| 1 | State of Arkansas As Engrossed: H3/11/11 H3/15/11 H3/21/11 | |
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| 2 | 88th General Assembly A Bill | |
| 3 | Regular Session, 2011HOUSE BILL 1952 | |
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| 5 | By: Representatives J. Roebuck, Cheatham, Tyler | |
| 6 | By: Senator J. Jeffress | |
| 7 | | |
| 8 | For An Act To Be Entitled | |
| 9 | AN ACT TO AMEND THE ARKANSAS CODE CONCERNING THE | |
| 10 | ENFORCEMENT OF ETHICS VIOLATIONS BY ARKANSAS | |
| 11 | EDUCATORS; AND FOR OTHER PURPOSES. | |
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| 13 | | |
| 14 | Subtitle | |
| 15 | TO AMEND THE ARKANSAS CODE CONCERNING THE | |
| 16 | ENFORCEMENT OF ETHICS VIOLATIONS BY | |
| 17 | ARKANSAS EDUCATORS. | |
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| 20 | BE IT ENACTED BY THE GENERAL ASSEMBLY OF THE STATE OF ARKANSAS: | |
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| 22 | SECTION 1. Arkansas Code § 6-17-422(h)(3)(D) - (i), concerning the | |
| 23 | powers and duties of the Professional Licensure Standards Board, are | |
| 24 | repealed: | |
| 25 | (D)(i) The Professional Licensure Standards Board may: | |
| 26 | (a) Establish procedures for receiving, | |
| 27 | considering, and investigating complaints referred by the Department of | |
| 28 | Education, a public school district, or a public school superintendent | |
| 29 | regarding the unethical behavior of licensed school personnel; | |
| 30 | (b) Make recommendations for enforcement; an | đ |
| 31 | (c) Establish an ethics subcommittee of the | |
| 32 | Professional Licensure Standards Board with equal representation of public | |
| 33 | school teachers and administrators as well as one (1) member from any other | • |
| 34 | category of representation on the Professional Licensure Standards Board. | |
| 35 | (ii) All rules, procedures, hearings, and appeals | |
| 36 | relating to the code of ethics required by this subsection (h) are subject | to |



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| 1 | the Arkansas Administrative Procedure Act, § 25-15-201 et seq. |
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| 2 | (i)(1) Except under subdivision (i)(4) of this section, all records |
| 3 | and all hearings, meetings, and deliberations of the Professional Licensure |
| 4 | Standards Board relating to an ethics complaint against an administrator or |
| 5 | teacher under this section are confidential and exempt from the Freedom of |
| 6 | Information Act of 1967, § 25-19-101 et seq. |
| 7 | (2) All records pertaining to an ethics complaint under this |
| 8 | subsection (i) shall be open for inspection and copying by the person against |
| 9 | whom the complaint is lodged. |
| 10 | (3) The person against whom the complaint is lodged and his or |
| 11 | her representative are entitled to be present at all hearings. |
| 12 | (4)(A) A hearing before the State Board of Education to consider |
| 13 | the possible revocation, suspension, or other sanction of an administrator's |
| 14 | or a teacher's license based on a recommendation of the Professional |
| 15 | Licensure Standards Board for enforcement of an alleged ethics violation |
| 16 | under this section, including without limitation an informal disposition by |
| 17 | the State Board of Education of an ethics complaint under this section by |
| 18 | stipulation, settlement, consent order, or default, is open to the publie. |
| 19 | (B) All records on which the State Board of Education |
| 20 | relies at a hearing under this subdivision (i)(4) to make its decision are |
| 21 | subject to public disclosure under the Freedom of Information Act of 1967, § |
| 22 | 25-19-101 et seq. |
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| 24 | SECTION 2. Arkansas Code Title 6, Chapter 17, Subchapter 4, is amended |
| 25 | to add an additional section to read as follows: |
| 26 | 6-17-427. Ethical violations. |
| 27 | (a) As used in this section: |
| 28 | (1) "Code of ethics" means the code of ethics for educators |
| 29 | established by the Professional Licensure Standards Board under § 6-17-422; |
| 30 | (2) "Educator" means a person holding a valid Arkansas teacher's |
| 31 | or administrator's license issued by the State Board of Education; |
| 32 | (3)(A) "Ethical violation" means an act or omission on the part |
| 33 | of an educator when the educator knew or reasonably should have known that |
| 34 | the act or omission was in violation of the code of ethics. |
| 35 | (B) "Ethical violation" does not include: |
| 36 | (i) A reasonable mistake made in good faith: |

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| 1 | (ii) An act or omission undertaken in accordance |
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| 2 | with the reasonable instructions of a supervisor; or |
| 3 | (iii) An act or omission under circumstances in which the |
| 4 | educator had a reasonable belief that failure to follow the instructions of a |
| 5 | supervisor would result in an adverse job action against the educator; and |
| 6 | (4) "Ethics complaint" means a document that: |
| 7 | (A) States facts constituting an alleged ethical violation |
| 8 | of the code of <i>ethics; and</i> |
| 9 | (B) Is signed under penalty of perjury by the person |
| 10 | filing the ethics complaint. |
| 11 | (b)(1) The Professional Licensure Standards Board shall: |
| 12 | (A) Establish procedures for: |
| 13 | (i) Receiving and investigating an ethics complaint; |
| 14 | (ii) Enforcing the code of ethics; and |
| 15 | (iii) Granting and conducting hearings under this |
| 16 | section; |
| 17 | (B) Make recommendations for enforcement of the code of |
| 18 | ethics; and |
| 19 | (C) Establish an ethics subcommittee of the Professional |
| 20 | Licensure Standards Board with equal representation of public school teachers |
| 21 | and administrators as well as one (1) member from any other category of |
| 22 | representation on the Professional Licensure Standards Board. |
| 23 | (2) All rules, procedures, hearings, and appeals relating to the |
| 24 | code of ethics complaints under this section shall be promulgated and |
| 25 | implemented under the Arkansas Administrative Procedure Act, § 25-15-201 et |
| 26 | seq. |
| 27 | (c)(l) The ethics subcommittee of the Professional Licensure Standards |
| 28 | Board shall: |
| 29 | (A) Receive and investigate ethics complaints; |
| 30 | (B) Enforce the code of ethics by making a recommendation |
| 31 | to the State Board of Education for: |
| 32 | (i) A written warning, a written reprimand, or the |
| 33 | written placement of conditions or restrictions on the activities of the |
| 34 | <u>educator;</u> |
| 35 | (ii) The revocation, suspension, probation, |
| 36 | nonrenewal, or termination of a license issued by the State Board of |

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| 1 | Education; or |
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| 2 | (iii) A private letter of caution; and |
| 3 | (C) Dismiss an ethics complaint if it finds there is no |
| 4 | ethics violation. |
| 5 | (2) The State Board of Education may make an informal |
| 6 | disposition of the ethical violation by stipulation, settlement, consent |
| 7 | order, or default. |
| 8 | (d) An ethics complaint may be filed with the Professional Licensure |
| 9 | Standards Board by any person through: |
| 10 | (1) The Department of Education; |
| 11 | (2) A public school district; or |
| 12 | (3) A public school superintendent. |
| 13 | (e)(l) The ethics subcommittee shall investigate an ethics complaint |
| 14 | that it determines is credible. |
| 15 | (2) Following an interview conducted as part of an investigation |
| 16 | of an ethics complaint, the investigator shall place in the investigation |
| 17 | file a written report of the interview. |
| 18 | (f)(l) Within ten (10) calendar days of authorizing an ethics |
| 19 | complaint investigation, the ethics subcommittee shall provide to the |
| 20 | educator under investigation: |
| 21 | (A) Written notice of the investigation and the nature of |
| 22 | the alleged ethical violation; and |
| 23 | (B) A copy of: |
| 24 | (i) The documents and evidence concerning the facts |
| 25 | alleged in the ethics complaint; |
| 26 | (ii) Provisions of this section or other state |
| 27 | statutory law applicable to an ethical violation under this section; and |
| 28 | (iii) The applicable rules in effect at the time the |
| 29 | ethics complaint is filed. |
| 30 | (3) Upon the completion of the investigation and before an |
| 31 | initial consideration of the of the investigation, the ethics subcommittee |
| 32 | shall provide to the educator: |
| 33 | (A) A copy of the documents and evidence concerning the |
| 34 | investigation of the ethics complaint; and |
| 35 | (B) Written notice that the ethics subcommittee will |
| 36 | <u>consider taking an action against the educator.</u> |

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| 1 | (g)(1) Within thirty (30) calendar days after an educator receives the |
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| 2 | notice, documentation, and evidence from the ethics subcommittee under |
| 3 | subsection (f) of this section, the educator may respond to the ethics |
| 4 | complaint in writing. |
| 5 | (2) The ethics subcommittee may permit additional time for a |
| 6 | response. |
| 7 | (h)(l) Upon receipt of the results of the investigation and any |
| 8 | written response from the educator who is the subject of the ethics |
| 9 | complaint, the ethics subcommittee shall issue an initial decision and |
| 10 | provide notice of the initial decision to the educator. |
| 11 | (2) Within thirty (30) days of receiving notice of the initial |
| 12 | decision, if the educator disagrees with the initial decision, the educator |
| 13 | may request an evidentiary hearing in the manner specified in the rules of |
| 14 | the Professional Licensure Standards Board. |
| 15 | (i)(l) Upon receipt of a request for a hearing, the ethics |
| 16 | subcommittee shall grant and conduct a hearing in accordance with its rules. |
| 17 | (2) The educator and the Professional Licensure Standards Board |
| 18 | may be represented by representatives of their choosing. |
| 19 | (j) Within ten (10) business days of the ethics subcommittee taking |
| 20 | action following a hearing, the ethics subcommittee shall provide to the |
| 21 | educator under investigation a written notice of the action. |
| 22 | (k) The ethics subcommittee shall complete its investigation of an |
| 23 | ethics complaint and take action: |
| 24 | (1) Within one hundred fifty (150) days of receiving the ethics |
| 25 | complaint; or |
| 26 | (2) If a hearing is conducted, within one hundred eighty (180) |
| 27 | days of receiving the ethics complaint. |
| 28 | (1) The time limitations imposed under this section may be waived |
| 29 | when reasonable under certain circumstances, including without limitation |
| 30 | inclement weather, state or national emergencies, or other unforeseeable |
| 31 | events by the: |
| 32 | (1) Educator if the time limitation is imposed upon the ethics |
| 33 | subcommittee; or |
| 34 | (2) Ethics subcommittee if the time limitation is imposed upon |
| 35 | the educator. |
| 36 | (m) Except as provided in subsection (o) of this section, all records |

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| 1 | and all hearings, meetings, and deliberations of the Professional Licensure |
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| 2 | Standards Board and the ethics subcommittee relating to an ethics complaint |
| 3 | are confidential and exempt from the Freedom of Information Act of 1967, § |
| 4 | <u>25-19-101 et seq.</u> |
| 5 | (n) All records pertaining to an ethics complaint shall be open for |
| 6 | inspection and copying by the educator against whom the ethics complaint is |
| 7 | lodged, unless otherwise prohibited by state or federal law. |
| 8 | (o)(1) A hearing under this section before the State Board of |
| 9 | Education on a recommendation of the ethics subcommittee for enforcement of |
| 10 | the code of ethics is a public hearing. |
| 11 | (2) All records upon which the State Board of Education relies |
| 12 | at a hearing under this subsection to make its decision are subject to public |
| 13 | disclosure under the Freedom of Information Act of 1967, § 25-19-101 et seq. |
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| 15 | <u>/s/J. Roebuck</u> |
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