| 1 | State of Arkansas | As Engrossed: H3/11/ | 11 H3/15/11 |
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| 2 | 88th General Assembly | A Bil | I |
| 3 | Regular Session, 2011 | | HOUSE BILL 1952 |
| 4 | | | |
| 5 | By: Representatives J. Roebu | ck, Cheatham, Tyler | |
| 6 | By: Senator J. Jeffress | | |
| 7 | | | |
| 8 | | For An Act To Bo | e Entitled |
| 9 | AN ACT TO | AMEND THE ARKANSAS CO | DE CONCERNING THE |
| 10 | ENFORCEMEN | NT OF ETHICS VIOLATION | S BY ARKANSAS |
| 11 | EDUCATORS; | ; AND FOR OTHER PURPOS | ES. |
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| 14 | | Subtitle | |
| 15 | TO Al | MEND THE ARKANSAS CODE | CONCERNING THE |
| 16 | ENFO | RCEMENT OF ETHICS VIOL | ATIONS BY |
| 17 | ARKA | NSAS EDUCATORS. | |
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| 20 | BE IT ENACTED BY THE (| GENERAL ASSEMBLY OF TH | E STATE OF ARKANSAS: |
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| 22 | | | h)(3)(D) $-$ (i), concerning the |
| 23 | - | the Professional Licen | sure Standards Board, are |
| 24 | repealed: | | |
| 25 | (D) (| | Licensure Standards Board may: |
| 26 | | | h procedures for receiving, |
| 27 | | | eferred by the Department of |
| 28 | | | ublic school superintendent |
| 29 | regarding the unethica | | - · · · · · · · · · · · · · · · · · · · |
| 30 | | | ommendations for enforcement; and |
| 31 | | | h an ethics subcommittee of the |
| 32 | | | equal representation of public |
| 33 | | | as one (1) member from any other |
| 34 | category of representa | | nal Licensure Standards Board. |
| 35 | | _ | ocedures, hearings, and appeals |
| 36 | relating to the code of | ot ethics required by- | this subsection (h) are subject to |

| 1 | the Arkansas Administrative Procedure Act, § 25-15-201 et seq. |
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| 2 | (i)(1) Except under subdivision (i)(4) of this section, all records |
| 3 | and all hearings, meetings, and deliberations of the Professional Licensure |
| 4 | Standards Board relating to an ethics complaint against an administrator or |
| 5 | teacher under this section are confidential and exempt from the Freedom of |
| 6 | Information Act of 1967, § 25-19-101 et seq. |
| 7 | (2) All records pertaining to an ethics complaint under this |
| 8 | subsection (i) shall be open for inspection and copying by the person against |
| 9 | whom the complaint is lodged. |
| 10 | (3) The person against whom the complaint is lodged and his or |
| 11 | her representative are entitled to be present at all hearings. |
| 12 | (4)(A) A hearing before the State Board of Education to consider |
| 13 | the possible revocation, suspension, or other sanction of an administrator's |
| 14 | or a teacher's license based on a recommendation of the Professional |
| 15 | Licensure Standards Board for enforcement of an alleged ethics violation |
| 16 | under this section, including without limitation an informal disposition by |
| 17 | the State Board of Education of an ethics complaint under this section by |
| 18 | stipulation, settlement, consent order, or default, is open to the public. |
| 19 | (B) All records on which the State Board of Education |
| 20 | relies at a hearing under this subdivision (i)(4) to make its decision are |
| 21 | subject to public disclosure under the Freedom of Information Act of 1967, § |
| 22 | 25-19-101 et seq. |
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| 24 | SECTION 2. Arkansas Code Title 6, Chapter 17, Subchapter 4, is amended |
| 25 | to add an additional section to read as follows: |
| 26 | 6-17-427. Ethical violations. |
| 27 | (a) As used in this section: |
| 28 | (1) "Code of ethics" means the code of ethics for educators |
| 29 | established by the Professional Licensure Standards Board under § 6-17-422; |
| 30 | (2) "Educator" means a person holding a valid Arkansas teacher's |
| 31 | or administrator's license issued by the State Board of Education; |
| 32 | (3)(A) "Ethical violation" means an act or omission on the part |
| 33 | of an educator when the educator knew or reasonably should have known that |
| 34 | the act or omission was in violation of the code of ethics. |
| 35 | (B) "Ethical violation" does not include: |
| 36 | (i) A reasonable mistake made in good faith; or |

| 1 | (ii) An act or omission undertaken in accordance |
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| 2 | with the reasonable instructions of a supervisor; and |
| 3 | (4) "Ethics complaint" means a document that: |
| 4 | (A) States facts constituting an alleged ethical violation |
| 5 | of the code of ethics; |
| 6 | (B) Is signed under penalty of perjury by the person |
| 7 | filing the ethics complaint; and |
| 8 | (C) Is authorized for investigation by the Professional |
| 9 | Licensure Standards Board or its designated subcommittee. |
| 10 | (b)(1) The Professional Licensure Standards Board shall: |
| 11 | (A) Establish procedures for receiving, considering, and |
| 12 | investigating ethics complaints; |
| 13 | (B) Make recommendations for enforcement of the code of |
| 14 | ethics; and |
| 15 | (C) Establish an ethics subcommittee of the Professional |
| 16 | <u>Licensure Standards Board with equal representation of public school teachers</u> |
| 17 | and administrators as well as one (1) member from any other category of |
| 18 | representation on the Professional Licensure Standards Board. |
| 19 | (2) All rules, procedures, hearings, and appeals relating to the |
| 20 | code of ethics and ethics complaints under this section are subject to the |
| 21 | Arkansas Administrative Procedure Act, § 25-15-201 et seq. |
| 22 | (c)(1) The ethics subcommittee of the Professional Licensure Standards |
| 23 | Board shall: |
| 24 | (A) Receive and investigate ethics complaints; and |
| 25 | (B) Enforce the code of ethics by making a recommendation |
| 26 | to the State Board of Education for: |
| 27 | (i) A written warning, reprimand, or probation; or |
| 28 | (ii) The revocation, suspension, nonrenewal, or |
| 29 | termination of a license issued by the State Board of Education. |
| 30 | (2) The State Board of Education may make an informal |
| 31 | disposition of the ethical violation by stipulation, settlement, consent |
| 32 | order, or default. |
| 33 | (d) An ethics complaint may be filed with the Professional Licensure |
| 34 | Standards Board by any person through: |
| 35 | (1) The Department of Education; |
| 36 | (2) A public school district; or |

| 1 | (3) A public school superintendent. |
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| 2 | (e)(1) The ethics subcommittee shall investigate an ethics complaint |
| 3 | that it determines is credible. |
| 4 | (2) Following an interview conducted as part of an investigation |
| 5 | of an ethics complaint, the investigator shall place in the investigation |
| 6 | file a written report of the interview. |
| 7 | (f)(1) Within ten (10) calendar days of authorizing an ethics |
| 8 | complaint investigation, the ethics subcommittee shall provide to the |
| 9 | educator under investigation: |
| 10 | (A) Written notice of the investigation and the nature of |
| 11 | the alleged ethical violation; and |
| 12 | (B) A copy of: |
| 13 | (i) The documents and evidence concerning the facts |
| 14 | alleged in the ethics complaint; |
| 15 | (ii) Provisions of this section or other state |
| 16 | statutory law applicable to an ethical violation under this section; and |
| 17 | (iii) The applicable rules in effect at the time the |
| 18 | ethics complaint is filed. |
| 19 | (3) Upon the completion of the investigation and before an |
| 20 | initial consideration of the of the investigation, the ethics subcommittee |
| 21 | shall provide to the educator: |
| 22 | (A) A copy of the documents and evidence concerning the |
| 23 | investigation of the ethics complaint; and |
| 24 | (B) Written notice that the ethics subcommittee will |
| 25 | consider taking an action against the educator. |
| 26 | (g)(1) Within thirty (30) calendar days after an educator receives the |
| 27 | notice, documentation, and evidence from the ethics subcommittee under |
| 28 | subsection (f) of this section, the educator may respond to the ethics |
| 29 | complaint in writing. |
| 30 | (2) The ethics subcommittee may permit additional time for a |
| 31 | response. |
| 32 | (h)(l) Upon receipt of the results of the investigation and any |
| 33 | written response from the educator who is the subject of the ethics |
| 34 | complaint, the ethics subcommittee shall issue an initial decision and |
| 35 | provide notice of the initial decision to the educator. |
| 36 | (2) Within thirty (30) days of receiving notice of the initial |

| 1 | decision, if the educator disagrees with the initial decision, the educator |
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| 2 | may request an evidentiary hearing in the manner specified in the rules of |
| 3 | the Professional Licensure Standards Board. |
| 4 | (i)(1) Upon receipt of a request for a hearing, the ethics |
| 5 | subcommittee shall grant and conduct a hearing in accordance with its rules. |
| 6 | (2) The educator and the Professional Licensure Standards Board |
| 7 | may be represented by representatives of their choosing. |
| 8 | (j) Within ten (10) business days of the ethics subcommittee taking |
| 9 | action following a hearing, the ethics subcommittee shall provide to the |
| 10 | educator under investigation a written notice of the action. |
| 11 | (k) The ethics subcommittee shall complete its investigation of an |
| 12 | ethics complaint and take action: |
| 13 | (1) Within one hundred fifty (150) days of receiving the ethics |
| 14 | <pre>complaint; or</pre> |
| 15 | (2) If a hearing is conducted, within one hundred eighty (180) |
| 16 | days of receiving the ethics complaint. |
| 17 | (1) The time limitations imposed under this section may be |
| 18 | waived when reasonable under certain circumstances, including without |
| 19 | limitation inclement weather, state or national emergencies, or other |
| 20 | unforeseeable events by the: |
| 21 | (1) Educator if the time limitation is imposed upon the |
| 22 | ethics subcommittee; or |
| 23 | (2) Ethics subcommittee if the time limitation is imposed |
| 24 | upon the educator. |
| 25 | (m) The Professional Licensure Standards Board shall promulgate rules |
| 26 | <u>for:</u> |
| 27 | (1) Receiving and investigating an ethics complaint; |
| 28 | (2) Enforcing the code of ethics; and |
| 29 | (3) Granting and conducting hearings under this section. |
| 30 | (n) All rules, procedures, hearings, and appeals under this section |
| 31 | are subject to the Arkansas Administrative Procedure Act, § 25-15-201 et seq |
| 32 | (o) Except as provided in subsection (q) of this section, all records |
| 33 | and all hearings, meetings, and deliberations of the ethics subcommittee |
| 34 | relating to an ethics complaint are confidential and exempt from the Freedom |
| 35 | of Information Act of 1967, § 25-19-101 et seq. |

(p) All records pertaining to an ethics complaint shall be open for

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| 1 | inspection and copying by the educator against whom the ethics complaint is |
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| 2 | lodged, unless otherwise prohibited by state or federal law. |
| 3 | (q)(1) A hearing under this section before the State Board of |
| 4 | Education on a recommendation of the ethics subcommittee for enforcement of |
| 5 | the code of ethics is a public hearing. |
| 6 | (2) All records upon which the State Board of Education relies |
| 7 | at a hearing under this subsection to make its decision are subject to public |
| 8 | disclosure under the Freedom of Information Act of 1967, § 25-19-101 et seq. |
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| 10 | /s/J. Roebuck |
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