funding recommendations for 2016-17 are: For the **four-year institutions**, a 2.1% increase for all institutions based on the Higher Education Price Index (HEPI) which totals \$7,623,006 and further recommending that those institutions below 75% of the model be brought to that level which is an additional \$117,346,720 for a total four-year recommendation of \$124,969,726 in new revenue.

For the **two-year institutions**, a 2.1% increase for all institutions based on the Higher Education Price Index (HEPI) which totals \$2,682,367 and further recommending that those institutions below 75% of the model be brought to that level which is an additional \$6,582,313 for a total two-year recommendation of \$9,264,680 in new revenue.

For the **technical centers**, a 2.1% increase for all technical centers based on the Higher Education Price Index (HEPI) which totals \$110,729 and further recommending that those technical centers below 75% of the model be brought to that level which is an additional \$2,852,711 for a total two-year recommendation of \$2,963,440 million in new revenue.

For the **non-formula entities** the recommendation is for a 2.1% increase based on the HEPI index which is \$3,974,407 and a recommendation of full funding which would require an additional \$32,852,646, of which \$10,004,108 would be for



the University of Arkansas for Medical Sciences (UAMS).

The allocation of funds generated by the increases in RSA funding between colleges, universities and technical institutes is based upon each group's share of the need for new funds.

The individual institutional recommendations for all four types of institutions (Colleges, Universities, Non-Formula Entities, and Technical Institutes) were determined in the following manner: The general revenue funds were distributed based upon the need for new funds as determined by the three funding formulas and the ADHE staff determined need of the non-formula entities. The non-formula needs were based up the justifications submitted by the institutions. **The total recommendation for 2016-17 for Colleges, Universities, Non-Formula Entities and Technical Centers is \$170,050,492 in new revenue.**

***It should be noted that it is unlikely that these recommendations will keep pace with the combination of inflation and enrollment increases for most institutions. However, given the economic uncertainty, there is an even greater uncertainty than usual that even these modest recommendations will be funded.***

The principles for determining operating needs address continued levels of base funding for institutions, equity, small college adjustment, and economies of scale. Specific aspects of the operating recommendations for all institutions follow:

- All of the general revenue increases recommended were distributed on the basis of the funding formula or staff determined need for new funding.

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Table A. Summary of Operating Needs & Recommendations for the 2016-17 Fiscal Year



Institution Type	Fiscal Year 2015-16 Base						FY2016-17 AHECB Recommendations			
	90% Current RSA Base	10% Performance Funding	Total Current RSA Base	EETF	WF2000	Total Fiscal Year Base	Total Need	Total Recommendation	New Funds	% Inc
	Universities	363,000,266	40,333,363	403,333,629	37,459,999		440,793,628	743,495,239	565,763,354	124,969,726
Colleges	127,731,761	14,192,418	141,924,179	7,358,315	22,589,450	171,871,944	210,652,495	181,136,624	9,264,680	5.4%
<b>Subtotal</b>	<b>490,732,027</b>	<b>54,525,781</b>	<b>545,257,808</b>	<b>44,818,314</b>	<b>22,589,450</b>	<b>612,665,572</b>	<b>954,147,734</b>	<b>746,899,978</b>	<b>134,234,406</b>	<b>21.9%</b>
Technical Centers			5,272,810		2,157,610	7,430,420	12,383,529	10,393,860	2,963,440	39.9%
<b>Total</b>			<b>550,530,618</b>	<b>44,818,314</b>	<b>24,747,060</b>	<b>620,095,992</b>	<b>966,531,263</b>	<b>757,293,838</b>	<b>137,197,846</b>	<b>22.1%</b>

Non-Formula Entity Type	Fiscal Year 2015-16 Base			FY2016-17 AHECB Recommendation		
	Total Current RSA Base	EETF	Total Fiscal Year Base	Total Recommendation	New Funds	% Inc
	Non-Formula Entities	87,827,357	13,633,193	101,460,550	124,309,088	22,848,538
Health Care-Related UAMS	95,204,430	9,672,463	104,876,893	114,881,001	10,004,108	9.5%
<b>Total</b>	<b>183,031,787</b>	<b>23,305,656</b>	<b>206,337,443</b>	<b>239,190,089</b>	<b>32,852,646</b>	<b>15.9%</b>

All Institution Types	Fiscal Year 2015-16 Base						FY2016-17 AHECB Recommendation		
	90% Current RSA Base	10% Performance Funding	Total Current RSA Base	EETF	WF2000	Total Fiscal Year Base	Total Recommendation	New Funds	% Inc
<b>Total</b>	<b>490,732,027</b>	<b>54,525,781</b>	<b>733,562,405</b>	<b>68,123,970</b>	<b>24,747,060</b>	<b>826,433,435</b>	<b>996,483,927</b>	<b>170,050,492</b>	<b>20.6%</b>

**Table B. 2014-15 Four-Year Universities Recommendations**



Inst	FY2015-16					FY2016-17						
	90% Needs-based RSA Base	10% Outcomes-based RSA Base	Total RSA	EETF	Total Base (RSA & EETF)	Total Need	% of Need	2.1% Continuing Level on RSA (90% Needs-Based)	Adjustment to Reach 75% of Need	New Funds	Total Recommendation	% of Need
ASUJ	50,740,480	5,637,831	56,378,311	6,078,916	62,457,227	110,326,416	56.6%	1,065,550	19,222,035	20,287,585	82,744,812	75.0%
ATU	26,839,746	2,982,194	29,821,940	2,086,501	31,908,441	58,365,626	54.7%	563,635	11,302,143	11,865,778	43,774,219	75.0%
HSU	16,916,592	1,879,621	18,796,213	2,158,387	20,954,600	23,940,223	87.5%	355,248	-	355,248	21,309,848	89.0%
SAUM	14,026,479	1,558,498	15,584,976	1,276,086	16,861,062	27,034,359	62.4%	294,556	3,120,151	3,414,707	20,275,770	75.0%
UAF	105,782,841	11,753,649	117,536,490	9,452,865	126,989,355	255,058,402	49.8%	2,221,440	62,083,007	64,304,447	191,293,802	75.0%
UAFS	18,535,154	2,059,462	20,594,615	3,162,644	23,757,259	35,501,051	66.9%	389,238	2,479,290	2,868,528	26,625,788	75.0%
UALR	51,000,535	5,666,726	56,667,261	5,481,239	62,148,500	90,056,731	69.0%	1,071,011	4,323,037	5,394,048	67,542,548	75.0%
UAM	11,761,041	1,306,782	13,067,823	1,101,302	14,169,125	19,048,429	74.4%	246,982	-	246,982	14,416,107	75.7%
UAPB	19,594,164	2,177,129	21,771,294	1,911,837	23,683,131	25,916,199	91.4%	411,477	-	411,477	24,094,608	93.0%
UCA	47,803,235	5,311,471	53,114,705	4,750,222	57,864,927	98,247,802	58.9%	1,003,868	14,817,056	15,820,924	73,685,851	75.0%
<b>Total</b>	<b>363,000,266</b>	<b>40,333,363</b>	<b>403,333,629</b>	<b>37,459,999</b>	<b>440,793,628</b>	<b>743,495,239</b>	<b>59.3%</b>	<b>7,623,006</b>	<b>117,346,720</b>	<b>124,969,726</b>	<b>565,763,354</b>	<b>76.1%</b>

Table C. 2016-17 Two Year Colleges Recommendations



Inst	FY2015-16						FY2016-17						
	90% Needs-based RSA Base	10% Outcomes-based RSA Base	Total RSA Base	EETF	WF2000	Total Base (RSA, EETF & WF2000)	Total Need	% of Need Met	2.1% Continuing Level on RSA (90% Needs-Based)	Adjustment to Reach 75% of Need	New Funds	Total Recommendation	% of Need
ANC	7,719,347	857,705	8,577,052	744,458	730,954	10,052,464	10,052,464	100.0%	162,106	-	162,106	10,214,570	100.0%
ASUB	10,652,154	1,183,573	11,835,727	1,485,055	801,945	14,122,727	14,259,286	99.0%	223,695	-	223,695	14,346,422	100.0%
ASUMH	3,283,299	364,811	3,648,110	-	823,929	4,472,039	6,691,476	66.8%	68,949	477,618.72	546,568	5,018,607	75.0%
ASUMS	3,472,206	385,801	3,858,007	-	2,190,914	6,048,921	7,474,162	80.9%	72,916	-	72,916	6,121,837	81.9%
ASUN	5,393,064	599,229	5,992,293	-	1,417,628	7,409,921	11,369,390	65.2%	113,254	1,003,867	1,117,122	8,527,043	75.0%
BRTC	5,502,164	611,352	6,113,516	-	2,245,209	8,358,725	9,707,333	86.1%	115,545	-	115,545	8,474,270	87.3%
CCCUA	3,056,222	339,580	3,395,802	-	1,350,337	4,746,139	7,132,126	66.5%	64,181	538,775	602,956	5,349,095	75.0%
CotO	3,174,535	352,726	3,527,261	-	1,156,386	4,683,647	5,966,991	78.5%	66,665	-	66,665	4,750,312	79.6%
EACC	5,209,252	578,806	5,788,058	777,166	-	6,565,224	6,565,224	100.0%	109,394	-	109,394	6,674,618	100.0%
NAC	7,170,268	796,696	7,966,964	458,985	575,177	9,001,126	9,082,509	99.1%	150,576	-	150,576	9,151,702	100.0%
NPCC	8,141,840	904,649	9,046,489	1,162,362	668,021	10,876,872	11,198,386	97.1%	170,979	-	170,979	11,047,851	98.7%
NWACC	9,557,282	1,061,920	10,619,202	1,027,228	-	11,646,430	20,574,386	56.6%	200,703	3,583,657	3,784,360	15,430,790	75.0%
OZC	2,813,828	312,648	3,126,475	-	1,271,841	4,398,316	6,537,403	67.3%	59,090	445,646	504,736	4,903,052	75.0%
PCCUA	8,156,779	906,309	9,063,088	756,855	529,856	10,349,799	10,349,799	100.0%	171,292	-	171,292	10,521,091	100.0%
PTC	13,623,693	1,513,744	15,137,437	-	2,273,772	17,411,209	23,524,897	74.0%	286,098	-	286,098	17,697,307	75.2%
RMCC	2,886,182	320,687	3,206,869	205,144	-	3,412,013	4,201,880	81.2%	60,610	-	60,610	3,472,623	82.6%
SACC	5,430,876	603,431	6,034,307	531,526	461,389	7,027,222	8,187,439	85.8%	114,048	-	114,048	7,141,270	87.2%
SAUT	5,134,960	570,551	5,705,511	209,536	-	5,915,047	8,659,270	68.3%	107,834	471,571	579,406	6,494,453	75.0%
SEAC	5,073,118	563,680	5,636,798	-	1,975,199	7,611,997	7,611,997	100.0%	106,535	-	106,535	7,718,532	100.0%
UACCB	3,717,955	413,106	4,131,061	-	866,760	4,997,821	6,227,461	80.3%	78,077	-	78,077	5,075,898	81.5%
UACCH	4,042,797	449,200	4,491,997	-	1,958,947	6,450,944	6,652,698	97.0%	84,899	-	84,899	6,535,843	98.2%
UACCM	4,519,940	502,216	5,022,155	-	1,291,186	6,313,341	8,625,918	73.2%	94,919	61,179	156,098	6,469,439	75.0%
<b>Total</b>	<b>127,731,761</b>	<b>14,192,418</b>	<b>141,924,179</b>	<b>7,358,315</b>	<b>22,589,450</b>	<b>171,871,944</b>	<b>210,652,495</b>	<b>81.6%</b>	<b>2,682,367</b>	<b>6,582,313</b>	<b>9,264,680</b>	<b>181,136,624</b>	<b>86.0%</b>

**Table D. 2016-17 Technical Centers Recommendations**



Institution	FY2015-16			FY2016-17						
	RSA	Workforce 2000	Total Base	100% Model Calculated Need	% of Need Met	2.1% Continuing Level on RSA	Adjustment to 75% of Need	New Funds	Total Recommendation	% of Need
ATU-Ozark	2,394,591	794,490	3,189,081	8,122,771	39.3%	50,286	2,852,711	2,902,997	6,092,078	75.0%
UAM-Crossett	1,154,300	657,024	1,811,324	1,830,743	98.9%	24,240	-	24,240	1,835,564	100.0%
UAM-McGehee	1,723,919	706,096	2,430,015	2,430,015	100.0%	36,202	-	36,202	2,466,217	100.0%
<b>Total</b>	<b>5,272,810</b>	<b>2,157,610</b>	<b>7,430,420</b>	<b>12,383,529</b>	<b>60.0%</b>	<b>110,729</b>	<b>2,852,711</b>	<b>2,963,440</b>	<b>10,393,860</b>	<b>83.9%</b>

**Table E. 2016-17 Non-Formula Entities Recommendations**



Institution/Entity	FY2015-16				FY 2016-17			
	RSA	EETF	Total Base	FY 2015-16 AHECB Recommendation	2.1% Continuing Level of RSA	New Program Enhancements	Total New Funds over 2015-16 Recs	Total Recommendation
ADTEC/ADWIRED	1,500,000	-	1,500,000	2,045,000	32,445	-	32,445	2,077,445
AREON	-	-	-	1,365,000	-	1,432,500	1,432,500	2,797,500
ASU-System Office	2,362,680	145,605	2,508,285	2,764,360	51,105	14,224	65,329	2,829,689
ASU-Heritage	350,000	-	350,000	2,360,500	7,571	60,000	67,571	2,428,071
HSU-CEC	79,798	-	79,798	1,216,903	1,726	-	1,726	1,218,629
NWACC-CPTC	-	-	-	118,282	-	-	-	118,282
SACC-Arboretum	-	-	-	75,000	-	-	-	75,000
SAUT-ETA	368,404	35,015	403,419	614,224	7,969	-	7,969	622,193
SAUT-FTA	1,651,221	88,622	1,739,843	2,346,119	35,716	-	35,716	2,381,835
UA-SYS	3,417,950	271,845	3,689,795	4,033,351	73,930	6,912	80,842	4,114,193
UA-AS	2,327,380	134,378	2,461,758	2,706,471	50,341	5,276	55,617	2,762,088
UA-DivAgri	62,800,138	5,586,768	68,386,906	71,888,097	1,358,367	443,400	1,801,767	73,689,864
UA-ASMSA	1,113,015	7,370,960	8,483,975	8,965,364	24,075	-	24,075	8,989,439
UA-CS	2,295,575	-	2,295,575	2,454,442	49,653	2,700	52,353	2,506,795
UA-CJI	1,825,769	-	1,825,769	2,740,542	39,491	25,800	65,291	2,805,833
UAF-ARTP	-	-	-	250,000	-	-	-	250,000
UAF-Autism	-	-	-	2,500,000	-	-	-	2,500,000
UAF-GWG	-	-	-	500,000	-	-	-	500,000
UAF-Pryor Center	-	-	-	250,000	-	-	-	250,000
UAF-WTC AR	-	-	-	250,000	-	2,588	2,588	252,588
UALR-RAPS	4,087,836	-	4,087,836	6,399,471	88,420	65,670	154,090	6,553,561
UAPB-Nonformula*	3,647,591	-	3,647,591	4,491,757	94,327	-	94,327	4,586,084
<b>Total</b>	<b>87,827,357</b>	<b>13,633,193</b>	<b>101,460,550</b>	<b>120,334,883</b>	<b>1,915,135</b>	<b>2,059,070</b>	<b>3,974,205</b>	<b>124,309,088</b>

\*UAPB's Recommendation for RSA funding is for federal matching purposes.

**Health-Related Non-Formula Entity - UAMS**

Institution/Entity	FY2015-16				FY 2016-17			
	RSA	EETF	Total Base	FY 2015-16 AHECB Recommendation	2.1% Continuing Level of RSA	New Program Enhancements	Total New Funds over 2015-16 Recs	Total Recommendation
UAMS	86,456,661	9,437,619	95,894,280	102,186,919	1,870,058	1,391,420	3,261,478	105,448,397
UAMS-ABUSE/RAPE/DV	735,000	-	735,000	757,050	15,898	-	15,898	772,948
UAMS-Child Safety	720,588	-	720,588	742,206	15,586	-	15,586	757,792
UAMS-Ped/Pysch/Res.	1,950,000	-	1,950,000	2,008,500	42,179	-	42,179	2,050,679
UAMS-IC	5,342,181	234,844	5,577,025	5,735,634	115,551	-	115,551	5,851,185
<b>Total</b>	<b>95,204,430</b>	<b>9,672,463</b>	<b>104,876,893</b>	<b>111,430,309</b>	<b>2,059,272</b>	<b>1,391,420</b>	<b>3,450,692</b>	<b>114,881,001</b>

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## Table 1: DEFINITIONS OF EXPENDITURE CATEGORIES

**Academic Support.** This category includes funds expended primarily to provide support for an institution's primary mission--teaching, research, and public service. Academic support is comprised of the following sub-categories:

Libraries. Includes salaries and operating expenses of separately organized libraries and media centers that are the responsibility of the librarian.

Museums and Galleries. Includes expenditures for activities that provide for the collection, preservation, and exhibition of historical materials, art objects, scientific displays, etc.

Organized Activities Related to Educational Departments. Includes expenditures for activities that provide support services to the three primary programs: teaching, research, and public service. These activities usually provide an opportunity for students to gain practical experience. Examples of organized activities are campus radio stations or farms.

Other Academic Support. Includes educational media services, academic computing, academic administration (deans and their support costs), and faculty development.

**Equipment Replacement.** Funds are provided for the replacement of worn or obsolete equipment. This is not an expenditure category in accounting terms since the expenditures will occur in other categories where equipment is used.

**Institutional Support.** This category includes administrative costs for institutional management such as the president's office, fiscal and personnel management, chief academic and student service officers, administrative computing, and other central institutional services that cannot be allocated to a specific department or activity.

**Instruction.** This category includes expenditures for all activities that are part of an institution's instructional program. Expenditures for credit and non-credit courses, for academic, vocational, and technical instruction, for remedial and tutorial instruction, and for any regular, special, and extension sessions are included. This category includes the following sub-categories:

Teaching Salaries. Includes only that portion of faculty salaries devoted to the teaching of credit-equivalency courses.

Departmental Operating Expenses. Includes salaries, wages, equipment, and operating expenses of instructional departments exclusive of teaching salaries.

Off-Campus Credit. Includes all credit courses offered anywhere other than in the facilities or on the grounds of a four-year institution, branch campus, or technical college. Included are expenditures for community college courses offered anywhere outside the district in which the community college is located.

Non-Credit Instruction. Encompasses courses which are not part of a degree or certificate program, such as community service courses.

**Plant Maintenance and Operation.** This category includes all costs associated with operating the campus buildings including utilities, and routine repair and maintenance of buildings.

**Public Service.** This category includes expenditures for non-instructional services beneficial to individuals and groups external to the institution. Examples are workshops, administration of non-credit courses, concerts and other cultural events intended primarily for the public, and cooperative extension services.

**Research.** This category includes all expenditures for activities specifically and separately organized to produce research outcomes. Included are expenditures for institutes, research centers, and program and project research.

**Scholarships and Fellowships.** This category includes expenditures in the form of outright grants to students selected by the institution and financed from unrestricted funds in the form of tuition remission. Performance or athletic scholarships are not included.

**Student Services.** This category includes expenditures for the offices of admissions, registrar, deans of men and women, financial aid, and counseling. Student service activities are those that contribute to the student's emotional and physical well-being and to their intellectual, cultural, and social development outside the context of formal academic instruction.

**Transfers.** Included are funds that are transferred from the unrestricted current funds group to any of the restricted fund groups. Transfers are classified in the following three categories:

Auxiliary Transfers. This item applies to the four-year institutions and their two-year branches. An auxiliary enterprise is an entity that exists to furnish goods or services to students, faculty, or staff, and charges a fee directly related to, although not necessarily equal to, the cost of the goods or services. Most auxiliaries are expected to be self-supporting. These include dormitories, bookstores, food services, and health services. Student organizations, publications, and college unions may require a subsidy from the unrestricted educational and general budget.

Mandatory Transfers. This category includes transfers to restricted fund groups, excluding auxiliaries, arising out of legal agreements related to the financing of educational facilities, and grant agreements with the federal government or other external sources.

Non-Mandatory Transfers. This category includes transfers from the unrestricted current funds group to any restricted fund group to serve a variety of objectives unique to an institution. The most common non-mandatory transfers are to auxiliaries, to the plant reserve fund, and other transfers to loan funds, quasi-endowment funds, or pre-payments of debt principal.

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**Table 2. Annual Full-Time Equivalent Enrollment (FTE) for FY2014-15**

Four-Year Universities		Two-Year Colleges			
2014-15 FTE		2014-15 FTE		2014-15 FTE	
ASUJ	12,020	ANC	915	NWACC	5,133
ATU	8,420	ASUB	3,029	OZC	933
HSU	3,293	ASUMH	1,090	PCCUA	986
SAUM	3,218	ASUMS	1,070	PTC	6,292
UAF	24,201	ASUN	1,717	RMCC	565
UAFS	5,609	BRTC	1,480	SACC	1,181
UALR	8,737	CCCUA	961	SAUT	1,220
UAM	2,505	COTO	917	SEAC	1,049
UAPB	2,340	EACC	795	UACCB	944
UCA	10,534	NAC	1,429	UACCH	916
		NPC	1,917	UACCM	1,531
<b>Subtotal</b>	<b>80,877</b>				<b>36,072</b>
<b>Grand Total</b>					<b>116,949</b>

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**TABLE 3**  
**2015-16 Full-time Annualized Fall Tuition and Mandatory Fees**

**TWO-YEAR INSTITUTION RESIDENT**

INSTITUTION	ADHE ESTIMATE OF 2015-16 SREB AVERAGE TUITION & FEES	2015-16 ANNUAL TUITION	2015-16 ANNUAL FEES	2015-16 TUITION & FEES
<b>LOCAL TAXES (IN-DISTRICT)</b>				
ANC	3,717	1,950	350	2,300
ASUMS	3,717	2,700	490	3,190
CCCUA	3,717	1,950	720	2,670
EACC	3,717	2,460	330	2,790
NAC	3,717	2,040	510	2,550
NPC	3,717	2,640	520	3,160
NWACC	3,717	2,250	958	3,208
PCCUA	3,717	2,018	575	2,593
RMCC	3,717	2,160	900	3,060
SACC	3,717	2,490	500	2,990
UACCB	3,717	2,100	720	2,820
UACCH	3,717	1,890	580	2,470
UACCM	3,717	2,535	1,040	3,575
<b>AVERAGE ANNUAL</b>	<b>3,717</b>	<b>2,245</b>	<b>630</b>	<b>2,875</b>
<b>LOCAL TAXES (OUT-OF-DISTRICT/IN-STATE)</b>				
ANC	4,744	2,250	350	2,600
ASUMH	4,744	2,760	660	3,420
ASUMS	4,744	3,300	490	3,790
CCCUA	4,744	2,310	720	3,030
EACC	4,744	2,760	330	3,090
NAC	4,744	2,760	510	3,270
NPC	4,744	2,940	520	3,460
NWACC	4,744	3,675	958	4,633
PCCUA	4,744	2,393	575	2,968
RMCC	4,744	2,580	900	3,480
SACC	4,744	2,880	500	3,380
UACCB	4,744	2,475	720	3,195
UACCH	4,744	2,070	580	2,650
UACCM	4,744	2,745	1,040	3,785
<b>AVERAGE ANNUAL</b>	<b>4,744</b>	<b>2,707</b>	<b>632</b>	<b>3,339</b>
<b>NO LOCAL TAXES (IN-STATE)</b>				
ASUB	4,744	2,880	540	3,420
ASUN	4,744	2,730	540	3,270
BRTC	4,744	2,580	660	3,240
COTO	4,744	2,790	830	3,620
OZC	4,744	2,640	805	3,445
PTC	4,744	3,300	1,350	4,650
SAUT	4,744	3,240	900	4,140
SEAC	4,744	2,520	550	3,070
<b>AVERAGE ANNUAL</b>	<b>4,744</b>	<b>2,835</b>	<b>772</b>	<b>3,607</b>

Full-time undergraduate is defined as 30 credit hours per year per SREB guidelines.

\*As of July 2015 Mid-South Community College (MSCC) became ASU Mid-South (ASUMS)

\*\*As of May 2015 National Park Community College (NPCC) rebranded itself and the college's new name is National Park College (NPC)

**TABLE 3**  
**2015-16 Full-time Annualized Fall Tuition and Mandatory Fees**

**TWO-YEAR INSTITUTION NON-RESIDENT**

INSTITUTION	ADHE ESTIMATE OF 2015-16 SREB AVERAGE TUITION & FEES	2015-16 ANNUAL TUITION	2015-16 ANNUAL FEES	2015-16 TUITION & FEES
<b>LOCAL TAXES</b>				
ANC	9,250	3,750	350	4,100
ASUMH	9,250	4,740	660	5,400
ASUMS	9,250	4,500	490	4,990
CCCUA	9,250	5,100	720	5,820
EACC	9,250	3,270	330	3,600
NAC	9,250	4,890	510	5,400
NPC	9,250	4,020	520	4,540
NWACC	9,250	3,750	958	4,708
PCCUA	9,250	3,750	575	4,325
RMCC	9,250	5,850	900	6,750
SACC	9,250	5,160	500	5,660
UACCB	9,250	4,200	720	4,920
UACCH	9,250	4,200	580	4,780
UACCM	9,250	3,840	1,040	4,880
<b>AVERAGE ANNUAL</b>	<b>9,250</b>	<b>4,359</b>	<b>632</b>	<b>4,991</b>
<b>NO LOCAL TAXES</b>				
ASUB	9,250	4,980	540	5,520
ASUN	9,250	4,470	540	5,010
BRTC	9,250	5,670	660	6,330
COTO	9,250	5,280	830	6,110
OZC	9,250	5,580	805	6,385
PTC	9,250	5,040	1,350	6,390
SAUT	9,250	4,680	900	5,580
SEAC	9,250	5,040	550	5,590
<b>AVERAGE ANNUAL</b>	<b>9,250</b>	<b>5,093</b>	<b>772</b>	<b>5,864</b>

Full-time undergraduate is defined as 30 credit hours per year per SREB guidelines.

\*As of July 2015 Mid-South Community College (MSCC) became ASU Mid-South (ASUMS)

\*\*As of May 2015 National Park Community College (NPCC) rebranded itself and the college's new name is National Park College (NPC)



**TABLE 3****2015-16 Full-time Annualized Fall Tuition and Mandatory Fees  
FOUR-YEAR INSTITUTION UNDERGRADUATE RESIDENT**

INSTITUTION	ADHE ESTIMATE OF 2015-16 SREB AVERAGE TUITION & FEES	2015-16 ANNUAL TUITION	2015-16 ANNUAL FEES	2015-16 TUITION & FEES
UAF	14,253	7,028	1,493	8,521
<b>LEVEL 1 GROUP AVERAGE</b>	<b>14,253</b>	<b>7,028</b>	<b>1,493</b>	<b>8,521</b>
UALR	10,045	6,180	1,985	8,165
<b>LEVEL 2 GROUP AVERAGE</b>	<b>10,045</b>	<b>6,180</b>	<b>1,985</b>	<b>8,165</b>
ASUJ	9,885	6,000	2,050	8,050
ATU	9,885	6,450	1,290	7,740
UCA	9,885	5,918	1,971	7,889
<b>LEVEL 3 GROUP AVERAGE</b>	<b>9,885</b>	<b>6,123</b>	<b>1,770</b>	<b>7,893</b>
HSU	8,701	6,210	1,599	7,809
SAUM	8,701	6,000	1,896	7,896
<b>LEVEL 4 GROUP AVERAGE</b>	<b>8,701</b>	<b>6,105</b>	<b>1,747</b>	<b>7,852</b>
UAM	8,650	4,500	1,947	6,447
<b>LEVEL 5 GROUP AVERAGE</b>	<b>8,650</b>	<b>4,500</b>	<b>1,947</b>	<b>6,447</b>
UAFS	7,570	4,500	1,822	6,322
UAPB	7,570	4,530	1,741	6,271
<b>LEVEL 6 GROUP AVERAGE</b>	<b>7,570</b>	<b>4,515</b>	<b>1,782</b>	<b>6,297</b>

**FOUR-YEAR INSTITUTION UNDERGRADUATE NON-RESIDENT**

INSTITUTION	ADHE ESTIMATE OF 2015-16 SREB AVERAGE TUITION & FEES	2015-16 ANNUAL TUITION	2015-16 ANNUAL FEES	2015-16 TUITION & FEES
UAF	27,650	20,332	1,493	21,825
<b>LEVEL 1 GROUP AVERAGE</b>	<b>27,650</b>	<b>20,332</b>	<b>1,493</b>	<b>21,825</b>
UALR	20,743	17,250	1,985	19,235
<b>LEVEL 2 GROUP AVERAGE</b>	<b>20,743</b>	<b>17,250</b>	<b>1,985</b>	<b>19,235</b>
ASUJ	22,321	12,000	2,050	14,050
ATU	22,321	12,900	1,290	14,190
UCA	22,321	11,835	1,971	13,806
<b>LEVEL 3 GROUP AVERAGE</b>	<b>22,321</b>	<b>12,245</b>	<b>1,770</b>	<b>14,015</b>
HSU	19,053	12,810	1,599	14,409
SAUM	19,053	9,570	1,896	11,466
<b>LEVEL 4 GROUP AVERAGE</b>	<b>19,053</b>	<b>11,190</b>	<b>1,747</b>	<b>12,937</b>
UAM	19,456	10,350	1,947	12,297
<b>LEVEL 5 GROUP AVERAGE</b>	<b>19,456</b>	<b>10,350</b>	<b>1,947</b>	<b>12,297</b>
UAFS	18,588	12,300	1,822	14,122
UAPB	18,588	10,312	1,741	12,053
<b>LEVEL 6 GROUP AVERAGE</b>	<b>18,588</b>	<b>11,306</b>	<b>1,782</b>	<b>13,088</b>

Full-time undergraduate is defined as 30 credit hours per year per SREB guidelines.

**TABLE 3**  
**2015-16 Full-time Annualized Fall Tuition and Mandatory Fees**  
**FOUR-YEAR INSTITUTION GRADUATE RESIDENT**

INSTITUTION	ADHE ESTIMATE OF 2015-16 SREB AVERAGE TUITION & FEES	2015-16 ANNUAL TUITION	2015-16 ANNUAL FEES	2015-16 TUITION & FEES
UAF	16,126	9,599	1,196	10,794
<b>LEVEL 1 GROUP AVERAGE</b>	<b>16,126</b>	<b>9,599</b>	<b>1,196</b>	<b>10,794</b>
UALR	10,874	7,200	1,598	8,798
<b>LEVEL 2 GROUP AVERAGE</b>	<b>10,874</b>	<b>7,200</b>	<b>1,598</b>	<b>8,798</b>
ASUJ	13,664	6,096	1,654	7,750
ATU	13,664	6,456	1,032	7,488
UCA	13,664	5,833	1,612	7,445
<b>LEVEL 3 GROUP AVERAGE</b>	<b>13,664</b>	<b>6,128</b>	<b>1,433</b>	<b>7,561</b>
HSU	9,868	6,096	1,399	7,495
SAUM	9,868	6,096	1,514	7,610
<b>LEVEL 4 GROUP AVERAGE</b>	<b>9,868</b>	<b>6,096</b>	<b>1,457</b>	<b>7,553</b>
UAM	8,567	6,120	1,549	7,669
<b>LEVEL 5 GROUP AVERAGE</b>	<b>8,567</b>	<b>6,120</b>	<b>1,549</b>	<b>7,669</b>
UAFS	8,113	8,400	408	8,808
UAPB	8,113	4,578	1,432	6,010
<b>LEVEL 6 GROUP AVERAGE</b>	<b>8,113</b>	<b>6,489</b>	<b>920</b>	<b>7,409</b>

**FOUR-YEAR INSTITUTION GRADUATE NON-RESIDENT**

INSTITUTION	ADHE ESTIMATE OF 2015-16 SREB AVERAGE TUITION & FEES	2015-16 ANNUAL TUITION	2015-16 ANNUAL FEES	2015-16 TUITION & FEES
UAF	30,995	23,700	1,196	24,896
<b>LEVEL 1 GROUP AVERAGE</b>	<b>30,995</b>	<b>23,700</b>	<b>1,196</b>	<b>24,896</b>
UALR	27,995	16,560	1,598	18,158
<b>LEVEL 2 GROUP AVERAGE</b>	<b>27,995</b>	<b>16,560</b>	<b>1,598</b>	<b>18,158</b>
ASUJ	21,515	12,192	1,654	13,846
ATU	21,515	12,912	1,032	13,944
UCA	21,515	11,666	1,612	13,278
<b>LEVEL 3 GROUP AVERAGE</b>	<b>21,515</b>	<b>12,257</b>	<b>1,433</b>	<b>13,689</b>
HSU	19,218	12,504	1,399	13,903
SAUM	19,218	9,264	1,514	10,778
<b>LEVEL 4 GROUP AVERAGE</b>	<b>19,218</b>	<b>10,884</b>	<b>1,457</b>	<b>12,341</b>
UAM	19,750	12,000	1,549	13,549
<b>LEVEL 5 GROUP AVERAGE</b>	<b>19,750</b>	<b>12,000</b>	<b>1,549</b>	<b>13,549</b>
UAFS	17,051	13,200	408	13,608
UAPB	17,051	10,404	1,432	11,836
<b>LEVEL 6 GROUP AVERAGE</b>	<b>17,051</b>	<b>11,802</b>	<b>920</b>	<b>12,722</b>

**TABLE 3**  
**2015-16 Full-time Annualized Fall Tuition and Mandatory Fees**

**PROFESSIONAL UNDERGRADUATE**

INSTITUTION	ADHE ESTIMATE OF 2015-16 SREB AVERAGE TUITION & FEES	2015-16 ANNUAL TUITION	2015-16 ANNUAL FEES	2015-16 TUITION & FEES
<b>RESIDENT</b>				
UAMS - HRP	N/A	7,350	1,505	8,855
UAMS- NURSING	N/A	6,576	1,505	8,081
<b>NONRESIDENT</b>				
UAMS - HRP	N/A	16,830	1,505	18,335
UAMS- NURSING	N/A	15,168	1,505	16,673

**PROFESSIONAL GRADUATE**

INSTITUTION	ADHE ESTIMATE OF 2015-16 SREB AVERAGE TUITION & FEES	2015-16 ANNUAL TUITION	2015-16 ANNUAL FEES	2015-16 TUITION & FEES
<b>RESIDENT</b>				
UAF-LAW	28,157	10,883	1,297	12,180
UALR-LAW	28,157	9,912	1,901	11,813
UAMS-MEDICINE	36,630	26,548	1,505	28,053
UAMS-PHARMACY	30,376	15,900	1,505	17,405
UAMS-GRADUATE	N/A	7,200	1,505	8,705
<b>NONRESIDENT</b>				
UAF-LAW	43,517	23,858	1,297	25,154
UALR-LAW	43,517	21,733	1,901	23,635
UAMS-MEDICINE	68,891	53,096	1,505	54,601
UAMS-PHARMACY	38,923	31,800	1,505	33,305
UAMS-GRADUATE	N/A	15,460	1,505	16,965

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# UNIVERSITIES

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**INSTITUTION APPROPRIATION SUMMARY  
2016-2017 Fiscal Year**

INSTITUTION ARKANSAS STATE UNIVERSITY

	APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
		2014-15		2015-16		2015-16		2016-17			
		ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1	STATE TREASURY	65,332,147		65,315,512		83,387,665		88,002,572		88,002,572	
2	CASH	165,580,027		237,174,683		237,174,683		237,174,683		237,174,683	
3	ARKANSAS BIOSCIENCES INSTITUTE	2,967,176		2,936,576		5,643,838		5,643,838		5,643,838	
4											
5											
6											
7											
8											
9											
10											
11	TOTAL	\$233,879,350	1,930	\$305,426,771	2,029	\$326,206,186	2,251	\$330,821,093	2,251	\$330,821,093	2,251
	<b>FUNDING SOURCES</b>		%		%				%		%
12	PRIOR YEAR FUND BALANCE*	0	0%	0	0%			0	0%	0	0%
13	GENERAL REVENUE	59,090,991	25%	59,090,991	19%			81,778,051	25%	81,778,051	25%
14	EDUCATIONAL EXCELLENCE TRUST FUND	6,154,737	3%	6,224,521	2%			6,224,521	2%	6,224,521	2%
15	WORKFORCE 2000	0	0%	0	0%			0	0%	0	0%
16	CASH FUNDS	155,040,319	66%	225,674,683	74%			225,674,683	68%	225,674,683	68%
17	SPECIAL REVENUES		0%		0%				0%	0	0%
18	FEDERAL FUNDS	10,539,708	5%	11,500,000	4%			11,500,000	3%	11,500,000	3%
19	TOBACCO SETTLEMENT FUNDS	2,967,176	1%	2,936,576	1%			5,643,838	2%	5,643,838	2%
20	OTHER FUNDS	86,419	0%	0	0%			0	0%	0	0%
21	TOTAL INCOME	\$233,879,350	100%	\$305,426,771	100%			\$330,821,093	100%	\$330,821,093	100%
22	EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2015:	\$22,126,745
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$3,919,076
INVENTORIES	\$2,902,342
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$100,000
MAJOR CRITICAL SYSTEMS FAILURES	
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$12,806,687
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$2,398,640

\*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME  
AND AHECB GENERAL REVENUE RECOMMENDATIONS  
FOR THE 2016-2017 Fiscal Year (Non-Formula Entities)**

ARKANSAS STATE UNIVERSITY - SYSTEM

NAME OF INSTITUTION

	EXPENDITURE CATEGORIES	2014-2015 ACTUAL	2015-2016 BUDGETED*	2016-17 FISCAL YEAR INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS	
				2016-2017	
				REQUEST	RECOMMENDATION
1	INSTITUTIONAL SUPPORT	2,959,606	3,174,797	3,512,944	3,512,944
2	OPERATIONS & MAIN. OF PLANT	149,874	130,797	130,797	130,797
3					
4					
5					
6					
7					
8					
9					
10					
11					
12					
13	MANDATORY TRANSFERS				
14	AUXILIARY TRANSFERS				
15	NON-MANDATORY TRANSFERS				
16	TOTAL UNREST. E&G EXP.	\$3,109,480	\$3,305,594	\$3,643,741	\$3,643,741
17	NET LOCAL INCOME	960,928	797,309	814,052	814,052
18	PRIOR YEAR BALANCE**				
	STATE FUNDS:				
19	GENERAL REVENUE	2,362,680	2,362,680	2,684,084	2,684,084
20	EDUCATIONAL EXCELLENCE	143,972	145,605	145,605	145,605
21	WORKFORCE 2000				
22	TOBACCO SETTLEMENT FUNDS				
23	OTHER STATE FUNDS ***				
24	TOTAL SOURCES OF INCOME	\$3,467,580	\$3,305,594	\$3,643,741	\$3,643,741

\* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

FORM FR-2 Nonformula

\*\* Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

\*\*\* Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in a footnote.



**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME  
AND AHECB GENERAL REVENUE RECOMMENDATIONS  
FOR THE 2016-2017 Fiscal Year (Non-Formula Entities)**

ARKANSAS STATE UNIVERSITY - HERITAGE SITES

NAME OF INSTITUTION

	EXPENDITURE CATEGORIES	2014-2015 ACTUAL	2015-2016 BUDGETED*	2016-17 FISCAL YEAR INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS	
				2016-2017	
				REQUEST	RECOMMENDATION
1	PUBLIC SERVICE	1,052,903	846,600	2,428,071	2,428,071
2					
3					
4					
5					
6					
7					
8					
9					
10					
11					
12					
13	MANDATORY TRANSFERS				
14	AUXILIARY TRANSFERS				
15	NON-MANDATORY TRANSFERS	7,420			
16	TOTAL UNREST. E&G EXP.	\$1,060,323	\$846,600	\$2,428,071	\$2,428,071
17	NET LOCAL INCOME	710,323	496,600		
18	PRIOR YEAR BALANCE**				
	STATE FUNDS:				
19	GENERAL REVENUE	350,000	350,000	2,428,071	2,428,071
20	EDUCATIONAL EXCELLENCE				
21	WORKFORCE 2000				
22	TOBACCO SETTLEMENT FUNDS				
23	OTHER STATE FUNDS ***				
24	TOTAL SOURCES OF INCOME	\$1,060,323	\$846,600	\$2,428,071	\$2,428,071

\* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

FORM FR-2 Nonformula

\*\* Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

\*\*\* Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in a footnote.

**APPROPRIATION ACT FORM - STATE TREASURY  
2016-2017 FISCAL YEAR**

FUND CKA0000

INSTITUTION ARKANSAS STATE UNIVERSITY

APPROPRIATION \_\_\_\_\_

299

	DESCRIPTION	ACTUAL 2014-2015	BUDGETED 2015-2016	AUTHORIZED APPROPRIATION 2015-2016	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
					AHECB RECOMMENDATION 2016-2017	2016-2017
1	REGULAR SALARIES	49,995,861	49,636,892	63,054,306	64,578,446	64,945,935
2	EXTRA HELP WAGES	0	0	118,590	121,080	122,148
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	8,041,383	7,995,953	10,157,371	12,915,689	10,462,092
5	OPERATING EXPENSES	6,785,540	7,182,667	9,178,981	9,500,993	9,473,650
6	CONFERENCE FEES & TRAVEL					
7	PROFESSIONAL FEES AND SERVICES					
8	CAPITAL OUTLAY	0	0	378,417	386,364	389,770
9	FUNDED DEPRECIATION	500,000	500,000	500,000	500,000	500,000
10	WORKER'S COMP/SURETY PREMIUM	9,363				
11						
12						
13	TOTAL APPROPRIATION	\$65,332,147	\$65,315,512	\$83,387,665	\$88,002,572	\$85,893,595
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE	59,090,991	59,090,991		81,778,051	79,669,074
16	EDUCATIONAL EXCELLENCE TRUST FUND	6,154,737	6,224,521		6,224,521	6,224,521
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)	86,419				
21	TOTAL INCOME	\$65,332,147	\$65,315,512		\$88,002,572	\$85,893,595
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

\* Report WF2000 funds on line 17 - "Special Revenues".

FORM FR-3

\*\*Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

Other State Treasury Funds:

Tuition Adjustment  
Total

Actual 2014-2015  
86,419  
86,419

Allocation Request/Recommendation for General Revenue:

	2016-2017	2016-2017
(1) ASU-Jonesboro	82,744,812	80,610,689
(2) ASU-System	2,829,689	2,851,591
(3) ASU-Heritage Sites	2,428,071	2,431,315
Total	88,002,572	85,893,595

**APPROPRIATION ACT FORM - STATE TREASURY  
2016-2017 FISCAL YEAR**

FUND TSF0100

INSTITUTION ARKANSAS STATE UNIVERSITY  
ARKANSAS BIOSCIENCES INSTITUTE

APPROPRIATION \_\_\_\_\_

318

	DESCRIPTION	ACTUAL 2014-2015	BUDGETED 2015-2016	AUTHORIZED APPROPRIATION 2015-2016	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
					2016-2017	2016-2017
1	REGULAR SALARIES	1,293,814	1,183,222	2,249,024	2,249,024	2,249,024
2	EXTRA HELP WAGES	8,365	8,365	15,914	15,914	15,914
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	364,057	304,973	580,171	580,171	580,171
5	OPERATING EXPENSES	1,251,106	1,350,110	2,627,694	2,627,694	2,627,694
6	CONFERENCE FEES & TRAVEL					
7	PROFESSIONAL FEES AND SERVICES					
8	CAPITAL OUTLAY	49,834	89,906	171,035	171,035	171,035
9	FUNDED DEPRECIATION					
10						
11						
12						
13	TOTAL APPROPRIATION	\$2,967,176	\$2,936,576	\$5,643,838	\$5,643,838	\$5,643,838
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE					
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS	2,967,176	2,936,576		5,643,838	5,643,838
20	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)					
21	TOTAL INCOME	\$2,967,176	\$2,936,576		\$5,643,838	\$5,643,838
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

\* Report WF2000 funds on line 17 - "Special Revenues".

\*\*Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS  
2016-2017 Fiscal Year**

FUND 2050000

INSTITUTION ARKANSAS STATE UNIVERSITY

APPROPRIATION \_\_\_\_\_

A70

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2014-2015	2015-2016	APPROPRIATION	AHECB RECOMMENDATION	2016-2017
				2015-2016	2016-2017	2016-2017
1	REGULAR SALARIES	31,970,762	33,000,000	33,000,000	33,000,000	33,000,000
2	EXTRA HELP WAGES	9,490,309	9,500,000	9,500,000	9,500,000	9,500,000
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	14,778,373	15,000,000	15,000,000	15,000,000	15,000,000
5	OPERATING EXPENSES	36,407,832	55,000,000	55,000,000	55,000,000	55,000,000
6	CONFERENCE FEES & TRAVEL	0	7,000,000	7,000,000	7,000,000	7,000,000
7	PROFESSIONAL FEES AND SERVICES	22,540,902	25,000,000	25,000,000	25,000,000	25,000,000
8	CAPITAL OUTLAY	15,674,341	18,000,000	18,000,000	18,000,000	18,000,000
9	CAPITAL IMPROVEMENTS	21,924,697	52,349,683	52,349,683	52,349,683	52,349,683
10	DEBT SERVICE	12,648,587	22,000,000	22,000,000	22,000,000	22,000,000
11	FUND TRANSFERS, REFUNDS AND INVESTMENTS					
12	PROMOTIONAL ITEMS	144,224	200,000	200,000	200,000	200,000
13	RESALE	0	125,000	125,000	125,000	125,000
14						
15						
16	TOTAL APPROPRIATION	\$165,580,027	\$237,174,683	\$237,174,683	\$237,174,683	\$237,174,683
17	PRIOR YEAR FUND BALANCE**					
18	TUITION AND MANDATORY FEES	88,997,047	80,000,000		90,000,000	90,000,000
19	ALL OTHER FEES					
20	SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	1,707,907	1,800,000		2,000,000	2,000,000
21	INVESTMENT INCOME	545,112	2,300,000		1,000,000	1,000,000
22	FEDERAL CASH FUNDS	10,539,708	11,500,000		11,500,000	11,500,000
23	OTHER CASH FUNDS	63,790,253	141,574,683		132,674,683	132,674,683
24	TOTAL INCOME	\$165,580,027	\$237,174,683		\$237,174,683	\$237,174,683
25	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

FORM FR-4

**TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)**

	ACTUAL	BUDGETED	AUTHORIZED	REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
	2014-2015	2015-2016	2015-2016	2016-2017	2016-17	2016-2017
REGULAR POSITIONS	1,930	2,029	2,251	2,251	2,251	2,251
TOBACCO POSITIONS	31	21	53	53	53	53
EXTRA HELP ***	865	865	2,114	2,114	2,114	2,114

\*\* Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

\*\*\* The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

**AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES**

ARKANSAS STATE UNIVERSITY  
 (NAME OF INSTITUTION)

	ACTIVITY	ACTUAL 2014-2015				BUDGETED 2015-2016			
		INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1	INTERCOLLEGIATE ATHLETICS*	10,762,742	17,291,048	0	(6,528,306)	12,760,456	17,773,135	0	(5,012,679)
2	HOUSING	14,374,407	5,730,547	6,895,813	1,748,047	14,746,164	6,657,848	8,088,321	(5)
3	FOOD SERVICES	1,643,837	162,362	0	1,481,475	1,215,000	226,320	0	988,680
4	STUDENT UNION	2,701,548	1,282,217	1,200,745	218,586	2,662,603	1,473,785	1,197,948	(9,130)
5	BOOKSTORE	317,926	(96,499)	0	414,425	308,868	68,000	0	240,868
6	STUDENT ORGANIZATIONS AND PUBLICATIONS	144,193	137,860	0	6,333	143,000	143,000	0	0
7	OTHER (FOOTNOTE BELOW)	2,813,696	2,328,956	409,155	75,585	3,540,351	2,388,061	408,203	744,087
8	SUBTOTAL	32,758,349	26,836,491	8,505,713	(2,583,855)	35,376,442	28,730,149	9,694,472	(3,048,179)
9	ATHLETIC TRANSFER**	1,235,581			1,235,581	1,255,350			1,255,350
10	OTHER TRANSFERS*** (FOOTNOTE BELOW)	1,348,274			1,348,274	1,792,829			1,792,829
11	GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	35,342,204	26,836,491	8,505,713	0	38,424,621	28,730,149	9,694,472	0

\* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM FR-5

\*\* For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

\*\*\* For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 7 "Other": Convocation Center, Parking Services, Miscellaneous

NOTE: Line 10 "Other Transfers" (Actual): Transfers In: Food Service, Convocation Center, Miscellaneous, Undesignated; Transfers Out: Athletics, Housing, Student Union, Parking

**EMPLOYMENT INFORMATION  
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

ARKANSAS STATE UNIVERSITY  
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2014-2015:						2,007
(As of November 1, 2014 )						
Nonclassified Administrative Employees:						
White Male:	124	Black Male:	21	Other Male:	13	Total Male: 158
White Female:	229	Black Female:	43	Other Female:	7	Total Female: 279
Nonclassified Health Care Employees:						
White Male:	0	Black Male:	0	Other Male:	0	Total Male: 0
White Female:	0	Black Female:	0	Other Female:	0	Total Female: 0
Classified Employees:						
White Male:	218	Black Male:	22	Other Male:	13	Total Male: 253
White Female:	302	Black Female:	49	Other Female:	17	Total Female: 368
Faculty:						
White Male:	302	Black Male:	30	Other Male:	96	Total Male: 428
White Female:	395	Black Female:	59	Other Female:	67	Total Female: 521
Total White Male:	644	Total Black Male:	73	Total Other Male:	122	Total Male: 839
Total White Female:	926	Total Black Female:	151	Total Other Female:	91	Total Female: 1,168
Total White:	1,570	Total Black:	224	Total Other:	213	Total Employees: 2,007
				<b>Total Minority:</b>	437	

FORM FR-6

**ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES**  
**STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES**  
 Fiscal Year 2015  
 Required by A.C.A. 25-36-104

**Institution**

ARKANSAS STATE UNIVERSITY

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
N/A							

**TOTAL NUMBER OF MINORITY CONTRACTS AWARDED** 0

**TOTAL EXPENDITURES ON CONTRACTS AWARDED** \$35,749,519  
 (Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

**% OF MINORITY CONTRACTS AWARDED** 0%

**ARKANSAS LEGISLATIVE AUDIT  
AUDIT OF ARKANSAS STATE UNIVERSITY  
June 30, 2015**

<i>Finding:</i>	No Findings noted
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**INSTITUTION APPROPRIATION SUMMARY  
2016-2017 Fiscal Year**

INSTITUTION ARKANSAS TECH UNIVERSITY

	APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
		2014-15		2015-16		2015-16		2016-17			
		ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1	STATE TREASURY	35,066,303		35,097,522		46,288,469		49,866,297		49,866,297	
2	CASH	78,521,390		187,246,858		187,246,858		187,246,858		187,246,858	
3											
4											
5											
6											
7											
8											
9											
10											
11	TOTAL	\$113,587,693	1,240	\$222,344,380	1,421	\$233,535,327	1,670	\$237,113,155	1,670	\$237,113,155	1,670
	<b>FUNDING SOURCES</b>		%		%				%		%
12	PRIOR YEAR FUND BALANCE*	0	0%	0	0%			0	0%	0	0%
13	GENERAL REVENUE	32,216,531	28%	32,216,531	14%			46,985,306	20%	46,985,306	20%
14	EDUCATIONAL EXCELLENCE TRUST FUND	2,063,110	2%	2,086,501	1%			2,086,501	1%	2,086,501	1%
15	WORKFORCE 2000	786,662	1%	794,490	0%			794,490	0%	794,490	0%
16	CASH FUNDS	77,147,728	68%	181,586,480	82%			181,586,480	77%	181,586,480	77%
17	SPECIAL REVENUES		0%		0%				0%	0	0%
18	FEDERAL FUNDS	1,373,662	1%	5,660,378	3%			5,660,378	2%	5,660,378	2%
19	TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%
20	OTHER FUNDS	0	0%	0	0%			0	0%	0	0%
21	TOTAL INCOME	\$113,587,693	100%	\$222,344,380	100%			\$237,113,155	100%	\$237,113,155	100%
22	EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2015:	\$37,451,030
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$3,297,445
INVENTORIES	\$698,226
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	
MAJOR CRITICAL SYSTEMS FAILURES	\$1,400,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$9,147,540
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	\$22,350,489
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$557,330

\*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

NOTE: Line 20 "Other Funds" - Hyll Building Classrooms (4,957,000), Corley Bldg Emergency Generator (283,471), Witherspoon Roof (940,000), Ozark Data Center Generator (109,248), El Paso Renovation (238,700), Street Repaving Project (165,000), Witherspoon Auditorium, Classrooms (8,000,000), Operating Reserve (7,657,070)

**APPROPRIATION ACT FORM - STATE TREASURY  
2016-2017 FISCAL YEAR**

FUND COA0000

INSTITUTION ARKANSAS TECH UNIVERSITY

APPROPRIATION \_\_\_\_\_

567

	DESCRIPTION	ACTUAL 2014-2015	BUDGETED 2015-2016	AUTHORIZED APPROPRIATION 2015-2016	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
					2016-2017	2016-2017
1	REGULAR SALARIES	25,394,827	26,438,451	31,815,681	34,360,936	32,778,022
2	EXTRA HELP WAGES	2,349,909	2,470,495	3,001,814	3,241,959	3,092,610
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	2,817,993	1,099,749	6,352,522	6,860,724	6,544,669
5	OPERATING EXPENSES	4,148,498	4,739,946	4,739,946	5,024,172	4,883,316
6	CONFERENCE FEES & TRAVEL	0	0	29,625	29,625	29,625
7	PROFESSIONAL FEES AND SERVICES	0	0	0	0	0
8	CAPITAL OUTLAY	0	0	0	0	0
9	FUNDED DEPRECIATION	348,881	348,881	348,881	348,881	348,881
10	WORKERS COMP/SURETY PREMIUM	6,195				
11						
12						
13	TOTAL APPROPRIATION	\$35,066,303	\$35,097,522	\$46,288,469	\$49,866,297	\$47,677,123
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE	32,216,531	32,216,531		46,985,306	44,796,132
16	EDUCATIONAL EXCELLENCE TRUST FUND	2,063,110	2,086,501		2,086,501	2,086,501
17	SPECIAL REVENUES * [WF2000]	786,662	794,490		794,490	794,490
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)					
21	TOTAL INCOME	\$35,066,303	\$35,097,522		\$49,866,297	\$47,677,123
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

\* Report WF2000 funds on line 17 - "Special Revenues".

\*\*Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM FR-3

**APPROPRIATION ACT FORM - CASH FUNDS  
2016-2017 Fiscal Year**

FUND 2100000

INSTITUTION ARKANSAS TECH UNIVERSITY

APPROPRIATION

B11

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2014-2015	2015-2016	APPROPRIATION	AHECB RECOMMENDATION	
				2015-2016	2016-2017	2016-2017
1	REGULAR SALARIES	19,993,065	28,985,982	28,985,982	32,985,982	28,985,982
2	EXTRA HELP WAGES	3,172,143	8,075,000	8,075,000	8,075,000	8,075,000
3	OVERTIME	70,260	350,000	350,000	350,000	350,000
4	PERSONAL SERVICES MATCHING	9,875,980	12,694,747	12,694,747	15,694,747	12,694,747
5	OPERATING EXPENSES	26,155,155	44,416,039	44,416,039	44,416,039	44,416,039
6	CONFERENCE FEES & TRAVEL	835,689	2,950,000	2,950,000	2,950,000	2,950,000
7	PROFESSIONAL FEES AND SERVICES	475,440	11,250,000	11,250,000	7,250,000	11,250,000
8	CAPITAL OUTLAY	2,150,977	15,208,169	15,208,169	15,208,169	15,208,169
9	CAPITAL IMPROVEMENTS	10,036,840	44,497,231	44,497,231	43,497,231	44,497,231
10	DEBT SERVICE	5,687,832	18,669,690	18,669,690	16,669,690	18,669,690
11	FUND TRANSFERS, REFUNDS AND INVESTMENTS	0	0	0	0	0
12	PROMOTIONAL ITEMS	68,010	150,000	150,000	150,000	150,000
13						
14						
15						
16	TOTAL APPROPRIATION	\$78,521,390	\$187,246,858	\$187,246,858	\$187,246,858	\$187,246,858
17	PRIOR YEAR FUND BALANCE**					
18	TUITION AND MANDATORY FEES	62,626,048	61,386,593		62,614,325	61,386,593
19	ALL OTHER FEES	74,805	63,235		64,500	63,235
20	SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	1,730,656	1,336,342		1,363,069	1,336,342
21	INVESTMENT INCOME	286,298	201,000		201,000	201,000
22	FEDERAL CASH FUNDS	1,373,662	5,660,378		5,660,378	5,660,378
23	OTHER CASH FUNDS	12,429,921	118,599,310		117,343,586	118,599,310
24	TOTAL INCOME	\$78,521,390	\$187,246,858		\$187,246,858	\$187,246,858
25	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

FORM FR-4

**TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)**

	ACTUAL	BUDGETED	AUTHORIZED	REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
	2014-2015	2015-2016	2015-2016	2016-2017	2016-17	2016-2017
REGULAR POSITIONS	1,240	1,421	1,670	1,670		1,670
TOBACCO POSITIONS						
EXTRA HELP ***	1,215	1,615	1,615	1,615	1,615	1,615

\*\* Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

\*\*\* The total number of Extra-Help shown in the requested column will be the total extra-Help positions (General Revenue and Cash) requested to be authorized.

**AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES**

ARKANSAS TECH UNIVERSITY - RUSSELLVILLE CAMPUS  
(NAME OF INSTITUTION)

	ACTIVITY	ACTUAL 2014-2015				BUDGETED 2015-2016			
		INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1	INTERCOLLEGIATE ATHLETICS*	3,730,128	4,581,192	251,488	(1,102,552)	3,569,638	4,810,850	380,665	(1,621,877)
2	HOUSING	9,831,402	5,558,583	2,757,021	1,515,798	9,189,450	6,504,493	2,684,957	0
3	FOOD SERVICES	6,709,610	5,340,433	427,942	941,235	6,535,716	6,049,266	486,450	0
4	STUDENT UNION	0	0	0	0	0	0	0	0
5	BOOKSTORE	2,507,904	2,382,245	0	125,659	2,444,681	2,444,681	0	0
6	STUDENT ORGANIZATIONS AND PUBLICATIONS	474,010	515,687	0	(41,677)	474,586	512,418	0	(37,832)
7	OTHER (FOOTNOTE BELOW)	816,522	858,274	0	(41,752)	674,115	915,374	0	(241,259)
8	SUBTOTAL	24,069,576	19,236,414	3,436,451	1,396,711	22,888,186	21,237,082	3,552,072	(1,900,968)
9	ATHLETIC TRANSFER**	1,585,072			1,585,072	1,621,877			1,621,877
10	OTHER TRANSFERS*** (FOOTNOTE BELOW)	(1,217,643)			(1,217,643)	279,091			279,091
11	GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	24,437,005	19,236,414	3,436,451	1,764,140	24,789,154	21,237,082	3,552,072	0

\* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM FR-5

\*\* For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

\*\*\* For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 7 "Other" - Student Health Services, Athletic Camps, Fund Deductions

NOTE: Line 10 "Other Transfers" - Student Health Services, Student Paper Transfer, Excess Expense Over Revenue Student Activities

**AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES**

ARKANSAS TECH UNIVERSITY - OZARK CAMPUS  
(NAME OF INSTITUTION)

	ACTIVITY	ACTUAL 2014-2015				BUDGETED 2015-2016			
		INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1	INTERCOLLEGIATE ATHLETICS*	0	0	0	0	0	0	0	0
2	HOUSING	0	0	0	0	0	0	0	0
3	FOOD SERVICES	8,415	11,811	0	(3,396)	10,415	10,415	0	0
4	STUDENT UNION	0	0	0	0	0	0	0	0
5	BOOKSTORE	904,258	753,233	69,455	81,570	917,738	850,714	67,024	0
6	STUDENT ORGANIZATIONS AND PUBLICATIONS	21,000	21,000	0	0	21,000	21,000	0	0
7	OTHER (FOOTNOTE BELOW)	0	0	0	0	0	0	0	0
8	SUBTOTAL	933,673	786,044	69,455	78,174	949,153	882,129	67,024	0
9	ATHLETIC TRANSFER**	0			0	0			0
10	OTHER TRANSFERS*** (FOOTNOTE BELOW)	0			0	0			0
11	GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	933,673	786,044	69,455	78,174	949,153	882,129	67,024	0

\* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM FR-5

\*\* For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

\*\*\* For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

**EMPLOYMENT INFORMATION  
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

ARKANSAS TECH UNIVERSITY  
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2014-2015:						<u>1,240</u>
(As of November 1, 2014 )						
Nonclassified Administrative Employees:						
White Male:	<u>85</u>	Black Male:	<u>6</u>	Other Male:	<u>4</u>	Total Male: <u>95</u>
White Female:	<u>130</u>	Black Female:	<u>0</u>	Other Female:	<u>7</u>	Total Female: <u>137</u>
Nonclassified Health Care Employees:						
White Male:	<u>0</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>0</u>
White Female:	<u>2</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>2</u>
Classified Employees:						
White Male:	<u>113</u>	Black Male:	<u>2</u>	Other Male:	<u>2</u>	Total Male: <u>117</u>
White Female:	<u>215</u>	Black Female:	<u>5</u>	Other Female:	<u>13</u>	Total Female: <u>233</u>
Faculty:						
White Male:	<u>263</u>	Black Male:	<u>12</u>	Other Male:	<u>27</u>	Total Male: <u>302</u>
White Female:	<u>321</u>	Black Female:	<u>4</u>	Other Female:	<u>29</u>	Total Female: <u>354</u>
Total White Male:	<u>461</u>	Total Black Male:	<u>20</u>	Total Other Male:	<u>33</u>	Total Male: <u>514</u>
Total White Female:	<u>668</u>	Total Black Female:	<u>9</u>	Total Other Female:	<u>49</u>	Total Female: <u>726</u>
Total White:	<u>1,129</u>	Total Black:	<u>29</u>	Total Other:	<u>82</u>	Total Employees: <u>1,240</u>
				<b>Total Minority:</b>	<u>111</u>	

FORM FR-6

**ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES**  
**STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES**  
**Fiscal Year 2015**  
**Required by A.C.A. 25-36-104**

**Institution**

ARKANSAS TECH UNIVERSITY

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
Monarch Litho	\$53,806		x				
SHI Corporation	\$54,487				x		

**TOTAL NUMBER OF MINORITY CONTRACTS AWARDED** 2

**TOTAL EXPENDITURES ON CONTRACTS AWARDED** \$2,215,470  
 (Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

**% OF MINORITY CONTRACTS AWARDED** 1%

**ARKANSAS LEGISLATIVE AUDIT  
AUDIT OF ARKANSAS TECH UNIVERSITY  
June 30, 2015**

<i>Finding:</i>	No Findings noted
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**INSTITUTION APPROPRIATION SUMMARY  
2016-2017 Fiscal Year**

INSTITUTION HENDERSON STATE UNIVERSITY

	APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
		2014-15		2015-16		2015-16		2016-17			
		ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1	STATE TREASURY	21,020,861		21,034,398		22,520,485		22,528,477		22,528,477	
2	CASH	33,272,081		132,863,500		132,863,500		132,863,500		132,863,500	
3											
4											
5											
6											
7											
8											
9											
10											
11	TOTAL	\$54,292,942	617	\$153,897,898	547	\$155,383,985	706	\$155,391,977	713	\$155,391,977	712
	<b>FUNDING SOURCES</b>		%		%				%		%
12	PRIOR YEAR FUND BALANCE*	0	0%	0	0%			0	0%	0	0%
13	GENERAL REVENUE	18,876,011	35%	18,876,011	12%			20,370,090	13%	20,370,090	13%
14	EDUCATIONAL EXCELLENCE TRUST FUND	2,134,189	4%	2,158,387	1%			2,158,387	1%	2,158,387	1%
15	WORKFORCE 2000	0	0%	0	0%			0	0%	0	0%
16	CASH FUNDS	33,272,081	61%	132,863,500	86%			132,863,500	86%	132,863,500	86%
17	SPECIAL REVENUES		0%		0%				0%	0	0%
18	FEDERAL FUNDS	0	0%	0	0%			0	0%	0	0%
19	TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%
20	OTHER FUNDS	10,661	0%	0	0%			0	0%	0	0%
21	TOTAL INCOME	\$54,292,942	100%	\$153,897,898	100%			\$155,391,977	100%	\$155,391,977	100%
22	EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2015:	\$10,791,983
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$3,560,973
INVENTORIES	\$121,182
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	\$0
INSURANCE DEDUCTIBLES	\$33,500
MAJOR CRITICAL SYSTEMS FAILURES	\$200,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$3,608,729
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$3,267,599

\*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME  
AND AHECB GENERAL REVENUE RECOMMENDATIONS  
FOR THE 2016-2017 Fiscal Year (Non-Formula Entities)**

HENDERSON STATE UNIVERSITY - COMMUNITY EDUCATION CENTER

NAME OF INSTITUTION

	EXPENDITURE CATEGORIES	2014-2015 ACTUAL	2015-2016 BUDGETED*	2016-17 FISCAL YEAR INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS	
				2016-2017	
				REQUEST	RECOMMENDATION
1	REGULAR SALARIES	178,043	80,575	350,000	350,000
2	EXTRA HELP				
3	PERSONAL SERVICES MATCHING	59,480	25,784	122,500	122,500
4	OPERATING EXPENSES	33,175	39,761	500,000	500,000
5	CAPITAL OUTLAY			246,129	246,129
6					
7					
8					
9					
10					
11					
12					
13	MANDATORY TRANSFERS				
14	AUXILIARY TRANSFERS				
15	NON-MANDATORY TRANSFERS				
16	TOTAL UNREST. E&G EXP.	\$270,698	\$146,120	\$1,218,629	\$1,218,629
17	NET LOCAL INCOME				
18	PRIOR YEAR BALANCE**	60,113	66,322		
	STATE FUNDS:			1,218,629	1,218,629
19	GENERAL REVENUE	210,585	79,798		
20	EDUCATIONAL EXCELLENCE				
21	WORKFORCE 2000				
22	TOBACCO SETTLEMENT FUNDS				
23	OTHER STATE FUNDS ***				
24	TOTAL SOURCES OF INCOME	\$270,698	\$146,120	\$1,218,629	\$1,218,629

\* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

FORM FR-2 Nonformula

\*\* Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

\*\*\* Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in a footnote.

**APPROPRIATION ACT FORM - STATE TREASURY  
2016-2017 FISCAL YEAR**

FUND CQA0000

INSTITUTION HENDERSON STATE UNIVERSITY

APPROPRIATION 309

	DESCRIPTION	ACTUAL 2014-2015	BUDGETED 2015-2016	AUTHORIZED APPROPRIATION 2015-2016	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
					AHECB RECOMMENDATION 2016-2017	2016-2017
1	REGULAR SALARIES	15,772,590	15,841,160	16,617,220	16,645,239	16,746,771
2	EXTRA HELP WAGES	15,000	15,000	85,000	85,000	310,000
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	2,660,000	2,700,000	2,827,180	2,780,000	2,868,041
5	OPERATING EXPENSES	2,000,000	1,915,000	2,255,033	2,450,000	2,505,235
6	CONFERENCE FEES & TRAVEL	0	0	0	0	0
7	PROFESSIONAL FEES AND SERVICES	0	0	0	0	0
8	CAPITAL OUTLAY	450,000	445,000	617,814	450,000	617,814
9	FUNDED DEPRECIATION	118,238	118,238	118,238	118,238	118,238
10	WORKERS COMP/SURETY PREMIUM	5,034				
11						
12						
13	TOTAL APPROPRIATION	\$21,020,861	\$21,034,398	\$22,520,485	\$22,528,477	\$23,166,099
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE	18,876,011	18,876,011		20,370,090	21,007,712
16	EDUCATIONAL EXCELLENCE TRUST FUND	2,134,189	2,158,387		2,158,387	2,158,387
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)	10,661				
21	TOTAL INCOME	\$21,020,861	\$21,034,398		\$22,528,477	\$23,166,099
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

\* Report WF2000 funds on line 17 - "Special Revenues".

FORM FR-3

\*\*Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

NOTE: Line 20 "OTHER STATE TREASURY FUNDS" - Reimbursement for out of state waivers.

**APPROPRIATION ACT FORM - CASH FUNDS  
2016-2017 Fiscal Year**

FUND 2090000

INSTITUTION HENDERSON STATE UNIVERSITY

APPROPRIATION \_\_\_\_\_ A74

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2014-2015	2015-2016	APPROPRIATION	AHECB RECOMMENDATION	2016-2017
				2015-2016	2016-2017	2016-2017
1	REGULAR SALARIES	7,940,379	19,570,000	19,570,000	19,570,000	19,570,000
2	EXTRA HELP WAGES	1,144,967	3,296,000	3,296,000	3,296,000	3,296,000
3	OVERTIME	11,896	103,000	103,000	103,000	103,000
4	PERSONAL SERVICES MATCHING	1,932,630	5,356,000	5,356,000	5,356,000	5,356,000
5	OPERATING EXPENSES	16,940,804	30,900,000	30,900,000	30,900,000	30,900,000
6	CONFERENCE FEES & TRAVEL	557,331	1,545,000	1,545,000	1,545,000	1,545,000
7	PROFESSIONAL FEES AND SERVICES	593,925	1,287,500	1,287,500	1,287,500	1,287,500
8	CAPITAL OUTLAY	1,018,723	3,296,000	3,296,000	3,296,000	3,296,000
9	CAPITAL IMPROVEMENTS	0	50,000,000	50,000,000	50,000,000	50,000,000
10	DEBT SERVICE	2,764,444	9,270,000	9,270,000	9,270,000	9,270,000
11	FUND TRANSFERS, REFUNDS AND INVESTMENTS	366,982	8,240,000	8,240,000	8,240,000	8,240,000
12						
13						
14						
15						
16	TOTAL APPROPRIATION	\$33,272,081	\$132,863,500	\$132,863,500	\$132,863,500	\$132,863,500
17	PRIOR YEAR FUND BALANCE**					
18	TUITION AND MANDATORY FEES	25,733,640	28,307,004		30,000,000	28,307,004
19	ALL OTHER FEES	515,127	643,909		750,000	643,909
20	SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	13,200	15,000		20,000	15,000
21	INVESTMENT INCOME	99,845	75,000		100,000	75,000
22	FEDERAL CASH FUNDS					
23	OTHER CASH FUNDS	6,910,269	103,822,587		101,993,500	103,822,587
24	TOTAL INCOME	\$33,272,081	\$132,863,500		\$132,863,500	\$132,863,500
25	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

FORM FR-4

**TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)**

	ACTUAL	BUDGETED	AUTHORIZED	REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
	2014-2015	2015-2016	2015-2016	2016-2017	2016-17	2016-2017
REGULAR POSITIONS	617	547	706	713	712	706
TOBACCO POSITIONS						
EXTRA HELP ***	140	950	950	950	950	950

\*\* Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

\*\*\* The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

**AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES**

HENDERSON STATE UNIVERSITY  
(NAME OF INSTITUTION)

	ACTIVITY	ACTUAL 2014-2015				BUDGETED 2015-2016			
		INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1	INTERCOLLEGIATE ATHLETICS*	1,854,480	4,182,090	0	(2,327,610)	1,683,825	4,087,001	0	(2,403,176)
2	HOUSING	5,035,741	2,378,204	1,452,350	1,205,187	6,483,218	3,562,241	2,358,824	562,153
3	FOOD SERVICES	4,101,453	3,411,183	214,326	475,944	5,274,478	4,356,587	316,409	601,482
4	STUDENT UNION	161,741	299,451	98,775	(236,485)	164,662	261,193	0	(96,531)
5	BOOKSTORE	109,385	0	0	109,385	125,000	0	0	125,000
6	STUDENT ORGANIZATIONS AND PUBLICATIONS	97,233	140,762	0	(43,529)	40,000	40,000	0	0
7	OTHER (FOOTNOTE BELOW)	1,605,630	1,390,075	580,356	(364,801)	1,770,801	1,325,836	582,112	(137,147)
8	SUBTOTAL	12,965,663	11,801,765	2,345,807	(1,181,909)	15,541,984	13,632,858	3,257,345	(1,348,219)
9	ATHLETIC TRANSFER**	1,235,581			1,235,581	1,255,350			1,255,350
10	OTHER TRANSFERS*** (FOOTNOTE BELOW)	(87,487)			(87,487)	92,869			92,869
11	GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	14,113,757	11,801,765	2,345,807	(33,815)	16,890,203	13,632,858	3,257,345	0

\* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM FR-5

\*\* For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

\*\*\* For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 7 "Other" - Airport, Rec Center, Student Health, Other Auxiliary

NOTE: Line 10 "Other Transfers" - Work Study, Athletic Booster Accounts, Auxiliary Debt, Athletics, Student Housing, Student Union, Student Org & Publications, Other

**EMPLOYMENT INFORMATION  
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

HENDERSON STATE UNIVERSITY  
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2014-2015:						620
(As of November 1, 2014 )						
Nonclassified Administrative Employees:						
White Male:	42	Black Male:	9	Other Male:	2	Total Male: 53
White Female:	51	Black Female:	12	Other Female:	1	Total Female: 64
Nonclassified Health Care Employees:						
White Male:	0	Black Male:	0	Other Male:	0	Total Male: 0
White Female:	0	Black Female:	0	Other Female:	0	Total Female: 0
Classified Employees:						
White Male:	50	Black Male:	19	Other Male:	2	Total Male: 71
White Female:	92	Black Female:	31	Other Female:	6	Total Female: 129
Faculty:						
White Male:	114	Black Male:	8	Other Male:	19	Total Male: 141
White Female:	135	Black Female:	12	Other Female:	15	Total Female: 162
Total White Male:	206	Total Black Male:	36	Total Other Male:	23	Total Male: 265
Total White Female:	278	Total Black Female:	55	Total Other Female:	22	Total Female: 355
Total White:	484	Total Black:	91	Total Other:	45	Total Employees: 620
				<b>Total Minority:</b>	136	

FORM FR-6

**ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES**  
**STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES**  
 Fiscal Year 2015  
 Required by A.C.A. 25-36-104

**Institution**

HENDERSON STATE UNIVERSITY

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
N/A							

**TOTAL NUMBER OF MINORITY CONTRACTS AWARDED** 0

**TOTAL EXPENDITURES ON CONTRACTS AWARDED** \$363,900  
 (Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

**% OF MINORITY CONTRACTS AWARDED** 0%

**ARKANSAS LEGISLATIVE AUDIT  
AUDIT OF HENDERSON STATE UNIVERSITY  
June 30, 2014**

<i>Finding:</i>	No Findings noted
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**INSTITUTION APPROPRIATION SUMMARY  
2016-2017 Fiscal Year**

INSTITUTION SOUTHERN ARKANSAS UNIVERSITY

	APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
		2014-15		2015-16		2015-16		2016-17			
		ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1	STATE TREASURY	16,853,561		16,861,062		17,329,586		20,275,770		20,275,770	
2	CASH	35,260,898		54,000,000		54,000,000		54,000,000		54,000,000	
3	SYSTEM OPERATIONS	0		100,000		100,000		100,000		100,000	
4											
5											
6											
7											
8											
9											
10											
11	TOTAL	\$52,114,460	387	\$70,961,062	489	\$71,429,586	489	\$74,375,770	489	\$74,375,770	489
	FUNDING SOURCES		%		%				%		%
12	PRIOR YEAR FUND BALANCE*	0	0%	0	0%			0	0%	0	0%
13	GENERAL REVENUE	15,584,976	30%	15,584,976	22%			18,999,684	26%	18,999,684	26%
14	EDUCATIONAL EXCELLENCE TRUST FUND	1,261,779	2%	1,276,086	2%			1,276,086	2%	1,276,086	2%
15	WORKFORCE 2000	0	0%	0	0%			0	0%	0	0%
16	CASH FUNDS	32,553,386	62%	53,306,044	75%			53,306,044	72%	53,306,044	72%
17	SPECIAL REVENUES		0%		0%				0%	0	0%
18	FEDERAL FUNDS	2,707,512	5%	693,956	1%			693,956	1%	693,956	1%
19	TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%
20	OTHER FUNDS	6,806	0%	100,000	0%			100,000	0%	100,000	0%
21	TOTAL INCOME	\$52,114,460	100%	\$70,961,062	100%			\$74,375,770	100%	\$74,375,770	100%
22	EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2015:	\$4,060,923
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$128,045
INVENTORIES	\$131,698
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	\$16,121
INSURANCE DEDUCTIBLES	\$1,000,000
MAJOR CRITICAL SYSTEMS FAILURES	\$250,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$2,000,000
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$535,060

\*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY  
2016-2017 FISCAL YEAR**

FUND CSA0000

INSTITUTION SOUTHERN ARKANSAS UNIVERSITY

APPROPRIATION \_\_\_\_\_

292

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2014-2015	2015-2016	APPROPRIATION 2015-2016	AHECB RECOMMENDATION 2016-2017	2016-2017
1	REGULAR SALARIES	14,340,366	14,715,454	14,939,087	17,890,611	15,458,974
2	EXTRA HELP WAGES	30,000		30,000	30,000	30,000
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	1,959,670	1,825,000	2,025,000	2,025,000	2,025,000
5	OPERATING EXPENSES	267,473	65,449	80,340	75,000	80,340
6	CONFERENCE FEES & TRAVEL	0	0	0	0	0
7	PROFESSIONAL FEES AND SERVICES	0	0	0	0	0
8	CAPITAL OUTLAY	0	0	0	0	0
9	FUNDED DEPRECIATION	255,159	255,159	255,159	255,159	255,159
10	WORKERS COMP/SURETY PREMIUM	893				
11						
12						
13	TOTAL APPROPRIATION	\$16,853,561	\$16,861,062	\$17,329,586	\$20,275,770	\$17,849,473
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE	15,584,976	15,584,976		18,999,684	16,573,387
16	EDUCATIONAL EXCELLENCE TRUST FUND	1,261,779	1,276,086		1,276,086	1,276,086
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)	6,806				
21	TOTAL INCOME	\$16,853,561	\$16,861,062		\$20,275,770	\$17,849,473
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

\* Report WF2000 funds on line 17 - "Special Revenues".

FORM FR-3

\*\*Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

NOTE: Line 20 "Other State Treasury Funds" - Tuition adjustment fund reimbursements

**APPROPRIATION ACT FORM - STATE TREASURY  
2016-2017 FISCAL YEAR**

FUND CSA0000

INSTITUTION SOUTHERN ARKANSAS UNIVERSITY  
SYSTEM

APPROPRIATION \_\_\_\_\_ 83G

	DESCRIPTION	ACTUAL 2014-2015	BUDGETED 2015-2016	AUTHORIZED APPROPRIATION 2015-2016	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
					AHECB RECOMMENDATION 2016-2017	2016-2017
1	REGULAR SALARIES	0	45,000	45,000	45,000	45,000
2	EXTRA HELP WAGES					
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	0	13,500	13,500	13,500	13,500
5	OPERATING EXPENSES	0	41,500	41,500	41,500	41,500
6	CONFERENCE FEES & TRAVEL	0	0	0	0	0
7	PROFESSIONAL FEES AND SERVICES	0	0	0	0	0
8	CAPITAL OUTLAY	0	0	0	0	0
9	FUNDED DEPRECIATION					
10						
11						
12						
13	TOTAL APPROPRIATION	\$0	\$100,000	\$100,000	\$100,000	\$100,000
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE					
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)	0	100,000		100,000	100,000
21	TOTAL INCOME	\$0	\$100,000		\$100,000	\$100,000
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

\* Report WF2000 funds on line 17 - "Special Revenues".

\*\*Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS**  
**2016-2017 Fiscal Year**

FUND 2080000

INSTITUTION SOUTHERN ARKANSAS UNIVERSITY

APPROPRIATION \_\_\_\_\_ A63

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2014-2015	2015-2016	APPROPRIATION	AHECB RECOMMENDATION	2016-2017
				2015-2016	2016-2017	2016-2017
1	REGULAR SALARIES	6,390,241	7,000,000	7,000,000	7,000,000	7,000,000
2	EXTRA HELP WAGES	2,751,831	5,000,000	5,000,000	5,000,000	5,000,000
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	4,254,230	5,000,000	5,000,000	5,000,000	5,000,000
5	OPERATING EXPENSES	14,636,365	16,900,000	16,900,000	16,900,000	16,900,000
6	CONFERENCE FEES & TRAVEL	143,778	1,000,000	1,000,000	1,000,000	1,000,000
7	PROFESSIONAL FEES AND SERVICES	779,846	1,300,000	1,300,000	1,300,000	1,300,000
8	CAPITAL OUTLAY	958,940	2,000,000	2,000,000	2,000,000	2,000,000
9	CAPITAL IMPROVEMENTS	1,480,462	10,000,000	10,000,000	10,000,000	10,000,000
10	DEBT SERVICE	3,865,206	4,000,000	4,000,000	4,000,000	4,000,000
11	FUND TRANSFERS, REFUNDS AND INVESTMENTS	0	1,800,000	1,800,000	1,800,000	1,800,000
12						
13						
14						
15						
16	TOTAL APPROPRIATION	\$35,260,898	\$54,000,000	\$54,000,000	\$54,000,000	\$54,000,000
17	PRIOR YEAR FUND BALANCE**					
18	TUITION AND MANDATORY FEES	27,518,473	26,082,810		27,500,000	27,500,000
19	ALL OTHER FEES					
20	SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	96,320	330,550		330,550	330,550
21	INVESTMENT INCOME					
22	FEDERAL CASH FUNDS	2,707,512	693,956		693,956	693,956
23	OTHER CASH FUNDS	4,938,593	26,892,684		25,475,494	25,475,494
24	TOTAL INCOME	\$35,260,898	\$54,000,000		\$54,000,000	\$54,000,000
25	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

FORM FR-4

**TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)**

	ACTUAL	BUDGETED	AUTHORIZED	REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
	2014-2015	2015-2016	2015-2016	2016-2017	2016-17	2016-2017
REGULAR POSITIONS	387	489	489	489	489	489
TOBACCO POSITIONS						
EXTRA HELP ***	449	1,900	1,900	1,900	1,900	1,900

\*\* Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

\*\*\* The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

**AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES**

SOUTHERN ARKANSAS UNIVERSITY  
(NAME OF INSTITUTION)

	ACTIVITY	ACTUAL 2014-2015				BUDGETED 2015-2016			
		INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1	INTERCOLLEGIATE ATHLETICS*	1,563,198	3,654,568	90,435	(2,181,805)	1,662,342	3,281,334	89,163	(1,708,155)
2	HOUSING	5,116,141	2,418,558	1,713,370	984,213	5,520,432	2,255,396	1,678,673	1,586,363
3	FOOD SERVICES	3,569,436	2,771,623	0	797,812	3,667,000	2,515,325	0	1,151,675
4	STUDENT UNION	48,396	247,523	29,410	(228,537)	46,000	253,722	29,762	(237,484)
5	BOOKSTORE	209,290	10,570	0	198,720	200,000	9,525	0	190,475
6	STUDENT ORGANIZATIONS AND PUBLICATIONS	536,159	416,722	0	119,436	434,596	363,425	0	71,171
7	OTHER (FOOTNOTE BELOW)	299,651	381,185	0	(81,534)	272,540	379,435	0	(106,895)
8	SUBTOTAL	11,342,270	9,900,749	1,833,215	(391,694)	11,802,910	9,058,162	1,797,598	947,150
9	ATHLETIC TRANSFER**	1,235,581			1,235,581	1,255,350			1,255,350
10	OTHER TRANSFERS*** (FOOTNOTE BELOW)	(582,342)			(582,342)	(59,350)			(59,350)
11	GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	11,995,509	9,900,749	1,833,215	261,545	12,998,910	9,058,162	1,797,598	2,143,150

\* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM FR-5

\*\* For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

\*\*\* For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 7 "Other" - Faculty Housing, Student Health Services, Post Office & Interest Income.

NOTE: Line 10 "Other Transfers"- Final transfer of ownership on previously leased housing. Transfer from Cross Country/Track to capital construction to fund track renovations.

**EMPLOYMENT INFORMATION  
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

SOUTHERN ARKANSAS UNIVERSITY  
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2014-2015:						<u>387</u>
(As of November 1, 2014 )						
Nonclassified Administrative Employees:						
White Male:	<u>38</u>	Black Male:	<u>3</u>	Other Male:	<u>2</u>	Total Male: <u>43</u>
White Female:	<u>47</u>	Black Female:	<u>12</u>	Other Female:	<u>2</u>	Total Female: <u>61</u>
Nonclassified Health Care Employees:						
White Male:	<u>0</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>0</u>
White Female:	<u>0</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>28</u>	Black Male:	<u>4</u>	Other Male:	<u>1</u>	Total Male: <u>33</u>
White Female:	<u>61</u>	Black Female:	<u>13</u>	Other Female:	<u>2</u>	Total Female: <u>76</u>
Faculty:						
White Male:	<u>73</u>	Black Male:	<u>5</u>	Other Male:	<u>16</u>	Total Male: <u>94</u>
White Female:	<u>72</u>	Black Female:	<u>5</u>	Other Female:	<u>3</u>	Total Female: <u>80</u>
Total White Male:	<u>139</u>	Total Black Male:	<u>12</u>	Total Other Male:	<u>19</u>	Total Male: <u>170</u>
Total White Female:	<u>180</u>	Total Black Female:	<u>30</u>	Total Other Female:	<u>7</u>	Total Female: <u>217</u>
Total White:	<u>319</u>	Total Black:	<u>42</u>	Total Other:	<u>26</u>	Total Employees: <u>387</u>
				<b>Total Minority:</b>	<u>68</u>	

FORM FR-6

**ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES**  
**STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES**  
 Fiscal Year 2015  
 Required by A.C.A. 25-36-104

**Institution**

SOUTHERN ARKANSAS UNIVERSITY

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
N/A							

**TOTAL NUMBER OF MINORITY CONTRACTS AWARDED** 0

**TOTAL EXPENDITURES ON CONTRACTS AWARDED** \$648,944  
 (Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

**% OF MINORITY CONTRACTS AWARDED** 0%

**ARKANSAS LEGISLATIVE AUDIT  
AUDIT OF SOUTHERN ARKANSAS UNIVERSITY  
June 30, 2014**

**U.S. DEPARTMENT OF EDUCATION**

**Finding Number:** 2014-005  
**State/Educational Agency(s):** Southern Arkansas University  
**CFDA Number(s) and Program Title(s):** 84.038 – Federal Perkins Loan Program\_Federal Capital Contributions  
84.268 – Federal Direct Student Loans (Student Financial Assistance Cluster)  
**Federal Award Number(s):** Unknown (84.038) and P268K141087 (84.268)  
**Federal Award Year(s):** 2014  
**Compliance Requirement(s) Affected:** Reporting  
**Type of Finding:** Noncompliance and Significant Deficiency

**Criteria:**

The Arkansas Department of Finance and Administration (DFA) requires each institution of higher education to submit federal program information, including expenditures, for preparation of the State's Schedule of Expenditures of Federal Awards (SEFA).

**Condition and Context:**

The University's reported expenditures to DFA for the Federal Perkins Loan Program\_Federal Capital Contributions and Federal Direct Student Loans were overstated by \$48,978 and \$2,768,022, respectively. The SEFA was not adjusted for these reporting errors.

**Questioned Cost:**

None

**Cause:**

The University did not have adequate internal control procedures to ensure that federal expenditures reported to DFA were properly verified.

**Effect:**

The federal expenditures reported to DFA for the preparation of the SEFA were incorrect.

**Recommendation:**

We recommend the University implement adequate internal control procedures to ensure federal expenditures are properly reported.

**Views of Responsible Officials and Planned Corrective Action:**

Federal expenditures for Federal Capital Contributions were overstated due to June UAS reports being processed after the SEFA deadline. The Accounting Department will request that these transactions be processed sooner or take care to submit an amended report once they are received.

Federal expenditures for Federal Direct Student Loans were overstated due to a typographical error submitted by the University's Loan Officer to the Assistant Controller, who prepares the report. The Director of Financial Aid has now implemented a review process to evaluate out-going information for accuracy.

**Anticipated Completion Date:** June 30, 2015

**Contact Person:** Shawana Reed  
Controller  
Southern Arkansas University  
P.O. Box 9411  
Magnolia, AR 71754  
(870) 235-5008  
[shawanareed@saumag.edu](mailto:shawanareed@saumag.edu)



**INSTITUTION APPROPRIATION SUMMARY  
2016-2017 Fiscal Year**

INSTITUTION UNIVERSITY OF ARKANSAS FUND

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
	2014-15		2015-16		2015-16		2016-17			
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1 STATE TREASURY - UA, FAYETTEVILLE	126,973,282		126,989,355		173,698,437		191,293,802		191,293,802	
2 STATE TREASURY- UA SYSTEM & VARIOUS DIVISIONS	78,592,616		78,659,803		83,822,903		85,878,773		85,878,773	
3 STATE TREASURY - CJI	150,000		150,000		150,000		150,000		150,000	
4 STATE TREASURY - UA LAW SCHOOL	435,631		520,000		800,000		800,000		800,000	
5 STATE TREASURY - AREON	0		0		1,365,000		2,797,500		2,797,500	
6 STATE TREASURY - UA GARVAN GARDENS	0		0		500,000		500,000		500,000	
7 STATE TREASURY - UA PRYOR CENTER	0		0		250,000		250,000		250,000	
8 STATE TREASURY -ELEC ENERGY ADVANCE. PROG.	0		0		800,000		800,000		800,000	
9 STATE TREASURY - UA ARK. RESEARCH & TECH. PARK	0		0		250,000		250,000		250,000	
10 STATE TREASURY - ARK CENTERS FOR RURAL EDUCATION IN AUTISM AND RELATED DISABILITIES	0		0		2,500,000		2,500,000		2,500,000	
11 STATE TREASURY - ARK. WORLD TRADE CENTER	0		0		250,000		252,588		252,588	
12 STATE TREASURY - PARTNERS FOR INCLUSIVE COMMUNITIES	0		0		250,000		250,000		250,000	
13 STATE TREASURY - BUFFALO RIVER WATERSHED MONITORING	0		0		100,000		100,000		100,000	
14 TOBACCO-FAYETTEVILLE	1,583,385		1,567,056		2,375,563		2,375,563		2,375,563	
15 TOBACCO-AGRI EXPERIMENT STATION	1,583,385		1,567,056		2,415,432		2,415,432		2,415,432	
16 CASH - FAYETTEVILLE	454,803,875		692,175,000		692,175,000		704,037,232		704,037,232	
17 CASH-SYSTEM AND VARIOUS DIVISIONS	106,804,789		189,869,625		189,869,625		222,109,624		222,109,624	
18 CASH-SOILS TESTING	1,385,968		3,750,000		3,750,000		3,750,000		3,750,000	
19 CASH-AREON	2,007,520		55,003,500		55,003,500		55,003,500		55,003,500	
20 TOTAL	\$774,320,451	5,532	\$1,150,251,395	6,751	\$1,210,325,460	7,462	\$1,275,514,014	7,487	\$1,275,514,014	7,487
FUNDING SOURCES		%		%				%		%
21 PRIOR YEAR FUND BALANCE*	545,315	0%	0	0%			0	0%	0	0%
22 GENERAL REVENUE	190,203,302	25%	190,203,302	17%			268,276,807	21%	268,276,807	21%
23 EDUCATIONAL EXCELLENCE TRUST FUND	15,272,692	2%	15,445,856	1%			15,445,856	1%	15,445,856	1%
24 WORKFORCE 2000	0	0%	0	0%			0	0%	0	0%
25 CASH FUNDS	564,456,837	73%	924,617,139	80%			968,100,356	76%	968,100,356	76%
26 SPECIAL REVENUES		0%		0%				0%		0%
27 FEDERAL FUNDS	0	0%	16,180,986	1%			16,800,000	1%	16,800,000	1%
28 TOBACCO SETTLEMENT FUNDS	3,166,770	0%	3,134,112	0%			4,790,995	0%	4,790,995	0%
29 OTHER FUNDS	675,535	0%	670,000	0%			2,100,000	0%	2,100,000	0%
30 TOTAL INCOME	\$774,320,451	100%	\$1,150,251,395	100%			\$1,275,514,014	100%	\$1,275,514,014	100%
31 EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0	

Other Funds includes GIF for operating.

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2015:	\$132,091,975
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$17,318,625
INVENTORIES	\$5,477,387
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	
MAJOR CRITICAL SYSTEMS FAILURES	
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$54,043,010
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$55,252,953

\*Line 21 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME  
AND AHECB GENERAL REVENUE RECOMMENDATIONS  
FOR THE 2016-2017 Fiscal Year (Non-Formula Entities)**

UNIVERSITY OF ARKANSAS SYSTEM OFFICE

NAME OF INSTITUTION

	EXPENDITURE CATEGORIES	2014-2015 ACTUAL	2015-2016 BUDGETED*	2016-17 FISCAL YEAR INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS	
				2016-2017	
				REQUEST	RECOMMENDATION
1	SALARIES	4,884,293	6,223,479	6,476,679	6,476,679
2	BENEFITS	1,288,397	1,603,520	1,636,032	1,636,032
3	EXTRA HELP	15,783	10,000	24,000	24,000
4	M & O	4,105,774	4,373,331	4,396,380	4,364,695
5					
6					
7					
8					
9					
10					
11					
12					
13	MANDATORY TRANSFERS				
14	AUXILIARY TRANSFERS				
15	NON-MANDATORY TRANSFERS				
16	TOTAL UNREST. E&G EXP.	\$10,294,247	\$12,210,330	\$12,533,091	\$12,501,406
17	NET LOCAL INCOME	4,281,578	8,520,535	8,387,213	8,387,213
18	PRIOR YEAR BALANCE***	1,205,922			
	STATE FUNDS:				
19	GENERAL REVENUE	3,417,950	3,417,950	3,875,951	3,842,348
20	EDUCATIONAL EXCELLENCE	268,797	271,845	269,927	271,845
21	WORKFORCE 2000				
22	TOBACCO SETTLEMENT FUNDS				
23	OTHER STATE FUNDS **	1,120,000			
24	TOTAL SOURCES OF INCOME	\$10,294,247	\$12,210,330	\$12,533,091	\$12,501,406

\* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

FORM FR-2 Nonformula

\*\* Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in a footnote.

\*\*\*Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

NOTE: Line 23 "Other State Funds" are from General Improvement Fund.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME  
AND AHECB GENERAL REVENUE RECOMMENDATIONS  
FOR THE 2016-2017 Fiscal Year (Non-Formula Entities)**

UNIVERSITY OF ARKANSAS - DIVISION OF AGRICULTURE

NAME OF INSTITUTION

	EXPENDITURE CATEGORIES	2014-2015 ACTUAL	2015-2016 BUDGETED*	2016-17 FISCAL YEAR INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS	
				2016-2017	
				REQUEST	RECOMMENDATION
1	SALARIES-CLASSIFIED	9,700,187	9,260,760	10,526,002	10,526,002
2	SALARIES-NONCLASSIFIED	37,719,372	40,123,528	43,493,801	43,493,801
3	FRINGE BENEFITS	14,342,354	14,587,437	16,954,259	16,954,259
4	OPERATING EXPENSES	17,281,576	18,667,072	17,665,802	17,665,802
5					
6					
7					
8					
9					
10					
11					
12					
13	MANDATORY TRANSFERS	3,865			
14	AUXILIARY TRANSFERS				
15	NON-MANDATORY TRANSFERS	816,895	916,744	1,000,000	1,000,000
16	TOTAL UNREST. E&G EXP.	\$79,864,249	\$83,555,541	\$89,639,864	\$89,639,864
17	NET LOCAL INCOME	11,539,976	12,851,000	15,950,000	15,950,000
18	PRIOR YEAR BALANCE***				
	STATE FUNDS:				
19	GENERAL REVENUE	62,800,138	62,800,138	68,103,096	68,103,096
20	EDUCATIONAL EXCELLENCE	5,524,135	5,586,768	5,586,768	5,586,768
21	WORKFORCE 2000				
22	TOBACCO SETTLEMENT FUNDS				
23	OTHER STATE FUNDS **		3,027,000		
24	TOTAL SOURCES OF INCOME	\$79,864,249	\$84,264,906	\$89,639,864	\$89,639,864

\* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

FORM FR-2 Nonformula

\*\* Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in a footnote.

\*\*\*Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

NOTE: Line 23 "Other State Funds" consist of \$3,000,000 in Rainy Day funds and \$27,000 for 4-H.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME  
AND AHECB GENERAL REVENUE RECOMMENDATIONS  
FOR THE 2016-2017 Fiscal Year (Non-Formula Entities)**

ARK RESEARCH & EDUCATION OPTICAL NETWORK

NAME OF INSTITUTION

	EXPENDITURE CATEGORIES	2014-2015 ACTUAL	2015-2016 BUDGETED*	2016-17 FISCAL YEAR INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS	
				2016-2017	
				REQUEST	RECOMMENDATION
1	RESEARCH	2,746,410	2,942,394	5,836,877	5,836,877
2					
3					
4					
5					
6					
7					
8					
9					
10					
11					
12					
13	MANDATORY TRANSFERS				
14	AUXILIARY TRANSFERS				
15	NON-MANDATORY TRANSFERS				
16	<b>TOTAL UNREST. E&amp;G EXP.</b>	<b>\$2,746,410</b>	<b>\$2,942,394</b>	<b>\$5,836,877</b>	<b>\$5,836,877</b>
17	NET LOCAL INCOME	2,201,095	2,942,394	3,039,377	3,039,377
18	PRIOR YEAR BALANCE***	545,315			
	STATE FUNDS:				
19	GENERAL REVENUE			2,797,500	2,797,500
20	EDUCATIONAL EXCELLENCE				
21	WORKFORCE 2000				
22	TOBACCO SETTLEMENT FUNDS				
23	OTHER STATE FUNDS **				
24	<b>TOTAL SOURCES OF INCOME</b>	<b>\$2,746,410</b>	<b>\$2,942,394</b>	<b>\$5,836,877</b>	<b>\$5,836,877</b>

\* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

FORM FR-2 Nonformula

\*\* Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in a footnote.

\*\*\*Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME  
AND AHECB GENERAL REVENUE RECOMMENDATIONS  
FOR THE 2016-2017 Fiscal Year (Non-Formula Entities)**

ARKANSAS ARCHEOLOGICAL SURVEY

NAME OF INSTITUTION

	EXPENDITURE CATEGORIES	2014-2015 ACTUAL	2015-2016 BUDGETED*	2016-17 FISCAL YEAR INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS	
				2016-2017	
				REQUEST	RECOMMENDATION
1	SALARIES AND WAGES	1,725,000	1,701,248	1,870,699	1,870,699
2	STAFF BENEFITS	441,600	438,752	474,655	474,655
3	MAINTENANCE AND OPERATIONS	323,652	390,252	418,813	418,813
4					
5					
6					
7					
8					
9					
10					
11					
12					
13	MANDATORY TRANSFERS				
14	AUXILIARY TRANSFERS				
15	NON-MANDATORY TRANSFERS				
16	<b>TOTAL UNREST. E&amp;G EXP.</b>	<b>\$2,490,252</b>	<b>\$2,530,252</b>	<b>\$2,764,167</b>	<b>\$2,764,167</b>
17	NET LOCAL INCOME	30,000	30,000	2,079	2,079
18	PRIOR YEAR BALANCE**		40,000		
	STATE FUNDS:				
19	GENERAL REVENUE	2,327,380	2,327,380	2,627,710	2,627,710
20	EDUCATIONAL EXCELLENCE	132,872	134,378	134,378	134,378
21	WORKFORCE 2000				
22	TOBACCO SETTLEMENT FUNDS				
23	OTHER STATE FUNDS ***				
24	<b>TOTAL SOURCES OF INCOME</b>	<b>\$2,490,252</b>	<b>\$2,531,758</b>	<b>\$2,764,167</b>	<b>\$2,764,167</b>

\* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

FORM FR-2 Nonformula

\*\* Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

\*\*\* Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in a footnote.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME  
AND AHECB GENERAL REVENUE RECOMMENDATIONS  
FOR THE 2016-2017 Fiscal Year (Non-Formula Entities)**

UNIVERSITY OF ARKANSAS CLINTON SCHOOL OF PUBLIC SERVICE

NAME OF INSTITUTION

	EXPENDITURE CATEGORIES	2014-2015 ACTUAL	2015-2016 BUDGETED*	2016-17 FISCAL YEAR INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS	
				2016-2017	
				REQUEST	RECOMMENDATION
1	SALARIES - NONCLASSIFIED	1,551,167	1,623,451	1,778,651	1,778,651
2	EXTRA HELP	134,022	117,137	117,137	117,137
3	STAFF BENEFITS	446,271	425,798	465,312	465,312
4	SCHOLARSHIPS	422,729	458,000	383,000	383,000
5	MAINTENANCE AND OPERATIONS	540,157	346,189	362,695	362,695
6					
7					
8					
9					
10					
11					
12					
13	MANDATORY TRANSFERS				
14	AUXILIARY TRANSFERS				
15	NON-MANDATORY TRANSFERS				
16	<b>TOTAL UNREST. E&amp;G EXP.</b>	<b>\$3,094,346</b>	<b>\$2,970,575</b>	<b>\$3,106,795</b>	<b>\$3,106,795</b>
17	NET LOCAL INCOME	795,584	600,000	600,000	600,000
18	PRIOR YEAR BALANCE**	3,187			
	STATE FUNDS:				
19	GENERAL REVENUE	2,295,575	2,295,575	2,506,795	2,506,795
20	EDUCATIONAL EXCELLENCE				
21	WORKFORCE 2000				
22	TOBACCO SETTLEMENT FUNDS				
23	OTHER STATE FUNDS ***		75,000		
24	<b>TOTAL SOURCES OF INCOME</b>	<b>\$3,094,346</b>	<b>\$2,970,575</b>	<b>\$3,106,795</b>	<b>\$3,106,795</b>

\* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

FORM FR-2 Nonformula

\*\* Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

\*\*\* Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in a footnote.

\*\*NOTE: Line 23 "Other State Funds"- GIF funds (\$75,000) used for operating (scholarships).

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME  
AND AHECB GENERAL REVENUE RECOMMENDATIONS  
FOR THE 2016-2017 Fiscal Year (Non-Formula Entities)**

CRIMINAL JUSTICE INSTITUTE

NAME OF INSTITUTION

	EXPENDITURE CATEGORIES	2014-2015 ACTUAL	2015-2016 BUDGETED*	2016-17 FISCAL YEAR INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS	
				2016-2017	
				REQUEST	RECOMMENDATION
1	PUBLIC SERVICE	2,515,072	2,931,011	3,547,210	3,547,210
2					
3					
4					
5					
6					
7					
8					
9					
10					
11					
12					
13	MANDATORY TRANSFERS				
14	AUXILIARY TRANSFERS				
15	NON-MANDATORY TRANSFERS	259,941			
16	<b>TOTAL UNREST. E&amp;G EXP.</b>	<b>\$2,775,013</b>	<b>\$2,931,011</b>	<b>\$3,547,210</b>	<b>\$3,547,210</b>
17	NET LOCAL INCOME	661,735	788,026	399,750	399,750
18	PRIOR YEAR BALANCE***	137,509	167,216	191,627	191,627
	STATE FUNDS:				
19	GENERAL REVENUE	1,825,769	1,825,769	2,805,833	2,805,833
20	EDUCATIONAL EXCELLENCE				
21	WORKFORCE 2000				
22	TOBACCO SETTLEMENT FUNDS				
23	OTHER STATE FUNDS **	150,000	150,000	150,000	150,000
24	<b>TOTAL SOURCES OF INCOME</b>	<b>\$2,775,013</b>	<b>\$2,931,011</b>	<b>\$3,547,210</b>	<b>\$3,547,210</b>

\* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

FORM FR-2 Nonformula

\*\* Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

\*\*\* Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in a footnote.

NOTE: Line 23 "Other State Funds"- Special State Assets Forfeiture Funds

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME  
AND AHECB GENERAL REVENUE RECOMMENDATIONS  
FOR THE 2016-2017 Fiscal Year (Non-Formula Entities)**

UAF GARVAN WOODLAND GARDENS

NAME OF INSTITUTION

	EXPENDITURE CATEGORIES	2014-2015 ACTUAL	2015-2016 BUDGETED*	2016-17 FISCAL YEAR INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS	
				2016-2017	
				REQUEST	RECOMMENDATION
1	PUBLIC SERVICE	2,728,661	3,160,497	3,365,094	3,365,094
2					
3					
4					
5					
6					
7					
8					
9					
10					
11					
12					
13	MANDATORY TRANSFERS				
14	AUXILIARY TRANSFERS				
15	NON-MANDATORY TRANSFERS				
16	<b>TOTAL UNREST. E&amp;G EXP.</b>	<b>\$2,728,661</b>	<b>\$3,160,497</b>	<b>\$3,365,094</b>	<b>\$3,365,094</b>
17	NET LOCAL INCOME	2,728,661	3,160,497	2,865,094	2,865,094
18	PRIOR YEAR BALANCE***				
	STATE FUNDS:				
19	GENERAL REVENUE			500,000	500,000
20	EDUCATIONAL EXCELLENCE				
21	WORKFORCE 2000				
22	TOBACCO SETTLEMENT FUNDS				
23	OTHER STATE FUNDS **				
24	<b>TOTAL SOURCES OF INCOME</b>	<b>\$2,728,661</b>	<b>\$3,160,497</b>	<b>\$3,365,094</b>	<b>\$3,365,094</b>

\* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

FORM FR-2 Nonformula

\*\* Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in a footnote.

\*\*\*Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.



**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME  
AND AHECB GENERAL REVENUE RECOMMENDATIONS  
FOR THE 2016-2017 Fiscal Year (Non-Formula Entities)**

UAF PRYOR CNTR FOR ARK. ORAL & VISUAL HIST.

NAME OF INSTITUTION

	EXPENDITURE CATEGORIES	2014-2015 ACTUAL	2015-2016 BUDGETED*	2016-17 FISCAL YEAR INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS	
				2016-2017	
				REQUEST	RECOMMENDATION
1	ACADEMIC SUPPORT	440,485	675,561	712,509	712,509
2					
3					
4					
5					
6					
7					
8					
9					
10					
11					
12					
13	MANDATORY TRANSFERS				
14	AUXILIARY TRANSFERS				
15	NON-MANDATORY TRANSFERS				
16	<b>TOTAL UNREST. E&amp;G EXP.</b>	<b>\$440,485</b>	<b>\$675,561</b>	<b>\$712,509</b>	<b>\$712,509</b>
17	NET LOCAL INCOME	440,485	675,561	462,509	462,509
18	PRIOR YEAR BALANCE***				
	STATE FUNDS:				
19	GENERAL REVENUE			250,000	250,000
20	EDUCATIONAL EXCELLENCE				
21	WORKFORCE 2000				
22	TOBACCO SETTLEMENT FUNDS				
23	OTHER STATE FUNDS **				
24	<b>TOTAL SOURCES OF INCOME</b>	<b>\$440,485</b>	<b>\$675,561</b>	<b>\$712,509</b>	<b>\$712,509</b>

\* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

FORM FR-2 Nonformula

\*\* Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in a footnote.

\*\*\*Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME  
AND AHECB GENERAL REVENUE RECOMMENDATIONS  
FOR THE 2016-2017 Fiscal Year (Non-Formula Entities)**

UAF RESEARCH & TECHNOLOGY PARK

NAME OF INSTITUTION

	EXPENDITURE CATEGORIES	2014-2015 ACTUAL	2015-2016 BUDGETED*	2016-17 FISCAL YEAR INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS	
				2016-2017	
				REQUEST	RECOMMENDATION
1	PUBLIC SERVICE	0	0	250,000	250,000
2					
3					
4					
5					
6					
7					
8					
9					
10					
11					
12					
13	MANDATORY TRANSFERS				
14	AUXILIARY TRANSFERS				
15	NON-MANDATORY TRANSFERS				
16	<b>TOTAL UNREST. E&amp;G EXP.</b>	<b>\$0</b>	<b>\$0</b>	<b>\$250,000</b>	<b>\$250,000</b>
17	NET LOCAL INCOME				
18	PRIOR YEAR BALANCE***				
	STATE FUNDS:				
19	GENERAL REVENUE			250,000	250,000
20	EDUCATIONAL EXCELLENCE				
21	WORKFORCE 2000				
22	TOBACCO SETTLEMENT FUNDS				
23	OTHER STATE FUNDS **				
24	<b>TOTAL SOURCES OF INCOME</b>	<b>\$0</b>	<b>\$0</b>	<b>\$250,000</b>	<b>\$250,000</b>

\* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

FORM FR-2 Nonformula

\*\* Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in a footnote.

\*\*\*Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME  
AND AHECB GENERAL REVENUE RECOMMENDATIONS  
FOR THE 2016-2017 Fiscal Year (Non-Formula Entities)**

UAF ARK CENTERS FOR RURAL EDUCATION IN AUTISM AND RELATED DISABILITIES

NAME OF INSTITUTION

	EXPENDITURE CATEGORIES	2014-2015 ACTUAL	2015-2016 BUDGETED*	2016-17 FISCAL YEAR INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS	
				2016-2017	
				REQUEST	RECOMMENDATION
1	PUBLIC SERVICE	0	0	2,500,000	2,500,000
2					
3					
4					
5					
6					
7					
8					
9					
10					
11					
12					
13	MANDATORY TRANSFERS				
14	AUXILIARY TRANSFERS				
15	NON-MANDATORY TRANSFERS				
16	<b>TOTAL UNREST. E&amp;G EXP.</b>	<b>\$0</b>	<b>\$0</b>	<b>\$2,500,000</b>	<b>\$2,500,000</b>
17	NET LOCAL INCOME				
18	PRIOR YEAR BALANCE***				
	STATE FUNDS:				
19	GENERAL REVENUE			2,500,000	2,500,000
20	EDUCATIONAL EXCELLENCE				
21	WORKFORCE 2000				
22	TOBACCO SETTLEMENT FUNDS				
23	OTHER STATE FUNDS **				
24	<b>TOTAL SOURCES OF INCOME</b>	<b>\$0</b>	<b>\$0</b>	<b>\$2,500,000</b>	<b>\$2,500,000</b>

\* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

FORM FR-2 Nonformula

\*\* Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in a footnote.

\*\*\*Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME  
AND AHECB GENERAL REVENUE RECOMMENDATIONS  
FOR THE 2016-2017 Fiscal Year (Non-Formula Entities)**

UAF WORLD TRADE CENTER

NAME OF INSTITUTION

	EXPENDITURE CATEGORIES	2014-2015 ACTUAL	2015-2016 BUDGETED*	2016-17 FISCAL YEAR INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS	
				2016-2017	
				REQUEST	RECOMMENDATION
1	PUBLIC SERVICE	284,319	673,700	551,123	551,123
2					
3					
4					
5					
6					
7					
8					
9					
10					
11					
12					
13	MANDATORY TRANSFERS				
14	AUXILIARY TRANSFERS				
15	NON-MANDATORY TRANSFERS				
16	<b>TOTAL UNREST. E&amp;G EXP.</b>	<b>\$284,319</b>	<b>\$673,700</b>	<b>\$551,123</b>	<b>\$551,123</b>
17	NET LOCAL INCOME	284,319	673,700	298,535	298,535
18	PRIOR YEAR BALANCE***				
	STATE FUNDS:				
19	GENERAL REVENUE			252,588	252,588
20	EDUCATIONAL EXCELLENCE				
21	WORKFORCE 2000				
22	TOBACCO SETTLEMENT FUNDS				
23	OTHER STATE FUNDS **				
24	<b>TOTAL SOURCES OF INCOME</b>	<b>\$284,319</b>	<b>\$673,700</b>	<b>\$551,123</b>	<b>\$551,123</b>

\* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

FORM FR-2 Nonformula

\*\* Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in a footnote.

\*\*\*Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY  
2016-2017 FISCAL YEAR**

FUND CAA0100 & CAA1300 & CAA1000 INSTITUTION UNIVERSITY OF ARKANSAS, FAYETTEVILLE APPROPRIATION 534

	DESCRIPTION	ACTUAL 2014-2015	BUDGETED 2015-2016	AUTHORIZED APPROPRIATION 2015-2016	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	ADJUSTED LEGISLATIVE RECOMMENDATION
					2016-2017	2016-2017
1	REGULAR SALARIES	100,462,606	100,000,000	129,000,000	143,500,000	131,000,000
2	EXTRA HELP WAGES					
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	19,642,100	20,000,000	29,000,000	31,500,000	30,000,000
5	OPERATING EXPENSES	4,791,726	4,912,505	13,621,587	14,216,952	15,832,540
6	CONFERENCE FEES & TRAVEL	0	0	0	0	0
7	PROFESSIONAL FEES AND SERVICES	0	0	0	0	0
8	CAPITAL OUTLAY	0	0	0	0	0
9	FUNDED DEPRECIATION	2,076,850	2,076,850	2,076,850	2,076,850	2,076,850
10						
11						
12						
13	TOTAL APPROPRIATION	\$126,973,282	\$126,989,355	\$173,698,437	\$191,293,802	\$178,909,390
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE	117,536,490	117,536,490		181,840,937	169,456,525
16	EDUCATIONAL EXCELLENCE TRUST FUND	9,346,888	9,452,865		9,452,865	9,452,865
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS	89,904				
21	TOTAL INCOME	\$126,973,282	\$126,989,355		\$191,293,802	\$178,909,390
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

\*\* Report WF2000 funds on line 17 - "Special Revenues".

FORM FR-3

\*\*Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

NOTE: Line 20 "Other State Treasury Funds" are Tuition Adj. Funds, Gas Lease funds and FVD0000 Agency 0610 funds.

**APPROPRIATION ACT FORM - STATE TREASURY  
2016-2017 FISCAL YEAR**

FUND CAA1000 UNIVERSITY OF ARKANSAS SYSTEM AND INSTITUTION VARIOUS DIVISIONS APPROPRIATION N51

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	ADJUSTED
		2014-2015	2015-2016	APPROPRIATION 2015-2016	AHECB RECOMMENDATION 2016-2017	LEGISLATIVE RECOMMENDATION 2016-2017
1	REGULAR SALARIES	61,127,298	61,000,000	61,000,000	61,000,000	61,000,000
2	EXTRA HELP WAGES					
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	15,157,900	15,126,334	17,000,000	18,000,000	18,000,000
5	OPERATING EXPENSES	2,307,418	2,533,469	5,822,903	6,878,773	7,552,396
6	CONFERENCE FEES & TRAVEL	0	0	0	0	0
7	PROFESSIONAL FEES AND SERVICES	0	0	0	0	0
8	CAPITAL OUTLAY	0	0	0	0	0
9	FUNDED DEPRECIATION	0	0	0		
10						
11						
12						
13	TOTAL APPROPRIATION	\$78,592,616	\$78,659,803	\$83,822,903	\$85,878,773	\$86,552,396
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE	72,666,812	72,666,812		79,885,782	80,559,405
16	EDUCATIONAL EXCELLENCE TRUST FUND	5,925,804	5,992,991		5,992,991	5,992,991
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS					
21	TOTAL INCOME	\$78,592,616	\$78,659,803		\$85,878,773	\$86,552,396
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

\*\* Report WF2000 funds on line 17 - "Special Revenues".

\*\*Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM FR-3

Allocations:		
SYSTEM ADMINISTRATION	4,114,193	4,145,878
DIVISION OF AGRICULTURE	73,689,864	74,272,021
CRIMINAL JUSTICE INSTITUTE	2,805,833	2,822,758
ARCHEOLOGICAL SURVEY	2,762,088	2,783,663
CLINTON SCHOOL	2,506,795	2,528,076
	<u>85,878,773</u>	<u>86,552,396</u>

**APPROPRIATION ACT FORM - STATE TREASURY  
2016-2017 FISCAL YEAR**

FUND CAA1100

INSTITUTION CRIMINAL JUSTICE INSTITUTE

APPROPRIATION N52

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2014-2015	2015-2016	APPROPRIATION 2015-2016	AHECB RECOMMENDATION 2016-2017	2016-2017
1	CJI-CLANDESTINE METHAMPHETAMINE EDUCATION & TRAINING	150,000	150,000	150,000		150,000
2	ILLICIT DRUG EDUCATION & TRAINING				150,000	
3						
4						
5						
6						
7						
8						
9						
10						
11						
12						
13	TOTAL APPROPRIATION	\$150,000	\$150,000	\$150,000	\$150,000	\$150,000
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE					
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS	150,000	150,000		150,000	150,000
21	TOTAL INCOME	\$150,000	\$150,000		\$150,000	\$150,000
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

\*\* Report WF2000 funds on line 17 - "Special Revenues".

\*\*Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

NOTE: Line 20 "Other State Treasury Funds" are from Asset Forfeiture Fund.

FORM FR-3

**APPROPRIATION ACT FORM - STATE TREASURY  
2016-2017 FISCAL YEAR**

FUND CAA0300

INSTITUTION UNIVERSITY OF ARKANSAS, FAYETTEVILLE  
LAW SCHOOL

APPROPRIATION 534B

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2014-2015	2015-2016	APPROPRIATION	AHECB RECOMMENDATION	2016-2017
				2015-2016	2016-2017	2016-2017
1	UA SCHOOL OF LAW	435,631	520,000	800,000	800,000	800,000
2						
3						
4						
5						
6						
7						
8						
9						
10						
11						
12						
13	TOTAL APPROPRIATION	\$435,631	\$520,000	\$800,000	\$800,000	\$800,000
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE					
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS	435,631	520,000		800,000	800,000
21	TOTAL INCOME	\$435,631	\$520,000		\$800,000	\$800,000
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

\*\*\* Report WF2000 funds on line 17 - "Special Revenues".

\*\*Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

NOTE: Line 20 "Other State Treasury Funds" is from Uniform Filing Fees.



**APPROPRIATION ACT FORM - STATE TREASURY  
2016-2017 FISCAL YEAR**

FUND CAA0400

INSTITUTION ARK RESEARCH & EDUC OPTICAL NETWORK

APPROPRIATION 534R

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2014-2015	2015-2016	APPROPRIATION 2015-2016	AHECB RECOMMENDATION 2016-2017	2016-2017
1	ARK RESEARCH & EDUC OPTICAL NETWORK	0	0	1,365,000	2,797,500	2,797,500
2						
3						
4						
5						
6						
7						
8						
9						
10						
11						
12						
13	TOTAL APPROPRIATION	\$0	\$0	\$1,365,000	\$2,797,500	\$2,797,500
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE				2,797,500	2,797,500
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS					
21	TOTAL INCOME	\$0	\$0		\$2,797,500	\$2,797,500
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

\*\* Report WF2000 funds on line 17 - "Special Revenues".

\*\*Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY  
2016-2017 FISCAL YEAR**

FUND CAA0500

INSTITUTION UNIVERSITY OF ARKANSAS, FAYETTEVILLE  
GARVAN WOODLAND GARDENS

APPROPRIATION 59G

DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
	2014-2015	2015-2016	APPROPRIATION	AHECB RECOMMENDATION	RECOMMENDATION
			2015-2016	2016-2017	2016-2017
1 GARVAN WOODLAND GARDENS OPERATIONS	0	0	500,000	500,000	500,000
2					
3					
4					
5					
6					
7					
8					
9					
10					
11					
12					
13 TOTAL APPROPRIATION	\$0	\$0	\$500,000	\$500,000	\$500,000
14 PRIOR YEAR FUND BALANCE**					
15 GENERAL REVENUE				500,000	500,000
16 EDUCATIONAL EXCELLENCE TRUST FUND					
17 SPECIAL REVENUES * [WF2000]					
18 FEDERAL FUNDS IN STATE TREASURY					
19 TOBACCO SETTLEMENT FUNDS					
20 OTHER STATE TREASURY FUNDS					
21 TOTAL INCOME	\$0	\$0		\$500,000	\$500,000
22 EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

\*\* Report WF2000 funds on line 17 - "Special Revenues".

\*\*Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY  
2016-2017 FISCAL YEAR**

FUND CAA0700

INSTITUTION UNIVERSITY OF ARKANSAS, FAYETTEVILLE  
PRYOR CNTR FOR ARK. ORAL & VISUAL HIST.

APPROPRIATION \_\_\_\_\_ L96

	DESCRIPTION	ACTUAL 2014-2015	BUDGETED 2015-2016	AUTHORIZED APPROPRIATION 2015-2016	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
					2016-2017	2016-2017
1	PRYOR CENTER OPERATING EXPENSES	0	0	250,000	250,000	250,000
2						
3						
4						
5						
6						
7						
8						
9						
10						
11						
12						
13	TOTAL APPROPRIATION	\$0	\$0	\$250,000	\$250,000	\$250,000
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE				250,000	250,000
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS					
21	TOTAL INCOME	\$0	\$0		\$250,000	\$250,000
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

\*\* Report WF2000 funds on line 17 - "Special Revenues".

\*\*Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM FR-3

**APPROPRIATION ACT FORM - STATE TREASURY  
2016-2017 FISCAL YEAR**

FUND MEA0000

INSTITUTION UNIVERSITY OF ARKANSAS, FAYETTEVILLE  
ELECTRICAL ENERGY ADVANCE. PROGRAM

APPROPRIATION \_\_\_\_\_ 87B

	DESCRIPTION	ACTUAL 2014-2015	BUDGETED 2015-2016	AUTHORIZED APPROPRIATION 2015-2016	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
					2016-2017	2016-2017
1	ELECTR. ENERGY ADVANCE. PROG. SCHOLARSHIPS, FELLOWSHIPS, PROJECT COSTS, AND INSTITUTIONS' RESEARCH PROJECTS	0	0	800,000	800,000	800,000
2						
3						
4						
5						
6						
7						
8						
9						
10						
11						
12						
13	TOTAL APPROPRIATION	\$0	\$0	\$800,000	\$800,000	\$800,000
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE					
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS				800,000	800,000
21	TOTAL INCOME	\$0	\$0		\$800,000	\$800,000
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

\*\*\* Report WF2000 funds on line 17 - "Special Revenues".

\*\*Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM FR-3

**APPROPRIATION ACT FORM - STATE TREASURY  
2016-2017 FISCAL YEAR**

FUND CAA0100

INSTITUTION UNIVERSITY OF ARKANSAS, FAYETTEVILLE  
RESEARCH & TECHNOLOGY PARK

APPROPRIATION \_\_\_\_\_ M79

	DESCRIPTION	ACTUAL 2014-2015	BUDGETED 2015-2016	AUTHORIZED APPROPRIATION 2015-2016	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
					2016-2017	2016-2017
1	RESEARCH AND TECHNOLOGY PARK OPERATING EXPENSES	0	0	250,000	250,000	250,000
2						
3						
4						
5						
6						
7						
8						
9						
10						
11						
12						
13	TOTAL APPROPRIATION	\$0	\$0	\$250,000	\$250,000	\$250,000
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE				250,000	250,000
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS					
21	TOTAL INCOME	\$0	\$0		\$250,000	\$250,000
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

\*\* Report WF2000 funds on line 17 - "Special Revenues".

FORM FR-3

\*\*Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY  
2016-2017 FISCAL YEAR**

FUND CAA0900

INSTITUTION UNIVERSITY OF ARKANSAS, FAYETTEVILLE

APPROPRIATION \_\_\_\_\_

N50

ARK CENTERS FOR RURAL EDUCATION IN AUTISM AND RELATED DISABILITIES

	DESCRIPTION	ACTUAL 2014-2015	BUDGETED 2015-2016	AUTHORIZED APPROPRIATION 2015-2016	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
					AHECB RECOMMENDATION 2016-2017	2016-2017
1	ARK. CENTERS FOR RURAL EDUCATION IN AUTISM AND RELATED DISABILITIES OPERATING EXPENSES	0	0	2,500,000	2,500,000	2,500,000
2						
3						
4						
5						
6						
7						
8						
9						
10						
11						
12						
13	TOTAL APPROPRIATION	\$0	\$0	\$2,500,000	\$2,500,000	\$2,500,000
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE				2,500,000	2,500,000
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS					
21	TOTAL INCOME	\$0	\$0		\$2,500,000	\$2,500,000
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

\*\* Report WF2000 funds on line 17 - "Special Revenues".

\*\*Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY  
2016-2017 FISCAL YEAR**

FUND CAA0100

INSTITUTION UNIVERSITY OF ARKANSAS, FAYETTEVILLE  
WORLD TRADE CENTER

APPROPRIATION \_\_\_\_\_ M80

	DESCRIPTION	ACTUAL 2014-2015	BUDGETED 2015-2016	AUTHORIZED APPROPRIATION 2015-2016	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
					2016-2017	2016-2017
1	WORLD TRADE CENTER OPERATING EXPENSES	0	0	250,000	252,588	252,588
2						
3						
4						
5						
6						
7						
8						
9						
10						
11						
12						
13	TOTAL APPROPRIATION	\$0	\$0	\$250,000	\$252,588	\$252,588
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE				252,588	252,588
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS					
21	TOTAL INCOME	\$0	\$0		\$252,588	\$252,588
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

\*\*\* Report WF2000 funds on line 17 - "Special Revenues".

\*\*Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY  
2016-2017 FISCAL YEAR**

FUND CAA0100

INSTITUTION UNIVERSITY OF ARKANSAS, FAYETTEVILLE  
PARTNERS FOR INCLUSIVE COMMUNITIES

APPROPRIATION \_\_\_\_\_ M81

	DESCRIPTION	ACTUAL 2014-2015	BUDGETED 2015-2016	AUTHORIZED APPROPRIATION 2015-2016	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
					AHECB RECOMMENDATION 2016-2017	2016-2017
1	PARTNERS FOR INCLUSIVE COMMUNITIES - PERSONAL SERVICES, MAINTENANCE AND OPERATING EXPENSES, MATCHING, AND GRANTS	0	0	250,000	250,000	250,000
2						
3						
4						
5						
6						
7						
8						
9						
10						
11						
12						
13	TOTAL APPROPRIATION	\$0	\$0	\$250,000	\$250,000	\$250,000
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE					
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS	0	0		250,000	250,000
21	TOTAL INCOME	\$0	\$0		\$250,000	\$250,000
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

\*\*\* Report WF2000 funds on line 17 - "Special Revenues".

\*\*Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.



**APPROPRIATION ACT FORM - STATE TREASURY  
2016-2017 FISCAL YEAR**

FUND CAA1200 INSTITUTE UNIVERSITY OF ARKANSAS SYSTEM AND  
 VARIOUS DIVISIONS APPROPRIATION N54  
 BUFFALO RIVER WATERSHED MONITORING

1	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2014-2015	2015-2016	APPROPRIATION	AHECB RECOMMENDATION	RECOMMENDATION
				2015-2016	2016-2017	2016-2017
1	BUFFALO RIVER WATERSHED MONITORING	0	0	100,000	100,000	100,000
2						
3						
4						
5						
6						
7						
8						
9						
10						
11						
12						
13	TOTAL APPROPRIATION	\$0	\$0	\$100,000	\$100,000	\$100,000
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE					
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS				100,000	100,000
21	TOTAL INCOME	\$0	\$0		\$100,000	\$100,000
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

\*\*\* Report WF2000 funds on line 17 - "Special Revenues". FORM FR-3  
 \*\*Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY  
2016-2017 FISCAL YEAR**

FUND TSF0200

INSTITUTION TOBACCO FUNDS-FAYETTEVILLE

APPROPRIATION \_\_\_\_\_ 319

	DESCRIPTION	ACTUAL 2014-2015	BUDGETED 2015-2016	AUTHORIZED APPROPRIATION 2015-2016	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
					AHECB RECOMMENDATION 2016-2017	2016-2017
1	REGULAR SALARIES	480,000	480,000	480,000	480,000	480,000
2	EXTRA HELP WAGES					
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	70,295	75,000	75,000	75,000	75,000
5	OPERATING EXPENSES	800,000	800,000	800,000	800,000	800,000
6	CONFERENCE FEES & TRAVEL	0	0	0	0	0
7	PROFESSIONAL FEES AND SERVICES	0	0	0	0	0
8	CAPITAL OUTLAY	233,090	212,056	1,020,563	1,020,563	1,020,563
9	FUNDED DEPRECIATION					
10						
11						
12						
13	TOTAL APPROPRIATION	\$1,583,385	\$1,567,056	\$2,375,563	\$2,375,563	\$2,375,563
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE					
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS	1,583,385	1,567,056		2,375,563	2,375,563
20	OTHER STATE TREASURY FUNDS					
21	TOTAL INCOME	\$1,583,385	\$1,567,056		\$2,375,563	\$2,375,563
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

\*\* Report WF2000 funds on line 17 - "Special Revenues".

\*\*Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM FR-3

**APPROPRIATION ACT FORM - STATE TREASURY  
2016-2017 FISCAL YEAR**

FUND TSF0202

INSTITUTION TOBACCO FUNDS-AGRI EXPERIMENT

APPROPRIATION 321

	DESCRIPTION	ACTUAL 2014-2015	BUDGETED 2015-2016	AUTHORIZED APPROPRIATION 2015-2016	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
					2016-2017	2016-2017
1	REGULAR SALARIES	961,394	962,203	1,356,100	1,356,100	1,356,100
2	EXTRA HELP WAGES					
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	266,470	271,700	359,332	359,332	359,332
5	OPERATING EXPENSES	291,473	215,000	380,000	380,000	380,000
6	CONFERENCE FEES & TRAVEL	18,577	25,000	40,000	40,000	40,000
7	PROFESSIONAL FEES AND SERVICES	21,418	50,000	100,000	100,000	100,000
8	CAPITAL OUTLAY	24,053	43,153	180,000	180,000	180,000
9	FUNDED DEPRECIATION					
10						
11						
12						
13	TOTAL APPROPRIATION	\$1,583,385	\$1,567,056	\$2,415,432	\$2,415,432	\$2,415,432
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE					
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS	1,583,385	1,567,056		2,415,432	2,415,432
20	OTHER STATE TREASURY FUNDS					
21	TOTAL INCOME	\$1,583,385	\$1,567,056		\$2,415,432	\$2,415,432
22	EXCESS (FUNDING)/APPROPRIATION	\$0	(\$0)		\$0	\$0

\*\* Report WF2000 funds on line 17 - "Special Revenues".

\*\*Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS  
2016-2017 Fiscal Year**

FUND 2000100

INSTITUTION UNIVERSITY OF ARKANSAS, FAYETTEVILLE

APPROPRIATION B03

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	ADJUSTED
		2014-2015	2015-2016	APPROPRIATION	AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
				2015-2016	2016-2017	2016-2017
1	REGULAR SALARIES	137,466,741	176,000,000	176,000,000	190,000,000	190,000,000
2	EXTRA HELP WAGES	17,895,691	22,000,000	22,000,000	22,000,000	22,000,000
3	OVERTIME	1,077,214	1,500,000	1,500,000	1,500,000	1,500,000
4	PERSONAL SERVICES MATCHING	24,249,277	35,000,000	35,000,000	35,000,000	35,000,000
5	OPERATING EXPENSES	119,984,159	250,000,000	250,000,000	250,000,000	250,000,000
6	CONFERENCE FEES & TRAVEL	21,857,949	30,000,000	30,000,000	30,000,000	30,000,000
7	PROFESSIONAL FEES AND SERVICES	31,845,218	25,000,000	25,000,000	25,000,000	25,000,000
8	CAPITAL OUTLAY	14,531,377	15,000,000	15,000,000	15,000,000	15,000,000
9	CAPITAL IMPROVEMENTS	85,619,621	121,000,000	121,000,000	121,000,000	121,000,000
10	DEBT SERVICE	254,788	7,000,000	7,000,000	7,000,000	7,000,000
11	FUND TRANSFERS, REFUNDS AND INVESTMENTS	0	9,675,000	9,675,000	7,537,232	7,537,232
12	PROMOTIONAL ITEMS	21,840				
13						
14						
15						
16	TOTAL APPROPRIATION	\$454,803,875	\$692,175,000	\$692,175,000	\$704,037,232	\$704,037,232
17	PRIOR YEAR FUND BALANCE***					
18	TUITION AND MANDATORY FEES	247,779,330	263,120,477		270,600,000	270,600,000
19	ALL OTHER FEES					
20	SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	8,777,174	7,734,357		8,400,000	8,400,000
21	INVESTMENT INCOME					
22	FEDERAL CASH FUNDS					
23	OTHER CASH FUNDS	198,247,371	421,320,166		425,037,232	425,037,232
24	TOTAL INCOME	\$454,803,875	\$692,175,000		\$704,037,232	\$704,037,232
25	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

FORM FR-4

**TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)**

	ACTUAL	BUDGETED	AUTHORIZED	REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
	2014-2015	2015-2016	2015-2016	2016-2017	2016-17	2016-2017
REGULAR POSITIONS	4,053	5,026	5,313	5,338	5,338	5,313
TOBACCO POSITIONS						
EXTRA HELP **	2,513	2,508	3,208	3,208	3,208	3,208

\*\* The total number of Extra-Help shown in the requested column will be the total extra-Help positions (General Revenue and Cash) requested to be authorized.

\*\*\*Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS  
2016-2017 Fiscal Year**

FUND 2000500 & 2000700

INSTITUTION UNIVERSITY OF ARKANSAS SYSTEM AND VARIOUS DIVISIONS

APPROPRIATION \_\_\_\_\_

C76

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	ADJUSTED
		2014-2015	2015-2016	APPROPRIATION	AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
				2015-2016	2016-2017	2016-2017
1	REGULAR SALARIES	34,956,422	46,000,000	46,000,000	52,000,000	52,000,000
2	EXTRA HELP WAGES	4,699,036	8,000,000	8,000,000	10,000,000	10,000,000
3	OVERTIME	196,329	1,000,000	1,000,000	1,200,000	1,200,000
4	PERSONAL SERVICES MATCHING	4,457,689	12,000,000	12,000,000	15,800,000	15,800,000
5	OPERATING EXPENSES	31,901,212	82,019,625	82,019,625	95,259,624	95,259,624
6	CONFERENCE FEES & TRAVEL	4,995,864	6,000,000	6,000,000	7,000,000	7,000,000
7	PROFESSIONAL FEES AND SERVICES	6,043,511	8,000,000	8,000,000	9,000,000	9,000,000
8	CAPITAL OUTLAY	2,842,554	10,000,000	10,000,000	13,000,000	13,000,000
9	CAPITAL IMPROVEMENTS	16,665,735	16,000,000	16,000,000	17,650,000	17,650,000
10	DEBT SERVICE	46,437	650,000	650,000	1,000,000	1,000,000
11	FUND TRANSFERS, REFUNDS AND INVESTMENTS	0	200,000	200,000	200,000	200,000
12	PROMOTIONAL ITEMS					
13						
14						
15						
16	TOTAL APPROPRIATION	\$106,804,789	\$189,869,625	\$189,869,625	\$222,109,624	\$222,109,624
17	PRIOR YEAR FUND BALANCE***					
18	TUITION AND MANDATORY FEES	687,800	2,130,000		3,000,000	3,000,000
19	ALL OTHER FEES					
20	SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	14,066,089	14,765,098		15,000,000	15,000,000
21	INVESTMENT INCOME	298,960				
22	FEDERAL CASH FUNDS		16,180,986		16,800,000	16,800,000
23	OTHER CASH FUNDS	91,751,940	156,793,541		187,309,624	187,309,624
24	TOTAL INCOME	\$106,804,789	\$189,869,625		\$222,109,624	\$222,109,624
25	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

FORM FR-4

**TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)**

	ACTUAL	BUDGETED	AUTHORIZED	REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
	2014-2015	2015-2016	2015-2016	2016-2017	2016-17	2016-2017
REGULAR POSITIONS	1,479	1,725	2,149	2,149	2,149	2,149
TOBACCO POSITIONS						
EXTRA HELP **	600	600	600	600	600	600

\*\* The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

\*\*\*Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS  
2016-2017 Fiscal Year**

FUND 2000600

INSTITUTION UNIVERSITY OF ARKANSAS SYSTEM AND VARIOUS DIVISIONS  
SOILS TESTING & RESEARCH

APPROPRIATION B76

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2014-2015	2015-2016	APPROPRIATION	AHECB RECOMMENDATION	2016-2017
				2015-2016	2016-2017	2016-2017
1	REGULAR SALARIES	606,442	775,000	775,000	775,000	775,000
2	EXTRA HELP WAGES	95,297	85,000	85,000	85,000	85,000
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	158,622	250,000	250,000	250,000	250,000
5	OPERATING EXPENSES	399,226	800,000	800,000	800,000	800,000
6	CONFERENCE FEES & TRAVEL	18,024	25,000	25,000	25,000	25,000
7	PROFESSIONAL FEES AND SERVICES	0	20,000	20,000	20,000	20,000
8	CAPITAL OUTLAY	108,357	495,000	495,000	495,000	495,000
9	CAPITAL IMPROVEMENTS	0	1,000,000	1,000,000	1,000,000	1,000,000
10	DEBT SERVICE					
11	FUND TRANSFERS, REFUNDS AND INVESTMENTS	0	300,000	300,000	300,000	300,000
12						
13						
14						
15						
16	TOTAL APPROPRIATION	\$1,385,968	\$3,750,000	\$3,750,000	\$3,750,000	\$3,750,000
17	PRIOR YEAR FUND BALANCE***					
18	TUITION AND MANDATORY FEES					
19	ALL OTHER FEES					
20	SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS					
21	INVESTMENT INCOME					
22	FEDERAL CASH FUNDS					
23	OTHER CASH FUNDS	1,385,968	3,750,000		3,750,000	3,750,000
24	TOTAL INCOME	\$1,385,968	\$3,750,000		\$3,750,000	\$3,750,000
25	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

FORM FR-4

**TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)**

	ACTUAL	BUDGETED	AUTHORIZED	REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
	2014-2015	2015-2016	2015-2016	2016-2017	2016-2017	2016-2017
REGULAR POSITIONS	N/A					
TOBACCO POSITIONS	N/A					
EXTRA HELP **	N/A					

\*\* The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

\*\*\*Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS  
2016-2017 Fiscal Year**

FUND 2000400

INSTITUTION ARKANSAS RESEARCH & EDUCATION OPTICAL NETWORK

APPROPRIATION C78

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2014-2015	2015-2016	APPROPRIATION	AHECB RECOMMENDATION	2016-2017
				2015-2016	2016-2017	2016-2017
1	REGULAR SALARIES		695,000	695,000	695,000	695,000
2	EXTRA HELP WAGES					
3	OVERTIME					
4	PERSONAL SERVICES MATCHING		208,500	208,500	208,500	208,500
5	OPERATING EXPENSES	1,098,541	8,000,000	8,000,000	8,000,000	8,000,000
6	CONFERENCE FEES & TRAVEL	58,120	100,000	100,000	100,000	100,000
7	PROFESSIONAL FEES AND SERVICES	764,479	8,000,000	8,000,000	8,000,000	8,000,000
8	CAPITAL OUTLAY	86,380	8,000,000	8,000,000	8,000,000	8,000,000
9	CAPITAL IMPROVEMENTS		30,000,000	30,000,000	30,000,000	30,000,000
10	DEBT SERVICE					
11	FUND TRANSFERS, REFUNDS AND INVESTMENTS					
12						
13						
14						
15						
16	TOTAL APPROPRIATION	\$2,007,520	\$55,003,500	\$55,003,500	\$55,003,500	\$55,003,500
17	PRIOR YEAR FUND BALANCE***	545,315				
18	TUITION AND MANDATORY FEES					
19	ALL OTHER FEES					
20	SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS					
21	INVESTMENT INCOME					
22	FEDERAL CASH FUNDS					
23	OTHER CASH FUNDS	1,462,205	55,003,500		55,003,500	55,003,500
24	TOTAL INCOME	\$2,007,520	\$55,003,500		\$55,003,500	\$55,003,500
25	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

FORM FR-4

**TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)**

	ACTUAL	BUDGETED	AUTHORIZED	REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
	2014-2015	2015-2016	2015-2016	2016-2017	2016-2017	2016-2017
REGULAR POSITIONS	N/A					
TOBACCO POSITIONS	N/A					
EXTRA HELP **	N/A					

\*\* The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

\*\*\*Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES**

UNIVERSITY OF ARKANSAS, FAYETTEVILLE  
(NAME OF INSTITUTION)

	ACTIVITY	ACTUAL 2014-2015				BUDGETED 2015-2016			
		INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1	INTERCOLLEGIATE ATHLETICS *	91,533,243	67,994,139	9,921,783	13,617,321	89,846,154	79,915,093	9,931,061	0
2	HOUSING	37,157,368	16,400,165	13,336,331	7,420,872	36,988,354	23,236,813	13,751,541	0
3	FOOD SERVICES	0	0	0	0	0	0	0	0
4	STUDENT UNION	0	5,062	0	(5,062)	0	0	0	0
5	BOOKSTORE	17,685,978	16,569,879	1,191,042	(74,943)	13,891,297	12,653,002	1,238,295	0
6	STUDENT ORGANIZATIONS AND PUBLICATIONS	6,417,730	3,443,844	349,152	2,624,734	2,289,275	2,289,275	0	0
7	OTHER	19,890,003	12,021,584	4,017,441	3,850,978	23,366,920	19,157,410	4,209,510	0
8	SUBTOTAL	172,684,322	116,434,673	28,815,749	27,433,900	166,382,000	137,251,593	29,130,407	0
9	ATHLETIC TRANSFER **				0				0
10	OTHER TRANSFERS ***	(26,921,109)			(26,921,109)				0
11	GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	145,763,213	116,434,673	28,815,749	512,791	166,382,000	137,251,593	29,130,407	0

\* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM FR-5

\*\* For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

\*\*\* For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 7 "Other" includes Health Services, Transit, Parking and Miscellaneous Auxiliary.

NOTE: Line 10 "Other Transfers" includes Transfers for Plant Additions and Other Transfers and Changes.  
Student Union budgeted under E&G beginning in FY-14.



**EMPLOYMENT INFORMATION  
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

UNIVERSITY OF ARKANSAS, FAYETTEVILLE  
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2014-2015:						3,903
(As of November 1, 2014 )						
Nonclassified Administrative Employees:						
White Male:	397	Black Male:	48	Other Male:	127	Total Male: 572
White Female:	492	Black Female:	45	Other Female:	99	Total Female: 636
Nonclassified Health Care Employees:						
White Male:	5	Black Male:	0	Other Male:	0	Total Male: 5
White Female:	10	Black Female:	0	Other Female:	1	Total Female: 11
Classified Employees:						
White Male:	566	Black Male:	32	Other Male:	122	Total Male: 720
White Female:	469	Black Female:	31	Other Female:	130	Total Female: 630
Faculty:						
White Male:	585	Black Male:	13	Other Male:	166	Total Male: 764
White Female:	430	Black Female:	18	Other Female:	117	Total Female: 565
Total White Male:	1,553	Total Black Male:	93	Total Other Male:	415	Total Male: 2,061
Total White Female:	1,401	Total Black Female:	94	Total Other Female:	347	Total Female: 1,842
Total White:	2,954	Total Black:	187	Total Other:	762	Total Employees: 3,903
				<b>Total Minority:</b>	949	

FORM FR-6

**EMPLOYMENT INFORMATION  
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

UNIVERSITY OF ARKANSAS SYSTEM AND VARIOUS DIVISIONS \_\_\_\_\_  
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2014-2015:						1,371
(As of November 1, 2014 )						
Nonclassified Administrative Employees:						
White Male:	308	Black Male:	25	Other Male:	10	Total Male: 343
White Female:	339	Black Female:	61	Other Female:	16	Total Female: 416
Nonclassified Health Care Employees:						
White Male:	0	Black Male:	0	Other Male:	0	Total Male: 0
White Female:	0	Black Female:	0	Other Female:	0	Total Female: 0
Classified Employees:						
White Male:	25	Black Male:	9	Other Male:	2	Total Male: 36
White Female:	329	Black Female:	89	Other Female:	12	Total Female: 430
Faculty:						
White Male:	82	Black Male:	6	Other Male:	7	Total Male: 95
White Female:	41	Black Female:	4	Other Female:	6	Total Female: 51
Total White Male:	415	Total Black Male:	40	Total Other Male:	19	Total Male: 474
Total White Female:	709	Total Black Female:	154	Total Other Female:	34	Total Female: 897
Total White:	1,124	Total Black:	194	Total Other:	53	Total Employees: 1,371
				<b>Total Minority:</b>	247	

FORM FR-6

**ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES**  
**STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES**  
**Fiscal Year 2015**  
**Required by A.C.A. 25-36-104**

**Institution:** UNIVERSITY OF ARKANSAS - FAYETTEVILLE

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
State - SHI	\$942,504				X		

**TOTAL NUMBER OF MINORITY CONTRACTS AWARDED** 1

**TOTAL EXPENDITURES ON CONTRACTS AWARDED** \$2,003,064  
 (Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

**% OF MINORITY CONTRACTS AWARDED** 25%

**ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES**  
**STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES**  
**Fiscal Year 2015**  
**Required by A.C.A. 25-36-104**

**Institution**

UNIVERSITY OF ARKANSAS SYSTEM AND VARIOUS DIVISIONS

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
N/A							

**TOTAL NUMBER OF MINORITY CONTRACTS AWARDED** 0

**TOTAL EXPENDITURES ON CONTRACTS AWARDED** \$1,864,294  
 (Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

**% OF MINORITY CONTRACTS AWARDED** 0%

**ARKANSAS LEGISLATIVE AUDIT  
 AUDIT OF UNIVERSITY OF ARKANSAS, FAYETTEVILLE  
 June 30, 2015**

<p><i>Finding No. 1:</i></p>	<p>The IAD verified that a Police Department employee received \$1,261 in compensation based on improper timesheets. The University collected \$1,250 via payroll deductions from this employee's final paycheck.</p> <p>The IAD reviewed the unauthorized sale of University football media guides, apparel, and complimentary tickets by an employee of the Athletic Department. IAD calculated that the former employee owed the University a minimum of \$274 from the unauthorized sale of football media guides belonging to the University, in addition to the \$1,330 the University had already recovered through the employee's final payroll withholding for the employee's estimated proceeds from the sale of his University-issued complimentary tickets and unauthorized use of a University mail account, in noncompliance with University policy.</p>
<p><i>Institution's Response</i></p>	<p>As relates to the compensation based on improper timesheets, we agreed with Internal Audit's recommendation as previously communicated in our response included in Internal Audit Report - University of Arkansas, Fayetteville - Payroll 15-05. This Audit Report and later the Follow-up Report were both presented to the Audit and Fiscal Responsibility Committee of the University of Arkansas Board of Trustees on May 20, 2015, and September 10, 2015, respectively. Management considers the issue closed.</p> <p>As relates to the unauthorized sale of University football media guides, etc., we agreed with Internal Audit's recommendation to pursue recovery of the additional \$274 from the former employee. This amount was subsequently collected and management considers the issue closed.</p>

**ARKANSAS LEGISLATIVE AUDIT**  
**AUDIT OF UNIVERSITY OF ARKANSAS, COOPERATIVE EXTENSION SERVICE**  
**June 30, 2015**

<p><i>Finding No. 1:</i></p>	<p>The University of Arkansas System Internal Audit Department (IAD) conducted an audit of certain transactions at the Division of Agriculture Cooperative Extension Service (CES) – Searcy County Office involving three bank accounts in the custody of the Administrative Specialist, who served for the period July 1, 2012 through May 31, 2014. CES Administration requested an investigative report from a local police department and an internal audit. IAD concluded that funds totaling \$14,810 were unaccounted for due to the following unauthorized transactions:</p> <ul style="list-style-type: none"> <li>• 17 of 45 checks issued, totaling \$8,243, were for unauthorized, unrelated business purposes.</li> <li>• Fees and donations collected totaling \$5,230 and poultry auction proceeds of \$1,278 were not deposited into an authorized CES bank account.</li> <li>• Insufficient fund check and related fee of \$59 resulted from a personal check of the Administrative Specialist.</li> </ul>
<p><i>Institution's Response</i></p>	<p>Management fully cooperated with local law enforcement. The former employee in question was charged by the local prosecutor with forgery and theft, and entered into a negotiated settlement that did not provide for restitution. Therefore, the Cooperative Extension Service will work with the Office of Legislative Audit to seek reimbursement from the Arkansas Insurance Department under the state's fidelity bond. In addition, the Cooperative Extension Service worked with UA System internal auditors to identify and implement controls to reduce the likelihood of similar occurrences in the future.</p>

**INSTITUTION APPROPRIATION SUMMARY  
2016-2017 Fiscal Year**

INSTITUTION ARKANSAS SCHOOL FOR MATHEMATICS, SCIENCES AND THE ARTS

	APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
		2014-15		2015-16		2015-16		2016-17			
		ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1	STATE TREASURY	7,759,586		7,934,401		8,965,364		10,964,439		10,964,439	
2	CASH	1,202,566		30,000,000		30,000,000		30,000,000		30,000,000	
3											
4											
5											
6											
7											
8											
9											
10											
11	TOTAL	\$8,962,152	80	\$37,934,401	80	\$38,965,364	129	\$40,964,439	129	\$40,964,439	129
	<b>FUNDING SOURCES</b>		%		%				%		%
12	PRIOR YEAR FUND BALANCE*	783,670	8%	1,425,426	4%			1,975,000	5%	1,975,000	5%
13	GENERAL REVENUE	1,113,015	11%	1,113,015	3%			1,618,479	4%	1,618,479	4%
14	EDUCATIONAL EXCELLENCE TRUST FUND	7,288,327	70%	7,370,960	18%			7,370,960	18%	7,370,960	18%
15	WORKFORCE 2000	0	0%	0	0%			0	0%	0	0%
16	CASH FUNDS	757,489	7%	30,000,000	75%			30,000,000	73%	30,000,000	73%
17	SPECIAL REVENUES		0%		0%				0%	0	0%
18	FEDERAL FUNDS	445,077	4%	0	0%			0	0%	0	0%
19	TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%
20	OTHER FUNDS	0	0%	0	0%			0	0%	0	0%
21	TOTAL INCOME	\$10,387,578	100%	\$39,909,401	100%			\$40,964,439	100%	\$40,964,439	100%
22	EXCESS (FUNDING)/APPROPRIATION	(\$1,425,426)		(\$1,975,000)				\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2015:	\$1,425,426
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$45,915
INVENTORIES	
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	\$1,638
INSURANCE DEDUCTIBLES	
MAJOR CRITICAL SYSTEMS FAILURES	
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$752,350
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$625,523

\*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME  
AND AHECB GENERAL REVENUE RECOMMENDATIONS  
FOR THE 2016-2017 Fiscal Year (Non-Formula Entities)**

ARKANSAS SCHOOL FOR MATHEMATICS, SCIENCES AND THE ARTS

NAME OF INSTITUTION

	EXPENDITURE CATEGORIES	2014-2015 ACTUAL	2015-2016 BUDGETED*	2016-17 FISCAL YEAR INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS	
				2016-2017	
				REQUEST	RECOMMENDATION
1	INSTRUCTION	2,439,209	2,600,000	3,390,204	3,390,204
2	ACADEMIC SUPPORT	1,048,950	1,184,590	1,230,766	1,230,766
3	STUDENT SERVICES	1,302,155	1,343,455	1,382,974	1,382,974
4	INSTITUTIONAL SUPPORT	1,071,498	1,127,808	1,176,363	1,176,363
5	OPERATION & MAINT OF PLANT	2,189,653	2,254,959	2,339,132	2,339,132
6	CONSTRUCTION			1,500,000	1,500,000
7	LOANS/REIMBURSEMENTS			475,000	475,000
8					
9					
10					
11					
12					
13	MANDATORY TRANSFERS				
14	AUXILIARY TRANSFERS				
15	NON-MANDATORY TRANSFERS	166,748	175,000	220,000	220,000
16	TOTAL UNREST. E&G EXP.	\$8,218,213	\$8,685,812	\$11,714,439	\$11,714,439
17	NET LOCAL INCOME	458,627	751,411	750,000	750,000
18	PRIOR YEAR BALANCE**	783,670	1,425,426	1,975,000	1,975,000
	STATE FUNDS:				
19	GENERAL REVENUE	1,113,015	1,113,015	1,618,479	1,618,479
20	EDUCATIONAL EXCELLENCE	7,288,327	7,370,960	7,370,960	7,370,960
21	WORKFORCE 2000				
22	TOBACCO SETTLEMENT FUNDS				
23	OTHER STATE FUNDS ***				
24	TOTAL SOURCES OF INCOME	\$9,643,639	\$10,660,812	\$11,714,439	\$11,714,439

\* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

FORM FR-2 Nonformula

\*\* Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

\*\*\* Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in a footnote.



**APPROPRIATION ACT FORM - STATE TREASURY  
2016-2017 FISCAL YEAR**

FUND CMS0000

ARKANSAS SCHOOL FOR MATHEMATICS,  
INSTITUTION SCIENCES AND THE ARTS

APPROPRIATION \_\_\_\_\_ 2FD

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2014-2015	2015-2016	APPROPRIATION	AHECB RECOMMENDATION	2016-2017
				2015-2016	2016-2017	2016-2017
1	REGULAR SALARIES	3,348,833	3,432,554	3,685,000	3,500,000	3,685,000
2	EXTRA HELP WAGES				25,000	
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	1,006,288	1,030,000	1,250,000	1,150,000	1,250,000
5	OPERATING EXPENSES	3,224,267	3,366,847	3,830,364	3,714,439	3,864,756
6	CONFERENCE FEES & TRAVEL	100,000	105,000	150,000	150,000	150,000
7	PROFESSIONAL FEES AND SERVICES	0		0	400,000	0
8	CAPITAL OUTLAY	80,000	0	50,000	50,000	50,000
9	FUNDED DEPRECIATION					
10	WORKERS COMP/SURETY PREMIUM	199				
11	CONSTRUCTION				1,500,000	
12	LOANS/REIMBURSEMENTS				475,000	
13	TOTAL APPROPRIATION	\$7,759,586	\$7,934,401	\$8,965,364	\$10,964,439	\$8,999,756
14	PRIOR YEAR FUND BALANCE**	783,670	1,425,426		1,975,000	
15	GENERAL REVENUE	1,113,015	1,113,015		1,618,479	1,628,796
16	EDUCATIONAL EXCELLENCE TRUST FUND	7,288,327	7,370,960		7,370,960	7,370,960
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)					
21	TOTAL INCOME	\$9,185,012	\$9,909,401		\$10,964,439	\$8,999,756
22	EXCESS (FUNDING)/APPROPRIATION	(\$1,425,426)	(\$1,975,000)		\$0	\$0

\* Report WF2000 funds on line 17 - "Special Revenues".

\*\*Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM FR-3

**APPROPRIATION ACT FORM - CASH FUNDS**  
**2016-2017 Fiscal Year**

FUND 2000300

INSTITUTION ARKANSAS SCHOOL FOR MATHEMATICS, SCIENCES AND THE ARTS

APPROPRIATION B03

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2014-2015	2015-2016	APPROPRIATION	AHECB RECOMMENDATION	2016-2017
				2015-2016	2016-2017	2016-2017
1	REGULAR SALARIES	31,532	5,000,000	5,000,000	5,000,000	5,000,000
2	EXTRA HELP WAGES	30,239	150,000	150,000	150,000	150,000
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	5,009	1,700,000	1,700,000	1,700,000	1,700,000
5	OPERATING EXPENSES	148,047	3,930,000	3,965,000	3,965,000	3,965,000
6	CONFERENCE FEES & TRAVEL	52,671	300,000	300,000	300,000	300,000
7	PROFESSIONAL FEES AND SERVICES	94,757	400,000	400,000	400,000	400,000
8	CAPITAL OUTLAY	681,390	4,000,000	4,000,000	4,000,000	4,000,000
9	CAPITAL IMPROVEMENTS	0	0	4,000,000	4,000,000	4,000,000
10	DEBT SERVICE	150,000	450,000	450,000	450,000	450,000
11	FUND TRANSFERS, REFUNDS AND INVESTMENTS					
12	PROMOTIONAL ITEMS	8,921	70,000	35,000	35,000	35,000
13	CONSTRUCTION	0	14,000,000	10,000,000	10,000,000	10,000,000
14						
15						
16	TOTAL APPROPRIATION	\$1,202,566	\$30,000,000	\$30,000,000	\$30,000,000	\$30,000,000
17	PRIOR YEAR FUND BALANCE**					
18	TUITION AND MANDATORY FEES					
19	ALL OTHER FEES					
20	SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	15,144				
21	INVESTMENT INCOME	58,678	55,000		55,000	55,000
22	FEDERAL CASH FUNDS	445,077				
23	OTHER CASH FUNDS	683,668	29,945,000		29,945,000	29,945,000
24	TOTAL INCOME	\$1,202,566	\$30,000,000		\$30,000,000	\$30,000,000
25	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

FORM FR-4

**TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)**

	ACTUAL	BUDGETED	AUTHORIZED	REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
	2014-2015	2015-2016	2015-2016	2016-2017	2016-17	2016-2017
REGULAR POSITIONS	80	80	129	129	129	129
TOBACCO POSITIONS						
EXTRA HELP ***	5	5	10	10	10	10

\*\* Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

\*\*\* The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

**AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES**

ARKANSAS SCHOOL FOR MATHEMATICS, SCIENCES AND THE ARTS  
(NAME OF INSTITUTION)

	ACTIVITY	ACTUAL 2014-2015				BUDGETED 2015-2016			
		INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1	INTERCOLLEGIATE ATHLETICS*	0	0	0	0	0	0	0	0
2	HOUSING	0	0	0	0	0	0	0	0
3	FOOD SERVICES	0	0	0	0	0	0	0	0
4	STUDENT UNION	0	0	0	0	0	0	0	0
5	BOOKSTORE	0	0	0	0	0	0	0	0
6	STUDENT ORGANIZATIONS AND PUBLICATIONS	0	0	0	0	0	0	0	0
7	OTHER (FOOTNOTE BELOW)	0	0	0	0	0	0	0	0
8	SUBTOTAL	0	0	0	0	0	0	0	0
9	ATHLETIC TRANSFER**	0	0	0	0	0	0	0	0
10	OTHER TRANSFERS*** (FOOTNOTE BELOW)	0	0	0	0	0	0	0	0
11	GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	0	0	0	0	0	0	0	0

\* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM FR-5

\*\* For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

\*\*\* For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

**EMPLOYMENT INFORMATION  
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

ARKANSAS SCHOOL FOR MATHEMATICS, SCIENCES AND THE ARTS  
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2014-2015:						<u>80</u>
(As of November 1, 2014 )						
Nonclassified Administrative Employees:						
White Male:	<u>16</u>	Black Male:	<u>1</u>	Other Male:	<u>0</u>	Total Male: <u>17</u>
White Female:	<u>24</u>	Black Female:	<u>3</u>	Other Female:	<u>1</u>	Total Female: <u>28</u>
Nonclassified Health Care Employees:						
White Male:	<u>0</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>0</u>
White Female:	<u>0</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>0</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>0</u>
White Female:	<u>0</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>0</u>
Faculty:						
White Male:	<u>21</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>21</u>
White Female:	<u>12</u>	Black Female:	<u>1</u>	Other Female:	<u>1</u>	Total Female: <u>14</u>
Total White Male:	<u>37</u>	Total Black Male:	<u>1</u>	Total Other Male:	<u>0</u>	Total Male: <u>38</u>
Total White Female:	<u>36</u>	Total Black Female:	<u>4</u>	Total Other Female:	<u>2</u>	Total Female: <u>42</u>
Total White:	<u>73</u>	Total Black:	<u>5</u>	Total Other:	<u>2</u>	Total Employees: <u>80</u>
				<b>Total Minority:</b>	<u>7</u>	

FORM FR-6

**ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES**  
**STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES**  
**Fiscal Year 2015**  
**Required by A.C.A. 25-36-104**

**Institution**

ARKANSAS SCHOOL FOR MATHEMATICS, SCIENCES AND THE ARTS

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
Marcis and Associates, Inc.	\$138,976		X				

**TOTAL NUMBER OF MINORITY CONTRACTS AWARDED** 1

**TOTAL EXPENDITURES ON CONTRACTS AWARDED** \$322,524  
 (Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

**% OF MINORITY CONTRACTS AWARDED** 27%

**ARKANSAS LEGISLATIVE AUDIT**  
**AUDIT OF ARKANSAS SCHOOL FOR MATHEMATICS, SCIENCES AND THE ARTS**  
**June 30, 2015**

<i>Finding:</i>	No Findings noted
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**INSTITUTION APPROPRIATION SUMMARY  
2016-2017 Fiscal Year**

INSTITUTION UNIVERSITY OF ARKANSAS FORT SMITH

	APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
		2014-15		2015-16		2015-16		2016-17			
		ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1	STATE TREASURY	23,869,198		23,757,259		26,500,018		26,625,788		26,625,788	
2	CASH	47,728,521		221,901,642		221,901,642		221,901,642		221,901,642	
3											
4											
5											
6											
7											
8											
9											
10											
11	TOTAL	\$71,597,719	818	\$245,658,901	776	\$248,401,660	1,119	\$248,527,430	1,119	\$248,527,430	1,119
	<b>FUNDING SOURCES</b>		%		%				%		%
12	PRIOR YEAR FUND BALANCE*	0	0%	0	0%			0	0%	0	0%
13	GENERAL REVENUE	20,594,615	29%	20,594,615	8%			23,463,144	9%	23,463,144	9%
14	EDUCATIONAL EXCELLENCE TRUST FUND	3,127,187	4%	3,162,644	1%			3,162,644	1%	3,162,644	1%
15	WORKFORCE 2000	0	0%	0	0%			0	0%	0	0%
16	CASH FUNDS	36,287,139	51%	205,151,642	84%			205,151,642	83%	205,151,642	83%
17	SPECIAL REVENUES		0%		0%				0%	0	0%
18	FEDERAL FUNDS	11,441,382	16%	16,750,000	7%			16,750,000	7%	16,750,000	7%
19	TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%
20	OTHER FUNDS	147,396	0%		0%			0	0%	0	0%
21	TOTAL INCOME	\$71,597,719	100%	\$245,658,901	100%			\$248,527,430	100%	\$248,527,430	100%
22	EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2015:	\$4,594,659
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$1,329,353
INVENTORIES	\$23,787
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	\$212,028
INSURANCE DEDUCTIBLES	\$100,000
MAJOR CRITICAL SYSTEMS FAILURES	\$750,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$5,829,112
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	(\$3,649,621)

\*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY  
2016-2017 FISCAL YEAR**

FUND CWW0000

INSTITUTION UNIVERSITY OF ARKANSAS FORT SMITH

APPROPRIATION \_\_\_\_\_

568

	DESCRIPTION	ACTUAL 2014-2015	BUDGETED 2015-2016	AUTHORIZED APPROPRIATION 2015-2016	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
					2016-2017	2016-2017
1	REGULAR SALARIES	17,528,613	17,503,388	19,967,755	20,093,525	20,600,000
2	EXTRA HELP WAGES	571,433	500,000	700,000	700,000	760,019
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	3,405,000	3,358,871	3,437,263	3,437,263	3,515,000
5	OPERATING EXPENSES	2,342,755	2,375,000	2,375,000	2,375,000	2,400,000
6	CONFERENCE FEES & TRAVEL	0	0	0	0	0
7	PROFESSIONAL FEES AND SERVICES	0	0	0	0	0
8	CAPITAL OUTLAY	0	0	0	0	0
9	FUNDED DEPRECIATION	20,000	20,000	20,000	20,000	20,000
10	WORKERS COMP/SURETY PREMIUM	1,397				
11						
12						
13	TOTAL APPROPRIATION	\$23,869,198	\$23,757,259	\$26,500,018	\$26,625,788	\$27,295,019
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE	20,594,615	20,594,615		23,463,144	24,132,375
16	EDUCATIONAL EXCELLENCE TRUST FUND	3,127,187	3,162,644		3,162,644	3,162,644
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)	147,396				
21	TOTAL INCOME	\$23,869,198	\$23,757,259		\$26,625,788	\$27,295,019
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

\* Report WF2000 funds on line 17 - "Special Revenues".

\*\*Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM FR-3

NOTE: Line 20 "Other State Treasury Funds" are from the Tuition Adjustment Fund for Oklahoma students



**APPROPRIATION ACT FORM - CASH FUNDS  
2016-2017 Fiscal Year**

FUND 2160000

INSTITUTION UNIVERSITY OF ARKANSAS FORT SMITH

APPROPRIATION \_\_\_\_\_

B12

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2014-2015	2015-2016	2015-2016	AHECB RECOMMENDATION	2016-2017
1	REGULAR SALARIES	15,598,731	42,575,000	42,575,000	42,575,000	42,575,000
2	EXTRA HELP WAGES	962,499	4,291,326	4,291,326	4,291,326	4,291,326
3	OVERTIME	5,170	1,163,518	1,163,518	1,163,518	1,163,518
4	PERSONAL SERVICES MATCHING	4,962,762	14,453,679	14,453,679	14,453,679	14,453,679
5	OPERATING EXPENSES	14,017,780	31,752,034	31,752,034	31,752,034	31,752,034
6	CONFERENCE FEES & TRAVEL	220,908	1,500,000	1,500,000	1,500,000	1,500,000
7	PROFESSIONAL FEES AND SERVICES	542,292	4,450,000	4,450,000	4,450,000	4,450,000
8	CAPITAL OUTLAY	332,154	32,958,940	32,958,940	32,958,940	32,958,940
9	CAPITAL IMPROVEMENTS	1,732,812	50,000,000	50,000,000	50,000,000	50,000,000
10	DEBT SERVICE	0	10,773,118	10,773,118	10,773,118	10,773,118
11	FUND TRANSFERS, REFUNDS AND INVESTMENTS	9,259,549	27,559,027	27,559,027	27,559,027	27,559,027
12	PROMOTIONAL ITEMS	93,864	425,000	425,000	425,000	425,000
13						
14						
15						
16	TOTAL APPROPRIATION	\$47,728,521	\$221,901,642	\$221,901,642	\$221,901,642	\$221,901,642
17	PRIOR YEAR FUND BALANCE**					
18	TUITION AND MANDATORY FEES	35,843,215	37,668,228		37,668,228	37,668,228
19	ALL OTHER FEES					
20	SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	369,502	370,000		370,000	370,000
21	INVESTMENT INCOME	74,422	75,000		75,000	75,000
22	FEDERAL CASH FUNDS	11,441,382	16,750,000		16,750,000	16,750,000
23	OTHER CASH FUNDS		167,038,414		167,038,414	167,038,414
24	TOTAL INCOME	\$47,728,521	\$221,901,642		\$221,901,642	\$221,901,642
25	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

FORM FR-4

**TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)**

	ACTUAL	BUDGETED	AUTHORIZED	REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
	2014-2015	2015-2016	2015-2016	2016-2017	2016-17	2016-2017
REGULAR POSITIONS	818	776	1,119	1,119	1,119	1,119
TOBACCO POSITIONS						
EXTRA HELP ***	393	393	910	910	910	910

\*\* Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

\*\*\* The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

**AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES**

UNIVERSITY OF ARKANSAS FORT SMITH  
(NAME OF INSTITUTION)

	ACTIVITY	ACTUAL 2014-2015				BUDGETED 2015-2016			
		INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1	INTERCOLLEGIATE ATHLETICS*	2,936,457	3,504,171	0	(567,714)	2,750,242	3,413,002	0	(662,760)
2	HOUSING	4,590,046	1,602,817	3,090,269	(103,040)	4,656,675	1,869,031	3,122,570	(334,926)
3	FOOD SERVICES	1,445,346	1,306,659	0	138,687	1,475,000	1,475,000	0	0
4	STUDENT UNION	0	0	0	0	0	0	0	0
5	BOOKSTORE	455,857	22,727	0	433,130	450,000	450,000	0	0
6	STUDENT ORGANIZATIONS AND PUBLICATIONS	2,228,091	691,314	0	1,536,777	2,174,169	781,533	0	1,392,636
7	OTHER (FOOTNOTE BELOW)	251,680	507,722	0	(256,042)	392,000	786,950	0	(394,950)
8	SUBTOTAL	11,907,477	7,635,410	3,090,269	1,181,798	11,898,086	8,775,516	3,122,570	0
9	ATHLETIC TRANSFER**	0			0	0			0
10	OTHER TRANSFERS*** (FOOTNOTE BELOW)	(1,179,409)			(1,179,409)	0			0
11	GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	10,728,068	7,635,410	3,090,269	2,389	11,898,086	8,775,516	3,122,570	0

\* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM FR-5

\*\* For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

\*\*\* For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 7 "Other"- ATM Fees, Recovery of Bad Debt, Net Investment Income, Season of Entertainment (Income); Bad Debt Expense, Season of Entertainment (Expenses)

NOTE: Line 10 "Other Transfers" - Transfer to Plant, Transfer to E&G, AHECB Facility Maintenance Transfer

**EMPLOYMENT INFORMATION  
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

UNIVERSITY OF ARKANSAS FORT SMITH \_\_\_\_\_  
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2014-2015:						739
(As of November 1, 2014 )						
Nonclassified Administrative Employees:						
White Male:	13	Black Male:	1	Other Male:	1	Total Male: 15
White Female:	6	Black Female:	2	Other Female:	0	Total Female: 8
Nonclassified Health Care Employees:						
White Male:	0	Black Male:	0	Other Male:	0	Total Male: 0
White Female:	0	Black Female:	0	Other Female:	0	Total Female: 0
Classified Employees:						
White Male:	81	Black Male:	5	Other Male:	10	Total Male: 96
White Female:	171	Black Female:	5	Other Female:	32	Total Female: 208
Faculty:						
White Male:	180	Black Male:	1	Other Male:	24	Total Male: 205
White Female:	172	Black Female:	7	Other Female:	28	Total Female: 207
Total White Male:	274	Total Black Male:	7	Total Other Male:	35	Total Male: 316
Total White Female:	349	Total Black Female:	14	Total Other Female:	60	Total Female: 423
Total White:	623	Total Black:	21	Total Other:	95	Total Employees: 739
				<b>Total Minority:</b>	116	

FORM FR-6

**ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES**  
**STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES**  
 Fiscal Year 2015  
 Required by A.C.A. 25-36-104

**Institution**

UNIVERSITY OF ARKANSAS FORT SMITH

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
N/A							

**TOTAL NUMBER OF MINORITY CONTRACTS AWARDED** 0

**TOTAL EXPENDITURES ON CONTRACTS AWARDED** \$14,400,885  
 (Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

**% OF MINORITY CONTRACTS AWARDED** 0%

**ARKANSAS LEGISLATIVE AUDIT  
AUDIT OF UNIVERSITY OF ARKANSAS FORT SMITH  
June 30, 2015**

<i>Finding:</i>	No Findings noted
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**INSTITUTION APPROPRIATION SUMMARY  
2016-2017 Fiscal Year**

INSTITUTION UNIVERSITY OF ARKANSAS AT LITTLE ROCK

	APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
		2014-15		2015-16		2015-16		2016-17			
		ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1	STATE TREASURY	66,374,885		66,236,336		73,790,697		74,096,109		74,096,109	
2	CASH	104,603,267		371,200,000		371,200,000		371,200,000		371,200,000	
3	STATE TREASURY - NANOTECHNOLOGY	0		0		2,000,000		2,000,000		2,000,000	
4	STATE TREASURY - SCHOOL OF LAW	435,631		800,000		800,000		800,000		800,000	
5											
6											
7											
8											
9											
10											
11	TOTAL	\$171,413,783	1,890	\$438,236,336	1,944	\$447,790,697	2,302	\$448,096,109	2,302	\$448,096,109	2,302
	<b>FUNDING SOURCES</b>		%		%				%		%
12	PRIOR YEAR FUND BALANCE*	0	0%	0	0%			0	0%	0	0%
13	GENERAL REVENUE	60,755,097	35%	60,755,097	14%			68,614,870	15%	68,614,870	15%
14	EDUCATIONAL EXCELLENCE TRUST FUND	5,419,788	3%	5,481,239	1%			5,481,239	1%	5,481,239	1%
15	WORKFORCE 2000	0	0%	0	0%			0	0%	0	0%
16	CASH FUNDS	103,366,058	60%	369,659,117	84%			369,659,117	82%	369,659,117	82%
17	SPECIAL REVENUES		0%		0%				0%	0	0%
18	FEDERAL FUNDS	1,237,209	1%	1,540,883	0%			1,540,883	0%	1,540,883	0%
19	TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%
20	OTHER FUNDS	635,631	0%	800,000	0%			2,800,000	1%	2,800,000	1%
21	TOTAL INCOME	\$171,413,783	100%	\$438,236,336	100%			\$448,096,109	100%	\$448,096,109	100%
22	EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2015:	\$10,957,644
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$8,585,193
INVENTORIES	\$89,609
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	\$748,476
INSURANCE DEDUCTIBLES	\$100,000
MAJOR CRITICAL SYSTEMS FAILURES	
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$18,958,601
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	(\$17,524,235)

\*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME  
AND AHECB GENERAL REVENUE RECOMMENDATIONS  
FOR THE 2016-2017 Fiscal Year (Non-Formula Entities)**

UNIVERSITY OF ARKANSAS AT LITTLE ROCK - RAPS/NANOTECHNOLOGY

NAME OF INSTITUTION

	EXPENDITURE CATEGORIES	2014-2015 ACTUAL	2015-2016 BUDGETED*	2016-17 FISCAL YEAR INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS	
				2016-2017	
				REQUEST	RECOMMENDATION
1	RESEARCH	1,301,409	1,655,309	2,100,953	2,063,616
2	PUBLIC SERVICE	2,596,326	2,187,794	2,492,235	2,447,945
3	NANOTECHNOLOGY/RESEARCH	1,508,104	2,000,000	2,107,394	2,042,000
4					
5					
6					
7					
8					
9					
10					
11					
12					
13	MANDATORY TRANSFERS				
14	AUXILIARY TRANSFERS				
15	NON-MANDATORY TRANSFERS				
16	TOTAL UNREST. E&G EXP.	\$5,405,839	\$5,843,103	\$6,700,582	\$6,553,561
17	NET LOCAL INCOME	818,003	1,755,267	86,830	
18	PRIOR YEAR BALANCE**				
	STATE FUNDS:				
19	GENERAL REVENUE	4,087,836	4,087,836	6,613,752	6,553,561
20	EDUCATIONAL EXCELLENCE				
21	WORKFORCE 2000				
22	TOBACCO SETTLEMENT FUNDS				
23	OTHER STATE FUNDS ***	500,000			
24	TOTAL SOURCES OF INCOME	\$5,405,839	\$5,843,103	\$6,700,582	\$6,553,561

\* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

FORM FR-2 Nonformula

\*\* Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

\*\*\* Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in a footnote.

NOTE: Line 23 "Other State Funds"- \$500,000 KBQ1180 UALR Nanotech Ctr I80 124 of 15 (18(D))



**APPROPRIATION ACT FORM - STATE TREASURY  
2016-2017 FISCAL YEAR**

FUND CEA0000

INSTITUTION UNIVERSITY OF ARKANSAS AT LITTLE ROCK

APPROPRIATION \_\_\_\_\_ 297

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2014-2015	2015-2016	APPROPRIATION 2015-2016	AHECB RECOMMENDATION 2016-2017	2016-2017
1	REGULAR SALARIES	54,706,731	54,344,551	61,861,054	62,240,732	63,716,886
2	EXTRA HELP WAGES					
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	11,646,638	11,891,785	11,929,643	11,855,377	12,287,532
5	OPERATING EXPENSES					
6	CONFERENCE FEES & TRAVEL					
7	PROFESSIONAL FEES AND SERVICES					
8	CAPITAL OUTLAY					
9	FUNDED DEPRECIATION					
10	WORKERS COMP/SURETY PREMIUM	11,516				
11	CLAIMS COMMISSION	10,000				
12						
13	TOTAL APPROPRIATION	\$66,374,885	\$66,236,336	\$73,790,697	\$74,096,109	\$76,004,418
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE	60,755,097	60,755,097		68,614,870	70,523,179
16	EDUCATIONAL EXCELLENCE TRUST FUND	5,419,788	5,481,239		5,481,239	5,481,239
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)	200,000				
21	TOTAL INCOME	\$66,374,885	\$66,236,336		\$74,096,109	\$76,004,418
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

\* Report WF2000 funds on line 17 - "Special Revenues".

\*\*Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

NOTE: Line 20 "Other State Treasury Funds"- Strive Funding Transfer

**APPROPRIATION ACT FORM - STATE TREASURY  
2016-2017 FISCAL YEAR**

FUND CEA0000

INSTITUTION UNIVERSITY OF ARKANSAS AT LITTLE ROCK  
NANOTECHNOLOGY

APPROPRIATION \_\_\_\_\_ 86P

	DESCRIPTION	ACTUAL 2014-2015	BUDGETED 2015-2016	AUTHORIZED APPROPRIATION 2015-2016	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
					AHECB RECOMMENDATION 2016-2017	2016-2017
1	REGULAR SALARIES					
2	EXTRA HELP WAGES					
3	OVERTIME					
4	PERSONAL SERVICES MATCHING					
5	OPERATING EXPENSES					
6	CONFERENCE FEES & TRAVEL					
7	PROFESSIONAL FEES AND SERVICES					
8	CAPITAL OUTLAY					
9	FUNDED DEPRECIATION					
10	NANOTECHNOLOGY CENTER EXPENSES	0	0	2,000,000	2,000,000	2,000,000
11						
12						
13	TOTAL APPROPRIATION	\$0	\$0	\$2,000,000	\$2,000,000	\$2,000,000
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE					
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)				2,000,000	2,000,000
21	TOTAL INCOME	\$0	\$0		\$2,000,000	\$2,000,000
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

\* Report WF2000 funds on line 17 - "Special Revenues".

\*\*Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM FR-3

**APPROPRIATION ACT FORM - STATE TREASURY  
2016-2017 FISCAL YEAR**

FUND CEA0100

INSTITUTION UNIVERSITY OF ARKANSAS AT LITTLE ROCK  
LAW SCHOOL

APPROPRIATION \_\_\_\_\_ 297

	DESCRIPTION	ACTUAL 2014-2015	BUDGETED 2015-2016	AUTHORIZED APPROPRIATION 2015-2016	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
					AHECB RECOMMENDATION 2016-2017	2016-2017
1	WILLIAM H. BOWEN SCHOOL OF LAW EXPENSE		800,000	800,000	800,000	800,000
2	EXTRA HELP WAGES					
3	OVERTIME					
4	PERSONAL SERVICES MATCHING					
5	OPERATING EXPENSES	393,750				
6	CONFERENCE FEES & TRAVEL	41,881				
7	PROFESSIONAL FEES AND SERVICES					
8	CAPITAL OUTLAY					
9	FUNDED DEPRECIATION					
10						
11						
12						
13	TOTAL APPROPRIATION	\$435,631	\$800,000	\$800,000	\$800,000	\$800,000
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE					
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)	435,631	800,000		800,000	800,000
21	TOTAL INCOME	\$435,631	\$800,000		\$800,000	\$800,000
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

\* Report WF2000 funds on line 17 - "Special Revenues".

\*\*Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

NOTE: Line 20 "Other State Treasury Funds"- UNIF COURT FILE FEES LESS SPECIAL REVENUE FEES

FORM FR-3

**APPROPRIATION ACT FORM - CASH FUNDS  
2016-2017 Fiscal Year**

FUND 2010000

INSTITUTION UNIVERSITY OF ARKANSAS AT LITTLE ROCK

APPROPRIATION \_\_\_\_\_

A68

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2014-2015	2015-2016	APPROPRIATION	AHECB RECOMMENDATION	2016-2017
				2015-2016	2016-2017	2016-2017
1	REGULAR SALARIES	22,627,455	72,828,000	72,828,000	72,828,000	72,828,000
2	EXTRA HELP WAGES	2,491,461	12,000,000	12,000,000	12,000,000	12,000,000
3	OVERTIME	0	1,000,000	1,000,000	1,000,000	1,000,000
4	PERSONAL SERVICES MATCHING	8,790,808	20,808,000	20,808,000	20,808,000	20,808,000
5	OPERATING EXPENSES	30,154,769	40,800,000	40,800,000	40,800,000	40,800,000
6	CONFERENCE FEES & TRAVEL	2,519,670	6,000,000	6,000,000	6,000,000	6,000,000
7	PROFESSIONAL FEES AND SERVICES	2,101,929	10,000,000	10,000,000	10,000,000	10,000,000
8	CAPITAL OUTLAY	26,318,726	35,000,000	35,000,000	35,000,000	35,000,000
9	CAPITAL IMPROVEMENTS	0	123,264,000	123,264,000	123,264,000	123,264,000
10	DEBT SERVICE	9,566,234	15,000,000	15,000,000	15,000,000	15,000,000
11	FUND TRANSFERS, REFUNDS AND INVESTMENTS	32,215	34,500,000	34,500,000	34,500,000	34,500,000
12						
13						
14						
15						
16	TOTAL APPROPRIATION	\$104,603,267	\$371,200,000	\$371,200,000	\$371,200,000	\$371,200,000
17	PRIOR YEAR FUND BALANCE**					
18	TUITION AND MANDATORY FEES	69,138,143	71,704,851		71,704,851	71,704,851
19	ALL OTHER FEES	4,224,164	3,329,413		3,329,413	3,329,413
20	SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	2,102,131	800,697		800,697	800,697
21	INVESTMENT INCOME	685,699	255,000		255,000	255,000
22	FEDERAL CASH FUNDS	1,237,209	1,540,883		1,540,883	1,540,883
23	OTHER CASH FUNDS	27,215,921	293,569,156		293,569,156	293,569,156
24	TOTAL INCOME	\$104,603,267	\$371,200,000		\$371,200,000	\$371,200,000
25	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

FORM FR-4

**TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)**

	ACTUAL	BUDGETED	AUTHORIZED	REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
	2014-2015	2015-2016	2015-2016	2016-2017	2016-17	2016-2017
REGULAR POSITIONS	1,890	1,944	2,302	2,302	2,302	2,302
TOBACCO POSITIONS						
EXTRA HELP ***	459	673	1,300	1,300	1,300	1,300

\*\* Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

\*\*\* The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

**AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES**

UNIVERSITY OF ARKANSAS AT LITTLE ROCK  
(NAME OF INSTITUTION)

	ACTIVITY	ACTUAL 2014-2015				BUDGETED 2015-2016			
		INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1	INTERCOLLEGIATE ATHLETICS*	6,146,270	8,142,568	75,983	(2,072,281)	7,228,520	8,208,340	76,003	(1,055,823)
2	HOUSING	6,089,974	2,133,410	3,830,978	125,586	6,782,850	2,660,575	3,829,268	293,007
3	FOOD SERVICES	2,627,624	2,616,748	0	10,876	2,539,500	2,539,500	0	0
4	STUDENT UNION	566,734	1,719,878	463,919	(1,617,063)	1,301,970	1,807,086	306,666	(811,782)
5	BOOKSTORE	440,309	0	0	440,309	0	0	0	0
6	STUDENT ORGANIZATIONS AND PUBLICATIONS	707,400	634,528		72,872	619,882	619,882	0	0
7	OTHER (FOOTNOTE BELOW)	966,392	1,096,685	2,458	(132,751)	1,393,511	1,386,173		7,338
8	SUBTOTAL	17,544,703	16,343,817	4,373,338	(3,172,452)	19,866,233	17,221,556	4,211,937	(1,567,260)
9	ATHLETIC TRANSFER**	2,084,289			2,084,289	1,055,823			1,055,823
10	OTHER TRANSFERS*** (FOOTNOTE BELOW)	1,088,163			1,088,163	511,437			511,437
11	GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	20,717,155	16,343,817	4,373,338	0	21,433,493	17,221,556	4,211,937	0

\* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM FR-5

\*\* For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

\*\*\* For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 7 "Other" - School of Law Auxiliary Services, Duplicating Center, Housing

NOTE: Line 10 "Other Transfers"- Transfers from Auxiliary Sinking fund, plant reserves, and misc transfers

**EMPLOYMENT INFORMATION  
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

UNIVERSITY OF ARKANSAS AT LITTLE ROCK \_\_\_\_\_  
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2014-2015:						<u>2,022</u>
(As of November 1, 2014 )						
Nonclassified Administrative Employees:						
White Male:	<u>214</u>	Black Male:	<u>29</u>	Other Male:	<u>100</u>	Total Male: <u>343</u>
White Female:	<u>281</u>	Black Female:	<u>100</u>	Other Female:	<u>74</u>	Total Female: <u>455</u>
Nonclassified Health Care Employees:						
White Male:	<u>0</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>0</u>
White Female:	<u>2</u>	Black Female:	<u>2</u>	Other Female:	<u>0</u>	Total Female: <u>4</u>
Classified Employees:						
White Male:	<u>100</u>	Black Male:	<u>60</u>	Other Male:	<u>12</u>	Total Male: <u>172</u>
White Female:	<u>87</u>	Black Female:	<u>111</u>	Other Female:	<u>18</u>	Total Female: <u>216</u>
Faculty:						
White Male:	<u>329</u>	Black Male:	<u>23</u>	Other Male:	<u>110</u>	Total Male: <u>462</u>
White Female:	<u>269</u>	Black Female:	<u>36</u>	Other Female:	<u>65</u>	Total Female: <u>370</u>
Total White Male:	<u>643</u>	Total Black Male:	<u>112</u>	Total Other Male:	<u>222</u>	Total Male: <u>977</u>
Total White Female:	<u>639</u>	Total Black Female:	<u>249</u>	Total Other Female:	<u>157</u>	Total Female: <u>1,045</u>
Total White:	<u>1,282</u>	Total Black:	<u>361</u>	Total Other:	<u>379</u>	Total Employees: <u>2,022</u>
				<b>Total Minority:</b>	<u>740</u>	

FORM FR-6

**ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES  
STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES  
Fiscal Year 2015  
Required by A.C.A. 25-36-104**

**Institution**

UNIVERSITY OF ARKANSAS AT LITTLE ROCK

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
Government Supply Services	\$365,762	X					X
Choice Promotions	\$100,133	X					
Triple S Alarm Company	\$78,956	X					

**TOTAL NUMBER OF MINORITY CONTRACTS AWARDED** 3

**TOTAL EXPENDITURES ON CONTRACTS AWARDED** \$9,105,013  
(Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

**% OF MINORITY CONTRACTS AWARDED** 6%

**ARKANSAS LEGISLATIVE AUDIT  
AUDIT OF UNIVERSITY OF ARKANSAS AT LITTLE ROCK  
June 30, 2015**

<i>Finding:</i>	No Findings noted
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**INSTITUTION APPROPRIATION SUMMARY  
2016-2017 Fiscal Year**

INSTITUTION UNIVERSITY OF ARKANSAS AT MONTICELLO

	APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
		2014-15		2015-16		2015-16		2016-17			
		ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1	STATE TREASURY	18,384,688		18,760,464		19,330,356		19,067,888		19,067,888	
2	CASH	9,735,582		59,050,000		59,050,000		59,050,000		59,050,000	
3											
4											
5											
6											
7											
8											
9											
10											
11	TOTAL	\$28,120,270	387	\$77,810,464	396	\$78,380,356	510	\$78,117,888	510	\$78,117,888	510
	<b>FUNDING SOURCES</b>		%		%				%		%
12	PRIOR YEAR FUND BALANCE*	0	0%	0	0%			0	0%	0	0%
13	GENERAL REVENUE	15,946,042	57%	15,946,042	20%			16,253,466	21%	16,253,466	21%
14	EDUCATIONAL EXCELLENCE TRUST FUND	1,088,956	4%	1,101,302	1%			1,101,302	1%	1,101,302	1%
15	WORKFORCE 2000	1,349,690	5%	1,363,120	2%			1,363,120	2%	1,363,120	2%
16	CASH FUNDS	7,585,582	27%	29,350,000	38%			29,350,000	38%	29,350,000	38%
17	SPECIAL REVENUES		0%		0%				0%	0	0%
18	FEDERAL FUNDS	2,150,000	8%	29,700,000	38%			29,700,000	38%	29,700,000	38%
19	TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%
20	OTHER FUNDS	0	0%	350,000	0%			350,000	0%	350,000	0%
21	TOTAL INCOME	\$28,120,270	100%	\$77,810,464	100%			\$78,117,888	100%	\$78,117,888	100%
22	EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2015:	\$5,995,734
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$1,228,143
INVENTORIES	\$228,860
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	\$208,944
INSURANCE DEDUCTIBLES	
MAJOR CRITICAL SYSTEMS FAILURES	
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$3,904,860
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$424,927

\*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY  
2016-2017 FISCAL YEAR**

FUND CIA0000 UNIVERSITY OF ARKANSAS AT INSTITUTION MONTICELLO APPROPRIATION \_\_\_\_\_ 298

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2014-2015	2015-2016	APPROPRIATION 2015-2016	AHECB RECOMMENDATION 2016-2017	2016-2017
1	REGULAR SALARIES	11,696,693	11,840,000	12,000,000	12,000,000	12,200,000
2	EXTRA HELP WAGES					
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	620,000	820,000	1,000,000	1,000,000	1,100,000
5	OPERATING EXPENSES	1,736,780	1,759,125	1,759,610	1,666,107	1,869,899
6	CONFERENCE FEES & TRAVEL	0	0	0	0	0
7	PROFESSIONAL FEES AND SERVICES	0	0	0	0	0
8	CAPITAL OUTLAY	100,000	100,000	100,000	100,000	125,000
9	FUNDED DEPRECIATION					
10	WORKERS COMP/SURETY PREMIUM	3,306				
11						
12						
13	TOTAL APPROPRIATION	\$14,156,779	\$14,519,125	\$14,859,610	\$14,766,107	\$15,294,899
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE	13,067,823	13,067,823		13,314,805	13,843,597
16	EDUCATIONAL EXCELLENCE TRUST FUND	1,088,956	1,101,302		1,101,302	1,101,302
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)***		350,000		350,000	350,000
21	TOTAL INCOME	\$14,156,779	\$14,519,125		\$14,766,107	\$15,294,899
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

\* Report WF2000 funds on line 17 - "Special Revenues".

\*\*Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

NOTE: Line 20 "Other State Treasury Funds"- Timber Severance Tax Funds

**APPROPRIATION ACT FORM - STATE TREASURY  
2016-2017 FISCAL YEAR**

FUND CIA0000 UNIVERSITY OF ARKANSAS AT  
INSTITUTION MONTICELLO APPROPRIATION 1MG  
CROSSETT

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2014-2015	2015-2016	APPROPRIATION	AHECB RECOMMENDATION	RECOMMENDATION
				2015-2016	2016-2017	2016-2017
1	REGULAR SALARIES	1,150,000	1,125,000	1,200,000	1,200,000	1,250,000
2	EXTRA HELP WAGES	290,000	300,000	300,000	300,000	300,000
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	50,000	75,000	100,000	50,000	100,000
5	OPERATING EXPENSES	314,851	311,324	395,970	285,564	405,849
6	CONFERENCE FEES & TRAVEL	0	0	0	0	0
7	PROFESSIONAL FEES AND SERVICES	0	0	0	0	0
8	CAPITAL OUTLAY	0	0	0	0	0
9	FUNDED DEPRECIATION					
10						
11						
12						
13	TOTAL APPROPRIATION	\$1,804,851	\$1,811,324	\$1,995,970	\$1,835,564	\$2,055,849
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE	1,154,300	1,154,300		1,178,540	1,398,825
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]	650,551	657,024		657,024	657,024
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)					
21	TOTAL INCOME	\$1,804,851	\$1,811,324		\$1,835,564	\$2,055,849
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

\* Report WF2000 funds on line 17 - "Special Revenues".

\*\*Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY  
2016-2017 FISCAL YEAR**

FUND CIA0000 UNIVERSITY OF ARKANSAS AT  
INSTITUTION MONTICELLO APPROPRIATION 1MF  
MCGEHEE

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2014-2015	2015-2016	APPROPRIATION 2015-2016	AHECB RECOMMENDATION 2016-2017	2016-2017
1	REGULAR SALARIES	1,470,000	1,450,000	1,450,000	1,450,000	1,500,000
2	EXTRA HELP WAGES	305,000	300,000	300,000	300,000	300,000
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	50,000	75,000	100,000	100,000	100,000
5	OPERATING EXPENSES	598,058	605,015	624,776	616,217	649,019
6	CONFERENCE FEES & TRAVEL	0	0	0	0	0
7	PROFESSIONAL FEES AND SERVICES	0	0	0	0	0
8	CAPITAL OUTLAY	0	0	0	0	0
9	FUNDED DEPRECIATION					
10						
11						
12						
13	TOTAL APPROPRIATION	\$2,423,058	\$2,430,015	\$2,474,776	\$2,466,217	\$2,549,019
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE	1,723,919	1,723,919		1,760,121	1,842,923
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]	699,139	706,096		706,096	706,096
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)					
21	TOTAL INCOME	\$2,423,058	\$2,430,015		\$2,466,217	\$2,549,019
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

\* Report WF2000 funds on line 17 - "Special Revenues".

\*\*Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM FR-3

**APPROPRIATION ACT FORM - CASH FUNDS  
2016-2017 Fiscal Year**

FUND 2030000

INSTITUTION UNIVERSITY OF ARKANSAS AT MONTICELLO

APPROPRIATION \_\_\_\_\_

A69

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2014-2015	2015-2016	2015-2016	AHECB RECOMMENDATION	2016-2017
1	REGULAR SALARIES	113,947	10,698,960	10,698,960	10,698,960	10,698,960
2	EXTRA HELP WAGES	291,544	2,250,000	2,250,000	2,250,000	2,250,000
3	OVERTIME	0	50,000	50,000	50,000	50,000
4	PERSONAL SERVICES MATCHING	884,188	3,080,800	3,080,800	3,080,800	3,080,800
5	OPERATING EXPENSES	6,431,876	12,000,000	12,000,000	12,000,000	12,000,000
6	CONFERENCE FEES & TRAVEL	2,758	650,000	650,000	650,000	650,000
7	PROFESSIONAL FEES AND SERVICES	411,247	1,650,000	1,650,000	1,650,000	1,650,000
8	CAPITAL OUTLAY	362,502	6,000,000	6,000,000	6,000,000	6,000,000
9	CAPITAL IMPROVEMENTS	0	7,082,620	7,082,620	7,082,620	7,082,620
10	DEBT SERVICE	102,806	1,000,000	1,000,000	1,000,000	1,000,000
11	FUND TRANSFERS, REFUNDS AND INVESTMENTS	0	10,700,000	10,700,000	10,700,000	10,700,000
12						
13						
14						
15						
16	TOTAL APPROPRIATION	\$8,600,868	\$55,162,380	\$55,162,380	\$55,162,380	\$55,162,380
17	PRIOR YEAR FUND BALANCE**					
18	TUITION AND MANDATORY FEES	5,160,521	14,608,750		14,608,750	14,608,750
19	ALL OTHER FEES	387,039	988,415		988,415	988,415
20	SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	60,206	127,500		127,500	127,500
21	INVESTMENT INCOME	25,803	245,000		245,000	245,000
22	FEDERAL CASH FUNDS	1,800,000	28,500,000		28,500,000	28,500,000
23	OTHER CASH FUNDS	1,167,299	10,692,715		10,692,715	10,692,715
24	TOTAL INCOME	\$8,600,868	\$55,162,380		\$55,162,380	\$55,162,380
25	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

FORM FR-4

**TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)**

	ACTUAL	BUDGETED	AUTHORIZED	REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
	2014-2015	2015-2016	2015-2016	2016-2017	2016-17	2016-2017
REGULAR POSITIONS	387	396	510	510	510	510
TOBACCO POSITIONS						
EXTRA HELP ***	22	21	790	790	790	790

\*\* Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

\*\*\* The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

**APPROPRIATION ACT FORM - CASH FUNDS  
2016-2017 Fiscal Year**

FUND 2030000

INSTITUTION UNIVERSITY OF ARKANSAS AT MONTICELLO  
CROSSETT

APPROPRIATION \_\_\_\_\_ B83

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2014-2015	2015-2016	2015-2016	AHECB RECOMMENDATION	2016-2017
1	REGULAR SALARIES	0	774,240	774,240	774,240	774,240
2	EXTRA HELP WAGES	52,866	75,000	75,000	75,000	75,000
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	612	258,080	258,080	258,080	258,080
5	OPERATING EXPENSES	404,426	725,000	725,000	725,000	725,000
6	CONFERENCE FEES & TRAVEL	105	25,000	25,000	25,000	25,000
7	PROFESSIONAL FEES AND SERVICES	10,106	50,000	50,000	50,000	50,000
8	CAPITAL OUTLAY	28,603	50,000	50,000	50,000	50,000
9	CAPITAL IMPROVEMENTS					
10	DEBT SERVICE	0	50,000	50,000	50,000	50,000
11	FUND TRANSFERS, REFUNDS AND INVESTMENTS					
12						
13						
14						
15						
16	TOTAL APPROPRIATION	\$496,718	\$2,007,320	\$2,007,320	\$2,007,320	\$2,007,320
17	PRIOR YEAR FUND BALANCE**					
18	TUITION AND MANDATORY FEES	233,457	947,972		947,972	947,972
19	ALL OTHER FEES	11,999	48,490		48,490	48,490
20	SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	2,227	9,000		9,000	9,000
21	INVESTMENT INCOME	1,050	4,000		4,000	4,000
22	FEDERAL CASH FUNDS	175,000	700,000		700,000	700,000
23	OTHER CASH FUNDS	72,985	297,858		297,858	297,858
24	TOTAL INCOME	\$496,718	\$2,007,320		\$2,007,320	\$2,007,320
25	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

FORM FR-4

**TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)**

	ACTUAL	BUDGETED	AUTHORIZED	REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
	2014-2015	2015-2016	2015-2016	2016-2017	2016-17	2016-2017
REGULAR POSITIONS	41	40	56	56	56	56
TOBACCO POSITIONS						
EXTRA HELP ***	12	15	36	36	36	36

\*\* Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

\*\*\* The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

**APPROPRIATION ACT FORM - CASH FUNDS  
2016-2017 Fiscal Year**

FUND 2030000

INSTITUTION UNIVERSITY OF ARKANSAS AT MONTICELLO  
MCGEHEE

APPROPRIATION B82

	DESCRIPTION	ACTUAL 2014-2015	BUDGETED 2015-2016	AUTHORIZED APPROPRIATION 2015-2016	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
					2016-2017	2016-2017
1	REGULAR SALARIES	0	744,240	744,240	744,240	744,240
2	EXTRA HELP WAGES	74,508	75,000	75,000	75,000	75,000
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	0	196,060	196,060	196,060	196,060
5	OPERATING EXPENSES	531,807	740,000	740,000	740,000	740,000
6	CONFERENCE FEES & TRAVEL	0	25,000	25,000	25,000	25,000
7	PROFESSIONAL FEES AND SERVICES	204	50,000	50,000	50,000	50,000
8	CAPITAL OUTLAY	31,477	50,000	50,000	50,000	50,000
9	CAPITAL IMPROVEMENTS					
10	DEBT SERVICE					
11	FUND TRANSFERS, REFUNDS AND INVESTMENTS					
12						
13						
14						
15						
16	TOTAL APPROPRIATION	\$637,996	\$1,880,300	\$1,880,300	\$1,880,300	\$1,880,300
17	PRIOR YEAR FUND BALANCE**					
18	TUITION AND MANDATORY FEES	312,618	934,762		934,762	934,762
19	ALL OTHER FEES	51,549	151,925		151,925	151,925
20	SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	2,035	6,000		6,000	6,000
21	INVESTMENT INCOME	1,350	4,000		4,000	4,000
22	FEDERAL CASH FUNDS	175,000	500,000		500,000	500,000
23	OTHER CASH FUNDS	95,444	283,613		283,613	283,613
24	TOTAL INCOME	\$637,996	\$1,880,300		\$1,880,300	\$1,880,300
25	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

FORM FR-4

**TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)**

	ACTUAL 2014-2015	BUDGETED 2015-2016	AUTHORIZED 2015-2016	REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
				2016-2017	2016-17	2016-2017
REGULAR POSITIONS	40	41	74	74	74	74
TOBACCO POSITIONS						
EXTRA HELP ***	34	24	36	36	36	36

\*\* Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

\*\*\* The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

**AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES**

UNIVERSITY OF ARKANSAS AT MONTICELLO  
(NAME OF INSTITUTION)

	ACTIVITY	ACTUAL 2014-2015				BUDGETED 2015-2016			
		INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1	INTERCOLLEGIATE ATHLETICS*	789,402	3,543,512	120,050	(2,874,160)	722,127	3,549,540	119,929	(2,947,342)
2	HOUSING	2,292,607	682,504	905,484	704,619	2,172,566	651,201	903,289	618,076
3	FOOD SERVICES	1,846,882	1,486,868	0	360,014	1,490,931	1,147,601	0	343,330
4	STUDENT UNION	0	0	0	0	0	0	0	0
5	BOOKSTORE	171,978	3,440	0	168,538	173,253	0	0	173,253
6	STUDENT ORGANIZATIONS AND PUBLICATIONS	0	0	0	0	0	0	0	0
7	OTHER (FOOTNOTE BELOW)	778,334	159,784	0	618,550	852,284	126,408	0	725,876
8	SUBTOTAL	5,879,203	5,876,108	1,025,534	(1,022,439)	5,411,161	5,474,750	1,023,218	(1,086,807)
9	ATHLETIC TRANSFER**	1,075,000			1,075,000	1,086,807			1,086,807
10	OTHER TRANSFERS*** (FOOTNOTE BELOW)	0			0	0			0
11	GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	6,954,203	5,876,108	1,025,534	52,561	6,497,968	5,474,750	1,023,218	0

\* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM FR-5

\*\* For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

\*\*\* For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 7 "Other" - Includes Income from Locker Rent, Post Office Rent, Vendor Sales, Facilities Fees, Royalties, Miscellaneous and Expenses from Trotter House, Stadium, Cablevision, Field House, End Zone Facility, Weightroom, Practice Football Field, Softball Field, Baseball Field, Intramural Field, Tennis Courts, Indoor Practice Facility



**AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES**

UNIVERSITY OF ARKANSAS AT MONTICELLO CROSSETT  
(NAME OF INSTITUTION)

	ACTIVITY	ACTUAL 2014-2015				BUDGETED 2015-2016			
		INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1	INTERCOLLEGIATE ATHLETICS*	0	0	0	0	0	0	0	0
2	HOUSING	0	0	0	0	0	0	0	0
3	FOOD SERVICES	0	0	0	0	0	0	0	0
4	STUDENT UNION	0	0	0	0	0	0	0	0
5	BOOKSTORE	255,544	288,005	0	(32,461)	353,000	368,946	0	(15,946)
6	STUDENT ORGANIZATIONS AND PUBLICATIONS	0	0	0	0	0	0	0	0
7	OTHER (FOOTNOTE BELOW)	6,485	16,571	0	(10,086)	7,200	18,649	0	(11,449)
8	SUBTOTAL	262,029	304,576	0	(42,547)	360,200	387,595	0	(27,395)
9	ATHLETIC TRANSFER**	0			0	0			0
10	OTHER TRANSFERS*** (FOOTNOTE BELOW)	42,547			42,547	27,395			27,395
11	GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	304,576	304,576	0	0	387,595	387,595	0	0

\* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM FR-5

\*\* For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

\*\*\* For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 7 "Other" - Includes income and operating expenses from Trotter House.

NOTE: Line 10 "Other Transfers" - Bookstore

**AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES**

UNIVERSITY OF ARKANSAS AT MONTICELLO MCGEHEE  
(NAME OF INSTITUTION)

	ACTIVITY	ACTUAL 2014-2015				BUDGETED 2015-2016			
		INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1	INTERCOLLEGIATE ATHLETICS*	0	0	0	0	0	0	0	0
2	HOUSING	0	0	0	0	0	0	0	0
3	FOOD SERVICES	0	0	0	0	0	0	0	0
4	STUDENT UNION	0	0	0	0	0	0	0	0
5	BOOKSTORE	276,264	209,633	0	66,631	370,000	359,145	0	10,855
6	STUDENT ORGANIZATIONS AND PUBLICATIONS	0	0	0	0	0	0	0	0
7	OTHER (FOOTNOTE BELOW)	6,485	17,235	0	(10,750)	7,200	18,055	0	(10,855)
8	SUBTOTAL	282,749	226,868	0	55,881	377,200	377,200	0	0
9	ATHLETIC TRANSFER**	0			0	0			0
10	OTHER TRANSFERS*** (FOOTNOTE BELOW)	0			0	0			0
11	GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	282,749	226,868	0	55,881	377,200	377,200	0	0

\* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM FR-5

\*\* For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

\*\*\* For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 7 "Other" - Includes income and operating expenses from Trotter House.

**EMPLOYMENT INFORMATION  
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

UNIVERSITY OF ARKANSAS AT MONTICELLO \_\_\_\_\_  
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2014-2015:					468		
(As of November 1, 2014 )							
Nonclassified Administrative Employees:							
White Male:	35	Black Male:	5	Other Male:	2	Total Male:	42
White Female:	38	Black Female:	5	Other Female:	0	Total Female:	43
Nonclassified Health Care Employees:							
White Male:	0	Black Male:	0	Other Male:	0	Total Male:	0
White Female:	0	Black Female:	0	Other Female:	0	Total Female:	0
Classified Employees:							
White Male:	40	Black Male:	4	Other Male:	0	Total Male:	44
White Female:	66	Black Female:	26	Other Female:	3	Total Female:	95
Faculty:							
White Male:	92	Black Male:	7	Other Male:	10	Total Male:	109
White Female:	113	Black Female:	10	Other Female:	12	Total Female:	135
Total White Male:	167	Total Black Male:	16	Total Other Male:	12	Total Male:	195
Total White Female:	217	Total Black Female:	41	Total Other Female:	15	Total Female:	273
Total White:	384	Total Black:	57	Total Other:	27	Total Employees:	468
				<b>Total Minority:</b>	84		

FORM FR-6

**ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES**  
**STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES**  
 Fiscal Year 2015  
 Required by A.C.A. 25-36-104

**Institution**

UNIVERSITY OF ARKANSAS AT MONTICELLO

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
N/A							

**TOTAL NUMBER OF MINORITY CONTRACTS AWARDED** 0

**TOTAL EXPENDITURES ON CONTRACTS AWARDED** \$329,391  
 (Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

**% OF MINORITY CONTRACTS AWARDED** 0%

**ARKANSAS LEGISLATIVE AUDIT  
 AUDIT OF UNIVERSITY OF ARKANSAS AT MONTICELLO  
 June 30, 2015**

<p><i>Finding No. 1:</i></p>	<p>University management discovered that a former employee had misused a University fuel card for purchases totaling \$8,210 during the period September 10, 2010 through June 4, 2013. According to University management, the applicable prosecuting attorney who was notified indicated that charges would be filed against the individual if reimbursement was not obtained. Subsequently, the former employee reimbursed \$8,210 to the University.</p>
<p><i>Institution's Response</i></p>	<p>When University management discovered that a University's fuel card had been used to make unauthorized charges by a former employee, the University developed a more comprehensive review process for monitoring the use of fuel cards. These improved internal control procedures were implemented in June 2013.</p>

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**INSTITUTION APPROPRIATION SUMMARY  
2016-2017 Fiscal Year**

INSTITUTION UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES

APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION					
	2014-2015		2015-2016		2015-2016		2016-2017					
	ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS		
1	STATE TREASURY	UAMS State Appropriation - Operations	95,532,510		95,565,989		118,472,553		122,049,582		122,049,582	
2	STATE TREASURY	UAMS State Appropriation - Healthcare Initiative	7,100,000		7,100,000		7,100,000		7,100,000		7,100,000	
3	STATE TREASURY	UAMS State Appropriation - Psychiatric Research Inst & Dept of Pediatrics	1,950,000		1,950,000		2,008,500		2,050,679		2,050,679	
4	STATE TREASURY	UAMS State Appropriation - Colorectal Cancer Screening & Research	0		0		5,000,000		5,000,000		5,000,000	
5	STATE TREASURY	UAMS State Appropriation - Newborn Umbilical Cord Blood Program	150,000		150,000		150,000		250,000		250,000	
6	STATE TREASURY	UAMS State Appropriation - Adult Sickle Cell Disease Program	0		0		379,993		379,993		379,993	
7	STATE TREASURY	UAMS State Appropriation - Child Abuse & Neglect Programs	1,862,282		1,865,588		4,996,940		5,072,092		5,072,092	
8	STATE TREASURY	Medical Loans & Scholarships	325,076		350,000		450,000		450,000		450,000	
9	STATE TREASURY	Nursing Loans & Scholarships	200,000		200,000		300,000		300,000		300,000	
10	STATE TREASURY	Poison & Drug Information Center and Disease Management	299,959		299,959		299,959		299,959		299,959	
11	STATE TREASURY	Poison & Drug Information Center	0		0		400,000		400,000		400,000	
12	STATE TREASURY	AR Center for Health Improvement	500,000		500,000		500,000		500,000		500,000	
13	STATE TREASURY	Breast Cancer Research	851,389		860,000		1,194,216		1,194,216		1,194,216	
14	STATE TREASURY	Breast Cancer Research - Susan G. Komen	202,028		210,000		500,000		500,000		500,000	
15	STATE TREASURY	Newborn Umbilical Cord Blood Initiative	3,442		5,000		546,000		546,000		546,000	
16	STATE TREASURY	Domestic Violence Shelter Programs & Grants	532,216		540,000		7,150,000		7,150,000		7,150,000	
17	STATE TREASURY	Tobacco Funding: Institute on Aging	1,764,439		1,552,354		2,320,796		2,320,796		2,320,796	
18	STATE TREASURY	Tobacco Funding: College of Public Health	2,352,796		2,328,532		3,195,946		3,195,946		3,195,946	
19	STATE TREASURY	Tobacco Funding: Arkansas Biosciences	4,993,332		4,111,613		6,180,957		6,180,957		6,180,957	
20	STATE TREASURY	Tobacco Funding: Delta Regional Program	1,630,503		1,552,354		2,235,565		2,235,565		2,235,565	
21	CASH	UAMS State Appropriation	1,219,204,311		1,905,405,000		1,905,405,000		1,905,405,000		1,905,405,000	
22	CASH	Pharmacy Student Loans	0		550,000		550,000		550,000		550,000	
23	<b>TOTAL</b>		<b>\$1,339,454,283</b>	<b>9,026</b>	<b>\$2,025,096,389</b>	<b>9,362</b>	<b>\$2,069,336,425</b>	<b>11,628</b>	<b>\$2,073,130,785</b>	<b>11,628</b>	<b>\$2,073,130,785</b>	<b>11,628</b>
24	<b>FUNDING SOURCES</b>			<b>%</b>		<b>%</b>			<b>%</b>		<b>%</b>	
25	PRIOR YEAR FUND BALANCE*		1,110,534	0.1%	0	0.0%		0	0.0%	0	0.00%	
26	GENERAL REVENUE - INCLUDES CARDVC, CHILD SAFETY, PED & PRI REVENUE		89,962,249	6.7%	89,862,249	4.4%		99,592,197	4.8%	99,592,197	4.80%	
27	INDIGENT CARE APPROPRIATION		5,342,181	0.4%	5,342,181	0.3%		5,616,341	0.3%	5,616,341	0.27%	
28	EDUCATIONAL EXCELLENCE TRUST FUND		9,331,813	0.7%	9,437,619	0.5%		9,437,619	0.5%	9,437,619	0.46%	
29	EDUC EXCEL TRUST - INDIGENT CARE		232,212	0.0%	234,844	0.0%		234,844	0.0%	234,844	0.01%	
30	CASH FUNDS		1,150,953,405	85.9%	1,839,701,868	90.8%		1,825,955,000	88.1%	1,825,955,000	88.08%	
31	FEDERAL FUNDS		68,250,906	5.1%	66,253,132	3.3%		80,000,000	3.9%	80,000,000	3.86%	
32	TOBACCO SETTLEMENT FUNDS		9,644,314	0.7%	9,544,853	0.5%		13,933,264	0.7%	13,933,264	0.67%	
33	OTHER FUNDS (BAIL BONDS)		158,682	0.0%	160,000	0.0%		0	0.0%	0	0.00%	
34	OTHER FUNDS		4,467,987	0.3%	4,559,643	0.2%		38,361,520	1.9%	38,361,520	1.85%	
35	<b>TOTAL INCOME</b>		<b>\$1,339,454,283</b>	<b>100%</b>	<b>\$2,025,096,389</b>	<b>100.0%</b>		<b>\$2,073,130,785</b>	<b>100%</b>	<b>\$2,073,130,785</b>	<b>100%</b>	
36	EXCESS (FUNDING)/APPROPRIATION		\$0		\$0			\$0		\$0		

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2015:	\$234,838,729
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$145,231,743
INVENTORIES	\$21,260,168
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	
MAJOR CRITICAL SYSTEMS FAILURES	
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$144,430,748
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	(\$76,083,930)

\*Line 25 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME  
AND AHECB GENERAL REVENUE RECOMMENDATIONS  
FOR THE 2016-2017 Fiscal Year (Non-Formula Entities)**

UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES

NAME OF INSTITUTION

EXPENDITURE CATEGORIES	2014-2015 ACTUAL	2015-2016 BUDGETED *	2016-17 FISCAL YEAR INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS	
			2016-2017 REQUEST	2016-2017 RECOMMENDATION
1 College of Medicine	453,547,914	292,072,451	292,072,451	292,072,451
2 College of Nursing	7,430,657	8,569,465	8,569,465	8,569,465
3 College of Pharmacy	14,327,879	15,460,848	15,460,848	15,460,848
4 College of Health Professions	10,660,642	11,567,129	11,567,129	11,567,129
5 College of Public Health	4,421,203	5,388,682	5,388,682	5,388,682
6 Graduate School	2,603,581	883,819	883,819	883,819
7 Institutional Support	(13,899,952)	(43,505,483)	(28,505,483)	(28,505,483)
8 Chancellor	5,122,109	3,646,650	3,646,650	3,646,650
9 Center for Health Improvement	4,763,577	7,811,137	7,811,137	7,811,137
10 Academic Affairs	11,503,880	11,857,321	11,857,321	11,857,321
11 Finance	13,037,300	14,691,726	14,691,726	14,691,726
12 Administration & Government Affairs	0	0	0	0
13 Campus Operations	18,368,506	18,891,847	18,891,847	18,891,847
14 Communications	5,253,305	3,898,384	3,898,384	3,898,384
15 Institutional Advancement	2,823,051	2,928,004	2,928,004	2,928,004
16 Diversity Affairs	716,175	781,134	781,134	781,134
17 Institutional Compliance	3,094,030	3,121,609	3,121,609	3,121,609
18 Research	4,670,157	5,363,470	5,363,470	5,363,470
19 Information Technology	34,626,668	32,879,579	32,879,579	32,879,579
20 Hospital & Clinical Programs	627,360,436	854,296,159	854,296,159	854,296,159
21 Regional Programs	64,795,803	64,686,667	64,686,667	64,686,667
22 NW Arkansas Campus	6,603,628	4,596,885	4,596,885	4,596,885
23 Biosciences Institute	253,272	0	0	0
24 Jones Eye Institute	2,133,205	768,700	768,700	768,700
25 Myeloma Institute	8,612,406	0	0	0
26 Psychiatric Research Institute	23,133,330	0	0	0
27 Reynolds Institute on Aging	1,770,667	998,256	998,256	998,256
28 Rockefeller Cancer Institute	9,206,950	5,118,277	5,118,277	5,118,277
29 Stephens Spine/Neurology Institute	308,959	345,082	345,082	345,082
30 Radiation Oncology	5,887,815	0	0	0
31 Translational Research Institute	825,470	4,332,763	4,332,763	4,332,763
32				
33 MANDATORY TRANSFERS	21,195,686	28,280,850	28,280,850	28,280,850
34 AUXILIARY TRANSFERS				
35 NON-MANDATORY TRANSFERS	(85,061,441)	(87,141,738)	(87,141,738)	(87,141,738)
36 TOTAL UNREST. E&G EXP.	\$1,270,096,868	\$1,272,589,673	\$1,287,589,673	\$1,287,589,673
37 NET LOCAL INCOME	1,151,057,430	1,153,448,284	1,120,413,888	1,119,991,310
38 PRIOR YEAR BALANCE***	0			
39 GENERAL REVENUE INCLUDES:				
40 CARDVC, CHILD SAFETY, PED & PRI	89,962,249	89,862,249	99,592,197	100,006,288
41 INDIGENT CARE	5,342,181	5,342,181	5,616,341	5,668,496
42 EDUCATIONAL EXCELLENCE	9,331,813	9,437,619	9,437,619	9,437,619
43 EDUCATIONAL EXCELLENCE - IC	232,212	234,844	234,844	234,844
44 WORKFORCE 2000				
45 TOBACCO SETTLEMENT FUNDS	9,644,314	9,544,853	13,933,264	13,933,264
46 OTHER STATE FUNDS ****	373,281	380,000		
47 OTHER STATE FUNDS *****	4,253,388	4,339,643	38,361,520	38,317,852
48 TOTAL SOURCES OF INCOME	\$1,270,196,868	\$1,272,589,673	\$1,287,589,673	\$1,287,589,673

\* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

FORM FR-2 Nonformula

\*\* Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 46 or 47 "Other State Funds" and identified in a footnote.

\*\*\*Line 38 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.



**APPROPRIATION ACT FORM - STATE TREASURY  
2016-2017 FISCAL YEAR**

FUND CCA0000

INSTITUTION UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES  
UAMS STATE APPROPRIATION - OPERATIONS

APPROPRIATION \_\_\_\_\_ 429

	DESCRIPTION	ACTUAL 2014-2015	BUDGETED 2015-2016	AUTHORIZED APPROPRIATION 2015-2016	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
					AHECB RECOMMENDATION 2016-2017	2016-2017
1	REGULAR SALARIES	83,560,335	83,500,920	84,532,439	92,109,468	88,031,598
2	EXTRA HELP WAGES					
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	1,662,339	1,640,587	8,515,632	4,515,632	8,883,104
5	OPERATING EXPENSES					
6	WORKERS COMP ADMIN FEES	113,310				
7	SURETY PREMIUM	20,901	0			
8	M&R EXPENSES	82,057	0			
9	FUNDED DEPRECIATION	1,130,663	1,192,465	1,192,465	1,192,465	1,192,465
10	TEXARKANA AHEC	253,300	261,472	261,472	261,472	261,472
11	POISON & DRUG INFO CENTER	802,116	827,993	827,993	827,993	827,993
12	ADDITIONAL AHEC SUPPORT	2,331,696	2,406,918	2,406,918	2,406,918	2,406,918
13	GRANTS/AID (ACH & INDIGENT CARE)	\$5,574,393	\$5,735,634	\$5,735,634	\$5,735,634	\$5,880,708
14	CLAIMS COMMISSION	1,400				
15	MEDICAL EDUCATION PROGRAM EXPENSES	0	0	15,000,000	15,000,000	15,000,000
16	TOTAL APPROPRIATION	95,532,510	95,565,989	\$118,472,553	122,049,582	122,484,258
17	PRIOR YEAR FUND BALANCE*	13,778				
18	GENERAL REVENUE	79,306,661	79,206,661		88,660,778	89,043,299
19	INDIGENT CARE APPROPRIATION	5,342,181	5,342,181		5,616,341	5,668,496
20	EDUC EXCELLENCE TRUST FUND	9,331,813	9,437,619		9,437,619	9,437,619
21	EDUC EXCEL TRUST - INDIGENT CARE	\$232,212	\$234,844		\$234,844	\$234,844
22	SPECIAL REVENUES** [WF2000]					
23	FEDERAL FUNDS IN STATE TREASURY					
24	TOBACCO SETTLEMENT FUNDS					
25	OTHER STATE TREASURY FUNDS	1,305,865	1,344,684		18,100,000	18,100,000
26	TOTAL INCOME	\$95,532,510	\$95,565,989		\$122,049,582	\$122,484,258
27	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

\*Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

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\*\* Report WF2000 funds on line 22 - "Special Revenues".

NOTE: Line 25 "OTHER STATE TREASURY FUNDS"- Mixed Drink Revenue (CCA0200) - M&R less transfer to AR Ch Hospital

**APPROPRIATION ACT FORM - STATE TREASURY  
2016-2017 FISCAL YEAR**

FUND CCA0000

INSTITUTION UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES  
UAMS STATE APPROPRIATION - HEALTHCARE INITIATIVE

APPROPRIATION 59P

	DESCRIPTION	ACTUAL 2014-2015	BUDGETED 2015-2016	AUTHORIZED APPROPRIATION 2015-2016	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
					AHECB RECOMMENDATION 2016-2017	2016-2017
1	REGULAR SALARIES					
2	EXTRA HELP WAGES					
3	OVERTIME					
4	PERSONAL SERVICES MATCHING					
5	OPERATING EXPENSES					
6	CONFERENCE FEES & TRAVEL					
7	PROFESSIONAL FEES AND SERVICES					
8	CAPITAL OUTLAY					
9	FUNDED DEPRECIATION					
10	AREA HEALTH EDUCATION CENTERS	3,400,000	3,400,000	3,400,000	3,400,000	3,400,000
11	ARKANSAS HEALTH DATA INITIATIVE	700,000	700,000	700,000	700,000	700,000
12	UAMS NORTHWEST ARK MEDICAL SCHOOL	3,000,000	3,000,000	3,000,000	3,000,000	3,000,000
13	TOTAL APPROPRIATION	\$7,100,000	\$7,100,000	\$7,100,000	\$7,100,000	\$7,100,000
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE	7,100,000	7,100,000		7,100,000	7,100,000
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS					
21	TOTAL INCOME	\$7,100,000	\$7,100,000		\$7,100,000	\$7,100,000
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

\* Report WF2000 funds on line 17 - "Special Revenues".

\*\*Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM FR-3

**APPROPRIATION ACT FORM - STATE TREASURY  
2016-2017 FISCAL YEAR**

FUND CCA0100

INSTITUTION UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES  
PSYCHIATRIC RESEARCH INSTITUTE & DEPARTMENT OF PEDIATRICS

APPROPRIATION \_\_\_\_\_ 83C

	DESCRIPTION	ACTUAL 2014-2015	BUDGETED 2015-2016	AUTHORIZED APPROPRIATION 2015-2016	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
					AHECB RECOMMENDATION 2016-2017	2016-2017
1	REGULAR SALARIES					
2	EXTRA HELP WAGES					
3	OVERTIME					
4	PERSONAL SERVICES MATCHING					
5	OPERATING EXPENSES					
6	CONFERENCE FEES & TRAVEL					
7	PROFESSIONAL FEES AND SERVICES					
8	CAPITAL OUTLAY					
9	FUNDED DEPRECIATION					
10	PSYCHIATRIC RESEARCH INSTITUTE EXPENSES FOR TRAINING, TREATMENT, COMMUNITY PROVIDERS & PERSONAL SERVICES	1,250,000	1,250,000	1,308,500	1,350,679	1,368,755
11	DEPARTMENT OF PEDIATRICS EXPENSES FOR TRAINING, TREATMENT, & ADVOCATE EDUCATION	500,000	500,000	500,000	500,000	500,000
12	DEPARTMENT OF PEDIATRICS EXPENSES FOR MEDICAL EXAMINATION, CONSULTATION, EDUCATION, & TRAINING	200,000	200,000	200,000	200,000	200,000
13	TOTAL APPROPRIATION	\$1,950,000	\$1,950,000	\$2,008,500	\$2,050,679	\$2,068,755
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE	1,950,000	1,950,000		2,050,679	2,068,755
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS					
21	TOTAL INCOME	\$1,950,000	\$1,950,000		\$2,050,679	\$2,068,755
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

\* Report WF2000 funds on line 17 - "Special Revenues".

\*\*Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY  
2016-2017 FISCAL YEAR**

FUND CCA00000

INSTITUTION UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES  
COLORECTAL CANCER SCREENING & RESEARCH

APPROPRIATION \_\_\_\_\_ 59Q

	DESCRIPTION	ACTUAL 2014-2015	BUDGETED 2015-2016	AUTHORIZED APPROPRIATION 2015-2016	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
					AHECB RECOMMENDATION 2016-2017	2016-2017
1	REGULAR SALARIES					
2	EXTRA HELP WAGES					
3	OVERTIME					
4	PERSONAL SERVICES MATCHING					
5	OPERATING EXPENSES					
6	CONFERENCE FEES & TRAVEL					
7	PROFESSIONAL FEES AND SERVICES					
8	CAPITAL OUTLAY					
9	FUNDED DEPRECIATION					
10	COLORECTAL CANCER SCREENING & RESEARCH	0	0	5,000,000	5,000,000	5,000,000
11						
12						
13	TOTAL APPROPRIATION	\$0	\$0	\$5,000,000	\$5,000,000	\$5,000,000
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE					
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS	0	0		5,000,000	5,000,000
21	TOTAL INCOME	\$0	\$0		\$5,000,000	\$5,000,000
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

\* Report WF2000 funds on line 17 - "Special Revenues".

\*\*Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY  
2016-2017 FISCAL YEAR**

FUND CCA0000

INSTITUTION UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES  
NEWBORN UMBILICAL CORD BLOOD PROGRAM

APPROPRIATION \_\_\_\_\_ 86R

	DESCRIPTION	ACTUAL 2014-2015	BUDGETED 2015-2016	AUTHORIZED APPROPRIATION 2015-2016	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
					AHECB RECOMMENDATION 2016-2017	2016-2017
1	REGULAR SALARIES					
2	EXTRA HELP WAGES					
3	OVERTIME					
4	PERSONAL SERVICES MATCHING					
5	OPERATING EXPENSES					
6	CONFERENCE FEES & TRAVEL					
7	PROFESSIONAL FEES AND SERVICES					
8	CAPITAL OUTLAY					
9	FUNDED DEPRECIATION					
10	NEWBORN UMBILICAL CORD BLOOD PROGRAM EXPENSES	150,000	150,000	250,000	250,000	250,000
11						
12						
13	<b>TOTAL APPROPRIATION</b>	<b>\$150,000</b>	<b>\$150,000</b>	<b>\$250,000</b>	<b>\$250,000</b>	<b>\$250,000</b>
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE	150,000	150,000		250,000	250,000
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS					
21	<b>TOTAL INCOME</b>	<b>\$150,000</b>	<b>\$150,000</b>		<b>\$250,000</b>	<b>\$250,000</b>
22	<b>EXCESS (FUNDING)/APPROPRIATION</b>	<b>\$0</b>	<b>\$0</b>		<b>\$0</b>	<b>\$0</b>

\* Report WF2000 funds on line 17 - "Special Revenues".

\*\*Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY  
2016-2017 FISCAL YEAR**

FUND CCA0000

INSTITUTION UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES  
ADULT SICKLE CELL DISEASE PROGRAM

APPROPRIATION \_\_\_\_\_ 86S

	DESCRIPTION	ACTUAL 2014-2015	BUDGETED 2015-2016	AUTHORIZED APPROPRIATION 2015-2016	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
					AHECB RECOMMENDATION 2016-2017	2016-2017
1	REGULAR SALARIES					
2	EXTRA HELP WAGES					
3	OVERTIME					
4	PERSONAL SERVICES MATCHING					
5	OPERATING EXPENSES					
6	CONFERENCE FEES & TRAVEL					
7	PROFESSIONAL FEES AND SERVICES					
8	CAPITAL OUTLAY					
9	FUNDED DEPRECIATION					
10	ADULT SICKLE CELL DISEASE PROGRAM INITIATIVE PERSONAL SERVICES AND OPERATING EXPENSES	0	0	379,993	379,993	379,993
11						
12						
13	TOTAL APPROPRIATION	\$0	\$0	\$379,993	\$379,993	\$379,993
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE					
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS	0	0		379,993	379,993
21	TOTAL INCOME	\$0	\$0		\$379,993	\$379,993
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

\* Report WF2000 funds on line 17 - "Special Revenues".

\*\*Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY  
2016-2017 FISCAL YEAR**

FUND CCA0100

INSTITUTION UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES  
CHILD ABUSE AND NEGLECT PROGRAMS

APPROPRIATION \_\_\_\_\_ 38E

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2014-2015	2015-2016	APPROPRIATION 2015-2016	AHECB RECOMMENDATION 2016-2017	2016-2017
1	REGULAR SALARIES					
2	EXTRA HELP WAGES					
3	OVERTIME					
4	PERSONAL SERVICES MATCHING					
5	OPERATING EXPENSES					
6	CONFERENCE FEES & TRAVEL					
7	PROFESSIONAL FEES AND SERVICES					
8	CAPITAL OUTLAY					
9	CAPITAL IMPROVEMENTS					
10	FUNDED DEPRECIATION					
11	FOR EXPENSES ASSOCIATED WITH CHILD ABUSE & NEGLECT PROGRAMS, INCLUDING BUT NOT LIMITED TO, GRANTS TO STATE AGENCIES, CHILD ADVOCACY CENTERS, CHILD SAFETY CENTERS, AND OTHER ELIGIBLE ENTITIES	1,862,282	1,865,588	4,996,940	5,072,092	5,041,918
12						
13						
14	TOTAL APPROPRIATION	1,862,282	1,865,588	\$4,996,940	5,072,092	5,041,918
15	PRIOR YEAR FUND BALANCE**					
16	CHILD ABUSE DOMESTIC VIOLENCE (GEN REV)	735,000	735,000		772,948	779,762
17	CHILD SAFETY CENTERS (GEN REV)	720,588	720,588		757,792	764,472
18	EDUCATIONAL EXCELLENCE TRUST FUND					
19	SPECIAL REVENUES * [WF2000]					
20	FEDERAL FUNDS IN STATE TREASURY					
21	TOBACCO SETTLEMENT FUNDS					
22	OTHER STATE TREASURY FUNDS	406,694	410,000		3,541,352	3,497,684
23	TOTAL INCOME	\$1,862,282	\$1,865,588		\$5,072,092	\$5,041,918
24	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

\* Report WF2000 funds on line 19 - "Special Revenues".

\*\*Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

NOTE: Line 22 "Other State Treasury Funds"- Cigarette Tax

FORM FR-3

**APPROPRIATION ACT FORM - STATE TREASURY  
2016-2017 FISCAL YEAR**

FUND HUA1501

INSTITUTION UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES  
MEDICAL LOANS & SCHOLARSHIPS

APPROPRIATION 464

	DESCRIPTION	ACTUAL 2014-2015	BUDGETED 2015-2016	AUTHORIZED APPROPRIATION 2015-2016	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
					2016-2017	2016-2017
1	REGULAR SALARIES					
2	EXTRA HELP WAGES					
3	OVERTIME					
4	PERSONAL SERVICES MATCHING					
5	OPERATING EXPENSES					
6	CONFERENCE FEES & TRAVEL					
7	PROFESSIONAL FEES AND SERVICES					
8	CAPITAL OUTLAY					
9	FUNDED DEPRECIATION					
10	RURAL MEDICAL PRACTICE STUDENT LOAN & SCHOLARSHIP PROGRAM LOANS AND/OR SCHOLARSHIPS	200,000	200,000	300,000	300,000	300,000
11	COMMUNITY MATCH STUDENT LOAN & SCHOLARSHIP PROGRAM LOANS AND/OR SCHOLARSHIPS	125,076	150,000	150,000	150,000	150,000
12						
13	<b>TOTAL APPROPRIATION</b>	<b>\$325,076</b>	<b>\$350,000</b>	<b>\$450,000</b>	<b>\$450,000</b>	<b>\$450,000</b>
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE					
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS	325,076	350,000		450,000	450,000
21	<b>TOTAL INCOME</b>	<b>\$325,076</b>	<b>\$350,000</b>		<b>\$450,000</b>	<b>\$450,000</b>
22	<b>EXCESS (FUNDING)/APPROPRIATION</b>	<b>\$0</b>	<b>\$0</b>		<b>\$0</b>	<b>\$0</b>

\* Report WF2000 funds on line 17 - "Special Revenues".

\*\*Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

NOTE: Line 20 "Other State Treasury Funds"- Miscellaneous Agencies Fund

FORM FR-3



**APPROPRIATION ACT FORM - STATE TREASURY  
2016-2017 FISCAL YEAR**

FUND HUA1502

INSTITUTION UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES  
NURSING LOANS & SCHOLARSHIPS

APPROPRIATION \_\_\_\_\_ 461

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2014-2015	2015-2016	APPROPRIATION	AHECB RECOMMENDATION	2016-2017
				2015-2016	2016-2017	2016-2017
1	REGULAR SALARIES					
2	EXTRA HELP WAGES					
3	OVERTIME					
4	PERSONAL SERVICES MATCHING					
5	OPERATING EXPENSES					
6	CONFERENCE FEES & TRAVEL					
7	PROFESSIONAL FEES AND SERVICES					
8	CAPITAL OUTLAY					
9	FUNDED DEPRECIATION					
10	ADVANCED NURSING PRACTICE COMMUNITY MATCH STUDENT LOAN & SCHOLARSHIP PROGRAM LOANS AND/OR SCHOLARSHIPS	100,000	100,000	150,000	150,000	150,000
11	RURAL ADVANCED NURSING PRACTICE STUDENT LOAN & SCHOLARSHIP PROGRAM LOANS AND/OR SCHOLARSHIPS	100,000	100,000	150,000	150,000	150,000
12						
13	TOTAL APPROPRIATION	\$200,000	\$200,000	\$300,000	\$300,000	\$300,000
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE					
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS	200,000	200,000		300,000	300,000
21	TOTAL INCOME	\$200,000	\$200,000		\$300,000	\$300,000
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

\* Report WF2000 funds on line 17 - "Special Revenues".

\*\*Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

NOTE: Line 20 "Other State Treasury Funds"- Miscellaneous Agencies Fund

FORM FR-3

**APPROPRIATION ACT FORM - STATE TREASURY  
2016-2017 FISCAL YEAR**

FUND HUA1503

INSTITUTION UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES  
POISON & DRUG INFORMATION CENTER & DISEASE MANAGEMENT

APPROPRIATION 1UV

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2014-2015	2015-2016	APPROPRIATION 2015-2016	AHECB RECOMMENDATION 2016-2017	2016-2017
1	REGULAR SALARIES					
2	EXTRA HELP WAGES					
3	OVERTIME					
4	PERSONAL SERVICES MATCHING					
5	OPERATING EXPENSES					
6	CONFERENCE FEES & TRAVEL					
7	PROFESSIONAL FEES AND SERVICES					
8	CAPITAL OUTLAY					
9	FUNDED DEPRECIATION					
10	ADDITIONAL SUPPORT FOR THE ARKANSAS POISON & DRUG INFORMATION CENTER	139,959	139,959	139,959	139,959	139,959
11	SUPPORT FOR DISEASE STATE MANAGEMENT	160,000	160,000	160,000	160,000	160,000
12						
13	TOTAL APPROPRIATION	\$299,959	\$299,959	\$299,959	\$299,959	\$299,959
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE					
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS	299,959	299,959		299,959	299,959
21	TOTAL INCOME	\$299,959	\$299,959		\$299,959	\$299,959
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

\* Report WF2000 funds on line 17 - "Special Revenues".

\*\*Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

NOTE: Line 20 "Other State Treasury Funds"- Miscellaneous Agencies Fund

FORM FR-3

**APPROPRIATION ACT FORM - STATE TREASURY  
2016-2017 FISCAL YEAR**

FUND HUA1503

INSTITUTION UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES  
POISON & DRUG INFORMATION CENTER OPERATIONS

APPROPRIATION \_\_\_\_\_ 1UV

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2014-2015	2015-2016	APPROPRIATION	AHECB RECOMMENDATION	2016-2017
				2015-2016	2016-2017	2016-2017
1	REGULAR SALARIES					
2	EXTRA HELP WAGES					
3	OVERTIME					
4	PERSONAL SERVICES MATCHING					
5	OPERATING EXPENSES					
6	CONFERENCE FEES & TRAVEL					
7	PROFESSIONAL FEES AND SERVICES					
8	CAPITAL OUTLAY					
9	FUNDED DEPRECIATION					
10	POISON & DRUG INFORMATION CENTER PERSONAL SERVICES, MAINTENANCE AND OPERATING EXPENSES	0	0	400,000	400,000	400,000
11						
12						
13	TOTAL APPROPRIATION	\$0	\$0	\$400,000	\$400,000	\$400,000
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE					
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS	0	0		400,000	400,000
21	TOTAL INCOME	\$0	\$0		\$400,000	\$400,000
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

\* Report WF2000 funds on line 17 - "Special Revenues".

FORM FR-3

\*\*Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

NOTE: Line 20 "Other State Treasury Funds"- Miscellaneous Agencies Fund

**APPROPRIATION ACT FORM - STATE TREASURY  
2016-2017 FISCAL YEAR**

FUND       HUA1504      

INSTITUTION UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES  
AR CENTER FOR HEALTH IMPROVEMENT EXPENSES

APPROPRIATION \_\_\_\_\_ M78

	DESCRIPTION	ACTUAL 2014-2015	BUDGETED 2015-2016	AUTHORIZED APPROPRIATION 2015-2016	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
					AHECB RECOMMENDATION 2016-2017	2016-2017
1	REGULAR SALARIES					
2	EXTRA HELP WAGES					
3	OVERTIME					
4	PERSONAL SERVICES MATCHING					
5	OPERATING EXPENSES					
6	CONFERENCE FEES & TRAVEL					
7	PROFESSIONAL FEES AND SERVICES					
8	CAPITAL OUTLAY					
9	FUNDED DEPRECIATION					
10	ARKANSAS CENTER FOR HEALTH IMPROVEMENT EXPENSES	500,000	500,000	500,000	500,000	500,000
11						
12						
13	<b>TOTAL APPROPRIATION</b>	<b>\$500,000</b>	<b>\$500,000</b>	<b>\$500,000</b>	<b>\$500,000</b>	<b>\$500,000</b>
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE					
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS***	500,000	500,000		500,000	500,000
21	<b>TOTAL INCOME</b>	<b>\$500,000</b>	<b>\$500,000</b>		<b>\$500,000</b>	<b>\$500,000</b>
22	<b>EXCESS (FUNDING)/APPROPRIATION</b>	<b>\$0</b>	<b>\$0</b>		<b>\$0</b>	<b>\$0</b>

\* Report WF2000 funds on line 17 - "Special Revenues".

\*\*Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

NOTE: Line 20 "Other State Treasury Funds"- Miscellaneous Agencies Fund Account (for personal services, maintenance and operating expense of ACHI.)

**APPROPRIATION ACT FORM - STATE TREASURY  
2016-2017 FISCAL YEAR**

FUND MBR0100

INSTITUTION UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES  
BREAST CANCER RESEARCH

APPROPRIATION \_\_\_\_\_ 231

	DESCRIPTION	ACTUAL 2014-2015	BUDGETED 2015-2016	AUTHORIZED APPROPRIATION 2015-2016	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
					AHECB RECOMMENDATION 2016-2017	2016-2017
1	REGULAR SALARIES					
2	EXTRA HELP WAGES					
3	OVERTIME					
4	PERSONAL SERVICES MATCHING					
5	OPERATING EXPENSES					
6	CONFERENCE FEES & TRAVEL					
7	PROFESSIONAL FEES AND SERVICES					
8	CAPITAL OUTLAY					
9	FUNDED DEPRECIATION					
10	BREAST CANCER RESEARCH PROGRAM EXPENSES	851,389	860,000	1,194,216	1,194,216	1,194,216
11						
12						
13	TOTAL APPROPRIATION	\$851,389	\$860,000	\$1,194,216	\$1,194,216	\$1,194,216
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE					
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS***	851,389	860,000		1,194,216	1,194,216
21	TOTAL INCOME	\$851,389	\$860,000		\$1,194,216	\$1,194,216
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

\* Report WF2000 funds on line 17 - "Special Revenues".

\*\*Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

NOTE: Line 20 "Other State Treasury Funds"- Breast Cancer Research Fund

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**APPROPRIATION ACT FORM - STATE TREASURY  
2016-2017 FISCAL YEAR**

FUND MBR0200

INSTITUTION UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES  
BREAST CANCER RESEARCH - SUSAN G. KOMEN

APPROPRIATION \_\_\_\_\_ 231

	DESCRIPTION	ACTUAL 2014-2015	BUDGETED 2015-2016	AUTHORIZED APPROPRIATION 2015-2016	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
					AHECB RECOMMENDATION 2016-2017	2016-2017
1	REGULAR SALARIES					
2	EXTRA HELP WAGES					
3	OVERTIME					
4	PERSONAL SERVICES MATCHING					
5	OPERATING EXPENSES					
6	CONFERENCE FEES & TRAVEL					
7	PROFESSIONAL FEES AND SERVICES					
8	CAPITAL OUTLAY					
9	FUNDED DEPRECIATION					
10	ARKANSAS CANCER RESEARCH CENTER BREAST PROGRAM FOR BREAST CANCER EDUCATION, OUTREACH AND RESEARCH EXPENSES AND GRANTS TO THE ARK. AFFILIATE OF THE SUSAN G. KOMEN FOUNDATION	202,028	210,000	500,000	500,000	500,000
11						
12						
13	TOTAL APPROPRIATION	\$202,028	\$210,000	\$500,000	\$500,000	\$500,000
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE					
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS	202,028	210,000		500,000	500,000
21	TOTAL INCOME	\$202,028	\$210,000		\$500,000	\$500,000
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

\* Report WF2000 funds on line 17 - "Special Revenues".

\*\*Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

NOTE: Line 20 "Other State Treasury Funds"- Revenue from Breast Cancer License Plates sales split with Komen

FORM FR-3

**APPROPRIATION ACT FORM - STATE TREASURY  
2016-2017 FISCAL YEAR**

FUND MNU0000

INSTITUTION UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES  
NEWBORN UMBILICAL CORD BLOOD INITIATIVE

APPROPRIATION \_\_\_\_\_ 58U

	DESCRIPTION	ACTUAL 2014-2015	BUDGETED 2015-2016	AUTHORIZED APPROPRIATION 2015-2016	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
					AHECB RECOMMENDATION 2016-2017	2016-2017
1	REGULAR SALARIES					
2	EXTRA HELP WAGES					
3	OVERTIME					
4	PERSONAL SERVICES MATCHING					
5	OPERATING EXPENSES					
6	CONFERENCE FEES & TRAVEL					
7	PROFESSIONAL FEES AND SERVICES					
8	CAPITAL OUTLAY					
9	FUNDED DEPRECIATION					
10	NEWBORN UMBILICAL CORD BLOOD INITIATIVE PERSONAL SERVICES AND OPERATING EXPENSES	3,442	5,000	546,000	546,000	546,000
11						
12						
13	TOTAL APPROPRIATION	\$3,442	\$5,000	\$546,000	\$546,000	\$546,000
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE					
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS	3,442	5,000		546,000	546,000
21	TOTAL INCOME	\$3,442	\$5,000		\$546,000	\$546,000
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

\* Report WF2000 funds on line 17 - "Special Revenues".

\*\*Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

NOTE: Line 20 "OTHER STATE TREASURY FUNDS"- Newborn Umbilical Cord Blood Initiative Fund

FORM FR-3

**APPROPRIATION ACT FORM - STATE TREASURY  
2016-2017 FISCAL YEAR**

FUND SML0000

INSTITUTION UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES  
DOMESTIC VIOLENCE SHELTER - PROGRAMS

APPROPRIATION \_\_\_\_\_ 1PQ

	DESCRIPTION	ACTUAL 2014-2015	BUDGETED 2015-2016	AUTHORIZED APPROPRIATION 2015-2016	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
					AHECB RECOMMENDATION 2016-2017	2016-2017
1	REGULAR SALARIES					
2	EXTRA HELP WAGES					
3	OVERTIME					
4	PERSONAL SERVICES MATCHING					
5	OPERATING EXPENSES					
6	CONFERENCE FEES & TRAVEL					
7	PROFESSIONAL FEES AND SERVICES					
8	CAPITAL OUTLAY					
9	FUNDED DEPRECIATION					
10	DOMESTIC VIOLENCE SHELTER PROGRAMS	532,216	540,000	6,100,000	6,100,000	6,100,000
11	DOMESTIC VIOLENCE SHELTER GRANTS	0	0	1,050,000	1,050,000	1,050,000
12						
13	TOTAL APPROPRIATION	\$532,216	\$540,000	\$7,150,000	\$7,150,000	\$7,150,000
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE					
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	OTHER STATE TREASURY FUNDS 1	158,682	160,000			
20	OTHER STATE TREASURY FUNDS 2	373,281	380,000			
21	OTHER STATE TREASURY FUNDS 3	253			7,150,000	7,150,000
22	TOTAL INCOME	\$532,216	\$540,000		\$7,150,000	\$7,150,000
23	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

\* Report WF2000 funds on line 17 - "Special Revenues".

\*\*Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

NOTE: Line 19 "OTHER STATE TREASURY FUNDS 1"- BAIL BONDS

NOTE: Line 20 "OTHER STATE TREASURY FUNDS 2"- MARRIAGE LICENSE

NOTE: Line 21 "OTHER STATE TREASURY FUNDS 3"- COURT FEES

FORM FR-3



**APPROPRIATION ACT FORM - STATE TREASURY  
2016-2017 FISCAL YEAR**

FUND TSE0201

INSTITUTION UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES  
TOBACCO FUNDING - INSTITUTE ON AGING

APPROPRIATION 322

	DESCRIPTION	ACTUAL 2014-2015	BUDGETED 2015-2016	AUTHORIZED APPROPRIATION 2015-2016	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
					AHECB RECOMMENDATION 2016-2017	2016-2017
1	REGULAR SALARIES	1,054,439	679,284	1,292,959	1,292,959	1,292,959
2	EXTRA HELP WAGES					
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	260,000	202,145	316,765	316,765	316,765
5	OPERATING EXPENSES	420,000	580,494	603,713	658,944	603,713
6	CONFERENCE FEES & TRAVEL	30,000	35,200	52,128	52,128	52,128
7	PROFESSIONAL FEES AND SERVICES	0	0	0	0	0
8	CAPITAL OUTLAY	0	55,231	55,231	0	55,231
9	FUNDED DEPRECIATION					
10						
11						
12						
13	TOTAL APPROPRIATION	\$1,764,439	\$1,552,354	\$2,320,796	\$2,320,796	\$2,320,796
14	PRIOR YEAR FUND BALANCE**	195,909				
15	GENERAL REVENUE					
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS	1,568,530	1,552,354		2,320,796	2,320,796
20	OTHER STATE TREASURY FUNDS					
21	TOTAL INCOME	\$1,764,439	\$1,552,354		\$2,320,796	\$2,320,796
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

\* Report WF2000 funds on line 17 - "Special Revenues".

\*\*Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM FR-3

**APPROPRIATION ACT FORM - STATE TREASURY  
2016-2017 FISCAL YEAR**

FUND TSE0202

INSTITUTION UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES  
TOBACCO FUNDING - COLLEGE OF PUBLIC HEALTH

APPROPRIATION 347

	DESCRIPTION	ACTUAL 2014-2015	BUDGETED 2015-2016	AUTHORIZED APPROPRIATION 2015-2016	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
					2016-2017	2016-2017
1	REGULAR SALARIES	1,915,248	1,902,904	2,561,276	2,561,276	2,561,276
2	EXTRA HELP WAGES					
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	376,000	367,465	564,670	564,670	564,670
5	OPERATING EXPENSES	50,000	43,163	50,000	50,000	50,000
6	CONFERENCE FEES & TRAVEL	11,548	15,000	20,000	20,000	20,000
7	PROFESSIONAL FEES AND SERVICES	0	0	0	0	0
8	CAPITAL OUTLAY	0	0	0	0	0
9	FUNDED DEPRECIATION					
10						
11						
12						
13	TOTAL APPROPRIATION	\$2,352,796	\$2,328,532	\$3,195,946	\$3,195,946	\$3,195,946
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE					
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS	2,352,796	2,328,532		3,195,946	3,195,946
20	OTHER STATE TREASURY FUNDS					
21	TOTAL INCOME	\$2,352,796	\$2,328,532		\$3,195,946	\$3,195,946
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

\* Report WF2000 funds on line 17 - "Special Revenues".

\*\*Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY  
2016-2017 FISCAL YEAR**

FUND TSF0300

INSTITUTION UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES  
TOBACCO FUNDING - ARKANSAS BIOSCIENCES

APPROPRIATION 365

	DESCRIPTION	ACTUAL 2014-2015	BUDGETED 2015-2016	AUTHORIZED APPROPRIATION 2015-2016	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
					AHECB RECOMMENDATION 2016-2017	2016-2017
1	REGULAR SALARIES	1,407,267	1,207,267	1,407,267	1,407,267	1,407,267
2	EXTRA HELP WAGES					
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	337,875	287,875	337,875	337,875	337,875
5	OPERATING EXPENSES	842,336	411,095	1,042,499	1,042,499	1,042,499
6	CONFERENCE FEES & TRAVEL	23,960	23,960	23,960	23,960	23,960
7	PROFESSIONAL FEES AND SERVICES			37,940	37,940	37,940
8	CAPITAL OUTLAY	997,075	284,680	1,434,680	1,434,680	1,434,680
9	FUNDED DEPRECIATION					
10	ARKANSAS CHILDREN'S HOSPITAL	1,384,819	1,896,736	1,896,736	1,896,736	1,896,736
11						
12						
13	TOTAL APPROPRIATION	\$4,993,332	\$4,111,613	\$6,180,957	\$6,180,957	\$6,180,957
14	PRIOR YEAR FUND BALANCE**	838,874				
15	GENERAL REVENUE					
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS	4,154,458	4,111,613		6,180,957	6,180,957
20	OTHER STATE TREASURY FUNDS					
21	TOTAL INCOME	\$4,993,332	\$4,111,613		\$6,180,957	\$6,180,957
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

\* Report WF2000 funds on line 17 - "Special Revenues".

\*\*Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY  
2016-2017 FISCAL YEAR**

FUND TSE0203

INSTITUTION UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES  
TOBACCO FUNDING - DELTA REGIONAL PROGRAM

APPROPRIATION \_\_\_\_\_ 368

	DESCRIPTION	ACTUAL 2014-2015	BUDGETED 2015-2016	AUTHORIZED APPROPRIATION 2015-2016	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
					AHECB RECOMMENDATION 2016-2017	2016-2017
1	REGULAR SALARIES	788,300	852,835	1,237,959	1,237,959	1,237,959
2	EXTRA HELP WAGES					
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	234,503	238,794	316,765	316,765	316,765
5	OPERATING EXPENSES	603,700	459,525	603,713	603,713	603,713
6	CONFERENCE FEES & TRAVEL	4,000	1,200	52,128	52,128	52,128
7	PROFESSIONAL FEES AND SERVICES	0	0	25,000	25,000	25,000
8	CAPITAL OUTLAY	0	0	0	0	0
9	FUNDED DEPRECIATION	0	0	0	0	0
10						
11						
12						
13	TOTAL APPROPRIATION	\$1,630,503	\$1,552,354	\$2,235,565	\$2,235,565	\$2,235,565
14	PRIOR YEAR FUND BALANCE**	61,973				
15	GENERAL REVENUE					
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS	1,568,530	1,552,354		2,235,565	2,235,565
20	OTHER STATE TREASURY FUNDS					
21	TOTAL INCOME	\$1,630,503	\$1,552,354		\$2,235,565	\$2,235,565
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

\* Report WF2000 funds on line 17 - "Special Revenues".

\*\*Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS  
2016-2017 FISCAL YEAR**

FUND 2040000

INSTITUTION UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES  
UAMS CASH APPROPRIATION

APPROPRIATION A85

	DESCRIPTION	ACTUAL 2014-2015	BUDGETED 2015-2016	AUTHORIZED APPROPRIATION 2015-2016	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
					2016-2017	2016-2017
1	REGULAR SALARIES	646,047,098	805,200,000	805,200,000	805,200,000	805,200,000
2	EXTRA HELP WAGES	191,324	25,000,000	25,000,000	25,000,000	25,000,000
3	OVERTIME	9,644,101	20,000,000	20,000,000	20,000,000	20,000,000
4	PERSONAL SERVICES MATCHING	164,112,070	201,262,000	201,262,000	201,262,000	201,262,000
5	OPERATING EXPENSES	358,582,257	513,643,000	513,643,000	513,643,000	513,643,000
6	CONFERENCE FEES & TRAVEL	2,182,759	20,000,000	20,000,000	20,000,000	20,000,000
7	PROFESSIONAL FEES AND SERVICES	11,198,876	45,000,000	45,000,000	45,000,000	45,000,000
8	CAPITAL OUTLAY	26,493,636	85,000,000	85,000,000	85,000,000	85,000,000
9	CAPITAL IMPROVEMENTS	0	110,000,000	110,000,000	110,000,000	110,000,000
10	DEBT SERVICE	452,190	30,000,000	30,000,000	30,000,000	30,000,000
11	FUND TRANSFERS, REFUNDS AND INVESTMENTS	0	40,000,000	40,000,000	40,000,000	40,000,000
12	CHILDREN'S JUSTICE ACT	250,000	250,000	250,000	250,000	250,000
13	WAR MEMORIAL PARKING FEES	50,000	50,000	50,000	50,000	50,000
14	INDIGENT CARE & BREAST CANCER RESEARCH PROGRAM GRANTS/AID	0	10,000,000	10,000,000	10,000,000	10,000,000
15	TOTAL APPROPRIATION	\$1,219,204,311	\$1,905,405,000	\$1,905,405,000	\$1,905,405,000	\$1,905,405,000
16	PRIOR YEAR FUND BALANCE***					
17	TUITION AND MANDATORY FEES	37,498,707	37,455,374		38,600,000	38,600,000
18	ALL OTHER FEES					
19	SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	29,694,418	30,985,950		32,000,000	32,000,000
20	INVESTMENT INCOME	7,706,477	4,523,808		3,000,000	3,000,000
21	FEDERAL CASH FUNDS	68,250,906	66,253,132		80,000,000	80,000,000
22	OTHER CASH FUNDS	1,076,053,803	1,766,186,736		1,751,805,000	1,751,805,000
23	TOTAL INCOME	\$1,219,204,311	\$1,905,405,000		\$1,905,405,000	\$1,905,405,000
24	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

**TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)**

	ACTUAL 2014-2015	BUDGETED 2015-2016	AUTHORIZED 2015-2016	REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
				2016-2017	2016-2017	2016-2017
REGULAR POSITIONS	9,026	9,362	11,628	11,628	11,628	11,628
TOBACCO POSITIONS	43	43	113	113	113	113
EXTRA HELP **	763	770	1,565	1,565	1,565	1,565

\*\* The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

\*\*\*Line 16 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS  
2016-2017 FISCAL YEAR**

FUND 2040000

INSTITUTION UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES  
PHARMACY STUDENT LOANS

APPROPRIATION A85

	DESCRIPTION	ACTUAL 2014-2015	BUDGETED 2015-2016	AUTHORIZED APPROPRIATION 2015-2016	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
					2016-2017	2016-2017
1	REGULAR SALARIES					
2	EXTRA HELP WAGES					
3	OVERTIME					
4	PERSONAL SERVICES MATCHING					
5	OPERATING EXPENSES					
6	CONFERENCE FEES & TRAVEL					
7	PROFESSIONAL FEES AND SERVICES					
8	CAPITAL OUTLAY					
9	CAPITAL IMPROVEMENTS					
10	DEBT SERVICE					
11	FUND TRANSFERS, REFUNDS AND INVESTMENTS					
12	PHARMACY STUDENT LOANS	0	550,000	550,000	550,000	550,000
13						
14						
15	TOTAL APPROPRIATION	\$0	\$550,000	\$550,000	\$550,000	\$550,000
16	PRIOR YEAR FUND BALANCE***					
17	TUITION AND MANDATORY FEES					
18	ALL OTHER FEES					
19	SALES AND SRVS RELATED TO ED DEPARTMENTS					
20	INVESTMENT INCOME					
21	FEDERAL CASH FUNDS					
22	OTHER CASH FUNDS	0	550,000		550,000	550,000
23	TOTAL INCOME	\$0	\$550,000		\$550,000	\$550,000
24	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

**TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)**

	ACTUAL 2014-2015	BUDGETED 2015-2016	AUTHORIZED 2015-2016	REQUEST 2016-2017	AHECB RECOMMEND 2016-17	LEGISLATIVE RECOMMENDATION 2016-2017
REGULAR POSITIONS	N/A					
TOBACCO POSITIONS	N/A					
EXTRA HELP **	N/A					

\*\* The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

\*\*\*Line 16 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES**

UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES  
(NAME OF INSTITUTION)

	ACTIVITY	ACTUAL 2014-2015				BUDGETED 2015-2016			
		INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1	INTERCOLLEGIATE ATHLETICS *	0	0	0	0	0	0	0	0
2	HOUSING	1,292,311	559,588	732,723	0	1,257,100	524,440	732,660	0
3	FOOD SERVICES	0	0	0	0	0	0	0	0
4	STUDENT UNION	0	0	0	0	0	0	0	0
5	BOOKSTORE	543,870	543,870	0	0	774,460	774,460	0	0
6	STUDENT ORGANIZATIONS AND PUBLICATIONS	130,185	26,283	0	103,902	93,000	93,000	0	0
7	OTHER	4,260,191	2,152,080	1,625,401	482,710	4,328,989	2,703,588	1,625,401	0
8	SUBTOTAL	6,226,557	3,281,821	2,358,124	586,612	6,453,549	4,095,488	2,358,061	0
9	ATHLETIC TRANSFER **	0			0	0			0
10	OTHER TRANSFERS ***	0			0	0			0
11	GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	6,226,557	3,281,821	2,358,124	586,612	6,453,549	4,095,488	2,358,061	0

\* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM FR-5

\*\* For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

\*\*\* For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 7 "Other"- Auxiliary Revenue is comprised of Parking, Rental Properties and Clinical Valet Parking. Deficit for Valet Parking will be covered by University Hospital.

**EMPLOYMENT INFORMATION  
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES  
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISC <u>8,791</u> (As of November 1, 2014 )						
Nonclassified Administrative Employees:						
White Male:	<u>513</u>	Black Male:	<u>64</u>	Other Male:	<u>47</u>	Total Male: <u>624</u>
White Female:	<u>1,145</u>	Black Female:	<u>350</u>	Other Female:	<u>65</u>	Total Female: <u>1,560</u>
Nonclassified Health Care Employees:						
White Male:	<u>437</u>	Black Male:	<u>145</u>	Other Male:	<u>29</u>	Total Male: <u>611</u>
White Female:	<u>2,199</u>	Black Female:	<u>897</u>	Other Female:	<u>116</u>	Total Female: <u>3,212</u>
Classified Employees:						
White Male:	<u>186</u>	Black Male:	<u>163</u>	Other Male:	<u>18</u>	Total Male: <u>367</u>
White Female:	<u>461</u>	Black Female:	<u>520</u>	Other Female:	<u>56</u>	Total Female: <u>1,037</u>
Faculty:						
White Male:	<u>578</u>	Black Male:	<u>20</u>	Other Male:	<u>162</u>	Total Male: <u>760</u>
White Female:	<u>468</u>	Black Female:	<u>39</u>	Other Female:	<u>113</u>	Total Female: <u>620</u>
Total White Male:	<u>1,714</u>	Total Black Male:	<u>392</u>	Total Other Male:	<u>256</u>	Total Male: <u>2,362</u>
Total White Female:	<u>4,273</u>	Total Black Female:	<u>1,806</u>	Total Other Female:	<u>350</u>	Total Female: <u>6,429</u>
Total White:	<u>5,987</u>	Total Black:	<u>2,198</u>	Total Other:	<u>606</u>	Total Employees: <u>8,791</u>
				<b>Total Minority:</b>	<u>2,804</u>	

FORM FR-6



**ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES**  
**STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES**  
**Fiscal Year 2015**  
**Required by A.C.A. 25-36-104**

**Institution**

UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
Advanced Fluid Technology Inc	\$146,037		X				
Arkansas Spanish Interpreters	\$97,783				X		
IP C & C Commercial Cleaner, Inc.	\$177,600				X		
IP Mark Leverett	\$161,737	X					
JE Systems Inc.	\$51,061				X		
Ortho Concepts	\$53,950	X					
Shi International Corp	\$841,511				X		

**TOTAL NUMBER OF MINORITY CONTRACTS AWARDED** 7

**TOTAL EXPENDITURES ON CONTRACTS AWARDED** \$38,069,327 (1)  
 (Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

**% OF MINORITY CONTRACTS AWARDED** 4.02%

(1) The \$38,069,327 is the total vendor contracts that were defined as minority biddable. Of those contracts, 4% were awarded to the minority designations above. The \$1,529,629 total of minority purchases above does not include small order purchases (less than \$50,000) that went to minority vendors. Adding in the small order amount (\$370,531) to the \$1,529,679 gives a total of \$1,900,210 for FY15. Contracts exclude drugs and medicine, blood derivatives, medical implants and appliances, nonbiddable grant expenses and mandatory state contract purchases approved by the Arkansas Economic Development Commission in conjunction with the Office of State Procurement.

**AUDIT OF UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES**  
**Audited by PricewaterhouseCoopers LLP**  
**June 30, 2015**

<p><i>Finding No. 1:</i></p>	<p>As reported by other auditors, the UAMS Information Services Department manages several applications that support the production of the financial statements. These applications include SAP, Oasis, and Epic. Our audit procedures are designed to assess the design effectiveness of the underlying Information Technology General Controls (ITGCs) for these applications. Broadly defined, underlying ITGCs are controls within the domains of Security, Application Change Management, and Application Development that provide the foundation to support the completeness, accuracy, and validity of transactions processed for financial reporting. We noted that the prior year issues related to HBOC have been removed as HBOC is no longer an in scope application. While we noted through our design assessments that management has remediated the deficiency related to the review of Oasis application access and administrative user access, our assessment of the design effectiveness of the information technology general controls for the period ended June 30, 2015 resulted in ongoing exceptions related to the design effectiveness of Logical Security Controls for the following:</p> <p><b>User Access Review:</b> Based on our inquiries with management, a process has been designed to support the periodic review of user access to SAP and an initial implementation of this review process has started. However, the periodic review of user access to SAP was not in place to provide coverage for the entire period. We will evaluate the design effectiveness of the SAP user access review in conjunction with our June 30, 2016 audit.</p> <p><b>Terminated Users:</b> Terminated users continued to have user transaction access privileges to SAP, Oasis and Epic subsequent to their termination date. The access was not removed timely because HR back-dated the terminations.</p> <p><b>Administrative Access and Review:</b> Although an Epic operating system (“OS”) privileged access review is performed, it is incomplete in that it does not include a review of superuser (“su”) log activity which would allow management to detect inappropriate root access through superuser (“su”).</p> <p><b>Access to Production:</b> Individuals with development responsibilities have the ability to access the production environment for EPIC. Based on our inquiries with management, while management implemented an informal process to periodically review that developers did not promote their own code outside of the change management process, the control is not consistently performed and documented with relevant action items arising out of the review.</p>
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**AUDIT OF UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES**  
**Audited by PricewaterhouseCoopers LLP**  
**June 30, 2015**

	<p>Inappropriate elevated access, coupled with developer access to production, could result in unapproved changes impacting financial reporting being promoted to the production environment and key financial data/programs (e.g., general ledger data) being intentionally or unintentionally modified.</p> <p>Recommendation: Management should continue to formalize its control activities related to Information Technology General Controls. Additionally, management should continue ongoing controls education and awareness sessions around the responsibility of the control owners for executing the controls and creating and maintaining the related documentation evidencing that controls are operating consistently, timely, and effectively. Where necessary, these sessions should also extend to those control owners residing outside of Information Services that have responsibility for user access controls.</p>
<p><i>Institution's Response</i></p>	<p><b>User Access Review:</b> UAMS implemented a new process for reviewing all SAP user access. However, the new process was not completed until June 30th. Management has now incorporated this into an annual schedule for completion by supervisors and functional system owners.</p> <p><b>Terminated Users:</b> The current termination policy and process will be revised to require that no terminations are effective until the Office of Human Resources receives notification and that the notification indicates a future termination date. This will eliminate backdating issue. The Office of Human Resources will be responsible for managing all termination processes including the termination of employee access to UAMS ERP systems. The new process will be in effect no later than December 31, 2015.</p> <p><b>Administrative Access and Review:</b> The Super User activity log was not part of the original privileged access review. It was initiated on June 19th with new procedures and a review was conducted prior to the end of the fiscal year in which no inappropriate use of privilege access was found. The Division of Information Technology has established procedures to review the log quarterly.</p> <p><b>Access to Production:</b> In Epic there are users that have the ability to move changes into production. Some of these are limited to specific functions (provisioning, printer configurations) and are not developers or builders. Reports are generated weekly of the daily data courier moves to production. These moves are monitored by the IT Technical Security team, since February 3, 2015 and to date no miss-use has been found. The individuals with these development responsibilities are not developing and moving code. They are developing user templates, security classes, views, reports, and provisioning appropriate</p>

**AUDIT OF UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES**  
**Audited by PricewaterhouseCoopers LLP**  
**June 30, 2015**

	accesses to Epic.
<p><i>Finding No. 2:</i></p>	<p>The University of Arkansas System Internal Audit Department (IAD) conducted an audit of certain transactions involving the University's June 30, 2015, monthly payroll. After the University's Information Technology Department determined that a phishing scheme email had entered the University's email exchange servers, UAMS administration requested an investigative report from a campus police department and an internal audit. IAD verified that nine employees' monthly payroll direct deposits were diverted to other bank accounts. The total of the direct deposit funds that were diverted due to the employees responding to an email scheme was \$148,117. Subsequently, the University issued an additional June 2015 payroll to these employees via paper checks resulting in overpayments of \$148,117. The University was able to recover \$82,713 by working with the banks and employees involved, resulting in a net loss of \$65,404 to the University from payroll overpayments to four of the employees.</p>
<p><i>Institution's Response</i></p>	<p>UAMS' Vice Chancellor for Finance and CFO will meet with the General Counsel's Office by December 4th to discuss the matter. UAMS' Assistant Vice Chancellor/ Controller and Payroll Manager have been advised not to issue payroll disbursements beyond the pre-approved, monthly budgeted payroll amounts without appropriate documentation as defined by policy, including instances of identity theft as in this case.</p> <p>A draft policy has been developed and is under review by general counsel. The policy will be accompanied by additional training and continuing communications to employees concerning the appropriate use of their assigned user credentials and release of confidential information. All changes will be in place at UAMS by December 31, 2015.</p> <p>The current incident response plan will be revised to define key roles and responsibilities and procedures for coordinating responses, ensuring the integrity of evidence related to any incident, and handling review and follow up. The new procedures will be finalized by December 31, 2015.</p> <p>UAMS' management is working on technical solutions to provide for enhanced authentication. Management expects to have new solutions in place by July 1, 2016.</p>

**INSTITUTION APPROPRIATION SUMMARY  
2016-2017 Fiscal Year**

INSTITUTION UNIVERSITY OF ARKANSAS AT PINE BLUFF

	APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
		2014-15		2015-16		2015-16		2016-17			
		ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1	STATE TREASURY	27,309,288		27,330,722		28,631,684		28,680,692		28,680,692	
2	CASH	15,932,948		122,401,065		122,401,065		124,849,086		124,849,086	
3											
4											
5											
6											
7											
8											
9											
10											
11	TOTAL	\$43,242,236	658	\$149,731,787	681	\$151,032,749	871	\$153,529,778	872	\$153,529,778	870
	<b>FUNDING SOURCES</b>		%		%				%		%
12	PRIOR YEAR FUND BALANCE*	0	0%	0	0%			0	0%	0	0%
13	GENERAL REVENUE	25,418,885	59%	25,418,885	17%			26,768,855	17%	26,768,855	17%
14	EDUCATIONAL EXCELLENCE TRUST FUND	1,890,403	4%	1,911,837	1%			1,911,837	1%	1,911,837	1%
15	WORKFORCE 2000	0	0%	0	0%			0	0%	0	0%
16	CASH FUNDS	7,215,561	17%	62,401,065	42%			63,649,086	41%	63,649,086	41%
17	SPECIAL REVENUES		0%		0%				0%	0	0%
18	FEDERAL FUNDS	8,717,387	20%	60,000,000	40%			61,200,000	40%	61,200,000	40%
19	TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%
20	OTHER FUNDS	0	0%	0	0%			0	0%	0	0%
21	TOTAL INCOME	\$43,242,236	100%	\$149,731,787	100%			\$153,529,778	100%	\$153,529,778	100%
22	EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2015:	\$21,783,442
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$2,836,705
INVENTORIES	\$40,142
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	\$0
INSURANCE DEDUCTIBLES	\$65,000
MAJOR CRITICAL SYSTEMS FAILURES	\$3,500,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$6,271,023
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$6,000,000
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$3,070,572

\*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME  
AND AHECB GENERAL REVENUE RECOMMENDATIONS  
FOR THE 2016-2017 Fiscal Year (Non-Formula Entities)**

UNIVERSITY OF ARKANSAS AT PINE BLUFF  
NAME OF INSTITUTION

	EXPENDITURE CATEGORIES	2014-2015 ACTUAL	2015-2016 BUDGETED*	2016-17 FISCAL YEAR INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS	
				2016-2017	
				REQUEST	RECOMMENDATION
1	Research	1,757,211	1,946,180	2,205,906	2,205,906
2	Public Service	1,890,380	1,714,470	2,380,178	2,380,178
3					
4					
5					
6					
7					
8					
9					
10					
11					
12					
13	MANDATORY TRANSFERS				
14	AUXILIARY TRANSFERS				
15	NON-MANDATORY TRANSFERS				
16	TOTAL UNREST. E&G EXP.	\$3,647,591	\$3,660,650	\$4,586,084	\$4,586,084
17	NET LOCAL INCOME				
18	PRIOR YEAR BALANCE**				
	STATE FUNDS:				
19	GENERAL REVENUE	3,647,591	3,647,591	4,586,084	4,586,084
20	EDUCATIONAL EXCELLENCE				
21	WORKFORCE 2000				
22	TOBACCO SETTLEMENT FUNDS				
23	OTHER STATE FUNDS ***				
24	TOTAL SOURCES OF INCOME	\$3,647,591	\$3,647,591	\$4,586,084	\$4,586,084

\* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

FORM FR-2 Nonformula

\*\* Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

\*\*\* Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in a footnote.

**APPROPRIATION ACT FORM - STATE TREASURY  
2016-2017 FISCAL YEAR**

FUND CGA0000

INSTITUTION UNIVERSITY OF ARKANSAS AT PINE BLUFF

APPROPRIATION \_\_\_\_\_ 616

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2014-2015	2015-2016	APPROPRIATION	AHECB RECOMMENDATION	RECOMMENDATION
				2015-2016	2016-2017	2016-2017
1	REGULAR SALARIES	16,542,170	17,157,688	18,023,945	18,250,945	18,537,162
2	EXTRA HELP WAGES	972,375	986,864	986,864	896,393	1,021,732
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	4,338,651	4,317,111	4,535,073	5,008,398	4,813,402
5	OPERATING EXPENSES	4,000,740	4,018,196	4,234,939	4,234,939	4,377,489
6	CONFERENCE FEES & TRAVEL	0	0	0	0	0
7	PROFESSIONAL FEES AND SERVICES	293,635	287,146	287,146	290,017	290,017
8	CAPITAL OUTLAY	0	0	0	0	0
9	FUNDED DEPRECIATION	1,160,117	563,717	563,717		563,717
10	WORKERS COMP/SURETY PREMIUM	1,600				
11						
12						
13	TOTAL APPROPRIATION	\$27,309,288	\$27,330,722	\$28,631,684	\$28,680,692	\$29,603,519
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE	25,418,885	25,418,885		26,768,855	27,691,682
16	EDUCATIONAL EXCELLENCE TRUST FUND	1,890,403	1,911,837		1,911,837	1,911,837
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)					
21	TOTAL INCOME	\$27,309,288	\$27,330,722		\$28,680,692	\$29,603,519
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

\* Report WF2000 funds on line 17 - "Special Revenues".

\*\*Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS  
2016-2017 Fiscal Year**

FUND 2020000

INSTITUTION UNIVERSITY OF ARKANSAS AT PINE BLUFF

APPROPRIATION

B13

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2014-2015	2015-2016	2015-2016	AHECB RECOMMENDATION	2016-2017
1	REGULAR SALARIES	8,118,671	29,711,429	29,711,429	30,305,658	30,305,658
2	EXTRA HELP WAGES	1,370,089	4,450,947	4,450,947	4,539,966	4,539,966
3	OVERTIME	376,912	4,673,495	4,673,495	4,766,965	4,766,965
4	PERSONAL SERVICES MATCHING	3,260,746	9,456,912	9,456,912	9,646,050	9,646,050
5	OPERATING EXPENSES	538,610	18,026,339	17,915,065	18,273,367	18,273,367
6	CONFERENCE FEES & TRAVEL	140,098	2,392,384	2,392,384	2,440,232	2,440,232
7	PROFESSIONAL FEES AND SERVICES	740,792	2,392,385	2,392,385	2,440,232	2,440,232
8	CAPITAL OUTLAY	1,375,324	14,632,491	14,632,491	14,925,140	14,925,140
9	CAPITAL IMPROVEMENTS	0	22,922,381	22,922,381	23,380,829	23,380,829
10	DEBT SERVICE	0	3,727,670	3,727,670	3,802,223	3,802,223
11	FUND TRANSFERS, REFUNDS AND INVESTMENTS	11,706	10,014,632	10,014,632	10,214,925	10,214,925
12	PROMOTIONAL ITEMS	0	0	111,274	113,499	113,499
13						
14						
15						
16	TOTAL APPROPRIATION	\$15,932,948	\$122,401,065	\$122,401,065	\$124,849,086	\$124,849,086
17	PRIOR YEAR FUND BALANCE**					
18	TUITION AND MANDATORY FEES	6,762,831	17,138,742		17,481,517	17,481,517
19	ALL OTHER FEES		135,000		137,700	137,700
20	SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	168,881	273,750		279,225	279,225
21	INVESTMENT INCOME	48,849	10,000		10,200	10,200
22	FEDERAL CASH FUNDS	8,717,387	60,000,000		61,200,000	61,200,000
23	OTHER CASH FUNDS	235,000	44,843,573		45,740,444	45,740,444
24	TOTAL INCOME	\$15,932,948	\$122,401,065		\$124,849,086	\$124,849,086
25	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

FORM FR-4

**TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)**

	ACTUAL	BUDGETED	AUTHORIZED	REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
	2014-2015	2015-2016	2015-2016	2016-2017	2016-17	2016-2017
REGULAR POSITIONS	658	681	871	872	870	871
TOBACCO POSITIONS						
EXTRA HELP ***	243	251	924	924	924	924

\*\* Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

\*\*\* The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.



**AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES**

UNIVERSITY OF ARKANSAS AT PINE BLUFF  
(NAME OF INSTITUTION)

	ACTIVITY	ACTUAL 2014-2015				BUDGETED 2015-2016			
		INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1	INTERCOLLEGIATE ATHLETICS*	2,486,258	5,855,348	246,167	(3,615,257)	4,418,311	5,842,177	216,821	(1,640,687)
2	HOUSING	4,504,904	2,423,085	681,136	1,400,683	4,693,000	3,442,340	872,380	378,280
3	FOOD SERVICES	3,409,905	2,630,196	0	779,709	3,165,000	2,712,822	0	452,178
4	STUDENT UNION	321	206,971	0	(206,650)	0	324,675	0	(324,675)
5	BOOKSTORE	151,680	3,058	0	148,622	135,000	0	0	135,000
6	STUDENT ORGANIZATIONS AND PUBLICATIONS	0	0	0	0	0	0	0	0
7	OTHER (FOOTNOTE BELOW)	253,590	969,331	0	(715,741)	224,500	547,042		(322,542)
8	SUBTOTAL	10,806,658	12,087,989	927,303	(2,208,634)	12,635,811	12,869,056	1,089,201	(1,322,446)
9	ATHLETIC TRANSFER**	1,235,581			1,235,581	1,138,234			1,138,234
10	OTHER TRANSFERS*** (FOOTNOTE BELOW)	184,212			184,212	184,212			184,212
11	GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	12,226,451	12,087,989	927,303	(788,841)	13,958,257	12,869,056	1,089,201	0

\* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM FR-5

\*\* For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

\*\*\* For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 7 "Other" - Income: Includes revenue from the following sources: transit fees, traffic fines, printing services, lease revenue and duplicate ID charges. Operating Expenses: Includes expenses from the following departments: facilities management auxiliary services, Information Technology, parking/auto registration services, homecoming and transit fees.

NOTE: Line 10 "Other Transfers"- Includes transfers to the University's Student Union.

**EMPLOYMENT INFORMATION  
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

UNIVERSITY OF ARKANSAS AT PINE BLUFF  
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2014-2015:						494
(As of November 1, 2014 )						
<b>Nonclassified Administrative Employees:</b>						
White Male:	1	Black Male:	22	Other Male:	0	Total Male: 23
White Female:	1	Black Female:	34	Other Female:	0	Total Female: 35
<b>Nonclassified Health Care Employees:</b>						
White Male:	0	Black Male:	0	Other Male:	0	Total Male: 0
White Female:	0	Black Female:	0	Other Female:	0	Total Female: 0
<b>Classified Employees:</b>						
White Male:	5	Black Male:	66	Other Male:	0	Total Male: 71
White Female:	7	Black Female:	126	Other Female:	0	Total Female: 133
<b>Faculty:</b>						
White Male:	39	Black Male:	62	Other Male:	22	Total Male: 123
White Female:	18	Black Female:	81	Other Female:	10	Total Female: 109
<b>Total White Male:</b>						45
<b>Total White Female:</b>						26
<b>Total Black Male:</b>						150
<b>Total Black Female:</b>						241
<b>Total Other Male:</b>						22
<b>Total Other Female:</b>						10
<b>Total White:</b>						71
<b>Total Black:</b>						391
<b>Total Other:</b>						32
<b>Total Minority:</b>						423
<b>Total Employees:</b>						494

FORM FR-6

**ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES**  
**STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES**  
 Fiscal Year 2015  
 Required by A.C.A. 25-36-104

Institution

UNIVERSITY OF ARKANSAS AT PINE BLUFF

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
ARKANSAS HUMAN DEVELOPMENT	\$ 53,000.00	X					
CALHOUN HEIGHTS COMMUNITY	\$ 60,500.00	X					
CATO'S TRUCKING ASPHALT	\$ 65,987.90	X					
COALITION FOR A TOBACCO FREE	\$ 61,750.00	X					
CON-REAL, LP	\$ 1,298,323.18	X					
DESIGN GROUP, LLC	\$ 272,330.51	X					
DRS SERVICES	\$ 80,625.00	X					
EAST ARKANSAS ENTERPRISE COMMUNITY	\$ 52,000.00	X					
FAMILY YOUTH ENRICHMENT NETWORK, INC.	\$ 61,750.00	X					
FIRST PRESBYTERIAN CHILD CARE	\$ 84,555.16		X				
FUTURE BUILDERS, INC	\$ 61,750.00	X					
GODDESS PRODUCTS	\$ 238,503.07	X					
IN HIS IMAGE YOUTH DEVELOPMENT	\$ 60,880.00	X					
LEGACY INITIATIVES	\$ 61,598.00	X					
LUCKY HOLMAN CONSTRUCTION	\$ 110,054.44	X					
MADISON COUNTY HLTH COALITION	\$ 54,672.00	X					
MAMA MYRTLE'S	\$ 82,163.16	X					
MISSISSIPPI COUNTY COALITION	\$ 118,000.00	X					
MOTHER GOOSE	\$ 76,744.04	X					
PART OF THE SOLUTION	\$ 52,000.00	X					
PRECIOUS HEARTS STUDENT CTR	\$ 50,149.60	X					
SOUTHEAST AR EDUCATION SERVICES	\$ 58,000.00	X					
ST FRANCIS HOUSE NWA, INC.	\$ 61,750.00		X				
THOMPSON'S HOSPITALITY	\$ 406,733.98	X					
WELLS BAYOU YOUTH DEVELOPMENT	\$ 55,500.00	X					
WOMEN'S COUNCIL ON AFRICAN AMERICAN AFFAIRS	\$ 61,750.00	X					

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 26

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$25,962,483  
 (Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 14%

**ARKANSAS LEGISLATIVE AUDIT**  
**AUDIT OF UNIVERSITY OF ARKANSAS AT PINE BLUFF**  
**June 30, 2015**

<p><i>Finding No. 1:</i></p>	<p>During its audit of Treasury transactions, the University of Arkansas System Internal Audit Department (IAD) discovered that the Associate Professor/Director of Theatre had misappropriated \$808 from an unauthorized bank account opened in September 2014. UAPB Administration requested an investigative report from the campus police department. The \$808 was recovered from the employee's final paycheck.</p>
<p><i>Institution's Response</i></p>	<p>The employee has been terminated from the University and the misappropriated funds have been recovered. The University has created written policy which mandates that all "student organizations, or related professional or academic entities or activities" are required to deposit their funds with the University. In addition, payment between departments are now being handled by journal entry instead of writing checks between the departments.</p>

**ARKANSAS LEGISLATIVE AUDIT  
AUDIT OF UNIVERSITY OF ARKANSAS AT PINE BLUFF  
June 30, 2014**

**U.S. DEPARTMENT OF AGRICULTURE**

**Finding Number:** 2014-001  
**State/Educational Agency(s):** University of Arkansas at Pine Bluff  
**CFDA Number(s) and Program Title(s):** 10.500 – Cooperative Extension Service  
(Research and Development Cluster)  
**Federal Award Number(s):** 2008-45200-04406  
**Federal Award Year(s):** 2014  
**Compliance Requirement(s) Affected:** Procurement and Suspension and Debarment  
**Type of Finding:** Noncompliance and Significant Deficiency

**Criteria:**

In accordance with 2 CFR, part 180, OMB Guidelines to Agencies on Government-wide Debarment and Suspension (Nonprocurement), and 2 CFR, part 417, U.S. Department of Agriculture's nonprocurement suspension and debarment regulations, nonfederal entities may not contract with suspended or debarred parties.

**Condition and Context:**

Although goods and services were properly received or rendered, the University did not verify that applicable vendors had not been suspended or debarred from doing business with the Federal Government. However, none of the applicable vendors identified during the audit period were suspended or debarred. University management provided a vendor listing of the applicable transactions that revealed seven vendors paid with program funds should have been verified as not being suspended or debarred prior to the execution of the applicable contracts.

**Questioned Cost:**

None

**Cause:**

The University did not implement internal control procedures to ensure that applicable contracts were not executed with suspended or debarred parties.

**Effect:**

Without adequate internal control procedures, transactions could be conducted with suspended or debarred parties, which could result in a repayment of program funds to the grantor.

**Recommendation:**

We recommend the University implement adequate internal control procedures to ensure applicable transactions are not conducted with suspended or debarred parties.

**Views of Responsible Officials and Planned Corrective Action:**

The University of Arkansas Pine Bluff will strengthen its internal control processes to ensure all federal guidelines are being followed. Each quarter, the University will review the expenditures to federal vendors to determine which vendors, if any, have been paid over \$25,000. Also, those vendors will be checked against the federal suspended and debarred website. This procedure will be performed by those in the Controller's office. As an additional control, the procurement office will check all vendors against the website to determine if those businesses are eligible to receive federal funds before a purchase order is processed from the University. A copy of the verification will be printed and attached to the purchase requisition. These changes will go into effect immediately at our institution.

**Anticipated Completion Date:** February 5, 2015

**Contact Person:** Adrian Childs  
Controller  
University of Arkansas Pine Bluff  
1200 N University Drive, Mail Slot 4984  
Pine Bluff, AR 71601  
(870) 575-8329  
[childsa@uapb.edu](mailto:childsa@uapb.edu)

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**INSTITUTION APPROPRIATION SUMMARY  
2016-2017 Fiscal Year**

INSTITUTION UNIVERSITY OF CENTRAL ARKANSAS

	APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
		2014-15		2015-16		2015-16		2016-17			
		ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1	STATE TREASURY	57,819,734		57,864,927		66,086,371		73,685,851		73,685,851	
2	CASH	118,546,182		394,650,000		394,650,000		408,800,000		408,800,000	
3											
4											
5											
6											
7											
8											
9											
10											
11	TOTAL	\$176,365,916	1,834	\$452,514,927	1,854	\$460,736,371	2,253	\$482,485,851	2,253	\$482,485,851	2,253
	<b>FUNDING SOURCES</b>		%		%				%		%
12	PRIOR YEAR FUND BALANCE*	0	0%	0	0%			0	0%	0	0%
13	GENERAL REVENUE	53,114,705	30%	53,114,705	12%			68,935,629	14%	68,935,629	14%
14	EDUCATIONAL EXCELLENCE TRUST FUND	4,696,967	3%	4,750,222	1%			4,750,222	1%	4,750,222	1%
15	WORKFORCE 2000	0	0%	0	0%			0	0%	0	0%
16	CASH FUNDS	82,905,578	47%	319,650,000	71%			332,800,000	69%	332,800,000	69%
17	SPECIAL REVENUES		0%		0%				0%	0	0%
18	FEDERAL FUNDS	35,640,604	20%	75,000,000	17%			76,000,000	16%	76,000,000	16%
19	TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%
20	OTHER FUNDS	8,062	0%	0	0%			0	0%	0	0%
21	TOTAL INCOME	\$176,365,916	100%	\$452,514,927	100%			\$482,485,851	100%	\$482,485,851	100%
22	EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2015:	\$21,653,563
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$1,860,609
INVENTORIES	\$349,477
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	
MAJOR CRITICAL SYSTEMS FAILURES	
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$13,231,688
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$6,211,789

\*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY  
2016-2017 FISCAL YEAR**

FUND CUA0000

INSTITUTION UNIVERSITY OF CENTRAL ARKANSAS

APPROPRIATION \_\_\_\_\_

310

	DESCRIPTION	ACTUAL 2014-2015	BUDGETED 2015-2016	AUTHORIZED APPROPRIATION 2015-2016	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
					AHECB RECOMMENDATION 2016-2017	2016-2017
1	REGULAR SALARIES	45,995,323	50,000,000	50,000,000	55,000,000	51,000,000
2	EXTRA HELP WAGES	3,200,000	3,600,000	4,000,000	4,500,000	4,500,000
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	8,611,672	4,264,927	9,986,371	11,985,851	10,368,962
5	OPERATING EXPENSES	1,875	0	2,100,000	2,200,000	2,200,000
6	CONFERENCE FEES & TRAVEL	0	0	0	0	0
7	PROFESSIONAL FEES AND SERVICES	0	0	0	0	0
8	CAPITAL OUTLAY	0	0	0	0	0
9	FUNDED DEPRECIATION					
10	WORKERS COMP/SURETY PREMIUM	10,864				
11						
12						
13	TOTAL APPROPRIATION	\$57,819,734	\$57,864,927	\$66,086,371	\$73,685,851	\$68,068,962
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE	53,114,705	53,114,705		68,935,629	63,318,740
16	EDUCATIONAL EXCELLENCE TRUST FUND	4,696,967	4,750,222		4,750,222	4,750,222
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)	8,062				
21	TOTAL INCOME	\$57,819,734	\$57,864,927		\$73,685,851	\$68,068,962
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

\* Report WF2000 funds on line 17 - "Special Revenues".

\*\*Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

NOTE: Line 20 "Other State Treasury Funds"- special state appropriations for Tuition Adjustment.

FORM FR-3



**APPROPRIATION ACT FORM - CASH FUNDS  
2016-2017 Fiscal Year**

FUND 2070000

INSTITUTION UNIVERSITY OF CENTRAL ARKANSAS

APPROPRIATION A75

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2014-2015	2015-2016	APPROPRIATION	AHECB RECOMMENDATION	2016-2017
				2015-2016	2016-2017	2016-2017
1	REGULAR SALARIES	29,333,376	76,000,000	76,000,000	77,000,000	77,000,000
2	EXTRA HELP WAGES	1,498,710	3,100,000	3,100,000	3,200,000	3,200,000
3	OVERTIME	222,251	650,000	650,000	700,000	700,000
4	PERSONAL SERVICES MATCHING	13,183,551	35,000,000	35,000,000	36,000,000	36,000,000
5	OPERATING EXPENSES	56,672,073	100,000,000	100,000,000	105,000,000	105,000,000
6	CONFERENCE FEES & TRAVEL	947,907	3,000,000	3,000,000	3,000,000	3,000,000
7	PROFESSIONAL FEES AND SERVICES	1,887,639	8,000,000	8,000,000	8,000,000	8,000,000
8	CAPITAL OUTLAY	3,804,710	15,000,000	15,000,000	16,000,000	16,000,000
9	CAPITAL IMPROVEMENTS	0	100,000,000	100,000,000	105,000,000	105,000,000
10	DEBT SERVICE	10,822,328	29,000,000	29,000,000	30,000,000	30,000,000
11	FUND TRANSFERS, REFUNDS AND INVESTMENTS	0	24,300,000	24,300,000	24,300,000	24,300,000
12	PROMOTIONAL ITEMS	173,637	600,000	600,000	600,000	600,000
13						
14						
15						
16	TOTAL APPROPRIATION	\$118,546,182	\$394,650,000	\$394,650,000	\$408,800,000	\$408,800,000
17	PRIOR YEAR FUND BALANCE**					
18	TUITION AND MANDATORY FEES	80,038,286	75,000,000		76,000,000	76,000,000
19	ALL OTHER FEES					
20	SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	2,396,396	75,000,000		76,000,000	76,000,000
21	INVESTMENT INCOME	470,896	2,000,000		2,000,000	2,000,000
22	FEDERAL CASH FUNDS	35,640,604	75,000,000		76,000,000	76,000,000
23	OTHER CASH FUNDS	0	167,650,000		178,800,000	178,800,000
24	TOTAL INCOME	\$118,546,182	\$394,650,000		\$408,800,000	\$408,800,000
25	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

FORM FR-4

**TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)**

	ACTUAL	BUDGETED	AUTHORIZED	REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
	2014-2015	2015-2016	2015-2016	2016-2017	2016-17	2016-2017
REGULAR POSITIONS	1,834	1,854	2,253	2,253	2,253	2,253
TOBACCO POSITIONS						
EXTRA HELP ***	1,073	1,090	1,600	1,600	1,600	1,600

\*\* Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

\*\*\* The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

**AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES**

UNIVERSITY OF CENTRAL ARKANSAS  
(NAME OF INSTITUTION)

	ACTIVITY	ACTUAL 2014-2015				BUDGETED 2015-2016			
		INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
		1	INTERCOLLEGIATE ATHLETICS*	7,634,435	11,323,807	615,919	(4,305,291)	7,291,637	10,154,876
2	HOUSING	16,274,060	8,140,307	5,203,353	2,930,400	16,800,776	9,083,711	5,202,128	2,514,937
3	FOOD SERVICES	9,103,480	6,646,114	0	2,457,366	9,616,011	6,753,194	0	2,862,817
4	STUDENT UNION	1,332,128	976,344	228,477	127,307	1,327,650	1,154,748	229,116	(56,214)
5	BOOKSTORE	434,227	71,713	0	362,514	390,000	95,500	0	294,500
6	STUDENT ORGANIZATIONS AND PUBLICATIONS	0	0	0	0	0	0	0	0
7	OTHER (FOOTNOTE BELOW)	5,183,302	3,083,324	1,488,807	611,171	5,209,278	3,291,510	1,505,408	412,360
8	SUBTOTAL	39,961,632	30,241,609	7,536,556	2,183,467	40,635,352	30,533,539	7,568,553	2,533,260
9	ATHLETIC TRANSFER**	1,000,000			1,000,000	1,000,000			1,000,000
10	OTHER TRANSFERS*** (FOOTNOTE BELOW)	(727,628)			(727,628)	(3,533,260)			(3,533,260)
11	GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	40,234,004	30,241,609	7,536,556	2,455,839	38,102,092	30,533,539	7,568,553	0

\* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM FR-5

\*\* For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

\*\*\* For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 7 "Other"- Income and expenses related to Student Health, Radio Station, HPER, Farris Fields, Post Office, Access & Security.

NOTE: Line 10 "Other Transfers"- Represents transfers in and out to Auxiliary funds during the year, including intra-Auxiliary and transfers from E&G.

**EMPLOYMENT INFORMATION  
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

UNIVERSITY OF CENTRAL ARKANSAS \_\_\_\_\_  
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2014-2015:						<u>1,808</u>
(As of November 1, 2014 )						
Nonclassified Administrative Employees:						
White Male:	<u>128</u>	Black Male:	<u>16</u>	Other Male:	<u>8</u>	Total Male: <u>152</u>
White Female:	<u>122</u>	Black Female:	<u>27</u>	Other Female:	<u>19</u>	Total Female: <u>168</u>
Nonclassified Health Care Employees:						
White Male:	<u>1</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>1</u>
White Female:	<u>5</u>	Black Female:	<u>1</u>	Other Female:	<u>0</u>	Total Female: <u>6</u>
Classified Employees:						
White Male:	<u>171</u>	Black Male:	<u>32</u>	Other Male:	<u>13</u>	Total Male: <u>216</u>
White Female:	<u>223</u>	Black Female:	<u>42</u>	Other Female:	<u>24</u>	Total Female: <u>289</u>
Faculty:						
White Male:	<u>313</u>	Black Male:	<u>24</u>	Other Male:	<u>64</u>	Total Male: <u>401</u>
White Female:	<u>477</u>	Black Female:	<u>29</u>	Other Female:	<u>69</u>	Total Female: <u>575</u>
Total White Male:	<u>613</u>	Total Black Male:	<u>72</u>	Total Other Male:	<u>85</u>	Total Male: <u>770</u>
Total White Female:	<u>827</u>	Total Black Female:	<u>99</u>	Total Other Female:	<u>112</u>	Total Female: <u>1,038</u>
Total White:	<u>1,440</u>	Total Black:	<u>171</u>	Total Other:	<u>197</u>	Total Employees: <u>1,808</u>
				<b>Total Minority:</b>	<u>368</u>	

FORM FR-6

**ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES**  
**STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES**  
**Fiscal Year 2015**  
**Required by A.C.A. 25-36-104**

**Institution**

UNIVERSITY OF CENTRAL ARKANSAS

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
DKM Construction	\$57,729				X		
JE Systems Inc.	\$91,000				X		
Caradine Companies Architecture	\$105,324	X					
Choice Promotions	\$151,126	X					
Goddess Products Inc.	\$410,173	X					
Consolidated Construction Inc.	\$970,939	X					
Sojourn Travel Consultant, Inc.	\$93,374		X				
Software House International	\$184,467				X		
Maltbia Detailing Plus	\$106,583	X					

**TOTAL NUMBER OF MINORITY CONTRACTS AWARDED** 9

**TOTAL EXPENDITURES ON CONTRACTS AWARDED** \$18,404,025  
 (Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

**% OF MINORITY CONTRACTS AWARDED** 5%

**ARKANSAS LEGISLATIVE AUDIT  
AUDIT OF UNIVERSITY OF CENTRAL ARKANSAS  
June 30, 2014**

<i>Finding:</i>	No Findings noted
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# COLLEGES

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**INSTITUTION APPROPRIATION SUMMARY  
2016-2017 Fiscal Year**

INSTITUTION ARKANSAS NORTHEASTERN COLLEGE

	APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
		2014-15		2015-16		2015-16		2016-17			
		ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1	STATE TREASURY	10,043,272		10,052,464		10,268,497		10,214,570		10,214,570	
2	CASH	5,356,594		28,500,000		28,500,000		28,500,000		28,500,000	
3											
4											
5											
6											
7											
8											
9											
10											
11	TOTAL	\$15,399,866	165	\$38,552,464	236	\$38,768,497	312	\$38,714,570	312	\$38,714,570	312
	<b>FUNDING SOURCES</b>		%		%				%		%
12	PRIOR YEAR FUND BALANCE*	0	0%	0	0%			0	0%	0	0%
13	GENERAL REVENUE	8,577,052	56%	8,577,052	22%			8,739,158	23%	8,739,158	23%
14	EDUCATIONAL EXCELLENCE TRUST FUND	736,111	5%	744,458	2%			744,458	2%	744,458	2%
15	WORKFORCE 2000	723,753	5%	730,954	2%			730,954	2%	730,954	2%
16	CASH FUNDS	3,453,475	22%	5,829,071	15%			7,106,367	18%	7,106,367	18%
17	SPECIAL REVENUES		0%		0%				0%	0	0%
18	FEDERAL FUNDS	1,903,119	12%	22,670,929	59%			21,393,633	55%	21,393,633	55%
19	TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%
20	OTHER FUNDS	6,356	0%	0	0%			0	0%	0	0%
21	TOTAL INCOME	\$15,399,866	100%	\$38,552,464	100%			\$38,714,570	100%	\$38,714,570	100%
22	EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2015:	\$4,670,935
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$383,383
INVENTORIES	\$14,098
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$31,500
MAJOR CRITICAL SYSTEMS FAILURES	\$1,500,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$2,000,000
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$741,954

\*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY  
2016-2017 FISCAL YEAR**

FUND CWM0000

INSTITUTION ARKANSAS NORTHEASTERN COLLEGE

APPROPRIATION \_\_\_\_\_

537

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2014-2015	2015-2016	APPROPRIATION 2015-2016	AHECB RECOMMENDATION 2016-2017	2016-2017
1	REGULAR SALARIES	7,799,999	7,800,000	7,800,000	7,800,000	7,800,000
2	EXTRA HELP WAGES					
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	1,805,767	1,800,000	1,800,000	1,800,000	1,800,000
5	OPERATING EXPENSES	361,916	377,464	593,497	539,570	901,552
6	CONFERENCE FEES & TRAVEL	25,000	25,000	25,000	25,000	25,000
7	PROFESSIONAL FEES AND SERVICES	25,000	25,000	25,000	25,000	25,000
8	CAPITAL OUTLAY	25,000	25,000	25,000	25,000	25,000
9	FUNDED DEPRECIATION					
10	WORKERS COMP/SURETY PREMIUM	590				
11						
12						
13	TOTAL APPROPRIATION	\$10,043,272	\$10,052,464	\$10,268,497	\$10,214,570	\$10,576,552
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE	8,577,052	8,577,052		8,739,158	9,101,140
16	EDUCATIONAL EXCELLENCE TRUST FUND	736,111	744,458		744,458	744,458
17	SPECIAL REVENUES * [WF2000]	723,753	730,954		730,954	730,954
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)	6,356				
21	TOTAL INCOME	\$10,043,272	\$10,052,464		\$10,214,570	\$10,576,552
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

\* Report WF2000 funds on line 17 - "Special Revenues".

FORM FR-3

\*\*Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

NOTE: Line 20- Tuition Adjustment Fund

**APPROPRIATION ACT FORM - CASH FUNDS  
2016-2017 Fiscal Year**

FUND 2130000

INSTITUTION ARKANSAS NORTHEASTERN COLLEGE

APPROPRIATION B04

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2014-2015	2015-2016	APPROPRIATION 2015-2016	AHECB RECOMMENDATION 2016-2017	2016-2017
1	REGULAR SALARIES	511,174	2,000,000	2,000,000	2,000,000	2,000,000
2	EXTRA HELP WAGES	528,142	1,000,000	1,000,000	1,000,000	1,000,000
3	OVERTIME	4,563	28,000	28,000	28,000	28,000
4	PERSONAL SERVICES MATCHING	309,170	1,300,000	1,300,000	1,300,000	1,300,000
5	OPERATING EXPENSES	3,101,831	6,122,000	6,122,000	6,122,000	6,122,000
6	CONFERENCE FEES & TRAVEL	74,720	500,000	500,000	500,000	500,000
7	PROFESSIONAL FEES AND SERVICES	93,772	1,500,000	1,500,000	1,500,000	1,500,000
8	CAPITAL OUTLAY	712,701	10,000,000	10,000,000	10,000,000	10,000,000
9	CAPITAL IMPROVEMENTS		4,000,000	4,000,000	4,000,000	4,000,000
10	DEBT SERVICE		1,500,000	1,500,000	1,500,000	1,500,000
11	FUND TRANSFERS, REFUNDS AND INVESTMENTS		400,000	400,000	400,000	400,000
12	PROMOTIONAL ITEMS	20,520	150,000	150,000	150,000	150,000
13						
14						
15						
16	TOTAL APPROPRIATION	\$5,356,594	\$28,500,000	\$28,500,000	\$28,500,000	\$28,500,000
17	PRIOR YEAR FUND BALANCE**					
18	TUITION AND MANDATORY FEES	2,300,672	2,611,022		3,146,367	3,146,367
19	ALL OTHER FEES	326,078	525,000		800,000	800,000
20	SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS					
21	INVESTMENT INCOME	34,303	40,000		60,000	60,000
22	FEDERAL CASH FUNDS	1,903,119	22,670,929		21,393,633	21,393,633
23	OTHER CASH FUNDS	792,422	2,653,049		3,100,000	3,100,000
24	TOTAL INCOME	\$5,356,594	\$28,500,000		\$28,500,000	\$28,500,000
25	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

FORM FR-4

**TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)**

	ACTUAL	BUDGETED	AUTHORIZED	REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
	2014-2015	2015-2016	2015-2016	2016-2017	2016-17	2016-2017
REGULAR POSITIONS	165	236	312	312	312	312
TOBACCO POSITIONS						
EXTRA HELP ***	122	128	521	521	521	521

\*\* Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

\*\*\* The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

**AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES**

ARKANSAS NORTHEASTERN COLLEGE  
(NAME OF INSTITUTION)

	ACTIVITY	ACTUAL 2014-2015				BUDGETED 2015-2016			
		INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1	INTERCOLLEGIATE ATHLETICS*	0	0	0	0	0	0	0	0
2	HOUSING	0	0	0	0	0	0	0	0
3	FOOD SERVICES	45,680	73,899	0	(28,219)	51,500	82,118	0	(30,618)
4	STUDENT UNION	0	0	0	0	0	0	0	0
5	BOOKSTORE	30,151	0	0	30,151	30,000	0	0	30,000
6	STUDENT ORGANIZATIONS AND PUBLICATIONS	36,974	665,150	0	(628,176)	0	64,550	0	(64,550)
7	OTHER (FOOTNOTE BELOW)	0	0	0	0	0	0	0	0
8	SUBTOTAL	112,805	739,049	0	(626,244)	81,500	146,668	0	(65,168)
9	ATHLETIC TRANSFER**	0			0	0			0
10	OTHER TRANSFERS*** (FOOTNOTE BELOW)	75,000			75,000	100,000			100,000
11	GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	187,805	739,049	0	(551,244)	181,500	146,668	0	34,832

\* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM FR-5

\*\* For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

\*\*\* For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 10 "Other Transfers"- Unrestricted E&G funds transferred to Auxillary (Student Organizations)

**EMPLOYMENT INFORMATION  
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

ARKANSAS NORTHEASTERN COLLEGE  
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2014-2015:						175
(As of November 1, 2014 )						
Nonclassified Administrative Employees:						
White Male:	11	Black Male:	4	Other Male:	0	Total Male: 15
White Female:	38	Black Female:	9	Other Female:	0	Total Female: 47
Nonclassified Health Care Employees:						
White Male:	0	Black Male:	0	Other Male:	0	Total Male: 0
White Female:	0	Black Female:	0	Other Female:	0	Total Female: 0
Classified Employees:						
White Male:	15	Black Male:	0	Other Male:	0	Total Male: 15
White Female:	26	Black Female:	12	Other Female:	2	Total Female: 40
Faculty:						
White Male:	20	Black Male:	0	Other Male:	2	Total Male: 22
White Female:	34	Black Female:	2	Other Female:	0	Total Female: 36
Total White Male:	46	Total Black Male:	4	Total Other Male:	2	Total Male: 52
Total White Female:	98	Total Black Female:	23	Total Other Female:	2	Total Female: 123
Total White:	144	Total Black:	27	Total Other:	4	Total Employees: 175
				<b>Total Minority:</b>	31	

FORM FR-6

**ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES**  
**STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES**  
 Fiscal Year 2015  
 Required by A.C.A. 25-36-104

**Institution**

ARKANSAS NORTHEASTERN COLLEGE

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
N/A							

**TOTAL NUMBER OF MINORITY CONTRACTS AWARDED** 0

**TOTAL EXPENDITURES ON CONTRACTS AWARDED** \$675,281  
 (Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

**% OF MINORITY CONTRACTS AWARDED** 0%

**ARKANSAS LEGISLATIVE AUDIT  
 AUDIT OF ARKANSAS NORTHEASTERN COLLEGE  
 June 30, 2014**

<p><i>Finding No. 1:</i></p>	<p>Financial statements should be presented fairly in conformity with generally accepted accounting principles as prescribed by the Governmental Accounting Standards Board (GASB). The College's internal control system did not detect or prevent material misstatements in the financial statements. Key errors in the Comparative Statement of Net Position, the Comparative Statement of Revenues, Expenses, and Changes in Net Position, the Comparative Statement of Cash Flows, and the Notes to the Financial Statements included:</p> <p>Comparative Statement of Net Position</p> <p style="padding-left: 40px;">a) Accounts payable was overstated by a net of \$56,825.</p> <p>Comparative Statement of Revenues, Expenses, and Changes in Net Position</p> <p style="padding-left: 40px;">a) Due to a misclassification, operating federal grants and contracts were understated and other operating revenues were overstated by \$206,545.        b) Due to various misclassifications, operating revenues and supplies and services were understated by \$168,759 and \$111,934, respectively.        c) Due to clerical errors, depreciation expense was understated by \$271,866.</p> <p>Comparative Statement of Cash Flows</p> <p style="padding-left: 40px;">a) The College did not appropriately accrue accounts receivable and accounts payable causing the following errors:</p> <ol style="list-style-type: none"> <li>1. Student tuition and fees were understated by \$85,270.</li> <li>2. Federal grants and contracts (operating) were understated by \$37,938.</li> <li>3. State and local grants and contracts were overstated by \$390,327.</li> <li>4. Other receipts were understated by \$36,592.</li> <li>5. Federal grants and contracts (non-operating) were understated by \$14,158.</li> <li>6. Payments to employees were overstated by \$103,175.</li> <li>7. Payments for employee benefits were understated by \$89,029.</li> <li>8. Payments to suppliers were overstated by \$102,150.</li> </ol> <p>Notes to the Financial Statements</p> <p style="padding-left: 40px;">a) Due to clerical errors, the College's Public Fund Deposits and Investment note carrying amount was understated by \$575,134.        b) Due to clerical errors, the bonds payable in the change in long-term liabilities note did not total correctly.</p>
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**ARKANSAS LEGISLATIVE AUDIT**  
**AUDIT OF ARKANSAS NORTHEASTERN COLLEGE**  
**June 30, 2014**

	<p>When these errors were brought to the attention of College personnel, the financial statements and notes were corrected. A similar finding was reported in the previous audit.</p>
<p><i>Institution's Response</i></p>	<p>The following procedures will be implemented to detect and prevent material misstatements of financial reporting:</p> <p>Year-end detailed supporting schedules outlining sources of cash inflows and cash disbursements will be used to record revenues, expenses, capital assets, investments, and related accruals. The schedules defined accordingly by each financial statement will record each line item in comparison to general ledger account groupings.</p> <p>The finance team (Interim Vice President for Finance and Assistant Controller) will be reinforced with the hiring of a Vice President for Administration, who will have experience in governmental auditing and reporting of financial statements.</p> <p>Following these procedures will ensure financial statements are completed and presented accurately in conformity with generally accepted accounting principles as prescribed by the Governmental Accounting Standards Board.</p>



**INSTITUTION APPROPRIATION SUMMARY  
2016-2017 Fiscal Year**

INSTITUTION ARKANSAS STATE UNIVERSITY-BEEBE

	APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
		2014-15		2015-16		2015-16		2016-17			
		ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1	STATE TREASURY	14,098,177		14,122,727		14,417,742		14,346,422		14,346,422	
2	CASH	16,620,617		82,695,000		82,695,000		82,695,000		82,695,000	
3											
4											
5											
6											
7											
8											
9											
10											
11	TOTAL	\$30,718,794	419	\$96,817,727	394	\$97,112,742	579	\$97,041,422	579	\$97,041,422	579
	<b>FUNDING SOURCES</b>		%		%				%		%
12	PRIOR YEAR FUND BALANCE*	0	0%	0	0%			0	0%	0	0%
13	GENERAL REVENUE	11,835,727	39%	11,835,727	12%			12,059,422	12%	12,059,422	12%
14	EDUCATIONAL EXCELLENCE TRUST FUND	1,468,406	5%	1,485,055	2%			1,485,055	2%	1,485,055	2%
15	WORKFORCE 2000	794,044	3%	801,945	1%			801,945	1%	801,945	1%
16	CASH FUNDS	15,737,159	51%	82,695,000	85%			82,695,000	85%	82,695,000	85%
17	SPECIAL REVENUES		0%		0%				0%	0	0%
18	FEDERAL FUNDS	883,458	3%	0	0%			0	0%	0	0%
19	TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%
20	OTHER FUNDS	0	0%	0	0%			0	0%	0	0%
21	TOTAL INCOME	\$30,718,794	100%	\$96,817,727	100%			\$97,041,422	100%	\$97,041,422	100%
22	EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2015:	\$5,459,151
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$418,097
INVENTORIES	\$77,844
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$25,000
MAJOR CRITICAL SYSTEMS FAILURES	
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$2,948,377
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$654,624
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$1,335,209

\*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY  
2016-2017 FISCAL YEAR**

FUND CMA0000

INSTITUTION ARKANSAS STATE UNIVERSITY-BEEBE

APPROPRIATION \_\_\_\_\_

300

	DESCRIPTION	ACTUAL 2014-2015	BUDGETED 2015-2016	AUTHORIZED APPROPRIATION 2015-2016	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
					2016-2017	2016-2017
1	REGULAR SALARIES	11,538,700	11,603,536	11,655,455	11,681,002	11,855,455
2	EXTRA HELP WAGES					
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	1,549,873	1,514,931	1,699,873	1,609,931	1,894,299
5	OPERATING EXPENSES	0	0	10,000	10,000	10,000
6	CONFERENCE FEES & TRAVEL					
7	PROFESSIONAL FEES AND SERVICES					
8	CAPITAL OUTLAY					
9	FUNDED DEPRECIATION	170,000	170,000	190,000	190,000	190,000
10	WORKERS COMP/SURETY PREMIUM	5,344				
11						
12						
13	TOTAL APPROPRIATION	\$13,263,917	\$13,288,467	\$13,555,328	\$13,490,933	\$13,949,754
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE	11,001,467	11,001,467		11,203,933	11,662,754
16	EDUCATIONAL EXCELLENCE TRUST FUND	1,468,406	1,485,055		1,485,055	1,485,055
17	SPECIAL REVENUES * [WF2000]	794,044	801,945		801,945	801,945
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)					
21	TOTAL INCOME	\$13,263,917	\$13,288,467		\$13,490,933	\$13,949,754
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

\* Report WF2000 funds on line 17 - "Special Revenues".

\*\*Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY  
2016-2017 FISCAL YEAR**

FUND CMA0000

INSTITUTION ARKANSAS STATE UNIVERSITY-BEEBE  
HEBER SPRINGS

APPROPRIATION \_\_\_\_\_ 145

	DESCRIPTION	ACTUAL 2014-2015	BUDGETED 2015-2016	AUTHORIZED APPROPRIATION 2015-2016	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
					2016-2017	2016-2017
1	REGULAR SALARIES	834,260	834,260	834,260	845,489	872,366
2	EXTRA HELP WAGES					
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	0	0	18,154	0	18,154
5	OPERATING EXPENSES					
6	CONFERENCE FEES & TRAVEL	0	0	10,000	10,000	10,000
7	PROFESSIONAL FEES AND SERVICES					
8	CAPITAL OUTLAY					
9	FUNDED DEPRECIATION					
10						
11						
12						
13	TOTAL APPROPRIATION	\$834,260	\$834,260	\$862,414	\$855,489	\$900,520
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE	834,260	834,260		855,489	900,520
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)					
21	TOTAL INCOME	\$834,260	\$834,260		\$855,489	\$900,520
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

\* Report WF2000 funds on line 17 - "Special Revenues".

\*\*Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS**  
**2016-2017 Fiscal Year**

FUND 2060000

INSTITUTION ARKANSAS STATE UNIVERSITY-BEEBE

APPROPRIATION A71

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2014-2015	2015-2016	APPROPRIATION	AHECB RECOMMENDATION	RECOMMENDATION
				2015-2016	2016-2017	2016-2017
1	REGULAR SALARIES	1,298,048	6,496,960	6,496,960	6,496,960	6,496,960
2	EXTRA HELP WAGES	568,601	900,000	900,000	900,000	900,000
3	OVERTIME	0	15,000	15,000	15,000	15,000
4	PERSONAL SERVICES MATCHING	2,731,679	3,920,600	3,920,600	3,920,600	3,920,600
5	OPERATING EXPENSES	5,251,063	10,000,000	10,000,000	10,000,000	10,000,000
6	CONFERENCE FEES & TRAVEL	182,882	425,000	425,000	425,000	425,000
7	PROFESSIONAL FEES AND SERVICES	456,790	900,000	900,000	900,000	900,000
8	CAPITAL OUTLAY	357,237	1,250,000	1,250,000	1,250,000	1,250,000
9	CAPITAL IMPROVEMENTS	565,771	26,676,840	26,676,840	26,676,840	26,676,840
10	DEBT SERVICE	1,559,464	2,500,000	2,500,000	2,500,000	2,500,000
11	FUND TRANSFERS, REFUNDS AND INVESTMENTS	531,079	7,450,000	7,450,000	7,450,000	7,450,000
12	PROMOTIONAL ITEMS	41,888	100,000	100,000	100,000	100,000
13						
14						
15						
16	TOTAL APPROPRIATION	\$13,544,502	\$60,634,400	\$60,634,400	\$60,634,400	\$60,634,400
17	PRIOR YEAR FUND BALANCE**					
18	TUITION AND MANDATORY FEES	9,168,571	9,350,000		9,350,000	9,350,000
19	ALL OTHER FEES	5,887	7,000		7,000	7,000
20	SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	125,506	125,000		125,000	125,000
21	INVESTMENT INCOME	49,381	55,000		55,000	55,000
22	FEDERAL CASH FUNDS	883,458				
23	OTHER CASH FUNDS	3,311,699	51,097,400		51,097,400	51,097,400
24	TOTAL INCOME	\$13,544,502	\$60,634,400		\$60,634,400	\$60,634,400
25	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

FORM FR-4

**TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)**

	ACTUAL	BUDGETED	AUTHORIZED	REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
	2014-2015	2015-2016	2015-2016	2016-2017	2016-17	2016-2017
REGULAR POSITIONS	356	333	486	486	486	486
TOBACCO POSITIONS						
EXTRA HELP ***	103	132	175	175	175	175

\*\* Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

\*\*\* The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

**APPROPRIATION ACT FORM - CASH FUNDS  
2016-2017 Fiscal Year**

FUND 2060000

INSTITUTION ARKANSAS STATE UNIVERSITY-BEEBE  
HEBER SPRINGS

APPROPRIATION \_\_\_\_\_ A43

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2014-2015	2015-2016	2015-2016	AHECB RECOMMENDATION	2016-2017
1	REGULAR SALARIES	873,259	1,540,400	1,540,400	1,540,400	1,540,400
2	EXTRA HELP WAGES	142,440	250,000	250,000	300,000	300,000
3	OVERTIME	0	10,000	10,000	10,000	10,000
4	PERSONAL SERVICES MATCHING	497,716	700,000	700,000	700,000	700,000
5	OPERATING EXPENSES	813,425	1,400,000	1,400,000	1,400,000	1,400,000
6	CONFERENCE FEES & TRAVEL	26,239	60,000	60,000	60,000	60,000
7	PROFESSIONAL FEES AND SERVICES	42,962	100,000	100,000	100,000	100,000
8	CAPITAL OUTLAY	5,306	250,000	250,000	250,000	250,000
9	CAPITAL IMPROVEMENTS	105	14,815,000	14,815,000	14,765,000	14,765,000
10	DEBT SERVICE	658,279	1,500,000	1,500,000	1,500,000	1,500,000
11	FUND TRANSFERS, REFUNDS AND INVESTMENTS	10,770	1,405,200	1,405,200	1,405,200	1,405,200
12	PROMOTIONAL ITEMS	5,614	30,000	30,000	30,000	30,000
13						
14						
15						
16	TOTAL APPROPRIATION	\$3,076,115	\$22,060,600	\$22,060,600	\$22,060,600	\$22,060,600
17	PRIOR YEAR FUND BALANCE**					
18	TUITION AND MANDATORY FEES	1,151,865	1,175,000		1,175,000	1,175,000
19	ALL OTHER FEES	267	1,000		1,000	1,000
20	SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	5,340	6,000		6,000	6,000
21	INVESTMENT INCOME	12,275	13,000		13,000	13,000
22	FEDERAL CASH FUNDS					
23	OTHER CASH FUNDS	1,906,368	20,865,600		20,865,600	20,865,600
24	TOTAL INCOME	\$3,076,115	\$22,060,600		\$22,060,600	\$22,060,600
25	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

FORM FR-4

**TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)**

	ACTUAL	BUDGETED	AUTHORIZED	REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
	2014-2015	2015-2016	2015-2016	2016-2017	2016-17	2016-2017
REGULAR POSITIONS	63	61	93	93	93	93
TOBACCO POSITIONS						
EXTRA HELP ***	38	20	50	50	50	50

\*\* Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

\*\*\* The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

**AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES**

ARKANSAS STATE UNIVERSITY-BEEBE  
(NAME OF INSTITUTION)

	ACTIVITY	ACTUAL 2014-2015				BUDGETED 2015-2016			
		INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1	INTERCOLLEGIATE ATHLETICS*	0	0	0	0	0	0	0	0
2	HOUSING	902,361	340,532	515,577	46,252	867,000	403,292	589,159	(125,451)
3	FOOD SERVICES	698,483	671,626		26,857	432,000	495,892		(63,892)
4	STUDENT UNION	182,352	83,013	85,110	14,229	188,700	94,598	62,975	31,127
5	BOOKSTORE	1,309,315	1,129,914		179,401	1,433,000	1,314,784		118,216
6	STUDENT ORGANIZATIONS AND PUBLICATIONS	0	0	0	0	0	0	0	0
7	OTHER: VENDING	38,117	0	0	38,117	40,000	0	0	40,000
8	SUBTOTAL	3,130,628	2,225,085	600,687	304,856	2,960,700	2,308,566	652,134	0
9	ATHLETIC TRANSFER**	0			0	0			0
10	OTHER TRANSFERS*** (FOOTNOTE BELOW)	0			0	0			0
11	GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	3,130,628	2,225,085	600,687	304,856	2,960,700	2,308,566	652,134	0

\* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM FR-5

\*\* For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

\*\*\* For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

**EMPLOYMENT INFORMATION  
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

ARKANSAS STATE UNIVERSITY-BEEBE  
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2014-2015:						393
(As of November 1, 2014 )						
Nonclassified Administrative Employees:						
White Male:	26	Black Male:	1	Other Male:	3	Total Male: 30
White Female:	34	Black Female:	1	Other Female:	0	Total Female: 35
Nonclassified Health Care Employees:						
White Male:	0	Black Male:	0	Other Male:	0	Total Male: 0
White Female:	0	Black Female:	0	Other Female:	0	Total Female: 0
Classified Employees:						
White Male:	29	Black Male:	1	Other Male:	2	Total Male: 32
White Female:	60	Black Female:	3	Other Female:	1	Total Female: 64
Faculty:						
White Male:	103	Black Male:	1	Other Male:	4	Total Male: 108
White Female:	117	Black Female:	5	Other Female:	2	Total Female: 124
Total White Male:	158	Total Black Male:	3	Total Other Male:	9	Total Male: 170
Total White Female:	211	Total Black Female:	9	Total Other Female:	3	Total Female: 223
Total White:	369	Total Black:	12	Total Other:	12	Total Employees: 393
				<b>Total Minority:</b>	24	

FORM FR-6

**ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES**  
**STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES**  
 Fiscal Year 2015  
 Required by A.C.A. 25-36-104

**Institution**

ARKANSAS STATE UNIVERSITY-BEEBE

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
N/A							

**TOTAL NUMBER OF MINORITY CONTRACTS AWARDED** 0

**TOTAL EXPENDITURES ON CONTRACTS AWARDED** \$244,317  
 (Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

**% OF MINORITY CONTRACTS AWARDED** 0%



**ARKANSAS LEGISLATIVE AUDIT**  
**AUDIT OF ARKANSAS STATE UNIVERSITY - BEEBE**  
**June 30, 2015**

<p><i>Finding No. 1:</i></p>	<p>Financial Statements should be presented fairly in conformity with generally accepted accounting principles as prescribed by the Governmental Accounting Standards Board (GASB). The University's internal control system did not detect or prevent material misstatements in the Consolidated Statement of Net Position. The significant error noted is identified below:</p> <ul style="list-style-type: none"> <li>• Investments of \$6,264,682 were incorrectly classified as noncurrent assets.</li> </ul> <p>When these errors were brought to the attention of University personnel, the financial statements were corrected.</p>
<p><i>Institution's Response</i></p>	<p>The University concurs with the finding and will be cognizant of how these assets are to be classified in the future.</p>

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**INSTITUTION APPROPRIATION SUMMARY  
2016-2017 Fiscal Year**

INSTITUTION ARKANSAS STATE UNIVERSITY - MOUNTAIN HOME

	APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
		2014-15		2015-16		2015-16		2016-17			
		ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1	STATE TREASURY	4,463,921		4,473,097		5,557,139		5,018,607		5,018,607	
2	CASH	5,405,324		32,870,000		32,870,000		32,870,000		32,870,000	
3											
4											
5											
6											
7											
8											
9											
10											
11	TOTAL	\$9,869,245	153	\$37,343,097	152	\$38,427,139	187	\$37,888,607	187	\$37,888,607	187
	<b>FUNDING SOURCES</b>		%		%				%		%
12	PRIOR YEAR FUND BALANCE*	0	0%	1,058	0%			0	0%	0	0%
13	GENERAL REVENUE	3,648,110	37%	3,648,110	10%			4,194,678	11%	4,194,678	11%
14	EDUCATIONAL EXCELLENCE TRUST FUND	0	0%	0	0%			0	0%	0	0%
15	WORKFORCE 2000	815,811	8%	823,929	2%			823,929	2%	823,929	2%
16	CASH FUNDS	5,405,324	55%	32,870,000	88%			31,870,000	84%	31,870,000	84%
17	SPECIAL REVENUES		0%		0%				0%	0	0%
18	FEDERAL FUNDS	0	0%	0	0%			1,000,000	3%	1,000,000	3%
19	TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%
20	OTHER FUNDS	0	0%	0	0%			0	0%	0	0%
21	TOTAL INCOME	\$9,869,245	100%	\$37,343,097	100%			\$37,888,607	100%	\$37,888,607	100%
22	EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2015:	\$2,530,350
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$836,807
INVENTORIES	
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$75,000
MAJOR CRITICAL SYSTEMS FAILURES	\$150,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$1,000,000
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$185,000
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$283,543

\*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY  
2016-2017 FISCAL YEAR**

FUND CTH0000 ARKANSAS STATE UNIVERSITY - INSTITUTION MOUNTAIN HOME APPROPRIATION 771

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2014-2015	2015-2016	APPROPRIATION 2015-2016	AHECB RECOMMENDATION 2016-2017	2016-2017
1	REGULAR SALARIES	4,298,942	4,348,110	4,950,000	4,478,000	5,100,000
2	EXTRA HELP WAGES	0	0	200,000	175,000	200,000
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	163,921	124,987	350,000	300,000	350,000
5	OPERATING EXPENSES	0	0	57,139	65,607	73,853
6	CONFERENCE FEES & TRAVEL	0	0	0	0	0
7	PROFESSIONAL FEES AND SERVICES	0	0	0	0	0
8	CAPITAL OUTLAY	0	0	0	0	0
9	FUNDED DEPRECIATION					
10	WORKERS COMP/SURETY PREMIUM	1,058				
11						
12						
13	TOTAL APPROPRIATION	\$4,463,921	\$4,473,097	\$5,557,139	\$5,018,607	\$5,723,853
14	PRIOR YEAR FUND BALANCE**		1,058			
15	GENERAL REVENUE	3,648,110	3,648,110		4,194,678	4,899,924
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]	815,811	823,929		823,929	823,929
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)					
21	TOTAL INCOME	\$4,463,921	\$4,473,097		\$5,018,607	\$5,723,853
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

\* Report WF2000 funds on line 17 - "Special Revenues".

\*\*Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS  
2016-2017 Fiscal Year**

FUND 2820000

INSTITUTION ARKANSAS STATE UNIVERSITY - MOUNTAIN HOME

APPROPRIATION \_\_\_\_\_

B80

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2014-2015	2015-2016	APPROPRIATION	AHECB RECOMMENDATION	RECOMMENDATION
				2015-2016	2016-2017	2016-2017
1	REGULAR SALARIES	1,034,161	4,800,000	4,800,000	4,800,000	4,800,000
2	EXTRA HELP WAGES	179,602	500,000	500,000	500,000	500,000
3	OVERTIME	0	10,000	10,000	10,000	10,000
4	PERSONAL SERVICES MATCHING	344,668	2,540,400	2,540,400	2,540,400	2,540,400
5	OPERATING EXPENSES	2,591,704	4,000,000	4,000,000	4,000,000	4,000,000
6	CONFERENCE FEES & TRAVEL	43,054	200,000	200,000	200,000	200,000
7	PROFESSIONAL FEES AND SERVICES	122,300	1,400,000	1,400,000	1,400,000	1,400,000
8	CAPITAL OUTLAY	18,575	900,000	900,000	900,000	900,000
9	CAPITAL IMPROVEMENTS	0	15,489,600	15,489,600	15,489,600	15,489,600
10	DEBT SERVICE	1,045,834	2,000,000	2,000,000	2,000,000	2,000,000
11	FUND TRANSFERS, REFUNDS AND INVESTMENTS	0	1,000,000	1,000,000	1,000,000	1,000,000
12	PROMOTIONAL ITEMS	25,426	30,000	30,000	30,000	30,000
13						
14						
15						
16	TOTAL APPROPRIATION	\$5,405,324	\$32,870,000	\$32,870,000	\$32,870,000	\$32,870,000
17	PRIOR YEAR FUND BALANCE**					
18	TUITION AND MANDATORY FEES	3,661,532	3,556,800		4,040,786	4,040,786
19	ALL OTHER FEES	599,260	653,902		553,982	553,982
20	SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS					
21	INVESTMENT INCOME	1,366	6,500		6,500	6,500
22	FEDERAL CASH FUNDS				1,000,000	1,000,000
23	OTHER CASH FUNDS	1,143,166	28,652,798		27,268,732	27,268,732
24	TOTAL INCOME	\$5,405,324	\$32,870,000		\$32,870,000	\$32,870,000
25	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

FORM FR-4

**TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)**

	ACTUAL	BUDGETED	AUTHORIZED	REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
	2014-2015	2015-2016	2015-2016	2016-2017	2016-17	2016-2017
REGULAR POSITIONS	153	152	187	187	187	187
TOBACCO POSITIONS						
EXTRA HELP ***	70	70	70	70	70	70

\*\* Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

\*\*\* The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

**AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES**

ARKANSAS STATE UNIVERSITY - MOUNTAIN HOME  
(NAME OF INSTITUTION)

	ACTIVITY	ACTUAL 2014-2015				BUDGETED 2015-2016			
		INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1	INTERCOLLEGIATE ATHLETICS*	0	0	0	0	0	0	0	0
2	HOUSING	0	0	0	0	0	0	0	0
3	FOOD SERVICES	0	0	0	0	0	0	0	0
4	STUDENT UNION	0	0	0	0	0	0	0	0
5	BOOKSTORE	738,343	633,343	0	105,000	105,000	0		105,000
6	STUDENT ORGANIZATIONS AND PUBLICATIONS	4,236	18,695	0	(14,459)	0	0	0	0
7	OTHER (FOOTNOTE BELOW)	0	0	0	0	160,000	160,000	0	0
8	SUBTOTAL	742,579	652,038	0	90,541	265,000	160,000	0	105,000
9	ATHLETIC TRANSFER**	0			0	0			0
10	OTHER TRANSFERS*** (FOOTNOTE BELOW)	0			0	(75,000)			(75,000)
11	GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	742,579	652,038	0	90,541	190,000	160,000	0	30,000

\* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM FR-5

\*\* For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

\*\*\* For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 10 "Other Transfers"- Transfer to E&G for Student Support Operations

**EMPLOYMENT INFORMATION  
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

ARKANSAS STATE UNIVERSITY - MOUNTAIN HOME  
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2014-2015:						153
(As of November 1, 2014 )						
Nonclassified Administrative Employees:						
White Male:	11	Black Male:	0	Other Male:	0	Total Male: 11
White Female:	11	Black Female:	0	Other Female:	0	Total Female: 11
Nonclassified Health Care Employees:						
White Male:	0	Black Male:	0	Other Male:	0	Total Male: 0
White Female:	0	Black Female:	0	Other Female:	0	Total Female: 0
Classified Employees:						
White Male:	18	Black Male:	0	Other Male:	1	Total Male: 19
White Female:	24	Black Female:	0	Other Female:	1	Total Female: 25
Faculty:						
White Male:	32	Black Male:	0	Other Male:	0	Total Male: 32
White Female:	52	Black Female:	0	Other Female:	3	Total Female: 55
Total White Male:	61	Total Black Male:	0	Total Other Male:	1	Total Male: 62
Total White Female:	87	Total Black Female:	0	Total Other Female:	4	Total Female: 91
Total White:	148	Total Black:	0	Total Other:	5	Total Employees: 153
				<b>Total Minority:</b>	5	

FORM FR-6

**ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES  
STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES**

Fiscal Year 2015

Required by A.C.A. 25-36-104

Institution

ARKANSAS STATE UNIVERSITY - MOUNTAIN HOME

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
N/A							

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$69,664  
(Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%



**ARKANSAS LEGISLATIVE AUDIT**  
**AUDIT OF ARKANSAS STATE UNIVERSITY MOUNTAIN HOME**  
**June 30, 2015**

<i>Finding:</i>	No Findings noted
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**INSTITUTION APPROPRIATION SUMMARY  
2016-2017 Fiscal Year**

INSTITUTION ARKANSAS STATE UNIVERSITY MID-SOUTH

	APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
		2014-15		2015-16		2015-16		2016-17			
		ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1	STATE TREASURY	6,097,711		6,123,921		6,211,501		6,196,837		6,196,837	
2	CASH	13,016,399		77,985,000		77,985,000		81,035,000		81,035,000	
3	STATE TREASURY - ADTEC	1,500,000		1,500,000		2,045,000		2,077,445		2,077,445	
4											
5											
6											
7											
8											
9											
10											
11	TOTAL	\$20,614,110	216	\$85,608,921	226	\$86,241,501	316	\$89,309,282	316	\$89,309,282	316
	<b>FUNDING SOURCES</b>		%		%				%		%
12	PRIOR YEAR FUND BALANCE*	0	0%	0	0%			0	0%	0	0%
13	GENERAL REVENUE	5,358,007	26%	5,358,007	6%			6,008,368	7%	6,008,368	7%
14	EDUCATIONAL EXCELLENCE TRUST FUND	0	0%	0	0%			0	0%	0	0%
15	WORKFORCE 2000	2,169,328	11%	2,190,914	3%			2,190,914	2%	2,190,914	2%
16	CASH FUNDS	5,394,962	26%	52,985,000	62%			65,585,000	73%	65,585,000	73%
17	SPECIAL REVENUES		0%		0%				0%	0	0%
18	FEDERAL FUNDS	7,621,437	37%	25,000,000	29%			15,450,000	17%	15,450,000	17%
19	TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%
20	OTHER FUNDS	70,376	0%	75,000	0%			75,000	0%	75,000	0%
21	TOTAL INCOME	\$20,614,110	100%	\$85,608,921	100%			\$89,309,282	100%	\$89,309,282	100%
22	EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2015:	\$2,524,071
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$303,786
INVENTORIES	\$10,000
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$10,000
MAJOR CRITICAL SYSTEMS FAILURES	\$250,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$2,163,000
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	(\$212,715)

\*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME  
AND AHECB GENERAL REVENUE RECOMMENDATIONS  
FOR THE 2016-2017 Fiscal Year (Non-Formula Entities)**

ADTEC/UNIVERSITY CENTER

NAME OF INSTITUTION

	EXPENDITURE CATEGORIES	2014-2015 ACTUAL	2015-2016 BUDGETED*	2016-17 FISCAL YEAR INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS	
				2016-2017	
				REQUEST	RECOMMENDATION
1	INSTRUCTIONAL	791,258	800,000	1,045,000	1,032,445
2	PUBLIC SERVICE	336,388	350,000	245,000	245,000
3	ACADEMIC SUPPORT	267,103	250,000	651,000	650,000
4	INSTITUTIONAL SUPPORT	105,251	100,000	150,350	150,000
5					
6					
7					
8					
9					
10					
11					
12					
13	MANDATORY TRANSFERS				
14	AUXILIARY TRANSFERS				
15	NON-MANDATORY TRANSFERS				
16	TOTAL UNREST. E&G EXP.	\$1,500,000	\$1,500,000	\$2,091,350	\$2,077,445
17	NET LOCAL INCOME				
18	PRIOR YEAR BALANCE**				
	STATE FUNDS:				
19	GENERAL REVENUE	1,500,000	1,500,000	2,091,350	2,077,445
20	EDUCATIONAL EXCELLENCE				
21	WORKFORCE 2000				
22	TOBACCO SETTLEMENT FUNDS				
23	OTHER STATE FUNDS ***				
24	TOTAL SOURCES OF INCOME	\$1,500,000	\$1,500,000	\$2,091,350	\$2,077,445

\* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

FORM FR-2 Nonformula

\*\* Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

\*\*\* Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in a footnote.

**APPROPRIATION ACT FORM - STATE TREASURY  
2016-2017 FISCAL YEAR**

FUND CTM0000

INSTITUTION ARKANSAS STATE UNIVERSITY MID-SOUTH

APPROPRIATION \_\_\_\_\_

109

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2014-2015	2015-2016	APPROPRIATION 2015-2016	AHECB RECOMMENDATION 2016-2017	2016-2017
1	REGULAR SALARIES	4,269,662	4,500,000	4,500,000	4,500,000	4,700,000
2	EXTRA HELP WAGES	350,000	300,000	300,000	300,000	350,000
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	1,010,800	1,100,000	1,100,000	1,100,000	1,000,000
5	OPERATING EXPENSES	436,797	193,921	281,501	266,837	315,446
6	CONFERENCE FEES & TRAVEL	30,000	30,000	30,000	30,000	30,000
7	PROFESSIONAL FEES AND SERVICES					
8	CAPITAL OUTLAY					
9	FUNDED DEPRECIATION					
10	WORKERS COMP/SURETY PREMIUM	452				
11						
12						
13	TOTAL APPROPRIATION	\$6,097,711	\$6,123,921	\$6,211,501	\$6,196,837	\$6,395,446
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE	3,858,007	3,858,007		3,930,923	4,129,532
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]	2,169,328	2,190,914		2,190,914	2,190,914
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)	70,376	75,000		75,000	75,000
21	TOTAL INCOME	\$6,097,711	\$6,123,921		\$6,196,837	\$6,395,446
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

\* Report WF2000 funds on line 17 - "Special Revenues".

\*\*Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

NOTE: Line 20 "OTHER STATE TREASURY FUND"- The funds listed in Other State Treasury Funds are Southland Greyhound Charity Days funds.

FORM FR-3

**APPROPRIATION ACT FORM - STATE TREASURY  
2016-2017 FISCAL YEAR**

FUND CTM0000

INSTITUTION ADTEC/UNIVERSITY CENTER

APPROPRIATION \_\_\_\_\_

83F

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2014-2015	2015-2016	APPROPRIATION	AHECB RECOMMENDATION	2016-2017
				2015-2016	2016-2017	2016-2017
1	REGULAR SALARIES	298,078				
2	EXTRA HELP WAGES					
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	100,000				
5	OPERATING EXPENSES	1,098,922				
6	CONFERENCE FEES & TRAVEL	3,000				
7	PROFESSIONAL FEES AND SERVICES					
8	CAPITAL OUTLAY					
9	FUNDED DEPRECIATION					
10	ADTEC/UNIVERSITY CENTER PARTNERS		1,500,000	2,045,000	2,077,445	2,091,350
11						
12						
13	TOTAL APPROPRIATION	\$1,500,000	\$1,500,000	\$2,045,000	\$2,077,445	\$2,091,350
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE	1,500,000	1,500,000		2,077,445	2,091,350
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)					
21	TOTAL INCOME	\$1,500,000	\$1,500,000		\$2,077,445	\$2,091,350
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

\* Report WF2000 funds on line 17 - "Special Revenues".

FORM FR-3

\*\*Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

ADTEC Allocations for 2015-16

(1) ARKANSAS NORTHEASTERN COLLEGE	157,081
(2) ARKANSAS STATE UNIVERSITY NEWPORT	157,081
(3) EAST ARKANSAS COMMUNITY COLLEGE	205,575
(4) MID-SOUTH COMMUNITY COLLEGE	401,078
(5) PHILLIPS COMMUNITY COLLEGE OF THE UA	181,710
(6) ARKANSAS STATE UNIVERSITY JONESBORO	307,836
(7) UNIVERSITY OF ARKANSAS AT FORT SMITH	89,639
TOTAL AMOUNT ALLOCATED	<u>1,500,000</u>

ADTEC Allocations for 2016-17

(1) ARKANSAS NORTHEASTERN COLLEGE	217,551	219,008
(2) ARKANSAS STATE UNIVERSITY NEWPORT	217,551	219,008
(3) EAST ARKANSAS COMMUNITY COLLEGE	284,714	286,620
(4) MID-SOUTH COMMUNITY COLLEGE	555,478	559,196
(5) PHILLIPS COMMUNITY COLLEGE OF THE UA	251,662	253,346
(6) ARKANSAS STATE UNIVERSITY JONESBORO	426,342	429,195
(7) UNIVERSITY OF ARKANSAS AT FORT SMITH	124,147	124,978
TOTAL AMOUNT ALLOCATED	<u>2,077,445</u>	<u>2,091,350</u>

**APPROPRIATION ACT FORM - CASH FUNDS  
2016-2017 Fiscal Year**

FUND 2810000

INSTITUTION ARKANSAS STATE UNIVERSITY MID-SOUTH

APPROPRIATION \_\_\_\_\_ D03

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2014-2015	2015-2016	APPROPRIATION	AHECB RECOMMENDATION	RECOMMENDATION
				2015-2016	2016-2017	2016-2017
1	REGULAR SALARIES	2,302,498	15,000,000	15,000,000	15,250,000	15,250,000
2	EXTRA HELP WAGES	271,846	1,600,000	1,600,000	1,600,000	1,600,000
3	OVERTIME	0	30,000	30,000	30,000	30,000
4	PERSONAL SERVICES MATCHING	1,183,280	5,500,000	5,500,000	5,550,000	5,550,000
5	OPERATING EXPENSES	2,994,886	10,000,000	10,000,000	10,250,000	10,250,000
6	CONFERENCE FEES & TRAVEL	113,634	950,000	950,000	950,000	950,000
7	PROFESSIONAL FEES AND SERVICES	1,587,553	30,000,000	30,000,000	32,500,000	32,500,000
8	CAPITAL OUTLAY	550,278	4,750,000	4,750,000	4,750,000	4,750,000
9	CAPITAL IMPROVEMENTS	4,006,789	9,000,000	9,000,000	9,000,000	9,000,000
10	DEBT SERVICE					
11	FUND TRANSFERS, REFUNDS AND INVESTMENTS	0	950,000	950,000	950,000	950,000
12	PROMOTIONAL ITEMS	5,636	205,000	205,000	205,000	205,000
13						
14						
15						
16	TOTAL APPROPRIATION	\$13,016,399	\$77,985,000	\$77,985,000	\$81,035,000	\$81,035,000
17	PRIOR YEAR FUND BALANCE**					
18	TUITION AND MANDATORY FEES	5,000,464	5,000,000		5,356,000	5,356,000
19	ALL OTHER FEES				9,527,500	9,527,500
20	SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	215,742	180,000			
21	INVESTMENT INCOME	178,756	150,000		103,000	103,000
22	FEDERAL CASH FUNDS	7,621,437	25,000,000		15,450,000	15,450,000
23	OTHER CASH FUNDS		47,655,000		50,598,500	50,598,500
24	TOTAL INCOME	\$13,016,399	\$77,985,000		\$81,035,000	\$81,035,000
25	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

FORM FR-4

**TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)**

	ACTUAL	BUDGETED	AUTHORIZED	REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
	2014-2015	2015-2016	2015-2016	2016-2017	2016-17	2016-2017
REGULAR POSITIONS	216	226	316	316	316	316
TOBACCO POSITIONS						
EXTRA HELP ***	111	110	200	200	200	200

\*\* Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

\*\*\* The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

**AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES**

ARKANSAS STATE UNIVERSITY MID-SOUTH  
(NAME OF INSTITUTION)

	ACTIVITY	ACTUAL 2014-2015				BUDGETED 2015-2016			
		INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1	INTERCOLLEGIATE ATHLETICS*	32,897	127,067	0	(94,170)	28,000	157,741	0	(129,741)
2	HOUSING	0	0	0	0	0	0	0	0
3	FOOD SERVICES	120,237	140,272	0	(20,035)	85,000	166,256	0	(81,256)
4	STUDENT UNION	0	0	0	0	0	0	0	0
5	BOOKSTORE	85,000	0	0	85,000	85,000	0	0	85,000
6	STUDENT ORGANIZATIONS AND PUBLICATIONS	0	0	0	0	0	0	0	0
7	OTHER (FOOTNOTE BELOW)	10,506	0	0	10,506	10,000	0	0	10,000
8	SUBTOTAL	248,640	267,339	0	(18,699)	208,000	323,997	0	(115,997)
9	ATHLETIC TRANSFER**	94,170			94,170	115,997			115,997
10	OTHER TRANSFERS*** (FOOTNOTE BELOW)				0	0			0
11	GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	342,810	267,339	0	75,471	323,997	323,997	0	0

\* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM FR-5

\*\* For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

\*\*\* For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 7 "Other"- Vending



**EMPLOYMENT INFORMATION  
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

ARKANSAS STATE UNIVERSITY MID-SOUTH  
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2014-2015:						226
(As of November 1, 2014 )						
Nonclassified Administrative Employees:						
White Male:	18	Black Male:	5	Other Male:	1	Total Male: 24
White Female:	20	Black Female:	15	Other Female:	0	Total Female: 35
Nonclassified Health Care Employees:						
White Male:	0	Black Male:	0	Other Male:	0	Total Male: 0
White Female:	0	Black Female:	0	Other Female:	0	Total Female: 0
Classified Employees:						
White Male:	7	Black Male:	1	Other Male:	0	Total Male: 8
White Female:	19	Black Female:	10	Other Female:	2	Total Female: 31
Faculty:						
White Male:	46	Black Male:	18	Other Male:	3	Total Male: 67
White Female:	35	Black Female:	25	Other Female:	1	Total Female: 61
Total White Male:						71
Total White Female:						74
Total Black Male:						24
Total Black Female:						50
Total Other Male:						4
Total Other Female:						3
Total White:						145
Total Black:						74
Total Other:						7
<b>Total Minority:</b>						<b>81</b>
Total Employees:						226

FORM FR-6

**ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES**  
**STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES**  
 Fiscal Year 2015  
 Required by A.C.A. 25-36-104

**Institution**

ARKANSAS STATE UNIVERSITY MID-SOUTH

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
N/A							

**TOTAL NUMBER OF MINORITY CONTRACTS AWARDED** 0

**TOTAL EXPENDITURES ON CONTRACTS AWARDED** \$402,159  
 (Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

**% OF MINORITY CONTRACTS AWARDED** 0%

**ARKANSAS LEGISLATIVE AUDIT  
AUDIT OF MID-SOUTH COMMUNITY COLLEGE  
June 30, 2014**

<i>Finding:</i>	No Findings noted
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**INSTITUTION APPROPRIATION SUMMARY  
2016-2017 Fiscal Year**

INSTITUTION ARKANSAS STATE UNIVERSITY-NEWPORT

	APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
		2014-15		2015-16		2015-16		2016-17			
		ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1	STATE TREASURY	7,395,954		7,409,921		7,965,354		8,527,043		8,527,043	
2	CASH	8,446,743		30,195,000		30,195,000		31,870,000		31,870,000	
3											
4											
5											
6											
7											
8											
9											
10											
11	TOTAL	\$15,842,697	205	\$37,604,921	246	\$38,160,354	304	\$40,397,043	304	\$40,397,043	304
	<b>FUNDING SOURCES</b>		%		%				%		%
12	PRIOR YEAR FUND BALANCE*	0	0%	0	0%			0	0%	0	0%
13	GENERAL REVENUE	5,992,293	38%	5,992,293	16%			7,109,415	18%	7,109,415	18%
14	EDUCATIONAL EXCELLENCE TRUST FUND	0	0%	0	0%			0	0%	0	0%
15	WORKFORCE 2000	1,403,661	9%	1,417,628	4%			1,417,628	4%	1,417,628	4%
16	CASH FUNDS	6,204,983	39%	27,495,000	73%			29,270,000	72%	29,270,000	72%
17	SPECIAL REVENUES		0%		0%				0%	0	0%
18	FEDERAL FUNDS	2,241,760	14%	2,700,000	7%			2,600,000	6%	2,600,000	6%
19	TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%
20	OTHER FUNDS	0	0%	0	0%			0	0%	0	0%
21	TOTAL INCOME	\$15,842,697	100%	\$37,604,921	100%			\$40,397,043	100%	\$40,397,043	100%
22	EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2015:	\$2,923,689
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$925,000
INVENTORIES	
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$150,000
MAJOR CRITICAL SYSTEMS FAILURES	\$250,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$1,950,000
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	(\$351,311)

\*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY  
2016-2017 FISCAL YEAR**

FUND CMN0000

INSTITUTION ARKANSAS STATE UNIVERSITY-NEWPORT

APPROPRIATION 790

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2014-2015	2015-2016	APPROPRIATION 2015-2016	AHECB RECOMMENDATION 2016-2017	2016-2017
1	REGULAR SALARIES	4,872,293	4,872,293	4,900,000	5,277,043	5,000,000
2	EXTRA HELP WAGES	125,000	125,000	150,000	150,000	160,000
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	1,100,000	1,100,000	1,200,000	1,375,000	1,300,000
5	OPERATING EXPENSES	1,273,317	1,287,628	1,690,354	1,700,000	1,719,315
6	CONFERENCE FEES & TRAVEL	0	0	0	0	0
7	PROFESSIONAL FEES AND SERVICES	0	0	0	0	0
8	CAPITAL OUTLAY	0	0	0	0	0
9	FUNDED DEPRECIATION	25,000	25,000	25,000	25,000	25,000
10	WORKERS COMP/SURETY PREMIUM	344				
11						
12						
13	TOTAL APPROPRIATION	\$7,395,954	\$7,409,921	\$7,965,354	\$8,527,043	\$8,204,315
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE	5,992,293	5,992,293		7,109,415	6,786,687
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]	1,403,661	1,417,628		1,417,628	1,417,628
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)					
21	TOTAL INCOME	\$7,395,954	\$7,409,921		\$8,527,043	\$8,204,315
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

\* Report WF2000 funds on line 17 - "Special Revenues".

\*\*Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS  
2016-2017 Fiscal Year**

FUND 2290000

INSTITUTION ARKANSAS STATE UNIVERSITY-NEWPORT

APPROPRIATION \_\_\_\_\_

B77

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2014-2015	2015-2016	APPROPRIATION 2015-2016	AHECB RECOMMENDATION 2016-2017	2016-2017
1	REGULAR SALARIES	2,977,340	7,775,000	7,775,000	8,125,000	8,125,000
2	EXTRA HELP WAGES	147,939	375,000	375,000	375,000	375,000
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	297,305	2,475,000	2,475,000	2,530,000	2,530,000
5	OPERATING EXPENSES	3,060,127	5,100,000	5,100,000	5,200,000	5,200,000
6	CONFERENCE FEES & TRAVEL	176,274	285,000	285,000	290,000	290,000
7	PROFESSIONAL FEES AND SERVICES	316,094	1,350,000	1,350,000	1,350,000	1,350,000
8	CAPITAL OUTLAY	864,904	2,900,000	2,900,000	3,100,000	3,100,000
9	CAPITAL IMPROVEMENTS	0	7,500,000	7,500,000	8,500,000	8,500,000
10	DEBT SERVICE	563,175	875,000	875,000	925,000	925,000
11	FUND TRANSFERS, REFUNDS AND INVESTMENTS	20,871	1,500,000	1,500,000	1,400,000	1,400,000
12	PROMOTIONAL ITEMS	22,714	60,000	60,000	75,000	75,000
13						
14						
15						
16	TOTAL APPROPRIATION	\$8,446,743	\$30,195,000	\$30,195,000	\$31,870,000	\$31,870,000
17	PRIOR YEAR FUND BALANCE**					
18	TUITION AND MANDATORY FEES	6,175,805	5,937,509		6,000,000	6,000,000
19	ALL OTHER FEES	0	0		0	0
20	SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	0	0		0	0
21	INVESTMENT INCOME	29,178	50,000		45,000	45,000
22	FEDERAL CASH FUNDS	2,241,760	2,700,000		2,600,000	2,600,000
23	OTHER CASH FUNDS	0	21,507,491		23,225,000	23,225,000
24	TOTAL INCOME	\$8,446,743	\$30,195,000		\$31,870,000	\$31,870,000
25	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

FORM FR-4

**TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)**

	ACTUAL	BUDGETED	AUTHORIZED	REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
	2014-2015	2015-2016	2015-2016	2016-2017	2016-17	2016-2017
REGULAR POSITIONS	205	246	304	304	304	304
TOBACCO POSITIONS						
EXTRA HELP ***	22	60	60	60	60	60

\*\* Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

\*\*\* The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

**AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES**

ARKANSAS STATE UNIVERSITY-NEWPORT  
(NAME OF INSTITUTION)

	ACTIVITY	ACTUAL 2014-2015				BUDGETED 2015-2016			
		INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1	INTERCOLLEGIATE ATHLETICS*	0	0	0	0	0	0	0	0
2	HOUSING	0	0	0	0	0	0	0	0
3	FOOD SERVICES	125,924	159,956	0	(34,032)	110,000	115,000	0	(5,000)
4	STUDENT UNION	0	0	0	0	0	0	0	0
5	BOOKSTORE	59,093	0	0	59,093	50,000	0	0	50,000
6	STUDENT ORGANIZATIONS AND PUBLICATIONS	0	42,594	0	(42,594)	69,000	69,000	0	0
7	OTHER (FOOTNOTE BELOW)	12,266		0	12,266	12,000	0	0	12,000
8	SUBTOTAL	197,283	202,550	0	(5,267)	241,000	184,000	0	57,000
9	ATHLETIC TRANSFER**	0			0	0			0
10	OTHER TRANSFERS*** (FOOTNOTE BELOW)	0			0	0			0
11	GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	197,283	202,550	0	(5,267)	241,000	184,000	0	57,000

\* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM FR-5

\*\* For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

\*\*\* For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 3 - Operating Expenses for Food Services included one time equipment purchases

NOTE: Line 7 "Other"- Denotes Revenues collected from Vending Operations



**EMPLOYMENT INFORMATION  
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

ARKANSAS STATE UNIVERSITY-NEWPORT \_\_\_\_\_  
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2014-2015:						<u>205</u>
(As of November 1, 2014 )						
Nonclassified Administrative Employees:						
White Male:	<u>14</u>	Black Male:	<u>0</u>	Other Male:	<u>1</u>	Total Male: <u>15</u>
White Female:	<u>16</u>	Black Female:	<u>2</u>	Other Female:	<u>0</u>	Total Female: <u>18</u>
Nonclassified Health Care Employees:						
White Male:	<u>0</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>0</u>
White Female:	<u>0</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>12</u>	Black Male:	<u>2</u>	Other Male:	<u>0</u>	Total Male: <u>14</u>
White Female:	<u>29</u>	Black Female:	<u>3</u>	Other Female:	<u>0</u>	Total Female: <u>32</u>
Faculty:						
White Male:	<u>54</u>	Black Male:	<u>1</u>	Other Male:	<u>1</u>	Total Male: <u>56</u>
White Female:	<u>66</u>	Black Female:	<u>4</u>	Other Female:	<u>0</u>	Total Female: <u>70</u>
Total White Male:	<u>80</u>	Total Black Male:	<u>3</u>	Total Other Male:	<u>2</u>	Total Male: <u>85</u>
Total White Female:	<u>111</u>	Total Black Female:	<u>9</u>	Total Other Female:	<u>0</u>	Total Female: <u>120</u>
Total White:	<u>191</u>	Total Black:	<u>12</u>	Total Other:	<u>2</u>	Total Employees: <u>205</u>
				<b>Total Minority:</b>	<u>14</u>	

FORM FR-6

**ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES**  
**STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES**  
 Fiscal Year 2015  
 Required by A.C.A. 25-36-104

**Institution**

ARKANSAS STATE UNIVERSITY-NEWPORT

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
N/A							

**TOTAL NUMBER OF MINORITY CONTRACTS AWARDED** 0

**TOTAL EXPENDITURES ON CONTRACTS AWARDED** \$240,953  
 (Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

**% OF MINORITY CONTRACTS AWARDED** 0%

**ARKANSAS LEGISLATIVE AUDIT**  
**AUDIT OF ARKANSAS STATE UNIVERSITY - NEWPORT**  
**June 30, 2015**

<p><i>Finding No. 1:</i></p>	<p>Financial Statements should be presented fairly in conformity with generally accepted accounting principles as prescribed by the Governmental Accounting Standards Board (GASB). The University's internal control system did not detect or prevent material misstatements in the Consolidated Statement of Net Position. The significant error noted is identified below:</p> <ul style="list-style-type: none"> <li>• Investments designated for capital projects of \$5,075,115 were incorrectly classified as current assets.</li> </ul> <p>When these errors were brought to the attention of University personnel, the financial statements were corrected.</p>
<p><i>Institution's Response</i></p>	<p>The University concurs with the finding and will be cognizant of how these assets are to be classified in the future.</p>

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**INSTITUTION APPROPRIATION SUMMARY  
2016-2017 Fiscal Year**

INSTITUTION BLACK RIVER TECHNICAL COLLEGE

	APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
		2014-15		2015-16		2015-16		2016-17			
		ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1	STATE TREASURY	8,355,745		8,358,725		8,630,366		8,474,270		8,474,270	
2	CASH	15,110,263		50,544,200		50,544,200		64,985,400		64,985,400	
3											
4											
5											
6											
7											
8											
9											
10											
11	TOTAL	\$23,466,008	169	\$58,902,925	280	\$59,174,566	311	\$73,459,670	311	\$73,459,670	311
	<b>FUNDING SOURCES</b>		%		%				%		%
12	PRIOR YEAR FUND BALANCE*	0	0%	0	0%			0	0%	0	0%
13	GENERAL REVENUE	6,113,516	26%	6,113,516	10%			6,229,061	8%	6,229,061	8%
14	EDUCATIONAL EXCELLENCE TRUST FUND	0	0%	0	0%			0	0%	0	0%
15	WORKFORCE 2000	2,223,088	9%	2,245,209	4%			2,245,209	3%	2,245,209	3%
16	CASH FUNDS	15,110,263	64%	50,544,200	86%			64,985,400	88%	64,985,400	88%
17	SPECIAL REVENUES		0%		0%				0%		0%
18	FEDERAL FUNDS	0	0%	0	0%			0	0%	0	0%
19	TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%
20	OTHER FUNDS	19,141	0%	0	0%			0	0%	0	0%
21	TOTAL INCOME	\$23,466,008	100%	\$58,902,925	100%			\$73,459,670	100%	\$73,459,670	100%
22	EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2015:	\$3,574,381
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$498,671
INVENTORIES	\$372,487
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$100,000
MAJOR CRITICAL SYSTEMS FAILURES	\$500,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$1,868,197
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$750,000
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	(\$514,974)

\*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY  
2016-2017 FISCAL YEAR**

FUND CTB0000

INSTITUTION BLACK RIVER TECHNICAL COLLEGE

APPROPRIATION 703

	DESCRIPTION	ACTUAL 2014-2015	BUDGETED 2015-2016	AUTHORIZED APPROPRIATION 2015-2016	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
					2016-2017	2016-2017
1	REGULAR SALARIES	6,132,070	6,113,516	6,113,516	6,229,061	6,407,278
2	EXTRA HELP WAGES					
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	2,223,009	2,223,088	2,223,088	2,223,088	2,223,088
5	OPERATING EXPENSES	79	22,121	293,762	22,121	258,911
6	CONFERENCE FEES & TRAVEL	0	0	0	0	0
7	PROFESSIONAL FEES AND SERVICES	0	0	0	0	0
8	CAPITAL OUTLAY	0	0	0	0	0
9	FUNDED DEPRECIATION					
10	WORKERS COMP/SURETY PREMIUM	587				
11						
12						
13	TOTAL APPROPRIATION	\$8,355,745	\$8,358,725	\$8,630,366	\$8,474,270	\$8,889,277
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE	6,113,516	6,113,516		6,229,061	6,644,068
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]	2,223,088	2,245,209		2,245,209	2,245,209
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS (FOOTNOTE	19,141				
21	TOTAL INCOME	\$8,355,745	\$8,358,725		\$8,474,270	\$8,889,277
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

\* Report WF2000 funds on line 17 - "Special Revenues".

\*\*Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM FR-3

NOTE: Line 20 "Other State Treasury Funds"- Tuition Adjustment reimbursement and M&R

**APPROPRIATION ACT FORM - CASH FUNDS  
2016-2017 Fiscal Year**

FUND 2750000

INSTITUTION BLACK RIVER TECHNICAL COLLEGE

APPROPRIATION B51

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2014-2015	2015-2016	APPROPRIATION	AHECB RECOMMENDATION	2016-2017
				2015-2016	2016-2017	2016-2017
1	REGULAR SALARIES	1,815,416	11,900,000	11,900,000	15,300,000	15,300,000
2	EXTRA HELP WAGES	219,744	1,323,000	1,323,000	1,701,000	1,701,000
3	OVERTIME	0	26,460	26,460	34,020	34,020
4	PERSONAL SERVICES MATCHING	373,314	4,627,000	4,627,000	5,949,000	5,949,000
5	OPERATING EXPENSES	4,728,333	17,186,400	17,186,400	22,096,800	22,096,800
6	CONFERENCE FEES & TRAVEL	79,243	528,780	528,780	679,860	679,860
7	PROFESSIONAL FEES AND SERVICES	438,696	1,323,000	1,323,000	1,701,000	1,701,000
8	CAPITAL OUTLAY	7,220,882	12,863,200	12,863,200	16,538,400	16,538,400
9	CAPITAL IMPROVEMENTS	0	0	0	0	0
10	DEBT SERVICE	202,768	555,240	555,240	713,880	713,880
11	FUND TRANSFERS, REFUNDS AND INVESTMENTS		132,160	132,160	169,920	169,920
12	PROMOTIONAL ITEMS	31,867	78,960	78,960	101,520	101,520
13						
14						
15						
16	TOTAL APPROPRIATION	\$15,110,263	\$50,544,200	\$50,544,200	\$64,985,400	\$64,985,400
17	PRIOR YEAR FUND BALANCE**					
18	TUITION AND MANDATORY FEES	5,900,337	6,934,000		6,934,000	6,934,000
19	ALL OTHER FEES	23,792	60,000		60,000	60,000
20	SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS					
21	INVESTMENT INCOME	56,460	75,000		75,000	75,000
22	FEDERAL CASH FUNDS					
23	OTHER CASH FUNDS	9,129,674	43,475,200		57,916,400	57,916,400
24	TOTAL INCOME	\$15,110,263	\$50,544,200		\$64,985,400	\$64,985,400
25	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

FORM FR-4

**TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)**

	ACTUAL	BUDGETED	AUTHORIZED	REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
	2014-2015	2015-2016	2015-2016	2016-2017	2016-17	2016-2017
REGULAR POSITIONS	169	280	311	311	311	311
TOBACCO POSITIONS						
EXTRA HELP ***	43	60	75	75	75	75

\*\* Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

\*\*\* The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

**AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES**

BLACK RIVER TECHNICAL COLLEGE

(NAME OF INSTITUTION)

Black River Technical College

	ACTIVITY	ACTUAL 2014-2015				BUDGETED 2015-2016			
		INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1	INTERCOLLEGIATE ATHLETICS*	0	0	0	0	0	0	0	0
2	HOUSING	0	0	0	0	0	0	0	0
3	FOOD SERVICES	87,630	165,519	0	(77,889)	85,000	155,000	0	(70,000)
4	STUDENT UNION	0	0	0	0	0	0	0	0
5	BOOKSTORE	1,243,465	1,096,844	0	146,621	1,250,000	1,100,000	0	150,000
6	STUDENT ORGANIZATIONS AND PUBLICATIONS	0	0	0	0	0	0	0	0
7	OTHER (FOOTNOTE BELOW)	3,654	2,059	0	1,595	4,000	2,000	0	2,000
8	SUBTOTAL	1,334,749	1,264,422	0	70,327	1,339,000	1,257,000	0	82,000
9	ATHLETIC TRANSFER**	0			0	0			0
10	OTHER TRANSFERS*** (FOOTNOTE BELOW)	(50,000)			(50,000)	0			(50,000)
11	GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	1,284,749	1,264,422	0	20,327	1,339,000	1,257,000	0	32,000

\* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM FR-5

\*\* For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

\*\*\* For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 7 "Other"- Vending



**EMPLOYMENT INFORMATION  
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

BLACK RIVER TECHNICAL COLLEGE  
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2014-2015:						169
(As of November 1, 2014 )						
Nonclassified Administrative Employees:						
White Male:	9	Black Male:	0	Other Male:	0	Total Male: 9
White Female:	25	Black Female:	0	Other Female:	0	Total Female: 25
Nonclassified Health Care Employees:						
White Male:	0	Black Male:	0	Other Male:	0	Total Male: 0
White Female:	0	Black Female:	0	Other Female:	0	Total Female: 0
Classified Employees:						
White Male:	17	Black Male:	0	Other Male:	0	Total Male: 17
White Female:	45	Black Female:	0	Other Female:	0	Total Female: 45
Faculty:						
White Male:	24	Black Male:	0	Other Male:	0	Total Male: 24
White Female:	48	Black Female:	1	Other Female:	0	Total Female: 49
Total White Male:						50
Total White Female:						118
Total Black Male:						0
Total Black Female:						1
Total Other Male:						0
Total Other Female:						0
Total White:						168
Total Black:						1
Total Other:						0
<b>Total Minority:</b>						1
Total Employees:						169

FORM FR-6

**ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES**  
**STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES**  
 Fiscal Year 2015  
 Required by A.C.A. 25-36-104

**Institution**

BLACK RIVER TECHNICAL COLLEGE

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
N/A							

**TOTAL NUMBER OF MINORITY CONTRACTS AWARDED** 0

**TOTAL EXPENDITURES ON CONTRACTS AWARDED** \$235,811  
 (Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

**% OF MINORITY CONTRACTS AWARDED** 0%

**ARKANSAS LEGISLATIVE AUDIT  
AUDIT OF BLACK RIVER TECHNICAL COLLEGE  
June 30, 2014**

<i>Finding:</i>	No Findings noted
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**INSTITUTION APPROPRIATION SUMMARY  
2016-2017 Fiscal Year**

INSTITUTION COLLEGE OF THE OUACHITAS

	APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
		2014-15		2015-16		2015-16		2016-17			
		ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1	STATE TREASURY	4,672,254		4,683,647		4,798,533		4,750,312		4,750,312	
2	CASH	6,948,121		11,604,609		11,604,609		12,930,486		12,930,486	
3											
4											
5											
6											
7											
8											
9											
10											
11	TOTAL	\$11,620,375	199	\$16,288,256	174	\$16,403,142	224	\$17,680,798	224	\$17,680,798	224
	<b>FUNDING SOURCES</b>		%		%				%		%
12	PRIOR YEAR FUND BALANCE*	0	0%	0	0%			0	0%	0	0%
13	GENERAL REVENUE	3,527,261	30%	3,527,261	22%			3,593,926	20%	3,593,926	20%
14	EDUCATIONAL EXCELLENCE TRUST FUND	0	0%	0	0%			0	0%	0	0%
15	WORKFORCE 2000	1,144,993	10%	1,156,386	7%			1,156,386	7%	1,156,386	7%
16	CASH FUNDS	5,327,346	46%	9,410,054	58%			10,735,931	61%	10,735,931	61%
17	SPECIAL REVENUES		0%		0%				0%	0	0%
18	FEDERAL FUNDS	1,620,775	14%	2,194,555	13%			2,194,555	12%	2,194,555	12%
19	TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%
20	OTHER FUNDS	0	0%	0	0%			0	0%	0	0%
21	TOTAL INCOME	\$11,620,375	100%	\$16,288,256	100%			\$17,680,798	100%	\$17,680,798	100%
22	EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2015:	(\$1,643,845)
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$123,839
INVENTORIES	\$167,266
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$10,000
MAJOR CRITICAL SYSTEMS FAILURES	
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$949,079
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	(\$2,894,029)

\*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY  
2016-2017 FISCAL YEAR**

FUND CTW0000

INSTITUTION COLLEGE OF THE OUACHITAS

APPROPRIATION \_\_\_\_\_ 1WZ

	DESCRIPTION	ACTUAL 2014-2015	BUDGETED 2015-2016	AUTHORIZED APPROPRIATION 2015-2016	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
					AHECB RECOMMENDATION 2016-2017	2016-2017
1	REGULAR SALARIES	3,236,902	2,954,682	2,954,682	2,954,682	3,055,682
2	EXTRA HELP WAGES					
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	661,695	667,816	782,702	734,481	825,658
5	OPERATING EXPENSES	773,406	1,061,149	1,061,149	1,061,149	1,061,149
6	CONFERENCE FEES & TRAVEL	0	0	0	0	0
7	PROFESSIONAL FEES AND SERVICES	0	0	0	0	0
8	CAPITAL OUTLAY	0	0	0	0	0
9	FUNDED DEPRECIATION					
10	WORKERS COMP/SURETY PREMIUM	251				
11						
12						
13	TOTAL APPROPRIATION	\$4,672,254	\$4,683,647	\$4,798,533	\$4,750,312	\$4,942,489
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE	3,527,261	3,527,261		3,593,926	3,786,103
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]	1,144,993	1,156,386		1,156,386	1,156,386
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)					
21	TOTAL INCOME	\$4,672,254	\$4,683,647		\$4,750,312	\$4,942,489
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

\* Report WF2000 funds on line 17 - "Special Revenues".

\*\*Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM FR-3

**APPROPRIATION ACT FORM - CASH FUNDS  
2016-2017 Fiscal Year**

FUND 2850000

INSTITUTION COLLEGE OF THE OUACHITAS

APPROPRIATION \_\_\_\_\_ B62

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2014-2015	2015-2016	APPROPRIATION	AHECB RECOMMENDATION	2016-2017
				2015-2016	2016-2017	2016-2017
1	REGULAR SALARIES	1,553,473	3,000,000	3,000,000	3,000,000	3,000,000
2	EXTRA HELP WAGES	741,038	784,123	784,123	1,000,000	784,123
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	994,401	2,300,000	2,300,000	1,800,000	2,300,000
5	OPERATING EXPENSES	2,184,207	1,200,000	1,200,000	2,800,000	1,200,000
6	CONFERENCE FEES & TRAVEL	121,862	250,000	250,000	250,000	250,000
7	PROFESSIONAL FEES AND SERVICES	118,631	270,486	270,486	270,486	270,486
8	CAPITAL OUTLAY	1,054,632	2,500,000	2,500,000	2,500,000	2,500,000
9	CAPITAL IMPROVEMENTS	127,672	1,100,000	1,100,000	1,100,000	1,100,000
10	DEBT SERVICE					
11	FUND TRANSFERS, REFUNDS AND INVESTMENTS	52,205	200,000	200,000	200,000	200,000
12	PROMOTIONAL ITEMS				10,000	
13						
14						
15						
16	TOTAL APPROPRIATION	\$6,948,121	\$11,604,609	\$11,604,609	\$12,930,486	\$11,604,609
17	PRIOR YEAR FUND BALANCE**					
18	TUITION AND MANDATORY FEES	2,543,084	2,800,000		2,800,000	2,800,000
19	ALL OTHER FEES	648,220	513,000		750,000	513,000
20	SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	19,525	15,000		15,000	15,000
21	INVESTMENT INCOME	10,499	12,000		12,000	12,000
22	FEDERAL CASH FUNDS	1,620,775	2,194,555		2,194,555	2,194,555
23	OTHER CASH FUNDS	2,106,018	6,070,054		7,158,931	6,070,054
24	TOTAL INCOME	\$6,948,121	\$11,604,609		\$12,930,486	\$11,604,609
25	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

FORM FR-4

**TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)**

	ACTUAL	BUDGETED	AUTHORIZED	REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
	2014-2015	2015-2016	2015-2016	2016-2017	2016-17	2016-2017
REGULAR POSITIONS	199	174	224	224	224	224
TOBACCO POSITIONS						
EXTRA HELP ***	46	60	60	60	60	60

\*\* Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

\*\*\* The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

**AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES**

COLLEGE OF THE OUACHITAS  
(NAME OF INSTITUTION)

	ACTIVITY	ACTUAL 2014-2015				BUDGETED 2015-2016			
		INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1	INTERCOLLEGIATE ATHLETICS*	0	0	0	0	0	0	0	0
2	HOUSING	0	0	0	0	0	0	0	0
3	FOOD SERVICES	48,120	112,087	0	(63,967)	50,000	89,626	0	(39,626)
4	STUDENT UNION	0	0	0	0	0	0	0	0
5	BOOKSTORE	629,646	578,992	0	50,654	625,000	584,891	0	40,109
6	STUDENT ORGANIZATIONS AND PUBLICATIONS	54,919	0	0	54,919	45,000	0	0	45,000
7	OTHER (FOOTNOTE BELOW)		0	0	0	0	0	0	0
8	SUBTOTAL	732,685	691,079	0	41,606	720,000	674,517	0	45,483
9	ATHLETIC TRANSFER**	0			0	0			0
10	OTHER TRANSFERS*** (FOOTNOTE BELOW)	0			0	0			0
11	GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	732,685	691,079	0	41,606	720,000	674,517	0	45,483

\* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM FR-5

\*\* For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

\*\*\* For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.



**EMPLOYMENT INFORMATION  
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

COLLEGE OF THE OUACHITAS \_\_\_\_\_  
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2014-2015: _____ 199							
(As of November 1, 2014 )							
Nonclassified Administrative Employees:							
White Male:	5	Black Male:	2	Other Male:	0	Total Male:	7
White Female:	10	Black Female:	3	Other Female:	0	Total Female:	13
Nonclassified Health Care Employees:							
White Male:	0	Black Male:	0	Other Male:	0	Total Male:	0
White Female:	0	Black Female:	0	Other Female:	0	Total Female:	0
Classified Employees:							
White Male:	13	Black Male:	1	Other Male:	0	Total Male:	14
White Female:	31	Black Female:	5	Other Female:	0	Total Female:	36
Faculty:							
White Male:	40	Black Male:	0	Other Male:	3	Total Male:	43
White Female:	77	Black Female:	5	Other Female:	4	Total Female:	86
Total White Male:	58	Total Black Male:	3	Total Other Male:	3	Total Male:	64
Total White Female:	118	Total Black Female:	13	Total Other Female:	4	Total Female:	135
Total White:	176	Total Black:	16	Total Other:	7	Total Employees:	199
				<b>Total Minority:</b>	23		

FORM FR-6

**ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES**  
**STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES**  
 Fiscal Year 2015  
 Required by A.C.A. 25-36-104

**Institution**

COLLEGE OF THE OUACHITAS

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
Crystal Groove Cleaning	\$182,931	X					

**TOTAL NUMBER OF MINORITY CONTRACTS AWARDED** 1

**TOTAL EXPENDITURES ON CONTRACTS AWARDED** \$1,040,653  
 (Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

**% OF MINORITY CONTRACTS AWARDED** 18%

**ARKANSAS LEGISLATIVE AUDIT  
 AUDIT OF COLLEGE OF THE OUACHITAS  
 June 30, 2014**

<p><i>Finding No. 1:</i></p>	<p>The College paid tuition totaling \$3,864 for an employee to attend an unaffiliated university from January 22, 2013 through January 23, 2014. The College's Professional Development Policy did not allow for funding of unrelated educational expenses or payment of college tuition for employees.</p>
<p><i>Institution's Response</i></p>	<p>COPP 2.35, Professional Development of Employees supports the continued growth and improvement of College employees through professional development. The purpose of the policy states "Further education, regular association with peers, professional reading, training in specific skills, and participation in a variety of professional development activities are all aspects of continuing professional growth." As such, College of the Ouachitas administration interpreted payment of tuition for further education as allowed under our policy. The College's policy was changed in 2014 with the goal of being more definitive of what would be allowed as covered expenses for Professional Development. The decision to pay these expenditures was based solely on administration's interpretations of the existing policies.</p>

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**INSTITUTION APPROPRIATION SUMMARY  
2016-2017 Fiscal Year**

INSTITUTION COSSATOT COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS

	APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
		2014-15		2015-16		2015-16		2016-17			
		ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1	STATE TREASURY	4,735,594		4,746,139		5,308,421		5,349,095		5,349,095	
2	CASH	8,693,757		24,927,000		24,927,000		25,398,000		25,398,000	
3											
4											
5											
6											
7											
8											
9											
10											
11	TOTAL	\$13,429,351	167	\$29,673,139	184	\$30,235,421	235	\$30,747,095	235	\$30,747,095	235
	<b>FUNDING SOURCES</b>		%		%				%		%
12	PRIOR YEAR FUND BALANCE*	482,778	4%	0	0%			0	0%	0	0%
13	GENERAL REVENUE	3,395,802	25%	3,395,802	11%			3,998,758	13%	3,998,758	13%
14	EDUCATIONAL EXCELLENCE TRUST FUND	0	0%	0	0%			0	0%	0	0%
15	WORKFORCE 2000	1,337,033	10%	1,350,337	5%			1,350,337	4%	1,350,337	4%
16	CASH FUNDS	4,585,394	34%	15,427,000	52%			21,148,000	69%	21,148,000	69%
17	SPECIAL REVENUES		0%		0%				0%	0	0%
18	FEDERAL FUNDS	3,625,585	27%	9,500,000	32%			4,250,000	14%	4,250,000	14%
19	TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%
20	OTHER FUNDS	2,759	0%	0	0%			0	0%	0	0%
21	TOTAL INCOME	\$13,429,351	100%	\$29,673,139	100%			\$30,747,095	100%	\$30,747,095	100%
22	EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2015:	\$2,472,566
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$853,904
INVENTORIES	\$89,834
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	\$315,645
INSURANCE DEDUCTIBLES	\$50,000
MAJOR CRITICAL SYSTEMS FAILURES	\$575,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$1,273,440
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	(\$685,257)

\*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY  
2016-2017 FISCAL YEAR**

FUND CTC0000

COSSATOT COMMUNITY COLLEGE OF  
INSTITUTION THE UNIVERSITY OF ARKANSAS

APPROPRIATION \_\_\_\_\_ 705

1	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2014-2015	2015-2016	2015-2016	2016-2017	2016-2017
	REGULAR SALARIES	3,070,000	3,040,000	3,405,421	3,425,000	3,495,673
2	EXTRA HELP WAGES	37,000	40,000	55,000	59,000	60,000
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	744,471	776,000	842,000	849,095	875,000
5	OPERATING EXPENSES	871,033	870,139	985,000	995,000	1,005,000
6	CONFERENCE FEES & TRAVEL	12,802	20,000	21,000	21,000	32,000
7	PROFESSIONAL FEES AND SERVICES	0	0	0	0	0
8	CAPITAL OUTLAY	0	0	0	0	0
9	FUNDED DEPRECIATION					
10	WORKERS COMP/SURETY PREMIUM	288				
11						
12						
13	TOTAL APPROPRIATION	\$4,735,594	\$4,746,139	\$5,308,421	\$5,349,095	\$5,467,673
14	PRIOR YEAR FUND BALANCE**	0	0			
15	GENERAL REVENUE	3,395,802	3,395,802		3,998,758	4,117,336
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]	1,337,033	1,350,337		1,350,337	1,350,337
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)	2,759				
21	TOTAL INCOME	\$4,735,594	\$4,746,139		\$5,349,095	\$5,467,673
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

\* Report WF2000 funds on line 17 - "Special Revenues".

\*\*Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM FR-3

NOTE: Line 20 "Other State Treasury Funds"- Tuition Reimbursement Fund

**APPROPRIATION ACT FORM - CASH FUNDS  
2016-2017 Fiscal Year**

FUND 2770000

INSTITUTION COSSATOT COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS

APPROPRIATION \_\_\_\_\_ B52

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2014-2015	2015-2016	APPROPRIATION 2015-2016	AHECB RECOMMENDATION 2016-2017	2016-2017
1	REGULAR SALARIES	2,373,249	4,225,000	4,225,000	4,250,000	4,250,000
2	EXTRA HELP WAGES	315,226	715,000	715,000	725,000	725,000
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	987,931	2,005,000	2,005,000	2,015,000	2,015,000
5	OPERATING EXPENSES	3,702,749	8,205,000	8,205,000	8,325,000	8,325,000
6	CONFERENCE FEES & TRAVEL	96,249	902,000	902,000	918,000	918,000
7	PROFESSIONAL FEES AND SERVICES	96,619	615,000	615,000	635,000	635,000
8	CAPITAL OUTLAY	407,446	5,250,000	5,250,000	5,500,000	5,500,000
9	CAPITAL IMPROVEMENTS	0	2,000,000	2,000,000	2,000,000	2,000,000
10	DEBT SERVICE	707,943	985,000	985,000	1,000,000	1,000,000
11	FUND TRANSFERS, REFUNDS AND INVESTMENTS					
12	PROMOTIONAL ITEMS	6,345	25,000	25,000	30,000	30,000
13						
14						
15						
16	TOTAL APPROPRIATION	\$8,693,757	\$24,927,000	\$24,927,000	\$25,398,000	\$25,398,000
17	PRIOR YEAR FUND BALANCE**	482,778				
18	TUITION AND MANDATORY FEES	2,783,508	3,125,000		3,150,000	3,150,000
19	ALL OTHER FEES	495,000	608,854		595,000	595,000
20	SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	60,714	42,000		65,000	65,000
21	INVESTMENT INCOME	13,186	10,400		18,200	18,200
22	FEDERAL CASH FUNDS	3,625,585	9,500,000		4,250,000	4,250,000
23	OTHER CASH FUNDS	1,232,986	11,640,746		17,319,800	17,319,800
24	TOTAL INCOME	\$8,693,757	\$24,927,000		\$25,398,000	\$25,398,000
25	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

FORM FR-4

**TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)**

	ACTUAL	BUDGETED	AUTHORIZED	REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
	2014-2015	2015-2016	2015-2016	2016-2017	2016-17	2016-2017
REGULAR POSITIONS	167	184	235	235	235	235
TOBACCO POSITIONS						
EXTRA HELP ***	52	60	100	100	100	100

\*\* Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

\*\*\* The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

**AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES**

COSSATOT COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS  
(NAME OF INSTITUTION)

	ACTIVITY	ACTUAL 2014-2015				BUDGETED 2015-2016			
		INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1	INTERCOLLEGIATE ATHLETICS*	23,979	83,188	0	(59,209)	22,000	68,624	0	(46,624)
2	HOUSING	0	0	0	0	0	0	0	0
3	FOOD SERVICES	65,694	131,313	0	(65,619)	75,000	74,300	0	700
4	STUDENT UNION	0	0	0	0	0	0	0	0
5	BOOKSTORE	0	0	0	0	150,000	181,224	0	(31,224)
6	STUDENT ORGANIZATIONS AND PUBLICATIONS	0	0	0	0	0	0	0	0
7	OTHER (FOOTNOTE BELOW)	0	0	0	0	0	0	0	0
8	SUBTOTAL	89,673	214,501	0	(124,828)	247,000	324,148	0	(77,148)
9	ATHLETIC TRANSFER**	59,209			59,209	46,624			46,624
10	OTHER TRANSFERS*** (FOOTNOTE BELOW)	65,619			65,619	30,524			30,524
11	GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	214,501	214,501	0	0	324,148	324,148	0	0

\* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM FR-5

\*\* For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

\*\*\* For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 10 "Other Transfers" is the amount transferred from E&G to support Food Services Start-up.



**EMPLOYMENT INFORMATION  
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

COSSATOT COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS  
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2014-2015:						167
(As of November 1, 2014 )						
Nonclassified Administrative Employees:						
White Male:	7	Black Male:	0	Other Male:	1	Total Male: 8
White Female:	17	Black Female:	0	Other Female:	1	Total Female: 18
Nonclassified Health Care Employees:						
White Male:	0	Black Male:	0	Other Male:	0	Total Male: 0
White Female:	0	Black Female:	0	Other Female:	0	Total Female: 0
Classified Employees:						
White Male:	14	Black Male:	1	Other Male:	0	Total Male: 15
White Female:	22	Black Female:	1	Other Female:	2	Total Female: 25
Faculty:						
White Male:	22	Black Male:	0	Other Male:	2	Total Male: 24
White Female:	67	Black Female:	2	Other Female:	8	Total Female: 77
Total White Male:	43	Total Black Male:	1	Total Other Male:	3	Total Male: 47
Total White Female:	106	Total Black Female:	3	Total Other Female:	11	Total Female: 120
Total White:	149	Total Black:	4	Total Other:	14	Total Employees: 167
				<b>Total Minority:</b>	<b>18</b>	

FORM FR-6

**ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES**  
**STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES**  
 Fiscal Year 2015  
 Required by A.C.A. 25-36-104

**Institution**

COSSATOT COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
N/A							

**TOTAL NUMBER OF MINORITY CONTRACTS AWARDED** 0

**TOTAL EXPENDITURES ON CONTRACTS AWARDED** \$0  
 (Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

**% OF MINORITY CONTRACTS AWARDED** 0%

**ARKANSAS LEGISLATIVE AUDIT  
AUDIT OF COSSATOT COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS  
June 30, 2015**

<i>Finding:</i>	No Findings noted
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**INSTITUTION APPROPRIATION SUMMARY  
2016-2017 Fiscal Year**

INSTITUTION EAST ARKANSAS COMMUNITY COLLEGE

	APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
		2014-15		2015-16		2015-16		2016-17			
		ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1	STATE TREASURY	6,681,511		6,565,224		6,712,789		6,674,618		6,674,618	
2	CASH	4,763,356		27,400,000		27,400,000		27,400,000		27,400,000	
3											
4											
5											
6											
7											
8											
9											
10											
11	TOTAL	\$11,444,868	217	\$33,965,224	242	\$34,112,789	308	\$34,074,618	308	\$34,074,618	308
	<b>FUNDING SOURCES</b>		%		%				%		%
12	PRIOR YEAR FUND BALANCE*	125,000	1%	0	0%			0	0%	0	0%
13	GENERAL REVENUE	5,788,058	51%	5,788,058	17%			5,897,452	17%	5,897,452	17%
14	EDUCATIONAL EXCELLENCE TRUST FUND	768,453	7%	777,166	2%			777,166	2%	777,166	2%
15	WORKFORCE 2000	0	0%	0	0%			0	0%	0	0%
16	CASH FUNDS	3,863,436	34%	21,544,686	63%			21,903,077	64%	21,903,077	64%
17	SPECIAL REVENUES		0%		0%				0%	0	0%
18	FEDERAL FUNDS	899,920	8%	5,855,314	17%			5,496,923	16%	5,496,923	16%
19	TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%
20	OTHER FUNDS	0	0%	0	0%			0	0%	0	0%
21	TOTAL INCOME	\$11,444,867	100%	\$33,965,224	100%			\$34,074,618	100%	\$34,074,618	100%
22	EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2015:	\$1,403,623
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$145,169
INVENTORIES	\$15,535
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	\$152,224
INSURANCE DEDUCTIBLES	\$50,000
MAJOR CRITICAL SYSTEMS FAILURES	
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$1,027,766
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$12,929

\*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY  
2016-2017 FISCAL YEAR**

FUND CWE0000

INSTITUTION EAST ARKANSAS COMMUNITY COLLEGE

APPROPRIATION \_\_\_\_\_

538

	DESCRIPTION	ACTUAL 2014-2015	BUDGETED 2015-2016	AUTHORIZED APPROPRIATION 2015-2016	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
					AHECB RECOMMENDATION 2016-2017	2016-2017
1	REGULAR SALARIES	4,696,013	4,475,417	4,623,814	4,700,000	4,750,198
2	EXTRA HELP WAGES	21,000	21,000	21,000	21,000	21,000
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	1,150,000	1,150,000	1,150,000	1,150,000	1,150,000
5	OPERATING EXPENSES	814,210	918,807	917,975	803,618	992,975
6	CONFERENCE FEES & TRAVEL	0	0	0	0	0
7	PROFESSIONAL FEES AND SERVICES	0	0	0	0	0
8	CAPITAL OUTLAY	0	0	0	0	0
9	FUNDED DEPRECIATION					
10	WORKERS COMP/SURETY PREMIUM	288				
11						
12						
13	TOTAL APPROPRIATION	\$6,681,511	\$6,565,224	\$6,712,789	\$6,674,618	\$6,914,173
14	PRIOR YEAR FUND BALANCE**	125,000				
15	GENERAL REVENUE	5,788,058	5,788,058		5,897,452	6,137,007
16	EDUCATIONAL EXCELLENCE TRUST FUND	768,453	777,166		777,166	777,166
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)					
21	TOTAL INCOME	\$6,681,511	\$6,565,224		\$6,674,618	\$6,914,173
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

\* Report WF2000 funds on line 17 - "Special Revenues".

\*\*Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS**  
**2016-2017 Fiscal Year**

FUND 2110000

INSTITUTION EAST ARKANSAS COMMUNITY COLLEGE

APPROPRIATION \_\_\_\_\_

B05

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2014-2015	2015-2016	APPROPRIATION	AHECB RECOMMENDATION	2016-2017
				2015-2016	2016-2017	2016-2017
1	REGULAR SALARIES	903,129	2,500,000	2,500,000	2,500,000	2,500,000
2	EXTRA HELP WAGES	140,528	300,000	300,000	300,000	300,000
3	OVERTIME	0	10,000	10,000	10,000	10,000
4	PERSONAL SERVICES MATCHING	897,037	1,694,734	1,694,734	1,694,734	1,694,734
5	OPERATING EXPENSES	1,436,109	2,604,000	2,604,000	2,604,000	2,604,000
6	CONFERENCE FEES & TRAVEL	80,278	321,000	321,000	321,000	321,000
7	PROFESSIONAL FEES AND SERVICES	80,929	300,000	300,000	300,000	300,000
8	CAPITAL OUTLAY	1,157,590	1,250,000	1,250,000	1,250,000	1,250,000
9	CAPITAL IMPROVEMENTS	45,665	15,593,266	15,593,266	15,593,266	15,593,266
10	DEBT SERVICE	300	300,000	300,000	300,000	300,000
11	FUND TRANSFERS, REFUNDS AND INVESTMENTS		2,500,000	2,500,000	2,500,000	2,500,000
12	PROMOTIONAL ITEMS	21,790	27,000	27,000	27,000	27,000
13						
14						
15						
16	TOTAL APPROPRIATION	\$4,763,356	\$27,400,000	\$27,400,000	\$27,400,000	\$27,400,000
17	PRIOR YEAR FUND BALANCE**					
18	TUITION AND MANDATORY FEES	2,390,056	2,401,061		2,453,100	2,453,100
19	ALL OTHER FEES	370,267	420,409		714,168	714,168
20	SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	574,604	661,500		670,000	670,000
21	INVESTMENT INCOME	10,174	8,000		15,000	15,000
22	FEDERAL CASH FUNDS	899,920	5,855,314		5,496,923	5,496,923
23	OTHER CASH FUNDS	518,335	18,053,716		18,050,809	18,050,809
24	TOTAL INCOME	\$4,763,356	\$27,400,000		\$27,400,000	\$27,400,000
25	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

FORM FR-4

**TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)**

	ACTUAL	BUDGETED	AUTHORIZED	REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
	2014-2015	2015-2016	2015-2016	2016-2017	2016-17	2016-2017
REGULAR POSITIONS	217	242	308	308	308	308
TOBACCO POSITIONS						
EXTRA HELP ***	120	249	249	249	249	249

\*\* Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

\*\*\* The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

**AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES**

EAST ARKANSAS COMMUNITY COLLEGE  
(NAME OF INSTITUTION)

	ACTIVITY	ACTUAL 2014-2015				BUDGETED 2015-2016			
		INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1	INTERCOLLEGIATE ATHLETICS*	0	0	0	0	0	0	0	0
2	HOUSING	0	0	0	0	0	0	0	0
3	FOOD SERVICES	1,786	0	0	1,786	1,500	0	0	1,500
4	STUDENT UNION	0	0	0	0	0	0	0	0
5	BOOKSTORE	505,562	484,936	0	20,626	661,500	584,668	0	76,832
6	STUDENT ORGANIZATIONS AND PUBLICATIONS	0	0	0	0	0	26,172	0	(26,172)
7	OTHER (FOOTNOTE BELOW)	0	40,000	0	(40,000)	0	80,000	0	(80,000)
8	SUBTOTAL	507,348	524,936	0	(17,588)	663,000	690,840	0	(27,840)
9	ATHLETIC TRANSFER**	0			0				0
10	OTHER TRANSFERS*** (FOOTNOTE BELOW)	23,634			23,634	27,840			27,840
11	GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	530,982	524,936	0	6,046	690,840	690,840	0	0

\* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM FR-5

\*\* For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

\*\*\* For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 7 "Other" - Transferred to Unrestricted Educational & General

NOTE: Line 10 "Other Transfers" - Transferred from Educational & General



**EMPLOYMENT INFORMATION  
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

EAST ARKANSAS COMMUNITY COLLEGE \_\_\_\_\_  
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2014-2015: _____ 164							
(As of November 1, 2014 )							
Nonclassified Administrative Employees:							
White Male:	8	Black Male:	4	Other Male:	0	Total Male:	12
White Female:	18	Black Female:	4	Other Female:	2	Total Female:	24
Nonclassified Health Care Employees:							
White Male:	0	Black Male:	0	Other Male:	0	Total Male:	0
White Female:	0	Black Female:	0	Other Female:	0	Total Female:	0
Classified Employees:							
White Male:	6	Black Male:	2	Other Male:	0	Total Male:	8
White Female:	18	Black Female:	17	Other Female:	0	Total Female:	35
Faculty:							
White Male:	26	Black Male:	5	Other Male:	2	Total Male:	33
White Female:	43	Black Female:	8	Other Female:	1	Total Female:	52
Total White Male:	40	Total Black Male:	11	Total Other Male:	2	Total Male:	53
Total White Female:	79	Total Black Female:	29	Total Other Female:	3	Total Female:	111
Total White:	119	Total Black:	40	Total Other:	5	Total Employees:	164
				<b>Total Minority:</b>	<b>45</b>		

FORM FR-6

**ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES**  
**STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES**  
 Fiscal Year 2015  
 Required by A.C.A. 25-36-104

**Institution**

EAST ARKANSAS COMMUNITY COLLEGE

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
N/A							

**TOTAL NUMBER OF MINORITY CONTRACTS AWARDED** 0

**TOTAL EXPENDITURES ON CONTRACTS AWARDED** \$513,883  
 (Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

**% OF MINORITY CONTRACTS AWARDED** 0%

**ARKANSAS LEGISLATIVE AUDIT  
AUDIT OF EAST ARKANSAS COMMUNITY COLLEGE  
June 30, 2014**

<i>Finding:</i>	No Findings noted
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**INSTITUTION APPROPRIATION SUMMARY  
2016-2017 Fiscal Year**

INSTITUTION NATIONAL PARK COLLEGE

	APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
		2014-15		2015-16		2015-16		2016-17			
		ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1	STATE TREASURY	10,857,258		10,876,872		11,101,514		11,047,851		11,047,851	
2	CASH	9,826,807		46,015,000		46,015,000		46,015,000		46,015,000	
3											
4											
5											
6											
7											
8											
9											
10											
11	TOTAL	\$20,684,065	324	\$56,891,872	332	\$57,116,514	390	\$57,062,851	390	\$57,062,851	384
	<b>FUNDING SOURCES</b>		%		%				%		%
12	PRIOR YEAR FUND BALANCE*	0	0%	0	0%			0	0%	0	0%
13	GENERAL REVENUE	9,046,489	44%	9,046,489	16%			9,217,468	16%	9,217,468	16%
14	EDUCATIONAL EXCELLENCE TRUST FUND	1,149,330	6%	1,162,362	2%			1,162,362	2%	1,162,362	2%
15	WORKFORCE 2000	661,439	3%	668,021	1%			668,021	1%	668,021	1%
16	CASH FUNDS	7,868,129	38%	26,015,000	46%			26,015,000	46%	26,015,000	46%
17	SPECIAL REVENUES		0%		0%				0%	0	0%
18	FEDERAL FUNDS	1,958,678	9%	20,000,000	35%			20,000,000	35%	20,000,000	35%
19	TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%
20	OTHER FUNDS	0	0%	0	0%			0	0%	0	0%
21	TOTAL INCOME	\$20,684,065	100%	\$56,891,872	100%			\$57,062,851	100%	\$57,062,851	100%
22	EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2015:	\$4,170,319
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$1,469,443
INVENTORIES	\$444,311
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$50,000
MAJOR CRITICAL SYSTEMS FAILURES	\$500,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$1,506,565
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$200,000
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$0

\*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY  
2016-2017 FISCAL YEAR**

FUND CWG0000

INSTITUTION NATIONAL PARK COLLEGE

APPROPRIATION \_\_\_\_\_

302

	DESCRIPTION	ACTUAL 2014-2015	BUDGETED 2015-2016	AUTHORIZED APPROPRIATION 2015-2016	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
					2016-2017	2016-2017
1	REGULAR SALARIES	8,716,036	8,718,360	8,050,339	7,996,676	8,383,384
2	EXTRA HELP WAGES					
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	1,339,459	1,409,273	2,100,000	2,100,000	2,100,000
5	OPERATING EXPENSES	775,000	723,064	925,000	925,000	925,000
6	CONFERENCE FEES & TRAVEL	0	0	0	0	0
7	PROFESSIONAL FEES AND SERVICES	0	0	0	0	0
8	CAPITAL OUTLAY	0	0	0	0	0
9	FUNDED DEPRECIATION	26,175	26,175	26,175	26,175	26,175
10	WORKERS COMP/SURETY PREMIUM	588				
11						
12						
13	TOTAL APPROPRIATION	\$10,857,258	\$10,876,872	\$11,101,514	\$11,047,851	\$11,434,559
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE	9,046,489	9,046,489		9,217,468	9,578,001
16	EDUCATIONAL EXCELLENCE TRUST FUND	1,149,330	1,162,362		1,162,362	1,162,362
17	SPECIAL REVENUES * [WF2000]	661,439	668,021		668,021	668,021
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)					
21	TOTAL INCOME	\$10,857,258	\$10,876,872		\$11,047,851	\$11,408,384
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$26,175

\* Report WF2000 funds on line 17 - "Special Revenues".

\*\*Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS  
2016-2017 Fiscal Year**

FUND 2120000

INSTITUTION NATIONAL PARK COLLEGE

APPROPRIATION \_\_\_\_\_ A72

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2014-2015	2015-2016	APPROPRIATION	AHECB RECOMMENDATION	2016-2017
				2015-2016	2016-2017	2016-2017
1	REGULAR SALARIES	2,116,049	9,626,240	9,626,240	9,626,240	9,626,240
2	EXTRA HELP WAGES	747,890	1,265,000	1,265,000	1,265,000	1,265,000
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	721,186	1,310,904	1,310,904	1,310,904	1,310,904
5	OPERATING EXPENSES	3,664,186	7,902,856	7,902,856	7,892,856	7,902,856
6	CONFERENCE FEES & TRAVEL	151,580	300,000	300,000	300,000	300,000
7	PROFESSIONAL FEES AND SERVICES	455,889	2,200,000	2,200,000	2,200,000	2,200,000
8	CAPITAL OUTLAY	1,071,652	10,500,000	10,500,000	10,500,000	10,500,000
9	CAPITAL IMPROVEMENTS	0	10,000,000	10,000,000	10,000,000	10,000,000
10	DEBT SERVICE	892,794	1,900,000	1,900,000	1,900,000	1,900,000
11	FUND TRANSFERS, REFUNDS AND INVESTMENTS	0	1,000,000	1,000,000	1,000,000	1,000,000
12	PROMOTIONAL ITEMS	5,581	10,000	10,000	20,000	10,000
13						
14						
15						
16	TOTAL APPROPRIATION	\$9,826,807	\$46,015,000	\$46,015,000	\$46,015,000	\$46,015,000
17	PRIOR YEAR FUND BALANCE**					
18	TUITION AND MANDATORY FEES	6,298,690	7,201,076		7,921,184	7,921,184
19	ALL OTHER FEES	23,492	20,000		20,000	20,000
20	SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	1,527,643	1,500,000		1,500,000	1,500,000
21	INVESTMENT INCOME	18,304	17,000		17,000	17,000
22	FEDERAL CASH FUNDS	1,958,678	20,000,000		20,000,000	20,000,000
23	OTHER CASH FUNDS		17,276,924		16,556,816	16,556,816
24	TOTAL INCOME	\$9,826,807	\$46,015,000		\$46,015,000	\$46,015,000
25	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

FORM FR-4

**TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)**

	ACTUAL	BUDGETED	AUTHORIZED	REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
	2014-2015	2015-2016	2015-2016	2016-2017	2016-17	2016-2017
REGULAR POSITIONS	324	332	390	390	384	390
TOBACCO POSITIONS						
EXTRA HELP ***	287	287	402	402	402	402

\*\* Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

\*\*\* The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

**AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES**

NATIONAL PARK COLLEGE  
(NAME OF INSTITUTION)

	ACTIVITY	ACTUAL 2014-2015				BUDGETED 2015-2016			
		INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1	INTERCOLLEGIATE ATHLETICS*	0	0	0	0	0	0	0	0
2	HOUSING	0	0	0	0	0	0	0	0
3	FOOD SERVICES	0	0	0	0	0	0	0	0
4	STUDENT UNION	8,752	7,341	0	1,411	9,000	7,900	0	1,100
5	BOOKSTORE	1,516,309	1,450,193	0	66,116	2,178,892	2,178,892	0	0
6	STUDENT ORGANIZATIONS AND PUBLICATIONS	49,400	50,364	0	(964)	47,700	47,700	0	0
7	OTHER (FOOTNOTE BELOW)	0	0	0	0	0	0	0	0
8	SUBTOTAL	1,574,461	1,507,898	0	66,563	2,235,592	2,234,492	0	1,100
9	ATHLETIC TRANSFER**	0			0				0
10	OTHER TRANSFERS*** (FOOTNOTE BELOW)	49,400			49,400	46,600			46,600
11	GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	1,623,861	1,507,898	0	115,963	2,282,192	2,234,492	0	47,700

\* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM FR-5

\*\* For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

\*\*\* For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 10 "OTHER TRANSFERS"- Transfer from Current E&G fund for Student Activities and other Auxiliary Groups.



**EMPLOYMENT INFORMATION  
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

NATIONAL PARK COLLEGE  
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2014-2015:						319
(As of November 1, 2014 )						
Nonclassified Administrative Employees:						
White Male:	16	Black Male:	1	Other Male:	1	Total Male: 18
White Female:	28	Black Female:	1	Other Female:	1	Total Female: 30
Nonclassified Health Care Employees:						
White Male:	0	Black Male:	0	Other Male:	0	Total Male: 0
White Female:	1	Black Female:	0	Other Female:	0	Total Female: 1
Classified Employees:						
White Male:	55	Black Male:	1	Other Male:	0	Total Male: 56
White Female:	15	Black Female:	5	Other Female:	5	Total Female: 25
Faculty:						
White Male:	72	Black Male:	0	Other Male:	4	Total Male: 76
White Female:	106	Black Female:	4	Other Female:	3	Total Female: 113
Total White Male:						143
Total White Female:						150
Total Black Male:						2
Total Black Female:						10
Total Other Male:						5
Total Other Female:						9
Total White:						293
Total Black:						12
Total Other:						14
<b>Total Minority:</b>						<b>26</b>
Total Employees:						319

FORM FR-6

**ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES**  
**STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES**  
 Fiscal Year 2015  
 Required by A.C.A. 25-36-104

**Institution**

NATIONAL PARK COLLEGE

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
N/A							

**TOTAL NUMBER OF MINORITY CONTRACTS AWARDED** 0

**TOTAL EXPENDITURES ON CONTRACTS AWARDED** \$0  
 (Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

**% OF MINORITY CONTRACTS AWARDED** 0%

**ARKANSAS LEGISLATIVE AUDIT**  
**AUDIT OF NATIONAL PARK COMMUNITY COLLEGE**  
**June 30, 2014**

<p><i>Finding No. 1:</i></p>	<p>Financial statements should be presented fairly in conformity with generally accepted accounting principles as prescribed by Governmental Accounting Standards Board (GASB). The College's internal control system did not detect or prevent material misstatements in the financial statements. Key errors in the Comparative Statement of Net Position, the Comparative Statement of Revenues, Expenses, and Changes in Net Position, and the Comparative Statement of Cash Flows included:</p> <p>Comparative Statement of Net Position and Comparative Statement of Revenues, Expenses, and Changes in Net Position</p> <p>a) Accounts payable were understated by \$20,500 as a result of the College not recording a payable for the remainder of the President's early retirement bonus. Additionally, due to an error in recording State Treasury revenues the College's cash in state treasury balance at June 30 was understated by \$106,000. As a result of these errors the related revenue and expense accounts were misstated on the Comprehensive Statement of Revenues, Expenses, and Changes in Net Position.</p> <p>b) Due to misclassifications related to cash and local tax millage receivable, current assets were overstated by \$830,568 and noncurrent assets were understated by the same amount.</p> <p>Comparative Statement of Cash Flows</p> <p>There were misstatements totaling \$58,183 related to various accounts that resulted in the following misstatements:</p> <p>a) Cash Flows from Operating Activities were understated by \$58,183.</p> <p>b) Cash Flows from Noncapital Financing Activities were overstated by \$52,895.</p> <p>c) Cash Flows from Capital and Related Financing Activities were overstated by \$5,288.</p> <p>The financial statements were corrected by college personnel during the audit.</p>
<p><i>Institution's Response</i></p>	<p>The understatement involving the President's bonus to be paid in the following year was the result of our interpretation of legislation that restricted the College to 1% of previous year's salary and fringe amounts for early retirement bonuses. Should this</p>

**ARKANSAS LEGISLATIVE AUDIT**  
**AUDIT OF NATIONAL PARK COMMUNITY COLLEGE**  
**June 30, 2014**

ever happen again, we now understand how to properly record it.

As an AASIS reporting agency, NPC pays all of its accounts payable and payroll with cash funds – never by State warrant. The College is able to “spend” the treasury funds held in its name only by means of a formal reimbursement process of eligible expenditures. The end result of this process is that State Treasury funds are direct deposited into our local bank, and our cash funds that we have asked reimbursement for are reinstated at the State level. In late fiscal year 2014, we asked DF&A to re-appropriate the balance of our GIF funds to FY 2015, as we did not have any eligible GIF expenditures for reimbursement prior to June 30, 2014. DF&A approved our request, which allowed NPC to seek reimbursement of the remaining \$106,000 in FY 2015. Funding is irrelevant without appropriation – we must have both in order to “spend.” NPC used the same method of accounting treatment for these funds as DF&A uses for funds that have left the State treasury at June 30, but have not yet deposited into an agency’s local bank account. Traditional fund accounting, as used by NPC, requires funds which have not been received to be considered as cash in transit, and not as cash equivalents. During preparation of year-end financial statements, manual entries are utilized to convert fund accounting items into “business type” activity accounting as prescribed by GASB. GASB requires the only time we use “business-type” activity accounting is during the preparation of year-end financial statements for audit. It is at this time that the cash in transit becomes a cash equivalent. In FY 2015, our final treasury funds were not deposited into our local checking account until July 2, 2015. GIF funds have been handled in this matter for several years without any discrepancy noted by audit. Because of this, NPC asserts that including these funds as an audit finding is unwarranted and should be reevaluated by your office.

NPC asserts that the misinterpretation of GASB Statement no. 62 does not warrant the severity of this finding. GASB Statement no. 62 has been in effect since December 15, 2011, yet this is the first audit in which NPC is informed that assets are not classified properly in the financial statements. We understand that Audit cannot examine every recorded transaction – but if the accounting activity in question is such a major issue in the audit that improper placement warrants some type of finding, we believe we should have been made aware of this issue in past audits. Our interpretation primarily rests with the footnote stated in GASB Statement no. 62 on page 133 (also on page 14) of the Statement. The last sentence in this footnote reads “where such resources are considered to offset maturing debt that has properly been set up as a current liability, they may be included within the current asset classification”. Since our local millage revenues are used almost exclusively to pay the principal and interest that is due annually for our bond issues (long-term debt), we have always reported our “local millage receivable” as a current asset. We have

**ARKANSAS LEGISLATIVE AUDIT**  
**AUDIT OF NATIONAL PARK COMMUNITY COLLEGE**  
**June 30, 2014**

	<p>never had an instance in which we have been so heavily penalized for a “first-time” infraction of a ruling. We understand that assets were not properly classified as interpreted by Audit, but no assets were missing or in any way compromised. Therefore, we are asking your office to reevaluate this finding.</p> <p>All cash flows misstatements are understood and have been corrected.</p>
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**INSTITUTION APPROPRIATION SUMMARY  
2016-2017 Fiscal Year**

INSTITUTION NORTH ARKANSAS COLLEGE

	APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
		2014-15		2015-16		2015-16		2016-17			
		ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1	STATE TREASURY	8,990,313		9,001,126		9,205,422		9,151,702		9,151,702	
2	CASH	10,074,836		49,385,000		49,385,000		49,385,000		49,385,000	
3											
4											
5											
6											
7											
8											
9											
10											
11	TOTAL	\$19,065,150	205	\$58,386,126	276	\$58,590,422	408	\$58,536,702	408	\$58,536,702	408
	<b>FUNDING SOURCES</b>		%		%				%		%
12	PRIOR YEAR FUND BALANCE*	0	0%	0	0%			0	0%	0	0%
13	GENERAL REVENUE	7,966,964	42%	7,966,964	14%			8,117,540	14%	8,117,540	14%
14	EDUCATIONAL EXCELLENCE TRUST FUND	453,840	2%	458,985	1%			458,985	1%	458,985	1%
15	WORKFORCE 2000	569,510	3%	575,177	1%			575,177	1%	575,177	1%
16	CASH FUNDS	6,178,430	32%	39,385,000	67%			39,385,000	67%	39,385,000	67%
17	SPECIAL REVENUES		0%		0%				0%	0	0%
18	FEDERAL FUNDS	3,896,406	20%	10,000,000	17%			10,000,000	17%	10,000,000	17%
19	TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%
20	OTHER FUNDS	0	0%	0	0%			0	0%	0	0%
21	TOTAL INCOME	\$19,065,150	100%	\$58,386,126	100%			\$58,536,702	100%	\$58,536,702	100%
22	EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2015:	\$2,315,655
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$233,822
INVENTORIES	\$5,004
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$15,000
MAJOR CRITICAL SYSTEMS FAILURES	\$250,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$1,850,000
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	(\$38,171)

\*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY  
2016-2017 FISCAL YEAR**

FUND CWN0000

INSTITUTION NORTH ARKANSAS COLLEGE

APPROPRIATION \_\_\_\_\_

291

	DESCRIPTION	ACTUAL 2014-2015	BUDGETED 2015-2016	AUTHORIZED APPROPRIATION 2015-2016	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
					AHECB RECOMMENDATION 2016-2017	2016-2017
1	REGULAR SALARIES	6,700,000	6,750,000	6,750,000	6,776,702	6,900,000
2	EXTRA HELP WAGES					
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	1,149,538	1,150,000	1,255,422	1,275,000	1,325,000
5	OPERATING EXPENSES	1,140,313	1,101,126	1,200,000	1,100,000	1,256,584
6	CONFERENCE FEES & TRAVEL	0	0	0	0	0
7	PROFESSIONAL FEES AND SERVICES	0	0	0	0	0
8	CAPITAL OUTLAY	0	0	0	0	0
9	FUNDED DEPRECIATION					
10	WORKERS COMP/SURETY PREMIUM	462				
11						
12						
13	TOTAL APPROPRIATION	\$8,990,313	\$9,001,126	\$9,205,422	\$9,151,702	\$9,481,584
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE	7,966,964	7,966,964		8,117,540	8,447,422
16	EDUCATIONAL EXCELLENCE TRUST FUND	453,840	458,985		458,985	458,985
17	SPECIAL REVENUES * [WF2000]	569,510	575,177		575,177	575,177
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)					
21	TOTAL INCOME	\$8,990,314	\$9,001,126		\$9,151,702	\$9,481,584
22	EXCESS (FUNDING)/APPROPRIATION	(\$0)	\$0		\$0	\$0

\* Report WF2000 funds on line 17 - "Special Revenues".

\*\*Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.



**APPROPRIATION ACT FORM - CASH FUNDS  
2016-2017 Fiscal Year**

FUND 2140000

INSTITUTION NORTH ARKANSAS COLLEGE

APPROPRIATION \_\_\_\_\_

A62

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2014-2015	2015-2016	APPROPRIATION	AHECB RECOMMENDATION	2016-2017
				2015-2016	2016-2017	2016-2017
1	REGULAR SALARIES	1,306,132	5,350,000	5,350,000	5,350,000	5,350,000
2	EXTRA HELP WAGES	514,891	750,000	750,000	750,000	750,000
3	OVERTIME	6,446	10,000	10,000	10,000	10,000
4	PERSONAL SERVICES MATCHING	1,841,345	2,500,000	2,500,000	2,500,000	2,500,000
5	OPERATING EXPENSES	3,076,053	7,000,000	7,000,000	7,000,000	7,000,000
6	CONFERENCE FEES & TRAVEL	167,602	375,000	375,000	375,000	375,000
7	PROFESSIONAL FEES AND SERVICES	127,732	350,000	350,000	350,000	350,000
8	CAPITAL OUTLAY	241,393	2,000,000	2,000,000	2,000,000	2,000,000
9	CAPITAL IMPROVEMENTS		12,000,000	12,000,000	12,000,000	12,000,000
10	DEBT SERVICE		1,000,000	1,000,000	1,000,000	1,000,000
11	FUND TRANSFERS, REFUNDS AND INVESTMENTS	2,793,242	18,000,000	18,000,000	18,000,000	18,000,000
12	PROMOTIONAL ITEMS	0	50,000	50,000	50,000	50,000
13	Purchase for Resale					
14						
15						
16	TOTAL APPROPRIATION	\$10,074,836	\$49,385,000	\$49,385,000	\$49,385,000	\$49,385,000
17	PRIOR YEAR FUND BALANCE**					
18	TUITION AND MANDATORY FEES	3,988,900	4,226,800		4,226,800	4,226,800
19	ALL OTHER FEES	276,376	348,933		348,933	348,933
20	SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	1,544,876	1,536,500		1,536,500	1,536,500
21	INVESTMENT INCOME	18,699	19,000		19,000	19,000
22	FEDERAL CASH FUNDS	3,896,406	10,000,000		10,000,000	10,000,000
23	OTHER CASH FUNDS	349,579	33,253,767		33,253,767	33,253,767
24	TOTAL INCOME	\$10,074,836	\$49,385,000		\$49,385,000	\$49,385,000
25	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

FORM FR-4

**TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)**

	ACTUAL	BUDGETED	AUTHORIZED	REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
	2014-2015	2015-2016	2015-2016	2016-2017	2016-17	2016-2017
REGULAR POSITIONS	205	276	408	408	408	408
TOBACCO POSITIONS						
EXTRA HELP ***	94	94	500	500	500	500

\*\* Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

\*\*\* The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

**AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES**

NORTH ARKANSAS COLLEGE  
(NAME OF INSTITUTION)

	ACTIVITY	ACTUAL 2014-2015				BUDGETED 2015-2016			
		INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1	INTERCOLLEGIATE ATHLETICS*	13,387	283,634	0	(270,247)	7,500	294,224	0	(286,724)
2	HOUSING	0	0	0	0	0	0	0	0
3	FOOD SERVICES	1,508	0	0	1,508	5,000	0	0	5,000
4	STUDENT UNION	0	0	0	0	0	0	0	0
5	BOOKSTORE	1,502,478	1,432,429	0	70,049	1,490,800	1,311,027	0	179,773
6	STUDENT ORGANIZATIONS AND PUBLICATIONS		12,718	0	(12,718)	0	20,132	0	(20,132)
7	OTHER (FOOTNOTE BELOW)	27,503	13,328		14,175	33,200	34,356	0	(1,156)
8	SUBTOTAL	1,544,876	1,742,109	0	(197,233)	1,536,500	1,659,739	0	(123,239)
9	ATHLETIC TRANSFER**	128,119			128,119	129,000			129,000
10	OTHER TRANSFERS*** (FOOTNOTE BELOW)	0			0	30,100			30,100
11	GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	1,672,995	1,742,109	0	(69,114)	1,695,600	1,659,739	0	35,861

\* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM FR-5

\*\* For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

\*\*\* For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 7 "Other"- Student Activities, Vending Commission, Gym Use Fee, Tournaments - Net Commission, Summer Camps

**EMPLOYMENT INFORMATION  
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

NORTH ARKANSAS COLLEGE  
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2014-2015:						183
(As of November 1, 2014 )						
Nonclassified Administrative Employees:						
White Male:	12	Black Male:	0	Other Male:	0	Total Male: 12
White Female:	37	Black Female:	0	Other Female:	1	Total Female: 38
Nonclassified Health Care Employees:						
White Male:	0	Black Male:	0	Other Male:	0	Total Male: 0
White Female:	0	Black Female:	0	Other Female:	0	Total Female: 0
Classified Employees:						
White Male:	25	Black Male:	0	Other Male:	1	Total Male: 26
White Female:	43	Black Female:	0	Other Female:	0	Total Female: 43
Faculty:						
White Male:	27	Black Male:	1	Other Male:	0	Total Male: 28
White Female:	36	Black Female:	0	Other Female:	0	Total Female: 36
Total White Male:	64	Total Black Male:	1	Total Other Male:	1	Total Male: 66
Total White Female:	116	Total Black Female:	0	Total Other Female:	1	Total Female: 117
Total White:	180	Total Black:	1	Total Other:	2	Total Employees: 183
				<b>Total Minority:</b>	<b>3</b>	

FORM FR-6

**ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES**  
**STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES**  
 Fiscal Year 2015  
 Required by A.C.A. 25-36-104

**Institution**

NORTH ARKANSAS COLLEGE

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
N/A							

**TOTAL NUMBER OF MINORITY CONTRACTS AWARDED** 0

**TOTAL EXPENDITURES ON CONTRACTS AWARDED** \$721,871  
 (Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

**% OF MINORITY CONTRACTS AWARDED** 0%

**ARKANSAS LEGISLATIVE AUDIT  
AUDIT OF NORTH ARKANSAS COLLEGE  
June 30, 2014**

<i>Finding:</i>	No Findings noted
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**INSTITUTION APPROPRIATION SUMMARY  
2016-2017 Fiscal Year**

INSTITUTION NORTHWEST ARKANSAS COMMUNITY COLLEGE

	APPROPRIATION	HISTORICAL DATA				INSTITUTION REQUEST & AHECB RECOMMENDATION					
		2014-15		2015-16		2015-16		2016-17			
		ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1	STATE TREASURY	11,634,914		11,646,430		16,749,041		15,430,790		15,430,790	
2	CASH	23,606,014		202,510,000		202,510,000		202,510,000		202,510,000	
3	STATE TREASURY - CHILD PROTECTION CENTER	0		0		118,282		118,282		118,282	
4											
5											
6											
7											
8											
9											
10											
11	TOTAL	\$35,240,928	930	\$214,156,430	852	\$219,377,323	1,064	\$218,059,072	1,064	\$218,059,072	1,064
	<b>FUNDING SOURCES</b>		%		%				%		%
12	PRIOR YEAR FUND BALANCE*	0	0%	0	0%			0	0%	0	0%
13	GENERAL REVENUE	10,619,202	30%	10,619,202	5%			14,521,844	7%	14,521,844	7%
14	EDUCATIONAL EXCELLENCE TRUST FUND	1,015,712	3%	1,027,228	0%			1,027,228	0%	1,027,228	0%
15	WORKFORCE 2000	0	0%	0	0%			0	0%	0	0%
16	CASH FUNDS	23,268,348	66%	127,010,000	59%			127,010,000	58%	127,010,000	58%
17	SPECIAL REVENUES		0%		0%				0%	0	0%
18	FEDERAL FUNDS	337,666	1%	75,500,000	35%			75,500,000	35%	75,500,000	35%
19	TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%
20	OTHER FUNDS	0	0%	0	0%			0	0%	0	0%
21	TOTAL INCOME	\$35,240,928	100%	\$214,156,430	100%			\$218,059,072	100%	\$218,059,072	100%
22	EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2015:	\$10,223,806
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$5,782,572
INVENTORIES	\$19,389
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	
MAJOR CRITICAL SYSTEMS FAILURES	
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$6,979,119
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	(\$2,557,274)

\*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME  
AND AHECB GENERAL REVENUE RECOMMENDATIONS  
FOR THE 2016-2017 Fiscal Year (Non-Formula Entities)**

NWACC - M. SHEWMAKER NATIONAL CHILD PROTECTION CENTER

NAME OF INSTITUTION

	EXPENDITURE CATEGORIES	2014-2015 ACTUAL	2015-2016 BUDGETED*	2016-17 FISCAL YEAR INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS	
				2016-2017	
				REQUEST	RECOMMENDATION
1	OPERATING EXPENSES	143,044	118,282	118,282	118,282
2					
3					
4					
5					
6					
7					
8					
9					
10					
11					
12					
13	MANDATORY TRANSFERS				
14	AUXILIARY TRANSFERS				
15	NON-MANDATORY TRANSFERS				
16	TOTAL UNREST. E&G EXP.	\$143,044	\$118,282	\$118,282	\$118,282
17	NET LOCAL INCOME	143,044	118,282		
18	PRIOR YEAR BALANCE**				
	STATE FUNDS:				
19	GENERAL REVENUE	0	0	118,282	118,282
20	EDUCATIONAL EXCELLENCE				
21	WORKFORCE 2000				
22	TOBACCO SETTLEMENT FUNDS				
23	OTHER STATE FUNDS ***				
24	TOTAL SOURCES OF INCOME	\$143,044	\$118,282	\$118,282	\$118,282

\* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

FORM FR-2 Nonformula

\*\* Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

\*\*\* Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in a footnote.



**APPROPRIATION ACT FORM - STATE TREASURY  
2016-2017 FISCAL YEAR**

FUND CWA0000 INSTITUTEION NORTHWEST ARKANSAS COMMUNITY COLLEGE APPROPRIATION 313

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2014-2015	2015-2016	APPROPRIATION 2015-2016	AHECB RECOMMENDATION 2016-2017	2016-2017
1	REGULAR SALARIES	11,623,701	11,646,430	16,749,041	15,430,790	17,251,512
2	EXTRA HELP WAGES					
3	OVERTIME					
4	PERSONAL SERVICES MATCHING					
5	OPERATING EXPENSES					
6	CONFERENCE FEES & TRAVEL					
7	PROFESSIONAL FEES AND SERVICES					
8	CAPITAL OUTLAY					
9	FUNDED DEPRECIATION					
10	WORKERS COMP/SURETY PREMIUM	11,213				
11						
12						
13	TOTAL APPROPRIATION	\$11,634,914	\$11,646,430	\$16,749,041	\$15,430,790	\$17,251,512
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE	10,619,202	10,619,202		14,403,562	16,224,284
16	EDUCATIONAL EXCELLENCE TRUST FUND	1,015,712	1,027,228		1,027,228	1,027,228
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)					
21	TOTAL INCOME	\$11,634,914	\$11,646,430		\$15,430,790	\$17,251,512
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

\* Report WF2000 funds on line 17 - "Special Revenues".

\*\*Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM FR-3

**APPROPRIATION ACT FORM - STATE TREASURY  
2016-2017 FISCAL YEAR**

FUND CWA0100 INSTITUTE NORTHWEST ARKANSAS COMMUNITY COLLEGE APPROPRIATION N33  
M. SHEWMAKER NATIONAL CHILD PROTECTION CENTER

	DESCRIPTION	ACTUAL 2014-2015	BUDGETED 2015-2016	AUTHORIZED APPROPRIATION 2015-2016	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
					2016-2017	2016-2017
1	REGULAR SALARIES					
2	EXTRA HELP WAGES					
3	OVERTIME					
4	PERSONAL SERVICES MATCHING					
5	OPERATING EXPENSES	0	0	118,282	118,282	118,282
6	CONFERENCE FEES & TRAVEL					
7	PROFESSIONAL FEES AND SERVICES					
8	CAPITAL OUTLAY					
9	FUNDED DEPRECIATION					
10	WORKERS COMP/SURETY PREMIUM					
11						
12						
13	TOTAL APPROPRIATION	\$0	\$0	\$118,282	\$118,282	\$118,282
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE	0	0		118,282	118,282
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)					
21	TOTAL INCOME	\$0	\$0		\$118,282	\$118,282
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

\* Report WF2000 funds on line 17 - "Special Revenues".

\*\*Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS**  
**2016-2017 Fiscal Year**

FUND 2200000

INSTITUTION NORTHWEST ARKANSAS COMMUNITY COLLEGE

APPROPRIATION \_\_\_\_\_

B17

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2014-2015	2015-2016	2015-2016	AHECB RECOMMENDATION	2016-2017
1	REGULAR SALARIES	8,108,361	47,500,000	47,500,000	47,500,000	47,500,000
2	EXTRA HELP WAGES	628,915	3,000,000	3,000,000	3,000,000	3,000,000
3	OVERTIME	0	470,000	470,000	470,000	470,000
4	PERSONAL SERVICES MATCHING	6,684,522	24,000,000	24,000,000	24,000,000	24,000,000
5	OPERATING EXPENSES	6,381,202	76,400,000	76,400,000	76,400,000	76,400,000
6	CONFERENCE FEES & TRAVEL	266,639	1,600,000	1,600,000	1,600,000	1,600,000
7	PROFESSIONAL FEES AND SERVICES	1,255,717	6,000,000	6,000,000	6,000,000	6,000,000
8	CAPITAL OUTLAY	267,193	19,500,000	19,500,000	19,500,000	19,500,000
9	CAPITAL IMPROVEMENTS	0	15,000,000	15,000,000	15,000,000	15,000,000
10	DEBT SERVICE	0	9,000,000	9,000,000	9,000,000	9,000,000
11	FUND TRANSFERS, REFUNDS AND INVESTMENTS					
12	PROMOTIONAL ITEMS	13,465	40,000	40,000	40,000	40,000
13						
14						
15						
16	TOTAL APPROPRIATION	\$23,606,014	\$202,510,000	\$202,510,000	\$202,510,000	\$202,510,000
17	PRIOR YEAR FUND BALANCE**					
18	TUITION AND MANDATORY FEES	15,944,924	51,400,000		51,400,000	51,400,000
19	ALL OTHER FEES	943,827	12,050,000		12,050,000	12,050,000
20	SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS					
21	INVESTMENT INCOME	0	20,000		20,000	20,000
22	FEDERAL CASH FUNDS	337,666	75,500,000		75,500,000	75,500,000
23	OTHER CASH FUNDS	6,379,597	63,540,000		63,540,000	63,540,000
24	TOTAL INCOME	\$23,606,014	\$202,510,000		\$202,510,000	\$202,510,000
25	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

FORM FR-4

**TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)**

	ACTUAL	BUDGETED	AUTHORIZED	REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
	2014-2015	2015-2016	2015-2016	2016-2017	2016-17	2016-2017
REGULAR POSITIONS	930	852	1,064	1,064	1,064	1,064
TOBACCO POSITIONS						
EXTRA HELP ***	75	360	360	360	360	360

\*\* Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

\*\*\* The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

**AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES**

NORTHWEST ARKANSAS COMMUNITY COLLEGE  
(NAME OF INSTITUTION)

	ACTIVITY	ACTUAL 2014-2015				BUDGETED 2015-2016			
		INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1	INTERCOLLEGIATE ATHLETICS*	0	0	0	0	0	0	0	0
2	HOUSING	0	0	0	0	0	0	0	0
3	FOOD SERVICES	10,368	13,128	0	(2,760)	12,000	12,000	0	0
4	STUDENT UNION	0	0	0	0	250,000	0	0	250,000
5	BOOKSTORE	230,458	141	0	230,317	0	0	0	0
6	STUDENT ORGANIZATIONS AND PUBLICATIONS	0	0	0	0	0	0	0	0
7	OTHER (FOOTNOTE BELOW)	227,830	408,439	0	(180,609)	219,172	469,172	0	(250,000)
8	SUBTOTAL	468,656	421,708	0	46,948	481,172	481,172	0	0
9	ATHLETIC TRANSFER**	0			0				0
10	OTHER TRANSFERS*** (FOOTNOTE BELOW)	(50,856)			(50,856)	0			0
11	GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	417,800	421,708	0	(3,908)	481,172	481,172	0	0

\* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM FR-5

\*\* For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

\*\*\* For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 7 "Other"- includes vending machines, employee parking, external public safety services, and misc. auxiliary activities

NOTE: Line 10 "Other Transfers"- Transfers made to the unrestricted-designated funds to cover the cost of building maintenance

**EMPLOYMENT INFORMATION  
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

NORTHWEST ARKANSAS COMMUNITY COLLEGE  
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2014-2015:						<u>668</u>
(As of November 1, 2014 )						
Nonclassified Administrative Employees:						
White Male:	<u>32</u>	Black Male:	<u>1</u>	Other Male:	<u>4</u>	Total Male: <u>37</u>
White Female:	<u>66</u>	Black Female:	<u>2</u>	Other Female:	<u>7</u>	Total Female: <u>75</u>
Nonclassified Health Care Employees:						
White Male:	<u>0</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>0</u>
White Female:	<u>0</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>37</u>	Black Male:	<u>1</u>	Other Male:	<u>9</u>	Total Male: <u>47</u>
White Female:	<u>84</u>	Black Female:	<u>1</u>	Other Female:	<u>14</u>	Total Female: <u>99</u>
Faculty:						
White Male:	<u>167</u>	Black Male:	<u>5</u>	Other Male:	<u>10</u>	Total Male: <u>182</u>
White Female:	<u>211</u>	Black Female:	<u>8</u>	Other Female:	<u>9</u>	Total Female: <u>228</u>
Total White Male:	<u>236</u>	Total Black Male:	<u>7</u>	Total Other Male:	<u>23</u>	Total Male: <u>266</u>
Total White Female:	<u>361</u>	Total Black Female:	<u>11</u>	Total Other Female:	<u>30</u>	Total Female: <u>402</u>
Total White:	<u>597</u>	Total Black:	<u>18</u>	Total Other:	<u>53</u>	Total Employees: <u>668</u>
				<b>Total Minority:</b>	<u>71</u>	

FORM FR-6

**ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES**  
**STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES**  
 Fiscal Year 2015  
 Required by A.C.A. 25-36-104

**Institution**

NORTHWEST ARKANSAS COMMUNITY COLLEGE

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
N/A							

**TOTAL NUMBER OF MINORITY CONTRACTS AWARDED** 0

**TOTAL EXPENDITURES ON CONTRACTS AWARDED** \$1,816,655  
 (Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

**% OF MINORITY CONTRACTS AWARDED** 0%

**ARKANSAS LEGISLATIVE AUDIT  
AUDIT OF NORTHWEST ARKANSAS COMMUNITY COLLEGE  
June 30, 2014**

<i>Finding:</i>	No Findings noted
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**INSTITUTION APPROPRIATION SUMMARY  
2016-2017 Fiscal Year**

INSTITUTION OZARKA COLLEGE

	APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
		2014-15		2015-16		2015-16		2016-17			
		ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1	STATE TREASURY	4,388,876		4,398,316		5,931,398		5,403,052		5,403,052	
2	CASH	6,000,503		14,351,000		14,351,000		14,351,000		14,351,000	
3											
4											
5											
6											
7											
8											
9											
10											
11	TOTAL	\$10,389,379	162	\$18,749,316	193	\$20,282,398	219	\$19,754,052	219	\$19,754,052	219
	<b>FUNDING SOURCES</b>		%		%				%		%
12	PRIOR YEAR FUND BALANCE*	500	0%	0	0%			0	0%	0	0%
13	GENERAL REVENUE	3,126,475	30%	3,126,475	17%			3,631,211	18%	3,631,211	18%
14	EDUCATIONAL EXCELLENCE TRUST FUND	0	0%	0	0%			0	0%	0	0%
15	WORKFORCE 2000	1,259,310	12%	1,271,841	7%			1,271,841	6%	1,271,841	6%
16	CASH FUNDS	5,102,736	49%	10,451,000	56%			10,451,000	53%	10,451,000	53%
17	SPECIAL REVENUES		0%		0%				0%	0	0%
18	FEDERAL FUNDS	897,767	9%	3,900,000	21%			3,900,000	20%	3,900,000	20%
19	TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%
20	OTHER FUNDS	2,591	0%	0	0%			500,000	3%	500,000	3%
21	TOTAL INCOME	\$10,389,379	100%	\$18,749,316	100%			\$19,754,052	100%	\$19,754,052	100%
22	EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2015:	\$2,884,067
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$369,216
INVENTORIES	\$329,150
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$10,000
MAJOR CRITICAL SYSTEMS FAILURES	
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$1,102,792
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	\$912,481
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$160,428

\*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

NOTE: 'Other'

Board Designated Operating Reserve	508,700
Plant & Equipment Plant Fund Reserve	330,673
Building Maintenance Fund/Bond Issue	73,108
	<u>912,481</u>

**APPROPRIATION ACT FORM - STATE TREASURY  
2016-2017 FISCAL YEAR**

FUND CTO0000

INSTITUTION OZARKA COLLEGE

APPROPRIATION \_\_\_\_\_ 1XC

	DESCRIPTION	ACTUAL 2014-2015	BUDGETED 2015-2016	AUTHORIZED APPROPRIATION 2015-2016	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
					2016-2017	2016-2017
1	REGULAR SALARIES	3,062,186	3,106,900	3,850,000	3,450,000	3,850,000
2	EXTRA HELP WAGES	125,000	125,000	150,000	150,000	150,000
3	OVERTIME	0	100	100	100	100
4	PERSONAL SERVICES MATCHING	1,200,000	1,165,116	1,430,098	1,301,752	1,593,040
5	OPERATING EXPENSES	200	200	200	200	200
6	CONFERENCE FEES & TRAVEL	200	200	200	200	200
7	PROFESSIONAL FEES AND SERVICES	200	200	200	200	200
8	CAPITAL OUTLAY	200	200	200	200	200
9	FUNDED DEPRECIATION		200	200	200	200
10	CAPITAL IMPROVEMENTS		200	200	200	200
11	MTN VIEW CAMPUS H&FC	0	0	500,000	500,000	500,000
12	WORKERS COMP/SURETY PREMIUM	890				
13	TOTAL APPROPRIATION	\$4,388,876	\$4,398,316	\$5,931,398	\$5,403,052	\$6,094,340
14	PRIOR YEAR FUND BALANCE**	500				
15	GENERAL REVENUE	3,126,475	3,126,475		3,631,211	4,322,499
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]	1,259,310	1,271,841		1,271,841	1,271,841
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)	2,591			500,000	500,000
21	TOTAL INCOME	\$4,388,876	\$4,398,316		\$5,403,052	\$6,094,340
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

\* Report WF2000 funds on line 17 - "Special Revenues".

FORM FR-3

\*\*Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

NOTE: Line 20 "OTHER STATE TREASURY FUNDS" - Tuition adjustment Fund; M&R Proceeds.

**APPROPRIATION ACT FORM - CASH FUNDS  
2016-2017 Fiscal Year**

FUND 2870000

INSTITUTION OZARKA COLLEGE

APPROPRIATION \_\_\_\_\_

B63

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2014-2015	2015-2016	APPROPRIATION	AHECB RECOMMENDATION	2016-2017
				2015-2016	2016-2017	2016-2017
1	REGULAR SALARIES	1,801,619	3,150,000	3,150,000	3,150,000	3,150,000
2	EXTRA HELP WAGES	109,666	275,000	275,000	275,000	275,000
3	OVERTIME	0	1,000	1,000	1,000	1,000
4	PERSONAL SERVICES MATCHING	1,350,394	1,850,000	1,850,000	1,850,000	1,850,000
5	OPERATING EXPENSES	2,195,065	4,400,000	4,400,000	4,400,000	4,400,000
6	CONFERENCE FEES & TRAVEL	9,189	100,000	100,000	100,000	100,000
7	PROFESSIONAL FEES AND SERVICES	1,973	250,000	250,000	250,000	250,000
8	CAPITAL OUTLAY	127,358	225,000	225,000	225,000	225,000
9	CAPITAL IMPROVEMENTS	0	3,500,000	3,500,000	3,500,000	3,500,000
10	DEBT SERVICE	405,239	575,000	575,000	575,000	575,000
11	FUND TRANSFERS, REFUNDS AND INVESTMENTS					
12	PROMOTIONAL ITEMS	0	25,000	25,000	25,000	25,000
13		0				
14						
15						
16	TOTAL APPROPRIATION	\$6,000,503	\$14,351,000	\$14,351,000	\$14,351,000	\$14,351,000
17	PRIOR YEAR FUND BALANCE**					
18	TUITION AND MANDATORY FEES	3,243,686	4,500,000		4,500,000	4,500,000
19	ALL OTHER FEES	450,458	1,250,000		1,250,000	1,250,000
20	SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS					
21	INVESTMENT INCOME	6,941	30,000		30,000	30,000
22	FEDERAL CASH FUNDS	897,767	3,900,000		3,900,000	3,900,000
23	OTHER CASH FUNDS	1,401,651	4,671,000		4,671,000	4,671,000
24	TOTAL INCOME	\$6,000,503	\$14,351,000		\$14,351,000	\$14,351,000
25	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

FORM FR-4

**TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)**

	ACTUAL	BUDGETED	AUTHORIZED	REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
	2014-2015	2015-2016	2015-2016	2016-2017	2016-17	2016-2017
REGULAR POSITIONS	162	193	219	219	219	219
TOBACCO POSITIONS						
EXTRA HELP ***	100	100	100	100	100	100

\*\* Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

\*\*\* The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

**AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES**

OZARKA COLLEGE  
(NAME OF INSTITUTION)

	ACTIVITY	ACTUAL 2014-2015				BUDGETED 2015-2016			
		INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1	INTERCOLLEGIATE ATHLETICS*	0	0	0	0	0	0	0	0
2	HOUSING	0	0	0	0	0	0	0	0
3	FOOD SERVICES	25,072	25,226	0	(154)	46,600	112,056	0	(65,456)
4	STUDENT UNION	0	0	0	0	0	0	0	0
5	BOOKSTORE	676,450	557,125	0	119,325	664,300	514,162	0	150,138
6	STUDENT ORGANIZATIONS AND PUBLICATIONS	0	0	0	0	0	0	0	0
7	OTHER	155,401	249,152	0	(93,751)	234,800	243,056	0	(8,256)
8	SUBTOTAL	856,923	831,503	0	25,420	945,700	869,274	0	76,426
9	ATHLETIC TRANSFER**	0			0	0			0
10	OTHER TRANSFERS*** (FOOTNOTE BELOW)	0			0	0			0
11	GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	856,923	831,503	0	25,420	945,700	869,274	0	76,426

\* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM FR-5

\*\* For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

\*\*\* For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 7 "Other"- Preschool

**EMPLOYMENT INFORMATION  
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

OZARKA COLLEGE  
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2014-2015:						<u>162</u>
(As of November 1, 2014 )						
Nonclassified Administrative Employees:						
White Male:	<u>13</u>	Black Male:	<u>0</u>	Other Male:	<u>1</u>	Total Male: <u>14</u>
White Female:	<u>14</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>14</u>
Nonclassified Health Care Employees:						
White Male:	<u>1</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>1</u>
White Female:	<u>9</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>9</u>
Classified Employees:						
White Male:	<u>11</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>11</u>
White Female:	<u>28</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>28</u>
Faculty:						
White Male:	<u>30</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>30</u>
White Female:	<u>52</u>	Black Female:	<u>0</u>	Other Female:	<u>3</u>	Total Female: <u>55</u>
Total White Male:	<u>55</u>	Total Black Male:	<u>0</u>	Total Other Male:	<u>1</u>	Total Male: <u>56</u>
Total White Female:	<u>103</u>	Total Black Female:	<u>0</u>	Total Other Female:	<u>3</u>	Total Female: <u>106</u>
Total White:	<u>158</u>	Total Black:	<u>0</u>	Total Other:	<u>4</u>	Total Employees: <u>162</u>
				<b>Total Minority:</b>	<u>4</u>	

FORM FR-6

**ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES**  
**STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES**  
 Fiscal Year 2015  
 Required by A.C.A. 25-36-104

Institution

OZARKA COLLEGE

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
N/A							

TOTAL NUMBER OF MINORITY CONTRACTS AWARDED 0

TOTAL EXPENDITURES ON CONTRACTS AWARDED \$30,720  
 (Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

% OF MINORITY CONTRACTS AWARDED 0%

**ARKANSAS LEGISLATIVE AUDIT  
AUDIT OF OZARKA COLLEGE  
June 30, 2015**

<i>Finding:</i>	No Findings noted
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**INSTITUTION APPROPRIATION SUMMARY  
2016-2017 Fiscal Year**

INSTITUTION PHILLIPS COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS

	APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
		2014-2015		2015-2016		2015-2016		2016-2017			
		ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1	STATE TREASURY	10,336,094		10,349,799		10,580,797		10,521,091		10,521,091	
2	CASH	7,866,462		45,630,000		45,630,000		45,630,000		45,630,000	
3											
4											
5											
6											
7											
8											
9											
10											
11	TOTAL	\$18,202,556	216	\$55,979,799	325	\$56,210,797	325	\$56,151,091	325	\$56,151,091	325
	<b>FUNDING SOURCES</b>		%		%				%		%
12	PRIOR YEAR FUND BALANCE*	0	0%	0	0%			0	0%	0	0%
13	GENERAL REVENUE	9,063,088	50%	9,063,088	16%			9,234,380	16%	9,234,380	16%
14	EDUCATIONAL EXCELLENCE TRUST FUND	748,370	4%	756,855	1%			756,855	1%	756,855	1%
15	WORKFORCE 2000	524,636	3%	529,856	1%			529,856	1%	529,856	1%
16	CASH FUNDS	4,605,477	25%	42,430,000	76%			42,430,000	76%	42,430,000	76%
17	SPECIAL REVENUES	0	0%	0	0%			0	0%	0	0%
18	FEDERAL FUNDS	3,260,985	18%	3,200,000	6%			3,200,000	6%	3,200,000	6%
19	TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%
20	OTHER FUNDS	0	0%	0	0%			0	0%	0	0%
21	TOTAL INCOME	\$18,202,556	100%	\$55,979,799	100%			\$56,151,091	100%	\$56,151,091	100%
22	EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2015:	\$8,135,807
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$2,157,331
INVENTORIES	\$65,340
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$50,000
MAJOR CRITICAL SYSTEMS FAILURES	\$340,631
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$2,267,033
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$300,000
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$2,955,472

\*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.



**APPROPRIATION ACT FORM - STATE TREASURY  
2016-2017 FISCAL YEAR**

FUND CWP0000 INSTITUTE PHILLIPS COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS DEWITT CAMPUS APPROPRIATION 1BW

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2014-2015	2015-2016	APPROPRIATION 2015-2016	AHECB RECOMMENDATION 2016-2017	2016-2017
1	REGULAR SALARIES	800,616	760,679	760,679	776,288	776,288
2	EXTRA HELP WAGES					
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	180,335	221,572	275,491	222,220	281,144
5	OPERATING EXPENSES	280,008	280,379	281,006	285,020	286,772
6	CONFERENCE FEES & TRAVEL	0	0	0	0	0
7	PROFESSIONAL FEES AND SERVICES	0	0	0	0	0
8	CAPITAL OUTLAY	0	0	0	0	0
9	FUNDED DEPRECIATION					
10						
11						
12						
13	TOTAL APPROPRIATION	\$1,260,959	\$1,262,630	\$1,317,176	\$1,283,528	\$1,344,204
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE	736,323	732,774		753,672	814,348
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]	524,636	529,856		529,856	529,856
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)					
21	TOTAL INCOME	\$1,260,959	\$1,262,630		\$1,283,528	\$1,344,204
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

\* Report WF2000 funds on line 18 - "Special Revenues".

\*\*Line 15 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.



**APPROPRIATION ACT FORM - CASH FUNDS**  
**2016-2017 Fiscal Year**

FUND 2150000

INSTITUTION PHILLIPS COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS  
HELENA CAMPUS

APPROPRIATION \_\_\_\_\_ A73

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2014-2015	2015-2016	APPROPRIATION	AHECB RECOMMENDATION	2016-2017
				2015-2016	2016-2017	2016-2017
1	REGULAR SALARIES	273,030	3,500,000	3,500,000	3,500,000	3,500,000
2	EXTRA HELP WAGES	517,811	750,000	750,000	750,000	750,000
3	OVERTIME	26,277	140,000	140,000	140,000	140,000
4	PERSONAL SERVICES MATCHING	1,110,335	1,500,000	1,500,000	1,500,000	1,500,000
5	OPERATING EXPENSES	2,035,278	4,675,000	4,675,000	4,675,000	4,675,000
6	CONFERENCE FEES & TRAVEL	164,388	300,000	300,000	300,000	300,000
7	PROFESSIONAL FEES AND SERVICES	437,827	1,000,000	1,000,000	1,000,000	1,000,000
8	CAPITAL OUTLAY	359,977	2,900,000	2,900,000	2,900,000	2,900,000
9	CAPITAL IMPROVEMENTS	0	10,000,000	10,000,000	10,000,000	10,000,000
10	DEBT SERVICE	605,693	2,800,000	2,800,000	2,800,000	2,800,000
11	FUND TRANSFERS, REFUNDS AND INVESTMENTS					
12	PROMOTIONAL ITEMS	19,166	25,000	25,000	25,000	25,000
13						
14						
15						
16	TOTAL APPROPRIATION	\$5,549,782	\$27,590,000	\$27,590,000	\$27,590,000	\$27,590,000
17	PRIOR YEAR FUND BALANCE***					
18	TUITION AND MANDATORY FEES	1,721,962	1,864,337		1,900,000	1,900,000
19	ALL OTHER FEES					
20	SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	19,325	23,000		23,000	23,000
21	INVESTMENT INCOME	17,646	10,000		10,000	10,000
22	FEDERAL CASH FUNDS	3,260,985	3,200,000		3,200,000	3,200,000
23	OTHER CASH FUNDS	529,864	22,492,663		22,457,000	22,457,000
24	TOTAL INCOME	\$5,549,782	\$27,590,000		\$27,590,000	\$27,590,000
25	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

FORM FR-4

**TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)**

	ACTUAL	BUDGETED	AUTHORIZED	REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
	2014-2015	2015-2016	2015-2016	2016-2017	2016-2017	2016-2017
REGULAR POSITIONS	138	188	188	188	188	188
TOBACCO POSITIONS						
EXTRA HELP **	90	600	600	600	600	600

\*\* The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

\*\*\*Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS  
2016-2017 Fiscal Year**

FUND 2150000

INSTITUTION PHILLIPS COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS  
DEWITT CAMPUS

APPROPRIATION \_\_\_\_\_ B08

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2014-2015	2015-2016	APPROPRIATION 2015-2016	AHECB RECOMMENDATION 2016-2017	2016-2017
1	REGULAR SALARIES	69,936	750,000	750,000	750,000	750,000
2	EXTRA HELP WAGES	39,990	200,000	200,000	200,000	200,000
3	OVERTIME	0	70,000	70,000	70,000	70,000
4	PERSONAL SERVICES MATCHING	122,864	250,000	250,000	250,000	250,000
5	OPERATING EXPENSES	86,412	1,150,000	1,150,000	1,150,000	1,150,000
6	CONFERENCE FEES & TRAVEL	3,476	50,000	50,000	50,000	50,000
7	PROFESSIONAL FEES AND SERVICES	42,594	50,000	50,000	50,000	50,000
8	CAPITAL OUTLAY	18,755	250,000	250,000	250,000	250,000
9	CAPITAL IMPROVEMENTS	0	5,000,000	5,000,000	5,000,000	5,000,000
10	DEBT SERVICE	0	1,000,000	1,000,000	1,000,000	1,000,000
11	FUND TRANSFERS, REFUNDS AND INVESTMENTS					
12						
13						
14						
15						
16	TOTAL APPROPRIATION	\$384,027	\$8,770,000	\$8,770,000	\$8,770,000	\$8,770,000
17	PRIOR YEAR FUND BALANCE***					
18	TUITION AND MANDATORY FEES	354,637	450,000		450,000	450,000
19	ALL OTHER FEES					
20	SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS					
21	INVESTMENT INCOME					
22	FEDERAL CASH FUNDS					
23	OTHER CASH FUNDS	29,390	8,320,000		8,320,000	8,320,000
24	TOTAL INCOME	\$384,027	\$8,770,000		\$8,770,000	\$8,770,000
25	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

FORM FR-4

**TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)**

	ACTUAL	BUDGETED	AUTHORIZED	REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
	2014-2015	2015-2016	2015-2016	2016-2017	2016-2017	2016-2017
REGULAR POSITIONS	32	66	66	66	66	66
TOBACCO POSITIONS						
EXTRA HELP **	37	150	150	150	150	150

\*\* The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

\*\*\*Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS  
2016-2017 Fiscal Year**

FUND 2150000

INSTITUTION PHILLIPS COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS  
STUTT GART CAMPUS

APPROPRIATION \_\_\_\_\_ A81

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2014-2015	2015-2016	APPROPRIATION 2015-2016	AHECB RECOMMENDATION 2016-2017	2016-2017
1	REGULAR SALARIES	616,470	1,100,000	1,100,000	1,100,000	1,100,000
2	EXTRA HELP WAGES	77,527	275,000	275,000	275,000	275,000
3	OVERTIME	0	70,000	70,000	70,000	70,000
4	PERSONAL SERVICES MATCHING	375,554	425,000	425,000	425,000	425,000
5	OPERATING EXPENSES	812,182	1,150,000	1,150,000	1,150,000	1,150,000
6	CONFERENCE FEES & TRAVEL	13,385	50,000	50,000	50,000	50,000
7	PROFESSIONAL FEES AND SERVICES	9,632	50,000	50,000	50,000	50,000
8	CAPITAL OUTLAY	27,903	250,000	250,000	250,000	250,000
9	CAPITAL IMPROVEMENTS	0	4,900,000	4,900,000	4,900,000	4,900,000
10	DEBT SERVICE	0	1,000,000	1,000,000	1,000,000	1,000,000
11	FUND TRANSFERS, REFUNDS AND INVESTMENTS					
12						
13						
14						
15						
16	TOTAL APPROPRIATION	\$1,932,653	\$9,270,000	\$9,270,000	\$9,270,000	\$9,270,000
17	PRIOR YEAR FUND BALANCE***					
18	TUITION AND MANDATORY FEES	760,500	600,000		600,000	600,000
19	ALL OTHER FEES					
20	SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS					
21	INVESTMENT INCOME					
22	FEDERAL CASH FUNDS					
23	OTHER CASH FUNDS	1,172,153	8,670,000		8,670,000	8,670,000
24	TOTAL INCOME	\$1,932,653	\$9,270,000		\$9,270,000	\$9,270,000
25	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

FORM FR-4

**TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)**

	ACTUAL	BUDGETED	AUTHORIZED	REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
	2014-2015	2015-2016	2015-2016	2016-2017	2016-2017	2016-2017
REGULAR POSITIONS	46	71	71	71	71	71
TOBACCO POSITIONS						
EXTRA HELP **	35	150	150	150	150	150

\*\* The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

\*\*\*Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES**

PHILLIPS COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS  
 (NAME OF INSTITUTION)

	ACTIVITY	ACTUAL 2014-2015				BUDGETED 2015-2016			
		INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1	INTERCOLLEGIATE ATHLETICS *	0	0	0	0	0	0	0	0
2	HOUSING	0	0	0	0	0	0	0	0
3	FOOD SERVICES	0	140	0	(140)	0	0	0	0
4	STUDENT UNION	0	0	0	0	0	0	0	0
5	BOOKSTORE	73,750	0	0	73,750	90,000	0	0	90,000
6	STUDENT ORGANIZATIONS AND PUBLICATIONS	0	35,592	0	(35,592)	0	35,000	0	(35,000)
7	OTHER (FOOTNOTE BELOW)	89,270	15,783	0	73,487	86,000	86,000	0	0
8	SUBTOTAL	163,020	51,515	0	111,505	176,000	121,000	0	55,000
9	ATHLETIC TRANSFER **	0			0	0			0
10	OTHER TRANSFERS *** (FOOTNOTE BELOW)	(60,000)			(60,000)	(55,000)			(55,000)
11	GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	103,020	51,515	0	51,505	121,000	121,000	0	0

\* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM 14-5

\*\* For both two-year and four-year institutions, line 12, Athletic Transfer should contain the amount transferred from E&G to athletics.

\*\*\* For both two-year and four-year institutions, line 13, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 7 "Other"- Facility Rental

NOTE: Line 10 "Other Transfers"- Transfers to E & G from auxiliary revenues to cover general operating expenses.



**EMPLOYMENT INFORMATION  
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

PHILLIPS COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS  
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2014-2015:						216
(As of November 1, 2014 )						
Nonclassified Administrative Employees:						
White Male:	9	Black Male:	7	Other Male:	0	Total Male: 16
White Female:	31	Black Female:	14	Other Female:	0	Total Female: 45
Nonclassified Health Care Employees:						
White Male:	0	Black Male:	0	Other Male:	0	Total Male: 0
White Female:	0	Black Female:	0	Other Female:	0	Total Female: 0
Classified Employees:						
White Male:	15	Black Male:	18	Other Male:	0	Total Male: 33
White Female:	35	Black Female:	19	Other Female:	0	Total Female: 54
Faculty:						
White Male:	17	Black Male:	0	Other Male:	1	Total Male: 18
White Female:	41	Black Female:	8	Other Female:	1	Total Female: 50
Total White Male:	41	Total Black Male:	25	Total Other Male:	1	Total Male: 67
Total White Female:	107	Total Black Female:	41	Total Other Female:	1	Total Female: 149
Total White:	148	Total Black:	66	Total Other:	2	Total Employees: 216
				<b>Total Minority:</b>	68	

FORM FR-6

**ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES**  
**STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES**  
 Fiscal Year 2015  
 Required by A.C.A. 25-36-104

**Institution**

PHILLIPS COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
N/A							

**TOTAL NUMBER OF MINORITY CONTRACTS AWARDED** 0

**TOTAL EXPENDITURES ON CONTRACTS AWARDED** \$61,350  
 (Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

**% OF MINORITY CONTRACTS AWARDED** 0%

**ARKANSAS LEGISLATIVE AUDIT**  
**AUDIT OF PHILLIPS COMMUNITY COLLEGE OF THE UNIVERSITY OF ARKANSAS**  
**June 30, 2015**

<p><i>Finding No. 1:</i></p>	<p>To verify the accuracy of student enrollment data submitted to the Arkansas Department of Higher Education, we examined supporting documentation and discovered two students, reported as enrolled, had withdrawn from a Spring 2015 class, prior to the eleventh class day.</p>
<p><i>Institution's Response</i></p>	<p>The eleventh class day was miscalculated when the students submitted forms to withdraw from the two courses in question. Both students received "W" grades for the two courses and were reported to ADHE as enrolled. The students' records have been corrected to properly reflect the withdrawal from these courses.</p> <p>We have corrected our procedures, including updating our withdrawal forms, to prevent this from occurring again.</p>

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**INSTITUTION APPROPRIATION SUMMARY  
2016-2017 Fiscal Year**

INSTITUTION PULASKI TECHNICAL COLLEGE

	APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
		2014-15		2015-16		2015-16		2016-17			
		ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1	STATE TREASURY	17,388,807		17,411,209		22,945,439		17,697,307		17,697,307	
2	CASH	47,038,796		298,160,000		298,160,000		298,160,000		298,160,000	
3											
4											
5											
6											
7											
8											
9											
10											
11	TOTAL	\$64,427,603	651	\$315,571,209	998	\$321,105,439	998	\$315,857,307	998	\$315,857,307	998
	<b>FUNDING SOURCES</b>		%		%				%		%
12	PRIOR YEAR FUND BALANCE*	0	0%	0	0%			0	0%	0	0%
13	GENERAL REVENUE	15,137,437	23%	15,137,437	5%			15,423,535	5%	15,423,535	5%
14	EDUCATIONAL EXCELLENCE TRUST FUND	0	0%	0	0%			0	0%	0	0%
15	WORKFORCE 2000	2,251,370	3%	2,273,772	1%			2,273,772	1%	2,273,772	1%
16	CASH FUNDS	36,061,050	56%	287,185,000	91%			287,185,000	91%	287,185,000	91%
17	SPECIAL REVENUES		0%		0%				0%	0	0%
18	FEDERAL FUNDS	10,977,746	17%	10,975,000	3%			10,975,000	3%	10,975,000	3%
19	TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%
20	OTHER FUNDS	0	0%	0	0%			0	0%	0	0%
21	TOTAL INCOME	\$64,427,603	100%	\$315,571,209	100%			\$315,857,307	100%	\$315,857,307	100%
22	EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2015:	\$20,720,038
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$1,090,370
INVENTORIES	\$14,507
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	\$255,983
INSURANCE DEDUCTIBLES	\$25,000
MAJOR CRITICAL SYSTEMS FAILURES- welding ventilation	\$76,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$5,546,053
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)DEBT SERVICE	\$2,370,229
OTHER (FOOTNOTE BELOW)	\$55,000
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$11,286,896

\*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

NOTE: "Other"- HVAC Program Upgrades

**APPROPRIATION ACT FORM - STATE TREASURY  
2016-2017 FISCAL YEAR**

FUND CTP0000

INSTITUTION PULASKI TECHNICAL COLLEGE

APPROPRIATION \_\_\_\_\_

734

	DESCRIPTION	ACTUAL 2014-2015	BUDGETED 2015-2016	AUTHORIZED APPROPRIATION 2015-2016	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
					AHECB RECOMMENDATION 2016-2017	2016-2017
1	REGULAR SALARIES	17,341,474	17,411,209	22,945,439	17,697,307	23,633,802
2	EXTRA HELP WAGES					
3	OVERTIME					
4	PERSONAL SERVICES MATCHING					
5	OPERATING EXPENSES					
6	CONFERENCE FEES & TRAVEL					
7	PROFESSIONAL FEES AND SERVICES					
8	CAPITAL OUTLAY					
9	FUNDED DEPRECIATION					
10	PUBLIC EMPLOYEES CLAIM DIV TRANSFER	45,000				
11	WORKERS COMP/SURETY PREMIUM	2,333				
12						
13	TOTAL APPROPRIATION	\$17,388,807	\$17,411,209	\$22,945,439	\$17,697,307	\$23,633,802
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE	15,137,437	15,137,437		15,423,535	21,360,030
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]	2,251,370	2,273,772		2,273,772	2,273,772
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)					
21	TOTAL INCOME	\$17,388,807	\$17,411,209		\$17,697,307	\$23,633,802
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

\* Report WF2000 funds on line 17 - "Special Revenues".

\*\*Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS**  
**2016-2017 Fiscal Year**

FUND 2930000

INSTITUTION PULASKI TECHNICAL COLLEGE

APPROPRIATION \_\_\_\_\_

B66

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2014-2015	2015-2016	APPROPRIATION	AHECB RECOMMENDATION	2016-2017
				2015-2016	2016-2017	2016-2017
1	REGULAR SALARIES	5,425,216	18,800,000	18,800,000	18,800,000	18,800,000
2	EXTRA HELP WAGES	1,529,519	3,500,000	3,500,000	3,500,000	3,500,000
3	OVERTIME	7,615	100,000	100,000	100,000	100,000
4	PERSONAL SERVICES MATCHING	6,123,960	25,000,000	25,000,000	25,000,000	25,000,000
5	OPERATING EXPENSES	8,585,186	150,000,000	150,000,000	150,000,000	150,000,000
6	CONFERENCE FEES & TRAVEL	227,815	700,000	700,000	700,000	700,000
7	PROFESSIONAL FEES AND SERVICES	1,733,468	7,000,000	7,000,000	7,000,000	7,000,000
8	CAPITAL OUTLAY	7,186,806	18,000,000	8,000,000	8,000,000	8,000,000
9	CAPITAL IMPROVEMENTS	10,012,564	65,000,000	75,000,000	75,000,000	75,000,000
10	DEBT SERVICE	6,186,027	10,000,000	10,000,000	10,000,000	10,000,000
11	FUND TRANSFERS, REFUNDS AND INVESTMENTS					
12	PROMOTIONAL ITEMS	20,619	60,000	60,000	60,000	60,000
13						
14						
15						
16	TOTAL APPROPRIATION	\$47,038,796	\$298,160,000	\$298,160,000	\$298,160,000	\$298,160,000
17	PRIOR YEAR FUND BALANCE**					
18	TUITION AND MANDATORY FEES	27,071,765	27,000,000		27,000,000	27,000,000
19	ALL OTHER FEES	1,504,647	1,500,000		1,500,000	1,500,000
20	SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	948,773	948,000		948,000	948,000
21	INVESTMENT INCOME	74,471	75,000		75,000	75,000
22	FEDERAL CASH FUNDS	10,977,746	10,975,000		10,975,000	10,975,000
23	OTHER CASH FUNDS	6,461,394	257,662,000		257,662,000	257,662,000
24	TOTAL INCOME	\$47,038,796	\$298,160,000		\$298,160,000	\$298,160,000
25	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

FORM FR-4

**TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)**

	ACTUAL	BUDGETED	AUTHORIZED	REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
	2014-2015	2015-2016	2015-2016	2016-2017	2016-17	2016-2017
REGULAR POSITIONS	651	998	998	998	998	998
TOBACCO POSITIONS						
EXTRA HELP ***	191	400	400	400	400	400

\*\* Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

\*\*\* The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

**AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES**

PULASKI TECHNICAL COLLEGE  
(NAME OF INSTITUTION)

	ACTIVITY	ACTUAL 2014-2015				BUDGETED 2015-2016			
		INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1	INTERCOLLEGIATE ATHLETICS*	0	0	0	0	0	0	0	0
2	HOUSING	0	0	0	0	0	0	0	0
3	FOOD SERVICES	0	0	0	0	0	0	0	0
4	STUDENT UNION	0	0	0	0	0	0	0	0
5	BOOKSTORE	460,540	0	0	460,540	450,000	0	0	450,000
6	STUDENT ORGANIZATIONS AND PUBLICATIONS	0	0	0	0	0	0	0	0
7	OTHER (FOOTNOTE BELOW)	41,951	0	0	41,951	40,000	0	0	40,000
8	SUBTOTAL	502,491	0	0	502,491	490,000	0	0	490,000
9	ATHLETIC TRANSFER**	0			0				0
10	OTHER TRANSFERS*** (FOOTNOTE BELOW)	(3,230,000)			(3,230,000)	0			0
11	GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	(2,727,509)	0	0	(2,727,509)	490,000	0	0	490,000

\* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM FR-5

\*\* For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

\*\*\* For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 7 "Other"- Vending

NOTE: Line 10 "Other Transfers"- transferred funds from auxiliary to unrestricted E&G for reserves.



**EMPLOYMENT INFORMATION  
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

PULASKI TECHNICAL COLLEGE  
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2014-2015:						<u>651</u>
(As of November 1, 2014 )						
Nonclassified Administrative Employees:						
White Male:	<u>28</u>	Black Male:	<u>2</u>	Other Male:	<u>1</u>	Total Male: <u>31</u>
White Female:	<u>52</u>	Black Female:	<u>20</u>	Other Female:	<u>0</u>	Total Female: <u>72</u>
Nonclassified Health Care Employees:						
White Male:	<u>0</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>0</u>
White Female:	<u>0</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>29</u>	Black Male:	<u>10</u>	Other Male:	<u>4</u>	Total Male: <u>43</u>
White Female:	<u>37</u>	Black Female:	<u>18</u>	Other Female:	<u>3</u>	Total Female: <u>58</u>
Faculty:						
White Male:	<u>167</u>	Black Male:	<u>30</u>	Other Male:	<u>5</u>	Total Male: <u>202</u>
White Female:	<u>200</u>	Black Female:	<u>40</u>	Other Female:	<u>5</u>	Total Female: <u>245</u>
Total White Male:	<u>224</u>	Total Black Male:	<u>42</u>	Total Other Male:	<u>10</u>	Total Male: <u>276</u>
Total White Female:	<u>289</u>	Total Black Female:	<u>78</u>	Total Other Female:	<u>8</u>	Total Female: <u>375</u>
Total White:	<u>513</u>	Total Black:	<u>120</u>	Total Other:	<u>18</u>	Total Employees: <u>651</u>
				<b>Total Minority:</b>	<u>138</u>	

FORM FR-6

**ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES**  
**STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES**  
 Fiscal Year 2015  
 Required by A.C.A. 25-36-104

**Institution**

PULASKI TECHNICAL COLLEGE

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
N/A							

**TOTAL NUMBER OF MINORITY CONTRACTS AWARDED** 0

**TOTAL EXPENDITURES ON CONTRACTS AWARDED** \$504,598  
 (Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

**% OF MINORITY CONTRACTS AWARDED** 0%

**ARKANSAS LEGISLATIVE AUDIT  
AUDIT OF PULASKI TECHNICAL COLLEGE  
June 30, 2014**

<i>Finding:</i>	No Findings noted
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**INSTITUTION APPROPRIATION SUMMARY  
2016-2017 Fiscal Year**

INSTITUTION RICH MOUNTAIN COMMUNITY COLLEGE

	APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
		2014-15		2015-16		2015-16		2016-17			
		ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1	STATE TREASURY	3,409,713		3,412,013		3,496,298		3,472,623		3,472,623	
2	CASH	4,236,441		10,120,000		10,120,000		10,195,000		10,195,000	
3											
4											
5											
6											
7											
8											
9											
10											
11	TOTAL	\$7,646,154	95	\$13,532,013	99	\$13,616,298	133	\$13,667,623	133	\$13,667,623	133
	<b>FUNDING SOURCES</b>		%		%				%		%
12	PRIOR YEAR FUND BALANCE*	0	0%	0	0%			0	0%	0	0%
13	GENERAL REVENUE	3,206,869	42%	3,206,869	24%			3,267,479	24%	3,267,479	24%
14	EDUCATIONAL EXCELLENCE TRUST FUND	202,844	3%	205,144	2%			205,144	2%	205,144	2%
15	WORKFORCE 2000	0	0%	0	0%			0	0%	0	0%
16	CASH FUNDS	2,667,650	35%	5,019,900	37%			6,945,000	51%	6,945,000	51%
17	SPECIAL REVENUES		0%		0%				0%	0	0%
18	FEDERAL FUNDS	1,568,791	21%	5,100,100	38%			3,250,000	24%	3,250,000	24%
19	TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%
20	OTHER FUNDS	0	0%	0	0%			0	0%	0	0%
21	TOTAL INCOME	\$7,646,154	100%	\$13,532,013	100%			\$13,667,623	100%	\$13,667,623	100%
22	EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2015:	\$2,305,970
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$220,000
INVENTORIES	\$150,000
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$20,000
MAJOR CRITICAL SYSTEMS FAILURES	\$925,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$900,000
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$75,000
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$15,970

\*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY  
2016-2017 FISCAL YEAR**

FUND CWR0000

INSTITUTION RICH MOUNTAIN COMMUNITY COLLEGE

APPROPRIATION \_\_\_\_\_

112

	DESCRIPTION	ACTUAL 2014-2015	BUDGETED 2015-2016	AUTHORIZED APPROPRIATION 2015-2016	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
					2016-2017	2016-2017
1	REGULAR SALARIES	2,375,000	2,395,000	2,395,000	2,400,000	2,455,000
2	EXTRA HELP WAGES			26,298	27,623	29,187
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	510,566	520,000	545,000	545,000	565,000
5	OPERATING EXPENSES	523,963	497,013	530,000	500,000	552,000
6	CONFERENCE FEES & TRAVEL	0	0	0	0	0
7	PROFESSIONAL FEES AND SERVICES	0	0	0	0	0
8	CAPITAL OUTLAY	0	0	0	0	0
9	FUNDED DEPRECIATION					
10	WORKERS COMP/SURETY PREMIUM	184				
11						
12						
13	TOTAL APPROPRIATION	\$3,409,713	\$3,412,013	\$3,496,298	\$3,472,623	\$3,601,187
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE	3,206,869	3,206,869		3,267,479	3,396,043
16	EDUCATIONAL EXCELLENCE TRUST FUND	202,844	205,144		205,144	205,144
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)					
21	TOTAL INCOME	\$3,409,713	\$3,412,013		\$3,472,623	\$3,601,187
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

\* Report WF2000 funds on line 17 - "Special Revenues".

\*\*Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS**  
**2016-2017 Fiscal Year**

FUND 2190000

INSTITUTION RICH MOUNTAIN COMMUNITY COLLEGE

APPROPRIATION \_\_\_\_\_ A26

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2014-2015	2015-2016	APPROPRIATION	AHECB RECOMMENDATION	2016-2017
				2015-2016	2016-2017	2016-2017
1	REGULAR SALARIES	1,502,305	3,200,000	3,200,000	3,250,000	3,250,000
2	EXTRA HELP WAGES	320,152	350,000	350,000	375,000	375,000
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	486,602	1,500,000	1,500,000	1,500,000	1,500,000
5	OPERATING EXPENSES	1,246,097	1,750,000	1,750,000	1,750,000	1,750,000
6	CONFERENCE FEES & TRAVEL	58,479	375,000	375,000	375,000	375,000
7	PROFESSIONAL FEES AND SERVICES	0	15,000	15,000	15,000	15,000
8	CAPITAL OUTLAY	622,806	2,250,000	2,250,000	2,250,000	2,250,000
9	CAPITAL IMPROVEMENTS	0	300,000	300,000	300,000	300,000
10	DEBT SERVICE	0	380,000	380,000	380,000	380,000
11	FUND TRANSFERS, REFUNDS AND INVESTMENTS					
12						
13						
14						
15						
16	TOTAL APPROPRIATION	\$4,236,441	\$10,120,000	\$10,120,000	\$10,195,000	\$10,195,000
17	PRIOR YEAR FUND BALANCE**					
18	TUITION AND MANDATORY FEES	2,118,176	2,155,126		2,080,322	2,080,322
19	ALL OTHER FEES					
20	SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS					
21	INVESTMENT INCOME	5,273	5,186			
22	FEDERAL CASH FUNDS	1,568,791	5,100,100		3,250,000	3,250,000
23	OTHER CASH FUNDS	544,201	2,859,588		4,864,678	4,864,678
24	TOTAL INCOME	\$4,236,441	\$10,120,000		\$10,195,000	\$10,195,000
25	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

FORM FR-4

**TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)**

	ACTUAL	BUDGETED	AUTHORIZED	REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
	2014-2015	2015-2016	2015-2016	2016-2017	2016-17	2016-2017
REGULAR POSITIONS	95	99	133	133	133	133
TOBACCO POSITIONS						
EXTRA HELP ***	40	50	80	80	80	80

\*\* Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

\*\*\* The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

**AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES**

RICH MOUNTAIN COMMUNITY COLLEGE  
(NAME OF INSTITUTION)

	ACTIVITY	ACTUAL 2014-2015				BUDGETED 2015-2016			
		INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1	INTERCOLLEGIATE ATHLETICS*	0	0	0	0	0	0	0	0
2	HOUSING	0	0	0	0	0	0	0	0
3	FOOD SERVICES	0	0	0	0	0	0	0	0
4	STUDENT UNION	0	0	0	0	0	0	0	0
5	BOOKSTORE	452,034	513,875	0	(61,841)	400,000	394,890	0	5,110
6	STUDENT ORGANIZATIONS AND PUBLICATIONS	0	0	0	0	0	0	0	0
7	OTHER (FOOTNOTE BELOW)	0	0		0	0	0	0	0
8	SUBTOTAL	452,034	513,875	0	(61,841)	400,000	394,890	0	5,110
9	ATHLETIC TRANSFER**	0			0	0			0
10	OTHER TRANSFERS*** (FOOTNOTE BELOW)	0			0	0			0
11	GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	452,034	513,875	0	(61,841)	400,000	394,890	0	5,110

\* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM FR-5

\*\* For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

\*\*\* For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.



**EMPLOYMENT INFORMATION  
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

RICH MOUNTAIN COMMUNITY COLLEGE \_\_\_\_\_  
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2014-2015:						<u>96</u>
(As of November 1, 2014 )						
Nonclassified Administrative Employees:						
White Male:	<u>6</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>6</u>
White Female:	<u>12</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>12</u>
Nonclassified Health Care Employees:						
White Male:	<u>0</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>0</u>
White Female:	<u>0</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>6</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>6</u>
White Female:	<u>11</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>11</u>
Faculty:						
White Male:	<u>27</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>27</u>
White Female:	<u>34</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>34</u>
Total White Male:	<u>39</u>	Total Black Male:	<u>0</u>	Total Other Male:	<u>0</u>	Total Male: <u>39</u>
Total White Female:	<u>57</u>	Total Black Female:	<u>0</u>	Total Other Female:	<u>0</u>	Total Female: <u>57</u>
Total White:	<u>96</u>	Total Black:	<u>0</u>	Total Other:	<u>0</u>	Total Employees: <u>96</u>
				<b>Total Minority:</b>	<u>0</u>	

FORM FR-6

**ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES**  
**STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES**  
 Fiscal Year 2015  
 Required by A.C.A. 25-36-104

**Institution**

RICH MOUNTAIN COMMUNITY COLLEGE

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
N/A							

**TOTAL NUMBER OF MINORITY CONTRACTS AWARDED** 0

**TOTAL EXPENDITURES ON CONTRACTS AWARDED** \$0

(Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

**% OF MINORITY CONTRACTS AWARDED** 0%

**ARKANSAS LEGISLATIVE AUDIT  
AUDIT OF RICH MOUNTAIN COMMUNITY COLLEGE  
June 30, 2014**

<i>Finding:</i>	No Findings noted
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**INSTITUTION APPROPRIATION SUMMARY  
2016-2017 Fiscal Year**

INSTITUTION SOUTH ARKANSAS COMMUNITY COLLEGE

	APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
		2014-15		2015-16		2015-16		2016-17			
		ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1	STATE TREASURY	7,018,635		7,027,222		7,254,643		7,216,270		7,216,270	
2	CASH	10,423,180		35,303,015		35,303,015		35,303,015		35,303,015	
3											
4											
5											
6											
7											
8											
9											
10											
11	TOTAL	\$17,441,815	214	\$42,330,237	330	\$42,557,658	330	\$42,519,285	330	\$42,519,285	330
	<b>FUNDING SOURCES</b>		%		%				%		%
12	PRIOR YEAR FUND BALANCE*	0	0%	0	0%			0	0%	0	0%
13	GENERAL REVENUE	6,034,307	35%	6,034,307	14%			6,223,355	15%	6,223,355	15%
14	EDUCATIONAL EXCELLENCE TRUST FUND	525,567	3%	531,526	1%			531,526	1%	531,526	1%
15	WORKFORCE 2000	456,843	3%	461,389	1%			461,389	1%	461,389	1%
16	CASH FUNDS	5,404,237	31%	26,577,574	63%			25,803,015	61%	25,803,015	61%
17	SPECIAL REVENUES		0%		0%				0%	0	0%
18	FEDERAL FUNDS	5,018,943	29%	8,725,441	21%			9,500,000	22%	9,500,000	22%
19	TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%
20	OTHER FUNDS	1,918	0%	0	0%			0	0%	0	0%
21	TOTAL INCOME	\$17,441,815	100%	\$42,330,237	100%			\$42,519,285	100%	\$42,519,285	100%
22	EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2015:	\$2,415,467
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$300,000
INVENTORIES	\$50,000
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$11,000
MAJOR CRITICAL SYSTEMS FAILURES	\$350,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$1,400,000
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$304,467

\*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME  
AND AHECB GENERAL REVENUE RECOMMENDATIONS  
FOR THE 2016-2017 Fiscal Year (Non-Formula Entities)**

SOUTH ARKANSAS COMMUNITY COLLEGE - ARBORETUM

NAME OF INSTITUTION

	EXPENDITURE CATEGORIES	2014-2015 ACTUAL	2015-2016 BUDGETED*	2016-17 FISCAL YEAR INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS	
				2016-2017	
				REQUEST	RECOMMENDATION
1	Regular Salaries	22,280	25,000	25,000	25,000
2	Extra Help	0	15,000	15,000	15,000
3	Personal Services Matching	13,045	10,000	10,000	10,000
4	Operating Expenses	4,004	25,000	25,000	25,000
5					
6					
7					
8					
9					
10					
11					
12					
13	MANDATORY TRANSFERS				
14	AUXILIARY TRANSFERS				
15	NON-MANDATORY TRANSFERS				
16	<b>TOTAL UNREST. E&amp;G EXP.</b>	<b>\$39,329</b>	<b>\$75,000</b>	<b>\$75,000</b>	<b>\$75,000</b>
17	NET LOCAL INCOME				
18	PRIOR YEAR BALANCE**				
	STATE FUNDS:				
19	GENERAL REVENUE	39,329	75,000	75,000	75,000
20	EDUCATIONAL EXCELLENCE				
21	WORKFORCE 2000				
22	TOBACCO SETTLEMENT FUNDS				
23	OTHER STATE FUNDS ***				
24	<b>TOTAL SOURCES OF INCOME</b>	<b>\$39,329</b>	<b>\$75,000</b>	<b>\$75,000</b>	<b>\$75,000</b>

\* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

FORM FR-2 Nonformula

\*\* Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

\*\*\* Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in a footnote.

**APPROPRIATION ACT FORM - STATE TREASURY  
2016-2017 FISCAL YEAR**

FUND CWS0000

INSTITUTION SOUTH ARKANSAS COMMUNITY COLLEGE

APPROPRIATION \_\_\_\_\_

793

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2014-2015	2015-2016	APPROPRIATION 2015-2016	AHECB RECOMMENDATION 2016-2017	2016-2017
1	REGULAR SALARIES	4,051,504	4,400,000	4,705,000	4,705,000	4,725,000
2	EXTRA HELP WAGES	115,000	115,000	115,000	115,000	130,000
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	1,410,000	1,400,000	1,410,000	1,410,000	1,500,000
5	OPERATING EXPENSES	1,431,751	1,092,222	1,004,643	966,270	1,095,033
6	CONFERENCE FEES & TRAVEL	10,000	10,000	10,000	10,000	10,000
7	PROFESSIONAL FEES AND SERVICES	0	0	0	0	0
8	CAPITAL OUTLAY	0	10,000	10,000	10,000	10,000
9	FUNDED DEPRECIATION					
10	WORKERS COMP/SURETY PREMIUM	380				
11						
12						
13	TOTAL APPROPRIATION	\$7,018,635	\$7,027,222	\$7,254,643	\$7,216,270	\$7,470,033
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE	6,034,307	6,034,307		6,223,355	6,477,118
16	EDUCATIONAL EXCELLENCE TRUST FUND	525,567	531,526		531,526	531,526
17	SPECIAL REVENUES * [WF2000]	456,843	461,389		461,389	461,389
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)	1,918				
21	TOTAL INCOME	\$7,018,635	\$7,027,222		\$7,216,270	\$7,470,033
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

\* Report WF2000 funds on line 17 - "Special Revenues".

\*\*Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM FR-3

NOTE: Line 20 "Other Treasury Funds" includes Tuition adjustment (\$1,918)

**APPROPRIATION ACT FORM - CASH FUNDS  
2016-2017 Fiscal Year**

FUND 2210000

INSTITUTION SOUTH ARKANSAS COMMUNITY COLLEGE

APPROPRIATION B78

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2014-2015	2015-2016	2015-2016	AHECB RECOMMENDATION	2016-2017
1	REGULAR SALARIES	3,803,063	8,895,663	8,895,663	8,895,663	8,895,663
2	EXTRA HELP WAGES	365,388	1,044,591	1,044,591	1,044,591	1,044,591
3	OVERTIME	9,864	81,225	81,225	81,225	81,225
4	PERSONAL SERVICES MATCHING	1,365,059	3,744,429	3,744,429	3,744,429	3,744,429
5	OPERATING EXPENSES	3,605,056	8,531,408	8,531,408	8,531,408	8,531,408
6	CONFERENCE FEES & TRAVEL	182,984	781,498	781,498	781,498	781,498
7	PROFESSIONAL FEES AND SERVICES	9,987	712,590	712,590	712,590	712,590
8	CAPITAL OUTLAY	219,795	653,535	653,535	653,535	653,535
9	CAPITAL IMPROVEMENTS	704,860	7,000,000	7,000,000	7,000,000	7,000,000
10	DEBT SERVICE	58,588	833,076	833,076	833,076	833,076
11	FUND TRANSFERS, REFUNDS AND INVESTMENTS	91,071	3,000,000	3,000,000	3,000,000	3,000,000
12	PROMOTION ITEMS	7,465	25,000	25,000	25,000	25,000
13						
14						
15						
16	TOTAL APPROPRIATION	\$10,423,180	\$35,303,015	\$35,303,015	\$35,303,015	\$35,303,015
17	PRIOR YEAR FUND BALANCE**					
18	TUITION AND MANDATORY FEES	4,292,124	4,872,441		4,926,969	4,926,969
19	ALL OTHER FEES	299,598	599,744		638,992	638,992
20	SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	724,049	1,554,669		2,181,383	2,181,383
21	INVESTMENT INCOME	4,852	10,000		7,500	7,500
22	FEDERAL CASH FUNDS	5,018,943	8,725,441		9,500,000	9,500,000
23	OTHER CASH FUNDS	83,614	19,540,720		18,048,171	18,048,171
24	TOTAL INCOME	\$10,423,180	\$35,303,015		\$35,303,015	\$35,303,015
25	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

FORM FR-4

**TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)**

	ACTUAL	BUDGETED	AUTHORIZED	REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
	2014-2015	2015-2016	2015-2016	2016-2017	2016-17	2016-2017
REGULAR POSITIONS	214	330	330	330	330	330
TOBACCO POSITIONS						
EXTRA HELP ***	46	175	175	175	175	175

\*\* Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

\*\*\* The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.



**AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES**

SOUTH ARKANSAS COMMUNITY COLLEGE  
(NAME OF INSTITUTION)

	ACTIVITY	ACTUAL 2014-2015				BUDGETED 2015-2016			
		INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1	INTERCOLLEGIATE ATHLETICS*	0	0	0	0	0	0	0	0
2	HOUSING	0	0	0	0	0	0	0	0
3	FOOD SERVICES	94,641	95,464	0	(823)	88,500	80,000	0	8,500
4	STUDENT UNION	0	0	0	0	0	0	0	0
5	BOOKSTORE	1,387,410	1,235,771	0	151,639	1,523,824	1,523,824	0	0
6	STUDENT ORGANIZATIONS AND PUBLICATIONS	0	0	0	0	0	0	0	0
7	OTHER (FOOTNOTE BELOW)	356,963	669,771	0	(312,808)	317,475	661,975	0	(344,500)
8	SUBTOTAL	1,839,014	2,001,006	0	(161,992)	1,929,799	2,265,799	0	(336,000)
9	ATHLETIC TRANSFER**	0			0	0			0
10	OTHER TRANSFERS*** (FOOTNOTE BELOW)	269,624			269,624	336,000			336,000
11	GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	2,108,638	2,001,006	0	107,632	2,265,799	2,265,799	0	0

\* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM FR-5

\*\* For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

\*\*\* For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE - Line 7 "Other" - Conference Center, Rental, Edie Village, Student Activities

NOTE - Line 10 "Other Transfers" - Transfers from E&G

**EMPLOYMENT INFORMATION  
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

SOUTH ARKANSAS COMMUNITY COLLEGE \_\_\_\_\_  
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2014-2015:						<u>214</u>
(As of November 1, 2014 )						
Nonclassified Administrative Employees:						
White Male:	<u>15</u>	Black Male:	<u>3</u>	Other Male:	<u>0</u>	Total Male: <u>18</u>
White Female:	<u>30</u>	Black Female:	<u>5</u>	Other Female:	<u>2</u>	Total Female: <u>37</u>
Nonclassified Health Care Employees:						
White Male:	<u>0</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>0</u>
White Female:	<u>0</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>14</u>	Black Male:	<u>8</u>	Other Male:	<u>0</u>	Total Male: <u>22</u>
White Female:	<u>23</u>	Black Female:	<u>7</u>	Other Female:	<u>0</u>	Total Female: <u>30</u>
Faculty:						
White Male:	<u>35</u>	Black Male:	<u>2</u>	Other Male:	<u>1</u>	Total Male: <u>38</u>
White Female:	<u>57</u>	Black Female:	<u>12</u>	Other Female:	<u>0</u>	Total Female: <u>69</u>
Total White Male:	<u>64</u>	Total Black Male:	<u>13</u>	Total Other Male:	<u>1</u>	Total Male: <u>78</u>
Total White Female:	<u>110</u>	Total Black Female:	<u>24</u>	Total Other Female:	<u>2</u>	Total Female: <u>136</u>
Total White:	<u>174</u>	Total Black:	<u>37</u>	Total Other:	<u>3</u>	Total Employees: <u>214</u>
				<b>Total Minority:</b>	<u>40</u>	

FORM FR-6

**ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES**  
**STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES**  
 Fiscal Year 2015  
 Required by A.C.A. 25-36-104

**Institution**

SOUTH ARKANSAS COMMUNITY COLLEGE

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
N/A							

**TOTAL NUMBER OF MINORITY CONTRACTS AWARDED** 0

**TOTAL EXPENDITURES ON CONTRACTS AWARDED** \$0  
 (Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

**% OF MINORITY CONTRACTS AWARDED** 0%

**ARKANSAS LEGISLATIVE AUDIT  
 AUDIT OF SOUTH ARKANSAS COMMUNITY COLLEGE  
 June 30, 2014**

<p><i>Finding No. 1:</i></p>	<p>We noted the following internal control deficiencies:</p> <ul style="list-style-type: none"> <li>A. During our examination of Notes to the Financial Statements, errors were discovered in six of twenty financial statement notes.</li> <li>B. Five accounts payable general ledger accounts were not reconciled to the subsidiary records.</li> </ul> <p>When these errors were brought to the attention of College personnel, the financial statements were corrected.</p>
<p><i>Institution's Response</i></p>	<p>Turnover in the accounting staff created a lack of supervisory review of the financial statements and the notes to the financial statements. The Controller's office will perform a more detailed review of the financial statements and the notes to the financial statements to ensure the accuracy of the information presented.</p> <p>Turnover in the accounting staff created a lack of supervisory review of the financial statements and reconciliation to subsidiary accounts. Accounts payable general ledger account has been reconciled to the subsidiary schedules for the year ending June 30, 2015. The Controller's office will perform a more detailed review of the financial statements and the reconciliation of general ledger accounts to the subsidiary accounts to ensure the accuracy of the information presented.</p>

**INSTITUTION APPROPRIATION SUMMARY  
2016-2017 Fiscal Year**

INSTITUTION SOUTHERN ARKANSAS UNIVERSITY TECH

	APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
		2014-15		2015-16		2015-16		2016-17			
		ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1	STATE TREASURY	5,909,832		5,917,912		7,160,713		6,494,453		6,494,453	
2	CASH	6,935,424		19,625,000		19,625,000		19,625,000		19,625,000	
3											
4											
5											
6											
7											
8											
9											
10											
11	TOTAL	\$12,845,256	199	\$25,542,912	193	\$26,785,713	236	\$26,119,453	236	\$26,119,453	236
	<b>FUNDING SOURCES</b>		%		%				%		%
12	PRIOR YEAR FUND BALANCE*	0	0%	0	0%			0	0%	0	0%
13	GENERAL REVENUE	5,705,511	44%	5,705,511	22%			6,284,917	24%	6,284,917	24%
14	EDUCATIONAL EXCELLENCE TRUST FUND	207,186	2%	209,536	1%			209,536	1%	209,536	1%
15	WORKFORCE 2000	0	0%	0	0%			0	0%	0	0%
16	CASH FUNDS	5,689,846	44%	12,625,000	49%			12,625,000	48%	12,625,000	48%
17	SPECIAL REVENUES		0%		0%				0%	0	0%
18	FEDERAL FUNDS	1,245,578	10%	7,000,000	27%			7,000,000	27%	7,000,000	27%
19	TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%
20	OTHER FUNDS	0	0%	2,865	0%			0	0%	0	0%
21	TOTAL INCOME	\$12,848,121	100%	\$25,542,912	100%			\$26,119,453	100%	\$26,119,453	100%
22	EXCESS (FUNDING)/APPROPRIATION	(\$2,865)		\$0				\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2015:	\$1,970,127
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$383,380
INVENTORIES	\$10,000
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$40,000
MAJOR CRITICAL SYSTEMS FAILURES	\$150,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$1,060,000
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$200,000
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$126,747

\*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY  
2016-2017 FISCAL YEAR**

FUND CSS0000

INSTITUTION SOUTHERN ARKANSAS UNIVERSITY TECH

APPROPRIATION \_\_\_\_\_

294

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2014-2015	2015-2016	APPROPRIATION 2015-2016	AHECB RECOMMENDATION 2016-2017	2016-2017
1	REGULAR SALARIES	3,795,886	3,867,269	4,468,091	4,052,363	4,602,134
2	EXTRA HELP WAGES					
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	950,818	1,000,000	1,235,147	1,120,224	1,272,201
5	OPERATING EXPENSES	949,947	956,249	1,235,147	1,120,224	1,272,201
6	CONFERENCE FEES & TRAVEL	64,757	40,000	67,934	61,613	69,972
7	PROFESSIONAL FEES AND SERVICES	0	0	0	0	0
8	CAPITAL OUTLAY	147,175	54,394	154,394	140,029	159,026
9	FUNDED DEPRECIATION					
10	WORKERS COMP/SURETY PREMIUM	1,249				
11						
12						
13	TOTAL APPROPRIATION	\$5,909,832	\$5,917,912	\$7,160,713	\$6,494,453	\$7,375,534
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE	5,705,511	5,705,511		6,284,917	7,165,998
16	EDUCATIONAL EXCELLENCE TRUST FUND	207,186	209,536		209,536	209,536
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)		2,865			
21	TOTAL INCOME	\$5,912,697	\$5,917,912		\$6,494,453	\$7,375,534
22	EXCESS (FUNDING)/APPROPRIATION	(\$2,865)	\$0		\$0	\$0

\* Report WF2000 funds on line 17 - "Special Revenues".

FORM FR-3

\*\*Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

NOTE: Line 20 "Other State Treasury Funds"- Appro. 295 was reimbursed \$2,956 more than it should have been due to a coding error on a reimbursement allocation between Appro. 294/SAUT (\$2865), Appro. 295/AFTA(\$2956), and Appro. 296/ AETA(\$121). Even though this report reflects excess funding, there was no excess due to AFTA being over-reimbursed. At June 30 Fund CSS0000 had a zero balance with no carryover into 2015-2016.

**APPROPRIATION ACT FORM - CASH FUNDS  
2016-2017 Fiscal Year**

FUND 2170000

INSTITUTION SOUTHERN ARKANSAS UNIVERSITY TECH

APPROPRIATION \_\_\_\_\_ A65

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2014-2015	2015-2016	APPROPRIATION	AHECB RECOMMENDATION	2016-2017
				2015-2016	2016-2017	2016-2017
1	REGULAR SALARIES	2,500,453	3,800,000	3,800,000	3,800,000	3,800,000
2	EXTRA HELP WAGES	61,015	200,000	200,000	200,000	200,000
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	985,264	1,300,000	1,300,000	1,300,000	1,300,000
5	OPERATING EXPENSES	2,568,626	4,200,000	4,200,000	4,200,000	4,200,000
6	CONFERENCE FEES & TRAVEL	55,287	150,000	150,000	150,000	150,000
7	PROFESSIONAL FEES AND SERVICES	39,800	400,000	400,000	400,000	400,000
8	CAPITAL OUTLAY	44,690	500,000	500,000	500,000	500,000
9	CAPITAL IMPROVEMENTS	0	6,000,000	6,000,000	6,000,000	6,000,000
10	DEBT SERVICE	343,772	1,075,000	1,075,000	1,075,000	1,075,000
11	FUND TRANSFERS, REFUNDS AND INVESTMENTS	336,517	2,000,000	2,000,000	2,000,000	2,000,000
12						
13						
14						
15						
16	TOTAL APPROPRIATION	\$6,935,424	\$19,625,000	\$19,625,000	\$19,625,000	\$19,625,000
17	PRIOR YEAR FUND BALANCE**					
18	TUITION AND MANDATORY FEES	3,126,070	5,500,000		6,000,000	6,000,000
19	ALL OTHER FEES	449,793	250,000		300,000	300,000
20	SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	104,511	1,000,000		1,000,000	1,000,000
21	INVESTMENT INCOME	475	10,000		10,000	10,000
22	FEDERAL CASH FUNDS	1,245,578	7,000,000		7,000,000	7,000,000
23	OTHER CASH FUNDS	2,008,997	5,865,000		5,315,000	5,315,000
24	TOTAL INCOME	\$6,935,424	\$19,625,000		\$19,625,000	\$19,625,000
25	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

FORM FR-4

**TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)**

	ACTUAL	BUDGETED	AUTHORIZED	REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
	2014-2015	2015-2016	2015-2016	2016-2017	2016-17	2016-2017
REGULAR POSITIONS	199	193	236	236	236	236
TOBACCO POSITIONS						
EXTRA HELP ***	175	175	175	175	175	175

\*\* Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

\*\*\* The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

**AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES**

SOUTHERN ARKANSAS UNIVERSITY TECH  
(NAME OF INSTITUTION)

	ACTIVITY	ACTUAL 2014-2015				BUDGETED 2015-2016			
		INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1	INTERCOLLEGIATE ATHLETICS*	0	0	0	0	0	0	0	0
2	HOUSING	167,418	155,716	0	11,702	184,800	184,800	0	0
3	FOOD SERVICES	0	0	0	0	0	0	0	0
4	STUDENT UNION	0	0	0	0	0	0	0	0
5	BOOKSTORE	0	0	0	0	0	0	0	0
6	STUDENT ORGANIZATIONS AND PUBLICATIONS	0	0	0	0	0	0	0	0
7	OTHER (FOOTNOTE BELOW)	0	0	0	0	0	0	0	0
8	SUBTOTAL	167,418	155,716	0	11,702	184,800	184,800	0	0
9	ATHLETIC TRANSFER**	0			0	0			0
10	OTHER TRANSFERS*** (FOOTNOTE BELOW)	0			0	0			0
11	GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	167,418	155,716	0	11,702	184,800	184,800	0	0

\* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM FR-5

\*\* For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

\*\*\* For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.



**EMPLOYMENT INFORMATION  
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

SOUTHERN ARKANSAS UNIVERSITY TECH \_\_\_\_\_  
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2014-2015:						146
(As of November 1, 2014 )						
Nonclassified Administrative Employees:						
White Male:	7	Black Male:	5	Other Male:	0	Total Male: 12
White Female:	14	Black Female:	5	Other Female:	0	Total Female: 19
Nonclassified Health Care Employees:						
White Male:	0	Black Male:	0	Other Male:	0	Total Male: 0
White Female:	0	Black Female:	0	Other Female:	0	Total Female: 0
Classified Employees:						
White Male:	16	Black Male:	6	Other Male:	0	Total Male: 22
White Female:	20	Black Female:	11	Other Female:	1	Total Female: 32
Faculty:						
White Male:	29	Black Male:	0	Other Male:	0	Total Male: 29
White Female:	30	Black Female:	2	Other Female:	0	Total Female: 32
Total White Male:	52	Total Black Male:	11	Total Other Male:	0	Total Male: 63
Total White Female:	64	Total Black Female:	18	Total Other Female:	1	Total Female: 83
Total White:	116	Total Black:	29	Total Other:	1	Total Employees: 146
				<b>Total Minority:</b>	30	

FORM FR-6

**ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES**  
**STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES**  
 Fiscal Year 2015  
 Required by A.C.A. 25-36-104

**Institution**

SOUTHERN ARKANSAS UNIVERSITY TECH

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
N/A							

**TOTAL NUMBER OF MINORITY CONTRACTS AWARDED** 0

**TOTAL EXPENDITURES ON CONTRACTS AWARDED** \$0

(Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

**% OF MINORITY CONTRACTS AWARDED** 0%

**ARKANSAS LEGISLATIVE AUDIT**  
**AUDIT OF SOUTHERN ARKANSAS UNIVERSITY TECH**  
**June 30, 2014**

<i>Finding No. 1:</i>	Unauthorized Expenditures The University discovered unallowable fuel purchases totaling \$11,314 for the period May 15, 2014 through January 6, 2015. Subsequent to an investigation by Arkansas State Police, Apprentice Tradesman Freddie Gulley was charged with theft of property and fraudulent use of a credit card.
<i>Institution's Response</i>	Changes have been made to the Motor Pool procedures as well as tighter controls in the Accounts Payable reconciliation process.

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**INSTITUTION APPROPRIATION SUMMARY  
2016-2017 Fiscal Year**

INSTITUTION SAUT - ARKANSAS ENVIRONMENTAL TRAINING ACADEMY

	APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
		2014-15		2015-16		2015-16		2016-17			
		ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1	STATE TREASURY	402,905		403,540		614,224		622,193		622,193	
2	CASH	334,641		1,573,000		1,573,000		1,573,000		1,573,000	
3											
4											
5											
6											
7											
8											
9											
10											
11	TOTAL	\$737,546	20	\$1,976,540	20	\$2,187,224	26	\$2,195,193	26	\$2,195,193	26
	<b>FUNDING SOURCES</b>		%		%				%		%
12	PRIOR YEAR FUND BALANCE*	0	0%	0	0%			0	0%	0	0%
13	GENERAL REVENUE	368,404	50%	368,404	19%			587,178	27%	587,178	27%
14	EDUCATIONAL EXCELLENCE TRUST FUND	34,622	5%	35,015	2%			35,015	2%	35,015	2%
15	WORKFORCE 2000	0	0%	0	0%			0	0%	0	0%
16	CASH FUNDS	334,641	45%	973,000	49%			973,000	44%	973,000	44%
17	SPECIAL REVENUES		0%		0%				0%	0	0%
18	FEDERAL FUNDS	0	0%	600,000	30%			600,000	27%	600,000	27%
19	TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%
20	OTHER FUNDS	0	0%	121	0%			0	0%	0	0%
21	TOTAL INCOME	\$737,667	100%	\$1,976,540	100%			\$2,195,193	100%	\$2,195,193	100%
22	EXCESS (FUNDING)/APPROPRIATION	(\$121)		\$0				\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2015:	\$459,522
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$67,442
INVENTORIES	
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$25,000
MAJOR CRITICAL SYSTEMS FAILURES	\$25,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$100,000
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$100,000
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$142,080

\*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME  
AND AHECB GENERAL REVENUE RECOMMENDATIONS  
FOR THE 2016-2017 Fiscal Year (Non-Formula Entities)**

ARKANSAS ENVIRONMENTAL TRAINING ACADEMY

NAME OF INSTITUTION

	EXPENDITURE CATEGORIES	2014-2015 ACTUAL	2015-2016 BUDGETED*	2016-17 FISCAL YEAR INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS	
				2016-2017	
				REQUEST	RECOMMENDATION
1	INSTRUCTION	391,420	438,930	565,741	565,741
2	INSTITUTIONAL SUPPORT	276,062	309,691	399,008	399,008
3	PHYSICAL PLANT	7,792	8,738	11,263	11,263
4					
5					
6					
7					
8					
9					
10					
11					
12					
13	MANDATORY TRANSFERS				
14	AUXILIARY TRANSFERS				
15	NON-MANDATORY TRANSFERS				
16	TOTAL UNREST. E&G EXP.	\$675,274	\$757,359	\$976,012	\$976,012
17	NET LOCAL INCOME	272,248	353,819	353,819	353,819
18	PRIOR YEAR BALANCE**				
	STATE FUNDS:				
19	GENERAL REVENUE	368,404	368,404	587,178	587,178
20	EDUCATIONAL EXCELLENCE	34,622	35,015	35,015	35,015
21	WORKFORCE 2000				
22	TOBACCO SETTLEMENT FUNDS				
23	OTHER STATE FUNDS ***		121		
24	TOTAL SOURCES OF INCOME	\$675,274	\$757,359	\$976,012	\$976,012

\* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

FORM FR-2 Nonformula

\*\* Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

\*\*\* Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in a footnote.

NOTE: Line 23 "Other State Funds"- Appro. 295 was reimbursed \$2,956 more than it should have been due to a coding error on a reimbursement allocation between Appro. 294/SAUT (\$2865), Appro. 295/AFTA(\$2956), and Appro. 296/ AETA(\$121). Even though this report reflects excess funding, there was no excess due to AFTA being over-reimbursed. At June 30 Fund CSS0000 had a zero balance with no carryover into 2015-2016.

**APPROPRIATION ACT FORM - STATE TREASURY  
2016-2017 FISCAL YEAR**

FUND CSS0000

SAUT - ARKANSAS ENVIRONMENTAL  
INSTITUTION TRAINING ACADEMY

APPROPRIATION \_\_\_\_\_ 296

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2014-2015	2015-2016	APPROPRIATION 2015-2016	AHECB RECOMMENDATION 2016-2017	2016-2017
1	REGULAR SALARIES	224,699	240,712	365,591	370,334	372,367
2	EXTRA HELP WAGES					
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	65,076	60,000	77,585	78,592	79,023
5	OPERATING EXPENSES	99,854	92,828	158,404	160,459	161,340
6	CONFERENCE FEES & TRAVEL	13,257	10,000	12,644	12,808	12,878
7	PROFESSIONAL FEES AND SERVICES	0	0	0	0	0
8	CAPITAL OUTLAY	0	0	0	0	0
9	FUNDED DEPRECIATION					
10	WORKERS COMP/SURETY PREMIUM	19				
11						
12						
13	TOTAL APPROPRIATION	\$402,905	\$403,540	\$614,224	\$622,193	\$625,608
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE	368,404	368,404		587,178	590,593
16	EDUCATIONAL EXCELLENCE TRUST FUND	34,622	35,015		35,015	35,015
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)		121			
21	TOTAL INCOME	\$403,026	\$403,540		\$622,193	\$625,608
22	EXCESS (FUNDING)/APPROPRIATION	(\$121)	\$0		\$0	\$0

\* Report WF2000 funds on line 17 - "Special Revenues".

\*\*Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM FR-3

NOTE: Line 20 "Other State Treasury Funds"- Appro. 295 was reimbursed \$2,956 more than it should have been due to a coding error on a reimbursement allocation between Appro. 294/SAUT (\$2865), Appro. 295/AFTA(\$2956), and Appro. 296/ AETA(\$121). Even though this report reflects excess funding, there was no excess due to AFTA being over-reimbursed. At June 30 Fund CSS0000 had a zero balance with no carryover into 2015-2016.

**APPROPRIATION ACT FORM - CASH FUNDS  
2016-2017 Fiscal Year**

FUND 2170000

INSTITUTION SAUT - ARKANSAS ENVIRONMENTAL TRAINING ACADEMY

APPROPRIATION \_\_\_\_\_ A67

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2014-2015	2015-2016	APPROPRIATION 2015-2016	AHECB RECOMMENDATION 2016-2017	2016-2017
1	REGULAR SALARIES	181,241	532,000	532,000	532,000	532,000
2	EXTRA HELP WAGES		50,000	50,000	50,000	50,000
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	57,396	150,000	150,000	150,000	150,000
5	OPERATING EXPENSES	7,094	152,689	152,689	152,689	152,689
6	CONFERENCE FEES & TRAVEL	28,833	63,311	63,311	63,311	63,311
7	PROFESSIONAL FEES AND SERVICES	560	50,000	50,000	50,000	50,000
8	CAPITAL OUTLAY	59,517	175,000	175,000	175,000	175,000
9	CAPITAL IMPROVEMENTS	0	400,000	400,000	400,000	400,000
10	DEBT SERVICE					
11	FUND TRANSFERS, REFUNDS AND INVESTMENTS					
12						
13						
14						
15						
16	TOTAL APPROPRIATION	\$334,641	\$1,573,000	\$1,573,000	\$1,573,000	\$1,573,000
17	PRIOR YEAR FUND BALANCE**					
18	TUITION AND MANDATORY FEES	334,641	400,000		400,000	400,000
19	ALL OTHER FEES					
20	SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS					
21	INVESTMENT INCOME					
22	FEDERAL CASH FUNDS		600,000		600,000	600,000
23	OTHER CASH FUNDS		573,000		573,000	573,000
24	TOTAL INCOME	\$334,641	\$1,573,000		\$1,573,000	\$1,573,000
25	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

FORM FR-4

**TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)**

	ACTUAL	BUDGETED	AUTHORIZED	REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
	2014-2015	2015-2016	2015-2016	2016-2017	2016-17	2016-2017
REGULAR POSITIONS	20	20	26	26	26	26
TOBACCO POSITIONS						
EXTRA HELP ***	50	50	50	50	50	50

\*\* Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

\*\*\* The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.



**AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES**

SAUT - ARKANSAS ENVIRONMENTAL TRAINING ACADEMY  
(NAME OF INSTITUTION)

	ACTIVITY	ACTUAL 2014-2015				BUDGETED 2015-2016			
		INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1	INTERCOLLEGIATE ATHLETICS*	0	0	0	0	0	0	0	0
2	HOUSING	0	0	0	0	0	0	0	0
3	FOOD SERVICES	0	0	0	0	0	0	0	0
4	STUDENT UNION	0	0	0	0	0	0	0	0
5	BOOKSTORE	0	0	0	0	0	0	0	0
6	STUDENT ORGANIZATIONS AND PUBLICATIONS	0	0	0	0	0	0	0	0
7	OTHER (FOOTNOTE BELOW)	0	0	0	0	0	0	0	0
8	SUBTOTAL	0	0	0	0	0	0	0	0
9	ATHLETIC TRANSFER**	0			0				0
10	OTHER TRANSFERS*** (FOOTNOTE BELOW)	0			0				0
11	GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	0	0	0	0	0	0	0	0

\* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM FR-5

\*\* For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

\*\*\* For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

**EMPLOYMENT INFORMATION  
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

SAUT - ARKANSAS ENVIRONMENTAL TRAINING ACADEMY  
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2014-2015:						9
(As of November 1, 2014 )						
Nonclassified Administrative Employees:						
White Male:	2	Black Male:	0	Other Male:	0	Total Male: 2
White Female:	0	Black Female:	0	Other Female:	0	Total Female: 0
Nonclassified Health Care Employees:						
White Male:	0	Black Male:	0	Other Male:	0	Total Male: 0
White Female:	0	Black Female:	0	Other Female:	0	Total Female: 0
Classified Employees:						
White Male:	0	Black Male:	0	Other Male:	0	Total Male: 0
White Female:	1	Black Female:	1	Other Female:	0	Total Female: 2
Faculty:						
White Male:	4	Black Male:	0	Other Male:	0	Total Male: 4
White Female:	1	Black Female:	0	Other Female:	0	Total Female: 1
Total White Male:						6
Total White Female:						2
Total Black Male:						0
Total Black Female:						1
Total Other Male:						0
Total Other Female:						0
Total White:						8
Total Black:						1
Total Other:						0
<b>Total Minority:</b>						<b>1</b>
Total Employees:						9

FORM FR-6

**ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES**  
**STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES**  
 Fiscal Year 2015  
 Required by A.C.A. 25-36-104

**Institution**

SAUT - ARKANSAS ENVIRONMENTAL TRAINING ACADEMY

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
N/A							

**TOTAL NUMBER OF MINORITY CONTRACTS AWARDED** 0

**TOTAL EXPENDITURES ON CONTRACTS AWARDED** \$0  
 (Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

**% OF MINORITY CONTRACTS AWARDED** 0%

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**INSTITUTION APPROPRIATION SUMMARY  
2016-2017 Fiscal Year**

INSTITUTION SAUT - ARKANSAS FIRE TRAINING ACADEMY

	APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
		2014-15		2015-16		2015-16		2016-17			
		ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1	STATE TREASURY	1,741,836		1,739,843		2,346,119		2,381,835		2,381,835	
2	CASH	434,772		2,541,000		2,541,000		2,541,000		2,541,000	
3											
4											
5											
6											
7											
8											
9											
10											
11	TOTAL	\$2,176,608	46	\$4,280,843	48	\$4,887,119	67	\$4,922,835	67	\$4,922,835	67
	<b>FUNDING SOURCES</b>		%		%				%		%
12	PRIOR YEAR FUND BALANCE*	0	0%	0	0%			0	0%	0	0%
13	GENERAL REVENUE	1,651,221	76%	1,651,221	39%			2,293,213	47%	2,293,213	47%
14	EDUCATIONAL EXCELLENCE TRUST FUND	87,629	4%	88,622	2%			88,622	2%	88,622	2%
15	WORKFORCE 2000	0	0%	0	0%			0	0%	0	0%
16	CASH FUNDS	205,434	9%	1,541,000	36%			1,541,000	31%	1,541,000	31%
17	SPECIAL REVENUES		0%		0%				0%	0	0%
18	FEDERAL FUNDS	229,338	11%	1,000,000	23%			1,000,000	20%	1,000,000	20%
19	TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%
20	OTHER FUNDS	2,986	0%	0	0%			0	0%	0	0%
21	TOTAL INCOME	\$2,176,608	100%	\$4,280,843	100%			\$4,922,835	100%	\$4,922,835	100%
22	EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2015:	\$229,850
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	
INVENTORIES	
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$15,000
MAJOR CRITICAL SYSTEMS FAILURES	\$10,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$200,000
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$4,850

\*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**SUMMARY OF UNRESTRICTED EDUCATIONAL AND GENERAL CURRENT FUND EXPENDITURES AND INCOME  
AND AHECB GENERAL REVENUE RECOMMENDATIONS  
FOR THE 2016-2017 Fiscal Year (Non-Formula Entities)**

SAUT - ARKANSAS FIRE TRAINING ACADEMY

NAME OF INSTITUTION

	EXPENDITURE CATEGORIES	2014-2015 ACTUAL	2015-2016 BUDGETED*	2016-17 FISCAL YEAR INSTITUTIONAL REQUESTS / AHECB RECOMMENDATIONS	
				2016-2017	
				REQUEST	RECOMMENDATION
1	INSTRUCTION	1,110,724	1,093,598	1,471,811	1,471,811
2	INSTITUTIONAL SUPPORT	566,579	539,656	726,292	726,292
3	STUDENT SERVICES	114,966	125,400	168,769	168,769
4	PHYSICAL PLANT	182,613	202,389	272,383	272,383
5					
6					
7					
8					
9					
10					
11					
12					
13	MANDATORY TRANSFERS				
14	AUXILIARY TRANSFERS				
15	NON-MANDATORY TRANSFERS				
16	TOTAL UNREST. E&G EXP.	\$1,974,882	\$1,961,043	\$2,639,255	\$2,639,255
17	NET LOCAL INCOME	194,763	221,200	257,420	257,420
18	PRIOR YEAR BALANCE**	38,283			
	STATE FUNDS:				
19	GENERAL REVENUE	1,651,221	1,651,221	2,293,213	2,293,213
20	EDUCATIONAL EXCELLENCE	87,629	88,622	88,622	88,622
21	WORKFORCE 2000				
22	TOBACCO SETTLEMENT FUNDS				
23	OTHER STATE FUNDS ***	2,986			
24	TOTAL SOURCES OF INCOME	\$1,974,882	\$1,961,043	\$2,639,255	\$2,639,255

\* The amounts for Revenue Stabilization Act, Educational Excellence Trust Fund, and Workforce 2000 are based on the DF&A forecast.

FORM FR-2 Nonformula

\*\* Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

\*\*\* Funds received for operating purposes from state appropriations other than RSA, EETF, and WF2000 (e.g. General Improvement) should be reported on Line 23 "Other State Funds" and identified in a footnote.

NOTE: Line 23 "Other State Funds"-Appro. 295 was reimbursed \$2,956 more than it should have been due to a coding error on a reimbursement allocation between Appro. 294/SAUT (\$2865), Appro. 295/AFTA(\$2956), and Appro. 296/AETA(\$121). Even though this report reflects "other state funds", it was due to AFTA being over-reimbursed. At June 30 Fund CSS0000 had a zero balance with no carryover into 2015-2016.

**APPROPRIATION ACT FORM - STATE TREASURY  
2016-2017 FISCAL YEAR**

FUND CSS0000 SAUT - ARKANSAS FIRE TRAINING INSTITUTION ACADEMY APPROPRIATION 295

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2014-2015	2015-2016	APPROPRIATION 2015-2016	AHECB RECOMMENDATION 2016-2017	2016-2017
1	REGULAR SALARIES	889,933	800,000	1,085,602	1,105,396	1,109,211
2	EXTRA HELP WAGES					
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	246,674	205,162	305,162	309,808	311,799
5	OPERATING EXPENSES	567,123	656,269	805,276	867,535	822,789
6	CONFERENCE FEES & TRAVEL	32,540	28,412	48,358	49,096	49,410
7	PROFESSIONAL FEES AND SERVICES	0	0	0	0	0
8	CAPITAL OUTLAY	5,513	50,000	101,721	50,000	103,933
9	FUNDED DEPRECIATION					
10	WORKERS COMP/SURETY PREMIUM	53				
11						
12						
13	TOTAL APPROPRIATION	\$1,741,836	\$1,739,843	\$2,346,119	\$2,381,835	\$2,397,142
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE	1,651,221	1,651,221		2,293,213	2,308,520
16	EDUCATIONAL EXCELLENCE TRUST FUND	87,629	88,622		88,622	88,622
17	SPECIAL REVENUES * [WF2000]					
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)	2,986				
21	TOTAL INCOME	\$1,741,836	\$1,739,843		\$2,381,835	\$2,397,142
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

\* Report WF2000 funds on line 17 - "Special Revenues".

\*\*Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM FR-3

NOTE: Line 20 "Other State Treasury Funds"-Appro. 295 was reimbursed \$2,956 more than it should have been due to a coding error on a reimbursement allocation between Appro. 294/SAUT (\$2865), Appro. 295/AFTA(\$2956), and Appro. 296/ AETA(\$121). Even though this report reflects "other state funds", it was due to AFTA being over-reimbursed. At June 30 Fund CSS0000 had a zero balance with no carryover into 2015-2016.

**APPROPRIATION ACT FORM - CASH FUNDS  
2016-2017 Fiscal Year**

FUND 2170000

INSTITUTION SAUT - ARKANSAS FIRE TRAINING ACADEMY

APPROPRIATION \_\_\_\_\_ A66

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2014-2015	2015-2016	2015-2016	AHECB RECOMMENDATION	2016-2017
1	REGULAR SALARIES	68,322	558,525	558,525	558,525	558,525
2	EXTRA HELP WAGES		76,775	76,775	76,775	76,775
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	26,754	165,883	165,883	165,883	165,883
5	OPERATING EXPENSES	291,164	713,133	713,133	713,133	713,133
6	CONFERENCE FEES & TRAVEL	3,552	166,559	166,559	166,559	166,559
7	PROFESSIONAL FEES AND SERVICES	3,158	277,955	277,955	277,955	277,955
8	CAPITAL OUTLAY	41,822	382,170	382,170	382,170	382,170
9	CAPITAL IMPROVEMENTS	0	200,000	200,000	200,000	200,000
10	DEBT SERVICE					
11	FUND TRANSFERS, REFUNDS AND INVESTMENTS					
12						
13						
14						
15						
16	TOTAL APPROPRIATION	\$434,772	\$2,541,000	\$2,541,000	\$2,541,000	\$2,541,000
17	PRIOR YEAR FUND BALANCE**					
18	TUITION AND MANDATORY FEES	181,610	500,000		500,000	500,000
19	ALL OTHER FEES					
20	SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	23,824	141,000		141,000	141,000
21	INVESTMENT INCOME					
22	FEDERAL CASH FUNDS	229,338	1,000,000		1,000,000	1,000,000
23	OTHER CASH FUNDS		900,000		900,000	900,000
24	TOTAL INCOME	\$434,772	\$2,541,000		\$2,541,000	\$2,541,000
25	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

FORM FR-4

**TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)**

	ACTUAL	BUDGETED	AUTHORIZED	REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
	2014-2015	2015-2016	2015-2016	2016-2017	2016-17	2016-2017
REGULAR POSITIONS	46	48	67	67	67	67
TOBACCO POSITIONS						
EXTRA HELP ***	55	55	55	55	55	55

\*\* Line 18 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

\*\*\* The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.



**AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES**

SAUT - ARKANSAS FIRE TRAINING ACADEMY  
(NAME OF INSTITUTION)

	ACTIVITY	ACTUAL 2014-2015				BUDGETED 2015-2016			
		INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1	INTERCOLLEGIATE ATHLETICS*	0	0	0	0	0	0	0	0
2	HOUSING	0	0	0	0	0	0	0	0
3	FOOD SERVICES	0	0	0	0	0	0	0	0
4	STUDENT UNION	0	0	0	0	0	0	0	0
5	BOOKSTORE	0	0	0	0	0	0	0	0
6	STUDENT ORGANIZATIONS AND PUBLICATIONS	0	0	0	0	0	0	0	0
7	OTHER (FOOTNOTE BELOW)	0	0	0	0	0	0	0	0
8	SUBTOTAL	0	0	0	0	0	0	0	0
9	ATHLETIC TRANSFER**	0	0	0	0	0	0	0	0
10	OTHER TRANSFERS*** (FOOTNOTE BELOW)	0	0	0	0	0	0	0	0
11	GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	0	0	0	0	0	0	0	0

\* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM FR-5

\*\* For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

\*\*\* For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

**EMPLOYMENT INFORMATION  
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

SAUT - ARKANSAS FIRE TRAINING ACADEMY  
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2014-2015:						<u>36</u>
(As of November 1, 2014 )						
Nonclassified Administrative Employees:						
White Male:	<u>1</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>1</u>
White Female:	<u>1</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>1</u>
Nonclassified Health Care Employees:						
White Male:	<u>0</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>0</u>
White Female:	<u>0</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>0</u>
Classified Employees:						
White Male:	<u>1</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>1</u>
White Female:	<u>9</u>	Black Female:	<u>1</u>	Other Female:	<u>0</u>	Total Female: <u>10</u>
Faculty:						
White Male:	<u>23</u>	Black Male:	<u>0</u>	Other Male:	<u>0</u>	Total Male: <u>23</u>
White Female:	<u>0</u>	Black Female:	<u>0</u>	Other Female:	<u>0</u>	Total Female: <u>0</u>
Total White Male:	<u>25</u>	Total Black Male:	<u>0</u>	Total Other Male:	<u>0</u>	Total Male: <u>25</u>
Total White Female:	<u>10</u>	Total Black Female:	<u>1</u>	Total Other Female:	<u>0</u>	Total Female: <u>11</u>
Total White:	<u>35</u>	Total Black:	<u>1</u>	Total Other:	<u>0</u>	Total Employees: <u>36</u>
				<b>Total Minority:</b>	<u>1</u>	

FORM FR-6

**ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES**  
**STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES**  
 Fiscal Year 2015  
 Required by A.C.A. 25-36-104

**Institution**

SAUT - ARKANSAS FIRE TRAINING ACADEMY

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
N/A							

**TOTAL NUMBER OF MINORITY CONTRACTS AWARDED** 0

**TOTAL EXPENDITURES ON CONTRACTS AWARDED** \$0

(Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

**% OF MINORITY CONTRACTS AWARDED** 0%

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**INSTITUTION APPROPRIATION SUMMARY  
2016-2017 Fiscal Year**

INSTITUTION SOUTHEAST ARKANSAS COLLEGE

	APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
		2014-15		2015-16		2015-16		2016-17			
		ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1	STATE TREASURY	7,592,536		7,611,997		7,744,730		7,718,532		7,718,532	
2	CASH	4,674,286		32,450,000		32,450,000		32,450,000		32,450,000	
3											
4											
5											
6											
7											
8											
9											
10											
11	TOTAL	\$12,266,822	286	\$40,061,997	358	\$40,194,730	358	\$40,168,532	358	\$40,168,532	358
	<b>FUNDING SOURCES</b>		%		%				%		%
12	PRIOR YEAR FUND BALANCE*	0	0%	0	0%			0	0%	0	0%
13	GENERAL REVENUE	5,636,798	46%	5,636,798	14%			5,743,333	14%	5,743,333	14%
14	EDUCATIONAL EXCELLENCE TRUST FUND	0	0%	0	0%			0	0%	0	0%
15	WORKFORCE 2000	1,955,738	16%	1,975,199	5%			1,975,199	5%	1,975,199	5%
16	CASH FUNDS	4,064,286	33%	25,450,000	64%			25,450,000	63%	25,450,000	63%
17	SPECIAL REVENUES		0%		0%				0%	0	0%
18	FEDERAL FUNDS	610,000	5%	7,000,000	17%			7,000,000	17%	7,000,000	17%
19	TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%
20	OTHER FUNDS	0	0%	0	0%			0	0%	0	0%
21	TOTAL INCOME	\$12,266,822	100%	\$40,061,997	100%			\$40,168,532	100%	\$40,168,532	100%
22	EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2015:	\$803,065
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$396,764
INVENTORIES	
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$30,000
MAJOR CRITICAL SYSTEMS FAILURES	
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$1,150,000
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	(\$773,699)

\*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY  
2016-2017 FISCAL YEAR**

FUND CTT0000

INSTITUTION SOUTHEAST ARKANSAS COLLEGE

APPROPRIATION \_\_\_\_\_ 1XD

	DESCRIPTION	ACTUAL 2014-2015	BUDGETED 2015-2016	AUTHORIZED APPROPRIATION 2015-2016	INSTITUTIONAL REQUEST / AHECB RECOMMENDATION	LEGISLATIVE RECOMMENDATION
					2016-2017	2016-2017
1	REGULAR SALARIES	5,399,678	5,529,400	5,600,000	5,600,000	5,600,000
2	EXTRA HELP WAGES	600,000	750,000	750,000	750,000	750,000
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	1,450,000	950,000	950,000	1,100,000	1,100,000
5	OPERATING EXPENSES	142,536	382,597	444,730	268,532	527,071
6	CONFERENCE FEES & TRAVEL	0	0	0	0	0
7	PROFESSIONAL FEES AND SERVICES	0	0	0	0	0
8	CAPITAL OUTLAY	0	0	0	0	0
9	FUNDED DEPRECIATION					
10	WORKERS COMP/SURETY PREMIUM	322				
11						
12						
13	TOTAL APPROPRIATION	\$7,592,536	\$7,611,997	\$7,744,730	\$7,718,532	\$7,977,071
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE	5,636,798	5,636,798		5,743,333	6,001,872
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]	1,955,738	1,975,199		1,975,199	1,975,199
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)					
21	TOTAL INCOME	\$7,592,536	\$7,611,997		\$7,718,532	\$7,977,071
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

\* Report WF2000 funds on line 17 - "Special Revenues".

\*\*Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM FR-3

**APPROPRIATION ACT FORM - CASH FUNDS  
2016-2017 Fiscal Year**

FUND 2910000

INSTITUTION SOUTHEAST ARKANSAS COLLEGE

APPROPRIATION \_\_\_\_\_

B65

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2014-2015	2015-2016	APPROPRIATION	AHECB RECOMMENDATION	2016-2017
				2015-2016	2016-2017	2016-2017
1	REGULAR SALARIES	703,419	2,000,000	2,000,000	2,000,000	2,000,000
2	EXTRA HELP WAGES	188,656	1,000,000	1,000,000	1,000,000	1,000,000
3	OVERTIME	0	200,000	200,000	200,000	200,000
4	PERSONAL SERVICES MATCHING	687,711	1,500,000	1,500,000	1,500,000	1,500,000
5	OPERATING EXPENSES	2,620,245	11,000,000	11,000,000	11,000,000	11,000,000
6	CONFERENCE FEES & TRAVEL	98,583	400,000	400,000	400,000	400,000
7	PROFESSIONAL FEES AND SERVICES	225,534	1,000,000	1,000,000	1,000,000	1,000,000
8	CAPITAL OUTLAY	150,138	6,000,000	6,000,000	6,000,000	6,000,000
9	CAPITAL IMPROVEMENTS	0	8,150,000	8,150,000	8,150,000	8,150,000
10	DEBT SERVICE					
11	FUND TRANSFERS, REFUNDS AND INVESTMENTS	0	1,200,000	1,200,000	1,200,000	1,200,000
12						
13						
14						
15						
16	TOTAL APPROPRIATION	\$4,674,286	\$32,450,000	\$32,450,000	\$32,450,000	\$32,450,000
17	PRIOR YEAR FUND BALANCE**					
18	TUITION AND MANDATORY FEES	3,342,520	3,740,900		3,740,900	3,740,900
19	ALL OTHER FEES					
20	SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS					
21	INVESTMENT INCOME	5,849	8,800		8,800	8,800
22	FEDERAL CASH FUNDS	610,000	7,000,000		7,000,000	7,000,000
23	OTHER CASH FUNDS	715,917	21,700,300		21,700,300	21,700,300
24	TOTAL INCOME	\$4,674,286	\$32,450,000		\$32,450,000	\$32,450,000
25	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

FORM FR-4

**TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)**

	ACTUAL	BUDGETED	AUTHORIZED	REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
	2014-2015	2015-2016	2015-2016	2016-2017	2016-17	2016-2017
REGULAR POSITIONS	286	358	358	358	358	358
TOBACCO POSITIONS						
EXTRA HELP ***	200	200	200	200	200	200

\*\* Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

\*\*\* The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

**AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES**

SOUTHEAST ARKANSAS COLLEGE  
(NAME OF INSTITUTION)

	ACTIVITY	ACTUAL 2014-2015				BUDGETED 2015-2016			
		INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1	INTERCOLLEGIATE ATHLETICS*	0	0	0	0	0	0	0	0
2	HOUSING	0	0	0	0	0	0	0	0
3	FOOD SERVICES	83,931	146,682	0	(62,751)	96,000	132,000	0	(36,000)
4	STUDENT UNION	0	0	0	0	0	0	0	0
5	BOOKSTORE	0	0	0	0	0	0	0	0
6	STUDENT ORGANIZATIONS AND PUBLICATIONS	0	0	0	0	0	0	0	0
7	OTHER (FOOTNOTE BELOW)	0	0	0	0	0	0	0	0
8	SUBTOTAL	83,931	146,682	0	(62,751)	96,000	132,000	0	(36,000)
9	ATHLETIC TRANSFER**	0			0	0			0
10	OTHER TRANSFERS*** (FOOTNOTE BELOW)	0			0	0			0
11	GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	83,931	146,682	0	(62,751)	96,000	132,000	0	(36,000)

\* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM FR-5

\*\* For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

\*\*\* For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.



**EMPLOYMENT INFORMATION  
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

SOUTHEAST ARKANSAS COLLEGE  
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2014-2015:						251
(As of November 1, 2014 )						
Nonclassified Administrative Employees:						
White Male:	8	Black Male:	2	Other Male:	0	Total Male: 10
White Female:	14	Black Female:	13	Other Female:	1	Total Female: 28
Nonclassified Health Care Employees:						
White Male:	0	Black Male:	0	Other Male:	0	Total Male: 0
White Female:	0	Black Female:	0	Other Female:	0	Total Female: 0
Classified Employees:						
White Male:	13	Black Male:	9	Other Male:	0	Total Male: 22
White Female:	21	Black Female:	25	Other Female:	0	Total Female: 46
Faculty:						
White Male:	40	Black Male:	13	Other Male:	1	Total Male: 54
White Female:	43	Black Female:	45	Other Female:	3	Total Female: 91
Total White Male:						61
Total White Female:						78
Total Black Male:						24
Total Black Female:						83
Total Other Male:						1
Total Other Female:						4
Total White:						139
Total Black:						107
Total Other:						5
<b>Total Minority:</b>						<b>112</b>
Total Employees:						251

FORM FR-6

**ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES**  
**STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES**  
 Fiscal Year 2015  
 Required by A.C.A. 25-36-104

**Institution**

SOUTHEAST ARKANSAS COLLEGE

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
N/A							

**TOTAL NUMBER OF MINORITY CONTRACTS AWARDED** 0

**TOTAL EXPENDITURES ON CONTRACTS AWARDED** \$0  
 (Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

**% OF MINORITY CONTRACTS AWARDED** 0%

**ARKANSAS LEGISLATIVE AUDIT  
AUDIT OF SOUTHEAST ARKANSAS COLLEGE  
June 30, 2014**

<i>Finding No. 1:</i>	The College's internal control system did not prevent, or detect and correct material errors in the financial statements. The Public Fund Deposits and Investment note disclosure improperly reported \$2,445,516 as insured instead of collateralized. The note disclosure was corrected by College personnel during the audit.
<i>Institution's Response</i>	This error was due to one particular bank account that carried a title indicating it was a CDARS account, which is a type that is fully FDIC insured. The account is actually a Money Market, so would be properly classified as collateralized. The misleading account title has been changed to properly reflect its actual nature.

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**INSTITUTION APPROPRIATION SUMMARY  
2016-2017 Fiscal Year**

INSTITUTION UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT BATESVILLE

	APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
		2014-15		2015-16		2015-16		2016-17			
		ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1	STATE TREASURY	4,989,281		4,997,821		5,100,820		5,253,844		5,253,844	
2	CASH	7,327,519		45,285,000		45,285,000		45,285,000		45,285,000	
3											
4											
5											
6											
7											
8											
9											
10											
11	TOTAL	\$12,316,800	223	\$50,282,821	245	\$50,385,820	272	\$50,538,844	272	\$50,538,844	272
	<b>FUNDING SOURCES</b>		%		%				%		%
12	PRIOR YEAR FUND BALANCE*	0	0%	0	0%			0	0%	0	0%
13	GENERAL REVENUE	4,131,061	34%	4,131,061	8%			4,387,084	9%	4,387,084	9%
14	EDUCATIONAL EXCELLENCE TRUST FUND	0	0%	0	0%			0	0%	0	0%
15	WORKFORCE 2000	858,220	7%	866,760	2%			866,760	2%	866,760	2%
16	CASH FUNDS	7,327,519	59%	45,285,000	90%			45,285,000	90%	45,285,000	90%
17	SPECIAL REVENUES		0%		0%				0%	0	0%
18	FEDERAL FUNDS	0	0%	0	0%			0	0%	0	0%
19	TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%
20	OTHER FUNDS	0	0%	0	0%			0	0%	0	0%
21	TOTAL INCOME	\$12,316,800	100%	\$50,282,821	100%			\$50,538,844	100%	\$50,538,844	100%
22	EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2015:	\$2,626,964
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$529,257
INVENTORIES	\$349,486
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$100,000
MAJOR CRITICAL SYSTEMS FAILURES	\$225,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$1,350,000
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$50,000
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$23,221

\*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY  
2016-2017 FISCAL YEAR**

FUND CTG0000 UNIVERSITY OF ARKANSAS COMMUNITY INSTITUTION COLLEGE AT BATESVILLE APPROPRIATION \_\_\_\_\_ 1RT

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2014-2015	2015-2016	APPROPRIATION 2015-2016	AHECB RECOMMENDATION 2016-2017	2016-2017
1	REGULAR SALARIES	4,138,670	4,147,821	4,250,820	4,403,844	4,403,844
2	EXTRA HELP WAGES	100,000	100,000	100,000	100,000	100,000
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	750,000	750,000	750,000	750,000	750,000
5	OPERATING EXPENSES					
6	CONFERENCE FEES & TRAVEL					
7	PROFESSIONAL FEES AND SERVICES					
8	CAPITAL OUTLAY					
9	FUNDED DEPRECIATION					
10	WORKERS COMP/SURETY PREMIUM	611				
11						
12						
13	TOTAL APPROPRIATION	\$4,989,281	\$4,997,821	\$5,100,820	\$5,253,844	\$5,253,844
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE	4,131,061	4,131,061		4,387,084	4,387,084
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]	858,220	866,760		866,760	866,760
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)					
21	TOTAL INCOME	\$4,989,281	\$4,997,821		\$5,253,844	\$5,253,844
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

\* Report WF2000 funds on line 17 - "Special Revenues".

\*\*Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

FORM FR-3

**APPROPRIATION ACT FORM - CASH FUNDS  
2016-2017 Fiscal Year**

FUND 2790000

INSTITUTION UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT BATESVILLE

APPROPRIATION \_\_\_\_\_

B39

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2014-2015	2015-2016	APPROPRIATION 2015-2016	AHECB RECOMMENDATION 2016-2017	2016-2017
1	REGULAR SALARIES	1,321,530	7,300,000	7,300,000	7,300,000	7,300,000
2	EXTRA HELP WAGES	188,694	500,000	500,000	500,000	500,000
3	OVERTIME	0	5,000	5,000	5,000	5,000
4	PERSONAL SERVICES MATCHING	1,191,938	3,900,000	3,900,000	3,900,000	3,900,000
5	OPERATING EXPENSES	2,841,549	9,200,000	9,200,000	9,200,000	9,200,000
6	CONFERENCE FEES & TRAVEL	125,549	350,000	350,000	350,000	350,000
7	PROFESSIONAL FEES AND SERVICES	98,415	300,000	300,000	300,000	300,000
8	CAPITAL OUTLAY	956,979	10,200,000	10,200,000	10,200,000	10,200,000
9	CAPITAL IMPROVEMENTS	0	8,300,000	8,300,000	8,300,000	8,300,000
10	DEBT SERVICE	592,151	1,200,000	1,200,000	1,200,000	1,200,000
11	FUND TRANSFERS, REFUNDS AND INVESTMENTS	0	4,000,000	4,000,000	4,000,000	4,000,000
12	PROMOTIONAL ITEMS	10,714	30,000	30,000	30,000	30,000
13						
14						
15						
16	TOTAL APPROPRIATION	\$7,327,519	\$45,285,000	\$45,285,000	\$45,285,000	\$45,285,000
17	PRIOR YEAR FUND BALANCE**					
18	TUITION AND MANDATORY FEES	3,049,064	3,151,218		3,182,730	3,182,730
19	ALL OTHER FEES	270,965	284,220		310,000	310,000
20	SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS					
21	INVESTMENT INCOME	25,650	20,000		27,500	27,500
22	FEDERAL CASH FUNDS					
23	OTHER CASH FUNDS	3,981,840	41,829,562		41,764,770	41,764,770
24	TOTAL INCOME	\$7,327,519	\$45,285,000		\$45,285,000	\$45,285,000
25	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

FORM FR-4

**TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)**

	ACTUAL	BUDGETED	AUTHORIZED	REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
	2014-2015	2015-2016	2015-2016	2016-2017	2016-17	2016-2017
REGULAR POSITIONS	223	245	272	272	272	272
TOBACCO POSITIONS						
EXTRA HELP ***	90	100	100	100	100	100

\*\* Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

\*\*\* The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

**AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES**

UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT BATESVILLE  
(NAME OF INSTITUTION)

	ACTIVITY	ACTUAL 2014-2015				BUDGETED 2015-2016			
		INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1	INTERCOLLEGIATE ATHLETICS*	0	0	0	0	0	0	0	0
2	HOUSING	0	0	0	0	0	0	0	0
3	FOOD SERVICES	81,636	114,671	0	(33,035)	85,000	125,434	0	(40,434)
4	STUDENT UNION	0	0	0	0	0	0	0	0
5	BOOKSTORE	693,850	655,476	0	38,374	895,000	782,760	0	112,240
6	STUDENT ORGANIZATIONS AND PUBLICATIONS	0	33,539	0	(33,539)	0	36,604	0	(36,604)
7	OTHER (FOOTNOTE BELOW)	17,034	1,224	0	15,810	40,000	75,202	0	(35,202)
8	SUBTOTAL	792,520	804,910	0	(12,390)	1,020,000	1,020,000	0	0
9	ATHLETIC TRANSFER**	0			0	0			0
10	OTHER TRANSFERS*** (FOOTNOTE BELOW)	0			0	0			0
11	GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	792,520	804,910	0	(12,390)	1,020,000	1,020,000	0	0

\* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM FR-5

\*\* For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

\*\*\* For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.

NOTE: Line 7 "Other"- Cosmetology Income and IH Rent



**EMPLOYMENT INFORMATION  
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT BATESVILLE  
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2014-2015:						207
(As of November 1, 2014 )						
Nonclassified Administrative Employees:						
White Male:	7	Black Male:	0	Other Male:	1	Total Male: 8
White Female:	23	Black Female:	1	Other Female:	1	Total Female: 25
Nonclassified Health Care Employees:						
White Male:	0	Black Male:	0	Other Male:	0	Total Male: 0
White Female:	0	Black Female:	0	Other Female:	0	Total Female: 0
Classified Employees:						
White Male:	9	Black Male:	0	Other Male:	0	Total Male: 9
White Female:	29	Black Female:	0	Other Female:	1	Total Female: 30
Faculty:						
White Male:	37	Black Male:	1	Other Male:	0	Total Male: 38
White Female:	92	Black Female:	1	Other Female:	4	Total Female: 97
Total White Male:	53	Total Black Male:	1	Total Other Male:	1	Total Male: 55
Total White Female:	144	Total Black Female:	2	Total Other Female:	6	Total Female: 152
Total White:	197	Total Black:	3	Total Other:	7	Total Employees: 207
				<b>Total Minority:</b>	10	

FORM FR-6

**ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES**  
**STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES**  
 Fiscal Year 2015  
 Required by A.C.A. 25-36-104

**Institution**

UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT BATESVILLE

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
N/A							

**TOTAL NUMBER OF MINORITY CONTRACTS AWARDED** 0

**TOTAL EXPENDITURES ON CONTRACTS AWARDED** \$0  
 (Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

**% OF MINORITY CONTRACTS AWARDED** 0%

**ARKANSAS LEGISLATIVE AUDIT  
 AUDIT OF UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT BATESVILLE  
 June 30, 2015**

<p><i>Finding No. 1:</i></p>	<p>University personnel discovered funds totaling \$3,077 from a student nursing club had not been deposited but were kept in a locked box in the custody of a student who served as the club's Treasurer. University Administration requested an investigative report from a local police department. Subsequently, the Treasurer resigned and reimbursed \$3,077 to the club, indicating a friend had taken the money.</p>
<p><i>Institution's Response</i></p>	<p>While controls were in place that should have prevented this occurrence from happening, the following corrective actions have been taken:</p> <ul style="list-style-type: none"> <li>• Advised nursing faculty of the following statement in the student handbook:</li> </ul> <p><i>Student Organizations Funds:</i> All registered student organizations are required to keep all organization funds in a UACCB agency account maintained by the College.</p> <ul style="list-style-type: none"> <li>• Faculty and incoming students have been trained on the proper handling of club funds. Training sessions have occurred this fall and will continue each semester.</li> <li>• The Student Nursing Association (SNA) was issued a written warning that if a similar incident happens again, fund-raising activities would be prohibited.</li> </ul> <p><i>Fund-Raising (Solicitation) Policy:</i> All events are subject to general College policies contained in the Student Handbook. Failure to comply with College policies may preclude an organization from having additional fund-raising events.</p> <ul style="list-style-type: none"> <li>• Revised the approval form for fundraising activities to include the signature of the VC for Finance and Administration/Business Office personnel to assure that funds are directly deposited into the student account after each activity. Funds must be deposited the following day of the activity.</li> <li>• Faculty sponsor had already severed ties with UACCB before this occurrence but has been informed that she is not eligible for rehire with the U of A system.</li> <li>• Incident reported to the Batesville Police Department.</li> </ul>

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**INSTITUTION APPROPRIATION SUMMARY  
2016-2017 Fiscal Year**

INSTITUTION UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT HOPE

	APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
		2014-15		2015-16		2015-16		2016-17			
		ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1	STATE TREASURY	6,431,644		6,450,944		6,552,928		6,535,843		6,535,843	
2	CASH	5,209,455		12,049,640		12,049,640		12,049,640		12,049,640	
3											
4											
5											
6											
7											
8											
9											
10											
11	TOTAL	\$11,641,099	179	\$18,500,584	179	\$18,602,568	212	\$18,585,483	212	\$18,585,483	212
	<b>FUNDING SOURCES</b>		%		%				%		%
12	PRIOR YEAR FUND BALANCE*	0	0%	0	0%			0	0%	0	0%
13	GENERAL REVENUE	4,491,997	39%	4,491,997	24%			4,576,896	25%	4,576,896	25%
14	EDUCATIONAL EXCELLENCE TRUST FUND	0	0%	0	0%			0	0%	0	0%
15	WORKFORCE 2000	1,939,647	17%	1,958,947	11%			1,958,947	11%	1,958,947	11%
16	CASH FUNDS	3,851,747	33%	6,149,640	33%			6,149,640	33%	6,149,640	33%
17	SPECIAL REVENUES		0%		0%				0%	0	0%
18	FEDERAL FUNDS	1,357,708	12%	5,900,000	32%			5,900,000	32%	5,900,000	32%
19	TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%
20	OTHER FUNDS	0	0%	0	0%			0	0%	0	0%
21	TOTAL INCOME	\$11,641,099	100%	\$18,500,584	100%			\$18,585,483	100%	\$18,585,483	100%
22	EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2015:	\$1,257,145
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$233,489
INVENTORIES	
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	\$140,119
INSURANCE DEDUCTIBLES	\$100,000
MAJOR CRITICAL SYSTEMS FAILURES	\$100,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$1,218,982
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	(\$535,445)

\*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY  
2016-2017 FISCAL YEAR**

FUND CTR0000 UNIVERSITY OF ARKANSAS COMMUNITY INSTITUTION COLLEGE AT HOPE APPROPRIATION \_\_\_\_\_ 1BU

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2014-2015	2015-2016	APPROPRIATION 2015-2016	AHECB RECOMMENDATION 2016-2017	2016-2017
1	REGULAR SALARIES	4,641,997	5,066,164	5,066,164	5,066,164	5,218,149
2	EXTRA HELP WAGES					
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	1,789,245	1,384,780	1,486,764	1,469,679	1,531,367
5	OPERATING EXPENSES					
6	CONFERENCE FEES & TRAVEL					
7	PROFESSIONAL FEES AND SERVICES					
8	CAPITAL OUTLAY					
9	FUNDED DEPRECIATION					
10	WORKERS COMP/SURETY PREMIUM	402				
11						
12						
13	TOTAL APPROPRIATION	\$6,431,644	\$6,450,944	\$6,552,928	\$6,535,843	\$6,749,516
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE	4,491,997	4,491,997		4,576,896	4,790,569
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]	1,939,647	1,958,947		1,958,947	1,958,947
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)					
21	TOTAL INCOME	\$6,431,644	\$6,450,944		\$6,535,843	\$6,749,516
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

\* Report WF2000 funds on line 17 - "Special Revenues".

\*\*Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS  
2016-2017 Fiscal Year**

FUND 2950000

INSTITUTION UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT HOPE

APPROPRIATION \_\_\_\_\_ A98

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2014-2015	2015-2016	APPROPRIATION	AHECB RECOMMENDATION	2016-2017
				2015-2016	2016-2017	2016-2017
1	REGULAR SALARIES	788,653	1,474,640	1,474,640	1,474,640	1,474,640
2	EXTRA HELP WAGES	122,936	150,000	150,000	150,000	150,000
3	OVERTIME					
4	PERSONAL SERVICES MATCHING	490,447	1,000,000	1,000,000	1,000,000	1,000,000
5	OPERATING EXPENSES	2,963,280	4,250,000	4,250,000	4,250,000	4,250,000
6	CONFERENCE FEES & TRAVEL	50,413	150,000	150,000	150,000	150,000
7	PROFESSIONAL FEES AND SERVICES	39,318	125,000	125,000	125,000	125,000
8	CAPITAL OUTLAY	0	300,000	300,000	300,000	300,000
9	CAPITAL IMPROVEMENTS	0	2,800,000	2,800,000	2,800,000	2,800,000
10	DEBT SERVICE	754,408	900,000	900,000	900,000	900,000
11	FUND TRANSFERS, REFUNDS AND INVESTMENTS	0	900,000	900,000	900,000	900,000
12						
13						
14						
15						
16	TOTAL APPROPRIATION	\$5,209,455	\$12,049,640	\$12,049,640	\$12,049,640	\$12,049,640
17	PRIOR YEAR FUND BALANCE**					
18	TUITION AND MANDATORY FEES	2,361,789	2,564,529		2,564,529	2,564,529
19	ALL OTHER FEES					
20	SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	183,176				
21	INVESTMENT INCOME	5,221				
22	FEDERAL CASH FUNDS	1,357,708	5,900,000		5,900,000	5,900,000
23	OTHER CASH FUNDS	1,301,561	3,585,111		3,585,111	3,585,111
24	TOTAL INCOME	\$5,209,455	\$12,049,640		\$12,049,640	\$12,049,640
25	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

FORM FR-4

**TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)**

	ACTUAL	BUDGETED	AUTHORIZED	REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
	2014-2015	2015-2016	2015-2016	2016-2017	2016-17	2016-2017
REGULAR POSITIONS	179	179	212	212	212	212
TOBACCO POSITIONS						
EXTRA HELP ***	200	200	200	200	200	200

\*\* Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

\*\*\* The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

**AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES**

UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT HOPE  
(Name of Institution)

	ACTIVITY	ACTUAL 2014-2015				BUDGETED 2015-2016			
		INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1	INTERCOLLEGIATE ATHLETICS*	0	0	0	0	0	0	0	0
2	HOUSING	0	0	0	0	0	0	0	0
3	FOOD SERVICES	0	0	0	0	0	0	0	0
4	STUDENT UNION	0	0	0	0	0	0	0	0
5	BOOKSTORE	46,490	5,632		40,858	0	0	0	0
6	STUDENT ORGANIZATIONS AND PUBLICATIONS	0	0	0	0	0	0	0	0
7	OTHER (FOOTNOTE BELOW)	0	0	0	0	0	0	0	0
8	SUBTOTAL	46,490	5,632	0	40,858	0	0	0	0
9	ATHLETIC TRANSFER**	0			0	0			0
10	OTHER TRANSFERS*** (FOOTNOTE BELOW)	0			0	0			0
11	GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	46,490	5,632	0	40,858	0	0	0	0

\* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM FR-5

\*\* For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

\*\*\* For both two-year and four-year institutions, line 10, Other Transfers should contain the amount either transferred from E&G to support the college union, student organizations and student publications; or from auxiliary to other funds (e.g. plant funds), shown as a negative number.



**EMPLOYMENT INFORMATION  
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT HOPE  
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2014-2015:						179
(As of November 1, 2014 )						
Nonclassified Administrative Employees:						
White Male:	8	Black Male:	2	Other Male:	0	Total Male: 10
White Female:	15	Black Female:	4	Other Female:	0	Total Female: 19
Nonclassified Health Care Employees:						
White Male:	0	Black Male:	0	Other Male:	0	Total Male: 0
White Female:	0	Black Female:	0	Other Female:	0	Total Female: 0
Classified Employees:						
White Male:	14	Black Male:	2	Other Male:	0	Total Male: 16
White Female:	32	Black Female:	10	Other Female:	0	Total Female: 42
Faculty:						
White Male:	35	Black Male:	5	Other Male:	0	Total Male: 40
White Female:	51	Black Female:	0	Other Female:	1	Total Female: 52
Total White Male:	57	Total Black Male:	9	Total Other Male:	0	Total Male: 66
Total White Female:	98	Total Black Female:	14	Total Other Female:	1	Total Female: 113
Total White:	155	Total Black:	23	Total Other:	1	Total Employees: 179
				<b>Total Minority:</b>	24	

FORM FR-6

**ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES**  
**STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES**  
 Fiscal Year 2015  
 Required by A.C.A. 25-36-104

**Institution**

UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT HOPE

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
Marcis & Associates, Inc.	\$267,593		X				

**TOTAL NUMBER OF MINORITY CONTRACTS AWARDED** 1

**TOTAL EXPENDITURES ON CONTRACTS AWARDED** \$111,497  
 (Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

**% OF MINORITY CONTRACTS AWARDED** 100%

**ARKANSAS LEGISLATIVE AUDIT  
AUDIT OF UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT HOPE  
June 30, 2015**

<i>Finding:</i>	No Findings noted
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**INSTITUTION APPROPRIATION SUMMARY  
2016-2017 Fiscal Year**

INSTITUTION UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT MORRILTON

	APPROPRIATION	HISTORICAL DATA						INSTITUTION REQUEST & AHECB RECOMMENDATION			
		2014-15		2015-16		2015-16		2016-17			
		ACTUAL	POS	BUDGETED	POS	AUTHORIZED	POS	INST REQUEST	POS	AHECB REC	POS
1	STATE TREASURY	6,300,620		6,313,341		7,314,494		6,469,439		6,469,439	
2	CASH	8,569,961		43,625,000		43,625,000		43,625,000		43,625,000	
3											
4											
5											
6											
7											
8											
9											
10											
11	TOTAL	\$14,870,581	192	\$49,938,341	238	\$50,939,494	299	\$50,094,439	299	\$50,094,439	299
	<b>FUNDING SOURCES</b>		%		%				%		%
12	PRIOR YEAR FUND BALANCE*	0	0%	0	0%			0	0%	0	0%
13	GENERAL REVENUE	5,022,155	34%	5,022,155	10%			5,178,253	10%	5,178,253	10%
14	EDUCATIONAL EXCELLENCE TRUST FUND	0	0%	0	0%			0	0%	0	0%
15	WORKFORCE 2000	1,278,465	9%	1,291,186	3%			1,291,186	3%	1,291,186	3%
16	CASH FUNDS	7,942,790	53%	42,625,000	85%			42,625,000	85%	42,625,000	85%
17	SPECIAL REVENUES		0%		0%				0%	0	0%
18	FEDERAL FUNDS	627,171	4%	1,000,000	2%			1,000,000	2%	1,000,000	2%
19	TOBACCO SETTLEMENT FUNDS	0	0%	0	0%			0	0%	0	0%
20	OTHER FUNDS	0	0%	0	0%			0	0%	0	0%
21	TOTAL INCOME	\$14,870,581	100%	\$49,938,341	100%			\$50,094,439	100%	\$50,094,439	100%
22	EXCESS (FUNDING)/APPROPRIATION	\$0		\$0				\$0		\$0	

UNRESTRICTED EDUCATIONAL & GENERAL FUND BALANCE AS OF JUNE 30, 2015:	\$3,379,239
LESS RESERVES FOR:	
ACCOUNTS RECEIVABLE	\$1,040,000
INVENTORIES	
YEAR-END ENCUMBRANCES NOT YET RECORDED AS LIABILITIES	
INSURANCE DEDUCTIBLES	\$100,000
MAJOR CRITICAL SYSTEMS FAILURES	\$100,000
60 DAYS OF SALARIES & BENEFITS (CASH FLOW PURPOSES)	\$1,750,000
RESERVE FOR SPECIFIC ITEM (SOFTWARE, EQUIPMENT, ETC.)	\$380,000
OTHER (FOOTNOTE BELOW)	
UNRESERVED EDUCATIONAL & GENERAL FUND BALANCE	\$9,239

\*Line 12 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - STATE TREASURY  
2016-2017 FISCAL YEAR**

FUND CTJ0000 UNIVERSITY OF ARKANSAS COMMUNITY INSTITUTEION COLLEGE AT MORRILTON APPROPRIATION 729

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2014-2015	2015-2016	APPROPRIATION 2015-2016	AHECB RECOMMENDATION 2016-2017	2016-2017
1	REGULAR SALARIES	4,498,318	5,106,847	6,000,000	5,106,847	6,000,000
2	EXTRA HELP WAGES	73,000	70,000	165,000	70,000	165,000
3	OVERTIME	0	12,000	25,000	12,000	25,000
4	PERSONAL SERVICES MATCHING	1,727,620	1,124,494	1,124,494	1,280,592	1,343,929
5	OPERATING EXPENSES	880	0	0	0	0
6	CONFERENCE FEES & TRAVEL	0	0	0	0	0
7	PROFESSIONAL FEES AND SERVICES	0	0	0	0	0
8	CAPITAL OUTLAY	0	0	0	0	0
9	FUNDED DEPRECIATION					
10	WORKERS COMP/SURETY PREMIUM	802				
11						
12						
13	TOTAL APPROPRIATION	\$6,300,620	\$6,313,341	\$7,314,494	\$6,469,439	\$7,533,929
14	PRIOR YEAR FUND BALANCE**					
15	GENERAL REVENUE	5,022,155	5,022,155		5,178,253	6,242,743
16	EDUCATIONAL EXCELLENCE TRUST FUND					
17	SPECIAL REVENUES * [WF2000]	1,278,465	1,291,186		1,291,186	1,291,186
18	FEDERAL FUNDS IN STATE TREASURY					
19	TOBACCO SETTLEMENT FUNDS					
20	OTHER STATE TREASURY FUNDS (FOOTNOTE BELOW)					
21	TOTAL INCOME	\$6,300,620	\$6,313,341		\$6,469,439	\$7,533,929
22	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

\* Report WF2000 funds on line 17 - "Special Revenues".

\*\*Line 14 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

**APPROPRIATION ACT FORM - CASH FUNDS  
2016-2017 Fiscal Year**

FUND 2890000

INSTITUTION UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT MORRILTON

APPROPRIATION \_\_\_\_\_

B64

	DESCRIPTION	ACTUAL	BUDGETED	AUTHORIZED	INSTITUTIONAL REQUEST /	LEGISLATIVE RECOMMENDATION
		2014-2015	2015-2016	APPROPRIATION	AHECB RECOMMENDATION	2016-2017
				2015-2016	2016-2017	2016-2017
1	REGULAR SALARIES	2,910,092	9,000,000	9,000,000	9,000,000	9,000,000
2	EXTRA HELP WAGES	200,938	600,000	600,000	600,000	600,000
3	OVERTIME	0	25,000	25,000	25,000	25,000
4	PERSONAL SERVICES MATCHING	695,940	4,000,000	4,000,000	4,000,000	4,000,000
5	OPERATING EXPENSES	3,740,371	9,000,000	9,000,000	9,000,000	9,000,000
6	CONFERENCE FEES & TRAVEL	38,412	250,000	250,000	250,000	250,000
7	PROFESSIONAL FEES AND SERVICES	70,192	750,000	750,000	750,000	750,000
8	CAPITAL OUTLAY	407,116	3,000,000	3,000,000	3,000,000	3,000,000
9	CAPITAL IMPROVEMENTS	0	15,000,000	15,000,000	15,000,000	15,000,000
10	DEBT SERVICE	492,983	1,200,000	1,200,000	1,200,000	1,200,000
11	FUND TRANSFERS, REFUNDS AND INVESTMENTS	0	750,000	750,000	750,000	750,000
12	PROMOTIONAL ITEMS	13,917	50,000	50,000	50,000	50,000
13						
14						
15						
16	TOTAL APPROPRIATION	\$8,569,961	\$43,625,000	\$43,625,000	\$43,625,000	\$43,625,000
17	PRIOR YEAR FUND BALANCE**					
18	TUITION AND MANDATORY FEES	5,969,541	7,500,000		7,500,000	7,500,000
19	ALL OTHER FEES					
20	SALES AND SERVICES RELATED TO EDUCATIONAL DEPARTMENTS	117,178	150,000		150,000	150,000
21	INVESTMENT INCOME	46,996	250,000		250,000	250,000
22	FEDERAL CASH FUNDS	627,171	1,000,000		1,000,000	1,000,000
23	OTHER CASH FUNDS	1,809,075	34,725,000		34,725,000	34,725,000
24	TOTAL INCOME	\$8,569,961	\$43,625,000		\$43,625,000	\$43,625,000
25	EXCESS (FUNDING)/APPROPRIATION	\$0	\$0		\$0	\$0

FORM FR-4

**TOTAL NUMBER OF POSITIONS (GENERAL REVENUE AND CASH COMBINED)**

	ACTUAL	BUDGETED	AUTHORIZED	REQUEST	AHECB RECOMMEND	LEGISLATIVE RECOMMENDATION
	2014-2015	2015-2016	2015-2016	2016-2017	2016-17	2016-2017
REGULAR POSITIONS	192	238	299	299	299	299
TOBACCO POSITIONS						
EXTRA HELP ***	23	110	110	110	110	110

\*\* Line 17 Prior Year Fund Balance includes only the portion of the fund balance needed to balance that fiscal year's budget.

\*\*\* The total number of Extra-Help shown in the requested column will be the total extra-Help positions [General Revenue and Cash] requested to be authorized.

**AUXILIARY ENTERPRISE CASH INCOME AND EXPENDITURES**

UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT MORRILTON  
(NAME OF INSTITUTION)

	ACTIVITY	ACTUAL 2014-2015				BUDGETED 2015-2016			
		INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME	INCOME	OPERATING EXPENSES	DEBT SERVICE	NET INCOME
1	INTERCOLLEGIATE ATHLETICS*	0	0	0	0	0	0	0	0
2	HOUSING	0	0	0	0	172,000	279,461	0	(107,461)
3	FOOD SERVICES	180,588	258,180	0	(77,592)	0	0	0	0
4	STUDENT UNION	1,174,979	1,121,618	0	53,361	1,204,000	1,096,539	0	107,461
5	BOOKSTORE	0	0	0	0	0	0	0	0
6	STUDENT ORGANIZATIONS AND PUBLICATIONS	27,981	42,889	0	(14,908)	0	0	0	0
7	OTHER (FOOTNOTE BELOW)	0	0	0	0	0	0	0	0
8	SUBTOTAL	1,383,548	1,422,687	0	(39,139)	1,376,000	1,376,000	0	0
9	ATHLETIC TRANSFER**	0			0	0			0
10	OTHER TRANSFERS*** (FOOTNOTE BELOW)	(500,000)			(500,000)	0			0
11	GRAND TOTAL INCOME, OPERATING EXPENSES, & DEBT SERVICE FOR AUXILIARY ENTERPRISES	883,548	1,422,687	0	(539,139)	1,376,000	1,376,000	0	0

\* Intercollegiate athletic income should include the institutional board of trustees' approved student athletic fees.

FORM FR-5

\*\* For both two-year and four-year institutions, line 9, Athletic Transfer should contain the amount transferred from E&G to athletics.

NOTE: Line 10 "Other Transfers"- Transfer from Auxiliary to Unrestricted Funds



**EMPLOYMENT INFORMATION  
IDENTIFICATION BY EMPLOYMENT CLASSIFICATION**

UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT MORRILTON  
(NAME OF INSTITUTION)

TOTAL NUMBER OF EMPLOYEES IN FISCAL YEAR 2014-2015:						192
(As of November 1, 2014 )						
<b>Nonclassified Administrative Employees:</b>						
White Male:	11	Black Male:	0	Other Male:	1	Total Male: 12
White Female:	31	Black Female:	1	Other Female:	0	Total Female: 32
<b>Nonclassified Health Care Employees:</b>						
White Male:	0	Black Male:	0	Other Male:	0	Total Male: 0
White Female:	0	Black Female:	0	Other Female:	0	Total Female: 0
<b>Classified Employees:</b>						
White Male:	14	Black Male:	2	Other Male:	1	Total Male: 17
White Female:	46	Black Female:	2	Other Female:	0	Total Female: 48
<b>Faculty:</b>						
White Male:	36	Black Male:	0	Other Male:	0	Total Male: 36
White Female:	46	Black Female:	0	Other Female:	1	Total Female: 47
<b>Total White Male:</b>						61
<b>Total White Female:</b>						123
<b>Total Black Male:</b>						2
<b>Total Black Female:</b>						3
<b>Total Other Male:</b>						2
<b>Total Other Female:</b>						1
<b>Total White:</b>						184
<b>Total Black:</b>						5
<b>Total Other:</b>						3
<b>Total Minority:</b>						8
<b>Total Employees:</b>						192

FORM FR-6

**ARKANSAS PUBLIC HIGHER EDUCATION INSTITUTIONS AND ENTITIES**  
**STATE CONTRACTS OVER \$50,000 AWARDED TO MINORITY OWNED BUSINESSES**  
 Fiscal Year 2015  
 Required by A.C.A. 25-36-104

**Institution**

UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT MORRILTON

Minority Business	Total Contract Awarded	Minority Type per A.C.A. 15-4-303 (2)					
		African American	Hispanic American	American Indian	Asian American	Pacific Islander American	Disabled Veteran
N/A							

**TOTAL NUMBER OF MINORITY CONTRACTS AWARDED** 0

**TOTAL EXPENDITURES ON CONTRACTS AWARDED** \$69,650

(Total Expenditures equals ALL Contracts Exceeding \$50,000--Minority and Non-Minority)

**% OF MINORITY CONTRACTS AWARDED** 0%

**ARKANSAS LEGISLATIVE AUDIT  
AUDIT OF UNIVERSITY OF ARKANSAS COMMUNITY COLLEGE AT MORRILTON  
June 30, 2015**

<i>Finding:</i>	No Findings noted
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